

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

UMICORE AG & CO. KG,
Petitioner,

v.

BASF CORPORATION,
Patent Owner.

Inter Partes Review Case Nos. 2016-00613
U.S. Patent Nos. 9,039,982

**PATENT OWNER'S MANDATORY NOTICES
PURSUANT TO 37 C.F.R. § 42.8**

Patent Owner, BASF Corporation (“BASF”), hereby submits its mandatory notices pursuant to 37 C.F.R. § 42.8(a)(2).

A. Real Party-In-Interest (37 C.F.R. § 42.8(b)(1))

The real party-in-interest is BASF Corporation located at 100 Park Avenue, Florham Park, NJ 07932.

B. Related Matters (37 C.F.R. § 42.8(b)(2))

The following judicial and/or administrative proceedings could affect, or be affected by, a decision in this proceeding:

- Umicore AG & CO. KG v. BASF Corporation, IPR 2016-00610 (PTAB)

- Umicore AG & CO. KG v. BASF Corporation, IPR 2016-00612 (PTAB)

- Johnson Matthey Inc., and Johnson Matthey PLC v. BASF Corporation, IPR2015-01265 (PTAB)

- Johnson Matthey Inc., and Johnson Matthey PLC v. BASF Corporation, IPR2015-01266 (PTAB)

- Johnson Matthey Inc., and Johnson Matthey PLC v. BASF Corporation, IPR2015-01267 (PTAB)

C. Lead and Back-Up Counsel (37 C.F.R. § 42.8(b)(3))

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A power of attorney from Patent Owner is being submitted concurrently herewith.

D. Service Information (37 C.F.R. § 42.8(B)(4))

Please direct all correspondence regarding this proceeding via electronic service using the following e-mail addresses:

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Date: March 9, 2016

Respectfully submitted,

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CERTIFICATE OF SERVICE

The undersigned hereby certifies that on March 9, 2016, the foregoing

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PURSUANT TO 37 C.F.R. § 42.8**

was served via electronic mail, upon the following:

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