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2 UNITED STATES PATENT AND TRADEMARK OFFICE  
3 BEFORE THE PATENT TRIAL AND APPEAL BOARD  
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5 NEPTUNE GENERICS, LLC, APOTEX INC., )  
6 APOTEX CORP., TEVA PHARMACEUTICALS USA, )  
7 INC., and FRESNIUS KABI, USA, LLC, ) Case IPR2016-00237  
8 Petitioners, ) Case IPR2016-00240  
9 -v- ) Patent 7,772,209  
10 ELI LILLY & COMPANY, )  
11 Patent Owner )  
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15 VIDEOTAPED DEPOSITION OF  
16 STEVEN H. ZEISEL, M.D., Ph.D.  
17 Washington, D.C.  
18 Tuesday, November 22, 2016  
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22 Reported by:  
Gail L. Inghram Verbano,  
23 BA, CRR, CLR, RDR, CSR-CA (No. 8635)  
Job No. 115462  
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November 22, 2016

8:13 a.m.

Videotaped deposition of STEVEN H. ZEISEL, M.D., PH.D., held at the offices of WILLIAMS & CONNOLLY, LLP, 725 Twelfth Street, N.W., Washington, D.C. 20005-5901, before GAIL INGHAM VERBANO, Registered Diplomate Reporter, Certified Realtime Reporter, Certified Shorthand Reporter-CA (No. 8635) and Notary Public in and for the District of Columbia.

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ALSO PRESENT:

JORDAN MUMMERT, Legal Video Specialist

1 STEVEN H. ZEISEL, M.D., Ph.D.

2 THE VIDEOGRAPHER: This is the start  
3 of the video deposition of Dr. Steven  
4 H. Zeisel in the matter Neptune Generics,  
5 LLC, et al. versus Eli Lilly & Company.

6 This deposition is taking place at  
7 725 12th Street Northwest, Washington,  
8 D.C. on November 22nd, 2016, at  
9 approximately 8:13 a.m.

10 My name is Jordan Mummert from TSG  
11 Reporting, Inc. I am the legal video  
12 specialist. The court reporter is Gail  
13 Verbano in association with TSG Reporting.

14 Will the counsel please introduce  
15 yourselves.

16 MR. KRINSKY: David Krinsky from  
17 Williams & Connolly, LLP, on behalf of the  
18 patent owner, Eli Lilly & Company. With  
19 me are Dov Grossman and Adam Perlman, also  
20 of Williams & Connolly; and James Leeds of  
21 Eli Lilly & Co.

22 MS. SPIRES: Sarah Spires of  
23 Skiermont Derby, LLP, representing Neptune  
24 Generics.

25 MR. PARKER: Thomas Parker,

1 STEVEN H. ZEISEL, M.D., Ph.D.

2 P-A-R-K-E-R, Alston & Bird, for Mylan.

3 MS. LYDIGSEN: Laura Lydigsen of  
4 Brinks Gilson & Lione for Sandoz, Inc.

5 THE VIDEOGRAPHER: And on the phone?

6 MS. SPIRES: I believe we'll have  
7 some people on the phone at some point but  
8 we haven't been able to dial in yet.

9 THE VIDEOGRAPHER: The court  
10 reporter may swear in the witness.

11 STEVEN H. ZEISEL, M.D., PH.D.  
12 called as a witness, having been duly sworn by  
13 a Notary Public, was examined and testified as  
14 follows:

15 EXAMINATION

16 BY MS. SPIRES:

17 Q Good morning, Dr. Zeisel.

18 A Morning.

19 Q Could you start by describing your  
20 experience working with cancer drugs up to  
21 1999.

22 A Sure. I am a professor at the  
23 University of North Carolina, Chapel Hill.  
24 Prior to that I was trained in medicine, and I  
25 have a Ph.D. in neurochemistry and nutrition.

1           STEVEN H. ZEISEL, M.D., Ph.D.

2           And during my work as a professor,  
3 I've conducted research in nutrition and in  
4 nutrition as it relates to cancer; and have  
5 worked with studies on -- funded by the  
6 National Cancer Institute; and agents like  
7 genistein, a Phase 1 study, would be an  
8 example.

9           Q       Prior to this case, have you ever  
10 done in any work for Eli Lilly?

11          A       I was an expert witness in a case  
12 approximately two years ago in Indiana District  
13 Court.

14          Q       In your work as a nutritionist, do  
15 you ever work with oncologists?

16          A       I do. I've collaborated on a number  
17 of research studies, and I'm a member of the  
18 Lineberger Cancer Research Institute, an  
19 NIH-funded center focusing on cancer, the  
20 treatment and causes of cancer and its  
21 prevention.

22          Q       Do you ever work with oncologists in  
23 conjunction with patient care?

24          A       Again, I worked with oncologists in  
25 conjunction with patient care as part of

1           STEVEN H. ZEISEL, M.D., Ph.D.

2       research studies.

3           Q       Have you ever worked with  
4       oncologists in conjunction with patient care  
5       outside of the context of research studies?

6           A       Once I finished my residency in  
7       pediatrics, I've solely seen patients as part  
8       of research thereafter.

9                    Let me correct that. I was a Fellow  
10       at Children's Hospital in Boston for a couple  
11       of years where I saw patients as well. But  
12       after that period of time, since 1982 or so,  
13       I've mainly done -- only done research and seen  
14       patients in the context of them being subjects  
15       in a clinical trial.

16          Q       So you don't have experience working  
17       with oncologists in conjunction with routine  
18       patient care?

19          A       So again, patients in a clinical  
20       trial get routine patient care; but we are  
21       collecting information and data to develop new  
22       knowledge during that.

23                    So it's a research study, and I  
24       don't get paid. I don't charge patients for  
25       the care. They're covered because they're part

1 STEVEN H. ZEISEL, M.D., Ph.D.

2 of the research program.

3 So they get routine care, but  
4 they're a part of a research study. I do not  
5 see patients where I'm seeing them, billing  
6 them for my services.

7 Q As part of your work in the research  
8 studies, do you work with oncologists to  
9 develop a protocol for patient cancer  
10 treatment?

11 MR. KRINSKY: Objection to the form  
12 of the question.

13 THE WITNESS: Could you repeat that  
14 again.

15 BY MS. SPIRES:

16 Q I'll ask a better question.

17 Do you work -- do you ever work with  
18 oncologists in developing a protocol for  
19 patient care for cancer treatment?

20 A So as part of these research  
21 studies, we have to put together a protocol  
22 that we will follow with each of the patients  
23 being studied.

24 So, for instance, let's take the  
25 genistein study. We would have -- I would have

1 STEVEN H. ZEISEL, M.D., Ph.D.

2 sat down with oncologists, and we would have  
3 discussed the dosing, the timing, the  
4 measurements we would make, and we would submit  
5 a protocol to a board called the Institutional  
6 Review Board together. And that would have  
7 been approved, and that's what we would have  
8 proceeded in the study.

9 So, yes, I would have worked with  
10 them for the research study clinical care  
11 design and the exact protocol that would be  
12 followed with every subject in the study.

13 MR. KRINSKY: Could we go off the  
14 record for a moment?

15 MS. SPIRES: Sure.

16 THE VIDEOGRAPHER: Time is 8:19.  
17 We're off the record.

18 (Discussion off the record.)

19 THE VIDEOGRAPHER: Time is 8:24.  
20 We're on the record.

21 BY MS. SPIRES:

22 Q So I understand you have experience  
23 developing protocols for research studies.

24 A I do.

25 Q Do you have experience developing a



1 STEVEN H. ZEISEL, M.D., Ph.D.

2 treatment protocol for a patient outside of a  
3 research study? A cancer patient?

4 A Not since I finished my residency.

5 Q You said that was in around 1992?

6 A I finished my residency in '77.

7 Q In 1999, it was not uncommon for an  
8 oncologist to consult with a nutritionist  
9 regarding the nutrition of a cancer patient;  
10 correct?

11 A No. When a nutritional issue came  
12 up with a cancer patient, an oncologist would  
13 consult; and normally that consultation was  
14 about the patient was losing weight for a  
15 treatment, et cetera.

16 MR. PERLMAN: Could we just go off  
17 the record for a second?

18 THE WITNESS: Time is 8:25. Off the  
19 record.

20 (Discussion off the record.)

21 THE VIDEOGRAPHER: Time is 8:27.  
22 We're on the record.

23 BY MS. SPIRES:

24 Q In 1999, a typical oncologist would  
25 have had limited knowledge in the field of



1 STEVEN H. ZEISEL, M.D., Ph.D.

2 nutrition; correct?

3 A I think it depends on the training  
4 of the oncologist. In certain areas, the  
5 oncologist would have had a deeper  
6 understanding because the interventions they're  
7 performing involved some aspect of metabolism  
8 or nutrition. In other areas, they might have  
9 not been as well up-to-date.

10 But I think it's very hard to say  
11 what any individual oncologist's nutrition  
12 background might have been outside of the areas  
13 that directly impact on their oncology  
14 services.

15 Q When is the last time you treated  
16 patients with vitamin deficiencies?

17 A Again, as part of research studies,  
18 probably a year or two ago, maybe this year.  
19 Depends on the research protocol.

20 Q As part of these research studies,  
21 would you know from the outset that a patient  
22 had vitamin deficiencies?

23 A I'm sorry. Could you repeat that.

24 Q Would you know from the outset of  
25 the research protocols that the patients had

1           STEVEN H. ZEISEL, M.D., Ph.D.

2   vitamin deficiencies?

3           A        You would have had a set of  
4   laboratory studies that you would have  
5   interpreted, along with physical exam studies,  
6   that you would have drawn the conclusion that  
7   they have a health problem or an organ  
8   dysfunction problem relating to having too  
9   little of a nutrient; and you then would have  
10  treated that for some of the studies.

11                   We were specifically studying people  
12  who had a problem and asking whether giving the  
13  vitamin or nutrient back made that problem go  
14  away.

15           Q        Was it part of the research  
16  protocols to do this testing, this lab work  
17  that you mentioned prior to patients entering  
18  the clinical trials?

19           A        So that -- you're asking me was it  
20  part of the protocol to do all of the tests?

21                   It was a part of the protocol to do  
22  a set of tests to describe the patient and  
23  determine whether they were, quote, normal or  
24  not. And then you would do additional tests as  
25  indicated by the results of the first test that

1 STEVEN H. ZEISEL, M.D., Ph.D.

2 were part of the protocol.

3 All tests are part of the protocol,  
4 but there might have been some extra ones that  
5 had to follow-up tests after you got the first  
6 results back.

7 Q Are you aware of whether similar  
8 testing is done outside of the research  
9 context?

10 MR. KRINSKY: Objection; scope.

11 THE WITNESS: So your question is,  
12 is -- would a physician be doing tests  
13 like this when they're seeing their  
14 patients?

15 And some of the tests are fairly  
16 standard tests that you would do on a  
17 patient at their yearly physical, and  
18 other tests you would do because you had  
19 some specific symptom or sign in the  
20 patient that told you you should follow up  
21 with more testing to figure out what is  
22 the cause or what's going on in the  
23 patient.

24 BY MS. SPIRES:

25 Q Is testing for vitamin deficiencies

1 STEVEN H. ZEISEL, M.D., Ph.D.

2 a standard part of these tests?

3 MR. KRINSKY: Object to the form;  
4 scope.

5 THE WITNESS: So, again, would a  
6 physician test for vitamin deficiencies in  
7 every patient? No. But some of the tests  
8 that they would normally do on a yearly  
9 physical might be the first clue that  
10 there was a vitamin deficiency, and then  
11 they would follow up to look at that.

12 BY MS. SPIRES:

13 Q And would a physician, as of 1999,  
14 be conducting these tests?

15 A Yes, a physician in 1999 would be  
16 doing laboratory tests on patients and  
17 following up with more tests if the first test  
18 gave them indications to do so.

19 Q You state in your declaration that  
20 antifolates interfere with the natural action  
21 of folates; correct?

22 A Yes.

23 Q How do antifolates interfere with  
24 the natural action of folates?

25 A So there is a variety of drugs

1           STEVEN H. ZEISEL, M.D., Ph.D.

2   called antifolates. Most of them act by  
3   competing with folate, reduced folate, for  
4   folates in general, for binding to some  
5   enzyme -- an enzyme being the protein that  
6   helps folate progress through the steps in  
7   metabolism that have to occur to make DNA or to  
8   be used in other ways.

9           And the different antifolates bind  
10   differently to different enzymes depending on  
11   their structure and properties. But in  
12   general, they are called an antifolate because  
13   they compete with folate, at least one of these  
14   important enzymes that normally folate would be  
15   published through metabolism by.

16          Q     I think you mentioned that  
17   antifolates compete with reduced folate for  
18   binding to enzymes; is that correct?

19          A     I'm sorry.

20          Q     I think you mentioned -- trying to  
21   go without realtime here -- that -- I think you  
22   mentioned that antifolates compete with reduced  
23   folate for binding to enzymes; is that correct?

24          A     I should correct that. They compete  
25   with folate because -- dihydrofolate reductase,

1 STEVEN H. ZEISEL, M.D., Ph.D.

2 they would be competing with a nonreduced  
3 folate, a folic acid for binding to the  
4 dihydrofolate reductase.

5 So be more accurate, they compete  
6 with folate at some step for binding to an  
7 enzyme, whether it's reduced or not reduced.

8 Q So if the antifolate is competing  
9 with the folate for DHFR, then the antifolate  
10 is competing with nonreduced folate; correct?

11 A I'm sorry. With --

12 Q With nonreduced folate.

13 A So when folic acid comes into it,  
14 it's not in a form that could be used in folate  
15 metabolism and has to be converted. And so at  
16 that point, a drug like methotrexate is binding  
17 and preventing the folic acid completely or  
18 partially from being converted to the form,  
19 reduced form that it can be used in.

20 Q How does methotrexate prevent the  
21 folate from binding -- strike that.

22 How does methotrexate prevent the  
23 folate completely or partially from being  
24 converted to the reduced form that it can be  
25 used it?



1 STEVEN H. ZEISEL, M.D., Ph.D.

2 A It inhibits the activity of the  
3 enzyme that is converting folic acid to  
4 tetrahydrofolate.

5 Q And that enzyme is DHFR?

6 A Yeah.

7 Q Are there any other enzymes that  
8 DHFR competes with folates for binding?

9 MR. KRINSKY: Objection to the form  
10 of the question; scope.

11 THE WITNESS: Your question didn't  
12 make sense to me. That -- are there any  
13 other enzymes that dihydrofolate binds to?

14 BY MS. SPIRES:

15 Q Strike that.

16 Are there any other enzymes that  
17 methotrexate competes with folates for binding  
18 to?

19 MR. KRINSKY: Object to the form;  
20 scope.

21 THE WITNESS: I am not sure whether  
22 methotrexate binds to other folate enzymes  
23 as well as it binds to dihydrofolate  
24 reductase. So I just don't know that  
25 answer.

1 STEVEN H. ZEISEL, M.D., Ph.D.

2 BY MS. SPIRES:

3 Q When antifolates are competing with  
4 folate for binding to an enzyme, do the  
5 antifolates bind more strongly to the enzyme  
6 than does folic acid?

7 A I'm trying to think if there are any  
8 exceptions. But usually there is a binding  
9 constant for folate and the antifolate. And  
10 the antifolate either has to be able to knock  
11 folate off, and so that it -- often they have a  
12 better binding affinity. But if you had enough  
13 of an antifolate, someone with a lower binding  
14 affinity still would be an effective competitor  
15 to some extent.

16 So that it depends on the ability of  
17 the structure, the antifolate, to bind to the  
18 binding site compared to the -- the endogenous  
19 folic acid or folate, reduced folate that's  
20 binding to it.

21 Q Do you happen to know the binding --  
22 the binding constant for folic acid to DHFR?

23 A I don't.

24 Q Do you happen to know the binding  
25 constant for methotrexate to DHFR?



1 STEVEN H. ZEISEL, M.D., Ph.D.

2 A I don't.

3 Q Do you happen to know the binding  
4 content for pemetrexed to DHFR?

5 A I don't recall the numbers.

6 Q Those are the type of things that  
7 you could look up somewhere?

8 A Yes. I mean, they're available.  
9 Again, I would defer to an oncologist who is  
10 working about which antifolate to use to  
11 inhibit which set of enzymes; and I just don't  
12 memorize those constants.

13 Q So you're not an expert in which  
14 antifolates inhibit which enzymes?

15 MR. KRINSKY: Objection to the form;  
16 misstates.

17 THE WITNESS: I would not consider  
18 myself an expert. I'm aware of which  
19 enzymes pemetrexed inhibits; and  
20 methotrexate is a very common one, and I  
21 know what it inhibits.

22 BY MS. SPIRES:

23 Q And how are you aware of which  
24 enzymes pemetrexed and methotrexate inhibit?

25 A In articles in the public literature

1 STEVEN H. ZEISEL, M.D., Ph.D.

2 in 1999, they reported what enzymes pemetrexed  
3 had efficacy for.

4 Q And which enzymes does pemetrexed  
5 have efficacy for?

6 A Well, it's primarily a TS,  
7 thymidylate synthase, inhibitor. It also has  
8 some activity against DHFR. It has some  
9 activity against GARFT and AICARFT.

10 Q Why do you call pemetrexed primarily  
11 a TS inhibitor?

12 A That's what the literature said,  
13 that its primary activity was inhibiting TS.

14 Q Do you have a view as to what the  
15 literature meant when it said that?

16 A My -- my view would that it -- TS  
17 was most effectively inhibited by pemetrexed.

18 Q If I told you that the binding  
19 constant for DHFR by pemetrexed pentaglutamate  
20 is 7.2 nanomolar, does that sound right?

21 MR. KRINSKY: Object to the form;  
22 foundation, asked and answered.

23 THE WITNESS: As I said, I don't  
24 recall the binding affinity.

25 BY MS. SPIRES:

1 STEVEN H. ZEISEL, M.D., Ph.D.

2 Q Let's assume that the binding  
3 constant of DHFR by pemetrexed pentaglutamate  
4 is 7.2 nanomolar versus 1.3 nanomolar for TS.

5 What is the difference in inhibition  
6 level for these two enzymes?

7 MR. KRINSKY: Object to the form;  
8 foundation, scope.

9 THE WITNESS: The two numbers again  
10 were 7.2 and 1 point something?

11 BY MS. SPIRES:

12 Q 1.3.

13 A So that means that 1.3 micromolar,  
14 half of the activity was inhibited by one, and  
15 it took 7.2 micromolar to get to the same  
16 efficacy -- to get to the same inhibition.

17 Q In terms of enzyme kinetics, 7.2 and  
18 1.3 are fairly equivalent; correct?

19 MR. KRINSKY: Object to the form;  
20 scope.

21 THE WITNESS: It all depends on what  
22 the concentrations are in the actual  
23 situation. To get 7 times more  
24 concentration could be a huge difference.

25 BY MS. SPIRES:

1 STEVEN H. ZEISEL, M.D., Ph.D.

2 Q And to get 7 times more  
3 concentration could also be very little  
4 difference; correct?

5 A I have no way to assess that. I  
6 mean, seven times more concentration is a big  
7 change in concentration, normally, in a cell.  
8 So I just -- without details of what you're --  
9 how you would conduct the experiment or  
10 whatever -- you treat the patient, it's hard to  
11 understand. But 7 times is a big difference.

12 Q What concentration -- strike that.  
13 Are you aware of the strength of  
14 pemetrexed's inhibition of DHFR versus the  
15 strength of methotrexate's inhibition of DHFR?

16 A Again, I would defer to an  
17 oncologist around use of the drug. But the  
18 literature that I've read about the drug says  
19 that it is less effective than methotrexate at  
20 inhibiting DHFR.

21 I don't have -- haven't looked more  
22 deeply into that literature because it wasn't  
23 part of what I was asked to consider.

24 Q You agree that by June of 1999, a  
25 POSA would have known that elevated

1 STEVEN H. ZEISEL, M.D., Ph.D.

2 pretreatment plasma homocysteine levels were a  
3 predictor for pemetrexed toxicities; correct?

4 A Could I see my declaration just so I  
5 can be precise of what I said in the  
6 declaration?

7 Q Sure.

8 I'll hand you what's been previously  
9 marked as Exhibit 2118. And I'm handing you a  
10 declaration from the 237 proceeding, but I  
11 understand that you submitted the same  
12 declaration in both proceedings. Is that  
13 correct?

14 A I believe so.

15 And could you repeat the question  
16 again.

17 Q Sure. You would agree that by June  
18 of 1999, a POSA would have known that elevated  
19 pretreatment plasma homocysteine levels were a  
20 predictor for pemetrexed toxicities; correct?

21 A A POSA would have known that there  
22 were abstracts that had been published that  
23 reported that homocysteine above the level of  
24 10 micromolar was predictive of toxicity when  
25 pemetrexed was administered.

1 STEVEN H. ZEISEL, M.D., Ph.D.

2 Q And you specify abstracts. Do you  
3 view abstracts differently than other  
4 publications?

5 A I think abstracts indicate a  
6 suggestion of the direction of a scientific  
7 result and that they're usually followed up by  
8 more detailed reports of the data. And so  
9 they're useful to a POSA for understanding the  
10 possible direction of an effect.

11 Q And you specified abstracts, I think  
12 you said, that reported homocysteine above the  
13 level of 10 micromolar was predictive of  
14 toxicity when pemetrexed is administered; is  
15 that correct?

16 A Let me just find what I said in my  
17 thing, but I believe that's correct. Let me  
18 just look a minute.

19 Yes. In the Niyakiza abstract, it  
20 was at or about 10 micromolar.

21 Q And I believe you've testified that  
22 a POSA would not consider 10 micromolar  
23 homocysteine levels to be elevated; is that  
24 correct?

25 A Yes. In 1999, the normal range



1           STEVEN H. ZEISEL, M.D., Ph.D.

2       reported by laboratories would have been up to  
3       13 to 15 to 16 micromolar as the upper end of  
4       the normal distribution of homocysteine. And  
5       many research studies would have also reported  
6       cutoff levels of somewhere that high before  
7       they would have considered that they were  
8       dealing with somebody with abnormally high  
9       homocysteine.

10           Q       And you said that 13 to 16  
11       micromolar were the upper end of normal;  
12       correct?

13           A       In my declaration, I say 13 to 15,  
14       but EP-005 defines a cutoff level of 16.3. And  
15       in 1999 a clinical laboratory where a physician  
16       would have sent a homocysteine off to to be run  
17       routinely would have reported upper end of  
18       normal somewhere in the 13 to 15. But that --  
19       and it varied by laboratory and the method  
20       used.

21                    But those were still within normal  
22       range up to those levels. So 10 definitely was  
23       well within normal range for homocysteine.

24           Q       So is it your view that, because a  
25       homocysteine level of, say, 10 or 11 micromolar

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2 would not be viewed as an abnormal homocysteine  
3 level, that a POSA would ignore the correlation  
4 with pemetrexed toxicity at that level?

5 A So you're asking whether a POSA,  
6 because the normal range extended up to 15,  
7 would have said that a finding that patients  
8 with more than 10 -- or the range more than 10  
9 would have had some increased risk of  
10 pemetrexed.

11 And the answer is that a POSA would  
12 have regarded that association as suggesting  
13 that there was an effect; and that the way  
14 these types of studies are done is you segment  
15 your population into pieces, quartiles and  
16 quintiles, and then you say, At what cut can I  
17 show that the group seems different  
18 statistically from the lower group?

19 And a POSA would have regarded that  
20 as indicative that there might be something  
21 there, certainly, worth consideration.

22 Q You said that the numbers at 10 were  
23 suggesting that there was an effect. What did  
24 you mean by that?

25 A So in the Niyakiza abstracts, they



1           STEVEN H. ZEISEL, M.D., Ph.D.

2       found statistically that patients who grouped  
3       in the group that had homocysteine  
4       concentrations greater than a cutoff level of  
5       10 micromolar had an association with an  
6       increased toxicity from pemetrexed.

7           So that suggests that there might be  
8       something about having a higher level than 10  
9       that was related to why people were getting  
10       toxicity from pemetrexed, and that's what a  
11       POSA would have taken out of that set of  
12       studies.

13           Q       So a POSA would not have simply  
14       ignored a homocysteine level from 10 to, say,  
15       13, so within the normal range?

16           MR. KRINSKY:  Objection; asked and  
17       answered.

18           THE WITNESS:  So, again, in the  
19       realm of thinking about hypotheses for how  
20       you might predict who might have toxicity  
21       from pemetrexed, this is useful.

22           In the realm of a physician in their  
23       clinical office seeing a patient, getting  
24       a laboratory value of 10 back, they would  
25       not have been compelled to do something

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2 for that patient because they would have  
3 regarded that as a normal level.

4 So a physician thinking about how to  
5 structure pemetrexed therapy, and  
6 whatever, it would have been suggestive of  
7 something, but it would not have compelled  
8 treatment for lowering homocysteine. It  
9 just suggests there's some relationship.

10 BY MS. SPIRES:

11 Q Would a physician have taken any  
12 action based on receiving a lab result of a  
13 homocysteine level of 10 in a patient about to  
14 receive pemetrexed?

15 A In 1999, I don't think -- I don't  
16 think they would have.

17 But again, I would have to defer to  
18 an oncologist, because I'm dealing with  
19 nutrition. And if you're talking about what an  
20 oncologist would do, I'd defer to Dr. Chabner  
21 as oncologist for what they we would do.

22 As a nutrition person, I would have  
23 said this is not a level of homocysteine that I  
24 feel I'm compelled to treat.

25 Q As a physician, would you feel

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2 compelled to address the -- strike that.

3 Do you agree that based on the  
4 Niyakiza reference, homocysteine is a biomarker  
5 for increased pemetrexed toxicity?

6 A The Niyakiza abstract suggests that  
7 homocysteine could be associated --  
8 homocysteines above 10 could be associated with  
9 greater risk for pemetrexed toxicity.

10 Q So is that a yes, you agree that  
11 Niyakiza would view increased homocysteine as a  
12 biomarker for increased pemetrexed toxicity?

13 MR. KRINSKY: Object to form; asked  
14 and answered.

15 THE WITNESS: Again, I believe I  
16 just gave the answer, which was that what  
17 Niyakiza suggests is that there might be  
18 an association with homocysteines above 10  
19 micromolar and increased risk for toxicity  
20 from pemetrexed. And a biomarker is  
21 something you can measure that might give  
22 you an idea as to whether this patient is  
23 at more or less risk of toxicity from  
24 pemetrexed.

25 BY MS. SPIRES:

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2 Q You agree that homocysteine levels  
3 can correlate with low levels of folate;  
4 correct? Strike that.

5 You agree that increased  
6 homocysteine level can correlate with low  
7 levels of folate; correct?

8 A I agree that there are many causes  
9 of increased homocysteine levels, one of which,  
10 among a number of them, is having inadequate  
11 folate, methylfolate, to methylate homocysteine  
12 and convert it to methionine. But there are  
13 many other reasons for it.

14 Q What are the other reasons,  
15 causes -- what are the other causes of  
16 increased homocysteine levels?

17 A I present that in my declaration, so  
18 let me just review it so I can present it in a  
19 similar way.

20 (Witness reviews document.)

21 Okay. So let's start -- you could  
22 be producing too much homocysteine. So if an  
23 individual took a high methionine load in our  
24 diet, they would produce a lot of homocysteine.  
25 That would cause higher homocysteines.

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2   Balancing it would be the ability to remove  
3   homocysteine.

4           So homocysteine can be removed by  
5   methylating it. That requires folate,  
6   methylfolate and B12 to occur.

7           It can also be methylated from a  
8   parallel pathway called BHMT; and that pathway  
9   uses betaine, B-E-T-A-I-N-E, as the methyl  
10   donor to convert homocysteine to methionine.

11          In addition, homocysteine can be  
12   converted in a pathway to cystathionine, and  
13   taurine and cysteine down that pathway; and  
14   that pathway requires an enzyme, CBS, and a  
15   vitamin, vitamin B6.

16          So on balance, whether you have high  
17   homocysteine is determined by do you form it  
18   too much; do you remove it too slowly; and  
19   which of the removal pathways aren't working  
20   that cause you to have a high homocysteine.

21          So once you have that balance, you  
22   can then figure out why somebody has high  
23   homocysteine.

24          Q       And in 1999 a POSA would have known  
25   all of these ways in which homocysteine can be

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2 elevated?

3 A Yes, this was common knowledge,  
4 basic biochemistry.

5 Q Would a POSA have known how to treat  
6 each of these methods to lower homocysteine?

7 MR. KRINSKY: Object to the form.

8 THE WITNESS: You're asking me would  
9 a POSA have understood what vitamins and  
10 nutrients were required for each of the  
11 steps? Yes. And could -- would -- they  
12 were -- people with very high  
13 homocysteines, people were treating them  
14 with various concentration of nutrients.

15 BY MS. SPIRES:

16 Q What were the combination of  
17 nutrients that people with high homocysteine  
18 were treated with?

19 A Folate would have been a treatment  
20 that they used. Betaine would have been a  
21 treatment that they might have tried. B6, B12.

22 Q Anything else?

23 A Not that I recall.

24 Q Would a POSA, in 1999 have selected  
25 only one of folate, betaine, B6 or B12 to treat



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2 a patient with high homocysteine?

3 MR. KRINSKY: Object to the form;  
4 incomplete hypothetical.

5 THE WITNESS: So I'm not certain  
6 what- an optimal combination was. They  
7 would likely have done some laboratory  
8 tests to try to determine which of the  
9 possible causes of high homocysteine were  
10 responsible and then focus their treatment  
11 on treating the cause of the high  
12 homocysteine.

13 They might have -- and, again, they  
14 might have found any of those causes  
15 contributing or combinations of those  
16 causes contributing.

17 BY MS. SPIRES:

18 Q For instance, it was common in 1999  
19 to treat high homocysteine with a combination  
20 of folate, B6 and B12; correct?

21 A Very high folate, yes. You know,  
22 30s and 15s would have been treatable at that  
23 point.

24 Q 30s and 15?

25 A I mean 30 micromolar folate -- I

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2 mean homocysteine might have prompted a  
3 physician to undergo a treatment.

4 Q Are you aware of what doses of  
5 folate were used to treat patients with high  
6 homocysteine around 1999?

7 MR. KRINSKY: Objection; scope.

8 THE WITNESS: I think doses of -- I  
9 don't know the exact doses of folate that  
10 people would have been treating with.  
11 There were a number of suggestions,  
12 from -- you know, at various levels of  
13 folate to use for that.

14 BY MS. SPIRES:

15 Q Do you recall any of those levels of  
16 folate that were suggested?

17 A Let me see if I talked about it in  
18 my declaration. I don't remember discussing  
19 that.

20 I don't recall the exact levels that  
21 were recommended, and I don't think I discussed  
22 it in my declaration.

23 Q Do you recall the doses of vitamin  
24 B12 that were typically used to treat patients  
25 with high homocysteine?



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2 A Again, I don't recall the exact  
3 numbers that were being used at that time.

4 Q Do you know about what portion of  
5 the patients with high homocysteine levels have  
6 a folate deficiency?

7 MR. KRINSKY: Objection; scope,  
8 incomplete hypothetical.

9 THE WITNESS: So it's a complicated  
10 question and difficult to answer because  
11 in 1998, the US food supply was fortified  
12 with folate, and the number of people with  
13 higher homocysteines due to low folate  
14 decreased. And so by 1999, I am not sure  
15 what likely portion had low folate.

16 But some portion of the people in  
17 the United States did have higher  
18 homocysteines due to low folate.

19 BY MS. SPIRES:

20 Q It wasn't rare, in 1999, for high  
21 homocysteine to be caused by low folate?

22 A It was not rare.

23 Q Do you know what portion of high  
24 homocysteine levels were caused by deficiencies  
25 in B12 in 1999?

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2 A I don't.

3 Q Do you know whether deficiencies --  
4 it was rare for deficiencies in B12 to cause  
5 high homocysteine levels in 1999?

6 MR. KRINSKY: Objection; scope.

7 THE WITNESS: Again, I just don't  
8 know what portion of the population would  
9 have had high homocysteine due to  
10 inadequate levels of B12.

11 BY MS. SPIRES:

12 Q Are you aware of what portion of the  
13 population would have had high levels of  
14 homocysteine due to a betaine deficiency in  
15 1999?

16 A No. Again, at that time, we didn't  
17 have good information on the betaine and  
18 choline content of foods, and we would not have  
19 been able to figure out that until we could  
20 calculate what dietary intake was and what pool  
21 sizes were. So we didn't know, and I don't  
22 think -- I don't know it for sure.

23 Q So in 1999, you're saying that it  
24 was not established yet what a baseline level  
25 of betaine was?

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2 A I don't understand the  
3 interpretation of "baseline." But if you meant  
4 what a -- how many -- what portion of the  
5 population had low dietary betaine and its  
6 precursor, intake, we didn't know that until  
7 more recently.

8 We know now that a large portion of  
9 the population has inadequate intake.

10 Q Would a POSA in 1999 have believed  
11 it was possible that deficiencies in a  
12 patient's pretreatment nutritional status could  
13 have been the cause of increased pemetrexed  
14 toxicity seen in the high homocysteine levels  
15 in Niyakiza?

16 MR. KRINSKY: Object to the form;  
17 asked and answered.

18 THE WITNESS: So based on the  
19 Niyakiza abstracts, people would have -- a  
20 POSA would have known that having a  
21 homocysteine greater than 10 suggested  
22 that the patient could be at greater risk  
23 of developing pemetrexed toxicity.

24 A POSA wouldn't have known whether  
25 the high homocysteine was due to

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2 nutritional problems or due to other  
3 problems, such as lower activity of  
4 cystathionine betasynthase, CBS. So I  
5 don't know that they could have concluded  
6 that nutritional status was the sole  
7 reason for being more sensitive to  
8 pemetrexed toxicity.

9 BY MS. SPIRES:

10 Q But a POSA would have known that it  
11 was possible that nutritional status was the  
12 reason for being sensitive to pemetrexed  
13 toxicity; correct?

14 A A POSA would have known that the  
15 nutrients important for maintaining  
16 homocysteine concentrations at less than 10  
17 micromolar would have been important in the  
18 nutrition of those people. I don't know about  
19 the other elements of nutritional status.

20 Q So when you say a POSA would have  
21 known that the nutrients important for  
22 maintaining homocysteine at less than 10  
23 micromolar would have been important in the  
24 nutrition of those people, what do you mean by  
25 that?

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2 A So I think it was "could have been,"  
3 because we agreed that it wasn't the only  
4 reason and that it might be a contributor. And  
5 that it is -- a POSA would have known that it's  
6 possible that low folate status, low B12  
7 status, low betaine/choline status, low B6  
8 status could have been contributing to higher  
9 homocysteines and that these people had and  
10 could have been but might not have been the  
11 reason for the high homocysteines they had and  
12 their risk for pemetrexed toxicity.

13 Q In your declaration, you cited a  
14 reference called Vidal; correct?

15 A Yes, I did.

16 Q What is Vidal?

17 A Vidal is a French publication  
18 similar to the Physician's Desk Reference used  
19 in the United States. Includes the package  
20 labels and warnings associated with  
21 prescription medications.

22 Q And when you cited portions of  
23 Vidal, which you say is similar to the PDR, did  
24 you look at the PDR to see if it contained  
25 equivalent disclosures?

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2 A I did.

3 Q And what did you find?

4 A The PDR didn't state that B12 was  
5 contraindicated because of its effect on  
6 rapidly dividing cells.

7 Q I'm sorry. I didn't catch the last  
8 part.

9 A What I said is that Vidal says that  
10 B12, vitamin B12 is contraindicated in patients  
11 because B12 can give rise to exacerbation of  
12 cancer progress, and the PDR did not include  
13 that line.

14 Q Did the PDR say anything about  
15 vitamin B12 being contraindicated in cancer  
16 patients?

17 A No.

18 Q Did you look at the PDR to see  
19 whether it said anything about folic acid being  
20 contraindicated in pemetrexed patients?

21 A I didn't look.

22 Q Do you consider the PDR to be an  
23 important reference?

24 A It's one of many medical references  
25 that a POSA would be aware of.



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2 Q Would a POSA typically rely on the  
3 PDR?

4 A A PDR or the Vidal are listing  
5 pharmaceutical company package inserts approved  
6 by the appropriate regulatory authority. So  
7 people would take it as one of the references  
8 that tells you about a medication, and it would  
9 have been considered in forming an opinion, as  
10 one of many sources.

11 Q If a POSA ran across, say, a recent  
12 peer-reviewed publication that contradicted the  
13 PDR, would the POSA still heed the PDR's  
14 warning?

15 MR. KRINSKY: Object to the form;  
16 scope.

17 THE WITNESS: So your question is if  
18 there were other evidence from  
19 publications, would the POSA balance the  
20 two evidence and try to draw a conclusion  
21 based on their balancing the two? Yes. I  
22 mean, that's the job -- that's what a POSA  
23 does, is try to take all of the available  
24 information, weigh once against the other,  
25 and come to a conclusion.



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2 So it would depend what is in that  
3 published reference and, you know, its  
4 quality and how strong it was compared to  
5 the PDR.

6 MR. KRINSKY: Counsel, I think -- I  
7 know we had some fits and starts at the  
8 beginning. I think we've gone about an  
9 hour on the record. Is this a good time  
10 for a break?

11 MS. SPIRES: Sure.

12 THE VIDEOGRAPHER: The time is 9:18.  
13 We're off the record.

14 (Recess taken from 9:18 a.m. to  
15 9:36 a.m.)

16 THE VIDEOGRAPHER: Time is 9:36.  
17 We're on the record.

18 BY MS. SPIRES:

19 Q Dr. Zeisel, going back to one thing  
20 you mentioned earlier, you said that the  
21 percentage of the population that is folate  
22 deficient has decreased since the government  
23 started supplementing the food supply with  
24 folic acid; is that correct?

25 A I believe I said the people with

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2 homocysteine that is higher would be responsive  
3 to adding folate to the diet has dropped  
4 because there's more folate being taken in in  
5 the diet right now. I'll stop there.

6 Q Do you know the portion of the  
7 population that would be responsive to adding  
8 folate prior to the addition of folic acid in  
9 the food supply in 1998?

10 MR. KRINSKY: Object to the form;  
11 scope.

12 THE WITNESS: So the question was do  
13 I know how many people would have had  
14 higher homocysteine that would respond to  
15 getting more folic acid or folate in the  
16 diet.

17 I don't know the exact proportion,  
18 but it would have been a proportion of the  
19 population that was reasonable,  
20 significant. I don't know how big it  
21 would have been.

22 BY MS. SPIRES:

23 Q You say it would have been a  
24 significant portion of the population.

25 A It would have been a measurable

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2 portion, yes.

3 Q What do you consider to be a  
4 measurable portion of the population?

5 A I don't know the exact amount, but  
6 it might have been one of the more common  
7 causes of having an elevated homocysteine.

8 Q So in 1999, a POSA would have been  
9 aware of folate deficiency as a cause of high  
10 homocysteine; correct?

11 A Yes.

12 Q In 1999 would a POSA believe that  
13 nutritional deficiencies secondary to cancer  
14 would be common?

15 MR. KRINSKY: Object to the form of  
16 the question; scope.

17 THE WITNESS: So there are many  
18 kinds of cancers. Some of those cancers  
19 in which the treatment makes people unable  
20 to eat, a POSA would have realized that  
21 they developed nutritional deficiencies.  
22 And some of those cancers where eating  
23 properly was difficult, as part of the  
24 cancer itself, a POSA would have realized  
25 that there was potential for developing --

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2 for malnutrition, yes.

3 BY MS. SPIRES:

4 Q And sometimes the cancer treatment  
5 would cause eating problems or nausea that  
6 would also cause nutritional deficiencies;  
7 correct?

8 A Yes.

9 Q And a POSA in 1999 would have been  
10 aware of this?

11 A Yes, a POSA would have been aware of  
12 that.

13 Q Cisplatin is one of those cancer  
14 treatments that causes nausea and sometimes  
15 nutritional deficiencies; correct?

16 MR. KRINSKY: Object to the form;  
17 scope.

18 THE WITNESS: So I defer to an  
19 oncologist for the side effects of  
20 Cisplatin because it's not something that  
21 I was asked to think about.

22 BY MS. SPIRES:

23 Q Would a POSA in 1999 often direct  
24 cancer patients to take vitamin supplements to  
25 address the nutritional deficiencies we've just

1 STEVEN H. ZEISEL, M.D., Ph.D.

2 talked about?

3 MR. KRINSKY: Object to the form;  
4 scope, incomplete hypothetical.

5 THE WITNESS: Could you repeat the  
6 question, please. I lost it.

7 BY MS. SPIRES:

8 Q Would a POSA in 1999 direct cancer  
9 patients to take vitamin supplements to address  
10 the nutritional deficiencies secondary to  
11 cancer or cancer treatment?

12 MR. KRINSKY: Object to the form;  
13 scope and incomplete hypothetical.

14 THE WITNESS: So it would very much  
15 depend on the cancer patient, and so it's  
16 difficult to give you one answer for what  
17 a POSA would have recommended to a cancer  
18 patient, and the -- I'll stop there.

19 BY MS. SPIRES:

20 Q Are there cancer patients for which  
21 a POSA would have recommended that patients  
22 take vitamin supplements to address nutritional  
23 deficiencies?

24 MR. KRINSKY: Objection; scope.

25 THE WITNESS: So in general, you are

1           STEVEN H. ZEISEL, M.D., Ph.D.

2           facing a conundrum with the cancer  
3           patient.

4           You want them to eat well enough  
5           that they maintain their immune function  
6           and they don't lose muscle mass; and at  
7           the same time, you don't want to feed the  
8           cancer so that it grows faster.

9           And so an oncologist has to balance  
10          those two countervailing problems and try  
11          to come up at the optimum how much -- so  
12          again, it would have been recommended a  
13          patient eat well to maintain their health  
14          and their immune function and their body  
15          mass but not so well that they help the  
16          tumor to grow.

17          And so an oncologist would have  
18          modulated and a nutritionist would have  
19          modulated their recommendations depending  
20          on the exact circumstances of the patient.

21 BY MS. SPIRES:

22          Q        But there would be instances then,  
23          depending on the circumstances of the patient,  
24          where an oncologist would recommend vitamin  
25          supplementation to address nutritional



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2 deficiencies?

3 A Would have recommended that the  
4 patient eat a diet that contains the  
5 recommended intake of nutrients and not  
6 supplementing above the recommended intake.

7 Q When you say that "would have  
8 recommended the patient eat a diet that  
9 contains the recommended intake of nutrients,"  
10 could that also be through vitamin  
11 supplementation?

12 A So "vitamin supplementation" is a  
13 very broad term. If you mean a multivitamin,  
14 like a one-a-day and that type, it might  
15 include taking that. But again, it would only  
16 be so in a patient for which that makes sense.

17 If you were going to use a treatment  
18 to block a specific vitamin's action, you  
19 wouldn't be recommending that they take more of  
20 that vitamin because it's the antidote to your  
21 treatment.

22 So for a patient where that isn't a  
23 consideration, then keeping them as healthy as  
24 possible in terms of their immune function and  
25 their muscle mass, et cetera, would say, eat



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2 enough to do that.

3 (Exhibit 1053, Excerpts from 1999  
4 Physician's Desk Reference for  
5 Nonprescription Drugs and Dietary  
6 Supplements, was marked for  
7 identification.)

8 MS. SPIRES: I am marking as  
9 Exhibit 1053 -- this is in both  
10 proceedings, that exhibit number -- the  
11 1999 edition of the Physician's Desk  
12 Reference for Nonprescription Drugs and  
13 Dietary Supplements, or a portion of that  
14 book.

15 BY MS. SPIRES:

16 Q Have you seen the nonprescription  
17 version of the PDR before?

18 A I have.

19 Q This is something that a POSA would  
20 rely on; correct?

21 A It is something that a POSA would  
22 consider as part of the body of information  
23 that they would weigh, yes.

24 Q And if you turn to the page that's  
25 numbered at the top right 403 -- I think it's

1 STEVEN H. ZEISEL, M.D., Ph.D.

2 the page.

3 A I see. I just want to read through  
4 the whole thing to make sure I understand what  
5 I'm being handed here, because I haven't seen  
6 it before in this form.

7 Q These are excerpts, obviously, since  
8 the book is more than 843 pages.

9 A I understand. I'm just reading  
10 through what the excerpts you handed me are.

11 Okay.

12 Q If you look at the page that has the  
13 number 403 at the top right.

14 A I am.

15 Q And if you look about halfway down  
16 on the left column it says, "Cancer, nutrients  
17 deficiencies secondary to."

18 Do you see that?

19 A Yes.

20 Q And it says, "Cancer may be treated  
21 with chemotherapeutic agents. The following  
22 products may be recommended for relief of  
23 nutrients deficiency."

24 Do you see that?

25 A I do.

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2 Q And then there's a list of basically  
3 multivitamins following that.

4 A Yes.

5 Q There is not any warning here about  
6 limiting the amount of B12 in the vitamins  
7 listed, is there?

8 MR. KRINSKY: I'd like to just  
9 object both to this line of questioning  
10 and to this exhibit to the extent there's  
11 no -- it hasn't been established that this  
12 is prior art; and also scope.

13 BY MS. SPIRES:

14 Q Are there any warnings at all with  
15 respect to the list of vitamins that this PDR  
16 says may be recommended for relief of nutrient  
17 deficiencies secondary to cancer?

18 A In this little section that you're  
19 drawing my attention to, they do not have a  
20 warning about B12.

21 Q If you turn over two pages to the  
22 page that is labeled 842 at the top -- are you  
23 there?

24 A Yes.

25 Q If you look at the bottom right you

1 STEVEN H. ZEISEL, M.D., Ph.D.

2 see, "Slow Fe with folic acid."

3 A Yes.

4 Q And that is a supplement that  
5 includes 160 mgs of iron and 400 micrograms of  
6 folic acid; correct?

7 A Let me look -- under "Formula"?

8 Q Yes.

9 A 50 milligrams of elemental iron and  
10 400 micrograms of folic acid; correct.

11 Q And then under "Dosage" it says to  
12 take 1 to 2 tablets a day or as recommended by  
13 a physician, and a maximum of two tablets  
14 daily; is that correct?

15 A Yes.

16 Q And then there is a warning that  
17 follows this product, isn't there?

18 A There is a warning.

19 Q And this warning says, about halfway  
20 through, "The intake of folic acid from all  
21 sources should be limited to 1,000 micrograms  
22 per day to prevent the masking of vitamin B12  
23 deficiencies"; is that correct?

24 A It contains that line.

25 Q There are no warnings here about

1 STEVEN H. ZEISEL, M.D., Ph.D.

2 folic acid with respect to cancer here, are  
3 there?

4 A I don't see one.

5 Q Now, if we turn back, flipping back  
6 a page or two to the page numbered 831.

7 A Yes.

8 Q If you look under the product on the  
9 left-hand column, Tri-B.

10 A I'm sorry. My copy is missing the  
11 corner of that page that says Tri-B, so I can't  
12 really read it.

13 Q Can I see your copy?

14 That is the same as my copy, and I  
15 can read it just fine.

16 A I'm sorry. There's something before  
17 "Gems."

18 Q We're not on that one. If you go --  
19 it's the one after that, it's Tri-B.

20 A Tri-B. Okay.

21 Q You can read that portion; right?

22 MR. KRINSKY: Objection. Which  
23 portion are we talking about, Counsel?

24 MS. SPIRES: Tri-B. It's in the --  
25 midway, about a quarter of the way down,

1 STEVEN H. ZEISEL, M.D., Ph.D.

2 left-hand column.

3 MR. KRINSKY: Cut off in the margin.

4 THE WITNESS: Okay. What was your  
5 question? I can't remember it.

6 BY MS. SPIRES:

7 Q This says Tri-B is a product that  
8 contains three B vitamins, B12, B6 and folic  
9 acid; correct?

10 A Yes.

11 Q And it says that this product can  
12 help maintain normal blood level of  
13 homocysteine; correct?

14 MR. KRINSKY: Objection to the form;  
15 mischaracterizes the document.

16 THE WITNESS: Could you say that  
17 again. I missed something.

18 BY MS. SPIRES:

19 Q This says that this product can help  
20 maintain normal blood levels of homocysteine;  
21 is that correct?

22 A That's what they say, yes.

23 Q And there's no warning listed with  
24 respect to this product containing vitamins  
25 B12, B6 and folic acid, is there?

1 STEVEN H. ZEISEL, M.D., Ph.D.

2 A That's correct.

3 Q If a patient has a folate  
4 deficiency, how long does a patient need to  
5 receive folic acid supplementation to replace  
6 the patient's folate levels?

7 MR. KRINSKY: Object to the form of  
8 the question, scope and incomplete  
9 hypothetical.

10 THE WITNESS: Could you say that  
11 again.

12 BY MS. SPIRES:

13 Q If a patient has folate deficiency,  
14 how long does a patient need to receive folic  
15 acid supplementation to replace the  
16 patient's -- replete the patient's folate  
17 levels?

18 MR. KRINSKY: Object to the form of  
19 the question; scope and incomplete  
20 hypothetical.

21 THE WITNESS: So it's very hard to  
22 tell you an exact answer to that because  
23 it depends on how depleted the person's  
24 folate pools are, what are the reasons for  
25 being folate-deficient and how you -- what



1 STEVEN H. ZEISEL, M.D., Ph.D.

2 type of treatment you're giving.

3 So the amount of time it takes to  
4 rehabilitate a patient who has organ  
5 dysfunction and signs and symptoms of  
6 folate deficiency could vary depending on  
7 all of those factors.

8 BY MS. SPIRES:

9 Q If you were giving a cancer patient  
10 1 mg of folic acid a day, would this be  
11 sufficient to replete the patient's folate  
12 levels, say, within a week?

13 MR. KRINSKY: Object to the form of  
14 the question; asked and answered,  
15 incomplete hypothetical, and scope.

16 THE WITNESS: So again, the same  
17 problem that I have, is that I have no  
18 idea how depleted the patient is; I have  
19 no idea of how you're giving the folate.  
20 And in a cancer patient, I don't have the  
21 understanding of the history of the cancer  
22 and what's been done to the patient that  
23 an oncologist would have. So I can't tell  
24 you that answer.

25 BY MS. SPIRES:

1 STEVEN H. ZEISEL, M.D., Ph.D.

2 Q And is your answer the same for B12  
3 deficiency, that you wouldn't know how long  
4 after a B12 supplementation a patient's B12  
5 levels would be repleted?

6 MR. KRINSKY: Same objections.

7 THE WITNESS: So again, I don't know  
8 how you're going to give the B12. I don't  
9 know how depleted the patient was. And  
10 without that information, it's hard to  
11 tell you how long it's going to take to  
12 rehabilitate them.

13 BY MS. SPIRES:

14 Q Say that you're giving the B12  
15 intramuscularly and the patient is presenting  
16 with a homocysteine of 10 micromolar.

17 MR. KRINSKY: Object to the form of  
18 the question, asked and answered, lack of  
19 foundation, and scope.

20 THE WITNESS: So you're stipulating  
21 that you're going to give it  
22 intramuscularly; that the patient has a  
23 homocysteine of 10 micromolar.

24 I still don't know what its B12 --  
25 what the patient's B12 status is because

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2 homocysteine may not reflect B12 status.

3 MMA would, for instance, or having a --

4 whatever.

5 So I can't tell you exactly how long

6 it would take to rehabilitate the person.

7 BY MS. SPIRES:

8 Q Do you have a rough idea?

9 MR. KRINSKY: Objection; asked and  
10 answered.

11 THE WITNESS: Just -- to tell you  
12 exactly is something that would require  
13 that you understand those other factors.

14 BY MS. SPIRES:

15 Q Is that something that an oncologist  
16 looking at a patient and having the patient's  
17 medical records would understand?

18 A Again, I don't know what is in those  
19 medical records and whether they've done -- I  
20 mean, if you have a measurement of their B12  
21 status, et cetera, et cetera.

22 So it depends what's in there, what  
23 you could say in terms of treatment.

24 Q If an oncologist has a patient's MMA  
25 level, would an oncologist be able to formulate

1 STEVEN H. ZEISEL, M.D., Ph.D.

2 a regimen to replete the patient's B12 levels  
3 and know how long that regimen should take?

4 MR. KRINSKY: Objection; foundation,  
5 scope.

6 THE WITNESS: So I'm not an  
7 oncologist. So I defer to Dr. Chabner,  
8 who is an oncologist, could tell you what  
9 they could do.

10 BY MS. SPIRES:

11 Q And is that the same answer for a  
12 folate deficiency?

13 MR. KRINSKY: Same objections.

14 THE WITNESS: Same answer.

15 BY MS. SPIRES:

16 Q If an elevated homocysteine level is  
17 caused by a folate deficiency and the folate is  
18 repleted, how long after its repletion will the  
19 homocysteine level return to baseline?

20 MR. KRINSKY: Object to the form of  
21 the question; incomplete hypothetical.

22 THE WITNESS: It's a similar  
23 problem. But so you're saying if  
24 methionine synthase activity is restored  
25 to normal and you're predicating that the

1 STEVEN H. ZEISEL, M.D., Ph.D.

2 homocysteine was only elevated because the  
3 methionine synthase, then if, again, the  
4 backlog of homocysteine to be handled is  
5 modest, it would take a relatively short  
6 amount of time to process that  
7 homocysteine and methylate it. Hours,  
8 days.

9 BY MS. SPIRES:

10 Q By "days," you mean one, two days?

11 A Hours. I don't know. Again, you  
12 can't give a precise answer because, as I said,  
13 there are some pieces of information missing.

14 But with your predicated pieces, and  
15 that the homocysteine backup is low, modest,  
16 then that should be handleable in hours to  
17 days. I don't know how many days.

18 Q What did you consider to be a modest  
19 homocysteine backup?

20 A You know, methionine synthase can  
21 convert so much homocysteine per hour. And so  
22 you tell me how much, I can calculate how much  
23 it could convert per hour, and that's what I'm  
24 saying.

25 I don't know -- so given that it has

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2 a certain capacity, it can convert so much per  
3 hour. And if the amount there falls within  
4 that range of what it could convert in a few  
5 hours, then it's a few hours. If it's a few  
6 days, it takes a few days. If it's a huge  
7 amount, it might take more than that, again,  
8 because it can only do so much per hour.

9 Q Do you know how much methionine  
10 synthase can do per hour?

11 A No. I mean, again, that would vary  
12 person to person.

13 Q And is the answer the same for B12?  
14 That if you took the same assumptions but  
15 substituted B12 for folate deficiency, that it  
16 would take hours to days to return to normal  
17 homocysteine levels after repleting the patient  
18 with B12?

19 MR. KRINSKY: Object to the form;  
20 scope, incomplete hypothetical.

21 THE WITNESS: So again both folate,  
22 methylfolate and B12 are needed to  
23 activate methionine synthase. So the same  
24 consideration occurs. B12 just needs to  
25 be there so methionine synthase can be



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2 active. Methylfolate needs to be there so  
3 methionine synthase has something to work  
4 with to convert homocysteine to  
5 methionine.

6 And then the speed at which  
7 methionine synthase can convert  
8 homocysteine then depends on the total  
9 amount of methionine synthase a person  
10 has, and then you could calculate roughly  
11 how long it might take.

12 BY MS. SPIRES:

13 Q So the -- because B12 is dealing  
14 with the same methionine synthase, the  
15 calculation is still based on how much  
16 conversion methionine synthase can do in an  
17 hour; is that correct?

18 A Yes, so that once you make  
19 methionine synthase capable of acting, then --  
20 and you -- the rate at which homocysteine can  
21 be processed depends on how much of it is there  
22 and how much homocysteine it can convert every  
23 minute or hour or whatever. There's a set  
24 activity per amount of protein.

25 Q For a modest homocysteine backup, if



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2 the issue is a B12 deficiency, once B12 has  
3 been repleted, we're again looking at hours or  
4 days before the homocysteine level returns to  
5 baseline?

6 A Again, it depends on many things,  
7 but it's possible it could be in that time  
8 frame.

9 Q Folic acid and B12 are both B  
10 vitamins; correct?

11 A They are classified in the -- as B  
12 vitamins.

13 Q And as B vitamins, both folic acid  
14 and B12 are water-soluble; correct?

15 A They are.

16 Q And that means that for both folic  
17 acid and B12, the body takes what it needs and  
18 then flushes the excess away in urine; correct?

19 MR. KRINSKY: Object to the form;  
20 scope.

21 THE WITNESS: That's not exactly  
22 true, but -- because folate can be stored  
23 as the polyglutamyl form. But in  
24 principle, once you're -- any excess can  
25 be excreted in the urine because the

1 STEVEN H. ZEISEL, M.D., Ph.D.

2 kidney filters it and can get rid of extra  
3 for those two vitamins.

4 But they are -- they have storage  
5 forms that are stored for a while.

6 BY MS. SPIRES:

7 Q So for B12, any excess can be  
8 excreted by the urine; correct?

9 MR. KRINSKY: Objection; asked and  
10 answered.

11 THE WITNESS: So the -- as I said,  
12 that B12 can be stored, bound to binding  
13 proteins; but the kidney does have the  
14 capacity to filter B12 and pee it out in  
15 the urine.

16 BY MS. SPIRES:

17 Q And the kidney would filter this --  
18 any B12 that is not stored and bound in the  
19 system; correct?

20 MR. KRINSKY: Objection; scope,  
21 asked and answered.

22 THE WITNESS: So -- the kidney does  
23 filter the blood and would excrete some,  
24 not all of the B12 that's not bound in the  
25 blood.

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2 BY MS. SPIRES:

3 Q And the kidney's excretion of excess  
4 folic acid and B12 happens on a daily basis;  
5 correct?

6 A Yes.

7 Let me just reword carefully. You  
8 said "excess." Not all excess is going out  
9 that way, but some of the folate in B12 is  
10 being dumped in the kidneys.

11 Q Approximately how much of the folate  
12 in B12 would be dumped in the kidneys?

13 A I don't know.

14 MR. KRINSKY: Object -- give me time  
15 to object please, Doctor.

16 THE WITNESS: I apologize.

17 I have -- I can't tell you the exact  
18 proportion.

19 BY MS. SPIRES:

20 Q Is the proportion of folate in B12  
21 being dumped in the kidneys -- does this  
22 correlate to the amount of intake of folic acid  
23 in B12?

24 MR. KRINSKY: Object to the form;  
25 scope, and incomplete hypothetical.

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2 THE WITNESS: I'm trying to remember  
3 the question now. Say it again, I'm  
4 sorry.

5 BY MS. SPIRES:

6 Q Does the proportion of folic acid in  
7 B12 that are dumped in the kidneys, does that  
8 correlate to the amount of intake of folic acid  
9 in B12?

10 MR. KRINSKY: Same objections.

11 THE WITNESS: So only folic acid and  
12 B12 present unbound in the blood are  
13 accessible to the kidney. So dietary  
14 intake for much of its curve doesn't  
15 overload the blood with folic acid and  
16 free folic acid and B12 and, at perhaps  
17 very high intakes, does, and then excretes  
18 more.

19 So the answer to your question is  
20 that it isn't a linear relationship  
21 between diet and what you excrete but that  
22 at very high levels of dietary intake, you  
23 will excrete more.

24 BY MS. SPIRES:

25 Q Earlier we were talking about

1 STEVEN H. ZEISEL, M.D., Ph.D.

2 betaine deficiency.

3 A Yes.

4 Q What are some of the symptoms of  
5 betaine deficiency other than elevated  
6 homocysteine levels?

7 A So I was talking about low dietary  
8 betaine intake to be precise, not betaine  
9 deficiency.

10 And at low -- and betaine is made  
11 from another nutrient, choline. That choline  
12 has a dietary requirement; betaine doesn't.

13 Low choline results in liver damage  
14 and perhaps muscle damage that reverses when  
15 you give it back.

16 Low betaine presents mainly with an  
17 elevated homocysteine. And that's mainly shown  
18 in animal models.

19 Q When you say it's mainly shown in  
20 animal models, does that mean that there is not  
21 human clinical data regarding low betaine  
22 presenting with elevated homocysteine?

23 A As I said, in 1999 there wasn't  
24 available information as to the food  
25 composition for betaine. That only became

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2 available in the 2000s. So you couldn't  
3 calculate intake, so you couldn't do a study in  
4 which you asked does low betaine intake  
5 correlate with high homocysteine.

6 So before 1999, it was  
7 mechanistically reasonable but unproven in  
8 human studies because you couldn't -- didn't  
9 know how to -- didn't have the information to  
10 conduct that study.

11 Q So in 1999, when a POSA sees  
12 presentation of high homocysteine and is  
13 checking through the potential causes, would  
14 betaine be on that list or no?

15 MR. KRINSKY: Objection; asked and  
16 answered.

17 THE WITNESS: So, yes, because  
18 mechanistically there's two parallel  
19 pathways for methylating homocysteine, one  
20 that uses methylfolate as a substrate and  
21 one that uses betaine as a substrate.

22 So theoretically, both pathways  
23 could be responsible -- a defect in both  
24 pathways could be responsible for high  
25 homocysteine.

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2 BY MS. SPIRES:

3 Q And low betaine intake, how is that  
4 treated, in 1999?

5 A Again, nobody would have been able  
6 to know if you had low betaine intake because  
7 we didn't know what was in foods until the  
8 middle 2000s.

9 At that point, I could have looked  
10 at your food intake and said, you know, You're  
11 not eating any of this nutrient. But right now  
12 at, 1999, that was an impossible question to  
13 answer, because we had no idea what was in  
14 food.

15 Q So in 1999, a POSA seeing a patient  
16 presenting with high homocysteine levels would  
17 not attempt to treat the patient with betaine  
18 supplementation; is that correct?

19 MR. KRINSKY: Objection;  
20 mischaracterizes testimony.

21 You can answer.

22 THE WITNESS: No, so I didn't say  
23 that. What I said was, is that they  
24 wouldn't have known that a patient was low  
25 in choline.



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2 However, based on the knowledge of  
3 the biochemical pathways that remove  
4 homocysteine, betaine would have been  
5 reasonable, as reasonable as folic acid,  
6 to try to lower homocysteine.

7 BY MS. SPIRES:

8 Q And in trying to lower homocysteine  
9 by addressing the betaine pathway, how would a  
10 POSA treat the patient?

11 MR. KRINSKY: Objection; foundation,  
12 object to the form.

13 THE WITNESS: So, it very much  
14 depends on the patient.

15 So let's -- let's take the predicate  
16 that we have a patient that we're going to  
17 use an antifolate on. Then the patient --  
18 it would be sensible to say, rather than  
19 giving the antidote to the antifolate,  
20 which would be B12 and folate, we could  
21 treat with betaine and B6 to try to use  
22 the pathways that are not involving folate  
23 or releasing folate from its methyl form,  
24 and lower homocysteine without creating  
25 the situation of having to administer the

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2 antidote to the poison we are trying to  
3 kill the cancer cells with.

4 So there betaine, grams, vitamin B6  
5 to try to get those two pathways that can  
6 remove homocysteine without using the  
7 methionine synthase pathway would be a  
8 reasonable physician's treatment option.

9 BY MS. SPIRES:

10 Q It's true that there are certain  
11 doses at which a POSA would know that  
12 supplemental folic acid is not going to compete  
13 with an antifolate in a sufficient manner to  
14 affect the efficacy of the antidote; correct?

15 MR. KRINSKY: Object to the form of  
16 the question; incomplete hypothetical and  
17 scope.

18 THE WITNESS: I don't agree that  
19 that's correct. An antifolate is they are  
20 competing with folate. The more folate  
21 you put in, the less effective that  
22 competition is. You're treating a person  
23 who has an exposure to folate from their  
24 diet anyway, and so everything you're  
25 adding is over and above that amount.

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2 And at some point, you end up  
3 getting to the tipping point where the  
4 cancer cells aren't completely eliminated  
5 by your antifolate and survive the dose.  
6 And exactly what that tipping point is is  
7 unpredictable.

8 BY MS. SPIRES:

9 Q But a POSA would know that there is  
10 a tipping point below which an amount of folic  
11 acid supplementation is not going to compromise  
12 an antifolate's efficacy; correct?

13 MR. KRINSKY: Objection;  
14 mischaracterizes testimony.

15 THE WITNESS: So again, a POSA would  
16 know that every molecule of folic acid  
17 given competes with the antifolate; and  
18 exactly when adding that one more molecule  
19 or several more molecules ends up making  
20 the last cancer cell that you have to get  
21 rid of survive is unknown and  
22 unpredictable. And treating a cancer  
23 doesn't do any good if you let cancer  
24 survive, because they just come back.

25 And so again, it's unpredictable how

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2 much extra folate you can get away with  
3 before you get to the point where your  
4 treatment was less effective than it would  
5 be because you're reducing its efficacy by  
6 giving folate, because the whole point is  
7 to block folate.

8 BY MS. SPIRES:

9 Q Is it also true for B12 that there  
10 is this tipping point, one side of which a POSA  
11 is going to recognize that adding B12 is not  
12 going to affect efficacy of an antifolate?

13 MR. KRINSKY: Objection to form;  
14 mischaracterizes testimony.

15 THE WITNESS: So to understand the  
16 role of B12, what you have to understand  
17 is that B12 is working by making it  
18 possible to have methionine synthase  
19 active.

20 And so whether -- so any amount  
21 of -- if B12 is low enough to be limiting  
22 the activity of methionine synthase, then  
23 giving some frees up methionine synthase.  
24 And if folate was not limiting --  
25 methylfolate -- that allows methylfolate

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2 to be converted and make reduced folate,  
3 tetrahydrofolate, available to make DNA.

4 So, again, it's a very similar story  
5 that at some point you make enough B12  
6 available to allow methionine synthase to  
7 be active and convert trapped methylfolate  
8 into tetrahydrofolate that can be used to  
9 make DNA and allow the cancer cell to  
10 survive.

11 So it's a similar story, that it's  
12 very difficult to predict how much  
13 methylfolate will get converted to  
14 tetrahydrofolate when you make methionine  
15 synthase more active.

16 So there is some point that is hard  
17 to predict that adding more B12 causes the  
18 cancer cells not to die.

19 BY MS. SPIRES:

20 Q Are you aware of how much B12 a  
21 person's body is able to absorb in a day?

22 MR. KRINSKY: Object to the form of  
23 the question.

24 THE WITNESS: It depends greatly on  
25 the person. There are some people who

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2 absorb B12 poorly; they don't have a  
3 protein needed to absorb it. There are  
4 other people who absorb B12 well.

5 Some people can absorb B12 from a  
6 vitamin well but not from meat, where B12  
7 is located, because it's bound to proteins  
8 and they have trouble pulling it off.

9 So the amount of B12, somebody can  
10 absorb in a day varies.

11 In addition, the B12 is lost into  
12 the gut in the bile and other places, and  
13 so you need to recirculate it and reabsorb  
14 it. So, again, that varies person to  
15 person how well they do that. So the  
16 exact amount a person absorbs in a day is  
17 hard to tell you.

18 BY MS. SPIRES:

19 Q Do you know the range for that  
20 amount?

21 MR. KRINSKY: Objection; asked and  
22 answered.

23 THE WITNESS: Yeah, you know, I can  
24 tell you what the -- how much is  
25 recommended be in the diet each day, but



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2 how much of that is absorbed depends --  
3 varies widely.

4 It's a catalyst, so you don't need  
5 much to get to the right amount.

6 BY MS. SPIRES:

7 Q What are the symptoms of folate  
8 deficiency?

9 A The most common symptom of being  
10 deficient in folic acid is that your red cells  
11 don't get made properly and you become anemic  
12 because you can't divide cells. The same thing  
13 that folic acid is used to help cancer cells  
14 divide, it's also helping your red cells, bone  
15 marrow cells divide and make new red cells for  
16 you.

17 Q Are there any other symptoms of  
18 folic acid deficiency?

19 A Again, with severe folic acid  
20 deficiency, you get some abnormality in your  
21 white cells as well, neutropenia.

22 Not a neutropenia, but a -- a  
23 smaller number of white cells.

24 Q Are there any other symptoms of  
25 folate deficiency?



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2 A Those are the major ones. I mean,  
3 the red cells are the ones that a clinician  
4 would notice.

5 Q What are the symptoms of B12  
6 deficiency?

7 A An anemia and very -- so again, low  
8 red cell production and now white cells that  
9 are large.

10 Q Are there any other symptoms of B12  
11 deficiency?

12 A Some people can develop a  
13 neuropathy, which means usually their longest  
14 nerves, the one going from the head to the  
15 foot, don't work well; and they get, first,  
16 abnormal sensation in the big toe, and then  
17 later on abnormal gait and motor problems  
18 related to having nerves not working well that  
19 are coming out of the brain.

20 Q Are there any other symptoms of B12  
21 deficiency?

22 A Again, those are the major symptoms.

23 Q Aren't there neurological symptoms  
24 of B12 deficiency?

25 A I think I just said that. They're

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2 the nerves that -- the longest nerves go first,  
3 and then eventually you get more and more  
4 nerves involved. But first, usually it's the  
5 longest ones, going to the toe.

6 Q The neurological symptoms of B12  
7 deficiency are irreversible; right?

8 A Sometimes they are, sometimes  
9 they're not.

10 And again, to be more complete, for  
11 both folic acid and B12, there can be vaguer  
12 brain symptoms, like depression and some  
13 cognitive function, MM -- related to the  
14 functioning of brain cells. But again, they're  
15 not the first presenting symptoms. That's  
16 anemia.

17 Q The first presenting symptom is  
18 anemia, you said?

19 A I mean, anemia is the common  
20 presentation for both B12 and folate.

21 Q For folic acid deficiency, how long  
22 would a person need to be -- have this  
23 deficiency before anemia presents?

24 MR. KRINSKY: Objection; scope,  
25 incomplete hypothetical.

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2 THE WITNESS: So the minimum time  
3 would be about 90 days, because that's the  
4 lifespan of the red cell. But again, it  
5 depends on how severe the deficiency is  
6 and whether the person is bleeding,  
7 whether there are other limiting things  
8 around.

9 But a red cell takes 90 days to  
10 make, and so it's usually three months,  
11 six months, in that range, that you could  
12 see your red cells declining.

13 BY MS. SPIRES:

14 Q And is that the same amount of time  
15 it tends to take for anemia to present with B12  
16 deficiency?

17 MR. KRINSKY: Same objections.

18 THE WITNESS: So B12 usually takes  
19 longer, because you need so little of it  
20 to work that -- but again, it depends  
21 tremendously on whether you're able to  
22 reabsorb B12 or whether you can't absorb  
23 B12 at all, the rate at which these things  
24 happen.

25 BY MS. SPIRES:

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2 Q Roughly how long does it take  
3 before -- roughly how long would a patient need  
4 to have a B12 deficiency to present with  
5 neurological symptoms?

6 MR. KRINSKY: Same objections.

7 THE WITNESS: So again, it depends  
8 on many factors. But it -- most of the  
9 literature says this is a late onset,  
10 years of being deficient before you see  
11 neuropathy developing.

12 BY MS. SPIRES:

13 Q Is it ever the case that neuropathy  
14 is the first symptom noticed for B12  
15 deficiency?

16 A I am not aware of neuropathy  
17 presenting as the first symptom in a patient  
18 that is being followed by a physician. It's  
19 possible that in patients who are not being  
20 seen for medical care, that they present --  
21 they already have neuropathy at the time they  
22 present. But somebody getting medical care  
23 would have been -- would have seen the anemia  
24 long before.

25 MR. KRINSKY: Counsel, we've been

1 STEVEN H. ZEISEL, M.D., Ph.D.

2 going about an hour. Should we take a  
3 break soon?

4 MS. SPIRES: Sure. Go ahead.

5 MR. KRINSKY: Go off the record.

6 THE VIDEOGRAPHER: The time is  
7 10:32. We're off the record.

8 (Recess taken from 10:32 a.m. to  
9 10:49 a.m.)

10 THE VIDEOGRAPHER: Time is 10:49.  
11 We're on the record.

12 BY MS. SPIRES:

13 Q Dr. Zeisel, I think you mentioned  
14 that you know what the recommended daily dose  
15 is of various vitamins; is that correct?

16 A What the recommended daily intake  
17 is.

18 Q What's the recommended daily intake  
19 for folate?

20 A 400 micrograms a day.

21 Q What's the recommended daily intake  
22 for vitamin B12?

23 A 4 or 5 micrograms.

24 (Exhibit 1054, Article,  
25 "Neuropsychiatric Disorders Caused by

1 STEVEN H. ZEISEL, M.D., Ph.D.

2 Cobalamin Deficiency In the Absence of  
3 Anemia Or Macrocytosis," was marked for  
4 identification.)

5 BY MS. SPIRES:

6 Q I'm going to mark a document as  
7 Exhibit 1054. This is an article by John  
8 Lindenbaum and others entitled  
9 "Neuropsychiatric Disorders Caused by Cobalamin  
10 Deficiency In the Absence of Anemia or  
11 Macrocytosis."

12 Do you see that?

13 A Yes.

14 Q Do you know of John Lindenbaum?

15 A I probably have met him. And I  
16 certainly have met Sally Stabler, who is on  
17 this. I'm pretty sure I met John at some  
18 meeting.

19 Q Are you aware, is John Lindenbaum a  
20 respected researcher?

21 A He is.

22 Q And I assume Sally Stabler is a  
23 respected researcher as well?

24 A Yes.

25 Q What about Robert Allen? He is also

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2 on this list.

3 A Yes.

4 Q Is he also a respected researcher?

5 A He is.

6 Q If you look at the first  
7 paragraph -- and I'm going to apologize. I  
8 actually gave you my copy. Can I trade you?  
9 I'll write the exhibit number on here. Sorry  
10 for that. I'm writing "Exhibit 1054."

11 MR. KRINSKY: Counsel, do we want to  
12 just put an exhibit sticker on? We can  
13 just do another exhibit sticker.

14 MS. SPIRES: That works.

15 MR. KRINSKY: So we don't get them  
16 confused, do you want to cross out yours  
17 or something?

18 MS. SPIRES: Done.

19 BY MS. SPIRES:

20 Q Have you ever seen this reference  
21 before?

22 A I may have, but I haven't reviewed  
23 it recently, so if you give me a few minutes,  
24 I'll read through the article and see if I can  
25 recall the details of it before answering your



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2 questions.

3 Q Sure.

4 A (Witness reviews document.)

5 Q Have you had a chance to read this  
6 article?

7 A I did. Not thoroughly, but I think  
8 I can understand what it was about.

9 Q Do you agree with this article's  
10 conclusion that neuropsychiatric disorders due  
11 to cobalamin deficiency occur commonly in the  
12 absence of anemia or in elevated immune cell  
13 volume?

14 MR. KRINSKY: Objection to scope and  
15 form.

16 THE WITNESS: So this article is  
17 talking about a very specific portion of  
18 patients that have what's called  
19 pernicious anemia, and they're not the  
20 common form of having low B12. They  
21 exist, but they're not -- so this is a  
22 subset of all patients.

23 And what I see this article saying  
24 is, is that out of a bigger number of  
25 subjects -- and I can't recall; I'd have

1 STEVEN H. ZEISEL, M.D., Ph.D.

2 to go back and recall exactly how many --  
3 they found 38 out of -- so a quarter,  
4 maybe, that presented with neurological  
5 symptoms in the absence of anemia.

6 So it's -- for people with  
7 pernicious anemia, it looks like a quarter  
8 might present this way.

9 But this is a tertiary hospital  
10 getting referred cases that are different  
11 than might be seen in the general public,  
12 so it may not be that high in a non- --  
13 they're specialists in this field in the  
14 country.

15 BY MS. SPIRES:

16 Q Do you agree that it is possible for  
17 neuropsychiatric disorders to be the first  
18 symptoms appearing for B12 deficiency?

19 A For these patients, yes.

20 Q Isn't the threat of irreversible  
21 neuropsychiatric disorders the reason that  
22 doctors often treat B12 deficiency through  
23 intramuscular injection?

24 MR. KRINSKY: Objection; form,  
25 foundation, and scope.

1 STEVEN H. ZEISEL, M.D., Ph.D.

2 THE WITNESS: So your question was,  
3 the irreversible neurological damage, is  
4 it the reason for using an intramuscular  
5 dose; and it is not.

6 BY MS. SPIRES:

7 Q What's the reason for using an  
8 intramuscular dose?

9 A A patient who can't absorb an oral  
10 dose would get an intramuscular dose.

11 Q Is it common for B12 patients not to  
12 be able to absorb an oral dose?

13 A For patients with pernicious anemia,  
14 this subset of patients we've just been talking  
15 about, their problem is is they do not make a  
16 protein in the gut needed to intramuscular B12.

17 So they cannot absorb B12. And you  
18 treat people with that problem with  
19 intramuscular dose.

20 Q Are you aware of what the standard  
21 intramuscular B12 dose is?

22 MR. KRINSKY: Object to the form;  
23 foundation, scope.

24 THE WITNESS: In this -- I don't  
25 know what the standard was in 1999. In

1 STEVEN H. ZEISEL, M.D., Ph.D.

2 this paper, in 1998 -- in 1988, they are  
3 using -- let's see if I can find it in  
4 here.

5 I'm not sure I can find what dose  
6 they used in this amount at this time. So  
7 I can't tell you what -- it's somewhere in  
8 here but I haven't had time to note it.  
9 Perhaps you know where they tell you the  
10 dose in this paper.

11 BY MS. SPIRES:

12 Q I'm less concerned with --

13 A Okay.

14 Q -- this particular paper and more  
15 concerned with, as a practicing nutritionist,  
16 what you believe to be the standard  
17 intramuscular dose for vitamin B12.

18 A It varies. And it would have  
19 been -- you need about 4 micrograms. But you  
20 would have given a dose that is milligrams,  
21 probably at that time, as an intramuscular  
22 dose.

23 So that would be 10 to -- you know,  
24 or more times what the normal oral dose would  
25 have been, because it's to serve as a depot

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2       that they can absorb from for a while.

3           And so it doesn't really matter how  
4       much you give intramuscularly; it's just how  
5       long it lasts before they lose it by not being  
6       able to reabsorb the amount that they excrete  
7       into their gut.

8           Q       What do you mean when you say "it  
9       doesn't really matter how much you give  
10      intramuscularly"?

11          A       So you only need a few micrograms.  
12      That's available from the intramuscular dose.

13                 The problem is, for people who can't  
14      absorb it every day, they are secreting B12  
15      into their intestine, and then they can't  
16      reabsorb it. So they rapidly run themselves  
17      down.

18                 And so when you give an IM dose, you  
19      don't have to give it to them daily. You give  
20      them something more than the few micrograms  
21      they need so that they can draw on that dose  
22      that's sitting in the muscle that you stuck it  
23      in for a period of time.

24                 And so a standard dose probably, you  
25      know, a milligram would have been enough to

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2 last them weeks before they run it down. A  
3 microgram being a millionth of a gram, and a  
4 milligram being a thousandth of a gram.

5 Q I'm sorry. I didn't catch the last  
6 part.

7 A A milligram is a thousandth of a  
8 gram; and a microgram is a millionth of a gram.  
9 So a milligram is a lot of micrograms.

10 Q And that dosing was true in 1999;  
11 correct?

12 A Yes, it would have been about that.  
13 I don't remember exactly what preparations you  
14 could order off the counter.

15 In the PDR you gave, Tri-B, which is  
16 an oral one, they were giving 400 micrograms,  
17 or about 100 times what the person really  
18 needs. But again, it's just giving a lot  
19 orally, hoping some gets in. But these people,  
20 they don't absorb it well.

21 Q Am I correct that in your  
22 declaration you testified that vitamin B12  
23 deficiencies are not acute?

24 A What I testified to is that to  
25 develop the symptoms of vitamin B deficiency



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2       takes a long time. It takes a long time to run  
3       down your vitamin B12. And then when you do,  
4       it takes three or four months before the red  
5       cell production is tapering off.

6           And for the neurological symptoms,  
7       nobody knows their exact time course. But in  
8       1999, it was believed they take a good time to  
9       develop, because they involve the wrapping of  
10      nerves in an insulator called sphingomyelin,  
11      myelin, and that that took a long time to  
12      develop problems and didn't occur in days or  
13      weeks but much longer periods than that.

14          Q       If you look back at Exhibit 1054,  
15      this Lindenbaum article.

16          A       Yeah.

17          Q       Do you agree with its statement in  
18      the first paragraph after the abstract that  
19      neurological symptoms often result -- are the  
20      result of inappropriate therapy with folic  
21      acid?

22                 MR. KRINSKY: Objection; scope.

23                 Objection to the form.

24                 THE WITNESS: In the -- at that  
25      time, there was a theory that folic acid



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2 could exacerbate the appearance of  
3 symptoms with vitamin B12. That hasn't  
4 been a consistent finding since then, and  
5 so I -- in 1998 and 1999, I would have  
6 said it was a possibility that was still  
7 under consideration.

8 BY MS. SPIRES:

9 Q And the possibility that was under  
10 consideration in 1999 was that if a person is  
11 given folate supplementation when they have a  
12 B12 deficiency, that the folate ends up masking  
13 the B12 symptoms and so the B12 deficiency goes  
14 untreated; is that correct?

15 MR. KRINSKY: Object to the form;  
16 mischaracterizes testimony.

17 THE WITNESS: So again, we're  
18 talking two different things.

19 So the masking concept is that  
20 usually the physician, the flag, the red  
21 flag the physician sees in a patient is  
22 anemia. Giving folate, folic acid, to a  
23 B12 patient can help to correct the anemia  
24 without correcting the underlying B12  
25 problem. And that meant that a physician

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2 had to be more alert and not assume that,  
3 because they gave folate and it got  
4 better, that this was folate deficiency.

5 And if you'll notice in this paper,  
6 all but one of the subjects had very  
7 elevated MMA. MMA is the other enzyme  
8 that B12 is needed for, and it's a very  
9 sensitive marker for B12 deficiency.

10 So in these patients, for example,  
11 having very high MMA present, a good  
12 physician would have said, Even though the  
13 anemia went away, I am still not going to  
14 miss that this is B12, because of the MMA.

15 And so masking was, when the  
16 physician wrongly concluded that this was  
17 folate deficiency because folate treated  
18 the anemia, without going on and doing the  
19 other standard test in -- of whether this  
20 is B12 or folate by looking at MMA.

21 BY MS. SPIRES:

22 Q But even MMA misses a portion of the  
23 patients that are B12-deficient; correct?

24 A It does. But I don't believe it  
25 does in people with pernicious anemia, which

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2 are the patients that are being studied by this  
3 group here.

4 I believe all of the -- from quickly  
5 reading this, all of the patients they isolated  
6 who had neurological symptoms had pernicious  
7 anemia; and in them, MMA, I don't believe,  
8 misses a part of the population.

9 Q What about in the general  
10 population?

11 A Perhaps 5 percent of people with low  
12 B12 do not have abnormal MMA.

13 Q I'll hand you what has been marked  
14 as Exhibit 1017.

15 Have you seen this article before?

16 A I have. Let me just review it to  
17 make sure I remember it properly.

18 Q Sure.

19 (Witness reviews document.)

20 A Yes. What's the question?

21 Q Do you agree with the statement in  
22 the last paragraph before the conclusion  
23 section that says that "In patients with  
24 cobalamin deficiency in about 10 percent, only  
25 the homocysteine value is high"?

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2 MR. KRINSKY: Object to the form.

3 Counselor, where are you? I'm  
4 sorry.

5 Object to the form; scope.

6 THE WITNESS: So they do say that in  
7 their experience, that whoever they're  
8 citing -- they don't tell me who it is.  
9 But in their knowledge base, they believe  
10 that only -- that as many as 10 percent of  
11 people with high homocysteine and low  
12 cobalamin may also have -- may not have an  
13 MMA that's elevated.

14 BY MS. SPIRES:

15 Q In light of the masking issue, how  
16 would a POSA in 1999 address this 10 percent of  
17 B12-deficient patients that can't be detected  
18 by MMA?

19 MR. KRINSKY: Object to the form;  
20 foundation and scope, and incomplete  
21 hypothetical.

22 THE WITNESS: So, again, it depends  
23 on what kind of patient a POSA would be  
24 dealing with.

25 A patient who was going to get an

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2 antifolate, you wouldn't to give the  
3 antidote before treatment anyhow. And  
4 then after treatment and the drug has been  
5 effective, you would give the folate and  
6 you would give B12 as well as the folate  
7 if you had a clinical reason to.

8 In a patient who comes in and you're  
9 going to do something else with but not  
10 treat them with an antifolate, and you  
11 weighed the pros and cons of risk/benefit  
12 and decided that it was appropriate to  
13 treat with folate, it would be appropriate  
14 to have given B12 with that treatment  
15 of -- let's say --

16 And if you notice, the homocysteines  
17 in the Lindenbaum paper are all 200, 100,  
18 80, 70; not 10. And those were high  
19 enough, if you had somebody come in with  
20 that and you weren't going to be treating  
21 them with an antifolate and they didn't  
22 have cancer, you might give them both  
23 treatments.

24 So it's a "depends" question:  
25 Depends on who you're talking about and

1 STEVEN H. ZEISEL, M.D., Ph.D.

2 clinical judgment.

3 BY MS. SPIRES:

4 Q But for patients where it makes  
5 sense, you would give -- if you are going to  
6 treat the patient with folate, you would also  
7 treat the patient with B12 to account for  
8 potential masking?

9 MR. KRINSKY: Object to the form;  
10 asked and answered.

11 THE WITNESS: Yes, for a -- the  
12 clinical judgment, I would give folate and  
13 B12, because I would have made a judgment  
14 in that specific patient situation that  
15 the folate and B12 weren't going to have  
16 a -- counteract another intervention that  
17 I was going to be giving.

18 If I can add to that answer, I  
19 should say that the US government, in '98,  
20 mandated folate fortification; and  
21 specifically, prior to the date of 1999,  
22 came to the conclusion that masking was  
23 not sufficiently likely that B12 needed to  
24 be added to the fortification.

25 There was a lot of scientific



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2 panels, a large number of experts on both  
3 sides giving information. And in the end,  
4 the expert conclusion around fortification  
5 of the food supply was that there was not  
6 sufficient risk of giving folate without  
7 B12 to come to the conclusion that it was  
8 reasonable to also mandate B12.

9 So this was a question that, in 1999  
10 and the year before -- '98, '96, '97 --  
11 when the position policy was being  
12 developed, was very actively being  
13 discussed; and the definitive conclusion  
14 was you don't need to give both together.

15 BY MS. SPIRES:

16 Q Isn't that in part because of the  
17 low levels of folate being added to the food  
18 supply?

19 A So the food supply, they were adding  
20 400 micrograms daily intake; and that would be  
21 enough to mask B12 under these theoretical  
22 conditions that they bring up that you might  
23 mask B12.

24 So giving adequate folate is enough  
25 to let the anemia get -- go away; and you don't

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2 have to give twice adequate or five times  
3 adequate to get that effect.

4 So the amount that people would take  
5 in the food supply, remember, is above what  
6 they might have been taking before with the  
7 fortification. So that took them above or at  
8 least to adequate intake if not one and a half  
9 times adequate intake, whatever.

10 And so I think, you know, that the  
11 overall consensus of science in that time was,  
12 it's fine to fortify.

13 And I think in pernicious anemia  
14 patients with this data, you might have said,  
15 I'll give them B12 as well. But pernicious  
16 anemia is rare enough that it isn't the  
17 standard intervention, as evidenced by the  
18 government's decision to only mandate the  
19 fortification with folate and not B12.

20 Q And how long did the discussion go  
21 on with the government regarding whether or not  
22 to add B12 to the food supply as well?

23 A So I can't tell you exactly. But  
24 since the implementation started in '99, I'm  
25 sure the regulations came out in '95, '96, if I

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2   remember correctly. And there would have been  
3   a lot of discussion, probably years before that  
4   of coming to that conclusion.

5           And then after it came out, there  
6   still remained a group of nutrition and medical  
7   scientists who argued you should do it. And I  
8   think that the conversation kept on for a few  
9   more years after that.

10           Now, less common, but every once in  
11   a while somebody comes to a meeting and makes a  
12   presentation that why not put B12 in; it  
13   doesn't cost much and here is my reason for  
14   doing that.

15           So I think it's persisted for a  
16   decade; but probably was a 10-year conversation  
17   around the time of the policy, because it was a  
18   big policy to give everybody in this country  
19   extra folic acid.

20           Q     I believe you say in your  
21   declaration that administering vitamin B12 to a  
22   person can release folate caught in a methyl  
23   trap; is that correct?

24           A     Yes. Let me just pull up my  
25   declaration so I get the wording exactly.

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2 Yes. What was is the question now?

3 Q How common is this methyl trap issue  
4 in patients receiving pemetrexed?

5 MR. KRINSKY: Object to the form of  
6 the question; scope.

7 THE WITNESS: So in patients  
8 receiving pemetrexed, I don't know how  
9 common it is.

10 In -- so again, the  
11 methyltetrahydrofolate is a form of  
12 folate, and it has no way to return -- and  
13 it can't be used to make DNA.

14 So the folate piece of it can be  
15 recycled into tetrahydrofolate, which then  
16 can be used in the pathways to make DNA.  
17 And the only way it can be recycled, if  
18 methionine synthase is working.

19 So if I give a drug that blocks  
20 methionine synthase or I give -- I have  
21 somebody who has low B12 so that  
22 methionine synthase doesn't work well, I  
23 end up building up behind this metabolic  
24 roadblock, methyltetrahydrofolate.

25 And how much you build up is hard to

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2 predict, how much an individual patient  
3 might have built up. Because, again, it's  
4 like the earlier story of removing  
5 homocysteine: Every time you remove  
6 homocysteine, you use a  
7 methyltetrahydrofolate; but how fast  
8 that's going and what the backlog is not  
9 easily predicted.

10 And so you could have, in anybody  
11 who has methionine synthase not working  
12 well -- let's say it's low B12 -- they  
13 would build up methylfolate. And then if  
14 you give them B12, make methionine  
15 synthase work, the methylfolate now starts  
16 removing homocysteine. And as we said,  
17 that's a period of hours to days that it's  
18 starting to work lowering the  
19 homocysteine; and at the same time, it's  
20 releasing active -- the form of folate  
21 needed to build DNA, which is what you're  
22 trying to block with your drug,  
23 pemetrexed.

24 BY MS. SPIRES:

25 Q So I think you said that methyl trap

1 STEVEN H. ZEISEL, M.D., Ph.D.

2 was a concern in patients with low B12; is that  
3 correct?

4 MR. KRINSKY: Object to the form;  
5 mischaracterizes testimony, asked and  
6 answered.

7 THE WITNESS: So I believe what I  
8 said is any situation in which methionine  
9 synthase isn't working properly, one of  
10 the reasons being that it doesn't have the  
11 B12 it needs, will build up  
12 methyltetrahydrofolate.

13 Another -- there are many other  
14 reasons.

15 BY MS. SPIRES:

16 Q What are the other reasons?

17 A If I gave you an anesthetic, nitrous  
18 oxide, your methionine synthase stops working  
19 and you build up methylfolate, would be another  
20 example of the problem that you could have.

21 So in any case, if it is due to  
22 relatively less B12 than you need to get  
23 optimal, maximal methionine synthase activity,  
24 then giving B12 breaks that roadblock and  
25 allows the methylfolate to get out of its



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2 methyl form and get converted in.

3 So the trap is really that it's  
4 trapped as the methyltetrahydrofolate form and  
5 has no way to get out if methionine synthase  
6 isn't working to do it for it.

7 Q Is your concern about the methyl  
8 trap primarily concern for B12-deficient  
9 patients?

10 A So "B12-deficient" has a very  
11 specific clinical meaning. But having low  
12 enough B12 so that methionine synthase isn't  
13 working as fast as it could, we could call that  
14 deficient; and in that case, that's what I'm  
15 saying.

16 But what I mean in my statement is,  
17 is that if you could amp up the activity or the  
18 amount of methionine synthase by making B12  
19 available, then it will work.

20 B12, in a published paper before  
21 1999, also apparently increases the production  
22 of the methionine synthase protein to make  
23 absolutely more protein available. So in  
24 addition to being a required catalyst for  
25 working, it appears that it may also be

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2   important for expressing the gene or making the  
3   protein that gives you a little more capacity  
4   by having more of the protein available.

5           So B12 could theoretically, and  
6   realistically, increase the amount of flow of  
7   methyltetrahydrofolate into tetrahydrofolate,  
8   making more available to make DNA and making  
9   your -- making the antidote for the treatment  
10   that you're making -- that you're giving  
11   pemetrexed, which is trying to stop DNA  
12   synthesis by blocking the availability of those  
13   folates.

14           Q       See if I can get it in the correct  
15   language.

16           Am I correct that a methyl trap is a  
17   concern for -- primarily for patients with B12  
18   that is low enough to impair methionine  
19   synthase?

20           A       B12 that's low enough to provide  
21   less-than-maximal methionine synthase activity.

22           Q       Then that's a correct statement,  
23   with your modification?

24           A       Again, it's one of the reasons that  
25   you'd worry about the trap. As I said, nitrous

1           STEVEN H. ZEISEL, M.D., Ph.D.

2   oxide anesthesia you worry about the trap as  
3   well, but that's not pertinent to this specific  
4   question.

5           Q       And you'd know that you'd given  
6   nitrous oxide anesthesia to a patient.

7           A       Yes. Again, you may -- you should  
8   know it, but maybe you didn't know it went on  
9   in the operating room; right?

10          Q       Other than B12 and nitrous oxide  
11   anesthesia, are there any other patients -- or  
12   B12-deficient or low-B12 patients and nitrous-  
13   oxide-receiving patients, are there any other  
14   patients for which you'd be concerned about a  
15   methyl trap issue?

16          A       Those are the -- B12 are the main --  
17   having less B12 than you need would be the  
18   major concern. Nitrous oxide, you would know,  
19   as you say. So I don't think there are a lot  
20   of other reasons to worry about giving B12.

21                    But then again, I wouldn't give  
22   B12 -- I'm sorry -- releasing the methyl trap,  
23   I wouldn't give B12 unless I thought they were  
24   low in B12. And if I think they're low in B12,  
25   then I have to think they've got methylfolate

1           STEVEN H. ZEISEL, M.D., Ph.D.

2       trapped behind that low B12, because methionine  
3       synthase must not be working optimally, or else  
4       they'd have adequate B12.

5           Q       If you have a patient that is  
6       B12-deficient, has a methyl trap issue, and you  
7       supplement with B12, how long does it take for  
8       the folate to be released from the methyl trap?

9           MR. KRINSKY: Object to the form;  
10       incomplete hypothetical, scope.

11          THE WITNESS: So you're asking me,  
12       if I unblock methionine synthase by making  
13       B12 available, how much -- my answer is  
14       the exact same, how fast would  
15       homocysteine go down.

16                It depends on the capacity of the  
17       methionine synthase. It does so many  
18       molecules per hour; and every molecule of  
19       homocysteine it's doing, it's converting a  
20       methyltetrahydrofolate to that. So the  
21       rate of lowering homocysteine tells you  
22       the rate of release of the  
23       methyltetrahydrofolate.

24                And so you're going to release at  
25       the same rate and, as I indicated before,

1           STEVEN H. ZEISEL, M.D., Ph.D.

2           it's hard to predict that but it could be  
3           hours to days to release your trapped  
4           pool, depending how big it is and how much  
5           methionine synthase activity that you  
6           have.

7   BY MS. SPIRES:

8           Q       So when the homocysteine has stopped  
9           going down, that means that the methionine  
10          synthase has stopped -- or -- strike that.

11                    So when the homocysteine stopped  
12          going down, that means that folate has stopped  
13          being released from the methyl trap; is that  
14          correct?

15           A       So I wish it were as simple as that.  
16          But as I said earlier, a level of  
17          homocysteine -- think about a bathtub. The  
18          level of water in your bathtub is dependent on  
19          how fast it goes down the drain and how fast  
20          it's coming in.

21                    So homocysteine going down the drain  
22          is what we're talking about, but the level  
23          might not reflect that, if the water coming in  
24          rates are changing; right?

25                    So measuring the level of

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2   homocysteine, or water in your bathtub, you can  
3   guess at what the rate of its falling out the  
4   drain is, but you can't know it without knowing  
5   the rate of addition of water to the bathtub.

6           So homocysteine might not continue  
7   dropping, because the rate of production is  
8   increasing for some reason; or the other  
9   pathways for getting rid of it are changing  
10   their rate. So it makes it even  
11   more complicated. It's not a bathtub with one  
12   drain but a bathtub with two drains, and you're  
13   only interested in one of the drains. The  
14   other drain is that cystathionine beta synthase  
15   outlet.

16           So it's a complicated equation. But  
17   for every homocysteine reduced by methionine  
18   synthase, there's a methyltetrahydrofolate  
19   being converted to tetrahydrofolate. So they  
20   are highly coordinated, but exactly what level  
21   means compared to the rate of methionine  
22   synthase I can't tell you precisely. I can  
23   only guess at it.

24           Q       You said there's a one-to-one  
25   correspondence between the folate being



1 STEVEN H. ZEISEL, M.D., Ph.D.

2 released from methyl trap and the pemetrexed  
3 decreasing; is that right?

4 A I don't -- I don't think I said  
5 that. What I said was, I believe, that  
6 pemetrexed is trying to act to block the use of  
7 reduced tetrahydrofolate to form thymidine,  
8 let's say, in thymidylate synthase.

9 It has to compete with reduced  
10 folates. And every time I release reduced  
11 folates from a place where -- essentially makes  
12 new reduced folates available to compete, I am  
13 increasing competition. And so I naturally  
14 decrease the efficacy. How much I decrease the  
15 efficacy depends on how much I change the  
16 competition.

17 Q My question was about the  
18 relationship between the folate being released  
19 from the methyl trap and the homocysteine.

20 A You asked me pemetrexed.

21 Q Then I misspoke. I meant to say  
22 homocysteine.

23 A Okay.

24 Q So to my original -- well, what I  
25 intended my original question to be --

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2 A I might have heard pemetrexed.

3 MR. KRINSKY: Counsel, you said  
4 pemetrexed.

5 THE WITNESS: I thought you said  
6 pemetrexed.

7 MS. SPIRES: That's fine.

8 THE WITNESS: I might have misheard  
9 you.

10 MR. PERLMAN: She can ask a new  
11 question. That's okay.

12 THE WITNESS: Fine by me. I'll use  
13 the same answer.

14 BY MS. SPIRES:

15 Q All right. So going to what I  
16 intended my original question to be: If I  
17 understand correctly, when you release the --  
18 when a methyl group is released -- strike that.

19 When a folate is released from the  
20 methyl trap, then the homocysteine level goes  
21 down; correct?

22 A So, again, I tried to answer that.  
23 The level isn't the same thing.

24 One homocysteine is converted to  
25 methionine and gotten rid of every time one

1 STEVEN H. ZEISEL, M.D., Ph.D.

2 methyltetrahydrofolate is converted to  
3 tetrahydrofolate. That could change the level,  
4 assuming nothing else changes; but it might not  
5 change the level, because it depends on those  
6 other drains and inputs that I talked about.

7 So if we say one homocysteine is  
8 used up for every folate that is freed up from  
9 the trap, then I am happy with that statement.

10 MS. SPIRES: I think we need to take  
11 a break to change the tape.

12 THE WITNESS: Okay.

13 THE VIDEOGRAPHER: Time is 11:42.  
14 We're off the record.

15 (Recess taken from 11:42 a.m. to  
16 11:52 a.m.)

17 THE VIDEOGRAPHER: Time is 11:52.  
18 We're on the record.

19 BY MS. SPIRES:

20 Q Dr. Zeisel, would a POSA in 1999  
21 have been concerned about administering B12 to  
22 pemetrexed patients because of this methyl trap  
23 issue?

24 A So a POSA in 1999 would have  
25 understood that pemetrexed works by opposing

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2 the action of folic acid, of folate; and would  
3 understand that giving B12 had the potential to  
4 release a folate that doesn't interfere with  
5 pemetrexed and convert it into a form that can  
6 interfere with pemetrexed. And a POSA would  
7 have said, in the absence of a compelling  
8 reason to give B12, a POSA would not have done  
9 so, because you're giving essentially the  
10 antidote to the treatment that you're giving.

11 So why would anybody give the  
12 antidote to a poison at the same time they give  
13 it? It would have been something they wouldn't  
14 have done; and strongly, they would have said,  
15 This isn't worth the risk.

16 Q And the concern from a POSA in 1999  
17 about giving B12 to a pemetrexed patient is  
18 because the pemetrexed patients might be  
19 B12-deficient, and thus, making the methyl trap  
20 a relevant issue; is that correct?

21 MR. KRINSKY: Object to the form;  
22 mischaracterizes testimony, asked and  
23 answered.

24 THE WITNESS: So I have not enough  
25 B12 to maximally run methionine synthase,

1 STEVEN H. ZEISEL, M.D., Ph.D.

2 and therefore, might have backed up a pool  
3 of trapped folate that it would release to  
4 compete with pemetrexed, would be the  
5 precise way I'd answer your question.

6 BY MS. SPIRES:

7 Q And a POSA would want to minimize  
8 the amount of useable folate in a pemetrexed  
9 patient system. Is that your testimony?

10 MR. KRINSKY: Object to the form.

11 You can answer.

12 THE WITNESS: So would have wanted  
13 to minimize the reduced forms of folate  
14 that can compete with pemetrexed, would be  
15 the exact answer I'd give you.

16 BY MS. SPIRES:

17 Q Wasn't it your testimony in your  
18 declaration that a POSA reading the Niyakiza  
19 would have believed that vitamin B12 deficiency  
20 was not a cause of the elevated homocysteine?

21 A Do you have a specific part of my  
22 testimony that -- my declaration that you're  
23 referring to?

24 Q Paragraph 70, for instance.

25 A 70?

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2 Q Yes.

3 A Can you repeat your question again  
4 so I get it exactly.

5 Q Wasn't your testimony in your  
6 declaration that a POSA reading Niyakiza would  
7 have believed that vitamin B12 was not a cause  
8 of the elevated homocysteine seen in Niyakiza?

9 A What I specifically say is that  
10 vitamin B12 deficiency was not related to the  
11 pemetrexed-induced toxicity. Throughout my  
12 piece, I have said that there are numbers of  
13 reasons that homocysteine could be elevated,  
14 B12 or low B12 being one of them.

15 However, in the presence of normal  
16 MMA, I believe that Niyakiza does not support  
17 the conclusion that B12 is -- B12 deficiency is  
18 the cause. It just says that, at least in this  
19 group of patients, I can't say it is and I  
20 can't rule out it isn't.

21 But with the absence of MMA being  
22 elevated, I don't have any positive evidence to  
23 say that that abstract, or Zervos, says that  
24 there's something wrong with B12.

25 Q I want you to assume that a POSA in



1           STEVEN H. ZEISEL, M.D., Ph.D.

2   1999 decided, against your advice, to give a  
3   pemetrexed patient folic acid. Is there  
4   anything a POSA could do to minimize any  
5   potential negative effects of the folic acid?

6           MR. KRINSKY: Object to the form;  
7   scope, incomplete hypothetical.

8           THE WITNESS: The key problem is  
9   that the effect of folate or B12 is  
10   unpredictable; that it would depend where  
11   you are on that tipping point for killing  
12   all the cancer cells.

13           You can't increase the dose, because  
14   there were off-target toxicities of  
15   pemetrexed, hurting the kidney, for  
16   instance. So if you decrease the efficacy  
17   of the equivalent dose, you didn't have  
18   the option of saying, Well, I'll just give  
19   more pemetrexed, because there were known  
20   problems with going higher that had  
21   nothing to do with the methionine  
22   synthase/folate trap, folate in  
23   competition with pemetrexed. It's a  
24   different mechanism for those.

25           And so it's very hard to say that

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2 you could have mitigated it, since you  
3 can't really understand or predict how --  
4 whether you're right at that threshold  
5 point, tipping point, a little before it,  
6 a little after it. And so I think, the  
7 best choice is not to give it. And the  
8 POSA shouldn't give it because of the  
9 unpredictability of the response to it and  
10 the negative effects it might have on the  
11 efficacy of the tumor, killing.

12 BY MS. SPIRES:

13 Q You said that you couldn't increase  
14 the dose of pemetrexed; correct?

15 A Again, I'm not an oncologist, but --  
16 and I would defer to Dr. Chabner.

17 But I have -- I am aware that one of  
18 the toxicities, the renal toxicity, is  
19 apparently not related to the antifolate effect  
20 of pemetrexed. But for more meat and detail in  
21 that answer, I'd have to defer you to  
22 Dr. Chabner, who is an oncologist and who has  
23 considered this very carefully.

24 Q In your view, in 1999, if a POSA had  
25 the ability to either increase the dose of

1 STEVEN H. ZEISEL, M.D., Ph.D.

2 pemetrexed or give additional doses of  
3 pemetrexed at the expense of losing some of the  
4 efficacy of pemetrexed, is this something that  
5 a POSA would consider?

6 MR. KRINSKY: Objection; asked and  
7 answered.

8 THE WITNESS: Again, I'd say an  
9 oncologist would be able to give a better  
10 answer and a more knowledgeable answer  
11 about dose adjust and treatment of  
12 cancers, because it depends on what cancer  
13 and what side effects and many other  
14 factors.

15 So I couldn't tell you whether a  
16 POSA would choose to increase dose or  
17 increase the time, change the timing of  
18 delivery.

19 BY MS. SPIRES:

20 Q I think at the beginning of the  
21 deposition you mentioned that you worked with  
22 oncologists on dosing, scheduling, that sort of  
23 thing for clinical trials. Is that right?

24 A Yes.

25 Q What was your role with respect to

1 STEVEN H. ZEISEL, M.D., Ph.D.

2 the dose and scheduling for those clinical  
3 trials?

4 A Again, in those studies, there was a  
5 protocol. And the oncologist took advice but  
6 made the final decision about any adjustment in  
7 the stated protocol that was based on clinical  
8 judgment and knowledge of the cancer and the  
9 patient.

10 So I, as a nutrition-consultant  
11 member of the research group, give advice. The  
12 final decision laid with the person responsible  
13 for the treatment of the cancer and who -- and  
14 so that's the oncologist, who took the advice,  
15 weighed it, and decided what was reasonable or  
16 not.

17 And so I was not the final word in  
18 the structure of the dosing. I was just a  
19 contributor of information.

20 And again, in the research protocol,  
21 you come up with the ideal treatment plan; but  
22 in real life, the clinician has to adjust the  
23 treatment plan based on the realities of the  
24 patient.

25 Q In your experience, do oncologists

1           STEVEN H. ZEISEL, M.D., Ph.D.

2   you're working with typically defer to a  
3   nutritionist's advice in developing a clinical  
4   protocol?

5           A       So I think "defer" is the right  
6   word. I think they weigh the consultant's  
7   advice against their knowledge and other  
8   people's advice and come up with a conclusion.  
9   Sometimes they implement the consultant's  
10  advice, and sometimes they say, I don't think  
11  this is the best thing to do.

12           In designing the research ideal  
13  protocol, there you're dealing with an ideal  
14  protocol, and there's more give and take in the  
15  discussion of what it might look like. But  
16  when dealing with the patient and adjusting  
17  that protocol to the realities of life, they  
18  would take the information -- not defer, but  
19  respect the information and make a decision  
20  based on their best assessment.

21           Q       It was genistein, is that the --

22           A       Genistein.

23           Q       What drug is that?

24           A       So genistein is a molecule derived  
25  from soybean that inhibits cancer growth and

1 STEVEN H. ZEISEL, M.D., Ph.D.

2 induces cancer cell suicide. And the National  
3 Cancer Institute was considering developing it  
4 as a therapy for prostate cancer at the time.  
5 And our work was designed to ask whether it has  
6 toxicity; specifically, does it damage DNA.

7 Q So if you were looking at toxicity,  
8 was this in Phase 1 trials?

9 A Yes.

10 Q And when were you working on these  
11 Phase 1 trials?

12 A I'd have to go back and look at my  
13 resume for when the papers were published, and  
14 I was working on it for a few years before  
15 those papers were published.

16 It was sometime in the '90s. I just  
17 don't remember the exact date. Might have been  
18 early 2000s, you know -- I just don't have the  
19 exact date for exactly when we did it.

20 Q Did you conduct Phase 2 trials for  
21 that drug as well?

22 A No, we did not do Phase 2 trials on  
23 that drug.

24 Q What was the purpose of the Phase 1  
25 trials that you conducted?



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2           A        So the Phase 1 trials were conducted  
3        to ask whether dose escalation, what was the  
4        maximally tolerated dose, first in a healthy  
5        person, and then, 2, in a person with prostate  
6        cancer.

7                        And it was a standard dose  
8        escalation designed at what point did we start  
9        to see toxicity. And we used a rating scale  
10       used by the National Cancer Institute that had  
11       a series of tests that related to the function  
12       of different organs -- kidney, brain, bone,  
13       heart, et cetera.

14                      And when we reached a dose at which  
15       we developed abnormal -- grade of toxicity  
16       rated from 1 to 4. When we got to Grade 2,  
17       that stopped the escalation of the dose. And  
18       so we came up with, in the first two studies,  
19       on what's the useable dose that the NCI might  
20       use.

21                      And one of our findings was that  
22       there was a significant amount of DNA damage  
23       that the drug was inducing, which meant that it  
24       might cause mutations in genes if you used it.  
25       And so that played into the NCI's decision as

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2   to whether to continue it on into a therapeutic  
3   trial or not.

4           Q       You mentioned that you conducted two  
5   studies with this drug; is that right?

6           A       So Phase 1 was using healthy humans  
7   who did not have cancer. And that's because  
8   the genistein was connected to have modest  
9   toxicity, because people are exposed to it when  
10  they eat soy protein products. But the dosing  
11  went up higher, several-fold above what normal  
12  exposure might have been.

13                   And then to do it in a group of men  
14  with prostate cancer, which is the group they  
15  intended to do the trial, to ask whether there  
16  was something about prostate cancer that might  
17  change the metabolism. And our studies  
18  measured all the metabolites formed, the  
19  pharmacokinetics in blood in both those groups.

20           Q       Did you quantify efficacy in this  
21  Phase 1 trial with the prostate cancer  
22  patients?

23           A       So like most Phase 1 trials, we are  
24  not powered to determined efficacy. But we did  
25  look at PSA levels of protein that is secreted

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2 by many prostate tumor cells and rises in  
3 people with prostate cancer and asked did we  
4 lower it. And we had a number of responders --  
5 I don't remember the exact number out of the  
6 group -- that lowered their PSA.

7 So that was a preliminary indication  
8 that it might be efficacy, and that's good that  
9 a Phase 1 trial can provide that. But proof of  
10 efficacy requires a study with adequate numbers  
11 of subjects to rule out random chance and null  
12 hypothesis.

13 So we did not draw conclusions about  
14 efficacy. Just said it appears that there may  
15 be efficacy.

16 MS. SPIRES: I think we've gone our  
17 about half hour before, if you want to --

18 MR. KRINSKY: It's up to you.

19 MS. SPIRES: It's a good stopping  
20 point.

21 THE VIDEOGRAPHER: Time is 12:12.  
22 We're off the record.

23 (Luncheon recess taken from 12:12  
24 p.m. to 1:06 p.m.)  
25

1 STEVEN H. ZEISEL, M.D., Ph.D.

2 THE VIDEOGRAPHER: Time is 1:06.

3 We're on the record.

4 BY MS. SPIRES:

5 Q Dr. Zeisel, are you familiar with  
6 the definition of a POSA in this matter?

7 A I am.

8 Q And do you believe that you are a  
9 POSA in this matter?

10 A I do.

11 Q And why is that?

12 A In my declaration -- let me get to  
13 that page -- the definition of a POSA on  
14 Item 16 is defined as a person of knowledge in  
15 oncology and a person with an understanding of  
16 how nutritional issues relate to the issues of  
17 chemotherapy agents. And in that regard, a  
18 POSA would have an understanding of the  
19 interrelationships between antifolates, folic  
20 acid pathway and pathways related to vitamin  
21 B12.

22 A POSA is a theoretical person, but  
23 I can represent a person with understanding of  
24 the interrelationships between antifolates, the  
25 folic acid pathways and pathways related to

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2 vitamin B12.

3 Q The definition of a POSA in your  
4 declaration is more than just understanding  
5 oncology. It's a medical doctor who  
6 specializes in oncology; correct?

7 A So the definition is one set of  
8 understandings of the theoretical person --  
9 that is, a person who has oncology experience,  
10 and specialization, and also has an  
11 understanding of the interrelationships between  
12 antifolates, folic acid pathways and pathways  
13 related to B12. And so I represent that piece  
14 of what a POSA would -- a theoretical POSA  
15 would understand.

16 Q So you represent -- so I have this  
17 clear, you represent a portion of a POSA's  
18 knowledge with respect to antifolates and their  
19 folic acid pathways and pathways related to  
20 B12, but you do not have the knowledge of a  
21 medical doctor who specializes in oncology;  
22 correct?

23 A So just to reword what you said I  
24 should agree to, I represent the portion of the  
25 POSA that -- which represents the understanding

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2 of 1-carbon metabolism, but I do not represent  
3 the POSA with expertise in the practice of  
4 oncology.

5 Q So you yourself are not a POSA, but  
6 you have a portion of the knowledge that a POSA  
7 would have; is that correct?

8 A So I understand it, a POSA could  
9 never be a person, because it's a theoretical  
10 concept.

11 And so the concept is there's a  
12 theoretical person who has knowledge of the  
13 entire literature around oncology and the  
14 entire literature around the interrelationships  
15 between antifolates, folic acid and pathways.  
16 And I am representing the theoretical person's  
17 understanding in those areas but, as you said,  
18 not their understanding in the practice of  
19 oncology, because I'm not an oncologist.

20 Q So in terms of the experience of a  
21 POSA, of being a medical doctor who specializes  
22 in oncology, that is a portion of a POSA that  
23 you do not represent; is that correct?

24 MR. KRINSKY: Objection; asked and  
25 answered.



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2 THE WITNESS: Yes. I mean, who  
3 specializes in medical oncology. I do not  
4 specialize in medical oncology.

5 BY MS. SPIRES:

6 Q And that's why, for certain  
7 questions today, you've said that you would  
8 defer to Dr. Chabner?

9 A That's right.

10 Q So Dr. Chabner is the POSA in this  
11 matter that represents at least the medical  
12 doctor specializing in oncology; correct?

13 A I'm not Dr. Chabner, so I can't say  
14 what his range of expertise is. But my  
15 expectation is is that he has excellent  
16 credentials as a medical oncologist and likely  
17 is representing that portion of a theoretical  
18 POSA's knowledge in medical oncology; and he  
19 has other knowledge as well, I'm sure.

20 Q Do you agree that when a patient is  
21 given folic acid, that the supplementation with  
22 folic acid will result in a reduction in  
23 homocysteine?

24 MR. KRINSKY: Object to the form;  
25 incomplete hypothetical.

1 STEVEN H. ZEISEL, M.D., Ph.D.

2 THE WITNESS: So you're asking me  
3 whether every patient given folic acid  
4 will lower their homocysteine.

5 BY MS. SPIRES:

6 Q Yes.

7 A And my answer is, they will not.

8 Q Why not?

9 A Because only a certain portion of  
10 the public, or people, has insufficient  
11 methyltetrahydrofolate to meet the needs of  
12 methylating homocysteine. And so only the  
13 portion for which they have inadequate  
14 methyltetrahydrofolate would giving folate or  
15 folic acid have an opportunity to improve their  
16 homocysteine. Giving more to somebody who  
17 already has more than enough will make no  
18 difference.

19 Q Are you aware of any literature  
20 stating that giving folic acid to a patient  
21 will reduce homocysteine in only those patients  
22 with a folic acid deficiency?

23 A It is obvious that if you have  
24 enough methyltetrahydrofolate to methylate  
25 homocysteine, having more

1 STEVEN H. ZEISEL, M.D., Ph.D.

2 methyltetrahydrofolate will not make the  
3 reaction go faster because then methionine  
4 synthase becomes limiting. So only when you  
5 don't have enough to meet and drive optimal  
6 activity will it make a difference.

7 So I'm not aware of a paper that  
8 says that giving excess folic acid to a person  
9 already who has -- is driving methionine  
10 synthase need for folic acid adequately makes  
11 any difference.

12 Q If you give folic acid to a person  
13 who has enough methyltetrahydrofolate to  
14 methylate homocysteine, what happens?

15 A You get a little bit of extra  
16 methyltetrahydrofolate. You get folate being  
17 used for other uses, which might be to make  
18 formyltetrahydrofolate or  
19 methylenetetrahydrofolate, to make purines,  
20 pyrimidines, et cetera, RNA and DNA. And some  
21 of the extra, as we discussed later, gets peed  
22 out in your urine because the kidney excretes  
23 it.

24 Q You say that if you give folic acid  
25 to a person who has sufficient

1 STEVEN H. ZEISEL, M.D., Ph.D.

2 methyltetrahydrofolate, you get more  
3 methyltetrahydrofolate; is that correct?

4 A I think you get the folic acid  
5 metabolized to form the various forms of  
6 folate, and whatever else is available to the  
7 kidneys gets removed. So you would get more  
8 methyl-, formyl, methylene-, et cetera,  
9 tetrahydrofolate up to a point; and then you  
10 know, you pee it out.

11 Q And part of this getting other forms  
12 of tetrahydrofolate is through methionine  
13 synthase; is that correct?

14 MR. KRINSKY: Object to the form of  
15 the question.

16 THE WITNESS: So again, no.  
17 Methionine synthase is a -- we're  
18 predicating is already maximally active  
19 and converting; that the other forms of  
20 folate are being formed as di -- this is  
21 folic acid you're giving, so dihydrofolate  
22 reductase creates tetrahydrofolate; and  
23 then that is shunted by an enzyme that  
24 sends it either towards making DNA and RNA  
25 or towards methylation, and so it will

1 STEVEN H. ZEISEL, M.D., Ph.D.

2 distribute with that.

3 And so some part of it may go  
4 through methionine synthase that's active  
5 and become tetrahydrofolate again and used  
6 for other things. But much of it can --  
7 is getting used, because of the excess  
8 folic acid you're giving, to make the  
9 other compounds without having to transit  
10 methionine synthase, because you're making  
11 tetrahydrofolate as a product of  
12 dihydrofolate reductase, DHFR.

13 BY MS. SPIRES:

14 Q Would a POSA in 1999 have been aware  
15 that giving a patient B12, B6 and folic acid  
16 would have a synergistic effect in terms of  
17 lowering homocysteine?

18 MR. KRINSKY: Object to the form of  
19 the question; incomplete hypothetical and  
20 scope.

21 THE WITNESS: I believe you're  
22 asking were there in the public domain  
23 papers and information that said that when  
24 you combine some of the B6, B12 and folate  
25 that you might get a bigger reduction in

1 STEVEN H. ZEISEL, M.D., Ph.D.

2 homocysteine than if you used any one  
3 alone. Is that right? And that was and  
4 is true for a couple of reasons.

5 One, a subportion of the population  
6 has high homocysteine due to low folate.  
7 Another subpopulation or population has it  
8 because of a B12 problem. Another has it  
9 because -- perhaps because of a B6  
10 problem.

11 And so when you give all three, you  
12 are getting different people but each one  
13 having a different problem and so that,  
14 combined, you see a bigger effect.

15 In addition, there were a paper or  
16 so suggesting that beyond that, folate,  
17 B12 and B6 in a given individual could  
18 have a bigger effect, and that could be  
19 because they have multiple roadblocks in  
20 metabolism that you're overcoming when you  
21 put them together, as opposed to treat  
22 separately.

23 BY MS. SPIRES:

24 Q Would the synergistic effect of  
25 treating a patient with vitamin B12, B6 and



1 STEVEN H. ZEISEL, M.D., Ph.D.

2 folate allow a POSA in 1999 to treat the  
3 patient with less folic acid?

4 MR. KRINSKY: Object to the form of  
5 the question; incomplete hypothetical and  
6 vague.

7 THE WITNESS: Can you restate the  
8 question just one more time.

9 BY MS. SPIRES:

10 Q Would a POSA in 1999 -- strike that.  
11 Would the synergistic effect of  
12 treating a patient with B12, B6 and folic acid  
13 allow a POSA in 1999 to treat a patient with  
14 less folic acid than if it were given on its  
15 own?

16 A So it would depend on the patient  
17 and what you're saying. But I could imagine an  
18 example where a patient is low in B12, and  
19 giving folate at a dose can only do so much,  
20 because then methionine synthase sits waiting  
21 for the B12 to catalyze it so could it work and  
22 that by making B12 available, you now allow  
23 that lower dose of folate to be used.

24 And so there you might have an  
25 example in a patient where it makes a

1           STEVEN H. ZEISEL, M.D., Ph.D.

2       difference.

3           On the other hand, in a patient  
4       where you've given folate and it's optimized  
5       the methionine synthase, giving B12 won't let  
6       it do any more to optimize because the  
7       methionine synthase is maximally active. Has  
8       all the B12 and all the folate it needs.  
9       Giving more doesn't make it work any better.

10           So there are a number of situations  
11       for -- it depends, but there are some  
12       situations where you're correct, you could give  
13       less folate because the person's real problem  
14       is that something else is creating the  
15       roadblock that, once you get rid of it, the  
16       amount of folate you give them works very  
17       nicely.

18           Q       Didn't you mention earlier that B12  
19       might increase the amount of methionine  
20       synthase?

21           A       It could be.

22           Q       So that would also contribute to the  
23       synergistic effect, wouldn't it?

24           A       It could.

25           Q       So that would also allow for a

1 STEVEN H. ZEISEL, M.D., Ph.D.

2 reduction in the dose of folic acid but the  
3 same -- with the same effect on lowering  
4 homocysteine?

5 A That's true.

6 So, again, B12 and folate can be two  
7 sides of the same story activating methionine  
8 synthase and making, then, more  
9 tetrahydrofolate available while, at the same  
10 time, reducing homocysteine. And therefore,  
11 either approach would end up creating --  
12 allowing the methyltetrahydrofolate to be  
13 converted and escape from being trapped behind  
14 poorly functioning methionine synthase.

15 And as you said, the B12 might be  
16 also kicking up the activity; thereby, even  
17 allowing more methyltetrahydrofolate to  
18 transit, convert to tetrahydrofolate while at  
19 the same time methylating homocysteine.

20 Q Are you familiar with what we have  
21 referred to as the EP-005 reference?

22 A I am.

23 Q And I believe you testified in your  
24 declaration that this regimen is intended to  
25 avoid the potential negative effects of

1 STEVEN H. ZEISEL, M.D., Ph.D.

2 elevated homocysteine; is that correct?

3 A Could you give me a copy of the  
4 EP-005, just so I'm talking with it in front of  
5 me.

6 Q Sure.

7 I'm handing you what's been  
8 previously marked as Exhibit 1010.

9 A Okay.

10 (Witness reviews document.)

11 Now, I'm sorry. Give me the  
12 question, once again.

13 Q I believe you testified that the  
14 EP-005 regimen is intended to avoid the  
15 potential negative effects that long-term  
16 elevation of homocysteine can have on the  
17 vascular system. Is that right?

18 A Yes. This patent application  
19 defined high homocysteine as above 16.3; and  
20 they said for people with homocysteines higher  
21 than 16.3, long-term exposure can be associated  
22 with increased heart disease, and they propose  
23 a mixture of vitamins, B6, folic acid and B12  
24 as a treatment.

25 Q So in the EP-005, they're addressing

1 STEVEN H. ZEISEL, M.D., Ph.D.

2 three of the four causes of elevated  
3 homocysteine that you mentioned earlier: B6,  
4 B12 and folate; is that right?

5 A Yes. Obviously, low B6, low folic  
6 and low B12.

7 Q Right.

8 So that my question is clear, since  
9 it wasn't, for the EP-005, they're addressing  
10 three of the four causes of elevated  
11 homocysteine that you mentioned earlier, which  
12 are low B6, low B12 and low folate; is that  
13 right?

14 A That's correct, yes.

15 Q Going back to your declaration, I  
16 think you state that because administering  
17 vitamin B12 can increase the conversion of  
18 5-methyltetrahydrofolate to other forms of  
19 folate and so increase the amount of available  
20 folate for making DNA, a POSA would have been  
21 concerned that administering vitamin B12  
22 pretreatment to a patient with cancer would  
23 likewise increase tumor growth; is that  
24 correct?

25 A I'm just going to state what you

1 STEVEN H. ZEISEL, M.D., Ph.D.

2 said to make sure I understood it.

3 That because vitamin B12 can release  
4 methyltetrahydrofolate and allow it to be  
5 converted to tetrahydrofolate, it would make  
6 tetrahydrofolate available and prevent the  
7 action of an antifolate and feed a tumor's  
8 requirement for making DNA, because it's a  
9 rapidly dividing cell and has to make a lot of  
10 DNA and it would use the folate to do so.

11 Is that what you're -- what you're  
12 saying and that I'm restating?

13 Q Not quite. I'm talking about tumor  
14 growth specifically.

15 A Right. So what I'm saying is, is  
16 that for a tumor to grow, it has to make DNA.  
17 For it to make DNA, it has to make thymidine;  
18 it has to make purine.

19 If that tumor is growing rapidly and  
20 becomes limited in its ability to grow because  
21 folate needed to make DNA has become limiting,  
22 then giving B12 and releasing folate that  
23 couldn't be used to make DNA into a form that  
24 can be, or giving folic acid and allowing DNA  
25 to be made that way, would help a tumor grow.



1 STEVEN H. ZEISEL, M.D., Ph.D.

2 So I think I answered your question.

3 Q So that we're clear, your concerns  
4 about B12 are the same as your concerns about  
5 folic acid, that it has the potential to  
6 increase the amount of folate available for  
7 making DNA; is that right?

8 MR. KRINSKY: Object to the form;  
9 mischaracterizes testimony.

10 THE WITNESS: I am concerned that  
11 rapidly growing cells, like tumors, need  
12 folate and B12 to make DNA properly; and  
13 that if they were limited by folate and  
14 B12, because they couldn't get enough of a  
15 supply, making more available will help  
16 them grow.

17 So both folate and B12 can help a  
18 tumor grow if it's been held back by not  
19 having those two nutrients available in  
20 the right amount.

21 BY MS. SPIRES:

22 Q I believe in your declaration you  
23 stated that, as of 1999, there was at least one  
24 medical reference describing vitamin B12 and  
25 saying that it can give rise to a risk of

1 STEVEN H. ZEISEL, M.D., Ph.D.

2 exacerbation of cancer progression; is that  
3 right?

4 A So in my declaration I say that  
5 there is a mechanistic understanding of why  
6 tumors need folate, and specifically the forms  
7 of folate that are formed when B12 and folate  
8 are given.

9 And there is a -- in Vidal, a  
10 statement that goes along with that mechanistic  
11 understanding, suggesting that giving B12 had a  
12 risk of helping tumors grow. And again, I've  
13 given the explanation in my last answer for how  
14 it could help a tumor grow.

15 Q Is Vidal the reference that you were  
16 referring to in your declaration when you say  
17 there is at least one medical reference  
18 describing --

19 A Can you tell me what section I'm  
20 saying that so I can read that exactly. I know  
21 I talk about Vidal.

22 Q Paragraph 47.

23 A Yes, I even cite Vidal at the end of  
24 that sentence.

25 Q And Vidal does not provide any

1 STEVEN H. ZEISEL, M.D., Ph.D.

2 clinical data; correct?

3 A Again, a clear mechanism based on  
4 basic understanding of what tumor cells need to  
5 divide is well-established. And all Vidal says  
6 is that based on, whatever, that and other  
7 information, they would recommend to a -- not  
8 "they" -- the company and the regulatory agency  
9 in France -- required a warning that this could  
10 be problematical on the product insert.

11 And they -- at least the mechanism  
12 and the direction of the warning agree, and  
13 therefore, my statement is that that there's a  
14 reason to avoid it; and an understanding of the  
15 biology and the fact that somebody has a  
16 reference -- a recommendation in the same  
17 direction just slightly strengthens that  
18 evidence.

19 Q But my question was a little more  
20 specific. And it was: Vidal itself, does it  
21 provide any clinical data to support its  
22 statement regarding B12 exacerbating cancer  
23 progression?

24 MR. KRINSKY: Object to the form.

25 Do you want to show him Vidal, Counsel?

1 STEVEN H. ZEISEL, M.D., Ph.D.

2 MS. SPIRES: I'm happy to if you  
3 want to see it.

4 THE WITNESS: Sure, I'll be glad to.

5 BY MS. SPIRES:

6 Q There are two Vidal exhibits that  
7 you cite to. I'll give you both of them.

8 A Thank you.

9 Q The first has been previously marked  
10 as Exhibit 2059. That's the 1999 Vidal  
11 reference. And then the 1998 Vidal reference  
12 is Exhibit 2124.

13 (Witness reviews document.)

14 A So your question was do they provide  
15 clinical data.

16 They provide conclusions that are  
17 based on clinical data, but they don't provide  
18 the clinical data that they base their  
19 conclusion upon in these references.

20 Q Are you aware of any studies related  
21 to humans showing that B12 supplementation can  
22 release folate and cause cancer growth?

23 A So that's a compound question.  
24 There are studies that -- and again, you asked  
25 about B12; is that correct?

1 STEVEN H. ZEISEL, M.D., Ph.D.

2 Q Yes.

3 A That B12 can activate, release --  
4 convert methyltetrahydrofolate to  
5 tetrahydrofolate. And I -- I'm trying to think  
6 whether I recall whether there's a study that  
7 says that it increases cancer growth.

8 There is a study by Farber, who is  
9 one of the pioneers in chemotherapy, that  
10 concluded that in a type of cancer --  
11 leukemia -- giving more folate increased cancer  
12 growth, mass; and that's the study I recall  
13 most clearly.

14 Q But Farber didn't give B12.

15 A I'm sorry. That's folate. You're  
16 right. I'm sorry. He was giving folic acid.

17 And so, again, people -- I don't  
18 recall of a clinical study in which they did a  
19 randomized control trial with tumor growth or a  
20 clinical study in which they gave B12 and asked  
21 whether B12 or no B12, there was a difference  
22 in tumor growth rate.

23 In cell culture, I'm sure there are  
24 such studies, but I can't name one for you  
25 right now. I'd have to go back and look and

1 STEVEN H. ZEISEL, M.D., Ph.D.

2 refresh my memory.

3 Q You mentioned the Farber study. Do  
4 you recall the antifolate at issue in that  
5 study?

6 A I think I cited it. So could I see  
7 a copy of the cite?

8 Q If you cited it, we should have it.  
9 I'm handing you what's been  
10 previously marked as Exhibit 2042.

11 (Witness reviews document.)

12 A So it looks like they're using  
13 pteroyltrigluatmic acid, diopoteran, and  
14 pteroylaspartic acid -- P-T-E-R-O-Y-L, and then  
15 aspartic, A-S-P-A-R-T-I-C; and same pteroyl and  
16 diglutamic D-I-G-L-U-T-A-M-I-C, are the two  
17 treatments.

18 And these were early stage  
19 antifolates that were in use at the time of  
20 this study.

21 Q The doses of folic acid given in  
22 Farber far exceeded the recommended daily  
23 allowance for folic acid; correct?

24 A Yes.

25 Q And the folic acid given in Farber



1 STEVEN H. ZEISEL, M.D., Ph.D.

2 was given in a liver extract; is that correct?

3 A Yes.

4 Q So it was folic acid plus some other  
5 kind of unknown things that were in the liver  
6 extract?

7 A That's right.

8 Q And this Farber article is from  
9 1948; correct?

10 A That's right.

11 Q Are you aware of any clinical data  
12 since 1948 showing that folic acid has promoted  
13 tumor growth?

14 MR. KRINSKY: Objection; scope.

15 THE WITNESS: Yes, in the specific  
16 area of treating with an antifolate, there  
17 have been articles -- I'm just trying to  
18 remember if tumor growth was a measured  
19 property rather than tumor toxicity or  
20 tumor size.

21 So I just -- I don't know if there  
22 is a paper where tumor growth was the  
23 marker and they did a control trial with  
24 and without folic acid.

25 BY MS. SPIRES:

1 STEVEN H. ZEISEL, M.D., Ph.D.

2 Q I think you state in your  
3 declaration that vitamin B12 deficiencies which  
4 lead to clinical symptoms, such anemia and  
5 neuropathy, are rare; is that correct?

6 A In my declaration?

7 Q Yes.

8 A Do you know where I said that?

9 Q Paragraph 33.

10 (Witness reviews document.)

11 A So what I've said is that  
12 vitamin B12 deficiencies which lead to clinical  
13 symptoms -- anemia and neuropathy -- are rare,  
14 in part because we're very good at recycling  
15 the vitamin B12 so we need extremely small  
16 amounts every day; and the stores last a good  
17 piece of time, unless there's something wrong  
18 with us.

19 Q Are vitamin B12 deficiencies with or  
20 without clinical symptoms also rare?

21 MR. KRINSKY: Object to the form.

22 THE WITNESS: So you have to  
23 differentiate between having low vitamin C  
24 [sic] levels that I could measure versus  
25 having low enough vitamin C to become

1           STEVEN H. ZEISEL, M.D., Ph.D.

2           deficient and develop abnormal organ  
3           function, which presents then as anemia,  
4           neuropathy, something has gone wrong.

5           So deficiency is being low enough  
6           that the enzymes that depend on B12 --  
7           methionine synthase and MMA -- aren't  
8           working properly. And, that's different  
9           from having low B12 but still having  
10          methionine synthase and methyl melamine  
11          CoA synthase abnormally functioning.

12          So -- so then my answer would be  
13          that being low enough to have abnormal  
14          MMA, which is the sensitive one, is  
15          relatively rare compared to the number of  
16          people who don't have that problem.

17 BY MS. SPIRES:

18          Q          Do you have an idea of approximately  
19          what percentage of people in the general  
20          population have B12 that's low enough to, as  
21          you say, have abnormal MMA?

22          A          I don't know an exact number. But,  
23          you know, some percentage. It depends on  
24          population, age, a bunch of other factors.

25                  But in the elderly, you know, more;

1 STEVEN H. ZEISEL, M.D., Ph.D.

2 and in young people, very few are B12  
3 deficient. But in India, more are because they  
4 don't eat meat products. So in that population  
5 of vegans, B12 can be more common.

6 Q So low levels of B12 is more common  
7 in, you said, the elderly and in vegetarians  
8 and vegans; is that correct?

9 A Yes.

10 Q Are there any other groups of people  
11 you can think of that have low vitamin B12  
12 levels?

13 A We talked about pernicious anemia,  
14 which is relatively rare, but again, a  
15 population who can't absorb B12 properly.

16 Q Are there any others?

17 A I think that's most people.

18 Q Vitamin B12 deficiencies are more  
19 common in patients undergoing chemotherapy;  
20 isn't that true?

21 MR. KRINSKY: Object to the form;  
22 scope.

23 THE WITNESS: I don't know that to  
24 be the case. It may be that for some type  
25 of chemotherapy that has been reported;

1 STEVEN H. ZEISEL, M.D., Ph.D.

2 but I'm not aware that it's generally that  
3 people getting chemotherapy have low B12.

4 MS. SPIRES: Could we take a quick  
5 break?

6 MR. KRINSKY: Sure.

7 THE VIDEOGRAPHER: Time is 1:50.  
8 We're off the record.

9 (Recess taken from 1:50 p.m. to  
10 2:08 p.m.)

11 THE VIDEOGRAPHER: Time is 2:08.  
12 We're on the record.

13 BY MS. SPIRES:

14 Q Dr. Zeisel, you'd agree that prior  
15 to June of 1999 it was known that administering  
16 a folic acid can reduce a patient's level of  
17 homocysteine; correct?

18 MR. KRINSKY: Objection; asked and  
19 answered.

20 THE WITNESS: I think what I agreed  
21 is, is that for a patient who is --  
22 doesn't have enough folate for methionine  
23 synthase to be active and that patient has  
24 high homocysteine, giving folate would  
25 allow the methylation of the homocysteine

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2 to lower it.

3 And again, not the level, but the  
4 amount, levels, how they change depends on  
5 many factors that have to do with other  
6 outlet pathways and rates of formation of  
7 homocysteine.

8 BY MS. SPIRES:

9 Q And you'd agree that prior to June  
10 of 1999, it was believed that giving folic acid  
11 to a pregnant woman or a woman wanting to  
12 become pregnant would increase the woman's  
13 level of folate and so possibly reduce the  
14 incidence of neural tube defects; is that  
15 correct?

16 MR. KRINSKY: Objection; scope.

17 THE WITNESS: That isn't something  
18 that I commented on in my declaration.

19 However, the idea was, is that for  
20 women who had low folate status, providing  
21 folic acid during pregnancy might bring  
22 their folate status up to normal; and that  
23 there was something about low folate  
24 status that increased the risk for having  
25 a baby with a birth defect.



1 STEVEN H. ZEISEL, M.D., Ph.D.

2 BY MS. SPIRES:

3 Q And prior to June of 1999, what  
4 other reasons would a POSA have to administer  
5 folic acid to a patient?

6 MR. KRINSKY: Object to the form of  
7 the question; incomplete hypothetical and  
8 scope.

9 THE WITNESS: So one of the reasons  
10 that a POSA might treat a patient with  
11 folic acid would be that they had an  
12 anemia that was consistent with the anemia  
13 that would be caused by low folic acid.  
14 Not all anemias, because it would have  
15 been a difference in the red cell size  
16 that would have said folic acid, and then  
17 might have been some other tests.

18 But if you had a patient who looked  
19 like they had anemia due to low folic  
20 acid, again, you might want to bring their  
21 folic acid towards normal and they weren't  
22 being treated with some treatment like an  
23 antifolate to treat a cancer.

24 BY MS. SPIRES:

25 Q Anything else?

1 STEVEN H. ZEISEL, M.D., Ph.D.

2 MR. KRINSKY: Same objections.

3 THE WITNESS: Without thinking about  
4 it carefully, I'm not thinking of other  
5 reasons that you'd give folic acid at the  
6 moment. Anemia would be the presenting  
7 symptom.

8 BY MS. SPIRES:

9 Q In forming the opinions in your  
10 declaration, did you consider the differences  
11 in the folic acid receptors between normal  
12 cells and cancer cells?

13 MR. KRINSKY: Objection; foundation.

14 THE WITNESS: I did not believe  
15 that, generally for cancer cells, there  
16 was enough difference in the folic acid  
17 receptors that it would change the  
18 observation that an antifolate depends on  
19 competing with folate and giving the  
20 antidote to folate -- to antifolate, which  
21 would be folater B12, would then somehow  
22 be recommended.

23 So I can't think of a case where the  
24 difference in their transporters or  
25 whatever would make a big difference in

1 STEVEN H. ZEISEL, M.D., Ph.D.

2 the recommendation that I make in my  
3 expert report, that you would not pretreat  
4 a patient being treated with pemetrexed  
5 with folater B12. You could wait until  
6 the treatment was over to take care of low  
7 folate B12 and still maintain maximum  
8 potential for killing cells by not  
9 competing during the time you're treating  
10 with the tumor -- treating with the  
11 pemetrexed.

12 BY MS. SPIRES:

13 Q I wasn't clear from your answer. Is  
14 the difference between the folic acid receptors  
15 for cancer cells and healthy cells something  
16 that you considered prior to drafting your  
17 declaration?

18 MR. KRINSKY: Objection; asked and  
19 answered.

20 THE WITNESS: Again, what I said is  
21 I didn't consider it as being important.

22 BY MS. SPIRES:

23 Q Did you consider differences in the  
24 catabolism between normal and cancer cells when  
25 coming to the conclusions drafting your

1 STEVEN H. ZEISEL, M.D., Ph.D.

2 declaration?

3 MR. KRINSKY: Objection; foundation,  
4 scope.

5 THE WITNESS: I certainly considered  
6 that cancer cells growing faster had some  
7 differences in their needs and metabolism  
8 for folates and transport of folates. And  
9 again, that only made it -- did not change  
10 the overall opinion that giving the  
11 antidote to the poison you're trying to  
12 kill the cancer cells with would not have  
13 been something a POSA would have done.

14 BY MS. SPIRES:

15 Q So you say you did consider the  
16 differences in the catabolism.

17 A In the metabolism; metabolism being  
18 a bigger subset than catabolism, but catabolism  
19 being part of it.

20 Q And did you discuss this in your  
21 declaration?

22 A No, because, again, I concluded that  
23 it did not impact on the fact that whatever the  
24 state of the tumor cell, you were depending on  
25 interfering with folic acid metabolism for the

1           STEVEN H. ZEISEL, M.D., Ph.D.

2   action of pemetrexed, and anything you did to  
3   make folate available only interfered with the  
4   mechanism whereby pemetrexed would work; and so  
5   you would not do it.

6           Q     Dr. Zeisel, you testified about this  
7   subject matter a year or two or three back in  
8   Indiana; correct?

9           A     I did.

10          Q     And you were deposed in that case?

11          A     Yes.

12          Q     And you were under oath when you  
13   were deposed in that case?

14          A     Yes.

15          Q     Do you stand by the testimony that  
16   you gave in that deposition?

17          A     Yes.

18                MS. SPIRES: Marking as Exhibit 1055  
19   your deposition transcript from that Teva  
20   litigation.

21                (Exhibit 1055, Deposition of  
22   S. Zeisel, 4-17-13, Eli Lilly v. Teva, was  
23   marked for identification.)

24                MR. KRINSKY: I'd just like to  
25   object to the admission of his entire

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2 deposition from the prior case. I don't  
3 think it's appropriate to somehow  
4 incorporate this testimony by reference.  
5 I think it's hearsay, and I just don't  
6 think this is an appropriate way to  
7 introduce a deposition into an IPR  
8 proceeding.

9 BY MS. SPIRES:

10 Q And Dr. Zeisel, you also testified  
11 at trial in the Teva litigation a few years  
12 ago.

13 A Yes, I did.

14 Q And you realized you were under oath  
15 in giving that testimony at trial?

16 A Yes, I did.

17 Q And you stand by your testimony  
18 given at trial?

19 A Yes, I do.

20 (Exhibit 1056, Trial testimony of  
21 S. Zeisel, 8-28-13, Eli Lilly v. Teva, was  
22 marked for identification.)

23 BY MS. SPIRES:

24 Q I'm introducing as Exhibit 1056 your  
25 testimony at trial in the Teva litigation.



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2 MR. KRINSKY: And once again, I  
3 would object to the admission of this  
4 exhibit in this proceeding. I don't  
5 believe that it's appropriate to take  
6 trial testimony of a witness who is  
7 testifying in this proceeding and just  
8 admit it wholesale as an exhibit to his  
9 cross-examination. I think it's hearsay.

10 MS. SPIRES: Dr. Zeisel, thank you  
11 for your time. I don't have any further  
12 questions, but your counsel may.

13 MR. KRINSKY: Let's take a break and  
14 go off the record, please.

15 THE VIDEOGRAPHER: Time is 2:18.  
16 We're off the record.

17 (Recess taken from 2:18 p.m. to  
18 2:41 p.m.)

19 THE VIDEOGRAPHER: Time is 2:41.  
20 We're on the record.

21 EXAMINATION

22 BY MR. KRINSKY:

23 Q Doctor, do you remember over the  
24 course of today a series of questions about  
25 different strategies for lowering homocysteine?

1 STEVEN H. ZEISEL, M.D., Ph.D.

2 A I do.

3 Q Would a desire to lower homocysteine  
4 levels give the person of ordinary skill in the  
5 art a reason to pretreat patients who are about  
6 to receive pemetrexed chemotherapy with either  
7 vitamin B12 or folic acid or both?

8 A No. The -- we talked about  
9 strategies for lowering homocysteine.  
10 Homocysteine's effect take a long time to  
11 occur.

12 And a POSA would have known that you  
13 could wait until after the chemotherapy is  
14 completed to address lowering homocysteine; and  
15 would not have wanted to lower homocysteine  
16 because both B12 and folate, in order to lower  
17 homocysteine, have to make more folate --  
18 reduced folate, THF -- available, and that  
19 would compete with your antifolate and be an  
20 antidote.

21 And so you would not do it. It  
22 would have been really unprecedented to think  
23 about it, because I don't think there was a  
24 single publication where any cancer patient,  
25 before getting pemetrexed, had gotten B12.

1 STEVEN H. ZEISEL, M.D., Ph.D.

2 And so it just wouldn't have been  
3 done. You would have waited.

4 Q And do you recall also recall being  
5 asked some questions about a potential  
6 synergistic effect on lowering homocysteine  
7 between folic acid and vitamin B12 and I  
8 believe it was vitamin B6?

9 A Yes.

10 Q Would any potential synergy between  
11 folic acid and vitamin B12 or among folic acid  
12 and B12 and B6 have given the person of  
13 ordinary skill a reason to pretreat patients  
14 who are going to receive pemetrexed  
15 chemotherapy with any of those vitamins?

16 MS. SPIRES: Object to form.

17 THE WITNESS: So to the contrary, if  
18 there were a synergy that worked by making  
19 methionine synthase more effective at  
20 converting methyltetrahydrofolate to  
21 tetrahydrofolate, thereby lowering  
22 homocysteine more, you would have more of  
23 a problem with creating more  
24 tetrahydrofolate to compete with your  
25 antifolate. And, therefore, if there was

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2 a synergy, you'd have more reason not to  
3 consider pretreating and every reason to  
4 wait to treat the homocysteine, which has  
5 long-term chronic effects and not  
6 short-term effects.

7 In fact, just to be clear, none of  
8 the evidence suggests that homocysteine --  
9 high homocysteine themselves are causing  
10 the toxicity. They were just markers of  
11 something wrong in folate 1-carbon  
12 metabolism. And lowering homocysteine  
13 would have done nothing to lower your  
14 toxicity. It would have just -- it isn't  
15 a problem. The problem was something else  
16 in 1-carbon metabolism that made you more  
17 sensitive to the pemetrexed because  
18 probably your folate competition to  
19 pemetrexed was lower.

20 MR. KRINSKY: Thank you, Dr. Zeisel.  
21 I have no further questions at this time.

22 MS. SPIRES: We don't have any  
23 further questions either. You're free to  
24 go.

25 THE WITNESS: Thank you.

1 STEVEN H. ZEISEL, M.D., Ph.D.

2 MR. KRINSKY: Thank you, Doctor.

3 THE VIDEOGRAPHER: Time is 2:45.

4 We're off the record.

5 (Time noted: 2:45 p.m.)

6  
7  
8  
9  
10 \_\_\_\_\_  
11 STEVEN H. ZEISEL, M.D., PH.D.

12  
13  
14 Subscribed and sworn to before me

15 this \_\_\_\_\_ day of \_\_\_\_\_, 20 \_\_\_\_.

1  
2 CERTIFICATE OF SHORTHAND REPORTER  
3

4 I, Gail Inghram Verbano, Registered  
5 Diplomate Reporter, Certified Realtime  
6 Reporter, Certified Shorthand Reporter (CA),  
7 and Notary Public in and for the District of  
8 Columbia, the officer before whom the foregoing  
9 proceedings were taken, do hereby certify:

10 That STEVEN H. ZEISEL, M.D., PH.D.,  
11 the witness whose deposition is hereinbefore  
12 set forth, was duly sworn by me and that such  
13 deposition is a true record of the testimony  
14 given by such witness.

15 I further certify that I am not  
16 related to any of the parties to this action by  
17 blood or marriage; and that I am in no way  
18 interested in the outcome of this matter.

19 IN WITNESS WHEREOF, I have hereunto  
20 set my hand this 28th day of November, 2016.  
21

22 \_\_\_\_\_  
23 Gail Inghram Verbano, RDR, CRR, CLR  
24 CA-CSR No. 8635  
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