1	UNITED STATES PATENT AND TRADEMARK OFFICE	
2	BEFORE THE PATENT TRIAL AND APPEAL BOARD	
3		
4		
5	RPX Corporation, Case No.: IPR2015-01750	
6	Patent No: 8,484,111 Petitioner,	
7	v. Case No. IPR2015-01751	
8	Case No. IPR2015-01752 Applications in Internet Time Patent No.: 7,356,482	
9	LLC,	
10	Patent Owner.	
11		
12		
13		
14	CONFIDENTIAL - PROTECTIVE ORDER MATERIAL	
15	DEPOSITION OF WILLIAM W. CHUANG	
16	San Francisco, California	
17	January 30, 2019	
18		
19		
20		
21		
22	Reported by:	
23	Kayla Knowles CSR No. 14071	
24		
25		
	RPX Exhibit 10 RPX v. AIT	95

1

BARKLEY

Court Reporters

IPR2015-01751

1	UNITED STATES PATENT AND TRADEMARK OFFICE
2	BEFORE THE PATENT TRIAL AND APPEAL BOARD
3	
4	RPX Corporation, Case No.: IPR2015-01750 Patent No: 8,484,111
5	Petitioner, vs. Case No. IPR2015-01751
6	Case No. 1PR2013-01731 Case No. 1PR2015-01752 Applications in Internet Patent No.: 7,356,482
7	Time LLC,
8	Patent Owner.
9	/
10	
11	
12	Deposition of WILLIAM W. CHUANG, at Barkley Court
13	Reporters, 201 California Street, Suite 375, San
14	Francisco, California, beginning at 9:55 a.m., Wednesday,
15	January 30, 2019, before KAYLA KNOWLES, Certified
16	Shorthand Reporter No. 14071.
17	
18	
19	
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1	San Francisco, California
2	Wednesday, January 30, 2019
3	00
4	BE IT REMEMBERED that set on Wednesday, the 30th day
5	of January, 2019, commencing at the hour of 9:55 a.m., at
6	the office of Barkley Court Reporters, 201 California
7	Street, Suite 375, San Francisco, California, before me,
8	Kayla Knowles, CSR No. 14071, a Certified Shorthand
9	Reporter, personally appeared
10	WILLIAM W. CHUANG,
11	having been called as a witness by the Patent Owner, who,
12	being by me first duly sworn, was thereupon examined and
13	testified as hereinafter set forth.
14	000
15	EXAMINATION
16	BY MR. SEREBOFF:
17	Q Would you state your name for the record, please.
18	A My name is Will Chuang, C-H-U-A-N-G.
19	Q Are you the same are you also known as William
20	W. Chuang?
21	A That's my full name, yes. I go by Will.
22	Q Okay. Well, can we see some form of
23	identification so that we can confirm that you are you?
24	A Happy to. I have a California driver's license.
25	Q Thank you. So before we get into the questions

1	and the answers, some general admonishments. Actually,
2	just curious.
3	Have you ever been deposed before?
4	A I have not.
5	Q Okay. Interesting. You're a lucky person.
6	A I view myself that way. Thanks.
7	Q Okay. So we probably will take some breaks,
8	but and if you need to take a break, just let me know.
9	We're not allowed to take a break unless I give the go
10	ahead, but I try to be a reasonable person about it.
11	One thing that I'm not reasonable about is if
12	there's a question pending, we won't take a break.
13	Generally speaking, what we try to do is take breaks at
14	logical breaking points, like, you know, a line of
15	questioning gets resolved, and then we can take a break.
16	During the course of this deposition, you can't
17	ask any of your attorneys for help. And I noticed that
18	Mr. Chiang is here.
19	MR. SEREBOFF: Steve, are you here as an
20	attorney? What's the basis for your appearance today?
21	MR. CHIANG: You can address me as Mr. Chiang
22	instead of Steve, and my basis is I am in-house counsel
23	for RPX. Unless there's a rule that you can point to that
24	prohibits my attendance here, please go on with the
25	deposition

1	MR. SEREBOFF: All I asked is why you're here.
2	So you're here as an attorney for RPX.
3	MR. CHIANG: I'm in-house counsel for RPX.
4	MR. SEREBOFF: Rich, is that correct? Is he here
5	as an attorney for RPX? I'm not trying to play games.
6	I'm just trying to understand what the basis for his being
7	in the room is.
8	MR. GIUNTA: Why do you need to understand what
9	the basis for this is a public proceeding; right? We
10	have confidential information, but he's available from
11	RPX.
12	And just so that we're clear, we want to mark the
13	transcript confidential protective order material.
14	What's the problem with Mr. Chiang being here?
15	MR. SEREBOFF: I didn't say that there was.
16	MR. GIUNTA: Okay.
17	MR. SEREBOFF: I just asked why. He got
18	defensive. You got defensive. I just asked a simple
19	question why.
20	MR. CHIANG: Please proceed with the deposition,
21	Mr. Sereboff. You're wasting time.
22	MR. SEREBOFF: It's my deposition, Mr. Chiang. I
23	will decide how we run it.
24	So for the record, my appearance, I am Steven
25	Sereboff appearing for Applications in Internet Time

MR. CHIANG: Do we need to confirm your identity, 1 2 Mr. Sereboff? Have you brought a driver's license here 3 today? MR. SEREBOFF: Mr. Chiang, if you're going to 4 interrupt me, I am going to ask you to leave. 5 MR. GIUNTA: So he didn't interrupt you. 6 I am Richard Giunta with Greenfield representing RPX. With me 7 is Elisabeth Hunt, also from Wolf Greenfield and 8 Mr. Chiang from RPX. 9 10 MR. SEREBOFF: Great. Thank you. BY MR. SEREBOFF: 11 12 So getting back to the ground rules. 13 During the course of the deposition, while we are on the record, you're not allowed to ask any of your 14 15 attorneys for help. Do you understand that? 16 Α Yes. 17 And as you've already done capably, when I ask you a question, you need to answer audibly, for example, 18 19 yes or no. Do you understand? 20 I do. 21 Great. So shaking your head doesn't go on the 22 record, but something -- something spoken does. Do you understand? 23 I do. 24 25 Great. And as I am asking questions, your

1 attorneys may state objections. What I will tell you is, 2 irrespective of an objection, you do have to answer every 3 one of my questions I ask unless your attorneys specifically say not to. Now, of course, if you don't 4 understand a question, you can say that as well. Do you 5 6 understand? 7 I do understand. 8 Great. And, furthermore, in order to keep the record relatively clean, it works best if we don't 9 10 interrupt one another. So, for example, if you're speaking, I'm not going to interrupt you; if I'm speaking, 11 12 you won't interrupt me. Do you understand? 13 Α I do. So you are an employee of RPX; is that correct? 14 15 Α That is correct. 16 And what is your title? 17 My current title is executive vice president of client services. 18 19 And how long have you worked for RPX? 20 I guess it would be -- I started at the beginning 21 of 2011; so since January of 2011. 22 0 And do you have -- did you go to university? 23 Α For --24 Strike that. 0

Do you have a bachelor's degree?

25

I do have a bachelor's degree. 1 Α 2 And what is that degree in? 3 My bachelor's degree is in industrial and operations engineering. And did you go to graduate school? 5 0 I went to law school after undergraduate. 6 Α 7 Did you work after undergraduate and before law 8 school? Α I did not. 9 10 And where did you go to law school? I went to law school at Harvard. 11 12 And when did you graduate from Harvard? 13 In summer of 1993. Α And are you a member of a bar anywhere? 14 0 15 Α I'm an active member of the California Bar. 16 And how long have you been an active member of the California Bar? 17 18 Α Well, I guess that would be since December of 1993. 19 20 Okay. And since the time that you've been a 21 member of the California Bar, aside from your work at RPX, 22 have you worked as an attorney? 23 Α Yes, I've worked as a practicing attorney. 24 I'm sorry. You worked as what? 25 Α I worked as an attorney in private practice.

Could you just briefly summarize your work 1 Q history as an attorney? 2 3 Well, I clerked for a year for a federal district court judge in San Francisco in 1993, the year after I 4 graduated. 5 And then I went to a law firm that was called 6 7 McCutchen Doyle Brown & Enersen back then. And I was there for until 1998, at which point I moved law firms. 8 You want me to --9 10 Yeah, keep going, please. Okay. From McCutchen, I went to another firm 11 12 called Brobeck Phleger & Harrison, and I was there until 13 the -- until Brobeck dissolved, at which point I went to O'Melveny & Myers, and I was at O'Melveny & Myers up 14 until -- that was my previous job before going to RPX. 15 16 And in your current work at RPX, do you consider 17 any of that to be legal work? MR. GIUNTA: Objection. Form. 18 19 THE WITNESS: Yeah, I'm not sure how you would --20 I am not sure I understand your question. Are you -- can 21 you clarify your question? BY MR. SEREBOFF: 22 23 Do you view your work at RPX as the work of an 24 attorney? 25 MR. GIUNTA: Objection. Form.

THE WITNESS: I don't -- we have attorneys at 1 2 RPX, in-house attorneys. I'm not one of them. 3 BY MR. SEREBOFF: Now, as a member of the California Bar, I assume 4 that -- strike that. 5 As a member of the California Bar, are you 6 7 familiar with your duties as an attorney, generally? 8 MR. GIUNTA: Objection. Form. THE WITNESS: Do you mean -- do you mean ethical 9 10 duties? BY MR. SEREBOFF: 11 12 Yes, ethical duties. 13 Yes. Α And those ethical duties include duty of loyalty? 14 15 MR. GIUNTA: Objection. Form. 16 THE WITNESS: To my --17 BY MR. SEREBOFF: That an attorney has a duty of loyalty to their 18 client? 19 20 Yes. Α An attorney has a fiduciary duty to their client? 21 22 Α Yes. 23 MR. SEREBOFF: Okay. I want to put into the 24 record Will's first and second declaration. 25 (Exhibit No. 2214 marked for

```
identification.)
1
2
             MR. SEREBOFF: That's the first. Here's the
3
    second.
              (Exhibit No. 2215 marked for
 4
             identification.)
 5
    BY MR. SEREBOFF:
 6
7
             So, Mr. Chuang, these were previously marked as
    Exhibits 1019 and 1073. Do you see that?
    Α
             I do.
9
10
             Okay.
             MR. GIUNTA: I'm sorry, Steve, to interrupt. I'm
11
12
    not sure it's clear which one is which. Can we make sure
    the record is clear that -- I assume 2214 is his first
13
    declaration, which was formerly 1019, but it wasn't clear
14
15
    to me.
16
             MR. SEREBOFF: Yeah.
17
             MR. GIUNTA: Thank you.
             MR. SEREBOFF: I'm sorry if I was unclear.
18
19
             MR. GIUNTA: Thank you.
    BY MR. SEREBOFF:
20
21
             So do you have any reason to believe that these
22
    aren't copies of the declarations that you've submitted in
23
    these IPRs?
24
             I haven't looked at them; so I don't know.
25
    don't have a reason.
```

Okay. And as far as --1 O 2 Α I'm assuming. 3 As far as I know, they are accurate copies. if you want to take a minute and leaf through just to 4 confirm, that's fine. 5 6 That would help. Thank you. Α 7 I don't see a reason to doubt that they're 8 copies. Great. Thank you. 9 10 Now, within these two declarations, you use some terms, and I just want to confirm that we can continue 11 12 using those terms in the way that you use them in your 13 declaration, and these terms are RPX. Okay? 14 Α I think so, yes. 15 0 Salesforce? 16 Α Yes. 17 0 AIT? 18 Α Yes. 19 AIT patents? If you want to look and see how 20 you've defined them, that's fine. But, honestly, these are terms I pulled out of your declaration just to make 21 22 sure that we could just use these so I don't --You're talking about the defined term AIT 23 24 patents? 25 Right, AIT patents.

- 1 A Okay.
  2 Q CBM.
- 3 A Do you know where that's used?
- 4 Q Not offhand.
- 5 A Okay. So if you're referring to the use of CBM
- 6 in paragraph 26 of my first declaration, Exhibit 1019,
- 7 then, yes, I agree.
- 8 Q Great. So we will keep using CBM in the same way
- 9 that you used it there.
- 10 A Yes.
- 11 Q Great. IPR.
- 12 A I guess I'm not sure how that's defined. It's --
- 13 you know, I understand what it means, at least I think I
- 14 do.
- 15 Q Well, what I am just trying to confirm is that
- 16 we're going to use the term -- so just to understand, one
- 17 of the other terms is AIT IPRs.
- 18 A Okay.
- 19 Q So IPR is a general term, and then we will talk
- 20 about the AIT IPRs. And these are terms you used in your
- 21 two declarations, and I want to confirm that we can
- 22 continue using them in the deposition the same way you
- 23 used them in your declaration.
- 24 A So I agree we should continue using them in the
- 25 same way. I'm just not -- I think it will depend on the

1 context. The reference to it may vary, depending on the 2 context of the question or the specific topic that we're 3 talking about. I am just not certain. But happy to be consistent with my declaration. 4 So do you find that sometimes terms can have 5 different meanings in different contexts? 6 7 Yeah, the same term could mean something 8 different, depending on the circumstances. So during the course of today's deposition, the 9 10 same term could have different meanings, depending on the 11 context? 12 MR. GIUNTA: Objection. THE WITNESS: 13 I don't know. It depends on the your question. I don't know where your line of 14 questioning will go; so I can't tell you there will be a 15 definitive difference in the use of the term. 16 17 MR. SEREBOFF: Will you read back my question? (Record read.) 18 19 THE WITNESS: And my response is I don't know 20 where your line of questions is going to go; so I don't 21 know that it will definitively have a different meaning because I don't know what the context is until I hear it. 22 BY MR. SEREBOFF: 23 24 Right. But it's possible? 25 Α I would think so, yes.

And just curious, you think that, in your 1 Q declarations, could there have been some inconsistent use 2 3 of terminology? MR. GIUNTA: Objection. Form. 4 THE WITNESS: Not that I'm aware of, but I'm 5 happy to go through and assess it in each instance, if you 6 7 want. BY MR. SEREBOFF: 8 Okay. Let's talk about some other terms just to 9 10 keep things easy for everybody. When I refer to the petitions, what I'm referring 11 12 to are the petitions for IPR in the AIT IPRs. Is that 13 clear? 14 Α Our petitions. That would be RPX's petitions. So if you'd 15 16 like, I'll call them RPX's petitions. Would that be 17 clearer? 18 Α Yes. 19 Okay. And if I refer to the PTAB, do you 20 understand that's the Patent Trial and Appeal Board at the US Patent and Trademark Office? 21 22 Α Yes. And if I talk about the CAFC or the 23 Great. federal circuit, you can understand that's the Court of 24 25 Appeals for the federal circuit?

Yes. 1 Α 2 Am I speaking too fast? 3 (Reporter clarification.) BY MR. SEREBOFF: 4 As you were leafing through your declarations, 5 you didn't happen to notice if there was any assertion of 6 7 privilege in these declarations, did you? 8 MR. GIUNTA: Objection. BY MR. SEREBOFF: 9 10 I just asked if you noticed. I'm confused by the question. 11 12 As you were leafing through your declarations right now, did you notice if there was any assertion of 13 attorney-client privilege? 14 15 MR. GIUNTA: Objection. Form. 16 THE WITNESS: I didn't notice, but I wasn't looking for that purpose. 17 BY MR. SEREBOFF: 18 19 Okay. Could you take a few minutes and go 20 through these declarations and tell me if you find any 21 assertion of attorney-client privilege in either of those declarations? 22 23 MR. GIUNTA: Objection. Form. THE WITNESS: So just so I understand what I 24 25 should be doing, you want me to go through and determine

- 1 whether something in the declaration should be deemed 2 privileged or we would claim that it's privileged? 3 that --BY MR. SEREBOFF: 4 I'm just asking you if there's any statement 5 in your declarations that something is privileged. 6 7 I can look. Α 8 I'm not asking for your opinion as a lawyer if 9 something is privileged; I'm just asking if there's an 10 assertion of privilege. MR. GIUNTA: Objection. 11 12 THE WITNESS: I can look for it. I'll be honest. 13 I'm still confused by the question. What's an example of 14 something that would be a declaration that would be 15 privileged? BY MR. SEREBOFF: 16 17 I'm sorry. I'm the one asking the questions; so 0 if you don't understand a question, that's a legitimate 18 19 response. 20 Α Okay. 21 But you do understand what the attorney-client 22 privilege is, don't you?
- 23 A I do, yes.
- 24 Q And you're familiar with assertions of
- 25 attorney-client privilege?

Α I am. 1 2 So all I'm asking is, is there any assertion of 3 attorney-client privilege in either of these declarations? MR. GIUNTA: Objection. 4 Form. 5 THE WITNESS: You mean an express assertion as part of the declaration? 6 BY MR. SEREBOFF: Yes. Great. 8 Α Let me look. 9 10 Thanks. I don't see any express assertions of privilege 11 12 in any declarations. 13 Thank you. Q Did you prepare for this deposition? 14 15 Α I did. 16 What did you do to prepare for it? 17 Α I read my declarations with our attorneys. Did you review any other documents besides the 18 declarations? 19 20 Some of the exhibits. 21 Okay. These would be the exhibits to your declarations? 22 23 Α Correct. Okay. Paragraph 32 of your first declaration 24 25 refers to, in the second sentence, Bates range RPX000077

```
Do you see that?
1
    to RPX000090.
2
    Α
             Yes.
3
             Okay. I'm going to hand you three more exhibits,
    which would be the documents in that range.
4
              (Exhibit No. 2216 marked for
 5
             identification.)
 6
7
              (Exhibit No. 2217 marked for
             identification.)
 8
              (Exhibit No. 2218 marked for
9
10
             identification.)
             MR. GIUNTA: I'm sorry, Counsel. Just to make
11
12
    the record clear, I believe you said the exhibits are
    going to be in the range that go up to Bates number ending
13
    in -90, and two of these are outside of that range.
14
15
             MR. SEREBOFF: You are correct. Thanks, Rich.
    BY MR. SEREBOFF:
16
17
             So, Mr. Chuang, are you familiar with these three
    documents? Have you seen any of these three before?
18
19
             It's been a long time since I've seen them, but I
    did see them when we put together this first declaration.
20
21
             So for the benefit of the record, could you tell
    me -- so Exhibit 2216 begins -- and as a shorthand, we
22
23
    will just refer to the last two digits of the Bates
24
    number.
25
             So on Exhibit 2216, it starts with Bates 77.
```

```
Where does that end?
1
2
    Α
             Sorry. Exhibit 2216?
3
             Right. So it starts with Bates 77. Where does
    it end?
4
    Α
             What's Exhibit 2216?
 5
             It starts with -- it starts with Bates 77.
 6
    Q
7
             MR. GIUNTA: I'm sorry. Steve, I think the
    confusion is -- we don't know yet. You handed three
8
    documents, but I am not sure the record was clear about
9
10
    what exhibit numbers you were adding to each of them.
             THE WITNESS: So I don't know what the reference
11
12
    to Exhibit 2216 is or whatever number you said. There is
    a Bates stamp RPX000077, but there's no exhibit stamp on
13
    it; so I'm not sure.
14
15
    BY MR. SEREBOFF:
16
             Right. Bates -- so the document that starts with
    Bates 77 is 2216.
17
             It is.
18
    Α
19
             MR. SEREBOFF: See that, Rich? You with me,
20
    Mr. Giunta?
21
             MR. GIUNTA: I now hear that we're going to mark
22
    the one that begins with 77 as Exhibit 2216. So is it
23
    okay if the witness is going to write it on his?
24
             MR. SEREBOFF: That's fine.
25
    ///
```

```
BY MR. SEREBOFF:
1
2
             The one that begins with 91 was marked as 2217,
3
    Exhibit 2217. And the one that begins with 94 is marked
    as 2218.
4
 5
             MR. GIUNTA: Thank you.
             MR. SEREBOFF: You're welcome.
 6
7
             THE WITNESS: Thank you.
    BY MR. SEREBOFF:
8
             So Exhibit 2216 that begins with Bates 77, where
9
10
    does that end?
             MR. GIUNTA: Objection.
11
                                       Form.
12
             THE WITNESS: I don't -- what is -- I'm not sure
    I understand your question. Where does what --
13
14
    BY MR. SEREBOFF:
15
             What is the last Bates number on that exhibit?
16
             Oh, the last Bates number on that Exhibit 2216
17
    is -- ends at 90.
             And if you look at Exhibit 2217, starting with
18
19
    Bates 91, and then you see the next page is Bates 92, and
    the last page is Bates 93. Do you see that?
20
21
    Α
             I see that, yes.
             Great. Now let's look at Exhibit 2218. It
22
23
    starts with Bates 94 and then Bates 95, then Bates 96,
    Bates 97, and Bates 98; correct?
24
25
    Α
            Correct.
```

Good. Okay. And you said that you've seen these 1 Q 2 before. 3 Do you understand that these were produced by RPX in the AIT IPRs? 4 I do. 5 Α And do you know what they are? 6 7 I was told these were -- I was told what they 8 were. What were you told that they were? 9 10 They were -- I was told these were a log of the 11 responsive information to your -- to AIT's discovery 12 requests -- to some of them. 13 And to your best understanding, what is the information on these documents representative of? 14 You know, I -- they seem to be a listing of -- in 15 16 Exhibit 2218, a listing of e-mails that include someone 17 from Salesforce and somebody from RPX. And in Exhibit 2217, it appears to be a listing 18 19 of phone calls or meetings between someone from RPX and 20 someone from Salesforce. Well, to be more clear, in 21 Exhibit 2217, the meetings between -- this seems to be a 22 listing of the meetings between someone from Salesforce 23 and someone from RPX who is responsible -- who was responsible, at the time, for managing our Salesforce tool 24 25 used internally.

```
What do you mean by "our Salesforce tool"?
 1
    O
2
             We -- RPX uses Salesforce -- Salesforce's general
3
    service for its own internal business purposes. And I'm
    not sure exactly what these -- these phone calls or
 4
    meetings were about, but they involved the person who was
 5
    responsible for making sure that we implement -- that
 6
7
    we've implemented Salesforce internally correctly and
    managed the -- the effective use and training of it as a
 8
    tool.
9
10
             And how do you know, from looking at this, that
    that's the case?
11
12
             MR. GIUNTA: Objection.
13
                            The person,
             THE WITNESS:
                                                         was --
    her principal responsibility at RPX was to manage our
14
    Salesforce tool for internal use.
15
    BY MR. SEREBOFF:
16
             Does RPX have a name for that Salesforce tool?
17
    0
             We call it Salesforce.
18
    Α
             Okay. We will keep calling it the Salesforce
19
20
    tool.
21
             So going back to your declaration, paragraph 32,
    the first stack. So could you read to me the first two
22
    sentences?
23
             Of paragraph 32?
24
25
    0
             Yes.
```

Of my first declaration? 1 Α 2 Yes. Q 3 "AIT's Discovery Request Number 4 calls for documents sufficient to show information relating to 4 meetings or communications between Salesforce and RPX that 5 are not limited to communications about the AIT-Salesforce 6 7 litigation, the AIT patents, or the AIT IPRs. 8 document provided is Bates range RPX000077 to RPX000090 -is responsive to that request." 9 10 Thank you. Could you please keep reading? Continuing in paragraph 32, my first declaration, 11 12 "The vast majority of e-mail communications were in 13 connection with setting up meetings and did not include any substantive content. The listing of participants in 14 15 meetings and phone calls is based on best recollection and 16 airs on the side of being overinclusive." 17 You want me to continue? 18 Q Certainly continue. You stopped at a semicolon. 19 "It is therefore possible that some of the listed individuals may not have actually attended." 20 21 Okay. One more sentence. Q 22 "Any meeting or communication not summarized in Α 23 the Section Roman III above had nothing to do with the AIT-Salesforce litigation, the AIT patents, or the AIT 24 IPRs." 25

Okay. So at the time that you wrote your 1 Q 2 declaration, this last sentence, you said "any meeting or communication." 3 Did you have any reason to believe that there was 4 anything -- that "any" meant anything other than "any"? 5 MR. GIUNTA: Objection. Form. 6 7 THE WITNESS: Can you restate your question? BY MR. SEREBOFF: 8 No. Actually, I'd like you to try to answer it 9 as best you can. 10 Okay. Can you restate your question or have it 11 12 read back? 13 (Record read.) 14 THE WITNESS: I think it meant any. 15 BY MR. SEREBOFF: 16 And you still believe it means any? 17 I believe it means what I thought it meant back when I made the declaration. 18 19 Okay. So you have no reason to change your testimony from what's in paragraph 32? 20 Not that I'm aware of. 21 Α 22 So you believe that Exhibit 2216 identifies any 23 meeting or communication as set forth in the last sentence of paragraph 32? 24 25 MR. GIUNTA: Objection. Form.

```
THE WITNESS: I guess I'm still confused by
1
2
    that -- I'm confused by that question. The "any meeting
3
    or communication" -- sorry. I'm going back to my
    declaration.
4
             Can you restate the last question, please?
 5
             (Record read.)
 6
7
             THE WITNESS: Yes, I believe that Exhibit 2216
    sets forth the "any meeting or communication" summarized
 8
    in paragraph 3 of my first declaration.
9
10
    BY MR. SEREBOFF:
             Okay. Great. Now, you talked about
11
12
    Exhibit 2217, and you said this relates to the Salesforce
13
    tool; is that correct?
             The internal Salesforce tool that we use for our
14
15
    business, yes.
16
             Okay. And so the -- the meetings logged, as
    referenced in or summarized in Exhibit 2217, there's no
17
    reason to have them included in 2216; correct?
18
19
             MR. GIUNTA: Objection. Form.
20
             THE WITNESS: Can you remind me what the
21
    discovery request -- I'm not allowed to ask questions.
             I don't know -- I don't remember -- I don't
22
23
    remember the specific requests that these were responsive
    to. It's been quite a while.
24
25
    ///
```

```
BY MR. SEREBOFF:
1
2
             That's fine. So you just read paragraph 32 of
3
    your first declaration; so I assume that you understand
    what Exhibit 2216 covers; right? Do you not understand
4
    what Exhibit 2216 covers?
 5
             MR. GIUNTA: Objection. Form.
 6
7
             THE WITNESS: Hold on. So please give me a
 8
    moment, and let me take a look at Roman III of my
    declaration.
9
10
    BY MR. SEREBOFF:
             Sure. Take your time.
11
12
             Can I ask to see Discovery Request Number 3?
    just don't remember what that discovery request was.
13
14
    0
             I don't think I have that handy.
15
             It appears to be -- it appears to be a list of
16
    communications that's responsive to Request Number 3, and
17
    I don't know -- I don't remember specifically the
18
    parameters of that request.
19
             Okay. Do you see any of the -- referring to
    Exhibit 2217, do you see any of the entries in
20
    Exhibit 2217 included in Exhibit 2216?
21
             I do not.
22
    Α
23
             Okay. Since we're doing this, why don't you take
    a look at Exhibit 2218 now.
24
25
             Do you see any of the entries in Exhibit 2218
```

included in Exhibit 2216? 1 2 Α I do not. 3 Okay. Looking at Exhibit 2218, did you notice that the entries are not in chronological order; so you can see that the first one is January 16th of 2014? You 5 see that? 6 7 Α Yes. MR. GIUNTA: Objection. Form and scope. 8 BY MR. SEREBOFF: 9 10 And the second is July 14, 2015? 11 Α Yes. 12 MR. GIUNTA: Objection --13 BY MR. SEREBOFF: But then if you keep going, the third one is now 14 15 January 28th of 2015, not in chronological order; right? MR. GIUNTA: Objection. Form and scope. 16 17 THE WITNESS: Does not appear to be. BY MR. SEREBOFF: 18 19 And as you scan down the list, do you see that a 20 lot of this is not in chronological order? MR. GIUNTA: Objection. Form and scope. 21 THE WITNESS: I think that's fair. 22 BY MR. SEREBOFF: 23 24 Why do you think these entries are not in 25 chronological order?

MR. GIUNTA: Objection. Form and scope. 1 2 Counsel, he didn't testify about this exhibit; so I don't 3 know why he's being asked about this exhibit. MR. SEREBOFF: I'll get there. 4 THE WITNESS: I don't know. 5 BY MR. SEREBOFF: 6 7 Okay. So in your declaration, you testified as to your knowledge of communications between RPX and 8 Salesforce; is that correct? 9 10 MR. GIUNTA: Objection. Form. THE WITNESS: I testified -- well, I would not 11 12 say that I testified as to all of the discussions between 13 RPX and Salesforce. BY MR. SEREBOFF: 14 15 And in your declarations, what communications 16 between RPX and Salesforce did you describe? 17 MR. GIUNTA: Objection. Form. THE WITNESS: I described the communications that 18 19 I was aware of and that I had personal knowledge of and 20 some of which I was close enough to understand what was discussed. 21 But a lot of -- there are a lot of other 22 23 discussions, particularly relating to the use of Salesforce as a tool for our company, that I'm aware --24 25 I'm aware happened on a regular basis but have no personal

1 knowledge of specifics of those discussions other than 2 they relate to the tool -- our use of the Salesforce tool. 3 BY MR. SEREBOFF: So, already, we've done some things to create 4 some shorthands to make communications between us in this 5 deposition easier; right? 6 MR. GIUNTA: Objection. 7 Form. 8 THE WITNESS: If you mean going over definitions and the exhibit numbers, yes. 9 10 BY MR. SEREBOFF: Good. Okay. So here's what I'd like to do is, 11 12 when I talk about communications between RPX and 13 Salesforce, when I talk about that from now on out, I'm excluding any communications relating to RPX's Salesforce 14 tool. Can we agree on that? 15 MR. GIUNTA: Objection. Form. 16 17 THE WITNESS: I think so. BY MR. SEREBOFF: 18 19 Q That's a yes? 20 Yes, I think so. Α 21 Okay. All right. So given that definition of 22 communications between RPX and Salesforce, do you believe 23 that you've had perfect visibility of all of those 24 communications? 25 MR. GIUNTA: Objection. Form.

THE WITNESS: Perfect. You mean knowledge of 1 2 every communication outside of -- with Salesforce outside 3 of the tool? BY MR. SEREBOFF: 5 Correct. 0 I guess I can't say I have perfect knowledge. 6 Α 7 So there could be communications between RPX and Salesforce that you're unaware of? 8 MR. GIUNTA: Objection. Form. 9 10 THE WITNESS: It's unlikely that there were substantial communications with Salesforce that I wasn't 11 aware of, but I suppose it's a possibility. 12 BY MR. SEREBOFF: 13 So from that standpoint, are you 100 percent 14 15 certain that your declarations cover all communications between RPX and Salesforce? 16 17 MR. GIUNTA: Objection. Form. I would say that I have a high 18 THE WITNESS: 19 level of confidence that any discussion with Salesforce 20 that involved the AIT litigation or the AIT patents and 21 subsequent to our filing of the AIT IPRs -- the AIT IPRs, I would have known about that. 22 BY MR. SEREBOFF: 23 Okay. That wasn't responsive to my question. 24 25 MR. SEREBOFF: Could you please read the question

```
1
    again?
2
              (Record read.)
3
             THE WITNESS: The way you've defined
    communications to be everything with Salesforce other than
 4
 5
    with respect to the Salesforce tool, I didn't -- my
 6
    declaration does not address -- cover all of those.
7
             My declaration only covers specific -- the
    specific responsive topics with -- you know, with respect
 8
9
    to your discovery requests.
10
    BY MR. SEREBOFF:
11
             Thank you.
12
             So in these communications, was there any
13
    discussion between RPX and Salesforce regarding the
14
    Salesforce litigation?
15
             MR. GIUNTA: Objection.
                                       Form.
             THE WITNESS: Salesforce litigation. Did we
16
    define that?
17
    BY MR. SEREBOFF:
18
             You know, I don't know if we did; so let's take a
19
20
    look and see if you did define it.
21
             Ah, in paragraph 32, you refer to the
22
    AIT-Salesforce litigation. So how about if we use that
23
    term?
24
    Α
             Okay.
25
             Okay. So let me ask the question again.
```

1	Were there any discussions between RPX and
2	Salesforce regarding the AIT-Salesforce litigation in
3	general?
4	MR. GIUNTA: Objection. Form.
5	THE WITNESS: So I believe I did testify to that.
6	There have been discussions a limited number of
7	discussions between RPX and Salesforce on that touched
8	on the AIT-Salesforce litigation.
9	BY MR. SEREBOFF:
10	Q And did you participate in those communications?
11	A I participated in some of them.
12	Q And the ones in which you didn't participate,
13	were you are you knowledgeable of them?
14	A Yes.
15	Q And in those communications between RPX and
16	Salesforce regarding the AIT-Salesforce litigation, what
17	specifically was discussed?
18	MR. GIUNTA: Objection. Form.
19	THE WITNESS: Well, I am this has been some
20	time; so I'm going back to my declaration, if that's okay.
21	So I think the first time it was discussed was on
22	a call with of Salesforce on
23	January 7, 2014. And in this discussion, we actually
24	didn't say very much because I don't think RPX actually
25	had very much information about AIT or the litigation at

1	the time.
2	But I did indicate that we had had some previous
3	dealings with the AIT litigation counsel in a separate
4	. Did you want me to continue?
5	BY MR. SEREBOFF:
6	Q Please, yes.
7	A We had a face-to-face meeting in February,
8	February 24, 2014, where we met at Salesforce offices with
9	. We the
10	purpose of the meeting, principally, was to introduce a
11	new primary contact for the Salesforce relationship.
12	But during that meeting, Salesforce did say that
13	they would like RPX to reach out to AIT and find out
14	information, to the extent we could, about what their
15	intentions were for their litigation campaign and what
16	their expectations might be.
17	And on June 30, 2014, , the new
18	primary contact with Salesforce, and
19	had a call with of Salesforce,
20	and in that in that call, I was told that Salesforce,
21	again, asked whether we could we, RPX, could find out
22	more about AIT's expectations.
23	And then on April I'm sorry. August 14, 2014,
24	we had a call sorry. Not we. had a call with
25	of Salesforce, and at that point,

1	I was told that Salesforce let know that
2	Salesforce had filed CBMs, had filed for a covered
3	business review covered business method review of the
4	AIT patents, and that Salesforce was no longer interested
5	in having RPX reach out to AIT. And as of that time, as
6	of that communication, RPX had not reached out to AIT.
7	On March 11, 2015, there was a call between
8	, Steve Chiang, and . I
9	believe that was a routine communication where and I
10	was told that we asked Salesforce if Salesforce would like
11	RPX to reach out to AIT to try to find information about
12	expectations AIT's expectations. And Salesforce I
13	was told said that they did not want us to reach out at
14	that time and would let us know if they wanted us to.
15	And then in April or May of 2015, there was a
16	call between and Steve Chiang and
17	of Salesforce, where Salesforce
18	began to bring up the subject of the AIT-Salesforce
19	litigation, and I believe immediately indicated that
20	we were not we, RPX, were not inclined to discuss that
21	matter. And that was the that was the end of that.
22	Q So no further communications regarding the
23	AIT-Salesforce litigation between RPX and Salesforce?
24	MR. GIUNTA: Objection. Form.
25	THE WITNESS: There were follow-up communications

with Salesforce on the AIT IPRs, but only to address 1 2 getting permission to disclose confidential information in 3 conjunction with the AIT IPR proceeding. And I think I actually speak to this in my second declaration, if you 4 want to go into that. 5 BY MR. SEREBOFF: 6 7 No, I don't think we need to go into that. That's fine. Thanks. 8 Now, you mentioned that, it seems on several 9 10 occasions, Salesforce asked RPX to reach out to AIT, and I refer to, in your first declaration, paragraph 24, and I 11 12 think you mentioned that just now; that on February 24, 13 2014, Salesforce asked RPX to reach out to AIT; is that correct? 14 That is correct. 15 16 And then the next paragraph, 25, it seems that 17 Salesforce again, on June 30, 2014, asked RPX to reach out to AIT. 18 19 That's correct. 20 Okay. And then the next paragraph, 26, we see that Salesforce now says, on August 14, 2014, "do not 21 22 reach out to AIT"; is that correct? 23 Α That is correct. So Salesforce asked you on February 24, 2014, to 24

reach out; Salesforce asked you on June 30, 2014, to reach

25

1	out.
2	Did RPX reach out to AIT in that in response
3	to those requests?
4	A We did not.
5	Q Now, do you believe reaching out to that RPX
6	reaching out to AIT, would that have been a benefit to
7	Salesforce under its membership and license agreement?
8	MR. GIUNTA: Objection. Form and scope.
9	THE WITNESS: Well, I'm not sure how you would
10	define benefit. It's a it was a request that they made
11	of us that we you know, which you know, which
12	clients do. We may or may not we may or may not follow
13	up on that request or execute on the request. You know,
14	I'm not sure I'm not sure how else to answer your
15	question.
16	BY MR. SEREBOFF:
17	Q So when Salesforce asked RPX on these two
18	occasions to reach out to AIT, do you believe that RPX was
19	contractually obligated to do that?
20	A No.
21	Q And if RPX were to do that, reach out to AIT on
22	behalf of Salesforce, would that be in the scope of
23	Salesforce being an RPX member?
24	MR. GIUNTA: Objection. Form and scope.
25	THE WITNESS: So we would not reach out on behalf

of Salesforce; so that's a -- we never reach out to an NPE 1 2 or patent owner on behalf of a client. What we do -- the 3 purpose of reaching out is to understand what expectations might be for a potential transaction where RPX would 4 acquire, potentially, license rights under their portfolio 5 for the RPX membership. 6 BY MR. SEREBOFF: 7 8 And was it the expectation in the -- in February 24th, '14, and June 30th of 2014, when Salesforce 9 10 asked RPX to reach out to AIT, that this could lead to RPX 11 obtaining a license from AIT? 12 MR. GIUNTA: Objection. Form and scope. 13 THE WITNESS: Well, I can't get inside the heads of Salesforce and their expectations, but what they were 14 15 suggesting is that -- I interpreted their request as 16 suggesting we should explore a potential membership deal 17 with AIT. 18 BY MR. SEREBOFF: 19 Great. And a membership deal would mean what? 20 Membership deal was what I described before, a relatively typical transaction where RPX acquires either 21 22 the patents or the right to license the patents --23 sublicense the patents to its membership. And so the -- say the desired outcome of reaching 24 25 out would be that, ultimately, Salesforce could get a

sublicense or some rights that would relieve it of 1 2 liability as asserted in the AIT-Salesforce litigation; is 3 that correct? MR. GIUNTA: Objection. Form and scope. 4 5 THE WITNESS: I quess where I'm stuck is desired outcome. I think there's a -- there's a -- a lot of 6 7 times, there's a curiosity. Clients have a curiosity 8 about what the expectations are. And so I don't know if -- there are oftentimes we will find -- we will get 9 10 expectations, and at least there isn't a desire to -- you 11 know, to get to a deal. Yeah, so that's -- I don't know 12 what their desire -- what motivated their desire. 13 BY MR. SEREBOFF: 14 Okay. And that's fair. And, you know, I can 15 tell you, as someone who has interacted with RPX over the 16 years, you know, I certainly can tell you I appreciate 17 what reaching out involves. I'm just trying to help get a clarification on the record. 18 19 Now, this process of RPX obtaining rights and a patent that it can then provide rights -- patent rights to 20 21 its members, is that part of -- is that RPX's core services? 22 23 Α Yes. Okay. And for the benefit of the record, can you 24 25 explain why it's better for RPX to obtain rights from a

1	patent holder as opposed to a defendant?
2	MR. GIUNTA: Objection. Form and scope.
3	THE WITNESS: Sorry. Can you can you read
4	back the question? I actually don't understand it.
5	(Record read.)
6	THE WITNESS: I don't understand the reference to
7	obtaining rights from a defendant.
8	BY MR. SEREBOFF:
9	Q Yeah, it's a bad question or certainly an
10	unclear question.
11	Anyhow, again, after Salesforce asked RPX on
12	February 24, 2014, to reach out to AIT, why didn't RPX do
13	that?
14	MR. GIUNTA: Objection. Scope.
15	THE WITNESS: Well, I don't know the specific
16	reason, but it wasn't an unusual outcome.
17	
18	
19	
20	
21	
22	BY MR. SEREBOFF:
23	Q And after Salesforce, on June 30, 2014, asked RPX
24	to reach out to AIT, do you know why RPX didn't reach out?
25	MR. GIUNTA: Objection. Scope.

THE WITNESS: It would be -- the answer I gave 1 2 you from the previous time would apply to this time as 3 well. BY MR. SEREBOFF: So you just don't know? 5 I don't know, but it's not an unusual -- it's not 6 7 an unusual outcome. 8 Okay. 0 MR. GIUNTA: Steve, we've been going about an 9 10 hour 15. If we can take a break when you get to a good stopping point, I'd appreciate it. 11 12 MR. SEREBOFF: Sure. Let me take a look. 13 BY MR. SEREBOFF: Okay. Now, regarding these two requests by 14 15 Salesforce for RPX to reach out to AIT, you said that -so RPX didn't reach out to AIT. 16 Did RPX reach out to anyone else that RPX would 17 think had a connection to AIT? 18 19 MR. GIUNTA: Objection. Form and scope. 20 THE WITNESS: So not that I'm aware of, but it's 21 possible that -- not that I'm aware of. BY MR. SEREBOFF: 22 23 Okay. Now, the communications that we've been discussing between RPX and Salesforce, these 24 communications, does that include communications between 25

outside lawyers for RPX and Salesforce? 1 2 MR. GIUNTA: Objection. Form and scope. 3 THE WITNESS: I think I would be -- would have been aware of those discussions. 4 BY MR. SEREBOFF: 5 So to the best of your knowledge, outside counsel 6 for RPX has never communicated with Salesforce regarding 7 AIT or the AIT-Salesforce litigation or the AIT IPRs? 8 MR. GIUNTA: Objection. Form. 9 10 THE WITNESS: Did I -- did I -- I don't -- I don't recall any of the -- any discussion about that. 11 12 BY MR. SEREBOFF: 13 Yeah, I don't think that there were. I don't know, but --14 15 Α Okay. I'm not aware of any. 16 Actually, maybe not. 17 MR. SEREBOFF: Anyhow, I think this is a good time to take a break. Let's go ahead and take a break, 18 19 and we can go off the record. Thanks, guys. 20 (Off the record.) BY MR. SEREBOFF: 21 We are back on the record. 22 0 23 Okay. Mr. Chuang. So we have been discussing communications between RPX and Salesforce, and I have some 24 25 more questions for you in that regard.

In paragraph 21 of your first declaration, you 1 2 describe some communications between RPX and Salesforce. 3 Do you see that? And take your time in reading the 4 paragraph. Yes. 5 Α Okay. And do you recall that September 15, 2015, 6 7 was after RPX had filed the petitions for IPR and the AIT IPRs? 8 Honestly, I don't recall the petition filing 9 10 date -- at least today I don't. Okay. So in paragraph 26 of your declaration, 11 you stated that -- oh, I'm sorry. Strike that. 12 13 looking for an easy shorthand way to get the filing date on the record. Okay. So let's go back to paragraph 21 of 14 15 your first declaration. 16 Okay. In the first sentence, it says, 17 "Subsequent to the AIT IPRs, the only communications between RPX and Salesforce regarding the AIT IPR," et 18 19 cetera. Do you see that? 20 Yes. 21 Okay. So in the guise of subsequent to the 22 filing of the AIT IPRs and these -- the communications 23 referenced here in 21 by you, in any of these communications, did Salesforce ask RPX to withdraw the 24 25 petitions?

Not that I'm aware of. 1 Α 2 In any of these communications, did Salesforce 3 comment on the petitions? MR. GIUNTA: Objection. Form. 4 THE WITNESS: Not that I'm aware of. 5 BY MR. SEREBOFF: 6 And so in those communications, Salesforce didn't 7 say they weren't happy with the petitions? 9 Α I'm not aware they commented on the IPRs in any 10 way. Are you aware if Salesforce has ever objected to 11 12 RPX filing those petitions? 13 MR. GIUNTA: Objection. Form. 14 THE WITNESS: I'm not aware -- objected to us? 15 BY MR. SEREBOFF: 16 Yes. 17 Α I'm not aware of them ever objecting. And has Salesforce ever asked RPX to stop 18 19 pursuing the IPRs -- the AIT IPRs? 20 Not that I'm aware of. 21 And has Salesforce ever objected to RPX for 22 pursuing the AIT IPRs? 23 MR. GIUNTA: Objection. Form. 24 THE WITNESS: Can you read that question back, 25 please?

```
(Record read.)
1
2
             THE WITNESS: Not that I'm aware of.
3
    BY MR. SEREBOFF:
             Okay. Now, the telephone call referenced here in
 4
    paragraph 21 on September 15, 2015, could you point to me
 5
    in Exhibits 2216, 2217, or 2218 where that phone call is
6
7
    listed?
             MR. GIUNTA: Objection. Form.
 8
    BY MR. SEREBOFF:
9
10
             I guess you don't even have to look at 2217
    because you already said that 2217 is relating only to the
11
12
    Salesforce tool.
13
             Right. I believe it shows up on Bates stamp
    Α
    page 90 as the third entry from the top.
14
15
             Thank you.
             Now, paragraph 21 of your first declaration
16
17
    further references an e-mail from . Do you
    see that?
18
19
    Α
             Yes.
20
             Same sentence.
21
             And can you tell me where that e-mail is listed
    in any of these two exhibits?
22
             MR. GIUNTA: Objection.
23
                                      Form.
24
             THE WITNESS: I can't find it.
25
    ///
```

```
BY MR. SEREBOFF:
1
2
             Thank you. Okay. So look at paragraph 27 of
3
    your first declaration.
             Do you see that it references a phone call on
 4
    March 11, 2015?
 5
 6
             Yes.
    Α
7
             And can you tell me if that phone call is
    included in the logs of paragraph -- of Exhibits 2216 or
9
    2218?
10
             We're talking about paragraph 27 of my first
    declaration?
11
12
             Right. So it says March 11, 2015, "in a phone
    call."
13
14
             Do you see that phone call listed in those logs?
15
             I believe it shows up in the log on Bates stamp
16
    page 84 as the last entry.
17
    0
             Okay. Thanks.
             Now, looking at paragraph 28 of your first
18
    declaration, it refers to a call on April -- in April or
19
20
    May of 2015. Can you point to me where that call is
    logged?
21
             MR. GIUNTA: Objection. Form.
22
             THE WITNESS: I'm uncertain -- I'm uncertain
23
    which precise call is the correct one. But there are --
24
25
    there is -- on Bates stamp page 87, there's a call listed
```

on the second entry from the top and another call listed 1 2 at the fourth -- the fourth entry from the top. 3 BY MR. SEREBOFF: And so you think --Α My best recollection is it was one of those two 5 calls. 6 7 Great. Okay. Thanks. Perfect. So in these communications between RPX and 8 Salesforce, did Salesforce ever make any high-level 9 10 statements relating to the AIT patents such as, "We know the patents are invalid"? 11 12 MR. GIUNTA: Objection. THE WITNESS: Not that I recall. 13 BY MR. SEREBOFF: 14 15 Did RPX make any kind of comments like that? MR. GIUNTA: Objection. 16 17 THE WITNESS: Not that I recall. BY MR. SEREBOFF: 18 In these communications between RPX and 19 Salesforce, was there ever a discussion regarding 20 21 Salesforce losing the CBMs? 22 MR. GIUNTA: Objection. Form. 23 THE WITNESS: That's in my declaration. So in paragraph -- in paragraph 27 of my first 24 25 declaration, I discuss a call on March 11, 2015, where

- 1 we -- if Salesforce would like us to reach out to AIT in
- 2 view of the fact that Salesforce's petition for CBM had
- 3 been denied, and Salesforce declined at that time.
- 4 BY MR. SEREBOFF:
- 5 Q Were there any other discussions?
- 6 A Not that I recall.
- 7 Q Okay. Were there any discussions between RPX and
- 8 Salesforce regarding any other IPR?
- 9 MR. GIUNTA: Objection. Form and scope.
- 10 THE WITNESS: Do you mean -- I don't recall
- 11 discussing any IPR with Salesforce at all.
- 12 BY MR. SEREBOFF:
- 13 Q And what about the rest of the team?
- 14 A Not that I'm aware of. You're asking about IPRs
- other than the ones that were filed in the AIT matter?
- 16 Q Yes, correct.
- 17 A Not that I recall.
- 18 Q Okay. Do RPX and Salesforce have a common
- 19 interest agreement?
- MR. GIUNTA: Objection. Form and scope.
- THE WITNESS: I guess I'm not sure what a common
- 22 interest agreement would be.
- 23 BY MR. SEREBOFF:
- 24 Q So have you ever seen an agreement called a
- 25 common interest agreement?

MR. GIUNTA: Objection. Form and scope. 1 2 THE WITNESS: In my private practice, I've seen 3 it once or twice, I think. BY MR. SEREBOFF: 4 Okay. And what do you understand a common 5 interest agreement is? 6 MR. GIUNTA: Objection. Form and scope. 7 8 THE WITNESS: A common interest agreement is a legal -- a legal relationship that litigants will 9 10 sometimes put in place. BY MR. SEREBOFF: 11 12 And what's the normal reason for putting in place a common interest agreement? 13 14 MR. GIUNTA: Objection. Form and scope. 15 THE WITNESS: I think it has something to do with 16 retaining privilege. 17 BY MR. SEREBOFF: Privilege, like attorney-client privilege? 18 19 Or work-product privilege. 20 MR. GIUNTA: Excuse me. Objection. Form and 21 scope. BY MR. SEREBOFF: 22 23 So a common interest agreement is there to provide the attorney-client privilege among two parties --24 25 MR. GIUNTA: Objection. Form and scope.

```
BY MR. SEREBOFF:
1
2
             -- who might otherwise not have a benefit?
             I think it's more work product. I think it's
3
    more pertaining to work-product privilege.
 4
             And are you aware if RPX and Salesforce have a
 5
 6
    common interest agreement?
             MR. GIUNTA: Objection. Form and scope.
7
8
             THE WITNESS: I am aware of a document that I
    think we've produced to you which was a
9
10
    program --
                             -- which expired -- I don't
    recall off the top of my head when it expired.
11
12
             (Reporter clarification.)
13
    BY MR. SEREBOFF:
14
             It's expired. We don't need a date.
15
             So I'm not -- I wouldn't characterize that as a
16
    common interest agreement, but that -- there was that
17
    agreement.
18
             In that
                      -- what did you call it? A
19
                   program?
20
    Α
             Yes.
21
             Were those services that RPX provided to
22
    Salesforce as part of the membership and licensing
23
    agreement?
24
             MR. GIUNTA: Objection. Form.
25
             THE WITNESS: It was really not a service at all.
```

```
It was -- we were experimenting with, I think, a way to
1
2
    have clients share
                        through RPX and, also, if we
3
    found some, that we could provide it to our clients in
    case they found it useful for their own use.
 4
    BY MR. SEREBOFF:
 5
             Okay. And as it relates to AIT, does Salesforce
 6
7
    and RPX share attorney-client privilege?
 8
             MR. GIUNTA: Objection. Form and scope.
             THE WITNESS: I don't -- I don't see how. But I
9
10
    would leave it up to the lawyers to decide.
    BY MR. SEREBOFF:
11
12
             Okay. Turning to your second declaration,
13
    Section 2, starting at paragraph 12.
             And in paragraphs 12 and 13, it appears that you
14
15
    are summarizing agreements between RPX and Salesforce; is
16
    that correct?
17
             MR. GIUNTA: Objection. Form.
             THE WITNESS: I think that's correct.
18
19
    BY MR. SEREBOFF:
20
             Do paragraphs 12 and 13 summarize all of the
21
    agreements between RPX and Salesforce except for
22
    agreements relating to the Salesforce tool?
23
             MR. GIUNTA: Objection. Form.
24
             THE WITNESS: I believe it does.
25
    ///
```

```
BY MR. SEREBOFF:
1
2
             Okay. Now, in paragraph 15 of your second
3
    declaration, you refer to that RPX extended to
    Salesforce; is that correct?
4
 5
             Yes.
    Α
 6
             Okay. And it says that, in , Salesforce
7
                                             ; is that
8
    correct?
9
             MR. GIUNTA: Objection. Form.
10
             THE WITNESS: Yes, in paragraph 15, I say that
    the Salesforce annual membership and license fee was
11
12
    BY MR. SEREBOFF:
13
14
             Great. So why was
15
             MR. GIUNTA: Objection. Form.
             THE WITNESS: Specifically, it's hard to recall
16
17
    because we have lots of members renewing at any given
           There is -- we have a -- we have
18
    time.
19
20
    BY MR. SEREBOFF:
21
             Of course.
22
    Q
23
    Α
             Please keep it that way.
24
25
```

54

```
1
2
3
             Forgive me. I'm a little confused because
    was that a , or was that the original agreement --
    the original membership agreement?
 5
             MR. GIUNTA: Objection. Form.
 6
7
             THE WITNESS: If you give me a moment and give me
    access to the exhibit, I can actually answer that.
    BY MR. SEREBOFF:
9
10
             Great. Let's do that.
             MR. SEREBOFF: So this is going to be 2219, and
11
12
    it's the membership agreement.
             (Exhibit No. 2219 marked for
13
             identification.)
14
    BY MR. SEREBOFF:
15
             So having looked at Exhibit 2219, do you believe
16
17
    original membership agreement, not to a ?
18
             MR. GIUNTA: Objection.
19
             THE WITNESS: Give me a minute.
20
21
                -- the payment in was the
22
        of the term -- of their membership term under their
23
    membership and license agreement, which is Exhibit 2219.
    BY MR. SEREBOFF:
24
25
            Okay. Was there
```

```
1
    for the original membership agreement?
2
             MR. GIUNTA: Objection.
                                       Form.
3
             THE WITNESS: It appears there was
             -- I'm sorry --
 4
                              -- built into this agreement.
 5
    BY MR. SEREBOFF:
 6
7
             Okay. Thank you.
8
             MR. SEREBOFF: I'm going to hand you -- this will
9
    be Exhibit 2220, the first amendment.
10
              (Exhibit No. 2220 marked for
             identification.)
11
12
             MR. SEREBOFF: And I'll give you 2221, the second
    amendment.
13
14
              (Exhibit No. 2221 marked for
15
             identification.)
    BY MR. SEREBOFF:
16
17
             So Exhibit 2219, the membership agreement, is
    that a multiyear agreement?
18
19
    Α
20
             And what's the -- what's the term of the
    agreement?
21
22
    Α
             It's
                                         term.
23
    0
             Okay.
24
             And looking at Exhibit 2220, can you explain to
25
   me what that is?
```

```
1
             This is a contractual amendment of the Salesforce
    Α
2
    membership and license agreement.
3
    Q
             Okay.
                    Saleforce's RPX membership.
             And it
 4
    Α
             And what's the
 5
    0
 6
    Α
7
             So returning to the membership agreement,
    Exhibit 2219, that's
8
9
             When did it start?
10
    Α
             The effective date is
             And would be
11
    O
12
    Α
             Correct.
             That's my birthday,
13
    Q
             It's coming up.
14
    Α
             Getting old. All right.
15
             So then the first amendment, that's dated
16
17
            ; correct?
    Α
             Yes.
18
             Okay. And what's the additional term from that
19
20
    agreement -- or excuse me -- of the amendment?
             MR. GIUNTA: Objection. Form.
21
             THE WITNESS: Sorry. Could you read back that
22
23
    question?
24
             (Record read.)
25
             THE WITNESS: The amendment
```

```
1
    membership for
    the original membership; so it would the membership
2
3
    BY MR. SEREBOFF:
             Okay. And how about the second amendment that's
 5
    Exhibit 2221? Does that have
 6
7
             I'm unclear on the question. Are you asking
    whether the second amendment creates
    are you -- I'm unclear on your question.
9
10
             Yeah, so the first amendment includes an
11
                ; correct?
12
             That's correct. The
                                             RPX membership,
13
    correct.
             So does the second amendment have a similar
14
15
    provision?
             MR. GIUNTA: Objection. Form.
16
17
             THE WITNESS: Well, I think the second amendment
    says what it says. It's not -- it's not a document about
18
             the membership.
19
    BY MR. SEREBOFF:
20
             Is Salesforce a member of RPX now?
21
22
    Α
             Could you explain how it is that
23
          -- I'm sorry.
24
25
             Could you explain how
```

58

1	, by the terms of the first amendment, the term
2	?
3	A Give me a moment to check back to my declaration.
4	Q Can I help you a little bit? Take a look at the
5	membership agreement, Section 3.2. That might help.
6	But what did you see in your declaration?
7	A If it's okay with you, I'd like to take a look at
8	the , third amendment to the membership and
9	license agreement, which I it's Exhibit 1077 to my
10	declaration. I think the answer I believe the answer
11	to your question is in that document.
12	Q All right. You know what? I don't know that I
13	have that handy with me, and that's fine. I'll I'll
14	trust you on it.
15	So in your best recollection, the third
16	amendment, was that a
17	? How ?
18	A Off the top of my head, I don't recall. But I
19	believe is in that amendment.
20	Q Okay. At the time that RPX and Salesforce
21	negotiated the first amendment, did Salesforce express any
22	dissatisfaction with the services it was receiving from
23	RPX?
24	MR. GIUNTA: Objection. Scope.
25	THE WITNESS: Not that I recall.

1	BY MR. SEREBOFF:
2	Q And when RPX and Salesforce negotiated the third
3	amendment, do you recall if Salesforce expressed any
4	dissatisfaction with RPX's services?
5	MR. GIUNTA: Objection. Scope.
6	THE WITNESS: I wouldn't characterize, actually,
7	the normal discussions as dissatisfaction.
8	
9	
10	
11	So it's not dissatisfaction. I think it's just
12	
13	BY MR. SEREBOFF:
14	Q So in the guise of the negotiation of the first
15	amendment, did Salesforce say that they were happy with
16	RPX's services?
17	MR. GIUNTA: Objection. Form and scope.
18	THE WITNESS: I don't I don't recall I
19	mean, that was a long time ago. I don't recall.
20	BY MR. SEREBOFF:
21	Q Okay. Now, in negotiating the third amendment,
22	did Salesforce express to RPX that Salesforce was happy
23	with RPX's services?
24	MR. GIUNTA: Objection. Form and scope.
25	THE WITNESS: What I can tell you that I recall

```
1
    in that -- in the context of that was that
2
    Salesforce was
3
 4
             (Reporter clarification.)
 5
    BY MR. SEREBOFF:
6
7
             Has Salesforce ever threatened to terminate its
    agreement with RPX?
9
             MR. GIUNTA: Objection. Form and scope.
10
             THE WITNESS:
11
    BY MR. SEREBOFF:
12
             Now, in the original agreement, you said the
13
14
    Α
             Yes.
             Did
15
             I believe it
16
17
    0
             What were the circumstances of the
              ?
18
             MR. GIUNTA: Objection. Form.
19
             THE WITNESS: My recollection is that we were
20
21
22
23
    BY MR. SEREBOFF:
24
25
             MR. GIUNTA: Objection. Form. Scope.
                                 61
```

```
THE WITNESS: If you give me a moment, I can
1
2
    actually check the payment history.
3
             I don't -- let me just check it. I don't believe
    it's
4
    BY MR. SEREBOFF:
 5
             Have you been involved in -- so you were involved
 6
                    with RPX?
7
    in Salesforce's
8
             MR. GIUNTA: Objection. Form.
             THE WITNESS: In some way.
9
10
    BY MR. SEREBOFF:
             Okay. And are you involved in some way with
11
12
    other RPX clients
13
             MR. GIUNTA: Objection. Form and scope.
             THE WITNESS: I'm commonly involved with client
14
15
            as part of my standard role.
    BY MR. SEREBOFF:
16
17
             Could you tell me some of the reasons why RPX
18
    might
                                               ?
             MR. GIUNTA: Objection. Form and scope.
19
20
    BY MR. SEREBOFF:
21
             Strike that.
    Q
             Tell me the reasons, to your knowledge, why RPX
22
23
    has
             MR. GIUNTA: Objection. Form and scope.
24
25
             THE WITNESS: I'm uncomfortable answering this
```

1	question. As I mentioned before, the
2	
3	
4	
5	But as you can imagine, as a
6	
7	
8	,
9	
10	BY MR. SEREBOFF:
11	Q Has RPX ever gotten a premium, like getting paid
12	more than the rate card for a member?
13	MR. GIUNTA: Objection. Form and scope.
14	THE WITNESS: I believe it's publicly disclosed
15	in our SEC filings that we have had clients pay us above
16	the rate card.
17	BY MR. SEREBOFF:
18	Q That's great. Congratulations.
19	All right. So let's review the amounts that
20	Salesforce has paid RPX. And I think it's discussed in
21	your second declaration and maybe in your first.
22	How much to the nearest 100,000, how much did
23	Salesforce pay RPX in ?
24	A To the nearest 100,000?
25	Q Sure. Let's round it up or round it.

```
Α
 1
2
             And in
    Q
3
    Α
 4
    Q
 5
    Α
 6
    Q
7
             Are you asking what their membership payment was
    for each of these years?
9
    Q
             Yes.
             So the membership payment for Salesforce in
10
11
                            -- sorry -- for was
    was
12
13
    Q
             Good.
14
                    , Salesforce's annual membership rate was
    Α
15
16
             In
                   , Salesforce's annual membership rate was
17
    Α
18
             You sure?
19
20
             Sorry.
    Α
21
             And in
    Q
                 , Salesforce's annual membership fee was
22
    Α
23
24
             And help me to understand what Salesforce gets
25
    for these payments.
                                  64
```

The payment is for RPX membership as set forth in 1 Α 2 their membership and license agreement. It's a -- they 3 signed up for our core services, which is primarily for us to provide them with rights -- patent rights, defensive 4 patent rights via transactions that we do with patent 5 owners, either to buy the patents and license them to our 6 7 clients or to acquire the right to sublicense those 8 patents to our clients. We talked earlier about reaching out. 9 10 Is reaching out something that's specified as a service that RPX provides to its members? 11 12 MR. GIUNTA: Objection. Form. 13 I would say that the need to reach THE WITNESS: out to NPEs is a necessary part of our core services in 14 15 order to explore the range of transactional opportunities 16 available to RPX. 17 BY MR. SEREBOFF: Okay. If you could, I'd like you to review both 18 19 of your declarations and tell me where in your declarations, if at all, there's any mention of privity. 20 21 Α You mean the word privity or privity in the sense that we enter into a contract with someone? 22 23 Either case. And in either case, when you find 24 it, tell me what you see, please. 25 Α Okay.

1	MR. GIUNTA: Objection. Form.
2	I'm sorry. Can I just clarify? Are you asking
3	for his legal opinion as to whether facts described in
4	here meet a privy relationship? I'm just trying to make
5	sure I understand what the question is because we're not
6	here to offer testimony about legal opinions. He's a fact
7	witness.
8	THE WITNESS: So in paragraph 10
9	MR. GIUNTA: I'm sorry. I need clarification on
10	the question because I'm not sure I'm comfortable letting
11	him answer giving legal opinions at this deposition,
12	and I'm not sure that's what you're asking, which is why
13	I'm seeking clarification on it. What exactly did you
14	want him to do?
15	MR. SEREBOFF: The witness is testifying in his
16	role as an employee of RPX and as the declarant here.
17	MR. GIUNTA: He's here to be cross-examined on
18	his declaration.
19	MR. SEREBOFF: Right. And I am asking him
20	questions about his declaration.
21	So if you have an objection, state it for the
22	record; otherwise, you can caution the witness, you can
23	instruct the witness not to answer, but it's my question.
24	I have the right to ask it.
25	If the witness is uncertain, the witness can ask

- 1 me for clarification, but it's not your right, Mr. Giunta.
- MR. GIUNTA: Okay. I respectfully disagree. So
- 3 I'm going to instruct the witness not to offer any legal
- 4 opinions on any of the factual scenarios in his
- 5 declaration and whether he, as a lawyer, considers them to
- 6 establish a privy relationship, because that's not why
- 7 he's here today.
- 8 BY MR. SEREBOFF:
- 9 Q All right. Answer the question, please.
- 10 MR. GIUNTA: I just instructed him not to answer
- 11 the question, not if you're asking him to give his legal
- 12 opinion about the facts in his declaration.
- MR. SEREBOFF: Exactly.
- 14 BY MR. SEREBOFF:
- 15 Q So could you answer the question?
- 16 A I am confused. Do I -- who should I be listening
- 17 to here?
- MR. GIUNTA: Well, I instructed you to not answer
- 19 it. You can ignore my instruction.
- 20 BY MR. SEREBOFF:
- 21 Q It's a compound question.
- Do you see the word privity? And if not -- let's
- 23 start with the simple part.
- Do you see the word privity in either of your
- 25 declarations?

I don't see the word privity in either of my 1 Α 2 declarations. 3 Okay. Looking at your first declaration, paragraph 19, do you see in the end of the first sentence 4 it says "RPI"? 5 Yes. 6 Α 7 What is RPI referring to there? Real party in interest. 8 Α Okay. Now, in paragraph 45 of the same 9 10 declaration, could you read the first sentence for me, 11 please? 12 Α "Part of the reason RPX established its validity 13 challenge process was to ensure that it was complying with 14 its obligation to name all real parties in interest, RPIs, 15 and privies." 16 Okay. And in paragraph 48, could you read to me 17 the first sentence there? "RPX also confirmed that its best practices had 18 19 been followed in view of the perceived likelihood that the 20 patent owner would try to avoid the strong merits of RPX's 21 petitions by arguing that RPX was not the sole RPI." 22 And keep reading. Next sentence. 0 23 "RPX's team confirmed that RPX had not spoken to anyone outside of RPX, other than outside counsel and a 24 25 prior art search firm, about the possibility of filing the

```
1
    AIT IPRs; confirmed that no entity, other than RPX, was
2
    involved in any way in the decision to file the IPRs;
3
    confirmed that no confidential information of any third
    party was used in making the decision to file; and
4
    confirmed that RPX should properly be named the sole RPI."
 5
 6
             Okay. And the team referenced here in
7
    paragraph 48,
 8
    Α
             And in
9
10
11
12
13
    Q
             And is that a
14
    Α
             It's
15
    0
             It's a
                                  ; it's not a
16
    Α
17
             Okay. So you were involved in the decision of
    whether RPX should be named as the sole RPI in the AIT
18
19
    IPRs; correct?
             MR. GIUNTA: Objection.
20
                                       Form.
             THE WITNESS: Yeah, I would say I was involved.
21
    BY MR. SEREBOFF:
22
             What was your involvement?
23
24
             MR. GIUNTA: Objection. Form.
25
             THE WITNESS: I listened to the analysis of the
                                  69
```

1 patent quality group on the various factors of the best 2 practices, and I agreed that we have followed them and 3 that we could be -- we would be named this -- we should be named the sole RPI. 4 BY MR. SEREBOFF: 5 So you formed an opinion that RPX should be named 6 7 as the sole RPI? 8 MR. GIUNTA: Objection. Form. 9 THE WITNESS: I agreed with the opinion of the 10 patent quality team. BY MR. SEREBOFF: 11 12 Did the patent quality team discuss privity? 13 MR. GIUNTA: Objection. Form. THE WITNESS: I don't -- I don't recall the 14 specific terminology that was used. 15 BY MR. SEREBOFF: 16 17 0 So maybe the term privity was used, maybe not? MR. GIUNTA: Objection. Form. 18 THE WITNESS: I don't recall. 19 20 BY MR. SEREBOFF: 21 One way or the other? 0 22 Α Yeah. So was there any discussion as to whether 23 24 Salesforce should be identified as a privy of RPX? 25 MR. GIUNTA: Objection. Form.

1	THE WITNESS: I don't recall any discussion of
2	privy.
3	MR. SEREBOFF: So just to give you guys a
4	heads-up, it's 12:30, and I'm going to take a look at my
5	questions to see if I have a line that would make sense
6	before breaking for lunch; and if not, maybe we will break
7	now for lunch.
8	MR. GIUNTA: Okay.
9	BY MR. SEREBOFF:
10	Q Okay. Let's go back to the membership agreement,
11	2219.
12	Is there any in that document, is there any
13	statement of services which are excluded from the
14	agreement?
15	MR. GIUNTA: Objection. Form and scope.
16	THE WITNESS: You mean a statement that we won't
17	be providing something in particular?
18	BY MR. SEREBOFF:
19	Q Exactly.
20	A One moment.
21	So there's a concept of
22	
23	
24	
25	



1	
2	
3	Q So is it fair to say that the agreement does have
4	at least one express exclusion of services?
5	A No. I wouldn't characterize that as an exclusion
6	of services. It's a clarification it's a clarification
7	that
8	
9	It's not an exclusion of a service.
10	It's actually a clarification of
11	our core service.
12	Q So this is more in the nature of a statement
13	the agreement is more in the nature of a statement of the
14	positive services that or the services that RPX will
15	provide, not a statement of services that RPX won't
16	provide?
17	MR. GIUNTA: Objection. Form and scope.
18	THE WITNESS: I don't actually see the you
19	know, the membership agreement is a contract, which sets
20	out our obligations to you know, to our member and
21	actually spends quite a bit of time setting forth the
22	
23	That's actually one of the primary purposes
24	of the membership agreement.
25	///

1	BY MR. SEREBOFF:
2	Q Does membership agreement mention IPRs?
3	A You're talking about this membership agreement?
4	Q Yes, yes.
5	A No, it doesn't.
6	Q Does it mention validity challenges? Excuse me.
7	Does it mention post-grant validity challenges?
8	A No, I don't believe it does.
9	Q Okay. And to your best recollection, do the
10	first amendment, the second amendment, and the third
11	amendment that we've discussed mention post-grant validity
12	challenges?
13	A There's no specific mention of post-grant
14	validity challenges. The second amendment that relates to
15	this , you know, contemplates the client's
16	, which I
17	suppose could include post-grant proceedings.
18	Q Just in the membership agreement, is there any
19	expression of duty of loyalty by RPX to Salesforce?
20	MR. GIUNTA: Objection. Form and scope.
21	THE WITNESS: What's a what's a statement of
22	duty of loyalty? I'm I don't know what that is.
23	BY MR. SEREBOFF:
24	Q Okay. Does the agreement use the word "loyal" or
25	"loyalty"?

MR. GIUNTA: Objection. 1 Scope. 2 THE WITNESS: I don't believe it does. 3 BY MR. SEREBOFF: Do you see the use -- any use of the term "duty 4 5 of care"? MR. GIUNTA: Objection. Scope. 6 THE WITNESS: Not that I'm aware of. 7 BY MR. SEREBOFF: 8 Are you aware of whether Salesforce has ever 9 10 expressed to RPX an expectation of duty of loyalty? MR. GIUNTA: Objection. Scope and form. 11 12 THE WITNESS: I'm not aware of that, no. 13 BY MR. SEREBOFF: Are you aware if Salesforce has ever expressed to 14 15 RPX an expectation of a duty of care? MR. GIUNTA: Objection. Form and scope. 16 17 THE WITNESS: No, I'm not aware of any of that. BY MR. SEREBOFF: 18 19 Are you aware if Salesforce has ever expressed to 20 RPX its expectation of a duty of diligence? 21 MR. GIUNTA: Objection. Form and scope. 22 THE WITNESS: I don't know what that is, but I 23 don't think they've expressed that, no. 24 BY MR. SEREBOFF: 25 And are you aware if Salesforce has ever

expressed to RPX an expectation of a fiduciary duty by RPX 1 2 to Salesforce? 3 MR. GIUNTA: Objection. Form and scope. THE WITNESS: I don't believe they've ever 4 5 expressed that. BY MR. SEREBOFF: 6 7 As a layperson, do you believe that RPX has a 8 fiduciary duty to Salesforce? 9 MR. GIUNTA: Objection. Form and scope. 10 THE WITNESS: Isn't that a legal conclusion? BY MR. SEREBOFF: 11 12 I'm just asking you as a layperson. 13 MR. GIUNTA: If the witness is not comfortable 14 given that he's a lawyer --15 THE WITNESS: I don't know how -- I don't know how to answer that because I don't know what it means for 16 17 me to be a layperson. I am who I am. BY MR. SEREBOFF: 18 19 So it sounds like you're not comfortable 20 understanding a dividing line between you being a business 21 person versus you being a lawyer? 22 MR. GIUNTA: Objection. Form. THE WITNESS: I don't know that I would describe 23 it that way. I think -- I don't think I can 24 25 compartmentalize one from the other.

```
MR. SEREBOFF: Okay. I think now is a good time
1
2
    to take a break. It's 12:40. Why don't we come back at
3
    1:45. Does that work for everybody?
             MR. GIUNTA: Sure.
 4
 5
             MR. SEREBOFF: Thank you. Great. Let's go off
    the record.
 6
7
             (Lunch break taken.)
 8
    BY MR. SEREBOFF:
             Okay. We're back on the record at 1:42 p.m.
9
10
             So I'm going to hand you the validity challenge
    identification document. This is going to be
11
12
    Exhibit 2222, which I believe -- I can't remember
13
    yesterday, but it was previously marked as Exhibit 2025
    and represents RPX's production, Bates 68 to 73. So this
14
15
    one is 2222.
             (Exhibit No. 2222 marked for
16
17
             identification.)
             MR. SEREBOFF: Here is the next one. This will
18
19
    be marked as 2223. It carries RPX Bates number 74 to 75,
20
    previously marked as Exhibit 2018.
             (Exhibit No. 2223 marked for
21
             identification.)
22
    BY MR. SEREBOFF:
23
             Mr. Chuang, please take a few minutes and review
24
25
    these documents.
```

Okay. 1 Α 2 Okay. Referring, Mr. Chuang, to your first 3 declaration, paragraph 39, can you confirm that these two exhibits, 2222 and 2223, are the same documents that are 4 referenced in paragraph 39 of your first declaration? 5 Yes. 6 Α 7 Thank you. And could you please describe to me what these 8 two documents are? 9 10 MR. GIUNTA: Objection. Form. These documents are, as I recall 11 THE WITNESS: 12 it, internal documents created and laying out the process 13 for identifying candidates for potential IPR filing and the best practice for doing so. 14 15 BY MR. SEREBOFF: 16 Okay. You can set aside Exhibit 2222 for now. 17 Let's look at 2223. Okay. 18 Α So with more particularity, can you explain to me 19 20 what this document is? MR. GIUNTA: Objection. 21 22 It's -- again, my recollection is THE WITNESS: 23 it's a document that sets out the process for the identification of candidates for RPX IPR filings and 24 25 identifies the team that's involved and the best practices

- for -- sorry -- the selection criteria, the factors that 1 2 should be considered. 3 BY MR. SEREBOFF: Okay. And this was referenced in your first 4 declaration; correct? 5 Yes. 6 Α 7 So is -- do you have a shorthand way of referring to this document? Α Not really. We don't have a term of art or 9 10 anything that we use. Okay. And is there a difference between --11 12 substantively between what's in Exhibit 2222 and 2223? 13 MR. GIUNTA: Objection. Form. THE WITNESS: I mean, they're not completely 14
- 16 BY MR. SEREBOFF:

15

17 Q Now, do you see in paragraph 39 of your first
18 declaration that you seem to refer to these documents as
19 the validity challenge process?

identical. There's variations in the language.

- 20 A Yes.
- 21 Q And for the sake of simplicity, can we refer to 22 these two documents together as the validity challenge
- 23 process?
- 24 A I think so.
- 25 Q And since the time of your first declaration, has

```
RPX changed the validity challenge process as reflected in
1
2
    these documents?
3
             MR. GIUNTA: Objection. Form and scope.
             THE WITNESS:
 4
    BY MR. SEREBOFF:
 5
             Okay. Now, looking at Exhibit 2223, which
 6
7
    carries RPX Bates 74, you see the heading "selection
 8
    criteria"?
    Α
             Yes.
9
10
             Okay. Could you read the sentence that follows
    that?
11
12
             "The identification team will identify potential
    Α
13
    candidates, based, in part, on the following factors.
    Candidate challenges will be chosen based on the totality
14
    of the circumstances, which may also take into account
15
    feedback received from other RPX team members."
16
17
             So is it fair to say that this introductory --
    this is an introductory sentence?
18
19
             MR. GIUNTA: Objection. Form.
20
             THE WITNESS: I'm not sure what the criteria are
21
    for an introductory sentence. It's actually not a
22
    complete sentence because it leads into the list.
    BY MR. SEREBOFF:
23
             Okay. And the list that you're referring to is
24
25
    what?
```

The list of the factors to consider for 1 Α 2 selection -- as selection criteria. 3 And those are the bullet points after what you just read? Yes. 5 Α Okay. Now, in your reading of that first 6 7 sentence or sentence fragment, however it can be characterized, do you read that these bullet points are 8 the exclusive factors to consider? 9 10 MR. GIUNTA: Objection. Form and scope. THE WITNESS: Well, I think the fragment makes it 11 12 clear that, you know, these are factors. But it also --13 they might not be the exclusive factors. BY MR. SEREBOFF: 14 15 So all of these factors would be considered, but 16 maybe others could be considered? 17 MR. GIUNTA: Objection. Form and scope. 18 THE WITNESS: Yeah, that may be what was 19 contemplated. BY MR. SEREBOFF: 20 21 Okay. So let's talk about them. Although they're bullets, maybe we can refer to them numerically. 22 23 Might be easier. 24 Α Okay. 25 Okay. So let's talk about Factor Number 1.

```
Could you read that and explain it to me?
1
2
             MR. GIUNTA: Objection.
                                       Form.
3
             THE WITNESS: Just a second. I'm numbering these
    so I don't lose track.
 4
             Bullet Point Number 1 -- the first bullet point
 5
    in the list: "Number of patents/patent families asserted
 6
7
    in the campaign."
    BY MR. SEREBOFF:
 8
             And what does that mean?
 9
    Q
10
             MR. GIUNTA: Objection. Form.
             THE WITNESS: Well, this is based on my
11
12
    interpretation; so I -- my interpretation of that is it
13
    has to do with
14
15
16
    BY MR. SEREBOFF:
17
             So are you using campaign and litigation to mean
18
    the same thing?
             Kind of. At RPX, the concept of a campaign is --
19
20
    could extend beyond an individual litigation to encompass
21
    other cases -- again, other companies, other defendants --
22
    that might have overlapping litigation assets but that are
23
    perceived to be part of the same NPE endeavor, which is
    the monetized -- a particular portfolio.
24
25
             So in your usage, campaign might include patents
```

1	that have not been asserted in litigation?
2	MR. GIUNTA: Objection. Form.
3	THE WITNESS: Well, I think that's actually a
4	, what you just described. Bullet
5	Point one in the list, talks about
6	
7	. The bullet point is about the
8	
9	
10	BY MR. SEREBOFF:
11	Q Now, would you also view it as, for example, if a
12	patent owner had accused a party of infringement, is that
13	an action that you would see as part of a campaign?
14	MR. GIUNTA: Objection. Form and scope.
15	THE WITNESS: If it's an NPE and they file a suit
16	against a defendant, in general, that the first one of
17	those initiates the campaign. And then follow-on
18	litigation cases filed against that defendant or other
19	defendants with patents that are the same or overlapping
20	or related can end up being in the same campaign.
21	BY MR. SEREBOFF:
22	Q So does a campaign ever involve actions by an NPE
23	other than litigation?
24	MR. GIUNTA: Objection. Form and scope.
25	(Reporter clarification.)

1	THE WITNESS: I think in the most common sense,
2	yes. It refers to a litigation campaign. There's
3	there's sometimes, although more rarely, the concept of an
4	assertion campaign where there's actually no litigation
5	but there's extensive allegations of infringement outside
6	of a litigation case, which, you know, could be referred
7	to as a campaign as well.
8	BY MR. SEREBOFF:
9	Q It doesn't seem to be as common as it used to be.
10	A No.
11	Q Okay.
12	A
13	MR. GIUNTA: Sorry. Objection. Form and scope.
14	And I'm not sure there was a question.
15	THE WITNESS: Oh, was that a question?
16	BY MR. SEREBOFF:
17	Q Yes, it was. Explain
18	MR. GIUNTA: Objection. Form and scope.
19	THE WITNESS: in the list of selection
20	criteria is
21	
22	And that's just a reference to whether
23	
24	
25	



1	BY MR. SEREBOFF:
2	Q Got it. Thanks. This is referred to
3	as
4	?
5	MR. GIUNTA: Objection. Scope.
6	THE WITNESS: I I don't know if it would be
7	limited, necessarily, to it would, I think, be a
8	reference to
9	
10	
11	BY MR. SEREBOFF:
12	Q And when you refer to asset, what does that mean?
13	A Sorry. Yeah, so I refer to a I am referring
14	to an issued patent.
15	Q Okay. Because sometimes asset might also refer
16	to a pending application.
17	A Correct. That's not how I meant it.
18	Q And so what does strike that.
19	What does refer to?
20	MR. GIUNTA: Objection. Scope.
21	THE WITNESS: So my understanding is that it
22	refers to
23	
24	
25	
	84

```
-- wherever they may appear where
1
2
    we would have access to review them.
3
    BY MR. SEREBOFF:
             Okay. All right.
                                            What does that
 4
    refer to?
 5
 6
             MR. GIUNTA: Objection. Scope and form.
7
                                    is a reference to
             THE WITNESS:
 8
 9
10
    BY MR. SEREBOFF:
             Who is someone else?
11
12
             It could be anyone else.
    Α
13
             Anyone other than RPX?
    Q
14
             Yes.
    Α
15
             Okay.
16
             MR. GIUNTA: Objection. Scope.
   BY MR. SEREBOFF:
17
             What does that refer to?
18
    Q
             Can I read it for the record?
19
20
             Sure.
                                   is: "Number of RPX
21
    Α
             Bullet Point
    clients, including those covered under RPX insurance
22
    policies in suit."
23
24
             Okay. And what does that mean?
25
             MR. GIUNTA: Objection. Scope and form.
```

1	THE WITNESS: It's a reference to
2	
3	BY MR. SEREBOFF:
4	Q That would be like you saying in the campaign
5	A In active litigation.
6	Q Okay. By the same NPE that
7	A In the same campaign, correct.
8	Q Okay. Got it. As regards RPX clients, we've
9	
10	What is an RPX client?
11	MR. GIUNTA: Objection. Scope.
12	THE WITNESS: An RPX client is
13	an RPX client.
14	BY MR. SEREBOFF:
15	Q Okay. And ?
16	MR. GIUNTA: Objection. Scope.
17	THE WITNESS:
18	
19	BY MR. SEREBOFF:
20	Q Okay. So all members of the core services,
21	they're all clients?
22	MR. GIUNTA: Objection. Scope.
23	THE WITNESS: Yes.
24	BY MR. SEREBOFF:
25	Q Okay. And are there any RPX clients that are not

```
1
    also RPX members?
2
             MR. GIUNTA: Objection. Scope.
3
             THE WITNESS: Sorry. I'm thinking about it.
    There's some difference in nomenclature within our own
4
    organization. Put it this way: There are insureds; there
 5
    are member insureds; and there are members.
 6
7
    BY MR. SEREBOFF:
             Who are not insureds.
 8
    Α
             Who are not insureds.
9
10
             Okay. But all three of them would be clients?
             MR. GIUNTA: Objection.
11
                                       Scope.
12
             THE WITNESS: I view an insured that is also a
13
    member -- I don't view them as a client, but some may
    refer to them as clients in a more generic -- in a more
14
15
    natural use of the term.
    BY MR. SEREBOFF:
16
17
    0
             Okay.
             My use of the term client is someone who is an
18
    RPX member that receives rights via to our -- via our
19
20
    transactions.
             But there's no formal definition with RPX of RPX
21
    client?
22
23
             MR. GIUNTA: Objection.
                                       Scope.
             THE WITNESS: I mean, I think the term of art for
24
25
    my role is someone who is an RPX member and receives
```

```
rights via our transactions is a client.
1
2
    BY MR. SEREBOFF:
3
             But other -- other employees of RPX, they use the
    term RPX client differently than the way you've just
4
    defined it; is that true?
 5
             MR. GIUNTA: Objection. Form and scope.
6
7
             THE WITNESS: I can't dictate how they use the
    terminology; so there -- there may be folks who refer to
8
9
    our insureds as clients as well, but they're a distinct
10
    group. When I say RPX client, I'm not referring to them.
    BY MR. SEREBOFF:
11
12
             Thank you.
                         Okay.
13
                        You want to read that and explain it,
    please?
14
15
             MR. GIUNTA: Objection.
                                       Scope.
                           Bullet Point
16
             THE WITNESS:
17
             And that refers to
18
19
    BY MR. SEREBOFF:
20
21
             And why do you call them
                                                         Or why
22
                                   ?
    are
23
             MR. GIUNTA: Objection. Form and scope.
24
             THE WITNESS: A prospect is anyone who isn't
25
    already an RPX member who potentially could become an RPX
```

1	member.
2	BY MR. SEREBOFF:
3	Q And that potential would that include
4	companies that RPX has contacted about becoming a member
5	and not and not? Or is it only companies that have
6	been contacted by RPX about being a member?
7	MR. GIUNTA: Objection. Form and scope.
8	THE WITNESS: That's not that's not a criteria
9	for determining whether someone is a prospect. A prospect
10	is someone who is and bears some kind of relevance to
11	our core services buying that we think would see value in
12	the membership.
13	BY MR. SEREBOFF:
14	Q Thank you. So
15	A Bullet point
16	MR. GIUNTA: Objection. Scope.
17	BY MR. SEREBOFF:
18	Q It's the same question. Read it and explain it,
19	please.
20	A Okay. Bullet Point :
21	
22	That's a reference to
23	
24	. So someone who we don't perceive as likely
25	seeing value in RPX membership.

1	Q Okay. So given what you've just explained about
2	Bullets , do they go together as a group of
3	factors?
4	MR. GIUNTA: Objection. Form and scope.
5	THE WITNESS: What do you mean by "go together"?
6	BY MR. SEREBOFF:
7	Q Well, do they relate to similar considerations?
8	MR. GIUNTA: Objection. Form and scope.
9	THE WITNESS: I don't know. It's hard to say in
10	the abstract.
11	BY MR. SEREBOFF:
12	Q How about the first three bullets? Do they, to
13	you, logically group together?
14	MR. GIUNTA: Objection. Form and scope.
15	THE WITNESS: I think they could be different.
16	There's probably a set of circumstances where they could
17	be similarly situated, and there's probably some where
18	they could be distinct.
19	BY MR. SEREBOFF:
20	Q Okay. Let's look at Bullet
21	MR. GIUNTA: Objection. Form and scope.
22	THE WITNESS: Bullet in the list:
23	
24	So this is a reference to
25	

```
1
2
    BY MR. SEREBOFF:
             And how about Bullet
4
             MR. GIUNTA: Objection. Form and scope.
 5
             THE WITNESS: Bullet
6
7
             is a reference to
 8
9
    BY MR. SEREBOFF:
                     ? I'm sorry. .
10
                                                Thank you.
             MR. GIUNTA: Objection. Form and scope.
11
12
             THE WITNESS: Bullet
13
14
             This is a reference to the -- to the
15
16
17
    BY MR. SEREBOFF:
18
             Okay. Turning to the next page, we have Factor
19
20
21
             MR. GIUNTA: Objection. Form and scope.
             THE WITNESS: Bullet
22
23
24
             This is a reference to --
25
                                 91
```

```
Yeah.
1
2
    BY MR. SEREBOFF:
3
             And is that because RPX has interest in certain
                                             ?
4
 5
             MR. GIUNTA: Objection. Form and scope.
6
             THE WITNESS: That's a possibility. It's also --
7
    yeah, that's a possibility.
8
    BY MR. SEREBOFF:
9
             Okay. Can you think of any other reason why it's
10
    there?
11
             MR. GIUNTA: Objection. Scope and form.
12
             THE WITNESS: Are you referring to Bullet
13
14
    BY MR. SEREBOFF:
             Yes.
15
             I think it helps us -- it helps us to understand
16
17
18
19
20
21
             And Bullet
             MR. GIUNTA: Objection. Form and scope.
22
23
             THE WITNESS: Bullet
24
             This is a reference to
25
                                  92
```

1	
2	BY MR. SEREBOFF:
3	Q Okay. And ?
4	MR. GIUNTA: Objection. Form and scope.
5	THE WITNESS: Bullet :
6	
7	This is a reference to
8	
9	BY MR. SEREBOFF:
10	Q So what do you mean by ?
11	A Based on
12	MR. GIUNTA: Objection. Form and scope.
13	THE WITNESS: Based on an interpretation of the
14	claims, whether it is likely or unlikely for the plaintiff
15	
16	
17	BY MR. SEREBOFF:
18	Q So it's like, you know, the likely the
19	likeliness that a court would agree with a defendant that
20	the claims
21	?
22	MR. GIUNTA: Objection. Form and scope.
23	THE WITNESS: The way I would the way I would
24	describe it is we will sometimes see very aggressive
25	interpretations of a patent claim by the plaintiff to the

```
1
    point where we believe that
2
3
 4
 5
    BY MR. SEREBOFF:
             Got it. Okay. Bullet .
 6
7
             MR. GIUNTA: Objection. Form and scope.
8
             THE WITNESS:
9
    BY MR. SEREBOFF:
10
             And that refers to RPX
11
12
             Correct.
13
    Α
14
             And that would be RPX
15
16
             MR. GIUNTA: Objection. Form and scope.
17
18
             THE WITNESS: Yeah, that's the most typical type
19
    BY MR. SEREBOFF:
20
21
    Q
             Okay.
             MR. GIUNTA: Objection. Form and scope.
22
23
             THE WITNESS: Bullet
                                   , "estimated cost of
24
    litigation defense." This is a reference to our estimate
25
                                  94
```

```
1
2
    BY MR. SEREBOFF:
 3
             Why is that relevant?
             MR. GIUNTA:
                          Objection.
 4
                                       Scope.
 5
                           The relevancy has to do with -- the
             THE WITNESS:
 6
 7
 8
 9
            -- I don't want to speak for the validity group
10
    because this is really their purview. But there's
    scenarios where
11
    makes the candidate more interesting.
12
    BY MR. SEREBOFF:
13
                         bullet point. That's
14
             And the
15
    count.
             MR. GIUNTA: Objection. Form and scope.
16
             THE WITNESS: Bullet 17 is: "Potential
17
    reputational benefits."
18
             So, actually, I -- I testify about this in my
19
    first declaration the -- there are times where RPX
20
    believes filing an IPR can benefit its reputation in the
21
    market -- the patent market, which is the way we view,
22
    kind of, the litigation landscape -- the NPE litigation
23
24
    landscape.
25
    ///
```

```
BY MR. SEREBOFF:
1
2
             So given the factors here, are the factors
    here listed in order of priority or importance?
3
             MR. GIUNTA: Objection. Form and scope.
 4
             THE WITNESS: Well, I didn't order them; so I
 5
    don't know why they were ordered that way. I don't
 6
    believe they are ordered in terms of priority.
7
    BY MR. SEREBOFF:
8
             Okay. And so one factor could be dominant over
9
10
    all of the other factors?
             MR. GIUNTA: Objection. Form and scope.
11
12
             THE WITNESS: There could be circumstances where,
    you know, one or a few factors are weighed more than the
13
14
    others.
    BY MR. SEREBOFF:
15
16
             And here I recall that you testified that Factor
17
    Number 17, the reputational benefits, that was the primary
    factor driving RPX's decision to file the AIT IPRs?
18
19
             MR. GIUNTA: Objection. Form.
20
             THE WITNESS: I'm just looking at my declaration
    real quick.
21
    BY MR. SEREBOFF:
22
23
    0
             Okay.
             So I didn't say primary. The reputational -- the
24
25
    potential reputational benefit was, at least to me as one
```

1	of the folks that was consulted, an important factor in
2	filing. But there were other important factors as well.
3	Q Okay. Thank you.
4	So you use the term "reputational benefit." What
5	does that mean?
6	MR. GIUNTA: Objection. Form.
7	THE WITNESS: So RPX views itself as a as a
8	participant in the broader patent market and that its
9	position is, you know, neutral, credible a neutral,
10	credible party able to assess the value of patent rights
11	in a way that can bring efficiency to the market.
12	The market has gone through changes over the
13	years, and the perception of patent risk has evolved in a
14	way that, at this time period, we found there were
15	there was a rising sentiment in certain market sectors in
16	particular technology sectors, market sectors that
17	patents were mostly invalid or mostly worthless and that
18	their you couldn't know the value of a patent unless
19	you knew unless you challenged it or could credibly
20	assess it for validity.
21	And a market participant like RPX is there
22	were people in the market, potential competitors or
23	commentators, that were suggesting that RPX was not was
24	interested in doing deals on patents that were not worth
25	it, not valuable, and that we were too close to NPEs and

```
didn't have the stomach to challenge their assertions with
1
2
    respect to the validity of their assets.
 3
             And so we viewed filing IPRs as a potential way
    to improve our reputation amongst some of the companies
4
    that were -- that seemed to be holding that view, and
 5
    that's what I mean by a potential reputational benefit for
 6
7
    filing an IPR.
 8
    BY MR. SEREBOFF:
             And so does RPX's reputation impact its ability
9
10
    to attract new members?
             MR. GIUNTA: Objection. Scope.
11
12
             THE WITNESS: Yes, it does.
13
    BY MR. SEREBOFF:
             And does RPX's reputation impact RPX's ability to
14
15
    retain existing members?
16
             MR. GIUNTA: Objection.
                                      Scope.
17
             THE WITNESS:
    BY MR. SEREBOFF:
18
19
             Okay. Again, we're looking at Exhibit 2223.
20
    Bullet is: "Number of RPX clients, including
21
    those covered under RPX insurance policies, in suit."
22
             In the case of the AIT IPRs, how many RPX clients
23
    were implicated?
24
             One.
25
             And Bullet
                         , how many
```

```
MR. GIUNTA: Objection.
1
                                      Scope.
2
             THE WITNESS:
                           None.
3
    BY MR. SEREBOFF:
             And Bullet
 4
    0
             MR. GIUNTA: Objection.
 5
                                      Scope and form.
             THE WITNESS:
 6
                           None.
7
    BY MR. SEREBOFF:
             Okay. And referring to paragraph 42 of your
 8
    first declaration, could you read to me the first two
9
10
    sentences?
             "RPX determined that, if the AIT patents are not
11
12
    invalidated, it is highly likely that they will ultimately
13
    be broadly asserted against the industry. For example,
14
    RPX determined that the technology tags for the asserted
15
    AIT patents have a broad reach. They were
16
            to
                different companies, including
17
    clients and prospective clients as of the date the AIT
    IPRs were filed."
18
19
             Okay. So that -- those statements, does that
20
    relate to Bullet in Exhibit 2223,
21
22
    Α
             I think you're referring to Bullet
23
             You are correct, yes.
    0
24
             Yes,
25
```

Okay. And so if RPX succeeded, as it hoped, in 1 Q invalidating the AIT patents and the AIT IPRs, then there 2 3 wouldn't be lawsuits against additional companies; right? Particularly because there were only two patents, 4 Α 5 yes. So do you think these different companies 6 7 would be happy to see the AIT patents held invalid? MR. GIUNTA: Objection. Form and scope. 8 THE WITNESS: I don't know. 9 10 BY MR. SEREBOFF: Now, you talked about RPX's core business 11 12 including obtaining patent rights from NPEs and 13 sublicensing those to members; correct? Correct. 14 Α Okay. By invalidating the AIT patents in the AIT 15 IPRs, would that eliminate RPX's ability to provide those 16 17 core services to its members? 18 MR. GIUNTA: Objection. Form. 19 THE WITNESS: With respect to the AIT patents? 20 BY MR. SEREBOFF: 21 Yes. Q 22 I don't know. Not necessarily. Α 23 Why not? 0 Well, perhaps -- I'll just ask the question about 24 25 your question. Are you saying that it's gone through its

full appeals and complete and final determinations? 1 2 Yes. 3 Okay. The reason I'm hesitating is because I can't actually remember. Does AIT own any other patents? 4 Yes. 5 0 Okay. Then the answer is no. 6 Α 7 Would RPX succeeding in invalidating the AIT patents, the two in the AIT IPRs, eliminate the risk that 8 an RPX member might otherwise be exposed from those 9 10 patents? MR. GIUNTA: Objection. Form and scope. 11 12 THE WITNESS: Again, assuming full and final 13 determination, fully exhausted appeals? Yes, the risk of those patents would be extinguished, invalidated. 14 15 BY MR. SEREBOFF: 16 Right. An RPX client can't be sued on a patent 17 that's been finally held invalid. I don't believe so. 18 Α Okay. In paragraph 26 of your declaration, you 19 20 refer to a stay. See that? 21 Α Yes. And what does that mean here? 22 I understood it to mean that Salesforce believed 23 24 it was going to obtain a stay in its own AIT litigation on

the basis of the petitions that -- the CBM petitions they

25

filed. 1 2 And a stay is what? 3 A stay is a halting of the proceeding, of the litigation proceeding. Do you know if AIT-Salesforce litigation is 5 currently stayed? 6 7 Α I don't know today. I believe it was stayed. 8 And stayed is a consequence of the AIT IPRs? 0 9 MR. GIUNTA: Objection. Form and scope. 10 THE WITNESS: I was told that Salesforce was able 11 to obtain a stay. 12 BY MR. SEREBOFF: 13 So Salesforce asked the court for a stay based on 14 the AIT IPRs? 15 MR. GIUNTA: Objection. Form and scope. THE WITNESS: I think that's right. I think they 16 filed some kind of motion. 17 BY MR. SEREBOFF: 18 So Salesforce filed a motion for stay, and the 19 20 motion for stay was granted; right? 21 MR. GIUNTA: Objection. Form and scope. THE WITNESS: I haven't -- I believe that's 22 23 right. 24 BY MR. SEREBOFF: 25 So Salesforce got what they wanted, if that's the

```
1
    case.
2
             MR. GIUNTA: Objection. Form and scope.
3
             THE WITNESS: I don't know what they wanted, but
4
    they got a stay.
    BY MR. SEREBOFF:
 5
             Well, presumably, if they move for a stay, they
 6
7
    wanted a stay. Is that reasonable?
 8
             MR. GIUNTA: Objection. Form and scope.
             THE WITNESS: I mean, it's not my litigation;
9
10
    so...
    BY MR. SEREBOFF:
11
             Okay. Do you believe Salesforce has benefitted
12
    in any way from the AIT IPRs at any time?
13
14
             MR. GIUNTA: Objection. Form and scope.
15
             THE WITNESS: They may have. I don't know.
    BY MR. SEREBOFF:
16
17
             In your experience working for RPX, do you
    believe that RPX has gained reputational benefit from
18
19
    filing IPRs, both against AIT and other companies, other
20
    NPEs?
21
    Α
             Yes.
22
             And do you believe that this improvement in --
23
    excuse me -- this reputational benefit has helped RPX in
    negotiating in its negotiations with NPEs?
24
25
             MR. GIUNTA: Objection.
                                      Form.
```

```
THE WITNESS:
                           Which NPEs?
                                         The ones -- in
1
    general, you mean?
2
 3
    BY MR. SEREBOFF:
             So in negotiating with NPEs about acquiring
 4
    patent rights from the NPE, do you believe that the
 5
    improvement in RPX's reputation has led to better ability
 6
7
    to -- on pricing?
 8
             MR. GIUNTA: Objection. Form and scope.
9
             THE WITNESS:
10
    BY MR. SEREBOFF:
11
             And in RPX's core services, RPX -- you said RPX
12
13
    obtains patent rights and then sublicenses those to its
14
    members; right?
15
             Or it acquires patents that are automatically
    licensed to its members.
16
17
             And in those core services -- so when RPX obtains
    a license from an NPE, do the members automatically have a
18
    sublicense?
19
             MR. GIUNTA: Objection. Form and scope.
20
             THE WITNESS: Yes. Well, so based on the form
21
    membership agreement, if we acquire the right to license
22
23
    patents to the members, they will automatically be
    licensed via their membership agreement.
24
25
    ///
```

1	BY MR. SEREBOFF:
2	Q And so does a member have to pay any extra fee to
3	RPX for getting such a sublicense?
4	MR. GIUNTA: Objection. Form and scope.
5	THE WITNESS: Usually not.
6	BY MR. SEREBOFF:
7	Q Can you give me an example? Maybe something from
8	the record which would be one of the exceptions from that
9	"usually not"?
10	MR. GIUNTA: Objection. Form and scope.
11	BY MR. SEREBOFF:
12	Q
13	A Are you referring to
14	Q Well, that's what I was thinking, yes.
15	MR. GIUNTA: Objection. Scope and form.
16	THE WITNESS:
17	
18	
19	
20	yes, that is an
21	example of a one-off transaction that doesn't qualify as a
22	standard member deal.
23	BY MR. SEREBOFF:
24	Q It was brilliant work, by the way.
25	A Thank you.

1	Q You're welcome.
2	To your knowledge, has RPX, in its negotiation
3	with an NPE about acquiring patent rights from the NPE,
4	ever used the threat of an IPR by RPX as a negotiation
5	tool?
6	MR. GIUNTA: Objection. Form and scope.
7	THE WITNESS:
8	
9	
10	BY MR. SEREBOFF:
11	Q Okay. It's 2:45. I'll give you guys the option
12	about taking a break. Do you want to take a break now or
13	in about half an hour to 45 minutes?
14	Mr. Chuang, what would you prefer?
15	A I am fine.
16	MR. GIUNTA: I would prefer to take a quick
17	break.
18	(Off the record.)
19	BY MR. SEREBOFF:
20	Q Okay. We were talking before about Exhibit 2223.
21	It's Bates 74. Factor the bullet,
22	
23	As it relates to the AIT IPRs, what
24	are you aware of?
25	MR. GIUNTA: Objection. Form and scope.

THE WITNESS: I'm not aware of any. 1 2 BY MR. SEREBOFF: 3 Well, there's the Salesforce CBMs. Okay. You're right. 4 Α That's okay. And I suppose -- well, it's not a 5 prior, but you'd expect in the AIT-Salesforce litigation, 6 Salesforce is going to argue invalidity -- well, actually, 7 they are arguing invalidity. 8 9 MR. SEREBOFF: It's not a question. You can't 10 object to that. BY MR. SEREBOFF: 11 12 All right. Okay. The factor: "Likelihood 13 of a new validity challenge by another entity." So as related to RPX's decision to pursue the AIT 14 15 IPRs, what was your assessment -- what was the assessment of that factor? 16 17 MR. GIUNTA: Objection. Form. Scope. 18 THE WITNESS: I believe I said something about this. 19 BY MR. SEREBOFF: 20 21 How about paragraph 43, your first dec. I have 22 the benefit of being able to search it. A control-F, as 23 your colleague calls it. Yeah, so, you know, once the CBM petitions that 24 25 Salesforce had filed were denied, our validity team

- 1 believed that it was unlikely that another party other
- 2 than RPX would challenge the AIT patents unless and until
- 3 the AIT-Salesforce litigation was resolved.
- 4 Q And that's because, by that time, Salesforce was
- 5 estopped from filing IPRs against the AIT patents?
- 6 MR. GIUNTA: Objection. Form.
- 7 BY MR. SEREBOFF:
- 8 Q Excuse me. Not an estoppel. There's a statute
- 9 of limitations to file --
- 10 A Statutory bar. You know, it's been too long for
- 11 me to remember specifically, but -- I don't remember the
- 12 exact bar date, but -- I think it was because they were
- 13 time barred; so it was unlikely that they would be able to
- 14 file a challenge at the PTO or PTAB.
- 15 BY MR. SEREBOFF:
- 16 Q And, certainly, by the time of RPX filing the
- petitions in the AIT IPRs, Salesforce was time barred?
- 18 A I think that's right.
- 19 MR. GIUNTA: Objection. Form.
- 20 BY MR. SEREBOFF:
- 21 Q That's why we're here.
- 22 A Yeah, I think that's right. That's right.
- 23 Q Okay. In your second declaration, Mr. Chuang,
- 24 paragraph 31 -- if you look in the middle, you'll see the
- 25 number 1286.

1	A	Yes.	
2	Q	And that sentence says could you read that	
3	sentence?		
4	A	"Today, RPX has secured dismissals of 1,286	
5	lawsuits	s against RPX clients through acquisition of the	
6	patent i	in suit or a license with sublicensing rights."	
7	Q	And that's part of RPX's core service, is to do	
8	just that?		
9	A	Yeah, through our transactional practice.	
10	Q	That's a really good record.	
11	A	Thank you.	
12	Q	You're welcome.	
13		Okay. Paragraph 32. It says there, "RPX has	
14	only ever filed a total of 57 IPR petitions to date";		
15	correct	?	
16	A	Correct.	
17	Q	It says, "and those 57 petitions challenged	
18	patents	that were involved in total in 85 cases of active	
19	litigation against an RPX member at the time the petition		
20	was file	ed."	
21		I'm a little confused about the relationship of	
22	these 85	cases to the 57 petitions. Can you explain that?	
23	A	Well, the relationship is going to vary. We file	
24	the tota	al of 57 IPR petitions. That's spread among a	
25	certain	number of campaigns. I don't have the number off	

the top of my head; so there may be multiple petitions for 1 2 a single campaign. 3 And, you know, with respect to the campaigns in which we filed on, we counted the number of active 4 clients -- clients that were in active litigation at the 5 time we filed, and that total is 85. So I don't have the 6 breakdown in front of me, but that's the total. 7 8 And we know that, from other parts of your declaration, at least some of those petitions were 9 10 directed to patents for which there was no active litigation against any RPX member. 11 12 Α That is correct. 13 Okay. In paragraph 28 of your second declaration, I think those are some examples of those IPR 14 15 petitions for which no RPX member was in an active 16 litigation; is that correct? 17 That's a terrible question. I withdraw it. 18 Α Okay. 19 So in the -- paragraph 28 cites a number of IPRs; 20 correct? 21 Α Correct. 22 Okay. And each one of those citations, starting 23 with IPR followed by a year, a dash, and another number, each one of those is a separate proceeding; right? 24 25 Α Right.

Okay. How many -- could you count the number of 1 Q 2 IPRs that are mentioned in paragraph 28? I counted ten. 3 I count ten as well. All right. Good. So now what I want to do is, 4 can you help me square the ten petitions mentioned or 5 listed in paragraph 28 with the 57 referenced in paragraph 6 7 32? I can try. Was that a question? I was waiting 8 for a follow-up question from you. 9 10 No, it really wasn't. So doing simple math, 57 minus ten is 47. 11 12 Now, paragraph 28 lists those ten IPRs, but it only says "for instance"; correct? 13 14 Α Right. Which means that there could be more than ten 15 petitions that RPX filed for which no RPX member was in 16 17 litigation. 18 MR. GIUNTA: Objection. Form. 19 THE WITNESS: 20 BY MR. SEREBOFF: 21 And if there were more than ten, it would be a 22 23 relatively small number, more than ten. It could be one or two measuring, it could be three more, but it wouldn't 24 25 be 20 more.

1	MR. GIUNTA: Objection. Form.
2	THE WITNESS:
3	BY MR. SEREBOFF:
4	Q Okay. So, in other words, like the vast majority
5	of the 57 petitions referenced in 32, minus the 10 in 28,
6	would have been where an RPX member was had been sued?
7	MR. GIUNTA: Objection. Form.
8	THE WITNESS: Can you read me the question back?
9	(Record read.)
10	BY MR. SEREBOFF:
11	Q No. Let's try again.
12	Okay. So of the so we started with 57
13	petitions referenced in paragraph 32. You subtract 10
14	petitions listed in paragraph 28, and we wind up with 47
15	petitions?
16	A Right.
17	Q So those 47 petitions would either all or nearly
18	all have been against patents in which an RPX member was
19	in active litigation at the time of the filing by RPX of
20	the petition?
21	MR. GIUNTA: Objection. Form and scope.
22	THE WITNESS:
23	
24	BY MR. SEREBOFF:
25	Q

```
But really, like, you know, it was about 47
1
2
    petitions were filed, and an RPX member was being sued on
3
    the patent that you guys were seeking to IPR?
             MR. GIUNTA: Objection. Form and scope.
 4
 5
             THE WITNESS:
    BY MR. SEREBOFF:
 6
7
             Okay. Thanks.
 8
             So your second declaration was pretty good with
9
    some statistics. One of the things that I didn't see was
10
    how many of RPX's IPRs resulted in dismissals of lawsuits.
    Did I miss that, or is there any kind of a statistic or
11
12
    number or count where an RPX IPR resulted in dismissal of
13
    a lawsuit?
             MR. GIUNTA: Objection. Form and scope.
14
15
             THE WITNESS: I don't -- I don't know the answer
16
    to that.
17
    BY MR. SEREBOFF:
             So in your experience, from your knowledge, have
18
    lawsuits -- any lawsuits against RPX members been
19
20
    dismissed as a consequence of an RPX filed IPR?
21
             MR. GIUNTA: Objection. Form and scope.
             THE WITNESS: I'm aware that we've done
22
23
    transactions with NPEs where, I believe, there was an RPX
24
    IPR.
25
    ///
```

```
BY MR. SEREBOFF:
1
2
             And then the lawsuit against the member got
3
    dismissed?
 4
    Α
             Right.
             Presumably, the IPR proceeding itself was
 5
    dismissed early or petition withdrawn?
 6
             MR. GIUNTA: Objection. Form and scope.
7
8
             THE WITNESS: I honestly haven't followed it that
    closely, but I believe there were -- there were -- there
9
10
    was at least one transaction where we reached a deal with
11
    the NPE
12
    BY MR. SEREBOFF:
13
             To your knowledge, has Salesforce ever disclaimed
    any interest or benefit from the AIT IPRs?
14
             MR. GIUNTA: Objection. Form and scope.
15
             THE WITNESS: I don't know. I haven't seen that.
16
17
    BY MR. SEREBOFF:
             Okay. Referring to your first declaration,
18
    paragraph 23. Okay. Could you read the first sentence,
19
20
    please?
             "On January 7, 2014, I had a telephone call with
21
    Α
              of Salesforce during which I mentioned that
22
23
    RPX had become aware that Salesforce had been sued by
24
    AIT."
25
            Was that the first call you've ever had with
```

1 2 Α No. 3 Have you ever had -- did you have -- had you had meetings with prior to that telephone call? I definitely had prior calls. 5 Α Don't know if you had met him in person? 6 Q 7 I might have. I can't remember. Α 8 Okay. Did you have any conversations with of Salesforce after that January 7, 2014, telephone 9 call? 10 11 Α Yes. 12 And did you have any conversations with after RPX filed the petitions for IPR in the AIT 13 14 IPRs? 15 Α Yes. 16 Did the subject of AIT's counsel ever come up in 17 any of those conversations? Not that I recall -- other than the reference in 18 Α 19 the January 7, 2014, call where I told him that we had 20 encountered AIT's counsel before with respect to a 21 previous potential transaction. 22 So in the telephone calls with in 23 which you were involved, did ever mention that 24 he knew me? 25 Α He did not.

1	Q Okay. So in the course of RPX pursuing IPRs, are
2	you aware of any RPX member canceling its membership
3	because RPX filed one of these IPRs?
4	MR. GIUNTA: Objection. Form and scope.
5	THE WITNESS: No, I'm not aware of that.
6	BY MR. SEREBOFF:
7	Q Now, in your second declaration, Mr. Chuang, in
8	paragraph 8, I recalled that you talk about information
9	gathering as part of RPX's core services.
10	Could you please explain to me this business of
11	RPX gathering information and providing information to its
12	members as part of the core services?
13	MR. GIUNTA: Objection. Form and scope.
14	THE WITNESS: Yeah. So it's really a we view
15	it as a an inherent part of our core services from the
16	standpoint of we are in order for us to be successful
17	doing these transactions and understanding best what the
18	values of these rights should be, we collect and aggregate
19	as much information as we can, public and mostly public
20	information, and we, as part of our regular interaction
21	with our clients, try to get that information to our
22	clients because it's helpful to them, but it's also
23	helpful for us for them to know it because it facilitates
24	the conversations that we want to have with them to try to
2.5	understand how to prioritize and value the different

- 1 opportunities that we see in the market.
- 2 BY MR. SEREBOFF:
- 3 Q And in paragraph 8, there's a reference to
- 4 defensive patent aggregation. What is that?
- 5 A That's a -- that's a way to describe our core
- 6 services.
- 7 Q The core services of --
- 8 A The transactional acquisition of rights that we
- 9 then license or sublicense to our clients.
- 10 Q Okay. And besides the aggregation transaction
- 11 business and information gathering, what else does RPX do
- 12 for its members in core services?
- MR. GIUNTA: Objection. Form.
- 14 THE WITNESS: I think that is our core service.
- 15 BY MR. SEREBOFF:
- 16 0 That's it. Just those two items. That's it?
- 17 A I mean, from the standpoint of what we consider
- 18 to be the service, that is correct.
- 19 Q Okay. And RPX filing IPRs for reputational
- 20 benefit, that's not part of core services; right?
- 21 A No, it's not.
- 22 0 And to the --
- 23 A Unless -- unless a member approaches us or we
- 24 approach them to co-file an IPR, which may also be for
- 25 reputational benefits.

1	Q In those situations, is that a separate service,
2	or would that be included in the standard member benefit
3	that RPX provides to that member?
4	A I would say it's separate from the core services,
5	but it's more of an adjunct to our patent quality our
6	own IPR filing program.
7	Q Does the member pay RPX extra for that extra
8	service?
9	MR. GIUNTA: Objection. Form.
10	THE WITNESS:
11	
12	
13	
14	BY MR. SEREBOFF:
15	Q Okay.
16	A But they would always be a named co-filer in that
17	case.
18	Q So tell me this.
19	In situations where RPX succeeds in an IPR and
20	the patents are invalidated, at that point, there's
21	nothing for the NPE to license; right?
22	MR. GIUNTA: Objection. Form and scope.
23	THE WITNESS: I guess, if you're assuming that
24	it's reached full and final determination with the appeals
25	completely exhausted, perhaps I don't if you're

talking about our specific IPRs, I don't think we actually 1 2 have many IPRs that have gone that far through the I think there have been a couple of instances 3 where the NPE has taken an adverse judgment or disclaim to 4 claims, in which those claims would no longer be 5 enforceable. 6 BY MR. SEREBOFF: 7 8 And if they're no longer enforceable, there's nothing to license by the NPE; right? 9 10 MR. GIUNTA: Objection. Form and scope. THE WITNESS: If they didn't have any other 11 12 assets or other claims. But I don't actually know if 13 there's an instance of that -- a real instance of that. Okay. I think I have no further 14 MR. SEREBOFF: 15 questions for you now. Thank you, Mr. Chuang. 16 THE WITNESS: Thank you. 17 MR. GIUNTA: Can we go off the record? MR. SEREBOFF: Yes, we can go off the record. 18 19 (Off the record.) 20 EXAMINATION BY MS. HUNT: 21 Thank you, Mr. Chuang. We have just 22 All right. 23 a few questions for you on redirect. First, could you please turn to your first declaration at paragraph 32. 24 25 Α Okay.

And could you please read that paragraph to 1 Q 2 yourself and let me know when you're finished. 3 Α Okay. All right. So do you see the first sentence of 4 this paragraph talks about AIT's discovery request to 5 Number 4? 6 7 Α Yes. 8 And then do you see the second sentence of this paragraph says the document provided as Bates range 9 10 RPX000077 to RPX000090 is responsive to that request? 11 Yes. 12 And then does the rest of this paragraph describe the document referenced in that sentence? 13 14 Α Yes. 15 All right. So the sentence beginning "The vast majority of e-mail communications," is that describing the 16 e-mail communications referenced in that document? 17 18 Α Yes. 19 And then the next sentence refers to the listing 20 of participants in meetings and phone calls. 21 Is that referring to the listing of participants in meetings and phone calls referenced in that document? 22 23 Α Yes. And then the final sentence refers to any meeting 24 25 or communication not summarized in Section 3 above.

Is that referring to any meeting or communication 1 2 listed in this document that's not summarized in Section 3 3 above? Yes. 4 Α All right. Could you please turn to paragraph 27 5 of your first declaration, the same one we were just 6 7 looking at -- the same first declaration we were just looking at. 8 9 Α Okay. 10 So do you see that this paragraph begins "on March 11, 2015, in a phone call between 11 12 Steve Chiang, IP counsel, and senior patent engineer, and me from RPX"? 13 Yes. 14 Α And so is this -- your testimony here in your 15 16 declaration is that you were a participant on this phone 17 call on March 11, 2015; is that correct? 18 Α Yes. 19 Q And do you still believe that to be accurate? 20 Α Yes. 21 All right. I think we're done. Thank you. Q 22 Α Thank you. 23 MR. SEREBOFF: Okay. So standard stipulations for him reviewing and all that? 24 25 MR. GIUNTA: Yes.

1	MR. SEREBOFF: Good. Thank you.
2	You'll get us a rough today/tomorrow time frame.
3	MS. HUNT: Are we still on the record?
4	MR. SEREBOFF: Yeah, we're on the record. That's
5	okay. You know what? Let's go off the record.
6	(Off the record at 3:36 p.m.)
7	
8	
9	
10	I have read the foregoing deposition
11	transcript and by signing hereafter, subject to
12	any changes I have made, approve same.
13	
14	Dated
15	
16	
17	(Signature of Deponent)
18	(bigiacule of beponent)
19	* Signed on errata page inserted as
20	made 477 A fallestring this made
20	page 122A following this page.
	page 122A following this page.
21	page 122A following this page.
21 22 23	page 122A following this page.
21 22 23	page 122A following this page.
21	page 122A following this page.
21 22 23 24	page 122A following this page.



# ERRATA SHEET

I, WILLIAM W. CHUANG, certify under penalty of perjury that I have read the transcript of my deposition of January 30, 2019, and have signed it subject to the following changes, if any:

PAGE	LINE	CORRECTION
_26	16	Change "airs" to "errs"
_73	16	Change "this litigation" to "its litigations"
_81	21	Change "again, other" to "against other"
_81	24	Change "the monetized" to "to monetize"
_85	1	Change "judgments, motions" to "judgment motions"
87	12	Change "is also" to "isn't also"
_97	18	Change "their" to "there"
118	12	Change "to share" to "to say share"
_119	4	Change "disclaim to" to "disclaimed their"

Feb. 28, 2019 Line G. Chu DATE William W. Chuang

1	STATE OF CALIFORNIA )
2	) ss. COUNTY OF SACRAMENTO )
3	
4	On January 30, 2019 before me, Kayla Knowles,
5	personally appeared
6	
7	
8	who proved to me on the basis of satisfactory evidence to
9	be the person(s) whose name(s) is/are subscribed to the
10	within instrument and acknowledged to me that he/she/they
11	executed the same in his/her/their authorized
12	capacity(ies), and that by his/her/their signature(s) on
13	the instrument the person(s), or the entity upon behalf of
14	which the person(s) acted, executed the instrument.
15	I certify under PENALTY OF PERJURY under the laws
16	of the State of California that the foregoing paragraph is
17	true and correct.
18	
19	
20	WITNESS my hand and official seal.
21	
22	Signature (Seal)
23	
24	
25	



1	DEPOSITION OFFICER'S CERTIFICATE
2	STATE OF CALIFORNIA ) ) ss.
3	COUNTY OF ORANGE )
4	
5	I, Kayla Knowles, hereby certify:
6	I am a duly qualified Certified Shorthand
7	Reporter in the State of California, holder of Certificate
8	Number CSR No. 8716 issued by the Court Reporters Board of
9	California and which is in full force and effect. (Fed.
10	R. Civ. P. 28(a)).
11	I am authorized to administer oaths or
12	affirmations pursuant to California Code of Civil
13	Procedure, Section 2093(b) and prior to being examined,
14	the witness was first duly sworn by me. (Fed. R. Civ. P.
15	28(a), 30(f)(1)).
16	I am not a relative or employee or attorney or
17	counsel of any of the parties, nor am I a relative or
18	employee of such attorney or counsel, nor am I financially
19	interested in this action. (Fed. R. Civ. P. 28).
20	I am the deposition officer that stenographically
21	recorded the testimony in the foregoing deposition and the
22	foregoing transcript is a true record of the testimony
23	given by the witness. (Fed. R. Civ. P. 30(f)(1)).
24	The persons who appeared at the deposition are
25	set forth on Page 3 of the foregoing transcript.

1	The deposition was taken at 201 California
2	Street, Suite 375, San Francisco, California, and began at
3	9:55 a.m., on Wednesday, January 30, 2019, and ended at
4	3:36 p.m.
5	Before completion of the deposition, review of
6	the transcript was requested. Changes made by the
7	deponent, are appended hereto and have also been made to
8	the transcript. (Fed. R. Civ. P. 30(e)).
9	
10	
11	Dated: February 11, 2019.
12	Kart X
13	
14	KAYLA KNOWLES
15	Certified Shorthand Reporter No. 14071
16	
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