Policy-Directed Code Safety

by David E. Evans

S.B. Massachusetts Institute of Technology (1994) S.M. Massachusetts Institute of Technology (1994)

Submitted to the Department of Electrical Engineering and Computer Science in partial fulfillment of the requirements for the degree of

Doctor of Philosophy at the Massachusetts Institute of Technology

February 2000

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Abstract

Executing code can be dangerous. This thesis describes a scheme for protecting the user by constraining the behavior of an executing program. We introduce Naccio, a general architecture for constraining the behavior of program executions. Naccio consists of languages for defining safety policies in a platform-independent way and a system architecture for enforcing those policies on executions by transforming programs. Prototype implementations of Naccio have been built that enforce policies on JavaVM classes and Win32 executables.

Naccio addresses two weaknesses of current code safety systems. One problem is that current systems cannot enforce policies with sufficient precision. For example, a system such as the Java sandbox cannot enforce a policy that limits the rate at which data is sent over the network without denying network use altogether since there are no safety checks associated with sending data. The problem is more fundamental than simply the choices about which safety checks to provide. The system designers were hamstrung into providing only a limited number of checks by a design that incurs the cost of a safety check regardless of whether it matters to the policy in effect. Because Naccio statically analyzes and compiles a policy, it can support safety checks associated with any resource manipulation, yet the costs of a safety check are incurred only when the check is relevant.

Another problem with current code safety systems is that policies are defined in *ad hoc* and platform-specific ways. The author of a safety policy needs to know low-level details about a particular platform and once a safety policy has been developed and tested it cannot easily be transferred to a different platform. Naccio provides a platform-independent way of defining safety policies in terms of abstract resources. Safety policies are described by writing code fragments that account for and constrain resource manipulations. Resources are described using abstract objects with operations that correspond to manipulations of the corresponding system resource. A platform interface provides an operational specification of how system calls affect resources. This enables safety policies to be described in a platform-independent way and isolates most of the complexity of the system.

This thesis motivates and describes the design of Naccio, demonstrates how a large class of safety policies can be defined, and evaluates results from our experience with the prototype implementations.

Thesis Supervisor: John V. Guttag Title: Professor, Computer Science



Acknowledgements

John Guttag is that rare advisor who has the ability to direct you to see the big picture when you are mired details and to get you to focus when you are distracted by irrelevancies. John has been my mentor throughout my graduate career, and there is no doubt that I wouldn't be finishing this thesis this millennium without his guidance.

As my readers, John Chapin and Daniel Jackson were helpful from the early proposal stages until the final revisions. Both clarified important technical issues, gave me ideas about how to improve the presentation, and provided copious comments on drafts of this thesis.

Andrew Twyman designed and implemented Naccio/Win32. His experience building Naccio/Win32 helped clarify and develop many of the ideas in this thesis, and his insights were a significant contribution to this thesis.

During my time at MIT, I've at the good fortune to work with many interesting and creative people. The MIT Laboratory for Computer Science and the Software Devices and Systems group provided a pleasant and dynamic research environment. Much of what I learned as a grad student was through spontaneous discussions with William Adjie-Winoto, John Ankcorn, Anna Chefter, Dorothy Curtis, Stephen Garland, Angelika Leeb, Ulana Legedza, Li-wei Lehman, Victor Luchangco, Andrew Myers, Anna Pogosyants, Bodhi Priyantha, Hariharan Rahul, Michael Saginaw, Raymie Stata, Yang Meng Tan, Van Van, David Wetherall, and Charles Yang. This work has also benefited from discussions with Úlfar Erlingsson and Fred Schneider from Cornell, Raju Pandey from UC Davis, Dan Wallach from Rice University, Mike Reiter from Lucent Bell Laboratories, and David Bantz from IBM Research.

Geoff Cohen wrote the JOIE toolkit used as Naccio/JavaVM's transformation engine and made its source code available to the research community. He provided quick answers to all my questions about using and modifying JOIE.

Finally, I thank my parents for their constant encouragement and support. I couldn't ask for two better role models.



Table of Contents

1 Introduction	9
1.1 Threats and Countermeasures	10
1.2 Background	13
1.3 Design Goals 1.3.1 Security 1.3.2 Versatility 1.3.3 Ease of Use 1.3.4 Ease of Implementation 1.3.5 Efficiency	14 16 16 17 17 18
1.4 Contributions	18
1.5 Overview of Thesis	19
2 Naccio Architecture	21
2.1 Overview	21
2.2 Policy Compiler	23
2.3 Program Transformer	24
2.4 Walkthrough Example	26
3 Defining Safety Policies	29
3.1 Resource Descriptions3.1.1 Resource Operations3.1.2 Resource Groups	29 30 32
3.2 Safety Properties 3.2.1 Adding State 3.2.2 Use Limits 3.2.3 Composing Properties	33 33 34 35
3.3 Standard Resource Library	36
3.4 Policy Expressiveness	39
4 Describing Platforms	41
4.1 Platform Interfaces	41
4.2 Java API Platform Interface 4.2.1 Platform Interface Level 4.2.2 File Classes 4.2.3 Network Classes 4.2.4 Extended Safety Policies	43 43 45 48 49



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