

where  $\bar{S}$  is the sample feature vector of components  $S_i$  ( $i=1$  to  $f$ ) and  $T_i$  is the true (reference) feature mean value of variance  $V_i$ .

This distance measure requires only five to ten true signatures to establish initial values for user behaviour statistics at enrolment.

The 'best' feature set selected as standard by the above method contained features: 1, 2, 3, 6, 11, 12, 13, 14, 16, 20, 22, 25, 26, 27, 28, 29, 30, 32, 33, 40, 41, 42, 43 and 44. 24 features in all.

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## Chapter 8

# Cryptography and the Smart Card

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*Cryptology is the key technology for secure systems.*

### 8.1 Introduction

The close relationship between smart card and cryptographic techniques can be looked at from two directions. The smart card can be used as a component of a cryptographic system to improve its convenience or level of security. From the other viewpoint, the smart card itself is the main component of the system and cryptography is called upon to help it with its task. In this chapter we shall mainly adopt the second viewpoint, which is centred on smart card applications but first let us look at the smart card as an adjunct to cryptography.

The confidentiality of data on a communication line can be protected by enciphering it. Encipherment is a transformation which makes the transformed data seem meaningless to an outsider, yet which allows an inverse transformation, for those authorised to receive the information, which turns it back into its clear text. To separate the authorised readers from others, the authorised readers hold a secret value called a *cryptographic key* without which decipherment is impossible. In the usual form of cryptography, this secret key is used as a parameter for both the encipherment and the decipherment functions.

When cryptography is used to protect data travelling some distance, before it can go into operation a secret key must be established at both the sending and the receiving end. Conveying the key from one place to the other entails a risk of losing it to an opponent. A smart card can be used to store a key for secure transport. The use of this key can be authorised by means of a password, known only to authorised users, and the smart card itself can take part in the complex process of key management. Some of the techniques are described later in the chapter.

Sometimes, cryptography is used to encipher information not for communications purposes but to protect it while it is stored locally. It might be difficult to protect the local store from illicit access or information

stored on a removable medium might be stolen or copied. When cryptography is used for stored data, the keys are not transported but their security is very important because they can unlock all the protection provided. Most computers are physically insecure, so a smart card can be used to hold the keys and the card taken away by its owner and stored in a safe place. Here also, a password can be used to unlock the secret key from the card.

A related problem of cryptography is the protection of the cryptographic mechanism itself. Not only must the key be protected but also the place where the cryptographic transformations take place. Smart cards can help in this problem by becoming, themselves, the 'cryptographic engine' of the system. If they have enough processing power for the purpose, they can hold all the protective mechanism of a secure system, particularly at the terminal end where the processing demands are less severe. The computer itself, which might be an intelligent terminal, is physically insecure and any part of its store or process is open to tapping or 'bugging'. To counter this, cryptographic methods are used and the keys, together with the cryptographic transformations, are contained entirely in smart cards. When these are removed, the system is locked up and the information it contains is safe against illegal access.

These are examples of the close relationship between smart cards and cryptography, seen from the side of the cryptographer who regards the smart card as an additional tool. Our viewpoint in the rest of this chapter is to think of the smart card as a main component of the system and see how cryptographic techniques are used for its purpose.

## 8.2 PROTECTION FROM PASSIVE AND ACTIVE ATTACKS

Cryptographic techniques can be used in a large number of ways and for many different purposes. The basic purpose is to protect a system against misuse by impostors or unauthorised people. The first stage in protecting a system is to analyse the threats to the system and the risks they entail. We shall consider only those threats that are amenable to cryptographic protection and, as a first step we divide these into *passive* and *active* attacks.

A passive attack attempts to read information without changing it. Examples are the tapping of a telephone line, stealing or copying a diskette, observing a password by looking at the keyboard while it is entered or picking up stray electromagnetic radiation from which a meaningful signal can be reconstructed. Generally speaking, these attacks are not difficult to carry out and in a widespread communication network it is impossible to prevent them. The tapping or bugging of voice conversations is a highly developed art which can be applied (with a few

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