Trials@uspto.gov Paper 7
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# UNITED STATES PATENT AND TRADEMARK OFFICE

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BEFORE THE PATENT TRIAL AND APPEAL BOARD

ARTHREX, INC. and SMITH & NEPHEW, INC., Petitioner,

v.

VITE TECHNOLOGIES, INC., Patent Owner.

Case IPR2016-00382 Patent 6,168,598 B1

Before WILLIAM V. SAINDON, BARRY L. GROSSMAN, and TIMOTHY J. GOODSON, *Administrative Patent Judges*.

GROSSMAN, Administrative Patent Judge.

DECISION Institution of *Inter Partes* Review 37 C.F.R. § 42.104



### I. INTRODUCTION

Petitioner filed a Petition (Paper 2, "Pet.") requesting an *inter partes* review of claims 21, 25–27, 29, 30, 38 and 39 of U.S. Patent No. 6,168,598 B1 (Ex. 1001, "the '598 patent"). Patent Owner filed a Preliminary Response. Paper 6 ("Prelim. Resp."). We have jurisdiction under 35 U.S.C. § 314, which provides that an *inter partes* review may not be instituted "unless . . . there is a reasonable likelihood that the petitioner would prevail with respect to at least 1 of the claims challenged in the petition." 35 U.S.C. § 314(a). Petitioner has shown a reasonable likelihood that it would prevail with respect to at least one of the claims, and, accordingly, we institute an *inter partes* review as set forth in the Order included with this Decision.

This is a decision to institute an *inter partes* review under 35 U.S.C. § 314. The Board has not made a final determination on the patentability of the challenged claims. Any finding or construction herein is *preliminary* and based on the record before us at this institution stage.

## A. Related Proceedings

The parties identify two district court lawsuits involving the '598 patent, or a continuation thereof: (i) *Vite Techs., LLC v. Arthrex, Inc.*, No. 1:14-cv-01506 (D. Del.); and (ii) *Vite Techs., LLC v. Smith & Nephew, Inc.*, No. 1:14-cv-01507 (D. Del.). Pet. 3; Paper 5, 1. Petitioner also identifies as related proceedings *Vite Techs., LLC v. DePuy Mitek, LLC*, No. 1:14-cv-14697 (D. Mass.); and *Vite Techs., LLC v. DePuy Synthes Sales, Inc.*, No. 1:14-cv-14699 (D. Mass.). Pet. 3; Paper 5, 1.

The parties identify *ex parte* reexamination 90/013,580 as involving the '598 patent. Pet. 4; Paper 5, 1.



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The parties also identify IPR2016-00381, challenging related U.S. Patent No. 6,648,598. Pet. 4; Paper 5, 1.

### B. The '598 Patent

The '598 patent is directed to a surgical anchor "provided with one or more anchor holes distributed around the head of the anchor." Ex. 1001, Abstract. The anchor holes are "inclined to allow attachment of one or more sutures to the anchor either before, during or after the anchor is seated in a bone." *Id.* The holes are "chamfered," and smoothed, which reduces "friction and abrasion to soft tissue or suture material." *Id.* Figures 1 and 2 of the '598 patent is reproduced below:

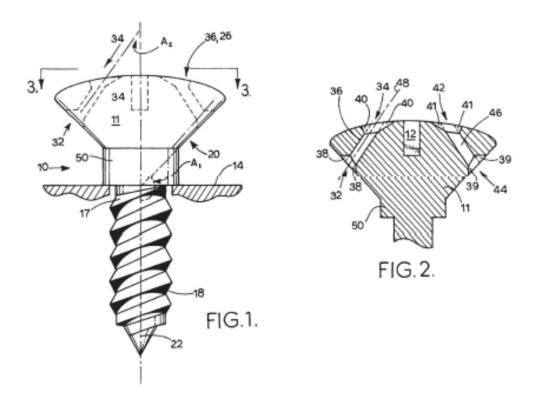


Figure 1 of the '598 patent depicts surgical anchor 10 having head 11 and securing end 18. Securing end 18 secures anchor 10 into bone. Ex. 1001, 3:64–67. As shown in Figure 1, anchor 10 is a threaded, self-



tapping screw with shoulder 50, which delineates head 11 from shank 17. *Id.* at 4:4–5. Head 11 may include a means for accommodating a drive tool, such as drive socket 12 for securing anchor 10. Lower surface 20 of head 11 may describe an angle  $A_1$  between 90° and 150° from axis 22. *Id.* at 4:22–23.

Anchor 10 includes anchor points 24, 26, 28 and 30 (*see* Figure 3). Each anchor point has an upper aperture and a lower aperture. For example, anchor hole 36 includes upper aperture 34 and lower aperture 32. Anchor holes 25, 29, 36 and 46 may be inclined at an angle A<sub>2</sub> between 0° and 75° from axis 22. *Id.* at 4:34–36. The angle of inclination, the diameter of the anchor holes, and the shape of head 11 are selected to accommodate the surgical task and a surgeon's choice of needle. The object is to secure the anchor, leaving sufficient space between lower aperture 32 and bone 14 for the surgeon to easily secure a suture through the anchor holes. *Id.* at 4:36–41. Each aperture of each anchor hole is chamfered to accommodate surgical needles and to reduce abrasion of the suture or soft tissue. *Id.* at 2:56–67. As shown in Figure 2, with respect to anchor hole 36, for example, chamfer 38 widens lower aperture 32, and chamfer 40 widens upper aperture 34. *Id.* at 4:47–51.

# C. The Challenged Claims

Among the challenged claims, claim 21 is the sole independent claim. It is reproduced below as a representative claim:



# 21. An anchor body having a long axis;

a head at a first end of the long axis to accommodate a tool for securing or driving the anchor body to bone; and

an anchor hole through the head, the anchor hole having an upper aperture and a lower aperture, one of said upper and lower apertures including a chamfer, the anchor hole having a longitudinal axis that is oriented at an angle with respect to a long axis of the body.

### D. Asserted Grounds and Prior Art

Petitioner asserts the following grounds:

Reference(s)	Basis
Zang <sup>1</sup>	§§ 102(b), § 103
Huebner <sup>2</sup>	§ 102(e)
Paulos <sup>3</sup> and Zang	§ 103
Reed <sup>4</sup> and Zang	§ 103

Petitioner also relies on the declaration testimony of F. Alan Barber, M.D. Ex. 1003.

### II. ANALYSIS

# A. Patent Owner's Preliminary Response

Patent Owner does not offer substantive arguments in its Preliminary Response regarding claim construction or the asserted grounds. Instead, Patent Owner alleges that "the issues raised [in the Petition] have been rendered moot" by pending *ex parte* reexamination No. 90/013,580 ("the

<sup>&</sup>lt;sup>4</sup> U.S. Pat. No. 5,868,749, issued Feb. 9, 1999 (Ex. 1022).



<sup>&</sup>lt;sup>1</sup> WO 95/22930, published Aug. 31, 1995 (Ex. 1004).

<sup>&</sup>lt;sup>2</sup> U.S. Pat. No. 5,868,789, issued Feb. 9, 1999 (Ex. 1005).

<sup>&</sup>lt;sup>3</sup> U.S. Pat. No. 4,988,351, issued Jan. 29, 1991 (Ex. 1021).

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