UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE PATENT TRIAL AND APPEAL BOARD

LG ELECTRONICS, INC. Petitioner,

V.

ATI TECHNOLOGIES ULC Patent Owner.

Case IPR2015-01620 Patent 7,095,945 B1

PATENT OWNER PRELIMINARY RESPONSE

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Exhibit List

Exhibit Number	Document Description
2001	Invalidity Contentions served by Counsel for LG Electronics,
	Inc. et al., dated October 2, 2014, Case No. 3:14-cv-1012-SI
2002	Exhibit I-01 (945) US5517250 - Hoogenboom Service Chart
2003	IPR2015-00321, Institution Decision, Paper No. 20
2004	"A consumer digital VCR for digital broadcasting" by
	Hatanaka et al. (1998)
2005	"A consumer digital VCR for advanced television" by
	Okamoto et al. (1993)
2006	"A consumer digital VCR for digital broadcasting" by
	Okamoto et al. (1995)



I. INTRODUCTION

Patent Owner, ATI Technologies ULC (hereafter "Patent Owner"), hereby respectfully submits this Patent Owner Preliminary Response. This filing is timely under 35 U.S.C. §§ 311–319 and 37 C.F.R. § 42.120, because it was filed by November 6, 2015.

This is the second of two IPR petitions that Petitioner LG Electronics, Inc. (hereafter "LG") has filed against Patent Owner's U.S. Patent 7,095,945 ("the "'945 patent"). The Board should deny institution, because: (i) this Petition is time-barred; (ii) this Petition is redundant; and (iii) LG still has not shown a reasonable likelihood that it would prevail with respect to claim 21.

First, the Board should exercise its discretion and deny LG's second Petition against the '945 patent, because LG is time-barred from bringing this Petition under 35 U.S.C. § 315(b). This Petition was filed more than a year after LG was served with a complaint alleging infringement of the '945 Patent. On July 24, 2015, more than sixteen months after Patent Owner served LG with the complaint (and after previously failing in its challenge of claim 21 in IPR2015-00321), LG filed this second Petition for *inter partes* review of the '945 Patent. Paper 2. LG also filed a motion to join this proceeding with IPR2015-00321. Paper 3. Patent Owner opposed that motion. Paper 7. LG seeks joinder in an effort to circumvent

¹ IPR2015-00321 was filed on December 10, 2014.



the § 315(b) one-year statutory bar.

The Board should exercise its discretion and deny this second Petition. The Board has repeatedly denied joinder where a petitioner attempts, as is the case here, to use a prior institution decision as a roadmap to remedy unsuccessful challenges advanced in a first petition. The Board has been especially critical of such tactics by petitioners when the follow up petition would be time-barred under § 315(b), absent joinder. *See, e.g., Medtronic, Inc. et al. v. Endotach LLC*, IPR2014-00695, Paper 18, pp. 3-4 (P.T.A.B. Sept. 25, 2014).

Second, LG's second Petition and first Petition are redundant. LG has already failed to get trial instituted on three grounds of rejection against claim 21 in its first Petition. LG now proposes two more grounds of rejection without explaining how this second Petition is not an improper circumvention of the IPR rules. Hence, consistent with previous Board rulings, the Board should also find this second Petition redundant.

Finally, after failing in its initial challenge of claim 21, LG still has not established a reasonable likelihood of prevailing with any of its new proposed grounds against claim 21. LG has not shown how Hatanaka in combination with either Hoogenboom or Anderson renders claim 21 obvious. Although LG has advanced Hoogenboom and Anderson specifically to address the shortcomings identified in its first Petition against the '945 patent, Hatanaka still suffers from



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