AO 120 (Rev. 08/10)

TO:

Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450

P.O. Box 1450 Alexandria, VA 22313-1450

REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

		IRADEWARK	IRADEMARK				
In Complia		15 U.S.C. § 1116 you are hereby advised that a court action has been for the District of Delaware on the following					
☐ Trademarks or	Patents. (the patent act	tion involves 35 U.S.C. § 292.):					
DOCKET NO.	DATE FILED 7/11/2014	U.S. DISTRICT COURT for the District of Delaware					
PLAINTIFF	7,11,201,	DEFENDANT					
CUBIST PHARMACE	JTICALS, INC.	FRESENIUS KABI USA, LLC					
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK					
ı 6,468,967	10/22/2002	Cubist Pharmaceuticals, Inc.					
2 6,852,689	2/8/2005	Cubist Pharmaceuticals, Inc.					
3 8,058,238	11/15/2011	Cubist Pharmaceuticals, Inc.					
4 8,129,342	3/6/2012	Cubist Pharmaceuticals, Inc.					
5							
		e following patent(s)/ trademark(s) have been included:					
DATE INCLUDED	INCLUDED BY	endment Answer Cross Bill Other Pleading					
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK					
1							
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In the abo	ove-entitled case, the following	decision has been rendered or judgement issued:					
DECISION/JUDGEMENT							
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CLERK	(BY	EPUTY CLERK DATE					

Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy

140 400					ND. 120			
<u> AO 120 (</u>	(Rev. 08/10)							
ТО:		Mail Stop 8 of the U.S. Patent and T Office P.O. Box 1450 exandria, VA 22313–14		REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK				
In (. § 1116 you are hereby advised that a court ac ne District of New Jersey on the following: the patent action involves 35 U.S.C. § 292.)	tion has been			
DOCKET	TNO. 06016-MAS-	DATE FILED	U.S. I	DISTRICT COURT				
PLAINTI	FF	CUTICALS, INC.		ITON, NJ DEFENDANT STRIDES, INC.				
TRADE	ENT OR MARK NO.	DATE OF PATEN' OR TRADEMARK	T	HOLDER OF PATENT OR TRADEM	IARK			
6,468,96		10/22/2002		CUBIST PHARMACEUTICALS, II	NC			
6,852,68	39B2	2/8/2005		CUBIST PHARMACEUTICALS, INC				
8,058,23		11/15/2011	-	CUBIST PHARMACEUTICALS, II	NC			
8,129,34	2B2	3/6/2012		CUBIST PHARMACEUTICALS, IN	NC			
DATE INC	In th	ne above—entitled case, INCLUDED BY	the following	g patent(s)/ trademark(s) have been included:				
		-	Amendn	nent Answer Cross Bill	Other Pleading			
	ENT OR MARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMA	ARK			
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ECISION	In the a	bove—entitled case, the NT	following de	ecision has been rendered or judgement issued:				
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	n T. Walsh		BY) DEPUT s/ KIM S	PTILI MANE	0/2013			

Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy

AO 120 (Rev. 08/10)

Mail Stop 8

REPORT ON THE

	Mail Stop 8 J.S. Patent and Trademark P.O. Box 1450 andria, VA 22313-1450	Office FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK				
filed in the U.S. Dis		15 U.S.C. § 1116 you are hereby advised that a court action has been for the District of Delaware on the following				
DOCKET NO.	DATE FILED	U.S. DISTRICT COURT				
PLAINTIFF	10/9/2013	for the District of Delaware DEFENDANT				
CUBIST PHARMACEU	TICALS, INC.	STRIDES, INC. and AGILA SPECIALTIES PRIVATE LIMITED				
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK				
I 6,468,967 B1	10/22/2002	Cubist Pharmaceuticals, Inc.				
2 6,852,689 B2	2/8/2005	Cubist Pharmaceuticals, Inc.				
3 8,058,238 B2	11/15/2011	Cubist Pharmaceuticals, Inc.				
4 8,129,342 B2	3/6/2012	Cubist Pharmaceuticals, Inc.				
5						
DATE INCLUDED	INCLUDED BY	e following patent(s)/ trademark(s) have been included: endment				
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK				
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3						
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In the above	e-entitled case, the following d	decision has been rendered or judgement issued:				
LERK	(BY)	DEPUTY CLERK DATE				
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Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy

AO 120 ((Rev. 08/10)								
ТО:	Mail Stop 8 Director of the U.S. Patent and Tradema Office P.O. Box 1450 Alexandria, VA 22313–1450			REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK					
ln	Compliance w	led in the U.S. District Cour	rt for t	S. § 1116 you are hereby advised that a court action has been the District of New Jersey on the following: the patent action involves 35 U.S.C. § 292.)					
DOCKE		DATE FILED	U.S.	DISTRICT COURT NTON, NJ					
3:13-cv-06016-MAS- DE /A0/2013 PLAINTIFF CUBIST PHARMACEUTICALS, INC.		DEFENDANT STRIDES, INC.							
	TENT OR EMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK					
1 6,468,9	,468,967 10/22/2002			CUBIST PHARMACEUTICALS, INC					
2 6,852,6	89B2	2/8/2005	CUBIST PHARMACEUTICALS, INC						
3 8,058,2	8,058,238 11/15/2011		CUBIST PHARMACEUTICALS, INC						
4 8,129,3	4 8,129,342B2 3/6/2012			CUBIST PHARMACEUTICALS, INC					
5									
DATE IN		INCLUDED BY		mg patent(s)/ trademark(s) have been included: ment Answer Cross Bill Other Pleading					
TRADE	ENT OR EMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK					
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DECISIO	In the a		owing	decision has been rendered or judgement issued:					
CLERK Willi	am T. Walsh			JTY CLERK I STILLMAN DATE 10/10/2013					

Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy

CERTIFICATE OF CORRECTION

PATENT NO. : 8,058,238 B2 Page 1 of 1

APPLICATION NO. : 11/739180

DATED : November 15, 2011 INVENTOR(S) : Thomas Kelleher et al.

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

IN THE CLAIMS:

In Column 45, line 38, Claim 139, replace "claim 48" with -- claim 49 --.

In Column 47, lines 57 and 58, Claim 176, replace "greater than or 93%" with -- greater than or about 93% --.

Signed and Sealed this Twenty-fourth Day of January, 2012

David J. Kappos

Director of the United States Patent and Trademark Office

Appl. No.

: 11/739,180

Confirmation No.:

8837

Patent No.

: 8,058,238 B2

Applicant

: Thomas Kelleher et al.

Filed

: April 24, 2007

Issued

: November 15, 2011

TC/A.U.

: 1656

Examiner

: Chih Min Kam

Docket No.

: C062-02/03 US

Customer No.: 34103

REQUEST FOR CERTIFICATE OF CORRECTION UNDER 37 C.F.R. § 1.322

Applicants hereby request that a Certificate of Correction be issued in the patent identified above. The errors to be corrected are of a minor, typographical nature and are described in detail on the enclosed PTO/SB/44 form.

The errors occurred through the fault of the office; therefore, no fee is believed due at this time. Please charge deposit account no. 50-1986 if any fees are believed due at this time.

Respectfully submitted,

Dated: December 28, 2011

/Nicholas M. Boivin/

Nicholas M. Boivin, Reg. No. 45,650

Attorney for Applicants

Cubist Pharmaceuticals, Inc.

65 Hayden Avenue

Lexington, Massachusetts 02421

Tel: (781) 860-8660 Fax: (781) 860-1407 Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.
(Also Form PTO-1050)

UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

Page <u>1</u> of <u>1</u>
PATENT NO. : 8,058,238 B2
APPLICATION NO.: 11/739,180
ISSUE DATE : November 15, 2011
INVENTOR(S) Thomas Kelleher et al.
It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:
In Column 45, line 38, replace "claim 48" with claim 49
In Column 47, lines 57 and 58, replace "greater than or 93%" with greater than or about 93%

MAILING ADDRESS OF SENDER (Please do not use customer number below):

Nicholas Boivin, Cubist Pharmaceuticals, Inc. 65 Hayden Avenue Lexington, MA 02421

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief information Officer, U.S. Patent and Trademark Office. U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Electronic Acknowledgement Receipt					
EFS ID:	11717005				
Application Number:	11739180				
International Application Number:					
Confirmation Number:	8837				
Title of Invention:	HIGH PURITY LIPOPEPTIDES				
First Named Inventor/Applicant Name:	Thomas Kelleher				
Customer Number:	34103				
Filer:	Nicholas M.C. Boivin				
Filer Authorized By:					
Attorney Docket Number:	C062-02/03 US				
Receipt Date:	28-DEC-2011				
Filing Date:	24-APR-2007				
Time Stamp:	14:21:51				
Application Type:	Utility under 35 USC 111(a)				

Payment information:

Submitted with Payment	no
1	

File Listing:

1 Request for Certificate of Correction C062_02_03_US_20111228_Ce rt_of_Cor.pdf 658909 no 2	Documen Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
	1	Request for Certificate of Correction	rt_of_Cor.pdf	8c4bfebf445df90149f66e4a65fb01bd5f185		2

Warnings:

Information:

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.



10/26/2011

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450

Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	ISSUE DATE	PATENT NO.	ATTORNEY DOCKET NO.	CONFIRMATION NO.
11/739,180	11/15/2011	8058238	C062-02/03 US	8837

Intellectual Property Department Cubist Pharmaceuticals, Inc. 65 Hayden Avenue Lexington, MA 02421

ISSUE NOTIFICATION

The projected patent number and issue date are specified above.

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment is 0 day(s). Any patent to issue from the above-identified application will include an indication of the adjustment on the front page.

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Application Assistance Unit (AAU) of the Office of Data Management (ODM) at (571)-272-4200.

APPLICANT(s) (Please see PAIR WEB site http://pair.uspto.gov for additional applicants):

Thomas Kelleher, Weston, MA; Jan-Ji Lai, Westborough, MA; Joseph P. DeCourcey, Charlestown, MA; Paul Lynch, Arlington, MA; Maurizio Zenoni, Milan, ITALY; Auro Tagliani, Pavia, ITALY;

IR103 (Rev. 10/09)



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

Bib Data Sheet

CONFIRMATION NO. 8837

SERIAL NUMBE 11/739,180	≣R	FILING OR 371(c)	(CLASS 514	GROUP ART UNIT 1656		D	ATTORNEY OCKET NO. 062-02/03 US	
APPLICANTS Thomas Kelleher, Weston, MA; Jan-Ji Lai, Westborough, MA; Joseph P. DeCourcey, Charlestown, MA; Paul Lynch, Arlington, MA; Maurizio Zenoni, Milan, ITALY; Auro Tagliani, Pavia, ITALY; *** CONTINUING DATA **********************************									
Foreign Priority claimed yes no STATE OR SHEETS TOTAL INDEPENDING							INDEPENDENT CLAIMS 1		
34103 TITLE HIGH PURITY LIP	OPE	EPTIDES							
FILING FEE FEES: Authority has been given in Paper No to charge/credit DEPOSIT ACCOUNT No for following:				NT	1.1 (ime)	8 Fees ((Proce	essing Ext. of	



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS PO. Box 1450 Alexandria, Viiginia 22313-1450 www.uspto.gov

APPLICATION NUMBER FILING OR 371(C) DATE FIRST NAMED APPLICANT ATTY. DOCKET NO./TITLE

11/739,180 04/24/2007

Thomas Kelleher

C062-02/03 US **CONFIRMATION NO. 8837**

34103 Intellectual Property Department Cubist Pharmaceuticals, Inc. 65 Hayden Avenue Lexington, MA 02421



Date Mailed: 09/29/2011

NOTICE OF ACCEPTANCE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 09/08/2011.

The Power of Attorney in this application is accepted. Correspondence in this application will be mailed to the above address as provided by 37 CFR 1.33.

/cl	bowen/			

Office of Data Management, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450 or Fax (571)-273-2885 INSTRUCTIONS: This form should be used for transmitting the ISSUE PEE and PUBLICATION PIEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate TEE ADDRESS" for maintenance fee notifications. Note: A certificate of mailing can only be used for domestic mailings of the Dec(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission. CORRENT CORRESPONDENCE ADDRESS (Note: Use Block I for any change of address) 09/07/2011 Certificate of Mailing or Transmission Intellectual Property Department I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below. Cubist Pharmaceuticals, Inc. 65 Hayden Avenue Lexington, MA 02421 Эприкония насе: (Signature) (13:0a) FIRST NAMED INVENTOR ATTORNEY DOCKET NO CONFIRMATION NO APPEACATION NO PERMAPERATE 117739.180 04/24/2007 Thomas Kelleber C062-02/03 US TITLE OF INVENTION: HIGH PURITY LIPOPEPTIDES APPLN. TYPE SMALL ENTITY 188UE FEE DUE PUBLICATION SEE DUE | PREV. PAID ISSUE FEE TOTAL FEE(S) DOE DATE DUE YES 5755 \$300 \$1055 12/07/2011 nonorovisional EXAMINER ART DNO CUASS-SEBCLASS KAM, CHIH MIN 514-000000 Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). For printing on the puters front page, list Object Pharmaceuticals, Inc. (1) the names of up to 3 registered patent attorneys or agents OR, alternatively. Change of correspondence address for Change of Correspondence Address form FTO/SB/122) attached. (2) the name of a simple firm thaving as a member a Tree Address" indication (or "Free Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required. registered attorney or agent) and the names of up to 2 registered putent attorneys or agents. If no name is listed, no name will be printed. 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set touch in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment. (A) CAME OF ASSIGNEE. (B) RESIDENCE: (CITY and STATE OR COUNTRY) Obist Phoneceuticals, Inc. Lexington, MA 02421 65 Hayden Avenue ☐ Individual ☐ Corporation or other private group unitay ☐ Government Please check the appropriate assignee category or categories (will not be printed on the patent): 4a. The following feets) are submitted: \$\int_{\text{c}}\$ issue Fee 4b. Payment of Pec(s): (Please first reapply any previously paid issue fee shown above) A check is enclosed المنا Publication Fee (No small entity discount permitted) Payment by credit card. Form PTO-2038 is attached. The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number 50-186 (enclose an extra copy of this to Advance Order - # of Copies (enclose as extra copy of this form). 5. Change in Entity Status (from status indicated above) a. Applicant claims SMALL ENTITY status. See 37 CFR 1-27. 5. Applicant is no longer claiming SMALL ENTUY status. See 37 CFR 1.27(g)(2)

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including guitaring, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450. Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Commissioner for Patents, P.O. Box 1450. Alexandria, Virginia 22313-1450.

NOTE. The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered afterney or seem; or the assignee or other party in

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Authorized Signature

Typed or printed name.

interest as shown by the regords of the United States Patent and Trademark Office

Nicholas M. Boivin

September 26, 2011

Registration No.

45,650

Electronic Patent Application Fee Transmittal									
Application Number:	11	739180							
Filing Date:	24	-Apr-2007							
Title of Invention:	HIGH PURITY LIPOPEPTIDES								
First Named Inventor/Applicant Name:	Thomas Kelleher								
Filer:	Nicholas M.C. Boivin								
Attorney Docket Number:	C062-02/03 US								
Filed as Large Entity									
Utility under 35 USC 111(a) Filing Fees									
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)				
Basic Filing:									
Pages:									
Claims:									
Miscellaneous-Filing:	Miscellaneous-Filing:								
Petition:									
Patent-Appeals-and-Interference:									
Post-Allowance-and-Post-Issuance:	Post-Allowance-and-Post-Issuance:								
Utility Appl issue fee		1501	1	1740	1740				
Publ. Fee- early, voluntary, or normal		1504	1	300	300				

Description Fee Code		Quantity	Amount	Sub-Total in USD(\$)
Extension-of-Time:				
Miscellaneous:				
	Tot	al in USD	(\$)	2040

Electronic Acknowledgement Receipt		
EFS ID:	10975756	
Application Number:	11739180	
International Application Number:		
Confirmation Number:	8837	
Title of Invention:	HIGH PURITY LIPOPEPTIDES	
First Named Inventor/Applicant Name:	Thomas Kelleher	
Customer Number:	34103	
Filer:	Nicholas M.C. Boivin	
Filer Authorized By:		
Attorney Docket Number:	C062-02/03 US	
Receipt Date:	26-SEP-2011	
Filing Date:	24-APR-2007	
Time Stamp:	16:41:04	
Application Type:	Utility under 35 USC 111(a)	

Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$2040
RAM confirmation Number	3032
Deposit Account	501986
Authorized User	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

Charge any Additional Fees required under 37 C.F.R. Section 1.21 (Miscellaneous fees and charges)

File Listing:	1				
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Issue Fee Payment (PTO-85B)	C062_02_03_US_20110926_lss	793331	no	
'	Year Payment.pdf		9f96613824221fde88ff9ff95de976d778442 620		ı
Warnings:					
Information:		,			
2	F 10/ 1 + (CDOC)	6 info malf	32100		2
2	Fee Worksheet (SB06)	fee-info.pdf	02ec42818e2457b177166fa36aec92a9e26 7f450	no	
Warnings:					
Information:					
		Total Files Size (in bytes):	82	25431	

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OME control number.

POWER OF ATTORNEY REVOCATION OF POWER OF ATTORNEY WITH A NEW POWER OF ATTORNEY AND CHANGE OF CORRESPONDENCE ADDRESS

Application Number	11/739,180	
Filing Date	April 24, 2007	
First Named Inventor	Thomas Kelleher	1000000
Title	High Purity Lipopeptides	
Art Unit	1656	
Examiner Name	Chih-Min Kam	
Attorney Docket Number	C062-02/03 US	J

Lhere	by revoke all	previous powers of attorney given in th	e above-ide	ntified applica	ion.	183333
	A Power of Atto	mey is submitted herewith.	******			
_° ⊠	Number as my/o identified above	rit Practitioner(s) associated with the following Customer //our attorney(s) or agent(s) to prosecute the application //e, and to transact all business in the United States Patent // k Office connected therewith:			34103	
	R I hereby appoint to transact all bu	Practitioner(s) named below as my/our attorney isiness in the United States Patent and Tradema	(s) or agent(s) : rk Office conne	to prosecute the a acted therewith:	application identified above,	and
		Practitioner(s) Name		Registratio	n Number	
				······	;	
	**********************		***************************************	***************************************	······	

Pleas	e recognize o	r change the correspondence address	for the abov	e-identified ap	plication to:	
X	The address ass	ociated with the above-mentioned Customer Nu	mber.			
O)	₹			^^^		
	The address ass	ociated with Customer Number:				
Ol	₹					
	Firm or Individual Name					
Addres			********************	***************************************		
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City			State	<u> </u>	Zip	
Counti Teleph			Email	<u></u>	······································	
I am th				<u></u>	······	
	Applicant/Invento	śr.				
	OR Assignee of record of the entire interest. See 37 CFR 3.71.					
$\boxtimes$	Assignee of record of the entire little est. See 37 CFR 3.71.  Statement under 37 CFR 3 73(b) (Form PTO/SB/96) submitted herewith or filed on					
		SIGNATURE of Applicant	or Assignee	of Record		
Signat	ure	/Nicholas M. Boivin/		Date	September 8, 2011	
Name		Nicholas M. Boivin Telephone (781) 860-8660				
	Title and Company Intellectual Property Counsel, Cubist Pharmaceuticals, Inc.  NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one					
	signatures of all the re is required, see b		or men teblesem	ranneiz) eta tadatta	s onnucuantis innis i illote	man une
$\boxtimes$	*Total of 1	forms are submitted.			÷	

This collection of information is required by 37 CFR 1.31, 1.32 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confiderifiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerca, P.O. Box 1450, Alexandria, VA. 22313-1450. DO NOT SEND FEES OR COMPLETED. FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

		STATEMENT UNDER 37	CFR 3.73(b)	
Applicant/Patent Own	en Cubist Pharmace	uticals, Inc.		
Application No./Paten	****	File	ed/Issue Date: April 24, 200	07
Titled:				
Cubist Pharmaceuti	cals, INc.	a Corporation		
(Name of Assignee)		(Type of Assign	nee, e.g., corporation, partnership, un	eversity, government agency, etc.
states that it is:				
1. X the assign	ee of the entire right, titl	e, and interest in;		
2. an assigni (The exter	ee of less than the entire nt (by percentage) of its	e right, title, and interest in ownership interest is	%); or	
3. the assign	ee of an undivided inter	est in the entirety of (a comple	ete assignment from one of the	ne joint inventors was made)
the patent application	patent identified above,	by virtue of either		
the United	ment from the inventor(s I States Patent and Trac efore is attached	s) of the patent application/pa demark Office at Reel	tent identified above. The as	signment was recorded in or for which a
OR		an a san an a		£.B.
92224	in a market market property	), of the patent application/pat	To: Perseptive Biosyste	
1. From:				5130, 1130
	Reel 024070	orded in the United States Pat Frame 0280		py thereof is attached.
2. From:	Perseptive Biosyste	ems, Inc.	To: Cubist Pharmaceut	icals, Inc.
	The document was reco	orded in the United States Pat	ent and Trademark Office at	
	Reel 019202	Frame 0011	or for which a co	py thereof is attached.
3. From	Inventors		To: Cubist Pharmaceut	ticals, Inc.
	The document was reco	orded in the United States Pat	ent and Trademark Office at	
	Reel 019201	Frame 0897	, or for which a co	py thereof is attached.
Additions	al documents in the chai	n of title are listed on a supple	mental sheet(s).	
As required by or concurrently	r 37 CFR 3.73(b)(1)(i), i y is being, submitted for	the documentary evidence of recordation pursuant to 37 CI	the chain of title from the orig FR 3.11.	ginal owner to the assignee was.
[NOTE: A sep accordance w	arate copy (i.e., a true o ith 37 CFR Part 3, to rec	copy of the original assignment ford the assignment in the rec	nt document(s)) must be sub ords of the USPTO. <u>See</u> MPI	mitted to Assignment Division in EP 302.08]
The undersigned (wh	ose title is supplied belo	ow) is authorized to act on bet	alf of the assignee.	
/Nicholas M. Boivin	1		09/0	08/2011
Signature				Date
Nicholas M. Bolvin			(P C	Counsel
Printed or Ty	ped Name			Tille

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief information Officer, U.S. Patent and Trademark Office, U.S. Department of Commence, P.D. Blox 1456, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents. P.D. Brox 1456, Alexandria, VA 22313-1450. for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

TO: CUBIST PHARMACEUTICALS, ( )C. COMPANY: 65 HAYDEN AVENUE( )



## United States Patent and Trademark Office

Under Secretary of Commerce for Intellectual Property and DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE



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MARCH 12, 2010

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CUBIST PHARMACEUTICALS, INC. 65 HAYDEN AVENUE INTELLECTUAL PROPERTY DEPARTMENT LEXINGTON, MA 02421

> UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 03/12/2010

REEL/FRAME: 024070/0280 NUMBER OF PAGES: 5

BRIEF: CORRECTIVE ASSIGNMENT TO CORRECT THE RECEIVING PARTY DATA PREVIOUSLY RECORDED ON REEL 019201 FRAME 0966. ASSIGNOR(S) HEREBY CONFIRMS THE CORRECTIVE ASSIGNMENT TO CORRECT ONE RECORDED AT 019201/0966 TO CHANGE ASSIGNEE TO PERSEPTIVE BIOSYSTEMS, INCORPORATED.

DOCKET NUMBER: C062-02/03 US

ASSIGNOR:

LYNCH, PAUL D

DOC DATE: 02/13/2001

ASSIGNEE:

PERSEPTIVE BIOSYSTEMS, INCORPORATED 500 OLD CONNECTICUT PATH FRAMINGHAM, MASSACHUSETTS 01701

SERIAL NUMBER: 11/39180

PATENT NUMBER:

TITLE: HIGH PURITY LIPOPEPTIDES

FILING DATE: 04/24/2007

ISSUE DATE:

P.O. Box 1450, Alexandria, Virginia 22313-1450 - www.uspro.gov

TO: CUBIST PHARMACEUTICALS, & D. COMPANY: 65 HAYDEN AVENUE

024070/0280 PAGE 2

JEEVON JONES, EXAMINER ASSIGNMENT SERVICES BRANCH PUBLIC RECORDS DIVISION

#### ASSIGNMENT

(1)	I/We, Paul D. Lynch
residing	at
(1)	29 Cypress Road Arlington, MA 02474

for good and valuable consideration, receipt of which is hereby acknowledged, have assigned, sold and transferred to and do hereby assign, sell and transfer to PERSEPTIVE corporation organized and BIOSYSTEMS, INCORPORATED a existing under the laws of the STATE OF DELAWARE and having an office and a place of business at 500 OLD CONNECTICUT PATH, FRAMINGHAM, MASSACHUSETTS 01701 its successors and assigns: (1) the entire right, title and interest in the United States and in all countries throughout the world in and to any and all my/our inventions and discoveries disclosed in the application for Letters Patent in the HIGH PURITY LIPOPEPTIDES. States entitled: LIPOPEPTIDES MICELLES AND PROCESSES FOR PREPARING SAME, and filed in the United States Patent and Trademark Office on NOVEMBER 28, 2000, under Serial Number 09/735,191, including any renewals, revivals, reissues, reexaminations, extensions, continuations and divisions thereof, and any substitute applications therefor; (2) the full and complete right to file patent applications in the name of PERSEPTIVE BIOSYSTEMS, INCORPORATED its designee, or in my/our names at PERSEPTIVE BIOSYSTEMS, INCORPORATED or its designee's election, on the aforesaid inventions, discoveries and applications in all countries of the world; (3) the entire right, title and interest in and to any Letters Patent

page <u>1</u> of <u>3</u>

which may issue thereon in the United States or in any other country of the world and any renewals, revivals, reissues, reexaminations and extensions of the same; and (4) the entire right, title and interest in all Convention and Treaty Rights of all kinds thereon, including without limitation all rights of priority in any country of the world, in and to the above inventions, discoveries and applications.

I/We hereby authorize and request the competent authorities to grant and to issue any and all such Letters Patent in the United States and throughout the world to <a href="Perseptive biosystems">PERSEPTIVE BIOSYSTEMS</a>, INCORPORATED as the assignee of the entire right, title and interest therein, as fully and entirely as the same would have been held and enjoyed by me/us had this assignment, sale and transfer not been made.

I/We agree, at any time, upon the request of PERSEPTIVE BIOSYSTEMS, INCORPORATED to execute and to deliver to PERSEPTIVE BIOSYSTEMS, INCORPORATED any additional applications for patents for said inventions and discoveries, or any part or parts thereof, and any applications for patents of confirmation, registration and importation based on any Letters Patent issuing on said inventions, discoveries or applications, and divisions, continuations, renewals, revivals, reissues, reexaminations and extensions thereof.

I/We further agree at any time to execute and to deliver upon request of <u>PERSEPTIVE BIOSYSTEMS</u>, <u>INCORPORATED</u> such additional documents, if any, as are necessary or desirable to secure patent protection on said inventions, discoveries and applications throughout all countries of the world, and otherwise to do the necessary to give full effect to and to perfect the rights of <u>PERSEPTIVE BIOSYSTEMS</u>, <u>INCORPORATED</u> under this Assignment, including the execution, delivery and procurement of any and all

page <u>2</u> of <u>3</u>

further documents evidencing this assignment, transfer and sale as may be necessary or desirable.

ASSIGNORS:

Saul Lynch 2/3/0/(1)

Notary Public

On this 13 day of 12 personally appeared

PAUL D. LYNCH

before me, a Notary Public in and for 1/2 (myseque)

and executed the foregoing

Assignment and duly acknowledged to me that such Assignment

was executed for the uses and purposes therein expressed.

page <u>3</u> of <u>3</u>



UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE:

APRIL 27, 2007

PTAS

CUBIST PHARMACEUTICALS, INC. 65 HAYDEN AVENUE INTELLECTUAL PROPERTY LEXINGTON, MA 02421



UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 04/24/2007

REEL/FRAME: 019202/0011 NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS). DOCKET NUMBER: C062-02/03 US

ASSIGNOR:

PERSEPTIVE BIOSYSTEMS, INC.

DOC DATE: 02/13/2001

ASSIGNEE:

CUBIST PHARMACEUTICALS, INC. 65 HAYDEN AVENUE LEXINGTON, MASSACHUSETTS 02421

SERIAL NUMBER: 11739180

FILING DATE:

PATENT NUMBER:

TITLE: HIGH PURITY LIPOPEPTIDES

ISSUE DATE:

019202/0011 PAGE 2

ASSIGNMENT SERVICES BRANCH PUBLIC RECORDS DIVISION

#### ASSIGNMENT

WHEREAS, the undersigned, <u>PERSEPTIVE</u>

<u>BIOSYSTEMS</u>, <u>INCORPORATED</u>, a corporation organized and existing under the laws of the <u>STATE OF DELAWARE</u> and having an office and a place of business at <u>500 OLD</u>

<u>CONNECTICUT PATH</u>, <u>FRAMINGHAM</u>, <u>MASSACHUSETTS 01701</u>, has full right to convey the entire interest in the invention entitled: <u>HIGH PURITY LIPOPEPTIDES</u>, <u>LIPOPEPTIDES</u>

<u>MICELLES AND PROCESSES FOR PREPARING SAME</u>, and filed in the United States Patent and Trademark Office on <u>NOVEMBER</u> 28, 2000, under Serial Number <u>09/735,191</u>; and

WHEREAS, <u>CUBIST PHARMACEUTICALS</u>, <u>INCORPORATED</u>, a corporation organized and existing under the laws of the <u>STATE OF DELAWARE</u> and having an office and a place of business at <u>24 EMILY STREET</u>, <u>CAMBRIDGE</u>, <u>MASSACHUSETTS</u> <u>02139</u>, is desirous of acquiring the entire interest in said invention, in said United States patent application and in any Letters Patent which may issue thereon;

NOW, THEREFORE, be it known that for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, the undersigned has sold, assigned and transferred to and does hereby sell, assign, and transfer to <u>CUBIST PHARMACEUTICALS</u>, <u>INCORPORATED</u>, its successors, assigns and legal representatives: (1) the ''' entire right, title and interest in the United States and in all countries throughout the world in and to any and all inventions and discoveries disclosed in said patent application, including any renewals, revivals, reissues, reexaminations, extensions, continuations and divisions thereof, and any substitute applications therefor; (2) the full and complete right to file patent applications in the name of <u>CUBIST PHARMACEUTICALS</u>, <u>INCORPORATED</u> its designee or its designee's election, on the aforesaid

page 1 of 3

inventions, discoveries and applications in all countries of the world; (3) the entire right, title and interest in and to any Letters Patent which may issue thereon in the United States or in any other country of the world and any renewals, revivals, reissues, reexaminations and extensions of the same; and (4) the entire right, title and interest in all Convention and Treaty Rights of all kinds thereon, including without limitation all rights of priority in any country of the world, in and to the above inventions, discoveries and applications.

PERSEPTIVE BIOSYSTEMS, INCORPORATED hereby authorizes and requests the competent authorities to grant and to issue any and all such Letters Patent in the United States and throughout the world to <u>CUBIST</u>

PHARMACEUTICALS, INCORPORATED as the assignee of the entire right, title and interest therein, as fully and entirely as the same would have been held and enjoyed by PERSEPTIVE BIOSYSTEMS, INCORPORATED had this assignment, sale and transfer not been made.

PERSEPTIVE BIOSYSTEMS. INCORPORATED agrees, at any time, upon the request of <u>CUBIST PHARMACEUTICALS</u>.

INCORPORATED to execute and to deliver to <u>CUBIST</u>

PHARMACEUTICALS, INCORPORATED any additional applications for patents for said inventions and discoveries, or any part or parts thereof, and any applications for patents of confirmation, registration and importation based on any Letters Patent issuing on said inventions, discoveries or applications, and divisions, continuations, renewals, revivals, reissues, reexaminations and extensions thereof.

PERSEPTIVE BIOSYSTEMS, INCORPORATED further agrees at any time to execute and to deliver upon request of <u>CUBIST PHARMACEUTICALS</u>, <u>INCORPORATED</u> such additional documents, if any, as are necessary or desirable to secure patent protection on said inventions, discoveries

page <u>2</u> of <u>3</u>

and applications throughout all countries of the world, and otherwise to do the necessary to give full effect to and to perfect the rights of <u>CUBIST PHARMACEUTICALS</u>, <u>INCORPORATED</u> under this Assignment, including the execution, delivery and procurement of any and all further documents evidencing this assignment, transfer and sale as may be necessary or desirable.

ASSIGNOR:

PERSEPTIVE BIOSYSTEMS, INCORPORA

Notary Public

Joseph E. Malandrakis

#resident

PerSeptive Biosystems, Inc.

On this /3 day of /2/200, Joseph E. Malandrakis personally appeared before me, a Notary Public in and for // and executed the foregoing Assignment and duly acknowledged to me that such Assignment was executed for the uses and purposes therein expressed.

page 3 of 3

TO: CUBIST PHARMACEUTICALS, D. COMPANY: 65 HAYDEN AVENUE



#### United States Patent and Trademark Office

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

APRIL 24, 2007

WWW NO

PTAS

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* 500264021A*

CUBIST PHARMACEUTICALS, INC. 65 HAYDEN AVENUE INTELLECTUAL PROPERTY LEXINGTON, MA 02421

UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 04/24/2007

REEL/FRAME: 019201/0897

NUMBER OF PAGES: 9

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).
DOCKET NUMBER: C062-02/03 US

ASSIGNOR:

KELLEHER, THOMAS J.

DOC DATE: 01/12/2001

ASSIGNOR:

LAI, JAN-JI

DOC DATE: 01/12/2001

ASSIGNOR:

DECOURCEY, JOSEPH P.

DOC DATE: 02/01/2001

ASSIGNOR:

ZENONI, MAURIZIO

DOC DATE: 01/19/2001

ASSIGNOR:

TAGLIANI, AURO R.

DOC DATE: 01/19/2001

USPTO

4/20/2001 44. xx. 10 100 00000

TO: CUBIST PHARMACEUTICALS, & . COMPANY: 65 HAYDEN AVENUE

019201/0897 PAGE 2

ASSIGNEE:

CUBIST PHARMACEUTICALS, INC. 65 HAYDEN AVENUE

LEXINGTON, MASSACHUSETTS 02421

SERIAL NUMBER: 11739180

FILING DATE: ISSUE DATE:

PATENT NUMBER:

TITLE: HIGH PURITY LIPOPEPTIDES

ASSIGNMENT SERVICES BRANCH PUBLIC RECORDS DIVISION

## ASSIGNMENT

I/We,
(1) Thomas J. Kelleher
(2) <u>Jan-Ji Lai</u>
(3) Joseph P. DeCourcey
(4) Paul D. Lynch
(5) <u>Maurizio Zenoni</u> , and
(6) <u>Auro R. Tagliani</u>
residing, respectively, at
(1) 36 Laxfield Street
Weston, MA 02493
(2) 5 Roy Street
Westborough, MA 0158]
(3) 3 Auburn Street
Charlestown, MA 02129
(4) 29 Cypress Road
Arlington, MA 02474
(5) <u>Via Fleming #7</u>
Paullo, Milan 20067
Italy , and
(6) <u>Via Marangoni #1</u>
Pavia, Italy 27100
for good and valuable consideration, receipt of which is
hereby acknowledged, have assigned, sold and transferred to
and do hereby assign, sell and transfer to <u>CUBIST</u>
PHARMACEUTICALS, INCORPORATED a corporation organized and
existing under the laws of the STATE OF DELAWARE and having
an office and a place of business at 24 EMILY STREET,
CAMBRIDGE, MASSACHUSETTS 02139 its successors and assigns:
the entire right, title and interest in the
United States and in all countries throughout the world in

page <u>l</u> of <u>6</u>

and to any and all my/our inventions and discoveries disclosed in the application for Letters Patent in the United States entitled: <u>HIGH PURITY LIPOPEPTIDES</u>, LIPOPEPTIDES MICELLES AND PROCESSES FOR PREPARING SAME, and filed in the United States Patent and Trademark Office on NOVEMBER 28, 2000, under Serial Number 09/735,191, including any renewals, revivals, reissues, reexaminations, extensions, continuations and divisions thereof, and any substitute applications therefor; (2) the full and complete right to file patent applications in the name of CUBIST PHARMACEUTICALS, INCORPORATED its designee, or in my/our names at CUBIST PHARMACEUTICALS, INCORPORATED or its the aforesaid inventions, designee's election, ondiscoveries and applications in all countries of the world; (3) the entire right, title and interest in and to any Letters Patent which may issue thereon in the United States or in any other country of the world and any renewals, revivals, reissues, reexaminations and extensions of the same; and (4) the entire right, title and interest in all Convention and Treaty Rights of all kinds thereon, including without limitation all rights of priority in any country of the world, in and to the above inventions, discoveries and applications.

I/We hereby authorize and request the competent authorities to grant and to issue any and all such Letters Patent in the United States and throughout the world to CUBIST PHARMACEUTICALS, INCORPORATED as the assignee of the entire right, title and interest therein, as fully and entirely as the same would have been held and enjoyed by me/us had this assignment, sale and transfer not been made.

I/We agree, at any time, upon the request of <a href="CUBIST PHARMACEUTICALS">CUBIST PHARMACEUTICALS</a>, INCORPORATED to execute and to deliver to <a href="CUBIST PHARMACEUTICALS">CUBIST PHARMACEUTICALS</a>, INCORPORATED any additional applications for patents for said inventions and discoveries, or any part or parts thereof, and any

page <u>2</u> of <u>6</u>

applications for patents of confirmation, registration and importation based on any Letters Patent issuing on said inventions, discoveries or applications, and divisions, continuations, renewals, revivals, reissues, reexaminations and extensions thereof.

I/We further agree at any time to execute and to deliver upon request of <u>CUBIST PHARMACEUTICALS</u>.

INCORPORATED such additional documents, if any, as are necessary or desirable to secure patent protection on said inventions, discoveries and applications throughout all countries of the world, and otherwise to do the necessary to give full effect to and to perfect the rights of <u>CUBIST PHARMACEUTICALS</u>, <u>INCORPORATED</u> under this <u>Assignment</u>, including the execution, delivery and procurement of any and all further documents evidencing this assignment, transfer and sale as may be necessary or desirable.

ASSIGNORS:

Thomas J. (KELLEHER 1-12-01 11)

On this 2 day of 1000 day of 1

Notary Public

page 3 of 6

JAN JI LAI

On this day of (2) personally appeared before me, a Notary Public in and for the company of Middle cex, Machael and duly acknowledged to me that such Assignment was executed for the uses and purposes therein expressed.

Notary Public

JOSEPH P. DeCOURCEY

Witnessed:

Signature:

Name:

Signature:

page <u>4</u> of <u>6</u>

JAN-JI LAI

On this day of,,,
Assignment and duly acknowledged to me that such Assignment was executed for the uses and purposes therein expressed.
Notary Public
(3)
JOSEPH P. DeCOURCEY
witnessed: Signature: Coxo Leous
Name: ROSA LEONE
Signature: Callo Kaile
Name: CARLO Mohan

page <u>4</u> of <u>6</u>

# PAUL D. LYNCH

PAUL D. L' before me,	a Notary	day of  [4] personall  Public in and for  , and executed the scknowledged to me that such	foregoing Assignment
as execut	ed for the	uses and purposes therein	expressed.
		Notary Publi	C
		words a about	
		Mounto Ceny	(.5)
		MAURIZIO ZENONI	
والمعارض والمساورة	•		
Witnessed		50 1 0 600	•
	Signature:	Rénousho Jenosell	
	Name:	ALESSANDRO DOMADELLI	
	Teffere.	A state of the sta	
		1 ~ 1	
	Signature	MAN rapow.	
	-	IVAN CARBONI	
	Name:	TVAN CARBOIL	
		100000	
		tree Coted lagha	
		AURO R. TAGLIANI	
Witnessed			
MY 51160000	•	: Oberoush Doughell	v.
	Signature	Corcust Oscassor	/
	Name:	ALESSANDRO DOHADELLI	
	Signature	: hav Gobon	
	0 x 9 x x x x x x x		
	Name:	WAN CARBONI	

ASSIGN.2 1/9/1

# ACKNOWLEDGEMENT OF ASSIGNEE:

# CUBIST PHARMACEUTICALS, INCORPORATED

By:

Alan D. Watson

Senior Vice President, Corporate Development

On this // day of // Contain / ACO.

Alan D. Watson personally appeared before me, a Notary Public in and for the company Mildes, Massachusel, and duly acknowledged the executed Assignment on behalf of the Assignee.

page <u>6</u> of <u>6</u>

ASSIGN.2 1/9/1

Electronic Ack	knowledgement Receipt
EFS ID:	10904336
Application Number:	11739180
International Application Number:	
Confirmation Number:	8837
Title of Invention:	HIGH PURITY LIPOPEPTIDES
First Named Inventor/Applicant Name:	Thomas Kelleher
Customer Number:	34103
Filer:	Nicholas M.C. Boivin
Filer Authorized By:	
Attorney Docket Number:	C062-02/03 US
Receipt Date:	08-SEP-2011
Filing Date:	24-APR-2007
Time Stamp:	16:47:55
Application Type:	Utility under 35 USC 111(a)

# **Payment information:**

# File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Power of Attorney	C062_02_03_POA_SB_81_For	636098	no	1
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#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

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If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

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# NOTICE OF ALLOWANCE AND FEE(S) DUE

34103 7590 09/07/2011
Intellectual Property Department
Cubist Pharmaceuticals, Inc.
65 Hayden Avenue
Lexington, MA 02421

EXAMINER

KAM, CHIH MIN

ART UNIT PAPER NUMBER

1656

DATE MAILED: 09/07/2011

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
11/739.180	04/24/2007	Thomas Kelleher	C062-02/03 US	8837

TITLE OF INVENTION: HIGH PURITY LIPOPEPTIDES

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	VES	\$755	\$300	\$0	\$1055	12/07/2011

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN <u>THREE MONTHS</u> FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. <u>THIS STATUTORY PERIOD CANNOT BE EXTENDED.</u> SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

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						(Signature)
						(Date)
APPLICATION NO.	FILING DATE		FIRST NAMED INVENTOR		ATTORNEY DOCKET NO.	CONFIRMATION NO.
11/739,180	04/24/2007	•	Thomas Kelleher		C062-02/03 US	8837
ITLE OF INVENTION	: HIGH PURITY LIPOF	PEPTIDES				
APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE	FEE TOTAL FEE(S) DUE	E DATE DUE
nonprovisional	YES	\$755	\$300	\$0	\$1055	12/07/2011
EXAM	IINER	ART UNIT	CLASS-SUBCLASS			
КАМ, СІ	HIH MIN	1656	514-009000			
FR 1.363).  Change of corresp Address form PTO/SE  "Fee Address" ind PTO/SB/47; Rev 03-0 Number is required.  ASSIGNEE NAME A  PLEASE NOTE: Unl	ND RESIDENCE DATA less an assignee is ident h in 37 CFR 3.11. Comp	nge of Correspondence  " Indication form ed. Use of a Customer  A TO BE PRINTED ON This ified below, no assignee	(1) the names of up to or agents OR, alternative (2) the name of a single registered attorney or a 2 registered patent attor listed, no name will be part of the PATENT (print or type data will appear on the part a substitute for filing an a (B) RESIDENCE: (CITY)	ely, e firm (having as a gent) and the name meys or agents. If i printed.  e) etent. If an assigners assignment.	member a 2es of up to no name is 3ee is identified below, the content is a second content in the content is a second content in the	document has been filed for
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_ ~	<b>tus</b> (from status indicated s SMALL ENTITY statu		☐ b. Applicant is no long	ger claiming SMAL	L ENTITY status. See 37 C	CFR 1.27(g)(2).
OTE: The Issue Fee and terest as shown by the i	d Publication Fee (if requeecords of the United Sta	uired) will not be accepte tes Patent and Trademark	d from anyone other than the Office.	ne applicant; a regis	stered attorney or agent; or t	he assignee or other party in
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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
11/739,180	04/24/2007	Thomas Kelleher	C062-02/03 US	8837
34103 75	90 09/07/2011		EXAM	INER
Intellectual Prope		KAM, CI	HIH MIN	
Cubist Pharmaceut	icals, Inc.			
65 Hayden Avenue			ART UNIT	PAPER NUMBER
Lexington, MA 024	421		1656	

DATE MAILED: 09/07/2011

# Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 0 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 0 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

# **Privacy Act Statement**

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

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- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
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- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

	Application No.	Applicant(s)
	11/739,180	KELLEHER ET AL.
Notice of Allowability	Examiner	Art Unit
	CHIH-MIN KAM	1656
The MAILING DATE of this communication apperall claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIOF the Office or upon petition by the applicant. See 37 CFR 1.313	(OR REMAINS) CLOSED in this apportant or other appropriate communication GHTS. This application is subject to	olication. If not included will be mailed in due course. THIS
1. $\square$ This communication is responsive to <u>5/27/2011</u> .		
2. 🔀 The allowed claim(s) is/are <u>2-29,31-36,38-44,47-52,54-56.</u>	58-86 and 88-200.	
<ol> <li>Acknowledgment is made of a claim for foreign priority ur</li> <li>a) □All b) □ Some*c) □ None of the:</li> </ol>	nder 35 U.S.C. § 119(a)-(d) or (f).	
<ol> <li>Certified copies of the priority documents have</li> </ol>	been received.	
<ol><li>Certified copies of the priority documents have</li></ol>	been received in Application No	·
<ol><li>Copies of the certified copies of the priority do</li></ol>	cuments have been received in this	national stage application from the
International Bureau (PCT Rule 17.2(a)).		
* Certified copies not received:		
Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONM THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.		complying with the requirements
<ol> <li>A SUBSTITUTE OATH OR DECLARATION must be subm INFORMAL PATENT APPLICATION (PTO-152) which give</li> </ol>		
5. CORRECTED DRAWINGS ( as "replacement sheets") mus	et be submitted.	
(a) I including changes required by the Notice of Draftspers	on's Patent Drawing Review ( PTO-	948) attached
1) ☐hereto or 2) ☐ to Paper No./Mail Date		
<ul><li>(b) ☐ including changes required by the attached Examiner's Paper No./Mail Date</li></ul>		
Identifying indicia such as the application number (see 37 CFR 1, each sheet. Replacement sheet(s) should be labeled as such in t		
DEPOSIT OF and/or INFORMATION about the depo- attached Examiner's comment regarding REQUIREMENT		
Attachment(s)		
1. Notice of References Cited (PTO-892)	5. Notice of Informal F	atent Application
2. Notice of Draftperson's Patent Drawing Review (PTO-948)	6. ☐ Interview Summary Paper No./Mail Da	te
Information Disclosure Statements (PTO/SB/08),     Paper No./Mail Date	7. X Examiner's Amendr	
<ol> <li>Examiner's Comment Regarding Requirement for Deposit of Biological Material</li> </ol>	8. 🛛 Examiner's Stateme	ent of Reasons for Allowance
	9. Other	
/Chih-Min Kam/ Primary Examiner, Art Unit 1656		

U.S. Patent and Trademark Office PTOL-37 (Rev. 08-06)

Notice of Allowability

Part of Paper No./Mail Date 20110808

Art Unit: 1656

#### **DETAILED ACTION**

## Status of the Claims

1. Claims 2-29, 31-36, 38-44, 47-52, 54-56 and 58-200 are pending.

Applicants' amendment filed May 27, 2011 is acknowledged. Claims 2-4, 6, 7, 10, 54, 55, 58, 62, 76, 82, 83, 85, 87-92, 94, 95, 108, 109 and 115 have been amended, claim 1 has been cancelled, and new claims 161-200 have been added. Therefore, claims 2-29, 31-36, 38-44, 47-52, 54-56 and 58-200 are examined.

# Withdrawn Claim Rejections - 35 USC § 112

2. The previous rejection of claim 8-29, 31-36, 38-44, 47-52, 55-56, 58-114 and 116-160 under 35 U.S.C.112, second paragraph, is withdrawn in view of applicants' amendment of the claims and applicants' response at page 35 in the amendment filed May 27, 2011.

#### Withdrawn Claim Rejections - 35 USC § 102

3. The previous rejection of claims 1 and 54 under U.S.C. 102(e) as being as anticipated by Baker *et al.* (US RE39,071 E) is withdrawn in view of applicants' amendment to the claims, applicants' cancellation of the claims, and applicants' response at page 34 in the amendment filed May 27, 2011.

# Withdrawn Claim Rejections - Obviousness Type Double Patenting

4. The previous rejection of claims 1 and 54 under the judicially created doctrine of obviousness-type double patenting as being unpatentable over claims 18-20, 26, 28 and 29 of U.S. Patent RE39,071 E is withdrawn in view of applicants' amendment to the claims, applicants' cancellation of the claims, and applicants' response at page 34 in the amendment filed May 27, 2011.

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# Specification

5. Applicants' amendment to the specification regarding "CROSS-REFERENCE TO RELATED APPLICATIONS" at page 1 lines 5-9 is acknowledged. Applicants' petition filed May 27, 2011 under 37 CFR 1.78(a)(3),(6) to accept an unintentionally delayed priority claim has been granted.

#### Examiner's Amendment

An **Examiner's Amendment** to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

Authorization for this examiner's amendment was given in a telephone interview with Nicholas M. Boivin on August 16, 2011.

#### **Examiner's Amendment to the Claims:**

Cancel claim 87.

Claims 2-4, 6, 54-56, 58, 60, 62-76, 80, 89, 94-96, 98, 116-127, 129, 133, 142, 149-160, 162, 171-179, 184, 189, 191-194 and 196-200 have been amended as follows:

- 2. (Currently Amended) A composition comprising essentially pure daptomycin purified by a process comprising the steps of:
  - (a) subjecting daptomycin to conditions forming a daptomycin aggregate; and
- (b) obtaining at least a portion of the essentially pure daptomycin from the daptomycin aggregate.
- 3. (Currently Amended) A composition comprising daptomycin that is substantially free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin, the daptomycin being purified by a process comprising the steps of:

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(a) subjecting daptomycin to conditions forming a daptomycin aggregate; and

- (b) obtaining at least a portion of the daptomycin that is substantially free of anhydro-daptomycin and or substantially free of  $\beta$ -isomer of daptomycin from the daptomycin aggregate.
- 4. (Currently Amended) The composition according to claim 3 that is essentially free of anhydro-daptomycin, wherein the step of obtaining the daptomycin that is essentially free of anhydro-daptomycin from the daptomycin aggregate further comprises the steps of:
- (c) subjecting the daptomycin aggregate to conditions to form monomeric daptomycin; and
- (d) obtaining at least a portion of the daptomycin that is essentially free of anhydro-daptomycin from the monomeric daptomycin.
- 6. (Currently Amended) A composition comprising purified daptomycin that is substantially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12, the purified daptomycin being obtained by a process comprising the steps of:
  - (a) subjecting daptomycin to conditions forming a daptomycin aggregate;
- (b) subjecting the daptomycin aggregate to conditions forming monomeric daptomycin; and
- (c) obtaining at least a portion of the daptomycin from the monomeric daptomycin, the daptomycin aggregate or a combination thereof.
- 54 (Currently amended) A purified daptomycin pharmaceutical composition comprising essentially pure daptomycin purified by a process comprising the steps of:
  - (a) forming micelles comprising daptomycin;

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(b) converting the micelles to a non-micellar daptomycin composition comprising daptomycin in a non-micellar state; and

- (c) obtaining at least a portion of the purified daptomycin from the micelles, the non-micellar daptomycin composition, or a combination thereof.
- 55. (Currently Amended) The pharmaceutical composition of claim 54 comprising daptomycin of at least about 98% purity measured relative to daptomycin impurities 1-14 defined by peaks 1-14 shown in FIG. 12.
- 56. (Currently Amended) The method pharmaceutical composition of claim 54 wherein the composition is daptomycin of is at least about 99% purity pure.
- 58. (Currently Amended) A new composition comprising daptomycin of greater than or about 93% purity relative to daptomycin impurities that arise in fermentation or purification of daptomycin, and wherein the daptomycin impurities comprise impurities 1-14 defined by peaks 1-14 shown in FIG. 12, and the daptomycin is obtained by a process comprising the step of forming a micelle comprising daptomycin.
- 60. (Currently Amended) The composition of claim 58, wherein the purity of daptomycin is at least 95% 93%.
- 62. (Currently Amended) A purified daptomycin composition comprising daptomycin of greater than <u>or</u> about 93% purity relative to impurities 1-14 defined by peaks 1-14 shown in FIG. 12, the daptomycin being obtained by a process comprising the step of forming an aggregate comprising daptomycin.
- 63. (Currently Amended) The daptomycin composition of claim 62, wherein the purity of daptomycin is at least 95% 93%.
- 64. (Currently Amended) The composition of claim 58 wherein impurity 1 is present in an amount no more than about 1%.

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- 65. (Currently Amended) The composition of claim 58 wherein impurity 2 is present in an amount no more than about 0.5%.
- 66. (Currently Amended) The composition of claim 58 wherein impurity 3 is present in an amount no more than about 1%.
- 67. (Currently Amended) The composition of claim 58 wherein impurity 4 is present in an amount no more than about 0.5%.
- 68. (Currently Amended) The composition of claim 58 wherein impurity 5 is present in an amount no more than about 0.5%.
- 69. (Currently Amended) The composition of claim 58 wherein impurity 6 is present in an amount no more than about 1%.
- 70. (Currently Amended) The composition of claim 58 wherein impurity 7 is present in an amount no more than about 1%.
- 71. (Currently Amended) The composition of claim 58 wherein impurity  $9 \ 8$  is present in an amount no more than about  $0.5\% \ 4\%$ .
- 72. (Currently Amended) The composition of claim  $\frac{58}{64}$  wherein impurity  $\frac{10}{8}$  is present in an amount no more than  $\frac{10}{2}$  1%.
- 73. (Currently Amended) The composition of claim  $\frac{58}{71}$  wherein impurity  $\frac{11}{8}$  is present in an amount no more than  $\frac{1}{8}$  is  $\frac{1}{8}$ .
- 74. (Currently Amended) The composition of claim 58 wherein impurity 12 is present in an amount no more than about 0.5%.
- 75. (Currently Amended) The composition of claim 58 wherein impurity 14 is present in an amount no more than about 0.1%.
- 76. (Currently Amended) The composition of claim 62, wherein the daptomycin is obtained by a process comprising:

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a) subjecting a daptomycin solution to conditions forming a daptomycin aggregate;

b) separating the daptomycin aggregate from low molecular weight contaminants; and

- c) subjecting the daptomycin aggregate to conditions in which the daptomycin aggregate dissociates into daptomycin monomers.
- 80. (Currently Amended) The composition of claim 79, wherein the daptomycin monomers of are separated from the high molecular weight contaminants by a size selection technique.
- 89. (Currently Amended) The composition of claim 82, wherein the aggregate is a micelle consisting consists of daptomycin.
- 94. (Currently Amended) The composition of claim 93, wherein the micelle comprising daptomycin preparation of step a) that comprises micelle is at has a pH of 2.5 to 4.7, and the preparation further comprises 300 to 500 mM NaC1 and is at a temperature of 2-15 degrees C.
- 95. (Currently Amended) The composition of claim 62, wherein the daptomycin is obtained by a process further comprising:
- a) subjecting a daptomycin solution to conditions forming [[a]]the daptomycin aggregate;
  - b) a) separating the daptomycin aggregate from low molecular weight contaminants; and
- e) b) subjecting the daptomycin aggregate to conditions in which the daptomycin aggregate dissociates into daptomycin monomers.
- 96. (Currently Amended) The composition of claim 95, wherein the daptomycin aggregate of step b) a) is separated from the low molecular weight contaminants by a size selection technique.

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- 98. (Currently Amended) The composition of claim 97 further comprising separating the daptomycin monomers obtained from step e) b) from high molecular weight contaminants.
- 116. (Currently Amended) The composition of claim 62 wherein impurity 1 is present in an amount no more than about 1%.
- 117. (Currently Amended) The composition of claim 62 wherein impurity 2 is present in an amount no more than about 0.5%.
- 118. (Currently Amended) The composition of claim 62 wherein impurity 3 is present in an amount no more than about 1%.
- 119. (Currently Amended) The composition of claim 62 wherein impurity 4 is present in an amount no more than about 0.5%.
- 120. (Currently Amended) The composition of claim 62 wherein impurity 5 is present in an amount no more than about 0.5%.
- 121. (Currently Amended) The composition of claim 62 wherein impurity 6 is present in an amount no more than about 1%.
- 122. (Currently Amended) The composition of claim 62 wherein impurity 7 is present in an amount no more than about 1%.
- 123. (Currently Amended) The composition of claim 62 wherein impurity  $9 \ \underline{8}$  is present in an amount no more than about  $0.5\% \ \underline{4\%}$ .
- 124. (Currently Amended) The composition of claim  $62 \ \underline{116}$  wherein impurity  $\underline{10} \ \underline{8}$  is present in an amount no more than about  $0.5\% \ \underline{1\%}$ .
- 125. (Currently Amended) The composition of claim  $62 \underline{123}$  wherein impurity  $\underline{11} \underline{8}$  is present in an amount no more than  $\underline{about 0.5\%} \underline{1\%}$ .
- 126. (Currently Amended) The composition of claim 62 wherein impurity 12 is present in an amount no more than about 0.5%.

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- 127. (Currently Amended) The composition of claim 62 wherein impurity 14 is present in an amount no more than about 0.1%.
- 129. (Currently Amended) The composition of claim 63, wherein the daptomycin is obtained by a process comprising:
  - a) subjecting a daptomycin solution to conditions forming a daptomycin aggregate;
  - b) separating the daptomycin aggregate from low molecular weight contaminants; and
- c) subjecting the daptomycin aggregate to conditions in which the daptomycin aggregate dissociates into daptomycin monomers.
- 133. (Currently Amended) The composition of claim 132, wherein the daptomycin monomers of are separated from the high molecular weight contaminants by a size selection technique.
- 142. (Currently Amended) The composition of claim 135 141, wherein the aggregate is a micelle.
- 149. (Currently Amended) The composition of claim 63 wherein impurity 1 is present in an amount no more than about 1%.
- 150. (Currently Amended) The composition of claim 63 wherein impurity 2 is present in an amount no more than about 0.5%.
- 151. (Currently Amended) The composition of claim 63 wherein impurity 3 is present in an amount no more than about 1%.
- 152. (Currently Amended) The composition of claim 63 wherein impurity 4 is present in an amount no more than about 0.5%.
- 153. (Currently Amended) The composition of claim 63 wherein impurity 5 is present in an amount no more than about 0.5%.

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154. (Currently Amended) The composition of claim 63 wherein impurity 6 is present in an amount no more than about 1%.

- 155. (Currently Amended) The composition of claim 63 wherein impurity 7 is present in an amount no more than about 1%.
- 156. (Currently Amended) The composition of claim 63 wherein impurity  $9 \ 8$  is present in an amount no more than about  $0.5\% \ 4\%$ .
- 157. (Currently Amended) The composition of claim 63  $\underline{149}$  wherein impurity  $\underline{10}$   $\underline{8}$  is present in an amount no more than  $\underline{about}$  0.5%  $\underline{1\%}$ .
- 158. (Currently Amended) The composition of claim 63  $\underline{156}$  wherein impurity  $\underline{418}$  is present in an amount no more than  $\underline{about 0.5\%}$  1%.
- 159. (Currently Amended) The composition of claim 63 wherein impurity 12 is present in an amount no more than about 0.5%.
- 160. (Currently Amended) The composition of claim 63 wherein impurity 14 is present in an amount no more than about 0.1%.
- 162. (Currently Amended) The composition of claim 161, wherein the <u>daptomycin</u> is purified by a process comprising the steps of:
  - (a) subjecting a daptomycin solution to conditions forming the daptomycin aggregate;
  - (b) separating the daptomycin aggregate from low molecular weight contaminants; and
- (c) subjecting the daptomycin aggregate to conditions in which the daptomycin micelle dissociates into daptomycin monomers.
- 171. (Currently Amended) A purified daptomycin composition of greater than <u>or</u> about 93% purity relative to impurities 1-14 defined by peaks 1-14 shown in FIG. 12, the purified daptomycin composition obtained by a process comprising the steps of:
  - (a) subjecting daptomycin to conditions forming daptomycin micelles and

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(b) obtaining at least a portion of the purified daptomycin from the daptomycin micelles.

- 172. (Currently Amended) The purified daptomycin composition of claim 171, wherein the step of obtaining the purified daptomycin from the daptomycin micelles further comprises the steps of:
- (c) subjecting the daptomycin micelles to conditions forming monomeric daptomycin from the daptomycin micelles; and
- (d) obtaining at least a portion of the purified daptomycin from the monomeric daptomycin.
- 173. (Currently Amended) The purified daptomycin composition of claim 172, wherein the step of subjecting the daptomycin micelles to conditions to form monomeric daptomycin from the daptomycin micelles includes one or more of the following steps:
  - (a) raising the pH of the daptomycin aggregate micelles to about 6.0 or higher;
  - (b) adjusting the daptomycin concentration to below the critical micelle concentration;
  - (c) contacting the daptomycin aggregate micelles with an organic solvent; and
- (d) raising the temperature of the daptomycin aggregate micelles above or about 15 degrees C.
- 174. (Currently Amended) The purified daptomycin composition of claim 171, wherein the step of obtaining the daptomycin from the daptomycin micelles further comprises the steps of:
- (c) filtering the daptomycin micelles under conditions in which the daptomycin micelles are retained on the filter;
  - (d) collecting the daptomycin aggregate;

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(e) subjecting the daptomycin micelles to conditions to form monomeric daptomycin from the daptomycin micelles; and

- (f) obtaining at least a portion of the purified daptomycin from the monomeric daptomycin.
- 175. (Currently Amended) A purified daptomycin composition of greater than <u>or</u> about 93% purity relative to impurities 1-14 defined by peaks 1-14 shown in FIG. 12, the purified daptomycin composition obtained by a process comprising the steps of:
- (a) subjecting an aqueous solution comprising daptomycin at or above the critical daptomycin micelle concentration to a pH of 3.0 to 4.8 at a temperature of about 2-15 degrees C to form a daptomycin preparation; and
- (b) obtaining the purified daptomycin from the daptomycin preparation obtained in step (a).
- 176. (Currently Amended) The composition of claim 175, wherein the daptomycin preparation comprises daptomycin aggregates, and wherein the process further comprises:
- (a) the process further comprises filtering the daptomycin preparation is filtered to obtain a filtered daptomycin material comprising the daptomycin aggregates; and
- (b) the purified daptomycin is obtained from the filtered daptomycin material by a process comprising the step of contacting the filtered daptomycin material with an organic solvent or a solvent having a pH of at least or about 6.0.
- 177. (Currently Amended) The composition of claim 176, wherein the purified daptomycin is obtained by contacting the filtered daptomycin material with a <u>hydrophobic</u> interaction chromatography (HIC) resin and eluted with an organic a solvent at a pH of about 6.0-7.5.
- 178. (Currently Amended) A purified daptomycin composition of greater than <u>or</u> about 93% purity relative to impurities 1-14 defined by peaks 1-14 shown in FIG. 12, wherein the %

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percent purity is measured by HPLC analysis according to the resolution method in Table 2, and the purified daptomycin composition is obtained from a lipopeptide aggregate comprising daptomycin.

179. (Currently Amended) A purified daptomycin composition of greater than <u>or</u> about 93% purity relative to impurities 1-14 defined by peaks 1-14 shown in FIG. 12, wherein the % <u>percent</u> purity is measured by HPLC analysis according to the resolution method in Table 2, and the purified daptomycin composition is obtained by a process comprising the steps of:

- (a) fermenting a culture of <del>S.</del> <u>Streptomyces</u> roseosporus to produce daptomycin;
- (b) contacting the daptomycin from step (a) with an anion exchange resin;
- (c) eluting the daptomycin from the anion exchange resin in step (b) with a solvent having a pH of about 6.0-6.5 to obtain a daptomycin solution;
- (d) adjusting the pH of the daptomycin solution from step (c) to about 3.0 to 4.8 and a temperature of the solution from step (c) to about 2-15 degrees C to obtain a daptomycin aggregate solution comprising [[a]] daptomycin aggregates; and
- (e) filtering the daptomycin aggregate solution to separate daptomycin aggregates from the daptomycin aggregate solution; and
  - (f) obtaining the purified daptomycin from the daptomycin aggregates.
- 184. (Currently Amended) The composition of claim 183, wherein the step of eonverting the daptomycin micelles collected in step (ii) are converted to the non-micellar daptomycin in step (b).
- 189. (Currently Amended) The composition of claim 185 183, wherein the aggregate comprises daptomycin micelles are formed by a process comprising one or more steps selected from the group consisting of: adjusting the pH of a daptomycin preparation to a

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pH of about 2.5 to 5.0, combining daptomycin with 300 to 500 mM NaC1 in an aqueous solution; and providing a daptomycin preparation at a temperature of 2-15 degrees C.

- 191. (Currently Amended) The composition of claim 178, wherein the daptomycin composition is at least <u>or</u> about 95% pure.
- 192. (Currently Amended) The composition of claim 178, wherein the daptomycin composition is at least <u>or</u> about 97% pure.
- 193. (Currently Amended) The composition of claim 178, wherein the daptomycin composition is at least <u>or</u> about 98% pure.
- 194. (Currently Amended) The composition of claim 178, wherein the daptomycin composition is at least about 99% 93% pure.
- 196. (Currently Amended) The composition of claim 2, wherein the daptomycin has greater than about 93% 98% purity measured by HPLC analysis according to the resolution method in Table 2, and the purified daptomycin composition is obtained from a lipopeptide aggregate comprising daptomycin.
- 197. (Currently Amended) The composition of claim 3, wherein the daptomycin has greater than or about 93% purity measured by HPLC analysis according to the resolution method in Table 2, and the purified daptomycin composition is obtained from a lipopeptide aggregate comprising daptomycin.
- 198. (Currently Amended) The composition of claim 4, wherein the daptomycin has greater than or about 93% purity measured by HPLC analysis according to the resolution method in Table 2, and the purified daptomycin composition is obtained from a lipopeptide aggregate comprising daptomycin.
- 199. (Currently Amended) The composition of claim 6 <u>62</u>, wherein the daptomycin has greater than <del>about</del> 93% purity measured by HPLC analysis <del>according to the resolution method in</del>

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Table 2, and the purified daptomycin composition is obtained from a lipopeptide the daptomycin aggregate comprising daptomycin.

200. (Currently Amended) The composition of claim 115, wherein the daptomycin has greater than <u>or</u> about 93% purity measured by HPLC analysis <del>according to the resolution method in Table 2, and the purified daptomycin composition is obtained from a lipopeptide aggregate comprising daptomycin.</del>

The following is an Examiner's Statement of Reasons for Allowance: The following reference is the closest art to the claimed invention. Baker et al. (US RE39,071 E, reissue of U.S. Patent 5,912,226) teach an antibacterial composition comprising daptomycin (LY146032) obtained in substantially pure form, which refers to daptomycin that contains less than 2.5% of a combined total of anhydro-daptomycin and beta-isomer of daptomycin (column 8, lines 50-60; Examples 4 and 5), where daptomycin is purified by a procedure using Diaion HP-20 resin column, followed by HPLC and another HP-20 resin column (Examples 1-5). Baker et al. also teach the preparation of a pharmaceutical formulation comprising the purified daptomycin (LY146032) with pharmaceutical carriers or excipients (column 9, lines 47-59), and an antibiotic composition comprised of a combination of a compound of formula 1 (i.e., anhydro-A21978C; column 1, lines 14-21), a compound of formula 2 (isomer of A21978C) and a compound of formula 3 (the parent cyclic peptide of A21978C; LY146032) or pharmaceutically acceptable salts. However, Baker et al. do not disclose a composition comprising purified daptomycin selected from the group consisting of: (a) essentially pure daptomycin, (b) daptomycin that is substantially free of anhydro-daptomycin and substantially free of β-isomer of daptomycin, (c) daptomycin that is essentially free of anhydro-daptomycin and substantially free of \beta-isomer of daptomycin, (d) daptomycin that is free of anhydro-daptomycin and substantially free of βisomer of daptomycin, (e) daptomycin that is substantially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12, and (f) daptomycin that is essentially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12. Therefore, the claims are allowable over the art of record.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

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Any inquiry concerning this communication or earlier communications from the examiner should be directed to Chih-Min Kam whose telephone number is (571) 272-0948. The examiner can normally be reached on 8.00-4:30, Mon-Fri.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Manjunath Rao can be reached at 571-272-0939. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

/Chih-Min Kam/ Primary Examiner, Art Unit 1656

**CMK** 

August 16, 2011



Application No.		Applicant(s)	
	11/739,180	KELLEHER ET AL.	
	Examiner	Art Unit	
	CHIH-MIN KAM	1656	

SEARCHED						
Class	Subclass	Date	Examiner			
514	9, 11, 2, 14	7/25/2011	СМК			
530	317, 322	7/25/2011	СМК			
530	344	7/25/2011	СМК			
435	886	7/25/2011	СМК			

INTERFERENCE SEARCHED								
Class	Subclass	Date	Examiner					
514	9,11,2,14	7/25/2011	СМК					
530	317,322	7/25/2011	СМК					
530	344	7/25/2011	СМК					
435.	/866	7/25/2011	СМК					

SEARCH NOT (INCLUDING SEARCH		)
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EAST Search on USPAT, USPGPUB, DERWENT, EPO, JPO; STN search on MEDLINE, BIOSIS, EMBASE, SCISEARCH, AGRICOLA.	2/13/2008	СМК
Search strategy enclosed, Inventor name search, Parent applications 60/177,170 and 09/735,191, 10/747,48 have been reviewed.	2/13/2008	СМК
Update the search	10/28/2008	СМК
Update the search	8/5/2009	СМК
Update the search	2/3/2010	СМК
Update the search	11/11/2010	СМК
Update the search	7/25/2011	СМК

U.S. Patent and Trademark Office Part of Paper No. 20110808

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#### (FILE 'HOME' ENTERED AT 18:19:13 ON 25 JUL 2011)

# FILE 'MEDLINE, CAPLUS, BIOSIS, EMBASE, SCISEARCH, AGRICOLA' ENTERED AT 18:19:33 ON 25 JUL 2011

- L1 8447 S DAPTOMYCIN
- L2 2964 S SUBSTANTIALLY PURE
- L3 2390 S ESSENTIALLY PURE
- L4 0 S L1 (P) (L2 OR L3)
- L5 2 S L1 (P) IMPURITIES
- L6 2 DUPLICATE REMOVE L5 (0 DUPLICATES REMOVED)
- L7 5 S ANHYDRO-DAPTOMYCIN OR BETA-DAPTOMYCIN
- L8 5 DUPLICATE REMOVE L7 (0 DUPLICATES REMOVED)
- L9 5 S L8 NOT L6
- L10 119012 S ANION EXCHANGE
- L11 11444 S HYDROPHOBIC INTERACTION CHROMATOGRAPHY
- L12 2 S L1 (P) L10 (P) L11
- L13 1 S L12 NOT (L6 OR L9)
- L14 415 S (LY 146032) OR A-21978C OR A54145 OR A-21978
- L15 1 S L14 (P) (L2 OR L3)
- L16 1 S L15 NOT (L6 OR L9 OR L13)
- L17 223 S KELLEHER T?/AU
- L18 12875 S LAI J?/AU
- L19 13 S DECOURCEY J?/AU

- L20 4027 S LYNCH P?/AU
- L21 88 S ZENONI M?/AU
- L22 144 S TAGLIANI A?/AU
- L23 17357 S L17 OR L18 OR L19 OR L20 OR L21 OR L22
- L24 20 S L23 AND L1
- L25 8 DUPLICATE REMOVE L24 (12 DUPLICATES REMOVED)
- L26 7 S L25 NOT (L5 OR L9 OR L16 )

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# **EAST Search History**

# **EAST Search History (Prior Art)**

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L1	1176	daptomycin	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2011/08/17 07:27
L2	61083	substantially adj pure	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2011/08/17 07:27
L3	15607	essentially adj pure	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2011/08/17 07:27
L4	9	L1 same (L2 or L3)	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2011/08/17 07:27
L5	15	impurities same L1	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2011/08/17 07:27
L6	14	anhydro-daptomycin or beta- daptomycin	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2011/08/17 07:28
L7	56873	anion adj exchange	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2011/08/17 07:28
L8	14726	hydrophobic adj interaction adj chromatography	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2011/08/17 07:28
L9	7	L1 same L7 same L8	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2011/08/17 07:28
L10	108	(Ly adj "146032") or A- 21978C or A54145 or A- 21978	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2011/08/17 07:28
L11	2	L10 same (L2 or L3)	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2011/08/17 07:28
L12	20	kelleher adj thomas.in.	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2011/08/17 07:29
L13	11	lai adj jan-ji.in.	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2011/08/17 07:29
L14	3	decourcey adj joseph.in.	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2011/08/17 07:29
L15	30	lynch adj paul.in.	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2011/08/17 07:29
L16	78	zenoni adj maurizio.in.	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2011/08/17 07:29
L17	7	tagliani adj auro.in.	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2011/08/17 07:29
L18	132	L12 or L13 or L14 or L15 or L16 or L17	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2011/08/17 07:29
L19	9	L18 and L1	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2011/08/17 07:29

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Application/Control	No.	

11/739,180 **Examiner** 

CHIH-MIN KAM

Applicant(s)/Patent under Reexamination

KELLEHER ET AL.

Art Unit

1656

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ORIGINAL							CROSS REFERENCE(S)							
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TO SPE OF

: ART UNIT: 3769 Attn: YAO SAMCHUAN (SAM) C (SPE)

SUBJECT

: Request for Certificate of Correction for Appl. No.: 11/583434 Patent No.: 7967016

CofC mailroom date: 08/01/11

Please respond to this request for a certificate of correction within 7 days.

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Please review the requested changes/corrections as shown in the COCIN document(s) in the IFW application image. No new matter should be introduced, nor should the scope or meaning of the claims be changed.

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Note: Please check Related U.S. Application Data

& Cross-Reference to Related Application

Tasneem Siddiqui

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703-756-1814 & 703-756-1593

#### Thank You For Your Assistance

	☐ Approved	All changes apply.
	☐ Approved in Part	Specify below which changes do not apply
	☐ Denied	State the reasons for denial below.
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OFFICE OF PETITIONS

Intellectual Property Department Cubist Pharmaceuticals, Inc. 65 Hayden Avenue Lexington MA 02421

In re Application of

KELLEHER et al.

Application No. 11/739,180

Filed: April 24, 2007

Attorney Docket No. C062-02/03 US

**DECISION ON PETITIONS** 

: UNDER 37 CFR 1.78(a)(3) AND (a)(6)

This is a decision on the petitions under 37 CFR §§ 1.78(a)(3) and 1.78(a)(6), filed May 27, 2011, to accept an unintentionally delayed claim under 35 U.S.C. §§120 and 119(e) for the benefit of the prior-filed applications as set forth in the currently filed Application Data Sheet.

The petitions are **GRANTED**.

A petition for acceptance of a claim for late priority under 37 CFR §§ 1.78(a)(3) and 1.78(a)(6) is only applicable to those applications filed on or after November 29, 2000. Further, the petition is appropriate only after the expiration of the period specified in 37 CFR §§ 1.78(a)(2)(ii) and 1.78(a)(5)(ii). In addition, the petition under 37 CFR §§ 1.78(a)(3) and 1.78(a)(6) must be accompanied by:

- the reference required by 35 U.S.C. §§ 120 and 119(e) and 37 CFR §§ 1.78(a)(2)(i) and 1.78(a)(5)(i) of the prior-filed application, unless previously submitted;
- (2) the surcharge set forth in  $\S 1.17(t)$ ; and
- a statement that the entire delay between the date the claim was due under 37 CFR §§ 1.78(a)(2)(ii) and 1.78(a)(5)(ii) and the date the claim was filed was unintentional. The Director may require additional information where there is a question whether the delay was unintentional.

Additionally, the instant nonprovisional application must be pending at the time of filing of the reference to the prior-filed provisional application as required by 37 CFR 1.78(a)(5)(ii). Further, the nonprovisional application claiming the benefit of the prior-filed provisional application must have been filed within twelve months of the filing date of the prior-filed provisional application.

All the above requirements having been satisfied, the late claim for benefit of priority under 35 U.S.C. §§ 120 and 119(e) is accepted as being unintentionally delayed.

The granting of the petition to accept the delayed benefit claim to the prior-filed applications under 37 CFR §§ 1.78(a)(3) and 1.78(a)(6) should not be construed as meaning that this application is entitled to the benefit of the filing date of the prior-filed applications. In order for this application to be entitled to the benefit of the prior-filed applications, all other requirements under 35 U.S.C. §§120 and 1.78(a)(1) and (a)(2) and under 35 U.S.C. §§119(e) and 37 CFR 1.78(a)(4) and (a)(5) must be met. Similarly, the fact that the corrected Filing Receipt accompanying this decision on petition includes the prior-filed applications should not be construed as meaning that applicant is entitled to the claim for benefit of priority to the prior-filed applications noted thereon. Accordingly, the examiner will, in due course, consider this benefit claim and determine whether the application is entitled to the benefit of the earlier filing date.

A corrected Filing Receipt, which includes the priority claim to the prior-filed applications, accompanies this decision on petition.

Any questions concerning this matter may be directed to Jose' G Dees at (571) 272-1569. All other inquiries concerning either the examination procedures or status of the application should be directed to the Technology Center.

This application is being forwarded to Technology Center Art Unit 1656 for consideration by the examiner of the claim under 35 U.S.C. § §120 and 119(e) of the prior-filed nonprovisional and provisional applications.

Petitions Examiner
Office of Petitions

**ATTACHMENT**: Corrected Filing Receipt



#### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS PO. Box 1450 Alexandria, Vrignia 22313-1450

	APPLICATION	FILING or	GRP ART				
	NUMBER	371(c) DATE	UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	TOT CLAIMS	IND CLAIMS
•	11/739 180	04/24/2007	1656	8291	C062-02/03 LIS	53	1

CONFIRMATION NO. 8837
CORRECTED FILING RECEIPT

34103
Intellectual Property Department
Cubist Pharmaceuticals, Inc.
65 Hayden Avenue
Lexington, MA 02421

I HITTI OR II OR OOF TOT TOT TOT TOT TOT THE TOT TOT TOT TO THE TE

Date Mailed: 06/13/2011

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

#### Applicant(s)

Thomas Kelleher, Weston, MA; Jan-Ji Lai, Westborough, MA; Joseph P. DeCourcey, Charlestown, MA; Paul Lynch, Arlington, MA; Maurizio Zenoni, Milan, ITALY; Auro Tagliani, Pavia, ITALY;

Power of Attorney: None

#### Domestic Priority data as claimed by applicant

This application is a CON of 10/747,485 12/29/2003 ABN which is a DIV of 09/735,191 11/28/2000 PAT 6,696,412

which claims benefit of 60/177,170 01/20/2000

Foreign Applications (You may be eligible to benefit from the Patent Prosecution Highway program at the USPTO. Please see <a href="http://www.uspto.gov">http://www.uspto.gov</a> for more information.)

If Required, Foreign Filing License Granted: 05/08/2007

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 11/739,180** 

Projected Publication Date: Not Applicable

Non-Publication Request: No Early Publication Request: No

** SMALL ENTITY **

page 1 of 3

Title

High Purity Lipopeptides

**Preliminary Class** 

514

#### PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

#### LICENSE FOR FOREIGN FILING UNDER

Title 35, United States Code, Section 184

Title 37, Code of Federal Regulations, 5.11 & 5.15

## **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as page 2 of 3

set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign AssetsControl, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

Examiner: Chih Min Kam

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Thomas Kelleher et al.

Appln. No.: 11/739,180

Filed: April 24, 2007 | Art Unit: 1656

For: HIGH PURITY LIPOPEPTIDES Conf. No.: 8837

Attorney Docket No: C062-02/03 US

# PETITION UNDER 37 CFR § 1.78(a)(3),(6) TO ACCEPT AN UNINTENTIALLY DELAYED PRIORITY CLAIM

Mail Stop Petitions Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Applicants hereby petition under 37 CFR § 1.78(a)(3),(6) for acceptance and recognition of the following priority claim:

"The present application is a continuation of elaims priority to United States Patent Application No. 10/747,485, filed December 29, 2003 and now abandoned, which is a divisional of elaims priority to United States Patent No. 09/735,191 filed November 28, 2000 (now U.S. Patent No. 6,696,412) January 20, 2001, which claims the benefit of United States Provisional application No. 60/177,170, filed January 20, 2000, all of which are incorporated by reference herein in their entireties."

In the Reply submitted herewith, Applicants amend the specification to contain the foregoing priority claim in the first sentence following the title.

The entire delay between the date the foregoing priority claim was due under 37 CFR § 1.78(a)(2)(ii),(5)(ii) and the present was unintentional. At the time of filing, Applicants intended to claim priority in accordance with the foregoing priority claim, as evidenced by the cross-reference contained in the first sentence of the specification as filed. Applicants now recognize that the original cross-reference did not indicate the relationships between the present application, United States Patent Application No. 10/747,485, and United States Patent Application No. 09/735,191, as required by 37

App. No.11/739,180 Atty Docket No. C062-02/03 US

CFR § 1.78(a)(2)(i). Accordingly, Applicants now provide the foregoing cross-reference, which specifies that the present application is a continuation of United States Patent Application No. 10/747,485, which is a divisional of United States Patent Application No. 09/735,191.

Applicants request that the surcharge set forth in 37 CFR § 1.17(t) be charged to the Deposit Account No. 23-1925.

Applicants respectfully request that this petition be granted and that the foregoing priority claim be accepted and recognized in this application.

Respectfully submitted,

Date: May 27, 2011
Cubist Pharmaceuticals, Inc.
65 Hayden Avenue
Lexington, Massachusetts 02421

Tel.: (781) 860-8660 Fax: (781) 860-1407 /Nicholas M. Boivin/ Nicholas M. Boivin, Reg. No. 45,650 Attorney for Applicant

# UNITED STATES PATENT & TRADEMARK OFFICE Washington, D.C. 20231

	REQUEST FOR PATENT FEE REFUND									
1 Da	1 Date of Request: 06/09/11 2 Serial/Patent # 11/739,180									
3 Please refund the following fee(s):			4 PAP NUM	ER BER	5 D.	ATE 'ILED	6	AMOUN	r	
	Filing							\$		·
	Amendment							\$		
	Extension of Time				-			\$		
	Notice of Appeal/A	ppeal						\$		
	Petition					05/2	27/11	\$	1,410.0	00
	Issue							\$		
	Cert of Correction	/Termina	l Disc.					\$		
	Maintenance							\$		
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10 RE	ASON:			Treasury Check						
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Pet	ition was not treated on the mer	its	. •							
11 REFUND REQUESTED BY:										
TYPED/PRINTED NAME: Jose Deep TITLE: Petitions Examiner										
SIGNATURE: PHONE: 272,6692 / ST										
OFFICE: Office of Detitions										
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Instructions for completion of this form appear on the back. After completion, attach white and yellow copies to the official file and mail or hand-carry to:

PORM PTO 1577 (01/90)

Office of Finance Refund Branch Crystal Park One, Room 802B

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Thomas Kelleher et al.

Appln. No.: 11/739,180 Examiner: Chih Min Kam

Filed: April 24, 2007 | Art Unit: 1656

For: HIGH PURITY LIPOPEPTIDES | Conf. No.: 8837

Attorney Docket No: C062-02/03 US

# PETITION UNDER 37 CFR § 1.78(a)(3),(6) TO ACCEPT AN UNINTENTIALLY DELAYED PRIORITY CLAIM

Mail Stop Petitions Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Applicants hereby petition under 37 CFR § 1.78(a)(3),(6) for acceptance and recognition of the following priority claim:

"The present application is a continuation of claims priority to United States Patent Application No. 10/747,485, filed December 29, 2003 and now abandoned, which is a divisional of claims priority to United States Patent No. 09/735,191 filed November 28, 2000 (now U.S. Patent No. 6,696,412) January 20, 2001, which claims the benefit of United States Provisional application No. 60/177,170, filed January 20, 2000, all of which are incorporated by reference herein in their entireties."

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App. No.11/739,180 Atty Docket No. C062-02/03 US

CFR § 1.78(a)(2)(i). Accordingly, Applicants now provide the foregoing cross-reference, which specifies that the present application is a continuation of United States Patent Application No. 10/747,485, which is a divisional of United States Patent Application No. 09/735,191.

Applicants request that the surcharge set forth in 37 CFR § 1.17(t) be charged to the Deposit Account No. 23-1925.

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Respectfully submitted,

Date: May 27, 2011 Cubist Pharmaceuticals, Inc. 65 Hayden Avenue Lexington, Massachusetts 02421

Tel.: (781) 860-8660 Fax: (781) 860-1407 /Nicholas M. Boivin/ Nicholas M. Boivin, Reg. No. 45,650 Attorney for Applicant

Electronic Patent A	pplication Fed	e Transm	ittal			
Application Number:	11739180					
Filing Date:	24-Apr-2007					
Fitle of Invention:  High Purity Lipopeptides						
First Named Inventor/Applicant Name:	Thomas Kelleher					
Filer:	Nicholas M.C. Boivin					
Attorney Docket Number:	C062-02/03 US					
Filed as Large Entity						
Utility under 35 USC 111(a) Filing Fees						
Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)		
Basic Filing:						
Pages:						
Claims:						
Claims in excess of 20	1202	40	52	2080		
Independent claims in excess of 3 1201 3 220 660						
Miscellaneous-Filing:						
Petition:						
Priority accept. unintent. delayed claim	1454	1	1410	1410		
Patent-Appeals-and-Interference:						

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)			
Post-Allowance-and-Post-Issuance:							
Extension-of-Time:							
Extension - 3 months with \$0 paid	1253	1	1110	1110			
Miscellaneous:							
Total in USD (\$) 526							

Electronic Acknowledgement Receipt					
EFS ID:	10183660				
Application Number:	11739180				
International Application Number:					
Confirmation Number:	8837				
Title of Invention:	High Purity Lipopeptides				
First Named Inventor/Applicant Name:	Thomas Kelleher				
Customer Number:	34103				
Filer:	Nicholas M.C. Boivin				
Filer Authorized By:					
Attorney Docket Number:	C062-02/03 US				
Receipt Date:	27-MAY-2011				
Filing Date:	24-APR-2007				
Time Stamp:	15:09:48				
Application Type:	Utility under 35 USC 111(a)				

## **Payment information:**

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$5260
RAM confirmation Number	1701
Deposit Account	501986
Authorized User	

## File Listing:

Document	Document Description	File Name	File Size(Bytes)/	Multi	Pages
Number	Document Description	riie Naiile	Message Digest	Part /.zip	(if appl.)

1	Extension of Time	C062-02-03_US_20110527_Peti	331527	no	2			
, '	Extension of filling	tion_Ext.pdf	97efdb4f6d51d9564ab3110a4b7e28a574e df19f	110				
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_	Amendment/Req. Reconsideration-After	C062-02-03_US_20110527_Res	115827					
2	Non-Final Reject	p.pdf	b77cd8c372d2243d3d5c30f6af4c9c3bf3c9 704f	no	35			
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Information	:							
3	Petition for review by the Office of	C062-02-03_US_20110527_Peti tion_To_Accept_Unintentional	18265	no	2			
J	Petitions.		dec1040b41df512eaa6d869c9408d47e9fc3 8827		-			
Warnings:	Warnings:							
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4	Fee Worksheet (PTO-875)	fee-info.pdf	36977	no	2			
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Information	:							
		Total Files Size (in bytes)	50	)2596				
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This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

Under the paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PETIT	ION	FOR EXTENSION OF TIME UNDER	37 CFR 1.136(a)	Docket Number (Option	nal)	
		FY 2009 pursuant to the Consolidated Appropriations Act,	. ,	C062-02/03 US		
Applica	ation N	Number 11/739,180		Filed April 24, 2007	7	
For	HIGH	H PURITY LIPOPEPTIDES				
Art Uni	t 165	56		Examiner Chih-Min	Kam	
This is applica		uest under the provisions of 37 CFR 1.13	6(a) to extend the per	iod for filing a reply in th	e above identified	
The red	queste	ed extension and fee are as follows (chec	·		e fee below):	
		On a margin (07 OFF 4 47(-)/4))	<u>Fee</u>	Small Entity Fee	r.	
	Ш	One month (37 CFR 1.17(a)(1))	\$130	\$65	\$	
		Two months (37 CFR 1.17(a)(2))	\$490	\$245	\$	
	V	Three months (37 CFR 1.17(a)(3))	\$1110	\$555	\$ <u>555.00</u>	
		Four months (37 CFR 1.17(a)(4))	\$1730	\$865	\$	
		Five months (37 CFR 1.17(a)(5))	\$2350	\$1175	\$	
П Ар	plicar	nt claims small entity status. See 37 CFR	1.27.			
□ A	chec	k in the amount of the fee is enclosed	l.			
☐ Pa	ayme	nt by credit card. Form PTO-2038 is a	attached.			
<b>✓</b> Th	ne Dir	rector has already been authorized to	charge fees in this	application to a Depo	sit Account.	
		rector is hereby authorized to charge t Account Number	•	be required, or credit	any overpayment, to	
W.	ARNIN	IG: Information on this form may become p credit card information and authorization o	ublic. Credit card inform n PTO-2038.	mation should not be incl	uded on this form.	
l am t	he	applicant/inventor.				
		assignee of record of the entir Statement under 37 CFR 3				
		attorney or agent of record. Re				
	attorney or agent under 37 CFR 1.34.  Registration number if acting under 37 CFR 1.34 45,650					
/N	ichola	as M. Boivin/		May 27, 2011		
Signature			Date			
Ni	Nicholas M. Boivin			781-860-8631		
		Typed or printed name		Teleph	one Number	
	NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.					
<b>✓</b>	Total	of <u>1</u> forms a	re submitted.			

This collection of information is required by 37 CFR 1.136(a). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 6 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

### **Privacy Act Statement**

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 11/739,180 Confirmation No. 8837

Applicant : Thomas Kelleher

Filed : April 24, 2007

TC/A.U. : 1656

Examiner : Chih-Min Kam

Docket No. : C062-02/03 US

Customer No.: 34103

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### **RESPONSE AND AMENDMENT**

This Amendment is responsive to the Office Action mailed November 30, 2010 (hereafter "the Office Action") in the above-identified application. A Petition for a Three (3) Month Extension of Time is enclosed. In the absence of such a petition, Applicant requests that this paper be considered as a Petition for a Three (3) Month Extension of Time. Please deduct the petition fee and apply any other charges or credits required for entry of this paper to Deposit Account No. 50-1986, referencing attorney docket number C062-02/03 US.

Kindly amend the application as follows:

#### AMENDMENT TO THE SPECIFICATION

US Serial No. 11/739,180

Please replace the paragraph captioned "<u>CROSS-REFERENCE TO RELATED APPLICATIONS</u>" at page 1, lines 5-9 in its entirety with the following amended paragraph:

The present application is a continuation of United States Patent Application No. 10/747,485, filed December 29, 2003 and now abandoned, which is a divisional of United Stated Patent Application No. 09/735,191, filed November 28, 2000 (now U.S. Patent No. 6,696,412), which claims the benefit of United States Provisional application No. 60/177,170, filed January 20, 2000, all of which are incorporated by reference herein in their entireties.

Differences between the original text at page 1, lines 5-9 differs and the amended paragraph above in the manner indicated below.

The present application is a continuation of claims priority to United States Patent Application No. 10/747,485, filed December 29, 2003 and now abandoned, which is a divisional of claims priority to United States Patent No. 09/735,191 filed November 28, 2000 (now U.S. Patent No. 6,696,412) January 20, 2001, which claims the benefit of United States Provisional application No. 60/177,170, filed January 20, 2000, all of which are incorporated by reference herein in their entireties.

### AMENDMENT TO THE CLAIMS

Please amend the claims as indicated below. This listing of the claims will replace all previous claim listings.

1. (Cancelled).

US Serial No. 11/739,180

- 2. (Currently Amended) A composition comprising essentially pure daptomycin <u>purified by a process comprising the steps of</u>
- (a) subjecting daptomycin to conditions forming a daptomycin aggregate and
  (b) obtaining at least a portion of the essentially pure daptomycin from the
  daptomycin aggregate.
- 3. (Currently Amended) A composition comprising daptomycin that is substantially free of anhydro-daptomycin and substantially free of β-isomer of daptomycin, the daptomycin being purified by a process comprising the steps of
  - (a) subjecting daptomycin to conditions forming a daptomycin aggregate and
- (b) obtaining at least a portion of the daptomycin that is substantially free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin from the daptomycin aggregate.
- 4. (Currently Amended) The composition according to claim 3 that is essentially free of anhydro-daptomycin, wherein the step of obtaining the daptomycin that is essentially free of anhydro-daptomycin from the daptomycin aggregate further comprises the steps of:
  - (c) subjecting the daptomycin aggregate to conditions to form monomeric daptomycin and
  - (d) obtaining at least a portion of the daptomycin that is essentially free of anhydro-daptomycin from the monomeric daptomycin.
- 5. (Original) The composition according to claim 3 that is free of anhydro-daptomycin.

- 6. (Currently Amended) A composition comprising <u>purified</u> daptomycin that is substantially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12, the <u>purified</u> daptomycin being obtained by a process comprising the steps of
  - (a) subjecting daptomycin to conditions forming a daptomycin aggregate;
  - (b) subjecting the daptomycin aggregate to conditions forming monomeric daptomycin; and
  - (c) obtaining at least a portion of the daptomycin from the monomeric daptomycin, the daptomycin aggregate or a combination thereof.
- 7. (Currently Amended) The composition according to claim 6, wherein the purified daptomycin that is essentially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12.
- 8. (Previously Presented) The composition of claim 62, wherein daptomycin purity is measured by HPLC.
- 9. (Previously Presented) The composition of claim 62 further comprising a pharmaceutically acceptable carrier or excipient.
- 10. (Currently Amended) The[[A]] pharmaceutical composition according to claim 9, further comprising one or more antibiotics, one or more antifungal agents, or both an antibiotic and an antifungal agent.
- 11. (Previously Presented) The composition according to claim 62 or 115 wherein the daptomycin is purified by a process comprising the steps of:
  - a) supplying a fermentation broth;
- b) fermenting *Streptomyces roseosporus* with a feed of n-decanoic acid to produce daptomycin in the fermentation broth;
  - c) clarifying the fermentation broth to obtain a clarified solution;
- d) subjecting the clarified solution to anion exchange chromatography to obtain an enriched daptomycin preparation;

- e) subjecting the enriched daptomycin preparation to hydrophobic interaction chromatography to obtain a semi-purified daptomycin preparation; and
- f) subjecting the semi-purified daptomycin preparation to anion exchange chromatography to obtain the composition.
- 12. (Original) The composition according to claim 11, wherein the feed of n-decanoic acid is regulated to achieve a residual concentration of n-decanoic acid of no more than 50 parts per million (ppm) during fermentation.
- 13. (Original) The composition according to claim 11, wherein said clarifying comprises filtration or centrifugation and depth filtration.
- 14. (Original) The composition according to claim 11, wherein the anion exchange chromatography in d) is performed using a resin comprising a copolymer of 2-methacrylic acid and ethyleneglycol dimethacrylate (EDGM).
- 15. (Original) The composition according to claim 11, wherein the hydrophobic interaction chromatography is performed using a resin comprising a copolymer of cross-linked divinylbenzene/stryene.
- 16. (Original) The composition according to claim 15, wherein the hydrophobic interaction chromatography is performed at neutral pH and a solvent concentration that is reduced compared to the solvent concentration used when performing the hydrophobic interaction chromatography at acidic pH.
- 17. (Original) The composition according to claim 16, wherein the resin is recycled by loading the column at an acidic pH and eluting the column at a neutral pH.
- 18. (Original) The composition according to claim 11, wherein the anion exchange chromatography in f) is performed using a resin comprising a copolymer of 2-methacrylic acid and ethyleneglycol dimethacrylate (EDGM).

- 19. (Original) The composition according to claim 11, wherein the anion exchange chromatography is used to reduce the level of solvent in the clarified solution.
- 20. (Original) The composition according to claim 11, wherein the anion exchange chromatography is performed via continuous flow chromatography.
- 21. (Original) The composition according to claim 11, wherein the process further comprises the step of filtering daptomycin.
- 22. (Original) The composition according to claim 11, wherein the process further comprises the step of depyrogenating daptomycin using ultrafiltration.
- 23. (Currently Amended) The composition according to claim 22, wherein said depyrogenating comprises the steps of:
- i) providing a daptomycin solution under conditions in which the daptomycin is in a monomeric and nonmicellar state;
- ii) filtering the daptomycin solution under conditions in which the daptomycin passes through the filter but pyrogens do not pass through the filter;
- iii) subjecting the daptomycin solution to conditions forming a daptomycin aggregate;
- iv) filtering the daptomycin aggregate under conditions in which the daptomycin aggregate is retained on the filter; and
  - v) collecting the daptomycin aggregate.
- 24. (Original) The composition according to claim 22, wherein the process further comprises the step of lyophilizing daptomycin.
- 25. (Original) The composition according to claim 22, wherein the anion exchange chromatography is performed via radial flow chromatography.
- 26. (Original) The composition according claim 11, wherein said clarifying comprises microfiltration or centrifugation.

- 27. (Original) The composition according to claim 11, wherein the process further comprises the steps of filtering and concentrating daptomycin.
- 28. (Original) The composition according to claim 11, wherein the process further comprises the step of separating the enriched daptomycin from low molecular weight material by ultrafiltration.
- 29. (Original) The composition according to claim 28, wherein the process further comprises the step of depyrogenating the daptomycin.
  - 30. (Canceled).
- 31. (Original) The pharmaceutical composition of claim 9 comprising essentially pure daptomycin.
- 32. (Original) The pharmaceutical composition of claim 9 comprising daptomycin that is substantially free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin.
- 33. (Original) The pharmaceutical composition of claim 9 comprising daptomycin that is essentially free of anhydro-daptomycin and substantially free of β-isomer of daptomycin.
- 34. (Original) The pharmaceutical composition of claim 9 comprising daptomycin that is free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin.
- 35. (Original) The pharmaceutical composition of claim 9 comprising daptomycin that is substantially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12.

36. (Original) The pharmaceutical composition of claim 9 comprising daptomycin that is essentially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12.

#### 37. (Canceled).

- 38. (Previously Presented) A method for preparing a pharmaceutical composition comprising combining the composition of claim 62 with a pharmaceutically acceptable carrier or excipient.
- 39. (Original) The method of claim 38 wherein the composition is essentially pure daptomycin.
- 40. (Original) The method of claim 38 wherein the composition is daptomycin that is substantially free of anhydro-daptomycin and substantially free of β-isomer of daptomycin.
- 41. (Original) The method of claim 38 wherein the composition is daptomycin that is essentially free of anhydro-daptomycin and substantially free of β-isomer of daptomycin.
- 42. (Original) The method of claim 38 wherein the composition is daptomycin that is free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin.
- 43. (Original) The method of claim 38 wherein the composition is daptomycin that is substantially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12.
- 44. (Original) The method of claim 38 wherein the composition is daptomycin that is essentially free of each of impurities 1 to 14 defined by peaks 1-14

shown in FIG. 12.

- 45. (Canceled).
- 46. (Canceled).
- 47. (Previously Presented) The pharmaceutical composition of claim 9 wherein the composition is essentially pure daptomycin.
- 48. (Previously Presented) The pharmaceutical composition of claim 9 wherein the composition is daptomycin that is substantially free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin.
- 49. (Previously Presented) The pharmaceutical composition of claim 9 wherein the composition is daptomycin that is essentially free of anhydro-daptomycin and substantially free of β-isomer of daptomycin.
- 50. (Previously Presented) The pharmaceutical composition of claim 9 wherein the composition is daptomycin that is free of anhydro-daptomycin and substantially free of β-isomer of daptomycin.
- 51. (Previously Presented) The pharmaceutical composition of claim 9 wherein the composition is daptomycin that is substantially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12.
- 52. (Previously Presented) The pharmaceutical composition of claim 9 wherein the composition is daptomycin that is essentially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12.
  - 53. (Canceled).
  - 54. (Currently Amended) A purified daptomycin The-composition of claim

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1-comprising substantially essentially pure daptomycin purified by a process comprising the steps of

- (a) forming micelles comprising daptomycin;
- (b) converting the micelles to a non-micellar daptomycin composition comprising daptomycin in a non-micellar state; and
- (c) obtaining at least a portion of the purified daptomycin from the micelles, the non-micellar daptomycin composition, or a combination thereof.
- 55. (Currently Amended) The pharmaceutical composition of claim [[9]]54 comprising substantially pure daptomycin of at least about 98% purity measured relative to daptomycin impurities 1-14 defined by peaks 1-14 shown in FIG. 12.
- 56. (Currently Amended) The method of claim [[38]]54 wherein the composition is substantially pure daptomycin of at least about 99% purity.
  - 57. (Canceled).
- 58. (Currently Amended) A new composition comprising daptomycin of greater than about 93% purity, wherein the purity of the daptomycin is relative to daptomycin impurities that arise in fermentation or purification of daptomycin, and wherein the daptomycin impurities comprise impurities 1-14 defined by peaks 1-14 shown in FIG. 12, and the daptomycin is obtained by a process comprising the step of forming a micelle comprising daptomycin.
- 59. (Previously Presented) The composition of claim 58, wherein the daptomycin impurities arise in fermentation.
- 60. (Previously presented) The composition of claim 58, wherein the purity is at least 95%.

- 61. (Previously Presented) The composition of claim 60, wherein the daptomycin impurities arise in fermentation.
- 62. (Currently Amended) A purified daptomycin composition comprising daptomycin Daptomycin of greater than about 93% purity relative to impurities 1-14 defined by peaks 1-14 shown in FIG. 12, the daptomycin being obtained by a process comprising the step of forming an aggregate comprising daptomycin.
- 63. (Previously presented) The daptomycin of claim 62, wherein the purity is at least 95%.
- 64. (Previously Presented) The composition of claim 58 wherein impurity 1 is present in an amount no more than about 1%.
- 65. (Previously Presented) The composition of claim 58 wherein impurity 2 is present in an amount no more than about 0.5%.
- 66. (Previously Presented) The composition of claim 58 wherein impurity 3 is present in an amount no more than about 1%.
- 67. (Previously Presented) The composition of claim 58 wherein impurity 4 is present in an amount no more than about 0.5%.
- 68. (Previously Presented) The composition of claim 58 wherein impurity 5 is present in an amount no more than about 0.5%.
- 69. (Previously Presented) The composition of claim 58 wherein impurity 6 is present in an amount no more than about 1%.
  - 70. (Previously Presented) The composition of claim 58 wherein impurity

7 is present in an amount no more than about 1%.

- 71. (Previously Presented) The composition of claim 58 wherein impurity 9 is present in an amount no more than about 0.5%.
- 72. (Previously Presented) The composition of claim 58 wherein impurity 10 is present in an amount no more than about 0.5%.
- 73. (Previously Presented) The composition of claim 58 wherein impurity 11 is present in an amount no more than about 0.5%.
- 74. (Previously Presented) The composition of claim 58 wherein impurity 12 is present in an amount no more than about 0.5%.
- 75. (Previously Presented) The composition of claim 58 wherein impurity 14 is present in an amount no more than about 0.1%.
- 76. (Currently Amended) The composition of claim [[58]]62, wherein the daptomycin is obtained by a process comprising
- a) subjecting a daptomycin solution to conditions forming a daptomycin aggregate;
- b) separating the daptomycin aggregate from low molecular weight contaminants;
- c) subjecting the daptomycin aggregate to conditions in which the daptomycin aggregate dissociate into daptomycin monomers.
- 77. (Previously Presented) The composition of claim 76, wherein the daptomycin aggregate of step b) is separated from the low molecular weight contaminants by a size selection technique.

- 78. (Previously Presented) The composition of claim 77, wherein the size selection technique is ultrafiltration or size exclusion chromatography.
- 79. (Previously Presented) The composition of claim 78 further comprising separating the daptomycin monomers obtained from step c) from high molecular weight contaminants.
- 80. (Previously Presented) The composition of claim 79, wherein the daptomycin monomers of are separated from the high molecular weight contaminants by a size selection technique.
- 81. (Previously Presented) The composition of claim 80, wherein the size selection technique is ultrafiltration or size exclusion chromatography.
- 82. (Currently Amended) The composition of claim 58, wherein the daptomycin is obtained by a process comprising
  - a) separating daptomycin from high molecular weight contaminants;
- b) subjecting the daptomycin of step a) to conditions forming [[a]]the micelle comprising daptomycin aggregate; and
- c) separating the <u>micelle comprising</u> daptomycin <del>aggregate</del> from low molecular weight contaminants.
- 83. (Currently Amended) The composition of claim 82, wherein the micelle comprising daptomycin aggregate of step c) is separated from the low molecular weight contaminants by a size selection technique.
- 84. (Previously Presented) The composition of claim 83, wherein the size selection technique is ultrafiltration or size exclusion chromatography.
  - 85. (Currently Amended) The composition of claim 84, further comprising

subjecting the <u>micelle comprising</u> daptomycin <del>aggregate</del> of step c) to conditions in which the <u>micelle comprising</u> daptomycin <del>aggregate</del> dissociates into daptomycin monomers.

- 86. (Previously Presented)The composition of claim 76, wherein the aggregate is a micelle.
- 87. (Currently Amended)The composition of claim 82, wherein the aggregate is a <u>daptomycin</u> micelle.
- 88. (Currently Amended) The composition of claim 58, wherein the daptomycin is obtained by a process comprising
- a) subjecting a daptomycin solution to conditions forming a daptomycin micelleaggregate;
- b) filtering the daptomycin <u>micelleaggregate</u> under conditions in which the daptomycin <u>micelleaggregate</u> is retained on the filter; and
  - c) collecting the daptomycin <u>micelleaggregate</u>.
- 89. (Currently Amended) The composition of claim 82, wherein the aggregate is a micelle consisting of daptomycin.
- 90. (Currently Amended) The composition of claim 58, wherein the daptomycin is obtained by subjecting a daptomycin solution to conditions effective to forming form daptomycin micelles.
- 91. (Currently Amended) The composition of claim 58, wherein the micelle comprising daptomycin is obtained by adjusting any one or more of temperature, salt concentration, daptomycin concentration, and pH of the solution to cause a concentration of the daptomycin in the solution to be above a critical micelle concentration.

- 92. (Currently Amended) The composition of claim 58, wherein the micelle comprising daptomycin is obtained by subjecting a daptomycin solution to a pH of 2.5 to 5.0.
- 93. (Previously Presented) The composition of claim 58, wherein the daptomycin is obtained by a process comprising:
  - a) adjusting the pH of a daptomycin preparation to a pH of 2.5 to 5.0;
- b) filtering the daptomycin preparation of step a) on an ultrafiltration membrane;
  - c) adjusting the pH of the daptomycin preparation of step b) to a pH of 6.5.
- 94. (Currently Amended) The composition of claim 93, wherein the <u>micelle comprising</u> daptomycin preparation of step a) is at a pH of 2.5 to 4.7, and the preparation further comprises 300 to 500 mM NaCl and is at a temperature of 2-15 degrees C.
- 95. (Currently Amended) <u>The</u>[[A]] composition of claim 62, wherein the daptomycin is obtained by a process <u>further</u> comprising
- a) subjecting a daptomycin solution to conditions forming [[a]]the daptomycin aggregate;
- b) separating the daptomycin aggregate from low molecular weight contaminants;
- c) subjecting the daptomycin aggregate to conditions in which the daptomycin aggregate dissociate into daptomycin monomers.
- 96. (Previously Presented) The composition of claim 95, wherein the daptomycin aggregate of step b) is separated from the low molecular weight contaminants by a size selection technique.
  - 97. (Previously Presented) The composition of claim 96, wherein the size

selection technique is ultrafiltration or size exclusion chromatography.

- 98. (Previously Presented) The composition of claim 97 further comprising separating the daptomycin monomers obtained from step c) from high molecular weight contaminants.
- 99. (Previously Presented) The composition of claim 98, wherein the daptomycin monomers are separated from the high molecular weight contaminants by a size selection technique.
- 100. (Previously Presented) The composition of claim 99, wherein the size selection technique is ultrafiltration or size exclusion chromatography.
- 101. (Previously Presented) The composition of claim 62, wherein the daptomycin is obtained by a process comprising
  - a) separating daptomycin from high molecular weight contaminants.
- b) subjecting the daptomycin of step a) to conditions forming a daptomycin aggregate; and
- c) separating the daptomycin aggregate from low molecular weight contaminants.
- 102. (Previously Presented) The composition of claim 101, wherein the daptomycin aggregate of step c) is separated from the low molecular weight contaminants by a size selection technique.
- 103. (Previously Presented) The composition of claim 102, wherein the size selection technique is ultrafiltration or size exclusion chromatography.
- 104. (Previously Presented) The composition of claim 103, further comprising subjecting the daptomycin aggregate of step c) to conditions in which the

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daptomycin aggregate dissociates into daptomycin monomers.

- 105. (Previously Presented) The composition of claim 95, wherein the aggregate is a micelle.
- 106. (Previously Presented) The composition of claim 101, wherein the aggregate is a micelle.
- 107. (Previously Presented) The composition of claim 62, wherein the daptomycin is obtained by a process comprising
- a) subjecting a daptomycin solution to conditions forming a daptomycin aggregate;
- b) filtering the daptomycin aggregate under conditions in which the daptomycin aggregate is retained on the filter; and
  - c) collecting the daptomycin aggregate.
- 108. (Currently Amended) The composition of claim [[101]]107, wherein the <u>daptomycin</u> aggregate is a micelle <u>comprising daptomycin</u>.
- 109. (Currently Amended) The composition of claim 62, wherein the daptomycin is obtained by subjecting a daptomycin solution to conditions effective to formforming the aggregate comprising daptomycin micelles.
- 110. (Previously Presented) The composition of claim 62, wherein the daptomycin is obtained by adjusting any one or more of temperature, salt concentration, daptomycin concentration, and pH of the solution to cause a concentration of the daptomycin in the solution to be above a critical micelle concentration.
- 111. (Previously Presented) The composition of claim 62, wherein the daptomycin is obtained by subjecting a daptomycin solution to a pH of 2.5 to 5.0.

- 112. (Previously Presented) The composition of claim 62, wherein the daptomycin is obtained by a process comprising:
  - a) adjusting the pH of a daptomycin preparation to a pH of 2.5 to 5.0;
- b) filtering the daptomycin preparation of step a) on an ultrafiltration membrane;
  - c) adjusting the pH of the daptomycin preparation of step b) to a pH of 6.5.
- 113. (Previously Presented) The composition of claim 112, wherein the daptomycin preparation of step a) is at a pH of 2.5 to 4.7, and the preparation further comprises 300 to 500 mM NaCl and is at a temperature of 2-15 degrees C.
- 114. (Previously Presented) The composition of claim 58 wherein the daptomycin is obtained by a process comprising:
- a) subjecting a solution of daptomycin to anion exchange chromatography to obtain an enriched daptomycin preparation;
- b) subjecting the enriched daptomycin preparation to hydrophobic interaction chromatography to obtain a semi-purified daptomycin preparation; and
- c) subjecting the semi-purified daptomycin preparation to anion exchange chromatography.
- 115. (Currently Amended) A composition comprising <u>purified</u>
  <u>daptomycin obtained from a daptomycin aggregate</u>, the <u>purified daptomycin selected</u>
  <u>from the group consisting of:</u>
  - (a) essentially pure daptomycin,
- (b) daptomycin that is substantially free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin,
- (c) daptomycin that is essentially free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin,
- (d) daptomycin that is free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin,

- (e) daptomycin that is substantially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12, [[or]]and
- (f) daptomycin that is essentially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12.
- 116. (Previously Presented) The composition of claim 62 wherein impurity 1 is present in an amount no more than about 1%.
- 117. (Previously Presented) The composition of claim 62 wherein impurity 2 is present in an amount no more than about 0.5%.
- 118. (Previously Presented) The composition of claim 62 wherein impurity 3 is present in an amount no more than about 1%.
- 119. (Previously Presented) The composition of claim 62 wherein impurity 4 is present in an amount no more than about 0.5%.
- 120. (Previously Presented) The composition of claim 62 wherein impurity 5 is present in an amount no more than about 0.5%.
- 121. (Previously Presented) The composition of claim 62 wherein impurity 6 is present in an amount no more than about 1%.
- 122. (Previously Presented) The composition of claim 62 wherein impurity 7 is present in an amount no more than about 1%.
- 123. (Previously Presented) The composition of claim 62 wherein impurity 9 is present in an amount no more than about 0.5%.
- 124. (Previously Presented) The composition of claim 62 wherein impurity 10 is present in an amount no more than about 0.5%.

- 125. (Previously Presented) The composition of claim 62 wherein impurity 11 is present in an amount no more than about 0.5%.
- 126. (Previously Presented) The composition of claim 62 wherein impurity 12 is present in an amount no more than about 0.5%.
- 127. (Previously Presented) The composition of claim 62 wherein impurity 14 is present in an amount no more than about 0.1%.
- 128. (Previously Presented) The composition of claim 62 wherein the daptomycin is obtained by a process comprising:
- a) subjecting a solution of daptomycin to anion exchange chromatography to obtain an enriched daptomycin preparation;
- b) subjecting the enriched daptomycin preparation to hydrophobic interaction chromatography to obtain a semi-purified daptomycin preparation; and
- c) subjecting the semi-purified daptomycin preparation to anion exchange chromatography.
- 129. (Previously Presented) The composition of claim 63, wherein the daptomycin is obtained by a process comprising
- a) subjecting a daptomycin solution to conditions forming a daptomycin aggregate;
- b)separating the daptomycin aggregate from low molecular weight contaminants;
- c) subjecting the daptomycin aggregate to conditions in which the daptomycin aggregate dissociate into daptomycin monomers.
- 130. (Previously Presented) The composition of claim 129, wherein the daptomycin aggregate of step b) is separated from the low molecular weight contaminants by a size selection technique.

- 131. (Previously Presented) The composition of claim 130, wherein the size selection technique is ultrafiltration or size exclusion chromatography.
- 132. (Previously Presented) The composition of claim 131 further comprising separating the daptomycin monomers obtained from step c) from high molecular weight contaminants.
- 133. (Previously Presented) The composition of claim 132, wherein the daptomycin monomers of are separated from the high molecular weight contaminants by a size selection technique.
- 134. (Previously Presented) The composition of claim 133, wherein the size selection technique is ultrafiltration or size exclusion chromatography.
- 135. (Previously Presented) The composition of claim 63, wherein the daptomycin is obtained by a process comprising
  - a) separating daptomycin from high molecular weight contaminants.
- b) subjecting the daptomycin of step a) to conditions forming a daptomycin aggregate; and
- c)separating the daptomycin aggregate from low molecular weight contaminants.
- 136. (Previously Presented) The composition of claim 135, wherein the daptomycin aggregate of step c) is separated from the low molecular weight contaminants by a size selection technique.
- 137. (Previously Presented) The composition of claim 136, wherein the size selection technique is ultrafiltration or size exclusion chromatography.

- 138. (Previously Presented) The composition of claim 137, further comprising subjecting the daptomycin aggregate of step c) to conditions in which the daptomycin aggregate dissociates into daptomycin monomers.
- 139. (Previously Presented) The composition of claim 129, wherein the aggregate is a micelle.
- 140. (Previously Presented) The composition of claim 135, wherein the aggregate is a micelle.
- 141. (Previously Presented) The composition of claim 63, wherein the daptomycin is obtained by a process comprising
- a) subjecting a daptomycin solution to conditions forming a daptomycin aggregate;
- b) filtering the daptomycin aggregate under conditions in which the daptomycin aggregate is retained on the filter; and
  - c) collecting the daptomycin aggregate.
- 142. (Previously Presented) The composition of claim 135, wherein the aggregate is a micelle.
- 143 (Previously Presented) The composition of claim 63, wherein the daptomycin is obtained by subjecting a daptomycin solution to conditions effective to forming micelles.
- 144. (Previously Presented) The composition of claim 63, wherein the daptomycin is obtained by adjusting any one or more of temperature, salt concentration, daptomycin concentration, and pH of the solution to cause a concentration of the daptomycin in the solution to be above a critical micelle concentration.

- 145. (Previously Presented) The composition of claim 63, wherein the daptomycin is obtained by subjecting a daptomycin solution to a pH of 2.5 to 5.0.
- 146. (Previously Presented) The composition of claim 63, wherein the daptomycin is obtained by a process comprising:
  - a) adjusting the pH of a daptomycin preparation to a pH of 2.5 to 5.0;
- b) filtering the daptomycin preparation of step a) on an ultrafiltration membrane;
  - c) adjusting the pH of the daptomycin preparation of step b) to a pH of 6.5.
- 147. (Previously Presented) The composition of claim 146, wherein the daptomycin preparation of step a) is at a pH of 2.5 to 4.7, and the preparation further comprises 300 to 500 mM NaCl and is at a temperature of 2-15 degrees C.
- 148. (Previously Presented) The composition of claim 63 wherein the daptomycin is obtained by a process comprising:
- a) subjecting a solution of daptomycin to anion exchange chromatography to obtain an enriched daptomycin preparation;
- b) subjecting the enriched daptomycin preparation to hydrophobic interaction chromatography to obtain a semi-purified daptomycin preparation; and
- c) subjecting the semi-purified daptomycin preparation to anion exchange chromatography.
- 149. (Previously Presented) The composition of claim 63 wherein impurity 1 is present in an amount no more than about 1%.
- 150. (Previously Presented) The composition of claim 63 wherein impurity 2 is present in an amount no more than about 0.5%.
- 151. (Previously Presented) The composition of claim 63 wherein impurity 3 is present in an amount no more than about 1%.

- 152. (Previously Presented) The composition of claim 63 wherein impurity 4 is present in an amount no more than about 0.5%.
- 153. (Previously Presented) The composition of claim 63 wherein impurity 5 is present in an amount no more than about 0.5%.
- 154. (Previously Presented) The composition of claim 63 wherein impurity 6 is present in an amount no more than about 1%.
- 155. (Previously Presented) The composition of claim 63 wherein impurity 7 is present in an amount no more than about 1%.
- 156. (Previously Presented) The composition of claim 63 wherein impurity 9 is present in an amount no more than about 0.5%.
- 157. (Previously Presented) The composition of claim 63 wherein impurity 10 is present in an amount no more than about 0.5%.
- 158. (Previously Presented) The composition of claim 63 wherein impurity 11 is present in an amount no more than about 0.5%.
- 159. (Previously Presented) The composition of claim 63 wherein impurity 12 is present in an amount no more than about 0.5%.
- 160. (Previously Presented) The composition of claim 63 wherein impurity 14 is present in an amount no more than about 0.1%.

Please enter the following new claims:

- 161. (New) The composition of claim 115, wherein the daptomycin aggregate comprises a daptomycin micelle.
- 162. (New) The composition of claim 161, wherein the is purified by a process comprising the steps of:
- (a) subjecting a daptomycin solution to conditions forming the daptomycin aggregate;
- (b) separating the daptomycin aggregate from low molecular weight contaminants;
- c) subjecting the daptomycin aggregate to conditions in which the daptomycin micelle dissociates into daptomycin monomers.
- 163. (New) The composition of claim 162, wherein the daptomycin aggregate consists of daptomycin micelles.
- 164. (New) The composition of claim 162, wherein the step of subjecting the daptomycin solution to conditions forming a daptomycin aggregate includes adjusting one or more of temperature, salt concentration, daptomycin concentration, and pH of the daptomycin solution to form the daptomycin aggregate.
- 165. (New) The composition of claim 164, wherein the daptomycin aggregate comprises daptomycin micelles.
- 166. (New) The composition of claim 162, wherein the step of subjecting the daptomycin solution to conditions forming the daptomycin aggregate results in a concentration of the daptomycin in the daptomycin solution at or above the critical micelle concentration.
  - 167. (New) The composition of claim 163, wherein the step of

subjecting a daptomycin solution to conditions forming daptomycin micelles includes subjecting the daptomycin solution to a pH of about 3.0 to 4.8 and a temperature of about 2-15 degrees C.

- 168. (New) The composition of claim 162, wherein the step of subjecting a daptomycin solution to conditions forming a daptomycin aggregate includes one or more of the following:
  - (a) the daptomycin solution having a pH of about 2.5 to 5.0,
- (b) the daptomycin solution having a temperature of about 2-15 degrees C, and
- (c) the daptomycin solution having a daptomycin concentration at or above the critical micelle concentration.
- 169. (New) The composition of claim 162, wherein the step of subjecting the daptomycin aggregate to conditions in which the daptomycin aggregate dissociate into daptomycin monomers includes one or more of the following:
  - (a) raising the pH of the daptomycin aggregate to about 6.0 or higher;
- (b) adjusting the daptomycin concentration to below the critical micelle concentration;
  - (c) contacting the daptomycin aggregate with an organic solvent; and
- (d) raising the temperature of the daptomycin aggregate above about 15 degrees C.
- 170. (New) The composition of claim 169, wherein the organic solvent is selected from the group consisting of: n-butanol, isopropyl alcohol, acetonitrile, and a combination thereof.
- 171. (New) A purified daptomycin composition of greater than about 93% purity relative to impurities 1-14 defined by peaks 1-14 shown in FIG. 12, the purified daptomycin composition obtained by a process comprising the steps of
  - (a) subjecting daptomycin to conditions forming daptomycin micelles and

- (b) obtaining at least a portion of the purified daptomycin from the daptomycin micelles.
- 172. (New) The purified daptomycin composition of claim 171, wherein the step of obtaining the purified daptomycin from the daptomycin micelles further comprises the steps of:
  - (c) subjecting the daptomycin micelles to conditions forming monomeric daptomycin from the daptomycin micelles; and
  - (d) obtaining at least a portion of the purified daptomycin from the monomeric daptomycin.
- 173. (New) The purified daptomycin composition of claim 172, wherein the step of subjecting the daptomycin micelles to conditions to form monomeric daptomycin from the daptomycin micelles includes one or more of the following:
  - (a) raising the pH of the daptomycin aggregate to about 6.0 or higher;
- (b) adjusting the daptomycin concentration to below the critical micelle concentration;
  - (c) contacting the daptomycin aggregate with an organic solvent; and
- (d) raising the temperature of the daptomycin aggregate above about 15 degrees C.
- 174. (New) The purified daptomycin composition of claim 171, wherein the step of obtaining the daptomycin from the daptomycin micelles further comprises the steps of:
  - (c) filtering the daptomycin micelles under conditions in which the daptomycin micelles are retained on the filter;
  - (d) collecting the daptomycin aggregate;
  - (e) subjecting the daptomycin micelles to conditions to form monomeric daptomycin from the daptomycin micelles; and
  - (f) obtaining at least a portion of the purified daptomycin from the monomeric daptomycin.

- 175. (New) A purified daptomycin composition of greater than about 93% purity relative to impurities 1-14 defined by peaks 1-14 shown in FIG. 12, the purified daptomycin composition obtained by a process comprising the steps of
- (a) subjecting an aqueous solution comprising daptomycin at or above the critical daptomycin micelle concentration to a pH of 3.0 to 4.8 at a temperature of about 2-15 degrees C to form a daptomycin preparation; and
- (b) obtaining the purified daptomycin from the daptomycin preparation obtained in step (a).
- 176. (New) The composition of claim 175, wherein the daptomycin preparation comprises daptomycin aggregates, and wherein:
- (a) the process further comprises filtering the daptomycin preparation is filtered to obtain a filtered daptomycin material comprising the daptomycin aggregates;
   and
- (b) the purified daptomycin is obtained from the filtered daptomycin material by a process comprising the step of contacting the filtered daptomycin material with an organic solvent or a solvent having a pH of at least about 6.0.
- 177. (New) The composition of claim 176, wherein the purified daptomycin is obtained by contacting the filtered daptomycin material with a HIC resin and eluted with an organic solvent at a pH of about 6.0-7.5
- 178. (New) A purified daptomycin composition of greater than about 93% purity relative to impurities 1-14 defined by peaks 1-14 shown in FIG. 12, wherein the % purity is measured by HPLC analysis according to the resolution method in Table 2, and the purified daptomycin composition is obtained from a lipopeptide aggregate comprising daptomycin.
- 179. (New) A purified daptomycin composition of greater than about 93% purity relative to impurities 1-14 defined by peaks 1-14 shown in FIG. 12, wherein the %

purity is measured by HPLC analysis according to the resolution method in Table 2, and the purified daptomycin composition is obtained by a process comprising the steps of

- (a) fermenting a culture of S. roseosporus to produce daptomycin;
- (b) contacting the daptomycin from step (a) with an anion exchange resin;
- (c) eluting the daptomycin from the anion exchange resin in step (b) with a solvent having a pH of about 6.0-6.5 to obtain a daptomycin solution;
- (d) adjusting the pH of the daptomycin solution from step (c) to about 3.0 to 4.8 and a temperature of the solution from step (c) to about 2-15 degrees C to obtain a daptomycin aggregate solution comprising a daptomycin aggregates; and
- (e) filtering the daptomycin aggregate solution to separate daptomycin aggregates from the daptomycin aggregate solution; and
  - (f) obtaining the purified daptomycin from the daptomycin aggregates.
- 180. (New) The composition of claim 179, wherein the daptomycin aggregates comprise daptomycin micelles.
- 181. (New) The composition of claim 54, wherein the purified daptomycin is obtained from the non-micellar daptomycin.
- 182. (New) The composition of claim 54, wherein the daptomycin micelles are converted to the non-micellar state by altering one or more of: temperature, pH, electrolyte concentration and daptomycin concentration.
- 183. (New) The composition of claim 54, wherein the daptomycin is purified by a process further comprising the steps of:
- i) filtering the daptomycin micelles under conditions in which the daptomycin micelles are retained on the filter; and
  - ii) collecting the daptomycin micelles.
  - 184. (New) The composition of claim 183, wherein the step of

converting the daptomycin micelles collected in step (ii) are converted to the non-micellar daptomycin in step (b).

- 185. (New) The composition of claim 184, wherein the purified daptomycin is obtained from the non-micellar daptomycin in step (c).
- 186. (New) The composition of claim 185, wherein the purified daptomycin is obtained by a process further comprising the step of lyophilizing the purified daptomycin.
- 187. (New) The composition of claim 186, wherein the purified daptomycin is substantially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12.
- 188. (New) The composition of claim 178, wherein the daptomycin is obtained by adjusting any one or more of temperature, salt concentration, daptomycin concentration, and pH of a daptomycin solution to cause a concentration of the daptomycin in the solution to be above a daptomycin critical micelle concentration.
- 189. (New) The composition of claim 185, wherein the aggregate comprises daptomycin micelles formed by a process comprising one or more steps selected from the group consisting of: adjusting the pH of a daptomycin preparation to a pH of about 2.5 to 5.0, combining daptomycin with 300 to 500 mM NaCl in an aqueous solution; and providing a daptomycin preparation at a temperature of 2-15 degrees C.
- 190. (New) The composition of claim 178, wherein the aggregate comprises daptomycin micelles formed by a process further comprising subjecting daptomycin micelles, daptomycin in a non-micellar state, or a combination thereof to anion exchange chromatography.

- 191. (New) The composition of claim 178, wherein the daptomycin composition is at least about 95% pure.
- 192. (New) The composition of claim 178, wherein the daptomycin composition is at least about 97% pure.
- 193. (New) The composition of claim 178, wherein the daptomycin composition is at least about 98% pure.
- 194. (New) The composition of claim 178, wherein the daptomycin composition is at least about 99% pure.
- 195. (New) The composition of claim 178, wherein the daptomycin composition is essentially free of anhydro daptomycin.
- 196. (New) The composition of claim 2, wherein the daptomycin has greater than about 93% purity measured by HPLC analysis according to the resolution method in Table 2, and the purified daptomycin composition is obtained from a lipopeptide aggregate comprising daptomycin.
- 197. (New) The composition of claim 3, wherein the daptomycin has greater than about 93% purity measured by HPLC analysis according to the resolution method in Table 2, and the purified daptomycin composition is obtained from a lipopeptide aggregate comprising daptomycin.
- 198. (New) The composition of claim 4, wherein the daptomycin has greater than about 93% purity measured by HPLC analysis according to the resolution method in Table 2, and the purified daptomycin composition is obtained from a lipopeptide aggregate comprising daptomycin.

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199. (New) The composition of claim 6, wherein the daptomycin has greater than about 93% purity measured by HPLC analysis according to the resolution method in Table 2, and the purified daptomycin composition is obtained from a lipopeptide aggregate comprising daptomycin.

200. (New) The composition of claim 115, wherein the daptomycin has greater than about 93% purity measured by HPLC analysis according to the resolution method in Table 2, and the purified daptomycin composition is obtained from a lipopeptide aggregate comprising daptomycin.

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#### **Amendments to the Specification**

REMARKS

The specification has been amended to contain the references required by 37 CFR § 1.78(a)(2),(5) to establish a claim of priority under 35 USC §§ 119(e) and 120. More specifically, the CROSS-REFERENCE TO RELATED APPLICATIONS has been amended to recite the relationships between the present application, United States Patent Application No. 10/747,485, and United States Patent Application No. 09/735,191. Applicants submit, along with this Response and Amendment, a Petition under 37 CFR 1.78(a)(3),(6) to Accept an Unintentionally Delayed Priority Claim. Applicants have also submitted a similar Petition in United States Patent Application No. 10/747,485, the parent of the present application, in order to comply with the requirement of MPEP 201.11(III)(C) that appropriate reference be made in each intermediate application in the chain of prior applications.. Each Petition includes (1) the reference required by 37 CFR  $\S 1.78(a)(2),(5)$ ; (2) the surcharge set forth in 37 CFR  $\S 1.17(t)$ ; and (3) a statement that the entire delay between the date that the priority claim was due under 37 CFR § 1.78(a)(2)(ii),(5)(ii) and the present was unintentional. In view of the foregoing amendments and Petitions, Applicants respectfully request recognition of the recited priority claim

#### **Amendments to the Claims**

Claims 1-29, 31-36, 38-44, 46-52, 54-56, and 58-160 were pending in the present application. Applicant has canceled claim 1, and amended claims 2-4, 6-7, 10, 54-56, 58, 62, 76, 82-83, 85, 87-95, 108-109 and 115 and added new claims 161-200. Support for the claim amendments and new claims 161-200 can be found throughout the specification as filed. No new matter is added. Accordingly, upon entry of the instant amendments, claims 2-29, 31-36, 38-44, 46-52, 54-56, and 58-200 are pending in this application.

#### **Allowed Claims**

Claims 2-7 and 115 have been allowed (Office Action at page 1).

#### Claim Rejections under 35 USC §102

The Office Action rejects claims 1 and 54 under 35 USC § 102(e) as being anticipated by RE39,071 ("Baker") (Office Action at pages 4-6).

Applicants respectfully disagree. However, without acquiescing to the Examiner's rejection, Applicants submit that with the cancellation of claim 1 this rejection is now rendered moot regarding claim 1. With respect to claim 54, this rejection has been obviated by amending the claim to recite "essentially pure" daptomycin (as set forth in allowed claim 2). Applicants reserve the right to pursue embodiments described in canceled subject matter in one or more subsequent continuation patent applications.

Notwithstanding, Applicant respectfully disagrees and traverses this rejection based on arguments presented below and previously made of record. Applicant's previous remarks in earlier Office Action Responses are incorporated herein by reference.

#### Claim Rejections under Obviousness-Type Double Patenting

The Office Action rejects claims 1 and 54 over claims 18-20, 26, 28 and 29 of Baker based on the judicially-created doctrine of non-statutory obviousness-type double patenting. The Office Action states that claims 1 and 54 disclose a composition comprising substantially pure daptomycin, and maintains that "[t]his is an obvious variation in view of claims 18-20, 26 and 28 of [Baker]..." (Office Action at page 7). Applicant has elected to cancel claim 1 and amend claim 54 to cover certain preferred embodiments of the invention. Applicant respectfully submits that the claim amendments herein obviate the basis for this rejection. Applicant reserves the right to pursue embodiments described in canceled subject matter in one or more subsequent continuation patent applications. Applicant respectfully requests reconsideration and withdrawal of this rejection.

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#### Claim Rejections Under 35 USC § 112, ¶2

The Office Action rejects claims 8-29, 31-36, 38-44, 47-52, 55-56, 58-114 and 116-160 under 35 USC §112, second paragraph as being indefinite (Office Action at page 3). Specifically, the Office Action maintains that the recitation of "impurities 1-14" renders the rejected claims indefinite because "it is not clear what these impurities are, and how they are defined" (Office Action at page 3). Applicant has elected to amend independent claims 58, 62, and 115 from which the rejected claims depend to focus on certain preferred embodiments of the invention. Applicant respectfully submits that the claim amendments herein obviate the basis for this rejection. Applicant reserves the right to pursue embodiments described in canceled subject matter in one or more subsequent continuation patent applications. Applicant respectfully requests reconsideration and withdrawal of this rejection.

#### CONCLUSION

For the reasons presented above, Applicant respectfully requests reconsideration and prompt allowance of all pending claims.

Respectfully submitted,

Date: May 27, 2011 Cubist Pharmaceuticals, Inc. 65 Hayden Avenue Lexington, Massachusetts 02421

Tel.: (781) 860-8660 Fax: (781) 860-1407

C062-02-03 20110530 US Resp to 20101130 OA

/Nicholas M. Boivin/

Nicholas M. Boivin, Reg. No. 45,650 Attorney for Applicant

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15:25

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Re:

U.S. Patent Application Serial No. 11/739,180

Our Ref.: C062-02/03 US

Dear Sir:

Attached is a Credit Card Payment Form for any additional fees that may become due for the filing of the following documents in the Response/Petition for extension of time/ Petition under 37 C.F.R. § 1.78(a)(3),(6) to accept an unintentionally delayed priority claim (11/739,180)/Petition under 37 C.F.R. § 1.78(a)(3),(6) to accept an unintentionally delayed priority claim (10/747,485). Please credit Deposit Account 50-1986 for the payment amount indicated in the enclosed Credit Card Payment Form (PTO-2038).

Very truly yours

Nicholas M. Boivin

Intellectual Property Counsel

NMB/jld Attachment

cc: Jodi Doherty, Senior Intellectual Property Paralegal (w/o encl.)

C062-02-03 US 20110527 Commissioner of Patent ltr re Credit Card Payment

Approved for use through 1/31/2007. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

PATENT APPLICATION FEE DETERMINATION RECORD  Substitute for Form PTO-875					Application or Docket Number 11/739,180		Filing Date 04/24/2007		To be Mailed		
APPLICATION AS FILED - PART I (Column 1) (Column 2)										HER THAN	
	FOR	N	JMBER FIL	.ED NU	MBER EXTRA		RATE (\$)	FEE (\$)		RATE (\$)	FEE (\$)
	BASIC FEE (37 CFR 1.16(a), (b),	or (c))	N/A		N/A		N/A		1	N/A	
	SEARCH FEE (37 CFR 1.16(k), (i), (i)		N/A		N/A		N/A			N/A	
	EXAMINATION FE (37 CFR 1.16(o), (p),	Ε	N/A		N/A		N/A			N/A	
	CAL CLAIMS CFR 1.16(i))		mir	us 20 = *			X \$ =		OR	X \$ =	
	EPENDENT CLAIM CFR 1.16(h))	IS	m	inus 3 = *			X \$ =		1	X \$ =	
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	MULTIPLE DEPEN	NDENT CLAIM PR	ESENT (3	7 CFR 1.16(j))							
* If t	he difference in colu	umn 1 is less than	zero, ente	r "0" in column 2.			TOTAL			TOTAL	
	APP	(Column 1)	AMEND	DED — PART II (Column 2)	(Column 3)	_	SMAL	L ENTITY	OR		ER THAN ALL ENTITY
AMENDMENT	05/27/2011	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA		RATE (\$)	ADDITIONAL FEE (\$)		RATE (\$)	ADDITIONAL FEE (\$)
ME	Total (37 CFR 1.16(i))	* 194	Minus	** 194	= 0		X \$26 =	0	OR	X \$ =	
Z	Independent (37 CFR 1.16(h))	* 7	Minus	***7	= 0		X \$110 =	0	OR	X \$ =	
AMI	Application S	ize Fee (37 CFR 1	.16(s))								
	FIRST PRESEN	NTATION OF MULTIF	LE DEPEN	DENT CLAIM (37 CF	R 1.16(j))				OR		
							TOTAL ADD'L FEE	0	OR	TOTAL ADD'L FEE	
		(Column 1)		(Column 2)	(Column 3)						
		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA		RATE (\$)	ADDITIONAL FEE (\$)		RATE (\$)	ADDITIONAL FEE (\$)
ENT	Total (37 CFR 1.16(i))	*	Minus	**	=		X \$ =		OR	X \$ =	
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EN	Application S	ize Fee (37 CFR 1	.16(s))								
ΑN	FIRST PRESEN	NTATION OF MULTIF	LE DEPEN	DENT CLAIM (37 CF	R 1.16(j))				OR		
* If t	the entry in column	1 is less than the e	entry in col	umn 2, write "0" in	column 3.		TOTAL ADD'L FEE	nstrument Ex	OR (amin	TOTAL ADD'L FEE	
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This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.		
11/739,180	04/24/2007	Thomas Kelleher	C062-02/03 US	8837		
	7590 11/30/2019 perty Department	EXAMINER				
Cubist Pharmac 65 Hayden Ave	euticals, Inc.		KAM, CHIH MIN			
Lexington, MA			ART UNIT PAPER NUMBER			
_			1656			
			MAIL DATE	DELIVERY MODE		
			11/30/2010	PAPER		

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

		Application	n No.	Applicant(s)			
	Office Action Comments	11/739,18	0	KELLEHER ET AI	L.		
	Office Action Summary	Examiner		Art Unit			
		CHIH-MIN	KAM	1656			
Period fo	The MAILING DATE of this communication or Reply	appears on the	cover sheet with the c	orrespondence ad	ldress		
WHIC - Exter after - If NO - Failui Any r	A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).						
Status							
1) 又	Responsive to communication(s) filed on 2	2 September 2	010				
•		This action is n					
<i>'</i> —	Since this application is in condition for allo			secution as to the	e merits is		
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Dispositi	on of Claims						
4)🛛	Claim(s) 1-29,31-36,38-44,47-52,54-56 and	<i>d 58-160</i> is/are	pending in the applica	ition.			
•	4a) Of the above claim(s) is/are with	drawn from coi	nsideration.				
5)🛛	Claim(s) 2-7 and 115 is/are allowed.						
6)🖂	Claim(s) 1,8-29,31-36,38-44,47-52,54-56,5	58-114 and 116	-160 is/are rejected.				
·	Claim(s) is/are objected to.						
·	Claim(s) are subject to restriction ar	nd/or election re	equirement.				
-/			- <b></b>				
Applicati	on Papers						
9) 🗌 .	The specification is objected to by the Exan	niner.					
10)🛛	The drawing(s) filed on <u>24 April 2007</u> is/are	: a)⊠ accepte	d or b)□ objected to b	y the Examiner.			
•	Applicant may not request that any objection to	•	•	-			
	Replacement drawing sheet(s) including the cor				FR 1.121(d).		
11) 🗌 .	The oath or declaration is objected to by the	-					
•	inder 35 U.S.C. § 119						
-	•			(1)			
· ·	<ul> <li>12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).</li> <li>a) All b) Some * c) None of:</li> <li>1. Certified copies of the priority documents have been received.</li> <li>2. Certified copies of the priority documents have been received in Application No</li> </ul>						
	3. Copies of the certified copies of the	•		d in this National	Stage		
	application from the International Bu	•					
* S	* See the attached detailed Office action for a list of the certified copies not received.						
Attachment	t(s)						
_	e of References Cited (PTO-892)		4) Interview Summary	(PTO-413)			
2) Notic	e of Draftsperson's Patent Drawing Review (PTO-948)	)	Paper No(s)/Mail Da	te			
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Art Unit: 1656

#### **DETAILED ACTION**

#### Status of the Claims

1. Claims 1-29, 31-36, 38-44, 47-52, 54-56 and 58-160 are pending.

Applicants' amendment filed September 22, 2010 is acknowledged. Claims 2, 3, 6, 8, 9, 11, 38, 47-52, 58, 59 and 61 have been amended, claims 46 and 57 have been cancelled, and new claims 64-160 have been added. Therefore, claims 1-29, 31-36, 38-44, 47-52, 54-56 and 58-160 are examined.

#### Withdrawn Claim Objections

2. The previous objection to claims 2-7, 31-36, 39-44, 47-52, 59 and 61-63 is withdrawn in view of applicants' amendment to the claims in the amendment filed September 22, 2010.

#### Withdrawn Claim Rejections - 35 USC § 102

3. The previous rejection of claims 8-29, 38, 46, 55-56, 58 and 60 under 35 U.S.C. 102(e) as being as anticipated by Baker *et al.* (US RE39,071 E) is withdrawn in view of applicants' amendment to the claims, applicants' cancellation of the claims, and applicants' response at pages 23-24 in the amendment filed September 22, 2010.

#### Withdrawn Claim Rejections - Obviousness Type Double Patenting

4. The previous rejection of claims 8-9, 46, 55, 57, 58 and 60 under the judicially created doctrine of obviousness-type double patenting as being unpatentable over claims 18-20, 26, 28 and 29 of U.S. Patent RE39,071 E is withdrawn in view of applicants' amendment to the claims, and applicants' cancellation of the claims in the amendment filed September 22, 2010.

#### New Claim Rejections - 35 USC § 112

The following is a quotation of the second paragraph of 35 U.S.C. 112:

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The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

5. Claims 8-29, 31-36, 38-44, 47-52, 55-56, 58-114 and 116-160 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

- 6. Claims 8-29, 31-36, 38-44, 47-52, 55-56, 58-114 and 116-160 are indefinite because of the use of the term "impurities 1-14". The term cited renders the claim indefinite, it is not clear what these impurities are, and how they are defined. Claims 8-29, 31-36, 38-44, 47-52, 55-56, 59-61, 63-114 and 116-160 are included in this rejection for being dependent on a rejected claim and not correcting the deficiency of the claims from which they depend.
- 7. Claims 8-29, 31-36, 38-44, 47-52, 55-56, 95-113 and 116-160 are indefinite because of the use of the term "The composition" or "the composition", while the independent claim (i.e., claim 62) recites the term "Daptomycin", not "A composition".

#### Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in a patent granted on an application for patent by another filed in the United States before the invention thereof by the applicant for patent, or on an international application by another who has fulfilled the requirements of paragraphs (1), (2), and (4) of section 371(c) of this title before the invention thereof by the applicant for patent.

The changes made to 35 U.S.C. 102(e) by the American Inventors Protection Act of 1999 (AIPA) and the Intellectual Property and High Technology Technical Amendments Act of 2002 do not apply when the reference is a U.S. patent resulting directly or indirectly from an international application filed before November 29, 2000. Therefore, the prior art date of the

reference is determined under 35 U.S.C. 102(e) prior to the amendment by the AIPA (pre-AIPA 35 U.S.C. 102(e)).

8. Claims 1 and 54 are rejected under 35 U.S.C. 102(e) as anticipated by Baker *et al.* (US RE39,071 E, reissue of U.S. Patent 5,912,226, filed December 16, 1991).

Baker *et al.* teach an antibacterial composition comprising daptomycin (LY146032) obtained in substantially pure form, which refers to daptomycin that contains less than 2.5% of a combined total of anhydro-daptomycin and beta-isomer of daptomycin (column 8, lines 50-60; Examples 4 and 5; claim 1(g), 54), where daptomycin is purified by a procedure using Diaion HP-20 resin column, followed by HPLC and another HP-20 resin column (Examples 1-5). Baker *et al.* also teach the preparation of a pharmaceutical formulation comprising the purified daptomycin (LY146032) with pharmaceutical carriers or excipients (column 9, lines 47-59), and an antibiotic composition comprised of a combination of a compound of formula 1 (i.e., anhydro-A21978C; column 1, lines 14-21), a compound of formula 2 (isomer of A21978C) and a compound of formula 3 (the parent cyclic peptide of A21978C; LY146032) or pharmaceutically acceptable salts (Reissue: claim 18).

#### Response to Arguments

Applicants indicate that the purity of daptomycin in Baker can only be interpreted as defined by Baker, thus Baker can be interpreted to read that there is 97.5% of daptomycin over a daptomycin plus anhydro-daptomycin ("A") plus beta isomer daptomycin ("B") composition. The present application describes daptomycin purity relative to daptomycin plus anhydro-daptomycin (impurity No. 13) plus beta isomer daptomycin (impurity No. 8) plus 12 other impurities (impurities 1-7, 9-12 and 14) as described in Table 3 of the specification. Thus, Baker

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uses a different purity and does not teach purity over the 14 daptomycin impurities. Applicants also indicate that Baker methods yield at best about 93% pure daptomycin measured under the current application while it yields 97.5% purity under its own teachings. Applicants further assert that evidence of inherency and/or notice of facts to support the inherency of the present claims have not been provided. Regarding product-by process claims, claims 11-29 have been amended to depend on claim 62 or claim 115, thus the basis for rejection is overcome. Therefore, Baker does not anticipate claims 1 and 54, the rejection under 35 U.S.C. 102 (e) should be withdrawn (pages 21-24 of the response).

Applicants' response has been fully considered. Regarding claim 1(g) and claim 54, the arguments are not found persuasive because of the following reasons. Baker *et al.* teach an antibacterial composition comprising daptomycin (LY146032) obtained in substantially pure form, which refers to daptomycin that contains less than 2.5% of a combined total of anhydrodaptomycin and beta-isomer of daptomycin (column 8, lines 50-60; Examples 4 and 5). Since Baker *et al.* do not indicate other impurities besides anhydro-daptomycin and beta-isomer of daptomycin are contained in the daptomycin (LY146032) in substantially pure form, it reads that the daptomycin has more than 97.5% purity. While Baker implies that other degradants are present, but are not predominant in the pH range that optimizes the transpeptidation reactions, the reference does not indicate other degradants are present after the purification procedure (column 8, lines 45-49). While Baker's later work (U.S. Patent 4,874,843) shows undetermined impurities at least as great as 7%, and daptomycin has at best 93% purity, the '843 patent only use a single HP-20 resin column to purify daptomycin with a yield of 50-60% (Example 1-2), which is different from the purification procedure (i.e., Diaion HP-20 resin column, followed by

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HPLC and another HP-20 resin column) used by Baker et al. in the US RE39,071 E (e.g., with a very low yield in Example 3). Thus, even Baker (U.S. Patent 4,874,843) shows undetermined impurities at least as great as 7%, and daptomycin has at best 93% purity, it does not mean that the daptomycin purified by Baker et al. in the US RE39,071 E has at best 93% purity since the purification procedures used by two patents are different. As shown in Example 2 of the present application, the purity level of the daptomycin was 91% using the purification method from the '843 patent, and the daptomycin sample was further confirmed to contain fourteen impurities (Example 10), which does not mean the daptomycin purified by Baker et al. in the US RE39,071 E would have at best 93% purity when a different purification procedure is used. The daptomycin purified by Baker et al. in the US RE39,071 E is obtained in substantially pure form that contains less than 2.5% of a combined total of anhydro-daptomycin and beta-isomer of daptomycin as taught by Baker et al. is not different from the claimed composition as indicated in claims 1(g) and 54 because the claimed substantially pure daptomycin has also >97% purity without indicating the existence of other 14 impuritites. Therefore, the rejection of claim 1(g) and claim 54 are maintained.

#### Claim Rejections-Obviousness Type Double Patenting

The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. See *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970);and, *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent is shown to be commonly owned with this application. See 37 CFR 1.130(b).

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Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

9. Claims 1 and 54 are rejected under the judicially created doctrine of obviousness-type double patenting as being unpatentable over claims 18-20, 26 and 28 of U.S. Patent RE39,071 E. Although the conflicting claims are not identical, they are not patentably distinct from each other because claims 1 and 54 in the instant application disclose a composition comprising substantially pure daptomycin (i.e., >97% purity daptomycin). This is obvious variation in view of claims 18-20, 26 and 28 of the patent which disclose an antibiotic composition comprised of a combination of a compound of formula 1 (i.e., anhydro-daptomycin), a compound of formula 2 (i.e., beta-isomer of daptomycin) and a compound of formula 3 (i.e., daptomycin, A21978C), or pharmaceutically acceptable salts thereof, wherein the total amount of the compound of formula 1 and the compound of formula 2 or salts thereof, in the combination is less than 6 weight percent; or a pharmaceutical formulation comprising a combination of a compound of formula 1 (i.e., anhydro-daptomycin), a compound of formula 2 (i.e., beta-isomer of daptomycin) and a compound of formula 3 (i.e., daptomycin, A21978C), or pharmaceutically acceptable salts thereof, wherein the total amount of the compound of formula 1 and the compound of formula 2 or salts thereof, in the combination is less than 6 weight percent. Both claims of instant application and the patent are directed to a composition comprising substantially pure daptomycin (i.e., >97% purity daptomycin); or a pharmaceutical composition comprising the composition and a pharmaceutically acceptable carrier or excipient. Thus, claims 1 and 54 in present application and claims 18-20, 26 and 28 of the patent are obvious variations of a composition comprising substantially pure daptomycin (i.e., >97% purity daptomycin).

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#### Response to Arguments

Applicants indicate that they reserve their right to file a terminal disclaimer upon an indication of allowance of these claims over Baker under 35 U.S.C. § 102(e) as requested above or to cancel such claims in a further amendment (page 24 of the response).

Applicants' response has been considered and the rejection is maintained.

#### Conclusion

10. Claims 1, 8-29, 31-36, 38-44, 47-52, 54-56, 58-114 and 116-160 are rejected; and claims 2-7 and 115 are free of art.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Chih-Min Kam whose telephone number is (571) 272-0948. The examiner can normally be reached on 8.00-4:30, Mon-Fri.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Manjunath Rao can be reached at 571-272-0939. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

/Chih-Min Kam/

Primary Examiner, Art Unit 1656

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Art Unit: 1656

CMK

November 27, 2010



Application No.	Applicant(s)	_
11/739,180	KELLEHER ET AL.	
Examiner	Art Unit	_
CHIH-MIN KAM	1656	

SEARCHED						
Class	Subclass	Date	Examiner			
514	9, 11, 2, 14					
530	317, 322					
530	344					
435	886					

INTERFERENCE SEARCHED						
Class	Subclass	Date	Examiner			

SEARCH NOT (INCLUDING SEARCH		)
	DATE	EXMR
EAST Search on USPAT, USPGPUB, DERWENT, EPO, JPO; STN search on MEDLINE, BIOSIS, EMBASE, SCISEARCH, AGRICOLA.	2/13/2008	СМК
Search strategy enclosed, Inventor name search, Parent applications 60/177,170 and 09/735,191, 10/747,48 have been reviewed.	2/13/2008	СМК
Update the search	10/28/2008	СМК
Update the search	8/5/2009	СМК
Update the search	2/3/2010	СМК
Update the search	11/11/2010	СМК

U.S. Patent and Trademark Office Part of Paper No. 20101127

### **EAST Search History**

### **EAST Search History (Prior Art)**

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L1	979	daptomycin	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2010/11/11 14:39
L2	57288	substantially adj pure	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2010/11/11 14:39
L3	14746	essentially adj pure	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2010/11/11 14:39
L4	9	L1 same (L2 or L3)	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2010/11/11 14:39
L5	11	impurities same L1	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2010/11/11 14:39
L6	11	anhydro-daptomycin or beta-daptomycin	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2010/11/11 14:39
L7	53568	anion adj exchange	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2010/11/11 14:42
L8	13724	hydrophobic adj interaction adj chromatography	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2010/11/11 14:42
L9	7	L1 same L7 same L8	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2010/11/11 14:42
L10	107	(Ly adj "146032") or A- 21978C or A54145 or A- 21978	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2010/11/11 14:42
L11	2	L10 same (L2 or L3)	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2010/11/11 14:42
L12	20	kelleher adj thomas.in.	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2010/11/11 14:43
L13	10	lai adj jan-ji.in.	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2010/11/11 14:43

L14	3	decourcey adj joseph. in.	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2010/11/11 14:43
L15	28	lynch adj paul.in.	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2010/11/11 14:43
L16	70	zenoni adj maurizio.in.	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2010/11/11 14:43
L17	6	tagliani adj auro.in.	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2010/11/11 14:43
L18	120	L12 or L13 or L14 or L15 or L16 or L17	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2010/11/11 14:43
L19	8	L18 and L1	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2010/11/11 14:43

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Substitute for form 1449/PTO		Complete if Known				
		Application Number	11739180			
		_	CLOSURE	Filing Date	2007-04-24	
STATEMENT BY APPLICANT				First Named Inventor	Kelleher, Thomas J.	
	(Use as many she	ets as n	ecessary)	Art Unit	1656	
(Coo do many oneste de messeculy)				Examiner Name	Chih-Min Kam	
Sheet	1	of	1	Attorney Docket Number	C062-02/03 US	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
/CMK./		Molloy, M. et al., Abstract, "Structure & Anhydro-Daptomycin and Iso-Daptomycin," ACS 200th Meeting, 1990.	
/CMK./		Molloy, M. et al., Poster, "Structure & Anhydro-Daptomycin and Iso-Daptomycin," ACS 200th Meeting, 1990.	

Examiner Signature	/Chih Min Kam/	Date Considered	11/27/2010

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:

Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

#### Privacy Act Statement

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

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FILE 'MEDLINE, CAPLUS, BIOSIS, EMBASE, SCISEARCH, AGRICOLA' ENTERED AT

14:50:54 ON 11 NOV 2010

- L1 7611 S DAPTOMYCIN
- L2 2905 S SUBSTANTIALLY PURE
- L3 2355 S ESSENTIALLY PURE
- L4 0 S L1 (P) (L2 OR L3)
- L5 0 S L1 AND (L2 OR L3)
- L6 2 S L1 (P) IMPURITIES
- L7 4 S ANHYDRO-DAPTOMYCIN OR BETA-DAPTOMYCIN
- L8 6 S L6 OR L7
- L9 6 DUPLICATE REMOVE L8 (0 DUPLICATES REMOVED)
- L10 115464 S ANION EXCHANGE
- L11 11064 S HYDROPHOBIC INTERACTION CHROMATOGRAPHY
- L12 2 S L1 (P) L10 (P) L11
- L13 1 S L12 NOT (L9)
- L14 554 S (LY146032) OR A-21978C OR A54145 OR A-21978
- L15 1 S L14 (P) (L2 OR L3)
- L16 1 S L15 NOT (L9 OR L13)
- L17 207 S KELLEHER T?/AU
- L18 12081 S LAI J?/AU
- L19 13 S DECOURCEY J?/AU
- L20 3918 S LYNCH P?/AU
- L21 83 S ZENONI M?/AU
- L22 144 S TAGLIANI A?/AU
- L23 16433 S L17 OR L18 OR L19 OR L20 OR L21 OR L22
- L24 20 S L23 AND L1
- L25 8 DUPLICATE REMOVE L24 (12 DUPLICATES REMOVED)
- L26 0 S L25 AND (L2 OR L3)
- L27 0 S L25 AND L7
- L28 7 S L25 NOT (L9 OR L16)

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#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 11/739,180 Confirmation No. 8837

Applicant : Thomas Kelleher

Filed : April 24, 2007

TC/A.U. : 1656

Examiner : Chih-Min Kam

Docket No. : C062-02/03 US

Customer No.: 34103

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### **RESPONSE AND AMENDMENT**

This Amendment is responsive to the Office Action mailed March 22, 2010 (hereafter "the Office Action") in the above-identified application.

Kindly amend the application as follows:

Certificate of Transmission/Mailing
I hereby certify that this correspondence (Preliminary Amendment) is being deposited with the United States Postal Service with sufficient postage as First Class Mail and is addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 or is being facsimile transmitted to the USPTO on the date shown below.
Date

#### **AMENDMENT TO THE CLAIMS**

- 1. (Previously presented) A composition comprising
- (a) essentially pure daptomycin,
- (b) daptomycin that is substantially free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin,
- (c) daptomycin that is essentially free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin,
- (d) daptomycin that is free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin,
- (e) daptomycin that is substantially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12, or
- (f) daptomycin that is essentially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12 or
  - (g) substantially pure daptomycin.
- 2. (Currently amended) The composition of claim 1 A composition comprising essentially pure daptomycin.
- 3. (Currently amended) The composition of claim 1 A composition compromising daptomycin that is substantially free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin.
- 4. (Original) The composition according to claim 3 that is essentially free of anhydro-daptomycin.
- 5. (Original) The composition according to claim 3 that is free of anhydro-daptomycin.
- 6. (Currently amended) The composition of claim 1 A composition comprising daptomycin that is substantially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12.
- 7. (Original) The composition according to claim 6 that is essentially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12.
- 8. (Currently amended) The composition of claim 4 <u>62</u>, wherein daptomycin purity is measured by HPLC.

- 9. (Currently amended) The composition of claim 4 <u>62</u> further comprising a pharmaceutically acceptable carrier or excipient.
- 10. (Original) A pharmaceutical composition according to claim 9, further comprising one or more antibiotics, one or more antifungal agents, or both an antibiotic and an antifungal agent.
- 11. (Currently amended) The composition according to claim 4 62 or 115 wherein the daptomycin is purified by a process comprising the steps of:
  - a) supplying a fermentation broth;
- b) fermenting *Streptomyces roseosporus* with a feed of n-decanoic acid to produce daptomycin in the fermentation broth;
  - c) clarifying the fermentation broth to obtain a clarified solution;
- d) subjecting the clarified solution to anion exchange chromatography to obtain an enriched daptomycin preparation;
- e) subjecting the enriched daptomycin preparation to hydrophobic interaction chromatography to obtain a semi-purified daptomycin preparation; and
- f) subjecting the semi-purified daptomycin preparation to anion exchange chromatography to obtain the composition-of claim 1.
- 12. (Original) The composition according to claim 11, wherein the feed of n-decanoic acid is regulated to achieve a residual concentration of n-decanoic acid of no more than 50 parts per million (ppm) during fermentation.
- 13. (Original) The composition according to claim 11, wherein said clarifying comprises filtration or centrifugation and depth filtration.
- 14. (Original) The composition according to claim 11, wherein the anion exchange chromatography in d) is performed using a resin comprising a copolymer of 2-methacrylic acid and ethyleneglycol dimethacrylate (EDGM).
- 15. (Original) The composition according to claim 11, wherein the hydrophobic interaction chromatography is performed using a resin comprising a copolymer of cross-linked divinylbenzene/stryene.
- 16. (Original) The composition according to claim 15, wherein the hydrophobic interaction chromatography is performed at neutral pH and a solvent

concentration that is reduced compared to the solvent concentration used when performing the hydrophobic interaction chromatography at acidic pH.

- 17. (Original) The composition according to claim 16, wherein the resin is recycled by loading the column at an acidic pH and eluting the column at a neutral pH.
- 18. (Original) The composition according to claim 11, wherein the anion exchange chromatography in f) is performed using a resin comprising a copolymer of 2-methacrylic acid and ethyleneglycol dimethacrylate (EDGM).
- 19. (Original) The composition according to claim 11, wherein the anion exchange chromatography is used to reduce the level of solvent in the clarified solution.
- 20. (Original) The composition according to claim 11, wherein the anion exchange chromatography is performed via continuous flow chromatography.
- 21. (Original) The composition according to claim 11, wherein the process further comprises the step of filtering daptomycin.
- 22. (Original) The composition according to claim 11, wherein the process further comprises the step of depyrogenating daptomycin using ultrafiltration.
- 23. (Original) The composition according to claim 22 wherein said depyrogenating comprises the steps of:
- i) providing a daptomycin solution under conditions in which the daptomycin is in a monomeric and nonmicellar state;
- ii) filtering the daptomycin solution under conditions in which the daptomycin passes through the filter but pyrogens do not pass through the filter;
- iii) subjecting the daptomycin solution to conditions forming a daptomycin aggregate;
- iv) filtering the daptomycin aggregate under conditions in which the daptomycin aggregate is retained on the filter; and
  - v) collecting the daptomycin aggregate.
- 24. (Original) The composition according to claim 22, wherein the process further comprises the step of lyophilizing daptomycin.
- 25. (Original) The composition according to claim 22, wherein the anion exchange chromatography is performed via radial flow chromatography.

- 26. (Original) The composition according claim 11, wherein said clarifying comprises microfiltration or centrifugation.
- 27. (Original) The composition according to claim 11, wherein the process further comprises the steps of filtering and concentrating daptomycin.
- 28. (Original) The composition according to claim 11, wherein the process further comprises the step of separating the enriched daptomycin from low molecular weight material by ultrafiltration.
- 29. (Original) The composition according to claim 28, wherein the process further comprises the step of depyrogenating the daptomycin.
  - 30. Canceled
- 31. (Original) The pharmaceutical composition of claim 9 comprising essentially pure daptomycin.
- 32. (Original) The pharmaceutical composition of claim 9 comprising daptomycin that is substantially free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin.
- 33. (Original) The pharmaceutical composition of claim 9 comprising daptomycin that is essentially free of anhydro-daptomycin and substantially free of β-isomer of daptomycin.
- 34. (Original) The pharmaceutical composition of claim 9 comprising daptomycin that is free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin.
- 35. (Original) The pharmaceutical composition of claim 9 comprising daptomycin that is substantially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12.
- 36. (Original) The pharmaceutical composition of claim 9 comprising daptomycin that is essentially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12.
  - 37. Canceled
- 38. (Currently amended) A method for preparing a pharmaceutical composition comprising combining the composition of claim 4 62 with a

pharmaceutically acceptable carrier or excipient.

- 39. (Original) The method of claim 38 wherein the composition is essentially pure daptomycin.
- 40. (Original) The method of claim 38 wherein the composition is daptomycin that is substantially free of anhydro-daptomycin and substantially free of β-isomer of daptomycin.
- 41. (Original) The method of claim 38 wherein the composition is daptomycin that is essentially free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin.
- 42. (Original) The method of claim 38 wherein the composition is daptomycin that is free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin.
- 43. (Original) The method of claim 38 wherein the composition is daptomycin that is substantially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12.
- 44. (Original) The method of claim 38 wherein the composition is daptomycin that is essentially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12.
  - 45. Canceled
  - 46. Canceled
- 47. (Currently amended) The pharmaceutical composition of claim  $46 \ \underline{9}$  wherein the composition is essentially pure daptomycin.
- 48. (Currently amended) The pharmaceutical composition of claim  $46 \ \underline{9}$  wherein the composition is daptomycin that is substantially free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin.
- 49. (Currently amended) The pharmaceutical composition of claim  $46 \underline{9}$  wherein the composition is daptomycin that is essentially free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin.
- 50. (Currently amended) The pharmaceutical composition of claim  $46 \underline{9}$  wherein the composition is daptomycin that is free of anhydro-daptomycin and

substantially free of  $\beta$ -isomer of daptomycin.

- 51. (Currently amended) The pharmaceutical composition of claim 46 9 wherein the composition is daptomycin that is substantially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12.
- 52. (Currently amended) The pharmaceutical composition of claim  $46 \ 9$  wherein the composition is daptomycin that is essentially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12.
  - 53. Canceled
- 54. (Previously presented) The composition of claim 1 comprising substantially pure daptomycin.
- 55. (Previously presented) The pharmaceutical composition of claim 9 comprising substantially pure daptomycin.
- 56. (Previously presented) The method of claim 38 wherein the composition is substantially pure daptomycin.
  - 57. Canceled
- 58. (Currently amended) A new composition comprising daptomycin of greater than about 93% purity, wherein the purity of the daptomycin is relative to daptomycin impurities that arise in fermentation or purification of daptomycin and wherein the daptomycin impurities comprise impurities 1-14.
- 59. (Currently amended) The composition of claim 58, wherein the daptomycin impurities comprise impurities 1 14 arise in fermentation.
- 60. (Previously presented) The composition of claim 58, wherein the purity is at least 95%.
- 61. (Currently amended) The composition of claim 60, wherein the daptomycin impurities comprise impurities 1—14 arise in fermentation.
- 62. (Previously presented) Daptomycin of greater than about 93% purity relative to impurities 1-14.
- 63. (Previously presented) The daptomycin of claim 62, wherein the purity is at least 95%.

Please enter the following new claims.

- 64. (New) The composition of claim 58 wherein impurity 1 is present in an amount no more than about 1%.
- 65. (New) The composition of claim 58 wherein impurity 2 is present in an amount no more than about 0.5%.
- 66. (New) The composition of claim 58 wherein impurity 3 is present in an amount no more than about 1%.
- 67. (New) The composition of claim 58 wherein impurity 4 is present in an amount no more than about 0.5%.
- 68. (New) The composition of claim 58 wherein impurity 5 is present in an amount no more than about 0.5%.
- 69. (New) The composition of claim 58 wherein impurity 6 is present in an amount no more than about 1%.
- 70. (New) The composition of claim 58 wherein impurity 7 is present in an amount no more than about 1%.
- 71. (New) The composition of claim 58 wherein impurity 9 is present in an amount no more than about 0.5%.
- 72. (New) The composition of claim 58 wherein impurity 10 is present in an amount no more than about 0.5%.
- 73. (New) The composition of claim 58 wherein impurity 11 is present in an amount no more than about 0.5%.
- 74. (New) The composition of claim 58 wherein impurity 12 is present in an amount no more than about 0.5%.
- 75. (New) The composition of claim 58 wherein impurity 14 is present in an amount no more than about 0.1%.
- 76. (New) The composition of claim 58, wherein the daptomycin is obtained by a process comprising
- a) subjecting a daptomycin solution to conditions forming a daptomycin aggregate;

b)separating the daptomycin aggregate from low molecular weight

contaminants;

- c) subjecting the daptomycin aggregate to conditions in which the daptomycin aggregate dissociate into daptomycin monomers.
- 77. (New) The composition of claim 76, wherein the daptomycin aggregate of step b) is separated from the low molecular weight contaminants by a size selection technique.
- 78. (New) The composition of claim 77, wherein the size selection technique is ultrafiltration or size exclusion chromatography.
- 79. (New) The composition of claim 78 further comprising separating the daptomycin monomers obtained from step c) from high molecular weight contaminants.
- 80. (New) The composition of claim 79, wherein the daptomycin monomers of are separated from the high molecular weight contaminants by a size selection technique.
- 81. (New) The composition of claim 80, wherein the size selection technique is ultrafiltration or size exclusion chromatography.
- 82 (New) The composition of claim 58, wherein the daptomycin is obtained by a process comprising
  - a) separating daptomycin from high molecular weight contaminants;
- b) subjecting the daptomycin of step a) to conditions forming a daptomycin aggregate; and
- c) separating the daptomycin aggregate from low molecular weight contaminants.
- 83. (New) The composition of claim 82, wherein the daptomycin aggregate of step c) is separated from the low molecular weight contaminants by a size selection technique.
- 84. (New) The composition of claim 83, wherein the size selection technique is ultrafiltration or size exclusion chromatography.
- 85 (New) The composition of claim 84, further comprising subjecting the daptomycin aggregate of step c) to conditions in which the daptomycin aggregate dissociates into daptomycin monomers.

- 86. (New)The composition of claim 76, wherein the aggregate is a micelle.
- 87. (New)The composition of claim 82, wherein the aggregate is a micelle.
- 88. (New) The composition of claim 58, wherein the daptomycin is obtained by a process comprising
- a) subjecting a daptomycin solution to conditions forming a daptomycin aggregate;
- b) filtering the daptomycin aggregate under conditions in which the daptomycin aggregate is retained on the filter; and
  - c) collecting the daptomycin aggregate.
- 89. (New) The composition of claim 82, wherein the aggregate is a micelle.
- 90 (New) The composition of claim 58, wherein the daptomycin is obtained by subjecting a daptomycin solution to conditions effective to forming micelles.
- 91. (New) The composition of claim 58, wherein the daptomycin is obtained by adjusting any one or more of temperature, salt concentration, daptomycin concentration, and pH of the solution to cause a concentration of the daptomycin in the solution to be above a critical micelle concentration.
- 92. (New) The composition of claim 58, wherein the daptomycin is obtained by subjecting a daptomycin solution to a pH of 2.5 to 5.0.
- 93. (New) The composition of claim 58, wherein the daptomycin is obtained by a process comprising:
  - a) adjusting the pH of a daptomycin preparation to a pH of 2.5 to 5.0;
- b) filtering the daptomycin preparation of step a) on an ultrafiltration membrane;
  - c) adjusting the pH of the daptomycin preparation of step b) to a pH of 6.5.
- 94.(New) The composition of claim 93, wherein the daptomycin preparation of step a) is at a pH of 2.5 to 4.7, and the preparation further comprises 300 to 500 mM NaCl and is at a temperature of 2-15 degrees C.
- 95 (New) A composition of claim 62, wherein the daptomycin is obtained by a process comprising

- a) subjecting a daptomycin solution to conditions forming a daptomycin aggregate;
- b) separating the daptomycin aggregate from low molecular weight contaminants;
- c) subjecting the daptomycin aggregate to conditions in which the daptomycin aggregate dissociate into daptomycin monomers.
- 96. (New) The composition of claim 95, wherein the daptomycin aggregate of step b) is separated from the low molecular weight contaminants by a size selection technique.
- 97. (New) The composition of claim 96, wherein the size selection technique is ultrafiltration or size exclusion chromatography.
- 98. (New) The composition of claim 97 further comprising separating the daptomycin monomers obtained from step c) from high molecular weight contaminants.
- 99. (New) The composition of claim 98, wherein the daptomycin monomers are separated from the high molecular weight contaminants by a size selection technique.
- 100. (New) The composition of claim 99, wherein the size selection technique is ultrafiltration or size exclusion chromatography.
- 101 (New) The composition of claim 62, wherein the daptomycin is obtained by a process comprising
  - a) separating daptomycin from high molecular weight contaminants.
- b) subjecting the daptomycin of step a) to conditions forming a daptomycin aggregate; and
- c) separating the daptomycin aggregate from low molecular weight contaminants.
- 102. (New) The composition of claim 101, wherein the daptomycin aggregate of step c) is separated from the low molecular weight contaminants by a size selection technique.
- 103. (New) The composition of claim 102, wherein the size selection technique is ultrafiltration or size exclusion chromatography.

- 104. (New) The composition of claim 103, further comprising subjecting the daptomycin aggregate of step c) to conditions in which the daptomycin aggregate dissociates into daptomycin monomers.
- 105. (New) The composition of claim 95, wherein the aggregate is a micelle.
- 106. (New) The composition of claim 101, wherein the aggregate is a micelle.
- 107. (New) The composition of claim 62, wherein the daptomycin is obtained by a process comprising
- a) subjecting a daptomycin solution to conditions forming a daptomycin aggregate;
- b) filtering the daptomycin aggregate under conditions in which the daptomycin aggregate is retained on the filter; and
  - c) collecting the daptomycin aggregate.
- 108. (New) The composition of claim 101, wherein the aggregate is a micelle.
- 109. (New) The composition of claim 62, wherein the daptomycin is obtained by subjecting a daptomycin solution to conditions effective to forming micelles.
- 110. (New) The composition of claim 62, wherein the daptomycin is obtained by adjusting any one or more of temperature, salt concentration, daptomycin concentration, and pH of the solution to cause a concentration of the daptomycin in the solution to be above a critical micelle concentration.
- 111. (New) The composition of claim 62, wherein the daptomycin is obtained by subjecting a daptomycin solution to a pH of 2.5 to 5.0.
- 112. (New) The composition of claim 62, wherein the daptomycin is obtained by a process comprising:
  - a) adjusting the pH of a daptomycin preparation to a pH of 2.5 to 5.0;
- b) filtering the daptomycin preparation of step a) on an ultrafiltration membrane;
  - c) adjusting the pH of the daptomycin preparation of step b) to a pH of 6.5.

- 113. (New) The composition of claim 112, wherein the daptomycin preparation of step a) is at a pH of 2.5 to 4.7, and the preparation further comprises 300 to 500 mM NaCl and is at a temperature of 2-15 degrees C.
- 114. (New) The composition of claim 58 wherein the daptomycin is obtained by a process comprising:
- a) subjecting a solution of daptomycin to anion exchange chromatography to obtain an enriched daptomycin preparation;
- b) subjecting the enriched daptomycin preparation to hydrophobic interaction chromatography to obtain a semi-purified daptomycin preparation; and
- c) subjecting the semi-purified daptomycin preparation to anion exchange chromatography.
  - 115. (New) A composition comprising
  - (a) essentially pure daptomycin,
- (b) daptomycin that is substantially free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin,
- (c) daptomycin that is essentially free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin,
- (d) daptomycin that is free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin,
- (e) daptomycin that is substantially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12, or
- (f) daptomycin that is essentially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12.
- 116. (New) The composition of claim 62 wherein impurity 1 is present in an amount no more than about 1%.
- 117. (New) The composition of claim 62 wherein impurity 2 is present in an amount no more than about 0.5%.
- 118. (New) The composition of claim 62 wherein impurity 3 is present in an amount no more than about 1%.
  - 119. (New) The composition of claim 62 wherein impurity 4 is present in

an amount no more than about 0.5%.

- 120. (New) The composition of claim 62 wherein impurity 5 is present in an amount no more than about 0.5%.
- 121. (New) The composition of claim 62 wherein impurity 6 is present in an amount no more than about 1%.
- 122. (New) The composition of claim 62 wherein impurity 7 is present in an amount no more than about 1%.
- 123. (New) The composition of claim 62 wherein impurity 9 is present in an amount no more than about 0.5%.
- 124. (New) The composition of claim 62 wherein impurity 10 is present in an amount no more than about 0.5%.
- 125. (New) The composition of claim 62 wherein impurity 11 is present in an amount no more than about 0.5%.
- 126. (New) The composition of claim 62 wherein impurity 12 is present in an amount no more than about 0.5%.
- 127. (New) The composition of claim 62 wherein impurity 14 is present in an amount no more than about 0.1%.
- 128. (New) The composition of claim 62 wherein the daptomycin is obtained by a process comprising:
- a) subjecting a solution of daptomycin to anion exchange chromatography to obtain an enriched daptomycin preparation;
- b) subjecting the enriched daptomycin preparation to hydrophobic interaction chromatography to obtain a semi-purified daptomycin preparation; and
- c) subjecting the semi-purified daptomycin preparation to anion exchange chromatography.
- 129. (New) The composition of claim 63, wherein the daptomycin is obtained by a process comprising
- a) subjecting a daptomycin solution to conditions forming a daptomycin aggregate;

b)separating the daptomycin aggregate from low molecular weight

contaminants;

- c) subjecting the daptomycin aggregate to conditions in which the daptomycin aggregate dissociate into daptomycin monomers.
- 130. (New) The composition of claim 129, wherein the daptomycin aggregate of step b) is separated from the low molecular weight contaminants by a size selection technique.
- 131. (New) The composition of claim 130, wherein the size selection technique is ultrafiltration or size exclusion chromatography.
- 132. (New) The composition of claim 131 further comprising separating the daptomycin monomers obtained from step c) from high molecular weight contaminants.
- 133. (New) The composition of claim 132, wherein the daptomycin monomers of are separated from the high molecular weight contaminants by a size selection technique.
- 134. (New) The composition of claim 133, wherein the size selection technique is ultrafiltration or size exclusion chromatography.
- 135. (New) The composition of claim 63, wherein the daptomycin is obtained by a process comprising
  - a) separating daptomycin from high molecular weight contaminants.
- b) subjecting the daptomycin of step a) to conditions forming a daptomycin aggregate; and
- c)separating the daptomycin aggregate from low molecular weight contaminants.
- 136. (New) The composition of claim 135, wherein the daptomycin aggregate of step c) is separated from the low molecular weight contaminants by a size selection technique.
- 137. (New) The composition of claim 136, wherein the size selection technique is ultrafiltration or size exclusion chromatography.
- 138 (New) The composition of claim 137, further comprising subjecting the daptomycin aggregate of step c) to conditions in which the daptomycin aggregate

dissociates into daptomycin monomers.

- 139. (New) The composition of claim 129, wherein the aggregate is a micelle.
- 140. (New) The composition of claim 135, wherein the aggregate is a micelle.
- 141. (New) The composition of claim 63, wherein the daptomycin is obtained by a process comprising
- a) subjecting a daptomycin solution to conditions forming a daptomycin aggregate;
- b) filtering the daptomycin aggregate under conditions in which the daptomycin aggregate is retained on the filter; and
  - c) collecting the daptomycin aggregate.
- 142. (New) The composition of claim 135, wherein the aggregate is a micelle.
- 143 (New) The composition of claim 63, wherein the daptomycin is obtained by subjecting a daptomycin solution to conditions effective to forming micelles.
- 144. (New) The composition of claim 63, wherein the daptomycin is obtained by adjusting any one or more of temperature, salt concentration, daptomycin concentration, and pH of the solution to cause a concentration of the daptomycin in the solution to be above a critical micelle concentration.
- 145. (New) The composition of claim 63, wherein the daptomycin is obtained by subjecting a daptomycin solution to a pH of 2.5 to 5.0.
- 146. (New) The composition of claim 63, wherein the daptomycin is obtained by a process comprising:
  - a) adjusting the pH of a daptomycin preparation to a pH of 2.5 to 5.0;
- b) filtering the daptomycin preparation of step a) on an ultrafiltration membrane;
  - c) adjusting the pH of the daptomycin preparation of step b) to a pH of 6.5.
- 147. (New) The composition of claim 146, wherein the daptomycin preparation of step a) is at a pH of 2.5 to 4.7, and the preparation further comprises 300 to

500 mM NaCl and is at a temperature of 2-15 degrees C.

- 148. (New) The composition of claim 63 wherein the daptomycin is obtained by a process comprising:
- a) subjecting a solution of daptomycin to anion exchange chromatography to obtain an enriched daptomycin preparation;
- b) subjecting the enriched daptomycin preparation to hydrophobic interaction chromatography to obtain a semi-purified daptomycin preparation; and
- c) subjecting the semi-purified daptomycin preparation to anion exchange chromatography.
- 149. (New) The composition of claim 63 wherein impurity 1 is present in an amount no more than about 1%.
- 150. (New) The composition of claim 63 wherein impurity 2 is present in an amount no more than about 0.5%.
- 151. (New) The composition of claim 63 wherein impurity 3 is present in an amount no more than about 1%.
- 152. (New) The composition of claim 63 wherein impurity 4 is present in an amount no more than about 0.5%.
- 153. (New) The composition of claim 63 wherein impurity 5 is present in an amount no more than about 0.5%.
- 154. (New) The composition of claim 63 wherein impurity 6 is present in an amount no more than about 1%.
- 155. (New) The composition of claim 63 wherein impurity 7 is present in an amount no more than about 1%.
- 156. (New) The composition of claim 63 wherein impurity 9 is present in an amount no more than about 0.5%.
- 157. (New) The composition of claim 63 wherein impurity 10 is present in an amount no more than about 0.5%.
- 158. (New) The composition of claim 63 wherein impurity 11 is present in an amount no more than about 0.5%.
  - 159. (New) The composition of claim 63 wherein impurity 12 is present in

an amount no more than about 0.5%.

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160. (New) The composition of claim 63 wherein impurity 14 is present in an amount no more than about 0.1%.

### **REMARKS**

### Amendments to the Claims

Claims 1-29, 31-36, 38-44, 46-52, 54-57, and 58-63 were pending in the present application. Applicants have added new claims 64-160. Support for claims 64-160 can be found throughout the specification. For example, support for claims 64-75, 116-127, and 149-160 can be found in Table 3, paragraph 179 and support for claims 76-113 and 129-147 can be found in paragraphs 99-128.

Applicants have amended claims 2, 3, 6, 8, 9, 11, 47-52, 58, 59, and 61. Support for these amended claims can be found throughout the specification.

Applicants have canceled claims 46 and 57 without prejudice or disclaimer to Applicants' rights to pursue the same or similar subject matter in the future.

No new matter is introduced by the claim amendments.

Accordingly, upon entry of the instant amendments, claims 1-29, 31-36, 38-44, 47-52, 54-56, 58-160 will be pending in this application.

### The Pending Claims are Allowable

Applicants have elected to amend the claims to cover subject matter the Office Action indicates to be allowable. In particular, the Office Action at page 8 reads:

Claims 2-7, 31-36, 39-44, 47-52, 59, and 61-63 are objected to because the claims are dependent from a rejected claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

In response, claims 2, 3, and 6 have been rewritten in independent form to place them in condition for allowance. Therefore, claims 2, 3, 6 - along with the dependent claims 4, 5 and 7 – are in condition for allowance.

Claim 62 is written in independent form, and is not rejected in the Office Action. Dependent claims 9 and 38 are amended to depend from independent claim 62. As amended, dependent claims 31-36, 39-44, and 47-52 now depend from either amended claim 9 or amended claim 38, Applicants respectfully request allowance of claims 62, 9, 38, 31-36, 39-44, and 47-52.

Claim 58 is amended to cover subject matter indicated as allowable in the Office Aciton. Claims 60-61 depend on amended claim 58. Accordingly, Applicants request allowance of claims 58-61.

Notwithstanding, Applicants traverse rejections of the claims. Claims 1, 8-29, 38, 46, 54-58 and 60 stand rejected under 35 U.S.C. § 102(e) as anticipated by Baker *et al.* (US RE39,071 E, hereafter <u>Baker</u>), and claims 1, 8-9, 46, 54-55, 57, 58 and 60 stand rejected under the doctrine of obviousness-type double patenting over claims 18-20, 26, 28 and 29 of <u>Baker</u>. Claims 2-7, 31-36, 39-44, 47-52, 59 and 61-63 are objected to. Notwithstanding the amendments herein, Applicants respectfully overcome and traverse the rejections for the reasons set forth below.

## I. Acknowledgement of Withdrawal of Rejection under 35 U.S.C.§ 103(a)

Applicants acknowledge with thanks the withdrawal of the rejection of the claims under 35 U.S.C. § 103(a).

### II. Baker Does Not Anticipate Under 35 U.S.C § 102(e)

The Office Action reads: "the rejection of claim 1(g) and its dependent claims are maintained" and cites Example 3 of Baker as the grounds for rejection.

"In order to render a determination of anticipation under 35 U.S.C. 102, the reference must teach every aspect of the claimed invention either explicitly or impliedly. Any feature not directly taught must be inherently present." MPEP 706.02 V. "To serve as an anticipation when the reference is silent about the asserted inherent characteristic [i.e. one not directly taught], such gap in the reference may be filled with recourse to extrinsic evidence. Such evidence must make clear that the missing descriptive matter is necessarily present in the thing described in the reference, and that it would be so recognized by persons of ordinary skill." *Continental Can Co. USA v. Monsanto Co.*, 948 F.2d 1264, 1268, 20 USPQ2d 1746, 1749 (Fed. Cir. 1991).

# A. <u>Baker Does Not Expressly Or Inherently Include All of the Limitations of the Present Claims</u>

1. <u>Baker Uses a Different Daptomycin Purity Than the Present Application</u>

Although the Office Action posits that the substantially pure daptomycin in <u>Baker</u> "reads that the daptomycin has more than 97.5% purity," the purity of daptomycin in <u>Baker</u> can only be interpreted as defined by <u>Baker</u>. In <u>Baker</u>, "substantially pure form means that LY146032 contains less than 2.5 percent of a combined total of anhydro-LY146032 and isomer-LY146032." Col. 8, ll. 55-57. <u>Baker</u> can be interpreted to read that there is 97.5% of daptomycin over a daptomycin plus anhydro-daptomycin ("A") plus beta isomer daptomycin ("B") composition.

The present application describes daptomycin purity relative to daptomycin plus anhydro daptomycin (impurity No. 13) plus beta-isomer (impurity No. 8) **plus 12 other impurities** (impurities 1-7, 9-12 and 14) (the fourteen daptomycin impurities) as described in Table 3 of the specification. Therefore, it is consistent to say that, as described in detail below in II.A.2, the <u>Baker</u> methods yield at best about 93% pure daptomycin measured under the current application while it yields 97.5% purity under its own teachings.

Accordingly, <u>Baker</u> uses a different purity and does not teach purity over the fourteen daptomycin impurities.

2. Evidence of Inherency and/or Official Notice of Facts To Support The Inherency of the Present Claims Have Not Been Provided

"In relying upon the theory of inherency, the examiner must provide a basis in fact and/or technical reasoning to reasonably support the determination that the allegedly inherent characteristic necessarily flows from the teachings of the applied prior art." Ex parte Levy, 17 USPQ2d 1461, 1464 (Bd. Pat. App. & Inter. 1990) (emphasis in original). Any suggestion that the daptomycin purity of <u>Baker</u> inherently anticipates the purity presently claimed requires a citation to some extrinsic evidence from which the suggestion necessarily follows. No citation has been made to any extrinsic support for

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any purity different from that explained above.

If the Examiner were to rely upon facts relating to the purity of the daptomycin compositions taught in <u>Baker</u> without documentary evidence, such official notice is not appropriate as a basis for asserting that Baker inherently discloses the claimed daptomycin compositions:

[o]fficial notice without documentary evidence to support an examiner's conclusion is permissible only in some circumstances....Official notice unsupported by documentary evidence should only be taken by the examiner where the facts asserted to be well-known, or to be common knowledge in the art are capable of instant and unquestionable demonstration as being well-known (MPEP 2144.03).

Applicants submit that the inherent characteristics of the teachings of <u>Baker</u>, particularly vis-à-vis the twelve other daptomycin impurities, are not well known or common knowledge capable for instant and unquestionable demonstration.

# A. The Present Claims, When Properly Interpreted, Are Not Anticipated by Baker

The Office Action reads:

Baker et al discloses a composition or pharmaceutical composition comprising substantially pure daptomycin, which meets the criteria of claim 1(a)-1(d) and 1(g), and its dependent claims because the term "comprising" indicates the composition can contain something else besides substantially or essentially pure daptomycin (Office Action at pages 3-4, emphasis in original).

While "comprising" is open-ended, it is not so open as to vitiate the claim limitation of the <u>daptomycin</u>. In particular, the "something else" that the Office Action asserts is within claimed inventions using "comprising" cannot alter the claimed <u>daptomycin</u> to not have the daptomycin purity of the claim. A proper use of comprising would maintain the existing purity limitations to the extent claimed and not attempt to read the limitation out of the claim. By adding "something else," the claims were interpreted in a manner inconsistent with both the specification and their plain meaning. This interpretation is not permitted:

Indeed, the rules of the PTO require that application claims must "conform to the invention as set forth in the remainder of the specification and the terms and phrases used in the claims must find clear support or antecedent basis in the description so that the meaning of the terms in the claims may be ascertainable by reference to the description." 37 CFR 1.75(d)(1)... The words of a claim must be given their "plain meaning"... (MPEP 2111, 2111.01).

Based on the plain meaning of the claims, the <u>daptomycin within the composition</u> -- not necessarily the entire composition itself -- must be interpreted to retain the claimed characteristics. Therefore, Baker does not meet the criteria of claim 1(a)-1(d) and 1(g), and its dependent claims because the term "comprising" cannot eliminate the claimed purity.

# B. Request for Reconsideration and Withdrawal Of Rejection Under 35 U.S.C. § 102(e)

Baker does not anticipate claims 1 and 54 under 35 U.S.C § 102(e) when the claims are properly interpreted primarily because: (1) <u>Baker</u> teaches a different measurement of purity which does not consider the fourteen daptomycin impurities and (2) <u>Baker</u> had at best about 93% pure daptomycin when including the twelve additional daptomycin impurities. Therefore the rejection is traversed. Accordingly, Applicant respectfully requests withdrawal of the rejection under 35 U.S.C. § 102(e) and reconsideration and allowance of all pending claims.

### C. New Claims 64-160 Depend from Objected to Claims

New claims 64-160 depend from claims directed to subject matter merely objected to solely for depending from an otherwise rejected claim and thus should be allowed.

## III. <u>Product-by-Process Claim Interpretation</u>

The Office Action reads that claims 11-29 are not patentable because the product-by-process claims are limited by and defined by the process, determination of patentability is based on the product itself, and the patentability of a product does not depend on its method of production. As this basis for rejection is overcome in the present claims, Applicants reserve the right to later challenge the different interpretations applied

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to product-by-process claims.

# IV. Obviousness-Type Double Patenting

The Office Action reads that claims 1, 8-9, 46, 54-55, 57, 58 and 60 are rejected over claims 18-20, 26, 28 and 29 of <u>Baker</u>. Applicants reserve their right to file a terminal disclaimer upon an indication of allowance of these claims over <u>Baker</u> under 35 U.S.C. § 102(e) as requested above or to cancel such claims in a further amendment.

# CONCLUSION

For the reasons presented above, Applicant respectfully requests reconsideration and prompt allowance of all pending claims. A Petition for Extension of Time is enclosed. In the absence of such a petition, Applicants request that this paper be considered to include such a Petition for a Three (3) Month Extension of Time. Please deduct the petition fee and apply any other charges or credits required for entry of this amendment to Deposit Account No.50-1986, referencing attorney docket number C062-02/03 US. No authorization is given to deduct the issue fee at this time.

Respectfully submitted,

Date: September 22, 2010 Cubist Pharmaceuticals, Inc. 65 Hayden Avenue Lexington, Massachusetts 02421

Tel.: (781) 860-8660 Fax: (781) 860-1407

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William D. DeVaul, Reg. No. 42,483 Attorney for Applicants

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 11/739,180 Confirmation No. 8837

Applicant : Thomas J. Kelleher

Filed : April 24, 2007

TC/A.U. : 1656

Examiner : Chih-Min Kam

Docket No. : C062-02/03 US

Customer No.: 34103

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313

### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. §§ 1.56, 1.97(c) and 1.98, applicants make of record the following documents which are listed on the enclosed Form PTO/SB/08a. Copies of the following document(s) are enclosed herewith:

Molloy, M. et al., Abstract, "Structure & Anhydro-Daptomycin and Iso-Daptomycin," ACS 200th Meeting, 1990.

Molloy, M. et al., Poster, "Structure & Anhydro-Daptomycin and Iso-Daptomycin," ACS 200th Meeting, 1990.

### **REMARKS**

Applicants have submitted an abstract and a poster.

Applicants request that the cited documents be fully considered by the Examiner during the course of examination of this application and that a copy of Form PTO/SB/08a, as considered, initialed, and signed by the Examiner, be returned with the next communication.

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No fee is believed to be due in connection with this filing, however, please apply any other charges or credits to Deposit Account No. 50-1986, referencing attorney docket number C062-02/03 US.

Respectfully submitted,

Dated: September 22, 2010
Customer No.: 34103
Cubist Pharmaceuticals, Inc.
65 Hayden Avenue
Lexington, Massachusetts 02421

Tel.: (781) 860-8660 Fax: (781) 860-1407

C062-02-03 US 20100115 Suppl IDS letter

/William D. DeVaul/ William D. DeVaul, Reg. No. 42,483 Attorney for Applicants Index the Panerwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMR control number.

	Substitute for form 1449/PTO			Complete if Known				
Gubolitui	10 10 10 11 17 10 17 10			Application Number	11739180			
			CLOSURE	Filing Date	2007-04-24			
STATEMENT BY APPLICANT				First Named Inventor	Kelleher, Thomas J.			
	(Use as many she	ets as n	ecessary)	Art Unit	1656			
(ose as many sneets as necessary)				Examiner Name	Chih-Min Kam			
Sheet	1	of	1	Attorney Docket Number	C062-02/03 US			

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		Molloy, M. et al., Abstract, "Structure & Anhydro-Daptomycin and Iso-Daptomycin," ACS 200th Meeting, 1990.	
		Molloy, M. et al., Poster, "Structure & Anhydro-Daptomycin and Iso-Daptomycin," ACS 200th Meeting, 1990.	

Examiner	Date	
Signature	Considered	

Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO**:

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The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
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Doc Code: TRAN.LET

Document Description: Transmittal Letter

PTO/SB/21 (07-09)

1 10/01	3/21 (01-03)
Approved for use through 07/31/2012. OME	3 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF C	OMMERCE
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		Application Number	11739180	)		
TRANSMITTAL		Filing Date	2007-04-2	2007-04-24		
FORM		First Named Inventor	Kelleher,	Thomas J.		
		Art Unit	1656			
(to be used for all correspondence after i	Examiner Name	Chih-Min	Chih-Min Kam			
Total Number of Pages in This Submissio	26	Attorney Docket Number	C062-02/0	03 US		
	•	OSURES (Check a	II that apply			
Fee Transmittal Form Fee Attached  Amendment/Reply After Final Affidavits/declaration(s)  Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority		Drawing(s) Licensing-related Papers Petition Petition to Convert to a Provisional Application Power of Attorney, Revocat Change of Correspondence Ferminal Disclaimer Request for Refund CD, Number of CD(s) Landscape Table on C	ion Address		Appea of App Appea (Appea Proprie	Enclosure(s) (please Identify
Certified Copy of Priority Document(s)  Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53  SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT						
Firm Name						
Cubist Pharmaceutica	us, ITIC.					
/William D. DeVaul/						
Printed name William D. DeVaul						
Date September 22, 2010		Reg. No.	42,483			
CERTIFICATE OF TRANSMISSION/MAILING  I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:						
Signature						
Typed or printed name					Date	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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Electronic Patent	t App	lication Fee	Transmi	ttal				
Application Number:	117	739180						
Filing Date:	24-	24-Apr-2007						
Title of Invention:	High Purity Lipopeptides							
First Named Inventor/Applicant Name:	The	Thomas Kelleher						
Filer:	Wil	liam D. DeVaul						
Attorney Docket Number:	CO	52-02/03 US						
Filed as Small Entity	•							
Utility under 35 USC 111(a) Filing Fees								
Description		Fee Code	Quantity	Amount	Sub-Total ir USD(\$)			
Basic Filing:								
Pages:								
Claims:								
Claims in excess of 20		2202	135	26	3510			
Independent claims in excess of 3		2201	4	110	440			
Multiple dependent claims		2203	1	195	195			
Miscellaneous-Filing:								
Petition:								

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)				
Post-Allowance-and-Post-Issuance:								
Extension-of-Time:								
Extension - 3 months with \$0 paid	2253	1	555	555				
Miscellaneous:								
Total in USD (\$) 47								

Electronic Ac	Electronic Acknowledgement Receipt						
EFS ID:	8478370						
Application Number:	11739180						
International Application Number:							
Confirmation Number:	8837						
Title of Invention:	High Purity Lipopeptides						
First Named Inventor/Applicant Name:	Thomas Kelleher						
Customer Number:	34103						
Filer:	William D. DeVaul						
Filer Authorized By:							
Attorney Docket Number:	C062-02/03 US						
Receipt Date:	22-SEP-2010						
Filing Date:	24-APR-2007						
Time Stamp:	21:35:01						
Application Type:	Utility under 35 USC 111(a)						

# **Payment information:**

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$4700
RAM confirmation Number	7645
Deposit Account	501986
Authorized User	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

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Charge any Additional Fees required under 37 C.F.R. Section 1.21 (Miscellaneous fees and charges)

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)	
1	Extension of Time	C062-02-03_US_20100922_Peti	331536	no	2	
		Extension of Time tion_Ext.pdf  d406943cde79d4a9a50de895a52e5de 047d3				
Warnings:						
Information:			· · · · · · · · · · · · · · · · · · ·	<del></del>		
2	Amendment/Req. Reconsideration-After Non-Final Reject	C062-02-03_US_20100922_Res ponse.pdf	94774	no	25	
	Non-rinarricycet	рописьран	1c8320110c98fe2e0a4cb5e0b805df08c05b 9bc2			
Warnings:						
Information:						
3	Transmittal Letter	C062-02-03_US_2010922_Supp I_IDS_Ltr.pdf	16160	no	2	
		1_1D3_Etr.pa1	ee73ac7fe4fed3a95da6886092dc6c6d0742 8741			
Warnings:						
Information:						
4	Information Disclosure Statement (IDS)	C062-02-03_US_20100922_IDS.	338885	no	2	
	Filed (SB/08)	pdf	25e2d77cb29229fe553c3d29b23fe9ffec37 b062			
Warnings:						
Information:						
This is not an U	SPTO supplied IDS fillable form					
5	NPL Documents	Molloy_Abstract.pdf	227387	no	2	
-			7ceb2c2b4777618649e781f7efad4fb629f5 4651			
Warnings:						
Information:						
6	NPL Documents	Debono_Poster_2.pdf	270775	no	3	
			fa251b7ca61f3fc4a755dc18f439f3b5f19a7c d4			
Warnings:						
Information:						
7	Miscellaneous Incoming Letter	C062-02-03_US_20100922_Tra	68443	no	2	
,	Miscellaneous incoming Letter	nsm.pdf	311303503d6aa9a0f6e6061d5a69c330102 14de8	no	2	
Warnings:	<u>'</u>			!		
Information:						
8	Fee Worksheet (PTO-875)	fee-info.pdf	36799	no	2	
	1 cc 11 of Karleet (1 10-07 a)	ree iiio.pai	19a6dc29da6841ba08ca8f11e302d43738d 5f357	110		
				I		

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

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PF	TITION	FOR EXTENSION OF TIME UNDER	37 CFR 1 136(a)	Docket Number (Optional)				
. –		FY 2009 pursuant to the Consolidated Appropriations Act,	C062-02/03 US	,				
App	•	Number 11/739,180		Filed April 24, 200	7			
For	For HIGH PURITY LIPOPEPTIDES							
Art	Art Unit 1656 Examiner Chih-Min Kam							
	s is a req lication.	uest under the provisions of 37 CFR 1.13	6(a) to extend the peri	iod for filing a reply in th	ne above identified			
The	request	ed extension and fee are as follows (chec	k time period desired	and enter the appropria	ate fee below):			
			<u>Fee</u>	Small Entity Fee				
		One month (37 CFR 1.17(a)(1))	\$130	\$65	\$			
		Two months (37 CFR 1.17(a)(2))	\$490	\$245	\$			
	V	Three months (37 CFR 1.17(a)(3))	\$1110	\$555	\$ <u>555.00</u>			
		Four months (37 CFR 1.17(a)(4))	\$1730	\$865	\$			
		Five months (37 CFR 1.17(a)(5))	\$2350	\$1175	\$			
	Applica	nt claims small entity status. See 37 CFR	1.27.					
	A chec	k in the amount of the fee is enclosed	I.					
	Payme	nt by credit card. Form PTO-2038 is a	attached.					
V	The Di	rector has already been authorized to	charge fees in this	application to a Depo	osit Account.			
		rector is hereby authorized to charge it Account Number	any fees which may	be required, or cred	it any overpayment, to			
	WARNIN Provide	IG: Information on this form may become p credit card information and authorization o	ublic. Credit card inforr n PTO-2038.	nation should not be inc	luded on this form.			
Ιa	m the	applicant/inventor.						
		assignee of record of the entir						
		Statement under 37 CFR 3  attorney or agent of record. Re		•				
		attorney or agent under 37 CE	D 1 3/					
	/Millian	Registration number if acting under n D. DeVaul/	er 37 CFR 1.34	September 2	2 2010			
	/ willian	Signature						
	William	D. DeVaul		781-860-8559				
		Typed or printed name		— Telepi	hone Number			
		res of all the inventors or assignees of record of the el	ntire interest or their represe	ntative(s) are required. Submi	it multiple forms if more than one			
signa	ture is requ Total	uired, see below. of <u>1</u> forms a	re submitted.					

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P	PATENT APPLICATION FEE DETERMINATION RECORI Substitute for Form PTO-875					Δ	Application or Docket Number Filing Da 11/739,180 04/24/20				To be Mailed
	AI	PPLICATION A	AS FILE		(Column 2)		SMALL	ENTITY 🛛	OR		HER THAN ALL ENTITY
	FOR	NI	JMBER FIL	<del></del>	JMBER EXTRA		RATE (\$)	FEE (\$)		RATE (\$)	FEE (\$)
	BASIC FEE (37 CFR 1.16(a), (b),	or (c))	N/A		N/A		N/A		1	N/A	
	SEARCH FEE (37 CFR 1.16(k), (i), (i)		N/A		N/A		N/A		1	N/A	
	EXAMINATION FE (37 CFR 1.16(o), (p),	ΞE	N/A		N/A		N/A		1	N/A	
	ΓAL CLAIMS CFR 1.16(i))		mir	nus 20 = *			x \$ =		OR	x \$ =	
IND	EPENDENT CLAIM CFR 1.16(h))	IS	m	inus 3 = *		1	x \$ =		1	x \$ =	
	APPLICATION SIZE (37 CFR 1.16(s))	shee is \$2 addit	ts of pape 50 (\$125 ional 50 s	ation and drawir er, the application for small entity sheets or fraction a)(1)(G) and 37	) for each on thereof. See						
$\Box$	MULTIPLE DEPEN	NDENT CLAIM PR	ESENT (3	7 CFR 1.16(j))					l		
* If t	the difference in colu	umn 1 is less than	zero, ente	r "0" in column 2.			TOTAL			TOTAL	
	APP	(Column 1)	AMEND	DED – PART I (Column 2)	(Column 3)		SMAL	L ENTITY	OR		ER THAN ALL ENTITY
:NT	09/22/2010	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA		RATE (\$)	ADDITIONAL FEE (\$)		RATE (\$)	ADDITIONAL FEE (\$)
AMENDMENT	Total (37 CFR 1.16(i))	* 194	Minus	** 59	= 135		X \$26 =	3510	OR	x \$ =	
	Independent (37 CFR 1.16(h))	* 7	Minus	***3	= 4		X \$110 =	440	OR	x \$ =	
٩ME	Application S	ize Fee (37 CFR 1	.16(s))								
Ì	FIRST PRESEN	NTATION OF MULTIF	PLE DEPEN	DENT CLAIM (37 CF	FR 1.16(j))			195	OR		
							TOTAL ADD'L FEE	4145	OR	TOTAL ADD'L FEE	
		(Column 1)		(Column 2)	(Column 3)						
		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA		RATE (\$)	ADDITIONAL FEE (\$)		RATE (\$)	ADDITIONAL FEE (\$)
Ľ E	Total (37 CFR 1.16(i))	*	Minus	**	=		x \$ =		OR	x \$ =	
AMENDMENT	Independent (37 CFR 1.16(h))	*	Minus	***	=		x \$ =		OR	x \$ =	
ĘN	Application S	ize Fee (37 CFR 1	.16(s))								
ΑN	FIRST PRESEN	NTATION OF MULTIF	PLE DEPEN	DENT CLAIM (37 CF	FR 1.16(j))				OR		
						• '	TOTAL ADD'L FEE		OR	TOTAL ADD'L FEE	
** If	the entry in column the "Highest Numb f the "Highest Numb "Highest Number P	er Previously Paid oer Previously Paid	For" IN TH d For" IN T	HIS SPACE is less HIS SPACE is les	s than 20, enter "20' ss than 3, enter "3".		/DORIS	nstrument Ex M. KING/ priate box in colu		er:	

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS

ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
11/739,180	04/24/2007	Thomas Kelleher	C062-02/03 US	8837
	7590 03/22/201 perty Department	EXAMINER		
Cubist Pharmac 65 Hayden Ave	euticals, Inc.	KAM, CHIH MIN		
Lexington, MA		ART UNIT	PAPER NUMBER	
_			1656	
			MAIL DATE	DELIVERY MODE
			03/22/2010	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

		Application No.	Applicant(s)				
Office Action Summary		11/739,180	KELLEHER ET AL.				
		Examiner	Art Unit				
		CHIH-MIN KAM	1656				
Period fo	The MAILING DATE of this communication app or Reply	ears on the cover sheet with the c	orrespondence address				
WHIC - Exter after - If NO - Failu Any r	A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).						
Status							
1) 又	Responsive to communication(s) filed on 13 No.	ovember 2009.					
•	• • • • • • • • • • • • • • • • • • • •	action is non-final.					
<i>'</i> —	Since this application is in condition for allowar		secution as to the merits is				
٥/١	closed in accordance with the practice under E						
		7 pante Quayie, 1000 0.2. 1.1, 10	3 G. <b>G</b> . <b>2</b> . 8.				
Dispositi	on of Claims						
<ul> <li>4) Claim(s) 1-29,31-36,38-44,46-52 and 54-63 is/are pending in the application.</li> <li>4a) Of the above claim(s) is/are withdrawn from consideration.</li> <li>5) Claim(s) is/are allowed.</li> <li>6) Claim(s) 1,8-29,38,46,54-58 and 60 is/are rejected.</li> <li>7) Claim(s) 2-7,31-36,39-44,47-52,59 and 61-63 is/are objected to.</li> <li>8) Claim(s) are subject to restriction and/or election requirement.</li> </ul>							
Applicati	on Papers						
<ul> <li>9) ☐ The specification is objected to by the Examiner.</li> <li>10) ☑ The drawing(s) filed on 24 April 2007 is/are: a) ☑ accepted or b) ☐ objected to by the Examiner.  Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).</li> <li>11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.</li> </ul>							
Priority u	ınder 35 U.S.C. § 119						
<ul> <li>12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).</li> <li>a) All b) Some * c) None of:</li> <li>1. Certified copies of the priority documents have been received.</li> <li>2. Certified copies of the priority documents have been received in Application No</li> <li>3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).</li> <li>* See the attached detailed Office action for a list of the certified copies not received.</li> </ul>							
2) Notic 3) Inform	e of References Cited (PTO-892) e of Draftsperson's Patent Drawing Review (PTO-948) mation Disclosure Statement(s) (PTO/SB/08) r No(s)/Mail Date 11/13/09, 1/15/10.	4) X Interview Summary Paper No(s)/Mail Da 5) Notice of Informal Pa 6) Other:	te. <u>20100222</u> .				

	Application No.	Applicant(s)				
Interview Summary	11/739,180	KELLEHER ET A	AL.			
microlew Gammary	Examiner	Art Unit				
	CHIH-MIN KAM	1656				
All participants (applicant, applicant's representative, PTO personnel):						
(1) <u>CHIH-MIN KAM</u> .	(3) <u>William D DeVaul</u> .					
(2) <u>Jill M. Mandelblatt</u> .	(4)					
Date of Interview: <u>07 January 2010</u> .						
Type: a)⊠ Telephonic b)□ Video Conference c)□ Personal [copy given to: 1)□ applicant 2)□ applicant's representative]						
Exhibit shown or demonstration conducted: d) Yes If Yes, brief description:	e)⊠ No.					
Claim(s) discussed: pending claims.						
Identification of prior art discussed: <u>US RE39,071 E</u> .						
Agreement with respect to the claims f) was reached. g	)⊠ was not reached. h)□ N	I/A.				
Substance of Interview including description of the general nature of what was agreed to if an agreement was reached, or any other comments: <u>Discussing the rejection under 35 USC 102(e)/103(a)</u> , the statement that was added to the specification regarding a joint research agreement and amendment to the claims applicants will file part of joint research agreement and assignment.						
(A fuller description, if necessary, and a copy of the amend allowable, if available, must be attached. Also, where no callowable is available, a summary thereof must be attached	opy of the amendments that w					
THE FORMAL WRITTEN REPLY TO THE LAST OFFICE ACTION MUST INCLUDE THE SUBSTANCE OF THE INTERVIEW. (See MPEP Section 713.04). If a reply to the last Office action has already been filed, APPLICANT IS GIVEN A NON-EXTENDABLE PERIOD OF THE LONGER OF ONE MONTH OR THIRTY DAYS FROM THIS INTERVIEW DATE, OR THE MAILING DATE OF THIS INTERVIEW SUMMARY FORM, WHICHEVER IS LATER, TO FILE A STATEMENT OF THE SUBSTANCE OF THE INTERVIEW. See Summary of Record of Interview requirements on reverse side or on attached sheet.						

U.S. Patent and Trademark Office PTOL-413 (Rev. 04-03)

### **Summary of Record of Interview Requirements**

#### Manual of Patent Examining Procedure (MPEP), Section 713.04, Substance of Interview Must be Made of Record

A complete written statement as to the substance of any face-to-face, video conference, or telephone interview with regard to an application must be made of record in the application whether or not an agreement with the examiner was reached at the interview.

# Title 37 Code of Federal Regulations (CFR) § 1.133 Interviews Paragraph (b)

In every instance where reconsideration is requested in view of an interview with an examiner, a complete written statement of the reasons presented at the interview as warranting favorable action must be filed by the applicant. An interview does not remove the necessity for reply to Office action as specified in §§ 1.111, 1.135. (35 U.S.C. 132)

#### 37 CFR §1.2 Business to be transacted in writing.

All business with the Patent or Trademark Office should be transacted in writing. The personal attendance of applicants or their attorneys or agents at the Patent and Trademark Office is unnecessary. The action of the Patent and Trademark Office will be based exclusively on the written record in the Office. No attention will be paid to any alleged oral promise, stipulation, or understanding in relation to which there is disagreement or doubt.

The action of the Patent and Trademark Office cannot be based exclusively on the written record in the Office if that record is itself incomplete through the failure to record the substance of interviews.

It is the responsibility of the applicant or the attorney or agent to make the substance of an interview of record in the application file, unless the examiner indicates he or she will do so. It is the examiner's responsibility to see that such a record is made and to correct material inaccuracies which bear directly on the question of patentability.

Examiners must complete an Interview Summary Form for each interview held where a matter of substance has been discussed during the interview by checking the appropriate boxes and filling in the blanks. Discussions regarding only procedural matters, directed solely to restriction requirements for which interview recordation is otherwise provided for in Section 812.01 of the Manual of Patent Examining Procedure, or pointing out typographical errors or unreadable script in Office actions or the like, are excluded from the interview recordation procedures below. Where the substance of an interview is completely recorded in an Examiners Amendment, no separate Interview Summary Record is required.

The Interview Summary Form shall be given an appropriate Paper No., placed in the right hand portion of the file, and listed on the "Contents" section of the file wrapper. In a personal interview, a duplicate of the Form is given to the applicant (or attorney or agent) at the conclusion of the interview. In the case of a telephone or video-conference interview, the copy is mailed to the applicant's correspondence address either with or prior to the next official communication. If additional correspondence from the examiner is not likely before an allowance or if other circumstances dictate, the Form should be mailed promptly after the interview rather than with the next official communication.

The Form provides for recordation of the following information:

- Application Number (Series Code and Serial Number)
- Name of applicant
- Name of examiner
- Date of interview
- Type of interview (telephonic, video-conference, or personal)
- Name of participant(s) (applicant, attorney or agent, examiner, other PTO personnel, etc.)
- An indication whether or not an exhibit was shown or a demonstration conducted
- An identification of the specific prior art discussed
- An indication whether an agreement was reached and if so, a description of the general nature of the agreement (may be by
  attachment of a copy of amendments or claims agreed as being allowable). Note: Agreement as to allowability is tentative and does
  not restrict further action by the examiner to the contrary.
- The signature of the examiner who conducted the interview (if Form is not an attachment to a signed Office action)

It is desirable that the examiner orally remind the applicant of his or her obligation to record the substance of the interview of each case. It should be noted, however, that the Interview Summary Form will not normally be considered a complete and proper recordation of the interview unless it includes, or is supplemented by the applicant or the examiner to include, all of the applicable items required below concerning the substance of the interview.

A complete and proper recordation of the substance of any interview should include at least the following applicable items:

- 1) A brief description of the nature of any exhibit shown or any demonstration conducted,
- 2) an identification of the claims discussed,
- 3) an identification of the specific prior art discussed,
- 4) an identification of the principal proposed amendments of a substantive nature discussed, unless these are already described on the Interview Summary Form completed by the Examiner.
- 5) a brief identification of the general thrust of the principal arguments presented to the examiner,
  - (The identification of arguments need not be lengthy or elaborate. A verbatim or highly detailed description of the arguments is not required. The identification of the arguments is sufficient if the general nature or thrust of the principal arguments made to the examiner can be understood in the context of the application file. Of course, the applicant may desire to emphasize and fully describe those arguments which he or she feels were or might be persuasive to the examiner.)
- 6) a general indication of any other pertinent matters discussed, and
- 7) if appropriate, the general results or outcome of the interview unless already described in the Interview Summary Form completed by the examiner.

Examiners are expected to carefully review the applicant's record of the substance of an interview. If the record is not complete and accurate, the examiner will give the applicant an extendable one month time period to correct the record.

#### **Examiner to Check for Accuracy**

If the claims are allowable for other reasons of record, the examiner should send a letter setting forth the examiner's version of the statement attributed to him or her. If the record is complete and accurate, the examiner should place the indication, "Interview Record OK" on the paper recording the substance of the interview along with the date and the examiner's initials.

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### **DETAILED ACTION**

1. The Request for Continued Examination (RCE) filed on November 13, 2009 under 37 CFR 1.114 is acknowledged. An action on the RCE follows.

### Status of the Claims

2. Claims 1-29, 31-36, 38-44, 46-52 and 54-63 are pending.

Applicants' amendment filed November 13, 2009 is acknowledged. New claims 58-63 have been added. Therefore, claims 1-29, 31-36, 38-44, 46-52 and 54-63 are examined.

### Withdrawn Claim Rejections - 35 USC § 103

3. The previous rejection of claims 2-5, 31-34, 39-42 and 47-50 under 35 U.S.C. 103(a) as being unpatentable over Baker *et al.* (US RE39,071 E) is withdrawn in view of applicants' statement added to the specification regarding a joint research agreement, and applicant's response at pages 9-10 in the amendment filed November 13, 2009.

### Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in a patent granted on an application for patent by another filed in the United States before the invention thereof by the applicant for patent, or on an international application by another who has fulfilled the requirements of paragraphs (1), (2), and (4) of section 371(c) of this title before the invention thereof by the applicant for patent.

The changes made to 35 U.S.C. 102(e) by the American Inventors Protection Act of 1999 (AIPA) and the Intellectual Property and High Technology Technical Amendments Act of 2002 do not apply when the reference is a U.S. patent resulting directly or indirectly from an international application filed before November 29, 2000. Therefore, the prior art date of the reference is determined under 35 U.S.C. 102(e) prior to the amendment by the AIPA (pre-AIPA 35 U.S.C. 102(e)).

4. Claims 1, 8-29, 38, 46, 54-58 and 60 are rejected under 35 U.S.C. 102(e) as anticipated by Baker *et al.* (US RE39,071 E, reissue of U.S. Patent 5,912,226, filed December 16, 1991).

Baker et al. teach an antibacterial composition comprising daptomycin (LY146032) obtained in substantially pure form, which refers to daptomycin that contains less than 2.5% of a combined total of anhydro-daptomycin and beta-isomer of daptomycin (column 8, lines 50-60; Examples 4 and 5; claim 1(g), 54, 58 and 60), where daptomycin is purified by a procedure using Diaion HP-20 resin column, followed by HPLC and another HP-20 resin column (Examples 1-5, claim 8). Baker et al. also teach the preparation of a pharmaceutical formulation comprising the purified daptomycin (LY146032) with pharmaceutical carriers or excipients (column 9, lines 47-59; claims 9, 38, 46 and 55-57). Baker et al. indicate the daptomycin (LY146032) is in substantially pure form and contains less than 2.5% of a combined total of anhydro-daptomycin and beta-isomer of daptomycin, thus claims 11-29 are not patentable because the product by process claims are limited by and defined by the process, determination of patentability is based on the product itself, and the patentability of a product does not depend on its method of production (see MPEP 2113). In the instant case, the composition comprising daptomycin (LY146032) that is in substantially pure form and contains less than 2.5% of a combined total of anhydro-daptomycin and beta-isomer of daptomycin as indicated in the patent is not different from the claimed composition comprising substantially pure daptomycin (>97% daptomycin), even though the daptomycin of reference is purified by a different process. Baker et al. also disclose an antibiotic composition comprised of a combination of a compound of formula 1 (i.e., anhydro-A21978C; column 1, lines 14-21), a compound of formula 2 (isomer of A21978C) and a compound of formula 3 (the parent cyclic peptide of A21978C; LY146032) or pharmaceutically acceptable salts (Reissue: claim 18; claim 10 of instant application).

#### Response to Arguments

Applicants indicate that the purity of daptomycin in Baker can only be interpreted as defined by Baker, thus Baker can be interpreted to read that there is 97.5% of daptomycin over a daptomycin plus anhydro-daptomycin ("A") plus beta isomer daptomycin ("B") composition. The present application describes daptomycin purity relative to daptomycin plus anhydro-daptomycin (impurity No. 13) plus beta isomer daptomycin (impurity No. 8) plus 12 other impurities (impurities 1-7, 9-12 and 14) as described in Table 3 of the specification. Thus, Baker uses a different purity and does not teach purity over the 14 daptomycin impurities. Applicants also indicate that Baker had at best about 93% purity against the 14 daptomycin impurities, while comparing Baker's later work in US 4,874,843 with Baker's RE39,071, which use similar purification procedure. Applicants further assert that evidence of inherency and/or notice of facts to support the inherency of the present claims have not been provided. Furthermore, Baker does not specifically describe the following limitations:

- 1. essentially pure daptomycin (i.e. at least 98% daptomycin in the present application),
- 2. daptomycin substantially free of anhydro-daptomycin and substantially free of  $\beta$ isomer of daptomycin (each no more than 1%),
- 3. daptomycin essentially free of anhydro-daptomycin (no more than 0.5%) and substantially free of  $\beta$ -isomer of daptomycin (no more than 1%),

4. daptomycin free of anhydro-daptomycin (no more than 0.1%) and substantially free of

Page 5

- β-isomer of daptomycin (no more than 1%), and
- 5. daptomycin that substantially or essentially free of each of impurities 1 to 14 defined
- by peaks 1-14 of FIG. 12,
  - 6. at least 95% pure daptomycin, and
  - 7. greater than about 93% pure daptomycin.

Therefore, Baker did not anticipate claims 1-5, 8-29, 31-34, 38-42, 46-50 and 54-57, the rejection under 35 U.S.C. 102 (e) should be withdrawn (pages 10-17 of the response).

Applicants' response has been fully considered. Regarding claims 1(a)-1(f), 2-5, 31-34, 39-42 and 47-50, the arguments are found persuasive and the rejection is withdrawn. However, regarding claim 1(g) and its dependent claims, the arguments are not found persuasive because of the following reasons. Baker et al. teach an antibacterial composition comprising daptomycin (LY146032) obtained in substantially pure form, which refers to daptomycin that contains less than 2.5% of a combined total of anhydro-daptomycin and beta-isomer of daptomycin (column 8, lines 50-60; Examples 4 and 5). Since Baker et al. do not indicate other impurities besides anhydro-daptomycin and beta-isomer of daptomycin are contained in the daptomycin (LY146032) in substantially pure form, it reads that the daptomycin has more than 97.5% purity. While Baker implies that other degradants are present, but are not predominant in the pH range that optimizes the transpeptidation reactions, the reference does not indicate other degradants are present after the purification procedure (column 8, lines 45-49). While Baker's later work (U.S. Patent 4,874,843) shows undetermined impurities at least as great as 7%, and daptomycin has at

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best 93% purity, the '843 patent only use a single HP-20 resin column to purify daptomycin with a yield of 50-60% (Example 1-2), which is different from the purification procedure (i.e., Diaion HP-20 resin column, followed by HPLC and another HP-20 resin column) used by Baker et al. in the US RE39,071 E (e.g., with a very low yield in Example 3). Thus, even Baker (U.S. Patent 4,874,843) shows undetermined impurities at least as great as 7%, and daptomycin has at best 93% purity, it does not mean that the daptomycin purified by Baker et al. in the US RE39,071 E has at best 93% purity since the purification procedures used by two patents are different. As shown in Example 2 of the present application, the purity level of the daptomycin was 91% using the purification method from the '843 patent, and the daptomycin sample was further confirmed to contain fourteen impurities (Example 10), which does not mean the daptomycin purified by Baker et al. in the US RE39,071 E would have at best 93% purity when a different purification procedure is used. The daptomycin purified by Baker et al. in the US RE39,071 E is obtained in substantially pure form that contains less than 2.5% of a combined total of anhydro-daptomycin and beta-isomer of daptomycin as taught by Baker et al. is not different from the claimed composition as indicated in claim 1(g) because the claimed substantially pure daptomycin has also >97% purity without indicating the existence of other 14 impuritites. Therefore, the rejection of claim 1(g) and its dependent claims are maintained.

#### Claim Rejections-Obviousness Type Double Patenting

The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. See *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970);and, *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

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A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent is shown to be commonly owned with this application. See 37 CFR 1.130(b).

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

5. Claims 1, 8-9, 46, 54-55, 57, 58 and 60 are rejected under the judicially created doctrine of obviousness-type double patenting as being unpatentable over claims 18-20, 26, 28 and 29 of U.S. Patent RE39,071 E. Although the conflicting claims are not identical, they are not patentably distinct from each other because claims 1, 8-9, 46, 54-55, 57, 58 and 60 in the instant application disclose a composition comprising substantially pure daptomycin (i.e., >97% purity daptomycin); or a pharmaceutical composition comprising the composition and a pharmaceutically acceptable carrier or excipient. This is obvious variation in view of claims 18-20, 26, 28 and 29 of the patent which disclose an antibiotic composition comprised of a combination of a compound of formula 1 (i.e., anhydro-daptomycin), a compound of formula 2 (i.e., beta-isomer of daptomycin) and a compound of formula 3 (i.e., daptomycin, A21978C), or pharmaceutically acceptable salts thereof, wherein the total amount of the compound of formula 1 and the compound of formula 2 or salts thereof, in the combination is less than 6 weight percent; or a pharmaceutical formulation comprising a combination of a compound of formula 1 (i.e., anhydro-daptomycin), a compound of formula 2 (i.e., beta-isomer of daptomycin) and a compound of formula 3 (i.e., daptomycin, A21978C), or pharmaceutically acceptable salts thereof, wherein the total amount of the compound of formula 1 and the compound of formula 2 or salts thereof, in the combination is less than 6 weight percent and the pharmaceutical formulation further comprises from about 0.1 to about 90 weight percent of the A21978C. Both

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claims of instant application and the patent are directed to a composition comprising substantially pure daptomycin (i.e., >97% purity daptomycin); or a pharmaceutical composition comprising the composition and a pharmaceutically acceptable carrier or excipient. Thus, claims 1, 8-9, 46, 54-55, 57, 58 and 60 in present application and claims 18-20, 26, 28 and 29 of the patent are obvious variations of a composition comprising substantially pure daptomycin (i.e., >97% purity daptomycin); or a pharmaceutical composition comprising the composition and a pharmaceutically acceptable carrier or excipient.

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#### Claim Objections

6. Claims 2-7, 31-36, 39-44, 47-52, 59 and 61-63 are objected to because the claims are dependent from a rejected claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

#### Conclusion

7. Claims 1, 8-29, 38, 46, 54-58 and 60 are rejected; and claims 2-7, 31-36, 39-44, 47-52, 59 and 61-63 are objected to.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Chih-Min Kam whose telephone number is (571) 272-0948. The examiner can normally be reached on 8.00-4:30, Mon-Fri.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Manjunath Rao can be reached at 571-272-0939. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

/Chih-Min Kam/

Primary Examiner, Art Unit 1656

**CMK** 

February 22, 2010



Application No.	Applicant(s)	
11/739,180	KELLEHER ET	AL.
Examiner	Art Unit	
CHIH-MIN KAM	1656	

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Class	Subclass	Date	Examiner
514	9, 11, 2, 14		
530	317, 322		
530	344		
435	886		

INTERFERENCE SEARCHED								
Subclass	Date	Examiner						

SEARCH NOTES (INCLUDING SEARCH STRATEGY)							
	DATE	EXMR					
EAST Search on USPAT, USPGPUB, DERWENT, EPO, JPO; STN search on MEDLINE, BIOSIS, EMBASE, SCISEARCH, AGRICOLA.	2/13/2008	СМК					
Search strategy enclosed, Inventor name search, Parent applications 60/177,170 and 09/735,191, 10/747,48 have been reviewed.	2/13/2008	СМК					
Update the search	10/28/2008	СМК					
Update the search	8/5/2009	СМК					
Update the search	2/3/2010	СМК					

U.S. Patent and Trademark Office Part of Paper No. 20100222

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FILE 'MEDLINE, CAPLUS, BIOSIS, EMBASE, SCISEARCH, AGRICOLA' ENTERED AT

17:16:13 ON 03 FEB 2010

- L1 6384 S DAPTOMYCIN
- L2 2833 S SUBSTANTIALLY PURE
- L3 2231 S ESSENTIALLY PURE
- L4 0 S L1 (P) (L2 OR L3)
- L5 2 S L1 (P) IMPURITY
- L6 2 DUPLICATE REMOVE L5 (0 DUPLICATES REMOVED)
- L7 4 S ANHYDRO-DAPTOMYCIN OR BETA-DAPTOMYCIN
- L8 4 S L7 NOT L6
- L9 108122 S ANION EXCHANGE
- L10 10235 S HYDROPHOBIC INTERACTION CHROMATOGRAPHY
- L11 2 S L1 (P) L9 (P) L10
- L12 1 S L11 NOT (L6 OR L8)
- L13 385 S (LY 146032) OR A-21978C OR A54145 OR A-21978
- L14 1 S L13 (P) (L2 OR L3)
- L15 1 S L14 NOT (L12 OR L8 OR L6)
- L16 195 S KELLEHER T?/AU
- L17 10832 S LAI J?/AU
- L18 12 S DECOURCEY J?/AU
- L19 3483 S LYNCH P?/AU
- L20 74 S ZENONI M?/AU
- L21 130 S TAGLIANI A?/AU
- L22 14713 S L16 OR L17 OR L18 OR L19 OR L20 OR L21
- L23 20 S L22 AND L1
- L24 0 S L23 AND (L2 OR L3)

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Doc code: IDS Doc description: Information Disclosure Statement (IDS) Filed

PTO/SB/08a (07-09)
Approved for use through 07/31/2012. OMB 0651-0031
formation Disclosure Statement (IDS) Filed
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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Application Number		11739180	
Filing Date		2007-04-24	
First Named Inventor	Thon	nas Kelleher	
Art Unit		1656	
Examiner Name	Chih-	-Min Kam	
Attorney Docket Numb	er	C062-02/03 US	
	Filing Date First Named Inventor Art Unit Examiner Name	Filing Date First Named Inventor Thom Art Unit	Filing Date 2007-04-24  First Named Inventor Thomas Kelleher  Art Unit 1656  Examiner Name Chih-Min Kam

					U.S.F	PATENTS				
Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue D	ate	Name of Pate of cited Docu	entee or Applicant ment	Relev	s,Columns,Lines where vant Passages or Relev es Appear	
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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

( Not for submission under 37 CFR 1.99)

Application Number		11739180	
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Filing Date		2007-04-24	
First Named Inventor	Thon	nas Kelleher	
Art Unit	, .	1656	
Examiner Name	Chih-	-Min Kam	
Attorney Docket Numb	er	C062-02/03 US	

/CMK./	1	United Sta	tes Application No. 07/060,148, filed June	10, 1987, Baker et al.		
If you wis	h to a	dd addition	al non-patent literature document citation	on information please click the Add	button	1
			EXAMINER	SIGNATURE		
Examiner	Signa	ature	/Chih Min Kam/	Date Considered	03/16/2010	
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Standard S	T.3). ³ I cument	For Japanese	ent Documents at <u>www.USPTO.GOV</u> or MPEP spatent documents, the indication of the year of the symbols as indicated on the document und tached.	he reign of the Emperor must precede the se	erial number of the patent doc	cument.

### **EAST Search History**

### **EAST Search History (Prior Art)**

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L1	795	daptomycin	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2010/02/03 17:09
L2	53524	substantially adj pure	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2010/02/03 17:09
L3	13946	essentially adj pure	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2010/02/03 17:09
L4	8	L1 same (L2 or L3)	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2010/02/03 17:09
L5	9	impurities same L1	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2010/02/03 17:10
L6	9	anhydro-daptomycin or beta-daptomycin	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2010/02/03 17:10
L7	50318	anion adj exchange	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2010/02/03 17:11
L8	12738	hydrophobic adj interaction adj chromatography	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2010/02/03 17:11
L9	6	L1 same L7 same L8	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2010/02/03 17:11
L10	104	(Ly adj "146032") or A- 21978C or A54145 or A- 21978	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2010/02/03 17:11
L11	2	L10 same (L2 or L3)	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2010/02/03 17:11
L12	20	kelleher adj thomas.in.	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2010/02/03 17:12
L13	9	lai adj jan-ji.in.	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2010/02/03 17:12

L14	3	decourcey adj joseph. in.	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2010/02/03 17:12
L15	28	lynch adj paul.in.	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2010/02/03 17:12
L16	66	zenoni adj maurizio.in.	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2010/02/03 17:12
L17	6	tagliani adj auro.in.	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2010/02/03 17:12
L18	115	L12 or L13 or L14 or L15 or L16 or L17	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2010/02/03 17:12
L19	7	L18 and L1	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2010/02/03 17:12

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Doc code: IDS Doc description: Information Disclosure Statement (IDS) Filed

PTO/SB/08a (07-09)
Approved for use through 07/31/2012. OMB 0651-0031
Formation Disclosure Statement (IDS) Filed
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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**INFORMATION DISCLOSURE** STATEMENT BY APPLICANT

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Application Number	·	11739180
Filing Date		2007-04-24
First Named Inventor	Thom	nas J. Kelleher
Art Unit		1656
Examiner Name	Chih-	Min Kam
Attorney Docket Numb	er	C062-02/03 US

					U.S.F	PATENTS				
Examiner Initial*	Cite No	Patent Number	Kind Code ¹	ו מזביו מווססוו		Name of Patentee or Applicant of cited Document		Pages,Columns,Lines where Relevant Passages or Releving Figures Appear		
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Examiner Initial*	Cite No	Foreign Document Number ³		Country Kind Code ² i Code ⁴		Publication Date	Name of Patentee Applicant of cited Document		Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	<b>T</b> 5
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Application Number		11739180
Filing Date		2007-04-24
First Named Inventor	Thom	nas J. Kelleher
Art Unit	•	1656
Examiner Name	Chih-	- Min Kam
Attorney Docket Numb	er	C062-02/03 US

/CMK./	1	Agreement between Cubist Pharmaceuticals, Inc. and Eli Lilly form from SEC Edgar).	and Company dated November 7	, 1997. (Redacted			
/CMK./	2	Agreement between Cubist Pharmaceuticals, Inc. and Eli Lilly from SEC Edgar).	and Company dated October 6, 2	000. (Redacted form			
/CMK./	3	Assignment of US RE 39,071 from Eli Lilly and Company to Cubist Pharmaceuticals, Inc. recorded on April 23, 2007. Reel/Frame: 019181/0916.					
/CMK.	MAIO, ET AL., "Daptomycin biosynthesis in Streptomyces roseosporus: cloning and analysis of the gene cluster and revision of peptide sterochemistry," Microbiology, (Vol 151), (P. 1507-1523), (2005).						
If you wis	h to a	dd additional non-patent literature document citation infor	mation please click the Add bu	itton	•		
		EXAMINER SIGNA	TURE				
Examiner	Signa	oture /Chih Min Kam/	Date Considered	03/16/2010			
*EXAMIN citation if	ER: Ir	nitial if reference considered, whether or not citation is in conformance and not considered. Include copy of this for	conformance with MPEP 609. orm with next communication to	Draw line through a pplicant.			
Standard S ⁻ ⁴ Kind of do	T.3). ³ cument	of USPTO Patent Documents at <a href="https://www.USPTO.GOV">www.USPTO.GOV</a> or MPEP 901.04. For Japanese patent documents, the indication of the year of the reign by the appropriate symbols as indicated on the document under WIPC ranslation is attached.	of the Emperor must precede the seria	I number of the patent doo	cument.		

Doc code: IDS Doc description: Information Disclosure Statement (IDS) Filed

PTO/SB/08a (07-09)
Approved for use through 07/31/2012. OMB 0651-0031
Ormation Disclosure Statement (IDS) Filed
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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	Application Number		11739180	
	Filing Date		2007-04-24	
INFORMATION DISCLOSURE	First Named Inventor	Thom	as J. Kelleher	
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		1656	
(Not for submission under 57 CFR 1.99)	Examiner Name	Chih-	Min Kam	
	Attorney Docket Numb	er	C062-02/03 US	

					U.S.I	PATENTS				
Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue D	ate	Name of Pate of cited Docu	entee or Applicant ment	Relev	s,Columns,Lines where vant Passages or Releves es Appear	
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			U.S.P	ATENT	APPLIC	CATION PUBI	LICATIONS			
Examiner Initial*	Cite No	Publication Number	Kind Code ¹	Publica Date	ition	Name of Pate of cited Docu	entee or Applicant ment	Relev	s,Columns,Lines where vant Passages or Relev es Appear	
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				FOREIG	SN PAT	ENT DOCUM	ENTS			
Examiner Initial*	Cite No	Foreign Document Number ³	Country Code ² i		Kind Code ⁴	Publication Date	Name of Patentee Applicant of cited Document		Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	<b>T</b> 5
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Examiner Initials*	Cite No	Include name of the a (book, magazine, jour publisher, city and/or of	nal, seria	al, symp	osium,	catalog, etc), o				<b>T</b> 5

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

( Not for submission under 37 CFR 1.99)

Application Number		11739180	
Filing Date		2007-04-24	
First Named Inventor	Thom	nas J. Kelleher	
Art Unit		1656	
Examiner Name	Chih-	-Min Kam	
Attorney Docket Numb	er	C062-02/03 US	

	1	Agreement between Cubist Pharmaceuticals, Inc. and Eli Lilly and Company dated November 7, form from SEC Edgar).	1997. (Redacted		
	2	Agreement between Cubist Pharmaceuticals, Inc. and Eli Lilly and Company dated October 6, 20 from SEC Edgar).	000. (Redacted form		
	3	Assignment of US RE 39,071 from Eli Lilly and Company to Cubist Pharmaceuticals, Inc. recorde Reel/Frame: 019181/0916.	ed on April 23, 2007.		
	MAIO, ET AL., "Daptomycin biosynthesis in Streptomyces roseosporus: cloning and analysis of the gene cluster and revision of peptide sterochemistry," Microbiology, (Vol 151), (P. 1507-1523), (2005).				
If you wis	h to a	add additional non-patent literature document citation information please click the Add but	tton		
		EXAMINER SIGNATURE			
Examine	r Signa	nature Date Considered			
*EXAMIN	IER: Ir not in	Initial if reference considered, whether or not citation is in conformance with MPEP 609. In conformance and not considered. Include copy of this form with next communication to	Draw line through a applicant.		
Standard S	T.3). ³ ocument	s of USPTO Patent Documents at <a href="https://www.USPTO.GOV">www.USPTO.GOV</a> or MPEP 901.04. ² Enter office that issued the document, ³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial nt by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant translation is attached.	number of the patent doc	:ument.	

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

( Not for submission under 37 CFR 1.99)

Application Number		11739180
Filing Date		2007-04-24
First Named Inventor	Thom	as J. Kelleher
Art Unit	•	1656
Examiner Name	Chih-l	Min Kam
Attorney Docket Numb	er	C062-02/03 US

.,		CERTIFICA	ATION STATEMENT			
Plea	ase see 37 CFR 1	.97 and 1.98 to make the appropriate s	selection(s):			
	from a foreign p	of information contained in the inform patent office in a counterpart foreign a losure statement. See 37 CFR 1.97(e)(	application not more than three	•		
OR	1					
	That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).					
$\boxtimes$	See attached ce	rtification statement.				
	Fee set forth in 3	37 CFR 1.17 (p) has been submitted he	erewith.			
$\boxtimes$	None					
	SIGNATURE A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the signature.					
Sigr	nature	/Jill M. N. Mandleblatt/	Date (YYYY-MM-DD)	2010-01-15		
Nan	ne/Print	Jill M. N. Mandelblatt	Registration Number	37,878		

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450**.

Electronic Ack	knowledgement Receipt
EFS ID:	6822511
Application Number:	11739180
International Application Number:	
Confirmation Number:	8837
Title of Invention:	High Purity Lipopeptides
First Named Inventor/Applicant Name:	Thomas Kelleher
Customer Number:	34103
Filer:	Jill Michel-Netka Mandelblatt/Jodi Doherty
Filer Authorized By:	Jill Michel-Netka Mandelblatt
Attorney Docket Number:	C062-02/03 US
Receipt Date:	15-JAN-2010
Filing Date:	24-APR-2007
Time Stamp:	16:15:54
Application Type:	Utility under 35 USC 111(a)

### **Payment information:**

### File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /₊zip	Pages (if appl.)
1	Miscellaneous Incoming Letter	C062-02_03_US_Transmittal. pdf	57875 61293412d2fb72474608a99b8202a89100c 75930	no	1

### **Warnings:**

#### Information:

2	Miscellaneous Incoming Letter	C062-02_03_US_20100115_IDS	16708	no	2
		_letter.pdf	26e108123330bd1f51309dac0d795a6ebc0 43ed3		
Warnings:					-
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3	NPL Documents	Lilly_Ex_1.pdf	3527490	no	23
3	Wi E Documents	Lilly_LX_1.pai	b9b6972d6d3add41192107afbdbdba3ce0 9f96e6	110	23
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4	NPL Documents	Lilly_Ex_2.pdf	3567645	no	21
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5	NPL Documents	Assignmrnt_Doc.pdf	357038	no	3
		, 1351g5 5 6 p.u.	e2e7abac1a681ab2e98de5aad90b5a06253 213bd	110	
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6	NPL Documents	Miao_Art.pdf	4072699	no	17
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#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

Doc Code: TRAN.LET

Document Description: Transmittal Letter

PTO/SB/21 (07-00)

	10/36/21 (07-09
Approved for use through 07/31/2012	OMB 0651-003
U.S. Patent and Trademark Office; U.S. DEPARTMENT	OF COMMERCE

TRANSMITTAL	Filing Date	April 24, 2007		
FORM	First Named Inventor	Thomas Kelleher		
	Art Unit	1656		
(to be used for all correspondence after initial	Examiner Name	Chih-Min Kam		
Total Number of Pages in This Submission	70 Attorney Docket Number	C062-02/03 US		
Total Number of Fages III This Submission 70				
ENCLOSURES (Check all that apply)				
Fee Transmittal Form Fee Attached  Amendment/Reply After Final Affidavits/declaration(s)  Extension of Time Request Express Abandonment Request Information Disclosure Statement  Certified Copy of Priority Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53	Drawing(s)  Licensing-related Papers  Petition Petition to Convert to a Provisional Application Power of Attorney, Revocat Change of Correspondence Terminal Disclaimer Request for Refund CD, Number of CD(s) Landscape Table on C	Address  Status Letter Other Enclosure(s) (please Identify below):  4 Cited References		
SIGNA	TURE OF APPLICANT, ATT	ORNEY OR AGENT		
Firm Name Cubist Pharmaceutica	· · · · · · · · · · · · · · · · · · ·	onner, on Acent		
Signature /Jill M.N. Mandleblatt/				
Printed name Jill M. N. Mandelblatt				
Date January 15, 2010		Reg. No. 37,878		
CERTIFICATE OF TRANSMISSION/MAILING				
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:  Signature				
Typed or printed name		Date		

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11/739,180

Application Number

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 11/739,180 Confirmation No. 8837

Applicant : Thomas J. Kelleher

Filed : April 24, 2007

TC/A.U. : 1656

Examiner : Chih-Min Kam

Docket No. : C062-02/03 US

Customer No.: 34103

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313

#### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. §§ 1.56, 1.97(c) and 1.98, applicants make of record the following documents which are listed on the enclosed Form PTO/SB/08a. Copies of the following documents are enclosed herewith:

Agreement between Cubist Pharmaceuticals, Inc. and Eli Lilly and Company dated November 7, 1997 (redacted form from SEC Edgar);

Agreement between Cubist Pharmaceuticals Inc. and Eli Lilly and Company dated October 6, 2000 (redacted form from SEC Edgar);

Assignment of US RE 39, 071 from Eli Lilly and Company to Cubist Pharmaceuticals, Inc. recorded on April 23, 2007. Reel/Frame: 019181/0916; and

MAIO, et al, "Daptomycin biosynthesis in Streptomyces roseosporus: cloning and analysis of the gene cluster and revision of peptide sterochemistry," Micobiology, 151, 1507-1523 (2005).

### **REMARKS**

Applicants have submitted the two Agreements and the Assignment document upon request of the Examiner.

Applicants request that the cited documents be fully considered by the Examiner during the course of examination of this application and that a copy of Form PTO/SB/08a, as considered, initialed, and signed by the Examiner, be returned with the next communication.

No fee is believed to be due in connection with this filing, however, please apply any other charges or credits to Deposit Account No. 50-1986, referencing attorney docket number C062-02/03 US.

Respectfully submitted,

Dated: <u>January 15, 2010</u>

Customer No.: 34103

US Serial No. 11/739180

Cubist Pharmaceuticals, Inc.

65 Hayden Avenue

Lexington, Massachusetts 02421

Tel.: (781) 860-8660 Fax: (781) 860-1407 C062-02-03 US 20100115 IDS letter /Jill M.N. Mandelblatt/

Timothy J. Douros, Reg. No. 41,716 William D. DeVaul, Reg. No. 42,483 Nicholas M. Boivin, Reg. No. 45,650

Attorneys for Applicants

Jill M.N. Mandelblatt, Reg. No. 37,878

Patent Agent for Applicants

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Applicant : Thomas Kelleher

Filed : April 24, 2007

TC/A.U. : 1656

Examiner : Chih-Min Kam

Docket No. : C062-02/03 US

Customer No.: 34103

Mail Stop **RCE** Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313

### REQUEST FOR CONTINUED EXAMINATION (RCE) AND RESPONSE AND AMENDMENT

Applicants submit the following amendments in connection with a Request for Continued Examination filed herewith pursuant to 37 CFR §1.114. This Preliminary Amendment is responsive to the Final Office Action mailed August 11, 2009 (hereafter "the Office Action") in the above-identified application.

Kindly amend the application as follows:

Certificate of Trans	smission/Mailing	
I hereby certify that this correspondence (Preliminary Amendment) is being deposited with the United States Postal Service with sufficient postage as First Class Mail and is addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 or is being facsimile transmitted to the USPTO on the date shown below.		
	Date	

#### US Serial No. 11/739,180

#### **AMENDMENT TO THE SPECIFICATION**

Replace the first paragraph of the specification at page 1, lines 5-9 with the following paragraph

The present application claims priority to United States Patent Application No. 10/747,485, filed December 29, 2003, which claims priority to United States Patent Application No. 09/735,191 filed January 20, 2001 November 28, 2000, now US Patent No. 6,696,412, which claims the benefit of United States Provisional application Application No. 60/177,170, filed January 20, 2000, all of which are incorporated by reference herein in their entireties.

On page 1, line 10, please insert the following Paragraph:

The present invention was the subject of a joint research agreement within the meaning of 35 U.S.C § 103(c)(3), between Cubist Pharmaceuticals, Inc. and Eli Lilly and Company, and said agreement was in effect on or before the date the claimed invention was made.

#### **AMENDMENT TO THE CLAIMS**

- 1. (Previously presented) A composition comprising
- (a) essentially pure daptomycin,
- (b) daptomycin that is substantially free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin,
- (c) daptomycin that is essentially free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin,
- (d) daptomycin that is free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin,
- (e) daptomycin that is substantially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12, or
- (f) daptomycin that is essentially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12 or
  - (g) substantially pure daptomycin.
- 2. (Original) The composition of claim 1 comprising essentially pure daptomycin.
- 3. (Original) The composition of claim 1 compromising daptomycin that is substantially free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin.
- 4. (Original) The composition according to claim 3 that is essentially free of anhydro-daptomycin.
- 5. (Original) The composition according to claim 3 that is free of anhydro-daptomycin.
- 6. (Original) The composition of claim 1 that is substantially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12.
- 7. (Original) The composition according to claim 6 that is essentially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12.
- 8. (Original) The composition of claim 1, wherein daptomycin purity is measured by HPLC.

- 9. (Original) The composition of claim 1 further comprising a pharmaceutically acceptable carrier or excipient.
- 10. (Original) A pharmaceutical composition according to claim 9, further comprising one or more antibiotics, one or more antifungal agents, or both an antibiotic and an antifungal agent.
- 11. (Original) The composition according to claim 1 wherein the daptomycin is purified by a process comprising the steps of:
  - a) supplying a fermentation broth;
- b) fermenting *Streptomyces roseosporus* with a feed of n-decanoic acid to produce daptomycin in the fermentation broth;
  - c) clarifying the fermentation broth to obtain a clarified solution;
- d) subjecting the clarified solution to anion exchange chromatography to obtain an enriched daptomycin preparation;
- e) subjecting the enriched daptomycin preparation to hydrophobic interaction chromatography to obtain a semi-purified daptomycin preparation; and
- f) subjecting the semi-purified daptomycin preparation to anion exchange chromatography to obtain the composition of claim 1.
- 12. (Original) The composition according to claim 11, wherein the feed of n-decanoic acid is regulated to achieve a residual concentration of n-decanoic acid of no more than 50 parts per million (ppm) during fermentation.
- 13. (Original) The composition according to claim 11, wherein said clarifying comprises filtration or centrifugation and depth filtration.
- 14. (Original) The composition according to claim 11, wherein the anion exchange chromatography in d) is performed using a resin comprising a copolymer of 2-methacrylic acid and ethyleneglycol dimethacrylate (EDGM).
- 15. (Original) The composition according to claim 11, wherein the hydrophobic interaction chromatography is performed using a resin comprising a copolymer of cross-linked divinylbenzene/stryene.
- 16. (Original) The composition according to claim 15, wherein the hydrophobic interaction chromatography is performed at neutral pH and a solvent

concentration that is reduced compared to the solvent concentration used when performing the hydrophobic interaction chromatography at acidic pH.

- 17. (Original) The composition according to claim 16, wherein the resin is recycled by loading the column at an acidic pH and eluting the column at a neutral pH.
- 18. (Original) The composition according to claim 11, wherein the anion exchange chromatography in f) is performed using a resin comprising a copolymer of 2-methacrylic acid and ethyleneglycol dimethacrylate (EDGM).
- 19. (Original) The composition according to claim 11, wherein the anion exchange chromatography is used to reduce the level of solvent in the clarified solution.
- 20. (Original) The composition according to claim 11, wherein the anion exchange chromatography is performed via continuous flow chromatography.
- 21. (Original) The composition according to claim 11, wherein the process further comprises the step of filtering daptomycin.
- 22. (Original) The composition according to claim 11, wherein the process further comprises the step of depyrogenating daptomycin using ultrafiltration.
- 23. (Original) The composition according to claim 22 wherein said depyrogenating comprises the steps of:
- i) providing a daptomycin solution under conditions in which the daptomycin is in a monomeric and nonmicellar state;
- ii) filtering the daptomycin solution under conditions in which the daptomycin passes through the filter but pyrogens do not pass through the filter;
- iii) subjecting the daptomycin solution to conditions forming a daptomycin aggregate;
- iv) filtering the daptomycin aggregate under conditions in which the daptomycin aggregate is retained on the filter; and
  - v) collecting the daptomycin aggregate.
- 24. (Original) The composition according to claim 22, wherein the process further comprises the step of lyophilizing daptomycin.
- 25. (Original) The composition according to claim 22, wherein the anion exchange chromatography is performed via radial flow chromatography.

- 26. (Original) The composition according claim 11, wherein said clarifying comprises microfiltration or centrifugation.
- 27. (Original) The composition according to claim 11, wherein the process further comprises the steps of filtering and concentrating daptomycin.
- 28. (Original) The composition according to claim 11, wherein the process further comprises the step of separating the enriched daptomycin from low molecular weight material by ultrafiltration.
- 29. (Original) The composition according to claim 28, wherein the process further comprises the step of depyrogenating the daptomycin.
  - 30. Canceled
- 31. (Original) The pharmaceutical composition of claim 9 comprising essentially pure daptomycin.
- 32. (Original) The pharmaceutical composition of claim 9 comprising daptomycin that is substantially free of anhydro-daptomycin and substantially free of β-isomer of daptomycin.
- 33. (Original) The pharmaceutical composition of claim 9 comprising daptomycin that is essentially free of anhydro-daptomycin and substantially free of β-isomer of daptomycin.
- 34. (Original) The pharmaceutical composition of claim 9 comprising daptomycin that is free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin.
- 35. (Original) The pharmaceutical composition of claim 9 comprising daptomycin that is substantially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12.
- 36. (Original) The pharmaceutical composition of claim 9 comprising daptomycin that is essentially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12.
  - 37. Canceled
- 38. (Original) A method for preparing a pharmaceutical composition comprising combining the composition of claim 1 with a pharmaceutically acceptable

carrier or excipient.

- 39. (Original) The method of claim 38 wherein the composition is essentially pure daptomycin.
- 40. (Original) The method of claim 38 wherein the composition is daptomycin that is substantially free of anhydro-daptomycin and substantially free of β-isomer of daptomycin.
- 41. (Original) The method of claim 38 wherein the composition is daptomycin that is essentially free of anhydro-daptomycin and substantially free of β-isomer of daptomycin.
- 42. (Original) The method of claim 38 wherein the composition is daptomycin that is free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin.
- 43. (Original) The method of claim 38 wherein the composition is daptomycin that is substantially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12.
- 44. (Original) The method of claim 38 wherein the composition is daptomycin that is essentially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12.
  - 45. Canceled
- 46. (Original) A pharmaceutical composition prepared by the method of claim 38.
- 47. (Original) The pharmaceutical composition of claim 46 wherein the composition is essentially pure daptomycin.
- 48. (Original) The pharmaceutical composition of claim 46 wherein the composition is daptomycin that is substantially free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin.
- 49. (Original) The pharmaceutical composition of claim 46 wherein the composition is daptomycin that is essentially free of anhydro-daptomycin and substantially free of β-isomer of daptomycin.
  - 50. (Original) The pharmaceutical composition of claim 46 wherein the

composition is daptomycin that is free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin.

- 51. (Original) The pharmaceutical composition of claim 46 wherein the composition is daptomycin that is substantially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12.
- 52. (Original) The pharmaceutical composition of claim 46 wherein the composition is daptomycin that is essentially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12.
  - 53. Canceled
- 54. (Previously presented) The composition of claim 1 comprising substantially pure daptomycin.
- 55. (Previously presented) The pharmaceutical composition of claim 9 comprising substantially pure daptomycin.
- 56. (Previously presented) The method of claim 38 wherein the composition is substantially pure daptomycin.
- 57. (Previously presented) The pharmaceutical composition of claim 46 wherein the composition is substantially pure daptomycin.
- 58. (New) A composition comprising daptomycin of greater than about 93% purity, wherein the purity of the daptomycin is relative to daptomycin impurities that arise in fermentation or purification of daptomycin.
- 59. (New) The composition of claim 58, wherein the daptomycin impurities comprise impurities 1-14.
- 60. (New) The composition of claim 58, wherein the purity is at least 95%.
- 61. (New) The composition of claim 60, wherein the daptomycin impurities comprise impurities 1-14.
- 62. (New) Daptomycin of greater than about 93% purity relative to impurities 1-14.
  - 63. (New) The daptomycin of claim 62, wherein the purity is at least 95%.

#### **REMARKS**

#### Amendments to the Specification

Applicants have amended the first paragraph of the specification to provide the filing date of the 10/747,485 application and the patent number for the patent that issued from United States Patent Application No. 09/735,191. In addition, Applicants have corrected the filing date of United States Patent Application No. 09/735,191.

Applicants have amended the specification to comply with 35 U.S.C. § 103(c)(2)(C)) and 37 C.F.R. §1.71 (g)(1). No new matter is introduced by these amendments to the specification.

#### Amendments to the Claims

Claims 1-29, 31-36, 38-44, 46-52 and 54-57 were pending in the present application. Applicants have added new claims 58-63. Support for claims 58-63 can be found throughout the specification, for example, on page 5, lines 12-19; page 7, lines 23-25; page 9, lines 16-19; page 11, lines 19-20; page 12, lines 12-16; and Example 10. No new matter is introduced by these amendments to the claims.

Accordingly, upon entry of the instant amendments, claims 1-29, 31-36, 38-44, 46-52 and 54-63 will be pending in this application.

#### The Claim Rejections Over Baker Are Overcome and Traversed

Claims 1-5, 8-29, 31-34, 38-42, 46-50 and 54-57 stand rejected under 35 U.S.C.§ 102(e) as anticipated by or, in the alternative under U.S.C. § 103(a) as unpatentable over Baker *et al.* (US RE39,071 E, hereafter <u>Baker</u>). Claims 6-7, 35-36, 43-44 and 51-52 are objected to. Applicants respectfully overcome and traverse the rejection for the reasons set forth below.

#### I. The Subject Matter of Baker Cannot Preclude Patentability Under 35 U.S.C § 103

The present invention was made by or on the behalf of parties to a joint research agreement, within the meaning of 35 U.S.C § 103(c)(3) and 37 C.F.R §1.104 (c)(4)(ii), that was in effect on or before the date the claimed invention was made and the claimed

invention was made as a result of activities undertaken within the scope of the joint research agreement. The present application claims the benefit of a January 20, 2000 filing date, and, at the time the claimed invention was made, the subject matter of <u>Baker</u> was "owned by the same person or subject to an obligation of assignment to the same person" within the meaning further described by 35 U.S.C. § 103(c)(2)-(3) as amended by the Cooperative Research and Technology Enhancement Act of 2004 (CREATE Act).

By the statement made above and the corresponding amendment to the Specification, Applicants have satisfied 35 U.S.C § 103(c). Accordingly, under 35 U.S.C § 103(c), the subject matter of <u>Baker</u> cited under § 102(e) shall not preclude patentability under 35 U.S.C. § 103. Applicants request that the rejection of the claims under 35 U.S.C. § 103(a) be withdrawn.

## II. <u>Baker Does Not Anticipate Claims 1-5, 8-29, 31-34, 38-42, 46-50 and 54-57 Under 35 U.S.C § 102(e)</u>

The Office Action reads: "Baker discloses a composition or pharmaceutical composition comprising substantially pure daptomycin which meets the criteria of claim 1(a)-1(d) and 1(g), and its dependent claims because the term 'comprising' indicates the composition can contain something else besides substantially pure daptomycin or essentially pure daptomycin." According to the Office Action, it is obvious that a composition as taught by <u>Baker</u>, encompasses the embodiments of claim 1(a)-1(d) and 1(g) of the present invention because claim 1(a)-1(d) and 1(g) "merely recites substantially or essentially pure daptomycin that may contain slight amount of anhydrodaptomycin and beta-isomer of daptomycin."

Applicants overcome and traverse the rejection. To the extent the rejection is based on obviousness under 35 U.S.C. § 103(a), the rejection was overcome based on the amendment to the specification. To the extent the rejection is maintained under 35 U.S.C. § 102(e), Applicants traverse because, even with the open-ended "comprising" claim language, the claimed limitations have not been met expressly or inherently for reasons set forth below.

"In order to render a determination of anticipation under 35 U.S.C. 102, the reference <u>must teach every aspect of the claimed invention either explicitly or impliedly</u>. Any feature not directly taught must be inherently present." MPEP 706.02 V. "To serve as an anticipation when the reference is silent about the asserted inherent characteristic [i.e. one not directly taught], such gap in the reference may be filled with recourse to extrinsic evidence. <u>Such evidence must make clear that the missing descriptive matter is necessarily present in the thing described in the reference</u>, and that it would be so recognized by persons of ordinary skill." *Continental Can Co. USA v. Monsanto Co.*, 948 F.2d 1264, 1268, 20 USPQ2d 1746, 1749 (Fed. Cir. 1991).

The following limitations were acknowledged in the August 11, 2009 Office Action (page 3) as not specifically described in <u>Baker</u>:

- 1. essentially pure daptomycin (i.e. at least 98% daptomycin in the present application),
- 2. daptomycin substantially free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin (each no more than 1%),
- 3. daptomycin essentially free of anhydro-daptomycin (no more than 0.5%) and substantially free of  $\beta$ -isomer of daptomycin (no more than 1%),
- 4. daptomycin free of anhydro-daptomycin (no more than 0.1%) and substantially free of  $\beta$ -isomer of daptomycin (no more than 1%), and
- 5. daptomycin that substantially or essentially free of each of impurities 1 to 14 defined by peaks 1-14 of FIG. 12 (acknowledged by mere objection to certain claims).

In addition, the following limitations as presented in the new claims added above are not described by <u>Baker</u>:

- 6. at least 95% pure daptomycin, and
- 7. greater than about 93% pure daptomycin.

### A. <u>Baker Does Not Expressly Or Inherently Include All of the Limitations of the Present Claims</u>

1. <u>Baker Uses a Different Daptomycin Purity Than the Present Application</u>

Although the Office Action posits that the substantially pure daptomycin in <u>Baker</u> "reads that the daptomycin has more than 97.5% purity," the purity of daptomycin in <u>Baker</u> can only be interpreted as defined by <u>Baker</u>. In <u>Baker</u>, "substantially pure form means that LY146032 contains less than 2.5 percent of a combined total of anhydro-LY146032 and isomer-LY146032." Col. 8, Il. 55-57. <u>Baker</u> can be interpreted to read that there is 97.5% of daptomycin over a daptomycin plus anhydro-daptomycin ("A") plus beta isomer daptomycin ("B") composition.

The present application describes daptomycin purity relative to daptomycin plus anhydro daptomycin (impurity No. 13) plus beta-isomer (impurity No. 8) **plus 12 other impurities** (impurities 1-7, 9-12 and 14) (the fourteen daptomycin impurities) as described in Table 3 of the specification. Therefore, it is consistent to say that, as described in detail below in II.A.2, the <u>Baker</u> methods yield at best about 93% pure daptomycin measured under the current application while it yields 97.5% purity under its own teachings.

Accordingly, <u>Baker</u> uses a different purity and does not teach purity over the fourteen daptomycin impurities.

# 2. <u>Baker Had At Best About 93% Purity Against The Fourteen Daptomycin Impurities</u>

Although the Examiner has written that <u>Baker</u> (previously described as denoting US Patent RE39,071) could have better purity than the Baker's later work in the US Patent 4,874,843 (the '843 patent), i.e. "when a different purification procedure is used," the '843 patent and <u>Baker</u> use the same procedure. Those procedures whether followed under the '843 patent or <u>Baker</u> yield 93% pure daptomycin against the fourteen daptomycin impurities.

Baker claims priority to U.S. Serial No. 07/060,148 ("the '148 application," now abandoned) and the experimental details of <u>Baker</u> are identical to the '148 application. The '843 patent reads: "The novel process of this invention was previously disclosed, but not claimed in U.S. patent application No. 07/060,148, filed June 10, 1987, for use in purifying the β-isomer of LY146032." See the '843 patent, col. 1, ll. 41-44. Thus, the '843 patent (by reference back) and <u>Baker</u> (by identical disclosure) use the same purification procedures as those in the '148 patent application. A copy of the '148 application is being furnished to the Examiner under an Information Disclosure Statement filed with this Preliminary Amendment.

In addition, the '843 patent's and <u>Baker's</u> use of similar methods is further confirmed by direct comparison of the two references in the following table:

Method of Producing Daptomycin in Baker Example 3 (comprehensive process description)
Intermediate quality LY146032 solution is applied to a HP20ss column that had been equilibrated with the developing solvent acetonitrile-methanol sodium acetate buffer (Col. 11, line 65 to Col. 12, line 9)
Fractions containing isomer LY146032 were pooled and desalted using Dianion HP20 resin as washed with deionized water then isomer LY146032 was eluted with 60:40 acetonitrile-water to give an enriched desalted preparation of isomer-LY146032 (Col. 12, ll. 14-27)
Preparation was further purified using reverse phase C18 column followed by a Dianion HP 20 resin column in reverse mode (Col. 12, ll. 28-30)

and II. 22-30)

As pointed out in earlier communications, the instant application provides comparative testing of the compositions disclosed in <u>Baker</u> that definitively establishes that <u>Baker</u> does not inherently disclose the presently claimed compositions. <u>Baker</u> provides daptomycin no more pure than the '843 patent since the purification process for the <u>Baker</u> and '843 patent were the same, and, as noted in the '843 patent, final resolution and separation of daptomycin (LY146032) from structurally similar compounds was impeded by the presence of impurities that were not identifiable by ultraviolet analysis of the fermentation broth. The '843 patent describes that despite a variety of additional purification attempts, <u>the highest yields obtained were about 93%</u>, i.e. 93% daptomycin versus the fourteen daptomycin impurities, not just anhydro daptomycin and beta isomer. See page 3, line 12 through page 4, line 2 of the present specification. Thus, the reasonable implication for <u>Baker</u> upon a close read of the '843 patent is that the material in <u>Baker</u> was at best only about 93% pure daptomycin under the present application.

# 3. Evidence of Inherency and/or Official Notice of Facts To Support The Inherency of the Present Claims Have Not Been Provided

"In relying upon the theory of inherency, the examiner must provide a basis in fact and/or technical reasoning to reasonably support the determination that the allegedly inherent characteristic necessarily flows from the teachings of the applied prior art." Ex parte Levy, 17 USPQ2d 1461, 1464 (Bd. Pat. App. & Inter. 1990) (emphasis in original). Any suggestion that the daptomycin purity of <u>Baker</u> inherently anticipates the purity presently claimed requires a citation to some extrinsic evidence from which the suggestion necessarily follows. No citation has been made to any extrinsic support for any purity different from that explained above.

If the Examiner were to rely upon facts relating to the purity of the daptomycin compositions taught in <u>Baker</u> without documentary evidence, such official notice is not appropriate as a basis for asserting that Baker inherently discloses the claimed daptomycin compositions:

[o]fficial notice without documentary evidence to support an examiner's conclusion is permissible only in some circumstances....Official notice unsupported by documentary evidence should only be taken by the examiner where the facts asserted to be well-known, or to be common knowledge in the art are capable of instant and unquestionable demonstration as being well-known (MPEP 2144.03).

Applicants submit that the inherent characteristics of the teachings of <u>Baker</u>, particularly vis-à-vis the twelve other daptomycin impurities, are not well known or common knowledge capable for instant and unquestionable demonstration.

B. The Present Claims, When Properly Interpreted, Are Not Anticipated by Baker

The Office Action reads:

Baker et al discloses a composition or pharmaceutical composition comprising substantially pure daptomycin, which meets the criteria of claim 1(a)-1(d) and 1(g), and its dependent claims because the term "comprising" indicates the composition can contain something else besides substantially or essentially pure daptomycin (Office Action at pages 3-4, emphasis in original).

While "comprising" is open-ended, it is not so open as to vitiate the claim limitation of the <u>daptomycin</u>. In particular, the "something else" that the Office Action asserts is within claimed inventions using "comprising" cannot alter the claimed <u>daptomycin</u> to not have the daptomycin purity of the claim. A proper use of comprising would maintain the existing purity limitations to the extent claimed and not attempt to read the limitation out of the claim. By adding "something else," the claims were interpreted in a manner inconsistent with both the specification and their plain meaning. This interpretation is not permitted:

Indeed, the rules of the PTO require that application claims must "conform to the invention as set forth in the remainder of the specification and the terms and phrases used in the claims must find clear support or antecedent basis in the description so that the meaning of the terms in the claims may be ascertainable by reference to the description." 37 CFR 1.75(d)(1)... The words of a claim must be given their "plain meaning"... (MPEP 2111, 2111.01).

Based on the plain meaning of the claims, the <u>daptomycin within the composition</u> -- not necessarily the entire composition itself -- must be interpreted to retain the claimed

characteristics. Therefore, Baker does not meet the criteria of claim 1(a)-1(d) and 1(g), and its dependent claims because the term "comprising" cannot eliminate the claimed purity.

# C. Request for Reconsideration and Withdrawal Of Rejection Under 35 U.S.C. § 102(e)

As stated previously (vide supra), Baker does not specifically described nor can it be interpreted to describe:

- 1. essentially pure daptomycin (i.e. at least 98% daptomycin in the present application),
- 2. daptomycin substantially free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin (each no more than 1%),
- 3. daptomycin essentially free of anhydro-daptomycin (no more than 0.5%) and substantially free of  $\beta$ -isomer of daptomycin (no more than 1%),
- 4. daptomycin free of anhydro-daptomycin (no more than 0.1%) and substantially free of  $\beta$ -isomer of daptomycin (no more than 1%), and
- 5. daptomycin that substantially or essentially free of each of impurities 1 to 14 defined by peaks 1-14 of FIG. 12 (acknowledged by mere objection to certain claims),
- 6. at least 95% pure daptomycin, and
- 7. greater than about 93% pure daptomycin.

Baker does not anticipate claims 1-5, 8-29, 31-34, 38-42, 46-50 and 54-57 under 35 U.S.C § 102(e) when the claims are properly interpreted primarily because: (1) <u>Baker</u> teaches a different measurement of purity which does not consider the fourteen daptomycin impurities and (2) <u>Baker</u> had at best about 93% pure daptomycin when including the twelve additional daptomycin impurities. Therefore the rejection is traversed. Accordingly, Applicant respectfully requests withdrawal of the rejection under 35 U.S.C. § 102(e) and reconsideration and allowance of all pending claims.

#### III. Product-by-Process Claim Interpretation

The Office Action reads that claim 11-29 are not patentable because the product-by-process claims are limited by and defined by the process, determination of patentability is based on the product itself, and the patentability of a product does not depend on its method of production. Although the above arguments under 35 U.S.C. §§ 102(e) and 103 render the statement of product-by-process patentability moot, the claims should be interpreted as broadly for infringement as they were to determine patentability.

#### CONCLUSION

For the reasons presented above, Applicant respectfully requests reconsideration and prompt allowance of all pending claims. A Petition for Extension of Time is enclosed. Please deduct the petition fee and apply any other charges or credits to Deposit Account No.50-1986, referencing attorney docket number C062-02/03 US.

Respectfully submitted,

Date: November 13, 2009
Cubist Pharmaceuticals, Inc.
65 Hayden Avenue
Lexington, Massachusetts 02421

Tel.: (781) 860-8660 Fax: (781) 860-1407 /Jill M. Mandelblatt/

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C062-02-03 US 20091113 resp to 20090811 OA

Doc code: IDS Doc description: Information Disclosure Statement (IDS) Filed

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Approved for use through 07/31/2012. OMB 0651-0031

Ormation Disclosure Statement (IDS) Filed

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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	Application Number		11739180	
	Filing Date		2007-04-24	
INFORMATION DISCLOSURE	First Named Inventor	Thon	nas Kelleher	
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		1656	
	Examiner Name	Chih-	-Min Kam	
	Attorney Docket Numb	er	C062-02/03 US	

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Application Number 11739180

Filing Date 2007-04-24

First Named Inventor Thomas Kelleher

Art Unit 1656

Examiner Name Chih-Min Kam

C062-02/03 US

( Not for submission under 37 CFR 1.99)

1	ľ	nited States Application No. 07/060,148, filed June 10, 1987, Baker et al.		
If you wish to	add	additional non-patent literature document citation information please click the Add b	putton	
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Examiner Sig	natu	e Date Considered		
		I if reference considered, whether or not citation is in conformance with MPEP 609.  Iformance and not considered. Include copy of this form with next communication to		
Standard ST.3). ⁴ Kind of docume	3 For ent by	SPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. ² Enter office that issued the document lapanese patent documents, the indication of the year of the reign of the Emperor must precede the sering the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Application is attached.	ial number of the patent doc	ument.

Attorney Docket Number

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

( Not for submission under 37 CFR 1.99)

Application Number		11739180	<del></del>
Filing Date		2007-04-24	T. C.
First Named Inventor	Thomas Kelleher		
Art Unit	1656		
Examiner Name	Chih-Min Kam		
Attorney Docket Number C062-02/03 US			

		CER	TIFICATION STATEMENT	
Plea	ase see 37 CFR	1.97 and 1.98 to make the approp	priate selection(s):	
	from a foreign	n of information contained in the patent office in a counterpart for closure statement. See 37 CFR 1.5	information disclosure statement was reign application not more than three 97(e)(1).	s first cited in any communication e months prior to the filing of the
OR	<b>!</b>			
	foreign patent after making re any individual	office in a counterpart foreign applacements of information of inf	formation disclosure statement was plication, and, to the knowledge of the mation contained in the information di ore than three months prior to the fi	ne person signing the certification isclosure statement was known to
	See attached c	ertification statement.		
	Fee set forth in	37 CFR 1.17 (p) has been submit	ted herewith.	
<b>√</b>	None			
A s form	ignature of the a of the signature	pplicant or representative is require.	SIGNATURE red in accordance with CFR 1.33, 10.1	18. Please see CFR 1.4(d) for the
Sigr	nature	/Jill M. N. Mandelblatt/	Date (YYYY-MM-DD)	2009-11-13
Nan	ne/Print	Jill M. N. Mandelblatt	Registration Number	37,878
				-

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

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PETITION	FOR EXTENSION OF TIME UNDER	37 CFR 1.136(a)	Docket Number (Option	onal)
(Fees	FY 2009 pursuant to the Consolidated Appropriations Act,	2005 (H.R. 4818).)	C062	2-02/03 US
	Number 11/739,180		Filed April 24, 200	07
For High I	Purity Lipopeptides			
Art Unit 16	56		Examiner Chih-Mi	n Kam
This is a recapplication.	uest under the provisions of 37 CFR 1.13	6(a) to extend the per	riod for filing a reply in t	he above identified
The request	ed extension and fee are as follows (chec	k time period desired	and enter the appropria	ate fee below):
		<u>Fee</u>	Small Entity Fee	
X	One month (37 CFR 1.17(a)(1))	\$130	\$65	\$65.00
	Two months (37 CFR 1.17(a)(2))	\$490	\$245	\$
	Three months (37 CFR 1.17(a)(3))	\$1110	\$555	\$
	Four months (37 CFR 1.17(a)(4))	\$1730	\$865	\$
	Five months (37 CFR 1.17(a)(5))	\$2350	\$1175	\$
X Applica	nt claims small entity status. See 37 CFR	1.27.		
A chec	k in the amount of the fee is enclosed			
Payme	nt by credit card. Form PTO-2038 is a	attached.		
The Di	rector has already been authorized to	charge fees in this	application to a Depo	osit Account.
	rector is hereby authorized to charge at Account Number		/ be required, or cred	it any overpayment, to
WARNIN Provide	IG: Information on this form may become pu credit card information and authorization or	ublic. Credit card inform n PTO-2038.	mation should not be inc	cluded on this form.
I am the	applicant/inventor.			
	assignee of record of the entire Statement under 37 CFR 3			
	attorney or agent of record. Re	gistration Number		
	X attorney or agent under 37 CF Registration number if acting under		37,878	
	/Jill M. N. Mandelblatt/		Novem	nber 13, 2009
	Signature			Date
	Jill M. N. Mandelblatt			) 860-8660
	Typed or printed name		Telepl	hone Number
	es of all the inventors or assignees of record of the en uired, see below.	itire interest or their represe	entative(s) are required. Submi	it multiple forms if more than one
X Total	of forms ar	re submitted.		

This collection of information is required by 37 CFR 1.136(a). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 6 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Approved for use through 06/30/2010. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995 no persons are required to re						Complete if Know	
Fees pursuant to the Consolidate	ed Appropriat	ions Act, 2005 (H.R. 4	_ '	Application Num			
FEE TRA	ANS	MITTA	LÌ	Filing Date		April 24, 2007	
For	For FY 2009		- 1	First Named Inventor		Thomas Kelleher	
			Examiner Name		Chih-Min Kam		
Applicant claims small e	ntity status.	See 37 CFR 1.27		Art Unit		1656	
TOTAL AMOUNT OF PAYMI	ENT (\$)	548.00	ı	Attorney Docket	No.	C062-02/03 US	
METHOD OF PAYMENT	(check all	that apply)					
Check Credit Card Money Order None Other (please identify):							
Deposit Account Dep		•					maceuticals I
For the above-identifie				•			maccaticals, 1
<del></del>	•				•		and for the filling for
<del></del>	✓ Charge fee(s) indicated below Charge fee(s) indicated below, except for the filing fee						
Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17  WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card							
WARNING: Information on this for information and authorization or	orm may bed n PTO-2038.	come public. Credit o	ard info	ormation should no	t be inc	luded on this form. P	Provide credit card
FEE CALCULATION							
1. BASIC FILING, SEARC			EES				
	FILING F	EES mall Entity	SEAR	CH FEES Small Entity	EXAN	NATION FEES Small Entity	
Application Type	Fee (\$)		Fee (\$)		Fee		Fees Paid (\$)
Utility	330	165	540	270	220	110	
Design	220	110	100	50	140	70	
Plant	220	110	330	165	170	85	
Reissue	330	165	540	270	650	325	·
Provisional	220	110	0	0	0	0	
2. EXCESS CLAIM FEES Fee Description	3					Fee (\$)	Small Entity Fee (\$)
Each claim over 20 (inc						52	26
Each independent clain		ncluding Reissue	es)			220	110
Multiple dependent cla  Total Claims  E	ıms E <b>xtra Claim</b>	s <u>Fee (\$)</u>	Foo	Paid (\$)		390 Multiple D	195 ependent Claims
	3	× 26.00		8.00		Fee (\$)	Fee Paid (\$)
HP = highest number of total cl		· -		D-1-1 (A)			
Indep. Claims E	Extra Claim			Paid (\$) 0			
HP = highest number of indepe	ndent claims		_	<del></del>			
3. APPLICATION SIZE FI	E <b>E</b> Irawings e:	xceed 100 sheets	of par	oer (excluding el	lectron	ically filed seque	ence or computer
If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$270 (\$135 for small entity) for each additional 50							
sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).  Total Sheets Extra Sheets Number of each additional 50 or fraction thereof Fee (\$) Fee Paid (\$)							
100 =	LALIA OIIOO			_ (round <b>up</b> to a w			=
4. OTHER FEE(S)  Non-English Specifica	tion, \$1:	30 fee (no small	entity (	discount)			Fees Paid (\$)
Other (e.g., late filing		:					
SUBMITTED BY							

SUBMITTED BY			
Signature	/Jill M. N. Mandelblatt/	Registration No. (Attorney/Agent) 37,878	Telephone 617-860-8660
Name (Print/Type)	Jill M. N. Mandelblatt		Date November 13, 2009

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Electronic Patent	App	olication Fee	Transm	ittal		
Application Number:	113	739180				
Filing Date:	24-	-Apr-2007				
Title of Invention:	High Purity Lipopeptides  Thomas Kelleher					
First Named Inventor/Applicant Name:	Thomas Kelleher					
Filer:	Jill	Michel-Netka Mano	delblatt/Jodi D	oherty		
Attorney Docket Number:	CO	62-02/03 US				
Filed as Small Entity	•					
Utility under 35 USC 111(a) Filing Fees						
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)	
Basic Filing:						
Pages:						
Claims:						
Claims in excess of 20		2202	3	26	78	
Miscellaneous-Filing:						
Petition:						
Patent-Appeals-and-Interference:						
Post-Allowance-and-Post-Issuance:						
Extension-of-Time:						

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Extension - 1 month with \$0 paid	2251	1	65	65
Miscellaneous:				
Request for continued examination	2801	1	405	405
	Tot	al in USD	(\$)	548

Electronic Ac	Electronic Acknowledgement Receipt					
EFS ID:	6454526					
Application Number:	11739180					
International Application Number:						
Confirmation Number:	8837					
Title of Invention:	High Purity Lipopeptides					
First Named Inventor/Applicant Name:	Thomas Kelleher					
Customer Number:	34103					
Filer:	Jill Michel-Netka Mandelblatt/Jodi Doherty					
Filer Authorized By:	Jill Michel-Netka Mandelblatt					
Attorney Docket Number:	C062-02/03 US					
Receipt Date:	13-NOV-2009					
Filing Date:	24-APR-2007					
Time Stamp:	19:05:56					
Application Type:	Utility under 35 USC 111(a)					

# **Payment information:**

yes
Deposit Account
\$548
7698
501986
-

# File Listing:

Document	Document Description	File Name	File Size(Bytes)/	Multi	Pages
Number	Document Description	riie Naiile	Message Digest	Part /.zip	(if appl.)

information:		Total Files Size (in bytes):	224	46753				
Warnings: Information:								
14/			06cd77aa18e83dd8d9618a0ba2e1054d6d 7d659c					
2	Fee Worksheet (PTO-875)	fee-info.pdf	33877	no	2			
Information:								
Warnings:			1					
	Miscellaneous Inco	oming Letter	56		56			
	Extension of	Time	55	55				
	NPL Documents		23	54				
	Information Disclosure Staten	nent (IDS) Filed (SB/08)	20		22			
	Amendment Submitted/Entered with Filing of CPA/RCE			Amendment Submitted/Entered with Filing of CPA/RCE		2		19
	Miscellaneous Inco	oming Letter	1		1			
	Document Des	scription	Start	E	nd			
	Multip	art Description/PDF files in .	zip description					
,		ing.pdf	c3d5f079e3b59e0f110ef165ec8b00ce1ab4 1b4c	,				
1		C062-02_03_20091113_RCE_Fil	2212876	yes	56			

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Und	er the Paperwork Reduction Act of 1995, no persons are requi Request	red to respond to a collection of informa	
<b>,</b>	_•	Application Number	11/739,180
Ca	for	Filing Date	April 24, 2007
CC	ontinued Examination (RCE)  Transmittal	First Named Inventor	Thomas Kelleher
Addres	s to: op RCE	Art Unit	1656
Commi	ssioner for Patents ox 1450	Examiner Name	Chih-Min Kam
	dria, VA 22313-1450	Attorney Docket Number	C062-02/03 US

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application.

Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

1.	an ap	nendmen	sion required under 37 CFR 1.114 Note: If the RCE is proper, any its enclosed with the RCE will be entered in the order in which they were tooes not wish to have any previously filed unentered amendment(s) entered t(s).	filed unless applicant	instructs otherwise. If
	a.		Previously submitted. If a final Office action is outstanding, any amendme considered as a submission even if this box is not checked.	ents filed after the fina	d Office action may be
		i. [	Consider the arguments in the Appeal Brief or Reply Brief previously Other	y filed on	
	b.	1	Enclosed		
		1.	X Amendment/Reply iii. X Info	rmation Disclosure S	tatement (IDS)
		ii.	Affidavit(s)/ Declaration(s) iv. X Other		Time/Fee Transmittal
2.	Mi	iscellar		Reference (	_
	a.	1 1	Suspension of action on the above-identified application is requested und		
			period of months. (Period of suspension shall not exceed 3 months; Other		(i) required)
	b.				
3.	F	ees]	The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the	he RCE is filed.	
	a.	X	The Director is hereby authorized to charge the following fees, any under Deposit Account No. $\phantom{00000000000000000000000000000000000$	rpayment of fees, or o	credit any overpayments, to
		i. [	X RCE fee required under 37 CFR 1.17(e)		
		ii.	X Extension of time fee (37 CFR 1.136 and 1.17)		
		iii.	Other	· · · · · · · · · · · · · · · · · · ·	
	b.		Check in the amount of \$encl	losed	
	c.		Payment by credit card (Form PTO-2038 enclosed)		
			mation on this form may become public. Credit card information sho and authorization on PTO-2038.	ould not be included	on this form. Provide credit
		•	SIGNATURE OF APPLICANT, ATTORNEY, OR AGE	NT REQUIRED	
Signa			/Jill M. N. Mandelblatt/	Date	November 13, 2009
Name	(Pr	rint/Type)	Jill M. N. Mandelblatt	Registration No.	37,878
			CERTIFICATE OF MAILING OR TRANSMIS	SSION	
addres	sed	to: Mail S	this correspondence is being deposited with the United States Postal Service with su Stop RCE, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 on Hown below.		
Signat					
Name	(Prir	nt/Type)		Date	

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SE ND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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P/	ATENT APPL	ICATION FE Substitute for			N RECORD	А		Docket Number 19,180		ing Date 24/2007	To be Mailed
	Al	PPLICATION A	AS FILE (Column 1		(Column 2)		SMALL	ENTITY 🛛	OR		HER THAN ALL ENTITY
	FOR	T	JMBER FIL	·	MBER EXTRA		RATE (\$)	FEE (\$)		RATE (\$)	FEE (\$)
	BASIC FEE (37 CFR 1.16(a), (b),	or (c))	N/A		N/A		N/A		1	N/A	
	SEARCH FEE (37 CFR 1.16(k), (i),		N/A		N/A		N/A		1	N/A	
	EXAMINATION FE (37 CFR 1.16(o), (p),		N/A		N/A		N/A			N/A	
	TAL CLAIMS CFR 1.16(i))		min	us 20 = *			x \$ =		OR	x \$ =	
	EPENDENT CLAIM CFR 1.16(h))	IS	mi	nus 3 = *		l	x \$ =		1	x \$ =	
	APPLICATION SIZE (37 CFR 1.16(s))	sheet is \$25 additi 35 U.	s of pape 50 (\$125 onal 50 s S.C. 41(a	ation and drawin er, the application for small entity) sheets or fraction a)(1)(G) and 37	on size fee due for each n thereof. See						
Ш	MULTIPLE DEPEN										
* If t	the difference in col		,				TOTAL			TOTAL	
L	ДРР	(Column 1)	AMENL	(Column 2)	(Column 3)		SMAL	L ENTITY	OR		ER THAN ALL ENTITY
AMENDMENT	11/13/2009	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA		RATE (\$)	additional Fee (\$)		RATE (\$)	ADDITIONAL FEE (\$)
ME	Total (37 CFR 1.16(i))	* 59	Minus	** 53	= 6		X \$26 =	156	OR	x \$ =	
	Independent (37 CFR 1.16(h))	* 3	Minus	***3	= 0		X \$110 =	0	OR	x \$ =	
AM	Application S	ize Fee (37 CFR 1	.16(s))								
	FIRST PRESEN	NTATION OF MULTIP	LE DEPEN	DENT CLAIM (37 CF	R 1.16(j))				OR		
							TOTAL ADD'L FEE	156	OR	TOTAL ADD'L FEE	
		(Column 1)		(Column 2)	(Column 3)						
L		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA		RATE (\$)	ADDITIONAL FEE (\$)		RATE (\$)	ADDITIONAL FEE (\$)
Ш	Total (37 CFR 1.16(i))	*	Minus	**	=		x \$ =		OR	x \$ =	
DM	Independent (37 CFR 1.16(h))	*	Minus	***	=		x \$ =		OR	x \$ =	
AMENDMENT	Application S	ize Fee (37 CFR 1	.16(s))								
₽	FIRST PRESEN	NTATION OF MULTIP	LE DEPEN	DENT CLAIM (37 CF	R 1.16(j))				OR		
*  f	the entry in column	1 is less than the e	ntry in col	umn 2, write "0" in	column 3.	• '	TOTAL ADD'L FEE	o two was a to	OR	TOTAL ADD'L FEE	
** If *** I	the "Highest Numb f the "Highest Numb "Highest Number F	er Previously Paid oer Previously Paid	For" IN TH For" IN T	IIS SPACE is less HIS SPACE is les	than 20, enter "20's than 3, enter "3".		/Trina S	·		er.	

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UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
11/739,180	04/24/2007	Thomas Kelleher	C062-02/03 US	8837
	7590 08/11/200 perty Department	9	EXAM	INER
Cubist Pharmac	euticals, Inc.		KAM, CI	HIH MIN
65 Hayden Ave Lexington, MA			ART UNIT	PAPER NUMBER
<i>C</i> ,			1656	
			MAIL DATE	DELIVERY MODE
			08/11/2009	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

		Application No.	Applicant(s)	
	Office Action Comments	11/739,180	KELLEHER ET AL.	
	Office Action Summary	Examiner	Art Unit	
		CHIH-MIN KAM	1656	
Period fo	The MAILING DATE of this communication app or Reply	ears on the cover sheet with the c	orrespondence address	
WHIC - Exter after - If NC - Failu Any r	CRTENED STATUTORY PERIOD FOR REPLY CHEVER IS LONGER, FROM THE MAILING DATE in a solution of time may be available under the provisions of 37 CFR 1.13 SIX (6) MONTHS from the mailing date of this communication. In period for reply is specified above, the maximum statutory period were to reply within the set or extended period for reply will, by statute, reply received by the Office later than three months after the mailing and patent term adjustment. See 37 CFR 1.704(b).	ATE OF THIS COMMUNICATION 36(a). In no event, however, may a reply be tim vill apply and will expire SIX (6) MONTHS from cause the application to become ABANDONEI	l. ely filed the mailing date of this communication. O (35 U.S.C. § 133).	
Status				
1)	Responsive to communication(s) filed on 15 Ma	av 2009		
· · · · · · · · · · · · · · · · · · ·		action is non-final.		
′=	Since this application is in condition for allowar		secution as to the merits is	
٥/ك	closed in accordance with the practice under <i>E</i>			
	closed in accordance with the practice under z	x parte quayre, 1000 O.D. 11, 40	0.0.210.	
Dispositi	on of Claims			
5)□ 6)⊠ 7)⊠	Claim(s) <u>1-29,31-36,38-44,46-52 and 54-57</u> is/s4a) Of the above claim(s) is/are withdraw Claim(s) is/are allowed.  Claim(s) <u>1-5,8-29,31-34,38-42,46-50 and 54-55</u> Claim(s) <u>6,7,35,36,43,44,51 and 52</u> is/are object claim(s) are subject to restriction and/or	vn from consideration.  Z is/are rejected.  cted to.		
Applicati	on Papers			
10)⊠	<ul> <li>9) The specification is objected to by the Examiner.</li> <li>10) The drawing(s) filed on 24 April 2007 is/are: a) accepted or b) objected to by the Examiner.  Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).</li> <li>11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.</li> </ul>			
Priority ι	ınder 35 U.S.C. § 119			
a)[	Acknowledgment is made of a claim for foreign All b) Some * c) None of:  1. Certified copies of the priority documents 2. Certified copies of the priority documents 3. Copies of the certified copies of the prior application from the International Bureau See the attached detailed Office action for a list of	s have been received. s have been received in Application ity documents have been receive n (PCT Rule 17.2(a)).	on No d in this National Stage	
2)  Notic 3) Inforr	e of References Cited (PTO-892) e of Draftsperson's Patent Drawing Review (PTO-948) mation Disclosure Statement(s) (PTO/SB/08) r No(s)/Mail Date	4) X Interview Summary Paper No(s)/Mail Da 5) Notice of Informal Pa 6) Other:	te. <u>20090808</u> .	

	Application No.	Applicant(s)
Intorviou Summany	11/739,180	KELLEHER ET AL.
Interview Summary	Examiner	Art Unit
	CHIH-MIN KAM	1656
All participants (applicant, applicant's representative, PTO	personnel):	
(1) <u>CHIH-MIN KAM</u> .	(3) <i>William DeVaul</i> .	
(2) <u>Jill Mandelblatt</u> .	(4)	
Date of Interview: <u>14 May 2009</u> .		
Type: a)⊠ Telephonic b)□ Video Conference c)□ Personal [copy given to: 1)□ applicant	2) <mark> applicant's representative</mark>	<b>:</b> ]
Exhibit shown or demonstration conducted: d) Yes If Yes, brief description:	e) <u></u> No.	
Claim(s) discussed: pending claims.		
Identification of prior art discussed: Baker et al. (US RE39,	<u>071E)</u> .	
Agreement with respect to the claims f) was reached.	g)⊠ was not reached. h)⊡ N	I/A.
Substance of Interview including description of the general reached, or any other comments: <u>Discussing the Baker reapplicants would present the arguments and evidence indigas in the coming amendment.</u>	erence regarding the purity of	daptomycin (LY 146032).
(A fuller description, if necessary, and a copy of the amend allowable, if available, must be attached. Also, where no callowable is available, a summary thereof must be attached	copy of the amendments that w	
THE FORMAL WRITTEN REPLY TO THE LAST OFFICE A INTERVIEW. (See MPEP Section 713.04). If a reply to the GIVEN A NON-EXTENDABLE PERIOD OF THE LONGER INTERVIEW DATE, OR THE MAILING DATE OF THIS INTFILE A STATEMENT OF THE SUBSTANCE OF THE INTER Requirements on reverse side or on attached sheet.	e last Office action has already OF ONE MONTH OR THIRTY ERVIEW SUMMARY FORM,	been filed, APPLICANT IS / DAYS FROM THIS WHICHEVER IS LATER, TO

U.S. Patent and Trademark Office PTOL-413 (Rev. 04-03) Application/Control Number: 11/739,180 Page 2

Art Unit: 1656

#### **DETAILED ACTION**

#### Status of the Claims

1. Claims 1-29, 31-36, 38-44, 46-52 and 54-57 are pending.

Applicants' amendment filed May 15, 2009 is acknowledged. Claim 1 has been amended, and new claims 54-57 have been cancelled. Therefore, claims 1-29, 31-36, 38-44, 46-52 and 54-57 are examined.

#### Claim Rejections - 35 USC § 102/103

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(e) the invention was described in a patent granted on an application for patent by another filed in the United States before the invention thereof by the applicant for patent, or on an international application by another who has fulfilled the requirements of paragraphs (1), (2), and (4) of section 371(c) of this title before the invention thereof by the applicant for patent.

The changes made to 35 U.S.C. 102(e) by the American Inventors Protection Act of 1999 (AIPA) and the Intellectual Property and High Technology Technical Amendments Act of 2002 do not apply when the reference is a U.S. patent resulting directly or indirectly from an international application filed before November 29, 2000. Therefore, the prior art date of the reference is determined under 35 U.S.C. 102(e) prior to the amendment by the AIPA (pre-AIPA 35 U.S.C. 102(e)).

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

2. Claims 1-5, 8-29, 31-34, 38-42, 46-50 and 54-57 are rejected under 35 U.S.C. 102(e) as anticipated by or, in the alternative, under 35 U.S.C. 103(a) as unpatentable over Baker *et al.* (US RE39,071 E, reissue of U.S. Patent 5,912,226, filed December 16, 1991).

Baker et al. teach an antibacterial composition comprising daptomycin (LY146032) obtained in substantially pure form, which refers to daptomycin that contains less than 2.5% of a combined total of anhydro-daptomycin and beta-isomer of daptomycin (column 8, lines 50-60; Examples 4 and 5; claim 1(g), 54), where daptomycin is purified by a procedure using Diaion HP-20 resin column, followed by HPLC and another HP-20 resin column (Examples 1-5, claim 8). Baker et al. also teach the preparation of a pharmaceutical formulation comprising the purified daptomycin (LY146032) with pharmaceutical carriers or excipients (column 9, lines 47-59; claims 9, 38, 46 and 55-57). Although Baker et al. do not specifically disclose the daptomycin (LY146032) that is essentially pure (i.e., at least 98% of a sample being daptomycin as defined at page 11, lines 23-26 of the instant specification); that is substantially free of anhydro-daptomycin (no more than 1%; page 11, lines 27-29) and substantially free of β-isomer of daptomycin (no more than 1%); that is essentially free of anhydro-daptomycin (no more than 0.5%; page 12, lines 1-3) and substantially free of β-isomer of daptomycin (no more than 1%); that is free of anhydro-daptomycin (no more than 0.1%; page 12, lines 4-6) and substantially free of β-isomer of daptomycin (no more than 1%), the reference does indicate the daptomycin (LY146032) is in substantially pure form and contains less than 2.5% of a combined total of anhydro-daptomycin and beta-isomer of daptomycin. Furthermore, Baker et al. discloses a composition or pharmaceutical composition comprising substantially pure daptomycin, which meets the criteria of claim 1(a)-1(d) and 1(g), and its dependent claims because the term

"comprising" indicates the composition can contain something else besides substantially or essentially pure daptomycin. Since claim 1(a)-1(d) and 1(g) merely recites substantially or essentially pure daptomycin that may contain slight amount of anhydro-daptomycin and betaisomer of daptomycin, it is obvious that a composition comprising LY146032 that is substantially pure taught by Baker et al., which encompass the embodiments of essentially pure daptomycin at least 98% pure (claims 1(a), 2, 31, 39, 47), the embodiments of substantially free of anhydro-daptomycin (no more than 1%) and substantially free of β-isomer of daptomycin (no more than 1%; claims 1(b), 3, 32, 40, 48), the embodiments of essentially free of anhydrodaptomycin (no more than 0.5%) and substantially free of β-isomer of daptomycin (no more than 1%; claims 1(c), 4, 33, 41, 49), and the embodiments of free of anhydro-daptomycin (no more than 0.1%) and substantially free of  $\beta$ -isomer of daptomycin (no more than 1%; claims 1(d), 5, 34, 42, 50). It is also obvious that claims 11-29 are not patentable because the product by process claims are limited by and defined by the process, determination of patentability is based on the product itself, and the patentability of a product does not depend on its method of production (see MPEP 2113). In the instant case, the composition comprising daptomycin that is substantially free of anhydro-daptomycin and beta-isomer of daptomycin (less than 2.5% impurity) as indicated in the patent is not different from the claimed composition comprising essentially or substantially pure daptomycin (>98% daptomycin), even though the daptomycin of reference is purified by a different process. Baker et al. also disclose an antibiotic composition comprised of a combination of a compound of formula 1 (i.e., anhydro-A21978C; column 1, lines 14-21), a compound of formula 2 (isomer of A21978C) and a compound of formula 3 (the

parent cyclic peptide of A21978C; LY146032) or pharmaceutically acceptable salts (Reissue: claim 18; claim 10 of instant application).

#### Response to Arguments

Applicants indicate that Baker did not provide LY146032 that is at least 97.5% pure because (A) other impurities are implied in Baker, (B) a dozen other impurities later discovered by Applicants were unappreciated in Baker and were at least 7% in Baker's later work, and (C) Baker's later work teaches at best 93% purity. Regarding item A, applicants argue that Baker did not discuss overall purity of daptomycin in the composition, and Baker does not disclose the purity level of daptomycin in the sample but discloses the level of anhydro-daptomycin and betaisomer of daptomycin in relation to daptomycin. Baker implies that other degradants are present, but are not predominant in the pH range that optimizes the transpeptidation reactions. Regarding item B, applicants argue that Baker likely had less than 93% LY146032 because it did not recognize existence of other impurities and Baker's later work (U.S. Patent 4,874,843) shows undetermined impurities at least as great as 7%. Regarding item C, applicants argue that Baker's later work (U.S. Patent 4,874,843) describes at best 93% purity. Furthermore, the Applicants described the use of the purification method from the '843 patent in Example 2 of the present application (See page 52, lines 1-5), and the purity level of the composition was 91%. Moreover, Applicants' use of the HPLC method described in the present invention revealed that the daptomycin purified by the '843 patent's method in Example 2 of the present application contained fourteen impurities (anhydro daptomyein, beta-isomer of daptomyein and 12 additional impurities; see Example 10, page 57, line 10- page 60, line 8), It was Applicants' present discovery of the impurities and the resulting method to produce more pure forms of

daptomyein that are non-obvious over Baker. In view of the foregoing, the rejection should be withdrawn (pages 8-12 of the response).

Applicants' response has been fully considered. However, the arguments are not found persuasive because of the following reasons. Regarding item A, Baker et al. teach an antibacterial composition comprising daptomycin (LY146032) obtained in substantially pure form, which refers to daptomycin that contains less than 2.5% of a combined total of anhydrodaptomycin and beta-isomer of daptomycin (column 8, lines 50-60; Examples 4 and 5). Since Baker et al. do not indicate other impurities besides anhydro-daptomycin and beta-isomer of daptomycin are contained in the daptomycin (LY146032) in substantially pure form, it reads that the daptomycin has more than 97.5% purity. While Baker implies that other degradants are present, but are not predominant in the pH range that optimizes the transpeptidation reactions, the reference does not indicate other degradants are present after the purification procedure (column 8, lines 45-49). Regarding items B and C, while Baker's later work (U.S. Patent 4,874,843) shows undetermined impurities at least as great as 7%, and daptomycin has at best 93% purity, the '843 patent only use a single HP-20 resin column to purify daptomycin, which is different from the purification procedure (i.e., Diaion HP-20 resin column, followed by HPLC and another HP-20 resin column) used by Baker et al. in the US RE39,071 E. Thus, even Baker (U.S. Patent 4,874,843) shows undetermined impurities at least as great as 7%, and daptomycin has at best 93% purity, it does not mean that the daptomycin purified by Baker et al. in the US RE39,071 E has at best 93% purity since the purification procedures used by two patents are different. As shown in Example 2 of the present application, the purity level of the daptomycin was 91% using the purification method from the '843 patent, and the daptomycin sample was

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further confirmed to contain fourteen impurities (Example 10), which does not mean the daptomycin purified by Baker *et al.* in the US RE39,071 E would have at best 93% purity when a different purification procedure is used. Even if the daptomycin purified by Baker *et al.* in the US RE39,071 E does not have 97.5% purity, the composition comprising daptomycin (LY146032) obtained in substantially pure form that contains less than 2.5% of a combined total of anhydro-daptomycin and beta-isomer of daptomycin as taught by Baker *et al.* is not different from the claimed composition as indicated in claim 1(a)-1(d) and 1(g) because the term "comprising" indicates the composition can contain something else besides substantially or essentially pure daptomycin in a composition comprising substantially or essentially pure daptomycin. Therefore, the rejection of claim 1(a)-1(d) and its dependent claims are maintained.

### Claim Objections

3. Claims 6-7, 35-36, 43-44 and 51-52 are objected to because the claims are dependent from a rejected claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

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#### Conclusion

4. Claims 1-5, 8-29, 31-34, 38-42, 46-50 and 54-57 are rejected; and claims 6-7, 35-36, 43-44 and 51-52 are objected to.

Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Chih-Min Kam whose telephone number is (571) 272-0948. The examiner can normally be reached on 8.00-4:30, Mon-Fri.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Jon Weber can be reached at 571-272-0925. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

/Chih-Min Kam/

Primary Examiner, Art Unit 1656

**CMK** 

August 8, 2009



Application No.	Applicant(s)	
11/739,180	KELLEHER ET AL.	
Examiner	Art Unit	
CHIH-MIN KAM	1656	

	SEARCHED							
Class	Subclass	Date	Examiner					
514	9, 11, 2, 14							
530	317, 322							
530	344							
435	886							

INTERFERENCE SEARCHED						
Subclass	Date	Examiner				

SEARCH NOTES (INCLUDING SEARCH STRATEGY)				
	DATE	EXMR		
EAST Search on USPAT, USPGPUB, DERWENT, EPO, JPO; STN search on MEDLINE, BIOSIS, EMBASE, SCISEARCH, AGRICOLA.	2/13/2008	СМК		
Search strategy enclosed, Inventor name search, Parent applications 60/177,170 and 09/735,191, 10/747,48 have been reviewed.	2/13/2008	СМК		
Update the search	10/28/2008	СМК		
Update the search	8/5/2009	СМК		

U.S. Patent and Trademark Office Part of Paper No. 20090808

## **EAST Search History**

## **EAST Search History (Prior Art)**

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L1	721	daptomycin	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2009/08/05 08:52
L2	51311	substantially adj pure	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2009/08/05 08:52
L3	13508	essentially adj pure	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2009/08/05 08:52
L4	7	L1 same (L2 or L3)	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2009/08/05 08:52
L5	8	impurities same L1	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2009/08/05 08:53
L6	8	anhydro-daptomycin or beta-daptomycin	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2009/08/05 08:53
L7	48441	anion adj exchange	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2009/08/05 08:54
L8	12152	hydrophobic adj interaction adj chromatography	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2009/08/05 08:54
L9	5	L1 same L7 same L8	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2009/08/05 08:54
L10	102	(Ly adj "146032") or A- 21978C or A54145 or A- 21978	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2009/08/05 08:54
L11	2	L10 same (L2 or L3)	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2009/08/05 08:54
L12	20	kelleher adj thomas.in.	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2009/08/05 08:54
L13	8	lai adj jan-ji.in.	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2009/08/05 08:54

L14	3	decourcey adj joseph. in.	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2009/08/05 08:54
L15	27	lynch adj paul.in.	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2009/08/05 08:54
L16	64	zenoni adj maurizio.in.	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2009/08/05 08:54
L17	6	tagliani adj auro.in.	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2009/08/05 08:54
L18	111	L12 or L13 or L14 or L15 or L16 or L17	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2009/08/05 08:54
L19	6	L18 and L1	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2009/08/05 08:54

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#### (FILE 'HOME' ENTERED AT 08:56:32 ON 05 AUG 2009)

FILE 'MEDLINE, CAPLUS, BIOSIS, EMBASE, SCISEARCH, AGRICOLA' ENTERED AT

08:56:56 ON 05 AUG 2009

- L1 5773 S DAPTOMYCIN
- L2 2767 S SUBSTANTIALLY PURE
- L3 2193 S ESSENTIALLY PURE
- L4 0 S L1 (P) (L2 OR L3)
- L5 2 S L1 (P) IMPURITIES
- L6 2 DUPLICATE REMOVE L5 (0 DUPLICATES REMOVED)
- L7 4 S ANHYDRO-DAPTOMYCIN OR BETA-DAPTOMYCIN
- L8 4 DUPLICATE REMOVE L7 (0 DUPLICATES REMOVED)
- L9 4 S L8 NOT L5
- L10 105954 S ANION EXCHANGE
- L11 9991 S HYDROPHOBIC INTERACTION CHROMATOGRAPHY
- L12 2 S L1 (P) (L10) (P) L11
- L13 2 DUPLICATE REMOVE L12 (0 DUPLICATES REMOVED)
- L14 1 S L13 NOT (L5 OR L7)
- L15 384 S (LY 146032) OR A-21978C OR A54145 OR A-21978
- L16 1 S L15 (P) (L2 OR L3)
- L17 1 S L16 NOT (L5 OR L7 OR L14)
- L18 189 S KELLEHER T?/AU
- L19 10273 S LAI J?/AU
- L20 12 S DECOURCEY J?/AU
- L21 3444 S LYNCH P?/AU
- L22 73 S ZENONI M?/AU
- L23 125 S TAGLIANI A?/AU
- L24 14103 S L18 OR L19 OR L20 OR L21 OR L22 OR L23
- L25 20 S L24 AND L1
- L26 0 S L25 AND (L2 OR L3)
- L27 1 S L25 AND IMPURITIES
- L28 0 S L27 NOT (L5 OR L7 OR L14)

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## RECEIVED CENTRAL FAX CENTER MAY 1 5 2009

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No.

11/739,180

Confirmation No.

8837

Applicant

Thomas Kelleher et al.

Filed

April 24, 2007

TC/A.U.

1656

Examiner

Chih Min Kam

Docket No.

C062-02/03 US

Customer No.:

34103

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313

### **AMENDMENT**

This Amendment is filed in response to the Office Action mailed November 17, 2008 (hereafter "the Office Action") in the above-identified application.

#### Certificate of Transmission/Mailing

I hereby certify that this correspondence (Amendment) is being deposited with the United States Postal Service with sufficient postage as First Class Mail and is addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 or is being facsimile transmitted to the USP'TO on the date shown below.

May 15, 2009

Page 1 of 13

US Serial No. 11/739,180

Attorney Docket No. C062-02/03 US

### **AMENDMENTS TO THE CLAIMS**

RECEIVED CENTRAL FAX CENTER

MAY 1 5 2009

- 1. (Currently amended) A composition comprising
- (a) essentially pure daptomycin,
- (b) daptomycin that is substantially free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin,
- (c) daptomycin that is essentially free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin,
- (d) daptomycin that is free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin,
- (e) daptomycin that is substantially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12, or
- (f) daptomycin that is essentially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12 or
- (g) substantially pure daptomycin.
- 2. (Original) The composition of claim 1 comprising essentially pure daptomycin.
- 3. (Original) The composition of claim 1 compromising daptomycin that is substantially free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin.
- 4. (Original) The composition according to claim 3 that is essentially free of anhydro-daptomycin.
- 5. (Original) The composition according to claim 3 that is free of anhydro-daptomycin.
- 6. (Original) The composition of claim 1 that is substantially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12.
- 7. (Original) The composition according to claim 6 that is essentially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12.
- 8. (Original) The composition of claim 1, wherein daptomycin purity is measured by HPLC.

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- 9. (Original) The composition of claim 1 further comprising a pharmaceutically acceptable carrier or excipient.
- 10. (Original) A pharmaceutical composition according to claim 9, further comprising one or more antibiotics, one or more antifungal agents, or both an antibiotic and an antifungal agent.
- 11. (Original) The composition according to claim 1 wherein the daptomycin is purified by a process comprising the steps of:
  - a) supplying a fermentation broth;
- b) fermenting Streptomyces roseosporus with a feed of n-decanoic acid to produce daptomycin in the fermentation broth;
  - c) clarifying the fermentation broth to obtain a clarified solution;
- d) subjecting the clarified solution to anion exchange chromatography to obtain an enriched daptomycin preparation;
- e) subjecting the enriched daptomycin preparation to hydrophobic interaction chromatography to obtain a semi-purified daptomycin preparation; and
- f) subjecting the semi-purified daptomycin preparation to anion exchange chromatography to obtain the composition of claim 1.
- 12. (Original) The composition according to claim 11, wherein the feed of n-decanoic acid is regulated to achieve a residual concentration of n-decanoic acid of no more than 50 parts per million (ppm) during fermentation.
- 13. (Original) The composition according to claim 11, wherein said clarifying comprises filtration or centrifugation and depth filtration.
- 14. (Original) The composition according to claim 11, wherein the anion exchange chromatography in d) is performed using a resin comprising a copolymer of 2-methacrylic acid and ethyleneglycol dimethacrylate (EDGM).
- 15. (Original) The composition according to claim 11, wherein the hydrophobic interaction chromatography is performed using a resin comprising a copolymer of cross-linked divinylbenzene/stryene.
- 16. (Original) The composition according to claim 15, wherein the hydrophobic interaction chromatography is performed at neutral pH and a solvent

US Serial No. 11/739.180

Attorney Docket No. C062-02/03 US

concentration that is reduced compared to the solvent concentration used when performing the hydrophobic interaction chromatography at acidic pH.

- 17. (Original) The composition according to claim 16, wherein the resin is recycled by loading the column at an acidic pH and eluting the column at a neutral pH.
- 18. (Original) The composition according to claim 11, wherein the anion exchange chromatography in f) is performed using a resin comprising a copolymer of 2-methacrylic acid and ethyleneglycol dimethacrylate (EDGM).
- 19. (Original) The composition according to claim 11, wherein the anion exchange chromatography is used to reduce the level of solvent in the clarified solution.
- 20. (Original) The composition according to claim 11, wherein the anion exchange chromatography is performed via continuous flow chromatography.
- 21. (Original) The composition according to claim 11, wherein the process further comprises the step of filtering daptomycin.
- 22. (Original) The composition according to claim 11, wherein the process further comprises the step of depyrogenating daptomycin using ultrafiltration.
- 23. (Original) The composition according to claim 22 wherein said depyrogenating comprises the steps of:
- i) providing a daptomycin solution under conditions in which the daptomycin is in a monomeric and nonmicellar state;
- ii) filtering the daptomycin solution under conditions in which the daptomycin passes through the filter but pyrogens do not pass through the filter;
- iii) subjecting the daptomycin solution to conditions forming a daptomycin aggregate;
- iv) filtering the daptomycin aggregate under conditions in which the daptomycin aggregate is retained on the filter; and
  - v) collecting the daptomycin aggregate.
- 24. (Original) The composition according to claim 22, wherein the process further comprises the step of lyophilizing daptomycin.
- 25. (Original) The composition according to claim 22, wherein the anion exchange chromatography is performed via radial flow chromatography.

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- 26. (Original) The composition according claim 11, wherein said clarifying comprises microfiltration or centrifugation.
- 27. (Original) The composition according to claim 11, wherein the process further comprises the steps of filtering and concentrating daptomycin.
- 28. (Original) The composition according to claim 11, wherein the process further comprises the step of separating the enriched daptomycin from low molecular weight material by ultrafiltration.
- 29. (Original) The composition according to claim 28, wherein the process further comprises the step of depyrogenating the daptomycin.
  - 30. Canceled
- 31. (Original) The pharmaceutical composition of claim 9 comprising essentially pure daptomycin.
- 32. (Original) The pharmaceutical composition of claim 9 comprising daptomycin that is substantially free of anhydro-daptomycin and substantially free of β-isomer of daptomycin.
- 33. (Original) The pharmaceutical composition of claim 9 comprising daptomycin that is essentially free of anhydro-daptomycin and substantially free of β-isomer of daptomycin.
- 34. (Original) The pharmaceutical composition of claim 9 comptising daptomycin that is free of anhydro-daptomycin and substantially free of β-isomer of daptomycin.
- 35. (Original) The pharmaceutical composition of claim 9 comprising daptomycin that is substantially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12.
- 36. (Original) The pharmaceutical composition of claim 9 comprising daptomycin that is essentially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12.
  - 37. Canceled
- 38. (Original) A method for preparing a pharmaceutical composition comprising combining the composition of claim 1 with a pharmaceutically acceptable

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carrier or excipient.

- 39. (Original) The method of claim 38 wherein the composition is essentially pure daptomycin.
- 40. (Original) The method of claim 38 wherein the composition is daptomycin that is substantially free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin.
- 41. (Original) The method of claim 38 wherein the composition is daptomycin that is essentially free of anhydro-daptomycin and substantially free of β-isomer of daptomycin.
- 42. (Original) The method of claim 38 wherein the composition is daptomycin that is free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin.
- 43. (Original) The method of claim 38 wherein the composition is daptomycin that is substantially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12.
- 44. (Original) The method of claim 38 wherein the composition is daptomycin that is essentially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12.
  - 45. Canceled
- 46. (Original) A pharmaceutical composition prepared by the method of claim 38.
- 47. (Original) The pharmaceutical composition of claim 46 wherein the composition is essentially pure daptomycin.
- 48. (Original) The pharmaceutical composition of claim 46 wherein the composition is daptomycin that is substantially free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin.
- 49. (Original) The pharmaceutical composition of claim 46 wherein the composition is daptomycin that is essentially free of anhydro-daptomycin and substantially free of β-isomer of daptomycin.
  - 50. (Original) The pharmaceutical composition of claim 46 wherein the

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composition is daptomycin that is free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin.

- 51. (Original) The pharmaceutical composition of claim 46 wherein the composition is daptomycin that is substantially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12.
- 52. (Original) The pharmaceutical composition of claim 46 wherein the composition is daptomycin that is essentially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12.
  - 53. Canceled
- 54. (New) The composition of claim 1 comprising substantially pure daptomycin.
- 55. (New)The pharmaceutical composition of claim 9 comprising substantially pure daptomycin.
- 56. (New)The method of claim 38 wherein the composition is substantially pure daptomycin.
- 57. (New)The pharmaceutical composition of claim 46 wherein the composition is substantially pure daptomycin.

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#### REMARKS

Applicants undersigned agent thanks the Examiner for the telephone interview conducted on May 14, 2009. Although no further agreement was reached on the allowability of the claims, Applicants believe the interview provided a helpful explanation of the invention and the basis for the amendment to claim 1 herein and the introduction of claims 54-57. Applicants also acknowledge with thanks the Examiner's indication in the Office Action Summary that certain claims would be allowable if they were not dependent on unallowable claims.

#### The Claim Amendments

Claims 1-29, 31-36, 38-44 and 46-52 were pending in the present application. Applicants have amended claim 1. Applicants have added claims 54-57. Support for amended claim 1 can be found in claim 1 as originally filed. Support for added claim 54 can be found in originally filed claims 1 and 30. Support for new claim 55 can be found in original claim 37. Support for new claim 56 can be found in original claim 45. Support for claim 57 can be found in original claim 53. Accordingly, upon entry of the instant amendments, claims 1-29, 31-36, 38-44, 46-52 and 54-57 will be pending in this application.

## Rejection under 35 U.S.C. §103(a)

Claims 1-5, 8-29, 31-34, 38-42 and 46-50 are rejected under 35 U.S.C. §103(a) as being unpatentable over Baker et al. (US RE39071 E, hereafter <u>Baker</u>). The Office Action states that <u>Baker</u> teaches antibacterial compositions comprising daptomycin in substantially pure form, which refers to daptomycin that contains less than 2.5% of a combined total of anhydro daptomycin and beta-isomer daptomycin where daptomycin is purified using Dianion HP-20 resin column and HPLC. The Office Action reads that <u>Baker</u> does not specifically disclose the daptomycin compositions of the present invention, but "the reference does indicate that daptomycin (LY146032) contains less than 2.5% of a combined total of anhydro-daptomycin and β-isomer of daptomycin, thus it is obvious that LY146032 is at least 97.5% pure, which encompasses embodiments of at least 98% pure(claims 1(a), 2, 31, 39, 47), the embodiments of substantially free of anhydro-daptomycin (no more than 1%) and substantially free β-isomer of daptomycin

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(no more than 1%; claims 3, 32, 40, 48), the embodiments of essentially free anhydro-daptomycin (no more than 0.5%) and substantially free of β-isomer of daptomycin (no more than 1%; claims 1(c) 4, 33, 41, 49), and the embodiments of free of anhydro-daptomycin (no more than 0.1%) and substantially free of β-isomer of daptomycin (no more than 1%; claims 1(d), 5, 32, 42, 50)"[emphasis added].

Applicants respectfully traverse because <u>Baker</u> did not provide LY146032 that is at least 97.5% pure because (A) other impurities are implied in <u>Baker</u>, (B) a dozen other impurities later discovered by Applicants were unappreciated in <u>Baker</u> and were at least 7% in Baker's later work, and (C) Baker's later work teaches at best 93% purity. As explained in detail below, it would not have been obvious how to make the claimed invention based on <u>Baker</u>.

- (A) The statement in the Office action that "it is obvious that LY146032 [in Baker] is at least 97.5% pure..." is an unreasonable assumption. While Baker teaches compositions of daptomycin that contain less than 2.5% of a combined total of anhydro daptomycin and beta-isomer daptomycin, Baker does not discuss overall purity of daptomycin in the composition. Baker does not disclose the purity level of daptomycin in the sample but discloses the level of anhydro-daptomycin and beta isomer of daptomycin in relation to daptomycin. In fact, Baker implies that other degradants are present, but they are not predominant in the pH range that optimizes the transpeptidation reactions. See column 8, lines 47-48 ("In the preparation of formulation 1 and 2 compounds [anhydro form and beta-isomer], a pH range of 4-6 is optimum for the transpeptidation reactions. At pH levels below 4 and above 6, other degradation processes predominate.").
- (B) <u>Baker</u> likely had less than 93% LY146032 because it did not recognize existence of other impurities and Baker's later work shows undetermined impurities at least as great as 7%. <u>Baker</u> does not indicate the levels of any other impurities present in the compositions prepared by <u>Baker</u> or suggest that the other twelve impurities described in the specification of the present application were appreciated. Applicants submit that although <u>Baker</u> did not disclose other impurities, other impurities were likely present in the <u>Baker</u> preparations as evidenced by Baker's later work disclosed in his '843 application and Applicants' own work in the present application. <u>Baker</u> likely contained

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but did not disclose the 12 impurities of the present application and that Baker did not have even 93% purity as a "substantially pure form" as the term was used in Baker thus that phrase must have been used differently in Baker than in the present application.

Baker's later filed work describes at best 93% purity. For example, (C) Baker's later filed '843 patent, which is dealt with extensively in the present application, and the specification of the present application teaches, that prior to the Applicant's invention the highest LY146032 purity levels observed were 90-93%. See for example, page 4, line 29, through page 5, line 2 and the '843 Patent col. 2, 11, 40-44. United States Patent 4,874,843, (based on an application by filed by Baker December 3, 1987, i.e. after the June 10, 1987 priority of Baker's '226 patent') describes several daptomycin purification methods. The '843 patent states that final resolution and separation of daptomycin from structurally similar compounds was impeded by the presence of impurities that were not identifiable by ultraviolet analysis of the fermentation broth. In addition, the '843 patent also states that despite a variety of additional purification attempts, the highest yields obtained were about 93%. See page 3, line 12 through page 4, line 2 of the present specification. In addition, the Applicants described the use of the purification method from the '843 patent in Example 2 of the present application. See page 52, lines 1-5. After purification by the '843 patent's method as described in Example 2 of the present specification, Applicants noted that the purity level of the composition was 91%. It is therefore unreasonable to assume that Baker's preparation was 97.5% daptomycin because of the absence of the other later identified impurities and Baker's work described in the later filed application describing the best purity levels seen.

Applicants' use of the HPLC method described in the present invention revealed that the daptomycin purified by the '843 patent's method in Example 2 of the present application contained fourteen impurities (anhydro daptomycin, beta-isomer of daptomycin and 12 additional impurities). See Example 10, page 57, line 10, through page 60, line 8. It was Applicants' present discovery of the impurities and the resulting method to produce more pure forms of daptomycin that are non-obvious over Baker. The disclosure of '843 and Applicants work (example 2) teach that the highest purity of daptomycin prior to the Applicants invention is 91-93%. Therefore, one of skill in the

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art, based on the disclosure of '843 would acknowledge that there is at best a total of 7% of impurities that exist in the composition. <u>Baker</u> accounts for at most only 2.5% of these impurities and is silent on the other 4.5 % of impurities that are inherent in the composition. In any event, Baker could not have had a daptomycin purity of greater than 93%. Based on this reasoning and <u>Baker's</u> acknowledgement that there are other degradants (*vide supra*), it is incorrect to extrapolate that the LY146032 of <u>Baker</u> is 97.5% pure.

In In Re Rijckaert, 9 F.3d 1531, 1534 (Fed. Cir. 1993), the court acknowledged that "That which may be inherent is not necessarily known. Obviousness cannot be predicated on what is unknown." Based on the Applicant's arguments (vide supra), it is clear that other impurities were present in the Baker composition but unappreciated at the time. Applicants submit that these impurities were not known based on the disclosure by Baker nor by the disclosure of '843. The '843 patent does not identify the impurities present in the composition, nor does the '843 patent contemplate how to remove these impurities. Based on the disclosure of the '843 patent, more than routine experimentation would be required by one of ordinary skill in the art to achieve increased purity of daptomycin given the multitude of attempts made to increase the purity and the recognition that, at best, only 93% daptomycin was achievable. See column 1 line 55 through column 2, line 44 of the '843 patent. The '843 patent does not describe the isolation of any impurities. The '843 patent does not provide a means for separating and isolating the impurities, characterizing the impurities nor does the '843 patent suggest a method to remove the impurities. Prior to Applicant's invention one of skill in the art would not have believed higher purity of daptomycin was achievable because, without knowledge of what impurities were present in '843, no means existed for a method to remove said impurities. Even if one's goal was to achieve higher purity of daptomycin, '843 does not suggest how to accomplish this. The '843 patent does not teach what the impurities are, therefore there is no starting point for one of skill in the art to remove these impurities. In Abbott Laboratories v. Sandoz Inc., 544 F.3d 1341, 1352 (Fed. Cir. 2008), the court ruled that "knowledge of the goal does not render its achievement obvious." Thus, the desire for more purity would not render obvious how to achieve it.

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In addition, <u>Baker</u> is silent on how to obtain anhydro-daptomycin and beta-isomer of daptomycin at the lower percentages described in the present invention. <u>Baker</u> is also silent with respect to the <u>individual</u> amounts of anhydro-daptomycin and beta-isomer of daptomycin disclosed in the present invention.

The Office Action states that claim 11-29 are not patentable because the product by process claims are limited by and defined by the process, determination of patentability is based on the product itself, and the patentability of the product does not depend on its method of production. Applicants have established (vide supra) that the composition is not obvious in light of Baker, therefore the rejection is overcome. For the reasons set forth above, Applicants respectfully request withdrawal of the rejection under 35 U.S.C. § 103(a).

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## **CONCLUSION**

For the reasons presented above, Applicants respectfully request reconsideration and prompt allowance of all pending claims. A Petition for Extension of Time is enclosed. Please deduct the petition fee and apply any other charges or credits to Deposit Account No. 50-1986, referencing attorney docket number C062-02/03.

Respectfully submitted,

Date: May 15, 2009

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Attorneys for Assignce

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Patent Agent for Assignee

C062-02-03 US 20090515 response to 20081117OA

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Four months (37 CFR 1.17(a)(4))	\$1730	\$865	\$
Five months (37 CFR 1.17(a)(5))	\$2350	\$1175	\$
Applicant claims small entity status. See 37 CFR	1.27,		
A check in the amount of the fee is enclosed			
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P	ATENT APPL	ICATION FE Substitute fo			ON RECORD	Α		Docket Number 39,180		ing Date 24/2007	To be Mailed
	APPLICATION AS FILED – PART I (Column 1) (Column 2)						SMALL	ENTITY 🛛	OR		HER THAN
H	FOR	N	` UMBER FII		NUMBER EXTRA		RATE (\$)	FEE (\$)		RATE (\$)	FEE (\$)
BASIC FEE (37 CFR 1.16(a), (b), or (c))					N/A	1	N/A	. ,	1	N/A	, ,
	SEARCH FEE (37 CFR 1.16(k), (i), (i)		N/A		N/A		N/A			N/A	
	EXAMINATION FE (37 CFR 1.16(o), (p),		N/A		N/A		N/A			N/A	
	TAL CLAIMS CFR 1.16(i))		mir	nus 20 = *		1	x \$ =		OR	x \$ =	
IND	EPENDENT CLAIM CFR 1.16(h))	S	m	inus 3 = *			x \$ =			x \$ =	
	APPLICATION SIZE (37 CFR 1.16(s))	shee is \$2 addi	ts of pap 50 (\$125 ional 50 s	er, the applica for small enti sheets or frac	vings exceed 100 ation size fee due ty) for each tion thereof. See 37 CFR 1.16(s).						
	MULTIPLE DEPEN	IDENT CLAIM PR	ESENT (3	7 CFR 1.16(j))							
* If	the difference in colu	umn 1 is less than	zero, ente	r "0" in column	2.		TOTAL			TOTAL	
	APPI	LICATION AS (Column 1)	AMEND	DED – PART (Column 2)			SMAL	L ENTITY	OR		ER THAN ALL ENTITY
AMENDMENT	05/15/2009	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSL PAID FOR	PRESENT Y EXTRA		RATE (\$)	ADDITIONAL FEE (\$)		RATE (\$)	ADDITIONAL FEE (\$)
ME	Total (37 CFR 1.16(i))	* 53	Minus	** 53	= 0		X \$26 =	0	OR	x \$ =	
Ϊ	Independent (37 CFR 1.16(h))	* 2	Minus	***3	= 0		X \$110 =	0	OR	x \$ =	
√ME	Application Si	ize Fee (37 CFR 1	.16(s))			]					
1	FIRST PRESEN	NTATION OF MULTII	PLE DEPEN	DENT CLAIM (37	CFR 1.16(j))				OR		
							TOTAL ADD'L FEE	0	OR	TOTAL ADD'L FEE	
		(Column 1)		(Column 2)	(Column 3)						
		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSL PAID FOR			RATE (\$)	ADDITIONAL FEE (\$)		RATE (\$)	ADDITIONAL FEE (\$)
N H	Total (37 CFR 1.16(i))	*	Minus	**	=		x \$ =		OR	x \$ =	
AMENDMENT	Independent (37 CFR 1.16(h))	*	Minus	***	=		x \$ =		OR	x \$ =	
Ш	Application Si	ize Fee (37 CFR 1	.16(s))								
AM	FIRST PRESEN	NTATION OF MULTII	PLE DEPEN	DENT CLAIM (37	CFR 1.16(j))				OR		
							TOTAL ADD'L FEE		OR	TOTAL ADD'L FEE	
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#### (FILE 'HOME' ENTERED AT 15:57:07 ON 28 OCT 2008)

FILE 'MEDLINE, CAPLUS, BIOSIS, EMBASE, SCISEARCH, AGRICOLA' ENTERED AT

15:57:37 ON 28 OCT 2008

- L1 4657 S DAPTOMYCIN
- L2 2695 S SUBSTANTIALLY PURE
- L3 2157 S ESSENTIALLY PURE
- L4 0 S L1 (P) (L2 OR L3)
- L5 2 S L1 (P) IMPURITIES
- L6 2 DUPLICATE REMOVE L5 (0 DUPLICATES REMOVED)
- L7 4 S ANHYDRO-DAPTOMYCIN OR BETA-DAPTOMYCIN
- L8 4 DUPLICATE REMOVE L7 (0 DUPLICATES REMOVED)
- L9 4 S L8 NOT L5
- L10 102337 S ANION EXCHANGE
- L11 9600 S HYDROPHOBIC INTERACTION CHROMATOGRAPHY
- L12 2 S L1 (P) L10 (P) L11
- L13 2 DUPLICATE REMOVE L12 (0 DUPLICATES REMOVED)
- L14 1 S L13 NOT (L5 OR L9)
- L15 373 S (LY 146032) OR A-21978C OR A54145 OR A-21978
- L16 1 S L15 (P) (L2 OR L3)
- L17 1 S L16 NOT (L5 OR L9 OR L14)
- L18 189 S KELLEHER T?/AU
- L19 9485 S LAI J?/AU
- L20 9 S DECOURCEY J?/AU
- L21 3383 S LYNCH P?/AU
- L22 73 S ZENONI M?/AU
- L23 116 S TAGLIANI A?/AU
- L24 13242 S L18 OR L19 OR L20 OR L21 OR L22 OR L23
- L25 20 S L24 AND L1
- L26 8 DUPLICATE REMOVE L25 (12 DUPLICATES REMOVED)
- L27 6 S L26 NOT (L5 OR L9 OR L14 OR L17)

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# EAST Search History

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L1	599	daptomycin	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2008/10/28 14:36
L2	48078	substantially adj pure	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2008/10/28 14:36
L3	12793	essentially adj pure	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2008/10/28 14:36
L4	7	L1 same (L2 or L3)	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2008/10/28 14:36
L5	8	impurities same L1	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2008/10/28 15:51
L6	8	anhydro-daptomycin or beta-daptomycin	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2008/10/28 15:51
L7	45693	anion adj exchange	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2008/10/28 15:52
L8	11134	hydrophobic adj interaction adj chromatography	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2008/10/28 15:52
L9	5	L1 same L7 same L8	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2008/10/28 15:52
L10	97	(Ly adj "146032") or A- 21978C or A54145 or A- 21978	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2008/10/28 15:53
L11	2	10 same (2 or 3)	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2008/10/28 15:53
L12	20	kelleher adj thomas.in.	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2008/10/28 15:54
L13	7	lai adj jan-ji.in.	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2008/10/28 15:54
L14	3	decourcey adj joseph. in.	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2008/10/28 15:54

L15	27	lynch adj paul.in.	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2008/10/28 15:54
L16	62	zenoni adj maurizio.in.	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2008/10/28 15:54
L17	5	tagliani adj auro.in.	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2008/10/28 15:54
L18	107	L12 or L13 or L14 or L15 or L16 or L17	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2008/10/28 15:54
L19	5	L18 and L1	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2008/10/28 15:54

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
11/739,180	04/24/2007	Thomas Kelleher	C062-02/03 US	8837
	7590 11/17/200 perty Department	8	EXAM	INER
Cubist Pharmac	euticals, Inc.		KAM, CI	HIH MIN
65 Hayden Ave Lexington, MA			ART UNIT	PAPER NUMBER
			1656	
			MAIL DATE	DELIVERY MODE
			11/17/2008	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

		Application No.	Applicant(s)	
Office Action Sun		11/739,180	KELLEHER ET A	L.
Office Action Sum	mary	Examiner	Art Unit	
		CHIH-MIN KAM	1656	
The MAILING DATE of this Period for Reply	communication app	ears on the cover sheet wi	th the correspondence ac	ddress
A SHORTENED STATUTORY P WHICHEVER IS LONGER, FRO - Extensions of time may be available under t after SIX (6) MONTHS from the mailing date - If NO period for reply is specified above, the - Failure to reply within the set or extended po Any reply received by the Office later than the earned patent term adjustment. See 37 CF	M THE MAILING DA the provisions of 37 CFR 1.13 to of this communication. maximum statutory period we priod for reply will, by statute, three months after the mailing	ATE OF THIS COMMUNIC 36(a). In no event, however, may a re vill apply and will expire SIX (6) MON cause the application to become AB	CATION.  eply be timely filed  THS from the mailing date of this of the capacity of the capaci	
Status				
1)⊠ Responsive to communica	tion(s) filed on <u>18 Au</u>	<u>ugust 2008</u> .		
2a) This action is <b>FINAL</b> .	· · ·	action is non-final.		
3) Since this application is in	condition for allowar	nce except for formal matte	ers, prosecution as to th	e merits is
closed in accordance with	the practice under <i>E</i>	x parte Quayle, 1935 C.D	. 11, 453 O.G. 213.	
Disposition of Claims				
4)⊠ Claim(s) <u>1-29,31-36,38-44</u>	<i>and 46-52</i> is/are pe	nding in the application.		
4a) Of the above claim(s) _	is/are withdray	vn from consideration.		
5) Claim(s) is/are allow				
6)⊠ Claim(s) <u>1-5,8-29,31-34,38</u>	8 <u>-42 and 46-50</u> is/are	e rejected.		
7) Claim(s) <u>6,7,35,36,43,44,5</u>	1 and 52 is/are obje	cted to.		
8) Claim(s) are subjec				
Application Papers				
9)☐ The specification is objecte	d to by the Examine	r		
10)⊠ The drawing(s) filed on 24 /	•		ted to by the Examiner.	
Applicant may not request that	•	· · · · · · · · · · · · · · · · · · ·		
Replacement drawing sheet(s				FR 1.121(d).
11)☐ The oath or declaration is o		•	, ,	, ,
Priority under 35 U.S.C. § 119	,			
12)  Acknowledgment is made o	f a alaim for foreign	priority under 25 LLS C. S	110(a) (d) or (f)	
a) ☐ All b) ☐ Some * c) ☐ N		priority under 35 0.3.6. §	119(a)-(u) 01 (1).	
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<del>_</del> '		ı (PCT Rule 17.2(a)).	TOCCIVED III IIIIS IVAIIOIIAI	Olago
* See the attached detailed O			received	
Attachment(s)		<b></b> □	/PTO 4463	
<ol> <li>Notice of References Cited (PTO-892)</li> <li>Notice of Draftsperson's Patent Drawin</li> </ol>	a Review (PTO-948)		Summary (PTO-413) S)/Mail Date	
3) Information Disclosure Statement(s) (P		5) Notice of Ir	nformal Patent Application	
Paper No(s)/Mail Date		6)	<del>_</del> ·	

Application/Control Number: 11/739,180 Page 2

Art Unit: 1656

#### **DETAILED ACTION**

## Status of the Claims

1. Claims 1-29, 31-36, 38-44 and 46-52 are pending.

Applicants' amendment filed August 18, 2008 is acknowledged. Claim 1 has been amended, and claims 30, 37, 45 and 53 have been cancelled. Therefore, claims 1-29, 31-36, 38-44 and 46-52 are examined.

## Withdrawn Claim Objections

2. The previous objection to claims 2-7, 10, 31-34, 39-42 and 47-50 is withdrawn in view of a new ground of rejection made on these claims.

## Withdrawn Claim Rejections - 35 USC § 102

3. The previous rejection of claims 1, 8, 9, 11-30, 37, 38, 45-46 and 53 under 35 U.S.C. 102(e) as being anticipated by Baker *et al.* (US RE39,071 E, reissue of U.S. Patent 5,912,226), is withdrawn in view of applicants' amendment to the claim, and applicant's response at pages 8-9 in the amendment filed August 18, 2008.

#### New Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Art Unit: 1656

4. Claims 1-5, 8-29, 31-34, 38-42 and 46-50 are rejected under 35 U.S.C. 103(a) as being unpatentable over Baker *et al.* (US RE39,071 E, reissue of U.S. Patent 5,912,226, filed December 16, 1991).

Baker et al. teach an antibacterial composition comprising daptomycin (LY146032) in substantially pure form, which refers to daptomycin that contains less than 2.5% of a combined total of anhydro-daptomycin and beta-isomer of daptomycin (column 8, lines 50-60; Example 4), where daptomycin is purified by a procedure using Diaion HP-20 resin column and HPLC (Examples 1-3, claim 8). Baker et al. also teach a pharmaceutical formulation comprising the purified daptomycin (LY146032) with pharmaceutical carriers or excipients can also be prepared (column 9, lines 47-59; claims 9, 38, 46). Although Baker et al. do not specifically disclose the daptomycin (LY146032) that is essentially pure (i.e., at least 98% of a sample being daptomycin as defined at page 11, lines 23-26 of the instant specification); that is substantially free of anhydro-daptomycin (no more than 1%; page 11, lines 27-29) and substantially free of β-isomer of daptomycin (no more than 1%); that is essentially free of anhydro-daptomycin (no more than 0.5%; page 12, lines 1-3) and substantially free of  $\beta$ -isomer of daptomycin (no more than 1%); that is free of anhydro-daptomycin (no more than 0.1%; page 12, lines 4-6) and substantially free of β-isomer of daptomycin (no more than 1%), the reference does indicate the daptomycin (LY146032) contains less than 2.5% of a combined total of anhydro-daptomycin and beta-isomer of daptomycin, thus it is obvious that LY146032 is at least 97.5% pure, which encompass the embodiments at least 98% pure (claims 1(a), 2, 31, 39, 47), the embodiments of substantially free of anhydro-daptomycin (no more than 1%) and substantially free of β-isomer of daptomycin (no more than 1%; claims 1(b), 3, 32, 40, 48), the embodiments of essentially free of anhydrodaptomycin (no more than 0.5%) and substantially free of β-isomer of daptomycin (no more than 1%; claims 1(c), 4, 33, 41, 49), and the embodiments of free of anhydro-daptomycin (no more than 0.1%) and substantially free of  $\beta$ -isomer of daptomycin (no more than 1%; claims 1(d), 5, 34, 42, 50). It is also obvious that claims 11-29 are not patentable because the product by process claims are limited by and defined by the process, determination of patentability is based on the product itself, and the patentability of a product does not depend on its method of production (see MPEP 2113). In the instant case, the composition comprising daptomycin that is substantially free of anhydro-daptomycin and beta-isomer of daptomycin (less than 2.5% impurity, or at least 97.5% pure) as indicated in the patent is the similar to the claimed composition comprising essentially pure daptomycin (>98% daptomycin), even though the daptomycin of reference is purified by a different process. Baker et al. also disclose an antibiotic composition comprised of a combination of a compound of formula 1 (i.e., anhydro-A21978C; column 1, lines 14-21), a compound of formula 2 (isomer of A21978C) and a compound of formula 3 (the parent cyclic peptide of A21978C; LY146032) or pharmaceutically acceptable salts (Reissue:claim 18; claim 10 of instant application).

## Claim Objections

5. Claims 6-7, 35-36, 43-44 and 51-52 are objected to because the claims are dependent from a rejected claim.

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Art Unit: 1656

Conclusion

6. Claims 1-5, 8-29, 31-34, 38-42 and 46-50 are rejected; and claims 6-7, 35-36, 43-44 and

51-52 are objected to.

Any inquiry concerning this communication or earlier communications from the

examiner should be directed to Chih-Min Kam whose telephone number is (571) 272-0948. The

examiner can normally be reached on 8.00-4:30, Mon-Fri.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's

supervisor, Jon Weber can be reached at 571-272-0925. The fax phone number for the

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Information regarding the status of an application may be obtained from the Patent

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system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

/Chih-Min Kam/

Primary Examiner, Art Unit 1656

**CMK** 

November 12, 2008

274 of 424



Application No.	Applicant(s)	
11/739,180	KELLEHER ET AL.	
Examiner	Art Unit	
CHIH-MIN KAM	1656	

	SEAR	CHED	
Class	Subclass	Date	Examiner
514	9, 11, 2, 14		
530	317, 322		
530	344		
435	886		

INT	INTERFERENCE SEARCHED									
Class	Subclass	Date	Examiner							

SEARCH NOTES (INCLUDING SEARCH STRATEGY)							
	DATE	EXMR					
EAST Search on USPAT, USPGPUB, DERWENT, EPO, JPO; STN search on MEDLINE, BIOSIS, EMBASE, SCISEARCH, AGRICOLA.	2/13/2008	СМК					
Search strategy enclosed, Inventor name search, Parent applications 60/177,170 and 09/735,191, 10/747,48 have been reviewed.	2/13/2008	СМК					
Update the search	10/28/2008	СМК					

U.S. Patent and Trademark Office Part of Paper No. 20081029

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No.

11/739,180

Confirmation No.

8837

Applicant

Thomas Kelleher et al.

Filed

April 24, 2007

TC/A.U.

1656

Examiner

Chih Min Kam

Docket No.

C062-02/03 US

Customer No.:

34103

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313

## **AMENDMENT**

This Amendment is filed in response to the Office Action mailed February 19, 2008, (hereafter "the Office Action") in the above-identified application.

#### Certificate of Transmission/Mailing

I hereby certify that this correspondence (.) is being deposited with the United States Postal Service with sufficient postage as First Class Mail and is addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 or is being facsimile transmitted to the USPTO on the date shown below.

Date

## **AMENDMENTS TO THE CLAIMS**

- 1. (Currently amended) A composition comprising
- (a) essentially pure daptomycin,
- (b) daptomycin that is substantially free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin,
- (c) daptomycin that is essentially free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin,
- (d) daptomycin that is free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin,
- (e) daptomycin that is substantially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12, or
- (f) daptomycin that is essentially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12<del>, or</del>
  - —— (g) substantially pure daptomycin.
- 2. (Original) The composition of claim 1 comprising essentially pure daptomycin.
- 3. (Original) The composition of claim 1 compromising daptomycin that is substantially free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin.
- 4. (Original) The composition according to claim 3 that is essentially free of anhydro-daptomycin.
- 5. (Original) The composition according to claim 3 that is free of anhydro-daptomycin.
- 6. (Original) The composition of claim 1 that is substantially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12.
- 7. (Original) The composition according to claim 6 that is essentially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12.
- 8. (Original) The composition of claim 1, wherein daptomycin purity is measured by HPLC.

- 9. (Original) The composition of claim 1 further comprising a pharmaceutically acceptable carrier or excipient.
- 10. (Original) A pharmaceutical composition according to claim 9, further comprising one or more antibiotics, one or more antifungal agents, or both an antibiotic and an antifungal agent.
- 11. (Original) The composition according to claim 1 wherein the daptomycin is purified by a process comprising the steps of:
  - a) supplying a fermentation broth;
- b) fermenting *Streptomyces roseosporus* with a feed of n-decanoic acid to produce daptomycin in the fermentation broth;
  - c) clarifying the fermentation broth to obtain a clarified solution;
- d) subjecting the clarified solution to anion exchange chromatography to obtain an enriched daptomycin preparation;
- e) subjecting the enriched daptomycin preparation to hydrophobic interaction chromatography to obtain a semi-purified daptomycin preparation; and
- f) subjecting the semi-purified daptomycin preparation to anion exchange chromatography to obtain the composition of claim 1.
- 12. (Original) The composition according to claim 11, wherein the feed of n-decanoic acid is regulated to achieve a residual concentration of n-decanoic acid of no more than 50 parts per million (ppm) during fermentation.
- 13. (Original) The composition according to claim 11, wherein said clarifying comprises filtration or centrifugation and depth filtration.
- 14. (Original) The composition according to claim 11, wherein the anion exchange chromatography in d) is performed using a resin comprising a copolymer of 2-methacrylic acid and ethyleneglycol dimethacrylate (EDGM).
- 15. (Original) The composition according to claim 11, wherein the hydrophobic interaction chromatography is performed using a resin comprising a copolymer of cross-linked divinylbenzene/stryene.
- 16. (Original) The composition according to claim 15, wherein the hydrophobic interaction chromatography is performed at neutral pH and a solvent

concentration that is reduced compared to the solvent concentration used when performing the hydrophobic interaction chromatography at acidic pH.

- 17. (Original) The composition according to claim 16, wherein the resin is recycled by loading the column at an acidic pH and eluting the column at a neutral pH.
- 18. (Original) The composition according to claim 11, wherein the anion exchange chromatography in f) is performed using a resin comprising a copolymer of 2-methacrylic acid and ethyleneglycol dimethacrylate (EDGM).
- 19. (Original) The composition according to claim 11, wherein the anion exchange chromatography is used to reduce the level of solvent in the clarified solution.
- 20. (Original) The composition according to claim 11, wherein the anion exchange chromatography is performed via continuous flow chromatography.
- 21. (Original) The composition according to claim 11, wherein the process further comprises the step of filtering daptomycin.
- 22. (Original) The composition according to claim 11, wherein the process further comprises the step of depyrogenating daptomycin using ultrafiltration.
- 23. (Original) The composition according to claim 22 wherein said depyrogenating comprises the steps of:
- i) providing a daptomycin solution under conditions in which the daptomycin is in a monomeric and nonmicellar state;
- ii) filtering the daptomycin solution under conditions in which the daptomycin passes through the filter but pyrogens do not pass through the filter;
- iii) subjecting the daptomycin solution to conditions forming a daptomycin aggregate;
- iv) filtering the daptomycin aggregate under conditions in which the daptomycin aggregate is retained on the filter; and
  - v) collecting the daptomycin aggregate.
- 24. (Original) The composition according to claim 22, wherein the process further comprises the step of lyophilizing daptomycin.
- 25. (Original) The composition according to claim 22, wherein the anion exchange chromatography is performed via radial flow chromatography.

- 26. (Original) The composition according claim 11, wherein said clarifying comprises microfiltration or centrifugation.
- 27. (Original) The composition according to claim 11, wherein the process further comprises the steps of filtering and concentrating daptomycin.
- 28. (Original) The composition according to claim 11, wherein the process further comprises the step of separating the enriched daptomycin from low molecular weight material by ultrafiltration.
- 29. (Original) The composition according to claim 28, wherein the process further comprises the step of depyrogenating the daptomycin.
  - 30. Canceled
- 31. (Original) The pharmaceutical composition of claim 9 comprising essentially pure daptomycin.
- 32. (Original) The pharmaceutical composition of claim 9 comprising daptomycin that is substantially free of anhydro-daptomycin and substantially free of β-isomer of daptomycin.
- 33. (Original) The pharmaceutical composition of claim 9 comprising daptomycin that is essentially free of anhydro-daptomycin and substantially free of β-isomer of daptomycin.
- 34. (Original) The pharmaceutical composition of claim 9 comprising daptomycin that is free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin.
- 35. (Original) The pharmaceutical composition of claim 9 comprising daptomycin that is substantially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12.
- 36. (Original) The pharmaceutical composition of claim 9 comprising daptomycin that is essentially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12.
  - 37. Canceled
- 38. (Original) A method for preparing a pharmaceutical composition comprising combining the composition of claim 1 with a pharmaceutically acceptable

carrier or excipient.

- 39. (Original) The method of claim 38 wherein the composition is essentially pure daptomycin.
- 40. (Original) The method of claim 38 wherein the composition is daptomycin that is substantially free of anhydro-daptomycin and substantially free of β-isomer of daptomycin.
- 41. (Original) The method of claim 38 wherein the composition is daptomycin that is essentially free of anhydro-daptomycin and substantially free of β-isomer of daptomycin.
- 42. (Original) The method of claim 38 wherein the composition is daptomycin that is free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin.
- 43. (Original) The method of claim 38 wherein the composition is daptomycin that is substantially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12.
- 44. (Original) The method of claim 38 wherein the composition is daptomycin that is essentially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12.
  - 45. Canceled
- 46. (Original) A pharmaceutical composition prepared by the method of claim 38.
- 47. (Original) The pharmaceutical composition of claim 46 wherein the composition is essentially pure daptomycin.
- 48. (Original) The pharmaceutical composition of claim 46 wherein the composition is daptomycin that is substantially free of anhydro-daptomycin and substantially free of β-isomer of daptomycin.
- 49. (Original) The pharmaceutical composition of claim 46 wherein the composition is daptomycin that is essentially free of anhydro-daptomycin and substantially free of β-isomer of daptomycin.
  - 50. (Original) The pharmaceutical composition of claim 46 wherein the

composition is daptomycin that is free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin.

- 51. (Original) The pharmaceutical composition of claim 46 wherein the composition is daptomycin that is substantially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12.
- 52. (Original) The pharmaceutical composition of claim 46 wherein the composition is daptomycin that is essentially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12.
  - 53. Canceled

#### **REMARKS**

#### The Claim Amendments

Applicants have amended claim 1 to further limit the claim. Support for amended claim 1 can be found in original claim 1. Claims 30, 37, 45 and 53 have been canceled.

Claims 1-29, 31-36, 38-44, and 46-52 are pending in this application.

No new matter has been added.

## Rejections under 35 U.S.C. § 102(e)

Claims 1, 8, 9, 11-30, 37, 38, 45-46 and 53 stand rejected under 35 U.S.C. § 102(e) as anticipated by Baker *et al.* (US Patent 5,912,226, filed December 16, 1991, now RE 39,071). The Office Action states that the prior art date of the reference is determined under 35 U.S.C. § 102(e) prior to the amendment by the AIPA (pre-AIPA 35 U.S.C. § 102(e)). Applicants note however, that the Revised 35 U.S.C. § 102(e) as amended by the AIPA and as further amended by the Intellectual Property and High Technology Technical Amendment Act of 2002 should apply in this case.

According to the Manual of Patent Examining Procedures (MPEP) 706.02(a)IIB, the revised statutory provisions under 35 U.S.C. § 102(e) as amended by the AIPA and as further amended by the Intellectual Property and High Technology Technical Amendment Act of 2002 "...supersede all previous versions of 35 U.S.C. § 102(e) and 374, with only one exception, which is when the potential reference is based on an international application filed prior to November 29, 2000." Since '226 is not based on an international patent application filed before November 29, 2000, the revised 35 U.S.C. § 102(e) applies.

The Office Action reasons that claims 1(g), 8 and 30 are anticipated by '226. because, '226 teaches an antibacterial composition comprising daptomycin in substantially pure form, which refers to daptomycin that contains less than 2.5% of a combined total of anhydro-daptomycin and beta-isomer daptomycin. In addition, the Office Action states that claims 9, 37, 38 45-46 and 53 are also anticipated by '226 because '226 discloses that pharmaceutical compositions comprising purified daptomycin with pharmaceutical carriers or excipients can be prepared. The Office Action states that claims 11-29 are anticipated by '226 because "even though the product by process claims

are limited by and defined by the process, determination of patentability is based on the product itself, and the patentability of a product does not depend on its method of production (see MPEP 2113)."

In order to expedite prosecution, Applicants have amended claim 1 to remove "(g) substantially pure daptomycin" from the claim and canceled claims 30, 37, 45 and 53.

Applicants make these amendments without prejudice and may pursue the deleted subject matter in a Continuation Application.

For the reasons stated above, '226 does not anticipate amended claim 1.

The arguments set forth above are applicable to the rejections of claims, 8-9, 11-29, 38, and 46, since all of these claims ultimately depends from amended Claim 1. Claims 30, 37, 45 and 53 have been canceled (*vida supra*)

The Office Action states that claims 9, 37, 38, 45-46 and 53 are anticipated by '226 because '226 discloses that purified daptomycin with pharmaceutical carriers and excipients can be prepared. The '226 patent does not disclose the level of purity of daptomycin that are in these preparations. As stated above, '226 does not anticipate claims 9, 8 and 46 because '226 does not satisfy all of the limitations of the present invention.

The Office Action states that claim 11-29 are anticipated by '226 because the product by process claims patentability is determined by the product itself and that the composition comprising daptomycin that is substantially free of anhydro-daptomycin and beta isomer of daptomycin is the same as the claimed composition even though the daptomycin of reference is purified by a different process. Applicants have now amended claim 1 from which claims 11-29 depend, and have removed the reference to substantially pure daptomycin. Thus, Applicants have established (*vide supra*) that the composition is not anticipated by '226, therefore the rejection is overcome. Additionally, although the above arguments render the Patent Office's statement of product by process patentability moot, Applicants do not acquiesce to the law as stated by the Examiner because claims should be interpreted the same way for patentability as for infringement.

For the reasons set forth above, Applicants respectfully request withdrawal of the rejection under 35 U.S.C. § 102(e).

## **CONCLUSION**

For the reasons presented above, Applicants respectfully request reconsideration and prompt allowance of all pending claims. A Petition for Extension of Time is enclosed. Please deduct the petition fee and apply any other charges or credits to Deposit Account No. 50-1986, referencing attorney docket number C062-02/03 US.

Respectfully submitted,

Date: August 18, 2008

Cubist Pharmaceuticals, Inc. 65 Hayden Avenue

Lexington, Massachusetts 02421

Tel.: (781) 860-8660 Fax: (781) 860-1407

C062-02-03 US 20080818 Resp to 20080219 OA.doc

/Jill M. N. Mandelblatt/

Timothy J. Douros, Reg. No. 41,716 William D. DeVaul, Reg. No. 42,483 Attorneys for Assignee Jill M.N. Mandelblatt, Reg. No. 37,878 Patent Agent for Assignee Approved for use through 08/31/2008. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARMENT OF COMMERCE

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PETITION FOR EXTENSION OF TIME UNDER	Docket Number (Optional)							
FY 2008 (Fees pursuant to the Consolidated Appropriations Act,	C062-02/03 US							
Application Number 11/739,180	Filed April 24, 2007	Filed April 24, 2007						
For High Purity Lipopeptides								
Art Unit 1656		Examiner Chih Min	Examiner Chih Min Kam					
This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a reply in the above identified application.								
The requested extension and fee are as follows (check			e fee below):					
	<u>Fee</u>	Small Entity Fee	•					
One month (37 CFR 1.17(a)(1))	\$120	\$60	\$					
Two months (37 CFR 1.17(a)(2))	\$460	\$230	\$					
X Three months (37 CFR 1.17(a)(3))	\$1050	\$525	\$525.00					
Four months (37 CFR 1.17(a)(4))	\$1640	\$820	\$					
Five months (37 CFR 1.17(a)(5))	\$2230	\$1115	\$					
X Applicant claims small entity status. See 37 CFR 1.27.								
A check in the amount of the fee is enclosed.								
Payment by credit card. Form PTO-2038 is attached.								
The Director has already been authorized to charge fees in this application to a Deposit Account.								
The Director is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account Number $\phantom{00000000000000000000000000000000000$								
WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.								
I am the applicant/inventor.								
assignee of record of the entire interest. See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed (Form PTO/SB/96).								
attorney or agent of record. Registration Number								
$\overline{X}$ attorney or agent under 37 CF Registration number if acting under		37,878						
/Jill M.N. Mandelblatt/	Augus	August 18, 2008						
Signature			Date					
Jill M.N. Mandelblatt	(781) 860-8660 Telephone Number							
Typed or printed name	,							
NOTE: Signatures of all the inventors or assignees of record of the er signature is required, see below.  X Total of 1 forms ar	ntire interest or their represe re submitted.	entative(s) are required. Submit	multiple forms if more than one					

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Electronic Patent Application Fee Transmittal							
Application Number:	11739180						
Filing Date:	24-Apr-2007						
Title of Invention:	High Purity Lipopeptides						
First Named Inventor/Applicant Name:	Thomas Kelleher						
Filer:	Jill Michel-Netka Mandelblatt/Viana Daly						
Attorney Docket Number:	C062-02/03 US						
Filed as Small Entity							
Utility under 35 USC 111(a) Filing Fees							
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)		
Basic Filing:							
Pages:							
Claims:							
Miscellaneous-Filing:							
Petition:							
Patent-Appeals-and-Interference:							
Post-Allowance-and-Post-Issuance:							
Extension-of-Time:							
Extension - 3 months with \$0 paid		2253	1	525	525		

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
	Total in USD (\$)			525

Electronic Ack	knowledgement Receipt
EFS ID:	3796720
Application Number:	11739180
International Application Number:	
Confirmation Number:	8837
Title of Invention:	High Purity Lipopeptides
First Named Inventor/Applicant Name:	Thomas Kelleher
Customer Number:	34103
Filer:	Jill Michel-Netka Mandelblatt/Viana Daly
Filer Authorized By:	Jill Michel-Netka Mandelblatt
Attorney Docket Number:	C062-02/03 US
Receipt Date:	18-AUG-2008
Filing Date:	24-APR-2007
Time Stamp:	15:24:35
Application Type:	Utility under 35 USC 111(a)

### **Payment information:**

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$525
RAM confirmation Number	672
Deposit Account	501986
Authorized User	

### File Listing:

Document	Document Description	File Name	File Size(Bytes)/	Multi	Pages
Number	Document Description	riie Naille	Message Digest	Part /.zip	(if appl.)

1		C062-02-03_US_20080814_Res	554549	yes	13
·		p_to_20080219_OA.pdf	355d98b2b815653edc4a79a59bf878c5785 2f89d	yes	
	Multipa	art Description/PDF files in	zip description		
	Document Des	cription	Start	E	nd
	Miscellaneous Inco	ming Letter	1		1
	Miscellaneous Inco	ming Letter	2		2
	Amendment - After Nor	n-Final Rejection	3	12	
	Extension of	Time	13		13
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Information:					
2	Fee Worksheet (PTO-06)	fee-info.pdf	30343	no	2
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Information:					
		Total Files Size (in bytes)	584	1892	

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

Approved for use through 08/31/2008. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

	Total Number of Pages in This Submission	13	Attorney Docket Number	C062-02/03 US
	(to be used for all correspondence after initial	filing)	Examiner Name	Chih Min Kam
			Art Unit	1656
	FORM		First Named Inventor	Thomas Kelleher
	TRANSMITTAL		Filing Date	April 24, 2007
			Application Number	11/739,180
_	Under the Paperwork Reduction Act of 1995	. no perso	ns are required to respond to a co	llection of information unless it displays a valid OMB control number.

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			SIGNA	TURE	OF A	APPL	ICANT, AT	TORNEY, C	R AG	ENT	
Firm N	lame	Cubist	Pharmaceutica	als, Inc	c.						
Signat		/Jill M	I.N. Mandelbla	.tt/							
Printed	d name	Jill M.	N. Mandelblat	t							
Date		Augus	st 18, 2008					Reg. No.	37,87	8	
	CERTIFICATE OF TRANSMISSION/MAILING										
sufficie	ent postage te shown be	as first o	rrespondence is bollass mail in an env	eing fac /elope a	simile addres	transi	mitted to the US Commissione	SPTO or depos r for Patents, F	ited with P.O. Box	the Un 1450, <i>i</i>	ited States Postal Service with Alexandria, VA 22313-1450 on
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		•		Application Nur	mber	11/739,180			
FEE TR	AN	51VIII I <i>F</i>	\L	Filing Date		April 24, 2007			
Fo	r FY 2	2008		First Named In	ventor	Thomas Kelleher	r		
X Applicant claims smal	entity statu	s See 37 CFR 1.2	,7	Examiner Nam	е	Chih Min Kam			
			·'	Art Unit		1656			
TOTAL AMOUNT OF PAY	MENT (\$	525.00		Attorney Docke	t No.	C062-02/03 US			
METHOD OF PAYMEN	T (check a	il that apply)							
Check Credit C  X Deposit Account For the above-identi	eposit Accou		Non 0-1986 for is here	Deposit A		me: Cubist Phari	maceuticals, Inc.		
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FEE CALCULATION									
1. BASIC FILING, SEAF	FILING			CH FEES Small Entity	EXAM	INATION FEES Small Entity			
Application Type	Fee (\$)	Fee (\$)	Fee (\$)	Fee (\$)	Fee (	\$) Fee (\$)	Fees Paid (\$)		
Utility	310	155	510	255	210	105	0.00		
Design	210	105	100	50	130	65			
Plant	210	105	310	155	160	80			
Reissue	310	155	510	255	620	310			
Provisional	210	105	0	0	0	0			
Fee Description  Each claim over 20 (i  Each independent cla  Multiple dependent c	Each claim over 20 (including Reissues)  Each independent claim over 3 (including Reissues)  Multiple dependent claims  50 25 210 105 370 185								
Total Claims 53**	Extra Clair			Paid (\$)			endent Claims		
49 x 20x0x HP = -4 x = 0.00  HP = highest number of total claims paid for, if greater than 20.  Indep. Claims									

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$260 (\$130 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets Extra Sheets Number of each additional 50 or fraction thereof Fee (\$) Fee Paid (\$) - 100 = / 50 = _ (round **up** to a whole number) x 0.00

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): Petition for three month extension of time

SUBMITTED BY Registration No. Signature /Jill M.N. Mandelblatt/ Telephone

Name (Print/Type) Jill M.N. Mandelblatt August 18, 2008 This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete. including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS

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37,878

Date

P	ATENT APPL		E DET	ERMINATION		Application or Docket Number 11/739,180			ing Date 24/2007	To be Mailed	
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H	FOR	T	JMBER FIL	· · · · ·	MBER EXTRA		RATE (\$)	FEE (\$)	J	RATE (\$)	FEE (\$)
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	(37 CFR 1.16(k), (i), EXAMINATION FE (37 CFR 1.16(o), (p),	E	N/A		N/A		N/A		1	N/A	
	AL CLAIMS CFR 1.16(i))		min	us 20 = *			x \$ =		OR	x \$ =	
IND	EPENDENT CLAIM CFR 1.16(h))	IS	mi	inus 3 = *			x \$ =		1	x \$ =	
	APPLICATION SIZE 37 CFR 1.16(s))	sheet is \$25 additi 35 U.	s of pape 50 (\$125 onal 50 s S.C. 41(a	ation and drawing er, the applicatio for small entity) sheets or fraction a)(1)(G) and 37	n size fee due for each n thereof. See						
Ш	MULTIPLE DEPEN							7.5			
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	АРР	(Column 1)	AMENL	(Column 2)	(Column 3)		SMAL	L ENTITY	OR		ER THAN ALL ENTITY
:NT	08/18/2008	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA		RATE (\$)	ADDITIONAL FEE (\$)		RATE (\$)	ADDITIONAL FEE (\$)
AMENDMENT	Total (37 CFR 1.16(i))	* 49	Minus	** 53	= 0		X \$25 =	0	OR	x \$ =	
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AM	Application S	ize Fee (37 CFR 1	.16(s))								
	FIRST PRESE	NTATION OF MULTIP	LE DEPEN	DENT CLAIM (37 CFF	R 1.16(j))				OR		
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		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA		RATE (\$)	ADDITIONAL FEE (\$)		RATE (\$)	ADDITIONAL FEE (\$)
EN	Total (37 CFR 1.16(i))	*	Minus	**	=		X \$ =		OR	x \$ =	
AMENDMENT	Independent (37 CFR 1.16(h))	*	Minus	***	=		x \$ =		OR	x \$ =	
	Application S	ize Fee (37 CFR 1	.16(s))								
ΑN	FIRST PRESEN	NTATION OF MULTIP	LE DEPEN	DENT CLAIM (37 CFF	R 1.16(j))				OR		
* If	the entry in column	1 is less than the e	ntry in col	umn 2, write "0" in	column 3.		TOTAL ADD'L FEE	ootrumont C	OR	TOTAL ADD'L FEE	
** If	* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.  ** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".  *** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".  The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.										

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
11/739,180	04/24/2007	Thomas Kellcher	C062-02/03 US	8837
34103	7590 02/19/2008		EXAM	INER
Cubist Pharm	roperty Department aceuticals, Inc.		KAM, CH	HIH MIN
65 Hayden Av Lexington, M			ART UNIT	PAPER NUMBER
			1656	
			MAIL DATE	DELIVERY MODE
			02/19/2008	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

		Application	n No.	Applicant(s)			
·		11/739,18	0	KELLEHER ET AL	<del>-</del> -		
	Office Action Summary	Examiner		Art Unit			
		CHIH-MIN	KAM	1656			
	The MAILING DATE of this communication	appears on the	cover sheet with the c	orrespondence ad	dress		
WHIC - Exter after - If NO - Failui	DRTENED STATUTORY PERIOD FOR RE HEVER IS LONGER, FROM THE MAILING sisions of time may be available under the provisions of 37 CFR SIX (6) MONTHS from the mailing date of this communication. period for reply is specified above, the maximum statutory per e to reply within the set or extended period for reply will, by ste eply received by the Office later than three months after the m	CONTE OF THE R 1.136(a). In no even riod will apply and will atute, cause the apple.	IS COMMUNICATION nt, however, may a reply be tim I expire SIX (6) MONTHS from to become ABANDONE	N. sely filed the mailing date of this co D (35 U.S.C. § 133).			
	d patent term adjustment. See 37 CFR 1.704(b).	•		,			
•	Responsive to communication(s) filed on		5 1				
,	,	This action is no			ia		
• "	Since this application is in condition for allo	· ·			e ments is		
	closed in accordance with the practice unde	ei Ex parte Qu	<i>syle</i> , 1935 C.D. 11, 45	03 O.G. 213.			
Dispositi	on of Claims						
5)□ 6)⊠ 7)⊠	Claim(s) 1-53 is/are pending in the applicate 4a) Of the above claim(s) is/are with Claim(s) is/are allowed.  Claim(s) 1,8,9,11-30,37,38,45,46 and 53 is/Claim(s) 2-7,10,31-36,39-44 and 47-52 is/a Claim(s) are subject to restriction and an application.	drawn from cor /are rejected. are objected to.					
Applicati	on Papers						
• •	The specification is objected to by the Exam	niner.					
<i>,</i> —	The drawing(s) filed on <u>24 April 2007</u> is/are:		d or b) ☐ objected to I	by the Examiner.			
-	Applicant may not request that any objection to						
	Replacement drawing sheet(s) including the cor	rection is require	ed if the drawing(s) is obj	jected to. See 37 CF	FR 1.121(d).		
11) 🔲	The oath or declaration is objected to by the	Examiner. No	te the attached Office	Action or form PT	ΓO-152.		
Priority u	nder 35 U.S.C. § 119						
a)[	Acknowledgment is made of a claim for fore  All b) Some * c) None of:  1. Certified copies of the priority docum  2. Certified copies of the priority docum  3. Copies of the certified copies of the papplication from the International Bur  see the attached detailed Office action for a	ents have been ents have been priority docume reau (PCT Rule	n received. n received in Application ents have been receive e 17.2(a)).	on No ed in this National	Stage		
2) Notic	e of References Cited (PTO-892) e of Draftsperson's Patent Drawing Review (PTO-948)		4) Interview Summary Paper No(s)/Mail Da 5) Notice of Informal P	ate			
	) Information Disclosure Statement(s) (PTO/SB/08)  Paper No(s)/Mail Date 8/14/07.  5) Notice of Informal Patent Application 6) Other:						

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Art Unit: 1656

#### **DETAILED ACTION**

#### Status of the Claims

1. Claims 1-53 are pending and examined.

#### Information Disclosure Statement (IDS)

2. The references on IDS filed August 14, 2007 have been considered and signed.

#### Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless —

(e) the invention was described in a patent granted on an application for patent by another filed in the United States before the invention thereof by the applicant for patent, or on an international application by another who has fulfilled the requirements of paragraphs (1), (2), and (4) of section 371(c) of this title before the invention thereof by the applicant for patent.

The changes made to 35 U.S.C. 102(e) by the American Inventors Protection Act of 1999 (AIPA) and the Intellectual Property and High Technology Technical Amendments Act of 2002 do not apply when the reference is a U.S. patent resulting directly or indirectly from an international application filed before November 29, 2000. Therefore, the prior art date of the reference is determined under 35 U.S.C. 102(e) prior to the amendment by the AIPA (pre-AIPA 35 U.S.C. 102(e)).

3. Claims 1, 8, 9, 11-30, 37, 38, 45-46 and 53 are rejected under 35 U.S.C. 102(e) as anticipated by Baker *et al.* (US RE39,071 E, reissue of U.S. Patent 5,912,226, filed December 16, 1991).

Baker *et al.* teach an antibacterial composition comprising daptomycin (LY146032) in substantially pure form, which refers to daptomycin that contains less than 2.5% of a combined total of anhydro-daptomycin and beta-isomer of daptomycin (column 8, lines 50-60; Example 4;

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Art Unit: 1656

claims 1(g), 30), where daptomycin is purified by a procedure using Diaion HP-20 resin column and HPLC (Examples 1-3, claim 8). A pharmaceutical formulation comprising the purified daptomycin (LY146032) with pharmaceutical carriers or excipients can also be prepared (column 9, lines 47-59; claims 9, 37, 38, 45-46 and 53). The reference also anticipates claims 11-29 because although the product by process claims are limited by and defined by the process, determination of patentability is based on the product itself, and the patentability of a product does not depend on its method of production (see MPEP 2113). In the instant case, the composition comprising daptomycin that is substantially free of anhydro-daptomycin and betaisomer of daptomycin (< 2.5%) as indicated in the patent is the same as the claimed composition comprising substantially pure daptomycin (>95% daptomycin), even though the daptomycin of reference is purified by a different process.

#### Claim Objections

Claims 2-7, 10, 31-36, 39-44 and 47-52 are objected to because the claims are dependent 4. from a rejected claim.

#### Conclusion

5. Claims 1, 8, 9, 11-30, 37, 38, 45-46 and 53 are rejected; and claims 2-7, 10, 31-36, 39-44 and 47-52 are objected to.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Chih-Min Kam whose telephone number is (571) 272-0948. The examiner can normally be reached on 8.00-4:30, Mon-Fri.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Kathleen Bragdon can be reached at 571-272-0931. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Art Unit: 1656

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Chih-Min Kam, Ph. D.

Primary Patent Examiner

CHIH-MIN KAM PRIMARY EXAMINER

Page 4

**CMK** 

February 14, 2008

PTC/SB/08a (05-07)
Approved for use through 09/30/2007. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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#### 11739180 **Application Number** 2007-04-24 Filing Date INFORMATION DISCLOSURE **First Named Inventor** Kelleher, Thomas J. STATEMENT BY APPLICANT Art Unit 1656 (Not for submission under 37 CFR 1.99) **Examiner Name** Chih Min Kam C062-02/03 US Attorney Docket Number

					U.S	.PATENTS		
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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

Application Number		11739180			
Filing Date		2007-04-24			
First Named Inventor	Kelle	her, Thomas J.			
Art Unit -		1656			
Examiner Name	Chir	n Min Kam			
Attorney Docket Numb	er	C062-02/03 US			

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Art Unit	<del> </del>	1656					
Examiner Name		Chih Min Kam					
Attorney Docket Numb	er	C062-02/03 US					

/CMK/	20	RE39071		2006-04	-19	Baker et al.				
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Application Number		11739180					
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Art Unit		1656					
Examiner Name Ch		n Min Kam					
Attorney Docket Numi	er	C062-02/03 US					

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Application Number		11739180				
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Art Unit		1656				
Examiner Name	Chih	h Min Kam				
Attorney Docket Numb	er	C062-02/03 US				

	Examiner Cite Initials* No		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.									
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Examiner Name Chit		h Min Kam				
Attorney Docket Numb	oer	C062-02/03 US				

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#### **BIB DATA SHEET**

#### **CONFIRMATION NO. 8837**

SERIAL NUMBER 11/739,180    Serial Number   11/739,180   Pilling or 371(c)   514   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   1656   165											
RULE  APPLICANTS Thomas Kelleher, Weston, MA; Jan-Ji Lai, Westborough, MA; Joseph P. DeCourcey, Charlestown, MA; Paul Lynch, Arlington, MA; Maurizio Zenoni, Milan, ITALY; Auro Tagliani, Pavia, ITALY; This application is a CON of 10/747,485 12/29/2003 ABN, Which is a DIV J 09/735,191, 11/1 This application is a CON of 10/747,485 12/29/2003 ABN, Which is a DIV J 09/735,191, 11/1 This application is a CON of 10/747,485 12/29/2003 ABN, Which is a DIV J 09/735,191, 11/1 This application is a CON of 10/747,485 12/29/2003 ABN, Which is a DIV J 09/735,191, 11/1 This application is a CON of 10/747,485 12/29/2003 ABN, Which is a DIV J 09/735,191, 11/1 This application is a CON of 10/747,485 12/29/2003 ABN, Which is a DIV J 09/735,191, 11/1 This application is a CON of 10/747,485 12/29/2003 ABN, Which is a DIV J 09/735,191, 11/1 This application is a CON of 10/747,485 12/29/2003 ABN, Which is a DIV J 09/735,191, 11/1 This application is a CON of 10/747,485 12/29/2003 ABN, Which is a DIV J 09/735,191, 11/1 This application is a CON of 10/747,485 12/29/2003 ABN, Which is a DIV J 09/735,191, 11/1 This application is a CON of 10/747,485 12/29/2003 ABN, Which is a DIV J 09/735,191, 11/1 This application is a CON of 10/747,485 12/29/2003 ABN, Which is a DIV J 09/735,191, 11/1 This application is a CON of 10/747,485 12/29/2003 ABN, Which is a DIV J 09/735,191, 11/1 This application is a CON of 10/747,485 12/29/2003 ABN, Which is a DIV J 09/735,191, 11/1 This application is a CON of 10/747,485 12/29/2003 ABN, Which is a DIV J 09/735,191, 11/1 This application is a CON of 10/747,485 12/29/2003 ABN, Which is a DIV J 09/735,191, 11/1 This application is a CON of 10/747,485 12/29/2003 ABN, Which is a DIV J 09/735,191, 11/1 This application is a CON of 10/747,485 12/29/2003 ABN, Which is a DIV J 09/735,191, 11/1 This application is a CON of 10/747,485 12/29/2003 ABN, Which is a DIV J 09/735,191, 11/1 This application is a CON of 10/747,485 12/29/2003 ABN, Which is a DIV J 09/735,191, 11/1 This application is a CON of 10/747,485 12/29/						GRO		UNIT		NO.	
APPLICANTS Thomas Kelleher, Weston, MA; Jan-Ji Lai, Westborough, MA; Joseph P. DeCourcey, Charlestown, MA; Paul Lynch, Arlington, MA; Maurizio Zenoni, Milan, ITALY; Auro Tagliani, Pavia, ITALY;  *** CONTINUING DATA*** This application is a CON of 10/747,485 12/29/2003 ABN, Which is a DIV J 09/735,191, 11/1  *** FOREIGN APPLICATIONS** TFREQUIRED, FOREIGN FILING LICENSE GRANTED *** SMALL ENTITY *** benefit of 60/1/11/11/0  05/08/2007  **Foreign Priority claimed	11/739,180	0	04/24/2007		514		1656		C	062-02/03 US	
Thomas Kelleher, Weston, MA; Jan-Ji Lai, Westborough, MA; Joseph P. DeCourcey, Charlestown, MA; Paul Lynch, Arlington, MA; Maurizio Zenoni, Milan, ITALY;  ***CONTINUING DATA**** This application is a CON of 10/747,485 12/29/2003 ABN, Which is a DEV of 09/935,191, iv  ***FOREIGN APPLICATIONS***  ***FOREIGN APPLICATIONS***  ***IF REQUIRED, FOREIGN FILING LICENSE GRANTED***  ***SMALL ENTITY**  ***benefit of bol/111,111  53 STATE OR COUNTRY SUSC 119(e-d) conditions met			RULE						<u> </u>		
FILING FEE RECEIVED 1250  Foreign Priority claimed   Yes   No	Thomas Kelleher, Weston, MA; Jan-Ji Lai, Westborough, MA; Joseph P. DeCourcey, Charlestown, MA; Paul Lynch, Arlington, MA; Maurizio Zenoni, Milan, ITALY;										
FILING FEE RECEIVED 1250  Foreign Priority claimed   Yes   No	** CONTINUING	S DATA	C a CON of 10/747 45	*** 25 12/20	/2003 ARN (4)	thir	hizal	DIV	709	1935,191 1	
Foreign Priority claimed	** FOREIGN APPLICATIONS ************************************										
Verified and Acknowledged Examiner's Stinature Initials MA 11 53 1  ADDRESS  Intellectual Property Department Cubist Pharmaceuticals, Inc. 65 Hayden Avenue Lexington, MA 02421 UNITED STATES  TITLE  High Purity Lipopeptides  FEES: Authority has been given in Paper No to charge/credit DEPOSIT ACCOUNT No for following: 1.18 Fees (Issue) Other	Foreign Priority claimed	d			STATE OR			тот	AL		
Acknowledged Examiner's Signature Initials MIA III 93  ADDRESS  Intellectual Property Department Cubist Pharmaceuticals, Inc. 65 Hayden Avenue Lexington, MA 02421 UNITED STATES  TITLE High Purity Lipopeptides  FEES: Authority has been given in Paper No to charge/credit DEPOSIT ACCOUNT No for following:    All Fees     1.16 Fees (Filing)     1.17 Fees (Processing Ext. of time)     1.18 Fees (Issue)     Other Other     Other		litions met	Yes No D Met	after wance	COUNTRY	DRA	WINGS	CLAI	MS	CLAIMS	
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Cubist Pharmaceuticals, Inc. 65 Hayden Avenue Lexington, MA 02421 UNITED STATES  TITLE  High Purity Lipopeptides  FEES: Authority has been given in Paper Noto charge/credit DEPOSIT ACCOUNT Nofor following:    All Fees     1.16 Fees (Filing)     1.17 Fees (Processing Ext. of time)     1.18 Fees (Issue)     Other     Other	ADDRESS	<u>.</u>				1	,				
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BIB (Rev. 05/07).



Application No.	Applicant(s)						
11/739,180	KELLEHER ET AL.						
Examiner	Art Unit						
CHIH-MIN KAM	1656						

SEARCHED											
Class	Subclass	Date	Examiner								
514	9, 11, 2, 14	)									
530	317, 322	rot	ad								
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INTERFERENCE SEARCHED			
Class	Subclass	Date	Examiner

SEARCH NOT (INCLUDING SEARCH		
	DATE	EXMR
EAST Search on USPAT, USPGPUB, DERWENT, EPO, JPO; STN search on MEDLINE, BIOSIS, EMBASE, SCISEARCH, AGRICOLA.	2/13/2008	СМК
Search strategy enclosed, Inventor name search, Parent applications 60/177,170 and 09/735,191, 10/747,48 have been reviewed.	2/13/2008	СМК
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### **EAST Search History**

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L1	533	daptomycin	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2008/02/13 17:37
L2	45422	substantially adj pure	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2008/02/13 17:37
L3	12220	essentially adj pure	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR ·	ON	2008/02/13 17:37
L4	7	L1 same (L2 or L3)	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR .	ON .	2008/02/13 17:37
L5	8	impurities same L1	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2008/02/13 17:43
L6	8	anhydro-daptomycin or beta-daptomycin	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2008/02/13 17:44
L7	43363	anion adj exchange	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR ·	ON	2008/02/13 17:45
L8	10299	hydrophobic adj interaction adj chromatography	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2008/02/13 17:45
L9	. 5	L1 same L7 same L8	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2008/02/13 17:45
L10	17	Ly adj "146032"	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2008/02/13 17:45
L11	90	(Ly adj "146032") or A-21978C or A54145 or A-21978	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2008/02/13 17:45

### **EAST Search History**

L12	2	(L10 or L11) same (L2 or L3)	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2008/02/13 17:45
L13	19	kelleher adj thomas.in.	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON .	2008/02/13 17:47
L14	7	lai adj jan-ji.in.	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2008/02/13 17:47
L15	3	decourcey adj joseph.in.	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2008/02/13 17:47
L16	27	lynch adj paul.in.	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2008/02/13 17:47
L17	61	zenoni adj maurizio.in.	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2008/02/13 17:47
L18	5	tagliani adj auro.in.	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2008/02/13 17:47
L19	105	L13 or L14 or L15 or L16 or L17 or L18	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2008/02/13 17:47
L20	. 5	L19 and L1	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2008/02/13 17:47

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#### (FILE 'HOME' ENTERED AT 17:50:20 ON 13 FEB 2008)

FILE 'MEDLINE, CAPLUS, BIOSIS, EMBASE, SCISEARCH, AGRICOLA' ENTERED AT

17:50:50 ON 13 FEB 2008
L1 3955 S DAPTOMYCIN
L2 2628 S SUBSTANTIALLY PURE
L3 2122 S ESSENTIALLY PURE

L4 0 S L1 (P) (L2 OR L3) L5 2 S L1 (P) IMPURITIES

L6 2 DUPLICATE REMOVE L5 (0 DUPLICATES REMOVED)

L7 4 S ANHYDRO-DAPTOMYCIN OR BETA-DAPTOMYCIN

L8 4 DUPLICATE REMOVE L7 (0 DUPLICATES REMOVED)

L9 4 S L8 NOT L6

L10 99387 S ANION EXCHANGE

L11 9215 S HYDROPHOBIC INTERACTION CHROMATOGRAPHY

L12 2 S L1 (P) L10 (P) L11

L13 1 S L12 NOT (L5 OR L7)

L14 264 S LY (W) 146032

L15 8 S A-21978C IR A54145 OR A-21978

L16 1 S (L14 OR L15) (P) (L2 OR L3)

L17 1 S L16 NOT (L13 OR L5 OR L7)

L18 183 S KELLEHER T?/AU

L19 8860 S LAI J?/AU

L20 8 S DECOURCEY J?/AU

L21 3274 S LYNCH P?/AU

L22 107 S TAGLIANI A?/AU

L23 12424 S L18 OR L19 OR L20 OR L21 OR L22

L24 20 S L23 AND L1

L25 8 DUPLICATE REMOVE L24 (12 DUPLICATES REMOVED)

L26 6 S L25 NOT (L13 OR L5 OR L7 OR L17)

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UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS PO. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

l	11/739 180	04/24/2007	Thomas Kelleher	C062-02/03 US
١	APPLICATION NUMBER	FILING OR 371(c) DATE	FIRST NAMED APPLICANT	ATTY, DOCKET NO./TITLE

**CONFIRMATION NO. 8837** 

34103 CUBIST PHARMACEUTICALS, INC. **65 HAYDEN AVENUE** LEXINGTON, MA02421

**Title:** High Purity Lipopeptides

Publication No. US-2007-0191280-A1

Publication Date: 08/16/2007

#### NOTICE OF PUBLICATION OF APPLICATION

The above-identified application will be electronically published as a patent application publication pursuant to 37 CFR 1.211, et seq. The patent application publication number and publication date are set forth above.

The publication may be accessed through the USPTO's publically available Searchable Databases via the Internet at www.uspto.gov. The direct link to access the publication is currently http://www.uspto.gov/patft/.

The publication process established by the Office does not provide for mailing a copy of the publication to applicant. A copy of the publication may be obtained from the Office upon payment of the appropriate fee set forth in 37 CFR 1.19(a)(1). Orders for copies of patent application publications are handled by the USPTO's Office of Public Records. The Office of Public Records can be reached by telephone at (703) 308-9726 or (800) 972-6382, by facsimile at (703) 305-8759, by mail addressed to the United States Patent and Trademark Office, Office of Public Records, Alexandria, VA 22313-1450 or via the Internet.

In addition, information on the status of the application, including the mailing date of Office actions and the dates of receipt of correspondence filed in the Office, may also be accessed via the Internet through the Patent Electronic Business Center at www.uspto.gov using the public side of the Patent Application Information and Retrieval (PAIR) system. The direct link to access this status information is currently http://pair.uspto.gov/. Prior to publication, such status information is confidential and may only be obtained by applicant using the private side of PAIR.

Further assistance in electronically accessing the publication, or about PAIR, is available by calling the Patent Electronic Business Center at 1-866-217-9197.

Pre-Grant Publication Division, 703-605-4283	

Electronic Acl	knowledgement Receipt
EFS ID:	2081645
Application Number:	11739180
International Application Number:	
Confirmation Number:	8837
Title of Invention:	High Purity Lipopeptides
First Named Inventor/Applicant Name:	Thomas Kelleher
Customer Number:	34103
Filer:	Jill Michel-Netka Mandelblatt/Viana Daly
Filer Authorized By:	Jill Michel-Netka Mandelblatt
Attorney Docket Number:	C062-02/03 US
Receipt Date:	14-AUG-2007
Filing Date:	24-APR-2007
Time Stamp:	16:18:22
Application Type:	Utility under 35 USC 111(a)

### Payment information:

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### File Listing:

Document Number	Document Description	File Name	File Size(Bytes) /Message Digest	Multi Part /.zip	Pages (if appl.)
1	Information Disclosure Statement	C062-02-03_US_20070814_	217387	no	2
'	Letter	IDS_letter.pdf	49d1c70fa4918c1e5c37a0f652be1eef9 5762fcd		
Warnings:					

Information					
2	Information Disclosure Statement	C062-02-03_US_20070814_	841265	no	8
	(IDS) Filed	1449.pdf	a667043fd679531d4dccb91e93f87463 54307d92	110	
Warnings:	Warnings:				
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#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

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#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Confirmation No.

8837

Appl. No. : 11/739,180

Applicant	:	Thomas J. Kelleher
Filed	:	April 24, 2007
TC/A.U.	:	1656
Examiner	:	Chih Min Kam
Docket No.	: .	C062-02/03 US
Customer No	). :	34103
Mail Stop Ar Commission P.O. Box 145 Alexandria,	er for Pa 50 VA 223	atents 13
TRANS	_	NFORMATION DISCLOSURE STATEMENT AL OF FORM PTO-1449 UNDER 37 C.F.R. §§1.97 AND 1.98
Pursu	ant to 3	7 C.F.R. §§1.97 and 1.98, the references listed on the attached PTO
Form PTO/S	B/08a/b	(s) are cited for consideration by the Examiner.
Check applic	able box	x(es):
⊠ Copie	es of nor	n-US patent document references cited on the attached form are:
	Enclo	sed.
$\boxtimes$	Not e	nclosed because the references were cited in the parent application, US
Serial No. 09	9/735,19	21 filed November 28, 2000 (now US Patent No. 6,696,412 dated
February 2, 2	2004) of	which the present application is a divisional and/or were cited in the
first divisiona	al applic	ation, US Serial No. 10/747,485, filed on December 29, 2003, which is
the divisional	of the s	ame parent application, US Serial No. 09/735,191. Copies of any of the
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the United State	s Postal S	correspondence() is being facsimile transmitted to the USPTO or deposited with Service with sufficient postage as First Class Mail and is addressed to: s, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.
Signer Name		Signature Date

cited references will be gladly furnished upon request.

United States Patent 5,912,226 reissued as patent No. RE 39,071 on April 19, 2006. A change in assignment of RE 39,071 to Cubist Pharmaceuticals, Inc. was made on April 23, 2007.

$\boxtimes$	No fe	No fees are believed due for this submission because:				
	$\boxtimes$	An Office Action has not yet been received.				
		The application was filed less than 3 months ago.				
		The reference(s) was (were) cited in a foreign search report not more than				
	three	months before the filing of this statement and was (were) not previously known				
	by A	oplicant(s).				

If any fees are deemed necessary, the Commissioner is authorized to charge Deposit Account No. 50-1986 referencing attorney docket number C062-02/03 US.

Respectfully submitted,

Dated: August 14, 2007
Customer No.: 34103
Cubist Pharmaceuticals, Inc.
65 Hayden Avenue
Lexington, Massachusetts 02421

Tel.: (781) 860-8660 Fax: (781) 860-1407 C062-02-03 20070801 IDS letter /Jill M.N. Mandelblatt/

Timothy J. Douros, Reg. No. 41,716 William D. DeVaul, Reg. No. 42,483 Attorneys for Assignee Jill M.N. Mandelblatt, Reg. No. 37,878 Patent Agent for Assignee

	Application Number		11739180	
	Filing Date		2007-04-24	
INFORMATION DISCLOSURE	First Named Inventor Kelleh		lleher, Thomas J.	
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		1656	
(Not for Submission under 57 of it 1.53)	Examiner Name Chih		ih Min Kam	
	Attorney Docket Numb	er C062-02/03 US		

	U.S.PATENTS							
Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear		
	1	4482487		1984-11-13	Abbott et al.			
	2	4524135		1985-06-18	Abbott et al.			
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Application Number		11739180		
Filing Date		2007-04-24		
First Named Inventor Kelle		her, Thomas J.		
Art Unit		1656		
Examiner Name Chih		Min Kam		
Attorney Docket Numb	er	C062-02/03 US		

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10	5387670	1995-02-07	Roy et al.	
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Application Number		11739180			
Filing Date		2007-04-24			
First Named Inventor	Kellel	her, Thomas J.			
Art Unit		1656			
Examiner Name Chir		Min Kam			
Attorney Docket Number		C062-02/03 US			

	20	RE39071		2006-04	-19	Baker et al.				
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			U.S.P	ATENT	APPLIC	CATION PUBI	LICATIONS			
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Examiner Initial*	Cite No	Foreign Document Number ³	Country Code ²	у	Kind Code4	Publication	Name of Patente Applicant of cited Document		Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	T5
	1	EP 0095295 A1	EP			1983-11-30	Eli Lilly Co			
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Application Number		11739180		
Filing Date		2007-04-24		
First Named Inventor	Kelle	eher, Thomas J.		
Art Unit		1656		
Examiner Name Chi		h Min Kam		
Attorney Docket Number		C062-02/03 US		

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	12	WO 99/27954	wo		1999-06-10	INST NAT SANTE RECH MED (FR); CENTRE NAT RECH SCIE		
	13	WO 99/27957	wo		1999-06-10	IMMUNE RESPONSE CORP INC		
	14	WO 99/43700	wo		1999-09-02	HOECHST MARION ROUSSEL DE GMBH		
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Application Number		11739180		
Filing Date		2007-04-24		
First Named Inventor Kelle		ner, Thomas J.		
Art Unit		1656		
Examiner Name Chih		Min Kam		
Attorney Docket Numb	er	C062-02/03 US		

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	<b>T</b> 5
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Application Number		11739180		
Filing Date		2007-04-24		
First Named Inventor	Kellel	ner, Thomas J.		
Art Unit		1656		
Examiner Name Chih		Min Kam		
Attorney Docket Number		C062-02/03 US		

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	16	TALLY, F.P., et al.; "Daptomycin: A Novel Agent for Gram Positive Infections," Exp. Opinion Invest. Drugs; 8; 1999; 1223-1238.							
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	18	YAKIMOV, Michail M. et al.; "Characterization of a New Lipopeptide Surfactant Produced by Thermotolerant and Halotolerant Subsurface Bacillus Licheniformis BAS50," Applied and Environmental Microbiology; Volume 61; Number 5; 1995; pages 1706-1713; American Society for Microbiology;							
If you wis	h to ac	dd additional non-patent literature document citation information please click the Add button							
	EXAMINER SIGNATURE								
Examiner	aminer Signature Date Considered								
	*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.								
¹ See Kind Codes of USPTO Patent Documents at <a href="https://www.USPTO.GOV">www.USPTO.GOV</a> or MPEP 901.04. ² Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). ³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language translation is attached.									

(Not for submission under 37 CFR 1.99)

Application Number	11739180		
Filing Date	2007-04-24		
First Named Inventor	lleher, Thomas J.		
Art Unit	1656		
Examiner Name	Chih Min Kam		
Attorney Docket Numb	per C062-02/03 US		

	CERTIFICATION STATEMENT									
Plea	Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):									
	That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).									
OR	OR									
	That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).									
X	See attached certification statement.									
■ None										
	SIGNATURE  A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.									
Signature		/Jill M.N. Mandelblatt/	Date (YYYY-MM-DD)	2007-08-14						
Name/Print		Jill M.N. Mandelblatt	Registration Number	37,878						

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APPLICATION NUMBER	FILING or 371(c) DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	TOT CLAIMS	IND CLAIMS
11/739,180	04/24/2007	1646	1250	C062-02/03 US	53	1

**CONFIRMATION NO. 8837** 

34103 CUBIST PHARMACEUTICALS, INC. 65 HAYDEN AVENUE LEXINGTON, MA02421 FILING RECEIPT

Date Mailed: 05/08/2007

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

#### Applicant(s)

Thomas Kelleher, Weston, MA; Jan-Ji Lai, Westborough, MA; Joseph P. DeCourcey, Charlestown, MA; Paul Lynch, Arlington, MA; Maurizio Zenoni, Milan, ITALY; Auro Tagliani, Pavia, ITALY;

Power of Attorney: None

Domestic Priority data as claimed by applicant

This application is a CON of 10/747,485 12/29/2003

**Foreign Applications** 

If Required, Foreign Filing License Granted: 05/08/2007

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US11/739.180** 

**Projected Publication Date:** 08/16/2007

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

#### **Preliminary Class**

514

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Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek quidance from specific foreign countries to ensure that patent rights are not lost prematurely.

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# UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No.	C062-02/03 US
First Inventor	Thomas Kelleher
Title	High Purity Lipopeptides
Express Mail Lahel No	

(Only for new nonprovisional applications under 37 CFR 1.53(b))

(Only for flew	nonprovisional applications under 37 CFR 1.55(b))	Express Mail Label No.		<i>_</i>			
	APPLICATION ELEMENTS oter 600 concerning utility patent application contents.	ADDRESS TO:	P.O. Box 1	oner for Patents 450 VA 22313-1450			
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	ING APPLICATION, check appropriate box, and suring the title, or in an Application Data Sheet under		on below and ii	n the first sentence of the			
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Signature	/Jill M.N. Mandelblatt/	Da	ate	April 24, 2007			
Name (Print/Type)	Jill M. Mandelblatt		Registrat (Attorney				

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1. BASIC FILING, SEARCH						
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SUBMITTED BY signature /Jill M.N. N	Mandelble	att/	Registration No.	37.8	78 Telepho	one 781-860-8660

ı	SUBMITTED BY					
I	Signature	/Jill M.N. Mandelblatt/	Registration No. (Attorney/Agent)	37,878	Telephone	781-860-8660
Į	Name (Print/Type)	Jill M.N. Mandelblatt			Date A	pril 24, 2007

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# UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No.	C062-02/03 US
First Inventor	Thomas Kelleher
Title	High Purity Lipopeptides
Everage Mail Label No.	

(Only for new nonpro	ovisional applications under 37 CFR 1	.53(b))	Express Mail Label	No.			
	ICATION ELEMENTS  Concerning utility patent application	contents.	ADDRESS TO:	P	Commissior P.O. Box 149 Nexandria V	50	
1. X Fee Transmittal	l Form (e.g., PTO/SB/17)		ACCOMP	PANYII	NG APPL	ICATI	ON PARTS
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8. Nucleotide and/or A (if applicable, items a a. Computer b. Specificat	<ul> <li>15. Certified Copy of Priority Document(s)         (if foreign priority is claimed)</li> <li>16. Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i).         Applicant must attach form PTO/SB/35 or equivalent.</li> </ul>						
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	l M.N. Mandelblatt/			Date			24, 2007
Name (Print/Type) Jill	M. Mandelblatt				Registration (Attorney/A	on No. Agent)	37,878

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Effective	on 12/08/200	04. ione Act. 2005 (U.D. 4040)	· L			Complete	if Known		
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USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

#### HIGH PURITY LIPOPEPTIDES

### CROSS-REFERENCE TO RELATED APPLICATIONS

The present application claims priority to United States Patent Application No. 10/747,485 which claims priority to United States Patent No. 09/735,191 filed January 20, 2001, which claims the benefit of United States Provisional application No. 60/177,170, filed January 20, 2000, all of which are incorporated by reference herein in their entireties.

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#### TECHNICAL FIELD OF THE INVENTION

The present invention relates to a highly purified form of lipopeptides, including daptomycin, a lipopeptide antibiotic with potent bactericidal activity against gram-positive bacteria, including strains that are resistant to conventional antibiotics. The present invention also relates to a process for preparing the highly purified form of the lipopeptide. The present invention further relates to micelles of lipopeptides. The present invention also relates to pharmaceutical compositions of the lipopeptide micelles and methods of using these compositions. The present invention also relates to methods of making lipopeptide micelles from non-associated monomers of the lipopeptides, and for converting lipopeptide micelles to non-associated monomers. The present invention also relates to a process for preparing lipopeptides using micelles that is easily scaled for commercial production.

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# BACKGROUND OF THE INVENTION

The rapid increase in the incidence of gram-positive infections—including those caused by antibiotic resistant bacteria—has sparked renewed interest in the development of novel classes of antibiotics. One such class is the lipopeptide antibiotics, which includes daptomycin. Daptomycin has potent bactericidal activity *in vitro* against clinically relevant gram-positive bacteria that cause serious and life-threatening diseases. These bacteria include resistant pathogens, such as vancomycin-resistant enterococci

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(VRE), methicillin-resistant *Staphylococcus aureus* (MRSA), glycopeptide intermediary susceptible *Staphylococcus aureus* (GISA), coagulase-negative staphylococci (CNS), and penicillin-resistant *Streptococcus pneumoniae* (PRSP), for which there are very few therapeutic alternatives. See, *e.g.*, Tally et al., 1999, Exp. Opin. Invest. Drugs 8:1223-1238, hereafter "Tally". Daptomycin's inhibitory effect is a rapid, concentration-dependent bactericidal effect *in vitro* and *in vivo*, and a relatively prolonged concentration-dependent post-antibiotic effect *in vivo*.

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Daptomycin is described by Baltz in <u>Biotechnology of Antibiotics</u>, <u>2nd</u> <u>Ed.</u>, ed. W.R. Strohl (New York: Marcel Dekker, Inc.), 1997, pp. 415-435, hereafter "Baltz." Daptomycin, also known as LY 146032, is a cyclic lipopeptide antibiotic that can be derived from the fermentation of *Streptomyces roseosporus*. Daptomycin is a member of the factor A-21978C₀ type antibiotics of *S. roseosporus* and is comprised of a decanoyl side chain linked to the N-terminal tryptophan of a cyclic 13–amino acid peptide (Fig. 1). Daptomycin has an excellent profile of activity because it is highly effective against most gram-positive bacteria; it is highly bactericidal and fast-acting; it has a low resistance rate and is effective against antibiotic-resistant organisms. The compound is currently being developed in a variety of formulations to treat serious infections caused by bacteria, including, but not limited to, methicillin resistant *Staphylococcus aureus* (MRSA) and vancomycin resistant enterococci (VRE).

A number of United States Patents describe A-21978C antibiotics and derivatives thereof including daptomycin (LY 146032) as well as methods of producing and isolating the A-21978C antibiotics and derivatives thereof.

United States Patent Re. 32,333, Re. 32,455 and 4,800,157 describe a method of synthesizing daptomycin by cultivating *Streptomyces roseosporus* NRL15998 under submerged aerobic fermentation conditions. United States Patent 4,885,243 describes an improved method of synthesizing daptomycin by feeding a fermentation culture a decanoic fatty acid or ester or salt thereof.

United States Patents Re. 32,310, Re. 32,311, 4,537,717, 4,482,487 and 4,524,135 describe methods of deacylating the A-21978C antibiotic and reacylating the

peptide nucleus and antibiotic derivatives made by this process. All of these patents describe a purified deacylated A-21978C antibiotic nucleus or a derivative thereof which was isolated from the fermentation broth by filtration and then purified by Diaion HP-20 chromatography and silica gel/C18 chromatography.

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United States Patents Re. 32,333 and Re. 32,455 disclose a purification method in which a filtrate of whole fermentation broth was purified through a number of precipitation and extraction steps to obtain a crude A-21978C complex. The crude complex was further purified by ion exchange chromatography on IRA-68 and two rounds of silica gel chromatography. Individual A-21978C factors were separated by reverse-phase silica gel or silica gel/C18. United States Patents Re. 32,333 and Re. 32,455 also disclose that A-21978C may be purified by batch chromatography using Diaion HP-20 resin followed by silica-gel column chromatography.

United States Patent 4,874,843 describes a daptomycin purification method in which the fermentation broth was filtered and passed through a column containing HP-20 resin. After elution, the semipurified daptomycin was passed through a column containing HP-20ss, and then separated again on HP-20 resin. The '843 patent states that final resolution and separation of daptomycin from structurally similar compounds by this method is impeded by the presence of impurities that are not identifiable by ultraviolet analysis of the fermentation broth. The '843 patent further states that attempts to remove these impurities by reverse phase chromatography over silica gel, normal phase chromatography over silica gel or ion exchange chromatography also failed to significantly improve the purity of daptomycin. The '843 patent also discloses a "reverse method" for purification comprising the steps of contacting an aqueous solution of the fermentation product with a non-functional resin in aqueous phase, physically removing the water from the charged resin, rewetting the charged resin with a polar organic solvent, washing the resin with the organic solvent, eluting the fermentation product from the resin by increasing the polarity of the solvent and recovering the fermentation product. The '843 patent teaches that this method improves the final purity from about 80% to about 93% and increases the yield from about 5% to

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about 35%; however, the '843 patent does not disclose the type of impurities present in the daptomycin preparation.

United States Patent 5,912,226 describes the identification and isolation of two impurities produced during the manufacture of daptomycin. Daptomycin, an  $\alpha$ -aspartyl peptide, becomes transpeptidated to form a stable intermediate in which the aspartyl group becomes an anhydro-succinimido group (Fig. 3). The '226 patent teaches that the presence of this intermediate, designated anhydro-daptomycin, is more pronounced at pH 4-6. Rehydration of the anhydro-succinimido form produces a second degradation product that contains an  $\beta$ -aspartyl group and is designated the  $\beta$ -isomer form of daptomycin (Fig. 2).

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The '226 patent discloses that the t-BOC derivative of anhydro-daptomycin may be isolated by chromatography over reverse phase silica gel/C-18 column, precipitated, and repurified by reverse phase silica gel/C-18 chromatography. The '226 patent also teaches that the  $\beta$ -isomer form of daptomycin may be purified by chromatography over a Diaion HP-20ss resin, desalted by chromatography over a Diaion HP-20 resin, and further purified using a reverse-phase C-18 column followed by a HP-20 resin column in reverse mode.

Kirsch et. al. (<u>Pharmaceutical Research</u>, 6:387-393, 1989, hereafter "Kirsch") stated that anhydro-daptomycin and the  $\beta$ -isomer were produced in the purification of daptomycin. Kirsch described methods to minimize the levels of anhydro-daptomycin and the  $\beta$ -isomer through manipulation of pH conditions and temperature conditions. However, Kirsch was unable to stabilize daptomycin and prevent the conversion of daptomycin to anhydro-daptomycin and its subsequent isomerization to  $\beta$ -isomer. Kirsch was also unable to prevent the degradation of daptomycin into other degradation products unrelated to anhydro-daptomycin and  $\beta$ -isomer.

The '226 patent states that daptomycin may be prepared using these procedures so that the daptomycin contains no more than 2.5% by weight of a combined total of anhydro-daptomycin and  $\beta$ -isomer, but gives no indication of the levels of other impurities. In the method taught in United States Patent 4,874,843 and in large-scale

preparations of daptomycin for clinical trials, the highest daptomycin purity levels observed has been about 90%-93%. There is a need for a commercially feasible method to produce more highly purified daptomycin and, if possible, to increase its yield after purification. Furthermore, it would be desirable to obtain purified daptomycin that contains little or none of anhydro-daptomycin and the  $\beta$ -isomer form of daptomycin. It would also be desirable to reduce the levels of a number of other impurities in daptomycin. However, there has been no method available in the art that has been shown to be able to further reduce the levels of anhydro-daptomycin,  $\beta$ -isomer form and other impurities in the daptomycin product.

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#### SUMMARY OF THE INVENTION

The instant invention addresses these problems by providing commercially feasible methods to produce high levels of purified lipopeptides. In a preferred embodiment, the lipopeptide is daptomycin or a daptomycin-related lipopeptide. In one embodiment of the instant invention, commercially feasible methods are disclosed that results in daptomycin at a purity level of 95-97%. In another embodiment of the instant invention, a commercially feasible method is disclosed that almost completely eliminates the major impurities anhydro-daptomycin and  $\beta$ -isomer as well as other impurities in preparations of daptomycin. In another embodiment of the invention, commercially feasible methods are disclosed for purifying lipopeptides, including daptomycin or a daptomycin-related lipopeptide, comprising separating lipopeptide micelles from low molecular weight contaminants and separating non-associated lipopeptides from high molecular weight contaminants. The invention also provides high performance liquid chromatography (HPLC) methods of analyzing the purity of daptomycin and detecting and characterizing other impurities in daptomycin, some of which were previously unknown.

The invention also provides purified daptomycin that possesses a purity of at least 98% or that is substantially or essentially free of anhydro-daptomycin and  $\beta$ -isomer. The invention provides purified daptomycin that is free or essentially free of

anhydro-daptomycin and contains a much lower level of the  $\beta$ -isomer and of other contaminants than was previously possible to obtain in the prior art. The invention also provides lipopeptide micelles. In a preferred embodiment, the micelle comprises daptomycin or a daptomycin-related lipopeptide. The invention also provides pharmaceutical compositions comprising highly purified daptomycin or a daptomycin-related lipopeptide micelles and methods of using these compositions.

#### BRIEF DESCRIPTION OF THE DRAWINGS

- Fig. 1 shows the structure of daptomycin.
- Fig. 2 shows the structure of impurity 8, CB-131010 (previously identified as the  $\beta$ -isomer, LY213846).
  - Fig. 3 shows the structure of impurity 13, CB-130952 (previously identified as anhydro-daptomycin, LY178480).
- Fig. 4 shows the proposed structure of impurity 1, CB-131012 (previously identified as LY212218).
  - Fig. 5 shows the proposed structure of impurity 2, CB-131011.
  - Fig. 6 shows the proposed structure of impurity 3, CB-131008 (previously identified as LY213928).
    - Fig. 7 shows the proposed structure of impurity 4, CB-131006.
- Fig. 8 shows the proposed structure of impurity 6, CB-130989 (previously identified as LY213827).
  - Fig. 9 shows the proposed structure of impurity 7, CB-131005.
  - Fig. 10 shows the proposed structure of impurity 12, CB-131009.
  - Fig. 11 shows the proposed structure of impurity 14, CB-131078
- 25 (previously identified as LY109208).

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- Fig. 12 shows an HPLC chromatogram for a bulk preparation of daptomycin, including impurities 1 to 14.
- Fig. 13 shows an HPLC chromatogram for a preparation of daptomycin after purification on a Poros P150 resin.

Figs. 14A-14C show micellar structures. Fig. 14A shows a spherical micelle, in which the hydrophobic tails of amphipathic molecules are oriented toward the center of the sphere while the hydrophilic heads of the amphipathic molecules are oriented towards the outside of the sphere, in contact with the aqueous environment. Fig. 14A shows an example in which the hydrophilic heads are negatively charged. Fig. 14B shows a lipid bilayer structure in which two layers of amphipathic molecules assemble such that the hydrophobic tails of each layer are oriented towards each other while the hydrophilic heads on either side of the bilayer are in contact with the aqueous environment. Lipid bilayers may be either spherical or planar. Fig. 14C shows a liposome, in which a lipid bilayer, such as that shown in Fig. 14B, forms a spherical structure enclosing an aqueous interior. The hydrophilic heads of the liposome face the aqueous interior and the external aqueous environment.

Fig. 15 shows the results of an experiment to determine the critical micellar concentration (cmc) of daptomycin at pH 4.0.

Fig. 16 shows the size distribution of daptomycin micelles by light scatter. The daptomycin micelles have an average size of 5.4 nm (54 A).

# **DETAILED DESCRIPTION OF THE INVENTION**

## Objects of the Invention

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One object of the present invention is to provide a method for purifying lipopeptides that is easily scaled for commercial production comprising a unique combination of anion exchange chromatography and hydrophobic interaction chromatography. In a preferred embodiment, the method is used to manufacture purified daptomycin that is greater than 95% pure and exhibits reduced levels of impurities compared to daptomycin prepared by prior art methods. In another preferred embodiment, the method is used to manufacture daptomycin using reduced levels of solvents compared to those used in prior art methods. In another preferred embodiment, the method is used to manufacture purified daptomycin-related lipopeptides that are greater than 95% pure.

Another object of the present invention is to provide a method for increasing the levels of a lipopeptide produced by a microorganism by feeding the fermentation culture a reduced level of a fatty acid. Using lower levels of decanoic acid than those proposed for daptomycin fermentation in United States Patent 4,885,243 results in improved economics in addition to producing a highly pure form of daptomycin or a daptomycin-related lipopeptide. In a preferred embodiment, the method is used to increase the concentration and amount of daptomycin produced by *Streptomyces roseosporus* while minimizing the production of related contaminants. Lower levels of contaminants in the fermentation broth results in a more efficient recovery and purification of daptomycin, which provides for a manufacturing process with a higher yield.

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Another object of the present invention is to provide a method for purifying daptomycin or daptomycin related lipopeptides comprising the use of modified buffer enhanced anion exchange chromatography. In a preferred embodiment, the method is used to produce daptomycin that is at least 98% pure or that is substantially or essentially free of anhydro-daptomycin or  $\beta$ -isomer. In another preferred embodiment, the method is used to purify daptomycin-related lipopeptides to at least 98% purity.

Another object of the present invention is to provide a process chromatography method to purify a lipopeptide comprising a novel combination of anion exchange chromatography, hydrophobic interaction chromatography and modified buffer enhanced anion exchange chromatography. In a preferred embodiment, the process chromatography method is used to purify daptomycin or a daptomycin-related lipopeptide. The modified buffer unexpectedly permits a separation of anhydrodaptomycin from daptomycin not previously possible in prior chromatography methods.

Another object of the invention is to provide a method for purifying lipopeptides that is easily scaled for commercial production using lipopeptide micelles. In one embodiment, the method comprises converting a lipopeptide solution from a monomeric, nonmicellar state to a micellar state and back again during purification procedures. In a preferred embodiment, the method comprises subjecting the lipopeptides

to conditions in which micelles are formed, separating the lipopeptide micelles from low molecular weight contaminants by, e.g., a size separation technique. In another preferred embodiment, the method comprises subjecting the lipopeptides to conditions in which the lipopeptides are in monomeric form and separating the monomeric lipopeptide molecules from high molecular weight molecules or aggregates by, e.g., a size separation technique. In a more preferred embodiment, the method comprises both steps: subjecting the lipopeptides to conditions in which micelles are formed and separating the lipopeptide micelles from low molecular weight contaminants, and then subjecting the lipopeptide micelles to conditions in which the lipopeptides are in monomeric form and separating the lipopeptide monomers from high molecular weight molecules or aggregates. These two steps may be performed in either order. In an even more preferred embodiment, the size separation technique is ultrafiltration or size exclusion chromatography.

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A further object of the present invention is to provide improved methods for measuring the purity of lipopeptides, including daptomycin, by high pressure liquid chromatography (HPLC).

Another object of the present invention is to provide purified lipopeptides, such as daptomycin or a daptomycin-related lipopeptide, and pharmaceutically acceptable salts or formulations thereof. In a preferred embodiment, the present invention provides daptomycin or a daptomycin-related lipopeptide purified by one of the methods described in the specification. The present invention also provides pharmaceutical compositions of a purified lipopeptide or its salts and methods of administering these compositions. In a preferred embodiment, the pharmaceutical composition comprises purified daptomycin.

Another object of the present invention is to provide lipopeptide micelles and pharmaceutically acceptable formulations thereof. In a preferred embodiment, the present invention provides daptomycin micelles or a daptomycin-related lipopeptide micelle and pharmaceutically acceptable formulations thereof. In another embodiment, the invention also provides methods of administering the lipopeptide micelles or pharmaceutical formulations thereof to patients in need thereof. In a preferred

embodiment, the lipopeptide micelles are administered intravenously, parenterally, intramuscularly or topically.

#### **Definitions**

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Unless otherwise defined, all technical and scientific terms used herein have the meaning as commonly understood by one of ordinary skill in the art to which this invention belongs. The practice of the present invention employs, unless otherwise indicated, conventional techniques of chemistry, biochemistry and microbiology and basic terminology used therein.

The term "isolated" refers to a compound or product that is refers to a compound which represents at least 10%, preferably at least 20% or 30%, more preferably at least 50%, 60% or 70%, and most preferably at least 80% or 90% of the compound present in the mixture.

The term "lipopeptide" refers to a molecule that comprises a lipid-like moiety covalently linked to a peptide moiety, as well as salts, esters, amides and ethers thereof. The term "lipopeptide" also encompasses protected forms of lipopeptides in which one or more amino, carboxylate or hydroxyl groups are protected. See, e.g., "Protective Groups in Organic Synthesis" by Theodora W. Greene, John Wiley and Sons, New York, 1981 for examples of protecting groups. In a preferred embodiment, the lipopeptide is an antibiotic. In another preferred embodiment, the lipopeptide is LY 303366, echinocandins, pneumocandins, aculeacins, surfactin, plipastatin B1, amphomycin or the lipopeptide derivative disclosed in United States Patent 5,629,288. These lipopeptides are known in the art. See, e.g., United States Patent 5,202,309 and International PCT Application WO 00/08197. In another preferred embodiment, the lipopeptide is a daptomycin-related molecule, including, inter alia, daptomycin, A54145, a daptomycin-related lipopeptide disclosed in United States Patent 4,537,717, 4,482,487, Re. 32,311, Re. 32,310, 5,912,226, currently in reissue as United States Serial No. 09/547,357, United States Provisional Applications Nos. 60/170,943, 60/170,946 or 60/170,945, filed December 15, 1999, United States Provisional Application No.

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60/208,222, filed May 30, 2000, all of which are specifically incorporated herein by reference, or an A-21978 antibiotic in which the n-decanoyl fatty acid side chain of daptomycin is replaced by an n-octanoyl, n-nonanoyl, n-undecanoyl, n-dodecanoyl, n-tridecanoyl or n-tetradecanoyl fatty acid side chain. The daptomycin-related lipopeptides disclosed in 60/170,943, 60/170,946, 60/170,945, and 60/208,222 relate to synthetic and semisynthetic lipopeptides in which the ornithine or kynurine residues or the fatty acid side chain of daptomycin are modified. In a more preferred embodiment, the lipopeptide is daptomycin. The term daptomycin-related lipopeptide refers to compounds described above, and salts thereof.

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The term "daptomycin" refers to the n-decanoyl derivative of the factor A-21978C₀ type antibiotic, or a pharmaceutical acceptable salt thereof. "Daptomycin" is synonymous with LY146032. See Fig. 1.

The term "anhydro-daptomycin" refers to the daptomycin derivative in which the  $\alpha$ -aspartyl group of daptomycin is transpeptidated to an anhydro-succinimido group. See Fig. 3.

The term " $\beta$ -isomer" or " $\beta$ -isomer of daptomycin" refers to the daptomycin derivative that contains a  $\beta$ -aspartyl group instead of an  $\alpha$ -aspartyl group. See Fig. 2.

Daptomycin or a daptomycin-related lipopeptide is "substantially pure" when at least 95% of a sample is daptomycin or daptomycin-related lipopeptide.

Preferably, daptomycin or daptomycin-related lipopeptide is "substantially pure" when at least 97% of a sample is daptomycin or daptomycin-related lipopeptide.

Daptomycin or daptomycin-related lipopeptide is "essentially pure" when at least 98% of a sample is daptomycin or daptomycin-related lipopeptide. Preferably, daptomycin or daptomycin-related lipopeptide is "essentially pure" when at least 99% of a sample is daptomycin or daptomycin-related lipopeptide.

Daptomycin or daptomycin-related lipopeptide is "substantially free" of another compound when the other compound is present in an amount that is no more than 1% of the amount of the daptomycin or daptomycin-related lipopeptide preparation.

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Daptomycin or daptomycin-related lipopeptide is "essentially free" of another compound when the other compound is present in an amount that is no more than 0.5% of the amount of the daptomycin or daptomycin-related lipopeptide preparation.

Daptomycin or daptomycin-related lipopeptide is "free" of another compound when the other compound is present in an amount that is no more than 0.1% of the amount of the daptomycin or daptomycin-related lipopeptide preparation.

Alternatively, daptomycin or daptomycin-related lipopeptide is "free" of another compound when the compound cannot be detected by HPLC under conditions of maximum sensitivity in which a limit of detection is approximately 0.05% or less of the amount of the daptomycin or daptomycin-related lipopeptide preparation. Exemplary HPLC methods are described herein (Tables 1 and 2).

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"Purified" daptomycin or daptomycin-related lipopeptide refers to substantially pure daptomycin or daptomycin-related lipopeptide, essentially pure daptomycin or daptomycin-related lipopeptide, or a salt thereof, or to daptomycin, daptomycin-related lipopeptide, or a salt thereof which is substantially free, essentially free, or free of another compound.

"Partially purified" daptomycin or daptomycin-related lipopeptide refers to daptomycin, daptomycin-related lipopeptide, or a salt thereof that is less than 90% pure.

The purity of daptomycin, daptomycin-related lipopeptide or of another lipopeptide refers to the lipopeptide prior to its formulation in a pharmaceutical composition. The purity may be measured by any means including nuclear magnetic resonance (NMR), gas chromatography/mass spectroscopy (GC/MS), liquid chromatography/mass spectroscopy (LC/MS) or microbiological assays. A preferred means for measuring the purity of daptomycin is by analytical high pressure liquid chromatography (HPLC).

The term "micelle" refers to aggregates of amphipathic molecules. In an aqueous media, the lipophilic domains of the molecules of the aggregate are oriented toward the interior of the micelle and the hydrophilic domains are in contact with the

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medium. Micelle structures include, but are not limited to, spherical, laminar, cylindrical, ellipsoidal, vesicular (liposomal), lamellar and liquid crystal. See Fig. 14.

The term "mixed micelle" refers to a particular type of micelle in which the micelle contains more than a single type of amphipathic molecule. In the context of this invention, mixed micelles contain a lipopeptide and at least one other amphipathic molecule which may be another lipopeptide. Mixed micelles contain at least 10% of the lipopeptide by weight. In other embodiments, a mixed micelle contains at least 20%, 30%, 40%, 50%, 60%, 70%, 80% or 90% of the lipopeptide.

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The term "micellar solution" refers to a solution in which more than 50% of the lipopeptide molecules in the solution are present in micelles, as measured by weight. Preferably, at least 60%, 70%, 80%, 90% or 95% of the molecules are present in micelles. A micellar solution is retained on a ultrafiltration membrane that has a 10,000 dalton nominal molecular weight (NMW) cutoff.

The term "critical micelle concentration" (cmc) refers to the particular concentration of molecules, which is dependent upon temperature, salt concentration and the nature and type of amphipathic molecule. Above the cmc, the unassociated monomers and micelles exist in equilibrium.

The term "monomer" refers to an amphipathic molecule that is not part of an aggregate but that exists as a single molecule. In the context of this invention, the term monomer refers to a non-associated lipopeptide.

The term "monomeric solution" refers to a solution in which more than 50% of the lipopeptide molecules are present as monomers as measured by weight. Preferably at least 60%, 70%, 80%, 90% or 95% are present as monomers. A monomeric solution is not retained on a ultrafiltration membrane that has a 10,000 dalton NMW cutoff but rather passes through the membrane.

The term "low ionic strength buffer" refers to a solution that has a salt concentration below 50mM; the term "medium ionic strength buffer" refers to a solution that has a salt concentration between 50-250mM; the term "high ionic strength buffer" refers to a solution that has a salt concentration greater than 250mM.

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#### Methods for Manufacturing Purified Lipopeptides

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One embodiment of the present invention is drawn to a process chromatography method that produces a purified lipopeptide in a commercially feasible manner. In a preferred embodiment, the lipopeptide is daptomycin or a daptomycin-related lipopeptide. The process chromatography method comprises sequentially using anion exchange chromatography, hydrophobic interaction chromatography (HIC) and anion exchange chromatography to purify a preparation containing a lipopeptide, such as daptomycin or a daptomycin-related lipopeptide.

In a preferred embodiment of the instant invention, the purification method further comprises altering the fermentation conditions in which the A21978C-containing crude product is produced by *Streptomyces roseosporus* in order to increase daptomycin production and decrease impurities and related contaminants produced by the *S. roseosporus* fermentation culture.

A preferred embodiment of the process chromatography method is described below:

Streptomyces roseosporus is fermented with a feed of n-decanoic acid, as disclosed in United States Patent 4,885,243, with the modification that the decanoic acid feed is kept at the lowest levels possible without diminishing the overall yield of the fermentation. In a preferred embodiment, the residual decanoic acid is maintained at less than 50 parts per million (ppm) during aerobic fermentation. In a more preferred embodiment, the residual decanoic acid is maintained between one and 20 ppm during aerobic fermentation. In an even more preferred embodiment, the residual decanoic acid is maintained at approximately ten ppm during aerobic fermentation. In a preferred embodiment, the concentration of residual decanoic acid is measured throughout fermentation and the feed level of decanoic acid is adjusted to continuously keep the residual decanoic acid levels within the preferred parameters. The prior art does not describe the *in situ* specific and low residual constant decanoic acid concentrations required to achieve optimal expression of daptomycin containing lower levels of impurities.

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After fermentation, the extracellular solution is clarified by removing the mycelia from the fermentation broth. Removing the mycelia from the fermentation is performed by any standard separation technique, such as centrifugation or microfiltration. In a preferred embodiment, the fermentation broth is clarified by microfiltration, such as by using a Pall SepTM membrane system. In a more preferred embodiment, the fermentation broth is clarified using an industrial centrifuge, such as a WestfaliaTM centrifuge, followed by a finishing depth filter. Other devices, such as filter presses, rotary drum filters or disposable depth filters, may be used to remove mycelia from fermentation broth to produce a clarified broth suitable for large-scale column chromatography.

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In another embodiment, daptomycin may be extracted from mycelial fermentation directly by using an organic solvent such as butanol prior to clarification on a solvent separating centrifuge or filter. Any alcohol with four carbons or more may be used in the extraction according to this embodiment. A preferred solvent is n-butanol. Using an organic solvent results in an initial additional purification of daptomycin compared to a purely aqueous separation of daptomycin. For example, daptomycin partitions into n-butanol when n-butanol is used in a concentration greater than 10% and when the process is conducted under conditions in which the n-butanol forms a separate phase, *e.g.*, at a pH value of 4-5, which is near the isoelectric point of daptomycin (see Example 4).

In another embodiment, daptomycin is produced in an immobilized reactor that uses preactivated mycelia for the non-fermentation production of daptomycin using an energy source, preferably a sugar, elemental components, such as amino acids and ammonia, and decanoic acid. Production of daptomycin in an immobilized enzyme reactor is then processed by methods described herein.

After clarification of the fermentation broth, the levels of daptomycin are enriched, (*i.e.* concentrated) in the clarified solution by anion exchange chromatography. The clarified solution is first contacted with an anion exchange resin under conditions in which most or all of daptomycin binds to the anion exchange resin. After binding, the

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resin is washed with an appropriate ionic aqueous buffer to remove unbound material and some of the daptomycin impurities. Finally, the purified daptomycin bound to the resin is eluted under conditions in which daptomycin will dissociate from the resin.

The binding, washing and elution steps may be performed according to this invention using buffers and methods known in the art. For instance, elution may be performed by using a buffer containing an elevated salt concentration compared to the wash buffer, a buffer that has a lower pH compared to the wash buffer, or a buffer that has both a higher salt concentration and a lower pH than the wash buffer. In a preferred embodiment, daptomycin is bound to the anion exchange resin that has been equilibrated in a buffer containing no added salt or a low salt concentration at a pH that is neutral to basic. The loaded resin is washed with three column bed volumes of water and then three to six bed volumes of an intermediate salt buffer containing 30 to 60 mM NaCl.

Daptomycin is eluted from the column with one to three column volumes of an elevated salt and/or lower pH buffer containing 300 to 500 mM NaCl. Higher concentrations of sodium chloride and alternative salts such as potassium chloride will also elute daptomycin from the resin. In a preferred embodiment, a high flow rate anionic exchange resin is used. In a more preferred embodiment, FP-DA 13 resin (Mitsubishi) is used.

The anion exchange chromatography may be performed by column chromatography or may be accomplished in batch mode. For commercial production, it may be preferred to use batch mode. The anion exchange resin may be washed and eluted with stepwise salt gradients or with a continuous salt gradient. A suitable stepwise or continuous salt gradient is any one that permits the separation of daptomycin from contaminants. In a preferred embodiment, a continuous salt gradient is one which ranges from 0 to 1000 mM NaCl. In a more preferred embodiment, a continuous salt gradient is one which ranges from 100 to 500 mM NaCl or from 0 to 400 mM NaCl. Radial flow chromatography may also be used, as described in United States Patents 5,756,680, 4,865,729, 4,840,730 or 4,708,782.

After anion exchange chromatography, the daptomycin preparation is further purified by hydrophobic interaction chromatography (HIC). One embodiment of

this step is described in United States Patent 4,874,843, herein incorporated by reference. The eluted aqueous daptomycin preparation is contacted with a HIC resin under conditions in which most or all of daptomycin will bind to the resin. The water content of the daptomycin-loaded resin is reduced by contacting the resin with an increased concentration of a non-polar solvent. The resin is washed with an appropriate polar organic solvent under conditions in which impurities dissociate from the resin while daptomycin remains bound. Finally, the daptomycin preparation is eluted under conditions in which daptomycin dissociates from the resin. In general, daptomycin is eluted using a solvent-containing buffer with a lower polarity (higher polar solvent level) and/or higher pH than the wash buffer.

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In a preferred embodiment, the non-functional resin for HIC is small particle HP-20ss (Mitsubishi). The bound daptomycin is specifically removed from the HP-20ss resin with an organic phase solvent, such as one containing isopropyl alcohol, acetonitrile, butanol or other suitable solvent. In a more preferred embodiment, daptomycin is bound to HP-20ss resin that has been equilibrated in an acetate buffer containing 10% acetonitrile or equivalent polar solvent, such as isopropyl alcohol. The daptomycin-loaded resin is washed with at least three column bed volumes of equilibration buffer. The daptomycin-loaded resin is further freed of additional impurities by washing with three to six bed volumes of an acetate wash buffer containing a noneluting concentration of the polar solvent. In a preferred embodiment, the daptomycinloaded resin is washed with 30% acetonitrile or 45% isopropyl alcohol. The daptomycinloaded resin is eluted with one to three bed volumes of acetate buffer containing 35% or more acetonitrile or greater than 50% isopropyl alcohol. In a preferred embodiment, daptomycin is eluted with 35% acetonitrile at pH 4.0-5.0 or 55-60% isopropyl alcohol. In another embodiment, the daptomycin-loaded resin is eluted with one to three bed volumes of buffer at an increased pH. In this embodiment, the pH of the buffer is gradually increased to elute different compounds from the column at different rates due to charge differences. At elevated pH, e.g., pH 6.0-7.0, the elution concentration of acetonitrile is reduced to 10-20%. Similarly, at elevated pH, e.g., pH 6.0-7.0 the elution concentration

of isopropyl alcohol is reduced to 20-25%. Control of the temperature under which chromatography is performed also influences solvent concentration. Elution at lower temperatures, i.e., under refrigerated conditions, requires increased levels of solvent at all pH conditions.

After HIC, the organic solvent in the daptomycin preparation is reduced by anion exchange chromatography. In a preferred embodiment, FP-DA 13 is used as discussed *supra*.

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After the second anion exchange chromatography, the purified daptomycin is depyrogenated, filtered and concentrated under refrigerated conditions. Filtering daptomycin may be performed by any method known in the art. In one embodiment, filtering and depyrogenating may be performed by:

- i) providing a daptomycin solution under conditions in which the daptomycin is in a monomeric and nonmicellar state;
- ii) filtering the daptomycin solution under conditions in which the daptomycin will pass through the filter but pyrogens will not pass through the filter, e.g., having the daptomycin solution at pH 6.0-8.0 and filtering the solution with an ultrafilter that is rated between 3,000 NMW and 30,000 NMW;
- iii) altering the daptomycin solution that has passed through the filter such that the daptomycin aggregates, e.g., by changing the pH of the daptomycin solution to 2.5-4.5 such that daptomycin forms micelles;
- iv) filtering the daptomycin solution under conditions in which the daptomycin will be retained on the filter, e.g., concentrating the daptomycin on an ultrafilter of 30,000 NMW or less, such as a reverse osmosis membrane; and
  - v) collecting the depyrogenated daptomycin.

In a preferred embodiment, daptomycin of step (ii) is filtered under pressure on a 10,000 dalton molecular weight cutoff (MWCO) ultra-filter at a pH of approximately 7-8. In a more preferred embodiment, daptomycin is at an initial concentration of less than 40 mg/ml, more preferably, at a concentration of approximately 31.25 mg/mL. Under these conditions, daptomycin passes through the filter but pyrogens

such as lipopolysaccharides (LPS) do not. After the initial ultra-filtration, the pH of the filtrate is lowered to pH 2.5 to 4.5 and the filtrate is concentrated on a 10,000 MWCO ultra-filter to approximately 120 mg/mL. Under these conditions, daptomycin is retained on the filter. In a preferred embodiment, the pH of the filtrate is pH 3.5. Subsequent to concentration, the concentration of daptomycin is adjusted to 105 mg/mL, checked for endotoxin levels, and used to fill vials under aseptic conditions.

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In another embodiment, reverse osmosis nanofiltration is performed at pH 1.5-3.0. The low pH and refrigerated conditions are used to retard degradation of purified daptomycin. Daptomycin may be further filtered through a 0.2  $\mu$ m filter to reduce bioburden and then lyophilized either in bulk or in vials.

As an alternative to the above ultra-filtration and concentration step, the eluted fractions containing daptomycin are mixed with butanol (either n-, iso- or t-butanol) at a pH of approximately 4.5, in a ratio of greater than one part butanol to nine parts daptomycin solution. In a preferred embodiment, one part butanol is mixed with four parts daptomycin solution to yield a 20% butanol solution. The butanol-daptomycin solution is allowed to separate into organic and aqueous phases. Daptomycin partitions into the organic phase, which is collected. The dehydration of daptomycin in the organic solvent may stabilize daptomycin and prevent the degradation of the purified daptomycin to anhydro-daptomycin and subsequent formation of  $\beta$ -isomer. Finally, daptomycin can be returned to the aqueous phase by adding buffer at pH 6.5-7.5 to the organic phase. After concentration or collection of daptomycin, daptomycin is lyophilized.

In another embodiment of the instant invention, the process chromatography method is used to purify lipopeptides other than daptomycin, such as A54145, LY303366, echinocandins, pneumocandins, aculeacin, surfactin, plipastatin B1, amphomycin or the lipopeptide derivative disclosed in United States Patent 5,629,288. In another embodiment, the process chromatography method is used to purify daptomycin-related lipopeptides, including A54145, or a lipopeptide disclosed in United States Patent 4,537,717, 4,482,487, Re. 32,311, Re. 32,310, 5,912,226, currently in reissue as United States Serial No. 09/547,357, United States Provisional Applications Nos. 60/170,943,

60/170,946 or 60/170,945, filed December 15, 1999, United States Provisional Application No. 60/208,222, filed May 30, 2000, or an A-21978 antibiotic in which the n-decanoyl fatty acid side chain of daptomycin is replaced by an n-octanoyl, n-nonanoyl, n-undecanoyl, -dodecanoyl, n-tridecanoyl or n-tetradecanoyl fatty acid side chain.

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In another embodiment of the instant invention, a "Salt Cloud Method" [Genetic Engineering News, Vol. 19, No. 20, pages 1, 34 and 43, (November 15, 1999)] is used in the purification of daptomycin or other lipopeptides. The Salt Cloud Method is a membrane-based system that combines selective separations with high-volume throughput. The Salt Cloud Method can be used in conjunction with those process steps disclosed herein or separately to purify daptomycin or other lipopeptides.

Another embodiment of the instant invention is drawn to a chromatography method that produces a highly purified lipopeptide not achievable by prior art chromatography methods. The chromatography method comprises the use of modified buffer enhanced anion exchange chromatography to purify a preparation containing a lipopeptide. In a preferred embodiment, the method is used to produce highly purified daptomycin or a daptomycin-related lipopeptide. This method, when used with partially purified daptomycin, produces daptomycin that is at least 98% pure. The method also produces daptomycin that is free or essentially free of anhydro-daptomycin. The method comprises the following steps:

Partially purified daptomycin is prepared by any method known in the art or as described herein. The daptomycin preparation is then further purified by modified buffer enhanced anion exchange chromatography. Daptomycin is bound to anion exchange resin in the presence of an appropriate ionic modified buffer under conditions in which daptomycin binds to the resin ion in a monomeric and non-micellar state. The modified buffer comprises a buffering agent, such as, without limitation, acetate, phosphate, citrate and Tris-HCl, or any other buffering agent that buffers well at neutral pH. The modified buffer further comprises one or more chaotropic agents, including, without limitation, guanidine, ammonia, urea, a strong reducing agent, benzoate, ascorbate or another ionic enhancer capable of modifying the buffer so that daptomycin is

easily separated from impurities. The daptomycin-loaded resin is washed with an appropriate ionic modified buffer to elute impurities, including anhydro-daptomycin. Daptomycin is then eluted under conditions that permit the separation of daptomycin from impurities that remain bound to the resin, including the  $\beta$ -isomer.

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In a preferred embodiment, the modified buffer is at a neutral pH (a pH of 6 to 8) and contains 2 to 6 M urea. In a further preferred embodiment, the anion exchange resin is Porous Resin P150 or Porous D50 (PE Biosystems). In a more preferred embodiment, the anion exchange resin is Porous P150. In a preferred embodiment, daptomycin is bound to the resin in a low ionic strength buffer, washed with a low to medium ionic strength buffer and eluted with a high ionic strength buffer. In one preferred embodiment, daptomycin is bound to the Porous P150 resin in a Tris buffer pH 7.0 containing 6 M urea. The daptomycin-loaded Porous P150 resin is washed with three bed volumes of Tris buffer or other suitable buffer containing a salt level that removes contaminants and anhydro-daptomycin without eluting daptomycin. Daptomycin is eluted from the Porous P150 resin with Tris buffer or other suitable buffer under elevated salt conditions that will leave additional impurities, including a significant portion of βisomer, bound to the column. In another preferred embodiment, Poros P150 is used and daptomycin is bound to the resin in an acetate buffer pH 6.0 containing 2 M urea. The daptomycin-loaded Poros P150 resin is washed and eluted similar to the method above except that an acetate buffer pH 6.0 containing 2 M urea is used. Product fractionation may be measured by HPLC or by UV monitoring.

The modified buffer enhanced anion exchange chromatography may be performed by column chromatography or may be accomplished in batch mode. Radial flow chromatography may also be used, as described in United States Patents 5,756,680, 4,865,729, 4,840,730 or 4,708,782. The modified buffer enhanced anion exchange resin may be washed and eluted with stepwise salt gradients or with a continuous salt gradient. A suitable stepwise or continuous salt gradient is any one that permits the separation of daptomycin from impurities including, but not limited to, anhydro-daptomycin and  $\beta$ -isomer. In a preferred embodiment, a continuous salt gradient is 0 to 1000 mM NaCl. In

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a more preferred embodiment, the salt gradient is 100 to 500 mM NaCl or 0 to 400 mM NaCl.

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In another embodiment of the instant invention, modified buffer enhanced anion exchange chromatography is used to purify lipopeptide compounds other than daptomycin. These lipopeptide compounds include, without limitation, A54145, LY303366, echinocandins, pneumocandins, aculeacin, surfactin and plipastatin B1 (Tsuge et al., 1996, Arch. Microbiol. 165:243-51) and lipopeptide derivatives as shown in United States Patent 5,629,288. In another embodiment, modified buffer enhanced anion exchange chromatography is used to purify a daptomycin-related lipopeptide such as A54145, or a lipopeptide disclosed in United States Patent 4,537,717, 4,482,487, Re. 32,311, Re. 32,310, 5,912,226, currently in reissue as United States Serial No. 09/547,357, United States Provisional Applications Nos. 60/170,943, 60/170,946 or 60/170,945, filed December 15, 1999, United States Provisional Application No. 60/208,222, filed May 30, 2000, or an A-21978 antibiotic in which the n-decanoyl fatty acid side chain of daptomycin is replaced by an n-octanoyl, n-nonanoyl, n-undecanoyl, – dodecanoyl, n-tridecanoyl or n-tetradecanoyl fatty acid side chain.

In another embodiment of the instant invention, a novel combination of process chromatography steps is used to purify daptomycin or a daptomycin-related lipopeptide. The method comprises anion exchange chromatography, small particle reverse phase chromatography and modified buffer enhanced anion exchange chromatography. The purification method may further comprise altering the fermentation conditions in which the A21978C-containing crude product is produced by *Streptomyces roseosporus*. These methods produce daptomycin or a daptomycin-related lipopeptide that is at least 98% pure. In a preferred embodiment, the methods produce daptomycin or a daptomycin-related lipopeptide that is more than 99% pure.

A preferred embodiment of the process chromatography method is described below:

Streptomyces roseosporus is fermented with a feed of n-decanoic acid, as disclosed in United States Patent 4,885,243, with the modification that the decanoic acid

feed is kept at the lowest levels possible without diminishing the overall yield of the fermentation as described *supra*. In an alternative embodiment, a different feedstock may be used so long as it ultimately provides an n-decanoyl group for addition to the daptomycin nucleus. Examples of these feedstocks are, without limitation, decanoic amide, decanoic esters including butyl esters, crude sources of coconut or palm oil, animal source decanoic acid, various salts of decanoic acid, and petrochemical sources of decanoic acid. After fermentation, the extracellular solution is clarified as described *supra*. In an alternative embodiment, daptomycin may be extracted from mycelia using an organic solvent such as n-butanol prior to clarification on a solvent separating centrifuge or filter as described *supra*. After clarification of the fermentation broth, the level of daptomycin is enriched in the clarified solution first by anion exchange chromatography and then by HIC as described *supra*.

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After completion of HIC, the organic solvent in the daptomycin preparation is reduced by any method known in the art. In a preferred embodiment, the organic solvent is reduced by anion exchange chromatography, as described *supra*. Daptomycin should be eluted from the column in a buffer compatible with the buffer required for the modified buffer enhanced chromatography. Alternatively, the elution buffer may be exchanged for the modified buffer by reverse osmosis or filtration on a 10,000 MWCO filter. In another preferred embodiment, the organic solvent is reduced by evaporation or dilution in buffer. In a third preferred embodiment, the reverse phase chromatography solvent and residual salt is removed using reverse osmosis at pH 1.5-4.0 or ultrafiltration at pH 2.5-4.5. The resultant product may be frozen for bulk storage or dried by lyophilization and then rehydrated in water or in the buffer used for the modified buffer enhanced anion exchange chromatography.

Daptomycin is further purified by modified buffer enhanced anion exchange chromatography as described *supra*.

After modified buffer enhanced anion exchange chromatography, the purified daptomycin is filtered and concentrated under refrigerated conditions. Filtering daptomycin may be performed by any method known in the art. In a preferred

embodiment, daptomycin is depyrogenated and concentrated as described *supra*. Alternatively, daptomycin may be concentrated by reverse osmosis under refrigerated conditions at a pH of 1.5 to 4. The low pH and refrigerated conditions are used to retard the degradation of purified daptomycin.

As an alternative or in addition to the above filtration and concentration step, the eluted fractions containing daptomycin from the modified buffer enhanced anion exchange chromatography may be mixed with butanol (either n-, iso- or t-butanol) at a pH of approximately 4.5, in a ratio of greater than one part butanol to nine parts daptomycin solution. In a preferred embodiment, one part butanol is mixed with four parts daptomycin solution to yield a 20% butanol solution. The butanol-daptomycin solution is allowed to separate into organic and aqueous phases. Daptomycin partitions into the organic phase, which is collected. The dehydration of daptomycin in the organic solvent may stabilize daptomycin and prevent the degradation of the purified daptomycin to anhydro-daptomycin and subsequent formation of  $\beta$ -isomer.

After concentration or collection of daptomycin, daptomycin is lyophilized.

In another embodiment of the instant invention, the process chromatography is used to purify lipopeptides other than daptomycin, such as those described *supra*.

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#### Formation of Lipopeptide Micelles and Methods of Use Thereof

Another embodiment of the invention provides lipopeptide micelles, methods for forming lipopeptide micelles and methods of using the lipopeptide micelles for lipopeptide purification and pharmaceutical compositions. In a preferred embodiment, the lipopeptide is a daptomycin-related molecule, including, *inter alia*, daptomycin, A54145, a daptomycin-related lipopeptide disclosed in United States Patent 4,537,717, 4,482,487, Re. 32,311, Re. 32,310, 5,912,226, currently in reissue as United States Serial No. 09/547,357, United States Provisional Applications Nos. 60/170,943, 60/170,946 or 60/170,945, filed December 15, 1999, United States Provisional

Application No. 60/208,222, filed May 30, 2000, or an A-21978 antibiotic in which the n-decanoyl side chain of daptomycin is replaced by an n-octanoyl, n-nonanoyl, n-undecanoyl, n-dodecanoyl, -tridecanoyl or n-tetradecanoyl side chain. In a more preferred embodiment, the lipopeptide is daptomycin.

Micelles are aggregates of amphipathic molecules. In aqueous media, the lipophilic parts of the molecules are oriented toward the interior of the micelle and the hydrophilic parts of the molecules are in contact with the aqueous media. Micelles form spontaneously in a solution containing amphipathic molecules if the concentration of the molecules is high enough.

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Micelle formation causes changes in several bulk physical properties of a solution including changes in osmotic pressure, turbidity, electrical conductance, surface tension, co-ion and counterion activities (in the case of ionic amphipathic molecules), refractive index, UV and NMR spectra, partial molar volume, viscosity, diffusion coefficient and dye solubilization. The cmc can be determined by measuring one or more of these micelle-dependent physical properties as a function of concentration of the amphipathic molecule. The size and shape of micelles can be determined by dynamic laser light scattering, ultracentrifugation, viscosity and/or low-angle X-ray scattering experiments. Micelles can also exist in liquid crystal phases.

Lipopeptides may be aggregated into micelles by providing a concentration of lipopeptide that is greater than the cmc of the lipopeptide. The cmc is dependent upon the nature of the lipopeptide and the temperature, salt concentration and pH of the aqueous solution comprising the lipopeptide. With respect to the nature of the lipopeptide, the cmc of a lipopeptide is reduced by the addition of CH₂ groups to the lipophilic carbon chains. Thus, given the cmc for daptomycin at a particular salt concentration, temperature and pH, then an A-21978 type antibiotic in which the n-decanoyl fatty acid side chain is replaced by n-octanoyl, or –nonanoyl fatty acid side chain will have a higher cmc, while an A-21978 antibiotic in which the n-decanoyl fatty acid side chain of daptomycin is replaced by an n-undecanoyl, n-dodecanoyl, –tridecanoyl or n-tetradecanoyl fatty acid side chain will have a lower cmc relative to daptomycin.

In one embodiment of the invention, the cmc of a lipopeptide may be manipulated by adding or subtracting a CH₂ group to the lipopeptide. In a preferred embodiment, the lipopeptide is A-21978, in which the n-decanoyl fatty acid side chain of daptomycin is replaced by an n-octanoyl, n-nonanoyl, n-undecanoyl, -dodecanoyl, n-tridecanoyl or n-tetradecanoyl fatty acid side chain. In another embodiment, one can calculate the approximate cmc of a lipopeptide following the teachings of the specification. Given the cmc for a lipopeptide such as daptomycin, one may calculate the approximate cmc of a related lipopeptide in which the n-decanoyl fatty acid side chain is replaced by an n-octanoyl, n-nonanoyl, n-undecanoyl, n-dodecanoyl, n-tridecanoyl or n-tetradecanoyl fatty acid side chain. The above may be carried out by methods known by one skilled in the art.

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In another preferred embodiment, given the cmc for one lipopeptide, one can calculate the approximate cmc for a lipopeptide that contains a related peptide moiety. In a preferred embodiment, given the cmc for daptomycin and the teachings of the prior art, one may readily determine the cmc for a related lipopeptide such as A54145, a daptomycin-related lipopeptide disclosed in United States Patent 4,537,717, 4,482,487, Re. 32,311, Re. 32,310, 5,912,226, currently in reissue as United States Serial No. 09/547,357, United States Provisional Applications Nos. 60/170,943, 60/170,946 or 60/170,945, filed December 15, 1999, United States Provisional Application No. 60/208,222, filed May 30, 2000.

In another embodiment of the invention, the cmc of a lipopeptide is manipulated by changing the temperature of the solution comprising the lipopeptide. The cmc for a lipopeptide usually increases with increasing temperature of the solution. Thus, micelle formation is promoted by decreasing the temperature and is hindered by increasing the temperature. For instance, a solution comprising a lipopeptide may form micelles at 4°C because at that temperature the cmc is lowered and the lipopeptide concentration is above the cmc; however, the same lipopeptide solution may be monomeric at 20°C because the cmc has increased with the temperature and the lipopeptide concentration is now below the cmc. Thus, in a preferred embodiment, the

concentration of a lipopeptide is higher than the cmc at one temperature and is lower than the cmc at another, higher temperature. In a more preferred embodiment, the lipopeptide is daptomycin or a daptomycin-related molecule, such as those described *supra*. In an even more preferred embodiment, the lipopeptide is daptomycin.

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In another preferred embodiment, the ability to manipulate the formation of micelles of a lipopeptide by using different temperatures to affect the cmc is used in the purification of the lipopeptide. In a more preferred embodiment, the lipopeptide is daptomycin or a related molecule, such as those described *supra*. In an even more preferred embodiment, the lipopeptide is daptomycin. In another preferred embodiment, the ability to manipulate lipopeptide micelle formation by altering the temperature is used to make pharmaceutical compositions that are micellar under certain temperature conditions and monomeric under other temperature conditions. In a preferred embodiment, the pharmaceutical compositions comprise daptomycin or a daptomycin-related lipopeptide, as described *supra*. In another preferred embodiment, the pharmaceutical compositions comprise daptomycin.

In a further embodiment of the invention, the addition of an electrolyte is used to decrease the cmc of an ionic lipopeptide. In a preferred embodiment, a salt, such as NaCl, is added to a solution comprising lipopeptide to reduce the repulsion between charged groups in a lipopeptide micelle. In a preferred embodiment, the lipopeptide is daptomycin or a daptomycin-related molecule, such as that described *supra*. For instance, the peptide moiety of daptomycin contains three aspartic acid residues and an L-threo-3-methylglutamic acid residues (3-MG), all of which would be charged at neutral pH. Thus, addition of an electrolyte, such as NaCl or an equivalent salt, will decrease the cmc of daptomycin. In a preferred embodiment, the salt concentration is at least 100 mM. In a more preferred embodiment, the salt concentration is 150 mM to 300 mM salt. In an even more preferred embodiment, the salt is NaCl.

A decrease in the cmc is also observed with addition of an electrolyte for other lipopeptides, such as molecules related to daptomycin that contain aspartic acid residues, 3-MG residues or other charged residues. Therefore, in a preferred

embodiment, a salt is added to a solution to decrease the cmc of a daptomycin-related lipopeptide, such as A54145, a daptomycin-related lipopeptide disclosed in United States Patent 4,537,717, 4,482,487, Re. 32,311, Re. 32,310, 5,912,226, currently in reissue as United States Serial No. 09/547,357, United States Provisional Applications Nos. 60/170,943, 60/170,946 or 60/170,945, filed December 15, 1999, United States Provisional Application No. 60/208,222, filed May 30, 2000, or an A-21978 antibiotic in which the n-decanoyl fatty acid side chain of daptomycin is replaced by an n-octanoyl, n-nonanoyl, n-undecanoyl, —dodecanoyl, n-tridecanoyl or n-tetradecanoyl fatty acid side chain. In another embodiment, the salt concentration is decreased in order to increase the cmc of an ionic lipopeptide. In a preferred embodiment, the ionic lipopeptide is daptomycin or a daptomycin-related lipopeptide, as described *supra*.

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In another preferred embodiment, the ability to manipulate the formation of micelles of a lipopeptide by altering electrolyte concentration to affect the cmc is used in the purification of the lipopeptide. In a more preferred embodiment, the lipopeptide is daptomycin or a daptomycin-related molecule, such as those described *supra*. In an even more preferred embodiment, the lipopeptide is daptomycin. In another preferred embodiment, the ability to manipulate lipopeptide micelle formation by electrolyte concentration is used to make pharmaceutical compositions that are micellar at certain electrolyte concentrations and monomeric under other electrolyte concentrations. In a preferred embodiment, the pharmaceutical compositions comprise daptomycin or a daptomycin-related lipopeptide, as described *supra*. In another preferred embodiment, the pharmaceutical compositions comprise daptomycin.

In another embodiment of the invention, the pH of a solution comprising a lipopeptide is manipulated to influence the cmc of the lipopeptide. In a preferred embodiment, the lipopeptide is daptomycin or a daptomycin-related molecule, such as those described *supra*. In an even more preferred embodiment, the lipopeptide is daptomycin. In one embodiment, the pH is manipulated so that the concentration of a lipopeptide is higher than the cmc at one pH and is lower than the cmc at another pH. For instance, for daptomycin, the cmc at pH 4.0 in water at a temperature of 20-25°C was

much lower than at pH 6.0 or 7.5. At pH 4.0, the cmc is approximately 400 μg/mL under these conditions. See Fig. 15. Further, daptomycin is monomeric even at 150 mg/mL daptomycin at pH 6.5 (wherein the salt concentration is 150 mM to 300 mM NaCl and the temperature is 4°C). Thus, for daptomycin, the cmc at pH 4.0 is lower than in solutions of either higher pH or lower pH. The change in cmc at different pH levels may also be used for other charged lipopeptides, including lipopeptides that are related to daptomycin, as described *supra*.

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In another preferred embodiment, the ability to manipulate the formation of micelles of a lipopeptide by altering the pH to affect the cmc is used in the purification of the lipopeptide. In a more preferred embodiment, the lipopeptide is daptomycin or a daptomycin-related molecule, such as those described *supra*. In an even more preferred embodiment, the lipopeptide is daptomycin. In another preferred embodiment, the ability to manipulate lipopeptide micelle formation by pH is used to make pharmaceutical compositions that are micellar at a particular pH and monomeric under another pH. In a preferred embodiment, the pharmaceutical compositions comprise daptomycin or a daptomycin-related lipopeptide, as described *supra*. In another preferred embodiment, the pharmaceutical compositions comprise daptomycin.

In another aspect of the invention, the lipopeptide may be part of a mixed micelle. A mixed micelle is one in which the lipopeptide forms a micelle with one or more other types of amphipathic molecules. Examples of such amphipathic molecules include, without limitation, medium and long chain fatty acids, phosphoglycerides (phospholipids), sphingomyelin, glycolipids and cholesterol. In one embodiment, medium chain-length alcohols can be incorporated into the micelle, where they reduce electrostatic repulsion and steric hindrance, thus lowering the cmc of the lipopeptide. In another embodiment, the addition of one or more types of amphipathic molecules can be used to alter the structure of the micelle from a spherical micelle (See Fig. 14, part a) to a lipid bilayer structure (See Fig. 14, part b) or to a liposome structure (See Fig. 14 part c). In general, mixed micelles comprising phospholipids and/or glycolipids will cause a

spherical micelle to convert to a lipid bilayer structure, which serve as permeability barriers to ions and most polar molecules.

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In another embodiment, the mixed micelle can be formed from two or more different lipopeptides. For instance, the mixed micelle can be formed from daptomycin and another lipopeptide, such as A54145 or a daptomycin-related lipopeptide, as discussed *supra*. In another embodiment, the mixed micelle may comprise a lipopeptide along with one or more therapeutically useful amphipathic molecules, such as an antibiotic, an anti-inflammatory or an anti-fungal agent, which are known to those having ordinary skill in the art. In a preferred embodiment, the lipopeptide is daptomycin or a daptomycin-related lipopeptide such as A54145, the daptomycin-related lipopeptides disclosed *supra*, or an A-21978 antibiotic in which the n-decanoyl fatty acid side chain of daptomycin is replaced by an n-octanoyl, n-nonanoyl, n-undecanoyl, n-dodecanoyl, n-tridecanoyl or n-tetradecanoyl fatty acid side chain. In a more preferred embodiment, the lipopeptide is daptomycin.

In another embodiment of the invention, the micelle, whether mixed or comprising a single type of lipopeptide molecule, comprises a lipopeptide that is therapeutically useful. In a preferred embodiment, the lipopeptide is an antibiotic. In an even more preferred embodiment, the lipopeptide is daptomycin. Daptomycin forms micelles of approximately 5.4 nm (54 A) at a concentration of 1 mg/mL at pH of approximately 4.0 in water. See Fig. 16.

In another preferred embodiment, the micelles comprise one or more different types of therapeutic substances. In one embodiment, a therapeutic substance can be mixed with the lipopeptide in solution such that a micelle is formed from the lipopeptide and the therapeutic substance is trapped in the hydrophobic interior. In another embodiment, a therapeutic substance is mixed with a lipopeptide and one or more other amphipathic molecules such that a mixed micelle is formed from the lipopeptide and other amphipathic molecules and the therapeutic substance is found in the hydrophobic interior. In a preferred embodiment, the therapeutic substance is an anti-inflammatory or an anti-fungal agent. In a more preferred embodiment,

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the therapeutic substance is an antibiotic or antifungal agent disclosed *infra*. In another preferred embodiment, the therapeutic substance is soluble in a hydrophobic environment but is not soluble in an aqueous solution.

In another embodiment of the invention, the lipopeptides may be formed into liposomes, which are vesicular micelles in which a spherical lipid bilayer surrounds an aqueous interior. See Fig. 14, part c. Liposomes are advantageous for therapeutic uses because they easily fuse with a plasma membrane and can also be used to trap substances in their inner aqueous compartment. The substance can be one that is only soluble in aqueous solutions. In one embodiment, a solution comprising a lipopeptide and another amphipathic molecule can be sonicated to produce liposomes. In another embodiment, the lipopeptide alone can be sonicated to produce liposomes. In a preferred embodiment, the liposome comprises daptomycin or a daptomycin-related lipopeptide such as A54145, a lipopeptide disclosed in United States Patent 4,537,717, 4,482,487, Re. 32,311, Re. 32,310, 5,912,226, currently in reissue as United States Serial No. 09/547,357, United States Provisional Applications Nos. 60/170,943, 60/170,946 or 60/170,945, filed December 15, 1999, United States Provisional Application No. 60/208,222, filed May 30, 2000, or A-21978 antibiotic in which the n-decanoyl fatty acid side chain of daptomycin is replaced by an n-octanoyl, n-nonanoyl, n-undecanoyl, -dodecanoyl, n-tridecanoyl or ntetradecanoyl fatty acid side chain. In a more preferred embodiment, the lipopeptide is daptomycin.

In another preferred embodiment, the liposomes comprise one or more therapeutic substances in their inner aqueous compartments. In a preferred embodiment, the therapeutic substance is an antibiotic, an anti-inflammatory or an anti-fungal agent. In a more preferred embodiment, the therapeutic substance is an antibiotic or antifungal agent disclosed *infra*. In another preferred embodiment, the therapeutic substance is soluble in aqueous solution. In another preferred embodiment, a pharmaceutical composition comprises the liposome.

In a preferred embodiment, a pharmaceutical composition comprises lipopeptide micelles or lipopeptide micelle containing a therapeutic substance. The

lipopeptide micelles may be spherical micelles, mixed micelles or liposomes. Pharmaceutical compositions comprising lipopeptide micelles may minimize local irritation upon injection or when administered intravenously. In one embodiment, the pharmaceutical composition comprises a salt, a buffer to maintain a particular pH and micelles. In a further embodiment, the pharmaceutical composition comprises one or more agents to stabilize the micelles and/or to stabilize the lipopeptide or other therapeutic substance. In one embodiment, the pharmaceutical composition also comprises one or more therapeutic substances. In a preferred embodiment, the therapeutic substance is an anti-inflammatory or an antifungal agent. In a more preferred embodiment, the therapeutic substance is an antibiotic or antifungal agent disclosed *infra*. The therapeutic substance can be in addition to the therapeutic substance that is incorporated into the micelle, or can be the therapeutic agent that is incorporated into the micelle.

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The pharmaceutical composition can be dried or lyophilized, in which case the micelles are formed when either an aqueous solution, such as water or a buffer is added to the pharmaceutical composition. In a preferred embodiment, the pharmaceutical composition is lyophilized and contains a physiological concentration of salt when reconstituted and a buffer that maintains a pH at which micelles spontaneously form at room temperature when sterile water or other buffer is added. In an even more preferred embodiment, the pharmaceutical composition comprises daptomycin or related lipopeptide, such as A54145, the daptomycin-related lipopeptides disclosed *supra*, or an A-21978 antibiotic in which the n-decanoyl fatty acid side chain of daptomycin is replaced by an n-octanoyl, n-nonanoyl, n-undecanoyl, n-dodecanoyl, n-tridecanoyl or n-tetradecanoyl fatty acid side chain. In an even more preferred embodiment, the lipopeptide is daptomycin. In another embodiment, the pharmaceutical composition is aqueous. This is preferred when liposomes are used. In a preferred embodiment, the pharmaceutical composition comprises a stabilizing agent for the liposomes.

In another aspect of the invention, the micellar solution is isolated and/or purified. In one embodiment, micelles are isolated from smaller substituents by

ultrafiltration. The choice of ultrafiltration membrane will be based upon the size of the micelle. In general, a 10,000 NMW or 30,000 NMW membrane will be sufficient to retain micelles while permitting smaller substituents, such as contaminants to flow through. In another embodiment, micelles can be isolated and/or purified by dialysis, density gradient centrifugation or size exclusion chromatography. These methods are well-known in the art. In one embodiment, the micelles are more than 30% pure, where purity is measured as the weight of the micelles compared to the weight of monomeric forms of the lipopeptide or of other molecules. In a preferred embodiment, the micelles are more than 50%, 60%, 70%, 80%, 90% or 95% pure.

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In another aspect of the invention, the ability to form lipopeptide micelles and then to disassociate them by altering temperature, pH, electrolyte concentration and/or lipopeptide concentration provides a method for purifying lipopeptides. In one embodiment, the method comprises purifying lipopeptides from low molecular weight contaminants by subjecting lipopeptides to conditions in which the lipopeptides form micelles and then separating the micelles from the contaminants by a size selection technique, such as ultrafiltration or size exclusion chromatography. In another embodiment of the invention, the method comprises concentrating lipopeptides by subjecting lipopeptides to conditions in which the lipopeptides form micelles and then concentrating them by a size selection technique. In a more preferred embodiment, the method comprises both purification and concentration as a single step.

In another embodiment of the invention, the method comprises purifying a lipopeptide from high molecular weight contaminants, including pyrogens (e.g., lipopolysaccharide), by subjecting the lipopeptide to conditions under which the lipopeptide is monomeric and then separating the monomeric lipopeptide solution from the high molecular weight contaminants by a size separation technique. In a preferred embodiment, the size separation technique is ultrafiltration, as discussed *supra*. In another preferred embodiment, the lipopeptide is daptomycin or related lipopeptide, such as A54145, the daptomycin-related lipopeptides disclosed *supra*, or an A-21978 antibiotic in which the n-decanoyl fatty acid side chain of daptomycin is replaced by an n-octanoyl,

n-nonanoyl, n-undecanoyl, n-dodecanoyl, n-tridecanoyl or n-tetradecanoyl fatty acid side chain. In an even more preferred embodiment, the lipopeptide is daptomycin.

A preferred embodiment of the process chromatography method using micelles to purify daptomycin is described below:

Streptomyces roseosporus is fermented with a feed of n-decanoic acid as described supra. After fermentation, the extracellular solution is clarified as described supra.

The clarified preparation is then applied to an anion exchange resin, such as FP-DA 13, as described *supra*. Daptomycin is eluted from the column with one to three column volumes of an elevated salt buffer containing 300 to 500 mM NaCl.

The eluted daptomycin preparation is adjusted to a pH of 2.5 to 5.0 using an acid. In a preferred embodiment, the acid is dilute phosphoric acid. At pH 2.5 to 4.7, 300 to 500 mM NaCl and a temperature of 2-15°C, the daptomycin forms a micelle.

The daptomycin preparation is filtered on a 10,000 to 30,000 NMW ultrafiltration membrane. During ultrafiltration, the daptomycin preparation is washed with a buffer containing 30 mM sodium acetate pH 3.5 and at temperatures of up to 15°C. The initial salt concentration is 300 mM NaCl due to the elution conditions, but the salt concentration decreases as washing continues. Because daptomycin is in micellar form, it is retained on the filter while impurities smaller than the 10,000 to 30,000 (depending upon the filter used), pass through the filter. The daptomycin preparation obtained is approximately 85-90% pure.

As an optional step, the daptomycin preparation may be diluted and its pH raised to 6.5 in order to convert the daptomycin to a monomeric state. The daptomycin preparation is then be passed through a 10,000 NMW ultrafiltration membrane. This optional step decreases pyrogen content significantly.

## Methods for Analyzing Daptomycin Purity

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Another embodiment of the invention provides analytical methods for measuring the purity of daptomycin.

In the prior art, many of the contaminants that co-purified with daptomycin were unresolved or unidentified because the ability to visualize and measure impurities was limited by the analytical methods and equipment available. See, e.g., United States Patent 4,874,843 and Kirsch et al. The development of more sensitive analytical HPLC systems and techniques permits the resolution of a number of contaminants that exist in daptomycin batches prepared by prior art methods. The higher resolution HPLC methods demonstrate that daptomycin as purified by prior art methods is contaminated with previously identified impurities, such as anhydro-daptomycin and  $\beta$ -isomer, and other, previously unknown contaminants that co-purify with daptomycin (and co-elute under the previously established HPLC detection conditions) during the practice of prior art methods. Identification of these contaminants now permits the development of methods designed to eliminate these contaminants.

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As discussed above, anhydro-daptomycin and the  $\beta$ -isomer were previously described as impurities that persistently and consistently occurred during preparation of daptomycin. Using the HPLC analyses described here, an additional approximately twelve impurities produced during the production of daptomycin were distinguished, some of which had previously not been identified. These impurities were not removed after purification by the method disclosed in United States Patent 4,874,843. At least ten of these compounds have been identified (see, e.g., Figs. 2-11). Furthermore, at least six of these compounds are not the direct result of the reaction that produces anhydro-daptomycin and the  $\beta$ -isomer form of daptomycin, but rather are compounds produced by other, unrelated, processes that occur during the fermentation or purification of daptomycin. The method of the instant invention, described below, also significantly reduces the levels of a number of these impurities (see Examples).

Any method known in the art may be used to measure the amount of other compounds in a daptomycin preparation. Methods for identifying daptomycin contaminants include, without limitation, mass spectroscopy, infrared spectroscopy, capillary electrophoresis and nuclear magnetic resonance spectroscopy. A preferred

method for measuring the amount of other compounds in a daptomycin preparation is HPLC.

Two methods were used to measure daptomycin impurities in the instant invention. The first method is a slightly lower resolution method than the second method. In both methods, a Shimadzu or HP HPLC System with PE Nelson's Turbochrom Software Version 4.1 is used. The "first" resolution method is summarized in Table 1 and the "second" resolution method is summarized in Table 2:

# TABLE 1

5	1.	Solvent Delivery Syst Mode: Flow rate: Run time:	tem: Isocratic pumping 1.5 mL/min 30 minutes		
10	2.		cetonitrile in 0.5% NH ₄ H ₂ PO ₄ at pH 4.5 cetonitrile in 0.5% NH ₄ H ₂ PO ₄ at pH 4.5		
		The target condition is to retain daptomycin at $15.0 \pm 0.5$ minutes. Solvent B may be used together with solvent A to adjust the HPLC mobile phase conditions to achieve the desired retention time.			
15	3.	Autosampler cooler:	5 (4 to 6) °C		
	4.	Injection volume:	5 $\mu$ L to 75 $\mu$ L (20 $\mu$ L normal)		
20	5.	Column:	IB-SIL (Phenomenex), C-8, $5\mu$ , 4.6 mm x 250 mm (or equivalent)		
	6.	Pre-column:	IB-SIL (Phenomenex), C-8, $5\mu$ , 4.6 mm x 30 mm (or equivalent)		
25	7.	Detection wavelength	a: 214 nm		
	8.	Column Temperature: ambient			
30	9.	Integration:	A computer system or integrator capable of measuring peak area.		

## TABLE 2

1. Solvent Delivery System:

Mode: Isocratic pumping

Flow rate: 1.5 mL/min Run time: 75 minutes

2. Solvent A: 20% acetonitrile in 0.45% NH₄H₂PO₄ at pH 3.25 Solvent B: 50% acetonitrile in 0.45% NH₄H₂PO₄ at pH 3.25

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The target condition is approximately 35% acetonitrile in 0.45% NH₄H₂PO₄ at pH 3.25 (50% Solvent B) to retain daptomycin at  $36.0 \pm 1.5$  minutes; however, the solvent ratio will be used to adjust the HPLC mobile phase composition to achieve the desired retention time.

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- 3. Autosampler cooler: 5 (4 to 6) °C
- 4. Injection volume:  $5 \mu L$  to 75  $\mu L$  (20  $\mu L$  normal)
- 20 5. Column: IB-SIL (Phenomenex), C-8, 5μ, 4.6 mm x 250 mm (or

equivalent)

6. Pre-column: IB-SIL (Phenomenex), C-8, 5μ, 4.6 mm x 30 mm (or

equivalent)

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- 7. Detection wavelength: 214 nm
- 8. Column Temperature: 25 (22 to 28) °C
- 30 9. Integration: A computer system or integrator capable of measuring peak

area.

# Purified Lipopeptides, Pharmaceutical Compositions and Methods of Use Thereof

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Another object of the instant invention is to provide purified lipopeptides, as well as salts, esters, amides, ethers and protected forms thereof, as well as pharmaceutical formulations comprising purified lipopeptides or its salts. In a preferred embodiment, the lipopeptide is daptomycin or a daptomycin-related lipopeptide, as described *supra*. A further object of the instant invention is to provide pharmaceutical compositions comprising lipopeptide micelles. In a preferred embodiment, the lipopeptide micelles are micelles comprising daptomycin or one or more daptomycin-related lipopeptides. All reference herein to lipopeptide micelles refers not only to all lipopeptide micelles, but specifically contemplates daptomycin, or related lipopeptide, such as A54145, the daptomycin-related lipopeptides disclosed *supra*, or an A-21978 antibiotic in which the n-decanoyl fatty acid side chain of daptomycin is replaced by an n-octanoyl, n-nonanoyl, n-undecanoyl, n-dodecanoyl, n-tridecanoyl or n-tetradecanoyl fatty acid side chain. Further, all references herein to lipopeptide micelles specifically contemplates spherical micelles, mixed micelles and liposomes, as discussed *supra*.

Purified lipopeptides, pharmaceutically acceptable salts thereof, or lipopeptide micelles can be formulated for oral, intravenous, intramuscular, subcutaneous, aerosol, topical or parenteral administration for the therapeutic or prophylactic treatment of diseases, particularly bacterial infections. In a preferred embodiment, the purified lipopeptide is purified daptomycin or a daptomycin-related lipopeptide. Reference herein to "purified daptomycin," "purified daptomycin-related lipopeptide" or "purified lipopeptide" includes pharmaceutically acceptable salts thereof. Daptomycin, daptomycin-related lipopeptide or other lipopeptide micelles can be formulated using any pharmaceutically acceptable carrier or excipient that is compatible with daptomycin or with the lipopeptide of interest. See, e.g., Handbook of Pharmaceutical Additives: An International Guide to More than 6000 Products by Trade Name, Chemical, Function, and Manufacturer, Ashgate Publishing Co., eds., M. Ash and I. Ash, 1996; The Merck Index: An Encyclopedia of Chemicals, Drugs and Biologicals, ed. S. Budavari, annual; Remington's Pharmaceutical Sciences, Mack Publishing Company, Easton, PA;

Martindale: The Complete Drug Reference, ed. K. Parfitt, 1999; and Goodman & Gilman's The Pharmaceutical Basis of Therapeutics, Pergamon Press, New York, NY, ed. L. S. Goodman et al.; the contents of which are incorporated herein by reference, for a general description of the methods for administering various antimicrobial agents for human therapy. Purified daptomycin, daptomycin-related lipopeptide or other lipopeptide micelles of this invention can be mixed with conventional pharmaceutical carriers and excipients and used in the form of tablets, capsules, elixirs, suspensions, syrups, wafers, creams and the like. Daptomycin, daptomycin-related lipopeptide or other lipopeptide micelles may be mixed with other therapeutic agents and antibiotics, such as discussed herein. The compositions comprising a compound of this invention will contain from about 0.1 to about 90% by weight of the active compound, and more generally from about 10 to about 30%.

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The compositions of the invention can be delivered using controlled (e.g., capsules) or sustained release delivery systems (e.g., bioerodable matrices). Exemplary delayed release delivery systems for drug delivery that are suitable for administration of the compositions of the invention are described in U.S. Patent Nos. 4,452,775 (issued to Kent), 5,239,660 (issued to Leonard), 3,854,480 (issued to Zaffaroni).

The compositions may contain common carriers and excipients, such as corn starch or gelatin, lactose, sucrose, microcrystalline cellulose, kaolin, mannitol, dicalcium phosphate, sodium chloride and alginic acid. The compositions may contain croscarmellose sodium, microcrystalline cellulose, corn starch, sodium starch glycolate and alginic acid.

Tablet binders that can be included are acacia, methylcellulose, sodium carboxymethylcellulose, polyvinylpyrrolidone (Povidone), hydroxypropyl methylcellulose, sucrose, starch and ethylcellulose.

Lubricants that can be used include magnesium stearate or other metallic stearates, stearic acid, silicone fluid, talc, waxes, oils and colloidal silica.

Flavoring agents such as peppermint, oil of wintergreen, cherry flavoring or the like can also be used. It may also be desirable to add a coloring agent to make the dosage form more aesthetic in appearance or to help identify the product.

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For oral use, solid formulations such as tablets and capsules are particularly useful. Sustained release or enterically coated preparations may also be devised. For pediatric and geriatric applications, suspensions, syrups and chewable tablets are especially suitable. For oral administration, the pharmaceutical compositions are in the form of, for example, a tablet, capsule, suspension or liquid. The pharmaceutical composition is preferably made in the form of a dosage unit containing a therapeutically-effective amount of the active ingredient. Examples of such dosage units are tablets and capsules. For therapeutic purposes, the tablets and capsules which can contain, in addition to the active ingredient, conventional carriers such as binding agents, for example, acacia gum, gelatin, polyvinylpyrrolidone, sorbitol, or tragacanth; fillers, for example, calcium phosphate, glycine, lactose, maize-starch, sorbitol, or sucrose; lubricants, for example, magnesium stearate, polyethylene glycol, silica, or talc; disintegrants, for example, potato starch, flavoring or coloring agents, or acceptable wetting agents. Oral liquid preparations generally are in the form of aqueous or oily solutions, suspensions, emulsions, syrups or elixirs may contain conventional additives such as suspending agents, emulsifying agents, non-aqueous agents, preservatives, coloring agents and flavoring agents. Oral liquid preparations may comprise lipopeptide micelles or monomeric forms of the lipopeptide. Examples of additives for liquid preparations include acacia, almond oil, ethyl alcohol, fractionated coconut oil, gelatin, glucose syrup, glycerin, hydrogenated edible fats, lecithin, methyl cellulose, methyl or propyl para-hydroxybenzoate, propylene glycol, sorbitol, or sorbic acid.

For intravenous (IV) use, a water soluble form of daptomycin, daptomycin-related lipopeptide or other lipopeptide can be dissolved in any of the commonly used intravenous fluids and administered by infusion. For lipopeptide micelles, the lipopeptide is dissolved in an intravenous formulation under conditions in which the lipopeptide is present at a concentration above its cmc. One having ordinary

skill in the art may vary the pH, temperature or salt concentration following the teachings of this invention to obtain an intravenous solution comprising lipopeptide micelles. Further, one may sonicate the lipopeptide solution in order to obtain lipopeptide liposomes. Intravenous formulations may include carriers, excipients or stabilizers including, without limitation, calcium, human serum albumin, citrate, acetate, calcium chloride, carbonate, and other salts. Intravenous fluids include, without limitation, physiological saline or Ringer's solution. Daptomycin or daptomycin-related lipopeptide also may be placed in injectors, cannulae, catheters and lines.

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Formulations for parenteral administration can be in the form of aqueous or non-aqueous isotonic sterile injection solutions or suspensions. These solutions or suspensions can be prepared from sterile powders or granules having one or more of the carriers mentioned for use in the formulations for oral administration. Lipopeptide micelles may be particularly desirable for parenteral administration. The compounds can be dissolved in polyethylene glycol, propylene glycol, ethanol, corn oil, benzyl alcohol, sodium chloride, and/or various buffers. For intramuscular preparations, a sterile formulation of a lipopeptide compound or a suitable soluble salt form of the compound, for example the hydrochloride salt, can be dissolved and administered in a pharmaceutical diluent such as Water-for-Injection (WFI), physiological saline or 5% glucose.

Lipopeptide micelles may be particularly desirable for parenteral administration because they are likely to cause no local irritation at the site of injection. Without wishing to be bound by any theory, it is likely that lipopeptide micelles will cause less local irritation than monomeric lipopeptides because the lipid tails, which might cause irritation upon injection, will be sequestered in the interior of the micelle, while the peptide nucleus, which is less likely to cause local irritation than the lipid tail, will be exposed to the tissue. Lipopeptide micelles may be prepared for intramuscular and parenteral preparations by following the teachings of this invention to obtain a preparation comprising lipopeptide micelles. Further, one may sonicate the lipopeptide solution in order to obtain lipopeptide liposomes. A suitable insoluble form of the compound also may be prepared and administered as a suspension in an aqueous base or a

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pharmaceutically acceptable oil base, e.g., an ester of a long chain fatty acid such as ethyl oleate.

Injectable depot forms may be made by forming microencapsulated matrices of the compound in biodegradable polymers such as polylactide-polyglycolide. Depending upon the ratio of drug to polymer and the nature of the particular polymer employed, the rate of drug release can be controlled. Examples of other biodegradable polymers include poly(orthoesters) and poly(anhydrides). Depot injectable formulations are also prepared by entrapping the drug in microemulsions that are compatible with body tissues.

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For topical use the compounds and micelles of the present invention can also be prepared in suitable forms to be applied to the skin, or mucus membranes of the nose and throat, and can take the form of creams, ointments, liquid sprays or inhalants, lozenges, or throat paints. Such topical formulations further can include chemical compounds such as dimethylsulfoxide (DMSO) to facilitate surface penetration of the active ingredient. For topical preparations, a sterile formulation of daptomycin, daptomycin-related lipopeptide, suitable salt forms thereof, or a lipopeptide micelle may be administered in a cream, ointment, spray or other topical dressing. Topical preparations may also be in the form of bandages that have been impregnated with purified daptomycin, daptomycin-related lipopeptide or a lipopeptide micelle composition.

For application to the eyes or ears, the compounds of the present invention can be presented in liquid or semi-liquid form formulated in hydrophobic or hydrophilic bases as ointments, creams, lotions, paints or powders.

For rectal administration the compounds of the present invention can be administered in the form of suppositories admixed with conventional carriers such as cocoa butter, wax or other glyceride.

For aerosol preparations, a sterile formulation of purified daptomycin or a daptomycin-related lipopeptide or salt form of the compound may be used in inhalers, such as metered dose inhalers, and nebulizers. A sterile formulation of a lipopeptide

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micelle may also be used for aerosol preparation. Aerosolized forms may be especially useful for treating respiratory infections, such as pneumonia and sinus-based infections.

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Alternatively, the compounds of the present invention can be in powder form for reconstitution in the appropriate pharmaceutically acceptable carrier at the time of delivery. If the powder form is to be reconstituted as lipopeptide micelles, the powder may comprise a buffer and/or salt such that reconstitution with a particular quantity of sterile water or saline will cause the lipopeptide to form micelles. Alternatively, the powder form may contain instructions regarding the quantity and type of pharmaceutically acceptable carrier is to be used to reconstitute the lipopeptide in order to obtain micelles. In another embodiment, the unit dosage form of the compound can be a solution of the compound, a salt thereof, or a lipopeptide micelle in a suitable diluent in sterile, hermetically sealed ampules. The concentration of the compound in the unit dosage may vary, e.g. from about 1 percent to about 50 percent, depending on the compound used and its solubility and the dose desired by the physician. If the compositions contain dosage units, each dosage unit preferably contains from 50-500 mg of the active material. For adult human treatment, the dosage employed preferably ranges from 100 mg to 3 g, per day, depending on the route and frequency of administration.

In a further aspect, this invention provides a method for treating an infection, especially those caused by gram-positive bacteria, in humans and other animals. The term "treating" is used to denote both the prevention of an infection and the control of an established infection after the host animal has become infected. An established infection may be one that is acute or chronic. The method comprises administering to the human or other animal an effective dose of a compound of this invention. An effective dose is generally between about 0.1 and about 25 mg/kg purified daptomycin, daptomycin-related lipopeptide or pharmaceutically acceptable salts thereof. The daptomycin or daptomycin-related lipopeptide may be monomeric or may be part of a lipopeptide micelle. A preferred dose is from about 1 to about 25 mg/kg of purified daptomycin or daptomycin-related lipopeptide or pharmaceutically acceptable salts

thereof. A more preferred dose is from about 1 to 12 mg/kg purified daptomycin or a pharmaceutically acceptable salt thereof.

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In one embodiment, the invention provides a method for treating an infection, especially those caused by gram-positive bacteria, in a subject with a therapeutically-effective amount of daptomycin or other antibacterial lipopeptide. The daptomycin or antibacterial lipopeptide may be monomeric or in a lipopeptide micelle. Exemplary procedures for delivering an antibacterial agent are described in U.S. Patent No. 5,041,567, issued to Rogers and in PCT patent application number EP94/02552 (publication no. WO 95/05384), the entire contents of which documents are incorporated in their entirety herein by reference. As used herein the phrase "therapeutically-effective amount" means an amount of daptomycin or antibacterial lipopeptide according to the present invention that prevents the onset, alleviates the symptoms, or stops the progression of a bacterial infection. The term "treating" is defined as administering, to a subject, a therapeutically-effective amount of a compound of the invention, both to prevent the occurrence of an infection and to control or eliminate an infection. The term "subject", as described herein, is defined as a mammal, a plant or a cell culture. In a preferred embodiment, a subject is a human or other animal patient in need of lipopeptide compound treatment.

The lipopeptide antibiotic compound can be administered as a single daily dose or in multiple doses per day. The treatment regime may require administration over extended periods of time, e.g., for several days or for from two to four weeks. The amount per administered dose or the total amount administered will depend on such factors as the nature and severity of the infection, the age and general health of the patient, the tolerance of the patient to the antibiotic and the microorganism or microorganisms involved in the infection. A method of administration is disclosed in United States Serial No. 09/406,568, filed September 24, 1999, herein incorporated by reference, which claims the benefit of U.S. Provisional Application Nos. 60/101,828, filed September 25, 1998, and 60/125,750, filed March 24, 1999.

The methods of the present invention comprise administering purified daptomycin or other lipopeptide antibiotic, or pharmaceutical compositions thereof to a patient in need thereof in an amount that is efficacious in reducing or eliminating the gram-positive bacterial infection. The daptomycin or lipopeptide antibiotic may be either monomeric or may be present in a lipopeptide micelle. The antibiotic may be administered orally, parenterally, by inhalation, topically, rectally, nasally, buccally, vaginally, or by an implanted reservoir, external pump or catheter. The antibiotic may be prepared for opthalmic or aerosolized uses. Purified daptomycin, lipopeptide antibiotic, or pharmaceutical compositions thereof also may be directly injected or administered into an abscess, ventricle or joint. Parenteral administration includes subcutaneous, intravenous, intramuscular, intra-articular, intra-synovial, cisternal, intrathecal, intrahepatic, intralesional and intracranial injection or infusion. In a preferred embodiment, daptomycin or other lipopeptide is administered intravenously, subcutaneously or orally.

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The method of the instant invention may be used to treat a patient having a bacterial infection in which the infection is caused or exacerbated by any type of grampositive bacteria. In a preferred embodiment, purified daptomycin, daptomycin-related lipopeptide, other lipopeptide or pharmaceutical compositions thereof are administered to a patient according to the methods of this invention. In another preferred embodiment, the bacterial infection may be caused or exacerbated by bacteria including, but not limited to, methicillin-susceptible and methicillin-resistant staphylococci (including Staphylococcus aureus, Staphylococcus epidermidis, Staphylococcus haemolyticus, Staphylococcus hominis, Staphylococcus saprophyticus, and coagulase-negative staphylococci), glycopeptide intermediary- susceptible Staphylococcus aureus (GISA), penicillin-susceptible and penicillin-resistant streptococci (including Streptococcus avium, Streptococcus bovis, Streptococcus lactis, Streptococcus sangius and Streptococci Group C, Streptococci Group G and viridans streptococci), enterococci (including vancomycin-susceptible and vancomycin-resistant strains such as Enterococcus faecalis and

Enterococcus faecium), Clostridium difficile, Clostridium clostridiiforme, Clostridium innocuum, Clostridium perfringens, Clostridium ramosum, Haemophilus influenzae, Listeria monocytogenes, Corynebacterium jeikeium, Bifidobacterium spp., Eubacterium aerofaciens, Eubacterium lentum, Lactobacillus acidophilus, Lactobacillus casei, Lactobacillus plantarum, Lactococcus spp., Leuconostoc spp., Pediococcus, Peptostreptococcus anaerobius, Peptostreptococcus asaccarolyticus, Peptostreptococcus magnus, Peptostreptococcus micros, Peptostreptococcus prevotii, Peptostreptococcus productus, Propionibacterium acnes, and Actinomyces spp.

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The antibacterial activity of daptomycin against classically "resistant" strains is comparable to that against classically "susceptible" strains in *in vitro* experiments. In addition, the minimum inhibitory concentration (MIC) value for daptomycin against susceptible strains is typically 4-fold lower than that of vancomycin. Thus, in a preferred embodiment, purified daptomycin, daptomycin-related lipopeptide antibiotic, or pharmaceutical compositions thereof are administered according to the methods of this invention to a patient who exhibits a bacterial infection that is resistant to other antibiotics, including vancomycin. In addition, unlike glycopeptide antibiotics, daptomycin exhibits rapid, concentration-dependent bactericidal activity against grampositive organisms. Thus, in a preferred embodiment, purified daptomycin, lipopeptide antibiotic, or pharmaceutical compositions thereof are administered according to the methods of this invention to a patient in need of rapidly acting antibiotic therapy.

The method of the instant invention may be used for a gram-positive bacterial infection of any organ or tissue in the body. These organs or tissue include, without limitation, skeletal muscle, skin, bloodstream, kidneys, heart, lung and bone. The method of the invention may be used to treat, without limitation, skin and soft tissue infections, bacteremia and urinary tract infections. The method of the invention may be used to treat community acquired respiratory infections, including, without limitation, otitis media, sinusitis, chronic bronchitis and pneumonia, including pneumonia caused by drug-resistant *Streptoococcus pneumoniae* or *Haemophilus influenzae*. The method of the invention also may be used to treat mixed infections that comprise different types of

gram-positive bacteria, or which comprise both gram-positive and gram-negative bacteria, including aerobic, caprophilic or anaerobic bacteria. These types of infections include intra-abdominal infections and obstetrical/gynecological infections. The methods of the invention may be used in step-down therapy for hospital infections, including, without limitation, pneumonia, intra-abdominal sepsis, skin and soft tissue infections and bone and joint infections. The method of the invention also may be used to treat an infection including, without limitation, endocarditis, nephritis, septic arthritis and osteomyelitis. In a preferred embodiment, any of the above-described diseases may be treated using purified daptomycin, lipopeptide antibiotic, or pharmaceutical compositions thereof. Further, the diseases may be treated using daptomycin or lipopeptide antibiotic in either a monomeric or micellar form.

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Daptomycin, daptomycin-related lipopeptide or other lipopeptide may also be administered in the diet or feed of a patient or animal. If administered as part of a total dietary intake, the amount of daptomycin or other lipopeptide can be less than 1% by weight of the diet and preferably no more than 0.5% by weight. The diet for animals can be normal foodstuffs to which daptomycin or lipopeptide can be added or it can be added to a premix.

The method of the instant invention may also be practiced while concurrently administering one or more antifungal agents and/or one or more antibiotics other than daptomycin or other lipopeptide antibiotic. Co-administration of an antifungal agent and an antibiotic other than daptomycin or another lipopeptide antibiotic may be useful for mixed infections such as those caused by different types of gram-positive bacteria, those caused by both gram-positive and gram-negative bacteria, or those that caused by both bacteria and fungus. Furthermore, daptomycin or other lipopeptide antibiotic may improve the toxicity profile of one or more co-administered antibiotics. It has been shown that administration of daptomycin and an aminoglycoside may ameliorate renal toxicity caused by the aminoglycoside. In a preferred embodiment, an antibiotic and/or antifungal agent may be administered concurrently with purified daptomycin, other

lipopeptide antibiotic, or in pharmaceutical compositions comprising purified daptomycin or another lipopeptide antibiotic.

Co-administration of another therapeutic agent with daptomycin or another lipopeptide antibiotic may be performed using daptomycin or lipopeptide antibiotic in either a monomeric or micellar form. As discussed *supra*, spherical lipopeptide micelles can be used to help solubilize agents that exhibit low aqueous solubility. Further, lipopeptide liposomes can be used to trap agents that are soluble in aqueous media inside the vesicle of the liposomes. By following the teachings of the specification, one having ordinary skill in the art would be able to make lipopeptide micelles comprising therapeutic agents, such as anti-inflammatory agents, anti-fungal agents and other antibiotics.

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Antibacterial agents and classes thereof that may be co-administered with daptomycin or other lipopeptide antibiotics include, without limitation, penicillins and related drugs, carbapenems, cephalosporins and related drugs, aminoglycosides, bacitracin, gramicidin, mupirocin, chloramphenicol, thiamphenicol, fusidate sodium, lincomycin, clindamycin, macrolides, novobiocin, polymyxins, rifamycins, spectinomycin, tetracyclines, vancomycin, teicoplanin, streptogramins, anti-folate agents including sulfonamides, trimethoprim and its combinations and pyrimethamine, synthetic antibacterials including nitrofurans, methenamine mandelate and methenamine hippurate, nitroimidazoles, quinolones, fluoroquinolones, isoniazid, ethambutol, pyrazinamide, paraaminosalicylic acid (PAS), cycloserine, capreomycin, ethionamide, prothionamide, thiacetazone, viomycin, eveminomycin, glycopeptide, glycylcylcline, ketolides, oxazolidinone; imipenen, amikacin, netilmicin, fosfomycin, gentamicin, ceftriaxone, Ziracin, LY 333328, CL 331002, HMR 3647, Linezolid, Synercid, Aztreonam, and Metronidazole, Epiroprim, OCA-983, GV-143253, Sanfetrinem sodium, CS-834, Biapenem, A-99058.1, A-165600, A-179796, KA 159, Dynemicin A, DX8739, DU 6681; Cefluprenam, ER 35786, Cefoselis, Sanfetrinem celexetil, HGP-31, Cefpirome, HMR-3647, RU-59863, Mersacidin, KP 736, Rifalazil; Kosan, AM 1732, MEN 10700, Lenapenem, BO 2502A, NE-1530, PR 39, K130, OPC 20000, OPC 2045, Veneprim, PD

138312, PD 140248, CP 111905, Sulopenem, ritipenam acoxyl, RO-65-5788, Cyclothialidine, Sch-40832, SEP-132613, micacocidin A, SB-275833, SR-15402, SUN A0026, TOC 39, carumonam, Cefozopran, Cefetamet pivoxil, and T 3811.

In a preferred embodiment, antibacterial agents that may be coadministered with daptomycin according to this invention include, without limitation, imipenen, amikacin, netilmicin, fosfomycin, gentamicin, ceftriaxone, teicoplanin, Ziracin, LY 333328, CL 331002, HMR 3647, Linezolid, Synercid, Aztreonam, and Metronidazole.

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Antifungal agents that may be co-administered with daptomycin or other lipopeptide antibiotic include, without limitation, Caspofungen, Voriconazole, Sertaconazole, IB-367, FK-463, LY-303366, Sch-56592, Sitafloxacin, DB-289 polyenes, such as Amphotericin, Nystatin, Primaricin; azoles, such as Fluconazole, Itraconazole, and Ketoconazole; allylamines, such as Naftifine and Terbinafine; and anti-metabolites such as Flucytosine. Other antifungal agents include without limitation, those disclosed in Fostel et al., Drug Discovery Today 5:25-32 (2000), herein incorporated by reference. Fostel et al. disclose antifungal compounds including Corynecandin, Mer-WF3010, Fusacandins, Artrichitin/LL 15G256γ, Sordarins, Cispentacin, Azoxybacillin, Aureobasidin and Khafrefungin.

Daptomycin or other lipopeptide antibiotic, including daptomycin-related lipopeptides, may be administered according to this method until the bacterial infection is eradicated or reduced. In one embodiment, daptomycin or other lipopeptide is administered for a period of time from 3 days to 6 months. In a preferred embodiment, daptomycin or other lipopeptide is administered for 7 to 56 days. In a more preferred embodiment, daptomycin or other lipopeptide is administered for 7 to 28 days. In an even more preferred embodiment, daptomycin or other lipopeptide is administered for 7 to 14 days. Daptomycin or other lipopeptide may be administered for a longer or shorter time period if it is so desired.

In order that this invention may be more fully understood, the following examples are set forth. These examples are for the purpose of illustration only and are not to be construed as limiting the scope of the invention in any way.

5 EXAMPLE 1

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A fermentation culture of *S. roseosporus* NRRL Strain 15998 is conducted in a controlled decanoic acid feed fermentation at levels that optimize the production of the antibiotic while minimizing the production of contaminants. The residual decanoic acid feed is measured by gas chromatography and the target residual level is 10 ppm decanoic acid from the start of induction (approximately at hour 30) until harvest. Centrifugation of the culture and subsequent analysis of the clarified broth are used to measure the production of daptomycin by HPLC. The harvest titer is typically between 2.1 and 2.6 grams per liter of fermentation broth.

The fermentation is harvested either by microfiltration using a Pall-Sep or by full commercial-scale centrifugation and depth filter. The clarified broth is applied to an anion exchange resin, Mitsubishi FP-DA 13, washed with 30 mM NaCl at pH 6.5 and eluted with 300 mM NaCl at pH 6.0-6.5. Alternatively, the FP-DA 13 column is washed with 60 mM NaCl at pH 6.5 and eluted with 500 mM NaCl at pH 6.0-6.5. The eluate is applied to a HIC resin, HP-20ss, washed with 30% acetonitrile, and eluted with 35% acetonitrile at pH 4.0-5.0. Alternatively, the HIC resin is washed with 45% isopropyl alcohol and eluted with 55-60% isopropyl alcohol. The eluate is applied to FP-DA 13 resin and washed and eluted as before. The final anion exchange step reduces solvent by one third or more. Reverse osmosis diafiltration and concentration at pH 1.5-2.5 is performed using an 0.2 μm filter and the daptomycin preparation is frozen. A final reverse osmosis diafiltration is conducted with Water-For-Injection (WFI) to wash daptomycin and adjust its concentration prior to sterile-filling. Vials or bulk quantities of daptomycin are then lyophilized.

## **EXAMPLE 2**

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Daptomycin was produced in a fermentation culture of S. roseosporus and partially purified Daptomycin (9.9 Kg) was purified by microfiltration from 5500 liters of fermentation broth by the method described in United States Patent 4,885,243. The partially purified daptomycin was further purified by the method described in US. Pat. No. 4,874,843, and resulted in a bulk daptomycin preparation with a purity of 91%. The daptomycin preparation contained fourteen impurities by HPLC analysis (see Example 10). The daptomycin preparation was applied to a Poros P150 anion exchange resin (PE Biosystems) in Tris buffer pH 7.0 containing 6M urea and allowed to bind to the resin. The resin was washed with three column volumes of buffer prior to initiation of a NaCl gradient in the same buffer. Alternatively, the contaminants can be effectively removed from the column with a fixed salt level of 30 mM NaCl. The elution of purified daptomycin from the resin occurred at approximately 300 mM NaCl during a 0 to 1000 mM NaCl gradient. Daptomycin eluted from the column was greater than 99 % pure as measured by the "first" HPLC method. The purified daptomycin contained only one detectable daptomycin contaminant. Anhydro-daptomycin and ß-isomer were undetectable (less than 0.01% contamination). The level of the unidentified contaminant was greater than 0.1% and less than 0.5%.

## **EXAMPLE 3**

A bulk daptomycin preparation with a purity of 91% was prepared as described in Example 2. The product was applied to a Poros D50 anion exchange resin (PE Biosystems) in an acetate buffer pH 7.0 containing 6M urea. The Poros D50 resin was washed and eluted in the same manner as described in Example 2. Daptomycin eluted from the column was 96.92 % pure as measured by the "second" HPLC method. The product of this invention contained only two of the initial fourteen impurities (less than 0.5% contamination). Anhydro-daptomycin could not be detected in the purified daptomycin preparation (less than 0.01% contamination and with precise quantitation at less than 0.05%).

## **EXAMPLE 4**

A fermentation broth containing daptomycin was produced as described in Example 2. The fermentation broth was clarified by microfiltration. The clarified product was extracted with 20% n-butanol or iso-butanol at pH 4.5 (one part butanol to four parts clarified solution). Re-extraction of the clarified solution was performed to achieve a yield of partially purified daptomycin of greater than 90% of the total daptomycin in the clarified solution. Daptomycin was recovered from the butanol phase by the addition of a pH 6.5 aqueous buffer in a volume that is one-half or more of the volume of butanol to extract daptomycin from the butanol phase into the aqueous phase. The butanol extraction step resulted in a partially purified daptomycin preparation that was purified 5-fold and concentrated 10-fold relative to the clarified solution.

The aqueous daptomycin preparation was then purified by the method disclosed in US. Pat. No. 4,874,843, resulting in daptomycin that was 91% pure. Daptomycin contained fourteen impurities. The product was applied to a Poros D50 resin in a Tris buffer at pH 7.0 containing 6M urea. The resin was washed with three bed volumes of Tris buffer at pH 7.0 containing 6M urea prior to initiation of a NaCl gradient from 0 to 1000 mM in the same buffer. Elution of purified daptomycin from the resin occurred at approximately 300 mM NaCl. Daptomycin was 98% pure as measured by the "second" HPLC method.

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## **EXAMPLE 5**

Daptomycin is fermented as described in Example 2. The 5500 liters fermentation broth contains 13 Kg daptomycin. The fermentation broth is directly extracted with 20% n-butanol at pH 4.5, which partitions daptomycin into the butanol. Re-extractions of the fermentation broth with butanol are performed to achieve a yield of greater than 90% of the total daptomycin in the fermentation broth. The butanol phase is extracted with an aqueous acetate buffer at pH 6.5, resulting in daptomycin that is purified 5-fold (35%) and concentrated 10-fold relative to the fermentation broth. The aqueous daptomycin is microfiltered by the method described in United States Patent

4,885,243, then purified by the method of US. Pat. No. 4,874,843. This method results in daptomycin with a purity of approximately 91%. Daptomycin contains 14 impurities by the HPLC method used at the time of the prior art. The product is applied to a Poros D50 resin column in a acetate buffer at pH 7.0 containing 6M urea. Washing and elution of the resin is performed as indicated in Example 2. The product of the chromatographic step is approximately 98% to 99% pure as measured by the second HPLC method.

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## **EXAMPLE 6**

Daptomycin was produced in a fermentation culture of *S. roseosporus* except a reduced residual decanoic acid feed was used in order to improve the quality of the fermentation to about 10% purity when clarified by microfiltration or centrifugation. The decanoic acid level was monitored and periodically adjusted to maintain the residual decanoic acid levels at less than 50 ppm and preferably between 1 and 10 ppm during fermentation. The fermentation broth was microfiltered by the method described in United States Patent 4,885,243 to produce 12.1 Kg partially purified daptomycin from 5500 liters of fermentation broth. Clarified fermentation broth was bound to the anion exchanger, FP-DA 13 (Mitsubishi) in acetate buffer at neutral pH, washed in acetate buffer containing 30 mM NaCl, and subsequently eluted with acetate buffer at 300 mM NaCl. This anion exchange step produced daptomycin with a purity of greater than 70%. This partially purified daptomycin was further purified by the method of United States Patent 4,874,843 with the modification that HP-20ss resin was used. Specifically, the partially purified daptomycin was loaded on HP-20ss in acetate buffer containing 10% acetonitrile, washed with acetate buffer containing 30% acetonitrile and eluted with 40% acetonitrile in acetate buffer, resulting in daptomycin with a purity of about 94 to 96% as measured by the "second" HPLC method. The product is subjected to modified buffer enhanced anion exchange chromatography using Poros D50 resin as described in Example 5. Daptomycin is greater than 99 % pure and contains only two of the fourteen impurities produced by methods described in the prior art.

## EXAMPLE 7

A daptomycin preparation with a purity of 93% was prepared as described in Example 2. The product was applied to a Poros P150 resin (PE Biosystems) in an acetate buffer pH 6.0 containing 2M urea. The Poros P150 resin was washed with three column volumes of the buffer. Daptomycin was eluted from the resin using a 0 to 400 mM NaCl gradient in the acetate buffer pH 6.0 containing 2M urea. Daptomycin eluted between 150 and 300 mM NaCl. Daptomycin eluted from the column was 99.0 to 99.5 % pure as measured by the "first" HPLC method. Daptomycin contained trace amounts of four impurities that were less than 1% of the total of daptomycin. Anhydro-daptomycin could not be detected in the purified daptomycin preparation (less than 0.02% contamination).

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#### EXAMPLE 8

A daptomycin preparation with a purity of 93% was prepared as described in Example 2. The product was applied to a Poros P150 resin (PE Biosystems) in an acetate buffer pH 6.0 containing 2M urea. The column was washed with six column volumes of 60 mM NaCl in acetate buffer pH 6.0 containing 2M urea (the "wash buffer"). The wash buffer may vary from 50-75 mM NaCl. The wash removes virtually all anhydro-daptomycin. Daptomycin is eluted with sixteen column volumes of 250 mM NaCl in acetate buffer pH 6.0 containing 2M urea. Daptomycin is 98.5 to 99.5% pure as measured by the "first" HPLC method.

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A daptomycin preparation as described in Example 2 was prepared using a method that significantly reduced the concentration of solvent required to perform the HP-20ss chromatography. Unexpectedly, the solvent for elution of daptomycin, 40% acetonitrile or 55-60% isopropyl alcohol, was reduced to 12% and 25%, respectively, when HP-20ss chromatography was conducted at neutral pH rather than acidic pH as described in United States Patent 4,874,843. In a preferred embodiment, pH shifts can be used to recycle the HP-20ss resin without solvent removal.

After elution from a FP-DA13 column at pH 6.5-7.0, daptomycin is loaded on an equilibrated HP-20ss column, such as one that has been equilibrated in 60 mM acetate, pH 6.6. The column is washed with five to eight column bed volumes (CBV) wash buffer. An exemplary wash buffer is 5% isopropyl alcohol/60mM acetate, pH 6.6. Daptomycin is eluted from the column with elution buffer. An exemplary elution buffer is two to three CBV 25% isopropyl alcohol/60 mM acetate pH 6.6. The column is stripped with strip buffer. In one embodiment, the column is stripped with one CBV 40% isopropyl alcohol/60 mM acetate pH 6.6-7.0. The daptomycin solution is adjusted to pH 3.5-4.0 and is reloaded on to the HP-20ss column in order to further enhance purity. In one embodiment, the daptomycin eluted from the HP-20ss column at pH 6.5 is adjusted to pH 3.5 using 0.25M phosphoric acid. The daptomycin solution is reloaded on the previously stripped HP-20ss column that has been equilibrated in 60 mM acetate, pH 3.5.

The column is washed with a pH adjusting buffer such that the pH is 6.5. An exemplary pH adjusting buffer is five to eight CBV 5% isopropyl alcohol/60 mM acetate, pH 6.6. The daptomycin is eluted with elution buffer and may be further purified by anion exchange or other purification methods, if desired. The HP-20ss column is stripped with strip buffer and cleaned prior to reuse. An exemplary cleaning process includes washing with three CBV 0.5M NaOH, washing with one CBV water, and then washing with 0.25M phosphoric acid prior to equilibration. The column may be stored in 0.5M NaOH.

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## EXAMPLE 10

10 Bulk daptomycin prepared as described in Example 2 was characterized via semi-preparative HPLC and characterized by liquid chromatography/mass spectroscopy (LC/MS) using both positive and negative ion modes. An impurity profile of the bulk daptomycin prior to chromatography on the Poros P150 anion exchange resin is shown in Table 3 and a chromatogram of the bulk daptomycin preparation is shown in Fig. 12.

Table 3

Impurity ID	Retention Time	Observed MW	Lilly ID	Cubist ID	% of Total Area by HPLC
1	7.96	1638	LY212218	CB-131012	>0.5%, <1.0%
2	9.11	1638		CB-131011	<0.5%, >0.1%
3	11.54	745	LY213928	CB-131008	>0.5%, <1.0%
4	12.28	1624		CB-131006	<0.5%, >0.1%
5	13.10	1618		Unknown-1	<0.5%, >0.1%
6	14.43	587	LY213827	CB-130989	>0.5%, <1.0%
7	14.43	1606		CB-131005	>0.5%, <1.0%
8	15.10	1620	LY213846	CB-131010	>1.0%, <4.0%
Dapto- mycin	16.68	1620	LY146032	CB-109187	>90%
9	17.92	874		Unknown-2	<0.5%, >0.1%
10	19.57	1810		Unknown-3	<0.5%, >0.1%
11	19.57	1635		Unknown-4	<0.5%, >0.1%
12	20.93	859		CB-131009	<0.5%, >0.1%
13	23.11	1602	LY178480	CB-130952	>1.0, < 4.0%
14	24.53	1634	LY109208	CB-131078	<0.1

Impurity 1 (CB-131012), which elutes at approximately 7.96 minutes, (MW: 1638) is proposed to be a lactone hydrolysis product of daptomycin (Fig. 4). The results seem to match LY212218 as previously identified by Lilly as a decyl ring opened derivative of daptomycin.

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Impurity 2 (CB-131011), which elutes at approximately 9.11 minutes, (MW: 1638) is also proposed to be a lactone hydrolysis product of the β-isomer (Fig. 5).

Impurity 3 (CB-131008), which elutes at approximately 11.54 minutes,

10 (MW: 745) is proposed to be a linear lipopeptide consisting of a five amino acid chain

containing tryptophan, asparagine, aspartate, threonine and glycine with a decanoic acid chain (Fig. 6). This result seems to match LY213928 as previously identified by Lilly.

Impurity 4 (CB-131006), which elutes at approximately 12.28 minutes, (MW: 1624) is proposed to be an oxidative analog of daptomycin in which the amino acid tryptophan has been oxidized to kynuric acid (Fig. 7).

*Impurity 5*, which elutes at approximately 13.10 minutes, (MW: 1618) has not yet been assigned a structure.

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Impurity 6 (CB-130989) and Impurity 7 (CB-131005) co-elute at approximately 14.43 minutes. CB-130989 (MW: 587) seems to match LY213827 a linear lipopeptide consisting of a three amino acid chain of tryptophan, asparagine and aspartate with a decanoic acid chain (Fig. 8), as previously identified by Lilly. CB-131005 (MW:1606) corresponds to a daptomycin analog in which the decanoic acid lacks one methyl group (Fig. 9).

Impurity 8 (CB-131010), elutes at approximately 15.10 minutes, (MW: 1620) matches LY213846 (β-isomer) as previously identified by Lilly (Fig. 2). Levels of β-isomer are greater than 1%.

*Impurity 9*, which elutes at approximately 17.92 minutes (MW: 874), has not yet been assigned a structure.

*Impurity 10 and 11*, which co-elute at approximately 19.57 minutes, have not been assigned a structure.

Impurity 12 (CB-131009), which elutes at 20.93 minutes (MW: 859), is proposed to be a linear lipopeptide consisting of a six amino acid chain of tryptophan, asparagine, aspartate, threonine, glycine and ornithine with a decanoic acid chain (Fig. 10).

*Impurity 13* (CB-130952), which elutes at approximately 23.11 minutes (MW: 1602), is proposed to be anhydro-daptomycin (Fig. 3), and appears to be the same as LY178480. Levels of anhydro-daptomycin are greater than 1%.

*Impurity 14* (CB-131078), which elutes at approximately 24.53 minutes (MW: 1634), appears to be the same as LY109208, previously identified by Lilly as a

daptomycin analog containing an extra methyl group in the decanoic acid chain (Fig. 11).

The bulk daptomycin may be purified on Poros P150 as described above in Examples 2 or 7-8 or may be purified on Poros D50 as described above in Examples 3-5. After purification on Poros P150 as described in Example 2, a chromatogram (Fig. 13) shows that daptomycin purity is greater than 99.0%, with β-isomer and anhydrodaptomycin below the level of detection (less than 0.05% of total). There is one unidentified impurity which is present in a quantity of greater than 0.1% but less than 0.5%.

10 EXAMPLE 11

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A fermentation culture of *S. roseosporus* NRRL Strain 15998 is conducted in a controlled decanoic acid feed fermentation at levels that optimize the production of the antibiotic while minimizing the production of contaminants. The residual decanoic acid feed is measured by gas chromatography and the target residual level is 10 ppm decanoic acid from the start of induction (approximately at hour 30) until harvest. Centrifugation of the culture and subsequent analysis of the clarified broth are used to measure the production of daptomycin by HPLC. The harvest titer is typically between 1.0 and 3.0 grams per liter of fermentation broth.

The fermentation is harvested either by microfiltration using a Pall-Sep or by full commercial-scale centrifugation and depth filter. The clarified broth is applied to an anion exchange resin, Mitsubishi FP-DA 13, washed with 30 mM NaCl at pH 6.5 and eluted with 300 mM NaCl at pH 6.0-6.5. Alternatively, the FP-DA 13 column is washed with 60 mM NaCl at pH 6.5 and eluted with 500 mM NaCl at pH 6.0-6.5. The pH is adjusted to 3.0 to 4.8 and the temperature is adjusted to 2-15°C. Under these conditions, daptomycin forms a micelle. The micellar daptomycin solution is purified by washing the micellar preparation while it is retained on a ultrafilter using a 10,000 NMW filter (AG Technology Corp. UF hollow fiber or equivalent) in any configuration. The daptomycin micelles are retained by the filter, but a large number of impurities are eliminated because they pass through the 10,000 NMW filter. Ultrafiltration of

daptomycin micelles increases daptomycin purity from approximately 40% to 80% or greater.

The eluate is applied to a HIC resin, HP-20ss, washed with 30% acetonitrile, and eluted with 35% acetonitrile at pH 4.0-5.0. Alternatively, the HIC resin is washed with 20-30% isopropyl alcohol and eluted with 30-40% isopropyl alcohol at pH 3.5-6.5. Under these conditions of increased solvent and a higher pH of 6.0-7.5, daptomycin reverts to a single, non-micelle state. The eluate is applied to FP-DA 13 resin column and washed and eluted as before. The final anion exchange step reduces solvent by one third or more. Reverse osmosis diafiltration and concentration at pH 1.5-2.5 is performed using an 0.2  $\mu$ m filter and the daptomycin preparation is frozen. A final reverse osmosis diafiltration is conducted with Water-For-Injection (WFI) to wash daptomycin and adjust its concentration prior to sterile-filling. Vials or bulk quantities of daptomycin are then lyophilized.

15 EXAMPLE 12

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Lyophilized daptomycin purified as described in any of the above-described examples, such as that described in Example 11, is reconstituted in physiologic saline (approximately 140 mM NaCl) at a pH of 4.0-5.0. Under these conditions, daptomycin is present as a micelle, and can be used for injection or intravenous, parenteral, oral or topical administration.

## EXAMPLE 13

Daptomycin is produced by fermentation and clarified from the broth by microfiltration as described in Example 11. The clarified broth is applied to an anion exchange resin, Mitsubishi FP-DA 13, washed with 30 mM NaCl at pH 6.5 and eluted with 300 mM NaCl at pH 6.0-6.5 to give a daptomycin preparation that is approximately 40% pure. The eluate is adjusted to pH 3.5 with dilute phosphoric acid such that virtually all of the daptomycin forms micelles. The micelle preparation is loaded on a 10,000 NMW ultrafiltration membrane. The daptomycin preparation is washed with 30 mM

sodium acetate pH 3.5 and at temperatures of up to 15°C. The reduction in volume and washing lowers the contamination level, which results in an 85% pure daptomycin preparation. The daptomycin preparation can be further purified using any of the methods described herein.

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#### EXAMPLE 14

Daptomycin is produced by fermentation, clarified from the broth by microfiltration, and fractionated on the FP-DA 13 resin as described in Example 11. The eluate is adjusted to pH 3.5 with dilute phosphoric acid such that virtually all of the daptomycin forms micelles. The micelle preparation is loaded on a 10,000 NMW ultrafiltration membrane. The daptomycin preparation is washed with 30 mM sodium acetate pH 3.5 and at temperatures of up to 15°C. The reduction in volume and washing lowers the contamination level, which results in an 80-90% pure daptomycin preparation. The daptomycin preparation can be further purified using any of the methods described herein.

## EXAMPLE 15

Daptomycin is produced by fermentation and clarified from the broth using microfiltration as described in Example 11. The preparation is purified using hydrophobic interaction chromatography, as described in United States Patent 4,874,843, herein incorporated by reference. In this method, repeated column chromatography on HP-20 and HP-20ss resin is used. Daptomycin purity is 93% with visible impurities on HPLC chromatographs and measurable pyrogen. The product is diluted in water and its pH was adjusted to pH 6.5 with NaOH or the equivalent. The daptomycin preparation is filtered through a 10,000 NMW ultrafiltration membrane. Under these conditions, daptomycin is monomeric and passes through the ultrafiltration membrane. The resulting product remains 93% pure, but several impurities that had been present at 0.1-0.2% are removed by the ultrafiltration membrane. In addition, pyrogen content is reduced to undetectable levels.

#### **EXAMPLE 16**

A daptomycin preparation of approximately 93% purity is prepared as described in Example 15. The daptomycin preparation is converted to a micellar state by lowering the pH to 4.7 with HCl or equivalent and chilling the daptomycin preparation to 2-5°C. The product is concentrated from 400 liters to three liters and to a final concentration of approximately 100 mg/ml by filtration on a 10,000 NMW ultrafiltration membrane. Under these conditions, daptomycin is retained by the membrane. This results in a large increase in daptomycin concentration. The purity is approximately 93%.

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## **EXAMPLE 17**

A daptomycin preparation is prepared as described in Example 16. Vials are filled with approximately 250 mg daptomycin and lyophilized. The daptomycin is reconstituted in 50 ml of sterile 150 mM saline at a pH of 4.0-5.0 for administration to a human or animal patient. The dose of daptomycin that is administered will depend upon the nature of the infection, the age and weight of the patient, and the species of animal. At a pH of 4.0-5.0 in 150 mM saline, the daptomycin will be present in a micellar state, which is soluble and suitable for intravenous, intramuscular or parenteral injection. The formulation will minimize any local irritation due to the lipopeptide nature of daptomycin.

## EXAMPLE 18

Daptomycin micelles were produced using daptomycin at a concentration of 1.0 mg/mL in water at pH 4.0 at 25°C. The size of a daptomycin micelle was measured using a Zetasizer (Malvern Instruments, Model 3000 HS). The count rate of 36.3, the cell type was a capillary cell, the detection angle (deg) was 90°, and the wavelength (nm) was 633. Results indicated that the diameter of the micelle was 54 A, which is about twice the diameter of a single monomeric daptomycin molecule. See Fig.

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All publications and patent applications cited in this specification are herein incorporated by reference as if each individual publication or patent application were specifically and individually indicated to be incorporated by reference. Although the foregoing invention has been described in some detail by way of illustration and example for purposes of clarity of understanding, it will be readily apparent to those of ordinary skill in the art in light of the teachings of this invention that certain changes and modifications may be made thereto without departing from the spirit or scope of the appended claims.

## We claim:

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- 1. A composition comprising
- (a) essentially pure daptomycin,
- (b) daptomycin that is substantially free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin,
  - (c) daptomycin that is essentially free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin,
  - (d) daptomycin that is free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin,
  - (e) daptomycin that is substantially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12,
  - (f) daptomycin that is essentially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12, or
    - (g) substantially pure daptomycin.
      - 2. The composition of claim 1 comprising essentially pure daptomycin.
  - 3. The composition of claim 1 compromising daptomycin that is substantially free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin.
  - 4. The composition according to claim 3 that is essentially free of anhydro-daptomycin.
  - 5. The composition according to claim 3 that is free of anhydrodaptomycin.
  - 6. The composition of claim 1 that is substantially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12.
  - 7. The composition according to claim 6 that is essentially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12.
  - 8. The composition of claim 1, wherein daptomycin purity is measured by HPLC.

- 9. The composition of claim 1 further comprising a pharmaceutically acceptable carrier or excipient.
- 10. A pharmaceutical composition according to claim 9, further comprising one or more antibiotics, one or more antifungal agents, or both an antibiotic and an antifungal agent.
- 11. The composition according to claim 1 wherein the daptomycin is purified by a process comprising the steps of:
  - a) supplying a fermentation broth;

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- b) fermenting *Streptomyces roseosporus* with a feed of n-decanoic acid to produce daptomycin in the fermentation broth;
  - c) clarifying the fermentation broth to obtain a clarified solution;
  - d) subjecting the clarified solution to anion exchange chromatography to obtain an enriched daptomycin preparation;
  - e) subjecting the enriched daptomycin preparation to hydrophobic interaction chromatography to obtain a semi-purified daptomycin preparation; and
  - f) subjecting the semi-purified daptomycin preparation to anion exchange chromatography to obtain the composition of claim 1.
  - 12. The composition according to claim 11, wherein the feed of n-decanoic acid is regulated to achieve a residual concentration of n-decanoic acid of no more than 50 parts per million (ppm) during fermentation.
  - 13. The composition according to claim 11, wherein said clarifying comprises filtration or centrifugation and depth filtration.
  - 14. The composition according to claim 11, wherein the anion exchange chromatography in d) is performed using a resin comprising a copolymer of 2-methacrylic acid and ethyleneglycol dimethacrylate (EDGM).
  - 15. The composition according to claim 11, wherein the hydrophobic interaction chromatography is performed using a resin comprising a co-polymer of cross-linked divinylbenzene/stryene.

- 16. The composition according to claim 15, wherein the hydrophobic interaction chromatography is performed at neutral pH and a solvent concentration that is reduced compared to the solvent concentration used when performing the hydrophobic interaction chromatography at acidic pH.
- 17. The composition according to claim 16, wherein the resin is recycled by loading the column at an acidic pH and eluting the column at a neutral pH.

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- 18. The composition according to claim 11, wherein the anion exchange chromatography in f) is performed using a resin comprising a copolymer of 2-methacrylic acid and ethyleneglycol dimethacrylate (EDGM).
- 19. The composition according to claim 11, wherein the anion exchange chromatography is used to reduce the level of solvent in the clarified solution.
- 20. The composition according to claim 11, wherein the anion exchange chromatography is performed via continuous flow chromatography.
- 21. The composition according to claim 11, wherein the process further comprises the step of filtering daptomycin.
- 22. The composition according to claim 11, wherein the process further comprises the step of depyrogenating daptomycin using ultrafiltration.
- 23. The composition according to claim 22 wherein said depyrogenating comprises the steps of:
- i) providing a daptomycin solution under conditions in which the daptomycin is in a monomeric and nonmicellar state;
- ii) filtering the daptomycin solution under conditions in which the daptomycin passes through the filter but pyrogens do not pass through the filter;
- iii) subjecting the daptomycin solution to conditions forming a daptomycinaggregate;
  - iv) filtering the daptomycin aggregate under conditions in which the daptomycin aggregate is retained on the filter; and
    - v) collecting the daptomycin aggregate.

- 24. The composition according to claim 22, wherein the process further comprises the step of lyophilizing daptomycin.
- 25. The composition according to claim 22, wherein the anion exchange chromatography is performed via radial flow chromatography.
- 26. The composition according claim 11, wherein said clarifying comprises microfiltration or centrifugation.

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- 27. The composition according to claim 11, wherein the process further comprises the steps of filtering and concentrating daptomycin.
- 28. The composition according to claim 11, wherein the process further comprises the step of separating the enriched daptomycin from low molecular weight material by ultrafiltration.
- 29. The composition according to claim 28, wherein the process further comprises the step of depyrogenating the daptomycin.
  - 30. The composition of claim 1 comprising substantially pure daptomycin.
- 31. The pharmaceutical composition of claim 9 comprising essentially pure daptomycin.
- 32. The pharmaceutical composition of claim 9 comprising daptomycin that is substantially free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin.
- 33. The pharmaceutical composition of claim 9 comprising daptomycin that is essentially free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin.
- 34. The pharmaceutical composition of claim 9 comprising daptomycin that is free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin.
- 35. The pharmaceutical composition of claim 9 comprising daptomycin that is substantially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12.
- 36. The pharmaceutical composition of claim 9 comprising daptomycin that is essentially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG.

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- 37. The pharmaceutical composition of claim 9 comprising substantially pure daptomycin.
- 38. A method for preparing a pharmaceutical composition comprising combining the composition of claim 1 with a pharmaceutically acceptable carrier or excipient.
- 39. The method of claim 38 wherein the composition is essentially pure daptomycin.
- 40. The method of claim 38 wherein the composition is daptomycin that is substantially free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin.
  - 41. The method of claim 38 wherein the composition is daptomycin that is essentially free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin.
- 42. The method of claim 38 wherein the composition is daptomycin that is free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin.
- 43. The method of claim 38 wherein the composition is daptomycin that is substantially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12.
- 44. The method of claim 38 wherein the composition is daptomycin that is essentially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12.
- 45. The method of claim 38 wherein the composition is substantially pure daptomycin.
  - 46. A pharmaceutical composition prepared by the method of claim 38.
- 47. The pharmaceutical composition of claim 46 wherein the composition is essentially pure daptomycin.
- 48. The pharmaceutical composition of claim 46 wherein the composition is daptomycin that is substantially free of anhydro-daptomycin and substantially free of β-isomer of daptomycin.
  - 49. The pharmaceutical composition of claim 46 wherein the composition is daptomycin that is essentially free of anhydro-daptomycin and substantially free of

β-isomer of daptomycin.

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- 50. The pharmaceutical composition of claim 46 wherein the composition is daptomycin that is free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin.
- 51. The pharmaceutical composition of claim 46 wherein the composition is daptomycin that is substantially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12.
  - 52. The pharmaceutical composition of claim 46 wherein the composition is daptomycin that is essentially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12.
  - 53. The pharmaceutical composition of claim 46 wherein the composition is substantially pure daptomycin.

### **ABSTRACT**

The invention discloses highly purified daptomycin and to pharmaceutical compositions comprising this compound. The invention discloses a method of purifying daptomycin comprising the sequential steps of anion exchange chromatography,

- hydrophobic interaction chromatography and anion exchange chromatography. The invention also discloses a method of purifying daptomycin by modified buffer enhanced anion exchange chromatography. The invention also discloses an improved method for producing daptomycin by fermentation of *Streptomyces roseosporus*. The invention also discloses high pressure liquid chromatography methods for analysis of daptomycin purity.
- The invention also discloses lipopeptide micelles and methods of making the micelles. The invention also discloses methods of using lipopeptide micelles for purifying lipopeptide antibiotics, such as daptomycin. The invention also discloses using lipopeptide micelles therapeutically.

Fig. 1

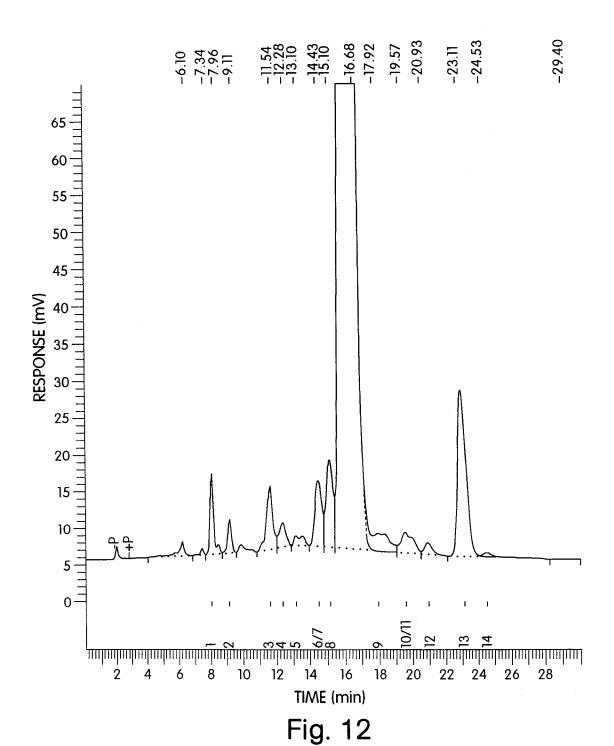
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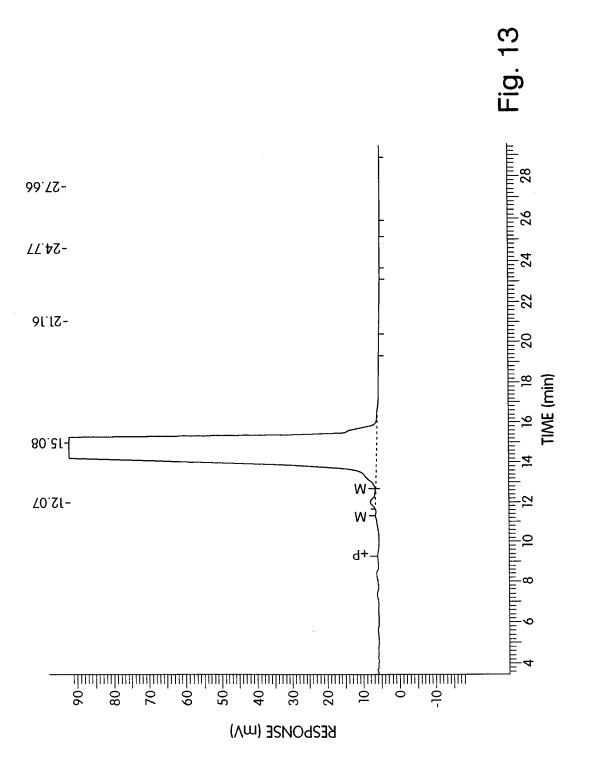
Fig. 5

Fig. 6

Fig. 8

Fig. 11





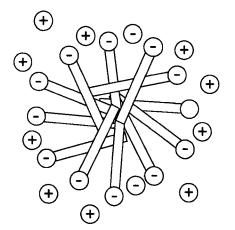


Fig. 14A

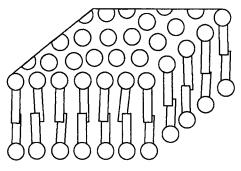


Fig. 14B

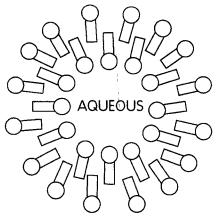
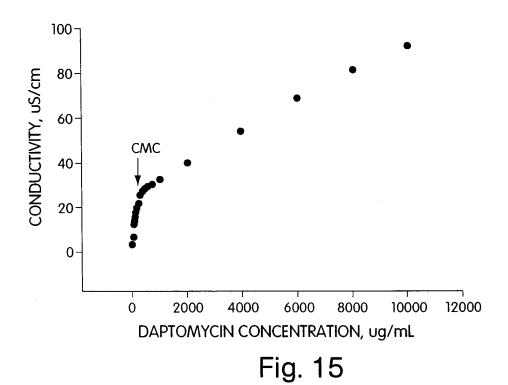
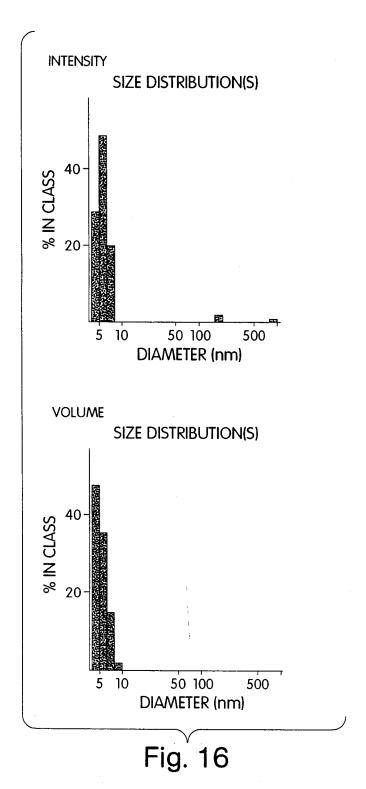


Fig. 14C





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	DESIGN			I Inventor	Kelleher				
PATENT AF	N	COMPLETE IF KNOWN							
(37 CF	R 1.63)	Ā	Application	Number	10/747,485	i			
Declaration	Declarat	tion	iling Date		December	29, 2003			
Submitted OR With Initial	Filing (s	urcharge	Art Unit		1653				
Filing	required	R 1.16 (e))	Examiner N	ame	Unknown				
I hereby declare that:  Each inventor's residence, mailing address, and citizenship are as stated below next to their name.  I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled:									
		ruea:							
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		(Title of the I	nvention)						
the specification of which									
is attached hereto									
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was filed on (MM/DD/Y	YYY) De	ecember 29, 2003	as Uni	ted States Ap	plication Nu	ımber or Po	CT International		
Application Number 10	/747,485	and was amended	on (MM/I	DD/YYYY)			(if applicable).		
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Prior Foreign Application Number(s)	Country	Foreign Filing (MM/DD/YYY		Prio Not Cla		Certified ( Yes	Copy Attached?		

[Page 1 of 2]

Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

[Page 1 of 2]
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ADDITIONAL INVENTOR(S)

DECLARATION		Supplemental	Sheet	.(-)	Page 1	of -2
Name of Additional Joint Inventor, if any:		A petitio	n has been filed fo	or this un	signed inve	ntor
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Inventor's Signature		Date				
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Joseph P.		DeCourcey						
Inventor's Signature					D	ate		
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Given Name (first and middle (if any)		Family Name or Surname						
Paul	Lynch							
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Name of Additional Joint Inventor, if any:		A petition has been filed for this unsigned inventor					ventor	
Given Name (first and middle (if any)		Family Name or Surname						
Maurizio	Zenoni							
Inventor's Signature		Date						
Milan Residence: City	State	italy te Country			IT Citizenship			
Via Fleming #7 Mailing Address	.1							
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Name of Additional Joint Inventor, if any:		A petition I	nas been filed for this	unsigned inve	entor		
Given Name (first and middle (if any)		Family Name or	Surname				
Joseph P.		DeCourcey					
Inventor's Signature				Date			
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Charlestown	МА	-	02129	U.S.A.			
City	State		Zip	Country			
Name of Additional Joint Inventor, if any:		A petition	has been filed for this	unsigned inv	entor		
Given Name (first and middle (if any)		Family Name or Surname					
Paul	-	Lynch					
Inventor's Signature		Date					
Arlington Residence: City	Massac State	husetts	U.S.A. Country		US Citizenship		
29 Cyprus Road Mailing Address							
Mailing Address Arlington	MA		02474	U.S.A.			
City	State		Zip	Country			
Name of Additional Joint Inventor, if any:		A petition	has been filed for this	unsigned inv	ventor		
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Maurizio		Zenoni					
Inventor's Mewifio Cer		Date					
Milan Residence: City	State		Italy Country		IT Citizenship		
Via Fleming #7 Mailing Address			12.0				
Paullo Mailing Address							
Milan City	State		Zip	Italy Country			

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	ADDITIONAL INVENTOR(S)
DECLARATION	Supplemental Sheet
	Page of

		·			
Name of Additional Joint Inventor, if any:		A petition I	nas been filed for this	unsigned inve	entor
Given Name (first and middle (if any)		Family Name or	Surname		
Auro		Tagliani			
Inventor's Audelfed aglia	-			Date	
Pavia / Residence: City	State	Italy Cou	•	T Citizenship	
Via Marangoni #1 Mailing Address					
Mailing Address				<del></del>	
Pavia	Ctata		27100 Zip	Italy Country	
City	State		I Zip	Country	
Name of Additional Joint Inventor, if any:		A petition	has been filed for this	unsigned inv	entor
Given Name (first and middle (if any)			Family Name or	Surname	
Inventor's Signature		Date			
Residence: City	State		Country		Citizenship
Mailing Address		·			
Mailing Address					
City	State	:	Zip	Country	
Name of Additional Joint Inventor, if any:		A petition	has been filed for this	unsigned inv	ventor
Given Name (first and middle (if any)		Family Name or Surname			
Inventor's Signature		Date			
Residence: City	State	· ———	Country		Citizenship
Mailing Address					
Mailing Address					
City	State	<del></del>	Zip	Country	

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Electronic Patent Application Fee Transmittal						
Application Number:						
Filing Date:						
Title of Invention:	Hiç	gh Purity Lipopept	ides			
First Named Inventor/Applicant Name:	Th	omas Kelleher				
Filer:	Jill Michel-Netka Mandelblatt/Viana Daly					
Attorney Docket Number:						
Filed as Small Entity						
Utility Filing Fees						
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)	
Basic Filing:						
Utility filing Fee (Electronic filing)		4011	1	75	75	
Utility Search Fee		2111	1	250	250	
Utility Examination Fee		2311	1	100	100	
Pages:						
Claims:						
Claims in excess of 20		2202	33	25	825	
Miscellaneous-Filing:						
Petition:						

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Patent-Appeals-and-Interference:				
Post-Allowance-and-Post-Issuance:				
Extension-of-Time:				
Miscellaneous:				
	Tota	al in USE	) (\$)	1250

Electronic Acknowledgement Receipt					
EFS ID:	1710125				
Application Number:	11739180				
International Application Number:					
Confirmation Number:	8837				
Title of Invention:	High Purity Lipopeptides				
First Named Inventor/Applicant Name:	Thomas Kelleher				
Customer Number:	34103				
Filer:	Jill Michel-Netka Mandelblatt/Viana Daly				
Filer Authorized By:	Jill Michel-Netka Mandelblatt				
Attorney Docket Number:					
Receipt Date:	24-APR-2007				
Filing Date:					
Time Stamp:	12:03:41				
Application Type:	Utility				

## Payment information:

Submitted with Payment	yes
Payment was successfully received in RAM	\$1250
RAM confirmation Number	1983
Deposit Account	501986

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows: Charge any Additional Fees required under 37 C.F.R. Section 1.16 and 1.17

### File Listing:

Document Number	Document Description	File Name	File Size(Bytes)	Multi Part /.zip	Pages (if appl.)					
1		C062-02-03_US_appln_as_fi led.pdf	9147860	yes	91					
	Multipart Description/PDF files in .zip description									
	Document De	Start	E	nd						
	Miscellaneous Inc	1	1							
	Fee Worksheet	2	2							
	Specifica	3	66							
	Claims	67	72							
	Abstrac	73	73							
	Drawin	74	74 8							
	Oath or Declar	85	91							
Warnings:										
Information:										
2	Fee Worksheet (PTO-06)	fee-info.pdf	8463	no	2					
Warnings:		1								
Information:										
		Total Files Size (in bytes):	91	56323						

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### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

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PATENT APPLICATION FEE DETERMINATION RECORD							Application or Docket Number 11/739,180					
APPLICATION AS FILED – PART I (Column 1) (Column 2)					ımn 2)		SMALL ENTITY			OTHER THAN SMALL ENTITY		
	500			יייי ביייי	NUMBE	D EXTDA		NTE (A)	FFF (#)	]	DATE (#)	FFF (#)
FOR BASIC FEE			NUM	IBER FILED	NOMBE	R EXTRA	RA	ATE (\$)	FEE (\$) <b>75</b>	1	RATE (\$)	FEE (\$) 300
(37 CFR 1.16(a), (b), or (c)) SEARCH FEE		-							1	· ·		
(37 CFR 1.16(k), (i), or (m))								250	4		500	
EXAMINATION FEE (37 CFR 1.16(o), (p), or (q))								100	_		200	
TOTAL CLAIMS (37 CFR 1.16(i))		53	minus 20 =		33	>	(\$ 25	825	OR	X\$50		
INDEPENDENT CLAIMS (37 CFR 1.16(h))		1	minus 3 =			×	\$100			X\$200		
APPLICATION SIZE FEE (37 CFR 1.16(s))						i						
			ESENT	(37 CER 1 16)	i))			180		1	360	
MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(j))  * If the difference in column 1 is less than zero, enter "0" in column 2.						T	OTAL	1250	1	TOTAL		
										_	•	
APPLICATION AS AMENDED – PART II  (Column 1) (Column 2) (Column 3)						olumn 3)		SMALL ENTITY		OR	OTHER THAN OR SMALL ENTITY	
AMENDMENT A		CLAIMS REMAINING		HIGHEST NUMBER PREVIOUSLY		SENT TRA	R/	ATE (\$)	ADDI- TIONAL	]	RATE (\$)	ADDI- TIONAL
	Takal	AFTER AMENDMENT		PAID FOR	<u> </u>				FEE (\$)	J		FEE (\$)
	Total (37 CFR 1.16(i))	*	Minus	**	=		х	=		OR	x =	
	Independent (37 CFR 1.16(h))	*	Minus	***	=		х	=		OR	x =	
A	Application Size Fee (37 CFR 1.16(s))						┨					
	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))						TOTAL	180	<u> </u>	OR	360 TOTAL	
							ADD'T			OR	ADD'T FEE	
		(Column 1)		(Column 2)	(Ca	olumn 3)				OR		
AMENDMENT B		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRE	SENT TRA	RA	ATE (\$)	ADDI- TIONAL FEE (\$)		RATE (\$)	ADDI- TIONAL FEE (\$)
	Total (37 CFR 1.16(i))	*	Minus	**	п		х	=		OR	x =	
	Independent (37 CFR 1.16(h))	*	Minus	***	=		×	=		OR	x =	
Ā	Application Size Fee (37 CFR 1.16(s))											
	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))					N/A		OR	N/A			
							TOTAI ADD'T			OR	TOTAL ADD'T FEE	
	If the entry in co	olumn 1 is less t	han the	entry in column	2, write "	'0" in column	3.					

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If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".

^{***} If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".

The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.