Organic Chemistry

Fourth Edition

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CARBOXYLIC ACIDS

19.16 Conversion into esters

Acids are frequently converted into their esters via the acid chlorides:

 $\begin{array}{ccc} \text{RCOOH} & \xrightarrow{\text{SOCl}_2, \text{ etc.}} & \text{RCOCl} & \xrightarrow{\text{R'OH}} & \text{RCOOR'} \\ \text{Acid} & \text{Acid chloride} & \text{Ester} \end{array}$

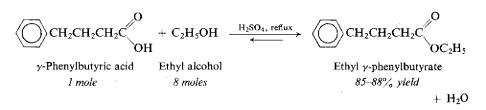
A carboxylic acid is converted directly into an ester when heated with an alcohol in the presence of a little mineral acid, usually concentrated sulfuric acid or dry hydrogen chloride. This reaction is reversible, and generally reaches equilibrium when there are appreciable quantities of both reactants and products present.

 $\begin{array}{ccc} \text{RCOOH} + \text{R'OH} & \xrightarrow{\text{H}^+} & \text{RCOOR'} + \text{H}_2\text{O} \\ \text{Acid} & \text{Alcohol} & & \text{Ester} \end{array}$

For example, when we allow one mole of acetic acid and one mole of ethyl alcohol to react in the presence of a little sulfuric acid until equilibrium is reached (after several hours), we obtain a mixture of about two-thirds mole each of ester and water, and one-third mole each of acid and alcohol. We obtain this same equilibrium mixture, of course, if we start with one mole of ester and one mole of water, again in the presence of sulfuric acid. The same catalyst, hydrogen ion, that catalyzes the forward reaction, esterification, necessarily catalyzes the reverse reaction, hydrolysis.

This reversibility is a disadvantage in the preparation of an ester directly from an acid; the preference for the acid chloride route is due to the fact that both steps—preparation of acid chloride from acid, and preparation of ester from acid chloride—are essentially irreversible and go to completion.

Direct esterification, however, has the advantage of being a single-step synthesis; it can often be made useful by application of our knowledge of equilibria. If either the acid or the alcohol is cheap and readily available, it can be used in large excess to shift the equilibrium toward the products and thus to increase the yield of ester. For example, it is worthwhile to use eight moles of cheap ethyl alcohol to convert one mole of valuable γ -phenylbutyric acid more completely into the ester:



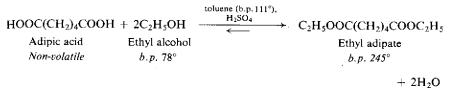
Sometimes the equilibrium is shifted by removing one of the products. An elegant way of doing this is illustrated by the preparation of ethyl adipate. The dicarboxylic acid adipic acid, an excess of ethyl alcohol, and toluene are heated with a little sulfuric acid under a distillation column. The lowest boiling component (b.p. 75°) of the reaction mixture is an azeotrope of water, ethyl alcohol, and toluene (compare Sec. 10.5); consequently, as fast as water is formed it is removed as the azeotrope by distillation. In this way a 95-97% yield of ester is obtained:

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798

SEC. 19.18

REDUCTION OF ACIDS TO ALCOHOLS



Removed as azeotrope, b.p. 75°

The equilibrium is particularly unfavorable when phenols (ArOH) are used instead of alcohols; yet, if water is removed during the reaction, phenolic esters (RCOOAr) are obtained in high yield.

The presence of bulky groups near the site of reaction, whether in the alcohol or in the acid, slows down esterification (as well as its reverse, hydrolysis). This

 $\begin{array}{ll} \mbox{Reactivity} & \mbox{CH}_3 \mbox{OH} > 1^\circ > 2^\circ \, (>3^\circ) \\ \mbox{in esterification} & \mbox{HCOOH} > \mbox{CH}_3 \mbox{COOH} > \mbox{RCH}_2 \mbox{COOH} > \mbox{R}_2 \mbox{CHCOOH} > \mbox{R}_3 \mbox{CCOOH} \\ \end{array}$

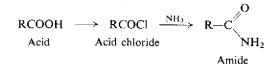
steric hindrance can be so marked that special methods are required to prepare esters of tertiary alcohols or esters of acids like 2,4,6-trimethylbenzoic acid (mesitoic acid).

The mechanism of esterification is necessarily the exact reverse of the mechanism of hydrolysis of esters. We shall discuss both mechanisms when we take up the chemistry of esters (Sec. 20.18) after we have learned a little more about the carbonyl group.

Problem 19.9 (a) In the formation of an acid chloride, which bond of a carboxylic acid is broken, C—OH or CO—H? (b) When labeled methanol, $CH_3^{18}OH$, was allowed to react with ordinary benzoic acid, the methyl benzoate produced was found to be enriched in ¹⁸O, whereas the water formed contained only ordinary oxygen. In this esterification, which bond of the carboxylic acid is broken, C—OH or CO—H? Which bond of the alcohol?

19.17 Conversion into amides

Amides are compounds in which the -OH of the carboxylic acid has been



replaced by $-NH_2$. These are generally prepared by reaction of ammonia with acid chlorides.

19.18 Reduction of acids to alcohols

Conversion of alcohols into acids (Sec. 19.6) is important because, in general, alcohols are more available than acids. This is not always true, however; long straight-chain acids from fats are more available than are the corresponding

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