

US008153776B2

(12) United States Patent

Hannon et al.

(54) METHODS AND COMPOSITIONS FOR RNA INTERFERENCE

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- (*) Notice: Subject to any disclaimer, the term of this patent is extended or adjusted under 35 U.S.C. 154(b) by 0 days.

This patent is subject to a terminal disclaimer.

- (21) Appl. No.: 11/894,676
- (22) Filed: Aug. 20, 2007

(65) Prior Publication Data

US 2008/0213861 A1 Sep. 4, 2008

Related U.S. Application Data

- (63) Continuation of application No. 10/997,086, filed on Nov. 23, 2004, and a continuation-in-part of application No. 10/055,797, filed on Jan. 22, 2002.
- (51) Int. Cl.
- *C07H 21/04* (2006.01)
- (52) **U.S. Cl.** **536/24.5**; 536/24.31; 536/24.1; 435/6; 435/325; 435/375; 514/44
- (58) **Field of Classification Search** None See application file for complete search history.

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(10) Patent No.: US 8,153,776 B2

(45) **Date of Patent:** *Apr. 10, 2012

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(57) ABSTRACT

The present invention provides methods for attenuating gene expression in a cell, especially in a mammalian cell, using gene-targeted double stranded RNA (dsRNA), such as a hairpin RNA. The dsRNA contains a nucleotide sequence that hybridizes under physiologic conditions of the cell to the nucleotide sequence of at least a portion of the gene to be inhibited (the "target" gene).

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cyclin E extract

lacZ extract

Fig. 2A



Fig. 2B













Fig. 6B





Fig. 7C















At-argonaute-1		
Hs-elF2C	PAZ PIWI	;
Nc-qde-2	PAZ -	
Ce-rde-1		
Dm-piwi	PAZ	
Dm-sting	PAZ PIN	
Dm-argonaute-1		9
Dm-argonaute-2		

Fig. 14









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Fig. 20B







MGKKDKNKKGGQDSAAAPQPQQQQKQQQQRQQQPQQLQQPQQLQQPQQLQQPQQQQQQ QPHQQQQQSSRQQPSTSSGGSRASGFQQGGQQQKSQDAEGWTAQKKQGKQQVQGWTKQ GQQGGHQQGRQGQDGGYQQRPPGQQQGGHQQGRQGQEGGYQQRPPGQQQGGHQQGRQG QEGGYQQRPSGQQQGGHQQGRQGQEGGYQQRPPGQQQGGHQQGRQGQEGGYQQRPSGQ QQGGHQQGRQGQEGGYQQRPSGQQQGGHQQGRQGQEGGYQQRPSGQQQGGHQQGRQGQ EGGYQQRPPGQQPNQTQSQGQYQSRGPPQQQQAAPLPLPPQPAGSIKRGTIGKPGQVG INYLDLDLSKMPSVAYHYDVKIMPERPKKFYRQAFEQFRVDQLGGAVLAYDGKASCYS VDKLPLNSQNPEVTVTDRNGRTLRYTIEIKETGDSTIDLKSLTTYMNDRIFDKPMRAM QCVEVVLASPCHNKAIRVGR**SFFK**MSDPNNRHELDDGYEALVGLYQAFMLGDRPFLNV DISHKSFPISMPMIEYLERFSLKAKINNTTNLDYSRRFLEPFLRGINVVYTPPQSFQS APRVYRVNGLSRAPASSETFEHDGKKVTIASYFHSRNYPLKFPQLHCLNVGSSIKSIL LPIELCSIEEGQALNRKDGATQVANMIKYAATSTNVRKRKIMNLLQYFQHNLDPTISR FGIRIANDFIVVSTRVLSPPQVEYHSKRFTMVKNGSWRMDGMKFLEPKPKAHKCAVLY CDPRSGRKMNYTQLNDFGNLIISQGKAVNISLDSDVTYRPFTDDERSLDTIFADLKRS QHDLAIVIIPQFRISYDTIKQKAELQHGILTQCIKQFTVERKCNNQTIGNILLKINSK LNGINHKIKDDPRLPMMKNTMYIGADVTHPSPDQREIPSVVGVAASHDPYGASYNMQY RLQRGALEEIEDMFSITLEHLRVYKEYRNAYPDHIIYYRDGVSDGQFPKIKNEELRCI KQACDKVGCKPKICCVIVVKRHHTRFFPSGDVTTSNKFNNVDPGTVVDRTIVHPNEMO FFMVSHQAIQGTAKPTRYNVIENTGNLDIDLLQQLTYNLCHMFPRCNRSVSYPAPAYL AHLVAARGRVYLTGTNRFLDLKKEYAKRTIVPEFMKKNPMYFV

Fig. 24















Fig. 31








Fig. 34B











siRNA UCGAAGUACUCAGCGUAAGUG

AAAGCUUCAUGAGUCGCAUUC

cshFf

U CAUCGACUGAAAUCCCUGGUAAUCCGUUG U GUAGCUGACUUUAGGGACCAUUAGGCAAC A A

cshFf-L7

U CAUCGACUGAAAUCCCUGGUAAUCCGUUU GUAGCUGAUUUUAGGGACUAUUAGGUAAA UCCCG C UAGGGUAUCG U

cshFf-L7m			
(GCC		U
CAUCGACUGAAAUCCC	GUAAUCCGUUU	ſ	GGGGC \
GUAGCUGAUUUUAGGG	UAUUAGGUAAA	7	UCCCG C
i	AC-	UAGGGUAUCG	U U

Fig. 39A





Fig. 40

T7siFf-8

75hFf29-57 75hFf29-37

siRNA UCGAAGUACUCAGCGUAAGUG AAAGCUUCAUGAGUCGCAUUC T7siRNA GGUCGAAGUACUCAGCGUAAGAA 1.4 LUCIFERASE Ff:Ren AAAGCUUCATGAGUCGCAUUCGG 1.2 T7siFf-2 1 GGUUGUGGAUCUGGAUACCGG 8.0 UUCCAACACCUAGACCUAUGG 0.6 T7siFf-3 0.4 GGUGCCAACCCUAUUCUCCUU はたちという 0.2 GACCACGGUUGGGAUAAGAGG 清 0 T7siFf-8 T7siFf-2 T7siFf-3 siRNA CONTROL **I7siRNA** GGCUAUGAAGAGAGUACGCCCU UUCCGAUACUUCUCUCAUGCGG Fig. 41A Fig. 41B T7shFf29 GGU U ĊGAAGUACUCAGCGUAAGUGAUGUCCAC U GUUUUGUGGGUUGUGUUUGUUGUGGGUG A G' A T7shFf27 GGU U ČGAAGUACUCAGCGUAAGUGAUGUCC U 1.2 LUCIFERASE Ff:Ren GUUUUGUGGGUUGUGUUUGUUGUGGG A G^ A 1 0.8 T7shFf25 GGU U 0.6 ĊGAAGUACUCAGCGUAAGUGAUGU U GUUUUGUGGGUUGUGUUGUGUG A 0.4 0.2 А T7shFf22 0 cshFf GFP siRNA 7shFf29 l7shFf25 l7shFf22 17shFf27 GGUI U CGAAGUACUCAGCGUAAGUGA U GUUUUGUGGGUUGUGUUUGUU A G^ A T7shFf29-5'T GGCUCGAGU U Fig. 41D CGAAGUACUCAGCGUAAGUGAUGUCCAC U GUUUUGUGGGUUGUGUUGUGUGGGUG A G--А T7shFf29-3'T ----G U GUCGAAGUACUCAGCGUAAGUGAUGUCCAC U CGGUUUUGUGGGUUGUGUUGUGUGGGUG A GAGCU A Fig. 41C





"SENSE" STRAND

	GAA
GGUCUAAGUGGAGCCCUUCGAGUGUUA	G
CCGGGUUCACUUCGGGAGGCUCACAGU	J C
បប	GUU

"ANTI-SENSE" STRAND





Fig. 44B











Fig. 49





pGL3-CONTROL:					
SV40		polyA SITE			
FIREF	LY LUCIFERASE				
pLuc-NS5B:		1			
SV40			polyA SITE		
FIREF	ELY LUCIFERASE	HCV NS5B			
LUCIFERASE siRNA:	5 ' - CUUACGO 3 ' - TTGAAUGCO	CUGAGUACUUCGATT - GACUCAUGAAGCU - 5 '	-3'		
UNRELATED siRNA:	5 ' - AGCUUCAUAAGGCGCAUGCTT - 3 ' 3 ' - TTUCGAAGUAUUCCGCGUACG - 5 '				
NS5B siRNA:	5 ' - CUGUGAGAUCUACGGAGCCUGTT - 3 ' 3 ' - TTGACACUCUAGAUGCCUCGGAC - 5 '				
pShh1-Ff1:	5' GGAUI CCUAA 3'-UUA^	UCCAAUUCAGCGGGAG AGGUUGAGUCGCUCUC	GAA GCCACCUGAU G CGGUGGGGCUA C GUU		
 Г:-, ГО					

Fig. 52



Fig. 53A





Fig. 54A











Fig. 57 A

29mer shRNA no overhang NNNNNNNNNNNNNNNNN C C NNNNNNNNNNNNNNN_A **19mer shRNA** 29 nt. shRNA with overhang 19 nt. shRNA with overhang

Luciferase 29mer

AGUUGCGCCGCGGAAUGAUAUUAUAAUG



















Fig. 59 B





Fig. 59 C



ANAm 41N9AM puinism9A %

Fig. 60 A



Fig. 60 B


METHODS AND COMPOSITIONS FOR RNA INTERFERENCE

RELATED APPLICATIONS:

This application is a continuation application of U.S. Ser. No. 10/997,086, filed on Nov. 23, 2004, which is a continuation-in-part of U.S. Ser. No. 10/055,797, filed on Jan. 22, 2002, which is incorporated by reference herein.

GOVERNMENT SUPPORT

Work described herein was supported by National Institutes of Health Grant R01-GM62534. The United States Government may have certain rights in the invention.

BACKGROUND OF THE INVENTION

"RNA interference", "post-transcriptional gene silencing", $_{20}$ "quelling"-these different names describe similar effects that result from the overexpression or misexpression of transgenes, or from the deliberate introduction of double-stranded RNA into cells (reviewed in Fire, Trends Genet. 15: 358-363, 1999; Sharp, Genes Dev 13: 139-141, 1999; Hunter, Curr 25 Biol 9: R440-R442, 1999; Baulcombe, Curr Biol 9: R599-R601, 1999; Vaucheret et al., Plant J 16: 651-659, 1998). The injection of double-stranded RNA into the nematode Caenorhabditis elegans, for example, acts systemically to cause the post-transcriptional depletion of the homologous endog- 30 enous RNA (Fire et al., Nature 391: 806-811, 1998; and Montgomery et al., PNAS 95: 15502-15507, 1998). RNA interference, commonly referred to as RNAi, offers a way of specifically and potently inactivating a cloned gene, and is proving a powerful tool for investigating gene function. 35 Although the phenomenon is interesting in its own right; the mechanism has been rather mysterious, but recent researchfor example that recently reported by Smardon et al., Curr Biol 10: 169-178, 2000-is beginning to shed light on the nature and evolution of the biological processes that underlie 40 **RNAi**

RNAi was discovered when researchers attempting to use the antisense RNA approach to inactivate a C. elegans gene found that injection of sense-strand RNA was actually as effective as the antisense RNA at inhibiting gene function 45 (Guo et al., Cell 81: 611-620, 1995). Further investigation revealed that the active agent was modest amounts of doublestranded RNA that contaminate in vitro RNA preparations. Researchers quickly determined the 'rules' and effects of RNAi which have become the paradigm for thinking about 50 the mechanism which mediates this affect. Exon sequences are required, whereas introns and promoter sequences, while ineffective, do not appear to compromise RNAi (though there may be gene-specific exceptions to this rule). RNAi acts systemically-injection into one tissue inhibits gene function 55 in cells throughout the animal. The results of a variety of experiments, in C. elegans and other organisms, indicate that RNAi acts to destabilize cellular RNA after RNA processing.

The potency of RNAi inspired Timmons and Fire (*Nature* 395: 854, 1998) to do a simple experiment that produced an 60 astonishing result. They fed to nematodes bacteria that had been engineered to express double-stranded RNA corresponding to the *C. elegans* unc-22 gene. Amazingly, these nematodes developed a phenotype similar to that of unc-22 mutants that was dependent on their food source. The ability 65 to conditionally expose large numbers of nematodes to genespecific double-stranded RNA formed the basis for a very

powerful screen to select for RNAi-defective *C. elegans* mutants and then to identify the corresponding genes.

Double-stranded RNAs (dsRNAs) can provoke gene silencing in numerous in vitro contexts including Drosophila, Caenorhabditis elegans, planaria, hydra, trypanosomes, fungi and plants. However, the ability to recapitulate this phenomenon in higher eukaryotes, particularly mammalian cells, has not been accomplished in the art. Nor has the prior art demonstrated that this phenomena can be observed in 10 cultured eukaryotic cells. Additionally, the 'rules' established by the prior art have taught that RNAi requires exon sequences, and thus constructs consisting of intronic or promoter sequences were not believed to be effective reagents in mediating RNAi. The present invention aims to address each of these deficiencies in the prior art and provides evidence both that RNAi can be observed in cultured eukaryotic cells and that RNAi constructs consisting of non-exon sequences can effectively repress gene expression.

SUMMARY OF THE INVENTION

One aspect of the present invention provides a method for attenuating expression of a target gene in cultured cells, comprising introducing double stranded RNA (dsRNA) into the cells in an amount sufficient to attenuate expression of the target gene, wherein the dsRNA comprises a nucleotide sequence that hybridizes under stringent conditions to a nucleotide sequence of the target gene.

Another aspect of the present invention provides a method for attenuating expression of a target gene in a mammalian cell, comprising: (i) activating one or both of a Dicer activity or an Argonaut activity in the cell, and (ii) introducing into the cell a double stranded RNA (dsRNA) in an amount sufficient to attenuate expression of the target gene, wherein the dsRNA comprises a nucleotide sequence that hybridizes under stringent conditions to a nucleotide sequence of the target gene.

In certain embodiments, the cell is suspended in culture; while in other embodiments the cell is in a whole animal, such as a non-human mammal.

In certain preferred embodiments, the cell is engineered with (i) a recombinant gene encoding a Dicer activity, (ii) a recombinant gene encoding an Argonaut activity, or (iii) both. For instance, the recombinant gene may encode, for a example, a protein which includes an amino acid sequence at least 50 percent identical to SEQ ID NO: 2 or 4; or be defined by a coding sequence which hybridizes under wash conditions of 2×SSC at 22° C. to SEQ ID NO: 1 or 3. In certain embodiments, the recombinant gene may encode, for a example, a protein which includes an amino acid sequence at least 50 percent identical to the Argonaut sequence shown in FIG. 24. In certain embodiments, the recombinant gene may encode a protein which includes an amino acid sequence at least 60%, 70%, 80%, 85%, 90%, or 95% identical to SEQ ID NO: 2 or 4. In certain embodiments, the recombinant gene may be defined by a coding sequence which hybridizes under stringent conditions, including a wash step selected from 0.2-2.0×SSC at from 50° C.-65° C., to SEQ ID NO: 1 or 3.

In certain embodiments, rather than use a heterologous expression construct(s), an endogenous Dicer gene or Argonaut gene can be activated, e.g. by gene activation technology, expression of activated transcription factors or other signal transduction protein(s), which induces expression of the gene, or by treatment with an endogenous factor which upregulates the level of expression of the protein or inhibits the degradation of the protein.

In certain preferred embodiments, the target gene is an endogenous gene of the cell. In other embodiments, the target gene is a heterologous gene relative to the genome of the cell, such as a pathogen gene, e.g., a viral gene.

In certain embodiments, the cell is treated with an agent that inhibits protein kinase RNA-activated (PKR) apoptosis, such as by treatment with agents which inhibit expression of 5 PKR, cause its destruction, and/or inhibit the kinase activity of PKR.

In certain preferred embodiments, the cell is a primate cell, such as a human cell.

In certain preferred embodiments, the length of the dsRNA is at least 20, 21 or 22 nucleotides in length, e.g., corresponding in size to RNA products produced by Dicer-dependent cleavage. In certain embodiments, the dsRNA construct is at least 25, 50, 100, 200, 300 or 400 bases. In certain embodiments, the dsRNA construct is 400-800 bases in length.

In certain preferred embodiments, expression of the target gene is attenuated by at least 5 fold, and more preferably at least 10, 20 or even 50 fold, e.g., relative to the untreated cell or a cell treated with a dsRNA construct which does not 20 correspond to the target gene.

Yet another aspect of the present invention provides a method for attenuating expression of a target gene in cultured cells, comprising introducing an expression vector having a "coding sequence" which, when transcribed, produces 25 and may be expressed transiently or stably. In one embodidouble stranded RNA (dsRNA) in the cell in an amount sufficient to attenuate expression of the target gene, wherein the dsRNA comprises a nucleotide sequence that hybridizes under stringent conditions to a nucleotide sequence of the target gene. In certain embodiments, the vector includes a 30 single coding sequence for the dsRNA which is operably linked to (two) transcriptional regulatory sequences which cause transcription in both directions to form complementary transcripts of the coding sequence. In other embodiments, the vector includes two coding sequences which, respectively, 35 give rise to the two complementary sequences which form the dsRNA when annealed. In still other embodiments, the vector includes a coding sequence which forms a hairpin. In certain embodiments, the vectors are episomal, e.g., and transfection is transient. In other embodiments, the vectors are chromo- 40 somally integrated, e.g., to produce a stably transfected cell line. Preferred vectors for forming such stable cell lines are described in U.S. Pat. No. 6,025,192 and PCT publication WO 98/12339, which are incorporated by reference herein.

Another aspect of the present invention provides a method 45 for attenuating expression of a target gene in cultured cells, comprising introducing an expression vector having a "noncoding sequence" which, when transcribed, produces double stranded RNA (dsRNA) in the cell in an amount sufficient to attenuate expression of the target gene. The non-coding 50 sequence may include intronic or promoter sequence of the target gene of interest, and the dsRNA comprises a nucleotide sequence that hybridizes under stringent conditions to a nucleotide sequence of the promoter or intron of the target gene. In certain embodiments, the vector includes a single 55 sequence for the dsRNA which is operably linked to (two) transcriptional regulatory sequences which cause transcription in both directions to form complementary transcripts of the sequence. In other embodiments, the vector includes two sequences which, respectively, give rise to the two comple- 60 mentary sequences which form the dsRNA when annealed. In still other embodiments, the vector includes a coding sequence which forms a hairpin. In certain embodiments, the vectors are episomal, e.g., and transfection is transient. In other embodiments, the vectors are chromosomally inte- 65 grated, e.g., to produce a stably transfected cell line. Preferred vectors for forming such stable cell lines are described in U.S.

Pat. No. 6,025,192 and PCT publication WO 98/12339, which are incorporated by reference herein.

Another aspect the present invention provides a double stranded (ds) RNA for inhibiting expression of a mammalian gene. The dsRNA comprises a first nucleotide sequence that hybridizes under stringent conditions, including a wash step of 0.2×SSC at 65° C., to a nucleotide sequence of at least one mammalian gene and a second nucleotide sequence which is complementary to the first nucleotide sequence.

In one embodiment, the first nucleotide sequence of said double-stranded RNA is at least 20, 21, 22, 25, 50, 100, 200, 300, 400, 500, 800 nucleotides in length.

In another embodiment, the first nucleotide sequence of said double-stranded RNA is identical to at least one mammalian gene. In another embodiment, the first nucleotide sequence of said double-stranded RNA is identical to one mammalian gene. In yet another embodiment, the first nucleotide sequence of said double-stranded RNA hybridizes under stringent conditions to at least one human gene. In still another embodiment, the first nucleotide sequence of said double-stranded RNA is identical to at least one human gene. In still another embodiment, the first nucleotide sequence of said double-stranded RNA is identical to one human gene.

The double-stranded RNA may be an siRNA or a hairpin, ment, the double-stranded RNA is a hairpin comprising a first nucleotide sequence that hybridizes under stringent conditions to a nucleotide sequence of at least one mammalian gene, and a second nucleotide sequence which is a complementary inverted repeat of said first nucleotide sequence and hybridizes to said first nucleotide sequence to form a hairpin structure.

The first nucleotide sequence of said double-stranded RNA can hybridize to either coding or non-coding sequence of at least one mammalian gene. In one embodiment, the first nucleotide sequence of said double-stranded RNA hybridizes to a coding sequence of at least one mammalian gene. In another embodiment, the first nucleotide sequence of said double-stranded RNA hybridizes to a coding sequence of at least one human gene. In another embodiment, the first nucleotide sequence of said double-stranded RNA is identical to a coding sequence of at least one mammalian gene. In still another embodiment, the first nucleotide sequence of said double-stranded RNA is identical to a coding sequence of at least one human gene.

In another embodiment, the first nucleotide sequence of said double-stranded RNA is hybridizes to a non-coding sequence of at least one mammalian gene. In another embodiment, the first nucleotide sequence of said double-stranded RNA hybridizes to a non-coding sequence of at least one human gene. In another embodiment, the first nucleotide sequence of said double-stranded RNA is identical to a noncoding sequence of at least one mammalian gene. In still another embodiment, the first nucleotide sequence of said double-stranded RNA is identical to a non-coding sequence of at least one human gene. In any of the foregoing embodiments, the non-coding sequence may be a non-transcribed sequence.

Still another aspect of the present invention provides an assay for identifying nucleic acid sequences, either coding or non-coding sequences, responsible for conferring a particular phenotype in a cell, comprising: (i) constructing a variegated library of nucleic acid sequences from a cell in an orientation relative to a promoter to produce double stranded DNA; (ii) introducing the variegated dsRNA library into a culture of target cells; (iii) identifying members of the library which confer a particular phenotype on the cell, and identifying the

sequence from a cell which correspond, such as being identical or homologous, to the library member.

Yet another aspect of the present invention provides a method of conducting a drug discovery business comprising: (i) identifying, by the subject assay, a target gene which 5 provides a phenotypically desirable response when inhibited by RNAi; (ii) identifying agents by their ability to inhibit expression of the target gene or the activity of an expression product of the target gene; (iii) conducting therapeutic profiling of agents identified in step (b), or further analogs 10 thereof, for efficacy and toxicity in animals; and (iv) formulating a pharmaceutical preparation including one or more agents identified in step (iii) as having an acceptable therapeutic profile.

The method may include an additional step of establishing 15 a distribution system for distributing the pharmaceutical preparation for sale, and may optionally include establishing a sales group for marketing the pharmaceutical preparation.

Another aspect of the present invention provides a method of conducting a target discovery business comprising: (i) 20 identifying, by the subject assay, a target gene which provides a phenotypically desirable response when inhibited by RNAi; (ii) (optionally) conducting therapeutic profiling of the target gene for efficacy and toxicity in animals; and (iii) licensing, to a third party, the rights for further drug development of inhibi-25 tors of the target gene.

Another aspect of the invention provides a method for inhibiting RNAi by inhibiting the expression or activity of an RNAi enzyme. Thus, the subject method may include inhibiting the activity of Dicer and/or the 22-mer RNA.

Still another aspect relates to a method for altering the specificity of an RNAi by modifying the sequence of the RNA component of the RNAi enzyme.

In another aspect, gene expression in an undifferentiated stem cell, or the differentiated progeny thereof, is altered by 35 introducing dsRNA of the present invention. In one embodiment, the stem cells are embryonic stem cells. Preferably, the embryonic stem cells are derived from mammals, more preferably from non-human primates, and most preferably from humans. 40

The embryonic stem cells may be isolated by methods known to one of skill in the art from the inner cell mass (ICM) of blastocyst stage embryos. In one embodiment the embryonic stem cells are obtained from previously established cell lines. In a second embodiment, the embryonic stem cells are 45 derived de novo by standard methods.

In another aspect, the embryonic stem cells are the result of nuclear transfer. The donor nuclei are obtained from any adult, fetal, or embryonic tissue by methods well known in the art. In one embodiment, the donor nuclei is transferred to a 50 recipient oocyte which had previously been modified. In one embodiment, the oocyte is modified using one or more dsR-NAs. Exemplary modifications of the recipient oocyte include any changes in gene or protein expression that prevent an embryo derived from said modified oocyte from success- 55 fully implanting in the uterine wall. Since implantation in the uterine wall is essential for fertilized mammalian embryos to progress from beyond the blastocyst stage, embryos made from such modified oocytes could not give rise to viable organisms. Non-limiting examples of such modifications 60 include those that decrease or eliminate expression of cell surface receptors (i.e., integrins) required for the recognition between the blastocyst and the uterine wall, modifications that decrease or eliminate expression of proteases (i.e., collagenase, stromelysin, and plasminogen activator) required to 65 digest matrix in the uterine lining and thus allow proper implantation, and modifications that decrease or eliminate

expression of proteases (i.e., trypsin) necessary for the blastocyst to hatch from the zona pellucida. Such hatching is required for implantation.

In another embodiment, embryonic stem cells, embryonic stem cells obtained from fertilization of modified oocytes, or the differentiated progeny thereof, can be modified or further modified with one or more dsRNAs. In a preferred embodiment, the modification decreases or eliminates MHC expression. Cells modified in this way will be tolerated by the recipient, thus avoiding complications arising from graft rejection. Such modified cells are suitable for transplantation into a related or unrelated patient to treat a condition characterized by cell damage or cell loss.

In another aspect of the invention, the undifferentiated stem cell is an adult stem cell. Exemplary adult stem cells include, but are not limited to, hematopoietic stem cells, mesenchymal stem cells, cardiac stem cells, pancreatic stem cells, and neural stem cells. Exemplary adult stem cells include any stem cell capable of forming differentiated ectodermal, mesodermal, or endodermal derivatives. Non-limiting examples of differentiated cell types which arise from adult stem cells include: blood, skeletal muscle, myocardium, endocardium, pericardium, bone, cartilage, tendon, ligament, connective tissue, adipose tissue, liver, pancreas, skin, neural tissue, lung, small intestine, large intestine, gall bladder, rectum, anus, bladder, female or male reproductive tract, genitals, and the linings of the body cavity.

In one embodiment, an undifferentiated adult stem cell, or the differentiated progeny thereof, is altered with one or more dsRNAs to decrease or eliminate MHC expression. Cells modified in this way will be tolerated by the recipient, thus avoiding complications arising from graft rejection. Such modified cells are suitable for transplantation into a related or unrelated patient to treat a condition characterized by cell damage or cell loss.

In another aspect of the invention, an embryonic stem cell, an undifferentiated adult stem cell, or the differentiated progeny of either an embryonic or adult stem cell is altered with one or more dsRNA to decrease or eliminate expression of genes required for HIV infection. In a preferred embodiment, the stem cell is one capable of giving rise to hematopoietic cells. Modified cells with hematopoietic potential can be transplanted into a patient as a preventative therapy or treatment for HIV or AIDS.

Another aspect of the invention relates to purified or semipurified preparations of the RNAi enzyme or components thereof. In certain embodiments, the preparations are used for identifying compounds, especially small organic molecules, which inhibit or potentiate the RNAi activity. Small molecule inhibitors, for example, can be used to inhibit dsRNA responses in cells which are purposefully being transfected with a virus which produces double stranded RNA.

The dsRNA construct may comprise one or more strands of polymerized ribonucleotide. It may include modifications to either the phosphate-sugar backbone or the nucleoside. The double-stranded structure may be formed by a single selfcomplementary RNA strand or two complementary RNA strands. RNA duplex formation may be initiated either inside or outside the cell. The dsRNA construct may be introduced in an amount which allows delivery of at least one copy per cell. Higher doses of double-stranded material may yield more effective inhibition. Inhibition is sequence-specific in that nucleotide sequences corresponding to the duplex region of the RNA are targeted for genetic inhibition. In certain embodiments, dsRNA constructs containing a nucleotide sequences identical to a portion of the target gene are preferred for inhibition. RNA sequences with insertions, deletions, and single point mutations relative to the target sequence (i.e., RNA sequences similar to the target sequence) have also been found to be effective for inhibition. Thus, sequence identity may be optimized by alignment algorithms known in the art and calculating the percent difference 5 between the nucleotide sequences. Alternatively, the duplex region of the RNA may be defined functionally as a nucleotide sequence that is capable of hybridizing with a portion of the target gene transcript. In another embodiment, dsRNA constructs containing nucleotide sequences identical to a 10 non-coding portion of the target gene are preferred for inhibition. Exemplary non-coding regions include introns and the promoter region. Sequences with insertions, deletions, and single point mutations relative to the target non-coding sequence may also be used.

Yet another aspect of the invention pertains to transgenic non-human mammals which include a transgene encoding a dsRNA construct, wherein the dsRNA is identical or similar to either the coding or non-coding sequence of the target gene, preferably which is stably integrated into the genome of cells 20 in which it occurs. The animals can be derived by oocyte microinjection, for example, in which case all of the nucleated cells of the animal will include the transgene, or can be derived using embryonic stem (ES) cells which have been transfected with the transgene, in which case the animal is a 25 chimera and only a portion of its nucleated cells will include the transgene. In certain instances, the sequence-independent dsRNA response, e.g., the PKR response, is also inhibited in those cells including the transgene.

In still other embodiments, dsRNA itself can be introduced 30 into an ES cell in order to effect gene silencing, and that phenotype will be carried for at least several rounds of division, e.g., into the progeny of that cell.

Another aspect of the invention provides a method for attenuating expression of a target gene in mammalian cells, 35 comprising introducing into the mammalian cells a singlestranded hairpin ribonucleic acid (shRNA) comprising self complementary sequences of 19 to 100 nucleotides that form a duplex region, which self complementary sequences hybridize under intracellular conditions to a target gene, 40 ated by at least 33 percent relative expression in cells not wherein said hairpin RNA: (i) is a substrate for cleavage by a RNaseIII enzyme to produce a double-stranded RNA product, (ii) does not produce a general sequence-independent killing of the mammalian cells, and (iii) reduces expression of said target gene in a manner dependent on the sequence of 45 said complementary regions. Preferably, the shRNA comprises a 3' overhang of about 14 nucleotides.

A related aspect of the invention provides a method for attenuating expression of a target gene in mammalian cells, comprising introducing into the mammalian cells a single- 50 stranded hairpin ribonucleic acid (shRNA) comprising self complementary sequences of 19 to 100 nucleotides that form a duplex region, which self complementary sequences hybridize under intracellular conditions to a target gene, wherein said hairpin RNA: (i) is cleaved in the mammalian 55 identifying shRNA species of said variegated library which cells to produce an RNA guide sequence that enters an Argonaut-containing complex, (ii) does not produce a general sequence-independent killing of the mammalian cells, and (iii) reduces expression of said target gene in a manner dependent on the sequence of said complementary regions. Prefer- 60 ably, the shRNA comprises a 3' overhang of about 1-4 nucleotides.

Yet another related aspect of the invention provides a method for attenuating expression of one or more target genes in mammalian cells, comprising introducing into the mam- 65 malian cells a variegated library of single-stranded hairpin ribonucleic acid (shRNA) species, each shRNA species com-

prising self complementary sequences of 19 to 100 nucleotides that form duplex regions and which hybridize under intracellular conditions to a target gene, wherein each of said hairpin RNA species: (i) is a substrate for cleavage by a RNaseIII enzyme to produce a double-stranded RNA product, (ii) does not produce a general sequence-independent killing of the mammalian cells, and (iii) if complementary to a target sequence, reduces expression of said target gene in a manner dependent on the sequence of said complementary regions. Preferably, the shRNA comprises a 3' overhang of about 1-4 nucleotides.

In one embodiment, the shRNA comprises a 3' overhang of 2 nucleotides.

In one embodiment, the shRNA comprises self-comple-15 mentary sequences of 25 to 29 nucleotides that form duplex regions.

In one embodiment, the self-complementary sequences are 29 nucleotides in length.

In one embodiment, the shRNA is transfected or microinjected into said mammalian cells.

In one embodiment, the shRNA is a transcriptional product that is transcribed from an expression construct introduced into said mammalian cells, which expression construct comprises a coding sequence for transcribing said shRNA, operably linked to one or more transcriptional regulatory sequences. The transcriptional regulatory sequences may include a promoter for an RNA polymerase, such as a cellular RNA polymerase.

In one embodiment, the promoter is a U6 promoter, a T7 promoter, a T3 promoter, or an SP6 promoter.

In one embodiment, the transcriptional regulatory sequences includes an inducible promoter.

In one embodiment, the mammalian cells are stably transfected with said expression construct.

In one embodiment, the mammalian cells are primate cells, such as human cells.

In one embodiment, the shRNA is introduced into the mammalian cells in cell culture or in an animal.

In one embodiment, the expression of the target is attenutreated said hairpin RNA.

In one embodiment, the target gene is an endogenous gene or a heterologous gene relative to the genome of the mammalian cell.

In one embodiment, the self complementary sequences hybridize under intracellular conditions to a non-coding sequence of the target gene selected from a promoter sequence, an enhancer sequence, or an intronic sequence.

In one embodiment, the shRNA includes one or more modifications to phosphate-sugar backbone or nucleosides residues.

In one embodiment, the variegated library of shRNA species are arrayed a solid substrate.

In one embodiment, the method includes the further step of produce a detected phenotype in said mammalian cells.

In one embodiment, the shRNA is a chemically synthesized product or an in vitro transcription product.

Another aspect of the invention provides a method of enhancing the potency/activity of an RNAi therapeutic for a mammalian patient, said RNAi therapeutic comprising an siRNA of 19-22 paired polynucleotides, the method comprising replacing said siRNA with a single-stranded hairpin RNA (shRNA) of claim 1 or 2, wherein said duplex region comprises the same 19-22 paired polynucleotides of said siRNA.

In one embodiment, the shRNA comprises a 3' overhang of 2 nucleotides.

In one embodiment, the half-maximum inhibition by said RNAi therapeutic is achieved by a concentration of said shRNA at least about 20% lower than that of said siRNA.

In one embodiment, the half-maximum inhibition by said RNAi therapeutic is achieved by a concentration of said ⁵ shRNA at least about 100% lower than that of said siRNA.

In one embodiment, the end-point inhibition by said shRNA is at least about 40% higher than that of said siRNA.

In one embodiment, the end-point inhibition by said shRNA is at least about 2-6 fold higher than that of said ¹⁰ siRNA.

Another aspect of the invention provides a method of designing a short hairpin RNA (shRNA) construct for RNAi, said shRNA comprising a 3' overhang of about 14 nucleotides, the method comprising selecting the nucleotide about 15 21 bases 5' to the most 3'-end nucleotide as the first paired nucleotide in a cognate doubled-stranded siRNA with the same 3' overhang.

In one embodiment, the shRNA comprises 25-29 paired polynucleotides.

In one embodiment, the shRNA, when cut by a Dicer enzyme, produces a product siRNA that is either identical to, or differ by a single basepair immediately 5' to the 3' overhang from, said cognate siRNA.

In one embodiment, the Dicer enzyme is a human Dicer. ²⁵ In one embodiment, the 3' overhang has 2 nucleotides. In one embodiment, the shRNA is for RNAi in mammalian

cells.

All embodiments described above can be freely combined with one or more other embodiments whenever appropriate. ³⁰ Such combination also includes embodiments described under different aspects of the invention.

BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1: RNAi in S2 cells. (a) *Drosophila* S2 cells were transfected with a plasmid that directs lacZ expression from the copia promoter in combination with dsRNAs corresponding to either human CD8 or lacZ, or with no dsRNA, as indicated. (b) S2 cells were co-transfected with a plasmid that 40 directs expression of a GFP-US9 fusion protein and dsRNAs of either lacZ or cyclin E, as indicated. Upper panels show FACS profiles of the bulk population. Lower panels show FACS profiles from GFP-positive cells. (c) Total RNA was extracted from cells transfected with lacZ, cyclin E, fizzy or 45 cyclin A dsRNAs, as indicated. Northern blots were hybridized with sequences not present in the transfected dsRNAs.

FIG. 2: RNAi in vitro. (a) Transcripts corresponding to either the first 600 nucleotides of Drosophila cyclin E (E600) or the first 800 nucleotides of lacZ (Z800) were incubated in 50 lysates derived from cells that had been transfected with either lacZ or cyclin E (cycE) dsRNAs, as indicated. Time points were 0, 10, 20, 30, 40 and 60 min for cyclin E and 0, 10, 20, 30 and 60 min for lacZ. (b) Transcripts were incubated in an extract of S2 cells that had been transfected with cyclin E 55 dsRNA (cross-hatched box, below). Transcripts corresponded to the first 800 nucleotides of lacZ or the first 600, 300, 220 or 100 nucleotides of cyclin E, as indicated. Eout is a transcript derived from the portion of the cyclin E cDNA not contained within the transfected dsRNA. E-ds is identical to 60 the dsRNA that had been transfected into S2 cells. Time points were 0 and 30 min. (c) Synthetic transcripts complementary to the complete cyclin E cDNA (Eas) or the final 600 nucleotides (Eas600) or 300 nucleotides (Eas300) were incubated in extract for 0 or 30 min. 65

FIG. 3: Substrate requirements of the RISC. Extracts were prepared from cells transfected with cyclin E dsRNA. Ali-

quots were incubated for 30 min at 30° C. before the addition of either the cyclin E (E600) or lacZ (Z800) substrate. Individual 20 μ l aliquots, as indicated, were pre-incubated with 1 mM CaCl₂ and 5 mM EGTA, 1 mM CaCl₂, 5 mM EGTA and 60 U of micrococcal nuclease, 1 mM CaCl₂ and 60 U of micrococcal nuclease or 10 U of DNase I (Promega) and 5 mM EGTA. After the 30 min pre-incubation, EGTA was added to those samples that lacked it. Yeast tRNA (1 μ g) was added to all samples. Time points were at 0 and 30 min.

FIG. 4: The RISC contains a potential guide RNA. (a) Northern blots of RNA from either a crude lysate or the S100 fraction (containing the soluble nuclease activity, see Methods) were hybridized to a riboprobe derived from the sense strand of the cyclin E mRNA. (b) Soluble cyclin-E-specific nuclease activity was fractionated as described in Methods. Fractions from the anion-exchange resin were incubated with the lacZ, control substrate (upper panel) or the cyclin E substrate (centre panel). Lower panel, RNA from each fraction was analysed by northern blotting with a uniformly labeled transcript derived from sense strand of the cyclin E cDNA. DNA oligonucleotides were used as size markers.

FIG. 5: Generation of 22mers and degradation of mRNA are carried out by distinct enzymatic complexes. (a) Extracts prepared either from 0-12 hour Drosophila embryos or Drosophila S2 cells (see Methods) were incubated for 0, 15, 30, or 60 minutes (left to right) with a uniformly-labeled double-stranded RNA corresponding to the first 500 nucleotides of the Drosophila cyclin E coding region. M indicates a marker prepared by in vitro transcription of a synthetic template. The template was designed to yield a 22 nucleotide transcript. The doublet most probably results from improper initiation at the +1 position. (b) Whole-cell extracts were prepared from S2 cells that had been transfected with a dsRNA corresponding to the first 500 nt. of the luciferase 35 coding region. S10 extracts were spun at 30,000×g for 20 minutes which represents our standard RISC extract. S100 extracts were prepared by further centrifugation of S10 extracts for 60 minutes at 100,000×g. Assays for mRNA degradation were carried out as described previously for 0, 30 or 60 minutes (left to right in each set) with either a singlestranded luciferase mRNA or a single-stranded cyclin E mRNA, as indicated. (c) S10 or S100 extracts were incubated with cyclin E dsRNAs for 0, 60 or 120 minutes (L to R).

FIG. 6: Production of 22mers by recombinant CG4792/ Dicer. (a) Drosophila S2 cells were transfected with plasmids that direct the expression of T7-epitope tagged versions of Drosha, CG4792/Dicer-1 and Homeless. Tagged proteins were purified from cell lysates by immunoprecipitation and were incubated with cyclin E dsRNA. For comparison, reactions were also performed in Drosophila embryo and S2 cell extracts. As a negative control, immunoprecipitates were prepared from cells transfected with a β -galactosidase expression vector. Pairs of lanes show reactions performed for 0 or 60 minutes. The synthetic marker (M) is as described in the legend to FIG. 1. (b) Diagrammatic representations of the domain structures of CG4792/Dicer-1, Drosha and Homeless are shown. (c) Immunoprecipitates were prepared from detergent lysates of S2 cells using an antiserum raised against the C-terminal 8 amino acids of Drosophila Dicer-1 (CG4792). As controls, similar preparations were made with a pre-immune serum and with an immune serum that had been preincubated with an excess of antigenic peptide. Cleavage reactions in which each of these precipitates was incubated with an ~500 nt. fragment of Drosophila cyclin E are shown. For comparison, an incubation of the substrate in Drosophila embryo extract was electrophoresed in parallel. (d) Dicer immunoprecipitates were incubated with dsRNA substrates in the presence or absence of ATP. For comparison, the same substrate was incubated with S2 extracts that either contained added ATP or that were depleted of ATP using glucose and hexokinase (see methods). (e) Drosophila S2 cells were transfected with uniformly, ³²P-labelled dsRNA corresponding to 5 the first 500 nt. of GFP. RISC complex was affinity purified using a histidine-tagged version of Drosophila Ago-2, a recently identified component of the RISC complex (Hammond et al., in prep). RISC was isolated either under condi-10tions in which it remains ribosome associated (Is, low salt) or under conditions that extract it from the ribosome in a soluble form (hs, high salt). For comparison, the spectrum of labeled RNAs in the total lysate is shown. (f) Guide RNAs produced by incubation of dsRNA with a Dicer immunoprecipitate are compared to guide RNAs present in an affinity-purified RISC complex. These precisely co-migrate on a gel that has singlenucleotide resolution. The lane labeled control is an affinity selection for RISC from a cell that had been transfected with labeled dsRNA but not with the epitope-tagged Drosophila 20 Ago-2.

FIG. 7: Dicer participates in RNAi. (a) Drosophila S2 cells were transfected with dsRNAs corresponding to the two Drosophila Dicers (CG4792 and CG6493) or with a control dsRNA corresponding to murine caspase 9. Cytoplasmic 25 extracts of these cells were tested for Dicer activity. Transfection with Dicer dsRNA reduced activity in lysates by 7.4fold. (b) The Dicer-1 antiserum (CG4792) was used to prepare immunoprecipitates from S2 cells that had been treated as described above. Dicer dsRNA reduced the activity of 30 Dicer-1 in this assay by 6.2-fold. (c) Cells that had been transfected two days previously with either mouse caspase 9 dsRNA or with Dicer dsRNA were cotransfected with a GFP expression plasmid and either control, luciferase dsRNA or GFP dsRNA. Three independent experiments were quantified 35 by FACS. A comparison of the relative percentage of GFPpositive cells is shown for control (GFP plasmid plus luciferase dsRNA) or silenced (GFP plasmid plus GFP dsRNA) populations in cells that had previously been transfected with either control (caspase 9) or Dicer dsRNAs. 40

FIG. 8: Dicer is an evolutionarily conserved ribonuclease. (a) A model for production of 22mers by Dicer. Based upon the proposed mechanism of action of Ribonuclease III, we propose that Dicer acts on its substrate as a dimer. The positioning of the two ribonuclease domains (RIIIa and RIIIb) 45 within the enzyme would thus determine the size of the cleavage product. An equally plausible alternative model could be derived in which the RIIIa and RIIIb domains of each Dicer enzyme would cleave in concert at a single position. In this model, the size of the cleavage product would be determined 50 by interaction between two neighboring Dicer enzymes. (b) Comparison of the domain structures of potential Dicer homologs in various organisms (Drosophila-CG4792, CG6493, C. elegans-K12H4.8, Arabidopsis-CARPEL FACTORY, T25K16.4, AC012328_1, human Helicase-MOI 55 and S. pombe-YC9A_SCHPO). The ZAP domains were identified both by analysis of individual sequences with Pfam and by Psi-blast searches. The ZAP domain in the putative S. *pombe* Dicer is not detected by PFAM but is identified by Psi-Blast and is thus shown in a different color. For compari- 60 son, a domain structure of the RDE1/QDE2/ARGONAUTE family is shown. It should be noted that the ZAP domains are more similar within each of the Dicer and ARGONAUTE families than they are between the two groups. (c) An alignment of the ZAP domains in selected Dicer and Argonaute 65 family members is shown. The alignment was produced using ClustalW.

FIG. 9: Purification strategy for RISC. (second step in RNAi model).

FIG. **10**: Fractionation of RISC activity over sizing column. Activity fractionates as 500 KDa complex. Also, antibody to *Drosophila* argonaute 2 cofractionates with activity.

FIGS. **11-13**: Fractionation of RISC over monoS, monoQ, Hydroxyapatite columns. *Drosophila* argonaute 2 protein also cofactionates.

FIG. **14**: Alignment of *Drosophila* argonaute 2 with other family members.

FIG. **15**: Confirmation of *Drosophila* argonaute 2. S2 cells were transfected with labeled dsRNA and His tagged argonaute. Argonaute was isolated on nickel agarose and RNA component was identified on 15% acrylamide gel.

FIG. **16**: S2 cell and embryo extracts were assayed for 22-mer generating activity.

FIG. **17**: RISC can be separated from 22-mer generating activity (dicer). Spinning extracts (S100) can clear RISC activity from supernatant (left panel) however, S100 spins still contain dicer activity (right panel).

FIG. 18: Dicer is specific for dsRNA and prefers longer substrates.

FIG. 19: Dicer was fractionated over several columns.

FIG. **20**: Identification of dicer as enzyme which can process dsRNA into 22mers. Various RNaseIII family members were expressed with n terminal tags, immunoprecipitated, and assayed for 22-mer generating activity (left panel). In right panel, antibodies to dicer could also precipitate 22-mer generating activity.

FIG. 21: Dicer requires ATP.

FIG. **22**: Dicer produces RNAs that are the same size as RNAs present in RISC.

FIG. **23**: Human dicer homolog when expressed and immunoprecipitated has 22-mer generating activity.

FIG. **24**: Sequence of *Drosophila* argonaute 2 (SEQ ID NO: 5). Peptides identified by microsequencing are shown in underline.

FIG. **25**: Molecular characterization of *Drosophila* argonaute 2. The presence of an intron in coding sequence was determined by northern blotting using intron probe. This results in a different 5' reading frame then the published genome sequence. Number of polyglutamine repeats was determined by genomic PCR.

FIG. **26**: Dicer activity can be created in human cells by expression of human dicer gene. Host cell was 293. Crude extracts had dicer activity, while activity was absent from untransfected cells. Activity is not dissimilar to that seen in *Drosophila* embryo extracts.

FIG. 27: A \sim 500 nt. fragment of the gene that is to be silenced (X) is inserted into the modified vector as a stable direct repeat using standard cloning procedures. Treatment with commercially available cre recombinase reverses sequences within the loxP sites (L) to create an inverted repeat. This can be stably maintained and amplified in an sbc mutant bacterial strain (DL759). Transcription in vitro from the promoter of choice (P) yields a hairpin RNA that causes silencing. A zeocin resistance marker is included to insure maintenance of the direct and inverted repeat structures; however this is non-essential in vitro and could be removed by pre-mRNA splicing if desired. (Smith et al. (2000) *Nature* 407: 319-20).

FIG. **28**: RNAi in P19 embryonal carcinoma cells. Tencentimeter plates of P19 cells were transfected by using 5 μg of GFP plasmid and 40 μg of the indicated dsRNA (or no RNA). Cells were photographed by fluorescent (tope panel)

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and phase-contrast microscopy (bottom panel) at 72 h after transfection; silencing was also clearly evident at 48 h posttransfection.

FIG. 29: RNAi of firefly and Renilla luciferase in P19 cells. (A and B) P19 cells were transfected with plasmids that direct 5 the expression of firefly and Renilla luciferase and dsRNA 500 mers (25 or 250 ng, as indicated in A and B, respectively), that were either homologous to the firefly luciferase mRNA (dsFF) or nonhomologous (dsGFP). Luciferase activities were assayed at various times after transfection, as indicated. 10 Ratios of firefly to Renilla activity are normalized to dsGFP controls. (C and D) P19 cells in 12-well culture dishes (2 ml of media) were transfected with 0.25 µg of a 9:1 mix of pGL3-Control and pRL-SV40 as well as 2 µg of the indicated RNA. Extracts were prepared 9 h after transfection. (C) Ratio 15 of firefly to Renilla luciferase is shown. (D) Ratio of Renilla to firefly luciferase is shown. Values are normalized to dsGFP. The average of three independent experiments is shown; error bars indicate standard deviation.

FIG. 30: The panels at the right show expression of either 20 RFP or GFP following transient transfection into wild type P19 cells. The panels at the left demonstrate the specific suppression of GFP expression in P19 clones which stably express a 500 nt double stranded GFP hairpin. P19 clones which stably express the double stranded GFP hairpin were 25 transiently transfected with RFP or GFP, and expression of RFP or GFP was assessed by visual inspection.

FIG. 31: Specific silencing of luciferase expression by dsRNA in murine embryonic stem cells. Mouse embryonic stem cells in 12-well culture dishes (1 ml of media) were 30 transfected with 1.5 µg of dsRNA along with 0.25 µg of a 10:1 mixture of the reporter plasmids pGL3-Control and pRL-SV40. Extracts were prepared and assayed 20 h after transfection. The ratio of firefly to Renilla luciferase expression is shown for FF ds500; the ratio of Renilla to firefly is shown for 35 Ren ds500. Both are normalized to ratios from the dsGFP transfection. The average of three independent experiments is shown; error bars indicate standard deviation.

FIG. 32: RNAi in C2C12 murine myoblast cells. (A) Mouse C2C12 cells in 12-well culture dishes (1 ml of media) 40 were transfected with 1 µg of the indicated dsRNA along with 0.250 µg of the reporter plasmids pGL3-Control and pRL-SV40. Extracts were prepared and assayed 24 h after transfection. The ratio of firefly to Renilla luciferase expression is shown; values are normalized to ratios from the no dsRNA 45 control. The average of three independent experiments is shown: error bars indicate standard deviation. (B) C2C12 cells cotransfected with 1 µg of either plasmid alone or a plasmid containing a hyperactive mutant of vaccinia virus K3L (Kawagishi-Kobayashi et al. 2000, Virology 276: 424- 50 434). The absolute counts of Renilla and firefly luciferase activity are shown. (C) The ratios of firefly/Renilla activity from B, normalized to no dsRNA controls.

FIG. 33: Hela, Chinese hamster ovary, and P19 (pluripotent, mouse embryonic carcinoma) cell lines transfected with 55 plasmids expressing Photinus pyralis (firefly) and Renilla reniformis (sea pansy) luciferases and with dsRNA 500mers (400 ng), homologous to either firefly luciferase mRNA (dsLUC) or non-homologous (dsGFP). Dual luciferase assays were carried out using an Analytical Scientific Instru- 60 ments model 3010 Luminometer. In this assay Renilla luciferase serves as an internal control for dsRNA-specific suppression of firefly luciferase activity. These data demonstrate that 500-mer dsRNA can specifically suppress cognate gene expression in vitro.

FIG. 34: Expression of a hairpin RNA produces P19 EC cell lines that stably silence GFP. (A) A cartoon of the FLIP

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cassette used to construct the GFP hairpin. GFP represents the first 500 coding base pairs of EGFP. Zeo, zeocin resistance gene; L, Lox; P, the cytomegalovirus promoter in the expression plasmid pcDNA3. Homologous GFP fragments are first cloned as direct repeats into the FLIP cassette. To create inverted repeats for hairpin production, the second repeat is flipped by using Cre recombinase. When transcribed, the inverted repeat forms a GFP dsRNA with a hairpin loop. (B) P19 cell lines stably expressing the GFP hairpin plasmid, GFPhp.1 (clone 10) and GFPhp.2 (clone 12), along with wt P19 were transfected with 0.25 µg each of GFP and RFP reporter genes. Fluorescence micrographs were taken by using filters appropriate for GFP and RFP. Magnification is 200×. (C) P19 GFPhp.1 cells were transfected with pEGFP and 0, 0.5, or 1 µg of Dicer or firefly dsRNA. Fluorescence micrographs were taken at 48 h post-transfection and are superimposed with bright field images to reveal non-GFP expressing cells. Magnification is 100×. (D) In vitro and in vitro processing of dsRNA in P19 cells. In vitro Dicer assays were performed on S2 cells and three independently prepared P19 extracts by using ³²P-labeled dsRNA (30° C. for 30 min). A Northern blot of RNA extracted from control and GFPhp.1 P19-cells shows the production of ≈22-mer RNA species in hairpin-expressing cells but not in control cells. Blots were probed with a ³²P-labeled "sense" GFP transcript.

FIG. 35: dsRNA induces silencing at the posttranscriptional level. P19 cell extracts were used for in vitro translation of firefly and Renilla luciferase mRNA (100 ng each). Translation reactions were programmed with various amounts of dsRNA 500mers, either homologous to firefly luciferase mRNA (dsLUC) or nonhomologous (dsGFP). Luciferase assays were carried out after a 1 h incubation at 30° C. Ratios of firefly to Renilla activity are normalized to no dsRNA controls. Standard deviations from the mean are shown.

FIG. 36: S10 fractions from P19 cell lysates were used for in vitro translations of mRNA coding for Photinus pyralis (firefly) and Renilla reniformis (sea pansy) luciferases. Translation reactions were programmed with dsRNA, ssRNA, or asRNA 500mers, either complementary to firefly luciferase mRNA (dsFF, ssFF, or asFF), complementary to Renilla luciferase (dsREN, ssREN, or asREN) or non-complementary (dsGFP). Reactions were carried out at 30° C. for 1 hour, after a 30 min preincubation with dsRNA, ssRNA, or asRNA. Dual luciferase assays were carried out using an Analytical Scientific Instruments model 3010 Luminometer. On the left, Renilla luciferase serves as an internal control for dsRNAspecific suppression of firefly luciferase activity. On the right, firefly luciferase serves as an internal control for dsRNAspecific suppression of Renilla luciferase activity. These data demonstrate that 500-mer double-stranded RNA (dsRNA) but not single-stranded (ssRNA) or anti-sense RNA (asRNA) suppresses cognate gene expression in vitro in a manner consistent with post-transcriptional gene silencing.

FIG. 37: P19 cells were grown in 6-well tissue culture plates to approximately 60% confluence. Various amounts of dsRNA, either homologous to firefly luciferase mRNA (dsLUC) or non-homologous (dsGFP), were added to each well and incubated for 12 hrs under normal tissue culture conditions. Cells were then transfected with plasmids expressing Photinus pyralis (firefly) and Renilla reniformis (sea pansy) luciferases and with dsRNA 500mers (500 ng). Dual luciferase assays were carried out 12 hrs post-transfection using an Analytical Scientific Instruments model 3010 Luminometer. In this assay Renilla luciferase serves as an internal control for dsRNA-specific suppression of firefly luciferase activity. These data show that 500-mer dsRNA can specifically suppress cognate gene expression in vitro without transfection under normal tissue culture conditions.

FIG. 38: Previous methods for generating siRNAs required costly chemical synthesis. The invention provides an in vitro method for synthesizing siRNAs using standard RNA tran-5 scription reactions.

FIG. 39: Short hairpins suppress gene expression in Drosophila S2 cells. (A) Sequences and predicted secondary structure of representative chemically synthesized RNAs. Sequences correspond to positions 112-134 (siRNA) and 10 463-491 (shRNAs) of Firefly luciferase carried on pGL3-Control. An siRNA targeted to position 463-485 of the luciferase sequence was virtually identical to the 112-134 siRNA in suppressing expression, but is not shown. These sequences are represented by SEQ ID NOs: 6-10. (B) Exog- 15 enously supplied short hairpins suppress expression of the targeted Firefly luciferase gene in vitro. Six-well plates of S2 cells were transfected with 250 ng/well of plasmids that direct the expression of firefly and Renilla luciferase and 500 ng/well of the indicated RNA. Luciferase activities were 20 assayed 48 h after transfection. Ratios of firefly to Renilla luciferase activity were normalized to a control transfected with an siRNA directed at the green fluorescent protein (GFP). The average of three independent experiments is shown; error bars indicate standard deviation. (C) Short hair- 25 pins are processed by the Drosophila Dicer enzyme. T7 transcribed hairpins shFfL22, shFfL29, and shFfS29 were incubated with (+) and without (-) 0-2-h Drosophila embryo extracts. Those incubated with extract produced ~22-nt siR-NAs, consistent with the ability of these hairpins to induce 30 RNA interference. A long dsRNA input (cyclin E 500-mer) was used as a control. Cleavage reactions were performed as described in Bernstein et al., 2001, Nature, 409:363-366.

FIG. 40: Short hairpins function in mammalian cells. HEK 293T, HeLa, COS-1, and NIH 3T3 cells were transfected with 35 plasmids and RNAs as in FIG. 1 and subjected to dual luciferase assays 48 h post-transfection. The ratios of firefly to Renilla luciferase activity are normalized to a control transfected with an siRNA directed at the green fluorescent protein (GFP). The average of three independent experiments is 40 shown; error bars indicate standard deviation.

FIG. 41: siRNAs and short hairpins transcribed in vitro suppress gene expression in mammalian cells. (A) Sequences and predicted secondary structure of representative in vitro transcribed siRNAs. Sequences correspond to positions 112- 45 134 (siRNA) and 463-491 (shRNAs) of firefly luciferase carried on pGL3-Control. These sequences are represented by SEQ ID NOs: 11-20. (B) In vitro transcribed siRNAs suppress expression of the targeted firefly luciferase gene in vitro. HEK 293T cells were transfected with plasmids as in FIG. 2. 50 The presence of non-base-paired guanosine residues at the 5' end of siRNAs significantly alters the predicted end structure and abolishes siRNA activity. (C) Sequences and predicted secondary structure of representative in vitro transcribed shR-NAs. Sequences correspond to positions 112-141 of firefly 55 luciferase carried on pGL3-Control. These sequences are represented by SEQ ID NOs: 21-26. (D) Short hairpins transcribed in vitro suppress expression of the targeted firefly luciferase gene in vitro. HEK 293T cells were transfected with plasmids as in FIG. 2.

FIG. 42: Transcription of functional shRNAs in vitro. (A) Schematic of the pShh1 vector. Sequences encoding shRNAs with between 19 and 29 bases of homology to the targeted gene are synthesized as 60-75-bp double-stranded DNA oligonucleotides and ligated into an EcoRV site immediately 65 downstream of the U6 promoter. This sequence is represented by SEQ ID NO: 27. (B) Sequence and predicted secondary

structure of the Ff1 hairpin. (C) An shRNA expressed from the pShh1 vector suppresses luciferase expression in mammalian cells. HEK 293T, HeLa, COS-1, and NIH 3T3 cells were transfected with reporter plasmids as in FIG. 1, and pShh1 vector, firefly siRNA, or pShh1 firefly shRNA constructs as indicated. The ratios of firefly to Renilla luciferase activity were determined 48 h after transfection and represent the average of three independent experiments; error bars indicate standard deviation.

FIG. 43: Dicer is required for shRNA-mediated gene silencing. HEK 293T cells were transfected with luciferase reporter plasmids as well as pShh1-Ff1 and an siRNA targeting human Dicer either alone or in combination, as indicated. The Dicer siRNA sequence (TCAACCAGCCACT-GCTGGA, SEQ ID NO: 37) corresponds to coordinates 3137-3155 of the human Dicer sequence. The ratios of firefly to Renilla luciferase activity were determined 26 h after transfection and represent the average of three independent experiments; error bars indicate standard deviation.

FIG. 44: Stable shRNA-mediated gene silencing of an endogenous gene. (A) Sequence and predicted secondary structure of the p53 hairpin. The 5' shRNA stem contains a 27-nt sequence derived from mouse p53 (nucleotides 166-192), whereas the 3' stem harbors the complimentary antisense sequence. This sequence is represented by SEQ ID NO: 28. (B) Senescence bypass in primary mouse embryo fibroblasts (MEFs) expressing an shRNA targeted at p53. Wildtype MEFs, passage 5, were transfected with pBabe-RasV12 with control plasmid or with p53hp (5 µg each with FuGENE; Roche). Two days after transfection, cells were trypsinized, counted, and plated at a density of $1 \times 10^{5}/10$ -cm plate in media containing 2.0 µg/mL of puromycin. Control cells cease proliferation and show a senescent morphology (left panel). Cells expressing the p53 hairpin continue to grow (right panel). Photos were taken 14 d post-transfection.

FIG. 45: A mixture of two short hairpins, both corresponding to firefly luciferase, does not result in a synergistic suppression of gene expression. Suppression of firefly luciferase gene expression resulting from transfection of a mixture of two different short hairpins (HP#1 and HP#2) was examined. The mixture of HP #1 and HP #2 did not have a more robust effect on the suppression of firefly luciferase gene expression than expression of HP#1 alone.

FIG. 46: Encoded short hairpins specifically suppress gene expression in vitro. DNA oligonucleotides encoding 29 nucleotide hairpins corresponding to firefly luciferase were inserted into a vector containing the U6 promoter. Three independent constructs were examined for their ability to specifically suppress firefly luciferase gene expression in 293T cells. siOligo1-2, siOligo1-6, and siOligo1-19 (construct in the correct orientation) each suppressed gene expression as effectively as siRNA. In contrast, siOligo1-10 (construct in the incorrect orientation) did not suppress gene expression. An independent construct targeted to a different portion of the firefly luciferase gene did not effectively suppress gene expression in either orientation (SiOligo2-23, SiOligo2-36).

FIGS. 47-49: Strategies for stable expression of short dsR-NAs.

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FIG. 50: Dual luciferase assays were performed as described in detail in FIGS. 28-35, however the cells used in these experiments were PKR^{-/-} murine embryonic fibroblasts (MEFs). Briefly, RNAi using long dsRNAs typically envokes a non-specific response in MEFs (due to PKR activity). To evaluate the effect of long dsRNA constructs to specifically inhibit gene expression in MEFs, RNAi was examined in PKR^{-/-} MEFs. Such cells do not respond to dsRNA with a non-specific response. The data summarized in this figure demonstrates that in the absence of the non-specific PKR response, long dsRNA constructs specifically suppress gene expression in MEFs.

FIG. **51**: Is a schematic representation of the mouse tyrosinase promoter. Primers were used to amplify three separate regions in the proximal promoter, or to amplify sequence corresponding to an enhancer located approximately 12 kb upstream.

FIG. **52**: Reporter expression plasmids and siRNA 10 sequences used in Figures X and Y. PGL-3-Control and Pluc-NS5B are the expression plasmids used for transfection into mouse liver. The nucleotide sequences of the siRNAs used in the study are shown underneath. These sequences are represented by SEQ ID NOs: 29-35.

FIG. 53: RNA interference in adult mice using siRNAs. (a) Representative images of light emitted from mice co-transfected with the luciferase plasmid pGL3-control and either no siRNA, luciferase siRNA or unrelated siRNA. A pseudocolour image representing intensity of emitted light (red, most 20 intense; blue, least intense) superimposed on a greyscale reference image (for orientation) shows that RNAi functions in adult mice. Annealed 21-nucleotide siRNAs (40 µg; Dharmacon) were co-injected into the livers of mice with 2 µg pGL3control DNA (Promega) and 800 units of RNasin (Promega) 25 in 1.8 ml PBS buffer in 5-7 s. After 72 h, mice were anaesthetized and given 3 mg luciferin intraperitoneally 15 min before imaging. (b) siRNA results (six mice per group) from a representative experiment. Mice receiving luciferase siRNA emitted significantly less light than reporter-alone 30 controls (one-way ANOVA with post hoc Fisher's test). Results for reporter alone and unrelated siRNA were statistically similar. Animals were treated according to the US National Institutes of Health's guidelines for animal care and the guidelines of Stanford University.

FIG. 54: RNA interference in adult mice using shRNAs. (a) Representative images of light emitted from mice co-transfected with the luciferase plasmid control, pShh1-Ff1, and pShh1-Ff1rev. pShh1-Ff1, but not pShh1-Ff1rev, reduced luciferase expression in mice relative to the reporter-alone 40 control. pShh1-Ff1 or pShh1-rev (10 μ g) were co-injected with 2 μ g pGL3-control in 1.8 ml PBS buffer. (b) Average of three independent shRNA experiments (n=5). Average values for the reporter-alone group are designated as 100% in each of the three experiments. Animals were treated according to the 45 US National Institutes of Health's guidelines for animal care and the guidelines of Stanford University.

FIG. **55**: Heritable repression of Neil1 expression by RNAi in several tissues. (a) Expression of Neil1 mRNA in the livers of three mice containing the Neil1 shRNA transgene 50 (shRNA-positive) or three siblings lacking the transgene (shRNA-negative) was assayed by RT-PCR (top row is Neil1). An RT-PCR of β -actin was done to ensure that equal quantities of mRNAs were tested for each mouse (second row). Expression of the neomycin resistance gene (neo), car-55 ried on the shRNA vector, was tested similarly (third row). Finally, the mice were genotyped using genomic DNA that was PCR-amplified with vector-specific primers (bottom row). (b) Similar studies were performed in the heart. (c) Similar studies were performed in the spleen. Animal proce-60 dures have been approved by the SUNY, Stony Brook Institutional Animal Care and Use Committee (IACUC).

FIG. **56**: Reduction in Neill protein correlates with the presence of siRNAs. (a) Expression of Neill protein was examined in protein extracts from the livers of mice carrying 65 the shRNA transgene (shRNA-positive) or siblings lacking the transgene (shRNA-negative) by western blotting with

Neil1-specific antiserum. A western blot for PCNA was used to standardize loading. (b) The presence of siRNAs in RNA derived from the livers of transgenic mice as assayed by northern blotting using a 300 nt probe, part of which was complementary to the shRNA sequence. We note siRNAs only in mice transgenic for the shRNA expression cassette.

FIG. **57**: In vitro processing of 29 nt. shRNAs by Dicer generates a single siRNA from the end of each short hairpin. a) The set of shRNAs containing 19 or 29 nt stems and either bearing or lacking a 2 nucleotide 3'overhang is depicted schematically. For reference the 29 nt sequence from luciferase (top, blue) strand is given. The presumed cleavage sites are indicated in green and by the arrows. b) In vitro Dicer processing of shRNAs. Substrates as depicted in a) were incubated either in the presence or absence of recombinant human Dicer (as indicated). Processing of a 500 bp. blunt-ended dsRNA is shown for comparison. Markers are end-labeled, single-stranded, synthetic RNA oligonucleotides. c) All shRNA substrates were incubated with bacterial RNase III to verify their double-stranded nature. This sequence is represented by SEQ ID NO: 36.

FIG. 58: Primer extension analysis reveal a single siRNA generated from Dicer processing of shRNA both in vitro and in vivo. a) 19 nt. shRNAs, as indicated (see FIG. 57a), were processed by Dicer in vitro. Reacted RNAs were extended with a specific primer that yields a 20 base product if cleavage occurs 22 bases from the 3' end of the overhung RNA (see FIG. 57a). Lanes labeled siRNA are extensions of synthetic RNAs corresponding to predicted siRNAs that would be released by cleavage 21 or 22 nucleotides from the 3' end of the overhung precursor. Observation of extension products dependents entirely on the inclusion of RT (indicated). Markers are phosphorylated, synthetic DNA oligonucleotides. b) Analysis as described in a) for 29 nt. shRNAs. The * indicates 35 the specific extension product from the overhung shRNA species. c) Primer extension were used to analyze products from processing of overhung 29 nt. shRNAs in vivo. For comparison, extensions of in vitro processed material are also shown. Again, the * indicates the specific extension product.

FIG. 59: Gene suppression by shRNAs is comparable to or more effective than that achieved by siRNAs targeting the same sequences. a) Structures of synthetic RNAs used for these studies. b) mRNA suppression levels achieved by 43 siRNAs targeting 6 different genes compared with levels achieved by 19-mer (left) or 29-mer (right) shRNAs derived from the same target sequences. All RNAs were transfected at a final concentration of 100 nM. Values indicated on the X and Y axes reflect the percentage of mRNA remaining in HeLa cells 24 hours after RNA transfection compared with cells treated with transfection reagent alone. c) Titration analysis comparing efficacies of four siRNA/shRNA sets targeting MAPK14. Curves are graphed from data derived from transfections at 1.56, 6.25, 25, and 100 nM final concentrations of RNA. (diamonds: 21-mer siRNAs; squares: 19-mer shRNAs; triangles: 29-mer shRNAs).

FIG. **60**: Microarray profiling reveals sequence-specific gene expression profiles and more similarity between 29-mer shRNAs and cognate siRNAs than observed for 19-mer shR-NAs. Each row of the heat maps reports the gene expression signature resulting from transfection of an individual RNA. Data shown represent genes that display at least a 2-fold change in expression level (P value <0.01 and log 10 intensity >1) relative to mock-transfected cells. Green indicates decreased expression relative to mock transfection whereas red indicates elevated expression. a) 19-mer shRNAs and siRNAs designed for six different target sequences within the coding region of the MAPK14 gene were tested for gene

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silencing after 24 hours in HeLa cells. b) A similar experiment to that described in a) but carried out with five 29-mer shRNAs targeting MAPK14.

DETAILED DESCRIPTION OF CERTAIN PREFERRED EMBODIMENTS

I. Overview

The present invention provides methods for attenuating gene expression in a cell using gene-targeted double stranded 10 RNA (dsRNA). The dsRNA contains a nucleotide sequence that hybridizes under physiologic conditions of the cell to the nucleotide sequence of at least a portion of the gene to be inhibited (the "target" gene). The nucleotide sequence can hybridize to either coding or non-coding sequence of the 15 target gene.

A significant aspect to certain embodiments of the present invention relates to the demonstration in the present application that RNAi can in fact be accomplished both in cultured mammalian cells and in whole organisms. This had not been 20 previously described in the art.

Another salient feature of the present invention concerns the ability to carry out RNAi in higher eukaryotes, particularly in non-oocytic cells of mammals, e.g., cells from adult mammals as an example.

Furthermore, in contrast to the teachings of the prior art, we demonstrate that RNAi in mammalian systems can be mediated with dsRNA identical or similar to non-coding sequence of a target gene. It was previously believed that although dsRNA identical or similar to non-coding sequences (i.e., 30 promoter, enhancer, or intronic sequences) did not inhibit RNAi, such dsRNAs were not thought to mediate RNAi.

In addition, the instant invention also demonstrates that short hairpin RNA (shRNA) may effectively be used in the subject RNAi methods. In certain embodiments, shRNAs 35 specifically designed as Dicer substrates can be used as more potent inducers of RNAi than siRNAs. Not only is maximal inhibition achieved at much lower levels of transfected RNA, but also endpoint inhibition is often greater. In certain other embodiments, mimicking natural pre-miRNAs by inclusion 40 of a 1-5 nucleotide(s), especially a 2 nucleotide 3' overhang, enhances the efficiency of Dicer cleavage and directs cleavage to a specific position in the precursor. The presence of this specific processing site further permits the application of rules for siRNA design to shRNAs, both for chemical synthe- 45 sis and vector-based delivery of such shRNA constructs. These teachings provide improved methods for evoking RNAi in mammalian cells, and thus improved ability to produce highly potent silencing triggers in therapeutic application of RNAi.

As described in further detail below, the present invention(s) are based on the discovery that the RNAi phenomenon is mediated by a set of enzyme activities, including an essential RNA component, that are evolutionarily conserved in eukaryotes ranging from plants to mammals.

One enzyme contains an essential RNA component. After partial purification, a multi-component nuclease (herein "RISC nuclease") co-fractionates with a discrete, 22-nucleotide RNA species which may confer specificity to the nuclease through homology to the substrate mRNAs. The 60 short RNA molecules are generated by a processing reaction from the longer input dsRNA. Without wishing to be bound by any particular theory, these 22-mer guide RNAs may serve as guide sequences that instruct the RISC nuclease to destroy specific mRNAs corresponding to the dsRNA sequences.

As illustrated, double stranded forms of the 22-mer guide RNA can be sufficient in length to induce sequence-depen-

dent dsRNA inhibition of gene expression. In the illustrated example, dsRNA constructs are administered to cells having a recombinant luciferase reporter gene. In the control cell, e.g., no exogenously added RNA, the level of expression of the luciferase reporter is normalized to be the value of "1". As illustrated, both long (500-mer) and short (22-mer) dsRNA constructs complementary to the luciferase gene could inhibit expression of that gene product relative to the control cell. On the other hand, similarly sized dsRNA complementary to the coding sequence for another protein, green fluorescence protein (GFP), did not significantly effect the expression of luciferase-indicating that the inhibitory phenomena was in each case sequence-dependent. Likewise, single stranded 22-mers of luciferase did not inhibit expression of that geneindicating that the inhibitory phenomena is double strandeddependent.

The appended examples also identify an enzyme, Dicer, that can produce the putative guide RNAs. Dicer is a member of the RNAse III family of nucleases that specifically cleave dsRNA and is evolutionarily conserved in worms, flies, plants, fungi and, as described herein, mammals. The enzyme has a distinctive structure which includes a helicase domain and dual RNAse III motifs. Dicer also contains a region of homology to the RDE1/QDE2/ARGONAUTE family, which have been genetically linked to RNAi in lower eukaryotes. Indeed, activation of, or overexpression of Dicer may be sufficient in many cases to permit RNA interference in otherwise non-receptive cells, such as cultured eukaryotic cells, or mammalian (non-oocytic) cells in culture or in whole organisms.

In certain embodiments, the cells can be treated with an agent(s) that inhibits the general double-stranded RNA response(s) by the host cells, such as may give rise to sequence-independent apoptosis. For instance, the cells can be treated with agents that inhibit the dsRNA-dependent protein kinase known as PKR (protein kinase RNA-activated). Double stranded RNAs in mammalian cells typically activate protein kinase PKR and lead to apoptosis. The mechanism of action of PKR includes phosphorylation and inactivation of eIF2 α (Fire, Trends Genet. 15: 358, 1999). It has also been reported that induction of NF- κ B by PKR is involved in apoptosis commitment and this process is mediated through activation of the IKK complex. This sequence-independent response may reflect a form of primitive immune response, since the presence of dsRNA is a common feature of many viral lifecycles.

As described herein, Applicants have demonstrated that the PKR response can be overcome in favor of the sequencespecific RNAi response. However, in certain instances, it may be desirable to treat the cells with agents which inhibit expression of PKR, cause its destruction, and/or inhibit the kinase activity of PKR, and such methods are specifically contemplated for use in the present invention. Likewise, overexpression of agents which ectopically activate $eIF2\alpha$ can be used. 55 Other agents Which can be used to suppress the PKR response include inhibitors of IKK phosphorylation of IkCB, inhibitors of IkB ubiquitination, inhibitors of IkB degradation, inhibitors of NF-KB nuclear translocation, and inhibitors of NF- κ B interaction with κ B response elements.

Other inhibitors of sequence-independent dsRNA response in cells include the gene product of the vaccinia virus E3L. The E3L gene product contains two distinct domains. A conserved carboxy-terminal domain has been shown to bind double-stranded RNA (dsRNA) and inhibit the antiviral dsRNA response by cells. Expression of at least that portion of the E3L gene in the host cell, or the use of polypeptide or peptidomimetics thereof, can be used to suppress the

general dsRNA response. Caspase inhibitors sensitize cells to killing by double-stranded RNA. Accordingly, ectopic expression or activation of caspases in the host cell can be used to suppress the general dsRNA response.

In other embodiments, the subject method is carried out in 5 cells which have little or no general response to double stranded RNA, e.g., have no PKR-dependent dsRNA response, at least under the culture conditions. As illustrated in FIGS. 28-32, CHO and P19 cells can be used without having to inhibit PKR or other general dsRNA responses.

Also as described in further detail below, the present invention(s) are partially based on the discovery that short hairpin RNA specifically designed as Dicer substrates are more potent inducers of RNAi than siRNAs. In certain embodiments, shRNA constructs with 1-5, preferably two 3' over- 15 hang nucleotides are substrates particularly well-adapted for Dicer-mediated cleavage, and are more potent inhibitors of target genes then their siRNA counterparts with identical complementary sequences. Such shRNA can be formed either in vitro or in vivo by, for example, sequence-specific 20 pairing after chemical synthesis, or transcription from a promoter operatively-linked to a DNA encoding such hairpin structure.

Thus, the present invention provides a process and compositions for inhibiting expression of a target gene in a cell, 25 especially a mammalian cell. In certain embodiments, the process comprises introduction of RNA (the "dsRNA construct") with partial or fully double-stranded character into the cell or into the extracellular environment. Inhibition is specific in that a nucleotide sequence from a portion of the 30 target gene is chosen to produce the dsRNA construct. The dsRNA may be identical or similar to coding or non-coding sequence of the target gene. In preferred embodiments, the method utilizes a cell in which Dicer and/or Argonaute activities are recombinantly expressed or otherwise ectopically 35 activated. This process can be (1) effective in attenuating gene expression, (2) specific to the targeted gene, and (3) general in allowing inhibition of many different types of target gene. II. Definitions

For convenience, certain terms employed in the specifica- 40 tion, examples, and appended claims are collected here.

As used herein, the term "vector" refers to a nucleic acid molecule capable of transporting another nucleic acid to which it has been linked. One type of vector is a genomic integrated vector, or "integrated vector", which can become 45 integrated into the chromosomal DNA of the host cell. Another type of vector is an episomal vector, i.e., a nucleic acid capable of extra-chromosomal replication. Vectors capable of directing the expression of genes to which they are operatively linked are referred to herein as "expression vec- 50 tors". In the present specification, "plasmid" and "vector" are used interchangeably unless otherwise clear from the context.

As used herein, the term "nucleic acid" refers to polynucleotides such as deoxyribonucleic acid (DNA), and, where appropriate, ribonucleic acid (RNA). The term should also be 55 understood to include, as applicable to the embodiment being described, single-stranded (such as sense or antisense) and double-stranded polynucleotides.

As used herein, the term "gene" or "recombinant gene" refers to a nucleic acid comprising an open reading frame 60 encoding a polypeptide of the present invention, including both exon and (optionally) intron sequences. The nucleic acid may also optionally include non-coding sequences such as promoter or enhancer sequences. A "recombinant gene" refers to nucleic acid encoding such regulatory polypeptides, 65 that may optionally include intron sequences that are derived from chromosomal DNA. The term "intron" refers to a DNA

sequence present in a given gene that is not translated into protein and is generally found between exons.

A "protein coding sequence" or a sequence that "encodes" a particular polypeptide or peptide, is a nucleic acid sequence that is transcribed (in the case of DNA) and is translated (in the case of mRNA) into a polypeptide in vitro or in vitro when placed under the control of appropriate regulatory sequences. The boundaries of the coding sequence are determined by a start codon at the 5' (amino) terminus and a translation stop codon at the 3' (carboxy) terminus. A coding sequence can include, but is not limited to, cDNA from procaryotic or eukaryotic mRNA, genomic DNA sequences from procaryotic or eukaryotic DNA, and even synthetic DNA sequences. A transcription termination sequence will usually be located 3' to the coding sequence.

Likewise, "encodes", unless evident from its context, will be meant to include DNA sequences that encode a polypeptide, as the term is typically used, as well as DNA sequences that are transcribed into inhibitory antisense molecules.

The term "loss-of-function", as it refers to genes inhibited by the subject RNAi method, refers to a diminishment in the level of expression of a gene(s) in the presence of one or more dsRNA construct(s) when compared to the level in the absence of such dsRNA construct(s).

The term "expression" with respect to a gene sequence refers to transcription of the is gene and, as appropriate, translation of the resulting mRNA transcript to a protein. Thus, as will be clear from the context, expression of, a protein coding sequence results from transcription and translation of the coding sequence.

"Cells," "host cells" or "recombinant host cells" are terms used interchangeably herein. It is understood that such terms refer not only to the particular subject cell but to the progeny or potential progeny of such a cell. Because certain modifications may occur in succeeding generations due to either mutation or environmental influences, such progeny may not, in fact, be identical to the parent cell, but are still included within the scope of the term as used herein.

The term "cultured cells" refers to cells suspended in culture, e.g., dispersed in culture or in the form tissue. It does not, however, include oocytes or whole embryos (including blastocysts and the like) which may be provided in culture. In certain embodiments, the cultured cells are adults cells, e.g., non-embryonic.

By "recombinant virus" is meant a virus that has been genetically altered, e.g., by the addition or insertion of a heterologous nucleic acid construct into the particle.

As used herein, the terms "transduction" and "transfection" are art recognized and mean the introduction of a nucleic acid, e.g., an expression vector, into a recipient cell by nucleic acid-mediated gene transfer. "Transformation", as used herein, refers to a process in which a cell's genotype is changed as a result of the cellular uptake of exogenous DNA or RNA, and, for example, the transformed cell expresses a dsRNA construct.

"Transient transfection" refers to cases where exogenous DNA does not integrate into the genome of a transfected cell, e.g., where episomal DNA is transcribed into mRNA and translated into protein.

A cell has been "stably transfected" with a nucleic acid construct when the nucleic acid construct is capable of being inherited by daughter cells.

As used herein, a "reporter gene construct" is a nucleic acid that includes a "reporter gene" operatively linked to at least one transcriptional regulatory sequence. Transcription of the reporter gene is controlled by these sequences to which they are linked. The activity of at least one or more of these control

sequences can be directly or indirectly regulated by the target receptor protein. Exemplary transcriptional control sequences are promoter sequences. A reporter gene is meant to include a promoter-reporter gene construct that is heterologously expressed in a cell.

As used herein, "transformed cells" refers to cells that have spontaneously converted to a state of unrestrained growth, i.e., they have acquired the ability to grow through an indefinite number of divisions in culture. Transformed cells may be characterized by such terms as neoplastic, anaplastic and/or hyperplastic, with respect to their loss of growth control. For purposes of this invention, the terms "transformed phenotype of malignant mammalian cells" and "transformed phenotype" are intended to encompass, but not be limited to, any of 15 the following phenotypic traits associated with cellular transformation of mammalian cells: immortalization, morphological or growth transformation, and tumorigenicity, as detected by prolonged growth in cell culture, growth in semi-solid media, or tumorigenic growth in immuno-incompetent or 20 enzymes is Argonaut, or a homolog thereof. In certain presyngeneic animals.

As used herein, "proliferating" and "proliferation" refer to cells undergoing mitosis.

As used herein, "immortalized cells" refers to cells that have been altered via chemical, genetic, and/or recombinant ²⁵ means such that the cells have the ability to grow through an indefinite number of divisions in culture.

The "growth state" of a cell refers to the rate of proliferation of the cell and the state of differentiation of the cell.

"MHC antigen", as used herein, refers to a protein product 30 of one or more MHC genes; the term includes fragments or analogs of products of MHC genes which can evoke an immune response in a recipient organism. Examples of MHC antigens include the products (and fragments or analogs 35 thereof) of the human MHC genes, i.e., the HLA genes.

The term "histocompatibility" refers to the similarity of tissue between different individuals. The level of histocompatibility describes how well matched the patient and donor are. The major histocompatibility determinants are the human $_{40}$ leukocyte antigens (HLA). HLA typing is performed between the potential marrow donor and the potential transplant recipient to determine how close a HLA match the two are. The closer the match the less the donated marrow and the patient's body will react against each other.

The term "human leukocyte antigens" or "HLA", refers to proteins (antigens) found on the surface of white blood cells and other tissues that are used to match donor and patient. For instances, a patient and potential donor may have their white blood cells tested for such HLA antigens as, HLA-A, B and 50 DR. Each individual has two sets of these antigens, one set inherited from each parent. For this reason, it is much more likely for a brother or sister to match the patient than an unrelated individual, and much more likely for persons of the same racial and ethnic backgrounds to match each other. III. Exemplary Embodiments of Isolation Method

One aspect of the invention provides a method for potentiating RNAi by induction or ectopic activation of an RNAi enzyme in a cell (in vitro or in vitro) or cell-free mixtures. In preferred embodiments, the RNAi activity is activated or 60 added to a mammalian cell, e.g., a human cell, which cell may be provided in vitro or as part of a whole organism. In other embodiments, the subject method is carried out using eukaryotic cells generally (except for oocytes) in culture. For instance, the Dicer enzyme may be activated by virtue of 65 being recombinantly expressed or it may be activated by use of an agent which (i) induces expression of the endogenous

gene, (ii) stabilizes the protein from degradation, and/or (iii) allosterically modifies the enzyme to increase its activity (by altering its k_{cat} , K_m or both).

A. Dicer and Argonaut Activities

In certain embodiments, at least one of the activated RNAi enzymes is Dicer, or a homolog thereof. In certain preferred embodiments, the present method provides for ectopic activation of Dicer. As used herein, the term "Dicer" refers to a protein which (a) mediates an RNAi response and (b) has an amino acid sequence at least 50 percent identical, and more preferably at least 75, 85, 90 or 95 percent identical to SEQ ID NO: 2 or 4, and/or which can be encoded by a nucleic acid which hybridizes under wash conditions of 2×SSC at 22° C., and more preferably 0.2×SSC at 65° C., to a nucleotide represented by SEQ ID NO: 1 or 3. Accordingly, the method may comprise introducing a dsRNA construct into a cell in which Dicer has been recombinantly expressed or otherwise ectopically activated.

In certain embodiment, at least one of the activated RNAi ferred embodiments, the present method provides for ectopic activation of Argonaut. As used herein, the term "Argonaut" refers to a protein which (a) mediates an RNAi response and (b) has an amino acid sequence at least 50 percent identical, and more preferably at least 75, 85, 90 or 95 percent identical to the amino acid sequence shown in FIG. 24. Accordingly, the method may comprise introducing a dsRNA construct into a cell in which Argonaut has been recombinantly expressed or otherwise ectopically activated.

This invention also provides expression vectors containing a nucleic acid encoding a Dicer or Argonaut polypeptide, operably linked to at least one transcriptional regulatory sequence. Operably linked is intended to mean that the nucleotide sequence is linked to a regulatory sequence in a manner which allows expression of the nucleotide sequence. Regulatory sequences are art-recognized and are selected to direct expression of the subject is Dicer or Argonaut proteins. Accordingly, the term transcriptional regulatory sequence includes promoters, enhancers and other expression control elements. Such regulatory sequences are described in Goeddel, Gene Expression Technology: Methods in Enzymology 185, Academic Press, San Diego, Calif., 1990. For instance, any of a wide variety of expression control sequences, sequences that control the expression of a DNA sequence when operatively linked to it, may be used in these vectors to express DNA sequences encoding Dicer or Argonaut polypeptides of this invention. Such useful expression control sequences, include, for example, a viral LTR, such as the LTR of the Moloney murine leukemia virus, the early and late promoters of SV40, adenovirus or cytomegalovirus immediate early promoter, the lac system, the trp system, the TAC or TRC system, T7 promoter whose expression is directed by T7 RNA polymerase, the major operator and promoter regions of phage λ , the control regions for fd coat protein, the promoter for 3-phosphoglycerate kinase or other glycolytic enzymes, the promoters of acid phosphatase, e.g., Pho5, the promoters of the yeast α -mating factors, the polyhedron promoter of the baculovirus system and other sequences known to control the expression of genes of prokaryotic or eukaryotic cells or their viruses, and various combinations thereof. It should be understood that the design of the expression vector may depend on such factors as the choice of the host cell to be transformed and/or the type of protein desired to be expressed.

Moreover, the vector's copy number, the ability to control that copy number and the expression of any other proteins encoded by the vector, such as antibiotic markers, should also be considered.

The recombinant Dicer or Argonaut genes can be produced by ligating a nucleic acid encoding a Dicer or Argonaut polypeptide into a vector suitable for expression in either prokaryotic cells, eukaryotic cells, or both. Expression vectors for production of recombinant forms of the subject Dicer 5 or Argonaut polypeptides include plasmids and other vectors. For instance, suitable vectors for the expression of a Dicer or Argonaut polypeptide include plasmids of the types: pBR322-derived plasmids, pEMBL-derived plasmids, pEXderived plasmids, pBTac-derived plasmids and pUC-derived 10 plasmids for expression in prokaryotic cells, such as *E. coli*.

A number of vectors exist for the expression of recombinant proteins in yeast. For instance, YEP24, YIP5, YEP51, YEP52, pYES2, and YRP17 are cloning and expression vehicles useful in the introduction of genetic constructs into 15 *S. cerevisiae* (see, for example, Broach et al. (1983) in Experimental Manipulation of Gene Expression, ed. M. Inouye Academic Press, p. 83, incorporated by reference herein). These vectors can replicate in *E. coli* due the presence of the pBR322 ori, and in *S. cerevisiae* due to the replication determinant of the yeast 2 micron plasmid. In addition, drug resistance markers such as Ampicillin can be used. In an illustrative embodiment, a Dicer or Argonaut polypeptide is produced recombinantly utilizing an expression vector generated by sub-cloning the coding sequence of a Dicer or 25 Argonaut gene.

The preferred mammalian expression vectors contain both prokaryotic sequences, to facilitate the propagation of the vector in bacteria, and one or more eukaryotic transcription units that are expressed in eukaryotic cells. The pcDNAI/ 30 amp, pcDNAI/neo, pRc/CMV, pSV2gpt, pSV2neo, pSV2dhfr, pTk2, pRSVneo, pMSG, pSVT7, pko-neo and pHyg derived vectors are examples of mammalian expression vectors suitable for transfection of eukaryotic cells. Some of these vectors are modified with sequences from bacterial 35 plasmids, such as pBR322, to facilitate replication and drug resistance selection in both prokaryotic and eukaryotic cells. Alternatively, derivatives of viruses such as the bovine papillomavirus (BPV-1), or Epstein-Barr virus (pHEBo, pREPderived and p205) can be used for transient expression of 40 proteins in eukaryotic cells. The various methods employed in the preparation of the plasmids and transformation of host organisms are well known in the art. For other suitable expression systems for both prokaryotic and eukaryotic cells, as well as general recombinant procedures, see Molecular Clon- 45 ing A Laboratory Manual, 2nd Ed., ed. by Sambrook, Fritsch and Maniatis (Cold Spring Harbor Laboratory Press: 1989) Chapters 16 and 17.

In yet another embodiment, the subject invention provides a "gene activation" construct which, by homologous recom-50 bination with a genomic DNA, alters the transcriptional regulatory sequences of an endogenous Dicer or Argonaut gene. For instance, the gene activation construct can replace the endogenous promoter of a Dicer or Argonaut gene with a heterologous promoter, e.g., one which causes constitutive synession of the Dicer or Argonaut gene or which causes inducible expression of the gene under conditions different from the normal expression pattern of Dicer or Argonaut. A variety of different formats for the gene activation constructs are available. See, for example, the Transkaryotic Therapies, Inc PCT publications WO93/09222, WO95/31560, WO96/ 29411, WO95/31560 and WO94/12650.

In preferred embodiments, the nucleotide sequence used as the gene activation construct can be comprised of (1) DNA from some portion of the endogenous Dicer or Argonaut gene 65 (exon sequence, intron sequence, promoter sequences, etc.) which direct recombination and (2) heterologous transcrip-

tional regulatory sequence(s) which is to be operably linked to the coding sequence for the genomic Dicer or Argonaut gene upon recombination of the gene activation construct. For use in generating cultures of Dicer or Argonaut producing cells, the construct may further include a reporter gene to detect the presence of the knockout construct in the cell.

The gene activation construct is inserted into a cell, and integrates with the genomic DNA of the cell in such a position so as to provide the heterologous regulatory sequences in operative association with the native Dicer or Argonaut gene. Such insertion occurs by homologous recombination, i.e., recombination regions of the activation construct that are homologous to the endogenous Dicer or Argonaut gene sequence hybridize to the genomic DNA and recombine with the genomic sequences so that the construct is incorporated into the corresponding position of the genomic DNA.

The terms "recombination region" or "targeting sequence" refer to a segment (i.e., a portion) of a gene activation construct having a sequence that is substantially identical to or substantially complementary to a genomic gene sequence, e.g., including 5' flanking sequences of the genomic gene, and can facilitate homologous recombination between the genomic sequence and the targeting transgene construct.

As used herein, the term "replacement region" refers to a portion of a activation construct which becomes integrated into an endogenous chromosomal location following homologous recombination between a recombination region and a genomic sequence.

The heterologous regulatory sequences, e.g., which are provided in the replacement region, can include one or more of a variety of elements, including: promoters (such as constitutive or inducible promoters), enhancers, negative regulatory elements, locus control regions, transcription factor binding sites, or combinations thereof.

Promoters/enhancers which may be used to control the expression of the targeted gene in vitro include, but are not limited to, the cytomegalovirus (CMV) promoter/enhancer (Karasuyama et al., J. Exp. Med. 169: 13, 1989), the human β-actin promoter (Gunning et al., PNAS 84: 48314835, 1987), the glucocorticoid-inducible promoter present in the mouse mammary tumor virus long terminal repeat (MMTV LTR) (Klessig et al., Mol. Cell. Biol. 4: 1354-1362, 1984), the long terminal repeat sequences of Moloney murine leukemia virus (MuLV LTR) (Weiss et al. (1985) RNA Tumor Viruses, Cold Spring Harbor Laboratory, Cold Spring Harbor, N.Y.), the SV40 early or late region promoter (Bemoist et al., Nature 290: 304-310, 1981; Templeton et al., Mol. Cell. Biol. 4: 817, 1984; and Sprague et al., J. Virol. 45: 773, 1983), the promoter contained in the 3' long terminal repeat of Rous sarcoma virus (RSV) (Yamamoto et al., Cell 22: 787-797, 1980), the herpes simplex virus (HSV) thymidine kinase promoter/enhancer (Wagner et al., PNAS 82: 3567-71, 1981), and the herpes simplex virus LAT promoter (Wolfe et al., Nature Genetics 1: 379-384, 1992).

In still other embodiments, the replacement region merely deletes a negative transcriptional control element of the native gene, e.g., to activate expression, or ablates a positive control element, e.g., to inhibit expression of the targeted gene.

B. Cell/Organism

The cell with the target gene may be derived from or contained in any organism (e.g., plant, animal, protozoan, virus, bacterium, or fungus). The dsRNA construct may be synthesized either in vitro or in vitro. Endogenous RNA polymerase of the cell may mediate transcription in vitro, or cloned RNA polymerase can be used for transcription in vitro or in vitro. For generating double stranded transcripts from a transgene in vitro, a regulatory region may be used to transcribe the RNA strand (or strands). Furthermore, dsRNA can be generated by transcribing an RNA strand which forms a hairpin, thus producing a dsRNA.

Genetic manipulation becomes possible in organisms that are not classical genetic models. Breeding and screening programs may be accelerated by the ability to rapidly assay the consequences of a specific, targeted gene disruption. Gene disruptions may be used to discover the function of the target gene, to produce disease models in which the target gene are involved in causing or preventing a pathological condition, 10 and to produce organisms with improved economic properties.

The cell with the target gene may be derived from or contained in any organism. The organism may be a plant, animal, protozoan, bacterium, virus, or fungus. The plant may 15 be a monocot, dicot or gymnosperm; the animal may be a vertebrate or invertebrate. Preferred microbes are those used in agriculture or by industry, and those that are pathogenic for plants or animals. Fungi include organisms in both the mold and yeast morphologies. 20

Plants include arabidopsis; field crops (e.g., alfalfa, barley, bean, com, cotton, flax, pea, rape, rice, rye, safflower, sorghum, soybean, sunflower, tobacco, and wheat); vegetable crops (e.g., asparagus, beet, broccoli, cabbage, carrot, cauliflower, celery, cucumber, eggplant, lettuce, onion, pepper, 25 potato, pumpkin, radish, spinach, squash, taro, tomato, and zucchini); fruit and nut crops (e.g., almond, apple, apricot, banana, blackberry, blueberry, cacao, cherry, coconut, cranberry, date, faJoa, filbert, grape, grapefruit, guava, kiwi, lemon, lime, mango, melon, nectarine, orange, papaya, pas- 30 sion fruit, peach, peanut, pear, pineapple, pistachio, plum, raspberry, strawberry, tangerine, walnut, and watermelon); and ornamentals (e.g., alder, ash, aspen, azalea, birch, boxwood, camellia, carnation, chrysanthemum, elm, fir, ivy, jasmine, juniper, oak, palm, poplar, pine, redwood, rhododen- 35 dron, rose, and rubber).

Examples of vertebrate animals include fish, mammal, cattle, goat, pig, sheep, rodent, hamster, mouse, rat, primate, and human.

Invertebrate animals include nematodes, other worms, 40 Drosophila, and other insects. Representative generae of nematodes include those that infect animals (e.g., Ancylostoma, Ascaridia, Ascaris, Bunostomum, Caenorhabditis, Capillaria, Chabertia, Cooperia, Dictyocaulus, Haemonchus, Heterakis, Nematodirus, Oesophagostomum, Osterta-5 gia, Oxyuris, Parascaris, Strongylus, Toxascaris, Trichuris, Trichostrongylus, Tflichonema, Toxocara, Uncinaria) and those that infect plants (e.g., Bursaphalenchus, Criconerriella, Diiylenchus, Ditylenchus, Globodera, Helicotylenchus, Heterodera, Longidorus, Melodoigyne, Nacobbus, Paraty-50 lenchus, Pratylenchus, Radopholus, Rotelynchus, Tylenchus, and Xiphinerna). Representative orders of insects include Coleoptera, Diptera, Lepidoptera, and Homoptera.

The cell having the target gene may be from the germ line or somatic, totipotent or pluripotent, dividing or non-divid-55 ing, parenchyma or epithelium, immortalized or transformed, or the like. The cell may be a stem cell or a differentiated cell. Cell types that are differentiated include adipocytes, fibroblasts, myocytes, cardiomyocytes, endothelium, neurons, glia, blood cells, megakaryocytes, lymphocytes, macroph-60 ages, neutrophils, eosinophils, basophils, mast cells, leukocytes, granulocytes, keratinocytes, chondrocytes, osteoblasts, osteoclasts, hepatocytes, and cells of the endocrine or exocrine glands.

C. Targeted Genes

The target gene may be a gene derived from the cell, an endogenous gene, a transgene, or a gene of a pathogen which

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is present in the cell after infection thereof. Depending on the particular target gene and the dose of double stranded RNA material delivered, the procedure may provide partial or complete loss of function for the target gene. Lower doses of injected material and longer times after administration of dsRNA may result in inhibition in a smaller fraction of cells. Quantitation of gene expression in a cell may show similar amounts of inhibition at the level of accumulation of target mRNA or translation of target protein.

"Inhibition of gene expression" refers to the absence (or observable decrease) in the level of protein and/or mRNA product from a target gene. "Specificity" refers to the ability to inhibit the target gene without manifest effects on other genes of the cell. The consequences of inhibition can be confirmed by examination of the outward properties of the cell or organism (as presented below in the examples) or by biochemical techniques such as RNA solution hybridization, nuclease protection, Northern hybridization, reverse transcription, gene expression monitoring with a microarray, 20 antibody binding, enzyme linked immunosorbent assay (ELISA), Western blotting, radioimmunoassay (RIA), other immunoassays, and fluorescence activated cell analysis (FACS). For RNA-mediated inhibition in a cell line or whole organism, gene expression is conveniently assayed by use of a reporter or drug resistance gene whose protein product is easily assayed. Such reporter genes include acetohydroxy acid synthase (AHAS), alkaline phosphatase (AP), beta galactosidase (LacZ), beta glucoronidase (GUS), chloramphenicol acetyltransferase (CAT), green fluorescent protein (GFP), horseradish peroxidase (HRP), luciferase (Luc), nopaline synthase (NOS), octopine synthase (OCS), and derivatives thereof. Multiple selectable markers are available that confer resistance to ampicillin, bleomycin, chloramphenicol, gentamycin, hygromycin, kanamycin, lincomycin, methotrexate, phosphinothricin, puromycin, and tetracyclin.

Depending on the assay, quantitation of the amount of gene expression allows one to determine a degree of inhibition which is greater than 10%, 33%, 50%, 90%, 95% or 99% as compared to a cell not treated according to the present invention. Lower doses of injected material and longer times after administration of dsRNA may result in inhibition in a smaller fraction of cells (e.g., at least 10%, 20%, 50%, 75%, 90%, or 95% of targeted cells). Quantitation of gene expression in a cell may show similar amounts of inhibition at the level of accumulation of target mRNA or translation of target protein. As an example, the efficiency of inhibition may be determined by assessing the amount of gene product in the cell: mRNA may be detected with a hybridization probe having a nucleotide sequence outside the region used for the inhibitory double-stranded RNA, or translated polypeptide may be detected with an antibody raised against the polypeptide sequence of that region.

As disclosed herein, the present invention is not limited to any type of target gene or nucleotide sequence. But the following classes of possible target genes are listed for illustrative purposes: developmental genes (e.g., adhesion molecules, cyclin kinase inhibitors, Writ family members, Pax family members, Winged helix family members, Hox family members, cytokines/lymphokines and their receptors, growth/differentiation factors and their receptors, neurotransmitters and their receptors); oncogenes (e.g., ABLI, BCLI, BCL2, BCL6, CBFA2, CBL, CSFIR, ERBA, ERBB, EBRB2, ETSI, ETS1, ETV6, FGR, FOS, FYN, HCR, HRAS, JUN, KRAS, LCK, LYN, MDM2, MLL, MYB, MYC, MYCLI, MYCN, NRAS, PIM 1, PML, RET, SRC, TALI, TCL3, and YES); tumor suppressor genes (e.g., APC, BRCA 1, BRCA2, MADH4, MCC, NF 1, NF2, RB 1, TP53, and WTI); and enzymes (e.g., ACC synthases and oxidases, ACP desaturases and hydroxylases, ADP-glucose pyrophorylases, ATPases, alcohol dehydrogenases, amylases, amyloglucosidases, catalases, cellulases, chalcone synthases, chitinases, cyclooxygenases, decarboxylases, dextrinases, DNA and 5 RNA polymerases, galactosidases, glucanases, glucose oxidases, granule-bound starch synthases, GTPases, helicases, hemicellulases, integrases, inulinases, invertases, isomerases, kinases, lactases, lipases, lipoxygenases, lysozymes, nopaline synthases, octopine synthases, 10 pectinesterases, peroxidases, phosphatases, phospholipases, phosphorylases, phytases, plant growth regulator synthases, polygalacturonases, proteinases and peptidases, pullanases, recombinases, reverse transcriptases, RUBISCOs, topoisomerases, and xylanases).

D. dsRNA constructs

The dsRNA construct may comprise one or more strands of polymerized ribonucleotide. It may include modifications to either the phosphate-sugar backbone or the nucleoside. For example, the phosphodiester linkages of natural RNA may be 20 modified to include at least one of a nitrogen or sulfur heteroatom. Modifications in RNA structure may be tailored to allow specific genetic inhibition while avoiding a general panic response in some organisms which is generated by dsRNA. Likewise, bases may be modified to block the activ- 25 ity of adenosine deaminase. The dsRNA construct may be produced enzymatically or by partial/total organic synthesis, any modified ribonucleotide can be introduced by in vitro enzymatic or organic synthesis.

The dsRNA construct may be directly introduced into the 30 cell (i.e., intracellularly); or introduced extracellularly into a cavity, interstitial space, into the circulation of an organism, introduced orally, or may be introduced by bathing an organism in a solution containing RNA. Methods for oral introduction include direct mixing of RNA with food of the organism, 35 as well as engineered approaches in which a species that is used as food is engineered to express an RNA, then fed to the organism to be affected. Physical methods of introducing nucleic acids include injection of an RNA solution directly into the cell or extracellular injection into the organism.

The double-stranded structure may be formed by a single self-complementary RNA strand (such as in the form of shRNA) or two complementary RNA strands (such as in the form of siRNA). RNA duplex formation may be initiated either inside or outside the cell. The RNA may be introduced 45 in an amount which allows delivery of at least one copy per cell. Higher doses (e.g., at least 5, 10, 100, 500 or 1000 copies per cell) of double-stranded material may yield more effective inhibition; lower doses may also be useful for specific applications. Inhibition is sequence-specific in that nucle- 50 otide sequences corresponding to the duplex region of the RNA are targeted for genetic inhibition.

dsRNA constructs containing a nucleotide sequences identical to a portion, of either coding or non-coding sequence, of the target gene are preferred for inhibition. RNA sequences 55 nt overhang that does not pair with the 3' overhang. with insertions, deletions, and single point mutations relative to the target sequence (ds RNA similar to the target gene) have also been found to be effective for inhibition. Thus, sequence identity may be optimized by sequence comparison and alignment algorithms known in the art (see Gribskov and 60 Devereux, Sequence Analysis Primer, Stockton Press, 1991, and references cited therein) and calculating the percent difference between the nucleotide sequences by, for example, the Smith-Waterman algorithm as implemented in the BEST-FIT software program using default parameters (e.g., Univer- 65 sity of Wisconsin Genetic Computing Group). Greater than 90% sequence identity, or even 100% sequence identity,

between the inhibitory RNA and the portion of the target gene is preferred. Alternatively, the duplex region of the RNA may be defined functionally as a nucleotide sequence that is capable of hybridizing with a portion of the target gene transcript (e.g., 400 mM NaCl, 40 mM PIPES pH 6.4, 1 mM EDTA, 50° C. or 70° C. hybridization for 12-16 hours; followed by washing). In certain preferred embodiments, the length of the dsRNA is at least 20, 21 or 22 nucleotides in length, e.g., corresponding in size to RNA products produced by Dicer-dependent cleavage. In certain embodiments, the dsRNA construct is at least 25, 50, 100, 200, 300 or 400 bases. In certain embodiments, the dsRNA construct is 400-800 bases in length.

In one embodiment, the dsRNA is a single-stranded hairpin ribonucleic acid (shRNA) comprising self complementary sequences of 19 to 100 nucleotides that form a duplex region, which self complementary sequences hybridize under intracellular conditions to a target gene, wherein said hairpin RNA: (i) is a substrate for cleavage by a RNaseIII enzyme to produce a double-stranded RNA product, (ii) does not produce a general sequence-independent killing of the mammalian cells, and (iii) reduces expression of said target gene in a manner dependent on the sequence of said complementary regions. In a preferred embodiment, the shRNA comprises a 3' overhang of about 14 nucleotides.

In a related embodiment, he dsRNA is a single-stranded hairpin ribonucleic acid (shRNA) comprising self complementary sequences of 19 to 100 nucleotides that form a duplex region, which self complementary sequences hybridize under intracellular conditions to a target gene, wherein said hairpin RNA: (i) is cleaved in the mammalian cells to produce an RNA guide sequence that enters an Argonautcontaining complex, (ii) does not produce a general sequence-independent killing of the mammalian cells, and (iii) reduces expression of said target gene in a manner dependent on the sequence of said complementary regions. In a preferred embodiment, the shRNA comprises a 3' overhang of about 1-4 nucleotides.

The size of the duplex region of the subject shRNA may be 40 longer (e.g., anywhere between 19 to about 1000 nucleotides, or 19-about 500 nt, or 19-about 250 nt, etc.), but in many applications, about 29 nucleotides is sufficient. In certain embodiments, the duplex region is any where between about 25-29 nt. In other embodiments, the duplex region is any where between about 19-25 nt.

The size of the 3' overhang may be 1-5 nucleotides, preferably 2-4 nucleotides. In one embodiment, the 3' overhang is 2 nucleotides. The specific sequences of the 3' overhang nucleotides are less important. In one embodiment, the overhang nucleotides can be any nucleotides, including "nonstandard" or modified nucleotides. In other embodiments, the overhang sequences are mostly pyrimidines, such as U, C, or T. In one embodiment, the 2-nucleotide overhang is UU.

In certain embodiments, the 5' of the shRNA may have 1-5

The size of the "loop" between the paired duplex region may vary, but preferably contains at least about 3-8 nucleotides, such as 4 nucleotides.

100% sequence identity between the RNA and the target gene is not required to practice the present invention. Thus the invention has the advantage of being able to tolerate sequence variations that might be expected due to genetic mutation, strain polymorphism, or evolutionary divergence.

The dsRNA construct may be synthesized either in vitro or in vitro. Endogenous RNA polymerase of the cell may mediate transcription in vitro, or cloned RNA polymerase can be used for transcription in vitro or in vitro. For transcription from a transgene in vitro or an expression construct, a regulatory region (e.g., promoter, enhancer, silencer, splice donor and acceptor, polyadenylation) may be used to transcribe the dsRNA strand (or strands). Inhibition may be targeted by specific transcription in an organ, tissue, or cell type; stimu- 5 lation of an environmental condition (e.g., infection, stress, temperature, chemical inducers); and/or engineering transcription at a developmental stage or age. The RNA strands may or may not be polyadenylated; the RNA strands may or may not be capable of being translated into a polypeptide by 10 a cell's translational apparatus. The dsRNA construct may be chemically or enzymatically synthesized by manual or automated reactions. The dsRNA construct may be synthesized by a cellular RNA polymerase or a bacteriophage RNA polymerase (e.g., T3, T7, SP6). The use and production of an 15 expression construct are known in the art (see also WO 97/32016; U.S. Pat. Nos. 5,593,874, 5,698,425, 5,712,135, 5,789,214, and 5,804,693; and the references cited therein). If synthesized chemically or by in vitro enzymatic synthesis, the RNA may be purified prior to introduction into the cell. For 20 example, RNA can be purified from a mixture by extraction with a solvent or resin, precipitation, electrophoresis, chromatography or a combination thereof. Alternatively, the dsRNA construct may be used with no or a minimum of purification to avoid losses due to sample processing. The 25 dsRNA construct may be dried for storage or dissolved in an aqueous solution. The solution may contain buffers or salts to promote annealing, and/or stabilization of the duplex strands.

Physical methods of introducing nucleic acids include injection of a solution containing the dsRNA construct, bom- 30 bardment by particles covered by the dsRNA construct, soaking the cell or organism in a solution of the RNA, microinjected into the target (e.g., mammalian target) cells, or electroporation of cell membranes in the presence of the dsRNA construct. A viral construct packaged into a viral 35 particle would accomplish both efficient introduction of an expression construct into the cell and transcription of dsRNA construct encoded by the expression construct. In one embodiment, the shRNA is a transcriptional product that is transcribed from an expression construct introduced into the 40 target (e.g., mammalian target) cells, which expression construct comprises a coding sequence for transcribing said shRNA, operably linked to one or more transcriptional regulatory sequences. Such transcriptional regulatory sequences may include a promoter for an RNA polymerase, such as a 45 cellular RNA polymerase. Exemplary but not limiting promoters include: a U6 promoter, a T7 promoter, a T3 promoter, or an SP6 promoter. In certain embodiments, the transcriptional regulatory sequences includes an inducible promoter.

The dsRNA constructs may be integrated into the host 50 genome, such that the target cells are stably transfected with the dsRNA expression constructs. The constructs may be suitable for stable integration into either cells in culture or in an animal. For example, the constructs may be integrated into embryonic cells, such as a mouse ES cell, to generate a 55 transgenic animal. The constructs may also be integrated into adult somatic cells, either primary cell or established cell line.

In certain embodiments, the expression of a target gene (either endogenous or heterologous gene) is attenuated by at least about 33%, or about 50%, about 60%, 70%, 80%, 90%, 60 95%, or 99% or more, relative to expression in cells not treated with the dsRNA (e.g., shRNA).

The shRNA may be chemically synthesized, or in vitro transcripted, and may further include one or more modifications to phosphate-sugar backbone or nucleosides residues.

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Other methods known in the art for introducing nucleic acids to cells may be used, such as lipid-mediated carrier transport, chemical mediated transport, such as calcium phosphate, and the like. Thus the dsRNA construct may be introduced along with components that perform one or more of the following activities: enhance RNA uptake by the cell, promote annealing of the duplex strands, stabilize the annealed strands, or other-wise increase inhibition of the target gene.

E. Illustrative Uses

One utility of the present invention is as a method of identifying gene function in an organism, especially higher eukaryotes, comprising the use of double-stranded RNA to inhibit the activity of a target gene of previously unknown function. Instead of the time consuming and laborious isolation of mutants by traditional genetic screening, functional genomics would envision determining the function of uncharacterized genes by employing the invention to reduce the amount and/or alter the timing of target gene activity. The invention could be used in determining potential targets for pharmaceuticals, understanding normal and pathological events associated with development, determining signaling pathways responsible for postnatal development/aging, and the like. The increasing speed of acquiring nucleotide sequence information from genomic and expressed gene sources, including total sequences for mammalian genomes, can be coupled with the invention to determine gene function in a cell or in a whole organism. The preference of different organisms to use particular codons, searching sequence databases for related gene products, correlating the linkage map of genetic traits with the physical map from which the nucleotide sequences are derived, and artificial intelligence methods may be used to define putative open reading frames from the nucleotide sequences acquired in such sequencing projects.

A simple assay would be to inhibit gene expression according to the partial sequence available from an expressed sequence tag (EST). Functional alterations in growth, development, metabolism, disease resistance, or other biological processes would be indicative of the normal role of the EST's gene product.

The ease with which the dsRNA construct can be introduced into an intact cell/organism containing the target gene allows the present invention to be used in high throughput screening (HTS). For example, duplex RNA can be produced by an amplification reaction using primers flanking the inserts of any gene library derived from the target cell or organism. Inserts may be derived from genomic DNA or mRNA (e.g., cDNA and cRNA). Individual clones from the library can be replicated and then isolated in separate reactions, but preferably the library is maintained in individual reaction vessels (e.g., a 96 well microtiter plate) to minimize the number of steps required to practice the invention and to allow automation of the process.

In an exemplary embodiment, the subject invention provides an arrayed library of RNAi constructs. The array may be in the form of solutions, such as multi-well plates, or may be "printed" on solid substrates upon which cells can be grown. To illustrate, solutions containing duplex RNAs that are capable of inhibiting the different expressed genes can be placed into individual wells positioned on a microtiter plate as an ordered array, and intact cells/organisms in each well can be assayed for any changes or modifications in behavior or development due to inhibition of target gene activity.

In one embodiment, the subject method uses an arrayed library of RNAi constructs to screen for combinations of RNAi that are lethal to host cells. Synthetic lethality is a bedrock principle of experimental genetics. A synthetic lethality describes the properties of two mutations which, individually, are tolerated by the organism but which, in combination, are lethal. The subject arrays can be used to identify loss-of-function mutations that are lethal in combination with alterations in other genes, such as activated oncogenes or loss-of-function mutations to tumor suppressors. To achieve this, one can create "phenotype arrays" using cultured cells. 5 Expression of each of a set of genes, such as the host cell's genome, can be individually systematically disrupted using RNA interference. Combination with alterations in oncogene and tumor suppressor pathways can be used to identify synthetic lethal interactions that may identify novel therapeutic 10 targets.

In certain embodiments, the RNAi constructs can be fed directly to, or injected into, the cell/organism containing the target gene. Alternatively, the duplex RNA can be produced by in vitro or in vitro transcription from an expression con-15 struct used to produce the library. The construct can be replicated as individual clones of the library and transcribed to produce the RNA; each clone can then be fed to, injected into, or delivered by another method known in the art to, the cell/organism containing the target gene. The function of the 20 target gene can be assayed from the effects it has on the cell/organism when gene activity is inhibited. This screening could be amenable to small subjects that can be processed in large number, for example, tissue culture cells derived from mammals, especially primates, and most preferably humans. 25

If a characteristic of an organism is determined to be genetically linked to a polymorphism through RFLP or QTL analysis, the present invention can be used to gain insight regarding whether that genetic polymorphism might be directly responsible for the characteristic. For example, a 30 fragment defining the genetic polymorphism or sequences in the vicinity of such a genetic polymorphism can be amplified to produce an RNA, the duplex RNA can be introduced to the organism or cell, and whether an alteration in the characteristic is correlated with inhibition can be determined. Of 35 course, there may be trivial explanations for negative results with this type of assay, for example: inhibition of the target gene causes lethality, inhibition of the target gene may not result in any observable alteration, the fragment contains nucleotide sequences that are not capable of inhibiting the 40 target gene, or the target gene's activity is redundant.

The present invention may be useful in allowing the inhibition of essential genes. Such genes may be required for cell or organism viability at only particular stages of development or only in specific cellular compartments or tissues. The func-45 tional equivalent of conditional mutations may be produced by inhibiting activity of the target gene when or where it is not required for viability. The invention allows addition of RNA at specific times of development and locations in the organism without introducing permanent mutations into the target 50 genome.

The present invention may be useful in allowing the inhibition of genes that have been difficult to inhibit using other methods due to gene redundancy. Since the present methods may be used to deliver more than one dsRNA to a cell or 55 organism, dsRNA identical or similar to more than one gene, wherein the genes have a redundant function during normal development, may be delivered.

If alternative splicing produced a family of transcripts that were distinguished by usage of characteristic exons, the 60 present invention can target inhibition through the appropriate exons to specifically inhibit or to distinguish among the functions of family members. For example, a protein factor that contained an alternatively spliced transmembrane domain may be expressed in both membrane bound and 65 secreted forms. Instead of isolating a nonsense mutation that terminates translation before the transmembrane domain, the

functional consequences of having only secreted hormone can be determined according to the invention by targeting the exon containing the transmembrane domain and thereby inhibiting expression of membrane-bound hormone. That is, the subject method can be used for selected ablation of splicing variants.

The present invention may be used alone or as a component of a kit having at least one of the reagents necessary to carry out the in vitro or in vitro introduction of RNA to test samples or subjects. Preferred components are the dsRNA and a vehicle that promotes introduction of the dsRNA. Such a kit may also include instructions to allow a user of the kit to practice the invention.

Alternatively, an organism may be engineered to produce dsRNA which produces commercially or medically beneficial results, for example, resistance to a pathogen or its pathogenic effects, improved growth, or novel developmental patterns.

Another aspect of the invention provides a method for attenuating expression of a target gene in mammalian cells, comprising introducing into the mammalian cells a singlestranded hairpin ribonucleic acid (shRNA) comprising self complementary sequences of 19 to 100 nucleotides that form a duplex region, which self complementary sequences hybridize under intracellular conditions to a target gene, wherein said hairpin RNA: (i) is a substrate for cleavage by a RNaseIII enzyme to produce a double-stranded RNA product, (ii) does not produce a general sequence-independent killing of the mammalian cells, and (iii) reduces expression of said target gene in a manner dependent on the sequence of said complementary regions. In a preferred embodiment, the shRNA comprises a 3' overhang of about 14 nucleotides.

In a related aspect, the invention provides a method for attenuating expression of a target gene in mammalian cells, comprising introducing into the mammalian cells a singlestranded hairpin ribonucleic acid (shRNA) comprising self complementary sequences of 19 to 100 nucleotides that form a duplex region, which self complementary sequences hybridize under intracellular conditions to a target gene, wherein said hairpin RNA: (i) is cleaved in the mammalian cells to produce an RNA guide sequence that enters an Argonaut-containing complex, (ii) does not produce a general sequence-independent killing of the mammalian cells, and (iii) reduces expression of said target gene in a manner dependent on the sequence of said complementary regions. In a preferred embodiment, the shRNA comprises a 3' overhang of about 14 nucleotides.

In yet another embodiment, the invention provides a method for attenuating expression of one or more target genes in mammalian cells, comprising introducing into the mammalian cells a variegated library of single-stranded hairpin ribonucleic acid (shRNA) species, each shRNA species comprising self complementary sequences of 19 to 100 nucleotides that form duplex regions and which hybridize under intracellular conditions to a target gene, wherein each of said hairpin RNA species: (i) is a substrate for cleavage by a RNaseIII enzyme to produce a double-stranded RNA product, (ii) does not produce a general sequence-independent killing of the mammalian cells, and (iii) if complementary to a target sequence, reduces expression of said target gene in a manner dependent on the sequence of said complementary regions. In a preferred embodiment, the shRNA comprises a 3' overhang of about 1-4 nucleotides.

In certain embodiments, the variegated library of shRNA species are arrayed a solid substrate.

In another embodiment, the method includes the further step of identifying shRNA species of said variegated library which produce a detected phenotype in the mammalian cells.

Yet another aspect of the invention provide a method of enhancing the potency/activity of an RNAi therapeutic for a ⁵ mammalian patient, the RNAi therapeutic comprising an siRNA of 19-22 paired polynucleotides, the method comprising replacing the siRNA with a single-stranded hairpin RNA (shRNA) of the subject invention, wherein said duplex region comprises the same 19-22 paired polynucleotides of the ¹⁰ siRNA. This aspect of the invention is partly based on the surprising discovery that shRNA constructs designed as Dicer substrates perform at least as well as, and in most cases much better/potent than the corresponding siRNA form of dsRNA (e.g., with the same eventual target guide sequence of ¹⁵ about 22 nucleotides).

In certain embodiments, the half-maximum inhibition by the RNAi therapeutic is achieved by a concentration of the shRNA at least about 20%, or about 30%, 40%, 50%, 60%, 70%, 80%, 90% lower than that of the corresponding siRNA. ²⁰

In another embodiment, the end-point inhibition by the shRNA is at least about 40%, or about 50%, 75%, 100%, 2-fold, 3-fold, 4-fold, 5-fold, 6-fold, or 10-fold higher than that of the siRNA.

Another aspect of the invention provides a method of ²⁵ designing a short hairpin RNA (shRNA) construct for RNAi, the shRNA comprising a 3' overhang of about 14 nucleotides, the method comprising selecting the nucleotide about 21 bases 5' to the most 3'-end nucleotide as the first paired nucleotide in a cognate doubled-stranded siRNA with the ³⁰ same 3' overhang. Such shRNA can be used, for example, for RNAi in mammalian cells.

In one embodiment, the shRNA comprises about 15-45, preferably about 25-29 paired polynucleotides.

In one embodiment, the 3' overhang has 2 nucleotides.

In one embodiment, the shRNA, when cut by a Dicer enzyme (e.g., a human Dicer enzyme), produces a product siRNA that is either identical to, or differ by a single basepair immediately 5' to the 3' overhang from the cognate siRNA.

In one embodiment, the shRNA construct has substantially ⁴⁰ the same profiles of off-target gene inhibition effects as compared to the cognate siRNA construct with substantially identical target sequences.

IV. Exemplification

The invention, now being generally described, will be more ⁴⁵ readily understood by reference to the following examples, which are included merely for purposes of illustration of certain aspects and embodiments of the present invention and are not intended to limit the invention.

EXAMPLE 1

An RNA-Directed Nuclease Mediates RNAi Gene Silencing

In a diverse group of organisms that includes *Caenorhabditis elegans, Drosophila*, planaria, hydra, trypanosomes, fungi and plants, the introduction of double-stranded RNAs inhibits gene expression in a sequence-specific manner (Sharp, *Genes and Development* 13: 139-141, 1999; 60 Sanchez-Alvarado and Newmark, *PNAS* 96: 5049-5054, 1999; Lohman et al., *Developmental Biology* 214: 211-214, 1999; Cogoni and Macino, *Nature* 399: 166-169, 1999; Waterhouse et al., *PNAS* 95: 13959-13964, 1998; Montgomery and Fire, *Trends Genet.* 14: 225-228, 1998; Ngo et al., 65 *PNAS* 95: 14687-14692, 1998). These responses, called RNA interference or post-transcriptional gene silencing, may pro-

vide anti-viral defense, modulate transposition or regulate gene expression (Sharp, Genes and Development 13: 139-141, 1999; Montgomery and Fire, Trends Genet. 14: 225-228, 1998; Tabara et al., Cell 99: 123-132, 1999; Ketting et al., Cell 99: 133-141, 1999; Ratcliff et al., Science 276: 1558-1560, 1997). We have taken a biochemical approach towards elucidating the mechanisms underlying this genetic phenomenon. Here we show that 'loss-of-function' phenotypes can be created in cultured Drosophila cells by transfection with specific double-stranded RNAs. This coincides with a marked reduction in the level of cognate cellular messenger RNAs. Extracts of transfected cells contain a nuclease activity that specifically degrades exogenous transcripts homologous to transfected double-stranded RNA. This enzyme contains an essential RNA component. After partial purification, the sequencespecific nuclease co-fractionates with a discrete, ~25nucleotide RNA species which may confer specificity to the enzyme through homology to the substrate mRNAs.

Although double-stranded RNAs (dsRNAs) can provoke gene silencing in numerous biological contexts including *Drosophila* (Kennerdell et al., *Cell* 95: 1017-1026, 1998; Misquitta and Paterson, *PNAS* 96: 1451-1456, 1999), the mechanisms underlying this phenomenon have remained mostly unknown. We therefore wanted to establish a biochemically tractable model in which such mechanisms could be investigated.

Transient transfection of cultured, *Drosophila* S2 cells with a lacZ expression vector resulted in β -galactosidase activity that was easily detectable by an in situ assay (FIG. 1*a*). This activity was greatly reduced by co-transfection with a dsRNA corresponding to the first 300 nucleotides of the lacZ sequence, whereas co-transfection with a control dsRNA (CD8) (FIG. 1*a*) or with single-stranded RNAs of either sense or antisense orientation (data not shown) had little or no effect. This indicated that dsRNAs could interfere, in a sequence-specific fashion, with gene expression in cultured cells.

To determine whether RNA interference (RNAi) could be used to target endogenous genes, we transfected S2 cells with a dsRNA corresponding to the first 540 nucleotides of *Drosophila cyclin* E, a gene that is essential for progression into S phase of the cell cycle.

During log-phase growth, untreated S2 cells reside prima-45 rily in G2/M (FIG. 1*b*). Transfection with lacZ dsRNA had no effect on cell-cycle distribution, but transfection with the cyclin E dsRNA caused a G1-phase cell-cycle arrest (FIG. 1*b*). The ability of cyclin E dsRNA to provoke this response was length-dependent. Double-stranded RNAs of 540 and 50 400 nucleotides were quite effective, whereas dsRNAs of 200 and 300 nucleotides were less potent. Double-stranded cyclin E RNAs of 50 or 100 nucleotides were inert in our assay, and transfection with a single-stranded, antisense cyclin E RNA had virtually no effect.

One hallmark of RNAi is a reduction in the level of mRNAs that are homologous to the dsRNA. Cells transfected with the cyclin E dsRNA (bulk population) showed diminished endogenous cyclin E mRNA as compared with control cells (FIG. 1c). Similarly, transfection of cells with dsRNAs homologous to fizzy, a component of the anaphase-promoting complex (APC) or cyclin A, a cyclin that acts in S, G2 and M, also caused reduction of their cognate mRNAs (FIG. 1c). The modest reduction in fizzy mRNA levels in cells transfected with cyclin A dsRNA probably resulted from arrest at a point in the division cycle at which fizzy transcription is low (Wolf and Jackson, *Current Biology* 8: R637-R639, 1998; Kramer et al., *Current Biology* 8: 1207-1210, 1998). These

results indicate that RNAi may be a generally applicable method for probing gene function in cultured *Drosophila* cells.

The decrease in mRNA levels observed upon transfection of specific dsRNAs into *Drosophila* cells could be explained 5 by effects at transcriptional or post-transcriptional levels. Data from other systems have indicated that some elements of the dsRNA response may affect mRNA directly (reviewed in Sharp, *Genes and Development* 13: 139-141, 1999; Montgomery and Fire, *Trends Genet.* 14: 225-228, 1998). We 10 therefore sought to develop a cell-free assay that reflected, at least in part, RNAi.

S2 cells were transfected with dsRNAs corresponding to either cyclin E or lacZ. Cellular extracts were incubated with synthetic mRNAs of lacZ or cyclin E. Extracts prepared from 15 cells transfected with the 540-nucleotide cyclin E dsRNA efficiently degraded the cyclin E transcript; however, the lacZ transcript was stable in these lysates (FIG. 2a). Conversely, lysates from cells transfected with the lacZ dsRNA degraded the lacZ transcript but left the cyclin E mRNA intact. These 20 results indicate that RNAi ablates target mRNAs through the generation of a sequence-specific nuclease activity. We have termed this enzyme RISC (RNA-induced silencing complex). Although we occasionally observed possible intermediates in the degradation process (see FIG. 2), the absence of stable 25 cleavage end-products indicates an exonuclease (perhaps coupled to an endonuclease). However, it is possible that the RNAi nuclease makes an initial endonucleolytic cut and that non-specific exonucleases in the extract complete the degradation process (Shuttleworth and Colman, EMBO J. 7: 427- 30 434, 1988). In addition, our ability to create an extract that targets lacZ in vitro indicates that the presence of an endogenous gene is not required for the RNAi response.

To examine the substrate requirements for the dsRNAinduced, sequence-specific nuclease activity, we incubated a 35 variety of cyclin-E-derived transcripts with an extract derived from cells that had been transfected with the 540-nucleotide cyclin E dsRNA (FIGS. 2b, c). Just as a length requirement was observed for the transfected dsRNA, the RNAi nuclease activity showed a dependence on the size of the RNA sub- 40 strate. Both a 600-nucleotide transcript that extends slightly beyond the targeted region (FIG. 2b) and an ~1-kilobase (kb) transcript that contains the entire coding sequence (data not shown) were completely destroyed by the extract. Surprisingly, shorter substrates were not degraded as efficiently. 45 Reduced activity was observed against either a 300- or a 220-nucleotide transcript, and a 100-nucleotide transcript was resistant to nuclease in our assay. This was not due solely to position effects because ~100-nucleotide transcripts derived from other portions of the transfected dsRNA 50 behaved similarly (data not shown). As expected, the nuclease activity (or activities) present in the extract could also recognize the antisense strand of the cyclin E mRNA. Again, substrates that contained a substantial portion of the targeted region were degraded efficiently whereas those that 55 contained a shorter stretch of homologous sequence (~130 nucleotides) were recognized inefficiently (FIG. 2c, as600). For both the sense and antisense strands, transcripts that had no homology with the transfected dsRNA (FIG. 2b, Eout; FIG. 2c, as300) were not degraded. Although we cannot 60 exclude the possibility that nuclease specificity could have migrated beyond the targeted region, the resistance of transcripts that do not contain homology to the dsRNA is consistent with data from C. elegans. Double-stranded RNAs homologous to an upstream cistron have little or no effect on 65 a linked downstream cistron, despite the fact that unprocessed, polycistronic mRNAs can be readily detected (Tabara

et al., *Science* 282: 430-432, 1998; Bosher et al., *Genetics* 153: 1245-1256, 1999). Furthermore, the nuclease was inactive against a dsRNA identical to that used to provoke the RNAi response in vitro (FIG. 2b). In the in vitro system, neither a 5' cap nor a poly(A) tail was required, as such transcripts were degraded as efficiently as uncapped and non-polyadenylated RNAs.

Gene silencing provoked by dsRNA is sequence specific. A plausible mechanism for determining specificity would be incorporation of nucleic-acid guide sequences into the complexes that accomplish silencing (Hamilton and Baulcombe, Science 286: 950-952, 1999). In accord with this idea, pretreatment of extracts with a Ca2+-dependent nuclease (micrococcal nuclease) abolished the ability of these extracts to degrade cognate mRNAs (FIG. 3). Activity could not be rescued by addition of non-specific RNAs such as yeast transfer RNA. Although micrococcal nuclease can degrade both DNA and RNA, treatment of the extract with DNAse I had no effect (FIG. 3). Sequence-specific nuclease activity, however, did require protein (data not shown). Together, our results support the possibility that the RNAi nuclease is a ribonucleoprotein, requiring both RNA and protein components. Biochemical fractionation (see below) is consistent with these components being associated in extract rather than being assembled on the target mRNA after its addition.

In plants, the phenomenon of co-suppression has been associated with the existence of small (~25-nucleotide) RNAs that correspond to the gene that is being silenced (Hamilton and Baulcombe, Science 286: 950-952, 1999). To address the possibility that a similar RNA might exist in Drosophila and guide the sequence-specific nuclease in the choice of substrate, we partially purified our activity through several fractionation steps. Crude extracts contained both sequence-specific nuclease activity and abundant, heterogeneous RNAs homologous to the transfected dsRNA (FIGS. 2 and 4a). The RNAi nuclease fractionated with ribosomes in a high-speed centrifugation step. Activity could be extracted by treatment with high salt, and ribosomes could be removed by an additional centrifugation step. Chromatography of soluble nuclease over an anion-exchange column resulted in a discrete peak of activity (FIG. 4b, cyclin E). This retained specificity as it was inactive against a heterologous mRNA (FIG. 4b, lacZ). Active fractions also contained an RNA species of 25 nucleotides that is homologous to the cyclin E target (FIG. 4b, northern). The band observed on northern blots may represent a family of discrete RNAs because it could be detected with probes specific for both the sense and antisense cyclin E sequences and with probes derived from distinct segments of the dsRNA (data not shown). At present, we cannot determine whether the 25-nucleotide RNA is present in the nuclease complex in a double-stranded or single-stranded form.

RNA interference allows an adaptive defense against both exogenous and endogenous dsRNAs, providing something akin to a dsRNA immune response. Our data, and that of others (Hamilton and Baulcombe, Science 286: 950-952, 1999), is consistent with a model in which dsRNAs present in a cell are converted, either through processing or replication, into small specificity determinants of discrete size in a manner analogous to antigen processing. Our results suggest that the post-transcriptional component of dsRNA-dependent gene silencing is accomplished by a sequence-specific nuclease that incorporates these small RNAs as guides that target specific messages based upon sequence recognition. The identical size of putative specificity determinants in plants (Hamilton and Baulcombe, supra) and animals predicts a conservation of both the mechanisms and the components of dsRNA-induced, post-transcriptional gene silencing

in diverse organisms. In plants, dsRNAs provoke not only post-transcriptional gene silencing but also chromatin remodeling and transcriptional repression (Jones et al., *EMBO J.* 17: 6385-6393, 1998; Jones et al., *Plant Cell* 11: 2291-2301, 1999). It is now critical to determine whether conservation of ⁵ gene-silencing mechanisms also exists at the transcriptional level and whether chromatin remodeling can be directed in a sequence-specific fashion by these same dsRNA-derived guide sequences.

Methods:

Cell culture and RNA methods S2 cells (Schneider, J Embryol Exp Morpho 27: 353-365, 1972) were cultured at 27° C. in 90% Schneider's insect media (Sigma), 10% heat inactivated fetal bovine serum (FBS). Cells were transfected 15 with dsRNA and plasmid DNA by calcium phosphate coprecipitation (DiNocera and Dawid, PNAS 80: 7095-7098, 1983). Identical results were observed when cells were transfected using lipid reagents (for example, Superfect, Qiagen). For FACS analysis, cells were additionally transfected with a 20 vector that directs expression of a green fluorescent protein (GFP)-US9 fusion protein (Kalejta et al., Exp Cell Res. 248: 322-328, 1999). These cells were fixed in 90% ice-cold ethanol and stained with propidium iodide at 25 µg/ml. FACS was performed on an Elite flow cytometer (Coulter). For northern 25 blotting, equal loading was ensured by over-probing blots with a control complementary DNA (RP49). For the production of dsRNA, transcription templates were generated by polymerase chain reaction such that they contained T7 promoter sequences on each end of the template. RNA was prepared using the RiboMax kit (Promega). Confirmation that RNAs were double stranded came from their complete sensitivity to RNAse III. Target mRNA transcripts were synthesized using the Riboprobe kit (Promega) and were gel 35 purified before use.

Extract preparation Log-phase S2 cells were plated on 15-cm tissue culture dishes and transfected with 30 µg dsRNA and 30 µg carrier plasmid DNA. Seventy-two hours after transfection, cells were harvested in PBS containing 5 40 mM EGTA, washed twice in PBS and once in hypotonic buffer (10 mM HEPES pH 7.3, 6 mM α -mercaptoethanol). Cells were suspended in 0.7 packed-cell volumes of hypotonic buffer containing Complete protease inhibitors (Boehringer) and 0.5 units/ml of RNasin (Promega). Cells were 45 disrupted in a dounce homogenizer with a type B pestle, and lysates were centrifuged at 30,000 g for 20 min. Supernatants were used in an in vitro assay containing 20 mM HEPES pH 7.3, 110 mM KOAc, 1 mM Mg(OAc)₂, 3 mM EGTA, 2 mM CaCl₂, 1 mM DTT. Typically, 5 μ l extract was used in a 10 μ l 50 assay that contained also 10,000 c.p.m. synthetic mRNA substrate.

Extract fractionation Extracts were centrifuged at 200,000 g for 3 h and the resulting pellet (containing ribosomes) was extracted in hypotonic buffer containing also 1 mM MgCl₂ 55 and 300 mM KOAc. The extracted material was spun at 100,000 g for 1 h and the resulting supernatant was fractionated on Source 15% column (Pharmacia) using a KCl gradient in buffer A (20 mM HEPES pH 7.0, 1 mM dithiothreitol, 1 mM MgCl₂). Fractions were assayed for nuclease activity 60 as described above. For northern blotting, fractions were proteinase K/SDS treated, phenol extracted, and resolved on 15% acrylamide 8M urea gels. RNA was electroblotted onto Hybond N+ and probed with strand-specific riboprobes derived from cyclin E mRNA. Hybridization was carried out 65 in 500 mM NaPO₄ pH 7.0, 15% formamide, 7% SDS, 1% BSA. Blots were washed in 1×SSC at 37-45° C.

EXAMPLE 2

Role for a Bidentate Ribonuclease in the Initiation Step of RNA Interference

Genetic approaches in worms, fungi and plants have identified a group of proteins that are essential for doublestranded RNA-induced gene silencing. Among these are ARGONAUTE family members (e.g. RDE1, QDE2) (Tabara et al., Cell 99: 123-132, 1999; Catalanotto et al., Nature 404: 245, 2000; Fagard et al., PNAS 97: 11650-11654, 2000), recQ-family helicases (MUT-7, QDE3) (Ketting et al., Cell 99: 133-141, 1999; Cogoni and Macino, Science 286: 2342-2344, 1999), and RNA-dependent RNA polymerases (e.g., EGO-1, QDE1, SGS2/SDE1) (Cogoni and Macino, Nature 399: 166-169, 1999; Smardon et al., Current Biology 10: 169-178, 2000; Mourrain et al., Cell 101: 533-542, 2000; Dalmay et al., Cell 101: 543-553, 2000). While potential roles have been proposed, none of these genes has been assigned a definitive function in the silencing process. Biochemical studies have suggested that PTGS is accomplished by a multicomponent nuclease that targets mRNAs for degradation (Hammond et al., Nature 404: 293-296, 2000; Zamore et al., Cell 101: 25-33, 2000; Tuschl et al., Genes and Development 13: 3191-3197, 1999). We have shown that the specificity of this complex may derive from the incorporation of a small guide sequence that is homologous to the mRNA substrate (Hammond et al., Nature 404: 293-296, 2000). Originally identified in plants that were actively silencing transgenes (Hamilton and Baulcombe, Science 286: 950-952, 1999), these ~22 nt. RNAs have been produced during RNAi in vitro using an extract prepared from Drosophila embryos (Zamore et al., Cell 101: 25-33, 2000). Putative guide RNAs can also be produced in extracts from Drosophila S2 cells (FIG. 5a). With the goal of understanding the mechanism of post-transcriptional gene silencing, we have undertaken both biochemical fractionation and candidate gene approaches to identify the enzymes that execute each step of RNAi.

Our previous studies resulted in the partial purification of a nuclease, RISC, that is an effector of RNA interference. See Example 1. This enzyme was isolated from *Drosophila* S2 cells in which RNAi had been initiated in vitro by transfection with dsRNA. We first sought to determine whether the RISC enzyme and the enzyme that initiates RNAi via processing of dsRNA into 22mers are distinct activities. RISC activity could be largely cleared from extracts by high-speed centrifugation (100,000×g for 60 min.) while the activity that produces 22mers remained in the supernatant (FIGS. **5***b,c*). This simple fractionation indicated that RISC and the 22mer-generating activity are separable and thus distinct enzymes. However, it seems likely that they might interact at some point during the silencing process.

RNAse III family members are among the few nucleases that show specificity for double-stranded RNA (Nicholson, *FEMS Microbiol Rev* 23: 371-390, 1999). Analysis of the *Drosophila* and *C. elegans* genomes reveals several types of RNAse III enzymes. First is the canonical RNAse III which contains a single RNAse III signature motif and a double-stranded RNA binding domain (dsRBD; e.g. RNC_CAEEL). Second is a class represented by Drosha (Filippov et al., *Gene* 245: 213-221, 2000), a *Drosophila* enzyme that contains two RNAse III motifs and a dsRBD (CeDrosha in *C. elegans*). A third class contains two RNAse III signatures and an amino terminal helicase domain (e.g. *Drosophila* CG4792, CG6493, *C. elegans* K12H4.8), and these had previously been proposed by Bass as candidate RNAi nucleases (Bass,

Cell 101: 235-238, 2000). Representatives of all three classes were tested for the ability to produce discrete, ~22 nt. RNAs from dsRNA substrates.

Partial digestion of a 500 nt. cyclin E dsRNA with purified, bacterial RNAse III produced a smear of products while 5 nearly complete digestion produced a heterogeneous group of ~11-17 nucleotide RNAs (not shown). In order to test the dual-RNAse III enzymes, we prepared T7 epitope-tagged versions of Drosha and CG4792. These were expressed in transfected S2 cells and isolated by immunoprecipitation 10 using antibody-agarose conjugates. Treatment of the dsRNA with the CG4792 immunoprecipitate yielded ~22 nt. fragments similar to those produced in either S2 or embryo extracts (FIG. 6a). Neither activity in extract nor activity in immunoprecipitates depended on the sequence of the RNA substrate since dsRNAs derived from several genes were processed equivalently (see Supplement 1). Negative results were obtained with Drosha and with immunoprecipitates of a DE×H box helicase (Homeless (Gillespie et al., Genes and Development 9: 2495-2508, 1995); see FIGS. 6a,b). Western 20 blotting confirmed that each of the tagged proteins was expressed and immunoprecipitated similarly (see Supplement 2). Thus, we conclude that CG4792 may carry out the initiation step of RNA interference by producing ~22 nt. guide sequences from dsRNAs. Because of its ability to 25 digest dsRNA into uniformly sized, small RNAs, we have named this enzyme Dicer (Dcr). Dicer mRNA is expressed in embryos, in S2 cells, and in adult flies, consistent with the presence of functional RNAi machinery in all of these contexts (see Supplement 3).

The possibility that Dicer might be the nuclease responsible for the production of guide RNAs from dsRNAs prompted us to raise an antiserum directed against the carboxy-terminus of the Dicer protein (Dicer-1, CG4792). This antiserum could immunoprecipitate a nuclease activity from 35 either Drosophila embryo extracts or from S2 cell lysates that produced ~22 nt. RNAs from dsRNA substrates (FIG. 6C). The putative guide RNAs that are produced by the Dicer-1 enzyme precisely co-migrate with 22mers that are produced in extract and with 22mers that are associated with the RISC 40 enzyme (FIG. 6 D,F). It had previously been shown that the enzyme that produced guide RNAs in Drosophila embryo extracts was ATP-dependent (Zamore et al., Cell 101: 25-33, 2000). Depletion of this cofactor resulted in an ~6-fold lower rate of dsRNA cleavage and in the production of RNAs with 45 a slightly lower mobility. Of interest was the fact that both Dicer-1 immunoprecipitates and extracts from S2 cells require ATP for the production of ~22mers (FIG. 6D). We do not observe the accumulation of lower mobility products in these cases, although we do routinely observe these in ATP- 50 depleted embryo extracts. The requirement of this nuclease for ATP is a quite unusual property. We hypothesize that this requirement could indicate that the enzyme may act processively on the dsRNA, with the helicase domain harnessing the energy of ATP hydrolysis both for unwinding guide RNAs 55 and for translocation along the substrate.

Efficient induction of RNA interference in *C. elegans* and in *Drosophila* has several requirements. For example, the initiating RNA must be double-stranded, and it must be several hundred nucleotides in length. To determine whether 60 these requirements are dictated by Dicer, we characterized the ability of extracts and of immunoprecipitated enzyme to digest various RNA substrates. Dicer was inactive against single stranded RNAs regardless of length (see Supplement 4). The enzyme could digest both 200 and 500 nucleotide 65 dsRNAs but was significantly less active with shorter substrates (see Supplement 4). Double-stranded RNAs as short

as 35 nucleotides could be cut by the enzyme, albeit very inefficiently (data not shown). In contrast, *E. coli* RNAse III could digest to completion dsRNAs of 35 or 22 nucleotides (not shown). This suggests that the substrate preferences of the Dicer enzyme may contribute to but not wholly determine the size dependence of RNAi.

To determine whether the Dicer enzyme indeed played a role in RNAi in vitro, we sought to deplete Dicer activity from S2 cells and test the effect on dsRNA-induced gene silencing. Transfection of S2 cells with a mixture of dsRNAs homologous to the two Drosophila Dicer genes (CG4792 and CG6493) resulted in an ~6-7 fold reduction of Dicer activity either in whole cell lysates or in Dicer-1 immunoprecipitates (FIG. 7A,B). Transfection with a control dsRNA (murine caspase 9) had no effect. Qualitatively similar results were seen if Dicer was examined by Northern blotting (not shown). Depletion of Dicer in this manner substantially compromised the ability of cells to silence subsequently an exogenous, GFP transgene by RNAi (FIG. 7C). These results indicate that Dicer is involved in RNAi in vitro. The lack of complete inhibition of silencing could result from an incomplete suppression of Dicer (which is itself required for RNAi) or could indicate that in vitro, guide RNAs can be produced by more than one mechanism (e.g. through the action of RNA-dependent RNA polymerases).

Our results indicate that the process of RNA interference can be divided into at least two distinct steps. According to this model, initiation of PTGS would occur upon processing of a double-stranded RNA by Dicer into ~22 nucleotide guide sequences, although we cannot formally exclude the possibility that another, Dicer-associated nuclease may participate in this process. These guide RNAs would be incorporated into a distinct nuclease complex (RISC) that targets singlestranded mRNAs for degradation. An implication of this model is that guide sequences are themselves derived directly from the dsRNA that triggers the response. In accord with this model, we have demonstrated that ³²P-labeled, exogenous dsRNAs that have been introduced into S2 cells by transfection are incorporated into the RISC enzyme as 22 mers (FIG. 7E). However, we cannot exclude the possibility that RNAdependent RNA polymerases might amplify 22mers once they have been generated or provide an alternative method for producing guide RNAs.

The structure of the Dicer enzyme provokes speculation on the mechanism by which the enzyme might produce discretely sized fragments irrespective of the sequence of the dsRNA (see Supplement 1, FIG. 8a). It has been established that bacterial RNAse III acts on its substrate as a dimer (Nicholson, FEMS Microbiol Rev 23: 371-390, 1999; Robertson et al., J Biol Chem 243: 82-91, 1968; Dunn, J Biol Chem 251: 3807-3814, 1976). Similarly, a dimer of Dicer enzymes may be required for cleavage of dsRNAs into ~22 nt. pieces. According to one model, the cleavage interval would be determined by the physical arrangement of the two RNAse III domains within Dicer enzyme (FIG. 8a). A plausible alternative model would dictate that cleavage was directed at a single position by the two RIII domains in a single Dicer protein. The 22 nucleotide interval could be dictated by interaction of neighboring Dicer enzymes or by translocation along the mRNA substrate. The presence of an integral helicase domain suggests that the products of Dicer cleavage might be single-stranded 22 mers that are incorporated into the RISC enzyme as such.

A notable feature of the Dicer family is its evolutionary conservation. Homologs are found in *C. elegans* (K12H4.8), *Arabidopsis* (e.g., CARPEL FACTORY (Jacobson et al., Development 126: 5231-5243, 1999), T25K16.4,

AC012328_1), mammals (Helicase-MOI (Matsuda et al., *Biochim Biophys Acta* 1490: 163-169, 2000) and *S. pombe* (YC9A_SCHPO) (FIG. **8***b*, see Supplements 6, 7 for sequence comparisons). In fact, the human Dicer family member is capable of generating ~22 nt. RNAs from dsRNA 5 substrates (Supplement 5) suggesting that these structurally similar proteins may all share similar biochemical functions. It has been demonstrated that exogenous dsRNAs can affect gene function in early mouse embryos (Wianny et al., *Nature Cell Biology* 2: 70-75, 2000), and our results suggest that this 10 regulation may be accomplished by an evolutionarily conserved RNAi machinery.

In addition to RNaseIII and helicase motifs, searches of the PFAM database indicate that each Dicer family member also contains a ZAP domain (FIG. 8c) (Sonnhammer et al., Pro- 15 teins 28: 405-420, 1997). This sequence was defined based solely upon its conservation in the Zwille/ ARGONAUTE/Piwi family that has been implicated in RNAi by mutations in C. elegans (Rde-1) and Neurospora (Qde-2) (Tabara et al., Cell 99: 123-132, 1999; Catalanotto et al., 20 Nature 404: 245, 2000). Although the function of this domain is unknown, it is intriguing that this region of homology is restricted to two gene families that participate in dsRNAdependent silencing. Both the ARGONAUTE and Dicer families have also been implicated in common biological 25 processes, namely the determination of stem-cell fates. A hypomorphic allele of carpel factory, a member of the Dicer family in Arabidopsis, is characterized by increased proliferation in floral meristems (Jacobsen et al., Development 126: 5231-5243, 1999). This phenotype and a number of other 30 characteristic features are also shared by Arabidopsis ARGO-NAUTE (agol-1) mutants (Bohmert et al., EMBO J. 17: 170-180, 1998; C. Kidner and R. Martiennsen, pers. comm.). These genetic analyses begin to provide evidence that RNAi may be more than a defensive response to unusual RNAs but 35 may also play important roles in the regulation of endogenous genes.

With the identification of Dicer as a catalyst of the initiation step of RNAi, we have begun to unravel the biochemical basis of this unusual mechanism of gene regulation. It will be 40 of critical importance to determine whether the conserved family members from other organisms, particularly mammals, also play a role in dsRNA-mediated gene regulation. Methods:

Plasmid constructs. A full-length cDNA encoding Drosha 45 was obtained by PCR from an EST sequenced by the Berkeley Drosophila genome project. The Homeless clone was a gift from Gillespie and Berg (Univ. Washington). The T7 epitope-tag was added to the amino terminus of each by PCR, and the tagged cDNAs were cloned into pRIP, a retroviral 50 vector designed specifically for expression in insect cells (E. Bernstein, unpublished). In this vector, expression is driven by the Orgyia pseudotsugata IE2 promoter (Invitrogen). Since no cDNA was available for CG4792/Dicer, a genomic clone was amplified from a bacmid (BACR23F10; obtained 55 from the BACPAC Resource Center in the Dept. of Human Genetics at the Roswell Park Cancer Institute). Again, during amplification, a T7 epitope tag was added at the amino terminus of the coding sequence. The human Dicer gene was isolated from a cDNA library prepared from HaCaT cells 60 (GJH, unpublished). A T7-tagged version of the complete coding sequence was cloned into pcDNA3 (Invitrogen) for expression in human cells (LinX-A).

Cell culture and extract preparation. S2 and embryo culture. S2 cells were cultured at 27° C. in 5% CO₂ in 65 Schneider's insect media supplemented with 10% heat inactivated fetal bovine serum (Gemini) and 1% antibiotic-anti-

mycotic solution (GIBCO BRL). Cells were harvested for extract preparation at 10×10⁶ cells/ml. The cells were washed $1 \times$ in PBS and were resuspended in a hypotonic buffer (10 mM HEPES pH 7.0, 2 mM MgCl_2, 6 mM $\beta ME)$ and dounced. Cell lysates were spun 20,000×g for 20 minutes. Extracts were stored at -80° C. Drosophila embryos were reared in fly cages by standard methodologies and were collected every 12 hours. The embryos were dechorionated in 50% chlorox bleach and washed thoroughly with distilled water. Lysis buffer (10 mM Hepes, 10 mM KCl, 1.5 mM MgCl₂, 0.5 mM EGTA, 10 mM P-glycerophosphate, 1 mM DTT, 0.2 mM PMSF) was added to the embryos, and extracts were prepared by homogenization in a tissue grinder. Lysates were spun for two hours at 200,000×g and were frozen at -80° C. LinX-A cells, a highly-transfectable derivative of human 293 cells, (Lin Xie and GJH, unpublished) were maintained in DMEM/ 10% FCS.

Transfections and immunoprecipitations S2 cells were transfected using a calcium phosphate procedure essentially as previously described (Hammond et al., Nature 404: 293-296, 2000). Transfection rates were ~90% as monitored in controls using an in situ D-galactosidase assay. LinX-A cells were also transfected by calcium phosphate co-precipitation. For immunoprecipitations, cells ($\sim 5 \times 10^6$ per IP) were transfected with various clones and lysed three days later in IP buffer (125 mM KOAc, 1 mM MgOAc, 1 mM CaCl₂, 5 mM EGTA, 20 mM Hepes pH 7.0, 1 mM DTT, 1% NP-40 plus Complete protease inhibitors, Roche). Lysates were spun for 10 minutes at 14,000×g and supernatants were added to T7 antibody-agarose beads (Novagen). Antibody binding proceeded for 4 hours at 4° C. Beads were centrifuged and washed in lysis buffer three times, and once in reaction buffer. The Dicer antiserum was raised in rabbits using a KLHconjugated peptide corresponding to the C-terminal 8 amino acids of Drosophila Dicer-1 (CG4792).

Cleavage reactions. RNA preparation. Templates to be transcribed into dsRNA were generated by PCR with forward and reverse primers, each containing a T7 promoter sequence. RNAs were produced using Riboprobe (Promega) kits and were uniformly labeling during the transcription reaction with ³²P-UTP. Single-stranded RNAs were purified from 1% agarose gels. dsRNA cleavage. Five microliters of embryo or S2 extracts were incubated for one hour at 30° C. with dsRNA in a reaction containing 20 mM Hepes pH 7.0, 2 mM MgOAc, 2 mM DTT, 1 mM ATP and 5% Superasin (Ambion). Immunoprecipitates were treated similarly except that a minimal volume of reaction buffer (including ATP and Superasin) and dsRNA were added to beads that had been washed in reaction buffer (see above). For ATP depletion, Drosophila embryo extracts were incubated for 20 minutes at 30° C. with 2 mM glucose and 0.375 U of hexokinase (Roche) prior to the addition of dsRNA.

Northern and Western analysis. Total RNA was prepared from *Drosophila* embryos (0-12 hour), from adult flies, and from S2 cells using Trizol (Lifetech). Messenger RNA was isolated by affinity selection using magnetic oligo-dT beads (Dynal). RNAs were electrophoresed on denaturing formaldehyde/agarose gels, blotted and probed with randomly primed DNAs corresponding to Dicer. For Western analysis, T7-tagged proteins were immunoprecipitated from whole cell lysates in IP buffer using anti-T7-antibody-agarose conjugates. Proteins were released from the beads by boiling in Laemmli buffer and were separated by electrophoresis on 8% SDS PAGE. Following transfer to nitrocellulose, proteins were visualized using an HRP-conjugated anti-T7 antibody (Novagen) and chemiluminescent detection (Supersignal, Pierce).

RNAi of Dicer. Drosophila S2 cells were transfected either with a dsRNA corresponding to mouse caspase 9 or with a mixture of two dsRNAs corresponding to Drosophila Dicer-1 and Dicer-2 (CG4792 and CG6493). Two days after the initial transfection, cells were again transfected with a mixture con-5 taining a GFP expression plasmid and either luciferase dsRNA or GFP dsRNA as previously described (Hammond et al., Nature 404: 293-296, 2000). Cells were assayed for Dicer activity or fluorescence three days after the second transfection. Quantification of fluorescent cells was done on a Coulter 10 EPICS cell sorter after fixation. Control transfections indicated that Dicer activity was not affected by the introduction of caspase 9 dsRNA.

EXAMPLE 3

A Simplified Method for the Creation of Hairpin Constructs for RNA Interference

In numerous model organisms, double stranded RNAs 20 have been shown to cause effective and specific suppression of gene function (Bosher and Labouesse, Nature Cell Biology 2: E31-E36, 2000). This response, termed RNA interference or post-transcriptional gene silencing, has evolved into a highly effective reverse genetic tool in C. elegans, Droso- 25 duced using a variety of methods including via the construcphila, plants and numerous other systems. In these cases, double-stranded RNAs can be introduced by injection, transfection or feeding; however, in all cases, the response is both transient and systemic. Recently, stable interference with gene expression has been achieved by expression of RNAs 30 that form snap-back or hairpin structures (Fortier and Belote, Genesis 26: 240-244, 2000; Kennerdell and Carthew, Nature Biotechnology 18: 896-898, 2000; Lam and Thummel, Current Biology 10: 957-963, 2000; Shi et al., RNA 6: 1069-1076, 2000; Smith et al., Nature 407: 319-320, 2000; Taver- 35 narakis et al., Nature Genetics 24: 180-183, 2000). This has the potential not only to allow stable silencing of gene expression but also inducible silencing as has been observed in trypanosomes and adult Drosophila (Fortier and Belote, Genesis 26: 240-244, 2000; Lam and Thummel, Current Biology 40 10: 957-963, 2000; Shi et al., RNA 6: 1069-1076, 2000). The utility of this approach is somewhat hampered by the difficulties that arise in the construction of bacterial plasmids containing the long inverted repeats that are necessary to provoke silencing. In a recent report, it was stated that more 45 than 1,000 putative clones were screened to identify the desired construct (Tavemarakis et al., Nature Genetics 24: 180-183, 2000).

The presence of hairpin structures often induces plasmid rearrangement, in part due to the E. coli sbc proteins that 50 recognize and cleave cruciform DNA structures (Connelly et al., Genes Cell 1: 285-291, 1996). We have developed a method for the construction of hairpins that does not require cloning of inverted repeats, per se. Instead, the fragment of the gene that is to be silenced is cloned as a direct repeat, and 55 the inversion is accomplished by treatment with a site-specific recombinase, either in vitro (or potentially in vitro) (see FIG. 27). Following recombination, the inverted repeat structure is stable in a bacterial strain that lacks an intact SBC system (DL759). We have successfully used this strategy to 60 construct numerous hairpin expression constructs that have been successfully used to provoke gene silencing in Drosophila cells.

In the following examples, we use this method to express long dsRNAs in a variety of mammalian cell types. We show 65 that such long dsRNAs mediate RNAi in a variety of cell types. Additionally, since the vector described in FIG. 27

contains a selectable marker, dsRNAs produced in this manner can be stably expressed in cells. Accordingly, this method allows not only the examination of transient effects of RNA suppression in a cell, but also the effects of stable and prolonged RNA suppression.

Methods:

Plasmids expressing hairpin RNAs were constructed by cloning the first 500 bps of the GFP coding region into the FLIP cassette of pRIP-FLIP as a direct repeat. The FLIP cassette contains two directional cloning sites, the second of which is flanked by LoxP sites. The Zeocin gene, present between the cloning sites, maintains selection and stability. To create an inverted repeat for hairpin production, the direct repeat clones were exposed to Cre recombinase (Stratagene) 15 in vitro and, afterwards, transformed into DL759 E. coli. These bacteria permit the replication of DNA containing cruciform structures, which tend to form inverted repeats.

EXAMPLE 4

Long dsRNAs Suppress Gene Expression in Mammalian Cells

Previous experiments have demonstrated that dsRNA, protion of hairpins, can suppress gene expression in Drosophila cells. We now demonstrate that dsRNA can also suppress gene expression in mammalian cells in culture. Additionally, the power of RNAi as a genetic tool would be greatly enhanced by the ability to engineer stable silencing of gene expression. We therefore undertook an effort to identify mammalian cells in which long dsRNAs could be used as RNAi triggers in the hope that these same cell lines would provide a platform upon which to develop stable silencing strategies. We demonstrate that RNA suppression can be mediated by stably expressing a long hairpin in a mammalian cell line. The ability to engineer stable silencing of gene expression in cultured mammalian cells, in addition to the ability to transiently silence gene expression, has many important applications.

A. RNAi in Pluripotent Murine P19 Cells.

We first sought to determine whether long dsRNA triggers could induce sequence-specific silencing in cultured murine cells, both to develop this approach as a tool for probing gene function and to allow mechanistic studies of dsRNA-induced silencing to be propagated to mammalian systems. We, therefore, attempted to extend previous studies in mouse embryos (Wianny et al., Nat. Cell Biol. 2: 70-75, 2000; Svoboda et al., Development 127: 4147-4156, 2000) by searching for RNAilike mechanisms in pluripotent, embryonic cell types. We surveyed a number of cell lines of embryonic origin for the degree to which generalized suppression of gene expression occurred upon introduction of dsRNA. As an assay, we tested the effects of dsRNA on the expression of GFP as measured in situ by counting fluorescent cells. As expected, in both human embryonic kidney cells (293) and mouse embryo fibroblasts, GFP expression was virtually eliminated irrespective of the sequence of the cotransfected dsRNA. In some pluripotent teratocarcinoma and teratoma cell lines (e.g., N-Teral, F9), the PKR response was attenuated but still evident; however, in contrast, transfection of nonhomologous dsRNAs had no effect on the expression of reporter genes (e.g., GFP or luciferase) either in mouse embryonic stem cells or in p19 embryonal carcinoma cells (FIG. 28).

Transfection of P19 embryonal carcinoma cells with GFP in the presence of cognate dsRNA corresponding to the first ≈500 nts of the GFP coding sequence had a strikingly different effect. GFP expression was eliminated in the vast majority of cotransfected cells (FIG. **28**), suggesting that these cultured murine cells might respond to dsRNA in a manner similar to that which we had previously demonstrated in cultured, *Drosophila* S2 cells (Hammond et al., *Nature* 404: 5 293-296, 2000).

To quantify the extent to which dsRNA could induce sequence-specific gene silencing, we used a dual luciferase reporter assay similar to that which had first been used to demonstrate RNAi in Drosophila embryo extracts (Tuscbl et 10 al., Genes Dev. 13: 3191-3197, 1999). P19 EC cells were transfected with a mixture of two plasmids that individually direct the expression of firefly luciferase and Renilla luciferase. These were cotransfected with no dsRNA, with dsRNA that corresponds to the first ≈500 nts of the firefly 15 luciferase, or with dsRNA corresponding to the first ~500 nts of GFP as a control. Cotransfection with GFP dsRNA gave luciferase activities that were similar to the no-dsRNA control, both in the firefly/Renilla activity ratio and in the absolute values of both activities. In contrast, in cells that received 20 the firefly luciferase dsRNA, the ratio of firefly to Renilla luciferase activity was reduced by up to 30-fold (250 ng, FIG. 29B). For comparison, we carried out an identical set of experiments in Drosophila S2 cells. Although qualitatively similar results were obtained, the silencing response was 25 more potent. At equivalent levels of dsRNA, S2 cells suppressed firefly luciferase activity to virtually background levels.

The complementary experiment, in which dsRNA was homologous to *Renilla* luciferase, was also performed. Again, 30 in this case, suppression of the expression of the *Renilla* enzyme was \approx 10-fold (FIG. **29**D). Thus, the dsRNA response in P19 cells was flexible, and the silencing machinery was able to adapt to dsRNAs directed against any of the reporters that were tested. 35

We took two approaches to test whether this response was specific for dsRNA. Pretreatment of the trigger with purified RNase III, a dsRNA-specific ribonuclease, before transfection greatly reduced its ability to provoke silencing. Furthermore, transfection of cells with single-stranded antisense 40 RNAs directed against either firefly or Renilla luciferase had little or no effect on expression of the reporters (FIGS. 29C and 29D). Considered together, these results provided a strong indication that double-stranded RNAs provoke a potent and specific silencing response in P19 embryonal car- 45 cinoma cells. Efficient silencing could be provoked with relatively low concentrations of dsRNA (25 ng/ml culture media; see FIG. 29A). The response was concentration-dependent, with maximal suppression of ≈20-fold being achieved at a dose of 1.5 µg/ml culture media. Silencing was established 50 rapidly and was evident by 9 h post-transfection (the earliest time point examined). Furthermore, the response persisted without significant changes in the degree of suppression for up to 72 h following a single dose of dsRNA.

FIG. **30** further shows wild-type P19 cells which have been 55 co-transfected with either RFP or GFP (right panel). Note the robust expression of RFP or GFR respectively approximately 42 hours post-transfection. We isolated P19 clones which stably express a 500 nt. GFP hairpin. Such clones were then transfected with either RFP or GFP, and expression of RFP or 60 GFP was assessed by visual inspection of the cells. The left panel demonstrates that a 500 nt GFP hairpin specifically suppresses expression of GFP in P19 cells.

B. RNAi in Embryonic Stem Cells.

To assess whether the presence of a sequence-specific 65 response to dsRNA was a peculiarity of P19 cells or whether it also extended to normal murine embryonic cells, we per-

formed similar silencing assays in mouse embryonic stem cells. Cotransfection of embryonic stem cells with noncognate dsRNAs (e.g., GFP), again, had no dramatic effect on either the absolute values or the ratios of *Renilla* and firefly luciferase activity (FIG. **31**). However, transfection with either firefly or *Renilla* luciferase dsRNA dramatically and specifically reduced the activity of the targeted enzyme (FIG. **31**).

This result suggests that RNAi can operate in multiple murine cell types of embryonic origin, including normal embryonic stem cells. The ability to provoke silencing in a cell type that is normally used for the generation of genetic, mosaic animals suggests the possibility of eventually testing the biological effects of silencing both in culture and in reconstituted animal models. Our ability to successfully manipulate ES cell via RNAi allows the use of RNAi in the generation of transgenic and knock-out mice.

C. RNAi in Murine Somatic Cells.

RNAi effector pathways are likely to be present in mammalian somatic cells, based on the ability of siRNAs to induce transient silencing (Elbashir et al., *Nature* 411: 494-498, 2001). Furthermore, we have shown that RNAi initiator and effector pathways clearly exist in embryonic cells that can enforce silencing in response to long dsRNA triggers. We therefore sought to test whether the RNAi machinery might exist intact in some somatic cell lines.

Transfection of HeLa cells with luciferase reporters in combination with long dsRNA triggers caused a nearly complete suppression of activity, irrespective of the RNA sequence. In a murine myoblast cell line, C2C12, we noted a mixture of two responses. dsRNAs homologous to firefly luciferase provoked a sequence-specific effect, producing a degree of suppression that was slightly more potent than was observed upon transfection with cognate ≈21-nt siRNA (Elbashir et al., *Nature* 411: 494-498, 2001) (see FIG. **32**A). However, with long dsRNA triggers, the specific effect was superimposed upon a generalized suppression of reporter gene expression that was presumably because of PKR activation (FIG. **32**B).

Numerous mammalian viruses have evolved the ability to block PKR as an aid to efficient infection. For example, adenoviruses express VA RNAs, which mimic dsRNA with respect to binding but not to activation of PKR (Clarke et al., *RNA* 1: 7-20, 1995). Vaccinia virus uses two strategies to evade PKR. The first is expression of E3L, which binds and masks dsRNAs (Kawagishi-Kobayashi et al., *Virology* 276: 424-434, 2000). The second is expression of K3L, which binds and inhibits PKR via its ability to mimic the natural substrate of this enzyme, eIF2 α (Kawagishi-Kobayashi et al. 2000, supra).

Transfection of C2C12 cells with a vector that directs K3L expression attenuates the generalized repression of reporter genes in response to dsRNA. However, this protein had no effect on the magnitude of specific inhibition by RNAi (FIG. **32**C).

FIG. **33** further shows the results of a transient co-transfection assay performed in Hela cells, CHO cells, and P19 cells. The cell lines were each transfected with plasmids expressing *Photinus pyralis* (firefly) and *Renila reniformis* (sea pansy) luciferases. The cells lines were additionally transfected with 400 ng of 500 nt dsRNAs corresponding to either firefly luciferase (dsLUC) or dsGFP. The results demonstrate that dsRNA can specifically mediate suppression in a multiple mammalian cells types in culture.

These results raise the possibility that, at least in some cell lines and/or cell types, blocking nonspecific responses to dsRNA will enable the use of long dsRNAs for the study of

gene function. This might be accomplished through the use of viral inhibitors, as described here, or through the use of cells isolated from animals that are genetically modified to lack undesirable responses.

D. Stable Suppression of Gene Expression Using RNAi.

To date, dsRNAs have been used to induce sequence-specific gene silencing in either cultured mammalian cells or in embryos only in a transient fashion. However, the most powerful applications of genetic manipulation are realized only with the creation of stable mutants. The ability to induce 10 silencing by using long dsRNAs offers the opportunity to translate into mammalian cells work from model systems such as Drosophila, plants, and C. elegans wherein stable silencing has been achieved by enforced expression of hairpin RNAs (Kennerdell et al., Nat. Biotechnol. 18: 896-898, 2000; 15 Smith et al., Nature 407: 319-320, 2000; Tavernarakis et al., Nat. Genet. 24:180-183, 2000).

P19 EC cells were transfected with a control vector or with an expression vector that directs expression of a ~500-nt GFP hairpin RNA from an RNA polymerase II promoter (cytome- 20 galovirus). Colonies arising from cells that had stably integrated either construct were selected and expanded into clonal cell lines. Each cell line was assayed for persistent RNAi by transient co-transfection with a mixture of two reporter genes, dsRED to mark transfected cells and GFP to 25 test for stable silencing.

Transfection of clonal P19 EC cells that had stably integrated the control vector produced equal numbers of red and green cells, as would be expected in the absence of any specific silencing response (FIG. 34B), whereas cells that 30 express the GFP hairpin RNA gave a very different result. These cells expressed the dsRED protein with an efficiency comparable to that observed in cells containing the control vector. However, the cells failed to express the cotransfected GFP reporter (FIG. 34B). These data provide a strong indi- 35 cation that continuous expression of a hairpin dsRNA can provoke stable, sequence-specific silencing of a target gene.

In Drosophila S2 cells and C. elegans, RNAi is initiated by the Dicer enzyme, which processes dsRNA into 22-nt siR-NAs (Bernstein et al., Nature 409: 363-366, 2001; Grishok et 40 al., Cell 106: 23-34, 2001; Hutvagner et al., Science 293: 834-838, 2001; Ketting et al., Genes Dev. 15: 2654-2659, 2001; Knight et al., Science 293: 2269-2271, 2001). In both, S2 cells and C. elegans experiments by using dsRNA to target Dicer suppress the RNAi response. Whether Dicer plays a 45 central role in hairpin-induced gene silencing in P19 cells was tested by transfecting P19 cells stably transfected with GFP hairpin constructs with mouse Dicer dsRNA. Treatment with Dicer dsRNA, but not control dsRNA, resulted in depression of GFP (FIG. 34C).

E. dsRNA Induces Posttranscriptional Silencing.

A key feature of RNAi is that it exerts its effect at the posttranscriptional level by destruction of targeted mRNAs (Hammond et al., Nat. Rev. Genet. 2: 110-119, 2001). To test whether dsRNAs induced silencing in mouse cells via post-55 transcriptional mechanisms, we used an assay identical to that, used initially to characterize RNAi responses in Drosophila embryo extracts (Tuschl et al., Genes Dev. 13: 3191-3197, 1999). We prepared lysates from P19 EC cells that were competent for in vitro translation of capped mRNAs corre- 60 sponding to Renilla and firefly luciferase. Addition of nonspecific dsRNAs to these extracts had no substantial effect on either the absolute amount of luciferase expression or on the ratio of firefly to Renilla luciferase (FIG. 35). In contrast, addition of dsRNA homologous to the firefly luciferase 65 induced a dramatic and dose-dependent suppression of activity. Addition of RNA corresponding to only the antisense

strand of the dsRNA had little effect, comparable to a nonspecific dsRNA control, and pretreatment of the dsRNA silencing trigger with RNase III greatly reduced its potential to induce silencing in vitro. A second hallmark of RNAi is the production of small, ≈22-nt siRNAs, which determine the specificity of silencing. We found that such RNA species were generated from dsRNA in P19 cell extracts (FIG. 34D, in vitro), indicative of the presence of a mouse Dicer activity. These species were also produced in cells that stably express GFP hairpin RNAs (FIG. 34D, in vitro). Considered together, the posttranscriptional nature of dsRNA-induced silencing, the association of silencing with the production of ~22-nt siRNAs, and the dependence of this response on Dicer, a key player in the RNAi pathway, strongly suggests that dsRNA suppresses gene expression in murine cells via a conventional RNAi mechanism.

F. RNAi-Mediated Gene Silencing is Specific and Requires dsRNAs.

We carried out experiments to verify that the suppressive effects observed in the in vitro system were specific to double stranded RNA. Briefly, experiments were performed in accordance with the methods outlined above. Either dsRNA (ds), single-stranded RNA (ss), or antisense-RNA (as) corresponding to firefly (FF) or Renilla (Ren) luciferase was added to the translation reaction. Following reactions performed at 30° C. for 1 hour, dual luciferase assays were performed using an Analytical Scientific Instruments model 3010 Luminometer.

FIG. 36 summarizes the results of these experiments which demonstrate that the suppression of gene expression observed in this in vitro assay is specific for dsRNA. These results further support the conclusion that dsRNA suppresses gene expression in this mammalian in vitro system in a manner consistent with post-transcriptional silencing.

G. Mammalian Cells Soaked with dsRNAs Results in Gene Silencing.

Studies of post-transcriptional silencing in invertebrates have demonstrated that transfection or injection of the dsRNA is not necessary to achieve the suppressive affects. For example, dsRNA suppression in C. elegans can be observed by either soaking the worms in dsRNA, or by feeding the worms bacteria expressing the dsRNA of interest. We addressed whether dsRNA suppression in mammalian cells could be observed without transfection of the dsRNA. Such a result would present additional potential for easily using dsRNA suppression in mammalian cells, and would also allow the use of dsRNA to suppress gene expression in cell types which have been difficult to transfect (i.e., cell types with a low transfection efficiency, or cell types which have proven difficult to transfect at all).

P19 cells were grown in 6-well tissue culture plates to approximately 60% confluency in growth media (α MEM/ 10% FBS). Varying concentrations of firefly dsRNA were added to the cultures, and cells were cultured for 12 hours in growth media+dsRNA. Cells were then transfected with plasmids expressing firefly or sea pansy luciferase, as described in detail above. Dual luciferase assays were carried out 12 hours post-transfection using an Analytical Scientific Instruments model 3010 Luminometer.

FIG. 37 summarizes these results which demonstrate that dsRNA can suppress gene expression in mammalian cells without transfection. Culturing cells in the presence of dsRNA resulted in a dose dependent suppression of firefly luciferase gene expression.

Methods:

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Cell Culture. P19 mouse embryonic carcinoma cells (American Type Culture Collection, CRL-1825) were cultured in α -MEM (GIBCO/BRL) supplemented with 10% heat-inactivated FBS and 1% antibiotic/antimycotic solution (GIBCO/BRL). Mouse embryo stem cells (J1, provided by S. Kim, Cold Spring Harbor Laboratory) were cultured in DMEM containing ESgro (Chemicon) according to the manufacturer's instructions. C2C12 murine myoblast cells 5 (gift of N. Tonks, Cold Spring Harbor Laboratory) were cultured in DMEM (GIBCO/BRL) supplemented with 10% beat-inactivated FBS and 1% antibiotic/antimycotic solution (GIBCO/BRL).

RNA Preparation. For the production of dsRNA, transcription templates were generated by PCR; they contained T7 promoter sequences on each end of the template (see Hammond et al. 2000, Nature 404: 293-296). dsRNAs were prepared by using the RiboMax kit (Ambion, Austin, Tex.). Firefly and *Renilla* luciferase mRNA transcripts were synthesized by using the Riboprobe kit (Promega) and were gel purified before use.

Transfection and Gene Silencing Assays. Cells were transfected with indicated amounts of dsRNA and plasmid DNA by using FuGENE6 (Roche Biochemicals) according to the 20 manufacturer's instructions. Cells were transfected at 50-70% confluence in 12-well plates containing either 1 or 2 ml of medium per well. Dual luciferase assays (Promega) were carried out by co-transfecting cells with plasmids contain firefly luciferase under the control of SV40 promoter 25 (pGL3-Control, Promega) and Renilla luciferase under the control of the SV40 early enhancer/promoter region (pSV40, Promega). These plasmids were cotransfected by using a 1:1 or 10:1 ratio of pGL3-control (250 ng/well) to pRL-SV40. Both ratios yielded similar results. For some experiments, 30 cells were transfected with vectors that direct expression of enhanced green fluorescent protein (EGFP)-US9 fusion protein (Kalejta et al., Exp. Cell Res. 248: 322-328, 1999) or red fluorescent protein (RFP) (pDsRed N1, CLONTECH). RNAi in S2 cells was performed as described (Hammond et al., 35 Nature 404: 293-296, 2000).

Plasmids expressing hairpin RNAs (RNAs with a selfcomplimentary stem loop) were constructed by cloning the first 500 bp of the EGFP coding region (CLONTECH) into the FLIP cassette of pRIP-FLIP as a direct repeat. The FLIP 40 cassette contains two directional cloning sites, the second of which sports flanking LoxP sites (see FIG. 35A). The Zeocin gene (Stratagene), present between the cloning sites, maintains selection and, thus, stability of the FLIP cassette. The FLIP cassette containing EGFP direct repeats was subcloned 45 into pcDNA3 (Invitrogen). To create an inverted repeat for hairpin production, EGFP direct repeat clones were exposed to Cre recombinase (Stratagene) in vitro and, afterward, transformed into DL759 Escherichia coli (Connelly et al., Genes Cells 1: 285-291, 1996). These bacteria permit the 50 replication of DNA containing cruciform structures, which tend to form from inverted repeats. DL759 transformants were screened for plasmids containing inverted repeats (≈50%).

Silencing of Dicer was accomplished by using a dsRNA 55 comprising exon 25 of the mouse Dicer gene and corresponding to nucleotides 5284-5552 of the human Dicer cDNA.

In vitro Translation and in vitro Dicer Assays. Logarithmically growing cells were harvested in PBS containing 5 mM EGTA washed twice in PBS and once in hypotonic buffer (10 60 mM Hepes, pH 7.3/6 mM β -mercaptoethanol). Cells were suspended in 0.7 packed-cell volumes of hypotonic buffer containing Complete protease inhibitors (Roche Molecular Biochemicals) and 0.5 units/ml of RNasin (Promega). Cells were disrupted in a Dounce homogenizer with a type B pestle, 65 and lysates were centrifuged at 30,000×g for 20 min. Supernatants were used in an in vitro translation assay containing

capped m7G(5')pppG firefly and *Renilla* luciferase mRNA or in in vitro Dicer assays containing ³²P-labeled dsRNA. For in vitro translation assays, 5 μ l of extract were mixed with 100 ng of firefly and *Renilla* mRNA along with 1 μ g of dsRNA (or buffer)/10 mM DTT/0.5 mM spermidine/200 mM Hepes, 3.3 mM MgOAc/800 mM KOAc/1 mM ATP/1 mM GTP/4 units of Rnasin/215 μ g of creatine phosphate/1 μ g of creatine phosphate kinase/1 mM amino acids (Promega). Reactions were carried out for 1 h at 30° C. and quenched by adding 1× passive lysis buffer (Promega). Extracts were then assayed for luciferase activity. In vitro assays for Dicer activity were performed as described (Bernstein et al., *Nature* 409: 363-366, 2001).

Construction of Stable Silencing Lines. Ten-centimeter plates of P19 cells were transfected with 5 µg of GFP hairpin expression plasmid and selected for stable integrants by using G-418 (300 ng/ml) for 14 days. Clones were selected and screened for silencing of GFP.

EXAMPLE 5

Compositions and Methods for Synthesizing siRNAs

Previous results have indicated that short synthetic RNAs (siRNAs) can efficiently induce RNA suppression. Since short RNAs do not activate the non-specific PKR response, they offer a means for efficiently silencing gene expression in a range of cell types. However, the current state of the art with respect to siRNAs has several limitations. Firstly, siRNAs are currently chemically synthesized at great cost (approx. \$400/ siRNA). Such high costs make siRNAs impractical for either small laboratories or for use in large scale screening efforts. Accordingly, there is a need in the art for methods for generating siRNAs at reduced cost.

We provide compositions and methods for synthesizing siRNAs by T7 polymerase. This approach allows for the efficient synthesis of siRNAs at a cost consistent with standard RNA transcription reactions (approx. \$16/siRNA). This greatly reduced cost makes the use of siRNA a reasonable approach for small laboratories, and also will facilitate their use in large-scale screening projects.

FIG. **38** shows the method for producing siRNAs using T7 polymerase. Briefly, T7 polymerase is used to transcribe both a sense and antisense transcript. The transcripts are then annealed to provide an siRNA. One of skill in the art will recognize that any one of the available RNA polymerases can be readily substituted for T7 to practice the invention (i.e., T3, Sp6, etc.).

This approach is amenable to the generation of a single siRNA species, as well as to the generation of a library of siRNAs. Such a library of siRNAs can be used in any number of high-throughput screens including cell based phenotypic screens and gene array based screens.

EXAMPLE 6

Generation of Short Hairpin dsRNA and Suppression of Gene Expression Using Such Short Hairpins

Since the realization that small, endogenously encoded hairpin RNAs could regulate gene expression via elements of the RNAi machinery, we have sought to exploit this biological mechanism for the regulation of desired target genes. Here we show that short hairpin RNAs (shRNAs) can induce sequence-specific gene silencing in mammalian cells. As is normally done with siRNAs, silencing can be provoked by transfecting exogenously synthesized hairpins into cells. However, silencing can also be triggered by endogenous expression of shRNAs. This observation opens the door to the production of continuous cells lines in which RNAi is used to stably suppress gene expression in mammalian cells. Furthermore, similar approaches should prove efficacious in the creation of transgenic animals and potentially in therapeutic strategies in which long-term suppression of gene function is essential to produce a desired effect.

Several groups (Grishok et al., Cell 106: 23-34, 2001; Ketting et al., Genes & Dev. 15: 2654-2659, 2001; Knight et 10 al., Science 293: 2269-2271, 2001; Hutvagner et al., Science 293: 834-838, 2001) have shown that endogenous triggers of gene silencing, specifically small temporal RNAs (stRNAs) let-7 and lin-4, function at least in part through RNAi pathways. Specifically, these small RNAs are encoded by hairpin 15 precursors that are processed by Dicer into mature, ~21-nt forms. Moreover, genetic studies in C. elegans have shown a requirement for Argonaute-family proteins in stRNA function. Specifically, alg-1 and alg-2, members of the EIF2c subfamily, are implicated both in stRNA processing and in 20 their downstream effector functions (Grishok et al., 2001, supra). We have recently shown that a component of RISC, the effector nuclease of RNAi, is a member of the Argonaute family, prompting a model in which stRNAs may function through RISC-like complexes, which regulate mRNA trans- 25 lation rather than mRNA stability (Hammond et al., Science 293: 1146-1150, 2001).

A. Short Hairpin RNAs Triggeedr Gene Silencing in *Droso-phila* Cells.

We wished to test the possibility that we might retarget 30 these small, endogenously encoded hairpin RNAs to regulate genes of choice with the ultimate goal of subverting this regulatory system for manipulating gene expression stably in mammalian cell lines and in transgenic animals. Whether triggered by long dsRNAs or by siRNAs, RNAi is generally 35 more potent in the suppression of gene expression in *Drosophila* S2 cells than in mammalian cells. We therefore chose this model system in which to test the efficacy of short hairpin RNAs (shRNAs) as inducers of gene silencing.

Neither stRNAs nor the broader group of miRNAs that has 40 recently been discovered form perfect hairpin structures. Indeed, each of these RNAs is predicted to contain several bulged nucleotides within their rather short (~30-nt) stem structures. Because the position and character of these bulged nucleotides have been conserved throughout evolution and 45 among at least a subset of miRNAs, we sought to design retargeted miRNA mimics to conserve these predicted structural features. Only the let-7 and lin-4 miRNAs have known mRNA targets (Wightman et al., Cell 75: 855-862, 1993; Slack et al., Mol. Cell. 5: 659-669, 2000). In both cases, 50 pairing to binding sites within the regulated transcripts is imperfect, and in the case of lin-4, the presence of a bulged nucleotide is critical to suppression (Ha et al., Genes & Dev. 10: 3041-3050, 1996). We therefore also designed shRNAs that paired imperfectly with their target substrates. A subset 55 of these shRNAs is depicted in FIG. 39A.

To permit rapid testing of large numbers of shRNA variants and quantitative comparison of the efficacy of suppression, we chose to use a dual-luciferase reporter system, as previously described for assays of RNAi in both *Drosophila* 60 extracts (Tuschl et al., *Genes & Dev.* 13: 3191-3197, 1999) and mammalian cells (Caplen et al., *Proc. Natl. Acad. Sci.* 98: 9742-9747, 2001; Elbashir et al., Nature 411: 494-498, 2001). Cotransfection of firefly and *Renilla* luciferase reporter plasmids with either long dsRNAs or with siRNAs homologous to 65 the firefly luciferase gene yielded an ~95% suppression of firefly luciferase without effect on *Renilla* luciferase (FIG.

39B; data not shown). Firefly luciferase could also be specifically silenced by co-transfection with homologous shRNAs. The most potent inhibitors were those composed of simple hairpin structures with complete homology to the substrate. Introduction of G-U basepairs either within the stem or within the substrate recognition sequence had little or no effect (FIGS. **39**A and **39**B; data not shown).

These results show that short hairpin RNAs can induce gene silencing in *Drosophila* S2 cells with potency similar to that of siRNAs (FIG. **39**B). However, in our initial observation of RNA interference in *Drosophila* S2 cells, we noted a profound dependence of the efficiency of silencing on the length of the dsRNA trigger (Hammond et al., *Nature* 404: 293-296, 2000). Indeed, dsRNAs of fewer than ~200 nt triggered silencing very inefficiently. Silencing is initiated by an RNase III family nuclease, Dicer, that processes long dsR-NAs into 22-nt siRNAs. In accord with their varying potency as initiators of silencing, long dsRNAs are processed much more readily than short RNAs by the Dicer enzyme (Bernstein et al., Nature 409: 363-366, 2001). We therefore tested whether shRNAs were substrates for the Dicer enzyme.

We had noted previously that let-7 (Ketting et al., *Genes & Dev.* 15: 2654-2659, 2001) and other miRNAs (E. Bernstein, unpublished data) are processed by Dicer with an unexpectedly high efficiency as compared with short, nonhairpin dsR-NAs. Similarly, Dicer efficiently processed shRNAs that targeted firefly luciferase, irrespective of whether they were designed to mimic a natural Dicer substrate (let-7) or whether they were simple hairpin structures (FIG. **39**C). These data suggest that recombinant shRNAs can be processed by Dicer into siRNAs and are consistent with the idea that these short hairpins trigger gene silencing via an RNAi pathway.

B. Short Hairpin RNAs Activated Gene Silencing in Mammalian Cells.

Mammalian cells contain several endogenous systems that were predicted to hamper the application of RNAi. Chief among these is a dsRNA-activated protein kinase, PKR, which effects a general suppression of translation via phosphorylation of EIF-2a (Williams, Biochem. Soc. Trans. 25: 509-513, 1997; Gil et al., Apoptosis 5: 107-114, 2000). Activation of these, and other dsRNA-responsive pathways, generally requires duplexes exceeding 30 bp in length, possibly to permit dimerization of the enzyme on its allosteric activator (e.g., Clarke et al., RNA 1: 7-20, 1995). Small RNAs that mimic Dicer products, siRNAs, presumably escape this limit and trigger specific silencing, in part because of their size. However, short duplex RNAs that lack signature features of siRNAs can efficiently induce silencing in Drosophila S2 cells but not in mammalian cells (A. A. Caudy, unpublished data). Endogenously encoded miRNAs may also escape PKR surveillance because of their size but perhaps also because of the discontinuity of their duplex structure. Given that shR-NAs of <30 bp were effective inducers of RNAi in Drosophila S2 cells, we tested whether these RNAs could also induce sequence-specific silencing in mammalian cells.

Human embryonic kidney (HEK293T) cells were cotransfected with chemically synthesized shRNAs and with a mixture of firefly and *Renilla* luciferase reporter plasmids. As had been observed in S2 cells, shRNAs were effective inducers of gene silencing. Once again, hairpins designed to mimic let-7 were consistently less effective than were simple hairpin RNAs, and the introduction of mismatches between the antisense strand of the shRNA and the mRNA target abolished silencing (FIG. **40**A; data not shown). Overall, shRNAs were somewhat less potent silencing triggers than were siRNAs. Whereas siRNAs homologous to firefly luciferase routinely yielded ~90%-95% suppression of gene expression, suppres-

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sion levels achieved with shRNAs ranged from 80%-90% on average. As we also observe with siRNAs, the most important determinant of the potency of the silencing trigger is its sequence. We find that roughly 50% of both siRNAs and shRNAs are competent for suppressing gene expression. 5 However, neither analysis of the predicted structures of the target mRNA nor analysis of alternative structures in siRNA duplexes or shRNA hairpins has proved of predictive value for choosing effective inhibitors of gene expression.

We have adopted as a standard, shRNA duplexes contain- 10 ing 29 bp. However, the size of the helix can be reduced to ~25 nt without significant loss of potency. Duplexes as short as 22 bp can still provoke detectable silencing, but do so less efficiently than do longer duplexes. In no case did we observe a reduction in the internal control reporter (*Renilla* luciferase) that would be consistent with an induction of nonspecific dsRNA responses.

The ability of shRNAs to induce gene silencing was not confined to 293T cells. Similar results were also obtained in a variety of other mammalian cell lines, including human can- 20 cer cells (HeLa), transformed monkey epithelial cells (COS-1), murine fibroblasts (NIH 3T3), and diploid human fibroblasts (IMR90; FIG. 40; data not shown).

C. Synthesis of Effective Inhibitors of Gene Expression Using T7 RNA Polymerse.

The use of siRNAs to provoke gene silencing is developing into a standard methodology for investigating gene function in mammalian cells. To date, siRNAs have been produced exclusively by chemical synthesis (e.g., Caplen et al., Proc. Natl. Acad. Sci. 98: 9742-9747, 2001; Elbashir et al., Nature 30 411: 494-498, 2001). However, the costs associated with this approach are significant, limiting its potential utility as a tool for investigating in parallel the functions of large numbers of genes. Short hairpin RNAs are presumably processed into active siRNAs in vitro by Dicer. Thus, these may be more 35 tolerant of terminal structures, both with respect to nucleotide overhangs and with respect to phosphate termini. We therefore tested whether shRNAs could be prepared by in vitro transcription with T7 RNA polymerase.

Transcription templates that were predicted to generate 40 siRNAs and shRNAs similar to those prepared by chemical RNA synthesis were prepared by DNA synthesis (FIG. 41A, C). These were tested for efficacy both in S2 cells (data not shown) and in human 293 cells (FIG. 41B,D). Overall, the performance of the T7-synthesized hairpin or siRNAs closely 45 matched the performance of either produced by chemical synthesis, both with respect to the magnitude of inhibition and with respect to the relative efficiency of differing sequences. Because T7 polymerase prefers to initiate at twin guanosine residues, however, it was critical to consider ini- 50 tiation context when designing in vitro transcribed siRNAs (FIG. 41B). In contrast, shRNAs, which are processed by Dicer (see FIG. 39C), tolerate the addition of these bases at the 5' end of the transcript.

Studies in Drosophila embryo extracts indicate that siR- 55 NAs possess 5' phosphorylated termini, consistent with their production by an RNase III family nuclease. In vitro, this terminus is critical to the induction of RNAi by synthetic RNA oligonucleotides (Elbashir et al., EMBO J. 20: 6877-6888, 2001; Nykanen et al., Cell 107: 309-321, 2001). 60 Chemically synthesized siRNAs are nonphosphorylated, and enzymatic addition of a 5' phosphate group in vitro prior to transfection does not increase the potency of the silencing effect (A. A. Caudy, unpublished data). This suggests either that the requirement for phosphorylated termini is less strin- 65 gent in mammalian cells or that a kinase efficiently phosphorylates siRNAs in vitro. RNAs synthesized with T7 RNA

polymerase, however, possess 5' triphosphate termini. We therefore explored the possibility of synthesizing siRNAs with T7 polymerase followed by treatment in vitro with pyrophosphatase to modify the termini to resemble those of siR-NAs. Surprisingly, monophosphorylated siRNAs (data not shown) were as potent in inducing gene silencing as transcription products bearing triphosphate termini (FIG. 41B). This may suggest either that the requirement for monophosphorylated termini is less stringent in mammalian cells or that siRNAs are modified in vitro to achieve an appropriate terminal structure.

Considered together, our data suggest that both shRNAs and siRNA duplexes can be prepared by synthesis with T7 RNA polymerase in vitro. This significantly reduces the cost of RNAi in mammalian cells and paves the way for application of RNAi on a whole-genome scale.

D. Transcription of Small Hairpin RNAs In vitro by RNA Polymerase III.

Although siRNAs are an undeniably effective tool for probing gene function in mammalian cells, their suppressive effects are by definition of limited duration. Delivery of siR-NAs can be accomplished by any of a number of transient transfection methodologies, and both the timing of peak suppression and the recovery of protein levels as silencing decays can vary with both the cell type and the target gene. Therefore, one limitation on siRNAs is the development of continuous cell lines in which the expression of a desired target is stably silenced.

Hairpin RNAs, consisting of long duplex structures, have been proved as effective triggers of stable gene silencing in plants, in C. elegans, and in Drosophila (Kennerdell et al., Nat. Biotechnol. 18: 896-898, 2000; Smith et al., Nature 407: 319-320, 2000; Tavernarakis et al., Nat. Genet. 24: 180-183, 2000). We have recently shown stable suppression of gene expression in cultured mammalian cells by continuous expression of a long hairpin RNA (Paddison et al., Proc. Natl. Acad. Sci. 99: 1443-1448, 2002). However, the scope of this approach was limited by the necessity of expressing such hairpins only in cells that lack a detectable PKR response. In principle, shRNAs could bypass such limitations and provide a tool for evoking stable suppression by RNA in mammalian somatic cells.

To test this possibility, we initially cloned sequences encoding a firefly luciferase shRNA into a CMV-based expression plasmid. This was predicted to generate a capped, polyadenylated RNA polymerase II transcript in which the hairpin was extended on both the 5' and 3' ends by vector sequences and poly(A). This construct was completely inert in silencing assays in 293T cells.

During our studies on chemically and T7-synthesized shR-NAs, we noted that the presence of significant single-stranded extensions (either 5' or 3' of the duplex) reduced the efficacy of shRNAs. We therefore explored the use of alternative promoter strategies in an effort to produce more defined hairpin RNAs. In particular, RNA polymerase III promoters have well-defined initiation and termination sites and naturally produce a variety of small, stable RNA species. Although many Pol III promoters contain essential elements within the transcribed region, limiting their utility for our purposes; class III promoters use exclusively nontranscribed promoter sequences. Of these, the U6 snRNA promoter and the H1 RNA promoter have been well studied (Lobo et al., Nucleic Acids Res. 18: 2891-2899, 1990; Hannon et al., J. Biol. Chem. 266: 22796-22799, 1991; Chong et al., J. Biol. Chem. 276: 20727-20734, 2001).

By placing a convenient cloning site immediately behind the U6 snRNA promoter, we have constructed pShh-1, an expression vector in which short hairpins are harnessed for gene silencing. Into this vector either of two shRNA sequences derived from firefly luciferase were cloned from synthetic oligonucleotides. These were cotransfected with firefly and Renilla luciferase expression plasmids into 293T cells. One of the two encoded shRNAs provoked effective silencing of firefly luciferase without altering the expression of the internal control (FIG. 42C). The second encoded shRNA also produced detectable, albeit weak, repression. In both cases, silencing was dependent on insertion of the shRNA in the correct orientation with respect to the promoter (FIG. 42C; data not shown). Although the shRNA itself is bilaterally symmetric, insertion in the incorrect orientation would affect Pol III termination and is predicted to produce a hairpin with both 5' and 3' single-stranded extensions. Similar results were also obtained in a number of other mammalian cell lines including HeLa, COS-1, NIH 3T3, and IMR90 (FIG. 42; data not shown). pShh1-Ff1 was, however, incapable of effecting suppression of the luciferase reporter in 20 duced in vitro using chemically synthesized DNA oligo-Drosophila cells, in which the human U6 promoter is inactive.

E. Dicer is Required for shRNA-Mediated Gene Silencing.

As a definitive test of whether the plasmid-encoded shR-NAs brought about gene silencing via the mammalian RNAi 25 pathway, we assessed the dependence of suppression on an essential component of the RNAi pathway. We transfected pShh1-Ff1 along with an siRNA homologous to human Dicer. FIG. 43 shows that treatment of cells with Dicer siR-NAs is able to completely depress the silencing induced by 30 pShh1-Ff1. Addition of an unrelated siRNA had no effect on the magnitude of suppression by pShh1-Ff1. Importantly, Dicer siRNAs had no effect on siRNA-induced silencing of firefly luciferase. These results are consistent with shRNAs operating via an RNAi pathway similar to those provoked by 35 stRNAs and long dsRNAs. Furthermore, it suggests that siRNA-mediated silencing is less sensitive to depletion of the Dicer enzyme.

F. Stable shRNA-Mediated Gene Silencing of an Endogenous Gene.

The ultimate utility of encoded short hairpins will be in the creation of stable mutants that permit the study of the resulting phenotypes. We therefore tested whether we could create a cellular phenotype through stable suppression. Expression of activated alleles of the ras oncogene in primary mouse 45 embryo fibroblasts (MEFs) induces a stable growth arrest that resembles, as a terminal phenotype, replicative senescence (Serrano et al., Cell 88: 593-602, 1997). Cells cease dividing and assume a typical large, flattened morphology. Senescence can be countered by mutations that inactivate the p53 tumor 50 suppressor pathway (Serrano et al. 1997, supra). As a test of the ability of vector-encoded shRNAs to stably suppress an endogenous cellular gene, we generated a hairpin that was targeted to the mouse p53 gene. As shown in FIG. 44, MEFs transfected with pBabe-RasV12 fail to proliferate and show a 55 senescent morphology when cotransfected with an empty control vector. As noted previously by Serrano et al., the terminally arrested state is achieved in 100% of drug-selected cells in culture by 8 d post-transfection. However, upon cotransfection of an activated ras expression construct with 60 the pShh-p53, cells emerged from drug selection that not only fail to adopt a senescent morphology but also maintain the ability to proliferate for a minimum of several weeks in culture (FIG. 44). These data strongly suggest that shRNA expression constructs can be used for the creation of continu-65 ous mammalian cell lines in which selected target genes are stably suppressed.

G. Simultaneous Introduction of Multiple Hairpin RNAs Does Not Produce Synergy.

In an attempt to further understand the mechanisms by which short hairpins suppress gene expression, we examined the effects of transfecting cells with a mixture of two different short hairpins corresponding to firefly luciferase. FIG. 45 summarizes the results of experiments which suggest that there is no synergistic affects on suppression of firefly luciferase gene expression obtained when cells are exposed to a mixture of such short hairpins.

Methods:

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Cell culture. HEK 293T, HeLa, COS-1, MEF, and IMR90 cells were cultured in DMEM (GIBCO BRL) supplemented with 10% heat-inactivated fetal bovine serum (FBS) and 1% antibiotic/antimycotic solution (GIBCO BRL). NIH 3T3 cells were cultured in DMEM supplemented with 10% heatinactivated calf serum and 1% antibiotic/antimycotic solution

RNA preparation. Both shRNAs and siRNAs were pronucleotide templates (Sigma) and the T7 Megashortscript kit (Ambion). Transcription templates were designed such that they contained T7 promoter sequences at the 5' end. shRNA transcripts subjected to in vitro Dicer processing were synthesized using a Riboprobe kit (Promega). Chemically synthesized RNAs were obtained from Dharmacon, Inc.

Transfection and gene silencing assays. Cells were transfected with indicated amounts of siRNA, shRNA, and plasmid DNA using standard calcium phosphate procedures at 50%-70% confluence in 6-well plates. Dual luciferase assays (Promega) were carried out by cotransfecting cells with plasmids containing firefly luciferase under the control of the is SV40 promoter (pGL3-Control, Promega) and Renilla luciferase under the control of the SV40 early enhancer/ promoter region (pSV40, Promega). Plasmids were cotransfected using a 1:1 ratio of pGL3-Control (250 ng/well) to pRL-SV40. RNAi in S2 cells was performed as previously described (Hammond et al., Nature 404: 293-296, 2000). For stable silencing, primary MEFs (a gift from S. Lowe, Cold Spring Harbor Laboratory, NY) were cotransfected using Fugene 6 with pBabe-Ha-rasV12 and pShh-p53 (no resistance marker), according to the manufacturer's recommendations. Selection was for the presence of the activated HarasV12 plasmid, which carries a puromycin-resistance marker. The pShh-p53 plasmid was present in excess, as is standard in a cotransfection experiment. We have now generated a version of the U6 promoter vector (pSHAG-1) that is compatible with the GATEWAY system (Invitrogen), and this can be used to transport the shRNA expression cassette into a variety of recipient vectors that carry cis-linked selectable markers. Furthermore, we have validated delivery of shRNAs using retroviral vectors. Updated plasmid information can be obtained at:

http://www.cshl.org/public/science/hannon.html.

Plasmids expressing hairpin RNAs. The U6 promoter region from -265 to +1 was amplified by PCR, adding 5' KpnI and 3' EcoRV sites for cloning into pBSSK+. A linker/terminator oligonucleotide set bearing the U6 terminator sequence and linker ends of 5' EcoRV and 3' NotI was cloned into the promoter construct, resulting in a U6 cassette with an EcoRV site for insertion of new sequences. This vector has been named pShh1. Blunt-ended, double-stranded DNA oligonucleotides encoding shRNAs with between 19 and 29 bases of homology to the targeted gene were ligated into the EcoRV site to produce expression constructs. The oligonucleotide sequence used to construct Ff1 was: TCCAATTCAGCGG-GAGCCACCTGATGAAGCTTGATCGGGTG-

GCTCTCGCTGAGTTGGAATCCATTTTTTTT (SEQ ID NO: 38). This sequence is preceded by the sequence GGAT, which is supplied by the vector, and contains a tract of more than five Ts as a Pol III terminator.

In vitro Dicer assays. In vitro assays for Dicer activity were ⁵ performed as described (Bernstein et al., *Nature* 409: 363-366, 2001).

EXAMPLE 7

Encoded Short Hairpins Function In vitro

An object of the present invention is to improve methods for generating siRNAs and short hairpins for use in specifically suppressing gene expression. Example 6 demonstrates that siRNAs and short hairpins are highly effective in specifically suppressing gene expression. Accordingly, it would be advantageous to combine the efficient suppression of gene expression attainable using short hairpins and siRNAs with a method to encode such RNA on a plasmid and express it either transiently or stably. B. How had not be sible that with a PI demonstrates that robus sible in c PI demonstrates sible in c PI demonst

FIG. **46** demonstrates that short hairpins encoded on a plasmid are effective in suppressing gene expression. DNA oligonucleotides encoding 29 nucleotide hairpins corresponding to firefly luciferase were inserted into a vector con-25 taining the U6 promoter. Three independent constructs were examined for their ability to specifically suppress firefly luciferase gene expression in 293T cells. siOligo1-2, siO-ligo1-6, and siOligo1-19 (construct in the correct orientation) each suppressed gene expression as effectively as siRNA. In ³⁰ contrast, siOligo1-10 (construct in the incorrect orientation) did not suppress gene expression. Additionally, an independent construct targeted to a different portion of the firefly luciferase gene did not effectively suppress gene expression in either orientation (SiOligo2-23, SiOligo2-36). ³⁵

The results summarized in FIG. **46** demonstrate that transient expression of siRNAs and short hairpins encoded on a plasmid can efficiently suppress gene expression. One of skill can choose from amongst a range of vectors to either transiently or stably express an siRNA or short hairpin. Non-⁴⁰ limiting examples of vectors and strategies to stably express short dsRNAs are presented in FIGS. **47-49**.

EXAMPLE 8

dsRNA Suppression in the Absence of a PKR Response

One potential impediment to the use of RNAi to suppress gene expression in some cell types, is the non-specific PKR 50 response that can be triggered by long dsRNAs. Numerous mammalian viruses have evolved the ability to block PKR in order to aid in the infection of potential host cells. For example, adenoviruses express RNAs which mimic dsRNA but do not activate the PKR response. Vaccinia virus uses two 55 strategies to evade PKR: the expression of E3L which binds and masks dsRNA; the expression of K3L to mimic the natural PKR substrate eIF2 α .

Our understanding of the mechanisms by which viruses avoid the PKR response allows us to design approaches to 60 circumvent the PKR response in cell types in which in might be advantageous to suppression gene expression with long dsRNAs. Possible approaches include treating cells with an agent that inhibits protein kinase RNA-activated (PKR) apoptosis, such as by treatment with agents which inhibit expression of PKR, cause its destruction, and/or inhibit the kinase activity of PKR. Accordingly, RNAi suppression of gene

expression in such cell types could involve first inhibiting the PKR response, and then delivering a dsRNA identical or similar to a target gene.

A. In a murine myoblast cell line, C2C12, we noted that the cells responded to long dsRNAs with a mixture of specific and non-specific (presumably PKR) responses. In order to attenuate the non-specific PKR response while maintaining the robust and specific suppression due to the long dsRNA, C2C12 cells were transfected with a vector that directs K3L

10 expression. This additional step successfully attenuated the PKR response, however expression of K3L protein had no effect on the magnitude of specific inhibition.

B. However, since the efficacy of such a two step approach had not been previously demonstrated, it was formerly possible that dsRNA suppression would not be possible in cells with a PKR response. FIG. **50** summarizes results which demonstrate that such a two step approach is possible, and that robust and specific dsRNA mediated suppression is possible in cells which had formerly possessed a robust PKR response.

Briefly, dual luciferase assay were carried out as described in detail above. The experiments were carried out using PKR^{-/-} MEFs harvested from E13.5 PKR^{-/-} mouse embryos. MEFs typically have a robust PKR response, and thus treatment with long dsRNAs typically results in non-specific suppression of gene expression and apoptosis. However, in PKR^{-/-} cells examined 12, 42, and 82 hours after transfection, expression of ds*Renilla* luciferase RNA specifically suppresses expression *Renilla reniformis* (sea pansy) luciferase. This suppression is stable over time.

These results demonstrate that the non-specific PKR response can be blocked without affecting specific suppression of gene expression mediated by dsRNA. This allows the use of long dsRNAs to suppress gene expression in a diverse range of cell types, including those that would be previously intractable due to the confounding influences of the non-specific PKR response to long dsRNA.

EXAMPLE 9

Suppression of Gene Expression using dsRNA which Corresponds to Non-Coding Sequence

Current models for the mechanisms which drive RNAi 45 have suggested that the dsRNA construct must contain coding sequence corresponding to the gene of interest. Although evidence has demonstrated that such coding sequence need not be a perfect match to the endogenous coding sequence (i.e., it may be similar), it has been widely held that the dsRNA construct must correspond to coding sequence. We present evidence that contradicts the teachings of the prior art, and demonstrate that dsRNA corresponding to non-coding regions of a gene can suppress gene function in vitro. These results are significant not only because they demonstrate that dsRNA identical or similar to non-coding sequences (i.e., promoter sequences, enhancer sequences, or intronic sequences) can mediate suppression, but also because we demonstrate the in vitro suppression of gene expression using dsRNA technology in a mouse model.

We generated doubled stranded RNA corresponding to four segments of the mouse tyrosinase gene promoter. Three of these segments correspond to the proximal promoter and one corresponds to an enhancer (FIG. **51**). The tyrosinase gene encodes the rate limiting enzyme involved in the melanin biosynthetic pathway (Bilodeau et al., *Pigment Cell Research* 14: 328-336, 2001). Accordingly, suppression of the tyrosinase gene is expected to inhibit pigmentation.

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Double stranded RNA corresponding to each of the above promoter segments was injected into the pronuclei of fertilized eggs. Pups were born after 19 days. In total 42/136 (31%) of the embryos were carried to term. This number is within the expected range for transgenesis (30-40%). Two pups out of 42^{-5} (5%) appear totally unpigmented at birth, consistent with suppression of tyrosinase function.

Methods:

dsRNA from non-coding promoter region of tyrosinase gene. Four segments of the mouse tyrosinase gene promoter were amplified by PCR using primers which incorporated T7 RNA polymerase promoters into the PCR products (shown in bold—FIG. 51). Sequences of the mouse tyrosinase gene 5' flanking regions were obtained from GenBank (accession 15 number D00439 and X51743). The sequence of the tyrosinase enhancer, located approximately 12 kb upstream of the transcriptional start site, was also obtained from GenBank (accession number X76647).

The sequences of the primers used were as follows: note 20 the sequence of the T7 RNA polymerase promoter is shown in bold:

(a) Tyrosinase enhancer (~12 kb upstream):

(a)	Tyrosinase	enhancer	(~12	kb	upstream)	:		
					(SEQ	ID	NO :	39)

```
5' TAATACGACTCACTATAGGGCAAGGTCATAGTTCCTGCCAGCTG 3'
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(SEO ID NO: 40)
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(b) -1404 to -1007:

(SEO ID NO: 41) 5' TAATACGACTCACTATAGGGTTAAGTTTAACAGGAGAAGCTGGA 3'

(SEQ ID NO: 42) 5' TAATACGACTCACTATAGGGAAATCATTGCTTTCCTGATAATGC 3'

(c) -1003 to -506:

(SEO ID NO: 43) 5' TAATACGACTCACTATAGGGTAGATTTCCGCAGCCCCAGTGTTC 3'

(d) -505 to -85:

(SEQ ID NO: 45) 5' TAATACGACTCACTATAGGGTATTTTAGACTGATTACTTTTATA

A 3'

(SEQ ID NO: 46) 5' TAATACGACTCACTATAGGGTCACATGTTTTGGCTAAGACCTAT 3

PCR products were gel purified from 1% TAE agarose gels 50 using QiaExII Gel Extraction Kit (Qiagen). Double stranded RNA was produced from these templates using T7-Megashortscript Kit (Ambion). Enzymes and unincorporated nucleotides were removed using Qiaquick MinElute PCR Purification Kit. RNA was phenol/chloroform extracted 55 twice, and ethanol precipitated. Pellets were resuspended in injection buffer ((10 mM Tris (pH 7.5), 0.15 nM EDTA (pH 8.0)) at a concentration of 20 ng/ul and run on a 1% TAE agarose gel to confirm integrity.

Generation of mice: An equal mixture of double stranded 60 RNA from each of the above primer sets was injected into the pronuclei of fertilized eggs from C57BL6J mice. A total of 136 injections was performed, and 34 embryos were implanted into each of 4 pseudopregnant CD-1 females. Pups were born after 19 days. In total, 42/136 (31%) of the embryos 65 were carried to term. 2/42 pups (5%) appear totally unpigmented at birth.

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It is not clear whether the RNAi mediated by dsRNA identical or similar to non-coding sequence works via the same mechanism as PTGS observed in the presence of dsRNA identical or similar to coding sequence. However, whether these results ultimately reveal similar or differing mechanisms does not diminish the tremendous utility of the compositions and methods of the present invention to suppress expression of one or more genes in vitro or in vitro.

The present invention demonstrates that dsRNA ranging in length from 20-500 nt can readily suppress expression of target genes both in vitro and in vitro. Furthermore, the present invention demonstrates that the dsRNAs can be generated using a variety of methods including the formation of hairpins, and that these dsRNAs can be expressed either stably or transiently. Finally, the present invention demonstrates that dsRNA identical or similar to non-coding sequences can suppress target gene expression.

EXAMPLE 10

RNA interference in Adult Mice

RNA interference is an evolutionarily conserved surveillance mechanism that responds to double-stranded RNA by sequence-specific silencing of homologous genes. Here we show that transgene expression can be suppressed in adult mice by synthetic small interfering RNAs and by small-hairpin RNAs transcribed in vitro from DNA templates. We also show the therapeutic potential of this technique by demonstrating effective targeting of a sequence from hepatitis C virus by RNA interference in vitro.

Small interfering RNAs (siRNAs) mimic intermediates in the RNA-interference (RNAi) pathway and can silence genes in somatic cells without activating non-specific suppression 35 by double-stranded RNA-dependent protein kinase (Elbashir et al., Nature 411: 494-498, 2001). To investigate whether siRNAs also inhibit gene expression in vitro, we used a modification of hydrodynamic transfection methods (Zhang et al., Hum. Gene Therapy 10: 1735-1737, 1999; Liu et al., Gene Therapy 6: 1258-1266, 1999; Chang et al., J. Virol. 75: 3469-3473, 2001) to deliver naked siRNAs to the livers of adult mice. Either an siRNA derived from firefly luciferase or an unrelated siRNA was co-injected with a luciferase-expression plasmid (for construct description and sequences, see FIG. 52). We monitored luciferase expression in living animals using quantitative whole-body imaging (Contag, et al., Photochem. Photobiol. 66: 523-531, 1997) (see FIG. 53a, 54a), and found that it was dependent on reporter-plasmid dose.

In each experiment, serum measurements of a co-injected human α -1 antitrypsin (hAAT) plasmid (Yant et al., Nature Genet. 25: 3541, 2000) served to normalize transfection efficiency and to monitor non-specific translational inhibition. Average serum concentrations of hAAT after 74 h were similar in all groups.

Our results indicate that there was specific, siRNA-mediated inhibition of luciferase expression in adult mice (P<0.0115) and that unrelated siRNAs had no effect (P<0.864; FIG. 53a, 53b). In 11 independent experiments, luciferase siRNAs reduced luciferase expression (as judged by emitted light) by an average of 81% (±2.2%). These findings indicate that RNAi can downregulate gene expression in adult mice.

As RNAi degrades respiratory syncitial virus RNAs in culture (Bitko et al. 2001, BMC Microbiol. 1: 34), we investigated whether RNAi could be directed against a human pathogenic RNA expressed in a mouse, namely that of hepatitis C virus (HCV). Infection by HCV (an RNA virus that infects 1 in 40 people worldwide) is the most common reason for liver transplantation in the United States and Europe. We fused the NS5B region (non-structural protein 5B, viral-polymerase-encoding region) of this virus with luciferase RNA 5 and monitored RNAi by co-transfection in vitro. An siRNA targeting the NS5B region reduced luciferase expression from the chimaeric HCV NS5B protein-luciferase fusion by 75% (±6.8%; 6 animals per group). This result suggests that it may be feasible to use RNAi as a therapy against other impor-10 tant human pathogens.

Although our results show that siRNAs are functional in mice, delivery remains a major obstacle. Unlike siRNAs, functional small-hairpin RNAs (shRNAs) can be expressed in vitro from DNA templates using RNA polymerase III pro- 15 moters (Paddison et al., Genes Dev. 16: 948-958, 2002; Tuschl, Nature Biotechnol. 20: 446-448, 2002); they are as effective as siRNAs in inducing gene suppression. Expression of a cognate shRNA (pShh1-Ff1) inhibited luciferase expression by up to 98% (+0.6%), with an average suppression of 92.8% ²⁰ (+3.39%) in three independent experiments (see FIG. 54a, 54b). An empty shRNA-expression vector had no effect; reversing the orientation of the shRNA (pShh1-Ff1 rev) insert prevents gene silencing because it alters the termination by RNA polymerase III and generates an improperly structured 25 shRNA. These findings indicate that plasmid-encoded shR-NAs can induce a potent and specific RNAi response in adult mice.

RNAi may find application in functional genomics or in identifying targets for designer drugs. It is a more promising 30 system than gene-knockout mice because groups of genes can be simultaneously rendered ineffective without the need for time-consuming crosses. Gene therapy currently depends on the ectopic expression of exogenous proteins; however, RNAi may eventually complement this gain-of-function approach 35 by silencing disease-related genes with DNA constructs that direct the expression of shRNAs. Our method of RNAi delivery could also be tailored to take advantage of developing viral and non-viral gene-transfer vectors in a clinical context.

EXAMPLE 11

Germ-line transmission of RNAi in mice

RNA molecules that have been found in a diverse array of eukaryotes, including mammals. miRNA precursors share a characteristic secondary structure, forming short 'hairpin' RNAs. Genetic and biochemical studies have indicated that miRNAs are processed to their mature forms by Dicer, an 50 RNAse III family nuclease, and function through RNA-mediated interference (RNAi) and related pathways to regulate the expression of target genes (Hannon, Nature 418: 244-251, 2002; Pasquinelli et al., Ann. Rev. Cell. Dev. Biol. 18: 495-513, 2002). Recently, we and others have remodeled miRNAs 55 to permit experimental manipulation of gene expression in mammalian cells and have dubbed these synthetic silencing triggers 'short hairpin RNAs' (shRNAs) (Paddison et al., Cancer Cell 2: 17-23, 2002). Silencing by shRNAs requires the RNAi machinery and correlates with the production of 60 small interfering RNAs (siRNAs), which are a signature of RNAi.

Expression of shRNAs can elicit either transient or stable silencing, depending upon whether the expression cassette is integrated into the genome of the recipient cultured cell (Pad- 65 dison et al., Cancer Cell 2: 17-23, 2002). shRNA expression vectors also induce gene silencing in adult mice following

transient delivery (Lewis et al., Nat. Genet. 32: 107-108, 2002; McCaffrey et al., Nature 418: 38-39, 2002). However, for shRNAs to be a viable genetic tool in mice, stable manipulation of gene expression is essential. Hemann and colleagues have demonstrated long-term suppression of gene expression in vitro following retroviral delivery of shRNA-expression cassettes to hematopoietic stem cells (Hemann et al., Nat. Genet. in the press, 2003). Here we sought to test whether shRNA-expression cassettes that were passed through the mouse germ-line could enforce heritable gene silencing.

We began by taking standard transgenesis approaches (Gordon et al., Methods Enzymol. 225: 747-771, 1993) using shRNAs directed against a variety of targets with expected phenotypes, including the genes encoding tyrosinase (albino), myosin VIIIa (shaker), Bmp-5 (crinkled ears), Hox a-10 (limb defects), homogentisate 1,2,-dioxygenase (urine turns black upon exposure to air), Hairless (hair loss) and melanocortin 1 receptor (yellow). Three constructs per gene were linearized and injected into pronuclei to produce transgenic founder animals. Although we noted the presence of the transgene in some animals, virtually none showed a distinct or reproducible phenotype that was expected for a hypomorphic allele of the targeted gene.

Therefore, we decided to take another approach: verifying the presence of the shRNA and its activity toward a target gene in cultured embryonic stem (ES) cells and then asking whether those cells retained suppression in a chimeric animal in vitro. We also planned to test whether such cells could pass a functional RNAi-inducing construct through the mouse germ-line. For these studies, we chose to examine a novel gene, Neil1, which is proposed to have a role in DNA repair. Oxidative damage accounts for 10,000 DNA lesions per cell per day in humans and is thought to contribute to carcinogenesis, aging and tissue damage following ischemia (Ames et al., Proc. Natl. Acad. Sci. USA 90: 7915-7922, 1993; Jackson et al., Mutat. Res. 477: 7-21, 2001). Oxidative DNA damage includes abasic sites, strand breaks and at least 20 oxidized bases, many of which are cytotoxic or pro-mutagenic (Dizdaroglu et al., Free Radic. Biol. Med. 32: 1102-1115, 2002). 40 DNA N-glycosylases initiate the base excision repair pathway by recognizing specific bases in DNA and cleaving the sugar base bond to release the damaged base (David et al., Chem. Rev. 98: 1221-1262, 1998).

The Neil genes are a newly discovered family of mamma-MicroRNA molecules (miRNAs) are small, noncoding 45 lian DNA N-glycosylases related to the Fpg/Nei family of proteins from Escherichia coli (Hazra et al., Proc. Natl. Acad. Sci. USA 99: 3523-3528, 2002; Bandaru et al., DNA Repair 1: 517-529, 2002). Neil1 recognizes and removes a wide spectrum of oxidized pyrimidines and ring-opened purines from DNA, including thymine glycol (Tg), 2,6-diamino-4-hydroxy-5-formamidopyrimidine (FapyG) and 4,6-diamino-5formidopyrimidine (FapyA). Tg, FapyG and FapyA are among the most prevalent oxidized bases produced by ionizing radiation (Dizdaroglu et al. Free Radic. Biol. Med. 32: 1102-1115, 2002) and can block replicative DNA polymerases, which can, in turn, cause cell death (Asagoshi et al. J. Biol. Chem. 277: 14589-14597, 2002; Clark et al., Biochemistry 28: 775-779, 1989).

> The Nth1 and Ogg1 glycosylases each remove subsets of oxidized DNA bases that overlap with substrates of Neil1 (Nishimura, Free Radic. Biol. Med. 32: 813-821, 2002; Asagoshi et al., Biochemistry 39: 11389-11398, 2000; Dizdaroglu et al., Biochemistry 38: 243-246, 1999). However, mice with null mutations in either Nthl (Ocampo et al., Mol. Cell. Biol. 22: 6111-6121, 2002; Takao et al., EMBO J. 21: 3486-3493, 2002) or Oggl (Klungland et al., Proc. Natl. Acad. Sci. USA 96: 13300-13305, 1999; Minowa et al., Proc. Natl. Acad.

Sci. USA 97: 4156-4161, 2000) are viable, raising the possibility that Neil1 activity tempers the loss of Nth1 or Ogg1. Recently, a residual Tg-DNA glycosylase activity in Nthl^{-/-} mice has been identified as Neil1 (Takao et al., J. Biol. Chem. 277: 4220542213, 2002).

We constructed a single shRNA expression vector targeting a sequence near the 5' end of the Neil1 coding region. This vector was introduced into mouse embryonic stem cells by electroporation, and individual stable integrants were tested for expression of the Neill protein (see the weblink: http:// www.cshl.edu/public/SCIENCE/hannon.btml for detailed procedures). The majority of cell lines showed an -80% reduction in Neil1 protein, which correlated with a similar change in levels of Neill mRNA. These cells showed an approximately two-fold increase in their sensitivity to ioniz-15 ing radiation, consistent with a role for Neil1 in DNA repair. Two independent ES cell lines were injected into BL/6 blastocysts, and several high-percentage chimeras were obtained. These chimeras were out-crossed, and germ-line transmission of the shRNA-expression construct was noted in numer- 20 ous F_1 progeny (13/27 for one line and 12/26 for the other).

To determine whether the silencing of Neil1 that had been observed in ES cells was transmitted faithfully, we examined Neil1 mRNA and protein levels. Both were reduced by approximately the same extent that had been observed in the 25 engineered ES cells (FIGS. 55, 56). Consistent with this having occurred through the RNAi pathway, we detected the presence of siRNAs corresponding to the shRNA sequence in F1 animals that carry the shRNA expression vector but not in those that lack the vector (FIG. 56b).

The aforementioned data demonstrate that shRNAs can be used to create germ-line transgenic mice in which RNAi has silenced a target gene. These observations open the door to using of RNAi as a complement to standard knock-out methodologies and provide a means to rapidly assess the conse-35 quences of suppressing a gene of interest in a living animal. Coupled with activator-dependent U6 promoters, the use of shRNAs will ultimately provide methods for tissue-specific, inducible and reversible suppression of gene expression in mice.

EXAMPLE 12

Dicer Cleaves a Single siRNA From the End of Each shRNA

We performed the following experiments in order to understand how Dicer processes shRNAs, and in order to permit comparison of the efficiency of different silencing triggers.

We began by producing ~70 chemically synthesized shR- 50 NAs, targeting various endogenous genes and reporters. We initially focused on a detailed analysis of one set of four shRNAs that target firefly luciferase (FIG. 57a). The individual species differed in two distinct ways. First, the stems of the shRNAs were either 19 or 29 nucleotides in length. Sec- 55 ond, each shRNA either contained or lacked a 2 nucleotide 3' overhang, identical to that produced by processing of primiRNAs by Drosha. Each species was end-labeled by enzymatic phosphorylation and incubated with recombinant human Dicer. The 29 nt. shRNA bearing the 3' overhang was 60 converted almost quantitatively into a 22 nt product by Dicer (FIG. 57b). In contrast, the 29 nt shRNA that lacked the overhang generated very little 22 nt labeled product, although there was a substantial depletion of the starting material. Neither 19 nt shRNA was cleaved to a significant extent by the 65 Dicer enzyme. This result was not due to the lack of dsRNA in the 19 nt shRNAs as all shRNA substrates were efficiently

cleaved by bacterial RNAseIII (FIG. 57c). Parallel analysis of identical shRNA substrates that were produced by in vitro transcription with T7 polymerase and uniformly labeled clarified the results obtained with end-labeled substrates (not shown). Specifically, 19 nt shRNAs were not cleaved. However, both the overhung and the blunt 29 nucleotide shRNAs gave rise to 22 nt products, albeit at reduced levels in the latter case. These results suggest that Dicer requires a minimum stem length for productive cleavage. Furthermore, they are consistent with a hypothesis that the presence of a correct 3' overhang enhances the efficiency and specificity of cleavage, directing Dicer to cut ~22 nucleotides from the end of the substrate.

A number of previous studies have suggested that Dicer might function as an end-recognizing endonuclease, without positing a role for the 3' overhang. Processive Dicer cleavage was first implied by in vitro analysis of RISC cleavage (Zamore et al., Cell 101: 25-33, 2000). In Drosophila embryo extracts programmed for RISC assembly using a long dsRNA, phased cleavage sites occurred at approximately 22 nucleotide intervals along an mRNA substrate. Similarly, analysis of C. elegans Dicer in whole cell extracts (Ketting et al., Genes Dev 15: 2654-9, 2001) or purified human Dicer in vitro (Zhang et al., EMBO J. 21: 5875-85, 2002) showed accumulation of discretely sized cleavage intermediates. Blocking of the ends of dsRNAs using either fold-back structures or chimeric RNA-DNA hybrids attenuated, but did not abolish, the ability of human Dicer to generate siRNAs (Zhang et al., EMBO J. 21: 5875-85, 2002). Finally, Lund and colleagues suggested that Dicer cleaved ~22 nt from the blunt end of an extended pre-miRNA, designed in part to mimic a pri-miRNA (see Lund et al., Science 303: 95-8, 2004).

Our results suggest that while the overhang is not obligate for Dicer processing of its substrates (see Zhang et al., EMBO J21: 5875-85, 2002, and FIG. 57b), this structure does aid in determining the specificity of cleavage. Furthermore, time courses of processing of blunt and overhung 29 nt shRNAs do show a more rapid processing of the overhung substrate if reactions are performed in the linear range for the enzyme 40 (not shown).

To map more precisely the position of Dicer cleavage in the shRNA, we used primer extension analysis. The shRNAs described in FIG. 57a were reacted with recombinant human Dicer as shown in FIG. 57b. Total RNA was recovered from 45 the processing reactions and used in primer extension assays. Consistent with direct analysis of the RNA, shRNAs with 19 nt stems failed to vield discrete extension products. The extension products that would be predicted from the unreacted substrate are not seen due to secondary structure of the uncleaved precursor (FIG. 58a). Both of the 29 nt shRNAs give rise to extension products with the overhung precursor giving a relatively discrete product of 20 nucleotides, as predicted for a cleavage precisely 22 nt from the 3' end of the substrate (FIG. 58b). The blunt-ended precursor gave a distribution of products, as was predicted from the analysis of uniformly and end-labeled RNAs.

In Drosophila, Dicer2 acts in a complex with a doublestranded RNA binding protein, R2D2 (Liu et al., Science 301: 1921-5, 2003). Similarly, biochemical evidence from C. elegans suggests that its Dicer binds RDE-1, RDE-4 and DRH-1 (Tabara et al., Cell 109: 861-71, 2002). These results suggest that the human enzyme might also function as part of a larger complex, which could show altered cleavage specificities. Therefore, we also mapped the cleavage of our shR-NAs in vitro. Precursors were transfected into cells, and the processed form of each was isolated by virtue of its coimmunoprecipitation with human Argonaute proteins, Ago1

and Ago2. Primer extension suggested identical cleavage specificities upon exposure of shRNAs to Dicer in vitro and in living cells (FIG. 58c).

EXAMPLE 13

shRNAs are Generally More Effective Than siRNAs

Since each shRNA gave rise to a single, predictable 22 nt sequence in RISC, we compared the efficacy of shRNAs and 10 siRNAs. Toward this goal, we selected 43 sequences targeting a total of 6 genes (3-9 sequences per gene). For each sequence, we synthesized a 21 nt siRNA (19 base stem) and 19 and 29 nt shRNAs that were predicted to give Dicer products that were either identical to the siRNAs or that differed 15 by the addition of one 3' nucleotide (FIG. 59a). Each RNA species was transfected into HeLa cells at a relatively high concentration (100 nM). The level of suppression was determined by semi-quantitative RT-PCR and the performance of each shRNA compared to the performance of the correspond- 20 ing siRNA (FIG. 59b). Comparison of 19 nt shRNAs with siRNAs revealed that there was little difference in endpoint inhibition with these species (left panel). A comparison of siRNAs with 29 nt shRNAs gave a different result. Clustering of the comparison data points above the diagonal indicated 25 consistently better endpoint inhibition with the 29 nt shRNAs (right panel).

The generally better endpoint inhibition observed with 29 nt shRNAs led us to investigate in more detail the performance of these silencing triggers as compared to siRNAs. 30 Seventeen complete sets comprising an siRNA, a 19 nt shRNA and a 29 nt shRNA were examined for suppression in titration experiments. In all cases, the 19 nt shRNAs performed as well as or worse than the corresponding siRNAs. In contrast, 29 nt shRNAs exceeded the performance of siRNAs 35 in the majority of cases. Four representative examples, targeting MAPK-14 are shown in FIG. 59c. Several 29 nt shR-NAs (e.g., see MAPK14-1) showed both significantly greater endpoint inhibition and efficacy at lower concentrations than the corresponding siRNA. In other cases (e.g., see 40 MAPK14-2 and MAPK-14-4), the maximal level of suppression for the 29 nt. shRNA was approximately two-fold greater than the maximal level of suppression for the corresponding siRNA. Finally, in a minority of cases, exemplified by MAPK14-3, the performance of the three types of silencing 45 triggers was similar. Importantly, in only one case out of 17 did we note that the 29 nt shRNA with a 2 nt. 3' overhang performed less effectively than the corresponding siRNA (data not shown).

EXAMPLE 14

siRNAs and shRNAs Give Similar Profiles of Off-Target Effects at Saturation

Sequence specificity is a critical parameter in RNAi experiments. Microarray analysis has revealed down-regulation of many non-targeted transcripts following transfection of siR-NAs into HeLa cells (Jackson et al., *Nat Biotechnol* 21: 635-7, 2003). Notably, these gene expression signatures differed 60 between different siRNAs targeting the same gene. Many of the "off target" transcripts contained sites of partial identity to the individual siRNA, possibly explaining the source of the effects. To examine potential off-target effects of synthetic shRNAs, we compared shRNA signatures with those of siR-NAs derived from the same target sequence. Using microarray gene expression profiling, we obtained a genome-wide

view of transcript suppression in response to siRNA and shRNA transfection. FIG. **60** (a and b) shows heat maps of signatures produced in HeLa cells 24 hours after transfection of 19 nt and 29 nt shRNAs compared with those generated by corresponding siRNAs. 19 nt shRNAs produced signatures that resembled, but were not identical to, those of corresponding siRNAs. In contrast, the signatures of the 29 nt shRNAs (FIG. **60**a) were nearly identical to those of the siRNAs.

These results indicate that off target effects may be inherent to the use of synthetic RNAs for eliciting RNAi and cannot be ameliorated by intracellular processing of an upstream precursor in the RNAi pathway. Furthermore, the agreement between the signatures of 29 nt shRNAs and siRNAs is consistent with precise intracellular processing of the shRNA to generate a single siRNA rather than a random sampling of the hairpin stem by Dicer. The basis of the divergence between the signature of the 19 nt shRNA and the corresponding siRNA is presently unclear.

Considered together, our results indicate that chemically synthesized, 29 nt shRNAs are often substantially more effective triggers of RNAi than are siRNAs. While not wishing to be bound by any particular theory, a possible mechanistic explanation for this finding may lie in the fact that 29 nt shRNAs are substrates for Dicer processing both in vitro and in vitro. We originally suggested that siRNAs might be passed from Dicer to RISC in a solid state reaction on the basis of an interaction between Dicer and Argonaute2 in Drosophila S2 cell extracts (Hammond et al., Science 293: 1146-50, 2001). More recently, results from several laboratories have strongly suggested a model for assembly of the RNAi effector complex in which a multi-protein assembly containing Dicer and accessory proteins interacts with an Argonaute protein and actively loads one strand of the siRNA or miRNA into RISC (Lee et al., Cell 117: 69-81, 2004; Pham et al., Cell 117: 83-94, 2004; Tomari et al., Cell 116: 831-41, 2004). Our result is consistent with a model where Dicer substrates, derived from nuclear processing of pri-miRNAs or cytoplasmic delivery of pre-miRNA mimetics, are loaded into RISC more effectively than siRNAs. Our data support such a model, since it is not the hairpin structure of the synthetic RNA that determines its increased efficacy but the fact that the shRNA is a Dicer substrate that correlates with enhanced potency. Again, not wishing to be bound by any particular theory, it is possible that even siRNAs enter RISC via a Dicer-mediated assembly pathway. Our data may also reflect an increased affinity of Dicer for longer duplexes substrates. Alternatively, hairpin RNAs, such as miRNA precursors, might interact with specific cellular proteins that facilitate delivery of these 50 substrates to Dicer, whereas siRNAs might not benefit from such chaperones.

Overall, our results provide an improved method for triggering RNAi in mammalian cells that uses higher potency RNAi triggers. Mapping the single 22 nt sequence that 55 appears in RISC from each of these shRNAs now permits the combination of this more effective triggering method with rules for effective siRNA design. Methods

RNA Sequence Design

Each set of RNAs began with the choice of a single 19-mer sequence. These 19mers were used directly to create siRNAs. To create shRNAs with 19-mer stems, we appended a 4-base loop (either CCAA or UUGG) to the end of the 19-mer sense strand target sequence followed by the 9-mer complementary sequence and a UU overhang. To create 29-mer stems, we increased the length of the 19-mer target sequence by adding 1 base upstream and 9 bases downstream from the target region and used the same loop sequence and UU overhang. All synthetic RNA molecules used in this study were purchased from Dharmacon.

Dicer Processing

RNA hairpins corresponding to luciferase were end-la- 5 beled with [γ -³²P] ATP and T4 Polynucleotide kinase. 0.1 pmoles of RNA were then processed with 2 units of Dicer (Stratagene) at 37° C. for 2 hours. Reaction products were trizol extracted, isopropanol precipitated, run on an 18% polyacrylamide, 8M urea denaturing gel. For RNaseIII diges- 10 tion, 0.1 pmoles were digested with 1 unit of E. coli RNase III (NEB) for 30 minutes at 37° C. and analyzed as described above. For primer extension analysis, hairpins were processed with Dicer at 37° C. for 2 hours, followed by heat inactivation of the enzyme. DNA primers were 5' labeled with 15 PNK and annealed to 0.05 pmole of RNA as follows: 95° C. for one minute, 10 minutes at 50° C. and then 1 min on ice. Extensions were carried out at 42° C. for 1 hour using MoMLV reverse transcriptase. Products were analyzed by electrophoresis on a 8M Urea/20% polyacrylamide gel. For 20 analysis of in vitro processing, LinxA cells were transfected in 10 cm plates using Mirus TKO (10 µg hairpin RNA) or Mirus LT4 reagent for DNA transfection (12 µg of tagged Ago1/Ago 2 DNA; J. Liu, unpublished). Cells were lysed and immunoprecipitated after 48 hours using with myc Antibody 25 (9E14) Antibody. Immuno-precipitations were washed 3× in

lysis buffer and treated with DNase for 15 minutes. Immunoprecipitates were then primer extended as described above. siRNA and shRNA Transfections and mRNA Quantitation

HeLa cells were transfected in 96-well plates by use of Oligofectamine (Invitrogen) with the final nanomolar concentrations of each synthetic RNA indicated in the graphs. RNA quantitation was performed by Real-time PCR, using appropriate Applied Biosystems TaqManTM primer probe sets. The primer probe set used for MAPK14 was Hs00176247_m_1. RNA values were normalized to RNA for HGUS (probe 4310888E).

Microarray Gene Expression Profiling

HeLa cells were transfected in 6-well plates by use of Oligofectamine. RNA from transfected cells was hybridized competitively with RNA from mock-transfected cells (treated with transfection reagent in the absence of synthetic RNA). Total RNA was purified by Qiagen RNeasy kit, and processed as described previously (Hughes et al., *Nat Biotechnol* 19: 342-7, 2001) for hybridization to microarrays containing oligonucleotides corresponding to approximately 21,000 human genes. Ratio hybridizations were performed with fluorescent label reversal to eliminate dye bias. Microarrays were purchased from Agilent Technologies. Error models have been described previously (Hughes et al., *Nat Biotechnol* 19: 342-7, 2001). Data were analyzed using Rosetta Resolver[™] software.

SUPPLEMENTARY TABLE 1

Sequences of the siRNAs used in this study								
Gene	Accession Target sequence number ID	Target sequence						
IGF1R	NM_000875 IGF1R-1	GGAUGCACCAUCUUCAAGG	(SEQ ID NO: 47)					
IGF1R	NM_000875 IGF1R-2	GACAAAAUCCCCAUCAGGA	(SEQ ID NO: 48)					
IGF1R	NM_000875 IGF1R-3	ACCGCAAAGUCUUUGAGAA	(SEQ ID NO: 49)					
IGF1R	NM_000875 IGF1R-4	GUCCUGACAUGCUGUUUGA	(SEQ ID NO: 50)					
IGF1R	NM_000875 IGF1R-5	GACCACCAUCAACAAUGAG	(SEQ ID NO: 51)					
IGF1R	NM_000875 IGF1R-6	CAAAUUAUGUGUUUCCGAA	(SEQ ID NO: 52)					
IGF1R	NM_000875 IGF1R-7	CGCAUGUGCUGGCAGUAUA	(SEQ ID NO: 53)					
IGF1R	NM_000875 IGF1R-8	CCGAAGAUUUCACAGUCAA	(SEQ ID NO: 54)					
IGF1R	NM_000875 IGF1R-9	ACCAUUGAUUCUGUUACUU	(SEQ ID NO: 55)					
KIF11	NM_004523 KIF11-1	CUGACAAGAGCUCAAGGAA	(SEQ ID NO: 56)					
KIF11	NM_004523 KIF11-2	CGUUCUGGAGCUGUUGAUA	(SEQ ID NO: 57)					
KIF11	NM_004523 KIFI1-3	GAGCCCAGAUCAACCUUUA	(SEQ ID NO: 58)					
KIF11	NM_004523 KIF11-4	GGCAUUAACACACUGGAGA	(SEQ ID NO: 59)					
KIF11	NM_004523 KIF11-5	GAUGGCAGCUCAAAGCAAA	(SEQ ID NO: 60)					
KIF11	NM_004523 KIFI1-6	CAGCAGAAAUCUAAGGAUA	(SEQ ID NO: 61)					
KIF14	NM_014875 KIF14-1	CAGGGAUGCUGUUUGGAUA	(SEQ ID NO: 62)					
KIF14	NM_014875 KIF14-2	ACUGACAACAAAGUGCAGC	(SEQ ID NO: 63)					
KIF14	NM_014875 KIF14-3	AAACUGGGAGGCUACUUAC	(SEQ ID NO: 64)					
KIF14	NM_014875 KIF14-4	CACUGAAUGUGGGAGGUGA	(SEQ ID NO: 65)					
KIF14	NM_014875 KIF14-5	GUCUGGGUGGAAAUUCAAA	(SEQ ID NO: 66)					
KIF14	NM 014875 KIF14-6	CAUCUUUGCUGAAUCGAAA	(SEO ID NO: 67)					

SUPPLEMENTARY TABLE 1-continued

Sequences of the siRNAs used in this study								
Gene	Accession number	Target sequence ID	Target	sequence				
KIF14	NM_014875	KIF14-7	GGGAUUGACGGCAGUAAG	A (SEQ I	ONO:	68)		
KIF14	NM_014875	KIF14-8	CAGGUAAAGUCAGAGACA	U (SEQ I	ONO:	69)		
KIF14	NM_014875	KIF14-9	CUCACAUUGUCCACCAGG	A (SEQ I	NO:	70)		
KNSL1	NM_004523	KNSL1-1	GACCUGUGCCUUUUAGAG	A (SEQ I	NO:	71)		
KNSL1	NM_004523	KNSL1-2	AAAGGACAACUGCAGCUA	C (SEQ II	ONO:	72)		
KNSL1	NM_004523	KNSL1-3	GACUUCAUUGACAGUGGC	C (SEQ II	ONO:	73)		
MAPK14	NM_139012	MAPK14-1	AAUAUCCUCAGGGGUGGA	G (SEQ II	NO:	74)		
MAPK14	NM_139012	MAPK14-2	GUGCCUCUUGUUGCAGAG	A (SEQ I	ONO:	75)		
MAPK14	NM_139012	MAPK14-3	GAAGCUCUCCAGACCAUU	U (SEQ I	ONO:	76)		
MAPK14	NM_001315	MAPK14-4	CUCCUGAGAUCAUGCUGA	A (SEQ I	ONO:	77)		
MAPK14	NM_001315	MAPK14-5	GCUGUUGACUGGAAGAAC	A (SEQ I	ONO:	78)		
MAPK14	NM_001315	MAPK14-6	GGAAUUCAAUGAUGUGUA	U (SEQ I	NO:	79)		
MAPK14	NM_001315	MAPK14-7	CCAUUUCAGUCCAUCAUU	C (SEQ I	ONO:	80)		
PLK	NM_005030	PLK-1	CCCUGUGUGGGACUCCUA	A (SEQ I	ONO:	81)		
PLK	NM_005030	PLK-2	CCGAGUUAUUCAUCGAGA	C (SEQ I	ONO:	82)		
PLK	NM_005030	PLK-3	GUUCUUUACUUCUGGCUA	U (SEQ I	ONO:	83)		
PLK	NM_005030	PLK-4	CGCCUCAUCCUCUACAAU	G (SEQ II	NO:	84)		
PLK	NM_005030	PLK-5	AAGAGACCUACCUCCGGA	U (SEQ I	ONO:	85)		
PLK	NM_005030	PLK-6	GGUGUUCGCGGGCAAGAU	U (SEQ I	NO:	86)		
PLK	NM_005030	PLK-7	CUCCUUAAAUAUUUCCGC	A (SEQ I	ONO:	87)		
PLK	NM_005030	PLK-8	AAGAAGAACCAGUGGUUC	G (SEQ I	NO:	88)		
PLK	NM_005030	PLK-9	CUGAGCCUGAGGCCCGAU	A (SEQ I	ONO:	89)		

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V. Equivalents

Those skilled in the art will recognize, or be able to ascertain using no more than routine experimentation, many equivalents to the specific embodiments of the invention described herein. Such equivalents are intended to be encompassed by the following claims. All of the above-cited references and publications are hereby incorporated by reference.

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1				5					10					15		
atg	acc	cct	gct	tcc	tca	cca	atg	ggt	cct	ttc	ttt	gga	ctg	cca	tgg	96
Met	Thr	Pro	Ala	Ser	Ser	Pro	Met	Gly	Pro	Phe	Phe	Gly	Leu	Pro	Trp	

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25

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-continued

165 170 175 gat gag tyt cat ctt gca at c tt gaa ca ca cc ctt cga gaa ttt atg 576 App Glu Cyo Nie Jen Ala 11e Leu App Hie Pro Tyr Arg Glu Phe Met 576 aag ct ct gt gaa att gg cat cat gt cc ga gat ttg gga cta act 624 624 195 Glu The Cyo Pro Ser Cyo Pro Arg The Leu Glu Leu Thr 624 195 Cor att tta aat ggg aaa tgg ggat ctg gag ggg ttt gg aa gaa aag 672 211 The Anon Glu Tyr Thr App FoG La App Leu Glu Lu Glu Uu Jyr 672 220 Cor att tta aat ggg aaa ttg gg aa ct gca act 720 225 Clu Gy gt Ctta aga cag ag gat at act tct cag cca tgt gg aa ttg gg 768 226 Clu Gy gg cct ta aga cag agg ct at act tct cag cca tgt gg ad tag gg 768 226 Clu Gy Gg ct ta aga gag ca tt act tt ct cag cca tgt gg ad tag gg 816 226 Clu Gy Gg ac cat tta act gac aga agt ggg ctt tat gga aga aga gg cgg 826 226 Clu Gy Gg ac cat tta act gac aga agt ggg ctt act aga aga cgg agt aga 864 226 Clu Gy Gg ac cat tta act gac aga agt ggg ctt act at ta at tag aca acg ata 912 226 Clu Gy Gg ac agg aga cd tct act ta tt ta at tcg aca acg ata 912 226 Clu Cuu Cuu Cuu Cuu Cuu Cuu
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435 440 445 11 11 11 11 ttt gtg gaa aga aga tac aca gca gtt gtc tta aac aga ttg ata aag 1392 Phe Val Glu Arg Arg Tyr Thr Ala Val Val Leu Asn Arg Leu Ile Lys 1392 gaa gct ggc aaa caa gat cca gag ctg gct tat atc agt agc aat ttc 1440 Glu Ala Gly Lys Gln Asp Pro Glu Leu Ala Tyr Ile Ser Ser Asn Phe 1440 465 470 475 480 ata act gga cat ggc att ggg aag aat cag cct cgc aac aac acg atg 1488 Ile Thr Gly His Gly Ile Gly Lys Asn Gln Pro Arg Asn Asn Thr Met 1488
ttt gtg gaa aga aga aga tac aca gca gtt gtc tta aac aga ttg ata aag Phe Val Glu Arg Arg Tyr Thr Ala Val Val Val Leu Asn Arg Leu Ile Lys 450 gaa gct ggc aaa caa gat cca gag ctg gct tat atc agt agc aat ttc Glu Ala Gly Lys Gln Asp Pro Glu Leu Ala Tyr Ile Ser Ser Asn Phe 465 470 480 ata act gga cat ggc att ggg aag aat cag cct cgc aac acc acg atg Ile Thr Gly His Gly Ile Gly Lys Asn Gln Pro Arg Asn Asn Thr Met 1392 1392 1392 1392 1392 1392 1392 1392
450 455 460 gaa gct ggc aaa caa gat cca gag ctg gct tat atc agt agc aat ttc 1440 Glu Ala Gly Lys Gln Asp Pro Glu Leu Ala Tyr Ile Ser Ser Asn Phe 465 470 475 480 ata act gga cat ggc att ggg aag aat cag cct cgc aac aac acg atg 1488 Ile Thr Gly His Gly Ile Gly Lys Asn Gln Pro Arg Asn Asn Thr Met
gaa gct ggc aaa caa gat cca gag ctg gct tat atc agt agc aat ttc 1440 Glu Ala Gly Lys Gln Asp Pro Glu Leu Ala Tyr Ile Ser Ser Asn Phe 465 465 470 475 480 ata act gga cat ggc att ggg aag aat cag cct cgc aac aac acg atg 1488 Ile Thr Gly His Gly Ile Gly Lys Asn Gln Pro Arg Asn Asn Thr Met 1488
465470475480ata act gga cat ggc att ggg aag aat cag cct cgc aac acg atg1488Ile Thr Gly His Gly Ile Gly Lys Asn Gln Pro Arq Asn Asn Thr Met
ata act gga cat ggc att ggg aag aat cag cct cgc aac aac acg atg 1488 Ile Thr Gly His Gly Ile Gly Lys Asn Gln Pro Arq Asn Asn Thr Met

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				485					490					495		
gaa Glu	gca Ala	gaa Glu	ttc Phe 500	aga Arg	aaa Lys	cag Gln	gaa Glu	gag Glu 505	gta Val	ctt Leu	agg Arg	aaa Lys	ttt Phe 510	cga Arg	gca Ala	1536
cat His	gag Glu	acc Thr 515	aac Asn	ctg Leu	ctt Leu	att Ile	gca Ala 520	aca Thr	agt Ser	att Ile	gta Val	gaa Glu 525	gag Glu	ggt Gly	gtt Val	1584
gat Asp	ata Ile 530	cca Pro	aaa Lys	tgc Cys	aac Asn	ttg Leu 535	gtg Val	gtt Val	cgt Arg	ttt Phe	gat Asp 540	ttg Leu	ccc Pro	aca Thr	gaa Glu	1632
tat Tyr 545	cga Arg	tcc Ser	tat Tyr	gtt Val	caa Gln 550	tct Ser	aaa Lys	gga Gly	aga Arg	gca Ala 555	agg Arg	gca Ala	ccc Pro	atc Ile	tct Ser 560	1680
aat Asn	tat Tyr	ata Ile	atg Met	tta Leu 565	gcg Ala	gat Asp	aca Thr	gac Asp	aaa Lys 570	ata Ile	aaa Lys	agt Ser	ttt Phe	gaa Glu 575	gaa Glu	1728
gac Asp	ctt Leu	aaa Lys	acc Thr 580	tac Tyr	ааа Lуз	gct Ala	att Ile	gaa Glu 585	aag Lys	atc Ile	ttg Leu	aga Arg	aac Asn 590	aag Lys	tgt Cys	1776
tcc Ser	aag Lys	tcg Ser 595	gtt Val	gat Asp	act Thr	ggt Gly	gag Glu 600	act Thr	gac Asp	att Ile	gat Asp	cct Pro 605	gtc Val	atg Met	gat Asp	1824
gat Asp	gat Asp 610	cac His	gtt Val	ttc Phe	cca Pro	cca Pro 615	tat Tyr	gtg Val	ttg Leu	agg Arg	cct Pro 620	gac Asp	gat Asp	ggt Gly	ggt Gly	1872
cca Pro 625	cga Arg	gtc Val	aca Thr	atc Ile	aac Asn 630	acg Thr	gcc Ala	att Ile	gga Gly	cac His 635	atc Ile	aat Asn	aga Arg	tac Tyr	tgt Cys 640	1920
gct Ala	aga Arg	tta Leu	cca Pro	agt Ser 645	gat Asp	ccg Pro	ttt Phe	act Thr	cat His 650	cta Leu	gct Ala	cct Pro	aaa Lys	tgc Cys 655	aga Arg	1968
acc Thr	cga Arg	gag Glu	ttg Leu 660	cct Pro	gat Asp	ggt Gly	aca Thr	ttt Phe 665	tat Tyr	tca Ser	act Thr	ctt Leu	tat Tyr 670	ctg Leu	cca Pro	2016
att Ile	aac Asn	tca Ser 675	cct Pro	ctt Leu	cga Arg	gcc Ala	tcc Ser 680	att Ile	gtt Val	ggt Gly	cca Pro	cca Pro 685	atg Met	agc Ser	tgt Cys	2064
gta Val	cga Arg 690	ttg Leu	gct Ala	gaa Glu	aga Arg	gtt Val 695	gtc Val	gct Ala	ctc Leu	att Ile	tgc Cys 700	tgt Cys	gag Glu	aaa Lys	ctg Leu	2112
cac His 705	aaa Lys	att Ile	ggc Gly	gaa Glu	ctg Leu 710	gat Asp	gac Asp	cat His	ttg Leu	atg Met 715	cca Pro	gtt Val	glÀ âââ	aaa Lys	gag Glu 720	2160
act Thr	gtt Val	aaa Lys	tat Tyr	gaa Glu 725	gag Glu	gag Glu	ctt Leu	gat Asp	ttg Leu 730	cat His	gat Asp	gaa Glu	gaa Glu	gag Glu 735	acc Thr	2208
agt Ser	gtt Val	cca Pro	gga Gly 740	aga Arg	cca Pro	ggt Gly	tcc Ser	acg Thr 745	aaa Lys	cga Arg	agg Arg	cag Gln	tgc Cys 750	tac Tyr	cca Pro	2256
aaa Lys	gca Ala	att Ile 755	cca Pro	gag Glu	tgt Cys	ttg Leu	agg Arg 760	gat Asp	agt Ser	tat Tyr	ccc Pro	aga Arg 765	cct Pro	gat Asp	cag Gln	2304
ccc Pro	tgt Cys 770	tac Tyr	ctg Leu	tat Tyr	gtg Val	ata Ile 775	gga Gly	atg Met	gtt Val	tta Leu	act Thr 780	aca Thr	cct Pro	tta Leu	cct Pro	2352
gat Asp 785	gaa Glu	ctc Leu	aac Asn	ttt Phe	aga Arg 790	agg Arg	cgg Arg	aag Lys	ctc Leu	tat Tyr 795	cct Pro	cct Pro	gaa Glu	gat Asp	acc Thr 800	2400
aca Thr	aga Arg	tgc Cys	ttt Phe	gga Gly	ata Ile	ctg Leu	acg Thr	gcc Ala	aaa Lys	ccc Pro	ata Ile	cct Pro	cag Gln	att Ile	cca Pro	2448

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_	_	_	_	805	_	_	_	_	810	_	_	_	_	815		
cac His	ttt Phe	cct Pro	gtg Val 820	tac Tyr	aca Thr	cgc Arg	tct Ser	gga Gly 825	gag Glu	gtt Val	acc Thr	ata Ile	tcc Ser 830	att Ile	gag Glu	2496
ttg Leu	aag Lys	aag Lys 835	tct Ser	ggt Gly	ttc Phe	atg Met	ttg Leu 840	tct Ser	cta Leu	caa Gln	atg Met	ctt Leu 845	gag Glu	ttg Leu	att Ile	2544
aca Thr	aga Arg 850	ctt Leu	cac His	cag Gln	tat Tyr	ata Ile 855	ttc Phe	tca Ser	cat His	att Ile	ctt Leu 860	cgg Arg	ctt Leu	gaa Glu	aaa Lys	2592
cct Pro 865	gca Ala	cta Leu	gaa Glu	ttt Phe	aaa Lys 870	cct Pro	aca Thr	gac Asp	gct Ala	gat Asp 875	tca Ser	gca Ala	tac Tyr	tgt Cys	gtt Val 880	2640
cta Leu	cct Pro	ctt Leu	aat Asn	gtt Val 885	gtt Val	aat Asn	gac Asp	tcc Ser	agc Ser 890	act Thr	ttg Leu	gat Asp	att Ile	gac Asp 895	ttt Phe	2688
aaa Lys	ttc Phe	atg Met	gaa Glu 900	gat Asp	att Ile	gag Glu	aag Lys	tct Ser 905	gaa Glu	gct Ala	cgc Arg	ata Ile	ggc Gly 910	att Ile	ccc Pro	2736
agt Ser	aca Thr	aag Lys 915	tat Tyr	aca Thr	aaa Lys	gaa Glu	aca Thr 920	ccc Pro	ttt Phe	gtt Val	ttt Phe	aaa Lys 925	tta Leu	gaa Glu	gat Asp	2784
tac Tyr	caa Gln 930	gat Asp	gcc Ala	gtt Val	atc Ile	att Ile 935	cca Pro	aga Arg	tat Tyr	cgc Arg	aat Asn 940	ttt Phe	gat Asp	cag Gln	cct Pro	2832
cat His 945	cga Arg	ttt Phe	tat Tyr	gta Val	gct Ala 950	gat Asp	gtg Val	tac Tyr	act Thr	gat Asp 955	ctt Leu	acc Thr	cca Pro	ctc Leu	agt Ser 960	2880
aaa Lys	ttt Phe	cct Pro	tcc Ser	cct Pro 965	gag Glu	tat Tyr	gaa Glu	act Thr	ttt Phe 970	gca Ala	gaa Glu	tat Tyr	tat Tyr	aaa Lys 975	aca Thr	2928
aag Lys	tac Tyr	aac Asn	ctt Leu 980	gac Asp	cta Leu	acc Thr	aat Asn	ctc Leu 985	aac Asn	cag Gln	cca Pro	ctg Leu	ctg Leu 990	gat Asp	gtg Val	2976
gac Asp	cac His	aca Thr 995	tct Ser	tca Ser	aga Arg	ctt Leu	aat Asn 1000	ctt Leu	ttg Leu	aca Thr	cct Pro	cga Arg 1005	cat His	ttg Leu	aat Asn	3024
cag Gln	aag Lys 1010	o GJÀ aaa	aaa Lys	gcg Ala	ctt Leu	cct Pro 1019	tta Leu 5	agc Ser	agt Ser	gct Ala	gag Glu 102	aag Lys 0	agg Arg	aaa Lys	gcc Ala	3072
aaa Lys 102	tgg Trp 5	gaa Glu	agt Ser	ctg Leu	cag Gln 103	aat Asn 0	aaa Lys	cag Gln	ata Ile	ctg Leu 103	gtt Val 5	cca Pro	gaa Glu	ctc Leu	tgt Cys 1040	3120
gct Ala	ata Ile	cat His	cca Pro	att Ile 104	cca Pro 5	gca Ala	tca Ser	ctg Leu	tgg Trp 1050	aga Arg D	aaa Lys	gct Ala	gtt Val	tgt Cys 1059	ctc Leu 5	3168
ccc Pro	agc Ser	ata Ile	ctt Leu 106	tat Tyr 0	cgc Arg	ctt Leu	cac His	tgc Cys 106!	ctt Leu 5	ttg Leu	act Thr	gca Ala	gag Glu 1070	gag Glu O	cta Leu	3216
aga Arg	gcc Ala	cag Gln 107!	act Thr 5	gcc Ala	agc Ser	gat Asp	gct Ala 108	ggc Gly C	gtg Val	gga Gly	gtc Val	aga Arg 108	tca Ser 5	ctt Leu	cct Pro	3264
gcg Ala	gat Asp 1090	ttt Phe D	aga Arg	tac Tyr	cct Pro	aac Asn 109!	tta Leu 5	gac Asp	ttc Phe	elà aaa	tgg Trp 110	aaa Lys 0	aaa Lys	tct Ser	att Ile	3312
gac Asp 110	agc Ser 5	aaa Lys	tct Ser	ttc Phe	atc Ile 111	tca Ser 0	att Ile	tct Ser	aac Asn	tcc Ser 111	tct Ser 5	tca Ser	gct Ala	gaa Glu	aat Asn 1120	3360
gat Asp	aat Asn	tac Tyr	tgt Cys	aag Lys	cac His	agc Ser	aca Thr	att Ile	gtc Val	cct Pro	gaa Glu	aat Asn	gct Ala	gca Ala	cat His	3408

81

				1125	5				1130)				1135	ō	
caa Gln	ggt Gly	gct Ala	aat Asn 114(aga Arg)	acc Thr	tcc Ser	tct Ser	cta Leu 1145	gaa Glu 5	aat Asn	cat His	gac Asp	caa Gln 1150	atg Met)	tct Ser	3456
gtg Val	aac Asn	tgc Cys 1155	aga Arg	acg Thr	ttg Leu	ctc Leu	agc Ser 1160	gag Glu)	tcc Ser	cct Pro	ggt Gly	aag Lys 1165	ctc Leu	cac His	gtt Val	3504
gaa Glu	gtt Val 1170	tca Ser)	gca Ala	gat Asp	ctt Leu	aca Thr 1175	gca Ala	att Ile	aat Asn	ggt Gly	ctt Leu 1180	tct Ser)	tac Tyr	aat Asn	caa Gln	3552
aat Asn 1185	ctc Leu	gcc Ala	aat Asn	ggc Gly	agt Ser 1190	tat Tyr)	gat Asp	tta Leu	gct Ala	aac Asn 1195	aga Arg	gac Asp	ttt Phe	tgc Cys	caa Gln 1200	3600
gga Gly	aat Asn	cag Gln	cta Leu	aat Asn 1209	tac Tyr 5	tac Tyr	aag Lys	cag Gln	gaa Glu 1210	ata Ile)	ccc Pro	gtg Val	caa Gln	cca Pro 1215	act Thr 5	3648
acc Thr	tca Ser	tat Tyr	tcc Ser 1220	att Ile)	cag Gln	aat Asn	tta Leu	tac Tyr 1225	agt Ser	tac Tyr	gag Glu	aac Asn	cag Gln 1230	ccc Pro)	cag Gln	3696
ccc Pro	agc Ser	gat Asp 1235	gaa Glu	tgt Cys	act Thr	ctc Leu	ctg Leu 1240	agt Ser)	aat Asn	aaa Lys	tac Tyr	ctt Leu 1245	gat Asp	gga Gly	aat Asn	3744
gct Ala	aac Asn 1250	aaa Lys)	tct Ser	acc Thr	tca Ser	gat Asp 1255	gga Gly	agt Ser	cct Pro	gtg Val	atg Met 1260	gcc Ala)	gta Val	atg Met	cct Pro	3792
ggt Gly 1265	acg Thr	aca Thr	gac Asp	act Thr	att Ile 1270	caa Gln)	gtg Val	ctc Leu	aag Lys	ggc Gly 1279	agg Arg	atg Met	gat Asp	tct Ser	gag Glu 1280	3840
cag Gln	agc Ser	cct Pro	tct Ser	att Ile 1289	gly aaa	tac Tyr	tcc Ser	tca Ser	agg Arg 1290	act Thr)	ctt Leu	ggc Gly	ccc Pro	aat Asn 1295	cct Pro	3888
gga Gly	ctt Leu	att Ile	ctt Leu 1300	cag Gln)	gct Ala	ttg Leu	act Thr	ctg Leu 1305	tca Ser 5	aac Asn	gct Ala	agt Ser	gat Asp 1310	gga Gly)	ttt Phe	3936
aac Asn	ctg Leu	gag Glu 1315	cgg Arg	ctt Leu	gaa Glu	atg Met	ctt Leu 1320	ggc Gly)	gac Asp	tcc Ser	ttt Phe	tta Leu 1325	aag Lys 5	cat His	gcc Ala	3984
atc Ile	acc Thr 1330	aca Thr)	tat Tyr	cta Leu	ttt Phe	tgc Cys 1335	act Thr	tac Tyr	cct Pro	gat Asp	gcg Ala 1340	cat His)	gag Glu	ggc Gly	cgc Arg	4032
ctt Leu 1345	tca Ser	tat Tyr	atg Met	aga Arg	agc Ser 1350	aaa Lys)	aag Lys	gtc Val	agc Ser	aac Asn 1355	tgt Cys 5	aat Asn	ctg Leu	tat Tyr	cgc Arg 1360	4080
ctt Leu	gga Gly	aaa Lys	aag Lys	aag Lys 1369	gga Gly 5	cta Leu	ccc Pro	agc Ser	cgc Arg 1370	atg Met)	gtg Val	gtg Val	tca Ser	ata Ile 1375	ttt Phe	4128
gat Asp	ccc Pro	cct Pro	gtg Val 1380	aat Asn)	tgg Trp	ctt Leu	cct Pro	cct Pro 1385	ggt Gly 5	tat Tyr	gta Val	gta Val	aat Asn 1390	caa Gln)	gac Asp	4176
aaa Lys	agc Ser	aac Asn 1395	aca Thr 5	gat Asp	aaa Lys	tgg Trp	gaa Glu 1400	aaa Lys)	gat Asp	gaa Glu	atg Met	aca Thr 1405	aaa Lys 5	gac Asp	tgc Cys	4224
atg Met	ctg Leu 1410	gcg Ala)	aat Asn	ggc Gly	aaa Lys	ctg Leu 1415	gat Asp	gag Glu	gat Asp	tac Tyr	gag Glu 1420	gag Glu)	gag Glu	gat Asp	gag Glu	4272
gag Glu 1425	gag Glu 5	gag Glu	agc Ser	ctg Leu	atg Met 1430	tgg Trp)	agg Arg	gct Ala	ccg Pro	aag Lys 1435	gaa Glu 5	gag Glu	gct Ala	gac Asp	tat Tyr 1440	4320
gaa Glu	gat Asp	gat Asp	ttc Phe	ctg Leu	gag Glu	tat Tyr	gat Asp	cag Gln	gaa Glu	cat His	atc Ile	aga Arg	ttt Phe	ata Ile	gat Asp	4368

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_																
				144	5				1450	0				1455	5	
aat	ato	tte	ato	aaa	tca	aas	act	+++	ate	aad	aa2	ate	tet	ctt	tet	4416
Asn	Met	Leu	Met	999 Gly	Ser	99ª Gly	Ala	Phe	Val	Lys	Lys	Ile	Ser	Leu	Ser	
			1460	о ⁻		-		1465	5				1470)		
cct	ttt	tca	acc	act	qat	tct	qca	tat	qaa	tqa	aaa	atq	ccc	aaa	aaa	4464
Pro	Phe	Ser	Thr	Thr	Asp	Ser	Ala	Tyr	Glu	Trp	Lys	Met	Pro	Lys	Lys	
		1475	5				1480	C				1485	5			
tcc	tcc	tta	qat	aqt	atq	cca	ttt	tca	tca	qat	ttt	qaq	qat	ttt	qac	4512
Ser	Ser	Leu	Gly	Ser	Met	Pro	Phe	Ser	Ser	Asp	Phe	Glu	Asp	Phe	Asp	
	1490	C				149	5				150	0				
tac	aqc	tct	tqa	qat	qca	ata	tqc	tat	cta	qat	cct	aqc	aaa	qct	qtt	4560
Tyr	Ser	Ser	Trp	Asp	Ala	Met	Cys	Tyr	Leu	Asp	Pro	Ser	Lys	Ala	Val	
1505	5				1510	С				151	5				1520	
qaa	qaa	qat	qac	ttt	qta	qta	qaa	ttc	taa	aat	сса	tca	qaa	qaa	aac	4608
Glu	Glu	Asp	Asp	Phe	Val	Val	Gly	Phe	Trp	Asn	Pro	Ser	Glu	Glu	Asn	
				152	5				1530	C				1535	5	
tat	aat	at.†	dac	aco	gaa	aad	cad	tee	att	tet	tac	gar	tta	cac	act	4656
Cys	Gly	Val	Asp	Thr	Gly	Lys	Gln	Ser	Ile	Ser	Tyr	Asp	Leu	His	Thr	
			1540	C				1545	5				1550	2		
asa	cad	tat	att	act	dac	aaa	add	ata	aca	dac	tat	ata	daa	acc	cta	4704
Glu	Gln	Cys	Ile	Ala	Asp	Lys	Ser	Ile	Ala	Asp	Cys	Val	Glu	Ala	Leu	
		1559	5		-	-	1560	C		-	-	1569	5			
ata		taa	t = +	++-	200	arr	tat	aaa	~en	add	act	aat	C 2 7	c++	ttc	4752
Leu	Gly	Cys	Tyr	Leu	Thr	Ser	Cys	999 Gly	9ay Glu	Arg	Ala	Ala	Gln	Leu	Phe	1124
	1570	о ⁻	-			157	5	-		5	158	0				
ata	+~+	tas	at ~	a~~	at~		a+ ~	at a	000	at -	a++	2 2 2 2	244	24+	ast.	4800
Leu	Cys	Ser	Leu	999 Glv	Leu	aay Lys	yug Val	Leu	Pro	yca Val	all Ile	aaa Lys	ayy Arq	act Thr	yat Asp	000
1585	5			-1	1590	ວົ້				159	5	1.5			1600	
	<i>a</i>			a+ -		a					***				<i></i>	4040
egg Ara	yaa Glu	aag Lvs	ycc Ala	ccg Leu	ugc Cvs	Pro	act Thr	cgg Ara	yag Glu	aat Asn	LCC Phe	aac Asn	agc Ser	caa Gln	Gaa Gln	4848
9		-15		160	5			9	1610	0			~~-	1619	5	
			b <i>c</i> =			L				.				-		1000
aag Lvg	aac Asn	Ctt Leu	tca Ser	gtg Val	agc Ser	tgt Cv⊴	gct Ala	gct Ala	gct Al a	tct Ser	gtg Val	gcc Ala	agt Ser	tca Ser	cgc Ara	4896
-10	11011	Leu	1620)	Der	~y5		1625	5	UCI			1630)		
tct Ser	tct Ser	gta Val	ttg	aaa Lwe	gac Asr	tcg Ser	gaa Glu	tat Tvr	ggt Glv	tgt Cve	ttg	aag Lwe	att Tle	cca Pro	cca Pro	4944
Der	CCT	1635	5	- 10	Teb	Det	1640	- <u>-</u>	σrγ	CYD	ມວນ	1645	5			
																1000
aga Ara	tgt Cvq	atg Met	ttt Ph≏	gat Asr	cat Hiq	cca Pro	gat Asn	gca Al a	gat Agn	aaa Lvg	aca Thr	ctg Leu	aat Asn	cac Hiq	ctt Leu	4992
9	1650)		·25		165	5		·>P	-10	166	0			<u> </u>	
																5040
ata Tle	tcg Ser	999 G1 v	ttt Phe	gaa Glu	aat Agr	ttt Phe	gaa Glu	aag Lwg	aaa Lwe	atc Tle	aac Agn	tac Tvr	aga Arg	ttc Phe	aag Lvs	5040
1665	5	σrγ	1116	oru	1670	0	JIU	цую	цур	167	5	- Y -	y	1116	1680	
aat Agn	aag Lwg	gct Al a	tac Tvr	ctt Leu	ctc Leu	cag Gln	gct Al a	ttt Ph≏	aca Thr	cat Hie	gcc Als	tcc Ser	tac Tvr	cac Hie	tac Tvr	5088
	-10	a	- Y -	168	5 5	J 111	a	- 110	1690	0	a	T	- Y -	1695	5	
																5107
aat Asn	act Thr	atc Ile	act Thr	gat Asp	tgt Cvs	tac Tvr	cag Gln	cgc Arơ	tta Leu	gaa Glu	ttc Phe	ctg Leu	gga G]v	gat Asp	gcg Ala	5136
		110	1700))	CYD	- <u>y</u> -	CT11	1705	5	Sid	1 110	Leu	1710)		
att Tlo	ttg	gac Agr	tac Tvr	ctc Lev	ata Tlo	acc Th∽	aag Lwg	cac Hic	Ctt	tat Tvr	gaa Clu	gac Agr	ccg Pro	cgg Ara	cag Gln	5184
тте	цец	лэр 1719	2 1 Å T.	цец	тте	TUL	цув 1720) UTR	пеп	тут	GIU	дэр 1729	5 510	мrg	GTH	
cac	tcc	ccg	ggg	gtc	ctg	aca	gac	ctg	cgg	tct	gcc	ctg	gtc	aac	aac Aan	5232
HIS	Ser 1730	Pro)	σту	va⊥	ьeu	173	asp 5	ьeu	Arg	ser	АIА 174	ьeu 0	val	Asn	Asn	
	2750	-				- / 0	-				- / 1	-				
acc	atc	ttt	gca	tcg	ctg	gct	gta	aag	tac	gac	tac	cac	aag	tac	ttc	5280
Thr 1745	Ile 5	Phe	Ala	Ser	Leu 1750	Ala	Val	гла	Tyr	Asp	Tyr 5	His	гла	Tyr	Phe 1760	
1/ 1 0	-				100	0				1,0					1,00	
aaa	gct	gtc	tct	cct	gag	ctc	ttc	cat	gtc	att	gat	gac	ttt	gtg	cag	5328
Lys	Ala	Val	Ser	Pro	Glu	Leu	Phe	His	Val	Ile	Asp	Asp	Phe	Val	Gln	

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-continued

		176	5				1770)				1775	5	
ttt cag Phe Gln	ctt gag Leu Glu 178	aag Lys 0	aat Asn	gaa Glu	atg Met	caa Gln 1785	gga Gly 5	atg Met	gat Asp	tct Ser	gag Glu 1790	ctt Leu)	agg Arg	5376
aga tct Arg Ser	gag gag Glu Glu 1795	gat Asp	gaa Glu	gag Glu	aaa Lys 1800	gaa Glu)	gag Glu	gat Asp	att Ile	gaa Glu 1805	gtt Val 5	cca Pro	aag Lys	5424
gcc atg Ala Met 1810	ggg gat Gly Asp	att Ile	ttt Phe	gag Glu 1815	tcg Ser	ctt Leu	gct Ala	ggt Gly	gcc Ala 1820	att Ile)	tac Tyr	atg Met	gat Asp	5472
agt ggg Ser Gly 1825	atg tca Met Ser	ctg Leu	gag Glu 1830	aca Thr)	gtc Val	tgg Trp	cag Gln	gtg Val 1839	tac Tyr 5	tat Tyr	ccc Pro	atg Met	atg Met 1840	5520
cgg cca Arg Pro	cta ata Leu Ile	gaa Glu 184	aag Lys 5	ttt Phe	tct Ser	gca Ala	aat Asn 1850	gta Val)	ccc Pro	cgt Arg	tcc Ser	cct Pro 1855	gtg Val 5	5568
cga gaa Arg Glu	ttg ctt Leu Leu 186	gaa Glu 0	atg Met	gaa Glu	cca Pro	gaa Glu 1865	act Thr 5	gcc Ala	aaa Lys	ttt Phe	agc Ser 1870	ccg Pro)	gct Ala	5616
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	Lea Iyr	85		Jeu	GTÀ	J.L.	90	Set		AT Y		95 95		
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gac Asp 385	gga Gly	ctg Leu	cgc Arg	aga Arg	atg Met 390	cgg Arg	cat His	cag Gln	gtg Val	gat Asp 395	cag Gln	gcg Ala	gac Asp	ttc Phe	aat Asn 400	1200
cgg Arg	tta Leu	tct Ser	cat His	acg Thr 405	ctg Leu	gaa Glu	agc Ser	aag Lys	tgc Cys 410	cga Arg	atg Met	gtg Val	gat Asp	caa Gln 415	atg Met	1248
gac Asp	caa Gln	ccg Pro	ccg Pro 420	acg Thr	gag Glu	aca Thr	cga Arg	gcc Ala 425	ctg Leu	gtg Val	gcc Ala	act Thr	ctt Leu 430	gag Glu	cag Gln	1296
att Ile	ctg Leu	cac His 435	acg Thr	aca Thr	gag Glu	gac Asp	agg Arg 440	cag Gln	acg Thr	aac Asn	aga Arg	agc Ser 445	gcc Ala	gct Ala	cgg Arg	1344
gtg Val	act Thr 450	cct Pro	act Thr	cct Pro	act Thr	ccc Pro 455	gct Ala	cat His	gcg Ala	aag Lys	ccg Pro 460	aaa Lys	cct Pro	agc Ser	tct Ser	1392
ggt Gly 465	gcc Ala	aac Asn	act Thr	gca Ala	caa Gln 470	cca Pro	cga Arg	act Thr	cgt Arg	aga Arg 475	cgt Arg	gtg Val	tac Tyr	acc Thr	agg Arg 480	1440
cgc Arg	cac His	cac His	cgg Arg	gat Asp 485	cac His	aat Asn	gat Asp	ggc Gly	agc Ser 490	gac Asp	acg Thr	ctc Leu	tgc Cys	gca Ala 495	ctg Leu	1488
att Ile	tac Tyr	tgc Cys	aac Asn 500	cag Gln	aac Asn	cac His	acg Thr	gct Ala 505	cgc Arg	gtg Val	ctc Leu	ttt Phe	gag Glu 510	ctt Leu	cta Leu	1536
gcg Ala	gag Glu	att Ile 515	agc Ser	aga Arg	cgt Arg	gat Asp	ccc Pro 520	gat Asp	ctc Leu	aag Lys	ttc Phe	cta Leu 525	cgc Arg	tgc Cys	cag Gln	1584
tac Tyr	acc Thr 530	acg Thr	gac Asp	cgg Arg	gtg Val	gca Ala 535	gat Asp	ccc Pro	acc Thr	acg Thr	gag Glu 540	ccc Pro	aaa Lys	gag Glu	gct Ala	1632
gag Glu	ttg Leu	gag Glu	cac His	cgg Arg	cgg Arg	cag Gln	gaa Glu	gag Glu	gtg Val	cta Leu	aag Lys	cgc Arg	ttc Phe	cgc Arg	atg Met	1680

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545 550 555	560
cat gac tgc aat gtc ctg atc ggt act tcg gtg ctg gaa gag g His Asp Cys Asn Val Leu Ile Gly Thr Ser Val Leu Glu Glu G 565 570 5	ggc atc 1728 Sly Ile 575
gat gtg ccc aag tgc aat ttg gtt gtg cgc tgg gat ccg cca a Asp Val Pro Lys Cys Asn Leu Val Val Arg Trp Asp Pro Pro T 580 585 590	acc aca 1776 Fhr Thr
tat cgc agt tac gtt cag tgc aaa ggt cga gcc cgt gct gct c Tyr Arg Ser Tyr Val Gln Cys Lys Gly Arg Ala Arg Ala Ala P 595 600 605	cca gcc 1824 Pro Ala
tat cat gtc att ctc gtc gct ccg agt tat aaa agc cca act g Tyr His Val Ile Leu Val Ala Pro Ser Tyr Lys Ser Pro Thr V 610 615 620	ytg ggg 1872 /al Gly
tca gtg cag ctg acc gat cgg agt cat cgg tat att tgc gcg a Ser Val Gln Leu Thr Asp Arg Ser His Arg Tyr Ile Cys Ala T 625 630 635	act ggt 1920 Thr Gly 640
gat act aca gag gcg gac agc gac tct gat gat tca gcg atg c Asp Thr Thr Glu Ala Asp Ser Asp Ser Asp Asp Ser Ala Met P 645 650 6	cca aac 1968 Pro Asn 555
tcg tcc ggc tcg gat ccc tat act ttt ggc acg gca cgc gga a Ser Ser Gly Ser Asp Pro Tyr Thr Phe Gly Thr Ala Arg Gly T 660 665 670	acc gtg 2016 Thr Val
aag atc ctc aac ccc gaa gtg ttc agt aaa caa cca ccg aca g Lys Ile Leu Asn Pro Glu Val Phe Ser Lys Gln Pro Pro Thr A 675 680 685	gcg tgc 2064 Ala Cys
gac att aag ctg cag gag atc cag gac gaa ttg cca gcc gca g Asp Ile Lys Leu Gln Glu Ile Gln Asp Glu Leu Pro Ala Ala A 690 695 700	geg cag 2112 Ala Gln
ctg gat acg agc aac tcc agc gac gaa gcc gtc agc atg agt a Leu Asp Thr Ser Asn Ser Ser Asp Glu Ala Val Ser Met Ser A 705 710 715	aac acg 2160 Asn Thr 720
tct cca agc gag agc agt aca gaa caa aaa tcc aga cgc ttc c Ser Pro Ser Glu Ser Ser Thr Glu Gln Lys Ser Arg Arg Phe G 725 730 7	zag tgc 2208 31n Cys 735
gag ctg agc tct tta acg gag cca gaa gac aca agt gat act a Glu Leu Ser Ser Leu Thr Glu Pro Glu Asp Thr Ser Asp Thr T 740 745 750	aca gcc 2256 Thr Ala
gaa atc gat act gct cat agt tta gcc agc acc acg aag gac t Glu Ile Asp Thr Ala His Ser Leu Ala Ser Thr Thr Lys Asp L 755 760 765	tg gtg 2304 Jeu Val
cat caa atg gca cag tat cgc gaa atc gag cag atg ctg cta t His Gln Met Ala Gln Tyr Arg Glu Ile Glu Gln Met Leu Leu S 770 775 780	.cc aag 2352 Ger Lys
tgc gcc aac aca gag ccg ccg gag cag gag cag agt gag gcg g Cys Ala Asn Thr Glu Pro Pro Glu Gln Glu Gln Ser Glu Ala G 785 790 795	yaa cgt 2400 Slu Arg 800
ttt agt gcc tgc ctg gcc gca tac cga ccc aag ccg cac ctg c Phe Ser Ala Cys Leu Ala Ala Tyr Arg Pro Lys Pro His Leu L 805 810 8	sta aca 2448 Jeu Thr 315
ggc gcc tcc gtg gat ctg ggt tct gct ata gct ttg gtc aac a Gly Ala Ser Val Asp Leu Gly Ser Ala Ile Ala Leu Val Asn L 820 825 830	aag tac 2496 .ys Tyr
tgc gcc cga ctg cca agc gac acg ttc acc aag ttg acg gcg t Cys Ala Arg Leu Pro Ser Asp Thr Phe Thr Lys Leu Thr Ala L 835 840 845	tg tgg 2544 Jeu Trp
cgc tgc acc cga aac gaa agg gct gga gtg acc ctg ttt cag t Arg Cys Thr Arg Asn Glu Arg Ala Gly Val Thr Leu Phe Gln T 850 855 860	ac aca 2592 Yr Thr
ctc cgt ctg ccc atc aac tcg cca ttg aag cat gac att gtg g Leu Arg Leu Pro Ile Asn Ser Pro Leu Lys His Asp Ile Val G	ggt ctt 2640 Sly Leu

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865					870					875					880	
ccg Pro	atg Met	cca Pro	act Thr	caa Gln 885	aca Thr	ttg Leu	gcc Ala	cgc Arg	cga Arg 890	ctg Leu	gct Ala	gcc Ala	ttg Leu	cag Gln 895	gct Ala	2688
tgc Cys	gtg Val	gaa Glu	ctg Leu 900	cac His	agg Arg	atc Ile	ggt Gly	gag Glu 905	tta Leu	gac Asp	gat Asp	cag Gln	ttg Leu 910	cag Gln	cct Pro	2736
atc Ile	ggc Gly	aag Lys 915	gag Glu	gga Gly	ttt Phe	cgt Arg	gcc Ala 920	ctg Leu	gag Glu	ccg Pro	gac Asp	tgg Trp 925	gag Glu	tgc Cys	ttt Phe	2784
gaa Glu	ctg Leu 930	gag Glu	cca Pro	gag Glu	gac Asp	gaa Glu 935	cag Gln	att Ile	gtg Val	cag Gln	cta Leu 940	agc Ser	gat Asp	gaa Glu	cca Pro	2832
cgt Arg 945	ccg Pro	gga Gly	aca Thr	acg Thr	aag Lys 950	cgt Arg	cgt Arg	cag Gln	tac Tyr	tat Tyr 955	tac Tyr	aaa Lys	cgc Arg	att Ile	gca Ala 960	2880
tcc Ser	gaa Glu	ttt Phe	tgc Cys	gat Asp 965	tgc Cys	cgt Arg	ccc Pro	gtt Val	gcc Ala 970	gga Gly	gcg Ala	cca Pro	tgc Cys	tat Tyr 975	ttg Leu	2928
tac Tyr	ttt Phe	atc Ile	caa Gln 980	ctg Leu	acg Thr	ctc Leu	caa Gln	tgt Cys 985	ccg Pro	att Ile	ccc Pro	gaa Glu	gag Glu 990	caa Gln	aac Asn	2976
acg Thr	cgg Arg	gga Gly 995	cgc Arg	aag Lys	att Ile	tat Tyr	ccg Pro 1000	ccc Pro	gaa Glu	gat Asp	gcg Ala	cag Gln 1005	cag Gln	gga Gly	ttc Phe	3024
ggc Gly	att Ile 1010	cta Leu)	acc Thr	acc Thr	aaa Lys	cgg Arg 1019	ata Ile 5	ccc Pro	aag Lys	ctg Leu	agt Ser 102	gct Ala 0	ttc Phe	tcg Ser	ata Ile	3072
ttc Phe 1029	acg Thr 5	cgt Arg	tcc Ser	ggt Gly	gag Glu 1030	gtg Val D	aag Lys	gtt Val	tcc Ser	ctg Leu 103!	gag Glu 5	tta Leu	gct Ala	aag Lys	gaa Glu 1040	3120
cgc Arg	gtg Val	att Ile	cta Leu	act Thr 1049	agc Ser 5	gaa Glu	caa Gln	ata Ile	gtc Val 1050	tgc Cys D	atc Ile	aac Asn	gga Gly	ttt Phe 1059	tta Leu 5	3168
aac Asn	tac Tyr	acg Thr	ttc Phe 1060	acc Thr)	aat Asn	gta Val	ctg Leu	cgt Arg 1065	ttg Leu 5	caa Gln	aag Lys	ttt Phe	ctg Leu 1070	atg Met)	ctc Leu	3216
ttc Phe	gat Asp	ccg Pro 1075	gac Asp	tcc Ser	acg Thr	gaa Glu	aat Asn 1080	tgt Cys)	gta Val	ttc Phe	att Ile	gtg Val 1085	ccc Pro	acc Thr	gtg Val	3264
aag Lys	gca Ala 1090	cca Pro)	gct Ala	ggc Gly	ggc Gly	aag Lys 1099	cac His 5	atc Ile	gac Asp	tgg Trp	cag Gln 110	ttt Phe 0	ctg Leu	gag Glu	ctg Leu	3312
atc Ile 1105	caa Gln 5	gcg Ala	aat Asn	gga Gly	aat Asn 1110	aca Thr O	atg Met	cca Pro	cgg Arg	gca Ala 111!	gtg Val 5	ccc Pro	gat Asp	gag Glu	gag Glu 1120	3360
cgc Arg	cag Gln	gcg Ala	cag Gln	ccg Pro 1129	ttt Phe 5	gat Asp	ccg Pro	caa Gln	cgc Arg 1130	ttc Phe D	cag Gln	gat Asp	gcc Ala	gtc Val 1139	gtt Val 5	3408
atg Met	ccg Pro	tgg Trp	tat Tyr 1140	cgc Arg)	aac Asn	cag Gln	gat Asp	caa Gln 1149	ccg Pro	cag Gln	tat Tyr	ttc Phe	tat Tyr 1150	gtg Val)	gcg Ala	3456
gag Glu	ata Ile	tgt Cys 1155	cca Pro	cat His	cta Leu	tcc Ser	cca Pro 1160	ctc Leu)	agc Ser	tgc Cys	ttc Phe	cct Pro 1169	ggt Gly 5	gac Asp	aac Asn	3504
tac Tyr	cgc Arg 1170	acg Thr)	ttc Phe	aag Lys	cac His	tac Tyr 1179	tac Tyr 5	ctc Leu	gtc Val	aag Lys	tat Tyr 118	ggt Gly 0	ctg Leu	acc Thr	ata Ile	3552
cag Gln	aat Asn	acc Thr	tcg Ser	cag Gln	ccg Pro	cta Leu	ttg Leu	gac Asp	gtg Val	gat Asp	cac His	acc Thr	agt Ser	gcg Ala	cgg Arg	3600

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	11.5

1185				1190	C				119	5				1200	
tta aac Leu Asn	ttc Phe	ctc Leu	acg Thr 1205	cca Pro 5	cga Arg	tac Tyr	gtt Val	aat Asn 1210	cgc Arg D	aag Lys	ggc Gly	gtt Val	gct Ala 1219	ctg Leu 5	3648
ccc act Pro Thr	agt Ser	tcg Ser 1220	gag Glu)	gag Glu	aca Thr	aag Lys	cgg Arg 1229	gca Ala 5	aag Lys	cgc Arg	gag Glu	aat Asn 1230	ctc Leu)	gaa Glu	3696
cag aag Gln Lys	cag Gln 1235	atc Ile	ctt Leu	gtg Val	cca Pro	gag Glu 1240	ctc Leu)	tgc Cys	act Thr	gtg Val	cat His 1245	cca Pro 5	ttc Phe	ccc Pro	3744
gcc tcc Ala Ser 1250	ttg Leu	tgg Trp	cga Arg	act Thr	gcc Ala 1259	gtg Val 5	tgc Cys	ctg Leu	ccc Pro	tgc Cys 1260	atc Ile)	ctg Leu	tac Tyr	cgc Arg	3792
ata aat Ile Asn 1265	ggt Gly	ctt Leu	cta Leu	ttg Leu 1270	gcc Ala D	gac Asp	gat Asp	att Ile	cgg Arg 127!	aaa Lys 5	cag Gln	gtt Val	tct Ser	gcg Ala 1280	3840
gat ctg Asp Leu	д1 <i></i> даа	ctg Leu	gga Gly 1285	agg Arg 5	caa Gln	cag Gln	atc Ile	gaa Glu 1290	gat Asp D	gag Glu	gat Asp	ttc Phe	gag Glu 1299	tgg Trp 5	3888
ccc atg Pro Met	ctg Leu	gac Asp 1300	ttt Phe)	glà aaa	tgg Trp	agt Ser	cta Leu 1309	tcg Ser	gag Glu	gtg Val	ctc Leu	aag Lys 1310	aaa Lys)	tcg Ser	3936
cgg gag Arg Glu	tcc Ser 1315	aaa Lys	caa Gln	aag Lys	gag Glu	tcc Ser 1320	ctt Leu)	aag Lys	gat Asp	gat Asp	act Thr 1325	att Ile 5	aat Asn	ggc Gly	3984
aaa gac Lys Asp 133(tta Leu	gct Ala	gat Asp	gtt Val	gaa Glu 1339	aag Lys 5	aaa Lys	ccg Pro	act Thr	agc Ser 1340	gag Glu)	gag Glu	acc Thr	caa Gln	4032
cta gat Leu Asp 1345	aag Lys	gat Asp	tca Ser	aaa Lys 1350	gac Asp O	gat Asp	aag Lys	gtt Val	gag Glu 135!	ааа Lys 5	agt Ser	gct Ala	att Ile	gaa Glu 1360	4080
cta atc Leu Ile	att Ile	gag Glu	gga Gly 1365	gag Glu 5	gag Glu	aag Lys	ctg Leu	caa Gln 1370	gag Glu D	gct Ala	gat Asp	gac Asp	ttc Phe 1379	att Ile 5	4128
gag ata Glu Ile	ggc Gly	act Thr 1380	tgg Trp)	tca Ser	aac Asn	gat Asp	atg Met 1385	gcc Ala 5	gac Asp	gat Asp	ata Ile	gct Ala 1390	agt Ser D	ttt Phe	4176
aac caa Asn Gln	gaa Glu 1395	gac Asp	gac Asp	gac Asp	gag Glu	gat Asp 1400	gac Asp)	gcc Ala	ttc Phe	cat His	ctc Leu 1405	cca Pro 5	gtt Val	tta Leu	4224
ccg gca Pro Ala 1410	aac Asn	gtt Val	aag Lys	ttc Phe	tgt Cys 141!	gat Asp 5	cag Gln	caa Gln	acg Thr	cgc Arg 1420	tac Tyr)	ggt Gly	tcg Ser	ccc Pro	4272
aca ttt Thr Phe 1425	tgg Trp	gat Asp	gtg Val	agc Ser 1430	aat Asn O	ggc Gly	gaa Glu	agc Ser	ggc Gly 143!	ttc Phe 5	aag Lys	ggt Gly	cca Pro	aag Lys 1440	4320
agc agt Ser Ser	cag Gln	aat Asn	aag Lys 1445	cag Gln 5	ggt Gly	ggc Gly	aag Lys	ggc Gly 1450	aaa Lys D	gca Ala	aag Lys	ggt Gly	ccg Pro 1459	gca Ala 5	4368
aag ccc Lys Pro	aca Thr	ttt Phe 1460	aac Asn)	tat Tyr	tat Tyr	gac Asp	tcg Ser 1469	gac Asp 5	aat Asn	tcg Ser	ctg Leu	ggt Gly 1470	tcc Ser)	agc Ser	4416
tac gat Tyr Asp	gac Asp 1475	gac Asp	gat Asp	aac Asn	gca Ala	ggt Gly 1480	ccg Pro)	ctc Leu	aat Asn	tac Tyr	atg Met 1485	cat His 5	cac His	aac Asn	4464
tac agt Tyr Ser 1490	tcg Ser	gat Asp	gac Asp	gac Asp	gat Asp 1499	gtg Val 5	gca Ala	gat Asp	gat Asp	atc Ile 1500	gat Asp	gcg Ala	gga Gly	cgc Arg	4512
att gcg Ile Ala	ttc Phe	acc Thr	tcc Ser	aag Lys	aat Asn	gaa Glu	gcg Ala	gag Glu	act Thr	att Ile	gaa Glu	acc Thr	gca Ala	cag Gln	4560

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1505	1510	1515	1520
gaa gtg gaa aag Glu Val Glu Lys	g cgc cag aag cag ctg Arg Gln Lys Gln Leg 1525	g tcc atc atc cag gcg acc 1 Ser Ile Ile Gln Ala Thr 1530 153	aat 4608 Asn 5
gct aac gag cgg Ala Asn Glu Arg 154	g cag tat cag cag ac g Gln Tyr Gln Gln Th: 0 15	a aag aac ctg ctc att gga : Lys Asn Leu Leu Ile Gly 15 1550	ttc 4656 Phe
aat ttt aag cat Asn Phe Lys His 1555	: gag gac cag aag ga ; Glu Asp Gln Lys Gl 1560	a cct gcc act ata aga tat 1 Pro Ala Thr Ile Arg Tyr 1565	gaa 4704 Glu
gaa tcc ata gct Glu Ser Ile Ala 1570	aag ctc aaa acg ga Lys Leu Lys Thr Gl 1575	a ata gaa tcc ggc ggc atg 1 Ile Glu Ser Gly Gly Met 1580	ttg 4752 Leu
gtg ccg cac gac Val Pro His Asr 1585	e cag cag ttg gtt ct. Gln Gln Leu Val Ley 1590	a aaa aga agt gat gcc gct 1 Lys Arg Ser Asp Ala Ala 1595	gag 4800 Glu 1600
gct cag gtt gca Ala Gln Val Ala	1 aag gta tcg atg atg 1 Lys Val Ser Met Me 1605	g gag cta ttg aag cag ctg : Glu Leu Leu Lys Gln Leu 1610 161	ctg 4848 . Leu 5
ccg tat gta aat Pro Tyr Val Asr 162	gaa gat gtg ctg gc Glu Asp Val Leu Al 0 16	e aaa aag ctg ggt gat agg a Lys Lys Leu Gly Asp Arg 25 1630	cgc 4896 Arg
gag ctt ctg ctg Glu Leu Leu Leu 1635	g tcg gat ttg gta gag I Ser Asp Leu Val Gl 1640	g cta aat gca gat tgg gta 1 Leu Asn Ala Asp Trp Val 1645	gcg 4944 Ala
cga cat gag cag Arg His Glu Glr 1650	gag acc tac aat gt Glu Thr Tyr Asn Va 1655	a atg gga tgc gga gat agt . Met Gly Cys Gly Asp Ser 1660	ttt 4992 Phe
gac aac tat aac Asp Asn Tyr Asr 1665	gat cat cat cgg cto Asp His His Arg Leo 1670	g aac ttg gat gaa aag caa 1 Asn Leu Asp Glu Lys Glr 1675	ctg 5040 Leu 1680
aaa ctg caa tac Lys Leu Gln Tyr	: gaa cga att gaa at Glu Arg Ile Glu Il 1685	: gag cca cct act tcc acc 9 Glu Pro Pro Thr Ser Thr 1690 169	aag 5088 Lys 5
gcc ata acc tca Ala Ile Thr Ser 170	gcc ata tta cca gc Ala Ile Leu Pro Al 0 17	: ggc ttc agt ttc gat cga a Gly Phe Ser Phe Asp Arg 05 1710	caa 5136 Gln
ccg gat cta gto Pro Asp Leu Val 1715	ggc cat cca gga cc Gly His Pro Gly Pro 1720	e agt eec age ate att tte Ser Pro Ser Ile Ile Leu 1725	caa 5184 Gln
gcc ctc aca ato Ala Leu Thr Met 1730	y tcc aat gct aac ga Ser Asn Ala Asn Asy 1735	: ggc atc aat ctg gag cga Gly Ile Asn Leu Glu Arg 1740	ctg 5232 Leu
gag aca att gga Glu Thr Ile Gly 1745	gat tcc ttt cta aag Asp Ser Phe Leu Ly 1750	g tat gcc att acc acc tac g Tyr Ala Ile Thr Thr Tyr 1755	ttg 5280 Leu 1760
tac atc acc tac Tyr Ile Thr Tyr	: gag aat gtg cac ga Glu Asn Val His Gl 1765	g gga aaa cta agt cac cto 1 Gly Lys Leu Ser His Leu 1770 177	cgc 5328 Arg 5
tcc aag cag gtt Ser Lys Gln Val 178	gcc aat ctc aat ct Ala Asn Leu Asn Leu 0 17	: tat cgt ctg ggc aga cgt 1 Tyr Arg Leu Gly Arg Arg 35 1790	aag 5376 Lys
aga ctg ggt gaa Arg Leu Gly Glu 1795	i tat atg ata gcc ac I Tyr Met Ile Ala Th 1800	: aaa ttc gag ccg cac gac : Lys Phe Glu Pro His Asp 1805	aat 5424 Asn
tgg ctg cca cco Trp Leu Pro Pro 1810	tge tae tae gtg ee. O Cys Tyr Tyr Val Pro 1815	a aag gag cta gag aag gog > Lys Glu Leu Glu Lys Ala 1820	ctc 5472 Leu
atc gag gcg aag Ile Glu Ala Lys	g atc ccc act cac ca s Ile Pro Thr His Hi	: tgg aag ctg gcc gat ctg 9 Trp Lys Leu Ala Asp Leu	cta 5520 Leu

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1825				183	0				183	5				1840	
gac at Asp Il	t aag le Lys	aac Asn	cta Leu 184	agc Ser 5	agt Ser	gtg Val	caa Gln	atc Ile 1850	tgc Cys D	gag Glu	atg Met	gtt Val	cgc Arg 1859	gaa Glu 5	5568
aaa go Lys Al	cc gat La Asp	gcc Ala 186	ctg Leu 0	ggc Gly	ttg Leu	gag Glu	cag Gln 1869	aat Asn 5	д1У ааа	ggt Gly	gcc Ala	caa Gln 187	aat Asn D	gga Gly	5616
caa ct Gln Le	t gac eu Asp 187	gac Asp 5	tcc Ser	aat Asn	gat Asp	agc Ser 1880	tgc Cys)	aat Asn	gat Asp	ttt Phe	agc Ser 188!	tgt Cys 5	ttt Phe	att Ile	5664
ccc ta Pro Ty 18	ac aac 7r Asr 390	ctt Leu	gtt Val	tcg Ser	caa Gln 189	cac His 5	agc Ser	att Ile	ccg Pro	gat Asp 190	aag Lys 0	tct Ser	att Ile	gcc Ala	5712
gat tg Asp Cy 1905	gc gto Vs Val	gaa Glu	gcc Ala	ctc Leu 1910	att Ile 0	gga Gly	gcc Ala	tat Tyr	ctc Leu 191!	att Ile 5	gag Glu	tgc Cys	gga Gly	ccc Pro 1920	5760
cga gg Arg Gl	gg gct Ly Ala	tta Leu	ctc Leu 192	ttt Phe 5	atg Met	gcc Ala	tgg Trp	ctg Leu 1930	ggc Gly D	gtg Val	aga Arg	gtg Val	ctc Leu 1939	cct Pro	5808
atc ac Ile Th	ca agg nr Arg	cag Gln 194	ttg Leu 0	gac Asp	с1 ^у ааа	ggt Gly	aac Asn 1949	cag Gln 5	gag Glu	caa Gln	cga Arg	ata Ile 195	ccc Pro)	ggt Gly	5856
agc ac Ser Th	ca aaa nr Lys 195	ccg Pro 5	aat Asn	gcc Ala	gaa Glu	aat Asn 1960	gtg Val)	gtc Val	acc Thr	gtt Val	tac Tyr 196!	ggt Gly 5	gca Ala	tgg Trp	5904
ccc ac Pro Th 19	eg eeg hr Pro 970	cgt Arg	agt Ser	cca Pro	ctg Leu 197!	ctg Leu 5	cac His	ttt Phe	gct Ala	cca Pro 198	aat Asn 0	gct Ala	acg Thr	gag Glu	5952
gag ct Glu Le 1985	ig gac eu Asp	cag Gln	tta Leu	cta Leu 1990	agc Ser 0	ggc Gly	ttt Phe	gag Glu	gag Glu 199!	ttt Phe 5	gag Glu	gag Glu	agt Ser	ttg Leu 2000	6000
gga ta Gly Ty	ac aag vr Lys	ttc Phe	cgg Arg 200	gat Asp 5	cgg Arg	tcg Ser	tac Tyr	ctg Leu 2010	ttg Leu)	caa Gln	gcc Ala	atg Met	aca Thr 2019	cat His 5	6048
gcc ag Ala Se	gt tac er Tyr	acg Thr 202	ccc Pro 0	aat Asn	cga Arg	ttg Leu	acg Thr 2029	gat Asp 5	tgc Cys	tat Tyr	cag Gln	cgt Arg 203	ctg Leu)	gag Glu	6096
ttc ct Phe Le	eg ggo eu Gly 203	gat Asp 5	gct Ala	gtt Val	cta Leu	gat Asp 2040	tac Tyr)	ctc Leu	att Ile	acg Thr	cgg Arg 204!	cat His 5	tta Leu	tac Tyr	6144
gaa ga Glu As 20	at ccc sp Prc)50	cgc Arg	cag Gln	cat His	tct Ser 205!	cca Pro 5	ggc Gly	gca Ala	tta Leu	acg Thr 206	gat Asp 0	ttg Leu	cgg Arg	tca Ser	6192
gca ct Ala Le 2065	tg gtg eu Val	aat Asn	aat Asn	aca Thr 207	ata Ile 0	ttc Phe	gcc Ala	tcc Ser	ctg Leu 207!	gct Ala 5	gtt Val	cgc Arg	cat His	ggc Gly 2080	6240
ttc ca Phe Hi	ac aag is Lys	ttc Phe	ttc Phe 208	cgg Arg 5	cac His	ctc Leu	tcg Ser	ccg Pro 2090	ggc Gly D	ctt Leu	aac Asn	gat Asp	gtg Val 2099	att Ile 5	6288
gac cg Asp Ar	gt ttt :g Phe	gtg Val 210	cgg Arg 0	atc Ile	cag Gln	cag Gln	gag Glu 2109	aat Asn 5	gga Gly	cac His	tgc Cys	atc Ile 211	agt Ser)	gag Glu	6336
gag ta Glu Ty	ac tac vr Tyr 211	tta Leu 5	ttg Leu	tcc Ser	gag Glu	gag Glu 2120	gag Glu)	tgc Cys	gat Asp	gac Asp	gcc Ala 212!	gag Glu 5	gac Asp	gtt Val	6384
gag gt Glu Va 21	ng cec al Pro 130	aag Lys	gca Ala	ttg Leu	ggc Gly 213!	gac Asp 5	gtt Val	ttc Phe	gag Glu	tcg Ser 214	atc Ile 0	gca Ala	ggt Gly	gcc Ala	6432
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	υ	-

continued

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2145	2150	2155	2160
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aaa tcg ccc att c Lys Ser Pro Ile A 2180	gg gag ctc ctc gag rg Glu Leu Leu Glu 218	ctg gag ccg gaa acc gcc Leu Glu Pro Glu Thr Ala 5 2190	aag 6576 Lys
ttc ggc aag ccc g Phe Gly Lys Pro G 2195	ag aag ctg gcg gat lu Lys Leu Ala Asp 2200	ggg cga cgg gtg cgc gtt Gly Arg Arg Val Arg Val 2205	acc 6624 Thr
gtg gat gtc ttc t Val Asp Val Phe C 2210	gc aaa gga acc ttc ys Lys Gly Thr Phe 2215	cgt ggc atc gga cgc aac Arg Gly Ile Gly Arg Asn 2220	tat 6672 Tyr
cgc att gcc aag t	gc acg gcg gcc aaa	tgc gca ttg cgc caa ctc	aaa 6720
Arg Ile Ala Lys C	ys Thr Ala Ala Lys	Cys Ala Leu Arg Gln Leu	Lys
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Ser Val Tyr Leu S	er Cys Glu Val Gly	Thr Ser Thr Glu Pro Cys	Ser
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Ile Tyr Thr Met L	eu Thr His Leu Thr	Asp Leu Arg Val Trp Gln	Glu
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Gln Pro Asp Met G	ln Ile Pro Phe Asp	His Cys Trp Thr Asp Tyr	His
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Val Leu Asn Thr H	is Lys Ser Phe Leu	Leu Asp His Arg Tyr Asp	Pro

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Arg	Leu	Ser	His	Thr 405	Leu	Glu	Ser	Lys	Cys 410	Arg	Met	Val	Asp	Gln 415	Met
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Ser	Ser	Gly	Ser 660	Asp	Pro	Tyr	Thr	Phe 665	Gly	Thr	Ala	Arg	Gly 670	Thr	Val

Ile Gln Ala Asn Gly Asn Thr Met Pro Arg Ala Val Pro Asp Glu Glu 1105 1110 1115 1120	
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Asn F	Phe?	Lys 1555	His 5	Glu	Asp	Gln	Lys 1560	Glu)	Pro	Ala	Thr	Ile 1569	Arg 5	Tyr	Glu
Glu S 1	Ser 1570	Ile)	Ala	Lys	Leu	Lys 1575	Thr 5	Glu	Ile	Glu	Ser 1580	Gly D	Gly	Met	Leu
Val F 1585	?ro	His	Asp	Gln	Gln 1590	Leu)	Val	Leu	Гλа	Arg 159!	Ser 5	Asp	Ala	Ala	Glu 1600
Ala G	Jln	Val	Ala	Lys 1609	Val 5	Ser	Met	Met	Glu 161(Leu)	Leu	Гла	Gln	Leu 1619	Leu 5
Pro I	ſyr	Val	Asn 1620	Glu 0	Asp	Val	Leu	Ala 1625	Lys 5	Lys	Leu	Gly	Asp 1630	Arg)	Arg
Glu I	Leu	Leu 1635	Leu 5	Ser	Asp	Leu	Val 1640	Glu)	Leu	Asn	Ala	Asp 1649	Trp 5	Val	Ala
Arg H 1	His L650	Glu)	Gln	Glu	Thr	Tyr 1655	Asn 5	Val	Met	Gly	Сув 1660	Gly D	Asp	Ser	Phe
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L'As I	Jeu	Gln	Tyr	Glu 1689	Arg 5	Ile	Glu	Ile	Glu 1690	Pro)	Pro	Thr	Ser	Thr 1699	ГЛа 2
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Pro A	/ab	Leu 1719	Val 5	Gly	His	Pro	Gly 1720	Pro)	Ser	Pro	Ser	Ile 1729	Ile 5	Leu	Gln
Ala I 1	L730	Thr	Met	Ser	Asn	Ala 1735	Asn 5	Aab	Gly	Ile	Asn 1740	Leu)	Glu	Arg	Leu
Glu 1 1745	ſhr	Ile	Gly	Asp	Ser 1750	Phe)	Leu	Lys	Tyr	Ala 175!	Ile 5	Thr	Thr	Tyr	Leu 1760
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Ser L	jys	Gln	Val 1780	Ala 0	Asn	Leu	Asn	Leu 1785	Tyr 5	Arg	Leu	Gly	Arg 1790	Arg	Гуз
Arg L	Leu	Gly 1795	Glu 5	Tyr	Met	Ile	Ala 1800	Thr)	Lys	Phe	Glu	Pro 1805	His 5	Asp	Asn
Trp L 1	Leu 1810	Pro)	Pro	Суз	Tyr	Tyr 1815	Val S	Pro	Lys	Glu	Leu 1820	Glu)	Lys	Ala	Leu
Ile G 1825	Jlu	Ala	Lys	Ile	Pro 1830	Thr)	His	His	Trp	Lys 183!	Leu 5	Ala	Asp	Leu	Leu 1840
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Lys A	Ala	Asp	Ala 1860	Leu 0	Gly	Leu	Glu	Gln 1865	Asn 5	Gly	Gly	Ala	Gln 1870	Asn)	Gly
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Pro 1 1	[yr 1890	Asn)	Leu	Val	Ser	Gln 1895	His 5	Ser	Ile	Pro	Asp 1900	Lys)	Ser	Ile	Ala
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Gly	Tyr	Гла	Phe	Arg 2005	Asp	Arg	Ser	Tyr	Leu 2010	Leu)	Gln	Ala	Met	Thr 2015	His 5
Ala	Ser	Tyr	Thr 2020	Pro)	Asn	Arg	Leu	Thr 2025	Asp 5	Cys	Tyr	Gln	Arg 2030	Leu)	Glu
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Glu	Asp 2050	Pro	Arg	Gln	His	Ser 2055	Pro 5	Gly	Ala	Leu	Thr 2060	Asp)	Leu	Arg	Ser
Ala 2065	Leu 5	Val	Asn	Asn	Thr 2070	Ile)	Phe	Ala	Ser	Leu 2079	Ala 5	Val	Arg	His	Gly 2080
Phe	His	Lys	Phe	Phe 2085	Arg	His	Leu	Ser	Pro 2090	Gly)	Leu	Asn	Asp	Val 2095	Ile
Asp	Arg	Phe	Val 2100	Arg	Ile	Gln	Gln	Glu 2105	Asn 5	Gly	His	Сув	Ile 2110	Ser)	Glu
Glu	Tyr	Tyr 2115	Leu 5	Leu	Ser	Glu	Glu 2120	Glu)	Cys	Asp	Asp	Ala 2125	Glu 5	Asp	Val
Glu	Val 2130	Pro)	Lys	Ala	Leu	Gly 2135	Asp 5	Val	Phe	Glu	Ser 2140	Ile)	Ala	Gly	Ala
Ile 2145	Phe 5	Leu	Asp	Ser	Asn 2150	Met)	Ser	Leu	Aap	Val 2159	Val 5	Trp	His	Val	Tyr 2160
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Val	Asp 2210	Val	Phe	Суз	Lys	Gly 2215	Thr 5	Phe	Arg	Gly	Ile 2220	Gly)	Arg	Asn	Tyr
Arg 2225	Ile ;	Ala	Lys	Суз	Thr 2230	Ala)	Ala	Lys	Cys	Ala 2235	Leu 5	Arg	Gln	Leu	Lys 2240
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Leu	Gln 50	Gln	Pro	Gln	Gln	Gln 55	Gln	Gln	Gln	Gln	Pro 60	His	Gln	Gln	Gln
Gln 65	Gln	Ser	Ser	Arg	Gln 70	Gln	Pro	Ser	Thr	Ser 75	Ser	Gly	Gly	Ser	Arg 80

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His 145	Gln	Gln	Gly	Arg	Gln 150	Gly	Gln	Glu	Gly	Gly 155	Tyr	Gln	Gln	Arg	Pro 160
Pro	Gly	Gln	Gln	Gln 165	Gly	Gly	His	Gln	Gln 170	Gly	Arg	Gln	Gly	Gln 175	Glu
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Phe	Pro 530	Ile	Ser	Met	Pro	Met 535	Ile	Glu	Tyr	Leu	Glu 540	Arg	Phe	Ser	Leu
Lys 545	Ala	Lys	Ile	Asn	Asn 550	Thr	Thr	Asn	Leu	Asp 555	Tyr	Ser	Arg	Arg	Phe 560
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Phe 705	Ile	Val	Val	Ser	Thr 710	Arg	Val	Leu	Ser	Pro 715	Pro	Gln	Val	Glu	Tyr 720
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Arg	Leu	Gln	Arg	Gly	Ala	Leu	Glu	Glu	Ile	Glu	Asp	Met	Phe	Ser	Ile

930 935 940	
Thr Leu Glu His Leu Arg Val Tyr Lys Glu Tyr Arg Asn Ala Tyr Pro 945 950 955 960	
Asp His Ile Ile Tyr Tyr Arg Asp Gly Val Ser Asp Gly Gln Phe Pro 965 970 975	
Lys Ile Lys Asn Glu Glu Leu Arg Cys Ile Lys Gln Ala Cys Asp Lys 980 985 990	
Val Gly Cys Lys Pro Lys Ile Cys Cys Val Ile Val Val Lys Arg His 995 1000 1005	
His Thr Arg Phe Phe Pro Ser Gly Asp Val Thr Thr Ser Asn Lys Phe 1010 1015 1020	
Asn Asn Val Asp Pro Gly Thr Val Val Asp Arg Thr Ile Val His Pro 1025 1030 1035 1040	
Asn Glu Met Gln Phe Phe Met Val Ser Gly Gln Ala Ile Gln Gly Thr 1045 1050 1055	
Ala Lys Pro Thr Arg Tyr Asn Val Ile Glu Asn Thr Gly Asn Leu Asp 1060 1065 1070	
Ile Asp Leu Leu Gln Gln Leu Thr Tyr Asn Leu Cys His Met Phe Pro 1075 1080 1085	
Arg Cys Asn Arg Ser Val Ser Tyr Pro Ala Pro Ala Tyr Leu Ala His 1090 1095 1100	
Leu Val Ala Arg Gly Arg Val Tyr Leu Thr Gly Thr Asn Arg Phe 1105 1110 1115 1120	
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129

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gaauccauu	69
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53,110 **D2**

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cuuacgcuga guacuucgau u	21		
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36, 37, 38, 39,	40, 41, 42		

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nnuu		64

We claim:

1. A method for attenuating expression of a target gene in a mammalian cell, the method comprising

introducing into mammalian cells a library of RNA expression constructs, each expression construct comprising:

(i) an RNA polymerase promoter, and

- (ii) a sequence encoding a short hairpin RNA molecule comprising a double-stranded region wherein the 65 double-stranded region consists of at least 20 nucleotides but not more than 29 nucleotides,
- wherein the short hairpin RNA molecule is a substrate for Dicer-dependent cleavage and does not trigger a protein kinase RNA-activated (PKR) response in the mammalian cell,
- wherein the double-stranded region of the short hairpin RNA molecule comprises a sequence that is complementary to a portion of the target gene, and
- wherein the short hairpin RNA molecule is stably expressed in the mammalian cell in an amount sufficient to attenuate expression of the target gene in a sequence

specific manner, and is expressed in the cell without use of a PK inhibitor, whereby expression of the target gene is inhibited.

2. The method of claim **1**, wherein the expression construct further comprises LTR sequences located 5' and 3' of the $_5$ sequence encoding the short hairpin RNA molecule.

3. The method of claim **1**, wherein the short hairpin RNA molecule comprises a double-stranded region consisting of at least 21 nucleotides.

4. The method of claim **1**, wherein the short hairpin RNA molecule comprises a double-stranded region consisting of at ¹⁰ least 22 nucleotides.

5. The method of claim **1**, wherein the short hairpin RNA molecule comprises a double-stranded region consisting of at least 25 nucleotides.

6. The method of claim **1**, wherein the short hairpin RNA molecule comprises a double-stranded region consisting of 29 nucleotides.

7. The method of claim 1, wherein the short hairpin RNA molecule has a total length of 70 nucleotides.

8. The method of claim **1**, wherein the RNA polymerase promoter comprises a pol II promoter or a pol III promoter.

9. The method of claim $\hat{\mathbf{s}}$, wherein the pol III promoter comprises a U6, an H1, or an SRP promoter.

10. The method of claim **8**, wherein the pol II promoter comprises a U1 or a CMV promoter.

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