Cloning and analysis of strong promoters is made possible by the downstream placement of a RNA termination signal

(promoter efficiency/RNA synthesis/RNA polymerase/gene expression)

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ABSTRACT Downstream placement of a strong transcriptional termination signal has made possible the cloning of bacteriophage T5 promoters known to exhibit high signal strength. The cloning system constructed contains two easily assayable indicator functions whose expression is controlled by the integration of promoters and terminators, respectively. By assessing transcription within the indicator regions, the efficiency of promoters as well as termination signals can be determined in vitro and in vivo.

The efficiency of interaction between Escherichia coli RNA polymerase and transcriptional promoters of E. coli varies within a wide range when measured in vitro (1). For unregulated promoters, the rate of complex formation in vitro reflects promoter strength in vivo (1, 2). However, despite the identification of more than 80 different promoter sequences and extensive study of promoter–RNA polymerase interactions (for survey, see refs. 3–5), the contribution of specific structural features to the functional activity of such sequences is not understood.

Promoters from various bacterial and viral sources have been cloned in E. coli, and their signal strength in vivo has been studied by using expression from distal promoterless sequences encoding β -galactosidase (β -Gal) or other proteins (6, 7) as an indicator of promoter activity. Attempts to clone small DNA fragments carrying the strong promoters of bacteriophage T5, which in vitro far exceed other promoters in the rate of complex formation with RNA polymerase and the rate of initiation of RNA synthesis (1, 2), have been unsuccessful; however, fragments of T5 DNA containing both a strong promoter and a strong termination signal have been cloned (8). Subsequently, electron microscope analysis has shown that transcriptional regions of several E. coli plasmids are organized in well-defined units where termination signals appear to balance transcription initiated at promoters of different strengths (9). Together, these findings suggested that the cloning of strong promoter signals from phage T5 or other sources might require the downstream placement of comparably strong termination signals.

We report here the construction and analysis of bacterial plasmid vectors that enable the cloning of promoters of high signal strength; such cloning is made possible by the positioning of a transcriptional termination signal downstream from the site of insertion of such promoters. The constructed plasmids, which allow estimation of the strength of promoter signals in vitro and in vivo, contain indicator genes in positions that also permit selection for termination signals. Using these vectors, we have isolated a library of T5 promoter sequences suitable for biochemical and physical investigations of promoter function and

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also potentially useful for achieving high-level transcription of heterologous genes introduced distal to the promoter signals.

MATERIALS AND METHODS

Restriction endonucleases, E. coli DNA polymerase, and bacteriophage T4 DNA ligase were purchased from several commercial sources, and reactions were carried out as suggested by the supplier. EcoRI synthetic linker and adapter sequences were obtained from Collaborative Research (Waltham, MA). Phage fd DNA (replicative form) and plasmid pAD16/30 containing a 28-base-pair (bp) HindIII/BamHI adapter sequence were gifts from H. Schaller. lac repressor was a gift from A. Riggs. Isolation of bacteriophage T5 DNA (2), plasmid DNA (10), E. coli RNA polymerase (2), and termination factor rho (11) have been described previously.

The binding of RNA polymerase to promoters and subsequent analysis of the complexes by nitrocellulose filter binding have been described (2). Identification and isolation of *lac* operator-containing DNA fragments by repressor binding utilized the procedure of Riggs *et al.* (12). The conversion of protruding 5' single-stranded DNA extensions to blunt ends and DNA ligation reactions have been described (13). Synthetic linker and adapter sequences were present in 3- to 10-fold excess relative to the various DNA fragments. Transformation of *E. coli* strains C600r⁻m⁺ (our laboratory collection), the M15 deletion-mutant DZ 291 (obtained from A. V. Fowler), and BMH71-18, an M15 derivative carrying the *lacI*^q mutation (obtained from B. Mueller-Hill), was carried out as described (14).

Selection of transformants involved plating on LB plates containing chloramphenicol (Cm, $20~\mu g/ml$), ampicillin (Ap, $100~\mu g/ml$), or varying amounts of tetracycline (Tc; $2-70~\mu g/ml$). Selection for presence of the lac operator or production of the α fragment of β -Gal was carried out on plates containing the antibiotic plus 5-bromo-4-chloro-3-indolyl β -O-galactoside at $40~\mu g/ml$ (15). Induction of lac expression by isopropylthiogalactoside was as described (15). In vitro and in vivo RNA was prepared and analyzed as described previously (1) except that $[\alpha$ - 32 P]UTP and $[^{32}$ P]phosphate were used for labeling in vitro and in vivo, respectively. In vivo RNA was isolated from plasmid-containing C600 cells after a 10-min labeling period.

RESULTS

Experimental Strategy. We have constructed a family of plasmids (Fig. 1) that carry two DNA segments that can be brought under the control of a single promoter and are separated by an endonuclease cleavage site suitable for the cloning

Abbreviations: bp, base pair(s); Cm, chloramphenicol; Tc, tetracycline; Ap, ampicillin; β -Gal, β -galactosidase.

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Fig. 1. Construction of plasmids for cloning terminators and promoters. A 780-bp DNA fragment carrying the $E.\ coli\ lac$ regulatory region (promoter/operator: P/O), an NH₂-terminal portion of the β -Gal structural gene (β -gal) sufficient for intracistronic complementation of the M15 deletion, and a portion of the lacI-gene (I) was isolated from a HincII digest of pACYC214 plasmid (unpublished data) DNA by repressor binding and subsequent adsorption to nitrocellulose (16). By using the Hpa II cleavage site within β -gal, the fragment was reduced in size and provided with BamHI and Sal I termini by various subclonings (pBU8, pBACH16). The resulting fragment (leftmost part of the figure) contains the intact control region of the lac operon and a portion of the β -gal structural gene encoding 66 NH₂-terminal amino acids (α). Introduction of this fragment, by blunt-end ligation, into the HindIII site of pACYC184 (17) yielded pBU10, a vector suitable for terminator cloning. The major terminator of the coliphage fd genome was isolated as a 338-bp Sau3A fragment (18), ligated to a BamHI /HindIII adaptor sequence, and introduced into pBU10 to yield pLBU1. Cleavage of the lac sequence in pBU10 by Hpa II endonuclease destroyed the lac promoter and, upon cleavage with HindIII, liberated a fragment containing the lac operator and a region coding for functional α fragment. Integrating this DNA sequence into pBR322 (19) led to pBU12a. Finally, replacement of the HindIII/Sal I portion of pBU12a by the HindIIII/Sal I fragment of pLBU1 containing the fd terminator resulted in pBLU3, a vector suitable for the cloning of efficient promoters at the EcoRI site. The regions encoding Cm, Ap, and Tc resistance are indicated as cat, bla, and tet, respectively.

of transcription termination signals. In the absence of an intervening terminator, the level of transcription of both segments is identical and reflects the efficiency of the upstream promoter; reduced transcription of the distal DNA segment upon insertion of a transcription terminator reflects termination efficiency.

To assay the level of transcription, we chose DNA segments that contain genes encoding β -galactosidase (β -gal) activity and Tc resistance. Because the NH₂-terminal polypeptide (α fragment) can restore β -Gal activity in lac M15 deletion mutants (20), we used just the portion of the lac operon that produces a functional α fragment.

By using efficient termination signals, we expected to obtain the stable integration of DNA fragments containing strong promoters; these could be identified by the relatively high level of Tc resistance that resulted from transcriptional read-through past the terminator into the distal *tet* region.

Construction of Plasmid Vectors. A vehicle for the selective insertion of transcription terminators between the two indicator genes was obtained by fusing a 560-bp fragment carrying the lac promoter-operator region and part of the lacZ structural gene to the tet region of pACYC184 (Fig. 1). The resulting plasmid, pBU10, has the following properties (Table 1; Fig. 2): (i) it complements the M15 deletion of the lac operon; (ii) the Tc resistance it specifies is under control of the lac promoter, as shown in M15lacI^q strains; (iii) the HindIII cleavage site between the lac gene fragment and the tet gene is restored; (iv) the stop codon immediately following the HindIII site limits the length of the lacZ gene product to 68 amino acids.

The HindIII cleavage site of pBU10 was used for the insertion of various transcriptional terminators; only the results obtained with the major terminator of the bacteriophage fd genome, yielding the pLBU1 plasmid (Fig. 1), are described. Insertion of this terminator upstream from the tet gene resulted in a 90% reduction in the level of Tc resistance but no detectable change in the level of β -Gal activity in M15 deletion strains (Table 1). Seven independent plasmid isolates from such clones contained the expected 352-bp HindIII-generated DNA fragment carrying the fd terminator. Electrophoretic analysis of all seven BamHI-cleaved isolates and DNA sequence analysis of one of

these showed that in all instances the fd terminator had been inserted in an orientation opposite to the direction of transcription within the fd phage genome (Fig. 2; ref. 18). Sequence analysis also revealed a translational stop codon in frame with the α protein less than 10 bp downstream from the HindIII cleavage site at the junction between the lac-derived segment and the fd-derived DNA fragment (Fig. 2); the construct would be expected to produce a 71-amino acid α fragment.

For the cloning of exogenous promoters, the *lac* promoter in the *lac/tet* construct had to be removed or destroyed in such

Table 1. Properties specified by plasmid constructs

Plasmid	E. coli strain	Tc resistance, $\mu g/ml$	β -Gal activity	
			OpInd	lpha-Compl
	C600	20	+	
pBU10	M15	20		+
	$M15^{iq}$	<2		_
	+IPTG*	20		+
pBU12	C600	3	+	
	M15	3		<u>-</u>
pLBU1	C600	2	+	
	M15	2		+
pLBU3	C600	<1	+	,
	M15	<1		
pGBU207	C600	>70	+	
	M15	>70		+++
pBR322	C600	60	_	
	M15	60		_

The properties of transformed cells were determined by replica plating freshly grown cultures (logarithmic phase) at identical densities in microtiter dishes. Tc-containing plates were freshly prepared. Incubation was for 14 hr at 37°C. Isogeneic strains before and after transformation with pBR322 served as controls. Presence of the lac operator (Op.-Ind.) was determined in lac^+ background. α -Protein synthesis (α -Compl.) was assessed in M15 deletion strains by evaluating the colony color on plates containing bromochloroindolyl galactoside. * Isopropyl thiogalactoside.



Fig. 2. Nucleotide sequences connecting the various cloned regions of pLBU3. Most leftward is the sequence of unknown origin intervening between the EcoRI site (position 1) and the -15 position of the lac promoter (163), followed by the sequence of the lac fragment (163–435) and the fd DNA (446–778); the last portion of sequence shows the transition between the fd DNA and the tet region (778–800). Stop codons in all three frames are underlined, and translation initiation sites of both the α fragment and the first tet protein are indicated. ----, EcoRI (1), HindIII (435, 778), and BamHI (778) cleavage sequences; arrows, borders between the sequences of different origins.

a way that a site for the subsequent insertion of promoters was retained. To do this, we used the Hpa II cleavage site at position -17 of lac (5); a 250-bp fragment was isolated from a Hpa II/HindIII double digest of pBU10 and introduced into plasmid pBR322 to generate an EcoRI endonuclease cleavage site. Colonies that showed both a reduced level of Tc resistance and the presence of a lac operator sequence, which could be detected on the multicopy plasmid by its ability to bind the lac repressor and induce chromosomal β -Gal synthesis, were identified. Endonuclease analysis (HindIII/EcoRI double digest, data not shown) of plasmids recovered from several isolates yielded two types of vectors; one of these (represented by pBU12) harbored the expected 253-bp lac fragment, whereas the other (represented by pBU12a) yielded a 420-bp fragment.

ATTGCTAACGCAGTCAGGCACCGTGTATGAAATC- 3

820

DNA sequence analysis (Fig. 2) showed that in pBU12a a 160-bp fragment of unknown origin had been inserted between the EcoRI site and position -15 of the lac promoter; fortuitously, the fragment was found to contain two to three stop codons in each of the possible translational reading frames (Fig. 2), making the fragment an efficient terminator of any translation originating upstream from the translation initiation site for the α fragment. pBU12a was therefore used for our further experiments. Replacing the HindIII/Sal I segment of pBU12a (Fig. 1) with an identically generated fragment of pLBU1 carrying the fd terminator yielded pLBU3 (Fig. 1). Although this plasmid contained the tet region and a DNA sequence encoding the α fragment of lac, it conferred neither Tc resistance nor β -Gal activity to an E. coli M15 strain (Table 1) and thus seemed to be a candidate for the intended T5 promoter cloning vehicle.

Properties of Constructed Vectors. Expression of both the α -complementing peptide and the Tc resistance encoded by plasmid pBU10 is regulated by the *lac* promoter (Table 1); expression of the Lac phenotype and Tc resistance occur in strain C600 as a result of titration of the lac repressor by the operator located on the multicopy plasmid; such induction also results in expression of the tet gene carried by the plasmid, indicating lac promoter control of Tc resistance. The Lac phenotype occurs in the M15 mutant, which has part of the lacZ gene deleted from the chromosome, by α complementation. In the M15 lacIq host, which overproduces the lac repressor, both lac expression and Tc resistance are repressed. Control of transcription into both gene segments by the lac promoter is further shown by the inducibility of lac expression by isopropyl thiogalactoside in the M15 lacIq strain, and the concurrent loss of expression as a consequence of destruction of the lac promoter in the pBU12 plasmid (Table 1).

Interruption of the lac promoter sequence at a Hpa II site as described above abolishes the remaining transcription through the fd terminator into the Tc gene as well as α complementation; the residual Tc resistance encoded by pBU12a results from a partially restored promoter sequence at the EcoRI site, which is located within the -10 region of the lac promoter. This residual promoter activity is repressed by lac repressor [i.e., in the $lacI^q$ strain (unpublished data)].

Cloning of Promoters of Coliphage T5. A population of about 200 short fragments of T5 DNA was obtained by double digestion of the 120-kilobase phage genome with Hae III and Alu I endonucleases. These fragments were ligated with excess synthetic EcoRI linkers, and the resulting molecules were cleaved with EcoRI endonuclease and ligated into the EcoRI cleavage site of pLBU3. Transformation of E. coli C 600 and selection for both β -Gal activity and high-level Tc resistance yielded 35 colonies resistant to Tc concentrations between 8 and 70 µg/ ml. Plasmids were isolated from 13 clones resistant to 70 µg/ ml, which appeared from earlier experiments using multicopy plasmids to be the highest level detectable in E. coli K-12 (21). Digestion of the various isolates with *Eco*RI liberated between 1 and 10 DNA fragments from each constructed plasmid. Complexing of such fragment mixtures with RNA polymerase, followed by filter binding analysis, identified between one and three fragments of each plasmid that interacted efficiently with the enzyme (Fig. 3); these fragments were isolated from polyacrylamide gels and individually recloned in pLBU3. In each case, they gave rise to colonies resistant to Tc at 70 μ g/ml. Plasmids isolated from such clones carried the expected DNA fragments, as shown by EcoRI cleavage and gel electrophoresis. The promoter library obtained in this way contains about 25 different strong promoters of coliphage T5 (23).

Characterization of the pGBU207. One of the above plasmids (pGBU207, Fig. 4) that contained an *EcoRI*-generated fragment of only 212 bp was selected for further study; the efficiency RNA polymerase binding to the 212-bp fragment was compared with binding to two fragments carrying the previously characterized T5 promoters P₂₅ and P₂₆ (25). The results (Fig. 3) show that the putative promoter (P₂₀₇) present on the pGBU207 plasmid exhibits binding similar to that of P₂₅ and P₂₆, which are among the most efficient *E. coli* RNA polymerase-binding sequences identified from any source (26).

The site(s) of *in vitro* transcription occurring on the pGBU207 plasmid were mapped by analysis of RNA transcripts made on plasmid DNA fragments produced by cleavage with different restriction endonucleases (Fig. 4). Cutting of the plasmid with



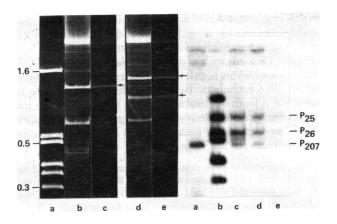


Fig. 3. Interaction of cloned coliphage T5 DNA fragments with E. coli RNA polymerase. (Left) Two examples from the library of T5 promoters. Lanes b and c are digests of pGBU34 and pGBU12 with EcoRI. In lanes c and e the fragments carrying promoters are identified (arrow) after complexing of the $Eco\mathrm{RI}$ digest with limiting concentrations of RNA polymerase followed by nitrocellulose filter binding. Such fragments were subsequently isolated and recloned. Lane a shows size standards (in kilobases). (Right) Nitrocellulose filter binding assay of a fragment mixture containing the strong T5 promoters P25 and P26 [Hpa II digest of HindIII-cleaved T5 DNA (22)] together with the 212bp fragment isolated from pGBU207. Lanes e, d, and c show that the extent of polymerase binding at limiting but increasing amounts of the enzyme is the same for all the fragments (fragment sizes 400, 310, and 212 bp, respectively). Lanes a and b show the control gel for endlabeled fragments used to prepare the mixture. Analysis of the filter binding assays was on 10% acrylamide gels.

EcoRI endonuclease yielded primarily a single RNA species about 130 nucleotides long. The size of the transcript increased to 550 nucleotides when a HindIII digest of pGBU207 was used as a template. BamHI-digested DNA yielded transcripts about 740 and 900 nucleotides long. Correlation of transcript length with the distance of the DNA cleavage site from a fixed point indicates that, in all of these instances, in vitro transcription was being initiated at the same promoter and that it progressed toward the tet region of the plasmid (Fig. 4). These experiments also show the functioning of the termination signal introduced between the lac fragment and the tet gene; under the high-salt conditions used for this in vitro transcription experiment, about 50% of the transcripts are terminated within the fd DNA fragment giving rise to the 740-nucleotide RNA, whereas readthrough transcripts extend to the BamHI cleavage site located 890 bp downstream from the promoter. The data in lanes 5-7 of Fig. 4 show that low ionic strength and replacement of GTP by ITP abolishes termination at position 740; on the other hand, addition of termination factor rho increases the termination efficiency at 50 mM KCl 20- to 50-fold.

To quantitate the intensity of transcription initiated at the P₂₀₇ promoter relative to transcription of other regions of the pGBU207 plasmid, transcripts of whole plasmid DNA were hybridized to endonuclease fragments of the plasmid (Fig. 5). In vivo and in vitro transcriptions from the P₂₀₇ promoter were 8 and 15 times, respectively, the transcription initiated at the bla promoter, which in turn was about 3 times more frequent than transcription of the tet region on the pBR322 (control) plasmid. Transcription from P₂₀₇ into the tet region was prevented by the cloned terminator with almost 100% efficiency in vitro and about 93% efficiency in vivo.

DISCUSSION

The plasmid constructs described here permit the analysis of different transcription signals within the same genetic environ-

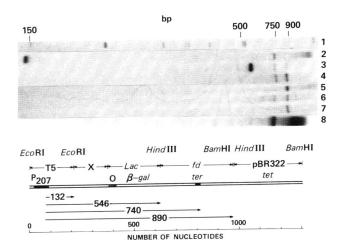


FIG. 4. Analysis of in vitro transcripts of pGBU207. (Upper) Electrophoretic separation of transcripts obtained under various conditions (lane 1, molecular weight standard; lanes 2-4, template cleaved with EcoRI, HindIII, and BamHI, respectively). The transcripts of 130, 540, and 900 nucleotides, and the 740-nucleotide RNA terminated by the phage fd signal are by far the most abundant products of the plasmids transcription. Lanes 5-7 show transcription of BamHI-digested pGBU207 at 50 mM KCl (5), at 50 mM KCl with E. coli rho protein, and at 150 mM KCl with GTP replaced by ITP (24). As shown by the disappearance of the 740-nucleotide RNA species, low salt and ITP abolish the termination. At 50 mM KCl, however, addition of rho protein restores termination to about 50% efficiency. Lane 8 shows transcripts of the covalently closed circular form of the plasmid at 150 mM KCl. (Lower) Overview of the transcriptional unit. X, 160-bp fragment integrated between the EcoRI and the lac DNA; arrows, sizes of transcripts expected from templates cleaved with various restriction endonucleases.

ment. By appropriately arranging their integration sites relative to two independent indicator functions (i.e., the α fragment of E. coli β-Gal and the tet region of pBR322), the interactions of promoters and terminators can be studied. Placement of stop codons between indicator genes uncouples their translation and allows use of their easily assayable products to estimate the relative efficiency of transcription initiation and termination signals. Because the adventitious 160-bp sequence (X in Fig. 4) preceding the coding region of the first indicator gene (the α peptide) contains stop codons in all three reading frames, any translation initiated within the cloned promoter-carrying upstream fragment is interrupted. This organization of translation signals not only permits reproducible synthesis of functional α and tet protein from translation start sites located within a polycistronic mRNA but most likely also maintains transcriptional polarity at a constant level.

Bacterial clones that express the products of the two indicator genes are identified by assaying for different levels of Tc resistance or screening for lac gene expression, thus enabling the cloning of strong terminators as well as promoters. Among the highly active terminators found in this way was the major terminator of bacteriophage fd, surprisingly integrated in a direction opposite to its natural orientation. This signal showed about a 93% efficiency of termination in vivo and nearly 100% efficiency in vitro (Fig. 5), although a major component of the natural termination signal [an oligo(dT) stretch downstream from the region of dyad symmetry of the terminator] is in a nonfunctional position when the terminator is integrated in the reverse orientation. However, the reverse orientation yields multiple stop codons between the lac-derived fragment and the termination signal (17), providing conditions for rho-dependent termination (27). Consequently, rho protein increases termination frequency in vitro 25- to 50-fold (Fig. 4, lane 6). The fact



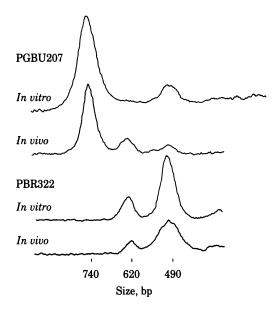


FIG. 5. Comparison of relative amounts of RNA produced under the control of P_{207} , P_{bla} , and P_{tet} in vitro and in vivo. ³²P-Labeled RNA made in vitro with pGBU207 and pBR322 as templates and in vivo in plasmid carrying C600 cells were hybridized to Southern blots to which the following DNA fragments were fixed: 740-bp EcoRI/BamHI fragment of pGBU207 containing a 600-bp region under control of P₂₀₇ (Fig. 4); 620-bp HindIII/Sal I; and 490-bp EcoRI/HincII fragment (the last two both were from pBR322 and contained a part of the tet and bla regions, respectively). The densitometric tracings of the autoradiograms show the relative amounts of ³²P-labeled RNA hybridizing to the DNA fragments indicated. The filter-bound RNA-DNA hybrids were extensively treated with RNase prior to autoradiography. The pGBU207-derived RNA hybridizing to the 620-bp fragment is the readthrough product of P_{207} into the *tet* region.

that the cloned fd sequence terminates transcription efficiently despite the loss of the oligo(dT) stretch may be attributed to the newly acquired rho function which is not found for the termination in the fd genome (22).

Our plasmid constructs have permitted cloning of promotercarrying fragments from the "early" region of bacteriophage T5, by using selection for read-through past the termination signal into the tet region—which results in colonies that are resistant to high levels of Tc. All such clones contain plasmids carrying at least one T5 DNA segment that binds efficiently to RNA polymerase (Fig. 3; ref. 23). We have not been able to stabilize such promoter-carrying fragments in any vector system lacking an efficient downstream termination signal. In some cases, plasmids expressing high-level Tc resistance had a reduced copy number. This effect was not observed when a Cm resistance gene was used in place of Tc.

The promoter-containing fragment cloned in pGBU207 binds RNA polymerase with high efficiency (Fig. 3) and initiates transcription toward the tet region, conferring a level of Tc resistance (70 μ g/ml) that is 35 times higher than is seen when transcription is initiated from the lac promoter under comparable conditions. This finding is consistent with our analysis of transcripts produced in vitro from various regions of pGBU207 plasmid; the P₂₀₇ promoter yields 15 times the RNA produced by the β -lactamase promoter, which in turn is about twice as efficient as the fully induced lac wild-type promoter (unpublished data).

The system described here allows the quantitative study in vivo of transcription initiation and termination signals having a wide range of efficiencies. Comparison of in vivo results with signal strength determined in vitro and with the DNA sequence should contribute to a better understanding of the structure-function relationship of such signals.

Finally, plasmids such as pGBU207 may be useful as cloning vehicles for achieving high levels of transcription of exogenously derived DNA fragments. Removal of the DNA sequence encoding the α fragment enables positive selection for the integration of exogenously derived DNA fragments because expression of Tc resistance by such constructs requires the coupling of the tet region to the T5 promoter.

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