

**UNITED STATES PATENT AND TRADEMARK OFFICE**

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**BEFORE THE PATENT TRIAL AND APPEAL BOARD**

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**UNITED SERVICES AUTOMOBILE ASSOCIATION**

**Petitioner**

**v.**

**MAXIM INTEGRATED PRODUCTS, INC.**

**Patent Owner**

**U.S. Patent 6,237,095**

**TITLE: APPARATUS FOR TRANSFER OF SECURE INFORMATION  
BETWEEN A DATA CARRYING MODULE AND  
AN ELECTRONIC DEVICE**

**Issue Date May 22, 2001**

**PETITION FOR *INTER PARTES* REVIEW  
UNDER 35 U.S.C. § 312**

## Petitioner's Exhibit List

<b>Exhibit No.</b>	<b>Description</b>
1001	U.S. Patent No. 6,237,095 (filed January 6, 1998) (issued May 22, 2001) (“’095 Patent”)
1002	Declaration of Dr. James L. Olivier (“Olivier Declaration”)
1003	PETER L. HAWKES ET AL., INTEGRATED CIRCUIT CARDS, TAGS AND TOKENS, NEW TECHNOLOGY AND APPLICATIONS, (Peter L. Hawkes et al. eds., BSP Professional Books 1990) (“Hawkes”)
1004	U.S. Patent No. 5,577,121 (filed June 9, 1994) (issued Nov. 19, 1996) (“Davis”)
1005	U.S. Patent No. 5,297,279 (filed May 30, 1990) (issued March 22, 1994) (“Bannon”)
1006	U.S. Patent No. 5,327,559 (filed Oct. 23, 1990) (issued July 5, 1994) (“Priven”)
1007	U.S. Patent No. 4,575,621 (filed Mar. 7, 1984) (issued March 11, 1986) (“Dreifus”)
1008	European Pat. Pub. 0588339 A2 (“Ishiguro”)
1009	U.S. Patent No. 4,906,828 (filed May 31, 1988) (issued March 6, 1990) (“Halpern”)
1010	Prosecution history for the ‘095 Patent
1011	<i>Square, Inc. v. J. Carl Cooper</i> , IPR 2014-00157, Papers 12 and 17
1012	<i>Compass Bank, American Express Company, American Express Travel Related Services Company, Inc., Discover Financial Services, Discover Bank, Discover Products Inc., and State Farm Mutual Automobile Insurance Company v. Maxim Integrated Products, Inc.</i> , CBM 2015-00102, Paper 16

## TABLE OF CONTENTS

	<u>Page</u>
I. INTRODUCTION .....	1
II. MANDATORY NOTICES UNDER 37 C.F.R. § 42.8(A)(1) .....	1
A. Real Party in Interest Under 37 C.F.R. § 42.8(b)(1) .....	1
B. Related Matters Under 37 C.F.R. § 42.8(b)(2) .....	1
C. Lead and Back-Up Counsel Under 37 C.F.R. § 42.8(b)(3) .....	2
D. Service Information (37 C.F.R. § 42.8(b)(4)) .....	2
E. Payment of Fees .....	3
III. REQUIREMENTS FOR INTER PARTES REVIEW UNDER 37 C.F.R. §42.104.....	3
A. Grounds for Standing Under 37 C.F.R. §42.104(a) .....	3
B. Identification of Challenge Under 37 C.F.R. § 42.104(b) .....	3
1. Claims for Which Review is Requested (37 C.F.R. § 42.104(b)(1)) .....	3
2. Statutory Grounds of Challenge (37 C.F.R. § 42.104(b)(2)) .....	3
IV. REASONS FOR THE RELIEF REQUESTED UNDER 37 C.F.R. §§ 42.22(A)(2) AND 42.104(B)(4) .....	6
A. Background .....	6
1. The '095 Patent .....	6
B. Relevant Prosecution History .....	9
C. Claim Construction (37 C.F.R. § 42.104(b)(3)).....	13
D. Summary of the Prior Art.....	14
1. Hawkes .....	14
2. Davis .....	18
3. Bannon .....	19
4. Priven .....	19
5. Dreifus.....	20

6.	Ishiguro .....	21
7.	Halpern.....	21
E.	Summary regarding the prior art references.....	23
F.	The Challenged Claims Are Invalid Under 35 U.S.C. § 103(a) .....	23
1.	Ground 1 – Claims 1, 2, 5, and 6 are obvious under 35 U.S.C. § 103(a) over Hawkes in view of Davis.....	24
a.	Claim 1.....	24
b.	Claim 2.....	36
c.	Claim 5.....	37
d.	Claim 6.....	37
2.	Ground 2 – Claim 3 is obvious under 35 U.S.C. § 103(a) over Hawkes, in view of Davis, and in further view of Bannon, or in the alternative, in further view of Priven .....	39
3.	Ground 3 – Claim 4 is obvious under 35 U.S.C. § 103(a) over Hawkes, in view of Davis, and in further view of Dreifus.....	41
4.	Ground 4 – Claims 1, 2, and 5 are obvious under 35 U.S.C. § 103(a) over Ishiguro in view of Halpern.....	44
a.	Claim 1.....	44
b.	Claim 2.....	55
c.	Claim 5.....	55
5.	Ground 5 – Claim 3 is obvious under 35 U.S.C. § 103(a) over Ishiguro, in view of Halpern, and in further view of Bannon, or, in the alternative, in further view of Priven .....	56
6.	Ground 6 – Claim 4 is obvious under 35 U.S.C. § 103(a) over Ishiguro, in view of Halpern, and in further view of Dreifus.....	57
7.	Ground 7 – Claim 6 is obvious under 35 U.S.C. § 103(a) over Ishiguro, in view of Halpern, and in further view of Davis .....	58
V.	CONCLUSION.....	60

## I. INTRODUCTION

Pursuant to 35 U.S.C. § 312 and 37 C.F.R. § 42.100 *et seq.*, United Services Automobile Association (“Petitioner”) requests *inter partes* review of claims 1-6 (the “Challenged Claims”) of U.S. Patent No. 6,237,095 (“the ‘095 Patent,” Ex. 1001), which issued on May 22, 2001. The fees for this petition have been paid by credit card. The Board is authorized to deduct any underpayment of fees associated with this petition from Norton Rose Fulbright US LLP Deposit Account No. 06-2380, under Order No. 11505386.

## II. MANDATORY NOTICES UNDER 37 C.F.R. § 42.8(A)(1)

### A. Real Party in Interest Under 37 C.F.R. § 42.8(b)(1)

United Services Automobile Association, USAA Federal Savings Bank, and USAA Capital Corporation are the real parties-in-interest.

### B. Related Matters Under 37 C.F.R. § 42.8(b)(2)

The ‘095 Patent is involved in the following proceedings that may affect or be affected by a decision in this proceeding:

- CBM 2015-00102; and
- *Maxim Integrated Products, Inc., v. USAA Federal Savings Bank*, W.D. Texas, C.A. No. 5:14-CV-1031-XR; *Maxim Integrated Products, Inc., v. The American Express Company and American Express Travel Related Services Company, Inc.*, W.D. Texas, C.A. No. 5:14-CV-

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