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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
11/849,609	09/04/2007	Jang-Hoon Yoo	1101.0308CCREI 6003		
North Star Intellectual Property Law, PC P.O. Box 34688			EXAMINER		
			EDUN, MOHAMMAD N		
Washington, D	C 20043		ART UNIT PAPER NUMBER		
			2627		
			NOTIFICATION DATE	DELIVERY MODE	
			02/17/2011	ELECTRONIC	

## Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

info@nsiplaw.com uspto@nsiplaw.com nsiplaw@gmail.com

LG Electronics, Inc. et al.

**EXHIBIT 1018** 



	Application No.	Applicant(s)				
	11/849,609	YOO ET AL.				
Office Action Summary	Examiner	Art Unit				
	MUHAMMAD N. EDUN	2627				
The MAILING DATE of this communication app Period for Reply	pears on the cover sheet with the c	orrespondence ad	idress			
A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filled after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).						
Status						
1)⊠ Responsive to communication(s) filed on <u>07 N</u>	lovember 2008 and 04 Septembe	<u>r 2007</u> .				
,						
3) Since this application is in condition for allowa	Since this application is in condition for allowance except for formal matters, prosecution as to the merits is					
closed in accordance with the practice under E	closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.					
Disposition of Claims						
4)⊠ Claim(s) <u>1-67</u> is/are pending in the application.						
4a) Of the above claim(s) is/are withdrawn from consideration.						
5) Claim(s) is/are allowed.						
6)⊠ Claim(s) <u>1-67</u> is/are rejected.						
7) Claim(s) is/are objected to.						
8) Claim(s) are subject to restriction and/o	or election requirement.					
Application Papers						
9) The specification is objected to by the Examiner.						
10) The drawing(s) filed on is/are: a) accepted or b) objected to by the Examiner.						
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).						
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).						
11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.						
Priority under 35 U.S.C. § 119						
12)☑ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a)☑ All b)☐ Some * c)☐ None of:						
1. Certified copies of the priority documents have been received.						
2. Certified copies of the priority documents have been received in Application No						
3. Copies of the certified copies of the priority documents have been received in this National Stage						
application from the International Bureau (PCT Rule 17.2(a)).						
* See the attached detailed Office action for a list of the certified copies not received.						
Attachment(s)						
1) Notice of References Cited (PTO-892) 2) Notice of Draftsperson's Patent Drawing Review (PTO-948) 4) Interview Summary (PTO-413) Paper No(s)/Mail Date						
3) Information Disclosure Statement(s) (PTO/SB/08) Paper No(s)/Mail Date	5) Notice of Informal F 6) Other:					



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### **DETAILED ACTION**

## Reissue Applications

The reissue oath/declaration filed with this application is defective (see 37 CFR 1.175 and MPEP § 1414) because of the following:

The oath/declaration filed on 09/04/2007 does not include or cover the amendment filed on 11/07/2008.

Claims 1-67 are rejected as being based upon a defective reissue oath/declaration under 35 U.S.C. 251 as set forth above. See 37 CFR 1.175.

The nature of the defect(s) in the oath/declaration is set forth in the discussion above in this Office action.

In accordance with 37 CFR 1.175(b)(1), a supplemental reissue oath/declaration under 37 CFR 1.175(b)(1) must be received before this reissue application can be allowed.



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## Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

Claims 38, 39, 42, 45-47, 50, 51, 56, 57, 62, 63, 65 and 66 are rejected under 35 U.S.C. 102(e) as being anticipated by Lee et al. (US 5,665,957).

The applied reference has a common inventors and assignee with the instant application. Based upon the earlier effective U.S. filing date of the reference, it constitutes prior art under 35 U.S.C. 102(e). This rejection under 35 U.S.C. 102(e) might be overcome either by a showing under 37 CFR 1.132 that any invention disclosed but not claimed in the reference was derived from the inventor of this application and is thus not the invention "by another," or by an appropriate showing under 37 CFR 1.131.



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Lee et al. discloses the invention as claimed. Fig. 7A-15K shows the objective lens (200) for an optical pickup (see for example Fig. 7A) for selectively diffracting at least one of plurality of light beams (see Fig. 10B), the lens comprising a first surface (inner portion of lens between area 102, see for example Fig. 10B) which focuses the plurality of light beams; and a second surface (102 and 102') adjacent to the first surface and having a diffractive pattern to diffract at least one of the plurality of light beams, as set forth in the claims.

The reference further teaches: the diffractive patterns corrects spherical aberration (taken to be inherent to the diffractive pattern structure, and since such result is desired by Lee et al., see for example column 8, line 61-column 9, line 3), as set forth in claims 42, 45 and 46; the first surface includes an inner portion of the lens, the second surface is on a periphery of the first surface, and the first surface does not include diffractive patterns (see Fig. 10B), as set forth in claims 39, 50, 56, 62 and 65; the diffractive patterns is configured to selectively diffract one of the plurality of light beams (see Fig. 10B), as set forth in claims 51, 57, 63 and 66; and the ability of manufacturing the objective lens (see column 10, lines 40-coulumn 12), as set forth in claim 47. See also the description of the apparatus and figures for further details relating to the limitations as set forth in the claims.



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