

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

UNITED SERVICES AUTOMOBILE ASSOCIATION,
USAA FEDERAL SAVINGS BANK, and
USAA CAPITAL CORPORATION,
Petitioner

v.

MAXIM INTEGRATED PRODUCTS, INC.
Patent Owner

Case IPR2016-00033
Patent 6,237,095

**PATENT OWNER MAXIM INTEGRATED PRODUCTS, INC.'S
MANDATORY NOTICES**

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P.O. Box 1450
Alexandria, Virginia 22313-1450

Pursuant to 37 C.F.R. § 42.8, Patent Owner Maxim Integrated Products, Inc. submits the following Mandatory Notices.

1. Real Party-In-Interest

In accordance with 37 C.F.R. § 42.8(b)(1), Patent Owner identifies the real party-in-interest as Maxim Integrated Products, Inc.

2. Related Matters

In accordance with 37 C.F.R. § 42.8(b)(2), Patent Owner identifies the following related current and former proceedings.

I. Current Proceedings Involving The Patent-At-Issue.

- a. *In re Maxim Integrated Prods., Inc.*, MDL No. 2354, No. 2:12-mc-00244;

- b. *Branch Banking & Trust Co. v. Maxim Integrated Prods., Inc.*, No. 2:12-cv-00945-JFC (as transferred for MDL purposes);
- c. *Maxim Integrated Prods., Inc. v. USAA Fed. Sav. Bank*, 5:14-cv-01031-XR (W.D. Tex) (filed November 19, 2014);
- d. *Maxim Integrated Prods., Inc. v. Compass Bank, d/b/a BBVA Compass*, 5:14-cv-01028-XR (W.D. Tex) (filed November 19, 2014);
- e. *Maxim Integrated Prods., Inc. v. State Farm Mutual Auto. Ins. Co.*, 5:14-cv-01030 (W.D. Tex) (filed November 19, 2014).
- f. *Maxim Integrated Prods., Inc. v. M&T Bank Corp.*, 1:15-cv-02167 (S.D.N.Y) (order to discontinue case due to reported settlement issued on October 30, 2015, Dkt. 57).
- g. *Maxim Integrated Prods., Inc. v. HBSC Bank USA, Nat'l Ass'n*, 1:15-cv-02168 (S.D.N.Y) (order to discontinue case due to reported settlement issued on October 30, 2015, Dkt. 57);
- h. *Compass Bank et al. v. Maxim Integrated Prods., Inc.*, Covered Business Method Patent Review, Case No. CBM2015-00102, of US6,237,095 (accorded filing date of Mar. 30, 2015);

II. Former Proceedings Involving The Patent-At-Issue.

- a. *PNC Bank N.A. v. Maxim Integrated Prods., Inc.*, Covered Business Method Patent Review, Case No. CBM2014-00041, of US 6,237,095 (petition for institution of review denied June 3, 2014, paper 19);
- b. *JPMorgan Chase & Co. v. Maxim Integrated Prods., Inc.*, Covered Business Method Patent Review, Case No. CBM2014-00177, of US 6,237,095 (review terminated February 26, 2015, paper 14).
- c. *Maxim Integrated Prods., Inc. v. Branch Banking & Trust Co.*, No. 2013-1495 (Fed. Cir.) (judgment affirmed March 10, 2014, Dkt. 51);
- d. *Maxim Integrated Prods., Inc. v. Branch Banking & Trust Co.*, 4:12-cv-00369-RAS (E.D. Tex) (judgment affirmed March 10, 2014, Dkt. 33);
- e. *Maxim Integrated Prods., Inc. v. Navy Fed. Credit Union* , 5:14-cv-01032-XR (W.D. Tex) (dismissed May 18, 2015, Dkt. 49);
- i. *Maxim Integrated Prods., Inc. v. Discover Fin. Servs.*, 5:14-cv-01029-XR (W.D. Tex) (dismissed and terminated November 12, 2015, Dkt. 63);
- j. *Maxim Integrated Prods., Inc. v. Santander Bank, NA*, 1:15-cv-02169 (S.D.N.Y) (dismissed and terminated November 5, 2015, Dkt. 44);
- f. *Maxim Integrated Prods., Inc. v. Am. Express Co.*, 5:14-cv-01027-XR (W.D. Tex) (dismissed October 2, 2015, Dkt. 50);

g. As transferred for MDL purposes:

- *Maxim Integrated Prods., Inc. v. JP Morgan Chase & Co.*, No. 2:12-cv-01641-JFC (dismissed & terminated February 26, 2015, Dkt. 986)
- *PNC Fin. Servs. Grp., Inc. v. Maxim Integrated Prods., Inc.*, No. 2:12-cv-00089-JFC (dismissed & terminated May 29, 2014, Dkt. 844)
- *KeyCorp v. Maxim Integrated Prods., Inc.*, No. 2:12-cv-00860-JFC (dismissed & terminated August 20, 2013, Dkt. 648)
- *Vanguard Grp., Inc. v. Maxim Integrated Prods., Inc.*, No. 2:12-cv-00862-JFC (dismissed & terminated February 19, 2014, Dkt. 773)
- *Jack Henry & Assocs., Inc. v. Maxim Integrated Prods., Inc.*, No. 2:12-cv-00863-JFC (dismissed & terminated February 20, 2014, Dkt. 775)
- *Maxim Integrated Prods., Inc. v. Comerica Inc.*, No. 2:12-cv-00869-JFC (dismissed & terminated August 20, 2014, Dkt. 887)
- *Fidelity Brokerage Servs. LLC v. Maxim Integrated Prods., Inc.*, No. 2:12-cv-00871-JFC (dismissed & terminated May 16, 2014, Dkt. 840)
- *Maxim Integrated Prods., Inc. v. First United Bank & Trust Co.*, No. 2:12-cv-00876-JFC (dismissed & terminated February 19, 2014, Dkt. 774)

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