

**Filed On Behalf Of:**

Novartis AG

**By:**

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UNITED STATES PATENT AND TRADEMARK OFFICE

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BEFORE THE PATENT TRIAL AND APPEAL BOARD

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**PAR PHARMACEUTICAL, INC.,**  
Petitioner,

v.

**NOVARTIS AG,**  
Patent Owner.

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Case IPR2016-00075  
Patent 7,297,703

**PATENT OWNER'S MANDATORY NOTICES  
UNDER 37 C.F.R. § 42.8(a)(2)**

**REAL PARTY-IN-INTEREST PURSUANT TO 37 C.F.R. § 42.8(b)(1)**

Pursuant to the requirements of 37 C.F.R. § 42.8(a)(2), the undersigned states that Novartis AG (“Novartis” or “Patent Owner”) owns U.S. Patent No. 7,297,703 (“the ’703 Patent”). Novartis is the real party-in-interest in IPR2016-00075.

**RELATED MATTERS PURSUANT TO 37 C.F.R. § 42.8(b)(2)**

The ’703 Patent is being asserted in the following patent infringement lawsuits: (1) Novartis Pharm. Corp. et al. v. Par Pharm., Inc., No. 14-1494-RGA (D. Del.); (2) Novartis Pharm. Corp. et al. v. Roxane Labs., Inc., No. 14-1508-RGA (D. Del.); (3) Novartis Pharm. Corp. et al. v. Par Pharm., Inc., No. 15-78-RGA (D. Del.); and (4) Novartis Pharm. Corp. et al. v. Roxane Labs., Inc., No. 15-128-RGA (D. Del.).

Other proceedings involving different patents that cover the same product as the proceedings above include: (1) Novartis Pharm. Corp. et al. v. Roxane Labs., Inc., No. 15-474 (D. Del.); (2) Novartis Pharm. Corp. et al. v. Par Pharm., Inc., No. 15-1050 (D. Del.); (3) Novartis AG v. Par Pharm., Inc., *Inter Partes* Review No. 2016-00074 (P.T.A.B.) (U.S. Patent No. 7,741,338); and (4) Novartis AG v. Par Pharm., Inc., *Inter Partes* Review No. 2016-00084 (P.T.A.B.) (U.S. Patent No. 5,665,772).

**IDENTIFICATION OF LEAD AND BACK-UP COUNSEL  
PURSUANT TO 37 C.F.R. §§ 42.8(b)(3) and 42.10**

Pursuant to 37 C.F.R. §§ 42.8(b)(3) and 42.10, Novartis designates Nicholas N. Kallas (Reg. No. 31,530) as lead counsel and Raymond R. Mandra (Reg. No. 34,382) as back-up counsel.

A power of attorney from Novartis is being submitted with this Notice.

**SERVICE INFORMATION PURSUANT TO 37 C.F.R. § 42.8(b)(4)**

Novartis may be served electronically using the following e-mail address:

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Novartis may be served by postal mailing, hand-delivery, telephone or facsimile as follows:

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Respectfully submitted,

Dated: November 13, 2015

/Nicholas N. Kallas/  
Nicholas N. Kallas  
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## CERTIFICATE OF SERVICE

I certify that a copy of the Patent Owner's Mandatory Notices Under 37 C.F.R. § 42.8(a)(2) was served on November 13, 2015 by causing it to be sent by FEDERAL EXPRESS overnight delivery to counsel for Petitioner at the following address:

Daniel G. Brown  
Latham & Watkins LLP  
885 Third Avenue  
New York, NY 10022-4834

I further certify that a copy of the Patent Owner's Mandatory Notices Under 37 C.F.R. § 42.8(a)(2) was served on November 13, 2015 by causing it to be sent by email to counsel for Petitioner at the following email addresses:

Daniel G. Brown (dan.brown@lw.com)

Robert Steinberg (bob.steinberg@lw.com)

Dated: November 13, 2015

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