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Applicant: Hitesh BATRA et al.

Title: AN IMPROVED PROCESS TO PREPARE

TREPROSTINIL, THE ACTIVE INGREDIENT IN REMODULIN®

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# UTILITY PATENT APPLICATION TRANSMITTAL

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Sir:

Transmitted herewith for filing under 37 C.F.R. § 1.53(b) is the nonprovisional utility patent application of:

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[X] Applicant claims small entity status under 37 CFR 1.27.

### Enclosed are:

- [X] Description, Claims, and Abstract (27 pages).
- [X] Application Data Sheet (37 CFR 1.76).

The adjustment to the number of sheets for EFS-Web filing follows:

Number of Sheets		EFS-Web Adjustment	Number of Sheets for EFS-Web	
27	X	75%	21	

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Basic Filing					\$330.00	=	\$330.00
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Claims:							*****
Independent:	2	- 3	= 0	x	\$220.00	=	\$0.00
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The required filing fees are not enclosed but will be submitted in response to the Notice to File Missing Parts of Application.

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Respectfully submitted,

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# AN IMPROVED PROCESS TO PREPARE TREPROSTINIL, THE ACTIVE INGREDIENT IN REMODULIN®

## CROSS-REFERENCE TO RELATED APPLICATIONS

[0001] This application claims priority from U.S. Provisional Patent Application 61/014,232, filed December 17, 2007, the entire contents of which are incorporated herein by reference.

### **BACKGROUND**

[0002] The present invention relates to a process for producing prostacyclin derivatives and novel intermediate compounds useful in the process.

[0003] Prostacyclin derivatives are useful pharmaceutical compounds possessing activities such as platelet aggregation inhibition, gastric secretion reduction, lesion inhibition, and bronchodilation.

[0004] Treprostinil, the active ingredient in Remodulin<sup>®</sup>, was first described in US patent 4,306,075. Treprostinil, and other prostacyclin derivatives have been prepared as described in Moriarty, et al in *J. Org. Chem.* 2004, 69, 1890-1902, *Drug of the Future*, 2001, 26(4), 364-374, U.S. Pat. Nos. 6,441,245, 6,528,688, 6,765,117, 6,809,223 and 6,756,117 Their teachings are incorporated by reference to show how to practice the embodiments of the present invention.

[0005] U.S. Patent No. 5,153,222 describes use of treprostinil for treatment of pulmonary hypertension. Treprostinil is approved for the intravenous as well as subcutaneous route, the latter avoiding septic events associated with continuous intravenous catheters. U.S. patents Nos. 6,521,212 and 6,756,033 describe administration of treprostinil by inhalation for treatment of pulmonary hypertension, peripheral vascular disease and other diseases and conditions. U.S. patent No. 6,803,386 discloses administration of treprostinil for treating cancer such as lung, liver, brain, pancreatic, kidney, prostate, breast, colon and head-neck cancer. U.S. patent application publication No. 2005/0165111 discloses treprostinil treatment of ischemic lesions. U.S. patent No. 7,199,157 discloses that treprostinil treatment improves kidney functions. U.S. patent application publication No. 2005/0282903 discloses treprostinil treatment of neuropathic foot ulcers. U.S. application No. 12/028,471 filed February 8, 2008,

discloses treprostinil treatment of pulmonary fibrosis. U.S. 6,054,486 discloses treatment of peripheral vascular disease with treprostinil. U.S. patent application 11/873,645 filed October 17, 2007 discloses combination therapies comprising treprostinil. U.S. publication No. 2008/0200449 discloses delivery of treprostinil using a metered dose inhaler. U.S. publication No. 2008/0280986 discloses treatment of interstitial lung disease with treprostinil. U.S. application No. 12/028,471 filed February 8, 2008 discloses treatment of asthma with treprostinil. U.S. 7,417,070, 7,384,978 and U.S. publication Nos. 2007/0078095, 2005/0282901, and 2008/0249167 describe oral formulations of treprostinil and other prostacyclin analogs.

[0006] Because Treprostinil, and other prostacyclin derivatives are of great importance from a medicinal point of view, a need exists for an efficient process to synthesize these compounds on a large scale suitable for commercial production.

### **SUMMARY**

[0007] The present invention provides in one embodiment a process for the preparation of a compound of formula I, hydrate, solvate, prodrug, or pharmaceutically acceptable salt thereof.

$$\begin{array}{c|c} H & Y_1 - C - C - R_7 \\ M_1 & L_1 \\ M_1 & L_1 \\ O(CH_2)_w COOH \end{array} \tag{I}$$

[0008] The process comprises the following steps:

(a) alkylating a compound of structure II with an alkylating agent to produce a compound of formula III,

-2-

wherein

w=1, 2, or 3;

 $Y_1$  is trans-CH=CH-, cis-CH=CH-, -CH<sub>2</sub>(CH<sub>2</sub>)<sub>m</sub>-, or -C=C-; m is 1, 2, or 3;  $R_7$  is

- (1)  $-C_pH_{2p}$ -CH<sub>3</sub>, wherein p is an integer from 1 to 5, inclusive,
- (2) phenoxy optionally substituted by one, two or three chloro, fluoro, trifluoromethyl,  $(C_1-C_3)$  alkyl, or  $(C_1-C_3)$ alkoxy, with the proviso that not more than two substituents are other than alkyl, with the proviso that  $R_7$  is phenoxy or substituted phenoxy, only when  $R_3$  and  $R_4$  are hydrogen or methyl, being the same or different,
- (3) phenyl, benzyl, phenylethyl, or phenylpropyl optionally substituted on the aromatic ring by one, two or three chloro, fluoro, trifluoromethyl,  $(C_1-C_3)$ alkyl, or  $(C_1-C_3)$ alkoxy, with the proviso that not more than two substituents are other than alkyl,
  - (4)  $cis-CH=CH-CH_2-CH_3$ ,
  - (5)  $-(CH_2)_2$ -CH(OH)-CH<sub>3</sub>, or
  - (6)  $-(CH_2)_3-CH=C(CH_3)_2;$

wherein  $-C(L_1)-R_7$  taken together is

- (1)  $(C_4-C_7)$ cycloalkyl optionally substituted by 1 to 3  $(C_1-C_5)$ alkyl;
- (2) 2-(2-furyl)ethyl,
- (3) 2-(3-thienyl)ethoxy, or
- (4) 3-thienyloxymethyl;

 $M_1$  is  $\alpha$ -OH: $\beta$ -R<sub>5</sub> or  $\alpha$ -R<sub>5</sub>: $\beta$ -OH or  $\alpha$ -OR<sub>1</sub>: $\beta$ -R<sub>5</sub> or  $\alpha$ -R<sub>5</sub>: $\beta$ -OR<sub>2</sub>, wherein R<sub>5</sub> is hydrogen or methyl, R<sub>2</sub> is an alcohol protecting group, and

 $L_1$  is  $\alpha$ - $R_3$ : $\beta$ - $R_4$ ,  $\alpha$ - $R_4$ : $\beta$ - $R_3$ , or a mixture of  $\alpha$ - $R_3$ : $\beta$ - $R_4$  and  $\alpha$ - $R_4$ : $\beta$ - $R_3$ , wherein  $R_3$  and  $R_4$  are hydrogen, methyl, or fluoro, being the same or different, with the proviso that one of  $R_3$  and  $R_4$  is fluoro only when the other is hydrogen or fluoro.

- (b) hydrolyzing the product of step (a) with a base,
- (c) contacting the product of step (b) with a base B to for a salt of formula I<sub>s</sub>

$$\begin{array}{c|c} H & Y_1^-C_-C_-R_7 \\ M_1 & L_1 \\ M_2 & L_3 \\ M_3 & L_4 \\ M_4 & L_4 \\ M_5 & L_7 \\ M_1 & L_1 \\ M_1 & L_1 \\ M_2 & M_3 & L_4 \\ M_3 & M_4 & M_4 \\ M_4 & M_5 & M_5 \\ M_5 & M_5 & M_5 \\ M_6 & M_7 & M_7 \\ M_7 & M_7 & M_7 \\ M_8 & M_8 & M_8 \\ M_8 &$$

(d) reacting the salt from step (c) with an acid to form the compound of formula I.

[0009] The present invention provides in another embodiment a process for the preparation of a compound of formula IV.

[0010] The process comprises the following steps:

(a) alkylating a compound of structure V with an alkylating agent to produce a compound of formula VI,

- (b) hydrolyzing the product of step (a) with a base,
- $\mbox{(c)} \qquad \mbox{contacting the product of step (b) with a base B to for a salt of formula $IV_s$,} \label{eq:contacting}$  and

(d) reacting the salt from step (b) with an acid to form the compound of formula IV.

### **DETAILED DESCRIPTION**

[0011] The various terms used, separately and in combinations, in the processes herein described are defined below.

[0012] The expression "comprising" means "including but not limited to." Thus, other non-mentioned substances, additives, carriers, or steps may be present. Unless otherwise specified, "a" or "an" means one or more.

[0013]  $C_{1-3}$ -alkyl is a straight or branched alkyl group containing 1-3 carbon atoms. Exemplary alkyl groups include methyl, ethyl, n-propyl, and isopropyl.

[0014]  $C_{1-3}$ -alkoxy is a straight or branched alkoxy group containing 1-3 carbon atoms. Exemplary alkoxy groups include methoxy, ethoxy, propoxy, and isopropoxy.

[0015] C<sub>4-7</sub>-cycloalkyl is an optionally substituted monocyclic, bicyclic or tricyclic alkyl group containing between 4-7 carbon atoms. Exemplary cycloalkyl groups include but not limited to cyclobutyl, cyclopentyl, cyclohexyl, and cycloheptyl.

[0016] Combinations of substituents and variables envisioned by this invention are only those that result in the formation of stable compounds. The term "stable", as used herein, refers to compounds which possess stability sufficient to allow manufacture and which maintains the integrity of the compound for a sufficient period of time to be useful for the purposes detailed herein.

[0017] As used herein, the term "prodrug" means a derivative of a compound that can hydrolyze, oxidize, or otherwise react under biological conditions (*in vitro* or *in vivo*) to provide an active compound. Examples of prodrugs include, but are not limited to,

derivatives of a compound that include biohydrolyzable groups such as biohydrolyzable amides, biohydrolyzable esters, biohydrolyzable carbamates, biohydrolyzable carbonates, biohydrolyzable ureides, and biohydrolyzable phosphate analogues (*e.g.*, monophosphate, diphosphate or triphosphate).

[0018] As used herein, "hydrate" is a form of a compound wherein water molecules are combined in a certain ratio as an integral part of the structure complex of the compound.

[0019] As used herein, "solvate" is a form of a compound where solvent molecules are combined in a certain ratio as an integral part of the structure complex of the compound.

[0020] "Pharmaceutically acceptable" means in the present description being useful in preparing a pharmaceutical composition that is generally safe, non-toxic and neither biologically nor otherwise undesirable and includes being useful for veterinary use as well as human pharmaceutical use.

[0021] "Pharmaceutically acceptable salts" mean salts which are pharmaceutically acceptable, as defined above, and which possess the desired pharmacological activity. Such salts include acid addition salts formed with organic and inorganic acids, such as hydrogen chloride, hydrogen bromide, hydrogen iodide, sulfuric acid, phosphoric acid, acetic acid, glycolic acid, maleic acid, malonic acid, oxalic acid, methanesulfonic acid, trifluoroacetic acid, fumaric acid, succinic acid, tartaric acid, citric acid, benzoic acid, ascorbic acid and the like. Base addition salts may be formed with organic and inorganic bases, such as sodium, ammonia, potassium, calcium, ethanolamine, diethanolamine, N-methylglucamine, choline and the like. Included in the invention are pharmaceutically acceptable salts or compounds of any of the formulae herein.

Depending on its structure, the phrase "pharmaceutically acceptable salt," as used [0022] herein, refers to a pharmaceutically acceptable organic or inorganic acid or base salt of a compound. Representative pharmaceutically acceptable salts include, e.g., alkali metal salts, alkali earth salts, ammonium salts, water-soluble and water-insoluble salts, such as the acetate, amsonate (4,4-diaminostilbene-2, 2 -disulfonate), benzenesulfonate, benzonate, bicarbonate, bisulfate, bitartrate, borate, bromide, butyrate, calcium, calcium edetate, camsylate, carbonate, chloride, citrate, clavulariate, dihydrochloride, edetate, edisylate, estolate, esylate, fumarate, gluceptate, gluconate, glutamate, glycollylarsanilate, hexafluorophosphate, hexylresorcinate, hydrabamine, hydrobromide, hydrochloride,

hydroxynaphthoate, iodide, isothionate, lactate, lactobionate, laurate, malate, maleate, mandelate, mesylate, methylbromide, methylnitrate, methylsulfate, mucate, napsylate, nitrate, N-methylglucamine ammonium salt, 3-hydroxy-2-naphthoate, oleate, oxalate, palmitate, pamoate (1,1-methene-bis-2-hydroxy-3-naphthoate, einbonate), pantothenate, phosphate/diphosphate, picrate, polygalacturonate, propionate, p-toluenesulfonate, salicylate, stearate, subacetate, succinate, sulfate, sulfosalicylate, suramate, tannate, tartrate, teoclate, tosylate, triethiodide, and valerate salts.

[0023] The present invention provides for a process for producing treprostinil and other prostacyclin derivatives and novel intermediate compounds useful in the process. The process according to the present invention provides advantages on large-scale synthesis over the existing method. For example, the purification by column chromatography is eliminated, thus the required amount of flammable solvents and waste generated are greatly reduced. Furthermore, the salt formation is a much easier operation than column chromatography. Moreover, it was found that the product of the process according to the present invention has higher purity. Therefore the present invention provides for a process that is more economical, safer, faster, greener, easier to operate, and provides higher purity.

[0024] One embodiment of the present invention is a process for the preparation of a compound of formula I, or a hydrate, solvate, prodrug, or pharmaceutically acceptable salt thereof.

$$\begin{array}{c|c} H & Y_1 - C - C - R_7 \\ M_1 & L_1 \\ M_1 & L_1 \\ \end{array}$$

$$O(CH_2)_w COOH \qquad (I)$$

[0025] The process comprises the following steps:

(a) alkylating a compound of formula II with an alkylating agent to produce a compound of formula III,

$$\begin{array}{c|c} & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & &$$

$$\begin{array}{c|c}
H & Y_1 = C = C = R_7 \\
M_1 & L_1 \\
M_1 & L_1
\end{array}$$

$$\begin{array}{c}
M_1 & L_1 \\
M_1 & L_1
\end{array}$$

$$\begin{array}{c}
O(CH_2)_wCN
\end{array}$$
(III)

wherein

w=1, 2, or 3;

 $Y_1$  is trans-CH=CH-, cis-CH=CH-, -CH<sub>2</sub>(CH<sub>2</sub>)<sub>m</sub>-, or -C=C-; m is 1, 2, or 3;  $R_7$  is

- (1)  $-C_pH_{2p}$ -CH<sub>3</sub>, wherein p is an integer from 1 to 5, inclusive,
- (2) phenoxy optionally substituted by one, two or three chloro, fluoro, trifluoromethyl,  $(C_1-C_3)$  alkyl, or  $(C_1-C_3)$ alkoxy, with the proviso that not more than two substituents are other than alkyl, with the proviso that  $R_7$  is phenoxy or substituted phenoxy, only when  $R_3$  and  $R_4$  are hydrogen or methyl, being the same or different,
- (3) phenyl, benzyl, phenylethyl, or phenylpropyl optionally substituted on the aromatic ring by one, two or three chloro, fluoro, trifluoromethyl,  $(C_1-C_3)$ alkyl, or  $(C_1-C_3)$ alkoxy, with the proviso that not more than two substituents are other than alkyl,
  - (4)  $cis-CH=CH-CH_2-CH_3$ ,
  - (5)  $-(CH_2)_2$ -CH(OH)-CH<sub>3</sub>, or
  - (6)  $-(CH_2)_3-CH=C(CH_3)_2;$

wherein  $-C(L_1)-R_7$  taken together is

- (1)  $(C_4-C_7)$ cycloalkyl optionally substituted by 1 to 3  $(C_1-C_5)$ alkyl;
- (2) 2-(2-furyl)ethyl,
- (3) 2-(3-thienyl)ethoxy, or
- (4) 3-thienyloxymethyl;

 $M_1$  is  $\alpha$ -OH: $\beta$ -R<sub>5</sub> or  $\alpha$ -R<sub>5</sub>: $\beta$ -OH or  $\alpha$ -OR<sub>1</sub>: $\beta$ -R<sub>5</sub> or  $\alpha$ -R<sub>5</sub>: $\beta$ -OR<sub>2</sub>, wherein R<sub>5</sub> is hydrogen or methyl, R<sub>2</sub> is an alcohol protecting group, and

 $L_1$  is  $\alpha$ -R<sub>3</sub>: $\beta$ -R<sub>4</sub>,  $\alpha$ -R<sub>4</sub>: $\beta$ -R<sub>3</sub>, or a mixture of  $\alpha$ -R<sub>3</sub>: $\beta$ -R<sub>4</sub> and  $\alpha$ -R<sub>4</sub>: $\beta$ -R<sub>3</sub>, wherein R<sub>3</sub> and R<sub>4</sub> are hydrogen, methyl, or fluoro, being the same or different, with the proviso that one of R<sub>3</sub> and R<sub>4</sub> is fluoro only when the other is hydrogen or fluoro.

(b) hydrolyzing the product of step (a) with a base,

(c) contacting the product of step (b) with a base B to for a salt of formula I<sub>s</sub>

$$\begin{array}{c|c} & H & Y_1^-C^-C^-R_7 \\ & M_1 & L_1 \\ & M_1 & L_1 \\ & HB \\ & & HB \\ & & & \\ &$$

(d) reacting the salt from step (c) with an acid to form the compound of formula I. [0026] In one embodiment, the compound of formula I is at least 90.0%, 95.0%, 99.0%. [0027] The compound of formula II can be prepared from a compound of formula XI, which is a cyclization product of a compound of formula X as described in U.S. Pat. No. 6,441,245.

$$\bigcap_{\substack{C \in C \\ O(CH_2)_n CH_3}} Y_1 - C - C - R_7$$

$$\bigcap_{\substack{M_1 \ L_1 \\ M_1 \ L_1}} Y_1 - C - C - R_7$$

$$\bigcap_{\substack{M_1 \ L_1 \\ O(CH_2)_n CH_3}} Y_1 - C - C - R_7$$

$$\bigcap_{\substack{M_1 \ L_1 \\ O(CH_2)_n CH_3}} (XI)$$

Wherein n is 0, 1, 2, or 3.

[0028] The compound of formula II can be prepared alternatively from a compound of formula XIII, which is a cyclization product of a compound of formula XII as described in U.S. Pat. No. 6,700,025.

$$\bigcap_{OBn}^{OR_1} \bigcap_{X_1 \subseteq C \subseteq C \subseteq R_7} \bigcap_{M_1 \subseteq L_1}^{V_1 \subseteq C \subseteq C \subseteq R_7} \bigcap_{OBn}^{W_1 \subseteq L_1} \bigcap_{OBn}^{W_1 \subseteq C \subseteq C \subseteq R_7} \bigcap_{(XIII)}^{W_1 \subseteq C \subseteq C \subseteq R_7} \bigcap_{OBn}^{W_1 \subseteq C \subseteq C \subseteq C \subseteq R_7} \bigcap_{OBn}^{W_1 \subseteq C \subseteq C \subseteq C \subseteq R_7} \bigcap_{OBn}^{W_1 \subseteq C \subseteq C \subseteq C \subseteq C} \bigcap_{OBn}^{W_1 \subseteq C \subseteq C \subseteq C} \bigcap_{OBn}^{W_1 \subseteq C \subseteq C \subseteq C} \bigcap_{OBn}^{W_1 \subseteq C} \bigcap_{OBn}^{W$$

[0029] One embodiment of the present invention is a process for the preparation of a compound having formula IV, or a hydrate, solvate, or pharmaceutically acceptable salt thereof.

## [0030] The process comprises

(a) alkylating a compound of structure V with an alkylating agent such as ClCH<sub>2</sub>CN to produce a compound of formula VI,

- (b) hydrolyzing the product of step (a) with a base such as KOH,
- (c) contacting the product of step (b) with a base B such as diethanolamine to for a salt of the following structure, and

(d) reacting the salt from step (b) with an acid such as HCl to form the compound of formula IV.

[0031] In one embodiment, the purity of compound of formula IV is at least 90.0%, 95.0%, 99.0%, 99.5%.

[0032] In one embodiment, the process further comprises a step of isolating the salt of formula  $IV_s$ .

[0033] In one embodiment, the base B in step (c) may be ammonia, N-methylglucamine, procaine, tromethanine, magnesium, L-lysine, L-arginine, or triethanolamine.

[0034] The following abbreviations are used in the description and/or appended claims, and they have the following meanings:

"MW" means molecular weight.

"Eq." means equivalent.

"TLC" means thin layer chromatography.

"HPLC" means high performance liquid chromatography.

"PMA" means phosphomolybdic acid.

"AUC" means area under curve.

[0035] In view of the foregoing considerations, and specific examples below, those who are skilled in the art will appreciate that how to select necessary reagents and solvents in practicing the present invention.

[0036] The invention will now be described in reference to the following Examples. These examples are not to be regarded as limiting the scope of the present invention, but shall only serve in an illustrative manner.

#### **EXAMPLES**

### Example 1. Alkylation of Benzindene Triol

Name	MW	Amount	Mol.	Eq.
Benzindene Triol	332.48	1250 g	3.76	1.00
K <sub>2</sub> CO <sub>3</sub> (powder)	138.20	1296 g	9.38	2.50
CICH <sub>2</sub> CN	75.50	567 g	7.51	2.0
Bu <sub>4</sub> NBr	322.37	36 g	0.11	0.03
Acetone		29 L		
Celite <sup>®</sup> 545		115 g		

[0037] A 50-L, three-neck, round-bottom flask equipped with a mechanical stirrer and a thermocouple was charged with benzindene triol (1250 g), acetone (19 L) and K<sub>2</sub>CO<sub>3</sub> (powdered) (1296 g), chloroacetonitrile (567 g), tetrabutylammonium bromide (36 g). The reaction mixture was stirred vigorously at room temperature (23±2°C) for 16-72 h. The progress of the reaction was monitored by TLC. (methanol/CH<sub>2</sub>Cl<sub>2</sub>; 1:9 and developed by 10% ethanolic solution of PMA). After completion of reaction, the reaction mixture was filtered with/without Celite pad. The filter cake was washed with acetone (10L). The filtrate was concentrated *in vacuo* at 50-55°C to give a light-brown, viscous liquid benzindene nitrile. The crude benzindene nitrile was used as such in the next step without further purification.

Example 2. Hydrolysis of Benzindene Nitrile

Name	MW	Amount	Mol.	Eq.
Benzindene Nitrile	371.52	1397 g*	3.76	1.0
КОН	56.11	844 g	15.04	4.0
Methanol		12 L		
Water		4.25 L		

<sup>\*</sup>Note: This weight is based on 100% yield from the previous step. This is not isolated yield.

[0038] A 50-L, cylindrical reactor equipped with a heating/cooling system, a mechanical stirrer, a condenser, and a thermocouple was charged with a solution of benzindene nitrile in methanol (12 L) and a solution of KOH (844 g of KOH dissolved in 4.25 L of water). The reaction mixture was stirred and heated to reflux (temperature 72.2°C). The progress of the reaction was monitored by TLC (for TLC purpose, 1-2 mL of reaction mixture was acidified with 3M HCl to pH 1-2 and extracted with ethyl acetate. The ethyl acetate extract was used for TLC; Eluent: methanol/CH<sub>2</sub>Cl<sub>2</sub>; 1:9, and developed by 10% ethanolic solution of PMA). After completion of the reaction (~5 h), the reaction mixture was cooled to -5 to 10°C and quenched with a solution of hydrochloric acid (3M, 3.1 L) while stirring. The reaction mixture was concentrated *in vacuo* at 50-55°C to obtain approximately 12-14 L of condensate. The condensate was discarded.

[0039] The aqueous layer was diluted with water (7-8 L) and extracted with ethyl acetate  $(2 \times 6 \text{ L})$  to remove impurities soluble in ethyl acetate. To aqueous layer, ethyl acetate (22 L) was added and the pH of reaction mixture was adjusted to 1-2 by adding 3M HC1 (1.7 L) with stirring. The organic layer was separated and the aqueous layer was extracted with ethyl acetate (2 × 11 L). The combined organic layers were washed with water (3 × 10 L) and followed by washing with a solution of NaHCO<sub>3</sub> (30 g of NaHCO<sub>3</sub> dissolved in 12 L of water). The organic layer was further washed with saturated solution of NaCl (3372 g of NaCl dissolved in water (12 L)) and dried over anhydrous Na<sub>2</sub>SO<sub>4</sub> (950-1000 g), once filtered.

[0040] The filtrate was transferred into a 72-L reactor equipped with mechanical stirrer, a condenser, and a thermocouple. To the solution of treprostinil in reactor was added activated carbon (110-130 g). The suspension was heated to reflux (temperature 68-70°C) for at least one hour. For filtration, a pad of Celite<sup>®</sup>545 (300-600 g) was prepared in sintered glass

funnel using ethyl acetate. The hot suspension was filtered through the pad of Celite<sup>®</sup>545. The Celite<sup>®</sup>545 was washed with ethyl acetate until no compound was seen on TLC of the washings.

[0041] The filtrate (pale-yellow) was reduced to volume of 35-40 L by evaporation *in vacuo* at 50-55°C for direct use in next step.

Example 3. Conversion of Treprostinil to Treprostinil Diethanolamine Salt (1:1)

Name	MW	Amount	Mol	Eq
Treprostinil	390.52	1464 g*	3.75	1.0
Diethanolamine	105.14	435 g	4.14	1.1
Ethanol		5.1 L		
Ethyl acetate		35L**		
Treprostinil Diethanolamine Salt (seed)		12 g	1	-

\*Note: This weight is based on 100% yield from benzindene triol. It is not isolated yield. The treprostinil was carried from previous step in ethyl acetate solution and used as such for this step.

\*\*Note: The total volume of ethyl acetate should be in range of 35-36 L (it should be 7 times the volume of ethanol used). Approximately 35 L of ethyl acetate was carried over from previous step and additional 1.0 L of ethyl acetate was used for rinsing the flask.

[0042] A 50-L, cylindrical reactor equipped with a heating/cooling system, a mechanical stirrer, a condenser, and a thermocouple was charged with a solution of treprostinil in ethyl acetate (35-40 L from the previous step), anhydrous ethanol (5.1 L) and diethanolamine (435 g). While stirring, the reaction mixture was heated to 60-75°C, for 0.5-1.0 h to obtain a clear solution. The clear solution was cooled to 55±5°C. At this temperature, the seed of

polymorph B of treprostinil diethanolamine salt ( $\sim$ 12 g) was added to the clear solution. The suspension of polymorph B was stirred at this temperature for 1 h. The suspension was cooled to 20±2°C overnight (over a period of 16-24 h). The treprostinil diethanolamine salt was collected by filtration using Aurora filter equipped with filter cloth, and the solid was washed with ethyl acetate (2  $\times$  8 L). The treprostinil diethanolamine salt was transferred to a HDPE/glass container for air-drying in hood, followed by drying in a vacuum oven at 50±5°C under high vacuum.

[0043] At this stage, if melting point of the treprostinil diethanolamine salt is more than 104°C, it was considered polymorph B. There is no need of recrystallization. If it is less than 104°C, it is recrystallized in EtOH-EtOAc to increase the melting point.

Data on Treprostinil Diethanolamine Salt (1:1)

Batch No.	Wt. of Benzindene Triol (g)	Wt. of Treprostinil Diethanolamine Salt (1:1) (g)	Yield (%)	Melting point (°C)
1	1250	1640	88.00	104.3-106.3
2	1250	1528	82.00*	105.5-107.2
3	1250	1499	80.42**	104.7-106.6
4	1236	1572	85.34	105-108

<sup>\*</sup>Note: In this batch, approximately 1200 mL of ethyl acetate solution of treprostinil before carbon treatment was removed for R&D carbon treatment experiments.

Example 4. Heptane Slurry of Treprostinil Diethanolamine Salt (1:1)

Name	Batch No.	Amount	Ratio
Treprostinil Diethanolamine Salt	1	3168 g	1
Heptane		37.5 L	12

<sup>\*\*</sup>Note: This batch was recrystallized, for this reason yield was lower.

Name	Batch No.	Amount	Ratio
Treprostinil Diethanolamine Salt	2	3071 g	1
Heptane		36.0 L	12

[0044] A 50-L, cylindrical reactor equipped with a heating/cooling system, a mechanical stirrer, a condenser, and a thermocouple was charged with slurry of treprostinil diethanolamine salt in heptane (35-40 L). The suspension was heated to 70-80°C for 16-24 h. The suspension was cooled to 22±2°C over a period of 1-2 h. The salt was collected by filtration using Aurora filter. The cake was washed with heptane (15-30 L) and the material was dried in Aurora filter for 1 h. The salt was transferred to trays for air-drying overnight in hood until a constant weight of treprostinil diethanolamine salt was obtained. The material was dried in oven under high vacuum for 2-4 h at 50-55°C.

Analytical data on and Treprostinil Diethanolamine Salt (1:1)

Test	Batch 1	Batch 2
IR	Conforms	Conforms
Residue on Ignition (ROI)	$<$ 0.1% $_{\mathrm{W/W}}$	<0.1% w/w
Water content	0.1% w/w	$0.0\%~\mathrm{w/w}$
Melting point	105.0-106.5°C	104.5-105.5°C
Specific rotation $[\alpha]^{25}_{589}$	+34.6°	+35°
Organic volatile impurities		
<ul> <li>Ethanol</li> </ul>	<ul> <li>Not detected</li> </ul>	<ul> <li>Not detected</li> </ul>
<ul> <li>Ethyl acetate</li> </ul>	<ul> <li>Not detected</li> </ul>	• <0.05% w/w
<ul> <li>Heptane</li> </ul>	• <0.05% w/w	• <0.05% w/w
HPLC (Assay)	100.4%	99.8%
Diethanolamine	Positive	Positive

Example 5. Conversion of Treprostinil Diethanolamine Salt (1:1) to Treprostinil

[0045] A 250-mL, round-bottom flask equipped with magnetic stirrer was charged with treprostinil diethanolamine salt (4 g) and water (40 mL). The mixture was stirred to obtain a clear solution. To the clear solution, ethyl acetate (100 mL) was added. While stirring, 3M HC1 (3.2 mL) was added slowly until pH  $\sim$ 1 was attained. The mixture was stirred for 10 minutes and organic layer was separated. The aqueous layer was extracted with ethyl acetate (2  $\times$  100 mL). The combined organic layers was washed with water (2  $\times$  100 mL), brine (1  $\times$  50 mL) and dried over anhydrous Na<sub>2</sub>SO<sub>4</sub>. The ethyl acetate solution of treprostinil was filtered and the filtrate was concentrated under vacuum at 50°C to give off-white solid. The crude treprostinil was recrystallized from 50% ethanol in water (70 mL). The pure treprostinil was collected in a Buchner funnel by filtration and cake was washed with cold 20% ethanolic solution in water. The cake of treprostinil was air-dried overnight and further dried in a vacuum oven at 50°C under high vacuum to afford 2.9 g of treprostinil (Yield 91.4%, purity (HPLC, AUC, 99.8%)).

Analytical data on Treprostinil from Treprostinil Diethanolamine Salt (1:1) to Treprostinil

Batch No.	Yield	Purity (HPLC)
1	91.0%	99.8% (AUC)
2	92.0%	99.9% (AUC)
3	93.1%	99.7% (AUC)
4	93.3%	99.7% (AUC)
5	99.0 %	99.8% (AUC)
6	94.6%	99.8% (AUC)

Example 6. Comparison of the former process and a working example of the process according to the present invention

Step No.	Steps	Former Process (Batch size: 500g)	Working example of the Process according to the present invention (Batch size: 5 kg)			
	Nitrile					
1	Triol weight	500 g	5,000 g			
2	Acetone	20 L (1:40 wt/wt)	75 L (1:15 wt/wt)			
3	Potassium carbonate	1,300 g (6.4 eq)	5,200 g (2.5 eq)			
4	Chloroacetonitrile	470 g (4.2 eq)	2,270 g (2 eq)			
5	Tetrabutylammoniu m bromide	42 g (0.08 eq)	145 g (0.03 eq)			
6	Reactor size	72-Liter	50- gallon			
7	Reflux time	8 hours	No heating, Room temperature (r.t.) 45 h			
8	Hexanes addition before filtration	Yes (10 L)	No			
9	Filter	Celite	Celite			
10	Washing	Ethyl acetate (10 L)	Acetone (50 L)			
11	Evaporation	Yes	Yes			
12	Purification	Silica gel column Dichloromethane:0.5 L Ethyl acetate: 45 L Hexane: 60 L	No column			
13	Evaporation after column	Yes	No			
14	Yield of nitrite	109-112 %	Not checked			
		Treprostinil (intermediate	e)			
15	Methanol	7.6 L (50-L reactor)	50 L (50-gal reactor)			
16	Potassium	650 g (8 eq)	3,375g (4 eq)			
17	Water	2.2 L	17 L			
18	% of KOH	30%	20%			

19	Reflux time	3-3.5 h	4-5 h
20	Acid used	2.6 L (3 M)	12 L (3 M)
20	Removal of	2.0 L (3 WI)	12 L (3 WI)
21	impurities	$3 \times 3$ L Ethyl acetate	2 × 20 L Ethyl acetate
22	Acidification	0.7 L	6.5 L
	Ethyl acetate		
23	extraction	5 × 17 L = 35 L	90+45+45 = 180 L
24	Water washing	2 × 8 L	3 × 40 L
25	Sodium bicarbonate washing	Not done	120 g in 30L water + 15 L brine
26	Brine washing	Not done	1 × 40 L
27	Sodium sulfate	1 kg	Not done
28	Sodium sulfate filtration	Before charcoal, 6 L ethyl acetate	N/A
29	Charcoal	170 g, reflux for 1.5 h, filter over Celite, 11 L ethyl acetate	Pass hot solution (75°C) through charcoal cartridge and clean filter, 70 L ethyl acetate
30	Evaporation	Yes, to get solid intermediate treprostinil	Yes, adjust to 150 L solution
	Tr	eprostinil Diethanolamine Sa	alt
31	Salt formation	Not done	1,744 g diethanolamine, 20 L ethanol at 60-75°C.
32	Cooling	N/A	To 20°C over weekend; add 40 L ethyl acetate; cooled to 10°C
33	Filtration	N/A	Wash with 70 L ethyl acetate
34	Drying	N/A	Air-dried to constant wt., 2 days
	Treprostinil (fro	om 1.5 kg Treprostinil dieth	anolamine salt)
35	Hydrolysis	N/A	15 L water + 25 L ethyl acetate + HCl
36	Extraction	N/A	2 × 10 L ethyl acetate
37	Water wash	N/A	3 × 10 L
38	Brine wash	N/A	1 × 10 L

39	Sodium sulfate	N/A	1 kg, stir
40	Filter	N/A	Wash with 6 L ethyl acetate
41	Evaporation	N/A	To get solid, intermediate Treprostinil
42	Crude drying on tray	1 or 3 days	Same
43	Ethanol & water for cryst.	5.1 L + 5.1 L	10.2 L + 10.2 L (same %)
44	Crystallization in	20-L rotavap flask	50-L jacketed reactor
45	Temperature of crystallization	2 h r.t., fridge -0°C 24 h	50°C to 0°C ramp, 0°C overnight
46	Filtration	Buchner funnel	Aurora filter
47	Washing	20% (10 L) cooled ethanol-water	20% (20 L) cooled ethanol-water
48	Drying before oven	Buchner funnel (20 h) Tray (no)	Aurora filter (2.5 h) Tray (4 days)
49	Oven drying	15 hours, 55°C	6-15 hours, 55°C
50	Vacuum	<-0.095 mPA	< 5 Torr
51	UT-15 yield weight	~ 535 g	~ 1,100 g
52	% yield from triol)	~ 91%	~ 89%
53	Purity	~ 99.0%	99.9%

[0046] The quality of treprostinil produced according to this invention is excellent. The purification of benzindene nitrile by column chromatography is eliminated. The impurities carried over from intermediate steps (i.e. alkylation of triol and hydrolysis of benzindene nitrile) are removed during the carbon treatment and the salt formation step. Additional advantages of this process are: (a) crude treprostinil salts can be stored as raw material at ambient temperature and can be converted to treprostinil by simple acidification with diluted hydrochloric acid, and (b) the treprostinil salts can be synthesized from the solution of treprostinil without isolation. This process provides better quality of final product as well as saves significant amount of solvents and manpower in purification of intermediates.

[0047] Although the foregoing refers to particular preferred embodiments, it will be understood that the present invention is not so limited. It will occur to those of ordinary skill in the art that various modifications may be made to the disclosed embodiments and that such modifications are intended to be within the scope of the present invention.

[0048] All of the publications, patent applications and patents cited in this specification are incorporated herein by reference in their entirety.					

#### WHAT IS CLAIMED IS:

1. A process for the preparation of a compound of formula I, a hydrate, solvate, prodrug, or pharmaceutically acceptable salt thereof

$$\begin{array}{c|c} H & Y_1 - C - C - R_7 \\ \hline M_1 & L_1 \\ \hline M_1 & L_1 \\ \hline O(CH_2)_w COOH \end{array} \tag{I}$$

comprising

(a) alkylating a compound of structure II with an alkylating agent to produce a compound of formula III,

wherein

w=1, 2, or 3;

 $Y_1$  is trans-CH=CH-, cis-CH=CH-, -CH<sub>2</sub>(CH<sub>2</sub>)<sub>m</sub>-, or -C=C-; m is 1, 2, or 3;  $R_7$  is

- (1)  $-C_pH_{2p}$ -CH<sub>3</sub>, wherein p is an integer from 1 to 5, inclusive,
- (2) phenoxy optionally substituted by one, two or three chloro, fluoro, trifluoromethyl,  $(C_1-C_3)$  alkyl, or  $(C_1-C_3)$ alkoxy, with the proviso that not more than two substituents are other than alkyl, with the proviso that  $R_7$  is phenoxy or substituted phenoxy, only when  $R_3$  and  $R_4$  are hydrogen or methyl, being the same or different,
- (3) phenyl, benzyl, phenylethyl, or phenylpropyl optionally substituted on the aromatic ring by one, two or three chloro, fluoro, trifluoromethyl,  $(C_1-C_3)$ alkyl, or  $(C_1-C_3)$ alkoxy, with the proviso that not more than two substituents are other than alkyl,
  - (4)  $cis-CH=CH-CH_2-CH_3$ ,

- (5)  $-(CH_2)_2$ -CH(OH)-CH<sub>3</sub>, or
- (6)  $-(CH_2)_3-CH=C(CH_3)_2;$

 $-C(L_1)-R_7$  taken together is

- (1)  $(C_4-C_7)$ cycloalkyl optionally substituted by 1 to 3  $(C_1-C_5)$ alkyl;
- (2) 2-(2-furyl)ethyl,
- (3) 2-(3-thienyl)ethoxy, or
- (4) 3-thienyloxymethyl;

 $M_1$  is  $\alpha$ -OH: $\beta$ -R<sub>5</sub> or  $\alpha$ -R<sub>5</sub>: $\beta$ -OH or  $\alpha$ -OR<sub>1</sub>: $\beta$ -R<sub>5</sub> or  $\alpha$ -R<sub>5</sub>: $\beta$ -OR<sub>2</sub>, wherein R<sub>5</sub> is hydrogen or methyl, R<sub>2</sub> is an alcohol protecting group, and

 $L_1$  is  $\alpha$ - $R_3$ : $\beta$ - $R_4$ ,  $\alpha$ - $R_4$ : $\beta$ - $R_3$ , or a mixture of  $\alpha$ - $R_3$ : $\beta$ - $R_4$  and  $\alpha$ - $R_4$ : $\beta$ - $R_3$ , wherein  $R_3$  and  $R_4$  are hydrogen, methyl, or fluoro, being the same or different, with the proviso that one of  $R_3$  and  $R_4$  is fluoro only when the other is hydrogen or fluoro.

- (b) hydrolyzing the product of formula III of step (a) with a base,
- (c) contacting the product of step (b) with a base B to for a salt of formula I<sub>s</sub>,

$$\begin{array}{c|c} & H & Y_1 \text{--} G \text{--} G \text{--} R_7 \\ & M_1 & L_1 \\ & M_1 & L_1 \\ & M_1 & H_2 \\ & M_1 & M_2 \\ & M_2 & M_2 & M_2 \\ & M_1 & M_2 & M_2 \\ & M_1 & M_2 & M_2 \\ & M_1 & M_2 & M_2 \\ & M_2 & M_2 & M_2 \\ & M_1 & M_2 & M_2 \\ & M_1 & M_2 & M_2 \\ & M_2 & M_2 & M_2 \\ & M_1 & M_2 & M_2 \\ & M_2 & M_2 & M_2 \\ & M_2 & M_2 & M_2 \\ & M_1 & M_2 & M_2 \\ & M_2 & M_2 & M_2 \\ & M_1 & M_2 & M_2 \\ & M_2 & M_2 & M_2$$

- (d) reacting the salt from step (c) with an acid to form the compound of formula I.
- 2. The process according to claim 1, wherein the purity of compound of formula I is at least 90.0%, 95%, or 99.0%.
- 3. The process according to claim 1, further comprising a step of isolating the salt of formula I<sub>s</sub>.
- 4. The process according to claim 1, wherein the alkylating agent is Cl(CH<sub>2</sub>)<sub>w</sub>CN, Br(CH<sub>2</sub>)<sub>w</sub>CN, or I(CH<sub>2</sub>)<sub>w</sub>CN.
- 5. The process according to claim 1, wherein the base in step (b) is KOH or NaOH.

- 6. The process according to claim 1, wherein the base B in step (c) is selected from the group consisting of ammonia, N-methylglucamine, procaine, tromethanine, magnesium, L-lysine, L-arginine, triethanolamine, and diethanolamine.
- 7. The process according to claim 1, wherein the acid in step (d) is HCl or  $H_2SO_4$ .
- 8. The process according to claim 1, wherein  $Y_1$  is  $-CH_2CH_2$ -;  $M_1$  is  $\alpha$ -OH: $\beta$ -H or  $\alpha$ -H: $\beta$ -OH;  $-C(L_1)$ -R<sub>7</sub> taken together is  $-(CH_2)_4CH_3$ ; and w is 1.
- 9. The process according to claim 1, wherein the compound of formula I is a compound of formula IV.

10. A process for the preparation of a compound having formula IV, a hydrate, solvate, prodrug, or pharmaceutically acceptable salt thereof

comprising

(a) alkylating a compound of formula V with an alkylating agent to produce a compound of formula VI,

- (b) hydrolyzing the product of formula VI of step (a) with a base,
- (c) contacting the product of step (b) with a base B to form a salt of formula  $IV_s$ , and

- (d) reacting the salt from step of formula  $IV_s$  with an acid to form the compound of formula IV.
- 11. The process according to claim 10, wherein the purity of compound of formula IV is at least 90.0%, 95.0%, 99.0%, or 99.5%.
- 12. The process according to claim 10, further comprising a step of isolating the salt of formula IV<sub>s</sub>.
- 13. The process according to claim 10, wherein the alkylating agent is ClCH<sub>2</sub>CN.
- 14. The process according to claim 10, wherein the base in step (b) is KOH.
- 15. The process according to claim 10, wherein the base B in step (c) is selected from a group consisting of ammonia, N-methylglucamine, procaine, tromethanine, magnesium, L-lysine, L-arginine, triethanolamine, and diethanolamine.

- 16. The process according to claim 15, wherein the base B is diethanolamine.
- 17. The process according to claim 10, wherein the acid in step (d) is HCl.
- 18. A process as claimed in claim 1, wherein the compound produced is a compound of the formula  $IV_s$ ,

wherein the base B is selected from a group consisting of ammonia, N-methylglucamine, procaine, tromethanine, magnesium, L-lysine, L-arginine, triethanolamine, and diethanolamine.

19. A process as claimed in claim 1, wherein the compound produced is a compound of the following formula:

# **ABSTRACT**

This present invention relates to an improved process to prepare prostacyclin derivatives. One embodiment provides for an improved process to convert benzindene triol to treprostinil via salts of treprostinil and to purify treprostinil.

## **Application Data Sheet**

**Application Information** 

Application number:: Unassigned Filing Date:: 12/15/2008

Application Type:: Regular
Subject Matter:: Utility

Suggested classification::

Suggested Group Art Unit::

CD-ROM or CD-R?:: None
Computer Readable Form (CRF)?:: No

Title:: AN IMPROVED PROCESS TO PREPARE

TREPROSTINIL, THE ACTIVE INGREDIENT IN REMODULIN®

Attorney Docket Number:: 080618-0629

Request for Early Publication?:: No Request for Non-Publication?:: No

**Suggested Drawing Figure::** 

**Total Drawing Sheets::** 

Small Entity?::

Petition included?::

No

Secrecy Order in Parent Appl.?::

No

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Page # 2 Initial 12/15/2008

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Representative Customer	22428
Number::	

# **Domestic Priority Information**

Application::	Continuity Type::	Parent	Parent Filing
		Application::	Date::
This Application	An application	61/014,232	12/17/2007
	claiming the benefit		
	under 35 USC		
	119(e)		

# **Foreign Priority Information**

Country::	Application number::	Filing Date::	Priority Claimed::

# **Assignee Information**

Assignee Name:: United Therapeutics Corporation

Electronic Acknowledgement Receipt				
EFS ID:	4452082			
Application Number:	12334731			
International Application Number:				
Confirmation Number:	8804			
Title of Invention:	AN IMPROVED PROCESS TO PREPARE TREPROSTINIL, THE ACTIVE INGREDIENT IN REMODULIN			
First Named Inventor/Applicant Name:	Hitesh BATRA			
Customer Number:	22428			
Filer:	Stephen Bradford Maebius/Karen Walker			
Filer Authorized By:	Stephen Bradford Maebius			
Attorney Docket Number:	080618-0629			
Receipt Date:	15-DEC-2008			
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Application Type:	Utility under 35 USC 111(a)			

# **Payment information:**

# File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Transmittal of New Application	Transmittal.pdf	65197 fd49da2dff67301086377fa3f817af563ecfa9 3e	no	3

## **Warnings:**

Information:	SteadyMed - Exhibit 1002 - Page 35

2		Specification.pdf	235680	yes	27			
2		Specification.pur	d6c5d77400cce89a4f4ba8639e1294a53e3 12577	yes	27			
	Multipart Description/PDF files in .zip description							
Document Description		scription	Start	End				
	Specificat	1	21					
	Claims		22	26				
	Abstract		27	27				
Warnings:								
Information:								
3	Application Data Sheet	ADS.pdf	64079	no	4			
			52e1f9c98a7a0698a18859da6235faeba27a 39c6					
Warnings:					•			
Information:								
This is not an US	PTO supplied ADS fillable form							
		Total Files Size (in bytes)	36	54956				

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

## New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

12/15/08

Filing Date:

	PAIE			FEE DETEI te for Form PT(	RMINATION REC D-875	ORD			n or Docket Numb /334,731	er
	AP	PLICATION		ED – PART Column 1)	(Column 2)	SMALL	ENTITY	OR	OTHER SMALL	
	FOR		NUM	MBER FILED	NUMBER EXTRA	RATE (\$)	FEE (\$)		RATE (\$)	FEE (\$)
ASIC	FEE R 1.16(a), (b), or	(a))		N/A	N/A	N/A	82	1	N/A	
	CH FEE	(C))		N/A	N/A	N/A	270		N/A	
	R 1.16(k), (i), or (	(m))	-	·		ļ	<del>  -                                   </del>	1		
7 CFI	R 1.16(o), (p), or	(q))	ļ	N/A	N/A	N/A	110		N/A	
	. CLAIMS R 1.16(i))	•	19	minus 20 =	·	x\$26		OR	x\$52	
	ENDENT CLAIM R 1.16(h))	S	2	minus 3 =	*	x\$110			x\$220	
EE	CATION SIZE		sheets o \$260 (\$1 50 sheet	f paper, the applic						
IULT	IPLE DEPEND	ENT CLAIM PF	RESENT	(37 CFR 1.16(	j))	195			390	
f the	difference in c	olumn 1 is less	than zer	o, enter "0" in c	olumn 2.	TOTAL	462		TOTAL	
<b>(</b>		(Column 1)  CLAIMS  REMAINING  AFTER  AMENDMENT		(Column 2) HIGHEST NUMBER PREVIOUSLY PAID FOR	(Column 3) PRESENT EXTRA	SMALL (RATE (\$)	ADDI- TIONAL FEE (\$)	OR	SMALL RATE (\$)	ADDI- TIONAL FEE (\$)
	Total 37 CFR 1.16(i))	*	Minus	**	=	x =	·	OR	x =	
	ndependent	*	Minus	***	=	x =		1 ,,	x =	
( A	37 CFR 1.16(h)) Application Size	Fee (37 CFR 1	I I.16(s))	<u> </u>				OR		
F	IRST PRESENT	ATION OF MULTI	PLE DEP	ENDENT CLAIM	(37 CFR 1.16(j))	N/A		OR	N/A	
						TOTAL ADD'T FEE		OR	TOTAL ADD'T FEE	
		(Column 1)		(Column 2)	(Column 3)			OR		
回 三 回 二 回		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE (\$)	ADDI- TIONAL FEE (\$)		RATE (\$)	ADDI- TIONAL FEE (\$)
(i	Total 37 CFR 1.16(i))	*	Minus	**	=	х =		OR	x =	
lr G	ndependent 37 CFR 1.16(h))	*	Minus	***	=	x = .		OR	_x =	
- A	<del>``</del>	Fee (37 CFR 1	` ''							
	IRST PRESENT	ATION OF MULTI	PLE DEP	ENDENT CLAIM	(37 CFR 1.16(j))	N/A TOTAL	<u> </u>	OR	N/A TOTAL	

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Pater and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



#### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS PC. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NUMBER FILING OR 371(C) DATE FIRST NAMED APPLICANT ATTY. DOCKET NO./TITLE

12/334,731 12/15/2008 Hitesh BATRA 080618-0629

CONFIRMATION NO. 8804 FORMALITIES LETTER

22428
FOLEY AND LARDNER LLP
SUITE 500
3000 K STREET NW
WASHINGTON, DC 20007



Date Mailed: 12/31/2008

#### NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

#### **Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.

  Applicant must submit \$82 to complete the basic filing fee for a small entity.
- The oath or declaration is missing.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

Note: If a petition under 37 CFR 1.47 is being filed, an oath or declaration in compliance with 37 CFR 1.63 signed by all available joint inventors, or if no inventor is available by a party with sufficient proprietary interest, is required.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

• To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.16(f) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this notice.

#### **SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$527 for a small entity

- \$82 Statutory basic filing fee.
- \$65 Surcharge.
- The application search fee has not been paid. Applicant must submit \$270 to complete the search fee.
- The application examination fee has not been paid. Applicant must submit \$110 to complete the examination fee for a small entity in compliance with 37 CFR 1.27.

#### Replies should be mailed to:

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web. <a href="https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html">https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html</a>

For more information about EFS-Web please call the USPTO Electronic Business Center at **1-866-217-9197** or visit our website at <a href="http://www.uspto.gov/ebc.">http://www.uspto.gov/ebc.</a>

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

	/rfthomas/								
Office of Data	Management,	Application	Assistance U	nit (571)	272-4000,	or (571	) 272-4200	or 1-888	-786-010 <sup>-</sup>



#### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 WWW.18910.gov

Γ	APPLICATION	FILING or	GRP ART				
	NUMBER	371(c) DATE	UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	TOT CLAIMS	IND CLAIMS
_	12/334,731	12/15/2008	1614	0.00	080618-0629	19	2

**CONFIRMATION NO. 8804** 

FILING RECEIPT

\*OC00000033786953\*

22428
FOLEY AND LARDNER LLP
SUITE 500
3000 K STREET NW
WASHINGTON, DC 20007

Date Mailed: 12/31/2008

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

Applicant(s)

Hitesh BATRA, Herndon, VA;

Sudersan M. TULADHAR, Silver Spring, MD;

Raju PENMASTA, Herndon, VA; David A. WALSH, Palmyra, VA;

**Assignment For Published Patent Application** 

UNITED THERAPEUTICS CORPORATION

Power of Attorney: None

Domestic Priority data as claimed by applicant

This appln claims benefit of 61/014,232 12/17/2007

**Foreign Applications** 

If Required, Foreign Filing License Granted: 12/24/2008

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 12/334,731** 

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

#### Title

PROCESS TO PREPARE TREPROSTINIL, THE ACTIVE INGREDIENT IN REMODULIN

#### **Preliminary Class**

514

#### PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and quidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

# LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

#### **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as

set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign AssetsControl, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



Atty. Dkt. No. 080618-0629

Dan A

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Hitesh BATRA et al.

Title:

AN IMPROVED PROCESS TO PREPARE

TREPROSTINIL, THE ACTIVE INGREDIENT IN REMODULIN®

Appl. No.:

12/334,731

Filing Date:

12/15/2008

Examiner:

Unassigned

Art Unit:

1614

Conf. No.:

8804

# TRANSMITTAL OF MISSING PARTS OF PATENT APPLICATION

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In response to the Notice to File Missing Parts of Application mailed December 31, 2008, in the above-identified patent application, transmitted herewith are the missing parts to complete the filing of the subject patent application.

#### Enclosed are:

- [X] Declaration and Power of Attorney (4 pages)
- [X] Return Copy of Notice to File Missing Parts
- [X] Preliminary Amendment
- [X] Information Disclosure Statement

The adjustment to the number of sheets for EFS-Web filing follows:

Number of Sheets		EFS-Web Adjustment	Number of Sheets for EFS-Web
_27	x	75%	21

The filing fee is calculated below:

	Claims	I	ncluded	!	Extra Claims				Fee
	as Filed		in				Rate		Totals
			Basic Fee						
Basic Fili	ng Fee, Sea	arch I	Fee & E	xamiı	nation Fee		\$1,090.00	_	\$1,090.00
Size Fee	21	-	100	_ =	0	X	\$270.00	=	\$0.00
Total Claims:	19	-	20	=	0	x	\$52.00	=	\$0.00
Indep.:	2		3	_ = '	0	x	\$220.00	= -	\$0.00
If any Mu	ltiple Depe	nden	t Claim	(s) pr	esent:	+	\$390.00	= -	\$0.00
_	under 37 (Declaration		` '		e filing of tof filing fee	+	\$130.00	=	\$130.00
	Extension the MONT		•	nse fi	led within	+		=	\$0.00
						S	UBTOTAL:	= -	\$1,220.00
[X]		Sma	all Entit	y Fee	s Apply (subti	ract	½ of above):	= -	\$610.00
	Ba	sic Fi	iling Fee	e Red	uction for Fili	ng v	ia EFS-Web	-	\$83.00
			_		TOTA	L F	ILING FEE:	= -	\$527.00
	g Fee unde Translatio				or Late Filing	+	\$130.00	=	\$0.00
_						7	TOTAL FEE	= -	\$527.00
Difference	e to nav:					_	\$0.00		\$527.00

A credit card payment form in the amount of \$527.00 is enclosed in payment of surcharge fee (37 C.F.R. § 1.16(f)).

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by the credit card payment form being unsigned, providing incorrect information resulting in a rejected credit card transaction, or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

If any extensions of time are needed for timely acceptance of papers submitted herewith, applicant hereby petitions for such extension under 37 C.F.R. §1.136 and authorizes payment of any such extensions fees to Deposit Account No. 19-0741.

Respectfully submitted,

Date |

FOLEY & LARDNER LLP

Customer Number: 22428

Telephone: (202) 295-4632

Facsimile: (202) 672-5399

By

Alexey V. Saprigin Attorney for Applicant Registration No. 56,439



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. SON 1459 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NUMBER

FILING OR 371(C) DATE

FIRST NAMED APPLICANT

ATTY. DOCKET NO./TITLE

12/334,731

12/15/2008

Hitesh BATRA

080618-0629 CONFIRMATION NO. 8804

**FORMALITIES LETTER** 

Date Mailed: 12/31/2008

22428
FOLEY AND LARDNER LLP
SUITE 500
3000 K STREET NW
WASHINGTON, DC 20007

#### NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

#### **Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.

  Applicant must submit \$82 to complete the basic filing fee for a small entity.
- · The oath or declaration is missing.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

Note: If a petition under 37 CFR 1.47 is being filed, an oath or declaration in compliance with 37 CFR 1.63 signed by all available joint inventors, or if no inventor is available by a party with sufficient proprietary interest, is required.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

• To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.16(f) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this notice.

#### **SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$527 for a small entity

- \$82 Statutory basic filing fee.
- \$65 Surcharge.
- The application search fee has not been paid. Applicant must submit \$270 to complete the search fee.
- The application examination fee has not been paid. Applicant must submit \$110 to complete the examination fee for a small entity in compliance with 37 CFR 1.27.

03/03/2009 AWONDAF1 00000075 12334731

page 1 of 2

01 FC:4011 82.00 OP 02 FC:2051 65.00 OP 03 FC:2111 270.00 OP 04 FC:2311 110.00 OP

#### Replies should be mailed to:

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web. <a href="https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html">https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html</a>

For more information about EFS-Web please call the USPTO Electronic Business Center at **1-866-217-9197** or visit our website at <a href="http://www.uspto.gov/ebc.">http://www.uspto.gov/ebc.</a>

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

	/rfthomas/
Office of Data	Management, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-010



As a below named inventor, I HEREBY DECLARE:

THAT my residence, post office address, and citizenship are as stated below next to my name;

THAT I believe I am the original, first, and sole inventor (if only one inventor is named below) or an original, first, and joint inventor (if plural inventors are named below or in an attached Declaration) of the subject matter which is claimed and for which a patent is sought on the invention entitled

AN IMPROVED PROCESS TO PREPARE TREPROSTINIL, THE ACTIVE INGREDIENT IN REMODULIN®					
	(Attorney Docket No. 080618-0629)				
the specification of v	which (check one)				
	is attached hereto.				
<u>X</u>	was filed on <u>December 15, 2008</u> as United States Application Number or PCT International Application Number <u>12/334,731</u> and was amended on (if applicable).				

THAT I do not know and do not believe that the same invention was ever known or used by others in the United States of America, or was patented or described in any printed publication in any country, before I (we) invented it;

THAT I do not know and do not believe that the same invention was patented or described in any printed publication in any country, or in public use or on sale in the United States of America, for more than one year prior to the filing date of this United States application;

THAT I do not know and do not believe that the same invention was first patented or made the subject of an inventor's certificate that issued in any country foreign to the United States of America before the filing date of this United States application if the foreign application was filed by me (us), or by my (our) legal representatives or assigns, more than twelve months (six months for design patents) prior to the filing date of this United States application;

THAT I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment specifically referred to above;

WASH\_5196643.1

THAT I believe that the above-identified specification contains a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the invention, and sets forth the best mode contemplated by me of carrying out the invention; and

THAT I acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, §1.56.

I HEREBY CLAIM foreign priority benefits under Title 35, United States Code §119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below any foreign application for patent or inventor's certificate or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number	Country	Foreign Filing Date	Priority Claimed?	Certified Copy Attached?

I HEREBY CLAIM the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below.

U.S. Provisional Application Number	Filing Date
61/014,232	12/17/2007

I HEREBY CLAIM the benefit under Title 35, United States Code, §120 of any United States application(s), or § 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of

Federal Regulations, § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application Number	PCT Parent Application Number	Parent Filing Date	Parent Patent Number

I HEREBY APPOINT the registered attorneys and agents at Customer Number

#### 22428

to have full power to prosecute this application and any continuations, divisions, reissues, and reexaminations thereof, to receive the patent, and to transact all business in the United States Patent and Trademark Office connected therewith.

I request that all correspondence be directed to:

Stephen B. Maebius FOLEY & LARDNER LLP Customer Number: 22428

Telephone: (202) 672-5569 Facsimile: (202) 672-5399

I UNDERSTAND AND AGREE THAT the foregoing attorneys and agents appointed by me to prosecute this application do not personally represent me or my legal interests, but instead represent the interests of the legal owner(s) of the invention described in this application.

I FURTHER DECLARE THAT all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Name of first inventor	Hitesh BATRA
Residence	Herndon, Virginia
Citizenship Country	India
Post Office Address	2461 Leyland Ridge Road Herndon, Virginia 20171
Inventor's signature	Mite Ask "
Date	1/13/09
Name of second inventor	Sudersan M. TULADHAR
Residence	Silver Spring, Maryland
Citizenship Country	Nepal
Post Office Address	1501 Haddon Manor Court Silver Spring, Maryland 20904
Inventor's signature	Huleher
Date	1/13/09
Name of third inventor	Raju PENMASTA
Name of third inventor Residence	Raju PENMASTA  Herndon, Virginia
	The state of the s
Residence	Herndon, Virginia
Residence Citizenship Country	Herndon, Virginia US 12953 Centre Park Circle #115 Herndon, Virginia 20171
Residence Citizenship Country Post Office Address	Herndon, Virginia US 12953 Centre Park Circle #115
Residence Citizenship Country Post Office Address Inventor's signature	Herndon, Virginia  US  12953 Centre Park Circle #115 Herndon, Virginia 20171  Rept Cen-As
Residence Citizenship Country Post Office Address Inventor's signature	Herndon, Virginia  US  12953 Centre Park Circle #115 Herndon, Virginia 20171  Rept Cen-As
Residence Citizenship Country Post Office Address Inventor's signature Date	Herndon, Virginia  US  12953 Centre Park Circle #115  Herndon, Virginia 20171  Rept Cen-As  1 13 09
Residence Citizenship Country Post Office Address Inventor's signature Date Name of fourth inventor	Herndon, Virginia  US  12953 Centre Park Circle #115 Herndon, Virginia 20171  Rept Cen-As  1 13 09  David A. WALSH
Residence Citizenship Country Post Office Address Inventor's signature Date  Name of fourth inventor Residence	Herndon, Virginia  US  12953 Centre Park Circle #115 Herndon, Virginia 20171  Rest Cen. A:  1   13   09  David A. WALSH  Palmyra, Virginia  US  56 Wildwood Drive
Residence Citizenship Country Post Office Address Inventor's signature Date  Name of fourth inventor Residence Citizenship Country Post Office Address	Herndon, Virginia  US  12953 Centre Park Circle #115 Herndon, Virginia 20171  Representa
Residence Citizenship Country Post Office Address Inventor's signature Date  Name of fourth inventor Residence Citizenship Country Post Office Address Inventor's signature	Herndon, Virginia  US  12953 Centre Park Circle #115 Herndon, Virginia 20171  Rest Cen. A:  1   13   09  David A. WALSH  Palmyra, Virginia  US  56 Wildwood Drive
Residence Citizenship Country Post Office Address Inventor's signature Date  Name of fourth inventor Residence Citizenship Country Post Office Address	Herndon, Virginia  US  12953 Centre Park Circle #115 Herndon, Virginia 20171  Rest Cen. A:  1   13   09  David A. WALSH  Palmyra, Virginia  US  56 Wildwood Drive



#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Hitesh BATRA et al.

Title: AN IMPROVED PROCESS TO PREPARE

TREPROSTINIL, THE ACTIVE INGREDIENT IN REMODULIN®

Appl. No.: 12/334,731

Filing Date: 12/15/2008

Examiner: Unassigned

Art Unit: 1614

Conf. No.: 8804

#### PRELIMINARY AMENDMENT UNDER 37 CFR 1.115

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Prior to examination of the present Application, Applicant respectfully requests that the application be amended as follows:

Amendments to the Specification begin on page 2 of this document.

Remarks begin on page 3 of this document.

Please amend the application as follows:

#### Amendments to the Specification:

Please amend the specification as follows:

Page 1, amend paragraph [0004] as follows:

[0004] Treprostinil, the active ingredient in Remodulin<sup>®</sup>, was first described in US patent 4,306,075. Treprostinil, and other prostacyclin derivatives have been prepared as described in Moriarty, et al in *J. Org. Chem.* 2004, 69, 1890-1902, *Drug of the Future*, 2001, 26(4), 364-374, U.S. Pat. Nos. 6,441,245, 6,528,688, 6,765,117 [[,]] and 6,809,223 and 6,756,117. Their teachings are incorporated by reference to show how to practice the embodiments of the present invention.

Page 18, please amend Step 16 of Example 6, as follows:

16	Potassium	650 g (8 eq)	3,375g (4 eq)
	Potassium		
<u>16</u>	<u>hydroxide</u>	650 g (8 eq)	3,375g (4 eq)

#### **REMARKS**

Applicant respectfully requests that the foregoing amendments be made prior to examination of the present application.

In the specification, typographical errors are corrected in Paragraph [0004] on page 1, and in Step 16 of Example 6 on page 18.

Favorable consideration of the application as amended is respectfully requested.

The Examiner is invited to contact the undersigned by telephone if it is felt that a telephone interview would advance the prosecution of the present application.

Respectfully submitted,

Date

FOLEY & LARDNER LLP Customer Number: 22428

Telephone:

(202) 672-5569

Facsimile:

(202) 672-5399

By

Stephen B. Maebius

Attorney for Applicant Registration No. 35,264

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Hitesh BATRA et al.

Title:

AN IMPROVED PROCESS TO PREPARE

TREPROSTINIL, THE ACTIVE INGREDIENT IN REMODULIN®

Appl. No.:

12/334,731

Filing Date:

12/15/2008

Examiner:

Unassigned

Art Unit:

1614

Conf. No.:

8804

### <u>INFORMATION DISCLOSURE STATEMENT</u> <u>UNDER 37 CFR §1.56</u>

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56.

A copy of each non-patent document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

#### **TIMING OF THE DISCLOSURE**

The listed documents are being submitted in compliance with 37 CFR §1.97(b), within three (3) months of the filing date of the application.

#### **RELEVANCE OF EACH DOCUMENT**

All of the documents are in English.

Applicants respectfully request that each listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

Although Applicant believes that no fee is required for this Request, the Commissioner is hereby authorized to charge any additional fees which may be required for this Request to Deposit Account No. 19-0741.

Respectfully submitted,

Date

FOLEY & LARDNER LLP Customer Number: 22428

Telephone: (20

(202) 295-4632

Facsimile:

(202) 672-5399

By

Alexey V. Saprigin Attorney for Applicant

Registration No. 56,439

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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OMB control number

	Substitute for for	rm 144	9/PTO		Complete if Known	AND TO SEE
INFORMATION DISCLOSURE STATEMENT BY APPLICANT			_OSURE	Application Number	12/334,731	1
			LICANT	Filing Date	12/15/2008	- 0000
	Date Submitted: February 27, 2009			First Named Inventor	Hitesh BATRA	FEB 2 7 2009 W
	Date Submitted. 1 e	SDIGA	y 21, 200 <del>3</del>	Art Unit	1614	(a) F1
	(use as many shee	ts as	necessary)	Examiner Name	Unassigned	3
Sheet	1	of	1	Attorney Docket Number	080618-0629	PADOM

			U.S. PATENT DO	CUMENTS	
Examin	Cite	Document Number	Publication Date	Name of Patentee or Applicant of	Pages, Columns, Lines, Where Relevant
er Initials*	No. <sup>1</sup>	Number-Kind Code <sup>2</sup> (if known)	MM-DD-YYYY	Cited Document	Passages or Relevant Figures Appear
	A1	2005/0282903 A1	12/22/2005	Wade et al.	7
	A2	2005/0282901 A1	12/22/2005	Phares et al.	
	A3	2008/0280986 A1	11/13/2008	Wade et al.	
	A4	2008/0249167 A1	10/09/2008	Phares et al.	
	A5	2008/0200449 A1	08/21/2008	Olschewski et al.	•
	A6	2005/0165111 A1	07/28/2005	Wade et al.	
	A7	2007/0078095 A1	04/05/2007	Phares et al.	
	A8	2009/0036465 A1	02/05/2009	Roscigno et al.	
	A9	4,306,075 A	12/15/1981	Aristoff, Paul A.	
	A10	5,153,222 A	10/06/1992	Tadepalli et al.	
	A11	6,054,486 A	04/25/2000	Crow et al.	
	A12	6,441,245 B1	08/27/2002	Moriarty et al.	
	A13	6,521,212 B1	02/18/2003	Cloutier et al.	
	A14	6,528,688 B2	03/04/2003	Moriarty et al.	
	A15	6,700,025 B2	03/02/2004	Moriarty et al.	
,	A16	6,756,033 B2	06/29/2004	Cloutier et al.	
	A17	6,765,117 B2	07/20/2004	Moriarty et al.	
	A18	6,803,386 B2	10/12/2004	Shorr et al.	
	A19	6,809,223 B2	10/26/2004	Moriarty et al.	
	A20	7,199,157 B2	04/03/2007	Wade et al.	
	A21	7,384,978 B2	06/10/2008	Phares et al.	
	A22	7,417,070 B2	08/26/2008	Phares et al.	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>
	A23	Moriarty et al., "The Intramolecular Asymmetric Pauson-Khand Cyclization as a Novel and General Stereoselective Route to Benzindene Prostacyclins: Synthesis of UT-15 (Treprostinil)," <i>J. Org. Chem.</i> 2004, 69, 1890-1902.	
	A24	Sorbera et al. "UT-15. Treatment of Pulmonary Hypertension Treatment of Peripheral Vascular Disease," <i>Drug of the Future</i> , 2001, 26(4), 364-374.	

_			
Examiner Signature		Date Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PATENT APPLICATION FEE DETERMINATION RECORD Substitute for Form PTO-875					Α		Docket Number 4,731		ing Date 15/2008	To be Mailed		
APPLICATION AS FILED – PART I (Column 1) (Column 2)							SMALL	ENTITY 🛛	OR		HER THAN ALL ENTITY	
	FOR	NU	JMBER FIL	.ED	NUN	MBER EXTRA		RATE (\$)	FEE (\$)		RATE (\$)	FEE (\$)
	BASIC FEE (37 CFR 1.16(a), (b),	or (c))	N/A			N/A		N/A			N/A	
	SEARCH FEE (37 CFR 1.16(k), (i), (	or (m))	N/A			N/A		N/A			N/A	
	EXAMINATION FE (37 CFR 1.16(o), (p),		N/A			N/A		N/A			N/A	
	AL CLAIMS CFR 1.16(i))		19 min	us 20 =	+ 0			X \$26 =	0	OR	x \$ =	
IND	EPENDENT CLAIM CFR 1.16(h))	IS	2 m	inus 3 =	* 0			X \$110 =	0		x \$ =	
	APPLICATION SIZE 37 CFR 1.16(s))	sheet is \$25 additi	s of pape 50 (\$125 onal 50 s	er, the a for sma sheets o	pplicatio ll entity) r fractior	gs exceed 100 n size fee due for each n thereof. See CFR 1.16(s).						
Ш	MULTIPLE DEPEN	IDENT CLAIM PRI	ESENT (3	7 CFR 1.1	6(j))							
* If t	he difference in colu	umn 1 is less than	zero, ente	r "0" in co	olumn 2.			TOTAL	0		TOTAL	
	APP	(Column 1)	AMEND		ımn 2)	(Column 3)	•	SMAL	L ENTITY	OR		ER THAN ALL ENTITY
AMENDMENT	02/27/2009	REMAINING AFTER AMENDMENT		NUMBI	ER OUSLY	PRESENT EXTRA		RATE (\$)	additional Fee (\$)		RATE (\$)	additional Fee (\$)
)ME	Total (37 CFR 1.16(i))	* 19	Minus	** 20		= 0		X \$26 =	0	OR	x \$ =	
Ϊ	Independent (37 CFR 1.16(h))	* 2	Minus	***3		= 0		X \$110 =	0	OR	x \$ =	
√ME	Application S	ize Fee (37 CFR 1	.16(s))									
	FIRST PRESEN	NTATION OF MULTIP	LE DEPEN	DENT CLA	IM (37 CFF	R 1.16(j))				OR		
								TOTAL ADD'L FEE	0	OR	TOTAL ADD'L FEE	
		(Column 1)			ımn 2)	(Column 3)				_		
L		CLAIMS REMAINING AFTER AMENDMENT		NUN PREVI	HEST (IBER OUSLY ) FOR	PRESENT EXTRA		RATE (\$)	ADDITIONAL FEE (\$)		RATE (\$)	ADDITIONAL FEE (\$)
Ż	Total (37 CFR 1.16(i))	*	Minus	**		=		x \$ =		OR	x \$ =	
AMENDMENT	Independent (37 CFR 1.16(h))	*	Minus	***		=		x \$ =		OR	x \$ =	
Z Z	Application S	ize Fee (37 CFR 1	.16(s))							]		
AM	FIRST PRESEN	NTATION OF MULTIP	LE DEPEN	DENT CLA	IM (37 CFF	R 1.16(j))				OR		
* If 1	the entry in column	1 is less than the e	ntry in col	umn 2, w	rite "0" in	column 3.		TOTAL ADD'L FEE	etrument =	OR (amin	TOTAL ADD'L FEE	
** If *** I	* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.  ** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".  *** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".  The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.											

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



#### UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION	FILING or	GRP ART				
NUMBER	371(c) DATE	UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	TOT CLAIMS	IND CLAIMS
12/334 731	12/15/2008	1614	527	080618-0629	19	2

22428
FOLEY AND LARDNER LLP
SUITE 500
3000 K STREET NW
WASHINGTON, DC 20007

CONFIRMATION NO. 8804
UPDATED FILING RECEIPT



Date Mailed: 03/16/2009

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

#### Applicant(s)

Hitesh BATRA, Herndon, VA;

Sudersan M. TULADHAR, Silver Spring, MD;

Raju PENMASTA, Herndon, VA; David A. WALSH, Palmyra, VA;

**Assignment For Published Patent Application** 

UNITED THERAPEUTICS CORPORATION

Power of Attorney: The patent practitioners associated with Customer Number 22428

Domestic Priority data as claimed by applicant

This appln claims benefit of 61/014,232 12/17/2007

**Foreign Applications** 

If Required, Foreign Filing License Granted: 12/24/2008

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 12/334,731** 

**Projected Publication Date: 06/25/2009** 

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

#### Title

PROCESS TO PREPARE TREPROSTINIL, THE ACTIVE INGREDIENT IN REMODULIN

#### **Preliminary Class**

514

#### PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and quidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

# LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

#### **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as

set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign AssetsControl, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

Approved for use through 03/31/2007. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB\_control number.

Substitute for form 1449/PTO Complete if Known 12/334,731 INFORMATION DISCLOSURE **Application Number** STATEMENT BY APPLICANT Filing Date 12/15/2008 JUN 2 2 2009 Hitesh BATRA **First Named Inventor** Date Submitted: June 22, 2009 1621 Art Unit (use as many sheets as necessary) **Examiner Name** Unassigned of 080618-0629 Sheet Attorney Docket Number

	U.S. PATENT DOCUMENTS							
Examin er Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2</sup> (if  known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
	B1	2002/0173672 A1	11/21/2002	Moriarty et al.	r igures / ippear			
	B2	4,486,598 A	12/04/1984	Aristoff, Paul A.				
	<del> </del>							

	FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> ( <i>if known</i> )	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Documents	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>		
	В3	WO 2007/134292 A2	11/22/2007	United Therapeutics Corporation				

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>
	B4	International Search Report and Written Opinion mailed 6/2/2009 in corresponding PCT/US2008/013686, 14 pages.	
:			
	_		

بحب التناويسي		
Examiner Signature	Date Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3), 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible, 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

# PATENT COOPERATION TREATY

From the INTERNATIONAL SEARCHING AUTHORITY	PCT
To: MAEBIUS, Stephen, B.	NOTIFICATION OF TRANSMITTAL OF
Attn. Maebius, Stephen B.	THE INTERNATIONAL SEARCH REPORT AND
Foley & Lardner LLP	THE WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY, OR THE DECLARATION
Washington Harbour	
3000 K Street, N.W., suite 500	
Washington, DC 20007-5143	
ETATS-UNIS D'AMERIQUE	(PCT Rule 44.1)
	Date of mailing (day/month/year)
A	02/06/2009
Applicant's or agent's file reference	FOR PURTUER ARTICLE
080618-0630	FOR FURTHER ACTION See paragraphs 1 and 4 below
International application No.	International filing date
PCT/US2008/013686	(day/month/year) 12/12/2008
Applicant	-
The state of the s	
UNITED THERAPEUTICS CORPORATION	
The applicant is hereby notified that the international search Authority have been established and are transmitted herewith.  The applicant is hereby notified that the international search Authority have been established and are transmitted herewith.	report and the written opinion of the International Searching th.
Filing of amendments and statement under Article 19: The applicant is entitled, if he so wishes, to amend the claim	se of the International Application (see Rule 46)
When? The time limit for filling such amendments is norr	mally two months from the date of transmittal of the
International Search Report.	
Where? Directly to the International Bureau of WIPO, 34 1211 Geneva 20, Switzerland, Fascimile No.: (4	chemin des Colombettes
For more detailed instructions, see the notes on the ac	
2. The applicant is hereby notified that no international search Article 17(2)(a) to that effect and the written opinion of the In	report will be established and that the declaration under iternational Searching Authority are transmitted herewith.
3. With regard to the protest against payment of (an) addition	nal fee(s) under Rule 40.2, the applicant is notified that:
the protest together with the decision thereon has been applicant's request to forward the texts of both the protest; the applicant of the protest of the protest; the applicant of the protest of the pr	n transmitted to the International Bureau together with the test and the decision thereon to the designated Offices.  Solicant will be notified as soon as a decision is made.
	The state of the s
<ol> <li>Reminders         Shortly after the expiration of 18 months from the priority date, the     </li> </ol>	a interactional application will be published by the
International Bureau. If the applicant wishes to avoid or postpone application, or of the priority daim, must reach the International Bubefore the completion of the technical preparations for Internation	publication, a notice of withdrawal of the international ureau as provided in Rules 90 bis.1 and 90 bis.3, respectively, nal publication.
The applicant may submit comments on an informal basis on the value international Bureau. The International Bureau will send a copy of international preliminary examination report has been or is to be the public but not before the expiration of 30 months from the prior	such comments to all designated Offices unless an established. These comments would also be made available to
Within 19 months from the priority date, but only in respect of son examination must be filed if the applicant wishes to postpone the date (in some Offices even later); otherwise, the applicant must, wacts for entry into the national phase before those designated Offices.	ne designated Offices, a demand for international preliminary entry into the national phase until 30 months from the priority within 20 months from the priority date, perform the prescribed
In respect of other designated Offices, the time limit of 30 months months.	
See the Annex to Form PCT/IB/301 and, for details about the app	licable time limits, Office by Office, see the PCT Applicant's
Guide, Volume II, National Chapters and the WIPO Internet site.	
Name and mailing address of the International Searching Authority	Authorized officer
European Patent Office, P.B. 5818 Patentlaan 2	
NL-2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016	Gerda Flanter

Form PCT/ISA/220 (October 2005)

(See notes on accompanying sheet)

#### NOTES TO FORM PCT/ISA/220

These Notes are intended to give the basic instructions concerning the filing of amendments under article 19. The Notes are based on the requirements of the Patent Cooperation Treaty, the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and those requirements, the latter are applicable. For more detailed information, see also the *PCT Applicant's Guide*, a publication of WIPO.

In these Notes, "Article", "Rule", and "Section" refer to the provisions of the PCT, the PCT Regulations and the PCT Administrative Instructions, respectively.

#### **INSTRUCTIONS CONCERNING AMENDMENTS UNDER ARTICLE 19**

The applicant has, after having received the international search report and the written opinion of the International Searching Authority, one opportunity to amend the claims of the international application. It should however be emphasized that, since all parts of the international application (claims,description and drawings) may be amended during the international preliminary examination procedure, there is usually no need to file amendments of the claims under Article 19 except where, e.g. the applicant wants the latter to be published for the purposes of provisional protection or has another reason for amending the claims before international publication. Furthermore, it should be emphasized that provisional protection is available in some States only (see *PCT Applicant's Guide*, Volume I/A, Annexes B1 and B2).

The attention of the applicant is drawn to the fact that amendments to the claims under Article 19 are not allowed where the International Searching Authority has declared, under Article 17(2), that no international search report would be established (see *PCT Applicant's Guide*, Volume I/A, paragraph 296).

#### What parts of the international application may be amended?

Under Article 19, only the claims may be amended.

During the international phase, the claims may also be amended (or further amended) under Article 34 before the International Preliminary Examining Authority. The description and drawings may only be amended under Article 34 before the International Examining Authority.

Upon entry into the national phase, all parts of the international application may be amended under Article 28 or, where applicable, Article 41.

#### When?

Within 2 months from the date of transmittal of the international search report or 16 months from the priority date, whichever time limit expires later. It should be noted, however, that the amendments will be considered as having been received on time if they are received by the International Bureau after the expiration of the applicable time limit but before the completion of the technical preparations for international publication (Rule 46.1).

#### Where not to file the amendments?

The amendments may only be filed with the International Bureau and not with the receiving Office or the International Searching Authority (Rule 46.2).

Where a demand for International preliminary examination has been/is filed, see below.

#### How?

Either by cancelling one or more entire claims, by adding one or more new claims or by amending the text of one or more of the claims as filed.

A replacement sheet must be submitted for each sheet of the claims which, on account of an amendment or amendments, differs from the sheet originally filed.

All the claims appearing on a replacement sheet must be numbered in Arabic numerals. Where a claim is cancelled, no renumbering of the other claims is required. In all cases where claims are renumbered, they must be renumbered consecutively (Section 205(b)).

The amendments must be made in the language in which the international application is to be published.

#### What documents must/may accompany the amendments?

#### Letter (Section 205(b)):

The amendments must be submitted with a letter.

The letter will not be published with the international application and the amended claims. It should not be confused with the "Statement under Article 19(1)" (see below, under "Statement under Article 19(1)").

The letter must be in English or French, at the choice of the applicant. However, if the language of the international application is English, the letter must be in English; if the language of the international application is French, the letter must be in French.

Notes to Form PCT/ISA/220 (first sheet) (October 2005)

#### NOTES TO FORM PCT/ISA/220 (continued)

The letter must indicate the differences between the claims as filed and the claims as amended. It must, in particular, indicate, in connection with each claim appearing in the international application (it being understood that identical indications concerning several claims may be grouped), whether

- (i) the claim is unchanged;
- (ii) the claim is cancelled;
- (iii) the claim is new;
- (iv) the claim replaces one or more claims as filed;
- (v) the claim is the result of the division of a claim as filed.

# The following examples illustrate the manner in which amendments must be explained in the accompanying letter:

- [Where originally there were 48 claims and after amendment of some claims there are 51]:
   "Claims 1 to 29, 31, 32, 34, 35, 37 to 48 replaced by amended claims bearing the same numbers; claims 30, 33 and 36 unchanged; new claims 49 to 51 added."
- [Where originally there were 15 claims and after amendment of all daims there are 11]: "Claims 1 to 15 replaced by amended claims 1 to 11."
- [Where originally there were 14 claims and the amendments consist in cancelling some claims and in adding new claims]:
   "Claims 1 to 6 and 14 unchanged; claims 7 to 13 cancelled; new claims 15, 16 and 17 added." or
- "Claims 7 to 13 cancelled; new claims 15, 16 and 17 added; all other claims unchanged."

  4. [Where various kinds of amendments are made]:
  "Claims 1–10 unchanged; claims 11 to 13, 18 and 19 cancelled; claims 14, 15 and 16 replaced by amended claim 14; claim 17 subdivided into amended claims 15, 16 and 17; new claims 20 and 21 added."

#### "Statement under article 19(1)" (Rule 46.4)

The amendments may be accompanied by a statement explaining the amendments and indicating any impact that such amendments might have on the description and the drawings (which cannot be amended under Article 19(1)).

The statement will be published with the international application and the amended claims.

#### It must be in the language in which the international application is to be published.

It must be brief, not exceeding 500 words if in English or if translated into English.

It should not be confused with and does not replace the letter indicating the differences between the claims as filed and as amended. It must be filed on a separate sheet and must be identified as such by a heading, preferably by using the words "Statement under Article 19(1)."

It may not contain any disparaging comments on the international search report or the relevance of citations contained in that report. Reference to citations, relevant to a given claim, contained in the international search report may be made only in connection with an amendment of that claim.

#### Consequence if a demand for international preliminary examination has already been filed

If, at the time of filing any amendments and any accompanying statement, under Article 19, a demand for international preliminary examination has already been submitted, the applicant must preferably, at the time of filing the amendments (and any statement) with the International Bureau, also file with the International Preliminary Examining Authority a copy of such amendments (and of any statement) and, where required, a translation of such amendments for the procedure before that Authority (see Rules 55.3(a) and 62.2, first sentence). For further Information, see the Notes to the demand form (PCT/IPEA/401).

If a demand for international preliminary examination is made, the written opinion of the International Searching Authority will, except in certain cases where the International Preliminary Examining Authority did not act as International Searching Authority and where it has notified the International Bureau under Rule 66.1 bis(b), be considered to be a written opinion of the International Preliminary Examining Authority. If a demand is made, the applicant may submit to the International Preliminary Examining Authority a reply to the written opinion together, where appropriate, with amendments before the expiration of 3 months from the date of malling of Form PCT/ISA/220 or before the expiration of 22 months from the priority date, whichever expires later (Rule 43bis.1(c)).

#### Consequence with regard to translation of the International application for entry into the national phase

The applicant's attention is drawn to the fact that, upon entry into the national phase, a translation of the daims as amended under Article 19 may have to be furnished to the designated/elected Offices, instead of, or in addition to, the translation of the claims as filed.

For further details on the requirements of each designated/elected Office, see the *PCT Applicant's Guide*, Volume II.

Notes to Form PCT/ISA/220 (second sheet) (October 2005)

# PATENT COOPERATION TREATY

# **PCT**

#### **INTERNATIONAL SEARCH REPORT**

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference	FOR FURTHER	ACC FORM DCT/ICA/DCC
080618-0630	ACTION	see Form PCT/ISA/220 as well as, where applicable, item 5 below.
International application No.	International filing date (day/month/ye	ear) (Earliest) Priority Date (day/month/year)
PCT/US2008/013686	12/12/2008	17/12/2007
Applicant		
INVESTIGATION OF THE PROPERTY		
UNITED THERAPEUTICS CORPOR	RATION	
This international search report has been according to Article 18. A copy is being tra	prepared by this International Searchin Insmitted to the International Bureau.	9 Authority and is transmitted to the applicant
This international search report consists o	f a total of sheets.	
X It is also accompanied by	a copy of each prior art document cited	in this report.
1. Basis of the report		
	international search was carried out on	
	pplication in the language in which it was international application into	
of a translation fur	nished for the purposes of internationa	search (Rules 12.3(a) and 23.1(b))
b. This international search r authorized by or notified to	eport has been established taking Into on this Authority under Rule 91 (Rule 43.	account the <b>rectification of an obvious mistake</b> 6 <i>bis</i> (a)).
c. With regard to any nucleo	otide and/or amino acid sequence dis	closed in the international application, see Box No. I.
2. Certain claims were four	nd unsearchable (See Box No. II)	
3. Unity of invention is lack	king (see Box No III)	
4. With regard to the title,	•	
X the text is approved as sul	bmitted by the applicant	
the text has been establish	ned by this Authority to read as follows:	
5. With regard to the abstract,		
X the text is approved as sut	amitted by the applicant	
		Authority as it appears in Box No. IV. The applicant
may, within one month from	n the date of mailing of this international	al search report, submit comments to this Authority
6. With regard to the drawings,		
a. the figure of the drawings to be pu	ublished with the abstract is Figure No.	
as suggested by the	••	
· -	Authority, because the applicant falled	
	Authority, because this figure better ch	aracterizes the invention
b none of the figures is to be	published with the abstract	

Form PCT/ISA/210 (first sheet) (April 2007)

# INTERNATIONAL SEARCH REPORT

International application No PCT/US2008/013686

A. CLASS	IFICATION OF SUBJEC	T MATTER					
INV.	FICATION OF SUBJECT CO7C51/08	ĈO7Ĉ51/41	C07C59/	/62	C07C40	05/00	
According .	a latera etta a et B						
According to International Patent Classification (IPC) or to both national classification and IPC  B. FIELDS SEARCHED							
		(classification system folio	auged by gloopling	***			
CO7C	oodcittation scarcied	(GassinGallon System folio	wed by classing	ation symi	iols)		
Documents	tion correbad atheath a						
Documents	lion searched other than	minimum documentation	to the extent that	such doc	uments are incl	uded in the fields:	searched
Electronic o	ata base consulted durin	ng the international search	n (name of data b	ase and,	where practical	l, search terms use	d)
	ternal, WPI D				·		
C. DOCUM	ENTS CONSIDERED TO	) BE RELEVANT					
Category*	Citation of document, v	with indication, where app	propriate, of the re	elevant pa	ssages		Relevant to claim No.
	· · · · · · · · · · · · · · · · · · ·					-	
X	US 2002/17	3672 A1 (MORI	ARTY ROBE	RT M	Tus 1		1 10
	ET AL) 21	November 2002	(2002-11	-21)	[03]		1-19
	page 4, co	mpound 14 to	compound	16: c	olumn		
	12, compou	ind 14 to comp	ound 15.	Γ0078	and		]
	[0079]; cl	aims, e.g. cl	aim 8 ´		•		
				-/			
					•		
							ļ
X Furth	er documents are listed i	In the continuation of Box	· C	X	Con patent for		<u> </u>
					See patent fam	illy annex.	
Special ca	itegories of cited docume	ents :		"T" later	document publi	ished after the inte	emational filing date
*A* docume conside	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the						the application but
'E' earlier d	partier document but published on or affect the international invention						
	filing date  A cocument of particular relevance; the claimed invention cannot be considered novel or cannot be considered to						
which	which is cited to astablish the published data alone involve an inventive step when the document is taken alone						
	tation or other special reason (as specified)  cument referring to an oral disclosure, use, exhibition or  "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such document.						
orner m	ner means continued with one or more other such docu- ments, such combination being obvious to a person skilled						
	an the priority date claim	nternational filing date bu	ıt		he art. Iment member o	of the same patent	family
Date of the a	ctual completion of the in	nternational search				e international sea	
				}	<b>g</b>	• momanonar sez	ion report
19	May 2009				02/06/20	009	
Name and m	ailing address of the ISA	<del></del>		A			
	European Patent Offi	ice, P.B. 5818 Patentlaan	2	Autr	ortzed officer		
	NL - 2280 HV Rijsw Tel. (+31-70) 340-20	ijk					
	Fax: (+31-70) 340-3				Sen, Ali	na	1

Form PCT/ISA/210 (second sheet) (April 2005)

## INTERNATIONAL SEARCH REPORT

International application No PCT/US2008/013686

Conunua	tion). DOCUMENTS CONSIDERED TO BE RELEVANT	
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
<b>(</b>	MORIARTY ROBERT M. ET AL: "The Intramolecular Asymmetric Pauson-Khand Cyclization as a Novel and General Stereoselective route to Benzindene Prostacyclins: Synthesis of UT-15 (Treprostinil)"  THE JOURNAL OF ORGANIC CHEMISTRY, vol. 69, no. 6, 2004, pages 1890-1902, XP002523983  page 1892, compound 7; page 1895, Scheme 4, compounds 34 to compound 7; page 1902, Excperimental section for compound 34 to compound 7	1-19
	US 4 486 598 A (ARISTOFF PAUL A [US]) 4 December 1984 (1984-12-04) column 14, line 1-39, e.g. lines 30-31; claim 1	1-19
	WO 2007/134292 A (UNITED THERAPEUTICS CORP [US]; OLSCHEWSKI HORST [DE]; ROSCIGNO ROBERT) 22 November 2007 (2007-11-22) [0033], [0036] and [0037, e.g. diethanolamine]	1-19

5

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No PCT/US2008/013686

Patent document cited in search report		Publication date	Tatom faith		Publication date	
US 2002173672	A1	21-11-2002	US	2002087025	A1	04-07-2002
US 4486598	A	04-12-1984	DE EP JP ZA	3363460 [ 0087237 / 58154525 / 8300019 /	A1 A	19-06-1986 31-08-1983 14-09-1983 26-10-1983
WO 2007134292	A	22-11-2007	CA EP KR US	2654492 / 2026816 / 20090007797 / 2008200449 /	A2 A	22-11-2007 25-02-2009 20-01-2009 21-08-2008

Form PCT/ISA/210 (patent family annex) (April 2005)

## PATENT COOPERATION TREATY

From the INTERNATIONAL SEARCHING AUTHORITY To: WRITTEN OPINION OF THE see form PCT/ISA/220 INTERNATIONAL SEARCHING AUTHORITY (PCT Rule 43bis.1) Date of mailing (day/month/year) see form PCT/ISA/210 (second sheet) Applicant's or agent's file reference FOR FURTHER ACTION see form PCT/ISA/220 See paragraph 2 below International application No. International filing date (day/month/year) Priority date (day/month/year) PCT/US2008/013686 12.12.2008 17.12.2007 International Patent Classification (IPC) or both national classification and IPC INV. C07C51/08 C07C51/41 C07C59/62 C07C405/00 Applicant UNITED THERAPEUTICS CORPORATION This opinion contains indications relating to the following items: ☑ Box No. I Basis of the opinion ☐ Box No. II Priority ☐ Box No. III Non-establishment of opinion with regard to novelty, inventive step and industrial applicability ☐ Box No. IV Lack of unity of invention Box No. V Reasoned statement under Rule 43bis.1(a)(i) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement ☐ Box No. VI Certain documents cited Box No. VII Certain defects in the international application Box No. VIII Certain observations on the international application **FURTHER ACTION** If a demand for international preliminary examination is made, this opinion will usually be considered to be a written opinion of the International Preliminary Examining Authority ("IPEA") except that this does not apply where the applicant chooses an Authority other than this one to be the IPEA and the chosen IPEA has notified the International Bureau under Rule 66.1 bis(b) that written opinions of this International Searching Authority will not be so considered. If this opinion is, as provided above, considered to be a written opinion of the IPEA, the applicant is invited to submit to the IPEA a written reply together, where appropriate, with amendments, before the expiration of 3 months from the date of mailing of Form PCT/ISA/220 or before the expiration of 22 months from the priority date, whichever expires later. For further options, see Form PCT/ISA/220. For further details, see notes to Form PCT/ISA/220. Name and mailing address of the ISA: Date of completion of **Authorized Officer** this opinion **European Patent Office** see form Sen, Alina PCT/ISA/210 D-80298 Munich Tel. +49 89 2399 - 0 Telephone No. +49 89 2399-8328 Fax: +49 89 2399 - 4465

Form PCT/ISA/237 (Cover Sheet) (April 2005)

# WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

International application No. PCT/US2008/013686

_									
_	Box No. I Basis of the opinion								
1.	1. With regard to the language, this opinion has been established on the basis of:								
	$\boxtimes$	th	ne international application in the language in which it was filed						
		a t pu	translation of the international application into , which is the language of a translation furnished for the urposes of international search (Rules 12.3(a) and 23.1 (b)).						
2.		Tr by	his opinion has been established taking into account the rectification of an obvious mistake authorized by or notified to this Authority under Rule 91 (Rule 43bis.1(a))						
3.	<ol> <li>With regard to any nucleotide and/or amino acid sequence disclosed in the international application and necessary to the claimed invention, this opinion has been established on the basis of:</li> </ol>								
	a. type of material:								
			a sequence listing						
			table(s) related to the sequence listing						
	b. format of material;								
			on paper						
			in electronic form						
	c. time of filing/furnishing:								
	ļ		contained in the international application as filed.						
	ı		filed together with the international application in electronic form.						
	ı		furnished subsequently to this Authority for the purposes of search.						
4.		CO	addition, in the case that more than one version or copy of a sequence listing and/or table relating thereto s been filed or furnished, the required statements that the information in the subsequent or additional pies is identical to that in the application as filed or does not go beyond the application as filed, as propriate, were furnished.						
5.	. Additional comments:								

Form PCT/ISA/237 (April 2007)

# WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

International application No. PCT/US2008/013686

Box No. V Reasoned statement under Rule 43bis.1(a)(i) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

1. Statement

Novelty (N)

Yes: Claims

No: Claims

<u>1-19</u>

Inventive step (IS)

Yes: Claims

No: Claims

Industrial applicability (IA)

Yes: Claims No: Claims 1-19

1-19

2. Citations and explanations

see separate sheet

## Box No. VII Certain defects in the international application

The following defects in the form or contents of the international application have been noted:

see separate sheet

## Box No. VIII Certain observations on the international application

The following observations on the clarity of the claims, description, and drawings or on the question whether the claims are fully supported by the description, are made:

see separate sheet

Form PCT/ISA/237 (April 2007)

#### Re Item V.

- D1: US 2002/173672 A1 (MORIARTY ROBERT M [US] ET AL) 21 November 2002 (2002-11-21)
- D2: MORIARTY ROBERT M. ET AL: "The Intramolecular Asymmetric Pauson-Khand Cyclization as a Novel and General Stereoselective route to Benzindene Prostacyclins: Synthesis of UT-15 (Treprostinil)" THE JOURNAL OF ORGANIC CHEMISTRY, vol. 69, no. 6, 2004, pages 1890-1902, XP002523983
- D3: US 4 486 598 A (ARISTOFF PAUL A [US]) 4 December 1984 (1984-12-04)
- D4: WO 2007/134292 A (UNITED THERAPEUTICS CORP [US]; OLSCHEWSKI HORST [DE]; ROSCIGNO ROBERT) 22 November 2007 (2007-11-22)

The present application does not meet the criteria of Article 33(1) PCT, because the subject-matter of the claims on file is not new in the sense of Article 33(2) PCT in view of the documents D1-D4 indicated in the Search Report (see D1: page 4, compound 14 to compound 15; column 12, compound 14 to compound 15, [0078] and [0079]; claims, e.g. claim 8; see D2: page 1892, compound 7; page 1895, Scheme 4, compounds 34 to compound 7; page 1902, experimental section for compound 34 to compound 7; see D3: column 14, line 1-39, e.g. lines 30-31; claim 1; see D4: [0033], [0036] and [0037, e.g. diethanolamine]).

According to the application, a process for the preparation of prostacyclin derivatives is described comprising alkylating a compound of general structure II with an alkylating agent to produce the corresponding benzindene nitrile which is then hydrolysed with a base. According to the application "a solution of benzindene nitrile and a solution of KOH were stirred and heated to reflux. After completion of the reaction, the reaction mixture was cooled to -5 °C to 10 °C and quenched with a solution of hydrochloric acid while stirring. After conventional work-up, treprostinil was recovered "for direct use in the next step". At the light of Example 3 this next step implies the conversion of treprostinil to the corresponding salt by contacting treprostinil with a base.

This sequence of reaction step is described in the cited art where it is also indicated to alkylate the benzindene triol compound under the exact same experimental conditions also detailed in the application to afford the corresponding benzindene nitrile. As in the present application the compound is hydrolysed in the presence of a base and the reaction mixture quenched with an acid. Starting from the acid compound the various salt forms may be prepared and in this context both documents D3 and D4 describe the preparation of the treprostinil diethanolamine salt by contacting the treprostinil (acid) with the base of choice. This procedure is described in the art and this same procedure is followed in the application at least with regard to its experimental part.

Accordingly it follows that the process claimed is not novel.

An unexpected and surprising effect associated with the process indicated in the claims

Form PCT/ISA/237 (Separate Sheet) (Sheet 1) (EPO-April 2005)

or in the description is also not evident.

#### Re Item VII.

Claim 10 comprises all the features of claim 1 and is therefore not appropriately formulated as a claim dependent on the latter (Rule 6.4 PCT).

#### Re Item VIII.

In claim 1 and in claim 10, on the other hand, it is indicated that after the step of hydrolysing the benzindene nitrile compound with a base, this first salt is contacted with a second base "B" to afford a further salt which is then quenched with an acid to afford the treprostinil compound. A part that, as indicated above, an inconsistency is evident between the subject-matter of the claims and the description of the application, at least with regard to the examples provided, the question raises as to the actual significance of this reaction sequence as ultimately the two bases formed are in any case quenched to afford the acid compound.

The term "prodrug" used in claims 1 and 10 is vague and unclear and leaves the reader in doubt as to the meaning of the technical features to which it refers, thereby rendering the definition of the subject-matter of said claim/s unclear, Article 6 PCT. The same objection is raised for the term "incorporated herein by reference" and the paragraphs [0035], [0036], [0047] and [0048] which should be deleted.

Possible steps after receipt of the international search report (ISR) and written opinion of the International Searching Authority (WO-ISA)

#### General information

For all international applications filed on or after 01/01/2004 the competent ISA will establish an ISR It is accompanied by the WO-ISA. Unlike the former written opinion of the IPEA (Rule 66.2 PCT), the WO-ISA is not meant to be responded to, but to be taken into consideration for further procedural steps. This document explains about the possibilities.

## under Art. 19 PCT

Amending claims Within 2 months after the date of mailing of the ISR and the WO-ISA the applicant may file amended claims under Art. 19 PCT directly with the International Bureau of WIPO. The PCT reform of 2004 did not change this procedure. For further information please see Rule 46 PCT as well as form PCT/ISA/220 and the corresponding Notes to form PCT/ISA/220.

#### Filing a demand for international preliminary examination

In principle, the WO-ISA will be considered as the written opinion of the IPEA. This should, in many cases, make it unnecessary to file a demand for international preliminary examination. If the applicant nevertheless wishes to file a demand this must be done before expiry of 3 months after the date of mailing of the ISR/WO-ISA or 22 months after priority date, whichever expires later (Rule 54bis PCT). Amendments under Art. 34 PCT can be filed with the IPEA as before, normally at the same time as filing the demand (Rule 66.1 (b) PCT).

If a demand for international preliminary examination is filed and no comments/amendments have been received the WO-ISA will be transformed by the IPEA into an IPRP (International Preliminary Report on Patentability) which would merely reflect the content of the WO-ISA. The demand can still be withdrawn (Art. 37 PCT).

#### Filing informal comments

After receipt of the ISR/WO-ISA the applicant may file informal comments on the WO-ISA directly with the International Bureau of WIPO. These will be communicated to the designated Offices together with the IPRP (International Preliminary Report on Patentability) at 30 months from the priority date. Please also refer to the next box.

#### End of the international phase

At the end of the international phase the International Bureau of WIPO will transform the WO-ISA or, if a demand was filed, the written opinion of the IPEA into the IPRP, which will then be transmitted together with possible informal comments to the designated Offices. The IPRP replaces the former IPER (international preliminary examination report).

#### Relevant PCT Rules and more information

Rule 43 PCT, Rule 43bis PCT, Rule 44 PCT, Rule 44bis PCT, PCT Newsletter 12/2003, OJ 11/2003, OJ 12/2003

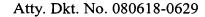
BNSDOCID: <XS\_\_\_\_\_200704010CK\_I\_>

Bitte beachten Sie, dass angeführte Nichtpatentliteratur (wie z. B. wissenschaftliche oder technische Dokumente) je nach geltendem Recht dem Urheberrechtsschutz und/oder anderen Schutzarten für schriftliche Werke unterliegen könnte. Die Vervielfältigung urheberrechtlich geschützter Texte, ihre Verwendung in anderen elektronischen oder gedruckten Publikationen und ihre Weitergabe an Dritte ist ohne ausdrückliche Zustimmung des Rechtsinhabers nicht gestattet.

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XS CPRTENFRDE





#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Hitesh BATRA et al.

Title:

AN IMPROVED PROCESS TO PREPARE

TREPROSTINIL, THE ACTIVE INGREDIENT IN REMODULIN®

Appl. No.:

12/334,731

Filing Date:

12/15/2008

Examiner:

Unassigned

Art Unit:

1621

Conf. No.:

8804

#### INFORMATION DISCLOSURE STATEMENT **UNDER 37 CFR §1.56**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56.

A copy of each non-U.S. patent document and each non-patent document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

#### **TIMING OF THE DISCLOSURE**

The listed documents are being submitted in compliance with 37 CFR §1.97(b), before the mailing date of the first Office Action on the merits, and within three (3) months of the mailing date of the foreign search report.

#### RELEVANCE OF EACH DOCUMENT

All of the documents are in English, and were cited in the International Search Report mailed on June 2, 2009, in corresponding PCT/US2008/013686, a copy of which is also submitted herewith.

Applicants respectfully request that each listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

Although Applicant believes that no fee is required, the Commissioner is hereby authorized to charge any additional fees which may be due to Deposit Account No. 19-0741. Respectfully submitted,

**FOLEY & LARDNER LLP** 

Customer Number: 22428 Telephone:

(202) 295-4632

Facsimile:

(202) 672-5399

Alexey V. Saprigin Attorney for Applicant

Registration No. 56,439



#### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS PC. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NUMBER FILING OR 371(C) DATE FIRST NAMED APPLICANT ATTY. DOCKET NO./TITLE

12/334,731 12/15/2008 Hitesh BATRA

080618-0629

CONFIRMATION NO. 8804
PUBLICATION NOTICE

22428
FOLEY AND LARDNER LLP
SUITE 500
3000 K STREET NW
WASHINGTON, DC 20007

Title:PROCESS TO PREPARE TREPROSTINIL, THE ACTIVE INGREDIENT IN REMODULIN

Publication No.US-2009-0163738-A1 Publication Date:06/25/2009

#### NOTICE OF PUBLICATION OF APPLICATION

The above-identified application will be electronically published as a patent application publication pursuant to 37 CFR 1.211, et seq. The patent application publication number and publication date are set forth above.

The publication may be accessed through the USPTO's publically available Searchable Databases via the Internet at www.uspto.gov. The direct link to access the publication is currently http://www.uspto.gov/patft/.

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/334,731	12/15/2008	Hitesh BATRA	080618-0629	8804
	7590 04/04/201 ARDNER LLP	1	EXAM	IINER
SUITE 500 3000 K STREE	TNW		PUTTLITZ	Z, KARL J
WASHINGTO!		ART UNIT	PAPER NUMBER	
			1621	
			MAIL DATE	DELIVERY MODE
			04/04/2011	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Application No. Applicant(s)							
	12/334,731	BATRA ET AL.					
Office Action Summary	Examiner	Art Unit					
	KARL J. PUTTLITZ	1621					
The MAILING DATE of this communication app Period for Reply	ears on the cover sheet with the co	orrespondence ad	dress				
WHICHEVER IS LONGER, FROM THE MAILING DA  - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period w  - Failure to reply within the set or extended period for reply will, by statute,	<ul> <li>If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.</li> <li>Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).</li> <li>Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any</li> </ul>						
Status							
1) Responsive to communication(s) filed on 15 De	ecember 2008.						
	action is non-final.						
3) Since this application is in condition for allowar		secution as to the	merits is				
closed in accordance with the practice under E	·						
Disposition of Claims	,						
4)⊠ Claim(s) <u>1-19</u> is/are pending in the application.							
4a) Of the above claim(s) is/are withdraw	yn from consideration						
5) Claim(s) is/are allowed.	With the consideration.						
6)⊠ Claim(s) <u>1-19</u> is/are rejected.							
7) Claim(s) is/are objected to.							
8) Claim(s) are subject to restriction and/or	election requirement						
are subject to restriction and of	olootion roquiromont.						
Application Papers							
9)☐ The specification is objected to by the Examine	<b>.</b>						
10)⊠ The drawing(s) filed on is/are: a)□ acce	epted or b) $\square$ objected to by the E	xaminer.					
Applicant may not request that any objection to the	drawing(s) be held in abeyance. See	37 CFR 1.85(a).					
Replacement drawing sheet(s) including the correct	on is required if the drawing(s) is obj	ected to. See 37 CF	FR 1.121(d).				
11) $\square$ The oath or declaration is objected to by the Ex	aminer. Note the attached Office	Action or form PT	O-152.				
Priority under 35 U.S.C. § 119							
<ul> <li>12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).</li> <li>a) All b) Some * c) None of:</li> <li>1. Certified copies of the priority documents have been received.</li> <li>2. Certified copies of the priority documents have been received in Application No</li> <li>3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).</li> <li>* See the attached detailed Office action for a list of the certified copies not received.</li> </ul>							
Attachment(s)							
1) Notice of References Cited (PTO-892)	4) Interview Summary						
<ul> <li>2) Notice of Draftsperson's Patent Drawing Review (PTO-948)</li> <li>3) Information Disclosure Statement(s) (PTO/SB/08)</li> </ul>	Paper No(s)/Mail Da 5) Notice of Informal Pa						
Paper No(s)/Mail Date <u>6 and 14</u> .	6) Other:						

Application/Control Number: 12/334,731

Art Unit: 1621

# DETAILED ACTION

#### Claim Rejections - 35 USC § 112

The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

Claims 1-19 are rejected under 35 U.S.C. 112, first paragraph, because the specification, while being enabling for sal6s of the recited compounds does not reasonably provide enablement for solvates and hydrates of the recited compounds. The specification does not enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make the invention commensurate in scope with these claims.

"The standard for determining whether the specification meets the enablement requirement [in accordance with the statute] was cast in the Supreme Court decision of *Mineral Separation v. Hyde*, 242 U.S. 261, 270 (1916) which postured the question: is the experimentation needed to practice the invention undue or unreasonable? That standard is still the one to be applied. *In re Wands*, 858 F.2d 731, 737, 8 USPQ2d 1400, 1404 (Fed. Cir. 1988). Accordingly, even though the statute does not use the term "undue experimentation," it has been interpreted to require that the claimed invention be enabled so that any person skilled in the art can make and use the invention without undue experimentation. *In re Wands*, 858 F.2d at 737, 8 USPQ2d at 1404 (Fed. Cir. 1988). See also *United States v. Telectronics, Inc.*, 857 F.2d 778, 785, 8 USPQ2d 1217, 1223 (Fed. Cir. 1988) ("The test of enablement is whether one reasonably skilled in the

Page 2

Application/Control Number: 12/334,731

Art Unit: 1621

art could make or use the invention from the disclosures in the patent coupled with information known in the art without undue experimentation."). A patent need not teach, and preferably omits, what is well known in the art. *In re Buchner*, 929 F.2d 660, 661, 18 USPQ2d 1331, 1332 (Fed. Cir. 1991); *Hybritech, Inc. v. Monoclonal Antibodies, Inc.*, 802 F.2d 1367, 1384, 231 USPQ 81, 94 (Fed. Cir. 1986), *cert. denied*, 480 U.S. 947 (1987); and *Lindemann Maschinenfabrik GMBH v. American Hoist & Derrick Co.*, 730 F.2d 1452, 1463, 221 USPQ 481, 489 (Fed. Cir. 1984). Determining enablement is a question of law based on underlying factual findings. *In re Vaeck*, 947 F.2d 488, 495, 20 USPQ2d 1438, 1444 (Fed. Cir. 1991); *Atlas Powder Co. v. E.I. du Pont de Nemours & Co.*, 750 F.2d 1569, 1576, 224 USPQ 409, 413 (Fed. Cir. 1984)." See M.P.E.P. § 2164.

In the instant case the rejected claims cover all solvates and hydrates of the recited compounds. . Based on the above standards, the disclosure must contained sufficient information to enable one skilled in the pertinent art to use this invention without undue experimentation. See M.P.E.P. 2164.01. Given the scope of the claims, it does not.

The state of the art does not support the proposition that any and all solvates or hydrates of the claimed compound can be prepared since the preparation of solvated solids and crystals is largely empirical, see "Crystallization and Precipitation" in <a href="Ullmann's Encyclopedia of Industrial Chemistry">Ullmann's Encyclopedia of Industrial Chemistry</a>, Copyright © 2002 by Wiley-VCH Verlag GmbH & Co. KGaA, pp. 1-51 ("Laboratory procedures that can be adopted in the preliminary search for possible polymorphs or solvates include: crystallizing from a wide range of solvents (polar, non-polar, hydrophilic, and hydrophobic) at different

Page 3

Application/Control Number: 12/334,731 Page 4

Art Unit: 1621

temperatures; chilling saturated solutions rapidly; precipitation by rapid quenching with a liquid non-solvent; heating excess solid with a high boiling solvent; crystallization from the melt or by sublimation, and so on, see Id. at p. 12. However, the instant case goes beyond what is known in the art, because the state of the art for polymorph recovery is very unpredictable, and, as established above, the specification does not offer any guidance on how one of ordinary skill would go about practicing the invention for recovery of every claimed polymorph.

The disclosure fails to remedy 5the state of the art in teaching those of ordinary skill how to prepare solvates and hydrates of the recited compounds without undue experimentation. Specifically, the specification and the examples do not provide sufficient disclosure that would provide one of ordinary skill guidance to practice the invention, given the level of unpredictability in the art. In this regard, the disclosure fails to prepare any hydrate or solvates, mush less teach those of ordinary skill how to select conditions appropriate to prepare the recited hydrates and solvates, M.P.E.P. § 2164.06(b) citing "In *In re Vaeck*, 947 F.2d 488, 495, 20 USPQ2d 1438, 1444 (Fed. Cir. 1991), [where the court pointed to a] "limited disclosure by appellants of ...particular cyanobacterial genera operative in the claimed invention...." The claims at issue were not limited to any particular genus or species of cyanobacteria and the specification mentioned nine genera and the working examples employed one species of cyanobacteria."

The examiner understands that there is no requirement that the specification disclose every possible embodiment if there is sufficient guidance given by knowledge

Application/Control Number: 12/334,731 Page 5

Art Unit: 1621

in the art (See M.P.E.P. § 2164.05(a) "[t]he specification need not disclose what is well-known to those skilled in the art and preferably omits that which is well-known to those skilled and already available to the public. *In re Buchner*, 929 F.2d 660, 661, 18 USPQ2d 1331, 1332 (Fed. Cir. 1991); *Hybritech, Inc. v. Monoclonal Antibodies, Inc.*, 802 F.2d 1367, 1384, 231 USPQ 81, 94 (Fed. Cir. 1986), *cert. denied*, 480 U.S. 947 (1987); and *Lindemann Maschinenfabrik GMBH v. American Hoist & Derrick Co.*, 730 F.2d 1452, 1463, 221 USPQ 481, 489 (Fed. Cir. 1984).").

However, the instant case goes beyond what is known in the art, because the specification does not offer any guidance on how one of ordinary skill would go about practicing the invention for recovery of every claimed hydrate and solvate.

Applicant is reminded of the heightened enablement for chemical inventions. Specifically, the amount of guidance or direction needed to enable the invention is inversely related to the amount of knowledge in the state of the art as well as the predictability in the art. *In re Fisher*, 427 F.2d 833, 839, 166 USPQ 18, 24 (CCPA 1970). The "amount of guidance or direction" refers to that information in the application, as originally filed, that teaches exactly how to make or use the invention. The more that is known in the prior art about the nature of the invention, how to make, and how to use the invention, and the more predictable the art is, the less information needs to be explicitly stated in the specification. In contrast, if little is known in the prior art about the nature of the invention and the art is unpredictable, the specification would need more detail as to how to make and use the invention in order to be enabling. [I]n the field of chemistry generally, there may be times when the well-known unpredictability of

Application/Control Number: 12/334,731

Art Unit: 1621

chemical reactions will alone be enough to create a reasonable doubt as to the accuracy of a particular broad statement put forward as enabling support for a claim. This will especially be the case where the statement is, on its face, contrary to generally accepted scientific principles. Most often, additional factors, such as the teachings in pertinent references, will be available to substantiate any doubts that the asserted scope of objective enablement is in fact commensurate with the scope of protection sought and to support any demands based thereon for proof. [Footnote omitted.]

Here, the requirement for enablement is not met since the claims go far beyond the enabling disclosure.

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claims 1-19 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

It is unclear what prodrugs applicant intends to cover.

The structure of the compounds is indefinite since the structure of M1 and L1 is unclear. Specifically the structure of alpha and beta in the definition of the M1 and L1 is unclear. In addition, C=M1 and C=L1 contain double bonds, but this is not present in the structures of claims 9, 10, 18 and 19.

Page 6

Application/Control Number: 12/334,731

Art Unit: 1621

#### Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Claims 1-19 rejected under 35 U.S.C. 103(a) as being unpatentable over U.S.

Publication No. 20020173672, based on an application by Moriarty et al. (Moriarty).

Moriarty teaches the following reaction at page 6, for example:

The difference between the process covered by the rejected claims and the process disclosed by Moriarty is that Moriarty fails to explicitly teach reacting the

Page 7

Application/Control Number: 12/334,731 Page 8

Art Unit: 1621

product of the hydrolysis step with an acid. However, the product formed asbove is the free acid, and thus, reaction of the product of the hydrolysis step with an acid to form the free acid product is invariable aspect of the process of Moriarty, and thus, prima facie obvious.

Claims 18 and 19 refer to different salts than that disclosed by Moriarty, but these would be dependent on the base used during the hydrolysis step, which is well within the purview of those of ordinary skill, optimizing the process, and thus, prima facie obvious.

#### Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Karl J. Puttlitz whose telephone number is (571) 272-0645. The examiner can normally be reached on Monday to Friday from 9 a.m. to 5 p.m.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Daniel Sullivan, can be reached at telephone number (571) 272-0779. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Application/Control Number: 12/334,731 Page 9

Art Unit: 1621

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/Karl J. Puttlitz/

Primary Examiner, Art Unit 1621

# Notice of References Cited Application/Control No. 12/334,731 Examiner KARL J. PUTTLITZ Applicant(s)/Patent Under Reexamination BATRA ET AL. Page 1 of 1

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	O	US-						
	D	US-						
	Е	US-						
	F	US-						
	G	US-						
	I	US-						
	1	US-						
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\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

# Search Notes

Application/Control No.	Applicant(s)/Patent Under Reexamination	
12334731	BATRA ET AL.	
Examiner	Art Unit	
KARL J PUTTLITZ	1621	

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SEARCHED					
Class	Subclass	Date	Examiner		

SEARCH NOTES						
Search Notes	Date	Examiner				
reaction search in file CAPLUSW in STN;search in file	3/30/2011	KP				
inventor search in EDAN PALM and EAST (USPGPUB USPAT UPAD); EAST; EASTbsearch in file	3/30/2011	KP				
inventor npl search in file CAPLUS in STN; search in file	3/30/2011	KP				
reviewed search report in counterpart PCT application	3/30/2011	KP				

	INTERFERENCE SEARCH		
Class	Subclass	Date	Examiner

# **EAST Search History**

### **EAST Search History (Interference)**

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L1	5386	13	US- PGPUB; USPAT; UPAD	OR	OFF	2011/03/30 16:14
L2	2	l1 and treprostinil	US- PGPUB; USPAT; UPAD	OR	OFF	2011/03/30 16:14

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Sheet	1	of	1	Attorney Docket Number	080618-0629	PAPAL

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Examin	Cite No.1	Document Number  Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
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	A23	Moriarty et al., "The Intramolecular Asymmetric Pauson-Khand Cyclization as a Novel and General Stereoselective Route to Benzindene Prostacyclins: Synthesis of UT-15 (Treprostinil)," <i>J. Org. Chem.</i> 2004, 69, 1890-1902.						
	A24	Sorbera et al. "UT-15. Treatment of Pulmonary Hypertension Treatment of Peripheral Vascular Disease," <i>Drug of the Future</i> , 2001, 26(4), 364-374.						
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Examiner Signature	/Karl Puttlitz/	Date Considered	03/30/2011

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Substitute for form 1449/PTO Complete if Known 12/334,731 INFORMATION DISCLOSURE Application Number STATEMENT BY APPLICANT Filing Date 12/15/2008 JUN 2 2 2009 Hitesh BATRA **First Named Inventor** Date Submitted: June 22, 2009 1621 Art Unit (use as many sheets as necessary) **Examiner Name** Unassigned of 080618-0629 Sheet Attorney Docket Number

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Examin er Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2</sup> (if	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant	
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	B3	WO 2007/134292 A2	11/22/2007	United Therapeutics Corporation			

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	B4	International Search Report and Written Opinion mailed 6/2/2009 in corresponding PCT/US2008/013686, 14 pages.				

Examiner Signature	/Karl Puttlitz/	Date Considered	03/30/2011

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- => s batra/au or tuladhar/au or penmasta/au or walsch
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- L4 2 BATRA/AU OR TULADHAR/AU OR PENMASTA/AU OR WALSCH
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- L5 1104 BATRA/AU OR TULADHAR/AU OR PENMASTA/AU OR WALSH

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#### FILE 'CASREACT' ENTERED AT 14:13:25 ON 30 MAR 2011

L1 STRUCTURE UPLOADED
L2 0 S L1 SSS
L3 83 S L1 SSS FULL

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Hitesh BATRA et al.

Title: AN IMPROVED PROCESS TO PREPARE

TREPROSTINIL, THE ACTIVE INGREDIENT

IN REMODULIN®

Appl. No.: 12/334,731

Filing Date: 12/15/2008

Examiner: Karl J. Puttlitz

Art Unit: 1621

Confirmation Number: 8804

REPLY UNDER 37 CFR § 1.111

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

This paper responds to the Non-Final Office Action dated April 4, 2011. Applicants petition for extension of time to make this response timely.

**Amendments to the Claims** are reflected in the listing of claims which begins on page 2 of this document.

Remarks begin on page 10 of this document.

#### **Amendments to the Claims:**

This listing of claims will replace all prior versions, and listings, of claims in the application:

#### **Listing of Claims:**

(Currently Amended) A process for the preparation of a compound of formula I, a
 hydrate, solvate, prodrug, or pharmaceutically acceptable salt thereof

$$\begin{array}{c|c} H & Y_1 - C - C - R_7 \\ \hline M_1 & L_1 \\ \hline M_1 & L_1 \\ \hline O(CH_2)_w COOH \end{array} \tag{I}$$

comprising

(a) alkylating a compound of structure II with an alkylating agent to produce a compound of formula III,

wherein

w=1, 2, or 3;

 $Y_1$  is trans-CH=CH-, cis-CH=CH-, -CH<sub>2</sub>(CH<sub>2</sub>)<sub>m</sub>-, or -C≡C-; m is 1, 2, or 3;  $R_7$  is

- (1)  $-C_pH_{2p}$ -CH<sub>3</sub>, wherein p is an integer from 1 to 5, inclusive,
- (2) phenoxy optionally substituted by one, two or three chloro, fluoro, trifluoromethyl,  $(C_1-C_3)$  alkyl, or  $(C_1-C_3)$ alkoxy, with the proviso that not more than two substituents are other than alkyl, with the proviso that  $R_7$  is phenoxy or substituted phenoxy, only when  $R_3$  and  $R_4$  are hydrogen or methyl, being the same or different,

- (3) phenyl, benzyl, phenylethyl, or phenylpropyl optionally substituted on the aromatic ring by one, two or three chloro, fluoro, trifluoromethyl,  $(C_1-C_3)$ alkyl, or  $(C_1-C_3)$ alkoxy, with the proviso that not more than two substituents are other than alkyl,
- (4)  $cis-CH=CH-CH_2-CH_3$ ,
- (5)  $-(CH_2)_2$ -CH(OH)-CH<sub>3</sub>, or
- (6)  $-(CH_2)_3$ -CH=C(CH<sub>3</sub>)<sub>2</sub>; -C(L<sub>1</sub>)-R<sub>7</sub> taken together is
- (1)  $(C_4-C_7)$ cycloalkyl optionally substituted by 1 to 3  $(C_1-C_5)$ alkyl;
- (2) 2-(2-furyl)ethyl,
- (3) 2-(3-thienyl)ethoxy, or
- (4) 3-thienyloxymethyl;

 $M_1$  is  $\alpha$ -OH: $\beta$ -R<sub>5</sub> or  $\alpha$ -R<sub>5</sub>: $\beta$ -OH or  $\alpha$ -OR<sub>1</sub>: $\beta$ -R<sub>5</sub> or  $\alpha$ -R<sub>5</sub>: $\beta$ -OR<sub>2</sub>, wherein R<sub>5</sub> is hydrogen or methyl, R<sub>2</sub> is an alcohol protecting group, and

 $L_1$  is  $\alpha$ - $R_3$ : $\beta$ - $R_4$ ,  $\alpha$ - $R_4$ : $\beta$ - $R_3$ , or a mixture of  $\alpha$ - $R_3$ : $\beta$ - $R_4$  and  $\alpha$ - $R_4$ : $\beta$ - $R_3$ , wherein  $R_3$  and  $R_4$  are hydrogen, methyl, or fluoro, being the same or different, with the proviso that one of  $R_3$  and  $R_4$  is fluoro only when the other is hydrogen or fluoro.

- (b) hydrolyzing the product of formula III of step (a) with a base,
- (c) contacting the product of step (b) with a base B to [[for]]  $\underline{\text{form}}$  a salt of formula  $I_{s_1}$

$$\begin{array}{c|c} & H & Y_1^- C - C - R_7 \\ & M_1 & L_1 \\ & M_1 & L_1 \\ & & HB \end{array}$$

$$O(CH_2)_w COO^{\bigodot} \qquad \qquad (I_s) \ \underline{and} \ \\$$

- (d) reacting the salt [[from]] <u>formed in step</u> (c) with an acid to form the compound of formula I.
- (Currently Amended) The process according to claim [[1]] <u>20</u>, wherein the product of step (d) has the purity of compound of formula I [[is]] <u>of</u> at least 90.0%, <u>95%</u>, or <u>99.0%</u>.

- 3. (Original) The process according to claim 1, further comprising a step of isolating the salt of formula  $I_s$ .
- 4. (Original) The process according to claim 1, wherein the alkylating agent is  $Cl(CH_2)_wCN$ ,  $Br(CH_2)_wCN$ , or  $I(CH_2)_wCN$ .
- 5. (Original) The process according to claim 1, wherein the base in step (b) is KOH or NaOH.
- 6. (Original) The process according to claim 1, wherein the base B in step (c) is selected from the group consisting of ammonia, N-methylglucamine, procaine, tromethanine, magnesium, L-lysine, L-arginine, triethanolamine, and diethanolamine.
- 7. (Original) The process according to claim 1, wherein the acid in step (d) is HCl or H<sub>2</sub>SO<sub>4</sub>.
- 8. (Original) The process according to claim 1, wherein Y<sub>1</sub> is -CH<sub>2</sub>CH<sub>2</sub>-; M<sub>1</sub> is α-OH:β-H or α-H:β-OH; -C(L<sub>1</sub>)-R<sub>7</sub> taken together is -(CH<sub>2</sub>)<sub>4</sub>CH<sub>3</sub>; and w is 1.
- 9. (Original) The process according to claim 1, wherein the compound of formula I is a compound of formula IV.

10. (Currently Amended) A process for the preparation of a compound having formula IV<sub>5</sub> a hydrate, solvate, prodrug, or pharmaceutically acceptable salt thereof

comprising

(a) alkylating a compound of formula V with an alkylating agent to produce a compound of formula VI,

- (b) hydrolyzing the product of formula VI of step (a) with a base,
- $\mbox{(c)} \qquad \mbox{contacting the product of step (b) with a base B to form a salt of formula \ IV_s,} \label{eq:contacting}$  and

(d) reacting the salt formed in step (c) -from step of formula  $IV_s$  with an acid to form the compound of formula IV.

- Appl. No. 12/334,731
- 11. (Currently Amended) The process according to claim [[10]] <u>22</u>, wherein the product of step (d) has the purity of the compound of formula IV [[is]] of at least 90.0%, 95.0%, 99.0%, or 99.5%.
- 12. (Original) The process according to claim 10, further comprising a step of isolating the salt of formula IV<sub>s</sub>.
- 13. (Original) The process according to claim 10, wherein the alkylating agent is ClCH<sub>2</sub>CN.
- 14. (Original) The process according to claim 10, wherein the base in step (b) is KOH.
- 15. (Original) The process according to claim 10, wherein the base B in step (c) is selected from a group consisting of ammonia, N-methylglucamine, procaine, tromethanine, magnesium, L-lysine, L-arginine, triethanolamine, and diethanolamine.
- 16. (Original) The process according to claim 15, wherein the base B is diethanolamine.
- 17. (Original) The process according to claim 10, wherein the acid in step (d) is HCl.
- 18. (Canceled)
- 19. (Canceled)
- 20. (New) The process of claim 1, which does not include purifying the compound of formula (III) produced in step (a).
- 21. (New) The process of claim 20, wherein the product of step (d) has the purity of compound of formula I of at least 95%.
- 22. (New) The process of claim 10, which does not include purifying the compound of formula (VI) produced in step (a).
- 23. (New) The process of claim 22, wherein the product of step (d) has the purity of compound of formula I of at least 95%.

- 24. (New) The process of claim 22, wherein the base B in step (c) is selected from a group consisting of ammonia, N-methylglucamine, procaine, tromethanine, magnesium, L-lysine, L-arginine, triethanolamine, and diethanolamine.
- 25. (New) The process of claim 24, wherein the base B is diethanolamine.
- 26. (New) A process for the preparation of a compound having formula IV, or pharmaceutically acceptable salt thereof

comprising

(a) alkylating a compound of formula V with an alkylating agent to produce a compound of formula VI,

- (b) hydrolyzing the product of formula VI of step (a) with a base, and
- (c) contacting the product of step (b) with a base B to form a salt of formula IV<sub>s</sub>

(IV<sub>s</sub>), wherein the process does not comprise purifying the compound of formula (VI) produced in step (a).

27. (New) The process according to claim 26, wherein the base B in step (c) is selected from a group consisting of ammonia, N-methylglucamine, procaine, tromethanine, magnesium, L-lysine, L-arginine, tricthanolamine, and diethanolamine and wherein the compound produced is a compound of the formula IV<sub>s</sub>,

wherein the base B is selected from a group consisting of ammonia, N-methylglucamine, procaine, tromethanine, magnesium, L-lysine, L-arginine, tricthanolamine, and diethanolamine.

28. (New) The process according to claim 27, wherein the base B is diethanolamine and wherein the compound produced is a compound of the following formula:

#### REMARKS

Applicants respectfully request reconsideration and allowance of the present application.

#### **CLAIMS STATUS**

Applicants have amended claims 1, 2, 10, 11, without prejudice or disclaimer, to present the claimed invention in a clearer manner and to correct inadvertent typographical errors. Applicants reserve the right to file one or more continuing applications directed to the subject matter omitted by the present amendment. No new matter has been added.

Applicants have canceled claims 18 and 19, without prejudice or disclaimer. Applicants reserve the right to file one or more continuing applications directed to the canceled claims.

Applicants have introduced new claims 20-28. Support for the new claims may be found throughout the specification as filed and, in particular, for claim 20 and 22 in paragraph 0046; for claim 21 in original claim 2; for claim 23 in original claim 11; for claim 24 in original claim 15; for claim 25 in original claim 16; for claim 26 in original claims 10 and paragraph 0046; for claim 27 in original claims 15 and 18; for claim 28 in original claims 16 and 19. No new matter has been added.

After the amendment, pending claims include a) examined claims 1-17 and b) new claims 20-28.

#### CLAIM REJECTIONS UNDER 35 U.S.C. § 112, ¶ 1

Claims 1-19 stand rejected because, in the PTO's opinion, the specification is not enabling for solvates and hydrates of the recited compounds. Applicants believe that the revised claims set obviates the rejection.

#### CLAIM REJECTIONS UNDER 35 U.S.C. § 112, ¶ 2

Claims 1-19 stand rejected as indefinite. In particular, the PTO asserted the following deficiencies:

- 1) "It is unclear what prodrugs applicant intends to cover."
- 2) "The structure of the compounds is indefinite since the structure of M1 and L1 is unclear. Specifically the structure of alpha and beta in the definition of the M1 and L1 is unclear. In addition, C=M1 and C=L1 contain double bonds, but this is not present in the structures of claims 9, 10, 18 and 19."

Applicants believe that the revised claim set obviates deficiency 1.

Applicants respectfully traverse deficiency 2 because one of ordinary skill in the art would understand that in claim 1's definitions of M1 and L1,  $\alpha$  refers to an alpha bond, i.e. a bond which lies above the plane of the molecule, while  $\beta$  refers a beta bond, i.e. a bond, which lies below the plane of the molecule. Thus, for example, M1 being  $\alpha$ -OH: $\beta$ -R<sub>5</sub> means that OH is linked to M1's carbon atom through an alpha bond, thus, lying above the plane of the molecule, while R<sub>5</sub> is linked to M1's carbon through a beta bond, thus, lying below the plane of the molecule. US patent no. 6,765,117 (granted from US application no. 10/184,907 which was published as US 2002/0173,672) provides evidence that one of ordinary skill in the art would understand the definitions of M1 and L1 because the issued claims of this patent contain definitions for M1 and L1 using the same  $\alpha$ : $\beta$  notation as the pending claims.

Applicants respectfully submit that there is no contradiction between the structures of formula IV or IVs in claims 9, 10, 18 and 19, on one hand, and corresponding claim 1's structures containing C=M1 and C=L1, on the other, because one of ordinary skill in the art would understand in view of the definitions of M1 and L1 that C=M1 and C=L1 do not refer to a double bond but instead to two single bonds, namely alpha and beta single bonds. Applicants respectfully submit that the structures of formula IV or IVs in claims 9, 10, 18 and 19 correspond to structures of formula I and Is with M1 being  $\alpha$ -OH: $\beta$ -R<sub>5</sub>, wherein R<sub>5</sub> is H, and L1 being  $\alpha$ -R<sub>3</sub>: $\beta$ -R<sub>4</sub>, wherein R<sub>3</sub> and R<sub>4</sub> are both H.

In sum, for the reasons discussed in this section, Applicants request withdrawal of the rejection.

#### CLAIM REJECTIONS UNDER 35 U.S.C. § 103(a)

Claims 1-19 stand rejected as obvious over Moriarty (US 2002/0173672). Applicants respectfully traverse.

On page 7 of the Office Action, the PTO refers to the reaction on Moriarty's page 6. After that the PTO asserts as follows:

"The difference between the process covered by the rejected claims and the process disclosed by Moriarty is that Moriarty fails to explicitly teach reacting the product of the hydrolysis step with an acid. However, the product formed [above] is the free acid, and thus, reaction of the product of the hydrolysis step with an acid to form the free acid product is invariable aspect of the process of Moriarty, and thus, prima facie obvious."

The PTO failed to establish a *prima facie* case obviousness at least because the PTO relies on factually inaccurate and conclusory statements in its obviousness analysis. Furthermore, the PTO failed to establish a *prima facie* case of obviousness because Moriarty does not teach or suggest steps c) and d) of the pending claims.

The reaction on Moriarty's page 6 (compound 14-compound 15-compound 16) may read only on steps a) and b) of claims 1 and 10, however, Moriarty does not teach or suggest steps c) and d) recited in claims 1 and 10. Applicants respectfully submit that the hydrolyzing step (b) of the pending claims will result in formation a product containing compound of formula I (claim 1) or formula IV (claim 10) in a free acid form. However, the claimed methods do not stop at the product of the hydrolyzing step. Instead, they involve step (c), which results in formation of a salt and step (d), which results in formation of compound of formula I (claim 1) or formula IV (claim 10) from the salt formed in step (c). Applicants respectfully submit that Moriarty does not teach or suggest these steps (steps c) and d)).

For a better understanding of the claimed invention, Applicants respectfully refer the PTO to pages 11-17 of the application as filed, which illustrates the claimed process for

production of treprostinil, which is the compound of formula IV recited in claim 10 or the compound of formula I (claim 1) with M1 being  $\alpha$ -OH: $\beta$ -R<sub>5</sub>, wherein R<sub>5</sub> is H, and L1 being  $\alpha$ -R<sub>3</sub>: $\beta$ -R<sub>4</sub>, wherein R<sub>3</sub> and R<sub>4</sub> are both H. The process on pages 11-17 includes the following steps: a) Alkylation of Benzindene Triol, which results in formation of benzindene nitrile (Example 1, pages 11-12); b) Hydrolysis of Benzindene Nitrile, which results in formation of treprostinil (Example 2, pages 12-14); c) Conversion of Treprostinil to Treprostinil Diethanolamine Salt (Example 3, pages 14-15) and d) Conversion of Treprostinil Diethanolamine to Tresprostinil (Example 5, page 17), which can read on respective steps a-d of claims 1 and 10. The fact that treprostinil in a free acid form was formed in the hydrolyzing step b) demonstrates that the PTO's assertion that "reaction of the product of the hydrolysis step with an acid to form the free acid product is invariable aspect of the process of Moriarty" is factually incorrect.

Applicants respectfully submit that steps c) and d), which Moriarty does not teach or suggest, can provide a number of advantages. For example, due to the salt forming step c, the impurities form alkylating step a) and hydrolyzing step b) can removed, see e.g. paragraph 0046 of the specification as filed. As the result, the purification of benzindene nitrile compound, such as compound (III) or (VI), which purification was required by the prior art, such as Moriarty (see Moriarty's paragraph 0078), can be eliminated (see e.g. paragraph 0046 of the specification as filed) without an adverse effect on the quality of the resulting compound of formula (I) formed in step d. Applicants respectfully submit that new claims 20-23 emphasize the discussed above advantages of the claimed method.

In sum, because the PTO failed to establish a *prima facie* case of obviousness, Applicants request withdrawal of the rejection.

#### NEW CLAIMS 20-28

New claims 20-25 are new and non-obvious over Moriarty at least because each one of them depends either on claim 1 or claim 10, which are patentable for the reasons discussed above.

New claims 26-28 are new and non-obvious over Moriarty at least because this reference does not teach or suggest contacting step c) of claim 26.

#### CONCLUSION

Applicants believe that the present application is in condition for allowance. Favorable reconsideration of the application is respectfully requested. The Examiner is invited to contact the undersigned by telephone if it is felt that a telephone interview would advance the prosecution of the present application.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing or a credit card payment form being unsigned, providing incorrect information resulting in a rejected credit card transaction, or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741. If any extensions of time are needed for timely acceptance of papers submitted herewith, Applicant hereby petitions for such extension under 37 C.F.R. §1.136 and authorizes payment of any such extensions fees to Deposit Account No. 19-0741.

Respectfully submitted,

Date July 7, 2011

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Alexey V. Saprigin

Agent for Applicant

Registration No. 56,439

Electronic Patent A	Δnr	lication Fee	Transmi	ttal	
Application Number:		334731			
Filing Date:	15-Dec-2008				
Title of Invention:	PROCESS TO PREPARE TREPROSTINIL, THE ACTIVE INGREDIENT IN REMODULIN				
First Named Inventor/Applicant Name:	Hit	esh BATRA			
Filer:	Ale	exey V. Saprigin			
Attorney Docket Number:	08	0618-0629			
Filed as Small Entity					
Utility under 35 USC 111(a) Filing Fees					
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Basic Filing:					
Pages:					
Claims:					
Claims in excess of 20		2202	6	26	156
Miscellaneous-Filing:					
Petition:					
Patent-Appeals-and-Interference:					
Post-Allowance-and-Post-Issuance:					
Extension-of-Time:			SteadyM	ed - Exhibit 1002	- Page 112

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)				
Extension - 1 month with \$0 paid	2251	1	65	65				
Miscellaneous:								
Total in USD (\$)				221				

Electronic Acknowledgement Receipt						
EFS ID:	10468552					
Application Number:	12334731					
International Application Number:						
Confirmation Number:	8804					
Title of Invention:	PROCESS TO PREPARE TREPROSTINIL, THE ACTIVE INGREDIENT IN REMODULIN					
First Named Inventor/Applicant Name:	Hitesh BATRA					
Customer Number:	22428					
Filer:	Alexey V. Saprigin					
Filer Authorized By:						
Attorney Docket Number:	080618-0629					
Receipt Date:	07-JUL-2011					
Filing Date:	15-DEC-2008					
Time Stamp:	15:15:42					
Application Type:	Utility under 35 USC 111(a)					
ayment information:						

Submitted with Payment	yes
Payment Type	Credit Card
Payment was successfully received in RAM	\$221
RAM confirmation Number	1555
Deposit Account	
Authorized User	

# File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ SteadyMed — Shibit Message Digest	Multi 1002 - Page Part 7:21p	Pages <sup>11</sup> (if appl.)

			71734		
1	Miscellaneous Incoming Letter	Amendment Trans 070711.pdf	4522ceeb58a4f46e716994d6bf6368ba0c2 b2c22	no	2
Warnings:					•
Information:					
2	Amendment/Req. Reconsideration-After	Amendment070711.pdf	431972	no	14
	Non-Final Reject	· · · ·	2767fef59c4ed7006e0ef35c0fb6a5ce71996 d59		14
Warnings:					
Information:				_	_
3	Fee Worksheet (SB06)	fee-info.pdf	32213	no	2
	ree worksheet (5500)	rec imo.pui	97582a17a0d85ac3e49e9bbfc991316b948 a06cc		_
Warnings:					
Information:					
		Total Files Size (in bytes)	5	35919	

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#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Hitesh BATRA et al.

Title:

AN IMPROVED PROCESS TO PREPARE TREPROSTINIL,

THE ACTIVE INGREDIENT IN REMODULIN®

Appl. No.:

12/334,731

Filing Date:

12/15/2008

Examiner:

Karl J. PUTTLITZ

Art Unit:

1621

Confirmation Number:

8804

### **AMENDMENT TRANSMITTAL**

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Transmitted herewith is an amendment in the above-identified application.

[X] Small Entity status under 37 C.F.R. § 1.9 and § 1.27 has been established by a previous assertion of Small Entity status.

[X] The fee required for additional claims is calculated below:

	Claims				Extra				
	As		Previously		Claims				Additional
	Amended		Paid For		Present		Rate		Claims Fee
Total Claims:	26	***	20	==	6	X	\$52.00	=	\$312.00
Independent Claims:	3	-	3		0	X	\$220.00	==	\$0.00
					CLAIM	S FE	E TOTAL		\$312.00

[X] Applicant hereby petitions for an extension of time under 37 C.F.R. §1.136(a) for the total number of months checked below:

[X] Extension	\$130.00	\$130.00	
	EXTENSION	FEE TOTAL:	\$130.00
	CLAIMS, EXTENSION AND DISCLAIMER	FEE TOTAL:	\$442.00
[ X ]	Small Entity Fccs Apply (subtrac	ct ½ of above):	\$221.00
		TOTAL FEE:	\$221.00

The above-identified fees of \$221.00 are being paid by credit card via EFS-Web.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by the credit card payment instructions in EFS-Web being incorrect or absent, resulting in a rejected or incorrect credit card transaction, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

If any extensions of time are needed for timely acceptance of papers submitted herewith, applicant hereby petitions for such extension under 37 C.F.R. §1.136 and authorizes payment of any such extensions fees to Deposit Account No. 19-0741.

Please direct all correspondence to the undersigned attorney or agent at the address indicated below.

Respectfully submitted,

Date July 7, 2011

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Registration No. 56,439

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application or Docket Number Filing Date PATENT APPLICATION FEE DETERMINATION RECORD 12/334.731 12/15/2008 To be Mailed Substitute for Form PTO-875 APPLICATION AS FILED - PART I OTHER THAN SMALL ENTITY X SMALL ENTITY (Column 1) (Column 2) OR RATE (\$) FOR NUMBER FILED NUMBER EXTRA FEE (\$) RATE (\$) FEE (\$) ■ BASIC FEE N/A N/A N/A N/A 37 CFR 1.16(a), (b), or (c)) SEARCH FEE N/A N/A N/A N/A (37 CFR 1.16(k), (i), or (m) **EXAMINATION FEE** N/A N/A N/A N/A (37 CFR 1.16(o), (p), or (q)) TOTAL CLAIMS OR X \$ X \$ minus 20 (37 CFR 1.16(i)) INDEPENDENT CLAIMS minus 3 = X \$ = X \$ = If the specification and drawings exceed 100 sheets of paper, the application size fee due APPLICATION SIZE FEE is \$250 (\$125 for small entity) for each (37 CFR 1.16(s)) additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(j)) TOTAL TOTAL \* If the difference in column 1 is less than zero, enter "0" in column 2. APPLICATION AS AMENDED - PART II OTHER THAN SMALL ENTITY SMALL ENTITY (Column 1) (Column 2) (Column 3) OR CLAIMS HIGHES1 ADDITIONAL ADDITIONAL REMAINING NUMBER PRESENT 07/07/2011 RATE (\$) RATE (\$) **AFTER PREVIOUSLY FXTRA** FFF (\$) FFF (\$) AMENDMENT **AMENDMENT** PAID FOR Total (37 CFR \* 26 Minus \*\* 20 OR = 6 X \$26 = 156 X \$ Independent (37 CFR 1.16(h)) = 0 \* 3 Minus \*\*\*3 X \$110 = 0 OR X \$ = Application Size Fee (37 CFR 1.16(s)) FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j)) OR ADD'L 156 OR ADD'L FEE FEE (Column 1) (Column 2) (Column 3) CLAIMS HIGHEST PRESENT ADDITIONAL ADDITIONAL REMAINING NUMBER RATE (\$) RATE (\$) AFTER PREVIOUSLY **EXTRA** FEE (\$) FEE (\$) **AMENDMENT** PAID FOR ENDMEN Total (37 CFR Minus X \$ OR Independent OR Minus X \$ X \$ Application Size Fee (37 CFR 1.16(s)) ₹ FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j)) OR TOTAL TOTAL ADD'L OR ADD'L \* If the entry in column 1 is less than the entry in column 2, write "0" in column 3. Legal Instrument Examiner: \*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20". /KELLY HARRIS/ \*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3". The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.		
12/334,731	12/15/2008	Hitesh BATRA	080618-0629	8804		
	7590 09/19/201 LARDNER LLP	1	EXAM	INER		
SUITE 500	——- T NIW		VALENROD, YEVGENY			
3000 K STREE WASHINGTO			ART UNIT	PAPER NUMBER		
			1621			
			MAIL DATE	DELIVERY MODE		
			09/19/2011	PAPER		

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

	Application No.	Applicant(s)					
Office Action Comments	12/334,731	BATRA ET AL.					
Office Action Summary	Examiner	Art Unit					
	YEVGENY VALENROD	1621					
The MAILING DATE of this communication app Period for Reply	ears on the cover sheet with the c	orrespondence ad	ldress				
A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).							
Status							
1) Responsive to communication(s) filed on 07 Ju	lv 2011.						
	action is non-final.						
3) An election was made by the applicant in response		set forth during the	e interview on				
the restriction requirement and election							
4) Since this application is in condition for allowan	·		e merits is				
closed in accordance with the practice under E	·						
Disposition of Claims							
5)⊠ Claim(s) 1-17 and 20-28 is/are pending in the a	upplication.						
5a) Of the above claim(s) is/are withdraw	• •						
6) Claim(s) is/are allowed.							
7) Claim(s) <u>1-17 and 20-28</u> is/are rejected.							
8) Claim(s) is/are objected to.							
9) Claim(s) are subject to restriction and/or	election requirement.						
Application Papers							
10) The specification is objected to by the Examiner	<u>.</u>						
11) The drawing(s) filed on is/are: a) acce		Examiner.					
Applicant may not request that any objection to the c							
Replacement drawing sheet(s) including the correcti	on is required if the drawing(s) is obj	ected to. See 37 CF	FR 1.121(d).				
12) The oath or declaration is objected to by the Ex	aminer. Note the attached Office	Action or form PT	TO-152.				
Priority under 35 U.S.C. § 119							
13) Acknowledgment is made of a claim for foreign	priority under 35 U.S.C. § 119(a)	-(d) or (f).					
a) ☐ All b) ☐ Some * c) ☐ None of:	,	( ) ( )					
1. Certified copies of the priority documents	s have been received.						
2. Certified copies of the priority documents	s have been received in Application	on No					
3. Copies of the certified copies of the prior	• •		Stage				
application from the International Bureau	(PCT Rule 17.2(a)).		-				
* See the attached detailed Office action for a list of	of the certified copies not receive	d.					
Attachment(s)							
<ol> <li>Notice of References Cited (PTO-892)</li> <li>Notice of Draftsperson's Patent Drawing Review (PTO-948)</li> </ol>	4) Interview Summary Paper No(s)/Mail Da						
<ul> <li>2)  Notice of Draftsperson's Patent Drawing Review (PTO-948)</li> <li>3)  Information Disclosure Statement(s) (PTO/SB/08)</li> </ul>	5) Notice of Informal Pa						
Paper No(s)/Mail Date	6) Other:						

## **DETAILED ACTION**

Instant application has been transferred to Examiner Valenrod whose contact information is provided at the end of the instant office action.

Rejection of claims 1-19 under 35 USC 112 1<sup>st</sup> paragraph has been withdrawn in view of applicants' amendments.

Rejection of claims 1-19 under 35 USC 112 2<sup>nd</sup> paragraph has been withdrawn in view of applicants' remarks.

Rejection of claims 1-19 under 35 USC 103(a) over Moriarty et al. has been withdrawn in favor of a new rejection.

## Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation

Application/Control Number: 12/334,731

Art Unit: 1621

Page 3

under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

Claims 1-17 and 20-28 are rejected under 35 U.S.C. 103(a) as being unpatentable over Moriarty et al. (US 2002/0173672) in view of Phares et al. (US 2005/0085540).

## Scope of prior art

Moriarty et al teach steps a), b) and d) of the instant claims. Particularly Moriarty teaches alkylation of compound 14 with CICH<sub>2</sub>CN in the presence of K<sub>2</sub>CO<sub>3</sub> in acetone to produce compound 15 (paragraph [0078]). Compound 15 is then hydrolyzed with a base which produces a carboxylate salt and subsequently treated with an acid (HCl) to produce compound 16, which is the product of the instantly claimed process (paragraph [0079]).

## Ascertaining the difference between instant claims and prior art

The process of Moriarty differs from the instant claim in that step after the treatment with a base in what corresponds to instant step b), the resulting carboxylate salt is not contacted with additional base B to produce salt of formula  $IV_s$  which corresponds to instant step c).

### Secondary reference

Application/Control Number: 12/334,731 Page 4

Art Unit: 1621

Phares teaches that treprostinil diethanolamine can be crystalized (figure 20, paragraph [0051]).

## Obviousness

One skilled in the art practicing the invention of Moriarty would have found it obvious to add a purification step in order to obtain a more pure product. Since Phares indicated that treprostinil diethanolamine can be crystalized, one skilled in the art would have found it obvious to use this property of the diethanolamine salt in order to purify treprostinil via crystallization. As such in would have been obvious to convert the potassium salt of treprostinil obtained after step b), which is taught by Moriarty, into diethanolamine salt, purify said salt via crystallization followed by acidification as taught my Moriarty to recover treprostinil as a free acid. The instant invention amounts to addition of a purification step via crystallization. Since such a step has been taught in the art, at the time the instantly claimed invention was made one would have found it obvious to make the required modification. Motivation is provided by desire to obtain a more pure product. Expectation of success is provided by Phares when a crystal form of the diethanolamine salt is disclosed.

Limitation directed to purity of the product are inherently met by the combination of Moriarty and Phares. Since the motivation to preform crystallization of the diethanolamine salt is to obtain a pure compound, it stands to reason that the purity of the product would be improved. The exact purity would inherently be same as instantly claimed because the same sequence of steps would be carried out.

Application/Control Number: 12/334,731

Art Unit: 1621

Conclusion

Claims 1-17 and 20-28 are pending

Claims 1-17 and 20-28 are rejected

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Yevgeny Valenrod whose telephone number is 571-272-9049. The examiner can normally be reached on 8:30am-5:00pm M-F.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Daniel Sullivan can be reached on 571-272-0779. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Yevgeny Valenrod/
Yevgeny Valenrod
Patent Examiner

Page 5

Application/Control Number: 12/334,731

Art Unit: 1621

Technology Center 1600

Page 6

					Application/Control No.	Applicant(s)	/Pate	nt Under	
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					YEVGENY VALENROD	ALENROD 1621		Page 1 of 1	
				U.S. P	ATENT DOCUMENTS				
*		Document Number Country Code-Number-Kind Code	Date MM-YYYY		Name			Classification	
*	Α	US-2005/0085540	04-2005	Phares	et al.			514/530	
	В	US-							
	С	US-							
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### FOREIGN PATENT DOCUMENTS

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\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

US-US-

# **EAST Search History (Prior Art)**

Ref #	Hits	Search Query	DBs	Defa ult Oper ator	Plurals	Time Stamp
L1	3	((HITESH) near2 (BATRA)).INV.	US-PGPUB; USPAT; USOCR	OR	OFF	2011/09/14 12:30
L2	1	((SUDERSAN) near2 (TULADHAR)).INV.	US-PGPUB; USPAT; USOCR	OR	OFF	2011/09/14 12:30
L3	14	((RAJU) near2 (PENMASTA)).INV.	US-PGPUB; USPAT; USOCR	OR	OFF	2011/09/14 12:30
L4	183	((DAVID) near2 (WALSH)).INV.	US-PGPUB; USPAT; USOCR	OR	OFF	2011/09/14 12:30
L5	1	"6765117"	USPAT	OR	OFF	2011/09/14 12:31
L6	0	"20020173672"	USPAT	OR	OFF	2011/09/14 12:31
L7	1	("20020173672").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2011/09/14 12:31
L8	0	("2002/0173672").URPN.	USPAT	OR	OFF	2011/09/14 12:31
L9	1	("4306075").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2011/09/14 12:31
L10	1	("6441245").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2011/09/14 12:31
L11	1	("5387713").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2011/09/14 12:31
L12	1	("20050085540").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2011/09/14 12:31
L13	1	("20070078182").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2011/09/14 12:31
L14	1	("20070254032").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2011/09/14 12:31
L15	25	treprostinil diethanolamine	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	ADJ	OFF	2011/09/14 12:31
L16	1	("4845598").PN.	USPAT; USOCR	OR	OFF	2011/09/14 12:31

## **EAST Search History (Prior Art)**

L17	1	("4485598").PN.	USPAT; USOCR	OR	OFF	2011/09/14 12:31
L18	1	("4486598").P <b>N</b> .	USPAT; USOCR	OR	OFF	2011/09/14 12:31
L19	1	("4486598").URPN.	USPAT	OR	OFF	2011/09/14 12:31
L20	29	treprostinil same diethanolamine	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	ADJ	OFF	2011/09/14 12:31
L21	4	L20 not L15	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	ADJ	OFF	2011/09/14 12:31
L22	181	I1 or I2 or I3 or I4	US-PGPUB; USPAT	OR	OFF	2011/09/14 12:31
L23	2	I22 and treprostinil	US-PGPUB; USPAT	OR	OFF	2011/09/14 12:31

# **EAST Search History (Interference)**

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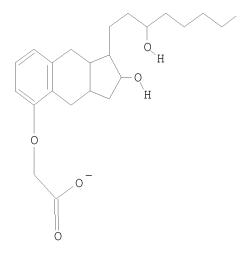
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YOU HAVE REQUESTED DATA FROM 7 ANSWERS - CONTINUE? Y/(N):n

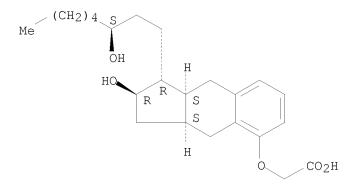
=> s 18 or 19 8 L8 OR L9 L10 => d l10 ibib abs hitstr 1-YOU HAVE REQUESTED DATA FROM 8 ANSWERS - CONTINUE? Y/(N):y L10 ANSWER 1 OF 8 CAPLUS COPYRIGHT 2011 ACS on STN ACCESSION NUMBER: 2010:1404690 CAPLUS DOCUMENT NUMBER: 153:627096 TITLE: Solid formulations of prostacyclin analogs Phares, Kenneth Robert INVENTOR(S): PATENT ASSIGNEE(S): United Therapeutics Corporation, USA SOURCE: PCT Int. Appl., 29pp. CODEN: PIXXD2 DOCUMENT TYPE: Patent English LANGUAGE: FAMILY ACC. NUM. COUNT: 1 PATENT INFORMATION: PATENT NO. KIND DATE APPLICATION NO. \_\_\_\_\_ \_\_\_\_ \_\_\_\_\_ WO 2010129757 20101111 WO 2010-US33852 20100506 A1 W: AE, AG, AL, AM, AO, AT, AU, AZ, BA, BB, BG, BH, BR, BW, BY, BZ, MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PE, PG, PH, PL, PT, RO, RS, RU, SC, SD, SE, SG, SK, SL, SM, ST, SV, SY, TH, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW RW: AL, AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LT, LU, LV, MC, MK, MT, NL, NO, PL, PT, RO, SE, SI, SK, SM, TR, BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG, BW, GH, GM, KE, LR, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW, AM, AZ, BY, KG, KZ, MD, RU, TJ, TM US 20100282622 A1 20101111 US 2010-775102 20100506 P 20090507 PRIORITY APPLN. INFO.: US 2009-176268P ASSIGNMENT HISTORY FOR US PATENT AVAILABLE IN LSUS DISPLAY FORMAT OTHER SOURCE(S): MARPAT 153:627096 Moderate moisture levels, such as greater than 3% but no greater than 7%, may be beneficial for solid formulations of certain prostacyclin analogs. Accordingly, a solid formulation containing a prostacyclin analog may be packaged inside a pharmaceutical packaging with such amount of a desiccant or a drying agent that after the storage the solid formulation may have a moderate level of moisture in it. Stability of treprostinil diethanolamine tablets at  $40^{\circ}$  temperature and 75% relative humidity was studied. 81846-19-7D, Treprostinil, enantiomers 830354-48-8, ΙT Treprostinil diethanolamine RL: THU (Therapeutic use); BIOL (Biological study); USES (Uses) (solid formulations of prostacyclin analogs) 81846-19-7 CAPLUS RN

Acetic acid, 2-[(1R, 2R, 3aS, 9aS)-2, 3, 3a, 4, 9, 9a-hexahydro-2-hydroxy-1-[(3S)-

3-hydroxyoctyl]-1H-benz[f]inden-5-yl]oxy]- (CA INDEX NAME)

Absolute stereochemistry. Rotation (-).

CN



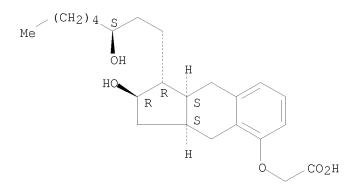
RN 830354-48-8 CAPLUS

CN Acetic acid, 2-[[(1R,2R,3aS,9aS)-2,3,3a,4,9,9a-hexahydro-2-hydroxy-1-[(3S)-3-hydroxyoctyl]-1H-benz[f]inden-5-yl]oxy]-, compd. with 2,2'-iminobis[ethanol] (1:1) (CA INDEX NAME)

CM 1

CRN 81846-19-7 CMF C23 H34 O5

Absolute stereochemistry. Rotation (-).



CM 2

CRN 111-42-2 CMF C4 H11 N O2

 ${\tt HO-CH_2-CH_2-NH-CH_2-CH_2-OH}$ 

REFERENCE COUNT: 5 THERE ARE 5 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L10 ANSWER 2 OF 8 CAPLUS COPYRIGHT 2011 ACS on STN

ACCESSION NUMBER: 2010:923071 CAPLUS

DOCUMENT NUMBER: 154:173338

TITLE: Lack of a pharmacokinetic interaction between oral treprostinil and bosentan in healthy adult volunteers

AUTHOR(S): Gotzkowsky, S. Karl; Dingemanse, Jasper; Lai, Allen;

Mottola, David; Laliberte, Kevin

CORPORATE SOURCE: United Therapeutics Corporation, Research Triangle

Park, NC, USA

SOURCE: Journal of Clinical Pharmacology (2010), 50(7),

829-834

CODEN: JCPCBR; ISSN: 0091-2700

PUBLISHER: Sage Publications

DOCUMENT TYPE: Journal LANGUAGE: English

Treprostinil diethanolamine is an oral prostacyclin analog currently AB being evaluated for the treatment of pulmonary arterial hypertension (PAH). Treprostinil is metabolized primarily by cytochrome P 450 (CYP) 2C8 with minor contribution from CYP2C9. It is expected that oral treprostinil will be administered with bosentan, approved for the treatment of PAH and known to induce CYP2C9 and 3A4. This study evaluated whether a drug interaction exists between oral treprostinil, bosentan, and its active metabolite Ro 48-5033 during co-administration. Twenty-four participants were randomized in a 3-way crossover study to oral treprostinil 1 mg twice daily, bosentan 125 mg twice daily, and oral treprostinil 1 mg twice daily and bosentan 125 mg twice daily. Treprostinil geometric mean ratios (GMRs) (90% confidence interval [CIs]) for steady-state AUC0-12 and Cmax (combination/treprostinil) were 0.92  $(0.83, 1.\overline{03})$  and 0.96 (0.83, 1.11), resp., whereas bosentan GMRs (combination/bosentan) were 1.02 (0.95, 1.10) and 1.04 (0.94, 1.15), resp., and Ro 48-5033 GMRs were 0.99 (0.93, 1.06) and 1.03 (0.94, 1.13). In conclusion, because the GMR and 90% CI are within the equivalence interval of 0.8 to 1.25, co-administration of oral treprostinil and bosentan did not result in a pharmacokinetic interaction for either agent. 289480-64-4, Remodulin ΙT

TT 289480-64-4, Remodulin
RL: PKT (Pharmacokinetics); BIOL (Biological study)

(coadministration of oral Remodulin and Tracleer did not show pharmacokinetic interaction and was safe in healthy adult human)

RN 289480-64-4 CAPLUS

CN Acetic acid, 2-[[(1R,2R,3aS,9aS)-2,3,3a,4,9,9a-hexahydro-2-hydroxy-1-[(3S)-3-hydroxyoctyl]-1H-benz[f]inden-5-yl]oxy]-, sodium salt (1:1) (CA INDEX NAME)

Absolute stereochemistry. Rotation (-).

Na

REFERENCE COUNT: 13 THERE ARE 13 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L10 ANSWER 3 OF 8 CAPLUS COPYRIGHT 2011 ACS on STN ACCESSION NUMBER: 2009:1402641 CAPLUS

DOCUMENT NUMBER: 151:515307

TITLE: Preparation of treprostinil monohydrate for

pharmaceutical formulations

INVENTOR(S):
Walsh, David A.

PATENT ASSIGNEE(S): United Therapeutics Corporation, USA

SOURCE: PCT Int. Appl., 22pp.

CODEN: PIXXD2

DOCUMENT TYPE: Patent LANGUAGE: English

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

	PAT	CENT 1	NO.			KIND DATE				APPLICATION NO.							DATE				
	WO	2009	1370	 66		A1	_	2009	1112								20090507				
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			FΙ,	GB,	GD,	GE,	GH,	GM,	GT,	HN,	HR	₹, ]	HU,	ID,	IL,	IN,	IS,	JP,	KE,		
			KG,	KM,	KN,	KP,	KR,	KZ,	LA,	LC,	LK	ζ, :	LR,	LS,	LT,	LU,	LY,	MA,	MD,		
			ME,	MG,	MK,	MN,	MW,	MX,	MY,	MZ,	NA	À, ]	NG,	NI,	NO,	NZ,	OM,	PG,	PH,		
			PL,	PT,	RO,	RS,	RU,	SC,	SD,	SE,	SG	· ;	SK,	SL,	SM,	ST,	SV,	SY,	ТJ,		
			TM,	TN,	TR,	TT,	TZ,	UA,	UG,	US,	UZ	, ·	VC,	VN,	ZA,	ZM,	ZW	·	•		
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			ΙE,	IS,	IT,	LT,	LU,	LV,	MC,	MK,	MT	·, 1	NL,	NO,	PL,	PT,	RO,	SE,	SI,		
			SK,	TR,	BF,	ВJ,	CF,	CG,	CI,	CM,	GΑ	Α, (	GN,	GQ,	GW,	ML,	MR,	ΝE,	SN,		
			TD,	TG,	BW,	GH,	GM,	ΚE,	LS,	MW,	MZ	Z, ]	NA,	SD,	SL,	SZ,	TZ,	UG,	ZM,		
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	CA	2723	540			A1	CA 2009-2723540							20090507							
	US	2009	0281	189		A1		2009	1112	US 2009-437054							20090507				
	KR	2011	0107	53		A		2011	0207	KR 2010-7027068											
	EP	2300	408			A1		2011	0330		ΕP	20	09-	7430	53		2	0090	507		
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			SI,	SK,	TR,	AL,	BA,	RS													
	CN 102015613							2011	0413		CN	20	09-8	3011	6126		2	0090	507		
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PRIO		APP								US	20	08-5	5150	9P	P 20080508						
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ASSIGNMENT HISTORY FOR US PATENT AVAILABLE IN LSUS DISPLAY FORMAT

AB There is provided a stable monohydrate form of treprostinil and pharmaceutical formulation comprising the same, method of making and using the same. Treprostinil diethanolamine was dissolved in water and converted to the title compound. The monohydrate was more stable than the anhydrous form at ambient temps.

IT 81846-19-7P 1173918-57-4P

RL: PRP (Properties); SPN (Synthetic preparation); THU (Therapeutic use); BIOL (Biological study); PREP (Preparation); USES (Uses)

(preparation of treprostinil monohydrate for pharmaceutical formulations) RN 81846-19-7 CAPLUS

CN Acetic acid, 2-[[(1R,2R,3aS,9aS)-2,3,3a,4,9,9a-hexahydro-2-hydroxy-1-[(3S)-3-hydroxyoctyl]-1H-benz[f]inden-5-yl]oxy]- (CA INDEX NAME)

Absolute stereochemistry. Rotation (-).

RN 1173918-57-4 CAPLUS

CN Acetic acid, 2-[[(1R,2R,3aS,9aS)-2,3,3a,4,9,9a-hexahydro-2-hydroxy-1-[(3S)-3-hydroxyoctyl]-1H-benz[f]inden-5-yl]oxy]-, hydrate (1:1) (CA INDEX NAME)

Absolute stereochemistry. Rotation (-).

● H2O

IT 830354-48-8

RL: RCT (Reactant); RACT (Reactant or reagent)

(preparation of treprostinil monohydrate for pharmaceutical formulations)

RN 830354-48-8 CAPLUS

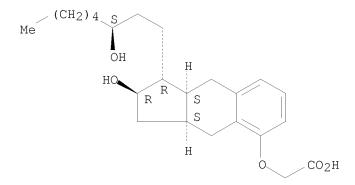
CN Acetic acid, 2-[[(1R,2R,3aS,9aS)-2,3,3a,4,9,9a-hexahydro-2-hydroxy-1-[(3S)-3-hydroxyoctyl]-1H-benz[f]inden-5-yl]oxy]-, compd. with 2,2'-iminobis[ethanol] (1:1) (CA INDEX NAME)

CM 1

CRN 81846-19-7

CMF C23 H34 O5

Absolute stereochemistry. Rotation (-).



CM 2

CRN 111-42-2 CMF C4 H11 N O2

HO-CH2-CH2-NH-CH2-CH2-OH

REFERENCE COUNT: 2 THERE ARE 2 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L10 ANSWER 4 OF 8 CAPLUS COPYRIGHT 2011 ACS on STN

ACCESSION NUMBER: 2009:1263383 CAPLUS

DOCUMENT NUMBER: 151:440606

TITLE: Pharmaceutically active compounds with novel medical

uses and method of identifying such compounds

INVENTOR(S): Kuhn, Michael; Campillos, Monica; Bork, Peer; Jensen,

Lars Juhl; Gavin, Anne-Claude; Petsalaki, Evangelia;

Garcia Urdales, Eduardo; Russel, Rob

PATENT ASSIGNEE(S): European Molecular Biology Laboratory (EMBL), Germany

SOURCE: PCT Int. Appl., 161pp.

CODEN: PIXXD2

DOCUMENT TYPE: Patent LANGUAGE: English

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

P.	ATENT	NO.			KIN	D	DATE			APPL	ICAT	DATE					
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		FΙ,	GB,	GD,	GE,	GH,	GM,	GT,	HN,	HR,	HU,	ID,	IL,	IN,	IS,	JP,	KE,
		KG,	KM,	KN,	KP,	KR,	KΖ,	LA,	LC,	LK,	LR,	LS,	LT,	LU,	LY,	MA,	MD,
		ME,	MG,	MK,	MN,	MW,	MX,	MY,	MZ,	NA,	NG,	NI,	NO,	NZ,	OM,	PG,	PH,
		PL,	PT,	RO,	RS,	RU,	SC,	SD,	SE,	SG,	SK,	SL,	SM,	ST,	SV,	SY,	ТJ,
		TM,	TN,	TR,	TT,	ΤZ,	UA,	UG,	US,	UZ,	VC,	VN,	ZA,	ZM,	ZW		
	RW:	ΑT,	ΒE,	ВG,	CH,	CY,	CZ,	DE,	DK,	EE,	ES,	FI,	FR,	GB,	GR,	HR,	HU,
		ΙE,	IS,	ΙT,	LT,	LU,	LV,	MC,	MK,	MT,	NL,	NO,	PL,	PT,	RO,	SE,	SI,
		SK,	TR,	BF,	ВJ,	CF,	CG,	CI,	CM,	GA,	GN,	GQ,	GW,	ML,	MR,	NE,	SN,
		TD,	ΤG,	BW,	GH,	GM,	KΕ,	LS,	MW,	MZ,	NA,	SD,	SL,	SZ,	TZ,	UG,	ZM,
		ZW,	ΑM,	ΑZ,	BY,	KG,	KΖ,	MD,	RU,	ΤJ,	TM						
PRIORI	TY APP	LN.	INFO	.:					US 2008-43292P						P 20080408		
OTHER	SOURCE	(S):			MAR:	MARPAT 151:440606											

OTHER SOURCE(S): MARPAT 151:440606AB The invention provides a method for identifying a novel medical indication

of a pharmaceutically active compound The invention further provides novel medical indications for several pharmaceutically active compds. Specifically, compds. are provided for the prevention and treatment of a disease or disorder treatable with a serotonin-norepinephrine reuptake inhibitor (SNRI), a serotonin receptor antagonist, an estazolam, a dopamine receptor antagonist, a dopamine receptor agonist, an L-type calcium channel blocker, a selective estrogen receptor modulator (SERM), and an antihistamine. Also provided is a pharmaceutically active compound for the prevention or treatment of tachycardia.

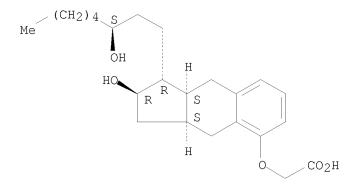
IT 81846-19-7, Treprostinil

RL: ADV (Adverse effect, including toxicity); PAC (Pharmacological activity); THU (Therapeutic use); BIOL (Biological study); USES (Uses) (pharmaceutically active compds. with novel medical uses and method of identifying such compds.)

RN 81846-19-7 CAPLUS

CN Acetic acid, 2-[[(1R,2R,3aS,9aS)-2,3,3a,4,9,9a-hexahydro-2-hydroxy-1-[(3S)-3-hydroxyoctyl]-1H-benz[f]inden-5-yl]oxy]- (CA INDEX NAME)

Absolute stereochemistry. Rotation (-).



REFERENCE COUNT: 1 THERE ARE 1 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L10 ANSWER 5 OF 8 CAPLUS COPYRIGHT 2011 ACS on STN

ACCESSION NUMBER: 2009:767183 CAPLUS

DOCUMENT NUMBER: 151:86694

TITLE: An improved process to prepare treprostinil

INVENTOR(S): Batra, Hitesh; Tuladhar, Sudersan M.; Penmasta, Raju;

Walsh, David A.

PATENT ASSIGNEE(S): United Therapeutics Corporation, USA

SOURCE: PCT Int. Appl., 30pp.

CODEN: PIXXD2

DOCUMENT TYPE: Patent LANGUAGE: English

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

PATENT	NO.			KIN	D	DATE			APPL	ICAT	DATE					
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WO 2009	A1 20090625					WO 2	008-	20081212								
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	FI, GB, G		GD,	GE,	GH,	GM,	GT,	HN,	HR,	HU,	ID,	IL,	IN,	IS,	JP,	KΕ,
	KG,	KM,	KN,	KP,	KR,	KΖ,	LA,	LC,	LK,	LR,	LS,	LT,	LU,	LY,	MA,	MD,
	ME,	MG,	MK,	MN,	MW,	MX,	MY,	MZ,	NA,	NG,	NI,	NO,	NZ,	OM,	PG,	PH,
	PL,	PT,	RO,	RS,	RU,	SC,	SD,	SE,	SG,	SK,	SL,	SM,	ST,	SV,	SY,	ТJ,
	TM,	TN,	TR,	TT,	TZ,	UA,	UG,	US,	UZ,	VC,	VN,	ZA,	ZM,	ZW		

RW: AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LT, LU, LV, MC, MT, NL, NO, PL, PT, RO, SE, SI, SK, TR, BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG, BW, GH, GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW, AM, AZ, BY, KG, KZ, MD, RU, TJ, TM CA 2710205 20090625 CA 2008-2710205 Α1 20081212 KR 2010105852 Α 20100930 KR 2010-7015955 20081212 EP 2252570 Α1 20101124 EP 2008-861602 20081212 AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LI, LT, LU, LV, MC, MT, NL, NO, PL, PT, RO, SE, SI, SK, TR, AL, BA, MK, RS Τ 20110303 JP 2010-539440 JP 2011506599 20081212 US 20090163738 A1 20090625 US 2008-334731 20081215 IN 2010CN03640 Α 20101015 IN 2010-CN3640 20100615 CN 101903324 20101201 CN 2008-80121181 Α 20100617 PRIORITY APPLN. INFO.: US 2007-14232P Р 20071217 WO 2008-US13686 20081212 W

ASSIGNMENT HISTORY FOR US PATENT AVAILABLE IN LSUS DISPLAY FORMAT OTHER SOURCE(S): MARPAT 151:86694

AB This present invention relates to an improved process to prepare prostacyclin derivs. One embodiment provides for an improved process to convert a benzindene triol to treprostinil via salts of treprostinil and to purify treprostinil.

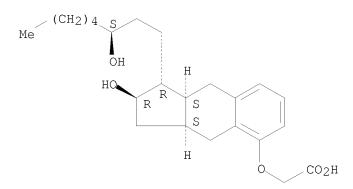
IT 81846-19-7P

RL: PRP (Properties); SPN (Synthetic preparation); THU (Therapeutic use); BIOL (Biological study); PREP (Preparation); USES (Uses) (improved process to prepare treprostinil)

RN 81846-19-7 CAPLUS

CN Acetic acid, 2-[[(1R,2R,3aS,9aS)-2,3,3a,4,9,9a-hexahydro-2-hydroxy-1-[(3S)-3-hydroxyoctyl]-1H-benz[f]inden-5-yl]oxy]- (CA INDEX NAME)

Absolute stereochemistry. Rotation (-).



REFERENCE COUNT: 4 THERE ARE 4 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L10 ANSWER 6 OF 8 CAPLUS COPYRIGHT 2011 ACS on STN

ACCESSION NUMBER: 2009:244077 CAPLUS

DOCUMENT NUMBER: 150:382562

TITLE: Crystallization Process Development for a Stable

Polymorph of Treprostinil Diethanolamine (UT-15C) by

Seeding

AUTHOR(S): Batra, Hitesh; Penmasta, Raju; Phares, Kenneth;

Staszewski, James; Tuladhar, Sudersan M.; Walsh, David

Α.

CORPORATE SOURCE: Research and Development Department, United

Therapeutics Corporation, Silver Spring, MD, 20910,

HSA

SOURCE: Organic Process Research

& Development (2009), 13(2),

242 - 249

CODEN: OPRDFK; ISSN: 1083-6160

PUBLISHER: American Chemical Society

DOCUMENT TYPE: Journal LANGUAGE: English

Process development of treprostinil diethanolamine salt (UT-15C) involved the development of crystallization and slurry protocols to address the polymorph and morphol. control issues. Two forms of UT-15C were evaluated by differential scanning calorimetry (DSC), X-ray powder diffraction (XRPD) and thermogravimetric anal. (TGA). Two crystallization solvent systems were developed to produce the thermodynamically stable form in high quality and yield. One solvent system gave dense particles while the other gave lighter and fly-away particles. Slurrying the lighter particles in heptane converted them to denser particles. The protocol was executed successfully on large-scale cGMP batches.

TΤ 830354-48-8

AΒ

RL: PRP (Properties); THU (Therapeutic use); BIOL (Biological study); USES (Uses)

(crystallization process development for a stable polymorph of treprostinil diethanolamine (UT-15C) by seeding)

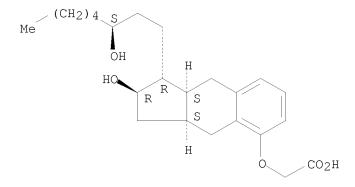
RN

830354-48-8 CAPLUS Acetic acid, 2-[[(1R,2R,3aS,9aS)-2,3,3a,4,9,9a-hexahydro-2-hydroxy-1-[(3S)-CN 3-hydroxyoctyl]-1H-benz[f]inden-5-yl]oxy]-, compd. with 2,2'-iminobis[ethanol] (1:1) (CA INDEX NAME)

CM 1

CRN 81846-19-7 C23 H34 O5 CMF

Absolute stereochemistry. Rotation (-).



CM 2

CRN 111-42-2 CMF C4 H11 N O2

HO-CH2-CH2-NH-CH2-CH2-OH

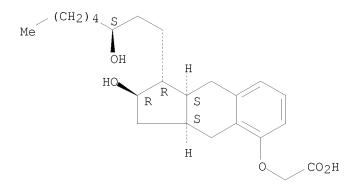
34 REFERENCE COUNT: THERE ARE 34 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

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L10 ANSWER 7 OF 8 CAPLUS COPYRIGHT 2011 ACS on STN
ACCESSION NUMBER:
                                                   2007:1242874 CAPLUS
                                                   147:491667
DOCUMENT NUMBER:
TITLE:
                                                   Osmotic drug delivery system comprising prostacylin
                                                   Kidane, Argaw; Bhatt, Padmanabh P.
INVENTOR(S):
PATENT ASSIGNEE(S):
                                                   Spernus Pharmaceuticals, Inc., USA
SOURCE:
                                                   U.S. Pat. Appl. Publ., 16 pp.
                                                   CODEN: USXXCO
DOCUMENT TYPE:
                                                   Patent
LANGUAGE:
                                                   English
FAMILY ACC. NUM. COUNT:
PATENT INFORMATION:
                                                                                     APPLICATION NO.
          PATENT NO.
                                          KIND DATE
                                                                                                                                       DATE
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          US 20070254032
                                                  A1
                                                                 20071101
                                                                                       US 2006-412100
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                                                  A3
          WO 2007127216
                                                               20071227

W: AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BH, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IS, JP, KE, KG, KM, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LT, LU, LY, MA, MD, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RS, RU, SC, SD, SE, SG, SK, SL, SM, SV, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW
RW: AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IS, IT, LT, LU, LV, MC, MT, NL, PL, PT, RO, SE, SI, SK, TR, BF,

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                           BY, KG, KZ, MD, RU, TJ, TM, AP, EA, EP, OA
                                                                20090107 EP 2007-755989
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PRIORITY APPLN. INFO.:
                                                                                          US 2006-412100
                                                                                                                                 A 20060427
                                                                                                                             W 20070426
                                                                                          WO 2007-US9969
ASSIGNMENT HISTORY FOR US PATENT AVAILABLE IN LSUS DISPLAY FORMAT
AΒ
          This invention relates to an oral osmotic pharmaceutical delivery system
          comprises a highly water-soluble drug exhibiting an erratic or an incomplete
          release profile when formulated in a elementary osmotic pump delivery
          system and at least one release enhancing agent. Thus, osmotic tablet was
          prepared comprising treprostinil diethanolamine 0.65%, xylitol 41.0%,
          Maltrin M150 (wet) 1.4%, Maltrin M150 (dry) 48.20%, sodium lauryl sulfate
          5.0%, and meglumine 3.0%.
ΙT
          81846-19-7D, Treprostinil, derivative 830354-48-8
          RL: PKT (Pharmacokinetics); PRP (Properties); THU (Therapeutic use); BIOL
           (Biological study); USES (Uses)
                 (osmotic drug delivery system comprising prostacylin)
          81846-19-7 CAPLUS
RN
          Acetic acid, 2-[(1R, 2R, 3aS, 9aS)-2, 3, 3a, 4, 9, 9a-hexahydro-2-hydroxy-1-[(3S)-2, 3a, 4, 9, 9a-hexahydro-2-hydroxy-1-[(3S)-2, 3a, 4, 9, 9a-hexahydro-2-hydroxy-1-[(3S)-2, 3a, 4, 9a, 4
          3-hydroxyoctyl]-1H-benz[f]inden-5-yl]oxy]- (CA INDEX NAME)
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Absolute stereochemistry. Rotation (-).



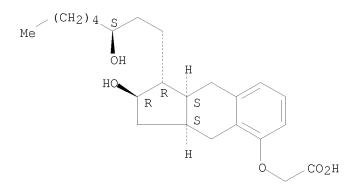
RN 830354-48-8 CAPLUS

CN Acetic acid, 2-[[(1R,2R,3aS,9aS)-2,3,3a,4,9,9a-hexahydro-2-hydroxy-1-[(3S)-3-hydroxyoctyl]-1H-benz[f]inden-5-yl]oxy]-, compd. with 2,2'-iminobis[ethanol] (1:1) (CA INDEX NAME)

CM 1

CRN 81846-19-7 CMF C23 H34 O5

Absolute stereochemistry. Rotation (-).



CM 2

CRN 111-42-2 CMF C4 H11 N O2

 ${\tt HO-CH_2-CH_2-NH-CH_2-CH_2-OH}$ 

L10 ANSWER 8 OF 8 CAPLUS COPYRIGHT 2011 ACS on STN

ACCESSION NUMBER: 2005:76235 CAPLUS

DOCUMENT NUMBER: 142:170431

TITLE: Compounds and methods for delivery of prostacyclin

analogs

INVENTOR(S): Phares, Ken; Mottola, David

PATENT ASSIGNEE(S): United Therapeutics Corporation, USA

SOURCE: PCT Int. Appl., 122 pp.

CODEN: PIXXD2

DOCUMENT TYPE: Patent

English

LANGUAGE: En FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

PA.	TENT	NO.			KIND DATE					APPLICATION NO.							DATE		
	2005 2005				A2 20050127 A3 20050414					WO	20	004-t			20040	524			
	W:	ΑE,	AG,	AL,	AM,	ΑT,	AU,	AZ,	BA,	BE	3,	BG,	BR,	BW,	BY,	ΒZ	, CA,	CH,	
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ASSIGNMENT HISTORY FOR US PATENT AVAILABLE IN LSUS DISPLAY FORMAT OTHER SOURCE(S): MARPAT 142:170431

GI

This invention pertains generally to prostacyclin analogs and methods for AΒ their use in promoting vasodilation, inhibiting platelet aggregation and thrombus formation, stimulating thrombolysis, inhibiting cell proliferation (including vascular remodeling), providing cytoprotection, preventing atherogenesis and inducing angiogenesis. The present compds. can be used to treat pulmonary hypertension. Generally, the compds. and methods of the present invention increase the oral bioavailability and circulating concns. of treprostinil when administered orally. Compds. of the present invention have formula (I) wherein, R1 is independently selected from the group consisting of H, substituted and unsubstituted benzyl groups, and groups wherein OR are substituted or unsubstituted glycolamide esters; R2 and R3 may be the same or different and are independently selected from the group consisting of H, phosphate and groups wherein OR2 and OR3 form esters of amino acids or proteins, with the proviso that all of R1, R2 and R3 are not H. The present methods can also comprise administering pharmaceutically effective amount of a p-glycoprotein inhibitor with the prostacyclin analogs.

Ι

IT 81846-19-7, Treprostinil
RL: BSU (Biological study, unclassified); PKT (Pharmacokinetics); RCT (Reactant); BIOL (Biological study); RACT (Reactant or reagent)

(compds. and methods for delivery of prostacyclin analogs for treatment of diseases such as pulmonary hypertension together with p-glycoprotein inhibitors)

RN 81846-19-7 CAPLUS

CN Acetic acid, 2-[[(1R,2R,3aS,9aS)-2,3,3a,4,9,9a-hexahydro-2-hydroxy-1-[(3S)-3-hydroxyoctyl]-1H-benz[f]inden-5-yl]oxy]- (CA INDEX NAME)

Absolute stereochemistry. Rotation (-).

IT 830354-48-8

RL: PAC (Pharmacological activity); PKT (Pharmacokinetics); PRP

(Properties); THU (Therapeutic use); BIOL (Biological study); USES (Uses) (compds. and methods for delivery of prostacyclin analogs for treatment of diseases such as pulmonary hypertension together with p-glycoprotein inhibitors)

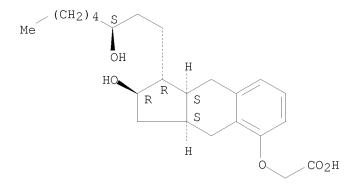
RN 830354-48-8 CAPLUS

CN Acetic acid, 2-[[(1R,2R,3aS,9aS)-2,3,3a,4,9,9a-hexahydro-2-hydroxy-1-[(3S)-3-hydroxyoctyl]-1H-benz[f]inden-5-yl]oxy]-, compd. with 2,2'-iminobis[ethanol] (1:1) (CA INDEX NAME)

CM 1

CRN 81846-19-7 CMF C23 H34 O5

Absolute stereochemistry. Rotation (-).



CM 2

CRN 111-42-2 CMF C4 H11 N O2

 ${\tt HO-CH_2-CH_2-NH-CH_2-CH_2-OH}$ 

OS.CITING REF COUNT: 3 THERE ARE 3 CAPLUS RECORDS THAT CITE THIS RECORD

(5 CITINGS)

REFERENCE COUNT: 1 THERE ARE 1 CITED REFERENCES AVAILABLE FOR THIS

RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

# Search Notes

Application/Control No.	Applicant(s)/Patent Under Reexamination
12334731	BATRA ET AL.
Examiner	Art Unit
Yevgeny Valenrod	1621

	SEARCHED		
Class	Subclass	Date	Examiner

SEARCH NOTES							
Search Notes	Date	Examiner					
STN search	9/14/2011	YV					
EAST search	9/14/2011	YV					
inventor search	9/14/2011	YV					
reviewed search report in counterpart PCT application	9/14/2011	YV					

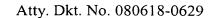
	INTERFERENCE SEARCH		
Class	Subclass	Date	Examiner

/YEVEGENY VALENROD/ Examiner.Art Unit 1621	

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Index of Claims	12334731	BATRA ET AL.
	Examiner	Art Unit
	YEVEGENY VALENROD	1621

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		23	✓									

U.S. Patent and Trademark Office Part of Paper No.: 20110914





## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Hitesh BATRA et al.

Title:

AN IMPROVED PROCESS TO PREPARE TREPROSTINIL, THE

ACTIVE INGREDIENT IN REMODULIN®

Appl. No.:

12/334,731

Filing Date:

12/15/2008

Examiner:

Yevgeny Valenrod

Art Unit:

1621

Conf. No.:

8804

# INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.56

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56.

A copy of each non-U.S. patent document and each non-patent document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

10/13/2011 CCHAU1

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# TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(c), before the mailing date of any of a final action under 37 CFR §1.113, a notice of allowance under 37 CFR §1.311, or an action that otherwise closes prosecution in the application.

# RELEVANCE OF EACH DOCUMENT

Any document listed on the attached PTO/SB/08 was cited as being relevant during the prosecution of the International Application No. PCT/US2011/38946, a copy of which is submitted herewith. An English-language abstract of foreign-language Document C4 is provided.

Applicants respectfully request that each listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

## FEE

A credit card payment form in the amount of \$180.00 is enclosed to cover the fee associated with an information disclosure statement under 37 CFR §1.97(c).

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this submission under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741.

Respectfully submitted,

OCT 12 2011 Date

FOLEY & LARDNER LLP

Customer Number: 22428 Telephone:

(202) 295-4632

Facsimile:

(202) 672-5399

Alexey V. Saprigin

Attorney for Applicant Registration No. 56,439

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid

CIVID COI	itioi number.					
	· Substitute for fo	rm 14	49/PTO		Complete if Known	70.40
	INFORMATION	DISC	LOSURE	Application Number	12/334,731	至
	STATEMENT BY	Y API	PLICANT	Filing Date	12/15/2008	- OCT 1 2 2011 ដ
Date Submitted: October 12, 2011			or 12 2011	First Named Inventor	Hitesh BATRA	
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	(use as many shee	ts as	necessary)	Examiner Name	Yevgeny Valeni	000 max 000
Sheet	1	of	1	Attorney Docket Number	080618-0629	TOEMA!

U.S. PATENT DOCUMENTS								
Cite	Document Number	Publication Date	Name of Patentee or Applicant of	Pages, Columns, Lines, Where Relevant				
No.1	Number-Kind Code <sup>2</sup> (if known)	MM-DD-YYYY	Cited Document	Passages or Relevant Figures Appear				
C1	2004/0176645 A1	09/09/2004	Moriarty et al.					
C2	4,424,376 A	01/03/1984	Moniot et al.					
C3	4,463,183 A	07/31/1984	Haslanger, Martin F.					
	C1 C2	Cite No.1 Number-Kind Code <sup>2</sup> (if known)  C1 2004/0176645 A1  C2 4,424,376 A	Cite No.1         Document Number         Publication Date MM-DD-YYYY           C1         2004/0176645 A1         09/09/2004           C2         4,424,376 A         01/03/1984	Cite No.1         Document Number         Publication Date MM-DD-YYYY         Name of Patentee or Applicant of Cited Document           C1         2004/0176645 A1         09/09/2004         Moriarty et al.           C2         4,424,376 A         01/03/1984         Moniot et al.				

		FOREIGN PATENT	DOCUMENTS		
Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> -Number <sup>4-</sup> Kind Code <sup>5</sup> ( <i>if known</i> )	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Documents	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
C4	EP 0 004 335 A2	10/03/1979	Hoechst AG		Α
C5	WO 2009/117095 A1	09/24/2009	Arena Pharmaceuticals, Inc.		
The second second	No. <sup>1</sup>	No. <sup>1</sup> Country Code <sup>3</sup> -Number <sup>4</sup> - Kind Code <sup>5</sup> ( <i>if known</i> )  C4 EP 0 004 335 A2	Cite   Foreign Patent Document   Publication Date   MM-DD-YYYY	No. 1 Country Code 3 Number 4 MM-DD-YYYY Applicant of Cited Documents  C4 EP 0 004 335 A2 10/03/1979 Hoechst AG	Cite No. 1 Foreign Patent Document Country Code Number Kind Code (if known) Publication Date MM-DD-YYYY Number Relevant Figures Appear  Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear  Name of Patentee or Applicant of Cited Documents Passages or Relevant Figures Appear

·	NON PATENT LITERATURE DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>					

Examiner	Dat	e
Signature	Cor	nsidered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3), 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

# PATENT COOPERATION TREATY

## From the INTERNATIONAL SEARCHING AUTHORITY

To: STEPHEN B. MAEBIUS FOLEY & LARDNER LLP 3000 K STREET, NW SUITE 600 WASHINGTON, DC 20007	PCT  NOTIFICATION OF TRANSMITTAL OF THE INTERNATIONAL SEARCH REPORT AND THE WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY, OR THE DECLARATION		
	(PCT Rule 44.1)		
	Date of mailing (day/month/year) 1 6 SEP 2011		
Applicant's or agent's file reference 080618-0953	FOR FURTHER ACTION See paragraphs 1 and 4 below		
International application No. PCT/US 11/38946	International filing date (day/month/year) 02 June 2011 (02.06.2011)		
Applicant UNITED THERAPEUTICS CORPORATION			
1. The applicant is hereby notified that the international search report and the written opinion of the International Searchin, Authority have been established and are transmitted herewith.  Filing of amendments and statement under Article 19:  The applicant is entitled, if he so wishes, to amend the claims of the international application (see Rule 46):  When? The time limit for filing such amendments is normally two months from the date of transmittal of the international search report.  Where? Directly to the International Bureau of WIPO, 34 chemin des Colombettes  1211 Geneva 20, Switzerland, Facsimile No.: +41 22 338 82 70  For more detailed instructions, see PCT Applicant's Guide, International Phase, paragraphs 9.004 – 9.011.  2. The applicant is hereby notified that no international search report will be established and that the declaration unde Article 17(2)(a) to that effect and the written opinion of the International Searching Authority are transmitted herewith.  3. With regard to any protest against payment of (an) additional fee(s) under Rule 40.2, the applicant is notified that:  the protest together with the decision thereon has been transmitted to the International Bureau together with any request to forward the texts of both the protest and the decision thereon to the designated Offices.  no decision has been made yet on the protest; the applicant will be notified as soon as a decision is made.  4. Reminders  The applicant may submit comments on an informal basis on the written opinion of the International Searching Authority to the International Bureau. The International Bureau will send a copy of such comments to all designated Offices unless are international preliminary examination report has been or is to be established. Following the expiration of 30 months from the priority date, these comments will also be made available to the public.  Shortly after the expiration of 18 months from the priority date, the international application will be published by the International publication (Rul			
Name and mailing address of the ISA/ Mail Stop PCT, Ath: ISA/US	Authorized officer		
Commissioner for Patents P.O. Box 1450, Alexandria, Virginia 22313-1450 Facsimile No. 571-273-3201	Lee W. Young  PCT Helpdesk: 571-272-4300  Telephone No. PCT OSP: 571-272-7774		

Form PCT/ISA/220 (July 2010)

# PATENT COOPERATION TREATY

# **PCT**

# INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference 080618-0953	FOR FURTHER ACTION	as well	see Form PCT/ISA/220 as, where applicable, item 5 below.					
International application No.	International filing date (day/n	ionth/year)	(Earliest) Priority Date (day/month/year)					
PCT/US 11/38946	02 June 2011 (02.06.2011)		03 June 2010 (03.06.2010)					
Applicant UNITED THERAPEUTICS CORPORATIO								
This international search report has been prepared by this International Searching Authority and is transmitted to the applicant according to Article 18. A copy is being transmitted to the International Bureau.  This international search report consists of a total of sheets.								
i <u></u> 1	a copy of each prior art documen	t cited in this	report.					
1. Basis of the report	•							
a. With regard to the language, the	e international search was carried	out on the b	asis of:					
the international app	lication in the language in which	it was filed.						
	nternational application into ed for the purposes of internation	al search (Ru	which is the language of less 12.3(a) and 23.1(b)).					
b. This international search		ng into accou	ent the rectification of an obvious mistake					
c. With regard to any nucleo	tide and/or amino acid sequenc	e disclosed ir	the international application, see Box No. 1.					
2. Certain claims were foun	d unsearchable (see Box No. II)	).						
3. Unity of invention is lack	ing (see Box No. III).							
4. With regard to the title,								
the text is approved as sub	mitted by the applicant.							
the text has been established	ed by this Authority to read as fo	llows:						
		,						
5. With regard to the abstract,								
the text is approved as sub	mitted by the applicant.							
the text has been established, according to Rule 38.2, by this Authority as it appears in Box No. IV. The applicant may, within one month from the date of mailing of this international search report, submit comments to this Authority.								
6. With regard to the drawings,								
a. the figure of the drawings to be	published with the abstract is Fi	gure No						
as suggested by the	applicant.							
as selected by this A	uthority, because the applicant for	ailed to sugge	st a figure.					
as selected by this A	authority, because this figure bett	er characteriz	tes the invention.					
b. none of the figures is to be	published with the abstract.	<u></u> -						

Form PCT/ISA/210 (first sheet) (July 2009)

## INTERNATIONAL SEARCH REPORT

International application No.

				PC	CT/US 11/38946		
Box No. IV	Text of the abstract (	Continuation of iter	n 5 of the first sheet	)			
inducing agent addition reacti protects the at	a method is disclosed for preparing a synthetic intermediate for treprostinil via a stereoselective alkyne addition reaction using a chiral inducing agent. Also described are methods of preparing treprostinil or a pharmaceutically acceptable salt thereof comprising the alkyne iddition reaction as well as novel intermediates useful for synthesis prostacyclin derivatives. A functional alcohol protecting group protects the alcohol group from participating in reactions that are occurring in other parts of the molecule. The intermediate is later deprotected prior to conversion and hydrolyzing to obtain the final treprostinil product.						
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	,						

Form PCT/ISA/210 (continuation of first sheet (3)) (July 2009)

## INTERNATIONAL SEARCH REPORT

International application No.
PCT/US 11/38946

		<del> </del>				
IPC(8) - USPC -	IPC(8) - C07C 62/00, 65/00 (2011.01) USPC - 562/466					
	According to International Patent Classification (IPC) or to both national classification and IPC					
	DS SEARCHED	1 - 25 - 21 - 1 - 1 - 1				
USPC- 562/	ocumentation searched (classification system followed by 466	classification symbols)				
	ion searched other than minimum documentation to the ex 569, 571, 573 (see search terms below)	xtent that such documents are included in the	fields scarched			
PubWest (Ui search terms	ata base consulted during the international search (name of S Pat, PgPub, EPO, JPO), GoogleScholar (PL, NPL), Fs: treprostinil, prostacylcin, epoprostanol, PGI2, remodubledrin, tetrahydrofuranyl, stereoselec, benzyl, zinc	reePatentsOnline (US Pat, PgPub, EPO, Jf	PO, WIPO, NPL);			
C. DOCU	MENTS CONSIDERED TO BE RELEVANT					
Category*	Citation of document, with indication, where a	ppropriate, of the relevant passages	Relevant to claim No.			
X	US 2002/0173672 A1 (MORIARTY et al.) 21 November [0028], [0053], [0057], [0074]; pg 4-6	er 2002 (21.11.2002) para [0006]-[0012],	1-4, 10-19, 25-28			
Υ .	[0020], [0033], [0037], [0074], pg 4-0		7-9, 20-24, 29-30			
x	US 2004/0176645 A1 (MORIARTY et al.) 09 Septemb	er 2004 (09.09.2004), para [0006], [0027],	1, 5-6			
Y	[0032], [0047], [0048]		29-30			
Y	9 (24.09.2009) pg 2, ln 17 to pg 3, ln 24; 8	7-9, 20-24				
Y	US 4,463,183 A (HASLANGER) 31 July 1984 (31.07.1	1984) col 4-25	1-30			
Υ	US 4,424,376 A (MONIOT et al.) 03 January 1984 (03	.01.1984) col 2-10	1-30			
Y	EP 0 004 335 A2 (BARTMANN et al.) 14 March 1979	(14.03.1979) pg 1-25	1-30			
	r documents are listed in the continuation of Box C.					
"A" docume	categories of cited documents: nt defining the general state of the art which is not considered particular relevance	"T" later document published after the interredate and not in conflict with the application the principle or theory underlying the interpretation.	ation but cited to understand			
"E" earlier a	pplication or patent but published on or after the international	"X" document of particular relevance; the	laimed invention cannot be			
"L" docume cited to	"Illing date considered novel or cannot be considered to involve an involve an involve and comment which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other cited to establish the publication date of another citation or other cited to establish the publication date of another citation or other cited to establish the publication date of another citation or other cited to establish the publication date of another citation or other cited to establish the publication date of another cited to establish the publication date of another citation or other cited to establish the publication date of another cited to establish the publication date of another cited to establish the considered to involve an involve and the considered to establish the considered to establish the cited to					
special	special reason (as specified)  considered to involve an inventive step when the document of combined with one or more other such documents, such combination or other combined with one or more other such documents, such combination or other combined with one or more other such documents.					
means  P" document published prior to the international filing date but later than "&" document member of the same patent family the priority date claimed						
Date of the a	ctual completion of the international search	Date of mailing of the international search	h report			
06 Septembe	er 2011 (06.09.2011)	1 6 SEP 2011				
Name and m	ailing address of the ISA/US	Authorized officer:	<del></del>			
	Γ, Attn: ISA/US, Commissioner for Patents 0, Alexandria, Virginia 22313-1450	Lee W. Young				
	D- 571-273-3201	PCT Helpdesk: 571-272-4300 PCT OSP: 571-272-7774				

Form PCT/ISA/210 (second sheet) (July 2009)

# PATENT COOPERATION TREATY

From the
INTERNATIONAL SEARCHING AUTHORITY

To: STEPHEN B. MAEBIUS
FOLEY & LARDNER LLP
3000 K STREET, NW
SUITE 600
WASHINGTON, DC 20007

# **PCT**

# WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

			(PCT Rule 43 <i>bis</i> .1)
		Date of mailing (day/month/year)	1 6 SEP 2011
Applicant's or agent's file reference		FOR FURTHER A	ACTION
080618-0953	T		See paragraph 2 below
International application No.	International filing date		Priority date (day/month/year)
PCT/US 11/38946	02 June 2011 (02.0		03 June 2010 (03.06.2010)
International Patent Classification (IPC) of IPC(8) - C07C 62/00, 65/00 (2010 USPC - 562/466  Applicant UNITED THERAPEUTIC	1.01)	ttion and IPC	
1. This opinion contains indications rela	ating to the following iter	ms:	
Box No. I Basis of the op	inion		
Box No. II Priority			
Box No. III Non-establishn	nent of opinion with rega	rd to novelty, inventiv	e step and industrial applicability
Box No. IV Lack of unity of			
Box No. V Reasoned states citations and ex	ment under Rule 43bis.1( eplanations supporting su	a)(i) with regard to not	velty, inventive step or industrial applicability;
Box No. VI Certain docume	ents cited		
Box No. VII Certain defects	in the international appl	ication	
Box No. VIII Certain observa	ations on the internationa	l application	
other than this one to be the IPEA and opinions of this International Searchin If this opinion is, as provided above, or the search of the sea	Authority ("IPEA") exceed the chosen IPEA has not not be considered to be a written priate, with amendments, a of 22 months from the principle.	ept that this does not a posified the Internationa so considered. In opinion of the IPEA, It before the expiration	considered to be a written opinion of the pply where the applicant chooses an Authority II Bureau under Rule 66.1 bis(b) that written the applicant is invited to submit to the IPEA of 3 months from the date of mailing of Fomer expires later.
Name and mailing address of the ISA/US Mail Stop PCT, Attn: ISA/US	Date of completion of t	his opinion	Authorized officer:

Mail Stop PCT, Attn: ISA/US Commissioner for Patents P.O. Box 1450, Alexandria, Virginia 22313-1450

Lee W. Young

P.O. Box 1450, Alexandria, Virginia 22313-1450 Facsimile No. 571-273-3201

06 September 2011 (06.09.2011)

PCT Helpdesk: 571-272-4300 PCT OSP: 571-272-7774

Form PCT/ISA/237 (cover sheet) (July 2011)

# WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

International application No. PCT/US 11/38946

Box	No. I	Basis of this opinion
1.	With re	egard to the language, this opinion has been established on the basis of:
	$\boxtimes$	the international application in the language in which it was filed.
		a translation of the international application into which is the language of a translation furnished for the purposes of international search (Rules 12.3(a) and 23.1(b)).
2.		This opinion has been established taking into account the ectification of an obvious mistake authorized by or notified to this Authority under Rule 91 (Rule 43 bis.1(a))
3.		egard to any nucleotide and/or amino acid sequence disclosed in the international application, this opinion has been shed on the basis of a sequence listing filed or furnished:
	a. (m	eans)
		on paper
		in electronic form
	b. (tin	ne)
		in the international application as filed
		together with the international application in electronic form
		subsequently to this Authority for the purposes of search
5.	Additio	In addition, in the case that more than one version or copy of a sequence listing has been filed or furnished, the required statements that the information in the subsequent or additional copies is identical to that in the application as filed or does not go beyond the application as filed, as appropriate, were furnished.  In addition, in the case that more than one version or copy of a sequence listing has been filed or furnished, as filed or does not go beyond the application as filed or does not go beyond the application as filed, as appropriate, were furnished.

# WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

International application No.

PCT/US 11/38946

Box No. V Reasoned statement under Rule 43bis.1(a)(i) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement Statement Novelty (N) Claims 7-9, 20-24, 29-30 YES Claims 1-6, 10-19, 25-28 NO none Claims Inventive step (IS) YES 1-30 Claims NO Industrial applicability (IA) Claims 1-30 YES none Claims NO Citations and explanations: Claims 1-4, 10-19 and 25-28 lack novelty under PCT Article 33(2) as anticipated by US 2002/0173672 A1 to Moriarty et al. (hereinafter 'Moriarty '672'). Regarding Claims 1 and 10, Moriarty '672 discloses a method of preparing a compound represented by the following structural Formula (A) (para [0006]; pg 4, see intermediary 5): P1 is an alcohol protecting group (para [0006], [0012], and [0053], see OR1, wherein R1 is RBDMS or THP); R is -(CH2)nX; X is H, phenyl, -CN, -OR1 or COOR1; R1 is an alkyl, THP, TBDMS or a unsubstituted or substituted benzyl group; and n is 1,2 or 3 (para [0006]-[0010], see Z(CH2)nX, such that Z is O, R is -(CH2)nX, wherein X is OR9, n is 1, 2, or 3, and R9 is THP or TBDMS). Regarding Claim 2, Moriarty '672 further discloses that R is (CH2)nCOOR1, wherein R1 is an alkyl (para [0006], [0008], and [0009], see Z(CH2)nX, such that Z is O, R is -(CH2)nX, wherein X is OR9, n is 1, 2, or 3, and R9 is THP or TBDMS) or a unsubstituted or substituted benzyl group. Regarding Claim 3, Moriarty '672 further discloses that R1 is C1-C5 alkyl (para [0010]). Regarding Claim 4, Moriarty '672 further discloses that R1 is benzyl (para [0008], see aryl.). Regarding Claim 11, Moriarty '672 further discloses: (1) reacting the compound of structural formula (A) with an alcohol protecting group to form a compound represented by structural formula (II) (pg 4-6); (2) converting the compound of structural formula (II) to a tricyclic compound represented by structural formula (III) (pg 4-5); (3) hydrogenating the tricyclic compound of structural formula (III) to form a hydrogenated tricyclic compound represented by structural formula (IV) (pg 4-6, See intermediary step 8); (4) reacting the compound of structural formula (IV) with a reducing agent to form a compound represented by structural formula (V) (pg 4 (5) deprotecting the compound of structural represented by structural formula (VI) (pg 4-6); (6) converting the compound represented by structural formula (VI) to a compound represented by structural formula (VII) (pg 4-6); (7) reacting the compound represented by structural formula (VII) with X1(CH2)mCN to form a compound represented by structural formula (VIII) (pg 4-6, See intermediary step 9); (8) hydrolyzing the compound of Structural Formula (VIII) to form the compound represented by Structural Formula (IX), wherein (pg 4-6). P2 is an alcohol protecting group (para [0007] and 0012]); m is 1,2 or 3 (para [0007]-[0009]); and X1 is a leaving group (pg 6, see intermediary steps 15 to 16). Regarding Claim 12, Moriarty '672 further discloses that R is (CH2)mCO2R1, wherein R1 is an alkyl (para [0006], [0008], and [0009], See Z(CH2)nX, such that Z is O, R is -(CH2)nX, wherein X is OR9, n is 1, 2, or 3, and R9 is THP or TBDMS) or a substituted or unsubstituted benzyl group. Regarding Claim 13, Moriarty '672 further discloses: (a) reacting the compound of structural formula (A) with a second alcohol protecting group to form a compound represented by structural formula (4) (pg 4-5; para [0006]-[0012] and [0053]); and (b) converting the compound of structural formula (4) to a tricyclic compound 10 represented by structural formula (5) (pg 4-5; para [0006]-[0012] and [0053]). Regarding Claim 14, Moriarty '672 further discloses that P2 is tert-butyldimethylsilyl (TBDMS) (para [0053]), tertiarybutyldiphenylsilyl (TBDPS), triethylsilyl (TES) or triphenylmethyl (trityl group). Regarding Claim 15, Moriarty '672 further discloses that P2 is tert-butyldimethylsilyl (TBDMS) (para [0053]). ------continued in Supplemental Box------

#### WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

International application No.

PCT/US 11/38946

#### Supplemental Box

In case the space in any of the preceding boxes is not sufficient.

Continuation of: Box No. V 2. Citations and explanations:

Regarding Claim 16, Moriarty '672 further discloses that P1 is tetrahydrofuranyl (THP), benzyl, 2,4dinitrobenzyl, methoxymethyl (MOM), tertiarybutyldimethylsilyl (TBDMS) (para [0053]), tertiarybutyldiphenylsilyl (TBDPS) or triethylsilyl (TES).

Regarding Claim 17, Moriarty '672 further discloses that P1 is THP (para [0009]).

Regarding Claim 18, Moriarty '672 further discloses for the converting step (b), the compound of structural formula (4) is converted to the compound of structural formula (5) through a cobalt mediated cyclization reaction (para [0028]).

Regarding Claim 19, Moriarty '672 further discloses that the cobalt-mediated cyclization reaction is carried out in the presence of Co2(CO)8 (para [0028]).

Regarding Claim 25, Moriarty '672 further discloses that R1 is a substituted or unsubstituted benzyl group (para [0006]-[0012], See the ary of Z.) and wherein the method further comprises: (c') hydrogenating the tricyclic compound of structural formula (5) to form a 10 hydrogenated tricyclic compound represented by structural formula (6'); and (d') converting the hydrogenated tricyclic compound represented by structural formula (6') to a compound represented by structural formula (IX) (pg 4-6).

Regarding Claim 26, Moriarty '672 further discloses that the hydrogenation reaction of step (c) is carried out in the presence of a base (para [0074]).

Regarding Claim 27, Moriarty '672 further discloses that the base is K2CO3 (para [0074]).

Regarding Claim 28, Moriarty '672 further discloses that R1 is an unsubstituted benzyl group (para [0008]).

Claims 1 and 5-6 lack novelty under PCT Article 33(2) as anticipated by US 2004/0176645 A1 to Moriarty et al. (hereinafter 'Moriarty '645').

Regarding Claim 1, Moriarty '645 discloses a method of preparing a compound represented by the following structural Formula (A) (para [0047], see intermediaries 20-23):

P1 is an alcohol protecting group (para [0006], [0027], [0032], and [0047], see OTHP);

R is -(CH2)nX; X is H, phenyl, -CN, -OR1 or COOR1; R1 is an alkyl, THP, TBDMS or a unsubstituted or substituted benzyl group; and n is 1,2 or 3 (para [0047], see OBn, such that R is -(CH2)nX, wherein X is H, R1 is an alkyl, and n is 1, 2, or 3).

Regarding Claim 5, Moriarty '645 further discloses that P1 is tert-butyldimethylsilyl (TBDMS) (para [0048]), tertiarybutyldiphenylsilyl (TBDPS), triethylsilyl (TES) or triphenylmethyl (trityl group).

Regarding Claim 6, Moriarty '645 further discloses that P1 is tert-butyldimethylsilyl (TBDMS) (para [0048]).

Claims 7-9 and 20-24 lack an inventive step under PCT Article 33(3) as obvious over Moriarty '672 in view of WO 2009/1170995 A1 to Tran et al. (hereinafter 'Tran').

Regarding Claims 7-9, Moriarty '672 discloses the method of Claim 1, but does not specifically disclose that:

- the reaction is carried out in the presence of chiral inducing agent (as in Claim 7);
- the chiral inducing ligand is (+)-N-methylephederin (as in Claim 8); or
- the reaction is carried out in the presence of a base and a zinc reagent (as in Claim 9). However, Tran discloses processes for producing intermediaries of cyclohexane derivatives (pg 2, ln 25 to pg 3, ln 24; pg 106, ln 36 to pg 116, ln 8), comprising: a chiral resolving acid such as methylephedrin (pg 109, ln 29 to pg 110, ln 2), the reaction is carried out in the presence of a base and a metal cation reagent (pg 111, ln 1 to pg 112, ln 2), wherein the compound formed into a pharmaceutically acceptable salt metal cations such as zinc (pg 97, ln 24 to pg 98, ln 35). To a person of ordinary skill in the art, it would have been obvious to substitute the chiral resolving agent and metal cation as taught by Tran with the method as in Moriarty '672 in order to resolve desired chiral species of Formula (A), because Morianty '672 and Tran are directed towards stereoselective intermediaries prostacyclin derivatives (Tran: pg 2, In 17 -22).

------continued in next Supplemental Box------

# WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

International application No. PCT/US 11/38946

#### Supplemental Box

In case the space in any of the preceding boxes is not sufficient.

Continuation of:

Prior Supplemental Box:

Regarding Claim 20, Moriarty '672 further discloses the method of Claim 13, wherein R1 is an alkyl group (para [0006]-[0012]), and wherein the method further comprises:

(c) hydrogenating the tricyclic compound of structural formula (5) to form a hydrogenated tricyclic compound represented by structural formula (6) (pg 4-6), but does not specifically disclose:

(d) converting the hydrogenated tricyclic compound represented by structural formula (6) to a compound represented by structural formula (IX); wherein said converting (d) accomplishes cleaving of the protective group PI and ester hydrolysis of R in a single pot. However, Tran discloses processes for producing intermediaries of cyclohexane derivatives (pg 2, ln 25 to pg 3, ln 24; pg 106, ln 36 to pg 116, ln 8), comprising:

a chiral resolving acid such as methylephedrin (pg 109, ln 29 to pg 110, ln 2), the reaction is carried out in the presence of a base and a metal cation reagent (pg 111, ln 1 to pg 112, ln 2), wherein the compound formed into a pharmaceutically acceptable salt metal cations such as zinc (pg 97, ln 24 to pg 98, ln 35) and protection/deprotection groups may be readily determined by one of ordinary skill in the art (pg 107, ln 20-25). To a person of ordinary skill in the art, it would have been obvious to substitute through routine experimentation to vary the species and location of protecting groups as taught by Tran with the method as in Moriarty '672 in order to resolve desired chiral species of Formula (A), because Moriarty '672 and Tran are directed towards stereoselective intermediaries prostacyclin derivatives (Tran: pg 2, ln 17-22).

Regarding Claim 21, Tran further teaches that the hydrogenation reaction of step (c) is carried out in the presence of a base (pg 97, ln 24 to pg 98, ln 35; pg 111, ln 1 to pg 113, ln 34).

Regarding Claim 22, Morlarty '672 further discloses that the base is K2CO3 (para [0074]).

Regarding Claim 23, Moriarty '672 further discloses that R1 is straight or branched C1-C5 alkyl (para [0010]).

Regarding Claim 24, Moriarty '672 further discloses that R1 is methyl (para [0010]).

Claims 29-30 lack an inventive step under PCT Article 33(3) as obvious over Moriarty '672 in view of Moriarty '645.

Regarding Claim 29, Moriarty '672 discloses the method of Claim 13, but does not specifically disclose reacting compound represented by formula (1) to form the compound represented by the structural formula OR(1), wherein m=1 (as in Claim 29 and 30). However, Moriarty '645 discloses reacting compound represented by formula (1) to form the compound represented by the structural formula OR(1) (para [0047], See intermediaries 18a and 19). To a person of ordinary skill in the art, it would have been obvious to substitute the compound represented by formula (1) as taught by Moriarty '645 in the method as in Moriarty '672, in order to optimize formation of the alkyl group at OR, because Moriarty '672 and Moriarty '645 are directed towards stereoselective intermediaries prostacyclin derivatives.

Regarding Claim 30, Moriarty '672 further teaches that m = 1 (para [0057], see OMe.)

Claims 1-30 have industrial applicability as defined by PCT Article 33(4) because the subject matter could be made or used in industry.

OPAP

WAR 1 2 2012 W

Atty. Dkt. No. 080618-0629

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Hitesh BATRA et al.

Title:

AN IMPROVED PROCESS TO PREPARE TREPROSTINIL, THE

ACTIVE INGREDIENT IN REMODULIN®

Appl. No.:

12/334,731

Filing Date:

12/15/2008

Examiner:

Karl J. PUTTLITZ

Art Unit:

1621

Conf. No.:

8804

# INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.56

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### Commissioner:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56.

A copy of each non-U.S. patent document and each non-patent document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

03/13/2012 LNGUYEN1 00000074 12334731

### **TIMING OF THE DISCLOSURE**

The listed documents are being submitted in compliance with 37 CFR §1.97(c), before the mailing date of any of a final action under 37 CFR §1.113, a notice of allowance under 37 CFR §1.311, or an action that otherwise closes prosecution in the application.

# RELEVANCE OF EACH DOCUMENT

An English translation of foreign-language Documents D8 and D9 is provided. An English abstract is also provided with foreign-language Documents D8, D9 and D14. The absence of a full translation does not relieve the PTO from its duty to consider the submitted foreign language documents (37 CFR §1.98 and MPEP §609).

Applicants respectfully request that each listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

#### <u>FEE</u>

A credit card payment form in the amount of \$180.00 is enclosed to cover the fee associated with an information disclosure statement under 37 CFR §1.97(c).

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this submission under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by the credit card payment form being unsigned, providing incorrect information resulting in a rejected credit card transaction, or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

Date MAR 1 2 2012

FOLEY & LARDNER LLP

Customer Number: 22428 Telephone: (202) 672-5

Telephone: (202) 672-5569 Facsimile: (202) 672-5399 Stephen B. Maebius

Attorney for Applicant Registration No. 35,264

PTO/SB/08 (09-06)

Approved for use through 03/31/2007. OMB 0651-0031 Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE to respond to a collection of information unless it contains a valid

Under the Paperwork Reduction Act of 1995 OMB control number.

Sheet

Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANTE Date Submitted:

PADEMAP

(use as many sheets as necessary) of

Complete if Known

Application Number	12/334,731	/
Filing Date	12/15/2008	MAR 1 2 00 B
First Named Inventor	Hitesh BATRA	12 2012 B
Art Unit	1621	12 4/
Examiner Name	Karl J. PUTTLIT	
Attorney Docket Number	080618-0629	PADEMARKOT
PATENT DOCUMENTS	<u> </u>	
		Pages Columns Lines

U.S. PATENT DOCUMENTS					
Examin	Cite	Document Number	Publication Date	Name of Patentee or Applicant of	Pages, Columns, Lines, Where Relevant
er Initials*	No.1	Number-Kind Code <sup>2</sup> (if known)	er-Kind Code <sup>2</sup> (if MM-DD-YYYY	Cited Document	Passages or Relevant Figures Appear
	D1	2005/0101608 A1	05/12/2005	Santel, Donald J.	<u> </u>
	D2	2007/0078182 A1	04/05/2007	Phares et al.	
	D3	2009/0163738 A1	06/25/2009	Batra et al.	
	D4	4,544,764 A	10/01/1985	Aristoff, Paul A.	
	D5	4,668,814 A	05/26/1987	Aristoff, Paul A.	
	D6	4,683,330 A	07/28/1987	Aristoff, Paul A.	

	FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> Number <sup>4-</sup> Kind Code <sup>5</sup> ( <i>if known</i> )	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Documents	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>	
	D7	CA 2 710 726 A1	01/22/2012	Alphora Research Inc., CA			
	D8	CN 101891596 A	11/24/2010	Shanghai Techwell Biopharmaceutical Co. Ltd.		A	
	D9	CN 101891715 A	11/24/2010	Shanghai Techwell Biopharmaceutical Co. Ltd.		A	
	D10	EP 0 087 237 B1	05/14/1986	The Upjohn Company			
	D11	EP 0 159 784 B1	06/07/1989	The Upjohn Company	"		
	D12	EP 0 175 450 B1	03/22/1989	The Upjohn Company			
	D13	EP 0 496 548 A1	07/29/1992	Purdue Research Foundation			
	D14	WO 98/39337 A1	09/11/1998	Hoechst AG		Α	
	D15	WO 99/21830 A1	05/06/1999	United Therapeutics Corporation			
	D16	WO 03/070163 A2	08/28/2003	United Therapeutics Corporation			
	D17	WO 2005/007081 A2	01/27/2005	United Therapeutics Corporation			
	D18	WO 2008/100977 A2	08/21/2008	N.V. Organon		†	
	D19	WO 2012/009816 A1	01/26/2012	Alphora Research Inc.	<del> </del>		

	NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>		
	D20	ALEXANDER et al., "The Synthesis of Benzindene Prostacyclin Analogs as Potential Antiulcer Agents," Prostaglandins, 1986, 32(5):647-653.			

Examiner Signature	Date Considered	

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	Substitute for for	m 1449/PTO	Con	nplete if Known
INFORMATION DISCLOSURE			Application Number	12/334,731
STATEMENT BY APPLICANT			Filing Date	12/15/2008
Date Submitted: MAR 1 2 2012		First Named Inventor	Hitesh BATRA	
		Art Unit	1621	
	(use as many sheet	s as necessary)	Examiner Name	Karl J. PUTTLITZ
Sheet	2	of 3	Attorney Docket Number	080618-0629

,		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	Т6
	D21	ARISTOFF et al., "Total Synthesis of a Novel Antiulcer Agent via a Modification of the Intramolecular Wadsworth-Emons-Wittig Reaction," J. Am. Chem. Soc., 1985, 107:7967-7974.	
	D22	ARISTOFF et al., "Synthesis and Structure-Activity Relationship of Novel Stable Prostacyclin Analogs," Advances in Prostaglandin, Thromboxane, and Leukotriene Research, Samuelsson et al., .Eds., 1983, 11:267-274	
	D23	ARISTOFF et al., "Synthesis of Benzopyran Prostaglandins, Potent Stable Prostacyclin Analogs, Via an Intramolecular Mistunobu Reaction," Tetrahedron Letters, 1984, 25(36):3955-3958.	
	D24	BATRA et al., "Crystallization Process Development for a Stable Polymorph of Treprostinil Diethanolamine (UT-15C) by Seeding," Organic Process Research & Development, 2009, 13:242-249.	
	D25	BELCH et al., "Randomized, Double-Blind, Placebo-Controlled Study Evaluating the Efficacy and Safety of AS-013, a Prostaglandin E1 Prodrug, in Patients with Intermittent Claudication," Circulation, May 6, 1997, 95(9):2298-2302.	
	D26	CHEMBURKAR et al., "Dealing with the Impact of Ritonavir Polymorphs on the Late Stages of Bulk Drug Process Development," Organic Process Research & Development, 2000, 4:413-417.	
	D27	CHUNG et al., "Promoters for the (Alkyne)hexacarbonyldicobalt-Based Cyclopentenone Synthesis," Organometallics, 1993, 12:220-223.	
	D28	CLARK et al., "High-Performance Liquid Chromatographic Method for Determining the Enantiomeric Purity of a Benzindene Prostaglandin by a Diastereomeric Separation," Journal of Chromatography, 1987, 408:275-283.	
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	D30	HICKS et al., "A Practical Titanium-Catalyzed Synthesis of Bicyclic Cyclopentenones and Allylic Amines," J. Org. Chem., 1996, 61:2713-2718.	
	D31	JEONG et al., "Catalytic Version of the Intramolecular Pauson-Khand Reaction," J. Am. Chem. Soc., 1994, 116:3159-3160.	
	D32	KHAND et al., "Organocobalt Complexes. Part II. Reaction of Acetylenehexacarbonyl-dicobalt Complexes, (R¹C₂R²)Co₂(CO) <sub>6</sub> , with Norbornene and its Derivatives," J. Chem. Soc., J.C.S. Perkin I., 1973, 977-981.	
	D33	MATHRE et al., "A Practical Enantioselective Synthesis of $\alpha$ , $\alpha$ -Diaryl-2-pyrrolidinemethanol. Preparation and Chemistry of the Corresponding Oxazaborolidines," J. Org. Chem., 1991, 56:751-762.	

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Substitute for form 1449/PTO				Complete if Known		
	INFORMATION I	DISCL	.OSURE	Application Number	12/334,731	
	STATEMENT BY	Y APP	LICANT	Filing Date	12/15/2008	
Data	Submitted: AAAD		2012	First Named Inventor	Hitesh BATRA	
Date	Submitted: MAR	<del>  1 Z</del>	7017	Art Unit	1621	
(use as many sheets as necessary)				Examiner Name	Karl J. PUTTLITZ	
Sheet	3	of	3	Attorney Docket Number	080618-0629	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	Т <sup>6</sup>
	D34	MULZER et al., "Asymmetric Synthesis of Carbacyclin Precursors by Pauson-Khand Cyclization," Liebigs Ann. Chem., 1988, 891-897.	
	D35	NELSON, Norman A., "Prostaglandin Nomenclature," J. Med. Chem., September 1974, 17(9):911-918.	
	D36	PAGENKOPF, Brian L., "Substrate and Reagent Control of Diastereoselectivity in Transition Metal-Mediated Process: Development of a Catalytic Photo Promoted Pauson-Khand Reaction," Diss. Abstr. Int., 57(12):7535, 1977, Abstract.	
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1	D38	PAULSON, Peter L., "The Khand Reaction," Tetrahedron, 1985, 41(24):5855-5860.	
	D39	SCHORE, Neil E., "Transition-Metal-Mediated Cycloaddition Reactions of Alkynes in Organic Synthesis," Chem. Rev., 1988, 88:1081-1119.	
	D40	SHAMBAYATI et al., "N-Oxide Promjoted Pauson-Khand Cyclizations at Room Temperature," Tetrahedron Letters, 1990, 31(37):5289-5292.	
····	D41	SNELL et al., "Investigating the Effect of Impurities on Macromolecule Crystal Growth in Microgravity," Crystal Growth & Design, 2001, 1(2):151-158.	
	D42	TAKANO et al., "Enantiodivergent Synthesis of Both Enantiomers of Sulcatol and Matsutake Alcohol from (R)-Epichlorohydrin," Chemistry Letters, 1987, 2017-2020.	
· · · · · · · · · · · · · · · · · · ·	D43	VIEDMA, Cristobal, "Selective Chiral Symmetry Breaking during Crystallization: Parity Violation of Cryptochiral Environment in Control?" Crystal Growth & Design, 2007, 7(3):553-556.	
	D44	ZHANG et al., "A Nickel(0)-Catalyzed Process for the Transformation of Enynes to Bicyclic Cyclopentenones," J. Org. Chem., 1996, 61:4498-4499.	

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#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Hitesh BATRA et al.

Title: AN IMPROVED PROCESS TO PREPARE

TREPROSTINIL, THE ACTIVE INGREDIENT

IN REMODULIN®

Appl. No.: 12/334,731

Filing Date: 12/15/2008

Examiner: Yevgeny Valenrod

Art Unit: 1621

Confirmation Number: 8804

REPLY UNDER 37 CFR § 1.111

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

This paper responds to the Non-Final Office Action dated September 19, 2011. Applicants petition for an extension of time to make this response timely.

**Amendments to the Claims** are reflected in the listing of claims which begins on page 2 of this document.

Remarks begin on page 10 of this document.

# **Amendments to the Claims:**

This listing of claims will replace all prior versions, and listings, of claims in the application:

### **Listing of Claims:**

1. (Previously Presented) A process for the preparation of a compound of formula I

$$\begin{array}{c|c} H & Y_1 - C - C - R_7 \\ M_1 & II \\ M_1 & L_1 \\ \end{array}$$

$$O(CH_2)_w COOH \qquad (I)$$

comprising

(a) alkylating a compound of structure II with an alkylating agent to produce a compound of formula III,

wherein

w=1, 2, or 3;

 $Y_1$  is trans-CH=CH-, cis-CH=CH-, -CH<sub>2</sub>(CH<sub>2</sub>)<sub>m</sub>-, or -C=C-; m is 1, 2, or 3;

R<sub>7</sub> is

- (1)  $-C_pH_{2p}$ -CH<sub>3</sub>, wherein p is an integer from 1 to 5, inclusive,
- (2) phenoxy optionally substituted by one, two or three chloro, fluoro, trifluoromethyl,  $(C_1-C_3)$  alkyl, or  $(C_1-C_3)$ alkoxy, with the proviso that not more than two substituents are other than alkyl, with the proviso that  $R_7$  is phenoxy or substituted phenoxy, only when  $R_3$  and  $R_4$  are hydrogen or methyl, being the same or different,

- (3) phenyl, benzyl, phenylethyl, or phenylpropyl optionally substituted on the aromatic ring by one, two or three chloro, fluoro, trifluoromethyl,  $(C_1-C_3)$ alkyl, or  $(C_1-C_3)$ alkoxy, with the proviso that not more than two substituents are other than alkyl,
- (4)  $cis-CH=CH-CH_2-CH_3$ ,
- (5)  $-(CH_2)_2$ -CH(OH)-CH<sub>3</sub>, or
- (6)  $-(CH_2)_3-CH=C(CH_3)_2;$

 $-C(L_1)-R_7$  taken together is

- (1)  $(C_4-C_7)$ cycloalkyl optionally substituted by 1 to 3  $(C_1-C_5)$ alkyl;
- (2) 2-(2-furyl)ethyl,
- (3) 2-(3-thienyl)ethoxy, or
- (4) 3-thienyloxymethyl;

 $M_1$  is  $\alpha$ -OH: $\beta$ -R<sub>5</sub> or  $\alpha$ -R<sub>5</sub>: $\beta$ -OH or  $\alpha$ -OR<sub>1</sub>: $\beta$ -R<sub>5</sub> or  $\alpha$ -R<sub>5</sub>: $\beta$ -OR<sub>2</sub>, wherein R<sub>5</sub> is hydrogen or methyl, R<sub>2</sub> is an alcohol protecting group, and

 $L_1$  is  $\alpha$ - $R_3$ : $\beta$ - $R_4$ ,  $\alpha$ - $R_4$ : $\beta$ - $R_3$ , or a mixture of  $\alpha$ - $R_3$ : $\beta$ - $R_4$  and  $\alpha$ - $R_4$ : $\beta$ - $R_3$ , wherein  $R_3$  and  $R_4$  are hydrogen, methyl, or fluoro, being the same or different, with the proviso that one of  $R_3$  and  $R_4$  is fluoro only when the other is hydrogen or fluoro.

- (b) hydrolyzing the product of formula III of step (a) with a base,
- (c) contacting the product of step (b) with a base B to form a salt of formula I<sub>s</sub>,

- (d) reacting the salt formed in step (c) with an acid to form the compound of formula I.
- (Previously Presented) The process according to claim 20, wherein the product of step(d) has the purity of compound of formula I of at least 90.0%.
- 3. (Original) The process according to claim 1, further comprising a step of isolating the salt of formula I<sub>s</sub>.

- 4. (Original) The process according to claim 1, wherein the alkylating agent is  $Cl(CH_2)_wCN$ ,  $Br(CH_2)_wCN$ , or  $I(CH_2)_wCN$ .
- 5. (Original) The process according to claim 1, wherein the base in step (b) is KOH or NaOH.
- 6. (Original) The process according to claim 1, wherein the base B in step (c) is selected from the group consisting of ammonia, N-methylglucamine, procaine, tromethanine, magnesium, L-lysine, L-arginine, triethanolamine, and diethanolamine.
- 7. (Original) The process according to claim 1, wherein the acid in step (d) is HCl or  $H_2SO_4$ .
- 8. (Original) The process according to claim 1, wherein  $Y_1$  is  $-CH_2CH_2$ -;  $M_1$  is  $\alpha$ -OH: $\beta$ -H or  $\alpha$ -H: $\beta$ -OH;  $-C(L_1)$ -R<sub>7</sub> taken together is  $-(CH_2)_4CH_3$ ; and w is 1.
- 9. (Original) The process according to claim 1, wherein the compound of formula I is a compound of formula IV.

10. (Previously Presented) A process for the preparation of a compound having formula IV

comprising

(a) alkylating a compound of formula V with an alkylating agent to produce a compound of formula VI,

- (b) hydrolyzing the product of formula VI of step (a) with a base,
- $\mbox{(c)} \qquad \mbox{contacting the product of step (b) with a base $B$ to form a salt of formula $IV_s$,} \label{eq:contacting}$  and

- (d) reacting the salt formed in step (c) with an acid to form the compound of formula IV.
- 11. (Previously Presented) The process according to claim 22, wherein the product of step (d) has the purity of the compound of formula IV of at least 90.0%.

- 12. (Original) The process according to claim 10, further comprising a step of isolating the salt of formula  $IV_s$ .
- 13. (Original) The process according to claim 10, wherein the alkylating agent is ClCH<sub>2</sub>CN.
- 14. (Original) The process according to claim 10, wherein the base in step (b) is KOH.
- 15. (Original) The process according to claim 10, wherein the base B in step (c) is selected from a group consisting of ammonia, N-methylglucamine, procaine, tromethanine, magnesium, L-lysine, L-arginine, triethanolamine, and diethanolamine.
- 16. (Original) The process according to claim 15, wherein the base B is diethanolamine.
- 17. (Original) The process according to claim 10, wherein the acid in step (d) is HCl.
- 18. (Canceled)
- 19. (Canceled)
- 20. (Previously Presented) The process of claim 1, which does not include purifying the compound of formula (III) produced in step (a).
- 21. (Previously Presented) The process of claim 20, wherein the product of step (d) has the purity of compound of formula I of at least 95%.
- 22. (Previously Presented) The process of claim 10, which does not include purifying the compound of formula (VI) produced in step (a).
- 23. (Previously Presented) The process of claim 22, wherein the product of step (d) has the purity of compound of formula I of at least 95%.
- 24. (Previously Presented) The process of claim 22, wherein the base B in step (c) is selected from a group consisting of ammonia, N-methylglucamine, procaine, tromethanine, magnesium, L-lysine, L-arginine, triethanolamine, and diethanolamine.

- 25. (Previously Presented) The process of claim 24, wherein the base B is diethanolamine.
- 26. (Previously Presented) A process for the preparation of a compound having formula IV, or pharmaceutically acceptable salt thereof

comprising

(a) alkylating a compound of formula V with an alkylating agent to produce a compound of formula VI,

- (b) hydrolyzing the product of formula VI of step (a) with a base, and
- (c) contacting the product of step (b) with a base B to form a salt of formula  $IV_s$

 $(IV_s)$ , wherein the process does not comprise purifying the compound of formula (VI) produced in step (a).

27. (Previously Presented) The process according to claim 26, wherein the base B in step (c) is selected from a group consisting of ammonia, N-methylglucamine, procaine, tromethanine, magnesium, L-lysine, L-arginine, triethanolamine, and diethanolamine and wherein the compound produced is a compound of the formula IV<sub>s</sub>,

wherein the base B is selected from a group consisting of ammonia, N-methylglucamine, procaine, tromethanine, magnesium, L-lysine, L-arginine, triethanolamine, and diethanolamine.

28. (Previously Presented) The process according to claim 27, wherein the base B is diethanolamine and wherein the compound produced is a compound of the following formula:

29. (New) The process according to claim 1, wherein the base in step (b) is KOH or NaOH and wherein the base B in step (c) is selected from the group consisting of

Atty. Dkt. No. 080618-0629 (UT 102-003) Appl. No. 12/334,731

ammonia, N-methylglucamine, procaine, tromethanine, magnesium, L-lysine, L-arginine, triethanolamine, and diethanolamine.

30. (New) The process according to claim 10, wherein the base in step (b) is KOH or NaOH and wherein the base B in step (c) is selected from the group consisting of ammonia, N-methylglucamine, procaine, tromethanine, magnesium, L-lysine, L-arginine, triethanolamine, and diethanolamine.

#### **REMARKS**

Applicants respectfully request reconsideration and allowance of the present application.

#### **CLAIMS STATUS**

Applicants have added new claims 29-30. Support for the new claims may be found throughout the specification as filed and in particular, in original claims 5-6. No new matter has been added.

After the amendment, pending claims include a) examined claims 1-17 and 20-28 and b) new claims 29-30.

## CLAIM REJECTIONS UNDER 35 U.S.C. § 103(a)

Claims 1-17 and 20-28 stand rejected as obvious over Moriarty (US 2002/0173672) in view of Phares (US 2005/0085540). Applicants respectfully traverse.

The PTO failed to establish a *prima facie* case of obviousness at least because the PTO failed to make its obviousness analysis explicit.

In this regard, Applicants bring the PTO's attention to MPEP § 2142, which provide the following guidelines for an obviousness analysis by relying on the Supreme Court Decision *KSR International Co. v. Teleflex Inc*:

\*\*>The key to supporting any rejection under 35 U.S.C. <u>103</u> is the clear articulation of the reason(s) why the claimed invention would have been obvious. The Supreme Court in *KSR International Co. v. Teleflex Inc.*, 550 U.S. \_\_\_\_, 82 USPQ2d 1385, 1396 (2007) noted that the analysis supporting a rejection under 35 U.S.C. <u>103</u> should be made explicit. The Federal Circuit has stated that "rejections on obviousness cannot be sustained with mere conclusory statements; instead, there must be some articulated reasoning with some rational underpinning to support the legal conclusion of

obviousness." *In re Kahn*, 441 F.3d 977, 988, 78 USPQ2d 1329, 1336 (Fed. Cir. 2006). See also *KSR*, 550 U.S. at , 82 USPQ2d at 1396

Applicants respectfully submit that the PTO relies on impermissible factually incorrect and conclusory assertions in arriving at its conclusion of obviousness. Applicants provide examples of the PTO's factually incorrect and conclusory statements below.

#### 1) The PTO mischaracterizes Moriarty as teaching steps a), b) and d).

The PTO asserts on page 3 of the Office Action that "Moriarty et al. teach steps a), b) and d) of the instant claims." Applicants respectfully submit that the above cited PTO's assertion is factually incorrect. Applicants' understanding of the PTO's logic in the above cited assertion based on the PTO's citation of Moriarty's paragraphs 0078 and 0079 is follows:

- i) The PTO treats alkylation of Moriarty's compound 14 with ClCH<sub>2</sub>CN in the presence of K<sub>2</sub>CO<sub>3</sub> in acetone to produce Moriarty's compound 15 as step a) of the instant claims;
- ii) The PTO treats addition of aqueous KOH to a stirred solution of Moriarty's compound 15 disclosed in the first sentence of Moriarty's paragraph 0079 as step b) of the instant claims;
- iii) The PTO treats additions of HCl disclosed in the second and/or third sentences of Moriarty's paragraph 0079 as step d) of the instant claims.

If Applicants' understanding of the PTO's logic is incorrect, then Applicants respectfully request additional explanation on how the PTO interprets Moriarty in the next Office Action.

Applicants respectfully submit that one of ordinary skill in the art would not have interpreted Moriarty's additions of HCl disclosed in the second and/or third sentences of Moriarty's paragraph 0079 as step d of instant independent claim 1 or 10, which recite reacting the salt formed in step (c) with an acid. The salt formed in step (c) is a salt of the base B, which is different from the base recited in step b of the instant claims, see step c)

"contacting the product of step (b) with <u>a</u> base B." One of ordinary skill in the art would interpret the additions of HCl disclosed in the second and/or third sentences of Moriarty's paragraph 0079 only as reacting with an acid of the salt of the base recited in step b, which is different from the salt of the base B (the salt formed in step (c)). Applicants respectfully submit that claims 6, 15, 16, 24, 25, 29 and 30 further emphasize the differences between the claimed invention and Moriarty by providing particular examples of the base B.

In sum, Moriarty does not teach step d) of claims 1-25 and 29-30. Phares cannot remedy these deficiencies of Moriary at least because the PTO cites Phares only as teaching that "treprostinil diethanolamine can be crystallized". Thus, because Moriarty and Phares do not teach all the elements of the claimed invention, the PTO failed to establish a *prima facie* case of obviousness. Accordingly, Applicants respectfully request withdrawal of the rejection.

2) The PTO's reasoning for combining Moriarty and Phares lacks the required articulated reasoning with rational underpinning.

The PTO formulates its reasoning for combining Moriarty and Phares on page 3 of the Office Action as follows:

"One skilled in the art practicing the invention of Moriarty would have found it obvious to add a purification step in order to obtain a more pure product. Since Phares indicated that treprostinil diethanolamine can be [crystallized], one skilled in the art would have found it obvious to use this property of the diethanolamine salt in order to purify treprostinil via crystallization. As such in would have been obvious to convert the potassium salt of treprostinil obtained after step b), which is taught by Moriarty, into diethanolamine salt, purify said salt via crystallization followed by acidification as taught [by] Moriarty to recover treprostinil as a free acid. The instant invention amounts to addition of a purification step via crystallization. Since such a step has been taught in the art, at the time the instantly claimed invention was made one would have found it obvious to make the required modification. Motivation is

provided by desire to obtain a more pure product. Expectation of success is provided by Phares when a crystal form of the diethanolamine salt is disclosed."

Applicants identify at least the following deficiencies in the PTO's reasoning:

i) the PTO failed to articulate why one of ordinary skill in the art would choose purification by crystallization out of multiple other existing purification methods in order to obtain a product.

The PTO failed to articulate why of ordinary skill in the art would select purification by crystallization out of multiple other existing methods as a way to modify Moriarty's process in order to obtain a product. Simply stating that purification by crystallization has been taught in the art, as the PTO did in the Office Action, cannot serve as the required articulation with rational underpinning. Applicants respectfully submit that purification by silica gel chromatography that Moriarty uses in his process, see paragraph 0079, last sentence, is also known in the art. Thus, in order to justify its proposed addition of purification by crystallization, the PTO needs to provide its articulated reasoning with some rational underpinning on why one of ordinary skill in the art would select purification by crystallization among other known purification methods, such as Moriarty's silica gel chromatography, in order to obtain a product. In particular, since Moriarty already discloses one purification in his process, the PTO needs to provide its articulated reasoning with rational underpinning why one of ordinary skill in the art would expect that the use of a different purification by crystallization would result in a suitable product.

<u>ii)</u> the PTO failed to articulate why one of ordinary skill in the art would choose the particular version of purification by crystallization proposed by the PTO.

a) Besides failing to articulate its reasoning with the required rational underpinning for selecting purification by crystallization among other known purification techniques, the PTO failed to articulate its reasoning on why one of ordinary skill in the art would select the

particular purification by crystallization approach proposed by the PTO, purification by crystallization using treprostinil diethanolamine, which is performed after step b. For example, purification by crystallization could have been potentially applied at another stage, i.e., not after step b. For the record, Applicants respectfully submit that treprostinil per se can be crystallized, see e.g. page 3 of the enclosed MSDS for treprostinil, which states that treprostinil is delivered as a crystalline solid. Thus, one of ordinary skill in the art based on the PTO's logic could have used purification by crystallization using treprostinil per se after the Moriarty's process was complete. In sum, the PTO failed to establish a prima facie case of obviousness at least because the PTO did not provide any articulated reasoning on why one of ordinary skill in the art would be motivated to perform purification by crystallization after step b and would reasonably conclude that such purification would result in a suitable product.

b) The PTO did not articulate its reasoning with the required rational underpinning on why one of ordinary skill in the art would have selected treprostinil diethanolamine out of other treprostinil salts that can be crystallized to perform the PTO's proposed purification by crystallization. The only reason that the PTO provided for selecting treprostinil is based on the fact that Phares teaches that treprostinil diethanolamine can be crystallized. Applicants respectfully submit that the fact that treprostinil diethanolamine can be crystallized by itself cannot serve by itself as articulated reasoning with rational underpinning for selecting the PTO's proposed purification by crystallization using treprostinil diethanolamine at least because there are other salts treprostinil salts that can be crystallized. For example, treprostinil sodium, which is a salt of treprostinil used in a commercial product Remodulin ®, can also be crystallized. The PTO's failure to provide its articulated reasoning for selecting treprostinil diethanolamine demonstrates by itself the PTO's failure to establish a *prima facie* case of obviousness at least for claims 6, 15, 16, 24, 25 and 29-30.

iii) the PTO failed to articulate why one of ordinary skill in the art would have a reasonable expectation of success for either arriving at the claimed invention or for concluding that a suitable product should Moriarty's method be modified as proposed by the PTO.

According to MPEP § 2143.02, a reasonable expectation of success is required in order to support a conclusion of obviousness. Furthermore, each of the obviousness rationales from MPEP § 2143 includes a requirement regarding articulating a finding regarding predictability and/or reasonable expectation of success for modification of the prior art in order to arrive at the claimed invention. MPEP § 2143 emphasizes that if any of the findings required for a particular obviousness rationale cannot be made, then this rationale cannot be used to support a conclusion of obviousness.

In the Office Action, the PTO provides only the following comment regarding predictability/ reasonable expectation of success: "Expectation of success is provided by Phares when a crystal form of the diethanolamine salt is disclosed."

Applicants respectfully submit that the cited above PTO's comment cannot serve as the required articulated reasoning with rational underpinning at least for the following reasons:

- a) Although Phares teaches that treprostinil diethanolamine can be crystallized, neither Phares, nor Moriarty teach that treprostinil diethanolamine can be transformed into treprostinil per se using acidification. Applicants respectfully submit that Moriarty's addition of HCl in the second and third sentences of Moriarty's paragraph can at most teach that potassium salt of treprostinil can be transformed in treprostinil per se. The PTO failed to articulate its reasoning with rational underpinning why one of ordinary skill in the art would extrapolate with a reasonable degree of success the reaction for Moriarty's potassium salt of treprostinil onto treprostinil diethanolamine.
- b) The PTO failed to provide its articulated reasoning with the required rational underpinning on why one of ordinary skill in the art would have concluded based on Moriarty and Phares that the PTO proposed modification would predictably result in a suitable product. According to the PTO, the motivation for PTO's proposed modification is to obtain a more pure product. Applicants respectfully submit that one of ordinary skill in the art would not have such a motivation for the PTO's proposed modification unless he or she could conclude based on Moriarty and Phares that the PTO's proposed modification would predictably result in a product that is more pure than the one produced by Moriarty's process. Applicants respectfully submit that one of ordinary skill in the art would not have arrived at such conclusion based on the fact that Phares teaches that treprostinil diethanolamine can be

crystallized at least because Phares does not crystallize treprostinil diethanolamine under the same conditions and in the same environment as Moriarty performs his synthesis process. Applicants respectfully submit that crystallization does not necessarily result in purification of the crystallized material. For example, in some cases, impurities can incorporate into the lattice of the crystallized materials, hence, decreasing the level of purity of the crystal product, see e.g. the enclosed reference, Snell et al. Crystal Growth & Design 2001, vol. 1, 151-158, which provides documentary evidence of impurities incorporation into a lattice of a crystallized material. At least because Moriarty performs his synthesis under different conditions and in a different environment than those that Phares uses in his crystallization, one of ordinary skill in the art would not have concluded that applying the PTO's proposed crystallization of treprostinil diethanolamine would predictably result in purification of the product because one of ordinary skill in the art would not know whether or not impurities of Moriarty's process would incorporate themselves into treprostinil diethanolamine's lattice.

In sum, at least for the reasons discussed above, the PTO failed to establish a *prima* facie case of obviousness. Accordingly, Applicants request withdrawal of the rejection.

#### 3) The PTO improperly disregards the purity elements of claims 2, 11, 21 and 22.

In the Office Action, the PTO relies on inherency theory for disregarding the purity elements of claims 2, 11, 21 and 22 by making the following assertions on page 4 of the Office Action:

"Limitation directed to purity of the product are inherently met by the combination of Moriarty and Phares. Since the motivation to preform crystallization of the diethanolamine salt is to obtain a pure compound, it stands to reason that the purity of the product would be improved. The exact purity would inherently be same as instantly claimed because the same sequence of steps would be carried out."

Before addressing these assertions, Applicants respectfully bring the PTO's attention to MPEP § 2112.IV, which provides the following guidelines for supporting rejections based on inherency:

# "IV. EXAMINER <u>MUST</u> PROVIDE RATION-ALE OR EVIDENCE TENDING TO SHOW INHERENCY" (Bold underlining added)

"The fact that a certain result or characteristic <u>may</u> occur or be present in the prior art is not sufficient to establish the inherency of that result or characteristic. *In re Rijckaert*, 9 F.3d 1531, 1534, 28 USPQ2d 1955, 1957 (Fed. Cir. 1993)" (reversed rejection because inherency was based on what would result due to optimization of conditions, not what was necessarily present in the prior art); *In re Oelrich*, 666 F.2d 578, 581-82, 212 USPQ 323, 326 (CCPA 1981). "To establish inherency, the extrinsic evidence '<u>must make clear</u> that the missing descriptive matter is <u>necessarily</u> present in the thing described in the reference, and that it would be so recognized by persons of ordinary skill. <u>Inherency</u>, however, <u>may not be established by probabilities</u> <u>or possibilities</u>. The mere fact that a certain thing may result from a given set of circumstances is not sufficient.' "*In re Robertson*, 169 F.3d 743, 745, 49 USPQ2d 1949, 1950-51 (Fed. Cir. 1999)" (Bold underlining added)

"In relying upon the theory of inherency, the examiner <u>must</u> provide a basis in fact and/or technical reasoning to reasonably support the determination that the allegedly inherent characteristic <u>necessarily</u> flows from the teachings of the applied prior art." *Ex parte Levy,* 17 USPQ2d 1461, 1464 (Bd. Pat. App. & Inter. 1990) (Bold underlining added)

In the present case, whether or not one of the ordinary skill in the art would arrive at the purity elements of claims 2, 11, 21 and 22 depends on whether or not one of ordinary skill in the art would arrive at the PTO's proposed modification of Moriarty and Phares.

Applicants respectfully submit that the PTO's proposed modification of Moriarty and Phares does not necessarily flow from the teachings of Moriarty and Phares at least because for the reasons discussed in the sections above, such as that Moriarty and Phares do not teach step d) of claims 1-25 and 29-30. Thus, the PTO cannot rely on inherency in order to arrive at the purity elements of claims 2, 11, 21 and 22 because inherency cannot be established by probabilities or possibilities.

In sum, at least for the reasons discussed above, Applicants request withdrawal of the rejection as directed to claims 2, 11, 21 and 22.

#### CONCLUSION

Applicants believe that the present application is in condition for allowance. Favorable reconsideration of the application is respectfully requested. The Examiner is invited to contact the undersigned by telephone if it is felt that a telephone interview would advance the prosecution of the present application.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing or a credit card payment form being unsigned, providing incorrect information resulting in a rejected credit card transaction, or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741. If any extensions of time are needed for timely acceptance of papers submitted herewith, Applicant hereby petitions for such extension under 37 C.F.R. §1.136 and authorizes payment of any such extensions fees to Deposit Account No. 19-0741.

Respectfully submitted,

Date March 14, 2012

FOLEY & LARDNER LLP Customer Number: 22428 Telephone: (202) 295-4632

Facsimile: (202) 672-5399

Alexey V. Saprigin Agent for Applicant Registration No. 56,439

Electronic Patent A	Apr	olication Fee	Transmi	ttal		
Application Number:		334731				
Filing Date:	15-Dec-2008					
Title of Invention:	PROCESS TO PREPARE TREPROSTINIL, THE ACTIVE INGREDIENT IN REMODULIN					
First Named Inventor/Applicant Name:	Hitesh BATRA					
Filer:	Alexey V. Saprigin					
Attorney Docket Number:	08	0618-0629				
Filed as Small Entity						
Utility under 35 USC 111(a) Filing Fees						
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)	
Basic Filing:						
Pages:						
Claims:						
Claims in excess of 20		2202	2	30	60	
Miscellaneous-Filing:						
Petition:						
Patent-Appeals-and-Interference:						
Post-Allowance-and-Post-Issuance:						
Extension-of-Time:			SteadyN	led - Exhibit 1002	- Page 184	

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Extension - 3 months with \$0 paid	2253	1	635	635
Miscellaneous:				
	Total in USD (\$)			

Electronic Ac	knowledgement Receipt
EFS ID:	12299821
Application Number:	12334731
International Application Number:	
Confirmation Number:	8804
Title of Invention:	PROCESS TO PREPARE TREPROSTINIL, THE ACTIVE INGREDIENT IN REMODULIN
First Named Inventor/Applicant Name:	Hitesh BATRA
Customer Number:	22428
Filer:	Alexey V. Saprigin
Filer Authorized By:	
Attorney Docket Number:	080618-0629
Receipt Date:	14-MAR-2012
Filing Date:	15-DEC-2008
Time Stamp:	12:08:50
Application Type:	Utility under 35 USC 111(a)
Payment information:	

Submitted with Payment	yes
Payment Type	Credit Card
Payment was successfully received in RAM	\$695
RAM confirmation Number	10123
Deposit Account	
Authorized User	

## File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ SteadyMed - Exhibit Message Digest	Multi 1002 art 7:21p	Pages <sup>(8</sup> (if appl.)

1	Transmittal Letter	A	33060		2
1 Transmittal Letter		Amendment Trans 031412.pdf	9b8167b0d187fa5c3632c839697ec528795 9bf6e	no	2
Warnings:					
Information:					
2	Amendment/Req. Reconsideration-After	Amendment031412.pdf	85159	no	18
2	Non-Final Reject	Amenamento31412.pui	2588f24c1828d6f676fc758863e71cda4d64 25ed	110	
Warnings:					
Information:					
3	Non Patent Literature	MSDSTreprostinil.pdf	271355	no	4
,	Norratent Literature	M3D3TTEPIOStitiii.pui	95e7be3a8deaac90675c5a948b7cca13629 3677d		
Warnings:					
Information:					
4	Fee Worksheet (SB06)	fee-info.pdf	32383	no	2
7	ree worksneet (3500)	ree-imo.pui	e0d809d17e3457f1fac2cbc3a3c2c5ed018a 5763	110	
Warnings:					
Information:					
		Total Files Size (in bytes):	42	21957	

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Hitesh BATRA et al.

Title: AN IMPROVED PROCESS TO PREPARE TREPROSTINIL,

THE ACTIVE INGREDIENT IN REMODULIN®

Appl. No.: 12/334,731

Filing Date: 12/15/2008

Examiner: Yevgeny VALENROD

Art Unit: 1621

Confirmation Number: 8804

#### **AMENDMENT TRANSMITTAL**

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### Commissioner:

Transmitted herewith is an amendment in the above-identified application.

[X] Small Entity status under 37 C.F.R. § 1.9 and § 1.27 has been established by a previous assertion of Small Entity status.

[X] The fee required for additional claims is calculated below:

	Claims				Extra			
	As		Previously		Claims			Additional
	Amended		Paid For		Present		Rate	Claims Fee
Total Claims:	28	-	26	=	2	X	\$60.00 =	\$120.00
Independent Claims:	3	-	3	=	0	X	\$250.00 =	\$0.00
					CLAIM	S FEI	E TOTAL =	\$120.00

[X] Applicant hereby petitions for an extension of time under 37 C.F.R. §1.136(a) for the total number of months checked below:

[X] Extension for response filed within the third month: \$1,270.00 \$1,270.00

EXTENSION FEE TOTAL: \$1,270.00

CLAIMS, EXTENSION AND DISCLAIMER FEE TOTAL: \$1,390.00

[X] Small Entity Fees Apply (subtract ½ of above): \$695.00

TOTAL FEE: \$695.00

The above-identified fees of \$695.00 are being paid by credit card via EFS-Web.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by the credit card payment instructions in EFS-Web being incorrect or absent, resulting in a rejected or incorrect credit card transaction, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

If any extensions of time are needed for timely acceptance of papers submitted herewith, applicant hereby petitions for such extension under 37 C.F.R. §1.136 and authorizes payment of any such extensions fees to Deposit Account No. 19-0741. Please direct all correspondence to the undersigned attorney or agent at the address indicated below.

By

Respectfully submitted,

Date March 14, 2012

FOLEY & LARDNER LLP Customer Number: 22428

Telephone: (415) 984-9810 Facsimile: (415) 434-4507 Alexey V. Saprigin Agent for Applicants Registration No. 56,439

PATENT APPLICATION FEE DETERMINATION RECORD Substitute for Form PTO-875						pplication or	Docket Number 34,731	Fil	ling Date 15/2008	OMB control number.  To be Mailed
APPLICATION AS FILED – PART I (Column 1) (Column 2)						SMALL	ENTITY 🛛	OR		HER THAN
	FOR		NUMBER FII	_ED NU	IMBER EXTRA	RATE (\$)	FEE (\$)		RATE (\$)	FEE (\$)
	BASIC FEE (37 CFR 1.16(a), (b),	or (c))	N/A		N/A	N/A			N/A	
	SEARCH FEE (37 CFR 1.16(k), (i), (i)	or (m))	N/A		N/A	N/A			N/A	
	EXAMINATION FE (37 CFR 1.16(o), (p),		N/A		N/A	N/A			N/A	
	TAL CLAIMS CFR 1.16(i))		mir	nus 20 = *		X \$ =		OR	X \$ =	
	EPENDENT CLAIM CFR 1.16(h))	IS	m	inus 3 = *		X \$ =			X \$ =	
	If the specification and drawings exceed sheets of paper, the application size fee is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. S 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s)		on size fee due ) for each on thereof. See							
	MULTIPLE DEPEN	IDENT CLAIM P	RESENT (3	7 CFR 1.16(j))						
* If 1	he difference in colu	umn 1 is less tha	n zero, ente	r "0" in column 2.		TOTAL			TOTAL	
APPLICATION AS AMENDED – PART II  (Column 1) (Column 2) (Column 3)					SMAL	L ENTITY	OR		ER THAN ALL ENTITY	
AMENDMENT	03/14/2012	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE (\$)	ADDITIONAL FEE (\$)		RATE (\$)	ADDITIONAL FEE (\$)
ME	Total (37 CFR 1.16(i))	* 28	Minus	** 26	= 2	X \$30 =	60	OR	X \$ =	
III I	Independent (37 CFR 1.16(h))	* 3	Minus	***3	= 0	X \$125 =	0	OR	X \$ =	
٩ME	Application Si	ize Fee (37 CFR	1.16(s))							
,	FIRST PRESEN	NTATION OF MULT	IPLE DEPEN	DENT CLAIM (37 CF	FR 1.16(j))			OR		
						TOTAL ADD'L FEE	60	OR	TOTAL ADD'L FEE	
		(Column 1)		(Column 2)	(Column 3)					
		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE (\$)	ADDITIONAL FEE (\$)		RATE (\$)	ADDITIONAL FEE (\$)
N. EN.	Total (37 CFR 1.16(i))	*	Minus	**	=	X \$ =		OR	X \$ =	
ENDMEN	Independent (37 CFR 1.16(h))	*	Minus	***	=	X \$ =		OR	X \$ =	
EN	Application Si	ize Fee (37 CFR	1.16(s))							
AMI	FIRST PRESEN	NTATION OF MULT	IPLE DEPEN	DENT CLAIM (37 CF	FR 1.16(j))			OR		
						TOTAL ADD'L FEE		OR	TOTAL ADD'L FEE	
** If	the entry in column the "Highest Numbe If the "Highest Numb "Highest Number P	er Previously Pai oer Previously Pa	d For" IN Th id For" IN T	HIS SPACE is less HIS SPACE is les	s than 20, enter "20' ss than 3, enter "3".	/ANNE	nstrument Ex FTE COWAN/ priate box in colu		er:	

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

#### NOTICE OF ALLOWANCE AND FEE(S) DUE

FOLEY AND LARDNER LLP SUITE 500 3000 K STREET NW WASHINGTON, DC 20007 EXAMINER

VALENROD, YEVGENY

ART UNIT

PAPER NUMBER

1621 DATE MAILED: 04/16/2012

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/334,731	12/15/2008	Hitesh BATRA	080618-0629	8804

TITLE OF INVENTION: PROCESS TO PREPARE TREPROSTINIL, THE ACTIVE INGREDIENT IN REMODULIN

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$870	\$300	\$0	\$1170	07/16/2012

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

#### PART B - FEE(S) TRANSMITTAL

#### Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents P.O. Box 1450

Alexandria, Virginia 22313-1450 (571)-273-2885 or <u>Fax</u>

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications. Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address) have its own certificate of mailing or transmission. 04/16/2012 FOLEY AND LARDNER LLP Certificate of Mailing or Transmission I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below. **SUITE 500** 3000 K STREET NW WASHINGTON, DC 20007 (Depositor's name (Signature (Date APPLICATION NO. FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. 12/334.731 12/15/2008 Hitesh BATRA 080618-0629 8804 TITLE OF INVENTION: PROCESS TO PREPARE TREPROSTINIL, THE ACTIVE INGREDIENT IN REMODULIN DATE DUE ISSUE FEE DUE PUBLICATION FEE DUE PREV. PAID ISSUE FEE TOTAL FEE(S) DUE APPLN, TYPE SMALL ENTITY YES \$870 \$300 \$0 \$1170 07/16/2012 nonprovisional CLASS-SUBCLASS **EXAMINER** ART UNIT VALENROD, YEVGENY 562-466000 1621 1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). 2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required. 2 registered patent attorneys or agents. If no name is listed, no name will be printed. 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment. (B) RESIDENCE: (CITY and STATE OR COUNTRY) (A) NAME OF ASSIGNEE 4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above) 4a. The following fee(s) are submitted: lssue Fee A check is enclosed. ☐ Publication Fee (No small entity discount permitted) Payment by credit card. Form PTO-2038 is attached. The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number \_\_\_\_\_\_ (enclose an extra copy of this for Advance Order - # of Copies \_ (enclose an extra copy of this form). 5. Change in Entity Status (from status indicated above) ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2). a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office. Authorized Signature Date Typed or printed name Registration No. This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process)

an application. Confidentiality is governed by 37 C.F.R. 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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#### UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS

P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/334,731	12/15/2008	Hitesh BATRA	080618-0629	8804
22428 75	90 04/16/2012		EXAM	INER
FOLEY AND LA	ARDNER LLP		VALENROD	, YEVGENY
SUITE 500 3000 K STREET N	IW		ART UNIT	PAPER NUMBER
WASHINGTON, I			1621	

DATE MAILED: 04/16/2012

#### **Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)**

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 324 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 324 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

#### **Privacy Act Statement**

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

	Application No.	Applicant(s)
	12/334,731	BATRA ET AL.
Notice of Allowability	Examiner	Art Unit
	VEVOENV VALENDOD	1601
	YEVGENY VALENROD	1621
The MAILING DATE of this communication appeal All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT R	(OR REMAINS) CLOSED in this appropriate communication IGHTS. This application is subject	oplication. If not included in will be mailed in due course. <b>THIS</b>
1. $\boxtimes$ This communication is responsive to <u>remarks filed 3/14/12</u> .		
<ol> <li>An election was made by the applicant in response to a rest the restriction requirement and election have been incorporate</li> </ol>		the interview on;
3. ☑ The allowed claim(s) is/are <u>1-17 and 20-30</u> .		
<ol> <li>Acknowledgment is made of a claim for foreign priority under</li> <li>a) ☐ All b) ☐ Some* c) ☐ None of the:</li> </ol>	er 35 U.S.C. § 119(a)-(d) or (f).	
<ol> <li>Certified copies of the priority documents have</li> </ol>	e been received.	
2.   Certified copies of the priority documents have	e been received in Application No	
3. Copies of the certified copies of the priority do	cuments have been received in this	national stage application from the
International Bureau (PCT Rule 17.2(a)).		
* Certified copies not received:		
Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONN THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.		complying with the requirements
<ol> <li>A SUBSTITUTE OATH OR DECLARATION must be submit INFORMAL PATENT APPLICATION (PTO-152) which give</li> </ol>		
6. CORRECTED DRAWINGS ( as "replacement sheets") mus	t be submitted.	
(a) ☐ including changes required by the Notice of Draftspers		0-948) attached
1) hereto or 2) to Paper No./Mail Date		
(b) ☐ including changes required by the attached Examiner's Paper No./Mail Date		Office action of
Identifying indicia such as the application number (see 37 CFR 1 each sheet. Replacement sheet(s) should be labeled as such in t		
<ol> <li>DEPOSIT OF and/or INFORMATION about the deposit of E attached Examiner's comment regarding REQUIREMENT FO</li> </ol>		
Attachment(s)		
1. Notice of References Cited (PTO-892)	5. Notice of Informal	Patent Application
2. Notice of Draftperson's Patent Drawing Review (PTO-948)	6. 🔲 Interview Summar	
3. ☑ Information Disclosure Statements (PTO/SB/08),	Paper No./Mail Da 7. ☐ Examiner's Amend	ate Iment/Comment
Paper No./Mail Date <u>10/12/11 and 3/12/12</u>	7. Lizammer's Americ	
4.   Examiner's Comment Regarding Requirement for Deposit	8. 🗌 Examiner's Statem	ent of Reasons for Allowance
of Biological Material	9. 🔲 Other	
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/YEVGENY VALENROD/		
Examiner, Art Unit 1621		

## Issue Classification



Application/Control No.	Applicant(s)/Patent Under Reexamination
12334731	BATRA ET AL.
Examiner	Art Unit

1621

ORIGINAL						INTERNATIONAL CLASSIFICATION									
CLASS SUBCLASS 562 466						С	LAIMED		NON-CLAIMED						
				С	0	7	С	62 / 00 (2006.01.01)							
CROSS REFERENCE(S)					С	0	7	С	65 / 00 (2006.01.01)						
CLASS SUBCLASS (ONE SUBCLASS PER BLOCK)				$\vdash$											
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YEVEGENY VALENROD

	Claims renumbered in the same order as presented by applicant						CF	'A [	] T.D.	[	☐ R.1.	47			
Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original
1	1	17	17												
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NONE		Total Clain	ns Allowed:
(Assistant Examiner)	(Date)	2	8
/YEVEGENY VALENROD/ Examiner.Art Unit 1621	04/13/2012	O.G. Print Claim(s)	O.G. Print Figure
(Primary Examiner)	(Date)	1	none

# Search Notes 12334731 Examiner Yevgeny Valenrod

Application/Control No.	Applicant(s)/Patent Under Reexamination
12334731	BATRA ET AL.
Examiner	Art Unit
Yevgeny Valenrod	1621

	SEARCHED		
Class	Subclass	Date	Examiner

SEARCH NOTES		
Search Notes	Date	Examiner
EAST search	4/13/2012	YV
inventor search	4/13/2012	YV

	INTERFERENCE SEARCH		
Class	Subclass	Date	Examiner
SEE EAST		4/13/2012	YV

/YEVEGENY VALENROD/ Examiner.Art Unit 1621	

12334731 - GAU: 1/5

Atty. Dkt. No. 080618-0629

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Hitesh BATRA et al.

Title:

AN IMPROVED PROCESS TO PREPARE TREPROSTINIL, THE

ACTIVE INGREDIENT IN REMODULIN®

Appl. No.:

12/334,731

Filing Date:

12/15/2008

Examiner:

Yevgeny Valenrod

Art Unit:

1621

Conf. No.:

8804

## INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.56

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56.

A copy of each non-U.S. patent document and each non-patent document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

10/13/2011 CCHAU1

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Receipt date: 10/12/2011 12334731 - GAU: 1621

Atty. Dkt. No. 080618-0629

#### **TIMING OF THE DISCLOSURE**

The listed documents are being submitted in compliance with 37 CFR §1.97(c), before the mailing date of any of a final action under 37 CFR §1.113, a notice of allowance under 37 CFR §1.311, or an action that otherwise closes prosecution in the application.

#### RELEVANCE OF EACH DOCUMENT

Any document listed on the attached PTO/SB/08 was cited as being relevant during the prosecution of the International Application No. PCT/US2011/38946, a copy of which is submitted herewith. An English-language abstract of foreign-language Document C4 is provided.

Applicants respectfully request that each listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

#### FEE

A credit card payment form in the amount of \$180.00 is enclosed to cover the fee associated with an information disclosure statement under 37 CFR §1.97(c).

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this submission under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741.

Respectfully submitted,

OCT 12 2011 Date

FOLEY & LARDNER LLP Customer Number: 22428

Telephone: (202) 295-4632

Facsimile:

(202) 672-5399

Alexey V. Saprigin

Attorney for Applicant Registration No. 56,439 Receipt date: 10/12/2011

1233473-10/s604.06-04621 Approved for use through 03/31/2007. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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	<ul> <li>Substitute for fo</li> </ul>	rm 144	49/PTO	C	omplete if Known	70.48
	INFORMATION	DISC	LOSURE	Application Number	12/334,731	氢
	STATEMENT BY APPLICANT		Filing Date	12/15/2008	, OCT 1 2 2011 है।	
Date Submitted: October 12, 2011			or 12 2011	First Named Inventor	Hitesh BATRA	A w
	Date Submitted. C	Clobe	12,2011	Art Unit	1621	2
	(use as many shee	ts as	necessary)	Examiner Name	Yevgeny Valenro	0 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1
Sheet	1	of	1	Attorney Docket Number	080618-0629	TOE MAIL

	U.S. PATENT DOCUMENTS										
	Cite	Document Number	Publication Date	Name of Patentee or Applicant of	Pages, Columns, Lines, Where Relevant						
	No.1	Number-Kind Code <sup>2</sup> ( <i>if</i> known)	MM-DD-YYYY	Cited Document	Passages or Relevan Figures Appear						
	C1	2004/0176645 A1	09/09/2004	Moriarty et al.	<u> </u>						
	C2	4,424,376 A	01/03/1984	Moniot et al.							
	C3	4,463,183 A	07/31/1984	Haslanger, Martin F.							

Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> -Number <sup>4</sup> - Kind Code <sup>5</sup> ( <i>if known</i> )	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Documents	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
C4	EP 0 004 335 A2	10/03/1979	Hoechst AG		Α
C5	WO 2009/117095 A1	09/24/2009	Arena Pharmaceuticals, Inc.		
C	o. <sup>1</sup>	0.1 Country Code <sup>3</sup> Number <sup>4</sup> - Kind Code <sup>5</sup> ( <i>if known</i> )  4 EP 0 004 335 A2	O. 1 Country Code 3 Number 4 MM-DD-YYYY Kind Code 5 (if known) 10/03/1979	Country Code <sup>3</sup> Number <sup>4</sup> MM-DD-YYYY Applicant of Cited Documents Kind Code <sup>5</sup> ( <i>if known</i> )  EP 0 004 335 A2 10/03/1979 Hoechst AG	Country Code <sup>5</sup> Number <sup>4-</sup> Name of Patentee or Applicant of Cited Documents  Kind Code <sup>5</sup> (if known)  Publication Date Applicant of Cited Documents  Applicant of Cited Documents  Figures Appear  Hoechst AG

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*			
		·	

Examiner Signature	/Yevgeny Valenrod/	Date Considered	04/13/2012

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3), 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

## **EAST Search History (Prior Art)**

Ref #	Hits	Search Query	DBs	Defa ult Oper ator	Plurals	Time Stamp
L1	4	((HITESH) near2 (BATRA)).INV.	US-PGPUB; USPAT; USOCR	OR	OFF	2012/04/13 15:41
L2	2	((SUDERSAN) near2 (TULADHAR)).INV.	US-PGPUB; USPAT; USOCR	OR	OFF	2012/04/13 15:41
L3	15	((RAJU) near2 (PENMASTA)).INV.	US-PGPUB; USPAT; USOCR	OR	OFF	2012/04/13 15:41
L4	186	((DAVID) near2 (WALSH)).INV.	US-PGPUB; USPAT; USOCR	OR	OFF	2012/04/13 15:41
L5	1	"6765117"	USPAT	OR	OFF	2012/04/13 15:41
L6	0	"20020173672"	USPAT	OR	OFF	2012/04/13 15:41
L7	1	("20020173672").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2012/04/13 15:41
L8	0	("2002/0173672").URPN.	USPAT	OR	OFF	2012/04/13 15:41
L9	1	("4306075").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2012/04/13 15:41
L10	1	("6441245").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2012/04/13 15:41
L11	1	("5387713").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2012/04/13 15:41
L12	1	("20050085540").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2012/04/13 15:41
L13	1	("20070078182").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2012/04/13 15:41
L14	1	("20070254032").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2012/04/13 15:41
L15	34	treprostinil diethanolamine	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	ADJ	OFF	2012/04/13 15:41
L16	1	("4845598").PN.	USPAT; USOCR	OR	OFF	2012/04/13 15:41

## **EAST Search History (Prior Art)**

L17	1	("4485598").PN.	USPAT; USOCR	OR	OFF	2012/04/13 15:41
L18	1	("4486598").PN.	USPAT; USOCR	OR	OFF	2012/04/13 15:41
L19	1	("4486598").URPN.	USPAT	OR	OFF	2012/04/13 15:41
L20	41	treprostinil same diethanolamine	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	ADJ	OFF	2012/04/13 15:41
L21	7	L20 not L15	US-PGPUB; USPAT; USOCR; EPO; JPO; DERWENT	ADJ	OFF	2012/04/13 15:41
L22	184	L1 or L2 or L3 or L4	US-PGPUB; USPAT	OR	OFF	2012/04/13 15:41
L23	3	L22 and treprostinil	US-PGPUB; USPAT	OR	OFF	2012/04/13 15:41
L24	794	(562/466).CCLS.	US-PGPUB; USPAT; USOCR	OR	OFF	2012/04/13 15:46
L25	0	I24 and treprostinil	USPAT	OR	OFF	2012/04/13 15:47
L26	5	I24 and treprostinil	US-PGPUB; USPAT	OR	OFF	2012/04/13 15:47

## **EAST Search History (Interference)**

Ref #	Hits	Search Query	DBs	Defa ult Oper ator	Plurals	Time Stamp
L27	0	(562/466). OOLS.	UPAD	OR	OFF	2012/04/13 15:48
L28	0	("treprostinil").PN.	UPAD	OR	OFF	2012/04/13 15:48
L29	1	((HITESH) near2 (BATRA)).INV.	USPAT; UPAD	OR	OFF	2012/04/13 15:48
L30	0	((SUDERSAN) near2 (TULADHAR)).INV.	USPAT; UPAD	OR	OFF	2012/04/13 15:48
L31	11	((RAJU) near2 (PENMASTA)).INV.	USPAT; UPAD	OR	OFF	2012/04/13 15:49
L32	124	((DAVID) near2 (WALSH)).INV.	USPAT; UPAD	OR	OFF	2012/04/13 15:49

Receipt date: 03/12/2012

Sheet

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Approved for use through 03/31/2007. OMB 0651-0031 S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE persons are purised to respond to a collection of information unless it contains a valid

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Substitute for form 1449/PTO PADEMAR INFORMATION DISCLOSURE STATEMENT BY APPLICANTE Date Submitted: (use as many sheets as necessary)

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Application Number	Complete if Known	OPA
Application Number	12/334,731	/
Filing Date	12/15/2008	MAD -
First Named Inventor	Hitesh BATRA	MAR 1 2 2012
Art Unit	1621	ান্ত্র
Examiner Name	Karl J. PUTTLITZ	To.
Attorney Docket Number	o80618-0629	PADEMARKO

U.S. PATENT DOCUMENTS							
	Cite	Document Number	Publication Date	Name of Patentee or Applicant of	Pages, Columns, Lines, Where Relevant		
	No.1		MM-DD-YYYY	Cited Document	Passages or Relevant Figures Appear		
	D1	2005/0101608 A1	05/12/2005	Santel, Donald J.	<u> </u>		
	D2	2007/0078182 A1	04/05/2007	Phares et al.			
	D3	2009/0163738 A1	06/25/2009	Batra et al.			
	D4	4,544,764 A	10/01/1985	Aristoff, Paul A.			
	D5	4,668,814 A	05/26/1987	Aristoff, Paul A.			
	D6	4,683,330 A	07/28/1987	Aristoff, Paul A.	-		

			FOREIGN PATENT	T DOCUMENTS		
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> -Number <sup>4</sup> - Kind Code <sup>5</sup> ( <i>if known</i> )	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Documents	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
	D7	CA 2 710 726 A1	01/22/2012	Alphora Research Inc., CA		
	D8	CN 101891596 A	11/24/2010	Shanghai Techwell Biopharmaceutical Co. Ltd.		A✓
	D9	CN 101891715 A	11/24/2010	Shanghai Techwell Biopharmaceutical Co. Ltd.		A
	D10	EP 0 087 237 B1	05/14/1986	The Upjohn Company		
	D11	EP 0 159 784 B1	06/07/1989	The Upjohn Company		
	D12	EP 0 175 450 B1	03/22/1989	The Upjohn Company		
	D13	EP 0 496 548 A1	07/29/1992	Purdue Research Foundation		
	D14	WO 98/39337 A1	09/11/1998	Hoechst AG		Α
:	D15	WO 99/21830 A1	05/06/1999	United Therapeutics Corporation		
	D16	WO 03/070163 A2	08/28/2003	United Therapeutics Corporation		
	D17	WO 2005/007081 A2	01/27/2005	United Therapeutics Corporation		
	D18	WO 2008/100977 A2	08/21/2008	N.V. Organon		
	D19	WO 2012/009816 A1	01/26/2012	Alphora Research Inc.		

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>
	D20	ALEXANDER et al., "The Synthesis of Benzindene Prostacyclin Analogs as Potential Antiulcer Agents," Prostaglandins, 1986, 32(5):647-653.	

Examiner Signature	 Date Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO**: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Receipt date: 03/12/2012

123347310/s604 (05:04)621 Approved for use through 03/31/2007. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

	Substitute for for	m 1449/PTO	C	omplete if Known	
	INFORMATION D	ISCLOSURE	Application Number	12/334,731	
	STATEMENT BY	APPLICANT	Filing Date	12/15/2008	
Data	Submitted: MAR	1 2 2012	First Named Inventor	Hitesh BATRA	
Date	Odbinitica. WAK	1 & LUIL	Art Unit	1621	
	(use as many sheet	s as necessary)	Examiner Name	Karl J. PUTTLITZ	
Sheet	2	of 3	Attorney Docket Number	080618-0629	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>
	D21	ARISTOFF et al., "Total Synthesis of a Novel Antiulcer Agent via a Modification of the Intramolecular Wadsworth-Emons-Wittig Reaction," J. Am. Chem. Soc., 1985, 107:7967-7974.	
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	D23	ARISTOFF et al., "Synthesis of Benzopyran Prostaglandins, Potent Stable Prostacyclin Analogs, Via an Intramolecular Mistunobu Reaction," Tetrahedron Letters, 1984, 25(36):3955-3958.	
	D24	BATRA et al., "Crystallization Process Development for a Stable Polymorph of Treprostinil Diethanolamine (UT-15C) by Seeding," Organic Process Research & Development, 2009, 13:242-249.	
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	D26	CHEMBURKAR et al., "Dealing with the Impact of Ritonavir Polymorphs on the Late Stages of Bulk Drug Process Development," Organic Process Research & Development, 2000, 4:413-417.	
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	D30	HICKS et al., "A Practical Titanium-Catalyzed Synthesis of Bicyclic Cyclopentenones and Allylic Amines," J. Org. Chem., 1996, 61:2713-2718.	
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	D32	KHAND et al., "Organocobalt Complexes. Part II. Reaction of Acetylenehexacarbonyl-dicobalt Complexes, (R¹C₂R²)Co₂(CO) <sub>6</sub> , with Norbornene and its Derivatives," J. Chem. Soc., J.C.S. Perkin I., 1973, 977-981.	
	D33	MATHRE et al., "A Practical Enantioselective Synthesis of <i>α</i> , <i>α</i> -Diaryl-2-pyrrolidinemethanol. Preparation and Chemistry of the Corresponding Oxazaborolidines," J. Org. Chem., 1991, 56:751-762.	

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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

Translation is attached.

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Receipt date: 03/12/2012

1233478 b/se@409-061621 Approved for use through 03/31/2007. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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	Substitute for fo	rm 1449/PTO	С	Complete if Known						
	INFORMATION	DISCLOSURE	Application Number	12/334,731						
	STATEMENT BY	Y APPLICANT	Filing Date	12/15/2008						
Data	Submitted:	4 0 2012	First Named Inventor	Hitesh BATRA						
Date	Submitted: MAF	1-2 Zuiz	Art Unit	1621						
	(use as many shee	ts as necessary)	Examiner Name	Karl J. PUTTLITZ						
Sheet	3	of 3	Attorney Docket Number	080618-0629						

	•	NON PATENT LITERATURE DOCUMENTS									
Examiner Initials*	Cite No. <sup>1</sup>										
	D34	MULZER et al., "Asymmetric Synthesis of Carbacyclin Precursors by Pauson-Khand Cyclization," Liebigs Ann. Chem., 1988, 891-897.									
	D35	NELSON, Norman A., "Prostaglandin Nomenclature," J. Med. Chem., September 1974, 17(9):911-918.									
	D36	PAGENKOPF, Brian L., "Substrate and Reagent Control of Diastereoselectivity in Transition Metal-Mediated Process: Development of a Catalytic Photo Promoted Pauson-Khand Reaction," Diss. Abstr. Int., 57(12):7535, 1977, Abstract.									
	D37	PAGENKOPF et al., "Photochemical Promotion of the Intramolecular Pauson-Khand Reaction. A New Experimental Protocol for Cobalt-Catalyzed [2 + 2 + 1] Cycloadditions," J. Am. Chem. Soc., 1996, 118:2285-2286.									
	D38	PAULSON, Peter L., "The Khand Reaction," Tetrahedron, 1985, 41(24):5855-5860.									
	D39	SCHORE, Neil E., "Transition-Metal-Mediated Cycloaddition Reactions of Alkynes in Organic Synthesis," Chem. Rev., 1988, 88:1081-1119.									
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	D43	VIEDMA, Cristobal, "Selective Chiral Symmetry Breaking during Crystallization: Parity Violation of Cryptochiral Environment in Control?" Crystal Growth & Design, 2007, 7(3):553-556.									
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Examiner Signature /Yevgeny Valenrod/	Date Considered	04/13/2012
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

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	Application/Control No.	Applicant(s)/Patent Under Reexamination
Index of Claims	12334731	BATRA ET AL.
	Examiner	Art Unit
	YEVEGENY VALENROD	1621

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	Application/Control No.	Applicant(s)/Patent Under Reexamination
Index of Claims	12334731	BATRA ET AL.
	Examiner	Art Unit
	YEVEGENY VALENROD	1621

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## Issue Classification



Application/Control No.	Applicant(s)/Patent Under Reexamination
12334731	BATRA ET AL.
Examiner	Art Unit

1621

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YEVEGENY VALENROD

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NONE	Total Claims Allowed:				
(Assistant Examiner)	(Date)	2	8		
/YEVEGENY VALENROD/ Examiner.Art Unit 1621	06/06/2012	O.G. Print Claim(s)	O.G. Print Figure		
(Primary Examiner)	(Date)	1	none		

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO. CONFIRMATION 1	
12/334,731	12/334,731 12/15/2008 Hitesh BATRA			8804
	7590 06/08/201 LARDNER LLP	2	EXAM	INER
SUITE 500 3000 K STREE	T NIXI		VALENROD	, YEVGENY
WASHINGTON		ART UNIT	PAPER NUMBER	
			1621	
			MAIL DATE	DELIVERY MODE
			06/08/2012	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

#### corrected Notice of Allowability

Application No.	Applicant(s)	
12/334,731	BATRA ET AL.	
Examiner	Art Unit	
YEVGENY VALENROD	1621	

	YEVGENY VALENROD	1621	
The MAILING DATE of this communication appear All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIOF of the Office or upon petition by the applicant. See 37 CFR 1.313	(OR REMAINS) CLOSED in this app or other appropriate communication IGHTS. This application is subject to	olication. If not include will be mailed in due	ed course. <b>THIS</b>
1. $\square$ This communication is responsive to <u>RUSH dated 5/22/12</u> .			
2. An election was made by the applicant in response to a rest the restriction requirement and election have been incorporate		ne interview on	.;
3. ☑ The allowed claim(s) is/are <u>1-17 and 20-30</u> .			
4. ☐ Acknowledgment is made of a claim for foreign priority under a) ☐ All b) ☐ Some* c) ☐ None of the:  1. ☐ Certified copies of the priority documents have 2. ☐ Certified copies of the priority documents have 3. ☐ Copies of the certified copies of the priority documents have International Bureau (PCT Rule 17.2(a)).  * Certified copies not received:  Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONN THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.	e been received. e been received in Application No cuments have been received in this r of this communication to file a reply	national stage applica	
5.  A SUBSTITUTE OATH OR DECLARATION must be submit INFORMAL PATENT APPLICATION (PTO-152) which give control of the control o	es reason(s) why the oath or declarant be submitted. son's Patent Drawing Review (PTO-son's Patent Drawing Review (PTO-son's Patent Drawing Review (PTO-son's Amendment / Comment or in the Ossale State (State of the State of th	tion is deficient.  948) attached  ffice action of  the sin the front (not the si).  bmitted. Note the	
Attachment(s)  1. ☐ Notice of References Cited (PTO-892)  2. ☐ Notice of Draftperson's Patent Drawing Review (PTO-948)  3. ☐ Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date  4. ☐ Examiner's Comment Regarding Requirement for Deposit of Biological Material  /YEVGENY VALENROD/ Examiner, Art Unit 1621	5. Notice of Informal P 6. Interview Summary Paper No./Mail Dat 7. Examiner's Amendn 8. Examiner's Stateme 9. Other	(PTO-413), e nent/Comment	wance
Examinor, Art Offic 1021			

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APPLICATION NO.	FILING DATE		FIRST NAMED INVE	NTOR		ATTO	RNEY DOCKET NO.	CON	FIRMATION NO.
12/334,731	12/15/2008		Hitesh BATRA	1			080618-0629		8804
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CFR 1.363).  Change of correspondedress form PTO/SE  "Fee Address" indi PTO/SB/47; Rev 03-0 Number is required.  ASSIGNEE NAME AI PLEASE NOTE: Univ	ence address or indication ondence address (or Chai 3/122) attached. ication (or "Fee Address" 2 or more recent) attached ND RESIDENCE DATA ess an assignee is identian in 37 CFR 3.11. Comp	nge of Correspondence  Indication form  Indication form  To BE PRINTED ON  fied below, no assignee	(1) the names of or agents OR, alte (2) the name of a registered attorne 2 registered paten listed, no name w  THE PATENT (print data will appear on	up to ernative single y or attorial be prorected to the part of type the part of the part	firm (having as a gent) and the name neys or agents. If norinted.	attorn membe s of up so name	era 2oto		ardner LLI
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his collection of informa n application. Confident ubmitting the completed nis form and/or suggestic iox 1450, Alexandria, Vi dexandria, Virginia 2231	tion is required by 37 Claility is governed by 35 application form to the ons for reducing this bursing 22313-1450. DO 3-1450.	FR 1.311. The information U.S.C. 122 and 37 CFR USPTO. Time will vary den, should be sent to the NOT SEND FEES OR COMMENT OF THE SEND FEES OR	on is required to obtain 1.14. This collection depending upon the e Chief Information C COMPLETED FORM	n or re is estin individ Officer IS TO	tain a benefit by the mated to take 12 m Jual case. Any con , U.S. Patent and T THIS ADDRESS.	e public inutes nments radema SEND	which is to file (and to complete, including on the amount of tim ark Office, U.S. Depar TO: Commissioner for	by the gathe e you tment r Pate	USPTO to process) ring, preparing, and require to complete of Commerce, P.O. nts, P.O. Box 1450,

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Electronic Patent A	pp	lication Fee	Transm	ittal		
Application Number:	12334731					
Filing Date:	15-	Dec-2008				
Title of Invention:	PROCESS TO PREPARE TREPROSTINIL, THE ACTIVE INGREDIENT IN REMODULIN					
First Named Inventor/Applicant Name:	Hitesh BATRA					
Filer:	Stephen Bradford Maebius/Karen Walker					
Attorney Docket Number:	080	0618-0629				
Filed as Large Entity						
Utility under 35 USC 111(a) Filing Fees						
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)	
Basic Filing:						
Pages:						
Claims:						
Miscellaneous-Filing:						
Petition:						
Patent-Appeals-and-Interference:						
Post-Allowance-and-Post-Issuance:						
Utility Appl issue fee		1501	1	1740	1740	
Publ. Fee- early, voluntary, or normal		1504	1 SteadyN	300 1ed - Exhibit 1002	300 - Page 212	

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Extension-of-Time:				
Miscellaneous:				
	Tot	al in USD	(\$)	2040

Electronic Acknowledgement Receipt					
EFS ID:	13244833				
Application Number:	12334731				
International Application Number:					
Confirmation Number:	8804				
Title of Invention:	PROCESS TO PREPARE TREPROSTINIL, THE ACTIVE INGREDIENT IN REMODULIN				
First Named Inventor/Applicant Name:	Hitesh BATRA				
Customer Number:	22428				
Filer:	Stephen Bradford Maebius/Karen Walker				
Filer Authorized By:	Stephen Bradford Maebius				
Attorney Docket Number:	080618-0629				
Receipt Date:	13-JUL-2012				
Filing Date:	15-DEC-2008				
Time Stamp:	12:55:55				
Application Type:	Utility under 35 USC 111(a)				
Payment information:					

Submitted with Payment	yes
Payment Type	Credit Card
Payment was successfully received in RAM	\$2040
RAM confirmation Number	10410
Deposit Account	
Authorized User	

## File Listing:

Document Document Description	File Name	File Size(Bytes)/ SteadyMed - Exhibit Message Digest	Multi 1002 - Page Part /: zip	Pages <sup>21</sup> (if appl.)
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1	Issue Fee Payment (PTO-85B)	IFTM.pdf	135476	no	1
i issue ree rayment (r10-65b)	ir i m.pui	c42d4537385e85efda78163909a1a1d3449 3ca5d	no	'	
Warnings:					
Information:					
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Warnings:					
Information:					
		Total Files Size (in bytes):	10	57805	

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450

Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	ISSUE DATE	PATENT NO.	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/334,731	08/14/2012	8242305	080618-0629	8804

8242305

22428

07/25/2012

FOLEY AND LARDNER LLP SUITE 500 3000 K STREET NW WASHINGTON, DC 20007

#### ISSUE NOTIFICATION

The projected patent number and issue date are specified above.

#### **Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)**

(application filed on or after May 29, 2000)

The Patent Term Adjustment is 567 day(s). Any patent to issue from the above-identified application will include an indication of the adjustment on the front page.

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Application Assistance Unit (AAU) of the Office of Data Management (ODM) at (571)-272-4200.

APPLICANT(s) (Please see PAIR WEB site http://pair.uspto.gov for additional applicants):

Hitesh BATRA, Herndon, VA; Sudersan M. TULADHAR, Silver Spring, MD; Raju PENMASTA, Herndon, VA; David A. WALSH, Palmyra, VA;

The United States represents the largest, most dynamic marketplace in the world and is an unparalleled location for business investment, innovation, and commercialization of new technologies. The USA offers tremendous resources and advantages for those who invest and manufacture goods here. Through SelectUSA, our nation works to encourage and facilitate business investment. To learn more about why the USA is the best country in the world to develop technology, manufacture products, and grow your business, visit <u>SelectUSA.gov</u>.

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Inventor Name:

Hitesh BATRA

Title:

AN IMPROVED PROCESS TO PREPARE TREPROSTINIL, THE ACTIVE INGREDIENT

IN REMODULIN®

Patent. No.:

8,242,305

Issue Date:

8/14/2012

Examiner:

Yevgeny VALENROD

Art Unit:

1621

Confirmation Number:

8804

### REQUEST FOR CERTIFICATE OF CORRECTION PURSUANT TO 37 C.F.R. § 1.323

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### Commissioner:

Enclosed, in duplicate, is a Certificate of Correction, Form PTO-SB/44, for United States Patent Number 8,242,305 issued August 14, 2012.

Correction of the term"tromethanine" to "tromethamine" in five instances in the claims is requested.

Applicants submit that the noted errors do not constitute new matter, and correction thereof would not require reexamination.

Pursuant to 37 C.F.R. §1.323, Applicants request that the enclosed Certificate of Correction be approved.

4817-0125-8775.1

Since the errors are not the fault of the Patent Office, payment is enclosed of the required fee of \$100.00.

The above-identified fees are being paid by credit card via EFS-Web.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by the credit card payment instructions in EFS-Web being incorrect or absent, resulting in a rejected or incorrect credit card transaction, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

Date

JAN 08 2014

FOLEY & LARDNER LLP

Customer Number: 22428

Telephone:

(415) 984-9810

Facsimile:

(415) 434-4507

Alexey V. Saprigin Agent for Applicants Registration No. 56,439

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. (Also Form PTO-1050)

# UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

PATENT NO.

8,242,305

APPLICATION NO.

12/334,731

DATED

8/14/2012

INVENTOR(S)

Hitesh BATRA; Sudersan M. TULADHAR; Raju PENMASTA; David A.

WALSH

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Replace the term "tromethanine" with --tromethamine -- as follows:

Col. 19, claim 7, line 26;

Col. 21, claim 22, line 10;

Col. 22, claim 25, line 25;

Col. 23, claim 27, line 4; and

Col. 24, claim 28, line 2.

MAILING ADDRESS OF SENDER (Please do not use customer number below):

Foley & Lardner LLP

3000 K Street, N.W., Suite 600

Washington, D.C. 20007-5143

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer,

U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Electronic Patent A	<b>\p</b> p	olication Fee	Transm	ittal	
Application Number:	12334731				
Filing Date:	15-Dec-2008				
Title of Invention:	PROCESS TO PREPARE TREPROSTINIL, THE ACTIVE INGREDIENT IN REMODULIN				
First Named Inventor/Applicant Name:	Hitesh BATRA				
Filer:	Ale	exey V. Saprigin/Kar	en Walker		
Attorney Docket Number:	08	0618-0629			
Filed as Large Entity					
Utility under 35 USC 111(a) Filing Fees					
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Basic Filing:					
Pages:					
Claims:					
Miscellaneous-Filing:					
Petition:					
Patent-Appeals-and-Interference:					
Post-Allowance-and-Post-Issuance:					
Certificate of Correction		1811	1	100	100
Extension-of-Time: SteadyMed - Exhibit 1002 - Page 220				- Page 220	

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
	Tot	al in USD	(\$)	100

Electronic Acknowledgement Receipt				
EFS ID:	17851239			
Application Number:	12334731			
International Application Number:				
Confirmation Number:	8804			
Title of Invention:	PROCESS TO PREPARE TREPROSTINIL, THE ACTIVE INGREDIENT IN REMODULIN			
First Named Inventor/Applicant Name:	Hitesh BATRA			
Customer Number:	22428			
Filer:	Alexey V. Saprigin/Karen Walker			
Filer Authorized By:	Alexey V. Saprigin			
Attorney Docket Number:	080618-0629			
Receipt Date:	08-JAN-2014			
Filing Date:	15-DEC-2008			
Time Stamp:	12:56:42			
Application Type:	Utility under 35 USC 111(a)			
Payment information:				

Submitted with Payment	yes
Payment Type	Credit Card
Payment was successfully received in RAM	\$100
RAM confirmation Number	9363
Deposit Account	
Authorized User	

# File Listing:

Documo Numb	Document Description	File Name	File Size(Bytes)/ SteadyMed - Exhibit Message Digest	Multi 1002 Page Part /:21p	Pages <sup>22</sup> (if appl.)

Total Files Size (in bytes)			1.	23404			
Information							
Warnings:							
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2	Fee Worksheet (SB06)	fee-info.pdf	30468	no	2		
Information							
Warnings:	Warnings:						
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This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

## UNITED STATES PATENT AND TRADEMARK OFFICE

# **CERTIFICATE OF CORRECTION**

PATENT NO. : 8,242,305 B2 Page 1 of 1

APPLICATION NO. : 12/334731

DATED : August 14, 2012

INVENTOR(S) : Hitesh Batra et al.

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

#### In the Claims

Replace the term "tromethanine" with --tromethamine-- as follows:

Col. 19, claim 17, line 26;

Col. 21, claim 22, line 10;

Col. 22, claim 25, line 25;

Col. 23, claim 27, line 4; and

Col. 24, claim 28, line 2.

Signed and Sealed this Twenty-fifth Day of February, 2014

Michelle K. Lee

Michelle K. Lee

 $Deputy\ Director\ of\ the\ United\ States\ Patent\ and\ Trademark\ Office$ 

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Inventor Name: Hitesh BATRA

Title: AN IMPROVED PROCESS TO

PREPARE TREPROSTINIL, THE ACTIVE INGREDIENT

IN REMODULIN®

Patent. No.: 8,242,305

Issue Date: 8/14/2012

Examiner: Yevgeny VALENROD

Art Unit: 1621

Confirmation Number: 8804

# REQUEST FOR CERTIFICATE OF CORRECTION PURSUANT TO 37 C.F.R. § 1.323

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Commissioner:

Enclosed is a Certificate of Correction, Form PTO-SB/44, for United States Patent Number 8,242,305 issued August 14, 2012.

Correction of the " $\alpha$ OR<sub>1</sub>: $\beta$ -R<sub>5</sub>" with -- $\alpha$ OR<sub>2</sub>: $\beta$ -R<sub>5</sub> -- in two instances in the specification, and in one instance in the claims, is requested.

Applicants submit that the noted errors do not constitute new matter, and correction thereof would not require reexamination.

Pursuant to 37 C.F.R. §1.323, Applicants request that the enclosed Certificate of Correction be approved.

Since the errors are not the fault of the Patent Office, payment is enclosed of the required fee of \$100.00.

The above-identified fees are being paid by credit card via EFS-Web.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by the credit card payment instructions in EFS-Web being incorrect or absent, resulting in a rejected or incorrect credit card transaction, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

Date

JAN 0 6 2015

FOLEY & LARDNER LLP

Customer Number: 22428

Telephone: Facsimile:

(415) 984-9810 (415) 434-4507 A Alayay V Sanrigir

Agent for Applicants Registration No. 56,439

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. (Also Form PTO-1050)

# UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

PATENT NO. : 8,242,305

APPLICATION NO. : 12/334,731

DATED : 8/14/2012

INVENTOR(S) : Hitesh BATRA; Sudersan M. TULADHAR; Raju PENMASTA; David A.

WALSH

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Replace " $\alpha OR_1$ :  $\beta$ - $R_5$ " with -- $\alpha OR_2$ :  $\beta$ - $R_5$  – as follows:

Col. 2, line 60; Col. 6, line 56; and Claim 1, col. 18, line 55.

MAILING ADDRESS OF SENDER (Please do not use customer number below):

Foley & Lardner LLP

3000 K Street, N.W., Suite 600

Washington, D.C. 20007-5109

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer,

U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Electronic Patent Application Fee Transmittal						
Application Number:	12334731					
Filing Date:	15-Dec-2008					
Title of Invention:	PROCESS TO PREPARE TREPROSTINIL, THE ACTIVE INGREDIENT IN REMODULIN					
First Named Inventor/Applicant Name:	Hitesh BATRA					
Filer:	Ste	phen Bradford Mae	ebius/Karen Wa	alker		
Attorney Docket Number:	080	0618-0629				
Filed as Large Entity						
Filing Fees for Utility under 35 USC 111(a)						
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)	
Basic Filing:						
Pages:						
Claims:						
Miscellaneous-Filing:						
Petition:						
Patent-Appeals-and-Interference:						
Post-Allowance-and-Post-Issuance:						
Certificate of Correction		1811	1	100	100	

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Extension-of-Time:				
Miscellaneous:				
	Tot	al in USD	(\$)	100

Electronic Acknowledgement Receipt				
EFS ID:	21128302			
Application Number:	12334731			
International Application Number:				
Confirmation Number:	8804			
Title of Invention:	PROCESS TO PREPARE TREPROSTINIL, THE ACTIVE INGREDIENT IN REMODULIN			
First Named Inventor/Applicant Name:	Hitesh BATRA			
Customer Number:	22428			
Filer:	Stephen Bradford Maebius/Karen Walker			
Filer Authorized By:	Stephen Bradford Maebius			
Attorney Docket Number:	080618-0629			
Receipt Date:	06-JAN-2015			
Filing Date:	15-DEC-2008			
Time Stamp:	12:29:22			
Application Type:	Utility under 35 USC 111(a)			

# **Payment information:**

yes
Credit Card
\$100
9465

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

File Listing:						
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)	
1	Request for Certificate of Correction	COC.pdf	225153	no	3	
	nequest for Certificate of Correction	COC.pui	8c092a714aeef4ffd08646ba97f1a6ef53060 760	110		
Warnings:			,	<u>'</u>		
Information:						
2	Fee Worksheet (SB06)	fee-info.pdf	30782	no	2	
2	ree worksheet (Sboo)	ree-imo.pui	2e9ce8f8ac0730d00e591a6a0e857772704c eecc	110	2	
Warnings:	<u>'</u>		•	'		

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

Total Files Size (in bytes):

#### New Applications Under 35 U.S.C. 111

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#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

255935

### SPE RESPONSE FOR CERTIFICATE OF CORRECTION

		<b>Paper No</b> .:20150303					
DATE	: March 03, 2015						
TO SPE	OF: ART UNIT 1672	2					
SUBJEC	: Request for Certificate of Correction on Patent No.: 8242305						
A response is requested with respect to the accompanying request for a certificate of correction.							
Certificat	•	return with file, within <b>7</b> days to: <b>anch - ST (South Tower) 9A22</b> (703) 305-8309					
<u>read as sh</u>		quested, correcting Office and/or Applicant's errors, should the patent f correction? No new matter should be introduced, nor should the scope or					
Thank Yo	ou For Your Assista	nce Certificates of Correction Branch					
Note your dec	est for issuing the a sision on the appropriated box.  Approved	All changes apply.					
	Approved in Part	Specify below which changes do not apply.					
	Denied	State the reasons for denial below.					
Commen	ts:						
		/BRANDON FETTEROLF/ Supervisory Patent Examiner.Art Unit 1672					

	SPE RESPONSE FO	Paper No.:
DATE	3/3/2015	
TO SPE OF	: ART UNIT <u>1621</u>	
SUBJECT	: Request for Certificate of Correct	tion for Appl. No.: 12/334.731 Patent No.: 8,242,305
		CofC mailroom date: 3/3/2015
Please resp	ond to this request for a cer	tificate of correction within 7 days.
FOR IFW F	ILES:	
he IFW app	ew the requested changes/collication image. No new mathe claims be changed.	orrections as shown in the <b>COCIN</b> document(s) in tter should be introduced nor should the scope or
	plete the response (see belonent code <b>COCX</b> .	ow) and forward the completed response to scanning
FOR PAPE	R FILES:	
Please revieorrection.	ew the requested changes/c Please complete this form (	orrections as shown in the attached certificate of see below) and forward it with the file to:
Ran	ificates of Correction Bran dolph Square – 9D10-A n Location 7580	nch (CofC)
In particular	note: <u>formulas (Clm 1) an</u>	d Col. 2 & 6
		Ernest C. White 571 272-3385
		Certificates of Correction Branch
Thank You F	or Your Assistance	703-756-1814
Thank Tou I	or roal rootstands	
	st for issuing the above-ide on on the appropriate box.	entified correction(s) is hereby:
	Approved	All changes apply.
	Approved in Part	Specify below which changes do not apply.
	) Denied	State the reasons for denial below.
Comments	<b>s:</b>	

## UNITED STATES PATENT AND TRADEMARK OFFICE

# **CERTIFICATE OF CORRECTION**

PATENT NO. : 8,242,305 B2 Page 1 of 1

APPLICATION NO. : 12/334731 DATED : August 14, 2012 INVENTOR(S) : Hitesh Batra et al.

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Replace " $\alpha$ OR<sub>1</sub>: $\beta$ -R<sub>5</sub>" with -- $\alpha$ OR<sub>2</sub>: $\beta$ -R<sub>5</sub>-- as follows:

In the Specification Col. 2, line 60; Col. 6, line 56; and

In the Claims Claim 1, col. 18, line 55.

> Signed and Sealed this Fourteenth Day of April, 2015

> > Michelle K. Lee

Michelle K. Lee

Director of the United States Patent and Trademark Office