## UNITED STATES PATENT AND TRADEMARK OFFICE

### BEFORE THE PATENT TRIAL AND APPEAL BOARD

ASML Netherlands B.V., Excelitas Technologies Corp., and Qioptiq Photonics GmbH & Co. KG,

Petitioners

V.

Energetiq Technology, INC., Patent Owner.

Case IPR2016-00578

DECLARATION OF HOWARD MILCHBERG, PH.D. REGARDING U.S. PATENT NO. 7,786,455 CLAIMS 20, 21, 24



## **TABLE OF CONTENTS**

1.	BAC	KGROUND	1	
II.	LEGAL PRINCIPLES4			
III.	PERSON OF ORDINARY SKILL IN THE ART			
IV.	OVERVIEW OF THE '455 PATENT			
	A.	Summary of the Prosecution History	8	
V.	CLAIM CONSTRUCTION			
	A.	"High brightness light"	9	
	B.	"Large solid angle"	12	
VI.	THE CHALLENGED CLAIMS ARE INVALID			
	A.	Laser Sustained Plasma Light Sources Were Known Long Before the Priority Date of the '455 Patent	13	
	B.	Using a dichroic mirror to separate light of different wavelengths was well known in the art	16	
VII.	GROUNDS FOR FINDING THE CHALLENGED CLAIMS INVALID17			
	A.	Ground 1: Claim 20 is unpatentable over Gärtner in view of Ershov	17	
		(a) Gärtner and Ershov are each prior art that was not considered the Patent Office during examination	-	
		(b) Claim 20 is obvious by Gärtner in view of Ershov	20	
	B.	Ground 2: Claim 21 is unpatentable over Gärtner in view of Ershov and further in view of Ito	31	
		(a) Gärtner, Ershov and Ito are each prior art that was not consi by the Patent Office during examination		
		(b) Claim 21	32	
	C.	Ground 3: Claim 24 is unpatentable over Gärtner in view of Silverman	41	
		(a) Gärtner and Silverman are each prior art that was not consider by the Patent Office during examination		
		(b) Claim 24	41	
		(c) Reasons to combine	46	



## U.S. Patent 7,786,455 Declaration of Howard Milchberg, Ph.D.

		$\mathcal{O}_{j}$	
VIII.		PONSE TO ARGUMENTS RAISED BY PATENT OWNER IN ITS JIMINARY INJUNCTION MOTION	
	A.	Patent Owner's Arguments Regarding the Content of the Prior Art	.49
		(a) High Brightness Light	.49
	B.	Patent Owner's Arguments Regarding Objective Indicia of Non-Obviousness	.53
IX.	AVA	ILABILITY FOR CROSS-EXAMINATION	.54
X.	RIGH	IT TO SUPPLEMENT	.55
XI.	JURA	ΛT	.56



- I, Howard Milchberg, Ph.D., declare as follows:
- 1. My name is Howard Milchberg.

#### I. BACKGROUND

- 2. I am a Professor of Physics and Electrical and Computer Engineering at the University of Maryland in College Park, Maryland.
- 3. I received a B.Eng. in Engineering Physics from McMaster University in Hamilton, Ontario, Canada in 1979. I received a Ph.D. in Astrophysical Sciences from Princeton University in Princeton, New Jersey in 1985.
- 4. After receiving my doctorate, I worked at AT&T Bell Laboratories in as a postdoc from 1985 to 1987.
- 5. In 1988, I was appointed Assistant Professor in the Department of Electrical and Computer Engineering at the University of Maryland. In 1993, I became Associate Professor in this same department, and in 1995, I became Professor in this department. I am currently Professor in the Departments of Physics and Electrical and Computer Engineering.
- 6. Since joining the faculty of the University of Maryland in 1988, I have been engaged in research in: nonlinear optics; laser and optical physics; the interaction of intense electromagnetic fields with atoms, ions, gases, solids, and plasmas; the generation and application of coherent and incoherent short wavelength radiation; and laser-based acceleration of charged particles. My



U.S. Patent 7,786,455 Declaration of Howard Milchberg, Ph.D.

research has been featured in Physical Review Letters, Optics Letters, Optics

Express, Physical Review X; Optica; Physics of Plasmas; Applied Physics Letters, and the Journal of the Optical Society of America, and has received popular press coverage in the Washington Post, Le Monde, Science News, Physics Today,

Nature, Smithsonian Magazine, and Gizmodo, among others.

- 7. I taught/teach courses in electromagnetic theory, quantum mechanics, laser science, and laser-plasma interactions among others. I have directed the dissertations of 17 individuals who received the Ph.D. degree in Physics or Electrical and Computer Engineering.
- 8. I have authored or co-authored over 120 peer-reviewed academic publications in the fields of physics and applied physics.
- 9. From 1979 through 1984, I was a NSERC Postgraduate Fellow through the National Research Council Canada. From 1988 through 1993, I was a National Science Foundation Presidential Young Investigator. I am fellow of the American Physical Society and the Optical Society of America. In 2005, I received the University of Maryland Distinguished Scholar-Teacher award. In 2005, I also received the American Physical Society Award for Excellence in Plasma Physics Research.
- 10. I am a named inventor on one United States patent and have patent applications pending both in the United States and abroad.



# DOCKET

## Explore Litigation Insights



Docket Alarm provides insights to develop a more informed litigation strategy and the peace of mind of knowing you're on top of things.

## **Real-Time Litigation Alerts**



Keep your litigation team up-to-date with **real-time** alerts and advanced team management tools built for the enterprise, all while greatly reducing PACER spend.

Our comprehensive service means we can handle Federal, State, and Administrative courts across the country.

## **Advanced Docket Research**



With over 230 million records, Docket Alarm's cloud-native docket research platform finds what other services can't. Coverage includes Federal, State, plus PTAB, TTAB, ITC and NLRB decisions, all in one place.

Identify arguments that have been successful in the past with full text, pinpoint searching. Link to case law cited within any court document via Fastcase.

## **Analytics At Your Fingertips**



Learn what happened the last time a particular judge, opposing counsel or company faced cases similar to yours.

Advanced out-of-the-box PTAB and TTAB analytics are always at your fingertips.

#### API

Docket Alarm offers a powerful API (application programming interface) to developers that want to integrate case filings into their apps.

#### **LAW FIRMS**

Build custom dashboards for your attorneys and clients with live data direct from the court.

Automate many repetitive legal tasks like conflict checks, document management, and marketing.

#### **FINANCIAL INSTITUTIONS**

Litigation and bankruptcy checks for companies and debtors.

### **E-DISCOVERY AND LEGAL VENDORS**

Sync your system to PACER to automate legal marketing.

