

APPLIC

CONTINUING DATA***

VERIFIED

WONE
[Signature]

FOREIGN/PCT APPLICATIONS***

VERIFIED

WONE
[Signature]

FOREIGN FILING LICENSE GRANTED 08/08/95

***** SMALL ENTITY *****

Foreign priority claimed 35 USC 119 conditions met	<input type="checkbox"/> yes <input checked="" type="checkbox"/> no	<input checked="" type="checkbox"/> yes <input type="checkbox"/> no	AS FILED →	STATE OR COUNTRY CA	SHEETS DRWGS. 13	TOTAL CLAIMS 23	INDEP. CLAIMS 4	FILING FEE RECEIVED \$501.00	ATTORNEY'S DOCKET NO. 16655-000100
Verified and Acknowledged		Examiner's Initials <i>[Signature]</i>							

ADDRESS: RICHARD T OGAWA
TOWNSEND AND TOWNSEND +~~SHURIE~~ AND CREW *LLP*
~~STEWART STREET TOWER~~
~~ONE MARKET PLAZA 20TH FLOOR~~ *TWO Embarcadero Center, 8th Fl*
SAN FRANCISCO CA ~~94105~~ *94111-3834*

TITLE: PROCESS OPTIMIZATION IN GAS PHASE DRY ETCHING

U.S. DEPT. OF COMM./ PAT. & TM—PTO-436L (Rev.12)

PARTS OF APPLICATION FILED SEPARATELY		<i>[Signature]</i> Applications Examiner	
NOTICE OF ALLOWANCE MAILED <i>4/10/97</i>		CLAIMS ALLOWED Total Claims: <i>29</i> Print Claim: <i>20</i>	
ISSUE FEE Amount Due: <i>645.00</i> Date Paid: <i>9/9/97</i>		DRAWING Sheets Drwg.: <i>813</i> Figs. Drwg.: <i>14</i> Print Fig.: <i>8</i>	
Label Area		MARTIN ANGEBRANDT PRIMARY EXAMINER GROUP 1100 Primary Examiner	
		ISSUE BATCH NUMBER <i>N95</i>	
		PREPARED FOR ISSUE	
WARNING: The information disclosed herein may be restricted. Unauthorized disclosure may be prohibited by the United States Code Title 35, Sections 122, 181 and 368. Possession outside the U.S. Patent & Trademark Office is restricted to authorized employees and contractors only.			

Form PTO-436A (Rev. 8/92)

2/4/95

1/21/97

10/22/97

2.	LTR R.E. FEE, SIGNATURE	5-27-95 /
3.	Doc & Fee	6/27/95
4.	Pre Ammt A	11/13/95
5.	Rejection	3/16/96
6.	IDS	2/23/96
7.	Ext. of time 3 mos.	9/10/96
8.	Ammt B	9/10/96
9.	2 Rej 3 mos.	1-24-97
10.	Ammt C	05/19/97
11.	Ammt C	05/19/97
12.	Notice of allowability	6/10/97
13.	8 1/11/97	9/9/97
14.	PRIORITY JAN 27 1998	
15.	Section	10/27/97
16.	Ammt D (2312)	10/27/97
17.	Notice of Entry	12-8-97
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**NOTICE TO FILE MISSING PARTS OF APPLICATION
FILING DATE GRANTED**

05/22/95

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted **ALONG WITH THE PAYMENT OF A SURCHARGE** for items 1 and 3-6 only of \$ 130 for large entities or \$ 65 for small entities who have filed a verified statement claiming such status. The surcharge is set forth in 37 CFR 1.16(e).

If all required items on this form are filed within the period set below, the total amount owed by applicant as a large entity, small entity (verified statement filed), is \$ 1002.

Applicant is given **ONE MONTH FROM THE DATE OF THIS LETTER, OR TWO MONTHS FROM THE FILING DATE** of this application, **WHICHEVER IS LATER**, within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

1. The statutory basic filing fee is: missing insufficient. Applicant as a large entity small entity, must submit \$ 730 to complete the basic filing fee.
2. Additional claim fees of \$ 142 as a large entity, small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
3. The oath or declaration:
 - is missing.
 - does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date is required.

4. The oath or declaration does not identify the application to which it applies. An oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
5. The signature(s) to the oath or declaration is/are: missing; by a person other than the inventor or a person qualified under 37 CFR 1.42, 1.43, or 1.47. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
6. The signature of the following joint inventor(s) is missing from the oath or declaration:

_____ An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.
7. The application was filed in a language other than English. Applicant must file a verified English translation of the application and a fee of \$ _____ under 37 CFR 1.17(k), unless this fee has already been paid.
8. A \$ _____ processing fee is required since your check was returned without payment. (37 CFR 1.21(m)).
9. Your filing receipt was mailed in error because your check was returned without payment.
10. The application does not comply with the Sequence Rules. See attached Notice to Comply with Sequence Rules 37 CFR 1.821-1.825.
11. Other.

Direct the response to Box Missing Part and refer any questions to the Customer Service Center at (703) 308-1202.

A copy of this notice MUST be returned with the response.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:)	
Daniel L. Flamm et al.)	Examiner: Unassigned
Serial No.: 08/433,623)	Art Unit: Unassigned
Filed: May 3, 1995)	<u>TRANSMITTAL LETTER</u>
For: PROCESS OPTIMIZATION IN GAS)	
PHASE DRY ETCHING)	

Attn: Application Processing Division
 Special Processing and Correspondence Branch
 Assistant Commissioner for Patents
 Washington, D.C. 20231

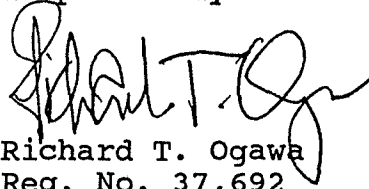
Sir:

Pursuant to the Notice to File Missing Parts of Application - Filing Date Granted dated May 3, 1995, enclosed are the following to be made of record in the above-identified application:

- 1) Executed Declaration and Power of Attorney;
- 2) Verified Statement Claiming Small Entity Status;
- 3) Copy of Notice of Missing Parts;
- 4) Assignment; and
- 4) Recordation Form PTO-1595.

Please charge the statutory basic filing fee of \$436.00 (total claims, 23 and 4 independent claims), \$40.00 for Recordation of the Assignment, and the surcharge of \$65.00 - Total \$541.00 to Deposit Account No. 20-1430 of the undersigned. The Assistant Commissioner is hereby authorized to charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment to Deposit Account

Respectfully submitted,



Richard T. Ogawa
Reg. No. 37,692

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(415) 326-2400

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