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## (12) EX PARTE REEXAMINATION CERTIFICATE (10815th)

### **United States Patent**

Edery et al.

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#### (54) MALICIOUS MOBILE CODE RUNTIME MONITORING SYSTEM AND METHODS

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#### Related U.S. Application Data

- (63) Continuation-in-part of application No. 09/539,667, filed on Mar. 30, 2000, now Pat. No. 6,804,780, which is a continuation of application No. 08/964,388, filed on Nov. 6, 1997, now Pat. No. 6,092,194, said application No. 09/861,229 is a continuation-in-part of application No. 09/551,302, filed on Apr. 18, 2000, now Pat. No. 6,480,962, which is a continuation of application No. 08/790,097, filed on Jan. 29, 1997, now Pat. No. 6,167,520.
- (60) Provisional application No. 60/205,591, filed on May 17, 2000.
- (51) Int. Cl.

  G06F 11/30 (2006.01)

  H04L 29/06 (2006.01)

  G06F 21/53 (2013.01)

  G06F 21/56 (2013.01)

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(2013.01); G06F 21/53 (2013.01); G06F 21/562 (2013.01); H04L 63/1408 (2013.01); H04L 63/1441 (2013.01); H04L 63/20 (2013.01); G06F 2221/2119 (2013.01); G06F 2221/2141 (2013.01)

(58) Field of Classification Search

None

See application file for complete search history.

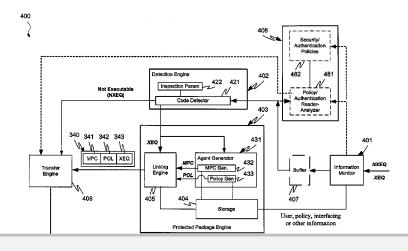
#### (56) References Cited

To view the complete listing of prior art documents cited during the proceeding for Reexamination Control Number 90/013,017, please refer to the USPTO's public Patent Application Information Retrieval (PAIR) system under the Display References tab.

Primary Examiner — Adam L Basehoar

#### (57) ABSTRACT

Protection systems and methods provide for protecting one or more personal computers ("PCs") and/or other intermittently or persistently network accessible devices or processes from undesirable or otherwise malicious operations of Java™ applets, ActiveX<sup>TM</sup> controls, JavaScript<sup>TM</sup> scripts, Visual Basic scripts, add-ins, downloaded/uploaded programs or other "Downloadables" or "mobile code" in whole or part. A protection engine embodiment provides, within a server, firewall or other suitable "re-communicator," for monitoring information received by the communicator, determining whether received information does or is likely to include executable code, and if so, causes mobile protection code (MPC) to be transferred to and rendered operable within a destination device of the received information, more suitably by forming a protection agent including the MPC, protection policies and a detected-Downloadable. An MPC embodiment further provides, within a Downloadable-destination, for initiating the Downloadable, enabling malicious Downloadable operation attempts to be received by the MPC, and causing (predetermined) corresponding operations to be executed in response to the attempts, more suitably in conjunction with protection policies.





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# 1 EX PARTE REEXAMINATION CERTIFICATE

THE PATENT IS HEREBY AMENDED AS INDICATED BELOW.

Matter enclosed in heavy brackets [ ] appeared in the patent, but has been deleted and is no longer a part of the patent; matter printed in italics indicates additions made to the patent.

#### ONLY THOSE PARAGRAPHS OF THE SPECIFICATION AFFECTED BY AMENDMENT ARE PRINTED HEREIN.

Column 1, line 7 to Column 1, line 21:

This application claims benefit and hereby incorporates by reference provisional application Ser. No. 60/205,591, entitled "Computer Network Malicious Code Run-time Monitoring," filed on May 17, 2000 by inventors Nimrod Itzhak Vered, et al. This application is also a Continuation-In-Part of and hereby incorporates by reference patent application Ser. No. 09/539,667, now U.S. Pat. No. 6,804,780, entitled "System and Method for Protecting a Computer and Network From Hostile Downloadables" filed on Mar. 30, 2000 by inventor Shlomo Touboul, which is a continuation of U.S. patent application Ser. No. 08/964,388, now U.S. Pat. No. 6,092,194, entitled "System and Method for Protecting a Computer and a Network from Hostile Downloadables" filed on Nov. 6, 1997 by inventor Shlomo Touboul. This application is also a Continuation-In-Part of and hereby incorporates by reference patent application Ser. No. 09/551,302, now U.S. Pat. No. 6,480,962 entitled "System and Method for Protecting a Client During Runtime From Hostile Downloadables", filed on Apr. 18, 2000 by inventor Shlomo Touboul, which is a continuation of U.S. application Ser. No. 08/790,097, now U.S. Pat. No. 6,167,520 entitled "System and Method For Protecting a Client From Hostile Downloadables'', filed Jan. 29, 1997 by inventor Shlomo Touboul.

# AS A RESULT OF REEXAMINATION, IT HAS BEEN DETERMINED THAT:

The patentability of claims 1-8 and 16-27 is confirmed. New claims 36 and 37 are added and determined to be patentable.

Claims 9-15 and 28-35 were not reexamined.

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- 36. A processor-based system, comprising: an information monitor for receiving downloadable-information:
- a content inspection engine communicatively coupled to the information monitor for determining whether the downloadable-information includes executable code, wherein determining whether the downloadable-information includes executable code includes analyzing downloadable-information for operations to be executed on a computer; and
- a packaging engine communicatively coupled to the content inspection engine for causing mobile protection code ("MPC") to be communicated to at least one information-destination of the downloadable-information, if the downloadable-information is determined to include executable code,
- wherein the packaging engine comprises an MPC generator for providing the MPC, a linking engine coupled to the MPC generator for forming a sandbox package including the MPC and the downloadable-information, and a transfer engine for causing the sandbox package to be communicated to the at least one informationdestination.
- 37. A processor-based method, comprising: receiving by a server downloadable-information;
- determining by a content inspection engine associated with the server whether the downloadable-information includes executable code, wherein determining whether the downloadable-information includes executable code includes analyzing downloadable-information for one or more operations to be executed on a computer; and
- causing by a transfer engine associated with the server mobile protection code to be communicated to at least one information-destination of the downloadable-information, if the downloadable-information is determined to include executable code,
- wherein the causing mobile protection code to be communicated comprises forming by a packaging engine a sandboxed package including the mobile protection code and the downloadable-information, and causing by the transfer engine the sandboxed package to be communicated to the at least one information-destination.

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