

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

SAMSUNG ELECTRONICS CO., LTD.,
MICRON TECHNOLOGY, INC., and SK HYNIX, INC.,
Petitioner,

v.

ELM 3DS INNOVATIONS, LLC,
Patent Owner.

Cases¹

IPR2016-00386 (Patent 8,653,672)	IPR2016-00387 (Patent 8,841,778)
IPR2016-00388 (Patent 7,193,239)	IPR2016-00389 (Patent 8,035,233)
IPR2016-00390 (Patent 8,629,542)	IPR2016-00391 (Patent 8,796,862)
IPR2016-00393 (Patent 7,193,239)	IPR2016-00394 (Patent 8,410,617)
IPR2016-00395 (Patent 7,504,732)	

Before GLENN J. PERRY, BARBARA A. BENOIT, and FRANCES L. IPPOLITO, Administrative Patent Judges.

IPPOLITO, *Administrative Patent Judge*.

SCHEDULING ORDER

¹ This Order addresses issues that are the same in each case. Therefore, we exercise our discretion to issue one Order to be filed in each case. The parties are not authorized to use this style heading for any subsequent papers.

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A. GENERAL INSTRUCTIONS

1. *Initial Conference Call*

The parties are directed to the Office Patent Trial Practice Guide, 77 Fed. Reg. 48,756, 48,765–66 (Aug. 14, 2012) for guidance in preparing for the initial conference call, and should be prepared to discuss any proposed changes to this Scheduling Order, any motions the parties anticipate filing during the trial, and the status of any settlement discussions. **Additionally, given the number of related *inter partes* review proceedings at issue, the parties should be prepared to present a joint proposal regarding the date(s) for the oral hearing and any coordination/consolidation of the oral argument for these related proceedings.**

2. *Conference Calls with the Board*

In any request for a conference call with the Board to resolve a dispute, the requesting party shall: (a) certify that it has conferred with the other party in an effort to resolve the dispute; (b) identify with specificity the issues for which agreement has not been reached; (c) identify the precise relief to be sought; and (d) propose specific dates and times at which both parties are available for the conference call. Prior to contacting the Board, however, we encourage the parties to resolve any disputes arising in the proceeding on their own and in accordance with the precepts set forth in 37 C.F.R. § 42.1(b).

3. *Confidential Information*

A protective order does not exist in a case until one is filed in the case and is approved by the Board. If a motion to seal is filed by either party, the

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proposed protective order should be presented as an exhibit to the motion.

The parties are urged to operate under the Board's default protective order, should that become necessary. *See* Default Protective Order, Office Patent Trial Practice Guide, 77 Fed. Reg. at 48,769–71 (Appendix B). If the parties choose to propose a protective order deviating from the default protective order, they should submit the proposed protective order jointly. A marked-up comparison of the proposed and default protective orders should be presented as an additional exhibit to the motion to seal, so that the difference can be understood readily. The parties should contact the Board if they cannot agree on the terms of the proposed protective order.

Information subject to a protective order will become public if identified in a final written decision in this proceeding. A motion to expunge the information will not necessarily prevail over the public interest in maintaining a complete and understandable file history. *See* Office Patent Trial Practice Guide, 77 Fed. Reg. at 48,761.

4. Motion to Amend

Although the filing of a Motion to Amend is authorized under our Rules, Patent Owner must confer with us before filing any Motion to Amend, preferably at least ten (10) business days prior to DUE DATE 1.

5. Depositions

The parties are reminded that the Testimony Guidelines appended to the Office Patent Trial Practice Guide, 77 Fed. Reg. 48,756, 48,772 (Aug. 14, 2012) (Appendix D), apply to this proceeding. The Board may impose an appropriate sanction for failure to adhere to the Testimony Guidelines. 37 C.F.R. § 42.12. For example, reasonable expenses and

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attorneys' fees incurred by any party may be levied on a person who impedes, delays, or frustrates the fair examination of a witness.

6. *Cross-Examination*

Except as the parties might otherwise agree, for each due date—

1. Cross-examination begins after any supplemental evidence is due. 37 C.F.R. § 42.53(d)(2).

2. Cross-examination ends no later than a week before the filing date for any paper in which the cross-examination testimony is expected to be used. *Id.*

7. *Motion for Observations on Cross-Examination*

A motion for observation on cross-examination provides the parties with a mechanism to draw the Board's attention to relevant cross-examination testimony of a reply witness because no further substantive paper is permitted after the reply. *See* Office Patent Trial Practice Guide, 77 Fed. Reg. 48,756, 48,768 (Aug. 14, 2012). The observation must be a concise statement of the relevance of precisely identified testimony to a precisely identified argument or portion of an exhibit. Each observation should not exceed a single, short paragraph. The opposing party may respond to the observation. Any response must be equally concise and specific.

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B. DUE DATES

This order sets due dates for the parties to take action after institution of the proceeding. The parties may stipulate to different dates for DUE DATES 1 through 5 (earlier or later, but no later than DUE DATE 6). A notice of the stipulation, specifically identifying the changed due dates, must be promptly filed. The parties may not stipulate to an extension of DUE DATES 6 and 7.

In stipulating to different times, the parties should consider the effect of the stipulation on times to object to evidence (37 C.F.R. § 42.64(b)(1)), to supplement evidence (37 C.F.R. § 42.64(b)(2)), to conduct cross-examination (37 C.F.R. § 42.53(d)(2)), and to draft papers depending on the evidence and cross-examination testimony.

1. DUE DATE 1

The patent owner may file—

- a. A response to the petition (37 C.F.R. § 42.120), and
- b. A motion to amend the patent (37 C.F.R. § 42.121).

The patent owner must file any such response or motion to amend by DUE DATE 1. If the patent owner elects not to file anything, the patent owner must arrange a conference call with the parties and the Board. The patent owner is cautioned that any arguments for patentability not raised in the response will be deemed waived.

2. DUE DATE 2

The petitioner must file any reply to the patent owner's response and opposition to the motion to amend by DUE DATE 2.

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