UNITED STATES PATENT AND TRADEMARK OFFICE			UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov	
APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
90/013,017	10/07/2013	7058822	FINREXM0006	6388
115222 7590 09/08/2014 Bey & Cotropia PLLC (Finjan Inc.) 213 Bayly Court Bishmand VA 22220			EXAMINER	
			PROCTOR, JASON SCOTT	
Richmond, VA 23229			ART UNIT	PAPER NUMBER
			3992	
			MAIL DATE	DELIVERY MODE
			09/08/2014	PAPER

### Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.



Commissioner for Patents United States Patent and Trademark Office P.O. Box1450 Alexandria, VA 22313-1450 www.uspto.gov

#### DO NOT USE IN PALM PRINTER

(THIRD PARTY REQUESTER'S CORRESPONDENCE ADDRESS)

RYAN W. COBB, DLA PIPER LLP (us) 401 B STREET SUITE 1700 SAN DIEGO, CA 92101

### **EX PARTE REEXAMINATION COMMUNICATION TRANSMITTAL FORM**

REEXAMINATION CONTROL NO. 90/013,017.

PATENT NO. 7058822.

ART UNIT <u>3992</u>.

Enclosed is a copy of the latest communication from the United States Patent and Trademark Office in the above identified *ex parte* reexamination proceeding (37 CFR 1.550(f)).

Where this copy is supplied after the reply by requester, 37 CFR 1.535, or the time for filing a reply has passed, no submission on behalf of the *ex parte* reexamination requester will be acknowledged or considered (37 CFR 1.550(g)).



Find authenticated court documents without watermarks at <u>docketalarm.com</u>.

DOCKE

### **FINAL REJECTION**

This Office Action addresses claims 1-8, 16-27, and new claims 36-40 of US Patent No. 7,058,822 issued to Edery et al. ("the '822 Patent"). Claims 9-15 and 28-35 are not subject to this reexamination proceeding.

A Request for *Ex Parte* Reexamination was filed on 7 October 2013 ("the Request"). The Decision Granting *Ex Parte* Reexamination ("the Order") was entered on 6 December 2013. A Non-Final Rejection ("NF Rejection") was entered on 6 December 2013, wherein claims 1-8 and 16-27 were <u>rejected</u>.

An in-person interview was conducted on 4 February 2014. *See* Examiner Interview Summary, 28 February 2014.

Patent Owner timely filed a response to the NF Rejection on 6 March 2014 ("PO Remarks") including a Declaration by Phil Hartstein ("Hartstein Decl.") and Nenad Medvidovic ("Medvidovic Decl."), and claim amendments to present new claims 36-40. Accordingly, claims 1-8, 16-27, and 36-40 are subject to this reexamination proceeding. Patent Owner filed a Petition to accept an unintentionally delayed priority claim under 37 CFR §1.78 ("PO Petition"). This petition was granted in the Petition Decision entered on 25 July 2014.

Furthermore, in the 5 June 2014 IDS, Patent Owner has provided notice that related proceeding N.D. Cal. C 13-03133 SBA styled *Finjan Inc. vs. Fireeye, Inc.*, was STAYED pending reexamination on 30 May 2014.

Patent Owner's written response and submitted evidence has been fully considered. For the reasons set forth below,

Application/Control Number: 90/013,017 Art Unit: 3992

OCKE

Claims 1-8, 16-27, and 36-40 are rejected.

Claims 9-15 and 28-35 are not subject to this reexamination proceeding.

### I. INFORMATION DISCLOSURE STATEMENTS

Regarding IDS submissions, MPEP 2256 recites the following: "Where patents, publications, and other such items of information are submitted by a party (patent owner or requester) in compliance with the requirements of the rules, the requisite degree of consideration to be given to such information will be normally limited by the degree to which the party filing the information citation has explained the content and relevance of the information." Accordingly, the IDS submissions have been considered by the Examiner only to the extent that their relevance to the instant proceeding has been explained by the filing party and within the scope required by MPEP 2256.

In the 28 February 2014 IDS, several citations have been lined through. In pages 1, 4, and 5, the citations do not match the provided US patent numbers and have not been considered. In pages 6, 7 and 11, Patent Owner acknowledges that "no copy of the reference was provided," thus these references have not been considered. In pages 9 and 12, neither the citations nor the references bear any date, thus it is impossible to determine whether the reference are prior art relative to the claimed invention. In page 11, the copy of Sekar, *et al.* provided with the IDS is illegible, thus it cannot be properly considered. In page 13, the citation to a list of publications lacks a date, while the Ronaix publication is illegible. In page 14, the Slade reference was not found among the documents submitted with the IDS. In page 15, the provided copies of the cited references are illegible and cannot be properly considered.

Application/Control Number: 90/013,017 Art Unit: 3992

In the 29 March 2014 IDS, page 11, the copy of Garfinkel, *et al.* provided with the IDS is illegible, thus it cannot be properly considered.

In the 24 June 2014 IDS, several citations have been lined through. In page 2, the copy of "ThunderBYTE Anti-Virus Utilities User Manual" provided with the IDS is illegible, thus it cannot be properly considered.

### **II. REFERENCES CITED IN REJECTIONS**

US Patent No. 5,983,348 issued 9 November 1999 to Ji ("Ji")

US Patent No. 6,058,482 issued 2 May 2000 to Liu ("Liu")

US Patent No. 5,974,549 issued 26 October 1999 to Golan ("Golan")

### III. EFFECTIVE FILING DATE OF

### **CLAIMED SUBJECT MATTER**

Patent Owner filed the PO Petition to accept an unintentionally delayed priority claim under 37 CFR 1.78, and it was granted on 25 July 2014. As a result, the '822 Patent now claims priority (via intermediate priority documents) to US Patents 6,167,520 filed on 29 January 1997; and 6,092,194 filed on 6 November 1998.

To determine the earliest effective filing date of an invention claimed in a US application, where the priority claim involves continuations and continuations-in-part, any claims in the new application not supported by the specification and claims of the parent application have an effective filing date equal to the filing date of the new application. Any claims which are fully

## DOCKET A L A R M



# Explore Litigation Insights

Docket Alarm provides insights to develop a more informed litigation strategy and the peace of mind of knowing you're on top of things.

## **Real-Time Litigation Alerts**



Keep your litigation team up-to-date with **real-time alerts** and advanced team management tools built for the enterprise, all while greatly reducing PACER spend.

Our comprehensive service means we can handle Federal, State, and Administrative courts across the country.

## **Advanced Docket Research**



With over 230 million records, Docket Alarm's cloud-native docket research platform finds what other services can't. Coverage includes Federal, State, plus PTAB, TTAB, ITC and NLRB decisions, all in one place.

Identify arguments that have been successful in the past with full text, pinpoint searching. Link to case law cited within any court document via Fastcase.

## **Analytics At Your Fingertips**



Learn what happened the last time a particular judge, opposing counsel or company faced cases similar to yours.

Advanced out-of-the-box PTAB and TTAB analytics are always at your fingertips.

## API

Docket Alarm offers a powerful API (application programming interface) to developers that want to integrate case filings into their apps.

### LAW FIRMS

Build custom dashboards for your attorneys and clients with live data direct from the court.

Automate many repetitive legal tasks like conflict checks, document management, and marketing.

### FINANCIAL INSTITUTIONS

Litigation and bankruptcy checks for companies and debtors.

### E-DISCOVERY AND LEGAL VENDORS

Sync your system to PACER to automate legal marketing.