

**IN THE UNITED STATES PATENT AND TRADE MARK OFFICE  
REQUEST FOR FILING NATIONAL PATENT APPLICATION  
Under 35 USC 111(a) and Rule 53(b)**

06/16/99  
1c409  
U.S. PTO  
Sir:

Commissioner of Patents  
Washington, D.C. 20231

WITH SIGNED DECLARATION

**NONPROVISIONAL  
NON REISSUE  
NON PCT NAT PHASE**

PATENT APPLICATION  
1c525 U.S. PTO  
09/333686  
06/16/99

Herewith is the PATENT APPLICATION of  
Inventor(s): OKUCHI, et al.

(Our Deposit Account No. 03-3975)

Our Order No.	30954 C#	260373 M#
Atty. Dkt.:	PM 260373 M#	52924-US-KK Client Ref

Title SYSTEM FOR AUTOMATICALLY ADJUSTING OPTICAL  
AXIS DIRECTION OF VEHICLE HEADLIGHT

including:

Date: June 16, 1999

09/333686  
06/16/99

1. Specification: 62 pages (only spec. and claims)      2.  Specification in non-English language
3. Declaration  Original     Facsimile/Copy     Abstract    1 page(s);    9 numbered claims
4.  Drawings:    18 sheet(s)     informal;     formal of size:     A4     11"
5.  See top first page re prior Provisional, National or International application(s). ("X" box only if info is there and do not complete corresponding item 5 or 6). (Prior M# \_\_\_\_\_ SN \_\_\_\_\_ )
6. **AMEND the specification** please by inserting before the first line: -- This is a     Continuation-in-Part  
 Divisional     Continuation     Substitute Application (MPEP 201.09) of:  
 6(a)  National Appln. No. \_\_\_\_\_ / \_\_\_\_\_ filed \_\_\_\_\_ (M# \_\_\_\_\_ )  
 6(b)  International Appln. No. \_\_\_\_\_ filed \_\_\_\_\_
7.  **AMEND the specification** by inserting before the first line: -- This application claims the benefit of U.S.  
 Provisional Application No. 60/ \_\_\_\_\_, filed \_\_\_\_\_
8.  Attached is an assignment and cover sheet. Please return the recorded assignment to the undersigned.
9.  Prior application is assigned to \_\_\_\_\_

\_\_\_\_\_ by Assignment recorded \_\_\_\_\_ Reel \_\_\_\_\_ Frame \_\_\_\_\_

10. **FOREIGN** priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in JAPAN \_\_\_\_\_

11. \_\_\_\_\_ (country)

Application No.	Filing Date	Application No.	Filing Date
(1) 10-167626	June 16, 1998	(2) 10-244782	August 31, 1998
(3) 11-107851	April 15, 1999	(4) 11-107852	April 15, 1999
(5) 11-107853	April 15, 1999	(6)	
(7)		(8)	
(9)		(10)	

12. 5 (No.) Certified copy (copies):     attached;     previously filed (date) \_\_\_\_\_  
 in U.S. Application No. \_\_\_\_\_ / \_\_\_\_\_ filed on \_\_\_\_\_

13.  Attached: \_\_\_\_\_ (No.) Verified Statement(s) establishing "small entity" status under Rules 9 & 27.  
 14. DOMESTIC/INTERNATIONAL priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1)		(4)	
(2)		(5)	
(3)		(6)	

15.  This application is being filed under Rule 53(b)(2) since an inventor is named in the enclosed Declaration who was not named in the prior application.  
 16.  Attached: Form PTO-1449 and copy of listed documents.  
 17.  Preliminary Amendment:

**THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED**

				Large/Small Entity		Fee Code
18. Basic Filing Fee				\$760/\$380	\$760	101/201
19. Total Effective Claims	9	minus 20 =	*0	x \$18/\$9 =	+ 0	103/203
20. Independent Claims	5	minus 3 =	*2	x \$78/\$39 =	+ 156	102/202
				*If answer is zero or less, enter "0"		
21. If <u>any proper multiple dependent claim</u> (ignore improper) is present, add (Leave this line blank if this is a reissue application)				+ \$260/\$130	+ 0	104/204
22.	<b>TOTAL FILING FEE ENCLOSED =</b>				<b>\$916</b>	
23. If "non-English" box 2 is X'd, add Rule 17(k) processing fee				+ \$130	+ 0	139
24. If "assignment" box 6 is X'd, add recording fee				+ \$40	+ 40	581
25. <input type="checkbox"/> Attached is a Petition/Fee under Rule No.				+ \$130	+ 0	122
26.	<b>TOTAL FEE ENCLOSED =</b>				<b>\$956</b>	

**CHARGE STATEMENT:** The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficient fee only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached.  
**This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.**

**Pillsbury Madison & Sutro LLP  
 Intellectual Property Group**

1100 New York Avenue, N.W.  
 Ninth Floor, East Tower  
 Washington, D.C. 20005-3918  
 Tel: (202) 861-3000  
 GLK/rgf

By Atty: G. Lloyd Knight

Reg. No. 17698

Sig: \_\_\_\_\_

Fax: (202) 822-0944  
 Tel: (202) 861-3090

NOTE: File in duplicate with 2 post card receipts (PAT-103) & attachments

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# APPLICATION UNDER UNITED STATES PATENT LAWS

Invention: SYSTEM FOR AUTOMATICALLY ADJUSTING OPTICAL AXIS  
DIRECTION OF VEHICLE HEADLIGHT

Inventor(s): Hiroaki OKUCHI  
Kenichi NISHIMURA

Pillsbury Madison & Sutro LLP  
Intellectual Property Group  
1100 New York Avenue, N.W.  
Ninth Floor, East Tower  
Washington, D.C. 20005-3918  
Attorneys  
Telephone: (202) 861-3000

This is a:

- Provisional Application
- Regular Utility Application
- Continuing Application
- PCT National Phase Application
- Design Application
- Reissue Application
- Plant Application
- Substitute Specification  
Sub. Spec. filed \_\_\_\_\_  
in App. No. \_\_\_\_\_ / \_\_\_\_\_
- Marked Up Specification re  
Sub. Spec. filed \_\_\_\_\_  
in App. No. \_\_\_\_\_ / \_\_\_\_\_

## SPECIFICATION

0933686-061699

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