

UNITED STATES PATENT AND TRADEMARK OFFICE

---

BEFORE THE PATENT TRIAL AND APPEAL BOARD

---

Mr. J. Kyle and Mr. Erich Spangenberg  
Petitioner

v.

ALPEX PHARMA  
Patent Owner

---

Patent No. 8,440,170  
Issued: May 14, 2013  
Filed: PCT January 30, 2009  
Inventors: F. Stroppolo and S. Ardalan  
Title: "Orally Disintegrating Tablets with Speckled Appearance"

---

*Inter Partes* Review: No. IPR2016-00245

---

**PATENT OWNER'S SUBMISSION OF MANDATORY NOTICE PURSUANT TO  
37 C.F.R. § 42.8(a)(2)**

Mail Stop "PATENT BOARD"  
Patent Trial and Appeal Board  
U.S. Patent and Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450

Pursuant to C.F.R. § 42.8 the undersigned, on behalf of and acting in  
representative capacity for Patent Owner ALPEX PHARMA, hereby submits the following  
mandatory notice in connection with the Petition to Institute *Inter Partes* Review against U.S.  
Patent No. 8,440,170, No. IPR2016-00245.

**37 C.F.R. § 42.8(b)(1)-REAL PARTY-IN-INTEREST**

The Real Party-In-Interest is ALPEX PHARMA SA (“Patent Owner”) having a place of business at Via Cantonale, CH-6805 Mezzovico, Switzerland. Patent Owner owns by assignment the right, title and interest in and to U.S. Patent No. 8,440,170, by virtue of an assignment instrument from the inventors Federico Stroppolo and Shabaz Ardalan, recorded at Reel 24641, Frame 717.

**37 C.F.R. § 42.8(b)(2)-RELATED MATTERS**

None.

<b>Lead Counsel</b>	<b>Back-Up Counsel</b>
Silvia Salvadori Registration No. 48,265 SILVIA SALVADORI, P.C. 270 Madison Avenue, 8 <sup>th</sup> Floor, New York, NY 10016 silvia@salvadorilaw.com (212) 897-1938	George B. Snyder Registration No. 27,675 Ware, Fressola, Maguire & Barber LLP 755 Main Street, P.O. Box 224 Monroe, CT 06468 gbs@warefressola.com (203) 261-1234

Please address all correspondence to the above-identified counsel. The Patent Owner consents to electronic service at the electronic mail addresses indicated above.

Respectfully submitted,

Dated: December 15, 2015

By: /Silvia Salvadori/  
Silvia Salvadori  
Lead Counsel for Patent Owner  
Registration No. 48,265

/George B. Snyder/  
George B. Snyder  
Back-up Counsel for Patent Owner  
Registration No. 27,675

## CERTIFICATE OF SERVICE

The undersigned hereby certifies that on December 15, 2015 a copy of the foregoing PATENT OWNER'S SUBMISSION OF MANDATORY NOTICE is being served on Petitioner's counsel by emailing a copy to Petitioner's counsel of record at:

Dr. Gregory J. Gonsalves  
Email: gonsalves@gonsalveslawfirm.com  
2216 Beacon Lane  
Falls Church, Virginia 22043  
(571) 419-7252

Christopher Casieri  
Email: chris@miplaw.com  
McNeely, Hare & War LLP  
12 Roszel Road, Suite C104  
Princeton, NJ 08540  
(609) 731-3668

Email: tmeagher@meagheremanuel.com

Dated: December 15, 2015

/George B. Snyder/  
George B. Snyder, Esq.  
Attorney for Patent Owner  
Registration No. 27,675