UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE PATENT TRIAL AND APPEAL BOARD

Sawai USA, Inc., Sawai Pharmaceutical Co., Ltd., Petitioners,

v.

Nissan Chemical Industries Ltd., Patent Owner

U.S. Patent No. 5,856,336

Issue Date: January 5, 1999

Title: Quinoline Type Mevalonolactones

Inter Partes Review No. IPR2015-01648

PATENT OWNER'S MANDATORY DISCLOSURES UNDER 37 C.F.R. § 42.8



I. 37 C.F.R. § 42.8(b)(1) – NOTICE OF REAL PARTY IN INTEREST

The real parties in interest are Nissan Chemical Industries, Ltd., Kowa Company, Ltd., and Kowa Pharmaceuticals America, Inc.

II. 37 C.F.R. § 42.8(b)(2) – RELATED MATTERS

A Petition for *inter partes* review of U.S. Patent No. 5,856,336 (the "336 Patent"), IPR2015-01069, was filed on April 18, 2015 by Mylan Pharmaceuticals Inc. At the time of this filing, the Board has not decided whether or not to institute review in that proceeding.

The Petitioners in this proceeding also filed an additional petition for *inter* partes review of the same '336 Patent on the same date, July 31, 2015. See IPR 2015-01647.

Plaintiffs assert that defendants in the following litigations infringe the '336 Patent: Kowa Company, Ltd., et. al. v. Amneal Pharmaceuticals, LLC, 1:14-cv-02758 (S.D.N.Y., April 17, 2014); Kowa Company, Ltd., et. al. v. Aurobindo Pharma Limited, et. al., 1:14-cv-02497 (S.D.N.Y., April; 9, 2014); Kowa Company, Ltd., et. al. v. Mylan, Inc., et. al., 1:14-cv-02647 (S.D.N.Y., April 14, 2014); Kowa Company, Ltd., et. al. v. Orient Pharma Co., Ltd., 1:14-cv-02759 (S.D.N.Y., April 17, 2014); Kowa Company, Ltd., et. al. v. Sawai USA, Inc, et. al., 1:14-cv-05575 (S.D.N.Y., July 23, 2014); and Kowa Company, Ltd., et. al. v.



Zydus Pharmaceuticals (USA) Inc., et. al., 1:14-cv-02760 (S.D.N.Y., April 17, 2014).

III. 37 C.F.R. § 42.8(b)(3) – LEAD AND BACKUP COUNSEL

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IV. 37 C.F.R. § 42.8(b)(4) – SERVICE INFORMATION

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Respectfully submitted,

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CERTIFICATE OF SERVICE

The undersigned hereby certifies that a copy of the foregoing Patent

Owner's Mandatory Notice was served on August 20, 2015 by sending a copy by

overnight courier and by email to:

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