

UNITED STATES PATENT AND TRADEMARK OFFICE

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BEFORE THE PATENT AND TRIAL AND APPEAL BOARD

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ANCESTRY.COM DNA, LLC,  
Petitioner

v.

DNA GENOTEK INC.,  
Patent Owner

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Case IPR2016-00060  
Patent 8,221,381 B2

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**PETITIONER'S MANDATORY CHANGE-OF-INFORMATION  
NOTICES UNDER 37 C.F.R. § 42.8(a)(3)**

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Pursuant to 37 C.F.R. § 42.8(a)(3), Petitioner hereby submits the following mandatory change-of-information notices in connection with the petition for *inter partes* review of U.S. Patent No. 8,221,381 (the “381 patent”), Case No. IPR2016-00060.

**A. Real Party-In-Interest – Unchanged**  
**(37 C.F.R. § 42.8(b)(1))**

The real party-in-interest for this Petition is the Petitioner, Ancestry.com DNA, LLC (Delaware) (DE), and the following corporate entities that have the ability to influence Petitioner’s actions in this proceeding: Ancestry.com Operations Inc. (DE); Ancestry.com Inc. (DE); Ancestry US Holdings Inc. (DE); Ancestry.com LLC (DE); Ancestry.com Holdings LLC (DE). The following Ancestry entities are also being included: AncestryHealth.com LLC (DE); Ancestry International DNA LLC (DE); Ancelux 3 S.à.r.l. (LU); Ancestry International LLC (DE); Anvilire (IE); Ancelux 4 S.à.r.l. (LU); Ancestry Ireland DNA LLC (DE); Ancestry Ireland Health LLC (DE); Ancestry International DNA Company (IE); Ancestry International Health Unlimited Company (IE).

Pursuant to an indemnification agreement, Petitioner has assumed and controls the defense of Spectrum DNA, Spectrum Solutions LLC, and Spectrum Packaging, LLC (collectively, the “Spectrum defendants”) in the *Spectrum*

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litigation, *DNA Genotek, Inc. v. Spectrum DNA; Spectrum Solutions L.L.C., and Spectrum Packaging, LLC*, Case No. 15-cv-00661-SLR (D. Del.). The Spectrum defendants do not exercise control over this Petition and are not real parties-in-interest with respect to the instant matter.

**B. Notice of Related Matters –  
(37 C.F.R. § 42.8(b)(2))**

**Revised**

The '381 patent is the subject of the following patent infringement lawsuits:

(i) *DNA Genotek, Inc. v. Ancestry.com DNA LLC*, Case No. 15-00355-SLR (D. Del.) (the “Ancestry ’381 litigation”); and (ii) *DNA Genotek, Inc. v. Spectrum DNA; Spectrum Solutions L.L.C., and Spectrum Packaging, LLC*, Case No. 15-cv-00661-SLR (D. Del.) (the “Spectrum ’381 litigation”).

The '381 patent is the subject of IPR2016-01152 (“second IPR”), filed on June 3, 2016. The PTAB denied institution of the second IPR in an Order dated November 23, 2016 (Paper No. 11).

U.S. Patent No. 9,207,164 issued from Application Serial No. 13/536,599 (“the ’599 application”), filed on 6/28/2013. The ‘599 application is a continuation of the ’381 patent. The ’164 patent is the subject of the following patent infringement lawsuit: *DNA Genotek Inc. v. Spectrum Solutions L.L.C. and*

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*Spectrum DNA*, Case No. 3:16-cv-01544-JLS-NLS (S.D. Cal.) (the “Spectrum ’164 litigation”).

The ’164 patent also is the subject of IPR2016-01467 (“third IPR”), filed on July 21, 2016, for which a Decision on institution has not yet been issued.

**C. Designation of Lead and Backup Counsel – Revised  
(37 C.F.R. § 42.8(b)(3))**

Petitioner provides the following designation of counsel:

<b>Lead Counsel</b>	<b>Backup Counsel</b>
Daniel M. Becker (Reg. No. 38,376) <a href="mailto:DBecker-PTAB@fenwick.com">DBecker-PTAB@fenwick.com</a> Fenwick & West LLP 801 California Street Mountain View, CA 94041 Tel: (650) 988-8500 Fax: (650) 988-5200	Jennifer R. Bush (Reg. No. 50784) <a href="mailto:jbush-ptab@fenwick.com">jbush-ptab@fenwick.com</a> Fenwick & West LLP 801 California Street Mountain View, CA 94041 Tel: (650) 988-8500 Fax: (650) 988-5200
<b>Backup Counsel</b>	
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**D. Service of Information –  
(37 C.F.R. § 42.8(b)(4))**

**Unchanged**

Service of any documents via hand-delivery may be made at the postal mailing address of Fenwick & West LLP, 801 California Street, Mountain View, CA 94041 (Tel: (650) 988-8500 and Fax: (650) 988-5200), with courtesy copies to the email address [DBecker-PTAB@fenwick.com](mailto:DBecker-PTAB@fenwick.com). Petitioner consents to electronic service.

Dated: December 5, 2016

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