

U.S. Patent No. 8,497,393

Case No. IPR 2016-00006

SteadyMed Ltd.

Petitioner

v.

United Therapeutics Corporation

Patent Owner

November 29, 2016



Topics

1 Legal Concepts

2 Key Scientific Concepts

3 Overview

4 Anticipation

5 Obviousness

- Phares and Moriarty
- Kawakami and Moriarty
- Dependent Claims 6, 10, 21 & 22

6 Claim Construction

1 Legal Concepts

Legal Concepts



We have clearly stated that “[i]n determining validity of a product-by-process claim, the focus is on the product and not the process of making it.” ... “That is because of the ... longstanding rule that an old product is not patentable even if it is made by a new process.”

Purdue Pharma L.P. v. Epic Pharma, LLC, 811 F.3d 1345, 1354 (Fed. Cir. 2016) (cites and internal quotations omitted)

Legal Concepts



“If the product in a product-by-process claim is the same as or obvious from a product of the prior art, the claim is unpatentable even though the prior product was made by a different process.”

In re Thorpe, 777 F.2d 695, 697 (Fed. Cir. 1985)

Legal Concepts



“Purdue claimed the end product; it did not claim a particular method for creating that product, such as the use of hydrogenation after the salting step.... One need not know that the 14-hydroxy was derived from 8α as opposed to 8β to answer that question.”

Purdue Pharma L.P. v. Epic Pharma, LLC, 811 F.3d 1345, 1353 (Fed. Cir. 2016)

Legal Concepts



“[T]he fact that the 14–hydroxy is derived from 8α imparts no structural or functional differences in the low-ABUK [impurity] hydrocodone API as compared to the prior art products. Thus, the court did not err in disregarding the process limitation in its obviousness determination.”

Purdue Pharma L.P. v. Epic Pharma, LLC, 811 F.3d 1345, 1354 (Fed. Cir. 2016)

Legal Concepts



“Cases involving the "**purification**" of a natural substance employ similar analysis. Our predecessor court recognized that merely purifying a naturally occurring substance does not render the substance patentable **unless it results in a marked change in functionality**. *In re Merz*, 25 CCPA 1314, 97 F.2d 599, 601 (1938) (holding that there was no right to a patent on a purer version of ultramarine, but recognizing that if a claimed article is "of such purity that it differs not only in degree but in kind it may be patentable")”

Ass'n for Molecular Pathology v. USPTO, 689 F. 3d 1303, 1353-54 (Fed. Cir. 2012) (emphases added).

Legal Concepts



“[I]f the process by which a product is made imparts ‘structural **and** functional differences’ distinguishing the claimed product from the prior art, then those differences ‘are relevant as evidence of no anticipation’ although they ‘are not explicitly part of the claim.’”

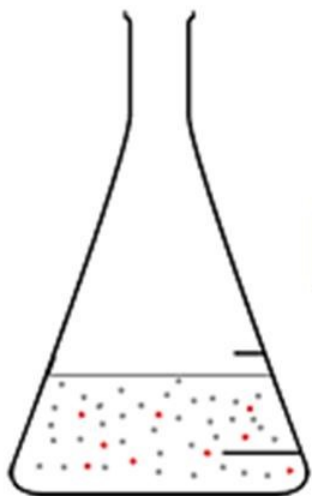
Purdue Pharma L.P. v. Epic Pharma, LLC, 811 F.3d 1345, 1354 (Fed. Cir. 2016) (cites and internal quotations omitted) (emphasis added)

2 Key Scientific Concepts

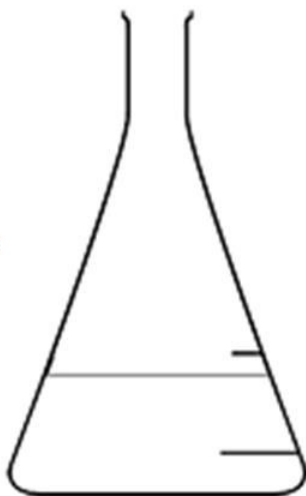
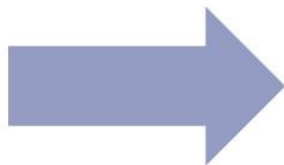
Key Scientific Concepts

Recrystallization

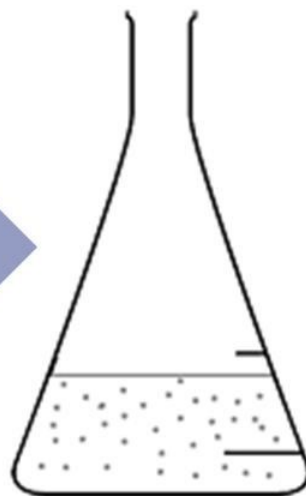
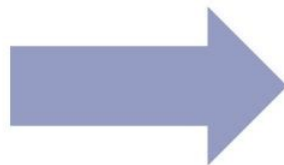
Recrystallization in a Nutshell



Product is not pure.
(Impurities are red.)



Heat up solution to
dissolve impure
product.



When solution cools down,
purer product “crashes out” and
dissolved impurities get left behind.

Key Scientific Concepts

Recrystallization

Q: How long has crystallization been around as a method of purification?

A: I don't know how long it's been around.

Q: Before 2007?

A: Oh, yes.

Q: Did you learn about it when you were in college at the university?

A: Yes, I did. [...]

Q: And when did you go to college?

A: In 1968 I started. In 1968.

* * *

Q: ... But how far back does doing that process you just described, how far back does that go?

The Witness: Decades.

(Ex. 2058, 175:19-176:22, 179:11-17)

Key Scientific Concepts

Melting Point

The method [of differential scanning calorimetry] can also be used as an accurate measure of the melting point and purity of the sample. In fact, the change of melting point is related to the mole fraction of impurities as given by Equation 5.2:

$$T_s = T_0 - \frac{T_0^2 R X_i}{F \Delta H_f} \quad (5.2)$$

where T_s , is the sample temperature, T_0 is the melting point of the pure compound, R is the gas constant, X_i , is the mole fraction of the impurity, F is the fraction of the solid melted, and ΔH_f is the enthalpy of fusion of the pure compound. According to the equation, a plot of T_s versus $1/F$ should give a straight line whose slope is proportional to X_i (Brittain *et al.*, 1991).

Stephen R. Byrn *et al.*, *Solid-State Chemistry of Drugs*, Chapter 5, "Thermal Methods of Analysis," 81-901 (2d ed. 1999) (Ex. 1027, at 84.)

Key Scientific Concepts

Melting Point

Figure 18

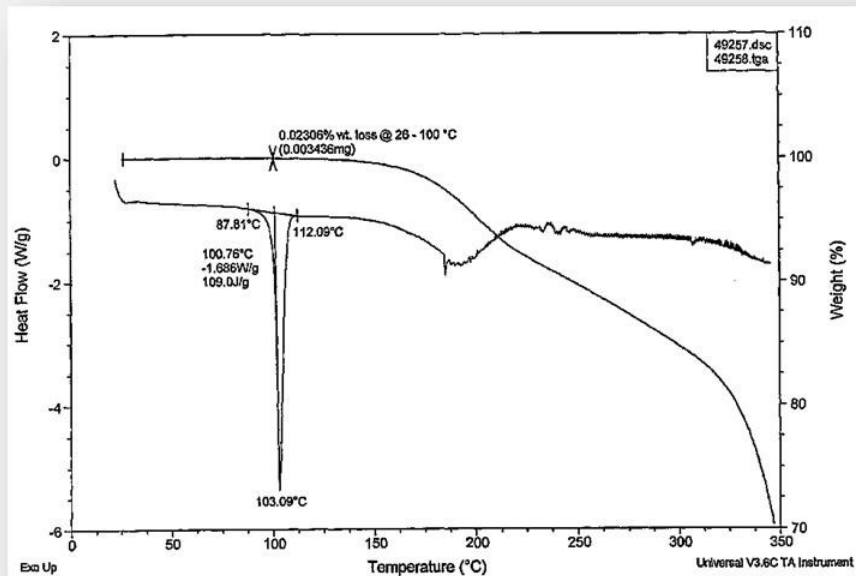
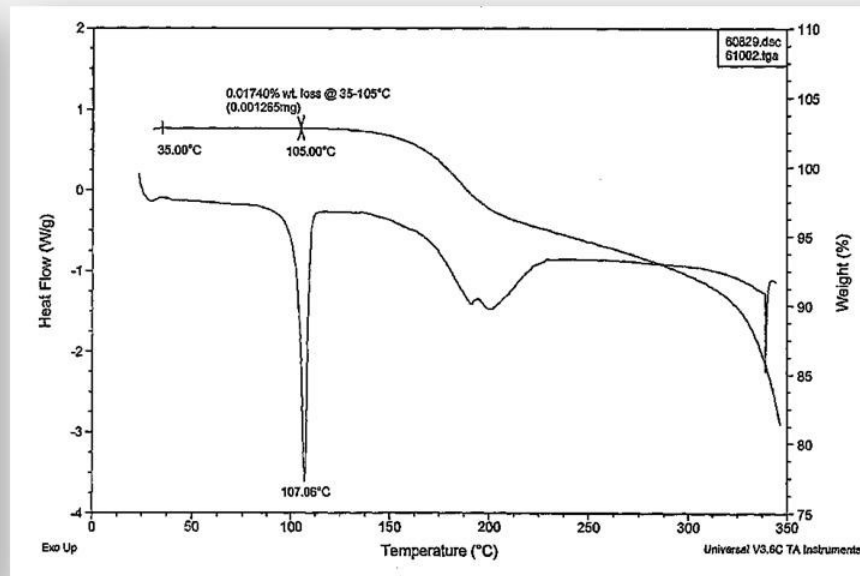


Figure 21



Ex. 1005 ("Phares"), Figures 18 and 21.

Key Scientific Concepts

Melting Point

The method [of differential scanning calorimetry] can also be used as an accurate measure of the melting point and purity of the sample. In fact, the change of melting point is related to the mole fraction of impurities as given by Equation 5.2:

$$T_s = T_0 - \frac{T_0^2 R X_i}{F \Delta H_f} \quad (5.2)$$

where T_s , is the sample temperature, T_0 is the melting point of the pure compound, R is the gas constant, X_i , is the mole fraction of the impurity, F is the fraction of the solid melted, and ΔH_f is the enthalpy of fusion of the pure compound. According to the equation, a plot of T_s versus $1/F$ should give a straight line whose slope is proportional to X_i (Brittain *et al.*, 1991).

Stephen R. Byrn *et al.*, *Solid-State Chemistry of Drugs*, Chapter 5, "Thermal Methods of Analysis," 81-901 (2d ed. 1999) (Ex. 1027, at 84.)

Key Scientific Concepts

HLPC and Purity

Analytical data on and Treprostinil Diethanolamine Salt (1:1)

Test	Batch 1	Batch 2
IR	Conforms	Conforms
Residue on Ignition (ROI)	<0.1% w/w	<0.1% w/w
Water content	0.1% w/w	0.0% w/w
Melting point	105.0-106.5° C.	104.5-105.5° C.
Specific rotation $[\alpha]_{589}^{25}$	+34.6°	+35°
Organic volatile impurities		
Ethanol	Not detected	Not detected
Ethyl acetate	Not detected	<0.05% w/w
Heptane	<0.05% w/w	<0.05% w/w
HPLC (Assay)	100.4%	99.8%
Diethanolamine	Positive	Positive

Ex. 1001, '393 Patent
col.13, ll.50-65

Key Scientific Concepts

HLPC and Purity

During the initial analytical method validation for the treprostinil assay, the results indicated that there is about 2% variability in the assay. Our specifications of 97.0-101.0% were centered at 99% purity for the API. When the process for the manufacture of treprostinil was instituted in Silver Spring, it was observed that the purity of the treprostinil improved to close to 100%. From a statistical stand-point, an analytical variability of 2% in the assay may result in an OOS on the high side (considering a two sigma range) when the upper limit of the specification is 101.0%. Scientifically, an API cannot have a purity of greater than 100%. If an API is 100% pure, 2% variability in the assay may result in an OOS at specifications of 97.0-101.0%. During development in Silver Spring, it was observed that there were

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Ex. 2006 at 3

3 Overview

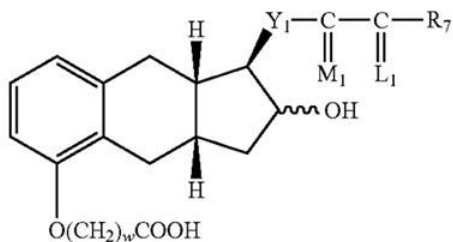
Overview

Independent Claims

Claim 1

What is claimed is:

1. A product comprising a compound of formula I



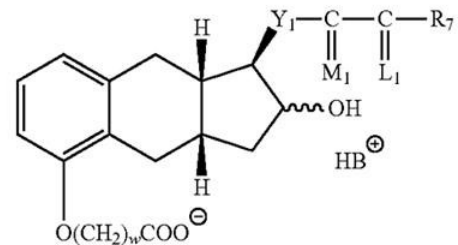
(I)

or a pharmaceutically acceptable salt thereof, wherein said product is prepared by a process comprising

(a) alkylating a compound of structure II with an alkylating agent to produce a compound of formula III,

(b) hydrolyzing the product of formula III of step (a) with a base,

(c) contacting the product of step (b) with a base B to form a salt of formula I_s.



(I_s)

and

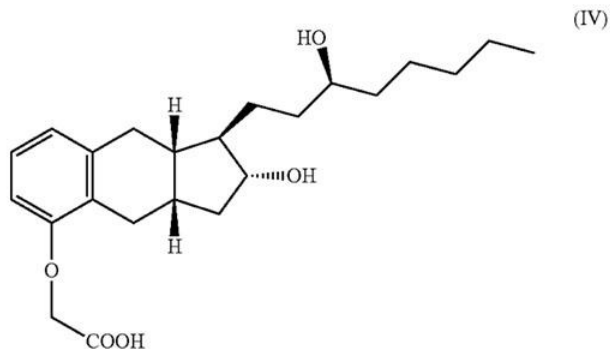
(d) optionally reacting the salt formed in step (c) with an acid to form the compound of formula I.

Overview

Independent Claims

Claim 9

9. A product comprising a compound having formula IV

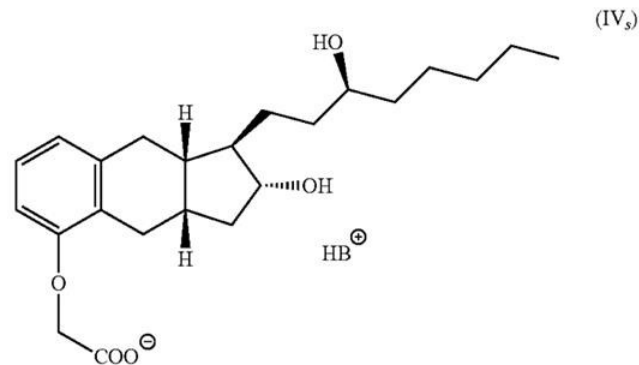


or a pharmaceutically acceptable salt thereof, wherein the product is prepared by the process comprising

(a) alkylating a compound of formula V with an alkylating agent to produce a compound of formula VI,

(b) hydrolyzing the product of formula VI of step (a) with a base,

(c) contacting the product of step (b) with a base B to form a salt of formula IV_s, and



(d) optionally reacting the salt formed in step (c) with an acid to form the compound of formula IV.

The Intramolecular Asymmetric Pauson-Khand Cyclization as a Novel and General Stereoselective Route to Benzindene Prostacyclins: Synthesis of UT-15 (Treprostinil)

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Received June 5, 2003

A general and novel solution to the synthesis of biologically important stable analogues of prostacyclin PGI₂, namely benzindene prostacyclins, has been achieved via the stereoselective intramolecular Pauson-Khand cyclization (PKC). This work illustrates for the first time the synthetic utility and reliability of the asymmetric PKC route for synthesis and subsequent manufacture of a complex drug substance on a multikilogram scale. The synthetic route surmounts issues of individual step stereoselectivity and scalability. The key step in the synthesis involves efficient stereoselection effected in the PKC of a benzoyne under the agency of the benzylc OTBDMS group, which serves as a temporary stereodirecting group that is conveniently removed via benzylic hydrogenolysis concomitantly with the catalytic hydrogenation of the enone PKC product. Thus the benzylc chiral centre dictates the subsequent stereoselection of the stereogenic centers at three carbon atoms (C₂, C₃, and C₄).

Prostacyclin (PGI₂) (1) is an important physiological prostanoid and occurs as a major metabolic product from arachidonic acid throughout the vasculature and is produced in the endothelium and in smooth muscles.¹⁻⁴ PGI₂ is the most potent endogenous vasodilator in both

systemic and pulmonary circulation. It exerts effects on vascular smooth muscle cells and inhibits both platelet aggregation and adhesion.⁵⁻⁷ These biological activities are relevant to a broad range of cardiovascular diseases including congestive heart failure, peripheral vascular disease, myocardial ischemia, and pulmonary hypertension.⁸⁻¹⁰

Use of PGI₂ as a drug for coronary disease has not been fruitful because of the fleeting half-life of this compound (~10 min at pH 7.6 at 25 °C).¹¹ The ability to inhibit platelet aggregation in plasma samples is lost within 5 min.¹² Application of PGI₂ to disease therapy presents a typical drug delivery challenge that is dealt with either mechanically by an appropriate pharmaceutical device or chemically by synthesizing a hydrolytically stable analogue that retains the biological activity. The first option currently is used for the treatment of pulmonary hypertension in which an aqueous solution of PGI₂ sodium salt (chemical name, epoprostenol; trade name Flolan) is pumped continuously and intravenously through a catheter permanently placed in the patient's chest via a portable external pump. PGI₂ is highly sensitive and must be stored in 15 and 25 °C, and the formulation in a buffer solution must be prepared by the patient on a daily basis.¹³ The PGI₂ is thereby introduced directly

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1800 J. Org. Chem. 2004, 69, 1800–1802

nonane-hexanes to give 1657 g (80%) of pure product: mp 113–115 °C; $n_D^{20} = 1.503$ (0.204, MeOH); IR 3415, 3050, 2932, 1753, and 1702 cm⁻¹; ¹H NMR (MeOH, 200 MHz) δ 9.89 (s, 1H, J = 6 Hz), 1.1–2.30 (m, 19H), 2.41–2.45 (m, 2H), 2.64–2.78 (m, 2H), 3.45–3.54 (m, 1H), 3.55–3.81 (m, 1H), 6.65 (d, 1H, J = 8 Hz), 6.73 (d, 1H, J = 8 Hz), 6.99 (s, 1H, J = 8 Hz); ¹³C NMR (MeOH, 75 MHz) δ 131, 22.4, 25.2, 25.3, 28.3, 31.8, 32.1, 33.3, 34.7, 37.0, 41.6, 51.3, 71.6, 73.6, 112.5, 119.2, 124.7, 125.7, 140.5, 153.8. *J*ms: MeOH, 217 nm; HPLC, Waters Novaopak C₁₈ column (3.9 × 150 mm), 4 μm; flow rate: 2.0 mL/min; mobile phase, water (57%) acetonitrile (43%); acetonitrile: water (1:1); retention time: 3 min (purity 99.5%). Anal. Calcd for C₁₇H₁₉O₂: C, 74.86; H, 6.970. Found: C, 75.38; H, 9.89.

[(1R,2R,3S,5S) Hexahydro-2-hydroxy-1-(2S,3-bis-hydroxyethyl)butyl]indene-5-ylhexanoate (5).
 To a stirred solution of benzindene triad 34 (45.2 g, 1.36 mol) in acetone (20 L) were added diisocyanotriole (43.5 g, 5.74 mol), powdered K₂CO₃ (114 g, 8.29 mol), and tetrabutylammonium bromide (20.64 g, 0.12 mol) under argon. The reaction mixture was refluxed under argon for 8 h, then cooled to room temperature, 19 L of hexanes were added, and the solution was stirred and filtered over Celite. Celite was washed with ethyl acetate. The filtrate was concentrated in vacuo and the crude viscous liquid was chromatographed on silica gel with a solvent gradient of 20–50% ethyl acetate in hexanes to yield 504 g (100%) of benzindene triad 35. IR 3339, 2931, 2869, 2249, 1920, and 1745 cm⁻¹; ¹H NMR (CDCl₃, 300 MHz) δ 9.87 (s, 1H, J = 6 Hz), 1.00–2.35 (m, 17H), 2.45–2.60 (m, 2H), 2.75–2.80 (m, 2H), 3.41–3.58 (m, 1H), 3.69–3.90 (m, 1H), 4.68 (s, 2H), 6.77 (d, 1H, J = 6 Hz), 6.89 (d, 1H, J = 9 Hz), and 7.09 (t, 1H, J = 9 Hz); ¹³C NMR (CDCl₃, 75 MHz) δ 142, 22.7, 25.5, 31, 32.6, 32.0, 32.7, 33.8, 35.1, 37.5, 41, 52.3, 54.6, 72.4, 76.8, 110.6, 115.7, 123.0, 126.4, 128.5, 141.7, 153.7. Anal. Calcd for C₂₄H₃₃O₅: C, 74.36; H, 8.90. Found: C, 74.74; H, 9.12.

[(1R,2R,3S,5S)2,3,3a,4,9a-Hexahydro-2-hydroxy-1-(2R,3-bis-hydroxyethyl)butyl]indene-5-ylhexanoate (6).
 To a stirred solution of benzindene triad 35 (504 g, 1.36 mol) in methanol (7 L) was added a solution of aqueous NaOH (258 g, 9.6 equiv, water 1.8 L, 50% solution) at room temperature. Then the reaction mixture was refluxed for 2 h, cooled to room temperature, and filtered. The solid was washed with water and dried until pH 10–12. Most of the solvent was removed

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 Publication Date: February 16, 2004 | doi:10.1021/jo041772g

tonitrile (78%):trifluoromethane (purity 99.7%). An 8. Found: C, 70.41; H,

standards were filtered, washed with 20% ethanol-water, and dried in a vacuum oven at 55 °C to give 441 g (83%) of pure UT-15 as a colorless crystalline solid; mp 120–127 °C; $n_D^{20} = 1.526$ (0.473, MeOH); $n_D^{25} = 1.517$ (0.451, EtOH); IR 3268, 2928, 2856, 1770, 1713, 1548, and 1719 cm⁻¹; ¹H NMR (CDCl₃, 300 MHz) δ 9.87 (s, 1H, J = 6 Hz), 1.21–1.85 (m, 19H), 2.02–2.41 (m, 4H), 3.42–3.76 (m, 3H), 3.81 (s, 2H), 3.82–3.94 (m, 1H), 4.43–4.68 (m, 1H), 4.88–4.92 (m, 1H), 4.94–4.98 (m, 1H), 4.99–5.02 (m, 1H), 5.69 (s, 1H), 5.92–6.06 (m, 1H), 6.85 (d, 1H, J = 8 Hz), 7.29–7.27 (m, 1H), 7.31–7.37 (m, 1H); ¹³C NMR (MeOH, 75 MHz) δ 131, 22.4, 25.1, 25.3, 28.3, 31.8, 33.3, 34.7, 36.9, 40.7, 41.0, 51.3, 65.2, 71.6, 76.3, 119.5, 119.7, 125.8, 127.4, 140.8, 155.2, 171.5. UV: λ_{max} MeOH, 211 nm; HPLC, Hyperical CDS column (4.6 × 250 mm), 5 μm; flow rate: 2.0 mL/min; mobile phase, A, water; B, 60% acetonitrile (10% trifluoroacetic acid (0.1%), and mobile phase B, water (2%); acetonitrile (78%):trifluoroacetic acid (0.1%); retention time, 15 min (purity 99.7%). Anal. Calcd for C₂₄H₃₃O₅: C, 70.74; H, 8.78. Found: C, 70.41; H, 8.83. Compound 6 was identical in all respects to an authentic sample of UT-15.¹⁰

Acknowledgment. Scientific contribution and encouragement by Roy A. Swearingen, Ph.D. is gratefully acknowledged. Expert technical assistance was provided by Zhongrui Song, Gang Zhao, Rajesh K. Singhal, Oscar Icazuri, and David Moriarty.

Supporting Information. Listing of borium (II) induced differential chemical shifts in 25a. This material is available free of charge via the Internet at <http://pubs.acs.org>. JO041772D

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1802 J. Org. Chem., Vol. 69, No. 6, 2004

JOC Article

The Intramolecular Asymmetric Pauson-Khand Cyclization as a Novel and General Stereoselective Route to Benzindene Prostacyclins: Synthesis of UT-15 (Treprostinil)

Robert M. Moriarty,^{1*} Neena Rani,¹ Livia A. Enache,¹ Managala S. Rao,¹ Hitesh Batra,¹ Liang Guo,¹ Raju A. Pennamra,¹ James P. Staszewski,¹ Suderman M. Tutalhar,¹ Om Prakash,¹ David Crich,² Anca Hirtopceanu,² and Richard Gilardi¹

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10. The product of claim 9, wherein the purity of product of step (d) is at least 99.5%.

wherein the three carbon atoms (C₁, C₂, and C₃)

Prostacyclin (PGI₂) (I) is an important physiological prostanoic acid and occurs as a major metabolic product from arachidonic acid throughout the vasculature and is produced in the endothelium and in smooth muscles.¹⁻⁴ PGI₂ is the most potent endogenous vasodilator in both

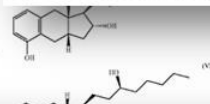
systemic and pulmonary circulation. It exerts effects on vascular smooth muscle cells and inhibits both platelet aggregation and adhesion.⁵⁻⁷ These biological activities are relevant to a broad range of cardiovascular diseases including congestive heart failure, peripheral vascular disease, myocardial ischemia, and pulmonary hypertension.⁸⁻¹⁰ Use of PGI₂ as a drug for coronary disease has not been fruitful because of the fleeting half life of this compound (~10 min at pH 7.6 at 25 °C).¹¹ The ability to inhibit platelet aggregation in plasma samples is lost within 5 min.¹² Application of PGI₂ to disease therapy presents a typical drug delivery challenge that is dealt with either mechanically by an appropriate pharmaceutical device or chemically by synthesizing a hydrolytically stable analogue that retains the biological activity. The first option currently is used for the treatment of pulmonary hypertension in which an aqueous solution of PGI₂ sodium salt (chemical name, eprostenol; trade name Flolan) is pumped continuously and intravenously through a catheter permanently placed in the patient's chest via a portable external pump. PGI₂ is light sensitive and must be stored between 15 and 25 °C, and the formulation in a buffer solution must be prepared by the patient on a daily basis.¹³ The PGI₂ is thereby introduced directly

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¹⁶Corre, E. P., Frazier, H. L., Sankari, L., Ishiguro, M., *Tetrahedron Lett.* **1978**, *19*, 1027-1028.
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²²W. J. J. Chem. Soc., *Proc. Chem. Soc.* **1977**, 74-75.

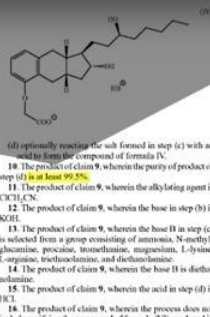
tonitrile (78%):trifluoromethane (purity 99.7%). Analysis: Calcd. for C₁₆H₁₈N₂O₂: C, 70.41; H, 6.88. Found: C, 70.41; H, 6.88.

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 (2) 2-(2-furyl)ethyl,
 (3) 2-(4-thienyl)ethyl, or
 (4) 3-isopropoxyethyl.
 M₁ is α-OH-β-R₁ or α-OH-β-OH or α-OR-β-R₁ or α-R₁-β-OR, wherein R₁ is hydrogen or methyl, R₂ is an alcohol protecting group and
 L₁ is α-R₂-β-R₂, α-R₂-β-R₁, or a mixture of α-R₂-β-R₂ and α-R₂-β-R₁, wherein R₂ and R₃ are hydrogen, methyl, or fluoro, being the same or different, with the proviso that one of R₂ and R₃ is fluoro only when the other is hydrogen or fluoro.
 (b) hydrolyzing the product of formula III of step (a) with an acid.
 (c) reacting the product of step (b) with a base II to form a salt of formula IV.



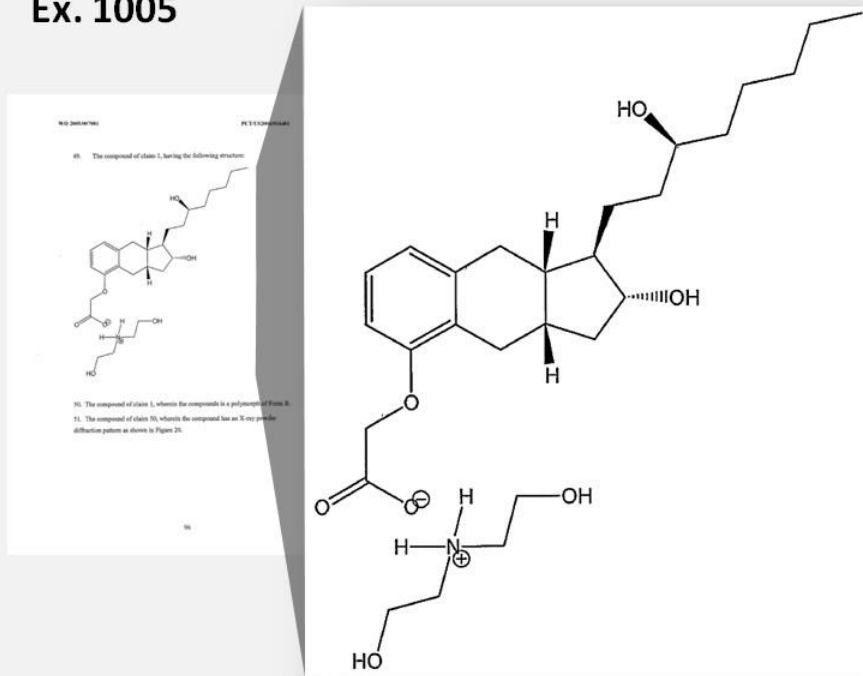
2. The product of claim 1, wherein the purity of compound of formula I in said product is at least 99.5%.
 3. The product of claim 1, wherein the alkylating agent is CH₃CH₂CN, HOCH₂CH₂CN, or H₂C=CHCN.
 4. The product of claim 1, wherein the base in step (b) is KOH or NaOH.
 5. The product of claim 1, wherein the base II in step (c) is selected from the group consisting of ammonia, N-methylglucamine, procaine, tromethamine, magnesium, L-lysine, L-arginine, triethanolamine, and diethanolamine.
 6. The product of claim 1, wherein the acid in step (d) is HCl or H₂SO₄.
 7. The product of claim 1, wherein Y₁ is -CH₂CH₂-M₁, is α-OH-β-OH or α-OH-β-OR, -OR, -OR₂, taken together is -CH₂CH₂-M₁, and w is 1.
 8. The product of claim 1, wherein the process does not include purifying the compound of formula (III) produced in step (a).
 9. A product comprising a compound having formula IV
 (d) optionally reacting the salt formed in step (c) with an acid to form the compound of formula IV.
 10. The product of claim 9, wherein the purity of product of step (d) is at least 99.5%.
 11. The product of claim 9, wherein the alkylating agent is CH₃CH₂CN.
 12. The product of claim 9, wherein the base in step (b) is KOH.
 13. The product of claim 9, wherein the base II in step (c) is selected from a group consisting of ammonia, N-methylglucamine, procaine, tromethamine, magnesium, L-lysine, L-arginine, triethanolamine, and diethanolamine.
 14. The product of claim 9, wherein the base II is diethanolamine.
 15. The product of claim 9, wherein the acid in step (d) is HCl.
 16. The product of claim 9, wherein the process does not include purifying the compound of formula (VI) produced in step (a).
 17. The product of claim 16, wherein the base II in step (c) is selected from a group consisting of ammonia, N-methylglucamine, procaine, tromethamine, magnesium, L-lysine, L-arginine, triethanolamine, and diethanolamine.
 (a) alkylating a compound of formula V with an alkylating agent to produce a compound of formula VI,
 or a pharmaceutically acceptable salt thereof, wherein the product is prepared by the process comprising



Overview

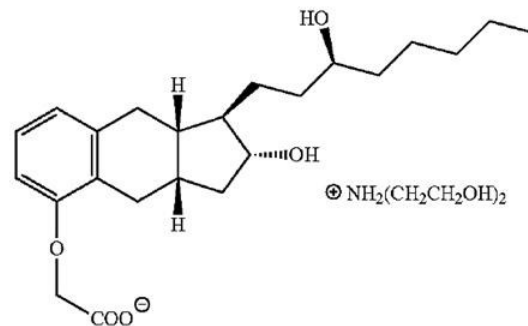
Prior Art: Phares

Ex. 1005



Ex. 1001

(c) contacting the product of step (b) with a base B such as diethanolamine to form a salt of the following structure, and



(d) reacting the salt from step (b) with an acid such as HCl to form the compound of formula IV.

In one embodiment, the purity of compound of formula IV is at least 90.0%, 95.0%, 99.0%, 99.5%.

Overview

Phases and Melting Point

The method [of differential scanning calorimetry] can also be used as an accurate measure of the melting point and purity of the sample. In fact, the change of melting point is related to the mole fraction of impurities as given by Equation 5.2:

$$T_s = T_0 - \frac{T_0^2 R X_i}{F \Delta H_f} \quad (5.2)$$

where T_s , is the sample temperature, T_0 is the melting point of the pure compound, R is the gas constant, X_i , is the mole fraction of the impurity, F is the fraction of the solid melted, and ΔH_f is the enthalpy of fusion of the pure compound. According to the equation, a plot of T_s versus $1/F$ should give a straight line whose slope is proportional to X_i (Brittain *et al.*, 1991).

Stephen R. Byrn *et al.*, *Solid-State Chemistry of Drugs*, Chapter 5, "Thermal Methods of Analysis," 81-901 (2d ed. 1999) (Ex. 1027, at 84.)

Overview

Prior Art: Phares

Ex. 1005

NO 2005/06781

PCEN 2005/0101

however, significant differences are observed in the range of approximately 12–17 °C (Fig. 20).

The thermal data for Form B are shown in Figure 21. The DSC thermogram (Sample ID 1557-17-01) shows a single endotherm at 107 °C that is consistent with a melting event (as determined by hotstage microscopy). The TG shows minimal weight loss up to 100 °C.

The moisture sorption/desorption data for Form B are shown in Figure 22. There is minimal weight loss at 5% RH and the material absorbs approximately 40% water at 95% RH. Upon desorption from 95% down to 5% RH, the sample loses approximately 47%.

Form A and Form B can easily be detected in the DSC curve. Based on the above characterization data, Form B appears to be a crystalline material which melts at 107 °C.

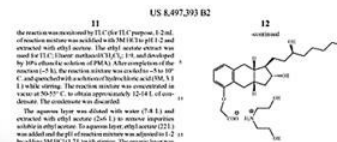
The thermal data for **Form B** are shown in Figure 21. The DSC thermogram (Sample ID 1557-17-01) shows a single endotherm at **107 °C** that is consistent with a **melting event** (as determined by hotstage microscopy). The TG shows minimal weight loss up to 100 °C.

At this stage, if **melting point of the treprostinil diethanolamine salt is more than 104° C.**, it was considered **polymorph B**. There is no need of recrystallization. If it is less than 104° C., it is recrystallized in EtOH-EtOAc to increase the melting point.

Data on Treprostinil Diethanolamine Salt (1:1)

Batch No.	Wt. of Benzindene Triol (g)	Wt. of Treprostinil Diethanolamine Salt (1:1) (g)	Yield (%)	Melting point (°C.)
1	1250	1640	88.00	104.3-106.3
2	1250	1528	82.00*	105.5-107.2

Ex. 1001



Property	Form A	Form B
Melting point (°C)	104.3-106.3	105.5-107.2
Weight loss (%)	~4%	~4%
Moisture sorption (%)	~40%	~40%

Example 3
Conversion of Treprostinil Diethanolamine Salt (1:1)

The diethanolamine salt was subjected to a heating-cooling system, a mechanical stress, a compression, and a thermogravimetric analysis (TGA) with a mixture of treprostinil diethanolamine salt and diethanolamine (4:1 v/v). While cooling, the mixture mixture was heated to 100°C, the 0.5:1.5 v/v mixture a clear solution. The color of the mixture was white to 75°C, at this temperature, the seed of polymorph B of treprostinil diethanolamine salt (1:1) was added to the clear solution. The temperature of polymorph B was allowed at this temperature for 16-24 h. The treprostinil diethanolamine salt was collected by filtration. The treprostinil diethanolamine salt was washed with diethyl ether, and the solid was washed with diethyl ether (2x). The treprostinil diethanolamine salt was washed with diethyl ether (2x) and dried under high vacuum.

At the stage of melting point of the treprostinil diethanolamine salt (more than 104°C, it was recrystallized in EtOH-EtOAc to increase the melting point. If it is less than 104°C, it is recrystallized in EtOH-EtOAc to increase the melting point.

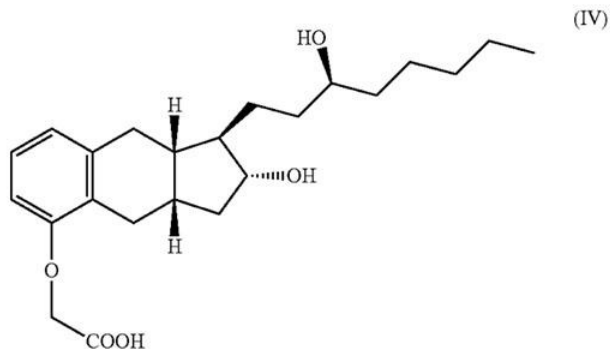
4 Anticipation

Anticipation

Independent Claims

Claim 9

9. A product comprising a compound having formula IV

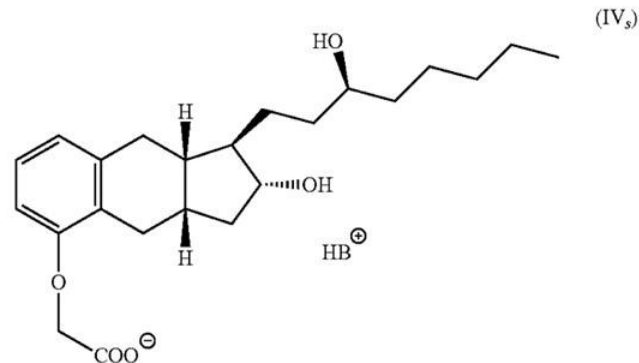


or a pharmaceutically acceptable salt thereof, wherein the product is prepared by the process comprising

(a) alkylating a compound of formula V with an alkylating agent to produce a compound of formula VI,

(b) hydrolyzing the product of formula VI of step (a) with a base,

(c) contacting the product of step (h) with a base B to form a salt of formula IV_s, and

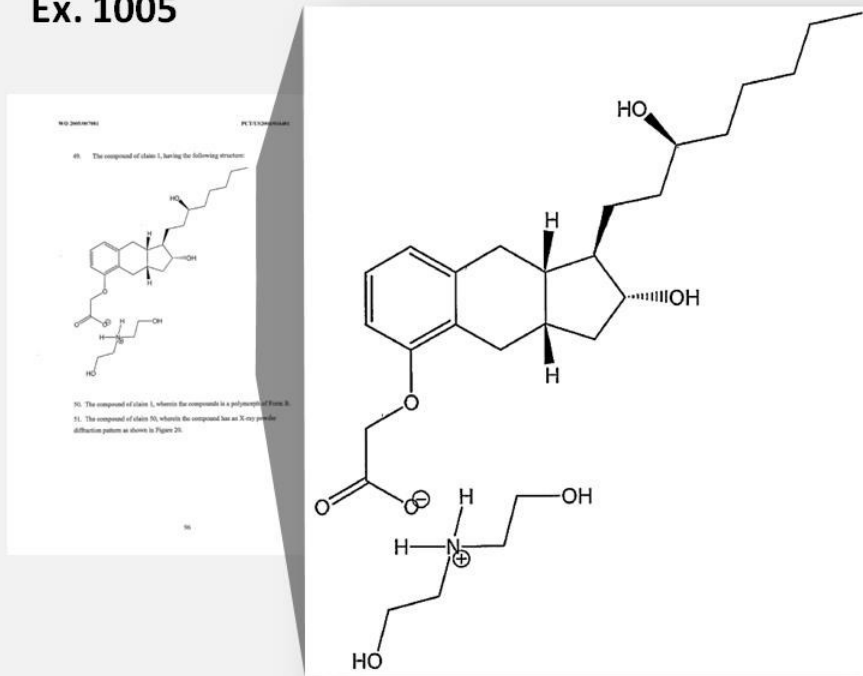


(d) optionally reacting the salt formed in step (c) with an acid to form the compound of formula IV.

Anticipation

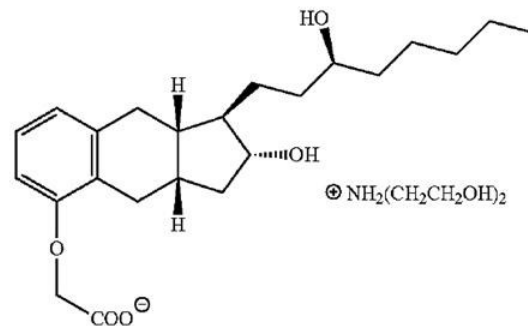
Prior Art: Phares

Ex. 1005



Ex. 1001

(c) contacting the product of step (b) with a base B such as diethanolamine to form a salt of the following structure, and



(d) reacting the salt from step (b) with an acid such as HCl to form the compound of formula IV.
In one embodiment, the purity of compound of formula IV is at least 90.0%, 95.0%, 99.0%, 99.5%.

Anticipation

'393 Patent/Phares Melting Points

Ex. 1001: '393 Patent

Example 3

Batch 1: 104.3-106.3 °C

Batch 3: 104.7-106.6 °C

Example 4

Batch 1: 105.0-106.5 °C

Batch 2: 104.5-105.5 °C

Batch	Melting Point (°C)
104	104.3-106.3
105	104.5-105.5
106	104.7-106.6

Ex. 1005: Phares

“The DSC thermogram (Sample ID 1557-17-01) shows a single endotherm at 107 °C that is consistent with a melting event (as determined by hotstage microscopy).”

Ex. 1005 at 91

Figure 21: “107.06 °C”

Ex. 1005 at 121



Anticipation

Prior Art: Phares

IX. CONCLUSION

88. In summary, no matter how Form B is made, Form B has a single, defined melting point, and since the Phares Reference (Ex. 1005) discloses the same Form B crystal form as the '393 Patent (Ex. 1001), but a higher melting point than most of the '393 Patent examples, Phares necessarily discloses a salt of at least equal purity to the salt in the '393 Patent, if not higher.

Paper _____

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

STEADYMED LTD.
Petitioner,

v.
UNITED THERAPEUTICS CORPORATION
Patent Owner.

Case IPR 2016-00006
Patent No. 8,497,393

DECLARATION OF ROBIN D. ROGERS IN SUPPORT OF
PETITIONER'S REPLY

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Patent Trial and Appeal Board
U.S. Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

IPR2016-00006
SteadyMed - Exhibit 1022 - Page 1

IPR2016-00006
SteadyMed - Exhibit 1022 - Page 43

Anticipation

Prior Art: Phares

Dr. Williams declared identical polymorphs might have different melting points, depending on how they were made.

THE WITNESS: Yeah. So I'm not a polymorph expert.

Ex. 2059 (Williams Dep.) 158:17-18

Q. Do you consider yourself an expert on crystal forms of organic molecules?

A. No.

Ex. 2059 (Williams Dep.) 156:25-157:2

Anticipation

Prior Art: Phares

Dr. Williams relied on “Adhiyaman reference” (Ex. 2030), which he initially believed showed different melting points for same crystal form.

Q. Okay. So each of these is really a different crystal form of the same drug; is that fair?

A. I think that's fair.”

Ex. 2059 (Williams Dep.) 180:17-20.

Anticipation

Process can be Different



“If the product in a product-by-process claim is the same as or obvious from a product of the prior art, the claim is unpatentable even though the prior product was made by a different process.”

In re Thorpe, 777 F.2d 695, 697 (Fed. Cir. 1985)

Anticipation

Starting Material Irrelevant



“Purdue claimed the end product; it did not claim a particular method for creating that product, such as the use of hydrogenation after the salting step.... One need not know that the 14-hydroxy was derived from 8α as opposed to 8β to answer that question.”

Purdue Pharma L.P. v. Epic Pharma, LLC, 811 F.3d 1345, 1353 (Fed. Cir. 2016)

Anticipation

Prior Art: Phares

INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization
International Bureau

(43) International Publication Date
27 January 2005 (2005/07881 A2)

(51) International Patent Classification:
A61K 31/505 (20060101)

(52) International Filing Date:
20 May 2004 (2004/2004)

(53) Filing Language:
English

(54) Publication Language:
English

(56) Priority Date:
09/07/2003

(71) Applicant (for all designated states except US):
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Crawford Avenue, N.W., Third Floor, Washington,
DC, 20009-6735

(72) Inventor and
Appointees/Applicants (for US only): PHARES, Ken

(84) Title: COMPOSITION AND METHOD FOR DELIVERY OF PROSTAGLANDIN ANALOGS

(85) Abstract: This invention pertains generally to prostaglandin analogs and methods for their use in prostaglandin-mediated signaling events associated with fibrinolytic, vasodilatory, and relaxing activities. Generally, the compounds and methods of the present invention improve the oral bioavailability and circulating concentrations of prostaglandin when administered orally.

WO 2005/07881 A2

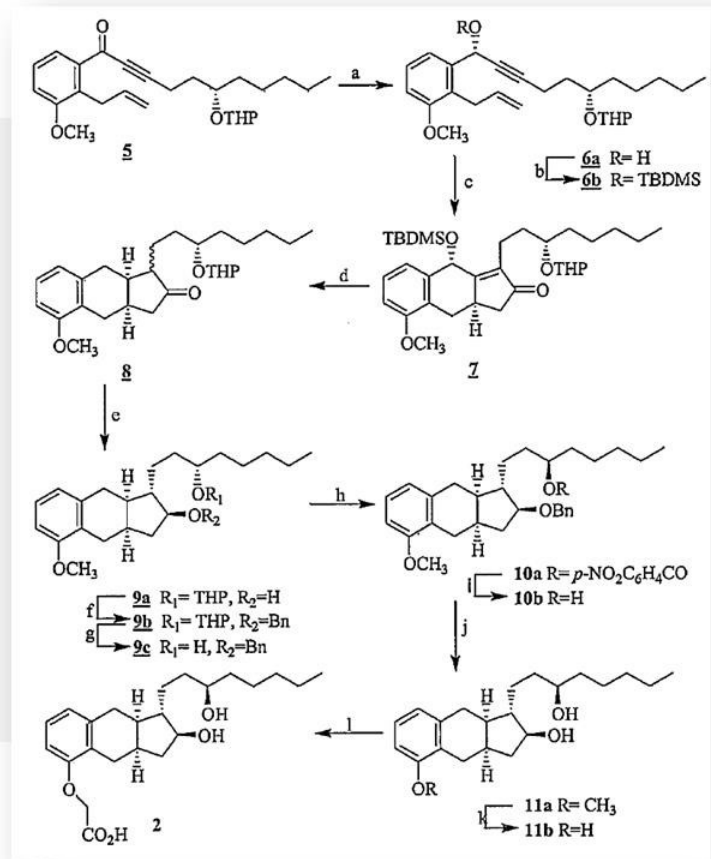
WO 2005/07881 A2

FIG. 1

(6) (S)-2-methyl-1-CBS-oxa-bicyclo[3.2.1]octane, 85% (9) TBDMSCl, imidazole, CH₂Cl₂, 95%; (10) C₁₀H₁₆O₂, CH₂Cl₂, 2hr, r.t., then CH₂Cl₂, reflux, 95%; (11) K₂CO₃, P₂O₅ (10%), EtOAc, 55 psi/2 hr, 70% (12) NaOH, EtOH, NaH₂PO₄, 95% (13) H₂O, NaH, THF, 95% (14) CH₃OH, T₂OH, 90% (15) p-nitrobenzoic acid, DEAD, TPP, benzene (16) CH₃OH, KOH, 94% (17) P₂O₅ (10%), EtOH, 50 psi/2 hr, quant. (18) Ph₃PLA, THF (19) C₁₀H₁₆O₂, K₂CO₃, Et₃N, CH₃OH, reflux, 83% (3 steps)

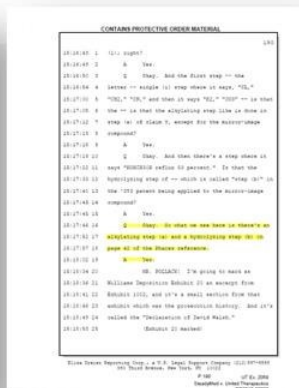
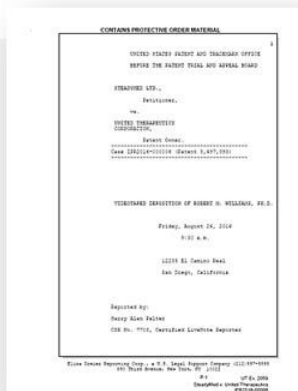
Briefly, the enantiomer of the commercial drug (+)-Trepiprolol was synthesized using the stereoselective intramolecular Pauson-Khand reaction as a key step and Mitsunobu inversion of the side-chain hydroxyl group. The absolute configuration of (+)-Trepiprolol was confirmed by an X-ray structure of the Levivone sulfate derivative.

40



Anticipation

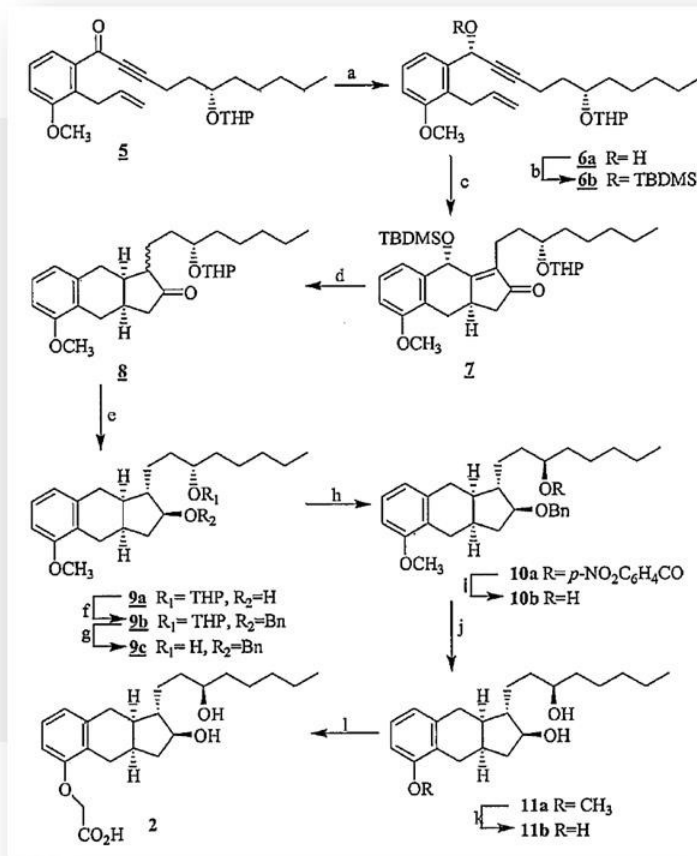
Prior Art: Phares



Q. Okay. So what we see here is there's an alkylating step (a) and hydrolyzing step (b) on page 42 of the Phares reference.

A. Yes.

Ex. 2059 (Williams Dep.) 190: 16-19



Anticipation

Prior Art: Phares

UNITED STATES PATENT AND TRADEMARK OFFICE
BEFORE THE PATENT TRIAL AND APPEAL BOARD

STEADYMED LTD.
Petitioner,

v.
UNITED THERAPEUTICS CORPORATION
Patent Owner.

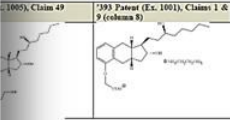
Case IPR 2016-00006
Patent No. 6,441,245

DECLARATION OF JEFFREY D. WINKLER IN SUPPORT OF PETITION
FOR INTER PARTES REVIEW OF
CLAIMS 1-22 OF U.S. PATENT NO. 6,441,245

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Patent Trial and Appeal Board
U.S. Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

1005, Claim 49

393 Patent (Ex. 1001), Claims 1 & 9 (columns 8)



than a change in formatting, the two structures from Phares and Moriarty are identical.

Phares accurately discloses the same process steps to make testosterone salt claimed in the '393 Patent and even discloses the same inherently anticipates Claims 1 and 9 of the '393 Patent.

ed, Phares also details the same Claim 1 and 9 steps (a) or (b) as the testosterone in the '117 Patent and Moriarty reference, but like (-)-treprostinil, the enantiomer of (+)-treprostinil (Ex. 1005, interest and prosecution history admits using these steps (a) and (b) ('393 Patent, (Ex. 1001), col. 1, lines 22-28 (incorporating Moriarty (Ex. 1004), the '117 Patent (Ex. 1003), and U.S. Patent No. 6,441,245 (Ex. 1013) by reference, and col.7, lines 17-20 (describing '245 Patent's process as the same as in the '393 Patent); see also Ex. 1002-1, p. 109).

18

SteadyMed - EN04 1000 Page 21

55. Second, Phares also details the same Claim 1 and 9 steps (a) or (b) as were used to make treprostinil in the '117 Patent and Moriarty reference, but applies them to make (-)-treprostinil, the enantiomer of (+)-treprostinil (Ex. 1005, p. 42). The '393 Patent and prosecution history admits using these steps (a) and (b) in the prior art. ('393 Patent, (Ex. 1001), col. 1, lines 22-28 (incorporating Moriarty (Ex. 1004), the '117 Patent (Ex. 1003), and U.S. Patent No. 6,441,245 (Ex. 1013) by reference, and col.7, lines 17-20 (describing '245 Patent's process as the same as in the '393 Patent); see also Ex. 1002-1, p. 109).

Ex. 1009 (Winkler Decl.) ¶ 55 at 21

Anticipation

Starting Material Irrelevant



“Purdue claimed the end product; it did not claim a particular method for creating that product, such as the use of hydrogenation after the salting step.... One need not know that the 14-hydroxy was derived from 8α as opposed to 8β to answer that question.”

Purdue Pharma L.P. v. Epic Pharma, LLC, 811 F.3d 1345, 1353 (Fed. Cir. 2016)

Anticipation

Impurity Profile Irrelevant



“[T]he fact that the 14–hydroxy is derived from 8α imparts no structural or functional differences in the low-ABUK [impurity] hydrocodone API as compared to the prior art products. Thus, the court did not err in disregarding the process limitation in its obviousness determination.”

Purdue Pharma L.P. v. Epic Pharma, LLC, 811 F.3d 1345, 1354 (Fed. Cir. 2016)

Anticipation

Impurity Profiles Not Different

Ex. 1004: Moriarty

TEST/REFERENCE	SPECIFICATIONS	RESULTS ¹
Chromatographic Purity (HPLC) NB 1, LDR 68 - 72		
1AU90	Not more than 0.5%	ND
2AU90	Not more than 0.5%	ND
97W86 (Benzindene Triol)	Not more than 0.2%	ND
3AU90	Not more than 1.0%	0.2%
Treprostinil Methyl Ester	Not more than 0.2%	<0.05%
Treprostinil Ethyl Ester	Not more than 0.6%	0.2%
750W93	Not more than 1.5%	0.07%
751W93	Not more than 1.3%	<0.05%
Unidentified	Not more than 0.1% AUC each	ND

Ex. 2036 at 5
(Prior Art 12/23/2003)

Ex. 1001: '393 Patent

Treprostinil as the free acid prepared according to claims 1 or 10

Impurities (HPLC)	Compound	Specifications	
		1AU90	Not more than 0.40%
	2AU90	Not more than 0.10%	ND
	3AU90	Not more than 1.00%	ND
	750W93	Not more than 0.50%	0.06 % w/w
	751W93	Not more than 0.30%	< 0.05 % w/w
	97W86 (Benzindene Triol)	Not more than 0.20%	ND
	Treprostinil Ethyl Ester	Not more than 0.50%	0.13 % w/w
	Treprostinil Methyl Ester	Not more than 0.20%	ND
Impurities (HPLC) [Unidentified Impurities]		Not more than 0.10% AUC each	ND
Impurities (HPLC) [Total Related Substances]		Not more than 3.00%	0.2 %

Ex. 1002 at 249
(Walsh Declaration)

Anticipation

Impurity Profiles Meaningless

Results from HPLC Assay

Results from HPLC Assay

Average =
Standard Deviation =

99.7
0.5

99.7 ± 0.5 %

46 SAMPLES	PROTECTIVE ORDER MATERIAL					
NO.	LOT NUMBER	ASSAY PURITY	TOTAL RELATED SUBSTANCES	RESULTS	SOURCE	IMPLIED IMPURITY FROM INDIVIDUAL IMPURITIES
11	0715409003	98.4	Total Related Substances = Impaired Purity	0.0 99.0	Ex. 2052, pp. 28-30 Ex. 2056, pp. 2-3	99.0
12	0715400703	100	Total Related Substances = Impaired Purity	0.2 99.8	Ex. 2053, p. 19 Ex. 2056, pp. 88-89	99.8
13	0715400803	100.0	Total Related Substances = Impaired Purity	0.4 99.6	Ex. 2053, p. 19 Ex. 2056, pp. 95-97	99.6
14	0715400902	99.7	Total Related Substances = Impaired Purity	0.3 99.7	Ex. 2053, p. 19 Ex. 2056, pp. 94-98	99.7
15	0715400803	99.4	Total Related Substances = Impaired Purity	0.6 99.4	Ex. 2053, p. 19 Ex. 2056, pp. 100-103	99.4
16	0715400903	99.0	Total Related Substances = Impaired Purity	1.0 99.0	Ex. 2053, p. 19 Ex. 2056, pp. 33-34	99.0
17	0715400902	99.8	Total Related Substances = Impaired Purity	0.2 99.8	Ex. 2053, p. 19 Ex. 2056, pp. 97-98	99.8
18	0715401003	99.8	Total Related Substances = Impaired Purity	0.2 99.8	Ex. 2053, p. 19 Ex. 2056, pp. 35-36	99.8
19	0715401003	99.7	Total Related Substances = Impaired Purity	0.3 99.7	Ex. 2053, p. 19 Ex. 2056, pp. 37-38	99.7
20	0715401202	99.8	Total Related Substances = Impaired Purity	0.2 99.8	Ex. 2053, p. 19 Ex. 2056, pp. 39-40	99.8
21	0715401203	98.1	Total Related Substances = Impaired Purity	1.9 98.1	Ex. 2053, p. 19 Ex. 2056, pp. 41-42	98.1

46 SAMPLES	PROTECTIVE ORDER MATERIAL					
NO.	LOT NUMBER	ASSAY PURITY	TOTAL RELATED SUBSTANCES	RESULTS	SOURCE	IMPLIED IMPURITY FROM INDIVIDUAL IMPURITIES
84	0715401102	100.7	Total Related Substances = Impaired Purity	0.4 99.6	Ex. 2056, pp. 8-10	99.6
89	0715401203	100.6	Total Related Substances = Impaired Purity	0.4 99.6	Ex. 2056, pp. 6-7	99.6
86	0715401202	99.7	Total Related Substances = Impaired Purity	0.3 99.7	Ex. 2056, pp. 4-5	99.7

Results from HPLC Assay	Results from HPLC Assay
Average =	99.7
Standard Deviation =	0.5
Results from Impaired Purity	Results from Impaired Purity
Average =	99.5
Standard Deviation =	0.2

Ex. 1021 at 5 (Moriarty, average of 46 samples)

Anticipation

Key Scientific Concepts: HPLC and Purity

During the initial analytical method validation for the treprostinil assay, the results indicated that there is about 2% variability in the assay. Our specifications of 97.0-101.0% were centered at 99% purity for the API. When the process for the manufacture of treprostinil was instituted in Silver Spring, it was observed that the purity of the treprostinil improved to close to 100%. From a statistical stand-point, an analytical variability of 2% in the assay may result in an OOS on the high side (considering a two sigma range) when the upper limit of the specification is 101.0%. Scientifically, an API cannot have a purity of greater than 100%. If an API is 100% pure, 2% variability in the assay may result in an OOS at specifications of 97.0-101.0%. During development in Silver Spring, it was observed that there were

3

UT Ex. 2006
SteadyMed v. United Therapeutics
IPR2016-00006

Ex. 2006 at 3

Anticipation

No Functional Differences



“[I]f the process by which a product is made imparts ‘**structural and functional differences**’ distinguishing the claimed product from the prior art, then those differences ‘are relevant as evidence of no anticipation’ although they ‘are not explicitly part of the claim.’”

Purdue Pharma L.P. v. Epic Pharma, LLC, 811 F.3d 1345, 1354 (Fed. Cir. 2016)
(cites and internal quotations omitted) (emphasis added)

Anticipation

No Functional Differences

**ROBERT M.
WILLIAMS,
PH.D**

CONTAINS PROTECTIVE ORDER MATERIAL

1 UNITED STATES PATENT AND TRADEMARK OFFICE
2 BEFORE THE PATENT TRIAL AND APPEAL BOARD

3

4 REAPPOINTED LIT.,
5
6
7

8 PATENT DEPARTMENT
9 Patent Office

10 Case # 2016-00000 (Powers, et al., App. 10)

11

12

13

14 VIDEO DEPOSITION OF
15 ROBERT M. WILLIAMS, PH.D

16

17 Wilson Services Group & Group
18 1000 K Street NW, Suite 500
19 Washington, DC 20006

20 Filed August 19, 2016
21 9:23 a.m.

22

23

24 Requested by:
25 James O. Vukobratovic, CHENANG / JWB/NO 17626

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www.atlasclear.com

Q. Do any of the -- as far as you know, any of these particular impurities have deleterious biological consequences?

THE WITNESS: I'm not a clinician, so I don't know.

BY MR. POLLACK:

Q. You don't know?

A. I don't know.

Ex. 2059 (Williams Dep.) 47: 4-13

**ROBERT R.
RUFFOLO,
PH.D**

CONTAINS PROTECTIVE ORDER MATERIAL

1 REAPPOINTED LIT.,
2 UNITED STATES PATENT AND TRADEMARK OFFICE
3 BEFORE THE PATENT TRIAL AND APPEAL BOARD Page 1

4 REAPPOINTED LIT.,
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6
7

8 PATENT DEPARTMENT
9 Patent Office

10 Case # 2016-00000 (Powers, et al., App. 10)

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12

13

14 VIDEO DEPOSITION OF
15 ROBERT R. RUFFOLO, JR., PH.D

16

17 Wilson Services Group & Group
18 1000 K Street NW, Suite 500
19 Washington, DC 20006

20 Filed August 19, 2016
21 9:23 a.m.

22

23

24 Requested by:
25 James O. Vukobratovic, CHENANG / JWB/NO 17626

Atlas Service Reporting Group, a U.S. Legal Support Company 202-991-0000
800 368-6800, New York, NY 10013 603 397-1000
P.O. Box 10000, Washington, DC 20006
www.atlasclear.com

Q. Do you know if any of these listed chromatographic impurities have any adverse effects in humans?

BY MR. POLLACK:

Q. And if so, what are they?

THE WITNESS: I don't know. What I can tell you is that if you review the FDA label, there are a host of adverse effects produced or observed in patients who are taking treprostinil.

Ex. 2058 (Ruffolo Dep.) 257:22-258:9

Anticipation

No Functional Differences

CONTAINS PROTECTIVE ORDER MATERIAL

STEADYMED LTD., vs UNITED THERAPEUTICS CORPORATION,
Ruffolo, Robert on 08/19/2016 Page 1

1 UNITED STATES PATENT AND TRADEMARK OFFICE
2 BEFORE THE PATENT TRIAL AND APPEAL BOARD
3
4 STEADYMED LTD.,
5 Petitioner,
6 v.
7 UNITED THERAPEUTICS CORPORATION,
8 Patent Owner.
9
10 Case IPR2016-00006 (Patent 6,497,393)
11
12
13 VIDEO DEPOSITION OF
14 ROBERT R. RUFFOLO, JR., PHD
15
16 Wilson Sonzini Goodrich & Rosati
17 1700 K Street NW, Suite 500
18 Washington, DC 20005
19
20 Friday, August 19, 2016
21 9:29 a.m.
22
23
24 Reported by:
25 Denise D. Vickery, CRR/RMR JOB NO. 178626

Elise Zieker Reporting Corp., A U.S. Legal Support Company
950 Third Avenue, New York, NY 10022 (212) 557-5558
P.1 LT Ex 2058
SteadyMed v. United Therapeutics
IPR2016-00006

ROBERT R. RUFFOLO, PH.D

Q. Okay. And I make another batch of treprostinil API and I measure its HPLC analysis and it's 98.5 percent. Could that batch move on in the process?

THE WITNESS: Yes, with that current level spec, that could move on.

Ex. 2058 (Ruffolo Dep.) 160:17-24

Q. Is there a difference between the approved Moriarty treprostinil product that was shown clinically that's different from the '393 product?

THE WITNESS: Not -- not to my knowledge.

Ex. 2058 (Ruffolo Dep.) 315:5-23

Conclusions

1. No structural differences
2. No functional differences
3. No separate argument for dependent claims
4. Claims 1-5, 7-9, 11-14, 16-20 anticipated

5 Obviousness

Phares and Moriarty

Kawakami and Moriarty

Dependent claims 6, 10, 15, 21, and 22

5 Obviousness



Phares and Moriarty

Kawakami and Moriarty

Dependent claims 6, 10, 15, 21, and 22

Obviousness: Phares & Moriarty

Motivation to Combine

CONTAINS PROTECTIVE ORDER MATERIAL

1

UNITED STATES PATENT AND TRADEMARK OFFICE
BEFORE THE PATENT TRIAL AND APPEAL BOARD

STEADYMED LTD.,
Petitioner,
vs.
UNITED THERAPEUTICS
CORPORATION,
Patent Owner.

Case IPR2016-000006 (Patent 8,497,393)

VIDEOTAPED DEPOSITION OF ROBERT M. WILLIAMS, PH.D.

Friday, August 26, 2016
9:30 a.m.

12335 El Camino Real
San Diego, California

Reported by:
Harry Alan Falter
CSR No. 7708, Certified LiveNote Reporter

Elisa Dreier Reporting Corp., a U.S. Legal Support Company (212) 557-5555
550 Third Avenue, New York, NY 10022

P.1 UT Ex. 2059
SteadyMed v. United Therapeutics
IPR2016-00006

Q Okay. So a person of ordinary skill in the art in 2005 reading the Phares reference, that person would know the best way to make treprostinil is the Moriarty method, Exhibit 12; right? Is that fair?

A I think that's fair.

Ex. 2059 (Williams Dep.) 240:2-7

Q But, you know, on average, a typical person of ordinary skill in the art, typical graduate student, they would have found the Moriarty paper and used that technique to make treprostinil in 2005?

MS. HASPER: Objection.

THE WITNESS: It was in the literature. It wasn't buried in some obscure journal. So, sure, it was available.

BY MR. POLLACK:

Q That was a "yes" to my question, I think?

A Yes.

Ex. 2059 (Williams Dep.) 244:10-21.

Obviousness: Phares & Moriarty

Reasonable Expectation of Success

CONTAINS PROTECTIVE ORDER MATERIAL

1

UNITED STATES PATENT AND TRADEMARK OFFICE
BEFORE THE PATENT TRIAL AND APPEAL BOARD

STEADYMED LTD.,
Petitioner,
vs.
UNITED THERAPEUTICS
CORPORATION,
Patent Owner.

Case IPR2016-000006 (Patent 8,497,393)

VIDEOTAPED DEPOSITION OF ROBERT M. WILLIAMS, PH.D.

Support
Friday, August 26, 2016
9:30 a.m.

12335 El Camino Real
San Diego, California

Reported by:
Harry Alan Falter
CSR No. 7708, Certified LiveNote Reporter

Eliza Dreier Reporting Corp., a U.S. Legal Support Company (212) 557-5555
550 Third Avenue, New York, NY 10022

P.1 UT Ex. 2059
SteadyMed v. United Therapeutics
IPR2016-00006

Q Sure. I understand. I'm not disagreeing with you on that. I'm just saying, you told the Patent Office that these two differed. And one of the ways they differed was one was 99.0 and the other was 99.7. Now we see that both are 99.7. How does that jive with acceptable scientific conduct?

A Well, the -- again, the '393 batches were produced without chromatography. So you could repurify and purify anything you want --

Q Of course.

A -- by chromatography to 99.99999 percent if you wanted to --

Ex. 2059 (Williams Dep.) 94:1-12.

Obviousness: Phares & Moriarty

Reasonable Expectation of Success

Results from HPLC Assay

Results from HPLC Assay

Average =

Standard Deviation =

99.7

0.5

99.7 ± 0.5 %

Ex. 1021 at 5 (Moriarty, average of 46 samples)

NO.	LOT NUMBER	ASSAY PURITY	TOTAL RELATED SUBSTANCES	RESULTS	SOURCE	IMPLIED IMPURITY FROM INDIVIDUAL IMPURITIES
11	0715409003	98.4	Total Related Substances = Impured Purity	0.0 99.0	Ex. 2052, pp. 24-30 Ex. 2056, pp. 2-3	99.0
12	0715400703	100	Total Related Substances = Impured Purity	0.2 99.8	Ex. 2053, p. 19 Ex. 2056, pp. 88-89	99.8
13	0715400803	100	Total Related Substances = Impured Purity	0.4 99.6	Ex. 2053, p. 19 Ex. 2056, pp. 95-97	99.6
14	0715400802	99.7	Total Related Substances = Impured Purity	0.3 99.7	Ex. 2053, p. 19 Ex. 2056, pp. 94-98	99.7
15	0715400803	99.4	Total Related Substances = Impured Purity	0.6 99.4	Ex. 2053, p. 19 Ex. 2056, pp. 100-103	99.4
16	0715400903	99.0	Total Related Substances = Impured Purity	1.0 99.0	Ex. 2053, p. 19 Ex. 2056, pp. 33-34	99.0
17	0715400902	99.8	Total Related Substances = Impured Purity	0.2 99.8	Ex. 2053, p. 19 Ex. 2056, pp. 97-98	99.8
18	0715401003	99.8	Total Related Substances = Impured Purity	0.2 99.8	Ex. 2053, p. 19 Ex. 2056, pp. 35-36	99.8
19	0715401003	99.7	Total Related Substances = Impured Purity	0.3 99.7	Ex. 2053, p. 19 Ex. 2056, pp. 37-38	99.7
20	0715401202	99.8	Total Related Substances = Impured Purity	0.2 99.8	Ex. 2053, p. 19 Ex. 2056, pp. 39-40	99.8
21	0715401203	98.1	Total Related Substances = Impured Purity	1.9 98.1	Ex. 2053, p. 19 Ex. 2056, pp. 41-42	98.1

NO.	LOT NUMBER	ASSAY PURITY	TOTAL RELATED SUBSTANCES	RESULTS	SOURCE	IMPLIED IMPURITY FROM INDIVIDUAL IMPURITIES
84	0715401102	100.7	Total Related Substances = Impured Purity	0.4 99.6	Ex. 2056, pp. 8-10	99.6
89	0715401203	100.6	Total Related Substances = Impured Purity	0.4 99.6	Ex. 2056, pp. 6-7	99.6
86	0715401202	99.7	Total Related Substances = Impured Purity	0.3 99.7	Ex. 2056, pp. 4-5	99.7

Results from HPLC Assay		Results from HPLC Assay	
Average =		Average =	99.7
Standard Deviation =		Standard Deviation =	0.5

Results from Impured Purity		Results from Impured Purity	
Average =		Average =	99.7
Standard Deviation =		Standard Deviation =	0.2

5 Obviousness

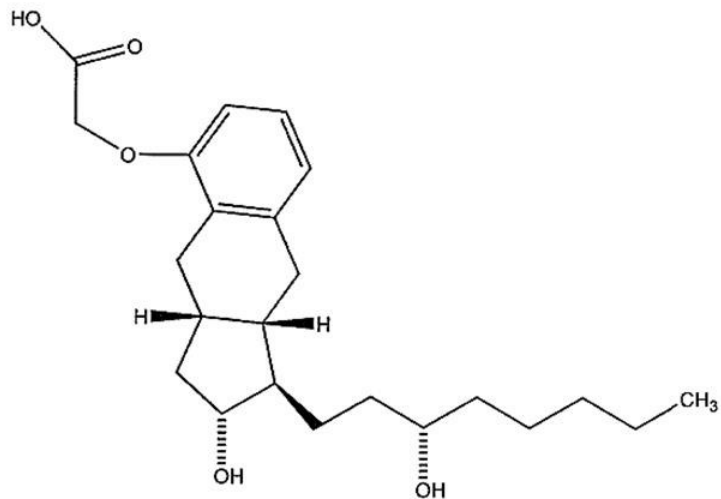
Phares and Moriarty

Kawakami and Moriarty

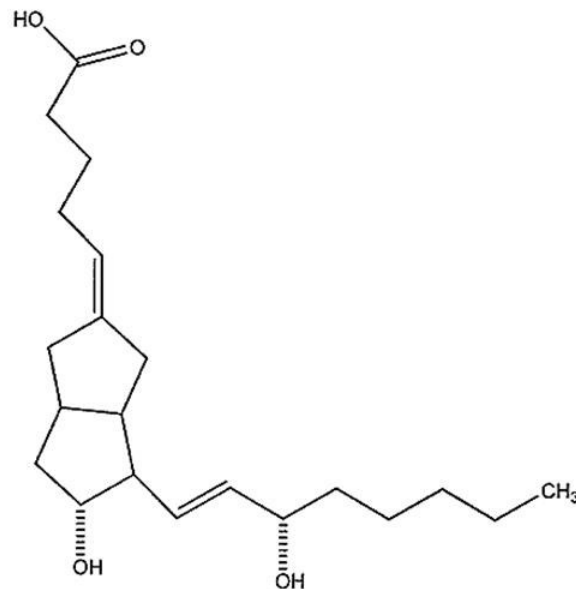
Dependent claims 6, 10, 15, 21, and 22

Obviousness: Kawakami & Moriarty

Motivation to Combine



treprostnil



Kawakami

Robert W. Williams, Ph.D.
28 August 2016
Henry A. Paulsen, Ch. Case No. 2788
25
Exh. No.:

Obviousness: Kawakami & Moriarty

Motivation to Combine

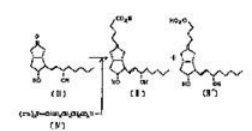
Thus, establishment of an efficient and industrially viable method of separating isomers of methanoprostacyclin derivatives is essential in the development of these derivatives as pharmaceutical products.

In view of the above, the inventors conducted an examination of various separation and purification methods after achieving success in the synthesis of methanoprostacyclin, and finally succeeded in inventing an extremely simple and industrially viable purification method. The present invention relates to this novel purifying method and to a novel dicyclohexylamine salt of a methanoprostacyclin derivative [I] obtained thereby.

(12) United States Patent Gazette (A) 56-122128
 (13) International Patent Classification (A) 56-122128
 (14) Date of Publication, September 19, 1955
 (15) Date of Filing, February 28, 1950
 (16) Date of Priority, January 13, 1950
 (17) Name of Applicant, SteadyMed, Inc.
 (18) Name of Invention, Methanoprostacyclin Derivative
 (19) Name of Inventor, Kawakami, Moriarty
 (20) Name of Attorney, Koenig, Egan, Form Attorney
 (21) Name of Agent, Koenig, Egan, Form Attorney

(22) Title of the Invention: CRYSTALLINE AMINE SALT OF METHANOPROSTACYCLIN DERIVATIVE, MANUFACTURING METHOD THEREOF, AND PURIFYING METHOD THEREOF
 (23) Application No.: 55-25724
 (24) Date of Filing: February 28, 1950
 (25) Invention: Mannheim, Missouri
 (26) Invention: Tokyo, Japan
 (27) Invention: Kyoto, Japan
 (28) Invention: Kobe, Japan
 (29) Invention: Osaka, Japan
 (30) Invention: Tokyo, Japan
 (31) Invention: Tokyo, Japan
 (32) Invention: Tokyo, Japan
 (33) Invention: Tokyo, Japan
 (34) Invention: Tokyo, Japan
 (35) Invention: Tokyo, Japan
 (36) Invention: Tokyo, Japan
 (37) Invention: Tokyo, Japan
 (38) Invention: Tokyo, Japan
 (39) Invention: Tokyo, Japan
 (40) Invention: Tokyo, Japan

1. Title of the Invention
 CRYSTALLINE AMINE SALT OF METHANOPROSTACYCLIN DERIVATIVE,
 MANUFACTURING METHOD THEREOF, AND PURIFYING METHOD THEREOF
 Page 1



(1) This invention relates to a crystalline amine salt of a methanoprostacyclin derivative, its manufacturing method, and its purifying method. The methanoprostacyclin derivative is a derivative of methanoprostacyclin in which the hydroxyl group is esterified with a methoxy group and a methyl group. The crystalline amine salt is a salt of the methanoprostacyclin derivative with a dicyclohexylamine molecule. The manufacturing method involves the reaction of the methanoprostacyclin derivative with a dicyclohexylamine molecule in a suitable solvent. The purifying method involves the recrystallization of the resulting crystalline amine salt from a suitable solvent.

(2) This invention is based on the discovery that the methanoprostacyclin derivative is a very active blood platelet aggregation inhibitor. The activity of the methanoprostacyclin derivative is greatly enhanced when it is converted to its crystalline amine salt form. The crystalline amine salt of the methanoprostacyclin derivative is a very stable and pure compound which is suitable for use as a pharmaceutical product.

(3) In view of the above, the invention is directed to a crystalline amine salt of a methanoprostacyclin derivative, its manufacturing method, and its purifying method. The present invention relates to a novel purifying method and to a novel dicyclohexylamine salt of a methanoprostacyclin derivative [I] obtained thereby.

Page 1

Obviousness: Kawakami & Moriarty

Motivation to Combine



“[I]f a technique has been used to improve one device, and a person of ordinary skill in the art would recognize that it would improve similar devices in the same way, using the technique is obvious unless its actual application is beyond his or her skill.”

KSR Int’l Co. v. Teleflex Inc., 550 U.S. 398, 417 (2007).

Obviousness: Kawakami & Moriarty

Reasonable Expectation of Success

CONTAINS PROTECTIVE ORDER MATERIAL

STEADYMED LTD., vs UNITED THERAPEUTICS CORPORATION,
Ruffolo, Robert on 08/19/2016 Page 1

1 UNITED STATES PATENT AND TRADEMARK OFFICE Page 1
2 BEFORE THE PATENT TRIAL AND APPEAL BOARD
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4 STEADYMED LTD.,
5 Petitioner,
6 v.
7 UNITED THERAPEUTICS CORPORATION,
8 Patent Owner.
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10 Case IPR2016-00006 (Patent 8,497,393)
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13 VIDEO DEPOSITION OF
14 ROBERT R. RUFFOLO, JR., PHD
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16 Wilson Sonsini Goodrich & Rosati
17 1700 K Street NW, Suite 500
18 Washington, DC 20006
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20 Friday, August 19, 2016
21 9:29 a.m.
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24 Reported by:
25 Denise D. Vickery, CRR/RMR JOB NO. 178626

Elisa Dreier Reporting Corp., A U.S. Legal Support Company
950 Third Avenue, New York, NY 10022 (212) 557-5558
P.1 UT Ex. 2058
SteadyMed v. United Therapeutics
IPR2016-00006

Q. How long has crystallization been around as a method of purification?

THE WITNESS: I don't know how long it's been around.

Q. Before 2007?

A. Oh, yes.

THE WITNESS: Yes.

Q. Did you learn about it when you were in college at the university?

THE WITNESS: Yes, I did.

Q. What course did you -- in what course did you learn about that?

THE WITNESS: The inorganic chemistry, organic chemistry, physical chemistry, medicinal chemistry, pharmaceutical chemistry, analytical chemistry. Maybe some others.

Q. And when did you go to college?

A. In 1968 I started. In 1968.

Obviousness: Kawakami & Moriarty

Reasonable Expectation of Success

CONTAINS PROTECTIVE ORDER MATERIAL

STEADYMED LTD., vs UNITED THERAPEUTICS CORPORATION,
Ruffolo, Robert on 08/19/2016 Page 1

1 UNITED STATES PATENT AND TRADEMARK OFFICE Page 1
2 BEFORE THE PATENT TRIAL AND APPEAL BOARD
3 _____
4 STEADYMED LTD.,
5 Petitioner,
6 v.
7 UNITED THERAPEUTICS CORPORATION,
8 Patent Owner.
9 _____
10 Case IPR2016-00006 (Patent 8,497,393)
11 _____
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13 VIDEO DEPOSITION OF
14 ROBERT R. RUFFOLO, JR., PHD
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16 Wilson Sonsini Goodrich & Rosati
17 1700 K Street NW, Suite 500
18 Washington, DC 20006
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24 Reported by:
25 Denise D. Vickery, CRR/RMR JOB NO. 178626

Elisa Dreier Reporting Corp., A U.S. Legal Support Company
950 Third Avenue, New York, NY 10022 (212) 557-5558
P.1 UT Ex. 2058
SteadyMed v. United Therapeutics
IPR2016-00006

Q. Okay. Was -- was there any kind of list of what impurities were in the trestoninil made in the '393 patent?

BY MR. POLLACK:

Q. In the patent itself?

A. Without reading the whole thing, I see primarily purities of the parent compound, which is what I believe the invention is related to. And -- and so I see comparisons between the old process and new process with purities, but -- but I don't see, unless I've missed it, I don't see the impurities.

Ex. 2058 (Ruffolo Dep.) 234:25-235:12

Obviousness: Kawakami & Moriarty

Dependent Claims 8 & 16



We have clearly stated that “[i]n determining validity of a product-by-process claim, the focus is on the product and not the process of making it.” ... “That is because of the ... longstanding rule that an old product is not patentable even if it is made by a new process.”

Purdue Pharma L.P. v. Epic Pharma, LLC, 811 F.3d 1345, 1354 (Fed. Cir. 2016) (cites and internal quotations omitted)

5 Obviousness

Phares and Moriarty

Kawakami and Moriarty

Dependent Claims 6, 10, 15, 21, and 22

Conclusions

1. Motivation to combine conceded by Dr. Williams
2. Reasonable expectation of success since prior-art purity already higher than patent
3. No structural differences
4. No functional differences
5. Processes well-known in the art
6. No separate argument for most dependent claims
7. Claims 8 and 16 do not generate a different product
8. Claims 1-5, 7-9, 11-14, 16-20 obvious

5 Obviousness

Phares and Moriarty

Kawakami and Moriarty

 Dependent Claims 6, 10, 15, 21, and 22

Obviousness: Dependent Claims 6, 10, 15, 21 & 22 Kawakami with Moriarty, Phares and Ege

(19) Name of Inventor(s) (21) Claimed Paper
 Application No. (22) Filed
 (23) Inventor(s) (24) Date of Filing
 (25) Title of Invention
 (26) Name of Applicant
 (27) Name of Applicant
 (28) Name of Applicant
 (29) Name of Applicant
 (30) Name of Applicant
 (31) Name of Applicant
 (32) Name of Applicant
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 (94) Name of Applicant
 (95) Name of Applicant
 (96) Name of Applicant
 (97) Name of Applicant
 (98) Name of Applicant
 (99) Name of Applicant
 (100) Name of Applicant

ORGANIC CHEMISTRY SECOND EDITION
SEYHAN N. E. THE UNIVERSITY OF

JOC Article
 The Intramolecular Asymmetric Pinacol-Bornyl Oxidation as a Novel and General Norepinephrine Synthesis
 Steven M. Moriarty, Thomas B. Smith, and Robert M. Waymouth
 J. Org. Chem. 2004, 69, 1000-1008

WO 2005/097081 A2
 International Patent Classification
 H01M 4/02 (2006.01)

(1) Title of the Invention
 CRYSTALLINE AMINE SALT OF METFORMIN MANUFACTURING METHOD THEREOF, AND P

United States Patent
 No. 7,000,000
 Filed 12/15/04

(1) Title of the Invention
 METFORMIN AMINE SALT OF METFORMIN MANUFACTURING METHOD THEREOF, AND P

(2) Background of the Invention
 (3) Summary of the Invention
 (4) Brief Description of the Drawings
 (5) Description of the Preferred Embodiment
 (6) Claims
 (7) References Cited

6. The product of claim 1, wherein the acid in step (d) is HCl or H₂SO₄.

15. The product of claim 9, wherein the acid in step (d) is HCl.

21. The product of claim 1, wherein step (d) is performed.
22. The product of claim 21, wherein the product comprises a pharmaceutically acceptable salt formed from the product of step (d).

Obviousness: Dependent Claims 6, 10, 15, 21 & 22

Kawakami with Moriarty, Phares and Ege

NON-PUBLIC VERSION – PROTECTIVE ORDER MATERIAL

Trials@uspto.gov
571-272-7822

Paper No. 12
Entered: April 8, 2016

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

STEADYMED LTD.,
Petitioner,

v.

UNITED THERAPEUTICS CORPORATION,
Patent Owner.

Case IPR2016-00006
Patent 8,497,393 B2

Before LORA M. GREEN, JONI Y. CHANG, and
JACQUELINE T. HARLOW, *Administrative Patent Judges*.

HARLOW, *Administrative Patent Judge*.

DECISION
Institution of *Inter Partes* Review
37 C.F.R. § 42.108

NON-PUBLIC VERSION – PROTECTIVE ORDER MATERIAL

IPR2016-00006
Patent 8,497,393 B2

this decision, we conclude that the process steps recited in the challenged claims, including step (d), do not impart structural or functional differences over prior art treprostinal products.

Furthermore, we disagree with UTC's characterization of SteadyMed's obviousness argument. We note, for example, that under the general rule for the interpretation of product-by-process claims, which we determine applies here, the products of claims 1, 6, and 21 are interpreted to

Furthermore, we disagree with UTC's characterization of SteadyMed's obviousness argument. We note, for example, that under the general rule for the interpretation of product-by-process claims, which we determine applies here, the products of claims 1, 6, and 21 are interpreted to be the same, namely, the product of claim 1. Likewise, the same analysis applies for the products of claims 9 and 15.

Obviousness: Dependent Claims 6, 10, 15, 21 & 22

Process Step Irrelevant



“Purdue claimed the end product; it did not claim a particular method for creating that product, such as the use of hydrogenation after the salting step....”

Purdue Pharma L.P. v. Epic Pharma, LLC, 811 F.3d 1345, 1353 (Fed. Cir. 2016)

Obviousness: Dependent Claims 6, 10, 15, 21 & 22

Prior Art Made Same Product

Results from HPLC Assay

Results from HPLC Assay

Average =

Standard Deviation =

99.7

0.5

99.7 ± 0.5 %

Ex. 1021 at 5 (Moriarty, average of 46 samples)

NO.	LOT NUMBER	ASSAY PURITY	TOTAL RELATED SUBSTANCES	RESULTS	SOURCE	IMPLIED IMPURITY FROM INDIVIDUAL IMPURITIES
11	0715-009001	98.4	Total Related Substances = Impaired Purity	0.0 99.0	Ex. 2052, pp. 24-30 Ex. 2056, pp. 2-3	99.0
12	0715-000703	100	Total Related Substances = Impaired Purity	0.2 99.8	Ex. 2053, p. 19 Ex. 2056, pp. 88-89	99.8
13	0715-000803	100.0	Total Related Substances = Impaired Purity	0.4 99.6	Ex. 2053, p. 19 Ex. 2056, pp. 85-87	99.6
14	0715-000802	99.7	Total Related Substances = Impaired Purity	0.3 99.7	Ex. 2053, p. 19 Ex. 2056, pp. 84-88	99.7
15	0715-000803	99.7	Total Related Substances = Impaired Purity	0.3 99.7	Ex. 2053, p. 19 Ex. 2056, pp. 105-103	99.7
16	0715-000901	99.8	Total Related Substances = Impaired Purity	0.2 99.8	Ex. 2053, p. 19 Ex. 2056, pp. 33-34	99.8
17	0715-000902	99.8	Total Related Substances = Impaired Purity	0.2 99.8	Ex. 2053, p. 19 Ex. 2056, pp. 37-38	99.8
18	0715-001001	99.8	Total Related Substances = Impaired Purity	0.2 99.8	Ex. 2053, p. 19 Ex. 2056, pp. 35-36	99.8
19	0715-010201	99.7	Total Related Substances = Impaired Purity	0.3 99.7	Ex. 2053, p. 19 Ex. 2056, pp. 37-38	99.7
20	0715-010202	99.8	Total Related Substances = Impaired Purity	0.2 99.8	Ex. 2053, p. 19 Ex. 2056, pp. 39-40	99.8
21	0715-010203	98.1	Total Related Substances = Impaired Purity	1.5 98.5	Ex. 2053, p. 19 Ex. 2056, pp. 41-42	98.5

NO.	LOT NUMBER	ASSAY PURITY	TOTAL RELATED SUBSTANCES	RESULTS	SOURCE	IMPLIED IMPURITY FROM INDIVIDUAL IMPURITIES
84	0715-011102	100.7	Total Related Substances = Impaired Purity	0.4 99.6	Ex. 2056, pp. 8-10	99.6
89	0715-011201	100.6	Total Related Substances = Impaired Purity	0.4 99.6	Ex. 2056, pp. 6-7	99.6
86	0715-011202	99.7	Total Related Substances = Impaired Purity	0.3 99.7	Ex. 2056, pp. 6-8	99.7

Results from HPLC Assay		Results from HPLC Assay	
Average =		Average =	99.7
Standard Deviation =		Standard Deviation =	0.5

Results from Impaired Purity		Results from Impaired Purity	
Average =		Average =	99.5
Standard Deviation =		Standard Deviation =	0.2

Obviousness: Dependent Claims 6, 10, 15, 21 & 22

Prior Art Used Same Process

The dicyclohexylamine salt obtained by the present invention can be easily reverted to a free methanoprostacyclin derivative [I] by conventional methods, and the resulting methanoprostacyclin derivative exhibits excellent crystallinity compared with substances not purified according to the present invention.

(12) Unexamined Patent Gazette (A)		(13) Unexamined Patent Gazette (A)	
56-122224		56-122224	
(17) No. of Cl.	Classification	Volume/Sheet	(18) Date of Publication, November 17, 1955.
2 of 2	B12	1-14	1-14
2 of 2	B12	1-14	1-14
2 of 2	B12	1-14	1-14

Pages for Examination, No. per sheet: 14
Number of Sheets: 1
Total of Pages (No. pages): 14

(24) Title of the Invention: CRYSTALLINE AMINE SALT OF METHANOPROSTACYCLIN DERIVATIVE, MANUFACTURING METHOD THEREOF, AND PURIFYING METHOD THEREOF

(31) Application No.: 25,2174
(32) Date of filing: February 28, 1950
(33) Inventor: Saitoh, Shigeru
(34) Invention: Takeda Pharmaceutical Co., Ltd., 1-1-1 Honcho, 7-chome, Shinjuku-ku, Tokyo
(35) Inventor: Takeda Pharmaceutical Co., Ltd., 1-1-1 Honcho, 7-chome, Shinjuku-ku, Tokyo
(36) Inventor: Takeda Pharmaceutical Co., Ltd., 1-1-1 Honcho, 7-chome, Shinjuku-ku, Tokyo
(37) Inventor: Takeda Pharmaceutical Co., Ltd., 1-1-1 Honcho, 7-chome, Shinjuku-ku, Tokyo
(38) Applicant: Takeda Pharmaceutical Co., Ltd., 1-1-1 Honcho, 7-chome, Shinjuku-ku, Tokyo
(39) Agent: Kaneko, Kenji, 1-1-1 Honcho, 7-chome, Shinjuku-ku, Tokyo

SPECIFICATION

1. Title of the Invention
CRYSTALLINE AMINE SALT OF METHANOPROSTACYCLIN DERIVATIVE, MANUFACTURING METHOD THEREOF, AND PURIFYING METHOD THEREOF

JP 56-122224 2 Page 1

(17) No. of Cl. 2 of 2
Classification B12
Volume/Sheet 1-14
(18) Date of Publication, November 17, 1955. 1-14

Pages for Examination, No. per sheet: 14
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(33) Inventor: Saitoh, Shigeru
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(36) Inventor: Takeda Pharmaceutical Co., Ltd., 1-1-1 Honcho, 7-chome, Shinjuku-ku, Tokyo
(37) Inventor: Takeda Pharmaceutical Co., Ltd., 1-1-1 Honcho, 7-chome, Shinjuku-ku, Tokyo
(38) Applicant: Takeda Pharmaceutical Co., Ltd., 1-1-1 Honcho, 7-chome, Shinjuku-ku, Tokyo
(39) Agent: Kaneko, Kenji, 1-1-1 Honcho, 7-chome, Shinjuku-ku, Tokyo

SPECIFICATION

1. Title of the Invention
CRYSTALLINE AMINE SALT OF METHANOPROSTACYCLIN DERIVATIVE, MANUFACTURING METHOD THEREOF, AND PURIFYING METHOD THEREOF

2. Description of the Invention

The present invention relates to a dicyclohexylamine salt of a methanoprostacyclin derivative (II) which is obtained by the present invention, and the resulting methanoprostacyclin derivative (I) which is obtained by the present invention.

The dicyclohexylamine salt of the methanoprostacyclin derivative (II) thus obtained generally has high purity, and the purity can be further improved by recrystallization several times in the case of appropriate solvent.

Examples of appropriate solvents that can be used in the present invention include ethanol, acetone, and methyl alcohol, and the like are particularly preferred.

The dicyclohexylamine salt obtained by the present invention can be easily converted to a free methanoprostacyclin derivative (I) by conventional methods, and the resulting methanoprostacyclin derivative exhibits excellent crystallinity compared with substances not purified according to the present invention.

Following are examples of dicyclohexylamine salts of compounds that can be easily obtained according to the present invention:

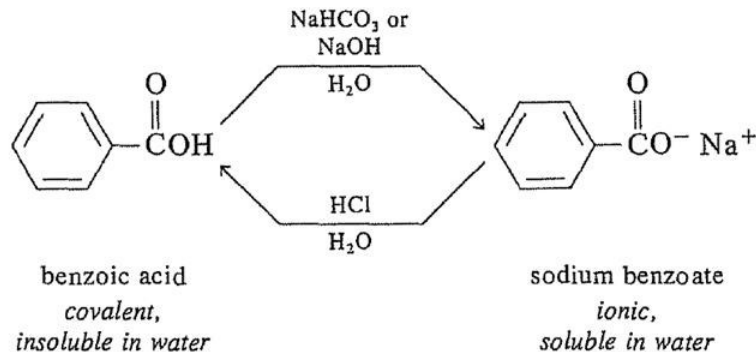
2-(3-methoxyphenyl)propanoic acid dicyclohexylamine salt
2-(3-methoxyphenyl)propanoic acid dicyclohexylamine salt

JP 56-122224 2 Page 1

Obviousness: Dependent Claims 6, 10, 15, 21 & 22

Prior Art Used Same Process

Carboxylic acids that have low solubility in water, such as benzoic acid, are converted to water-soluble salts by reaction with aqueous base (p. 95). Protonation of the carboxylate anion by a strong acid regenerates the water-insoluble acid. These properties of carboxylic acids are useful in separating them from reaction mixtures containing neutral and basic compounds.



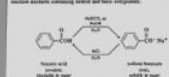
ORGANIC
CHEMISTRY
SECOND EDITION

SEYHAN N. EĞE
THE UNIVERSITY OF MICHIGAN

D. C. HEATH AND COMPANY
LEAVENWORTH, MASSACHUSETTS TORONTO



Benzoic acid is soluble in water. When the hydroxy portion of the molecule has been esterified the solubility in water is decreased. The high molecular weight polybenzoates are also insoluble in water.



The separation of benzoic acid from the phenol group and its solubility in water of benzoic acid is increased by comparing with acid with two of its derivatives, at least one of which is a salt.



Benzoic acid boils at 249 °C and is fully miscible with water, but its solid state has a melting point of 122.5 °C and a solubility of 0.3 g in 100 g of water. Ethyl benzoate is more soluble in water than benzoic acid, but its boiling point is 213 °C and its solubility in water is only 0.1 g in 100 g of water. The solubility of the sodium salt of benzoic acid in water is 100 g in 100 g of water at 20 °C.

Benzoic acid is soluble in water. When the hydroxy portion of the molecule has been esterified the solubility in water is decreased. The high molecular weight polybenzoates are also insoluble in water.

Obviousness: Dependent Claims 6, 10, 15, 21 & 22

Prior Art Used Same Process

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

STEADYMED LTD.

Petitioner,

v.

UNITED THERAPEUTICS CORPORATION

Patent Owner.

Case IPR Unassigned

Patent No. 8,497,393

DECLARATION OF JEFFREY D. WINKLER IN SUPPORT OF PETITION
FOR *INTER PARTES* REVIEW OF
CLAIMS 1 – 22 OF U.S. PATENT NO. 8,497,393

Mail Stop "Patent Board"
Patent Trial and Appeal Board
U.S. Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

SteadyMed - Exhibit 1000 - Page 1

40. A person of ordinary skill in the art would recognize that the formation of a carboxylate salt, by the addition of a weak base to a neutral carboxylic acid, and the subsequent addition of a strong acid to regenerate carboxylic acid, as disclosed in steps (c) and (d), is standard chemistry purification – *i.e.*, organic chemistry 101.

Ex. 1009 (Winkler Decl. at 12) ¶ 40

Obviousness: Dependent Claims 6, 10, 15, 21 & 22

Kawakami with Moriarty, Phares, and Ege

JOC Article
The Tetramolecular Asymmetric Pinnacol-Khazal Cyclization as a Novel and General Stereoselective Route to Bistandring Pentaerythritol Synthesis of U-73 (Etoposide)
 Robert H. Moriarty, Nancy Burt, Eric A. Shaver, Marjorie N. Scott, David Kang, Long Xuan Tran, N. Srinivasan, James H. Swanson, Thomas W. Jorgensen, Ole Holten, Department of Chemistry, MIT, 77C, Division of Health and Chemical Sciences (DHCS), Massachusetts Institute of Technology, Cambridge, MA 02139

CRYSTALLINE SALT OF A MANUFACTURING METHOD FOR
 ORGANIC CHEMISTRY SECOND EDITION
 SEYHAN N. THE UNIVERSITY
 B. C. HEALTH AND CHEMICAL SCIENCES

United States Patent
 No. 8,447,202 B2
 Filed: 04/26/2011

10. The product of claim 9, wherein the purity of product of step (d) is at least 99.5%.

Obviousness: Dependent Claims 6, 10, 15, 21 & 22

Prior Art Made Same Product

Results from HPLC Assay

Results from HPLC Assay

Average =
Standard Deviation =

99.7
0.5

99.7 ± 0.5 %

NO.	LOT NUMBER	ASSAY PURITY	TOTAL RELATED SUBSTANCES	RESULTS	SOURCE	IMPLIED IMPURITY FROM INDIVIDUAL IMPURITIES
11	0715409003	98.4	Total Related Substances = Impaired Purity	0.0 99.0	Ex. 2052, pp. 24-30 Ex. 2056, pp. 2-3	99.0
12	0715400703	100	Total Related Substances = Impaired Purity	0.2 99.8	Ex. 2053, p. 19 Ex. 2056, pp. 88-89	99.8
13	0715400803	100.0	Total Related Substances = Impaired Purity	0.4 99.6	Ex. 2053, p. 19 Ex. 2056, pp. 85-87	99.6
14	0715400802	99.7	Total Related Substances = Impaired Purity	0.3 99.7	Ex. 2053, p. 19 Ex. 2056, pp. 84-88	99.7
15	0715400803	99.4	Total Related Substances = Impaired Purity	0.6 99.4	Ex. 2053, p. 19 Ex. 2056, pp. 105-103	99.4
16	0715400903	99.0	Total Related Substances = Impaired Purity	1.0 99.0	Ex. 2053, p. 19 Ex. 2056, pp. 33-34	99.0
17	0715400902	99.8	Total Related Substances = Impaired Purity	0.2 99.8	Ex. 2053, p. 19 Ex. 2056, pp. 97-98	99.8
18	0715401003	99.8	Total Related Substances = Impaired Purity	0.2 99.8	Ex. 2053, p. 19 Ex. 2056, pp. 35-36	99.8
19	0715401003	99.7	Total Related Substances = Impaired Purity	0.3 99.7	Ex. 2053, p. 19 Ex. 2056, pp. 37-38	99.7
20	0715401202	99.8	Total Related Substances = Impaired Purity	0.2 99.8	Ex. 2053, p. 19 Ex. 2056, pp. 39-40	99.8
21	0715401203	98.1	Total Related Substances = Impaired Purity	1.9 98.1	Ex. 2053, p. 19 Ex. 2056, pp. 41-42	98.1

NO.	LOT NUMBER	ASSAY PURITY	TOTAL RELATED SUBSTANCES	RESULTS	SOURCE	IMPLIED IMPURITY FROM INDIVIDUAL IMPURITIES
84	0715401102	100.7	Total Related Substances = Impaired Purity	0.4 99.6	Ex. 2056, pp. 8-10	99.6
89	0715401203	100.6	Total Related Substances = Impaired Purity	0.4 99.6	Ex. 2056, pp. 6-7	99.6
86	0715401202	99.7	Total Related Substances = Impaired Purity	0.3 99.7	Ex. 2056, pp. 4-5	99.7

Results from HPLC Assay		Results from HPLC Assay	
Average =	99.7	Average =	99.7
Standard Deviation =	0.5	Standard Deviation =	0.5

Results from Impaired Purity		Results from Impaired Purity	
Average =	99.5	Average =	99.5
Standard Deviation =	0.2	Standard Deviation =	0.2

Ex. 1021 at 5 (Moriarty, average of 46 samples)

Obviously: Dependent Claims 6, 10, 15, 21 & 22 Prior Art Made Same Product

JOC Article

The Intramolecular Asymmetric Pauson–Khand Cyclization as a Novel and General Stereoselective Route to Benzidine Prostacyclins: Synthesis of UT-15 (Treprostinil)

Robert M. Moriarty,* Neena Rani,¹ Livia A. Enache,² Managala S. Rao,³ Hitesh Batra,¹ Liang Guo,¹ Raju A. Pennasa,¹ James P. Staszewski,¹ Sudarshan M. Tutadhar,¹ Om Prakash,¹ David Crich,¹ Anca Hirtoapeanu,² and Richard Gilardi¹

¹Department of Chemistry (MC 111), University of Illinois at Chicago, Chicago, Illinois 60607, United States; ²Chemistry Division GR12, GSK R&D, Inc., Chicago, Illinois 60607; ³Institute of Organic Chemistry, C.D. Nicolaescu, Bucharest, Romania, and Laboratory for the Structure of Matter, Naval Research Laboratory, Washington, DC 20375

Received June 5, 2003

A general and novel solution to the synthesis of biologically important stable analogues of prostacyclin (PGI₂) is an important physiological prostanoid and occurs as a major metabolic product from arachidonic acid throughout the vasculature and is produced in the endothelium and in smooth muscles.^{1–4} PGI₂ is the most potent endogenous vasodilator in both

systemic and pulmonary circulation. It exerts effects on vascular smooth muscle cells and inhibits both platelet aggregation and adhesion.^{5–7} These biological activities are relevant to a broad range of cardiovascular diseases,^{8–11} including congestive heart failure, peripheral vascular disease, myocardial ischemia, and pulmonary hypertension.¹²

Use of PGI₂ as a drug for coronary disease has not been fruitful because of the fleeting half-life of this compound (~10 min at pH 7.6 at 25 °C).¹³ The ability to inhibit platelet aggregation in plasma samples is lost within 5 min.¹⁴ Application of PGI₂ to disease therapy presents a typical drug delivery challenge that is dealt with either mechanically by an appropriate pharmaceutical device or chemically by synthesizing a hydrolytically stable analogue that retains the biological activity. The first option currently is used for the treatment of pulmonary hypertension in which an aqueous solution of PGI₂ sodium salt (chemical name, epoprostenol; trade name Flolan) is pumped continuously and intravenously through a catheter permanently placed in the patient's chest via a portable external pump. PGI₂ is highly sensitive and must be stored in 15 and 25 °C, and the formulation in a buffer solution must be prepared by the patient on a daily basis.¹⁵ The PGI₂ is thereby introduced into

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¹Department of Chemistry (MC 111), University of Illinois at Chicago, 843 W. Taylor St., Room 4300, Chicago, Illinois 60607.
²United Therapeutics.
³Novartis Research Institute, Institute of Organic Chemistry, C.D. Nicolaescu, "Naval Research Laboratory."
(1) G. Morawitz, S. Grygielak, R. Bunting, S. Vane, J. R. Nizurek, *Proc. Natl. Acad. Sci. USA*, **1974**, *71*, 291–293.
(2) G. Morawitz, S. Grygielak, R. Bunting, S. Vane, J. R. Nizurek, *Proc. Natl. Acad. Sci. USA*, **1974**, *71*, 291–293.
(3) G. Morawitz, S. Grygielak, R. Bunting, S. Vane, J. R. Nizurek, *Proc. Natl. Acad. Sci. USA*, **1974**, *71*, 291–293.
(4) G. Morawitz, S. Grygielak, R. Bunting, S. Vane, J. R. Nizurek, *Proc. Natl. Acad. Sci. USA*, **1974**, *71*, 291–293.
(5) G. Morawitz, S. Grygielak, R. Bunting, S. Vane, J. R. Nizurek, *Proc. Natl. Acad. Sci. USA*, **1974**, *71*, 291–293.
(6) G. Morawitz, S. Grygielak, R. Bunting, S. Vane, J. R. Nizurek, *Proc. Natl. Acad. Sci. USA*, **1974**, *71*, 291–293.
(7) G. Morawitz, S. Grygielak, R. Bunting, S. Vane, J. R. Nizurek, *Proc. Natl. Acad. Sci. USA*, **1974**, *71*, 291–293.
(8) G. Morawitz, S. Grygielak, R. Bunting, S. Vane, J. R. Nizurek, *Proc. Natl. Acad. Sci. USA*, **1974**, *71*, 291–293.
(9) G. Morawitz, S. Grygielak, R. Bunting, S. Vane, J. R. Nizurek, *Proc. Natl. Acad. Sci. USA*, **1974**, *71*, 291–293.
(10) G. Morawitz, S. Grygielak, R. Bunting, S. Vane, J. R. Nizurek, *Proc. Natl. Acad. Sci. USA*, **1974**, *71*, 291–293.
(11) G. Morawitz, S. Grygielak, R. Bunting, S. Vane, J. R. Nizurek, *Proc. Natl. Acad. Sci. USA*, **1974**, *71*, 291–293.
(12) G. Morawitz, S. Grygielak, R. Bunting, S. Vane, J. R. Nizurek, *Proc. Natl. Acad. Sci. USA*, **1974**, *71*, 291–293.
(13) G. Morawitz, S. Grygielak, R. Bunting, S. Vane, J. R. Nizurek, *Proc. Natl. Acad. Sci. USA*, **1974**, *71*, 291–293.
(14) G. Morawitz, S. Grygielak, R. Bunting, S. Vane, J. R. Nizurek, *Proc. Natl. Acad. Sci. USA*, **1974**, *71*, 291–293.
(15) G. Morawitz, S. Grygielak, R. Bunting, S. Vane, J. R. Nizurek, *Proc. Natl. Acad. Sci. USA*, **1974**, *71*, 291–293.

1800 J. Org. Chem. 2004, 69, 1800–1802

JOC Article

nonane–hexanes to give 1657 g (80%) of pure product: mp 113–115 °C; n_D^{20} = 1.508 (d 0.204, MeOH); IR (KBr): 3060, 2932, 1751, and 1702 cm⁻¹; ¹H NMR (MeOH, 200 MHz): δ 8.99 (s, 2H, J = 6 Hz), 1.1–2.30 (m, 19H), 2.41–2.45 (m, 2H), 2.64–2.78 (m, 2H), 3.45–3.54 (m, 1H), 3.55–3.81 (m, 1H), 6.65 (d, 1H, J = 8 Hz), 6.73 (d, 1H, J = 8 Hz), 6.99 (s, 1H, J = 8 Hz); ¹³C NMR (MeOH, 75 MHz): δ 131, 22.4, 25.2, 25.3, 28.3, 31.8, 32.1, 33.3, 34.7, 37.0, 41.6, 51.3, 71.6, 73.6, 112.5, 119.2, 124.7, 125.7, 140.5, 153.8. *Anal.* Calcd for C₂₀H₂₅O₂: C, 79.98; H, 8.00. Found: C, 79.38; H, 8.99.

[1R,2R,3a,5a,8a,9a,10a,11a,12a,13a,14a,15a,16a,17a,18a,19a,20a]-Hexahydro-2-hydroxy-1-[2S,3-bis(4-hydroxyethyl)butyl]inden-5-ylhexanoate (5). To a stirred solution of benzotriazole triaz (4) (2.136 mol, 100%) in acetone (20 L) were added diisocyanate (5) (1.07 mol, 50%), powdered K₂CO₃ (114 g, 8.29 mol), and tetrabutylammonium bromide (20.64 g, 0.12 mol) under argon. The reaction mixture was refluxed under argon for 8 h, then cooled to room temperature. 10 L of heptanes were added, and the solution was stirred and filtered over Celite. Celite was washed with ethyl acetate. The filtrate was concentrated in vacuo and the crude viscous liquid was chromatographed on silica gel with a solvent gradient of 20–50% ethyl acetate in heptanes to yield 504 g (100%) of benzotriazole triaz (5). IR (KBr): 3060, 2932, 2240, 1702, and 1651 cm⁻¹; ¹H NMR (CDCl₃, 300 MHz): δ 8.97 (s, 2H, J = 6 Hz), 1.00–2.35 (m, 17H), 2.45–2.60 (m, 2H), 2.75–2.80 (m, 2H), 3.41–3.58 (m, 1H), 3.69–3.80 (m, 1H), 4.68 (s, 2H), 6.77 (d, 1H, J = 6 Hz), 6.89 (d, 1H, J = 9 Hz), and 7.09 (s, 1H, J = 9 Hz); ¹³C NMR (CDCl₃, 75 MHz): δ 142, 22.7, 25.5, 31, 28.2, 30.2, 32.7, 33.8, 35.1, 37.5, 41.1, 52.3, 54.6, 72.4, 76.8, 110.6, 115.7, 123.0, 124.1, 128.5, 141.7, 153.7. *Anal.* Calcd for C₂₈H₃₉O₅: C, 74.36; H, 8.95. Found: C, 74.74; H, 9.12.

[1R,2R,3a,5a,8a,9a,10a,11a,12a,13a,14a,15a,16a,17a,18a,19a,20a]-Hexahydro-2-hydroxy-1-[2S,3-bis(4-hydroxyethyl)butyl]inden-5-ylhexanoic Acid (UT-15) (6). To a stirred solution of benzotriazole triaz (5) (564 g, 1.30 mol) in methanol (7 L) was added a solution of aqueous NaOH (258 g, 9.6 mol, water 1.8 L, 50% solution) at room temperature. Then the reaction mixture was refluxed for 12 h at 100 °C. After 3 M aqueous HCl was added until pH 10–12. Most of the solvent was removed

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Publication Date: February 10, 2004 | doi:10.1021/jo041772g

tonitrile (78%):trifluoromethane (purity 99.7%). An 8. Found: C, 70.41; H,

standards were filtered, washed with 20% ethanol–water, and dried in a vacuum oven at 55 °C to give 441 g (83%) of pure UT-15 as a colorless crystalline solid; mp 120–127 °C; n_D^{20} = 1.526 (d 0.457, MeOH); n_D^{20} = 1.517 (d 0.451, EtOH); IR (KBr): 2928, 2856, 1770, 1713, 1548, and 1719 cm⁻¹; ¹H NMR (CDCl₃, 300 MHz): δ 8.97 (s, 2H, J = 6 Hz), 1.21–1.85 (m, 19H), 2.02, 2.41 (s, 4H), 3.42–3.76 (m, 3H), 3.81 (s, 2H), 3.92–3.94 (m, 1H), 4.43–4.68 (m, 1H), 4.88–4.92 (m, 1H), 4.94–4.98 (m, 1H), 4.99–5.02 (m, 1H), 5.03 (s, 1H), 5.92–6.06 (m, 1H), 6.85 (d, 1H, J = 8 Hz), 7.29–7.27 (m, 1H), 7.31–7.37 (m, 1H); ¹³C NMR (MeOH, 75 MHz): δ 131, 22.4, 25.1, 25.3, 28.3, 31.8, 32.3, 37.2, 34.7, 36.9, 40.7, 41.0, 51.3, 65.2, 71.6, 76.3, 119.5, 119.7, 125.8, 127.4, 140.8, 155.2, 171.5. *UV.* λ_{max} MeOH: 211 nm. HPLC: Hyperical GDS column (4.6 \times 250 mm), 5 μ m, flow rate 2.0 mL/min, mobile phase A: water; 60% acetonitrile; 10% trifluoroacetic acid (0.1%); and mobile phase B: water; 62% acetonitrile; 78% trifluoroacetic acid (0.1%); retention time, 15 min (purity 99.7%). *Anal.* Calcd for C₂₈H₃₉O₅: C, 70.74; H, 8.78. Found: C, 70.41; H, 8.83. Compound 6 was identical in all respects to an authentic sample of UT-15.¹⁶

Acknowledgment. Scientific contribution and encouragement by Roy A. Swearingen, Ph.D. is gratefully acknowledged. Expert technical assistance was provided by Zhongrui Song, Gang Zhao, Rajesh K. Singhal, Oscar Icaza, and David Moriarty.

Supporting Information Available. Listing of borium (II) induced differential chemical shifts in 25a. This material is available free of charge via the Internet at <http://pubs.acs.org>. JO041772D

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1802 J. Org. Chem., Vol. 69, No. 6, 2004

10.1021/jo041772g.c00130 © 2004 American Chemical Society
Published on Web 02/19/2004

Conclusions

1. No structural differences
2. No functional differences
3. Process of adding acid is “organic chemistry 101”
4. Additional process step makes same product as independent claims
5. Prior art purity > 99.5%
6. Claims 6, 10, 15, 21, & 22 obvious

6

Claim Construction

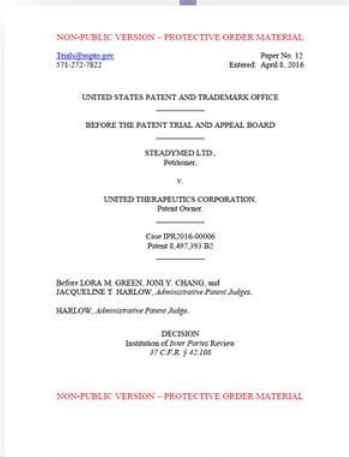
Claim Construction

Board's Construction

“Comprising”

Regarding the larger claim phrase “[a] product comprising a compound [of having] formula [I-IV] . . . or a pharmaceutically acceptable salt thereof,” as explained above, we determine that the embedded claim term “comprising” means “including, but not limited to.” See *Genentech, Inc. v. Amgen, Inc.*, 112 F.3d 1305, 1314 (9th Cir. 1997); see also *Genentech, Inc. v. Amgen, Inc.*, 112 F.3d 1305, 1314 (9th Cir. 1997) (“[C]omprising means including, but not limited to.”). The Board’s proposal that claims 1 and 9 be read to require a product “constituted primarily of formula I-IV or a pharmaceutically acceptable salt thereof.” Prelim. Resp. 21 (emphasis added).

Institution Decision, Paper No. 12, at 13



“Product”

The claim term “product,” as it is used in the ’393 patent, does not require construction because the claimed “product” is defined by the limitations recited in the challenged claims. This is evidenced by

The claim term “product,” as it is used in the ’393 patent, does not require construction because the claimed “product” is defined by the limitations recited in the challenged claims. This is evidenced by

added and still form a construct within the scope of the claim.” *Genentech, Inc. v. Chiron Corp.*, 112 F.3d 495, 501 (Fed. Cir. 1997); see also Ex. 1001. *Institution Decision, Paper No. 12, at 12*

Claim Construction

“Product”

CONTAINS PROTECTIVE ORDER MATERIAL

1

UNITED STATES PATENT AND TRADEMARK OFFICE
BEFORE THE PATENT TRIAL AND APPEAL BOARD

STEADYMED LTD.,
Petitioner,
vs.
UNITED THERAPEUTICS
CORPORATION,
Patent Owner.

Case IPR2016-000006 (Patent 6,497,393)

VIDEO-TAPED DEPOSITION OF ROBERT M. WILLIAMS, PH.D.

Friday, August 26, 2016
9:30 a.m.

12235 El Camino Real
San Diego, California

Reported by:
Harry Alan Falter
CSR No. 7708, Certified LiveNote Reporter

Elisa Dreier Reporting Corp., a U.S. Legal Support Company (212)557-5558
950 Third Avenue, New York, NY 10022

PT
UT Ex. 2059
SteadyMed v. United Therapeutics
IPR2016-00006

- Q. Why not?
- A. Because chemists use the word "product" in two different contexts, routinely.
- Q. Okay.
- A. There's a molecular structural context; okay? So if I said to one of my students, "Show me the product of this reaction on my blackboard." And they'd write a structure like Ecteinascidin-743; okay?
- Q. Okay.
- A. And if I said, "Bring me a sample of the product that you just made in the lab," they would bring me a bottle, a flask, a vial of a real-world substance that, hopefully, contains mostly what we were trying to make, and it would also have its characteristic impurities. So there's the molecular structural context, and then there's the real-world substance context of the word "product." And chemists know what you're talking about when you use the word "product" in those two different contexts.
- Q. Okay. Let me ask you: In the '393 patent, do you see any place where the '393 patent says: I'm going to define the word "product" for this patent? Do you see that anywhere in there?
- A. I don't recall it being defined, other than its plain, ordinary meaning as it's understood, as I just explained.

Claim Construction

“Product”

The claim term “product,” as it is used in the ’393 patent, does not require construction because the claimed “product” is defined by the limitations recited in the challenged claims. This is evidenced by independent claims 1 and 9, which recite “[a] product comprising . . . ,” and go on to define the essential elements of the claimed product. The transitional term “‘comprising’ is a term of art used in claim language which means that the named elements are essential, but other elements may be added and still form a construct within the scope of the claim.” *Genentech, Inc. v. Chiron Corp.*, 112 F.3d 495, 501 (Fed. Cir. 1997); *see also* Ex. 1001, 4:23–25 (defining “comprising” as “including, but not limited to”). Thus, the open-ended structure of the challenged claims forecloses limitation of the term “product” beyond that achieved by the recited claim elements.

NON-PUBLIC VERSION – PROTECTIVE ORDER MATERIAL

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Entered April 8, 2016

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

STEADYMED LTD.,
Petitioner.

v.

UNITED THERAPEUTICS CORPORATION,
Patent Owner.

Case IPR2016-00006
Patent 6,497,593 B2

Before LORA M. GREEN, JONI Y. CHANG, and
JACQUELINE T. HARLOW, Administrative Panel
HARLOW, Administrative Patent Judge.

DECISION
Institution of *Inter Partes 2*
37 C.F.R. § 42.103

NON-PUBLIC VERSION – PROTECTIVE

IPR2016-00006
Patent 6,497,593 B2

pharmaceutically acceptable salt thereof,” to mean “a product including, but not limited to, a compound [of having] formula (I-IV) or a pharmaceutically acceptable salt thereof.”

The claim term “product,” as it is used in the ’393 patent, does not require construction because the claimed “product” is defined by the limitations recited in the challenged claims. This is evidenced by independent claims 1 and 9, which recite “[a] product comprising . . . ,” and go on to define the essential elements of the claimed product. The transitional term “‘comprising’ is a term of art used in claim language which means that the named elements are essential, but other elements may be added and still form a construct within the scope of the claim.” *Genentech, Inc. v. Chiron Corp.*, 112 F.3d 495, 501 (Fed. Cir. 1997); *see also* Ex. 1001, 4:23–25 (defining “comprising” as “including, but not limited to”). Thus, the open-ended structure of the challenged claims forecloses limitation of the term “product” beyond that achieved by the recited claim elements.

Indeed, neither UTC nor SteadyMed identifies any disclosure in the ’393 patent or its prosecution history that necessitates a contrary understanding of the term “product.” For example, the portions of the Specification to which UTC points comport with an understanding of “product” as being defined only by the recited claim elements. See Ex. 1001, 3:45–46, 7:16–20, 17:37–40. Furthermore, the portions of the prosecution history identified by UTC are consistent with an understanding that the claimed “product” is defined solely by the recited claim elements. See Ex. 1002.

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Conclusions

Anticipation and Obviousness

Claims 1-5, 7-9, 11-14, 16-20

Conclusions

1. No structural differences
2. No functional differences
3. No separate argument for dependent claims
4. Phares anticipates
5. Moriarty and Phares or Kawakami make obvious

Obviousness

Dependent Claims 6, 10, 15, 21, & 22

Conclusions

1. No structural differences
2. No functional differences
3. Process of adding acid is “organic chemistry 101”
4. Additional process step makes same product as independent claims
5. Prior art purity > 99.5%
6. Kawakami, Moriarty, Phares, Ege make obvious

END