

**UNITED STATES PATENT AND TRADEMARK OFFICE**

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**BEFORE THE PATENT TRIAL AND APPEAL BOARD**

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SANOFI-AVENTIS U.S. LLC AND  
REGENERON PHARMACEUTICALS, INC.,

Petitioners

v.

GENENTECH, INC. AND CITY OF HOPE,

Patent Owners

Patent No. 6,331,415  
Appl. No. 07/205,419, filed June 10, 1988  
Issued: Dec. 18, 2001

Title: Methods of Producing Immunoglobulins, Vectors  
and Transformed Host Cells for Use Therein

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IPR Trial No. IPR2015-01624

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**GENENTECH, INC. AND CITY OF HOPE'S MANDATORY NOTICES**

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P.O. Box 1450  
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Patent Owners Genentech, Inc. and City of Hope hereby file mandatory notices pursuant to 37 C.F.R. § 42.8(a)(2).

**A. Real Parties-in-Interest (37 C.F.R. § 42.8(b)(1))**

The real parties-in-interest are Genentech, Inc. and City of Hope.

**B. Related Matters (37 C.F.R. § 42.8(b)(2))**

In accordance with the Office Patent Trial Practice Guide (77 Fed. Reg. 48,756, at 48,760 (Aug. 14, 2012)), Patent Owners identify the following U.S. patent applications and issued patents that relate to U.S. Patent Application No. 07/205,419, which issued as U.S. Patent No. 6,331,415:

- U.S. Patent Application No. 06/483,457, which was filed on April 8, 1983 and issued as U.S. Patent No. 4,816,567, is the parent application of U.S. Patent Application 07/205,419;
- U.S. Patent Application No. 08/422,187, which was filed on April 13, 1995 and issued as U.S. Patent No. 7,923,221, claims the benefit of the priority date of U.S. Patent No. 6,331,415;
- U.S. Patent Application No. 08/931,121, which was filed on September 16, 1997 and is now abandoned, claimed the benefit of the priority date of U.S. Patent No. 6,331,415; and
- U.S. Patent Application No. 08/909,611, which was filed on August 12, 1997 and is now abandoned, claimed the benefit of the priority

date of U.S. Patent No. 6,331,415.

Additionally, Patent Owners identify the following matters that relate or may relate to U.S. Patent Application No. 07/205,419, which issued as U.S. Patent No. 6,331,415:

- U.S. Patent Application No. 07/205,419 was at issue in Patent Interference No. 102,572, which has concluded;
- U.S. Patent Application No. 07/205,419 was at issue in *Genentech, Inc. v. Celltech Ltd.*, 3:1998-cv-03926 (N.D. Cal. Oct. 9, 1998), which is now closed;
- U.S. Patent Application No. 08/422,187, which claims the benefit of the priority date of U.S. Patent No. 6,331,415, was at issue in Patent Interference No. 105,531, which has concluded;
- U.S. Patent No. 6,331,415 was the subject of Reexamination Control No. 90/007,542 (July 7, 2005), which has concluded;
- U.S. Patent No. 6,331,415 was the subject of Reexamination Control No. 90/007,859 (January 23, 2006), which has concluded;
- U.S. Patent No. 6,331,415 was a patent-in-suit in *MedImmune, Inc. v. Genentech, Inc.*, Case No. 2:03-cv-2567 MRP (C.D. Cal. Apr. 11, 2003), which is now closed;
- U.S. Patent No. 6,331,415 was a patent-in-suit in *Centocor, Inc. v.*

*Genentech, Inc.*, Case No. 2:08-cv-03573 MRP (C.D. Cal. May 30, 2008), which is now closed;

- U.S. Patent No. 6,331,415 was a patent-in-suit in *Glaxo Group Limited v. Genentech, Inc.*, Case No. 2:10-cv-02764 MRP (C.D. Cal. Feb. 17, 2010), which is now closed;
- U.S. Patent No. 6,331,415 and U.S. Patent No. 7,923,221, which claims the benefit of the priority date of U.S. Patent No. 6,331,415, were both patents-in-suit in *Human Genome Sciences, Inc. v. Genentech, Inc.*, Case No. 2:11-cv-06519 MRP (Apr. 12, 2011), which is now closed;
- U.S. Patent No. 6,331,415 and U.S. Patent No. 7,923,221, which claims the benefit of the priority date of U.S. Patent No. 6,331,415, were both patents-in-suit in *Genentech, Inc. v. Glaxo Group Limited*, Case No. 2:11-cv-03065 MRP (C.D. Cal. Apr. 12, 2011), which is now closed;
- U.S. Patent No. 6,331,415 and U.S. Patent No. 7,923,221, which claims the benefit of the priority date of U.S. Patent No. 6,331,415, were both patents-in-suit in *Eli Lilly & Co. v. Genentech, Inc.*, 2:13-cv-07248 MRP (C.D. Cal. Feb. 28, 2013), which is now closed;
- U.S. Patent No. 6,331,415 and U.S. Patent No. 7,923,221, which

claims the benefit of the priority date of U.S. Patent No. 6,331,415, were both patents-in-suit in *Bristol-Myers Squibb Co. v Genentech, Inc.*, No. 2:13-cv-05400 MRP (C.D. Cal. May 3, 2013), which is now closed; and

- U.S. Patent No. 7,923,221, which claims the benefit of the priority date of U.S. Patent No. 6,331,415, is the patent-in-suit in *Sanofi-Aventis U.S. LLC v. Genentech, Inc.*, Case No. 2:15-cv-05685 GW (C.D. Cal. July 27, 2015), which is pending and was commenced by Petitioners in this action.

Patent Owners do not concede that any of the above-identified patents, applications, or proceedings would affect, or be affected by, a decision in the present *inter partes* review of U.S. Patent No. 6,331,415.

**C. Lead and Back-Up Counsel (37 C.F.R. § 42.8(b)(3))**

Patent Owners designate the following counsel:

Lead Counsel: Adam R. Brausa (Reg. No. 60,287)  
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