Filed on behalf of TQ Delta, LLC

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By: Peter J. McAndrews Thomas J. Wimbiscus Scott P. McBride Christopher M. Scharff McAndrews, Held & Malloy, Ltd. 500 W. Madison St., 34th Floor Chicago, IL 60661 Tel: 312-775-8000 Fax: 312-775-8100 E-mail: pmcandrews@mcandrews-ip.com

#### UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

ARRIS GROUP, INC. Petitioner

v.

TQ DELTA, LLC Patent Owner

Case No. IPR2016-00428 Patent No. 7,835,430

PATENT OWNER'S PRELIMINARY RESPONSE

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### **EXHIBIT LIST**

- Exhibit 2001: IEEE Dictionary Excerpts
- Exhibit 2002: Co-operation Agreement
- Exhibit 2003: 10/21/2015 Arris Press Release
- Exhibit 2004: Common Defense Agreement, May 20, 2015
- Exhibit 2005: 12/23/2015 Pace Press Release
- Exhibit 2006: 1/4/2016 Arris Press Release
- Exhibit 2007: Corporate Disclosure Statement, 13-cv-01835-RGA, (D. Del.), D.I.

142, Filed 02/17/16

Exhibit 2008: Second Amended Complaint for Patent Infringement, 13-cv-01835-

RGA, (D. Del.), D.I. 24, Filed and Served via ECF on 02/07/14

#### I. INTRODUCTION

Patent Owner TQ Delta, LLC ("TQ Delta" or "Patent Owner") submits this preliminary response to the Petition filed by Arris Group, Inc. ("Arris" or "Petitioner") requesting *inter partes* review of claims 1-6 of U.S. Pat. No. 7,835,430 ("the '430 patent").

As an initial matter, the Board must deny Institution of this proceeding under the 1-year bar date of 35 U.S.C. § 315(b). 2Wire, Inc., a privy of Petitioner Arris and a real party in interest, was served with a complaint alleging infringement of the '430 Patent on February 7, 2014—more than one year before the January 2, 2016 filing date of this Petition. Arris is the acquirer and successorin-interest of 2Wire. Arris should not be allowed to circumvent the statutory bar of § 315(b), especially when Arris controls the on-going district court proceedings where it can still challenge the validity of the '430 patent.

But in any event, the Petition also fails to demonstrate a reasonable likelihood that claims 1-6 of the '430 patent are unpatentable. Each of the asserted prior art references differs significantly from the inventions claimed by the '430 patent. Numerous claim limitations are missing from each of the asserted prior art references. Not surprisingly, each of Petitioner's asserted grounds for unpatentability therefore relies on obviousness. Petitioner, however, unsuccessfully attempts to cobble together various discrete features from multiple

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