UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

LAM RESEARCH CORP., Petitioner,

v.

DANIEL L. FLAMM, Patent Owner.

Case IPR2016-00466 U.S. Patent No. 5,711,849

CORRECTED REQUEST FOR REFUND OF POST-INSTITUTION FEES FOR INTER PARTES REVIEW OF U.S. PATENT NO. 5,711,849

Mail Stop: PATENT BOARD Patent Trial and Appeal Board U.S. Patent & Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

DOCKET

On January 14, 2016, Petitioner Lam Research Corp. filed a Petition for *Inter Partes* Review regarding U.S. Patent No. 5,711,849 ("the '849 patent") pursuant to 35 U.S.C. § 311 and 37 C.F.R. § 42.104(b)(d) (Paper No. 1), seeking *inter partes* review of claims 1-29 of the '849 patent. On July 19, 2016, the Patent Trial and Appeal Board issued its "Decision Denying Institution of *Inter Partes* Review" (Paper No. 7) on July 19, 2016. Petitioner also filed a "Request for Rehearing" (Paper No. 8) on August 17, 2016, which was denied by the Board in their "Decision" (Paper No. 9) issued November 9, 2016.

Petitioner hereby requests a refund of \$19,600 for the post-institution fees (\$14,000 post-institution fee, \$5,600 excess claims fee) under 37 C.F.R. \$42.15(a)(2) submitted with the filing of the Petition. The amount may be deposited to PTO Deposit Account No. 09-0946.

Dated: December 8, 2016

RM

Respectfully submitted,

By: <u>/Michael Fleming/</u> Michael Fleming

Michael Fleming (Reg. No. 67,933) Samuel K. Lu (Reg. No. 40,707) IRELL & MANELLA LLP 1800 Avenue of the Stars, Suite 900 Los Angeles, California 90067-4276 Tel.: (310) 277-1010 | Fax: (310) 203-7199 LamFlammIPR@irell.com Attorneys for Petitioner, Lam Research Corp. Case No. IPR2016-00466 U.S. Patent No. 5,711,849

DOCKE

RM

CERTIFICATE OF SERVICE

I hereby certify, pursuant to 37 C.F.R. sections 42.6, that a complete copy of CORRECTED REQUEST FOR REFUND OF POST-INSTITUTION FEES FOR INTER PARTES REVIEW OF U.S. REISSUE PATENT NO. 5,711,849 is being served pursuant to the parties' service agreement, via electronic mail (e-mail) upon the following, on December 8, 2016, the same day as the filing of the aboveidentified documents in the United States Patent and Trademark Office/Patent Trial and Appeal Board:

> Christopher Frerking Registration No. 42,557 174 Rumford Street Concord, New Hampshire 03301 <u>chris@ntknet.com</u>

George Summerfield STADHEIM & GREAR, LTD. 400 N. Michigan Avenue, Suite 2200 Chicago, IL 60611 <u>Summerfield@StadheimGrear.com</u>

> /s/ Susan M. Langworthy Susan M. Langworthy