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Filed On Behalf Of:

Novartis AG

By:

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UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

PAR PHARMACEUTICAL, INC.,

Petitioner,

v.

NOVARTIS AG,

Patent Owner.

Case IPR2016-00084 Patent 5,665,772

PATENT OWNER'S MANDATORY NOTICES UNDER 37 C.F.R. § 42.8(a)(2)



REAL PARTY-IN-INTEREST PURSUANT TO 37 C.F.R. § 42.8(b)(1)

Pursuant to the requirements of 37 C.F.R. § 42.8(a)(2), the undersigned states that Novartis AG ("Novartis" or "Patent Owner") owns U.S. Patent No. 5,665,772 ("the '772 Patent"). Novartis is the real party-in-interest in IPR2016-00084.

RELATED MATTERS PURSUANT TO 37 C.F.R. § 42.8(b)(2)

The '772 Patent is being asserted in the following patent infringement lawsuits: (1) Novartis Pharm. Corp. et al. v. Breckenridge Pharm., Inc., No. 14-1043-RGA (D. Del.); (2) Novartis Pharm. Corp. et al. v. Roxane Labs., Inc., No. 14-1196-RGA (D. Del.); (3) Novartis Pharm. Corp. et al. v. Par Pharm., Inc., No. 14-1289-RGA (D. Del.); (4) Novartis Pharm. Corp. et al. v. Par Pharm., Inc., No. 14-1494-RGA (D. Del.); (5) Novartis Pharm. Corp. et al. v. Roxane Labs., Inc., No. 14-1508-RGA (D. Del.); (6) Novartis Pharm. Corp. et al. v. Par Pharm., Inc., No. 15-78-RGA (D. Del.); and (7) Novartis Pharm. Corp. et al. v. Roxane Labs., Inc., No. 15-128-RGA (D. Del.).

Other proceedings involving different patents that cover the same products as the proceedings above include: (1) Novartis Pharm. Corp. et al. v. Roxane Labs., Inc., No. 15-474 (D. Del.); (2) Novartis Pharm. Corp. et al. v. Par Pharm., Inc., No. 15-1050 (D. Del.); (3) Novartis AG v. Par Pharm., Inc., *Inter Partes* Review No. 2016-00074 (P.T.A.B.) (U.S. Patent No. 7,741,338); (4) Novartis AG v. Par



Pharm., Inc., *Inter Partes* Review No. 2016-00075 (P.T.A.B.) (U.S. Patent No. 7,297,703); and (5) Novartis AG v. Par Pharm., Inc., *Inter Partes* Review No. 2016-00078 (P.T.A.B.) (U.S. Patent No. 6,455,518).

IDENTIFICATION OF LEAD AND BACK-UP COUNSEL PURSUANT TO 37 C.F.R. §§ 42.8(b)(3) and 42.10

Pursuant to 37 C.F.R. §§ 42.8(b)(3) and 42.10, Novartis designates Nicholas N. Kallas (Reg. No. 31,530) as lead counsel and Raymond R. Mandra (Reg. No. 34,382) as back-up counsel.

A power of attorney from Novartis is being submitted with this Notice.

SERVICE INFORMATION PURSUANT TO 37 C.F.R. § 42.8(b)(4)

Novartis may be served electronically using the following e-mail address:

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Novartis may be served by postal mailing, hand-delivery, telephone or facsimile as follows:

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Respectfully submitted,



Dated: November 13, 2015

/ Nicholas N. Kallas /
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CERTIFICATE OF SERVICE

I certify that a copy of the Patent Owner's Mandatory Notices Under 37 C.F.R. § 42.8(a)(2) was served on November 13, 2015 by causing it to be sent by FEDERAL EXPRESS overnight delivery to counsel for Petitioner at the following address:

Daniel G. Brown Latham & Watkins LLP 885 Third Avenue New York, NY 10022-4834

I further certify that a copy of the Patent Owner's Mandatory Notices Under 37 C.F.R. § 42.8(a)(2) was served on November 13, 2015 by causing it to be sent by email to counsel for Petitioner at the following email addresses:

Daniel G. Brown (dan.brown@lw.com)

Robert Steinberg (bob.steinberg@lw.com)

Dated: November 13, 2015

/ Nicholas N. Kallas /
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