Paper 8

Entered: June 11, 2016

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

ARISTA NETWORKS, INC., Petitioner,

v.

CISCO SYSTEMS, INC., Patent Owner.

Case IPR2016-00309 Patent 7,224,668 B1

Before BRYAN F. MOORE, MATTHEW R. CLEMENTS, and PETER P. CHEN, *Administrative Patent Judges*.

CLEMENTS, Administrative Patent Judge.

DECISION

Instituting *Inter Partes* Review and Granting Motion for Change of Filing Date 37 C.F.R. § 42.108



I. INTRODUCTION

Arista Networks, Inc. ("Petitioner") filed a Petition requesting *inter* partes review of claims 1–10, 12, 13, 15–28, 30, 31, 33–43, 48, 49, 51–64, 66, 67, and 69–72 ("the challenged claims") of U.S. Patent No. 7,224,668 B1 (Ex. 1001, "the '668 patent"). Paper 1 ("Pet."). Cisco Systems, Inc. ("Patent Owner") filed a Preliminary Response. Paper 7 ("Prelim. Resp.").

We have jurisdiction under 35 U.S.C. § 314(a), which provides that an *inter partes* review may not be instituted unless the information presented in the Petition shows "there is a reasonable likelihood that the petitioner would prevail with respect to at least 1 of the claims challenged in the petition." Upon consideration of the Petition and Preliminary Response, we are persuaded that Petitioner has met its burden of showing a reasonable likelihood that it would prevail in showing that claims 1–10, 12, 13, 15–28, 30, 31, 33–43, 48, 49, 51–64, 66, 67, and 69–72 are unpatentable.

A. Related Proceedings

The '668 patent is involved in *Cisco Systems, Inc. v. Arista Networks, Inc.*, Case No. 4:14-cv-05343 (N.D. Cal.) and *Cisco Systems, Inc. v. Arista Networks, Inc., Network Devices, Related Software and Components Thereof (II)*, ITC Inv. No. 337-TA-945. Pet. 1; Paper 6, 1. Petitioner also has filed IPR2015-00974 ("the '974 IPR") and IPR2015-01710 ("the '1710 IPR"). Paper 6, 1. Petitioner also has filed over a dozen other petitions requesting *inter partes* review of other patents owned by Patent Owner: IPR2015-00973 (U.S. Patent No. 6,377,577), IPR2015-00975 (U.S. Patent No. 8,051,211), IPR2015-00976 (U.S. Patent No. 7,023,853), IPR2015-00978 (U.S. Patent No. 7,340,597), IPR2015-01049 (U.S. Patent No. 6,377,577), IPR2015-01050 (U.S. Patent No. 7,023,853), IPR2016-00018 (U.S. Patent



No. 8,051,211), IPR2016-00119 (U.S. Patent No. 7,047,526), IPR2016-00244 (U.S. Patent No. 7,953,886), IPR2016-00303 (U.S. Patent No. 6,377,577), IPR2016-00304 (U.S. Patent No. 7,023,853), IPR2016-00306 (U.S. Patent No. 7,023,853), and IPR2016-00308 (U.S. Patent No. 7,162,537).

B. The '668 patent

The '668 patent relates generally to an internetworking device, such as a router, with improved immunity to Denial of Service ("DoS") attacks. Ex. 1001, Abstract. At the time, a router typically separated its functionality into a data plane, responsible for accepting transit packets at input ports and routing or switching them to output ports, and a control plane, responsible for higher layer functions, such as establishing routing tables. *Id.* at 1:52–59. Denial of Service attacks were commonly directed at the control plane. *Id.* at 1:59–67. Attempts to solve such problems were difficult to administer and could result in poor performance when control-plane policies were applied not only to control plane packets, but also to transit packets. *Id.* at 2:24–3:2.

To address these and other issues, the '668 patent discloses an internetworking device whose control plane processes are collectively arranged as a single addressable port such that all packets intended for the control plane always pass through this designated port, which thereby provides the ability to better manage control plane traffic. *Id.* at 3:42–50. A set of port services unique to the control plane may be applied to the aggregate control plane port. *Id.* at 3:54–56.



Figure 1 is reproduced below.

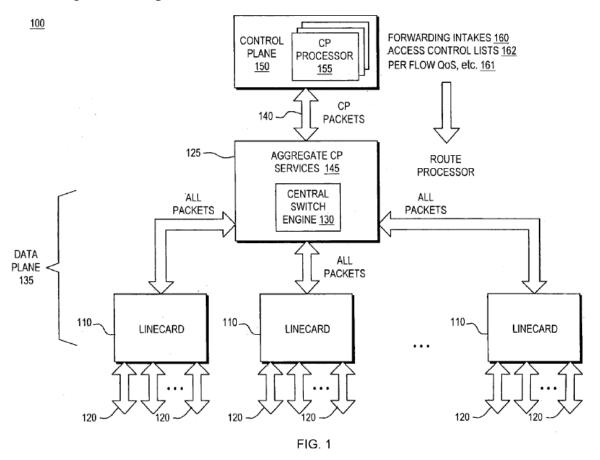


Figure 1 is a block diagram of internetworking device 100, such as a router, comprising control plane port 140, which defines a single access path between switch engine 130 and control plane 150. *Id.* at 4:47–67. Line cards 110 and central switch engine 130 accept packets received on a given port 120 and route them through to another output port 120. *Id.* at 5:5–7. Because all packets destined to control plane 150 pass through central switch engine 130 prior to being routed to functions 155, central switch engine 130 can be used to implement aggregate control plane protection. *Id.* at 5:36–42. Control plane port services determine if a given packet is destined to a control plane process 150. *Id.* at 5:56–58. Control plane port 140 may be a single physical port or may be a virtual address, but either way, it can be



treated as a traditional hardware port to which a full range of traditional port control features—e.g., rate limiting, access lists, hierarchical queues based on priority—can be applied to help protect control plane 150 from a DoS attack, or to provide other QoS (quality of service). *Id.* at 5:1–4, 5:66–6:44.

C. Illustrative Claim

Of the challenged claims, claims 1, 19, 37, and 55 are independent. Claim 1 is reproduced below:

- 1. An internetworking device comprising:
- a. a plurality of physical network interface ports, each for providing a physical connection point to a network for the internetworking device, the ports being configurable by control plane processes;
- b. port services, for operating on packets entering and exiting the physical network interface ports, the port services providing an ability to control and monitor packet flows, as defined by control plane configurations;
- c. a control plane, comprising a plurality of internetworking control plane processes, the control plane processes for providing high-level control and configuration of the ports and the port services;

d. wherein:

- i. a control plane port entity provides access to the collection of control plane processes, so that a set of control plane port services can be applied thereto; and
- ii. the control plane port services operate on packets received from specific, predetermined physical ports and destined to the collection of control plane processes in a way that is independent of the physical port interfaces and services applied thereto.

Ex. 1001, 9:17-40.



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