Paper No. 39 Filed: October 27, 2016

## UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

NEPTUNE GENERICS, LLC, APOTEX INC., APOTEX CORP., TEVA PHARMACEUTICALS USA, INC., and FRESENIUS KABI USA, LLC,

PETITIONERS,

V.

ELI LILLY & COMPANY,

PATENT OWNER.

Case IPR2016-00240<sup>1</sup> Patent 7,772,209

### PETITIONER'S CURRENT EXHIBIT LIST AS OF OCTOBER 27, 2016 PURSUANT TO 37 C.F.R. § 42.63(e)

<sup>1</sup> Cases IPR2016-01191 and IPR2016-01343 have been joined with the instant proceeding.

DOCKET

LARM Find authenticated court documents without watermarks at <u>docketalarm.com</u>.

# PETITIONER'S CURRENT EXHIBIT LIST

(Updated October 27, 2016)

| Exhibit No.  | Description  |
|--------------|--|
| Exhibit 1001 | U.S. Patent No. 7,772,209 to Clet Niyikiza, filed on July 11, 2007, and issued on Aug. 10, 2010 ("the '209 patent")  |
| Exhibit 1002 | U.S. Patent No. 7,772,209 Prosecution History ("209 prosecution history")  |
| Exhibit 1003 | U.S. Patent No. 5,344,932 to Edward C Taylor, issued on Sep. 6, 1994 (" <i>Taylor</i> ")   |
| Exhibit 1004 | Claim Chart for Niyikiza '209 Petition (Attachment 2 to Bleyer Declaration)  |
| Exhibit 1005 | Worzalla et al., "Role of Folic Acid in Modulating the Toxicity<br>and Efficacy of the Multitargeted Antifolate, LY231514."<br>Anticancer Research 18:3235-3240 (1998) ("Worzalla")  |
| Exhibit 1006 | U.S. Patent No. 4,140,707 to Cleare et al., issued on Feb. 20, 1979 (" <i>Cleare</i> ")  |
| Exhibit 1007 | Tsao CS, "Influence of Cobalamin on the Survival of Mice<br>Bearing Ascites Tumor." Pathobiology 1993;61:104-108 (" <i>Tsao</i> ")   |
| Exhibit 1008 | Niyikiza et al., "MTA (LY231514): Relationship of vitamin<br>metabolite profile, drug exposure, and other patient characteristics<br>to toxicity." Annals of Oncology, Vol. 9, Suppl. 4, 1998, Abstract<br>609P, pg. 126 (" <i>Niyikiza</i> ")                       |
| Exhibit 1009 | Curriculum Vitae of W. Archie Bleyer, M.D., FRCP[Glasg]<br>(Attachment 1 to Bleyer Declaration)  |
| Exhibit 1010 | European Patent Application No. 0,595,005 A1 ("EP 005")  |
| Exhibit 1011 | Rusthoven et al., "Multitargeted Antifolate LY231514 as First-<br>Line Chemotherapy for Patients with Advanced Non-Small-Cell<br>Lung Cancer: A Phase II Study." Journal of Clinical Oncology,<br>Vol. 17, No. 4, (April 1999), pp. 1194-1199 (" <i>Rusthoven</i> ") |
| Exhibit 1012 | Refsum H & Ueland PM, "Clinical significance of pharmacological modulation of homocysteine metabolism." Trends in Pharmacol. Sci., Vol. 11, No. 10, 1990, pp. 411-416 (" <i>Refsum</i> ")  |

1

| Exhibit No.  | Description  |
|--------------|--|
| Exhibit 1013 | Calvert AH & Walling JM, "Clinical studies with MTA." British<br>Journal of Cancer (1998) 78 (Suppl. 3), 35-40 (" <i>Clavert 1998</i> ")   |
| Exhibit 1014 | Calvert H, "An Overview of Folate Metabolism: Features<br>Relevant to the Action and Toxicities of Antifolate Anticancer<br>Agents," Seminars in Oncology, Vol. 26, No. 2, Suppl 6 (April),<br>1999, pp.3-10 (" <i>Calvert 1999</i> ") |
| Exhibit 1015 | O'Dwyer et al., "Overview of Phase II Trials of MTA in Solid<br>Tumors." Seminars in Oncology, Vol. 26, No. 2, Suppl 6 (April),<br>1999, pp. 99-104 (" <i>O'Dwyer</i> ")   |
| Exhibit 1016 | Zervos et al., "Functional folate status as a prognostic indicator of toxicity in clinical trials of the multitargeted antifolate LY231514." Proceedings of ASCO, Vol. 16, 1997, pg. 256a ("Zervos")                                   |
| Exhibit 1017 | Allen et al., "Diagnosis of Cobalamin Deficiency I: Usefulness<br>of Serum Methylmalonic Acid and Total Homocysteine<br>Concentrations." American Journal of Hematology, 34, 1990,<br>90-98 (" <i>Allen</i> ")                         |
| Exhibit 1018 | Savage et al., "Sensitivity of Serum Methylmalonic Acid and Total<br>Homocysteine Determinations for Diagnosing Cobalamin and<br>Folate Deficiencies. The American Journal of Medicine, 96: 1994,<br>239-246 (" <i>Savage</i> ")       |
| Exhibit 1019 | Brönstrup et al., "Effects of folic acid and combinations of folic<br>acid and vitamin B-12 on plasma homocysteine concentrations in<br>healthy, young women." Am. J. Clin. Nutr. Vol. 68, 1998, 1104-10<br>(" <i>Bronstrup</i> ")     |
| Exhibit 1020 | Carrasco et al., "Acute megaloblastic anemia: homocysteine<br>levels are useful for diagnosis and follow-up." Haematologica,<br>Vol. 84(8), August 1999, 767-768 (" <i>Carrasco</i> ")   |
| Exhibit 1021 | Thödtmann et al., "Phase I study of different sequences of MTA (LY231514) in combination with cisplatin in patients with solid tumours." Annals of Oncology, Vol. 9, Suppl. 4, 1998, Abstract 618P, pg. 129 (" <i>Thodtmann</i> ")     |

| Exhibit No.  | Description  |
|--------------|--|
| Exhibit 1022 | Hammond et al., "A Phase I and pharmacokinetic (PK) study of<br>the multitargeted antifolate (MTA, LY231514) with folic acid<br>(FA)." Annals of Oncology, Vol. 9, Suppl. 4, 1998, Abstract 620P,<br>pg. 129 (" <i>Hammond</i> ")                  |
| Exhibit 1023 | Morgan et al., "The Effect of Folic Acid Supplementation on the<br>Toxicity of Low-Dose Methotrexate in Patients with Rheumatoid<br>Arthritis." Arthritis and Rheumatism, Vol. 33, No. 1, January<br>1990, pp. 9-18 (" <i>Morgan</i> ") (Ex. 1023) |
| Exhibit 1024 | Declaration of W. Archie Bleyer, M.D., FRCP[Glasg]   |
| Exhibit 1025 | <i>Eli Lilly and Company v. Teva Parental Medicines, Inc., et al.,</i><br>INSD-1:10-cv-01376 Markman Order (June 20, 2012) ("Teva")  |
| Exhibit 1026 | <i>Eli Lilly and Company v. Teva Parental Medicines, Inc., et al.,</i><br>INSD-1:10-cv-01376 Joint Claim Construction Brief (April 19, 2012) ("Teva Claim Construction")   |
| Exhibit 1027 | <i>Eli Lilly and Company v. Teva Parental Medicines, Inc., et al.,</i><br>INSD-1:10-cv-01376 Decision (March 31, 2014) ("Teva Decision")   |
| Exhibit 1028 | Curriculum Vitae of Scott Bennett, Ph.D.   |
| Exhibit 1029 | Declaration of Scott Bennett, Ph.D.  |
| Exhibit 1030 | Online copy of Rusthoven from the Web site of the Journal of<br>Clinical Oncology  |
| Exhibit 1031 | University of Illinois at Urbana-Champaign Library directory entry<br>for the Journal of Clinical Oncology   |
| Exhibit 1032 | Statewide Illinois Library Catalog record for the Journal of Clinical<br>Oncology  |
| Exhibit 1033 | Copy of Rusthoven from the University of Illinois at Chicago<br>Library  |
| Exhibit 1034 | Web of Science entry for Rusthoven   |
| Exhibit 1035 | Online copy of <i>Carrasco</i> from the Highwire Press   |
| Exhibit 1036 | University of Illinois at Urbana-Champaign Library directory entry for <i>Haematologica</i>  |
| Exhibit 1037 | Statewide Illinois Library Catalog record for Haematologica  |

3

| Exhibit No.  | Description  |
|--------------|--|
| Exhibit 1038 | Copy of <i>Carrasco</i> from the University of Michigan Taubman<br>Medical Library |
| Exhibit 1039 | Web of Science entry for Carrasco  |
| Exhibit 1040 | Declaration of Mieke K. Malmberg   |
| Exhibit 1041 | Declaration of Paul J. Skiermont   |

October 27, 2016

Respectfully Submitted,

/Sarah E. Spires/

Sarah E. Spires (Reg. No. 61,501) SKIERMONT DERBY LLP 2200 Ross Ave., Ste. 4800W Dallas, Texas 75201 P: 214-978-6600/F: 214-978-6601 Lead Counsel for Petitioner

Dr. Parvathi Kota (Reg. No. 65,122) SKIERMONT DERBY LLP 2200 Ross Ave., Ste. 4800W Dallas, Texas 75201 P: 214-978-6600/F: 214-978-6621 Back-Up Counsel for Petitioner

# DOCKET A L A R M



# Explore Litigation Insights

Docket Alarm provides insights to develop a more informed litigation strategy and the peace of mind of knowing you're on top of things.

# **Real-Time Litigation Alerts**



Keep your litigation team up-to-date with **real-time alerts** and advanced team management tools built for the enterprise, all while greatly reducing PACER spend.

Our comprehensive service means we can handle Federal, State, and Administrative courts across the country.

# **Advanced Docket Research**



With over 230 million records, Docket Alarm's cloud-native docket research platform finds what other services can't. Coverage includes Federal, State, plus PTAB, TTAB, ITC and NLRB decisions, all in one place.

Identify arguments that have been successful in the past with full text, pinpoint searching. Link to case law cited within any court document via Fastcase.

# **Analytics At Your Fingertips**



Learn what happened the last time a particular judge, opposing counsel or company faced cases similar to yours.

Advanced out-of-the-box PTAB and TTAB analytics are always at your fingertips.

# API

Docket Alarm offers a powerful API (application programming interface) to developers that want to integrate case filings into their apps.

#### LAW FIRMS

Build custom dashboards for your attorneys and clients with live data direct from the court.

Automate many repetitive legal tasks like conflict checks, document management, and marketing.

#### FINANCIAL INSTITUTIONS

Litigation and bankruptcy checks for companies and debtors.

#### E-DISCOVERY AND LEGAL VENDORS

Sync your system to PACER to automate legal marketing.