

RECORD OF ORAL HEARING  
UNITED STATES PATENT AND TRADEMARK OFFICE

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BEFORE THE PATENT TRIAL AND APPEAL BOARD

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ANCESTRY.COM DNA, LLC,

Petitioner,

vs.

DNA GENOTEK INC.,

Patent Owner.

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Case IPR2016-00060

Patent 8,221,381 B2

Technology Center 3700

Oral Hearing on Tuesday, December 6, 2016

Before: WILLIAM V. SAINDON, HYUN J. JUNG, and  
MICHELLE N. WORMMEESTER, Administrative Patent Judges.

The above-entitled matter came on for hearing on Tuesday,  
December 6, 2016, at 10:02 a.m., Hearing Room B, taken at the U.S. Patent  
and Trademark Office, 600 Dulany Street, Alexandria, Virginia.

REPORTED BY RAYMOND G. BRYNTESON, RMR, CRR,  
RDR

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P R O C E E D I N G S

(10:02 a.m.)

JUDGE WORMMEESTER: Good morning. This morning we have our final hearing in IPR2016-00060, Ancestry.com DNA v. DNA Genotek Inc. which concerns U.S. Patent Number 8,221,381.

I'm Judge Wormmeester. To my right is Judge Saindon and to my left is Judge Jung.

Let's get the parties' appearances, please. Who do we have for Petitioner?

MR. BECKER: Daniel Becker. I'm lead counsel for Petitioner. I'm joined by backup counsel, Jennifer Bush, and my partner, Mike Sacksteder. Mr. Sacksteder has been admitted pro hac vice and he will be arguing for Petitioner.

JUDGE WORMMEESTER: Okay. Thank you. Good morning, counsel. And for Patent Owner who do we have?

MR. KRAMER: I'm Brian Kramer with Morrison & Foerster LLP. With me today is Mr. John Lanham, who is admitted pro hac vice to this case.

JUDGE WORMMEESTER: Okay. Thank you. And you will be presenting today?

MR. KRAMER: I will, Your Honor.

JUDGE WORMMEESTER: Welcome. It is good to have you here. We set forth the procedure for today's hearing

1 in our trial order, but just to remind everyone the way this will  
2 work, each party will have 30 minutes to present arguments.  
3 Petitioner has the burden and will go first and may reserve  
4 time for rebuttal. Patent Owner will then have the opportunity  
5 to present its response.

6 We remind you that the demonstrative exhibits that  
7 you submitted are not part of the record. The record of the  
8 hearing will be the transcript. We will give you a warning  
9 when you are into your rebuttal time or reaching the end of  
10 your argument time.

11 Are there any questions before we proceed?

12 MR. SACKSTEDER: No, Your Honor.

13 JUDGE WORMMEESTER: Mr. Sacksteder, will  
14 you be reserving any time?

15 MR. SACKSTEDER: I will be reserving five  
16 minutes, please. And, Your Honors, before we get started, the  
17 parties have, with the Board's permission, made kind of a joint  
18 request that Mr. Kramer will address.

19 JUDGE WORMMEESTER: Sure.

20 MR. KRAMER: Thank you, Mr. Sacksteder. We  
21 wanted to inform the Board that the parties are close to  
22 settling the case and that we expect that there will be a motion  
23 to dismiss filed at some point between now and perhaps the  
24 end of January.

1           Of course the Board can issue its opinion whenever  
2 the Board sees fit, but we would ask that the court not do so  
3 significantly in advance of its statutory deadline. To do so  
4 that would help facilitate finalization of the settlement  
5 agreement. We've agreed to proceed today to proceed with the  
6 IPR hearing, but we thought that it would be perhaps  
7 beneficial for the Board to know that we do expect that there  
8 may be a dismissal filed shortly in this case.

9           JUDGE WORMMEESTER: Okay. Thank you.

10          JUDGE JUNG: Sorry, you expect that to be filed  
11 within, or the request made within a couple weeks, or a  
12 month? What time frame are we talking about here?

13          MR. KRAMER: I think that it is safe to say we  
14 expect it to be done before the end of January, hopefully  
15 before the end of December. The parties are at that stage of  
16 exchanging settlement drafts but we are close to settlement.

17          We have had a Markman hearing on Friday before  
18 the District of Delaware and we said the same thing to the  
19 District Judge in that case who has a deadline of January 17th  
20 to issue a Markman ruling in a related litigation. The parties  
21 made the same representation to that court, too.

22          JUDGE JUNG: Thank you.

23          JUDGE WORMMEESTER: All right. So you will  
24 have about 25 minutes. You can begin when you are ready.

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