#### UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

Coriant Operations, Inc.,

Coriant (USA) Inc.,

Ciena Corporation,

Cisco Systems, Inc. and

Fujitsu Network Communications, Inc.

Petitioner

V.

Capella Photonics, Inc.
Patent Owner

Patent No. RE42,678

Filing Date: June 15, 2010 Reissue Date: September 6, 2011

Title: RECONFIGURABLE OPTICAL ADD-DROP MULTIPLEXERS WITH SERVO CONTROL AND DYNAMIC SPECTRAL POWER MANAGEMENT CAPABILITIES

Inter Partes Review No. Unassigned

PETITION FOR *INTER PARTES* REVIEW UNDER 35 U.S.C. §§ 311-319 AND 37 C.F.R. § 42.100 ET SEQ.



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## List of Exhibits Cited in this Petition<sup>1</sup>

Exhibit 1001: U.S. Reissued Patent No. RE42,678 to Wilde et al. ("678 patent")

Exhibit 1002: File History of U.S. Patent No. RE42,678 to Wilde et al. ("678 File History")

Exhibit 1003: U.S. Patent No. 6,498,872 to Bouevitch et al. ("Bouevitch")

Exhibit 1004: U.S. Patent No. 6,625,340 to Sparks et al. ("Sparks Patent," or "Sparks")

Exhibit 1005: Excerpts from Born et al., PRINCIPLES OF OPTICS, (6th Ed., Pergammon Press 1984)

Exhibit 1006: U.S. Patent No. 6,798,992 to Bishop et al. ("Bishop")

Exhibit 1007: U.S. Patent No. 6,507,421 to Bishop et al. ("Bishop '421")

Exhibit 1008: Provisional Patent App. No. 60/277,217 ("'678 Provisional")

Exhibit 1009: U.S. Patent No. 6,253,001 to Hoen ("Hoen")

Exhibit 1010: U.S. Patent No. 5,661,591 to Lin at al. ("Lin")

Exhibit 1011: Doerr et al., An Automatic 40-Wavelength Channelized Equalizer, IEEE Photonics Technology Letters, Vol., 12, No. 9, (Sept. 2000)

Exhibit 1012: U.S. Patent No. 5,936,752 to Bishop et al. ("Bishop '752")

Exhibit 1013: Excerpt from New World English Dictionary ("servo" and "servomechanism")

<sup>&</sup>lt;sup>1</sup> All exhibits filed with the Petition were copied exactly from IPR2015-00739, except that the prefixes of the exhibit numbers from IPR2015-00739 have been redacted. The exhibit numbers are otherwise identical.



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