# Case 1:14-cv-00914-UNA Document 4 Filed 07/11/14 Page 1 of 1 PageID #: 125

AO 120 (Rev. 08/10)

AO 120 (Rev. 08/10)				
	Mail Stop 8 J.S. Patent and Trademark ( P.O. Box 1450 ndria, VA 22313-1450	Office	FILING OR DETI ACTION REGAR	RT ON THE ERMINATION OF AN DING A PATENT OR DEMARK
filed in the U.S. Dis		for the	1116 you are hereby advised that a District of Delaware s 35 U.S.C. § 292.):	a court action has been on the following
DOCKET NO.	DATE FILED 7/11/2014	U.S. DI	STRICT COURT for the District c	of Delaware
PLAINTIFF				
CUBIST PHARMACEU	TICALS, INC.		FRESENIUS KABI USA, LI	LC
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT	OR TRADEMARK
I 6,468,967	10/22/2002	Cubi	st Pharmaceuticals, Inc.	
2 6,852,689	2/8/2005	Cubi	st Pharmaceuticals, Inc.	
3 8,058,238	11/15/2011	Cubist Pharmaceuticals, Inc.		
4 8,129,342	3/6/2012	Cubist Pharmaceuticals, Inc.		<u></u>
5			······································	

In the above-entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY			
		dment 🗌 Answer	Cross Bill	Other Pleading
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLI	DER OF PATENT OR	TRADEMARK
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In the above-entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT

CLERK

(BY) DEPUTY CLERK

DATE

Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy

<u>AO 120 (Rev. 08/10)</u>			
10:	Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313–1450		REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK
In Compliance	with 35 U.S.C. § 290 and/or 1 filed in the <b>U.S. District Cou</b> Trademarks or <b>X</b> Patents.	5 U.S.C rt for tl	C. § 1116 you are hereby advised that a court action has been <b>he District of New Jersey</b> on the following: the patent action involves 35 U.S.C. § 292.)
DOCKET NO. <u>3:13-cv-06016-MA</u>	DATE FILED S-DØ(A0/2013	U.S. I	DISTRICT COURT VTON, NJ
PLAINTIFF CUBIST PHARMACEUTICALS, INC.			DEFENDANT STRIDES, INC.
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK
6,468,967	10/22/2002		CUBIST PHARMACEUTICALS, INC
6,852,689B2	2/8/2005		CUBIST PHARMACEUTICALS, INC
8,058,238	11/15/2011	CUBIST PHARMACEUTICALS, INC	
8,129,342B2	3/6/2012	1	CUBIST PHARMACEUTICALS, INC
		+	CODIST THANMACEUTICALS, INC

In t	he above—entitled case the	following patent(s)/ trademark(s) have been included:
DATE INCLUDED	INCLUDED BY	tonowing patent(s)/ trademark(s) have been included:
		Amendment Answer Cross Bill Other Pleading
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
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In the above—entitled case, the following decision has been rendered or judgement issued: DECISION/JUDGEMENT

CLERK William T. Walsh

(BY) DEPUTY CLERK s/ KIM STILLMAN

Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy

Case 1:13-cv-01679-UNA Document 4 Filed 10/09/13 Page 1 of 1 PageID #: 125

AO 120 (Rev. 08/10)

T	. Mail Stop 8
	Director of the U.S. Patent and Trademark Office
	P.O. Box 1450
	Alexandria, VA 22313-1450

### REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court for the District of Delaware on the following

DOCKET NO.	DATE FILED 10/9/2013	U.S. DISTRICT COURT for the District of Delaware		
PLAINTIFF		DEFENDANT		
CUBIST PHARMACE	JTICALS, INC.	STRIDES, INC. and AGILA SPECIALTIES PRIVATE LIMITED		
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK		
I 6,468,967 B1	10/22/2002	Cubist Pharmaceuticals, Inc.		
2 6,852,689 B2	2/8/2005	Cubist Pharmaceuticals, Inc.		
3 8,058,238 B2	11/15/2011	Cubist Pharmaceuticals, Inc.		
4 8,129,342 B2	3/6/2012	Cubist Pharmaceuticals, Inc.		
5				

In the above---entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY	
	Amendment	Answer Cross Bill Other Pleading
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
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In the above-entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT		
CLERK	(BY) DEPUTY CLERK	DATE

Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy

Case 3:13-cv-06016-MAS-DEA Document 4 Filed 10/10/13 Page 1 of 1 PageID: 126

AO 120 (Rev. 08/10) Mail Stop 8 **REPORT ON THE** Director of the U.S. Patent and Trademark FILING OR DETERMINATION OF AN TO: Office **ACTION REGARDING A PATENT OR** P.O. Box 1450 TRADEMARK Alexandria, VA 22313-1450 In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court for the District of New Jersey on the following: Trademarks or X Patents. ( the patent action involves 35 U.S.C. § 292.) DOCKET NO. DATE FILED U.S. DISTRICT COURT **DE**/A0/2013 TRENTON, NJ 3:13-cv-06016-MAS-PLAINTIFF DEFENDANT CUBIST PHARMACEUTICALS, INC. STRIDES, INC. PATENT OR DATE OF PATENT HOLDER OF PATENT OR TRADEMARK TRADEMARK NO. OR TRADEMARK 1 6,468,967 10/22/2002 CUBIST PHARMACEUTICALS, INC 2 6,852,689B2 2/8/2005 CUBIST PHARMACEUTICALS, INC 3 8,058,238 11/15/2011 CUBIST PHARMACEUTICALS, INC 4 8,129,342B2 3/6/2012 CUBIST PHARMACEUTICALS, INC

In t	the above—entitled case, th	ne following patent(s)/ trademark(s) have been included:
DATE INCLUDED	INCLUDED BY	
		AmendmentAnswerCross BillOther Pleading
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
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In the above--entitled case, the following decision has been rendered or judgement issued: DECISION/JUDGEMENT

CLERK William T. Walsh

5

(BY) DEPUTY CLERK s/ KIM STILLMAN

Copy 1-Upon initiation of action, mail this copy to Director Copy 3-Upon termination of action, mail this copy to Director Copy 2-Upon filing document adding patent(s), mail this copy to Director Copy 4--Case file copy

# UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

 PATENT NO.
 : 8,058,238 B2

 APPLICATION NO.
 : 11/739180

 DATED
 : November 15, 2011

 INVENTOR(S)
 : Thomas Kelleher et al.

Page 1 of 1

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

IN THE CLAIMS:

In Column 45, line 38, Claim 139, replace "claim 48" with -- claim 49 --.

In Column 47, lines 57 and 58, Claim 176, replace "greater than or 93%" with -- greater than or about 93% --.

Signed and Sealed this Twenty-fourth Day of January, 2012

and

David J. Kappos Director of the United States Patent and Trademark Office

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No.	:	11/739,180	Confirmation No.:	8837
Patent No.	÷ •	8,058,238 B2		
Applicant	5	Thomas Kelleher et al.		
Filed	;	April 24, 2007		
Issued	;	November 15, 2011		
TC/A.U.		1656		
Examiner	•	Chih Min Kam		
Docket No.	:	C062-02/03 US		
Customer No.		34103		

# REQUEST FOR CERTIFICATE OF CORRECTION UNDER 37 C.F.R. § 1.322

Applicants hereby request that a Certificate of Correction be issued in the patent identified above. The errors to be corrected are of a minor, typographical nature and are described in detail on the enclosed PTO/SB/44 form.

The errors occurred through the fault of the office; therefore, no fee is believed due at this time. Please charge deposit account no. 50-1986 if any fees are believed due at this time.

Respectfully submitted,

Dated: December 28, 2011

/Nicholas M. Boivin/ Nicholas M. Boivin, Reg. No. 45,650 Attorney for Applicants

Cubist Pharmaceuticals, Inc. 65 Hayden Avenue Lexington, Massachusetts 02421 Tel: (781) 860-8660 Fax: (781) 860-1407

# UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

Page 1 of 1

PATENT NO. 8,058,238 B2

APPLICATION NO.: 11/739,180

ISSUE DATE : November 15, 2011

INVENTOR(S) Thomas Kelleher et al.

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

In Column 45, line 38, replace "claim 48" with -- claim 49 ---

In Column 47, lines 57 and 58, replace "greater than or 93%" with -- greater than or about 93% ---

MAILING ADDRESS OF SENDER (Please do not use customer number below):

Nicholas Bolvin, Cubist Pharmaceuticals, Inc. 65 Hayden Avenue Lexington, MA 02421

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer. U.S. Patent and Trademark Office. U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND TC: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2:

# 7 of 424

Electronic Acknowledgement Receipt			
EFS ID:	11717005		
Application Number:	11739180		
International Application Number:			
Confirmation Number:	8837		
Title of Invention:	HIGH PURITY LIPOPEPTIDES		
First Named Inventor/Applicant Name:	Thomas Kelleher		
Customer Number:	34103		
Filer:	Nicholas M.C. Boivin		
Filer Authorized By:			
Attorney Docket Number:	C062-02/03 US		
Receipt Date:	28-DEC-2011		
Filing Date:	24-APR-2007		
Time Stamp:	14:21:51		
Application Type:	Utility under 35 USC 111(a)		

# Payment information:

Submitted with Payment		no				
File Listing	<b>j:</b>					
Document Number	<b>Document Description</b>		File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Request for Certificate of Correction	CO	62_02_03_US_20111228_Ce rt_of_Cor.pdf	658909 8c4bfebf445df90149f66e4a65fb01bd5f185 909	no	2
Warnings:						
Information:						

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

# New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

## National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

## New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.



# UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICATION NO.	ISSUE DATE	PATENT NO.	ATTORNEY DOCKET NO.	CONFIRMATION NO.
11/739,180	11/15/2011	8058238	C062-02/03 US	8837
34103 7	590 10/26/2011			

Intellectual Property Department Cubist Pharmaceuticals, Inc. 65 Hayden Avenue Lexington, MA 02421

# **ISSUE NOTIFICATION**

The projected patent number and issue date are specified above.

# Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment is 0 day(s). Any patent to issue from the above-identified application will include an indication of the adjustment on the front page.

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Application Assistance Unit (AAU) of the Office of Data Management (ODM) at (571)-272-4200.

APPLICANT(s) (Please see PAIR WEB site http://pair.uspto.gov for additional applicants):

Thomas Kelleher, Weston, MA; Jan-Ji Lai, Westborough, MA; Joseph P. DeCourcey, Charlestown, MA; Paul Lynch, Arlington, MA; Maurizio Zenoni, Milan, ITALY; Auro Tagliani, Pavia, ITALY;



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS PO. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

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Bib Data Sheet

# **CONFIRMATION NO. 8837**

<b>SERIAL NUMBER</b> 11/739,180	FILING OR 371(c) DATE 04/24/2007 RULE	<b>CLASS</b> 514	<b>GROUP ART UNIT</b> 1656		ATTORNEY DOCKET NO. C062-02/03 US			
APPLICANTS Thomas Kelleher, Weston, MA; Jan-Ji Lai, Westborough, MA; Joseph P. DeCourcey, Charlestown, MA; Paul Lynch, Arlington, MA; Maurizio Zenoni, Milan, ITALY; Auro Tagliani, Pavia, ITALY; ** CONTINUING DATA **********************************								
	Allowance	ter STATE OR COUNTRY MA	DRA	ETS WING	TOTA CLAI 53	MS	INDEPENDENT CLAIMS 1	
ADDRESS 34103								
T <b>ITLE</b> HIGH PURITY LIPOF	PEPTIDES							
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UNITED ST	ates Patent and Tradema	UNITED STA' United States Address: COMMI PO. Box I	, Virginia 22313-1450
APPLICATION NUMBER	FILING OR 371(C) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
11/739,180	04/24/2007	Thomas Kelleher	C062-02/03 US
			<b>CONFIRMATION NO. 8837</b>
34103		POA ACCI	EPTANCE LETTER
Intellectual Property Depa Cubist Pharmaceuticals, I 65 Hayden Avenue Lexington, MA 02421			DC000000050087820*

Date Mailed: 09/29/2011

# NOTICE OF ACCEPTANCE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 09/08/2011.

The Power of Attorney in this application is accepted. Correspondence in this application will be mailed to the above address as provided by 37 CFR 1.33.

/cbowen/

Office of Data Management, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101

## PART B - FEE(S) TRANSMITTAL

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Complete and send this form, together with applicable fee(s), fo: Mail Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450 or <u>Fax</u> (571)-273-2885

appropriate. All further indicated unless corrects maintenance fee notifica	correspondence includio ed below or directed offi- tions.	ig the Patent, advance o ierwise in Block 1, by (	UE TEE and PUBLICATI aders and notification of a a) specifying a new corres	naintenance fees will be	mailed to the current co	prespondence address as
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						(Signature)
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APPLICATION NO	ERION DATE		FIRST NAMED INVENTOR	·····		CONFIRMATION NO.
117739,180 2010 - 12 - 14021 42020	04/24/2007 - MANA NUMPERA DY:N	or contact to see the	Thomas Kelleher	۰.	0062-02/03 UN	88.37
TTALCOLINVENTION	+ 11031 PU861 7-1.172F	13F 111.458				
APP.N. TYPE	SMALL ENDITY	TSSUE FEE DUE	PUBLICATION SEE OPE	PREV. PARD ISSUE PHE	TOTAL FEE(S) DEE	DATE DUE
nonprovisional	YES	\$755	\$300	\$0	\$1055	12/07/2011
EXAM	(N)-R	ART DNP	CLASS-SUBCLASS			
KAM, CI	HIH MIN	1656	514-009000	•		
1. Change of corresponds CFR 1.363). Change of corresp	ence address or indicatio sondence address (or Cha 8/122) attached.		<ol> <li>For printing on the p</li> <li>(1) the names of up to or agents OR, alternative</li> </ol>	3 registered patent attorn	, Cubist Pl	mmonticals, Icc.
"Tree Address" ind	ication (or "Fee Address" 12 or more recent) attacht	* Indication form	registered attorney or a	e firm (having as a memb igent) and the names of a meys or agents. If no nam printed.	p to	
PLEASE NOTE: Un recordation as set fort (A) DAME OF ASSI	less an assignce is ident h in 37 CIR 3.11. Comp GMFR <b>MINECENTICELS, D</b>	ified below, no assignce sector of this form is NG	THE PATENT (print or typ data will appear on the pr of a substitute for filing an (B) RESEDENCE: (CITY Lexington, MA	ntent II an assigned is it assignment. and STATE OR COUNT		ument has been filed for
Please check the appropr	iate assignce category or	categories (will not be p	rinted on the patent) :	Individual 🖾 Corporati	ion or other private group	zentity 🖸 Government
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	S SMALL ENTITY state	15. See 37 CFR 1.27.	XXI b. Applicant is no long			
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Authorized Signature	Mirello for	<u>Giuin</u>		Date Septembe	r 26, 2011 45,650	
Typed or printed nam	, Nicholas M, Í	bivin		Registration No.	45,650	
an application. Confiden submitting the completes this form and/or suggesti Box 1450. Alexandria, V Alexandria, Virginia 223	tiality is governed by 35 d application form to the ions for reducing this bu /irginia 22313-1450. DO (1.3-1480.	U.S.C. 122 and 37 CFR USPTO. Time will vary rdeg, should be sent to if NOT SEND FEES OR	on is required to obtain or r 1 14. This collection is est y depending upon the indiv to Chief Information Office COMPLETED FORMS TO espond to a collection of inf	imated to take 12 minutes idual case. Any comment r, U.S. Patent and Traden ) THIS ADDRESS, SENI	i to complete, including is on the amount of time nark Office, U.S. Depart D.TO: Commissioner for	gathering, preparing, and you require to complete ment of Commerce, P.O. Patents, P.O. Box 1450,

OMB-0651-0033 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCI-

Electronic Patent Application Fee Transmittal							
Application Number:	112	11739180					
Filing Date:	24-	Apr-2007					
Title of Invention:	HIC	5H PURITY LIPOPEP	TIDES				
First Named Inventor/Applicant Name:	Thomas Kelleher						
Filer:	Nicholas M.C. Boivin						
Attorney Docket Number:	C0	52-02/03 US					
Filed as Large Entity							
Utility under 35 USC 111(a) Filing Fees							
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)		
Basic Filing:							
Pages:							
Claims:							
Miscellaneous-Filing:							
Petition:							
Patent-Appeals-and-Interference:							
Post-Allowance-and-Post-Issuance:							
Utility Appl issue fee		1501	1	1740	1740		
Publ. Fee- early, voluntary, or normal		1504	1	300	300		

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Extension-of-Time:				
Miscellaneous:				
	Total in USD (\$)			2040

Electronic A	cknowledgement Receipt
EFS ID:	10975756
Application Number:	11739180
International Application Number:	
Confirmation Number:	8837
Title of Invention:	HIGH PURITY LIPOPEPTIDES
First Named Inventor/Applicant Name:	Thomas Kelleher
Customer Number:	34103
Filer:	Nicholas M.C. Boivin
Filer Authorized By:	
Attorney Docket Number:	C062-02/03 US
Receipt Date:	26-SEP-2011
Filing Date:	24-APR-2007
Time Stamp:	16:41:04
Application Type:	Utility under 35 USC 111(a)

# Payment information:

Submitted with Payment	yes			
Payment Type	Deposit Account			
Payment was successfully received in RAM	\$2040			
RAM confirmation Number	3032			
Deposit Account	501986			
Authorized User				
The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:				
Charge any Additional Fees required under 37 C.F.R. Section 1.21 (Miscellaneous fees and charges)				

File Listin	g:				
Document Number	<b>Document Description</b>	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	lacus Fee Deument (DTO SED)	C062_02_03_US_20110926_lss	793331		1
I	Issue Fee Payment (PTO-85B)	ue_Fee_Payment.pdf	9f96613824221fde88ff9ff95de976d778442 620	no	I
Warnings:				1	
Information:					
2	Fee Worksheet (SB06)	fee-info.pdf	32100	no	2
2	ree worksheet (SDUO)	ree-into.pdf	02ec42818e2457b177166fa36aec92a9e26 7f450	no	2
Warnings:		1 1			
Information:					
		Total Files Size (in bytes):	82	5431	
Post Card, as <u>New Applica</u> If a new appl 1.53(b)-(d) an Acknowledge <u>National Stag</u> If a timely su U.S.C. 371 an national stag <u>New Internat</u> If a new inter an internatio and of the In	d by the applicant, and including para described in MPEP 503. <u>tions Under 35 U.S.C. 111</u> ication is being filed and the applicand MPEP 506), a Filing Receipt (37 C ement Receipt will establish the filing ge of an International Application u bmission to enter the national stage and other applicable requirements a figure submission under 35 U.S.C. 371 w tional Application Filed with the USI renational application is being filed a bonal filing date (see PCT Article 11 ar ternational Filing Date (Form PCT/R urity, and the date shown on this Ac on.	ation includes the necessary of FR 1.54) will be issued in due ong date of the application. <u>Inder 35 U.S.C. 371</u> e of an international applicati Form PCT/DO/EO/903 indicati will be issued in addition to the <u>PTO as a Receiving Office</u> and the international application of MPEP 1810), a Notification (O/105) will be issued in due co	omponents for a filin course and the date s on is compliant with f ng acceptance of the Filing Receipt, in du ion includes the nece of the International <i>f</i> ourse, subject to pres	g date (see hown on th the conditic application e course. ssary comp Application criptions co	37 CFR is ons of 35 as a onents for Number oncerning

PTO/S8/81 (01-09)

Approved for use through 11/30/2011, OMB 0651-0035 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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( POWE	ER OF ATTORNEY	Application Num		
	OR	Filing Date	April 24, 2007	
REVOCATION	OF POWER OF ATTORNEY	First Named Inve		
	POWER OF ATTORNEY	Title	High Purity Lipopeptic	les
992512755566649	AND	Art Unit	1656	
PURNCE OF CO	RRESPONDENCE ADDRESS	Examiner Name	Chih-Min Kam	
COMMOR OF SO		Attorney Docket	lumber C062-02/03 US	
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I nereby revoke all j	previous powers of attorney given i	n the apove-lder	tried application.	
A Power of Attor	mey is submitted herewith.			
OR				
	Practitioner(s) associated with the following		34103	
and changes we subset	ur attorney(s) or agent(s) to prosecute the a and to transact all business in the United S			
	Office connected therewith:			
OR (horsely ennoirs)	Practitioner(s) named below as my/our atto	manula) or ascerticit	informate the scalingtion identified at	ave and
	siness in the United States Patent and Trac			1046, dina
F	Practitioner(s) Name		Registration Number	20
			·····	
OR The address asso OR	ociated with Customer Number:			
Firm or Individual Name				
Address				
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I am the: Applicant/Invento OR	х.			
	d of the entire interest. See 37 CFR 3.71. 37 CFR 3.73(b) (Form PTO/SB/96) submitt	ed herewith or filed r	n	
	37 CFR 3 73(b) (Form PTO/SB/96) submitt	·····		
Statement under	37 CFR 3 73(b) (Form PTO/SB/96) submitt SIGNATURE of Appli	·····	l Record	<u></u>
Signature	37 CFR 3 73(b) (Form PTO/SB/96) submitt SIGNATURE of Appli /Nicholas M. Boivin/	·····	Record Date September 8, 20	<u>-</u> 311
Statement under Signature Name	37 CFR 3 73(b) (Form PTO/SB/96) submitt SIGNATURE of Appli /Nicholas M. Boivin/ Nicholas M. Boivin	icant or Assignee o	Record Date September 8, 20 Telephone (781) 860-8660	
Signature Name Title and Company	37 CFR 3 73(b) (Form PTO/SB/96) submitt SIGNATURE of Appli /Nicholas M. Boivin/ Nicholas M. Boivin Intellectual Property Counsel, Cul	icant or Assignee o oist Pharmaceuti	Record Date September 8, 20 Telephone (781) 860-8660 cals, Inc.	
Signature Name Title and Company	37 CFR 3 73(b) (Form PTO/SB/96) submitt SIGNATURE of Appli /Nicholas M. Boivin/ Nicholas M. Boivin Intellectual Property Counsel, Cul inventors or assignees of record of the entire int	icant or Assignee o oist Pharmaceuti	Record Date September 8, 20 Telephone (781) 860-8660 cals, Inc.	
Signature Name Title and Company NOTE: Signatures of all the	37 CFR 3 73(b) (Form PTO/SB/96) submitt SIGNATURE of Appli /Nicholas M. Boivin/ Nicholas M. Boivin Intellectual Property Counsel, Cul inventors or assignees of record of the entire int	icant or Assignee o oist Pharmaceuti	Record Date September 8, 20 Telephone (781) 860-8660 cals, Inc.	

USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/S8/96 (07-09) Approved for use through 07/31/2012 OMB 0651-0031 rademark Office: U.S. DEPARTMENT OF COMMERCE U.S. Patent and

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays	a valid OMB con	trol number.
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	STATER	IENI UNUER JI	UFN 3.73[0]	
Applicant/Patent Owner Cubis	t Pharmaceuticals, Ir	iC,		
Application No./Patent No.: 11/2		File	ed/Issue Date: April 24, 2007	
Titled:				
Cubist Pharmaceuticals, INc.		a Corporation	د. از ۱۰ 1995 - ۲۰ 1996 - ۲۰۰۰ - ۲۰۰۰	
(Name of Assignes)		(Type of Assign	ee, e.g., corporation, partnership, university, govern	ment agency, etc.
states that it is:				
1. $\boxed{X}$ the assignce of the e	entire right, title, and inte	erest in;		
	than the entire right, title entage) of its ownership		%); or	
3 the assignee of an u	ndivided interest in the	entirety of (a comple	te assignment from one of the joint inve	ntors was made)
the patent application/patent ide	ntified above, by virtue	of either		
A An assignment from the United States Pa copy therefore is atta	stent and Trademark Of	atent application/pat fice at Reel	ent identified above. The assignment w	as recorded in , or for which a
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January 1		atent application/pati	ant identified above, to the current assign	
	r Paul D. Lýnch		To: Perseptive Biosystems, Inc.	
The docur Reel 024			ent and Trademark Office at, or for which a copy thereof it	s attached.
2. From: Persep	tive Biosystems, Inc.		To: Cubist Pharmaceuticals, Inc.	
			ent and Trademark Office at	
			or for which a copy thereof is	s attached.
3. From Invento	۲S		To Cubist Pharmaceuticals, Inc.	
The doour	ment was recorded in th	e United States Pat	ent and Trademark Office at	
Reel 019	9201	Frame 0897		attached.
Additional documer	nts in the chain of title a	re listed on a supple	mental sheet(s).	
or concurrently is being,	submitted for recordation	on pursuant to 37 CF		
[NOTE: A separate copy accordance with 37 CFR	r (i.e., a true copy of the Part 3, to record the a	e original assignments in the reco	it document(s)) must be submitted to As ords of the USPTO. <u>See</u> MPEP 302.08]	isignment Division i
The undersigned (whose title is	supplied below) is auth	iorized to act on beh	alf of the assignee.	
/Nicholas M. Boivin/			09/08/2011	
Signature			Date	
Nicholas M. Bolvín			IP Counsel	<u></u>
£				

process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on this amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commence, P.D. Elox 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRES3. SEND TO: Commissioner for Patents, P.O. Box 1460, Alexandria, VA 22313-1450.

if you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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TO: CUBIST PHARMACEUTICALS, ( ): COMPANY: 65 HAYDEN AVENUE )

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#### UNITED STATES PATENT AND TRADEMARK OFFICE

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MARCH 12, 2010

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CUBIST PHARMACEUTICALS, INC. 65 HAYDEN AVENUE INTELLECTUAL PROPERTY DEPARTMENT LEXINGTON, MA 02421

#### UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 03/12/2010

REEL/FRAME: 024070/0280 NUMBER OF PAGES: 5

BRIEF: CORRECTIVE ASSIGNMENT TO CORRECT THE RECEIVING PARTY DATA PREVIOUSLY RECORDED ON REEL 019201 FRAME 0966. ASSIGNOR(S) HEREBY CONFIRMS THE CORRECTIVE ASSIGNMENT TO CORRECT ONE RECORDED AT 019201/0966 TO CHANGE ASSIGNEE TO PERSEPTIVE BIOSYSTEMS, INCORPORATED. DOCKET NUMBER: C062-02/03 US

ASSIGNOR:

LYNCH, PAUL D

DOC DATE: 02/13/2001

ASSIGNEE:

PERSEPTIVE BIOSYSTEMS, INCORPORATED 500 OLD CONNECTICUT PATH FRAMINGHAM, MASSACHUSETTS 01701

SERIAL NUMBER: 11739180 PATENT NUMBER: TITLE: HIGH PURITY LIPOPEPTIDES FILING DATE: 04/24/2007 ISSUE DATE:

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### 20 of 424

024070/0280 PAGE 2

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JEEVON JONES, EXAMINER ASSIGNMENT SERVICES BRANCH PUBLIC RECORDS DIVISION



CUB-9

### ASSIGNMENT

I/We,

(1) Paul D. Lynch

residing at

.

(1) <u>29 Cypress Road</u> Arlington, MA 02474

for good and valuable consideration, receipt of which is hereby acknowledged, have assigned, sold and transferred to and do hereby assign, sell and transfer to PERSEPTIVE BIOSYSTEMS, INCORPORATED a corporation organized and existing under the laws of the STATE OF DELAWARE and having an office and a place of business at 500 OLD CONNECTICUT PATH. FRAMINGHAM, MASSACHUSETTS 01701 its successors and assigns: (1) the entire right, title and interest in the United States and in all countries throughout the world in and to any and all my/our inventions and discoveries disclosed in the application for Letters Patent in the HIGH PURITY LIPOPEPTIDES, United States entitled: LIPOPEPTIDES MICELLES AND PROCESSES FOR PREPARING SAME, and filed in the United States Patent and Trademark Office on NOVEMBER 28, 2000, under Serial Number 09/735,191, including any renewals, revivals, reissues, reexaminations, extensions, continuations and divisions thereof, and any substitute applications therefor; (2) the full and complete right to file patent applications in the name of PERSEPTIVE BIOSYSTEMS, INCORPORATED its designee, or in my/our names at <u>PERSEPTIVE BIOSYSTEMS, INCORPORATED</u> or its designee's election, on the aforesaid inventions, discoveries and applications in all countries of the world; (3) the entire right, title and interest in and to any Letters Patent





which may issue thereon in the United States or in any other country of the world and any renewals, revivals, reissues, reexaminations and extensions of the same; and (4) the entire right, title and interest in all Convention and Treaty Rights of all kinds thereon, including without limitation all rights of priority in any country of the world, in and to the above inventions, discoveries and applications.

I/We hereby authorize and request the competent authorities to grant and to issue any and all such Letters Patent in the United States and throughout the world to <u>PERSEPTIVE BIOSYSTEMS, INCORPORATED</u> as the assignee of the entire right, title and interest therein, as fully and entirely as the same would have been held and enjoyed by me/us had this assignment, sale and transfer not been made. I/We agree, at any time, upon the request of

<u>PERSEPTIVE BIOSYSTEMS, INCORPORATED</u> to execute and to deliver to <u>PERSEPTIVE BIOSYSTEMS, INCORPORATED</u> any additional applications for patents for said inventions and discoveries, or any part or parts thereof, and any applications for patents of confirmation, registration and importation based on any Letters Patent issuing on said inventions, discoveries or applications, and divisions, continuations, renewals, revivals, reissues, reexaminations and extensions thereof.

I/We further agree at any time to execute and to deliver upon request of <u>PERSEPTIVE BIOSYSTEMS</u>, <u>INCORPORATED</u> such additional documents, if any, as are necessary or desirable to secure patent protection on said inventions, discoveries and applications throughout all countries of the world, and otherwise to do the necessary to give full effect to and to perfect the rights of <u>PERSEPTIVE</u> <u>BIOSYSTEMS</u>, <u>INCORPORATED</u> under this Assignment, including the execution, delivery and procurement of any and all

page <u>2</u> of <u>3</u>





further documents evidencing this assignment, transfer and sale as may be necessary or desirable.

ASSIGNORS:

2(1)PAUL D.

On this 13th day of February 2011, personally appeared before me, /a Notary Public in and for <u>*The Companying*</u> <u>*Murminula*</u>, and executed the foregoin \_\_\_\_, and executed the foregoing Assignment and duly acknowledged to me that such Assignment

was executed for the uses and purposes therein expressed.

Notary Public





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### UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

APRIL 27, 2007

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CUBIST PHARMACEUTICALS, INC. 65 HAYDEN AVENUE INTELLECTUAL PROPERTY LEXINGTON, MA 02421

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RECORDATION DATE: 04/24/2007

REEL/FRAME: 019202/0011 NUMBER OF PAGES: 4

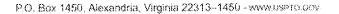
BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS). DOCKET NUMBER: C062-02/03 US

ASSIGNOR: PERSEPTIVE BIOSYSTEMS, INC.

DOC DATE: 02/13/2001

ASSIGNEE: CUBIST PHARMACEUTICALS, INC. 65 HAYDEN AVENUE LEXINGTON, MASSACHUSETTS 02421

SERIAL NUMBER: 11739180 PATENT NUMBER: TITLE: HIGH PURITY LIPOPEPTIDES FILING DATE: ISSUE DATE:



# 25 of 424

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ASSIGNMENT SERVICES BRANCH PUBLIC RECORDS DIVISION

### ASSIGNMENT

WHEREAS, the undersigned, <u>PERSEPTIVE</u> <u>BIOSYSTEMS, INCORFORATED</u>, a corporation organized and existing under the laws of the <u>STATE OF DELAWARE</u> and having an office and a place of business at <u>500 OLD</u> <u>CONNECTICUT PATH, FRAMINGHAM, MASSACHUSETTS 01701</u>, has full right to convey the entire interest in the invention entitled: <u>HIGH FURITY LIPOPEPTIDES</u>, <u>LIPOPEPTIDES</u> <u>MICELLES AND PROCESSES FOR PREPARING SAME</u>, and filed in the United States Patent and Trademark Office on <u>NOVEMBER</u> <u>28, 2000</u>, under Serial Number <u>09/735,191</u>; and

WHEREAS, <u>CUBIST PHARMACEUTICALS, INCORPORATED</u>, a corporation organized and existing under the laws of the <u>STATE OF DELAWARE</u> and having an office and a place of business at <u>24 EMILY STREET</u>, <u>CAMBRIDGE</u>, <u>MASSACHUSETTS</u> <u>02139</u>, is desirous of acquiring the entire interest in said invention, in said United States patent application and in any Letters Patent which may issue thereon;

NOW, THEREFORE, be it known that for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, the undersigned has sold, assigned and transferred to and does hereby sell, assign, and transfer to <u>CUBIST PHARMACEUTICALS</u>, <u>INCORPORATED</u>, its successors, assigns and legal representatives: (1) the  $n^{h}$  entire right, title and interest in the United States and in all countries throughout the world in and to any and all inventions and discoveries disclosed in said patent application, including any renewals, revivals, reissues, reexaminations, extensions, continuations and divisions thereof, and any substitute applications therefor; (2) the full and complete right to file patent applications in the name of <u>CUBIST PHARMACEUTICALS</u>, <u>INCORPORATED</u> its designee or its designee's election, on the aforesaid

page <u>1</u> of <u>3</u>

ASSIGN.2 2/6/1

### 27 of 424

inventions, discoveries and applications in all countries of the world; (3) the entire right, title and interest in and to any Letters Patent which may issue thereon in the United States or in any other country of the world and any renewals, revivals, reissues, reexaminations and extensions of the same; and (4) the entire right, title and interest in all Convention and Treaty Rights of all kinds thereon, including without limitation all rights of priority in any country of the world, in and to the above inventions, discoveries and applications.

PERSEPTIVE BIOSYSTEMS, INCORPORATED hereby authorizes and requests the competent authorities to grant and to issue any and all such Letters Patent in the United States and throughout the world to <u>CUBIST</u> <u>PHARMACEUTICALS, INCORPORATED</u> as the assignee of the entire right, title and interest therein, as fully and entirely as the same would have been held and enjoyed by <u>PERSEPTIVE BIOSYSTEMS, INCORPORATED</u> had this assignment, sale and transfer not been made.

PERSEPTIVE BIOSYSTEMS, INCORPORATED agrees, at any time, upon the request of <u>CUBIST PHARMACEUTICALS</u>, <u>INCORPORATED</u> to execute and to deliver to <u>CUBIST</u> <u>PHARMACEUTICALS</u>, <u>INCORPORATED</u> any additional applications for patents for said inventions and discoveries, or any part or parts thereof, and any applications for patents of confirmation, registration and importation based on any Letters Patent issuing on said inventions, discoveries or applications, and divisions, continuations, renewals, revivals, reissues, reexaminations and extensions thereof.

PERSEPTIVE BIOSYSTEMS, INCORPORATED further agrees at any time to execute and to deliver upon request of <u>CUBIST PHARMACEUTICALS</u>, <u>INCORPORATED</u> such additional documents, if any, as are necessary or desirable to secure patent protection on said inventions, discoveries

page <u>2</u> of <u>3</u>

and applications throughout all countries of the world, and otherwise to do the necessary to give full effect to and to perfect the rights of CUBIST PHARMACEUTICALS, INCORPORATED under this Assignment, including the execution, delivery and procurement of any and all further documents evidencing this assignment, transfer and sale as may be necessary or desirable.

ASSIGNOR:

PERSEPTIVE BIOSYSTEMS, INCO

Jøseph E. Malandrakis President PerSeptive Biosystems, Inc.

On this 13th day of Tehning 2001 Joseph E. Malandrakis personally appeared before me, a Notary Public/in and for \_\_\_\_\_ Remainwood (44) \_\_\_\_, and executed the foregoing actable 19 Assignment and duly acknowledged to me that such Assignment was executed for the uses and purposes therein expressed.

Notary Public

page <u>3</u> of <u>3</u>

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TO:CUBIST PHARMACEUTICALS, 2. COMPANY:65 HAYDEN AVENUE



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#### UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

APRIL 24, 2007

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CUBIST PHARMACEUTICALS, INC. 65 HAYDEN AVENUE INTELLECTUAL PROPERTY LEXINGTON, MA 02421

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RECORDATION DATE: 04/24/2007

REEL/FRAME: 019201/0897 NUMBER OF PAGES: 9

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS). DOCKET NUMBER: C062-02/03 US

ASSIGNOR: KELLEHER, THOMAS J.	DOC DATE: 01/12/2001
ASSIGNOR: LAI, JAN-JI	DOC DATE: 01/12/2001
ASSIGNOR: DECOURCEY, JOSEPH P.	DOC DATE: 02/01/2001
ASSIGNOR: ZENONI, MAURIZIO	DOC DATE: 01/19/2001
ASSIGNOR: TAGLIANI, AURO R.	DOC DATE: 01/19/2001

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# 30 of 424

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4/20/2001 11.22.10 ... TO: CUBIST PHARMACEUTICALS, 2. COMPANY: 65 HAYDEN AVENUE

019201/0897 PAGE 2

ASSIGNEE: CUBIST PHARMACEUTICALS, INC. 65 HAYDEN AVENUE LEXINGTON, MASSACHUSETTS 02421

SERIAL NUMBER: 11739180 PATENT NUMBER: TITLE: HIGH PURITY LIPOPEPTIDES FILING DATE: ISSUE DATE:

ASSIGNMENT SERVICES BRANCH PUBLIC RECORDS DIVISION





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## ASSIGNMENT

I/Ne,	to the particular sector of the sector of th
 (1) <u>Thomas J. Kelleher</u>	¥
(2) <u>Jan-Ji Lai</u>	
(3) Joseph P. DeCourcey	¥
(4) Paul D. Lynch	Ŷ
(5) <u>Maurizio Zenoni</u>	, and
(6) <u>Auro R. Tagliani</u>	
residing, respectively, at (1) <u>36 Laxfield Street</u>	<u></u>
Weston, MA 02493	
(2) <u>5 Roy Street</u>	
Westborough, MA 01581	
(3) <u>3 Auburn Street</u>	
Charlestown, MA 02129	
(4) <u>29 Cypress Road</u>	
Arlington, MA 02474	
(5) <u>Via Fleming </u> <b>#</b> 7	
Paullo, Milan 20067	, and
Italy	
(0) <u>VIB (1010)</u> Pavia, Italy 27100	

for good and valuable consideration, receipt of which is hereby acknowledged, have assigned, sold and transferred to and do hereby assign, sell and transfer to <u>CUBIST</u> <u>PHARMACEUTICALS, INCORPORATED</u> a corporation organized and existing under the laws of the <u>STATE OF DELAWARE</u> and having an office and a place of business at <u>24 EMILY STREET</u>, <u>CAMBRIDGE, MASSACHUSETTS 02139</u> its successors and assigns: (1) the entire right, title and interest in the United States and in all countries throughout the world in

page <u>1</u> of <u>6</u>

ASSIGN.2 1/9/1 and to any and all my/our inventions and discoveries disclosed in the application for Letters Patent in the United States entitled: <u>HIGH PURITY LIPOPEPTIDES.</u> LIPOPEPTIDES MICELLES AND PROCESSES FOR PREPARING SAME, and filed in the United States Patent and Frademark Office on NOVEMBER 28, 2000, under Serial Number 09/735,191, including any renewals, revivals, reissues, reexaminations, extensions, continuations and divisions thereof, and any substitute applications therefor; (2) the full and complete right to file patent applications in the name of CUBIST PHARMACEUTICALS, INCORPORATED its designee, or in my/our names at CUBIST PHARMACEUTICALS, INCORPORATED or its the aforesaid inventions, designee's election, on discoveries and applications in all countries of the world; (3) the entire right, title and interest in and to any Letters Patent which may issue thereon in the United States or in any other country of the world and any renewals, revivals, reissues, reexaminations and extensions of the same; and (4) the entire right, title and interest in all Convention and Treaty Rights of all kinds thereon, including without limitation all rights of priority in any country of the world, in and to the above inventions, discoveries and applications.

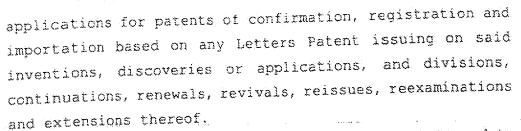
I/We hereby authorize and request the competent authorities to grant and to issue any and all such Letters Patent in the United States and throughout the world to <u>CUBIST PHARMACEUTICALS, INCORPORATED</u> as the assignee of the entire right, title and interest therein, as fully and entirely as the same would have been held and enjoyed by me/us had this assignment, sale and transfer not been made.

I/We agree, at any time, upon the request of <u>CUBIST PHARMACEUTICALS, INCORPORATED</u> to execute and to deliver to <u>CUBIST PHARMACEUTICALS, INCORPORATED</u> any additional applications for patents for said inventions and discoveries, or any part or parts thereof, and any

page 2 of 6

ASSIGN.2 1/9/1

### 33 of 424



I/We further agree at any time to execute and to deliver upon request of <u>CUBIST PHARMACEUTICALS</u>. <u>INCORPORATED</u> such additional documents, if any, as are necessary or desirable to secure patent protection on said inventions, discoveries and applications throughout all countries of the world, and otherwise to do the necessary to give full effect to and to perfect the rights of <u>CUBIST</u> <u>PHARMACEUTICALS</u>, <u>INCORPORATED</u> under this Assignment, including the execution, delivery and procurement of any and all further documents evidencing this assignment, transfer and sale as may be necessary or desirable.

### ASSIGNORS:

Thomas J. (Kelleher 1-12-0/ 11)

On this 12th day of January , del. THOMAS J. KELLEHER (1) personally appeared before me, a Notary Public in and for the county of Middlesex, Musseduxells, and executed the foregoing Assignment and duly acknowledged to me that such Assignment was executed for the uses and purposes therein expressed.

Ngtary Public

page <u>3</u> of <u>6</u>

ASSIGN.2 1/9/1

• • • • • • • • • • والمستعد والمراجع ومستعم والمراجع (2)J. Common JAN-JI LAL On this  $12^{th}$ day of Janim 12) personally appeared JAN-JI LAI before me, a Notary Public in and for the commu Middlesex Massachursetk, and executed the foregoing Assignment and duly acknowledged to me that such Assignment was executed for the uses and purposes therein expressed. Notery Publ,

(3)

JOSEPH P. DeCOURCEY

witnessed:

Signature:

Name:

Signature:

Name:

page <u>4</u> of <u>6</u>

ASSIGN,2 1/9/1



Notary Public

(3) JOSEPH P. DECOURCEY

witnessed: earce Signature: ROSA LEONE Name: Signature: 1 CARLO MARIANI Name:

page <u>4</u> of <u>6</u>

ASSIGN.2 1/9/1

(1)
PAUL D. LYNCH

- <del>2.4.644</del> .5	On this day of <u>PAUL D. LYNCH</u> (4) personally appeared before me, a Notary Public in and for
	Assignment and duly acknowledged to me that such Assignment was executed for the uses and purposes therein expressed.

Notary Public

(5)notio les ZENÓNI

Witnessed:

an Au Luinger an

----

signature: alenancho Jourosell

Name:

ALESSANDRO DOMADELLI

signature: WAM Gobon' IVAN CARBONI Name:

(6) TAGLIANI AURO R.

Witnessed: signature: Demousto Demochell RLESCANDRO DOMADELUI Name: Signature: 144 GibM WHA CARBONI

Name:

page <u>5</u> of <u>6</u>

ASSIGN.2 1/9/1

ACKNOWLEDGEMENT OF ASSIGNEE:

## CUBIST PHARMACEUTICALS, INCORPORATED

By:

Alan D. Watson Senior Vice President, Corporate Development

On this <u>/-</u> day of <u>January</u>, <u>Atcl</u>, <u>Alan D. Watson</u> personally appeared before me, a Notary Public in and for the <u>communal Middleser</u>, <u>Massachuselt</u>, and duly acknowledged the executed Assignment on behalf of the Assignee.

/e Leng Notary Publig

page <u>6</u> of <u>6</u>

ASSIGN.2 1/9/1

Electronic A	Electronic Acknowledgement Receipt					
EFS ID:	10904336					
Application Number:	11739180					
International Application Number:						
Confirmation Number:	8837					
Title of Invention:	HIGH PURITY LIPOPEPTIDES					
First Named Inventor/Applicant Name:	Thomas Kelleher					
Customer Number:	34103					
Filer:	Nicholas M.C. Boivin					
Filer Authorized By:						
Attorney Docket Number:	C062-02/03 US					
Receipt Date:	08-SEP-2011					
Filing Date:	24-APR-2007					
Time Stamp:	16:47:55					
Application Type:	Utility under 35 USC 111(a)					

# Payment information:

Submitted with Payment no						
File Listing:						
Document Number	<b>Document Description</b>		File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Power of Attorney		062_02_03_POA_SB_81_For	636098	no	1
'	rower of Attorney		m.pdf	99c2706371e19691ece4ce68b9b02a4f59b 6ec42	110	·
Warnings:						
Information:						

2	Assignee showing of ownership per 37	C062_02_03_US_Statement_U	6917443			
2	CFR 3.73(b).	 nder_3_73_SB_96_Form.pdf		no	20	
		·····	3d5ba37bf5acac49f8e1b744a067ba312a1 bcb01			

#### Warnings:

Information:

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

## National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application. UNITED STATES PATENT AND TRADEMARK OFFICE



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

## NOTICE OF ALLOWANCE AND FEE(S) DUE

34103759009/07/2011Intellectual Property DepartmentCubist Pharmaceuticals, Inc.65 Hayden AvenueLexington, MA 02421

EXAMINER KAM, CHIH MIN

ART UNIT PAPER NUMBER
1656

DATE MAILED: 09/07/2011

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
11/739,180	04/24/2007	Thomas Kelleher	C062-02/03 US	8837

TITLE OF INVENTION: HIGH PURITY LIPOPEPTIDES

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$755	\$300	\$0	\$1055	12/07/2011

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. <u>PROSECUTION ON THE MERITS IS CLOSED</u>. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN <u>THREE MONTHS</u> FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. <u>THIS STATUTORY PERIOD CANNOT BE EXTENDED</u>. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:	If the SMALL ENTITY is shown as NO:
A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.	A. Pay TOTAL FEE(S) DUE shown above, or
B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or	B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

Page 1 of 3

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#### PART B - FEE(S) TRANSMITTAL

# Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or Fax (571)-273-2885

INSTRUCTIONS: This appropriate. All further indicated unless correcter maintenance fee notifica	ed below or directed oth	or transmit ig the Pater ierwise in E	ting the ISS nt, advance o Block 1, by (	UE FEE and PUBLIC rders and notification a) specifying a new co	OATI of m	ON FEE (if requi naintenance fees w pondence address;	red). B ill be r and/or	locks 1 through 5 sl nailed to the current (b) indicating a sepa	hould be completed wh correspondence address trate "FEE ADDRESS"
CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address) 34103 7590 09/07/2011 Intellactual Property Department						s) Transmittal. Thi rs. Each additional its own certificate	s certifi paper, of mail	icate cannot be used f	or domestic mailings of t or any other accompanyi nt or formal drawing, m
Intellectual Property Department Cubist Pharmaceuticals, Inc. 65 Hayden Avenue					I her State addr trans	eby certify that this s Postal Service we essed to the Mail mitted to the USP	s Fee(s ith suff Stop 1 FO (571	) Transmittal is being icient postage for firs (SSUE FEE address () 273-2885, on the da	a deposited with the Unit st class mail in an envelo above, or being facsim ate indicated below.
Lexington, MA	02421						- (	-, ,	(Depositor's nan
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									(Da
APPLICATION NO.	FILING DATE			FIRST NAMED INVEN	TOR		ATTO	RNEY DOCKET NO.	CONFIRMATION NO.
11/739,180 TITLE OF INVENTION	04/24/2007 : HIGH PURITY LIPOF	PEPTIDES		Thomas Kelleher			С	2062-02/03 US	8837
APPLN. TYPE	SMALL ENTITY	ISSUE	FEE DUE	PUBLICATION FEE D	UE	PREV. PAID ISSUE	E FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$	755	\$300		\$0		\$1055	12/07/2011
EXAM	INER	ART	UNIT	CLASS-SUBCLASS	3				
KAM, Cł	HIH MIN	1	656	514-009000					
<ul> <li>1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).</li> <li>Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.</li> <li>The Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.</li> <li>3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for the patent attached for the patent for the patent.</li> </ul>									
	iate assignee category or	permitted)	4	b. Payment of Fee(s): ( A check is enclos Payment by credi	Plea ed. t card	Individual DCo se first reapply an 1. Form PTO-2038	rporatio y <b>prev</b> i is attac	on or other private gro iously paid issue fee hed.	1 2
<ul> <li>5. Change in Entity Status (from status indicated above)         <ul> <li>a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.</li> <li>b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).</li> </ul> </li> <li>NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party i interest as shown by the records of the United States Patent and Trademark Office.</li> </ul>									
Authorized Signature						Date			
Typed or printed name Registration No									
This collection of inform an application. Confiden submitting the completed this form and/or suggesti Box 1450, Alexandria, V Alexandria, Virginia 223 Under the Paperwork Rec	13-1450.								

OMB 0651-0033 42 of 424

	ted States Pate	NT AND TRADEMARK OFFICE	UNITED STATES DEPAR United States Patent and Address: COMMISSIONER F P.O. Box 1450 Alexandria, Virginia 22: www.uspto.gov	FOR PATENTS
APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
11/739,180	04/24/2007	Thomas Kelleher	C062-02/03 US	8837
34103 75	90 09/07/2011		EXAM	IINER
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65 Hayden Avenue	,		ART UNIT	PAPER NUMBER
Lexington, MA 024	421		1656	
			DATE MAILED: 09/07/201	1

## Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 0 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 0 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

## **Privacy Act Statement**

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

	Application No.	Applicant(s)
	11/739,180	KELLEHER ET AL.
Notice of Allowability	Examiner	Art Unit
	CHIH-MIN KAM	1656
The MAILING DATE of this communication apper All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT R of the Office or upon petition by the applicant. See 37 CFR 1.313 1. X This communication is responsive to <u>5/27/2011</u> .	(OR REMAINS) CLOSED in or other appropriate commu IGHTS. This application is s	n this application. If not included unication will be mailed in due course. <b>THIS</b>
2. 🔀 The allowed claim(s) is/are <u>2-29,31-36,38-44,47-52,54-56,</u>	58-86 and 88-200.	
<ul> <li>3.  Acknowledgment is made of a claim for foreign priority ur</li> <li>a) All b) Some*c) None of the:</li> <li>1.  Certified copies of the priority documents have</li> <li>2.  Certified copies of the priority documents have</li> <li>3.  Copies of the certified copies of the priority documents have</li> </ul>	been received. been received in Applicatio	n No
International Bureau (PCT Rule 17.2(a)).		
* Certified copies not received: Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONN THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.		a reply complying with the requirements
4. A SUBSTITUTE OATH OR DECLARATION must be subm INFORMAL PATENT APPLICATION (PTO-152) which give		
5. CORRECTED DRAWINGS ( as "replacement sheets") mus	st be submitted.	
(a) ☐ including changes required by the Notice of Draftspers	on's Patent Drawing Review	v ( PTO-948) attached
1) hereto or 2) to Paper No./Mail Date		
(b) ☐ including changes required by the attached Examiner's Paper No./Mail Date	s Amendment / Comment or	in the Office action of
Identifying indicia such as the application number (see 37 CFR 1 each sheet. Replacement sheet(s) should be labeled as such in t	.84(c)) should be written on th he header according to 37 CF	ne drawings in the front (not the back) of R 1.121(d).
6. DEPOSIT OF and/or INFORMATION about the depo attached Examiner's comment regarding REQUIREMENT	SIT OF BIOLOGICAL MATE FOR THE DEPOSIT OF BIO	ERIAL must be submitted. Note the DLOGICAL MATERIAL.
Attachment(s)		
<ol> <li>Notice of References Cited (PTO-892)</li> <li>Notice of Draftperson's Patent Drawing Review (PTO-948)</li> </ol>		formal Patent Application
	Paper No./	
3. ☐ Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date	7. 🛛 Examiner's	Amendment/Comment
4. Examiner's Comment Regarding Requirement for Deposit of Biological Material	8. 🛛 Examiner's	Statement of Reasons for Allowance
	9. Other	
/Chih-Min Kam/ Primary Examiner, Art Unit 1656		

### **DETAILED ACTION**

### Status of the Claims

1. Claims 2-29, 31-36, 38-44, 47-52, 54-56 and 58-200 are pending.

Applicants' amendment filed May 27, 2011 is acknowledged. Claims 2-4, 6, 7, 10, 54, 55, 58, 62, 76, 82, 83, 85, 87-92, 94, 95, 108, 109 and 115 have been amended, claim 1 has been cancelled, and new claims 161-200 have been added. Therefore, claims 2-29, 31-36, 38-44, 47-52, 54-56 and 58-200 are examined.

### Withdrawn Claim Rejections - 35 USC § 112

2. The previous rejection of claim 8-29, 31-36, 38-44, 47-52, 55-56, 58-114 and 116-160 under 35 U.S.C.112, second paragraph, is withdrawn in view of applicants' amendment of the claims and applicants' response at page 35 in the amendment filed May 27, 2011.

#### Withdrawn Claim Rejections - 35 USC § 102

3. The previous rejection of claims 1 and 54 under U.S.C. 102(e) as being as anticipated by Baker *et al.* (US RE39,071 E) is withdrawn in view of applicants' amendment to the claims, applicants' cancellation of the claims, and applicants' response at page 34 in the amendment filed May 27, 2011.

#### <u>Withdrawn Claim Rejections - Obviousness Type Double Patenting</u>

4. The previous rejection of claims 1 and 54 under the judicially created doctrine of obviousness-type double patenting as being unpatentable over claims 18-20, 26, 28 and 29 of U.S. Patent RE39,071 E is withdrawn in view of applicants' amendment to the claims, applicants' cancellation of the claims, and applicants' response at page 34 in the amendment filed May 27, 2011.

## **Specification**

5. Applicants' amendment to the specification regarding "CROSS-REFERENCE TO RELATED APPLICATIONS" at page 1 lines 5-9 is acknowledged. Applicants' petition filed May 27, 2011 under 37 CFR 1.78(a)(3),(6) to accept an unintentionally delayed priority claim has been granted.

## **Examiner's** Amendment

An **Examiner's Amendment** to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

Authorization for this examiner's amendment was given in a telephone interview with Nicholas M. Boivin on August 16, 2011.

### **Examiner's Amendment to the Claims:**

Cancel claim 87.

Claims 2-4, 6, 54-56, 58, 60, 62-76, 80, 89, 94-96, 98, 116-127, 129, 133, 142, 149-160, 162, 171-179, 184, 189, 191-194 and 196-200 have been amended as follows:

2. (Currently Amended) A composition comprising essentially pure daptomycin purified by a process comprising the steps of:

(a) subjecting daptomycin to conditions forming a daptomycin aggregate; and

(b) obtaining at least a portion of the essentially pure daptomycin from the daptomycin aggregate.

3. (Currently Amended) A composition comprising daptomycin that is substantially free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin, the daptomycin being purified by a process comprising the steps of:

#### 47 of 424

(a) subjecting daptomycin to conditions forming a daptomycin aggregate; and

(b) obtaining at least a portion of the daptomycin that is substantially free of anhydro-daptomycin and <u>or</u> substantially free of  $\beta$ -isomer of daptomycin from the daptomycin aggregate.

4. (Currently Amended) The composition according to claim 3 that is essentially free of anhydro-daptomycin, wherein the step of obtaining the daptomycin that is essentially free of anhydro-daptomycin from the daptomycin aggregate further comprises the steps of:

(c) subjecting the daptomycin aggregate to conditions to form monomeric daptomycin; and

(d) obtaining at least a portion of the daptomycin that is essentially free of anhydro-daptomycin from the monomeric daptomycin.

6. (Currently Amended) A composition comprising purified daptomycin that is substantially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12, the purified daptomycin being obtained by a process comprising the steps of:

(a) subjecting daptomycin to conditions forming a daptomycin aggregate;

(b) subjecting the daptomycin aggregate to conditions forming monomeric daptomycin; and

(c) obtaining at least a portion of the daptomycin from the monomeric daptomycin, the daptomycin aggregate or a combination thereof.

54 (Currently amended) A purified daptomycin pharmaceutical composition comprising essentially pure daptomycin purified by a process comprising the steps of:

(a) forming micelles comprising daptomycin;

(b) converting the micelles to a non-micellar daptomycin composition comprising daptomycin in a non-micellar state; and

(c) obtaining at least a portion of the purified daptomycin from the micelles, the non-micellar daptomycin composition, or a combination thereof.

55. (Currently Amended) The pharmaceutical composition of claim 54 comprising daptomycin of at least about 98% purity measured relative to daptomycin impurities 1-14 defined by peaks 1-14 shown in FIG. 12.

56. (Currently Amended) The method pharmaceutical composition of claim 54 wherein the composition is daptomycin of is at least about 99% purity pure.

58. (Currently Amended) A new composition comprising daptomycin of greater than <u>or</u> about 93% purity relative to daptomycin impurities that arise in fermentation or purification of daptomycin, and wherein the daptomycin impurities comprise impurities 1-14 defined by peaks 1-14 shown in FIG. 12, and the daptomycin is obtained by a process comprising the step of forming a micelle comprising daptomycin.

60. (Currently Amended)The composition of claim 58, wherein the purity of $\underline{daptomycin}$  is at least  $\underline{95\%}$   $\underline{93\%}$ .

62. (Currently Amended) A purified daptomycin composition comprising daptomycin of greater than <u>or</u> about 93% purity relative to impurities 1-14 defined by peaks 1-14 shown in FIG. 12, the daptomycin being obtained by a process comprising the step of forming an aggregate comprising daptomycin.

63. (Currently Amended) The daptomycin composition of claim 62, wherein the purity of daptomycin is at least 95% 93%.

64. (Currently Amended) The composition of claim 58 wherein impurity 1 is present in an amount no more than about 1%.

65. (Currently Amended) The composition of claim 58 wherein impurity 2 is present in an amount no more than about 0.5%.

66. (Currently Amended) The composition of claim 58 wherein impurity 3 is present in an amount no more than about 1%.

67. (Currently Amended) The composition of claim 58 wherein impurity 4 is present in an amount no more than about 0.5%.

68. (Currently Amended) The composition of claim 58 wherein impurity 5 is present in an amount no more than about 0.5%.

69. (Currently Amended) The composition of claim 58 wherein impurity 6 is present in an amount no more than about 1%.

70. (Currently Amended) The composition of claim 58 wherein impurity 7 is present in an amount no more than about 1%.

71. (Currently Amended) The composition of claim 58 wherein impurity 98 is present in an amount no more than about 0.5% <u>4%</u>.

72. (Currently Amended) The composition of claim  $\frac{58}{64}$  wherein impurity  $\frac{10}{8}$  is present in an amount no more than  $\frac{100}{5\%}$ .

73. (Currently Amended) The composition of claim  $\frac{58}{71}$  wherein impurity  $\frac{11}{8}$  is present in an amount no more than  $\frac{1000}{100}$ .

74. (Currently Amended) The composition of claim 58 wherein impurity 12 is present in an amount no more than about 0.5%.

75. (Currently Amended) The composition of claim 58 wherein impurity 14 is present in an amount no more than about 0.1%.

76. (Currently Amended) The composition of claim 62, wherein the daptomycin is obtained by a process comprising:

a) subjecting a daptomycin solution to conditions forming a daptomycin aggregate;

b) separating the daptomycin aggregate from low molecular weight contaminants; and

c) subjecting the daptomycin aggregate to conditions in which the daptomycin aggregate dissociates into daptomycin monomers.

80. (Currently Amended) The composition of claim 79, wherein the daptomycin monomers of are separated from the high molecular weight contaminants by a size selection technique.

89. (Currently Amended) The composition of claim 82, wherein the aggregate is a micelle consisting consists of daptomycin.

94. (Currently Amended) The composition of claim 93, wherein the micelle comprising daptomycin preparation of step a) <u>that comprises micelle</u> is at <u>has</u> a pH of 2.5 to 4.7, and the preparation further comprises 300 to 500 mM NaC1 and is at a temperature of 2-15 degrees C.

95. (Currently Amended) The composition of claim 62, wherein the daptomycin is obtained by a process further comprising:

a) subjecting a daptomycin solution to conditions forming [[a]]the daptomycin aggregate;

b) a) separating the daptomycin aggregate from low molecular weight contaminants; and

e) b) subjecting the daptomycin aggregate to conditions in which the daptomycin aggregate dissociates into daptomycin monomers.

96. (Currently Amended) The composition of claim 95, wherein the daptomycin aggregate of step b) <u>a</u>) is separated from the low molecular weight contaminants by a size selection technique.

98. (Currently Amended) The composition of claim 97 further comprising separating the daptomycin monomers obtained from step e) <u>b</u>) from high molecular weight contaminants.

116. (Currently Amended) The composition of claim 62 wherein impurity 1 is present in an amount no more than about 1%.

117. (Currently Amended) The composition of claim 62 wherein impurity 2 is present in an amount no more than about 0.5%.

118. (Currently Amended) The composition of claim 62 wherein impurity 3 is present in an amount no more than about 1%.

119. (Currently Amended) The composition of claim 62 wherein impurity 4 is present in an amount no more than about 0.5%.

120. (Currently Amended) The composition of claim 62 wherein impurity 5 is present in an amount no more than about 0.5%.

121. (Currently Amended) The composition of claim 62 wherein impurity 6 is present in an amount no more than about 1%.

122. (Currently Amended) The composition of claim 62 wherein impurity 7 is present in an amount no more than about 1%.

123. (Currently Amended) The composition of claim 62 wherein impurity 98 is present in an amount no more than about 0.5% 4%.

124. (Currently Amended) The composition of claim  $\frac{62}{116}$  wherein impurity  $\frac{10}{8}$  is present in an amount no more than  $\frac{100}{15\%}$ .

125. (Currently Amended) The composition of claim  $\frac{62}{123}$  wherein impurity  $\frac{118}{8}$  is present in an amount no more than  $\frac{100}{15\%}$ .

126. (Currently Amended) The composition of claim 62 wherein impurity 12 is present in an amount no more than about 0.5%.

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127. (Currently Amended) The composition of claim 62 wherein impurity 14 is present in an amount no more than  $\frac{1}{2}$  0.1%.

129. (Currently Amended) The composition of claim 63, wherein the daptomycin is obtained by a process comprising:

a) subjecting a daptomycin solution to conditions forming a daptomycin aggregate;

b) separating the daptomycin aggregate from low molecular weight contaminants; and

c) subjecting the daptomycin aggregate to conditions in which the daptomycin aggregate dissociates into daptomycin monomers.

133. (Currently Amended) The composition of claim 132, wherein the daptomycin monomers of are separated from the high molecular weight contaminants by a size selection technique.

142. (Currently Amended) The composition of claim  $\frac{135 \text{ } 141}{141}$ , wherein the aggregate is a micelle.

149. (Currently Amended) The composition of claim 63 wherein impurity 1 is present in an amount no more than about 1%.

150. (Currently Amended) The composition of claim 63 wherein impurity 2 is present in an amount no more than about 0.5%.

151. (Currently Amended) The composition of claim 63 wherein impurity 3 is present in an amount no more than about 1%.

152. (Currently Amended) The composition of claim 63 wherein impurity 4 is present in an amount no more than about 0.5%.

153. (Currently Amended) The composition of claim 63 wherein impurity 5 is present in an amount no more than about 0.5%.

154. (Currently Amended) The composition of claim 63 wherein impurity 6 is present in an amount no more than about 1%.

155. (Currently Amended) The composition of claim 63 wherein impurity 7 is present in an amount no more than about 1%.

156. (Currently Amended) The composition of claim 63 wherein impurity 98 is present in an amount no more than about 0.5% <u>4%</u>.

157. (Currently Amended) The composition of claim  $63 \underline{149}$  wherein impurity  $10 \underline{8}$  is present in an amount no more than about  $0.5\% \underline{1\%}$ .

158. (Currently Amended) The composition of claim  $\frac{63}{156}$  wherein impurity  $\frac{11}{8}$  is present in an amount no more than  $\frac{100}{156}$ .

159. (Currently Amended) The composition of claim 63 wherein impurity 12 is present in an amount no more than about 0.5%.

160. (Currently Amended) The composition of claim 63 wherein impurity 14 is present in an amount no more than about 0.1%.

162. (Currently Amended) The composition of claim 161, wherein the <u>daptomycin</u> is purified by a process comprising the steps of:

(a) subjecting a daptomycin solution to conditions forming the daptomycin aggregate;

(b) separating the daptomycin aggregate from low molecular weight contaminants; and

(c) subjecting the daptomycin aggregate to conditions in which the daptomycin micelle dissociates into daptomycin monomers.

171. (Currently Amended) A purified daptomycin composition of greater than <u>or</u> about 93% purity relative to impurities 1-14 defined by peaks 1-14 shown in FIG. 12, the purified daptomycin composition obtained by a process comprising the steps of:

(a) subjecting daptomycin to conditions forming daptomycin micelles and

#### Page 10

(b) obtaining at least a portion of the purified daptomycin from the daptomycin micelles.

172. (Currently Amended) The purified daptomycin composition of claim 171, wherein the step of obtaining the purified daptomycin from the daptomycin micelles further comprises the steps of:

(c) subjecting the daptomycin micelles to conditions forming monomeric daptomycin from the daptomycin micelles; and

(d) obtaining at least a portion of the purified daptomycin from the monomeric daptomycin.

173. (Currently Amended) The purified daptomycin composition of claim 172, wherein the step of subjecting the daptomycin micelles to conditions to form monomeric daptomycin from the daptomycin micelles includes one or more of the following <u>steps</u>:

(a) raising the pH of the daptomycin aggregate micelles to about 6.0 or higher;

(b) adjusting the daptomycin concentration to below the critical micelle concentration;

(c) contacting the daptomycin aggregate micelles with an organic solvent; and

(d) raising the temperature of the daptomycin <del>aggregate</del> <u>micelles</u> above <u>or</u> about 15 degrees C.

174. (Currently Amended) The purified daptomycin composition of claim 171, wherein the step of obtaining the daptomycin from the daptomycin micelles further comprises the steps of:

(c) filtering the daptomycin micelles under conditions in which the daptomycin micelles are retained on the filter;

(d) collecting the daptomycin aggregate;

(e) subjecting the daptomycin micelles to conditions to form monomeric daptomycin from the daptomycin micelles; and

(f) obtaining at least a portion of the purified daptomycin from the monomeric daptomycin.

175. (Currently Amended) A purified daptomycin composition of greater than <u>or</u> about 93% purity relative to impurities 1-14 defined by peaks 1-14 shown in FIG. 12, the purified daptomycin composition obtained by a process comprising the steps of:

(a) subjecting an aqueous solution comprising daptomycin at or above the critical daptomycin micelle concentration to a pH of 3.0 to 4.8 at a temperature of about 2-15 degrees C to form a daptomycin preparation; and

(b) obtaining the purified daptomycin from the daptomycin preparation obtained in step (a).

176. (Currently Amended) The composition of claim 175, wherein the daptomycin preparation comprises daptomycin aggregates, and wherein <u>the process further comprises</u>:

(a) the process further comprises filtering the daptomycin preparation is filtered to obtain a filtered daptomycin material comprising the daptomycin aggregates; and

(b) the purified daptomycin is obtained from the filtered daptomycin material by a process comprising the step of contacting the filtered daptomycin material with an organic solvent or a solvent having a pH of at least <u>or</u> about 6.0.

177. (Currently Amended) The composition of claim 176, wherein the purified daptomycin is obtained by contacting the filtered daptomycin material with a <u>hydrophobic</u> <u>interaction chromatography (HIC)</u> resin and eluted with <del>an organic</del> <u>a</u> solvent at a pH of about 6.0-7.5.

178. (Currently Amended) A purified daptomycin composition of greater than <u>or</u> about 93% purity relative to impurities 1-14 defined by peaks 1-14 shown in FIG. 12, wherein the %

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<u>percent</u> purity is measured by HPLC analysis according to the resolution method in Table 2, and the purified daptomycin composition is obtained from a lipopeptide aggregate comprising daptomycin.

179. (Currently Amended) A purified daptomycin composition of greater than <u>or</u> about 93% purity relative to impurities 1-14 defined by peaks 1-14 shown in FIG. 12, wherein the <del>%</del> <u>percent</u> purity is measured by HPLC analysis <del>according to the resolution method in Table 2</del>, and

the purified daptomycin composition is obtained by a process comprising the steps of:

(a) fermenting a culture of *S. <u>Streptomyces</u> roseosporus* to produce daptomycin;

(b) contacting the daptomycin from step (a) with an anion exchange resin;

(c) eluting the daptomycin from the anion exchange resin in step (b) with a solvent having a pH of about 6.0-6.5 to obtain a daptomycin solution;

(d) adjusting the pH of the daptomycin solution from step (c) to about 3.0 to 4.8 and a temperature of the solution from step (c) to about 2-15 degrees C to obtain a daptomycin aggregate solution comprising [[a]] daptomycin aggregates; and

(e) filtering the daptomycin aggregate solution to separate daptomycin aggregates from the daptomycin aggregate solution; and

(f) obtaining the purified daptomycin from the daptomycin aggregates.

184. (Currently Amended) The composition of claim 183, wherein the step of converting the daptomycin micelles collected in step (ii) are converted to the non-micellar daptomycin in step (b).

189. (Currently Amended) The composition of claim <del>185</del> <u>183</u>, wherein the <del>aggregate</del> <del>comprises</del> daptomycin micelles <u>are</u> formed by a process comprising one or more steps selected from the group consisting of: adjusting the pH of a daptomycin preparation to a

pH of about 2.5 to 5.0, combining daptomycin with 300 to 500 mM NaC1 in an aqueous solution; and providing a daptomycin preparation at a temperature of 2-15 degrees C.

191. (Currently Amended) The composition of claim 178, wherein the daptomycin composition is at least <u>or</u> about 95% pure.

192. (Currently Amended) The composition of claim 178, wherein the daptomycin composition is at least <u>or</u> about 97% pure.

193. (Currently Amended) The composition of claim 178, wherein the daptomycin composition is at least <u>or</u> about 98% pure.

194. (Currently Amended) The composition of claim 178, wherein the daptomycin composition is at least about 99% 93% pure.

196. (Currently Amended) The composition of claim 2, wherein the daptomycin has greater than about 93% 98% purity measured by HPLC analysis according to the resolution method in Table 2, and the purified daptomycin composition is obtained from a lipopeptide aggregate comprising daptomycin.

197. (Currently Amended) The composition of claim 3, wherein the daptomycin has greater than <u>or</u> about 93% purity measured by HPLC analysis according to the resolution method in Table 2, and the purified daptomycin composition is obtained from a lipopeptide aggregate comprising daptomycin.

198. (Currently Amended) The composition of claim 4, wherein the daptomycin has greater than <u>or</u> about 93% purity measured by HPLC analysis according to the resolution method in Table 2, and the purified daptomycin composition is obtained from a lipopeptide aggregate comprising daptomycin.

199. (Currently Amended) The composition of claim  $6 \underline{62}$ , wherein the daptomycin has

greater than about 93% purity measured by HPLC analysis according to the resolution method in

Table 2, and the purified daptomycin composition is obtained from a lipopeptide <u>the daptomycin</u> aggregate comprising daptomycin.

200. (Currently Amended) The composition of claim 115, wherein the daptomycin has greater than <u>or</u> about 93% purity measured by HPLC analysis according to the resolution method in Table 2, and the purified daptomycin composition is obtained from a lipopeptide aggregate comprising daptomycin.

The following is an Examiner's Statement of Reasons for Allowance: The following reference is the closest art to the claimed invention. Baker et al. (US RE39,071 E, reissue of U.S. Patent 5,912,226) teach an antibacterial composition comprising daptomycin (LY146032) obtained in substantially pure form, which refers to daptomycin that contains less than 2.5% of a combined total of anhydro-daptomycin and beta-isomer of daptomycin (column 8, lines 50-60; Examples 4 and 5), where daptomycin is purified by a procedure using Diaion HP-20 resin column, followed by HPLC and another HP-20 resin column (Examples 1-5). Baker et al. also teach the preparation of a pharmaceutical formulation comprising the purified daptomycin (LY146032) with pharmaceutical carriers or excipients (column 9, lines 47-59), and an antibiotic composition comprised of a combination of a compound of formula 1 (i.e., anhydro-A21978C; column 1, lines 14-21), a compound of formula 2 (isomer of A21978C) and a compound of formula 3 (the parent cyclic peptide of A21978C; LY146032) or pharmaceutically acceptable salts. However, Baker et al. do not disclose a composition comprising purified daptomycin selected from the group consisting of: (a) essentially pure daptomycin, (b) daptomycin that is substantially free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin, (c) daptomycin that is essentially free of anhydro-daptomycin and substantially free of β-isomer of daptomycin, (d) daptomycin that is free of anhydro-daptomycin and substantially free of  $\beta$ isomer of daptomycin, (e) daptomycin that is substantially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12, and (f) daptomycin that is essentially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12. Therefore, the claims are allowable over the art of record.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Chih-Min Kam whose telephone number is (571) 272-0948. The examiner can normally be reached on 8.00-4:30, Mon-Fri.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Manjunath Rao can be reached at 571-272-0939. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

/Chih-Min Kam/ Primary Examiner, Art Unit 1656

CMK August 16, 2011



Class

514

530

Application No.

11/739,180 Examiner Applicant(s)

KELLEHER ET AL.

CHIH-MIN KAM

Art Unit

1656

SEARCHED				
Subclass	Date	Examiner		
9, 11, 2, 14	7/25/2011	СМК		
317, 322	7/25/2011	СМК		
344	7/25/2011	СМК		
886	7/25/2011	СМК		

530	344	7/25/2011	СМК
435	886	7/25/2011	СМК

INTERFERENCE SEARCHED				
Class Subclass		Date	Examiner	
514 9,11,2,14		7/25/2011	СМК	
530 317,322		7/25/2011	СМК	
530 344		7/25/2011	СМК	
435/	/866	7/25/2011	СМК	

SEARCH NOTES (INCLUDING SEARCH STRATEGY)				
	DATE	EXMR		
EAST Search on USPAT, USPGPUB, DERWENT, EPO, JPO; STN search on MEDLINE, BIOSIS, EMBASE, SCISEARCH, AGRICOLA.	2/13/2008	СМК		
Search strategy enclosed, Inventor name search, Parent applications 60/177,170 and 09/735,191, 10/747,48 have been reviewed.	2/13/2008	СМК		
Update the search	10/28/2008	СМК		
Update the search	8/5/2009	СМК		
Update the search	2/3/2010	СМК		
Update the search	11/11/2010	СМК		
Update the search	7/25/2011	СМК		

U.S. Patent and Trademark Office

(FILE 'HOME' ENTERED AT 18:19:13 ON 25 JUL 2011)

FILE 'MEDLINE, CAPLUS, BIOSIS, EMBASE, SCISEARCH, AGRICOLA' ENTERED AT

18:19:33 ON 25 JUL 2011

- L1 8447 S DAPTOMYCIN
- L2 2964 S SUBSTANTIALLY PURE
- L3 2390 S ESSENTIALLY PURE
- L4 0 S L1 (P) (L2 OR L3)
- L5 2 S L1 (P) IMPURITIES
- L6 2 DUPLICATE REMOVE L5 (0 DUPLICATES REMOVED)
- L7 5 S ANHYDRO-DAPTOMYCIN OR BETA-DAPTOMYCIN
- L8 5 DUPLICATE REMOVE L7 (0 DUPLICATES REMOVED)
- L9 5 S L8 NOT L6
- L10 119012 S ANION EXCHANGE
- L11 11444 S HYDROPHOBIC INTERACTION CHROMATOGRAPHY
- L12 2 S L1 (P) L10 (P) L11
- L13 1 S L12 NOT (L6 OR L9)
- L14 415 S (LY 146032) OR A-21978C OR A54145 OR A-21978
- L15 1 S L14 (P) (L2 OR L3)
- L16 1 S L15 NOT (L6 OR L9 OR L13)
- L17 223 S KELLEHER T?/AU
- L18 12875 S LAI J?/AU
- L19 13 S DECOURCEY J?/AU

- L20 4027 S LYNCH P?/AU
- L21 88 S ZENONI M?/AU
- L22 144 S TAGLIANI A?/AU
- L23 17357 S L17 OR L18 OR L19 OR L20 OR L21 OR L22
- L24 20 S L23 AND L1
- L25 8 DUPLICATE REMOVE L24 (12 DUPLICATES REMOVED)
- L26 7 S L25 NOT (L5 OR L9 OR L16 )

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## **EAST Search History**

## EAST Search History (Prior Art)

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L1	1176	daptomycin	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2011/08/17 07:27
L2	61083	substantially adj pure	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2011/08/17 07:27
L3	15607	essentially adj pure	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2011/08/17 07:27
L4	9	L1 same (L2 or L3)	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2011/08/17 07:27
L5	15	impurities same L1	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2011/08/17 07:27
L6	14	anhydro-daptomycin or beta- daptomycin	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2011/08/17 07:28
L7	56873	anion adj exchange	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2011/08/17 07:28
L8	14726	hydrophobic adj interaction adj chromatography	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2011/08/17 07:28
L9	7	L1 same L7 same L8	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2011/08/17 07:28
L10	108	(Ly adj "146032") or A- 21978C or A54145 or A- 21978	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2011/08/17 07:28
L11	2	L10 same (L2 or L3)	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2011/08/17 07:28
L12	20	kelleher adj thomas.in.	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2011/08/17 07:29
L13	11	lai adj jan-ji.in.	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2011/08/17 07:29
L14	3	decourcey adj joseph.in.	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2011/08/17 07:29
L15	30	lynch adj paul.in.	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2011/08/17 07:29
L16	78	zenoni adj maurizio.in.	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2011/08/17 07:29
L17	7	tagliani adj auro.in.	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2011/08/17 07:29
L18	132	L12 or L13 or L14 or L15 or L16 or L17	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2011/08/17 07:29
L19	9	L18 and L1	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2011/08/17 07:29

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Final

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Examiner

CHIH-MIN KAM

Applicant(s)/Patent under Reexamination KELLEHER ET AL

Art Unit

U.S. Patent and Trademark Office

Part of Paper No. 20110808

SPE RESPONSE FOR CERTIFICATE OF CORRECTION

DATE : 08/11/11

## TO SPE OF : ART UNIT: 3769 Attn: YAO SAMCHUAN (SAM) C (SPE)

SUBJECT : Request for Certificate of Correction for Appl. No.: <u>11/583434</u> Patent No.: <u>7967016</u>

CofC mailroom date: 08/01/11

Please respond to this request for a certificate of correction within 7 days.

## FOR IFW FILES:

Please review the requested changes/corrections as shown in the **COCIN** document(s) in the IFW application image. No new matter should be introduced, nor should the scope or meaning of the claims be changed.

Please complete the response (see below) and forward the completed response to scanning using document code **COCX**.

## FOR PAPER FILES:

Please review the requested changes/corrections as shown in the attached certificate of correction. Please complete this form (see below) and forward it with the file to:

Certificates of Correction Branch (CofC) Randolph Square – 9D10-A Palm Location 7580

Note: <u>Please check Related U.S. Application Data</u> <u>& Cross-Reference to Related Application</u> Tasneem Siddiqui Certificates of Correction Branch

703-756-1814 & 703-756-1593

## **Thank You For Your Assistance**

The request for issuing the above-identified correction(s) is hereby: Note your decision on the appropriate box.

□ Approved

All changes apply.

Approved in Part Specify below which changes do not apply.

Denied

PTOL-306 (REV.0/08) ec from 11583434 on 01,

State the reasons for denial below.

DEPARTMENT OF COMMERCE Patent and Trademark Office

Comments: \_\_\_\_

SPE

Art Unit



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.usplo.gov



JUN 14 2011

## OFFICE OF PETITIONS

Intellectual Property Department Cubist Pharmaceuticals, Inc. 65 Hayden Avenue Lexington MA 02421

In ro Application of

•
:
: DECISION ON PETITIONS
: UNDER 37 CFR 1.78(a)(3) AND (a)(6)
:

This is a decision on the petitions under 37 CFR §§ 1.78(a)(3) and 1.78(a)(6), filed May 27, 2011, to accept an unintentionally delayed claim under 35 U.S.C. §§120 and 119(e) for the benefit of the prior-filed applications as set forth in the currently filed Application Data Sheet.

The petitions are **GRANTED**.

A petition for acceptance of a claim for late priority under 37 CFR §§ 1.78(a)(3) and 1.78(a)(6) is only applicable to those applications filed on or after November 29, 2000. Further, the petition is appropriate only after the expiration of the period specified in 37 CFR §§ 1.78(a)(2)(ii) and 1.78(a)(5)(ii). In addition, the petition under 37 CFR §§ 1.78(a)(3) and 1.78(a)(6) must be accompanied by:

- the reference required by 35 U.S.C. §§ 120 and 119(e) and 37 CFR §§ 1.78(a)(2)(i) and 1.78(a)(5)(i) of the prior-filed application, unless previously submitted;
- (2) the surcharge set forth in  $\S 1.17(t)$ ; and
- (3) a statement that the entire delay between the date the claim was due under 37 CFR §§ 1.78(a)(2)(ii) and 1.78(a)(5)(ii) and the date the claim was filed was unintentional. The Director may require additional information where there is a question whether the delay was unintentional.

Additionally, the instant nonprovisional application must be pending at the time of filing of the reference to the prior-filed provisional application as required by 37 CFR 1.78(a)(5)(ii). Further, the nonprovisional application claiming the benefit of the prior-filed provisional application must have been filed within twelve months of the filing date of the prior-filed provisional application.

All the above requirements having been satisfied, the late claim for benefit of priority under 35 U.S.C. §§ 120 and 119(e) is accepted as being unintentionally delayed.

The granting of the petition to accept the delayed benefit claim to the prior-filed applications under 37 CFR §§ 1.78(a)(3) and 1.78(a)(6) should not be construed as meaning that this application is entitled to the benefit of the filing date of the prior-filed applications. In order for this application to be entitled to the benefit of the prior-filed applications, all other requirements under 35 U.S.C. §§120 and 1.78(a)(1) and (a)(2) and under 35 U.S.C. §119(e) and 37 CFR 1.78(a)(4) and (a)(5) must be met. Similarly, the fact that the corrected Filing Receipt accompanying this decision on petition includes the prior-filed applications should not be construed as meaning that applicant is entitled to the claim for benefit of priority to the prior-filed applications noted thereon. Accordingly, the examiner will, in due course, consider this benefit claim and determine whether the application is entitled to the benefit of the earlier filing date.

A corrected Filing Receipt, which includes the priority claim to the prior-filed applications, accompanies this decision on petition.

Any questions concerning this matter may be directed to Jose' G Dees at (571) 272-1569. All other inquiries concerning either the examination procedures or status of the application should be directed to the Technology Center.

This application is being forwarded to Technology Center Art Unit 1656 for consideration by the examiner of the claim under 35 U.S.C. § §120 and 119(e) of the prior-filed nonprovisional and provisional applications.

David

Petitions Examiner Office of Petitions

**ATTACHMENT** : Corrected Filing Receipt

UNITED STATES PATENT AND TRADEMAR				RK OFFICE United States Department of Commerce United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS PO. Box 1430 Alexandria, Virginia 22313-1450 www.urpio.gov		
APPLICATION NUMBER	FILING or 371(c) DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	TOT CLAIMS IND CLAIMS	
11/739,180	04/24/2007	1656	8291	C062-02/03 US	53 1	
				С	ONFIRMATION NO. 8837	
34103				CORRECT	ED FILING RECEIPT	
Intellectual Pro	operty Departm	ent				
Cubist Pharmaceuticals, Inc.						
65 Hayden Av	enue				C000000048168136*	
Lexington, MA	02421					

Date Mailed: 06/13/2011

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

#### Applicant(s)

Thomas Kelleher, Weston, MA; Jan-Ji Lai, Westborough, MA; Joseph P. DeCourcey, Charlestown, MA; Paul Lynch, Arlington, MA; Maurizio Zenoni, Milan, ITALY; Auro Tagliani, Pavia, ITALY;

#### Power of Attorney: None

#### Domestic Priority data as claimed by applicant

This application is a CON of 10/747,485 12/29/2003 ABN which is a DIV of 09/735,191 11/28/2000 PAT 6,696,412 which claims benefit of 60/177,170 01/20/2000

Foreign Applications (You may be eligible to benefit from the Patent Prosecution Highway program at the USPTO. Please see <u>http://www.uspto.gov</u> for more information.)

#### If Required, Foreign Filing License Granted: 05/08/2007

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 11/739,180** 

Projected Publication Date: Not Applicable

Non-Publication Request: No

Early Publication Request: No \*\* SMALL ENTITY \*\*

page 1 of 3

#### Title

High Purity Lipopeptides

#### **Preliminary Class**

514

### **PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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#### Title 35, United States Code, Section 184

### Title 37, Code of Federal Regulations, 5.11 & 5.15

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page 2 of 3

set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Thomas Kelleher et al.

Appln. No.: 11/739,180

Filed: April 24, 2007

For: HIGH PURITY LIPOPEPTIDES

Attorney Docket No: C062-02/03 US

Examiner: Chih Min Kam Art Unit: 1656 Conf. No.: 8837

## PETITION UNDER 37 CFR § 1.78(a)(3),(6) TO ACCEPT AN UNINTENTIALLY DELAYED PRIORITY CLAIM

Mail Stop Petitions Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Applicants hereby petition under 37 CFR § 1.78(a)(3),(6) for acceptance and recognition of the following priority claim:

"The present application <u>is a continuation of</u> <del>claims priority to</del> United States Patent Application No. 10/747,485, <u>filed December 29, 2003 and now abandoned</u>, which <u>is a divisional of claims priority to</u> United States Patent No. 09/735,191 filed <u>November 28, 2000 (now U.S. Patent No. 6,696,412)</u>January 20, 2001, which claims the benefit of United States Provisional application No. 60/177,170, filed January 20, 2000, all of which are incorporated by reference herein in their entireties."

In the Reply submitted herewith, Applicants amend the specification to contain the foregoing priority claim in the first sentence following the title.

The entire delay between the date the foregoing priority claim was due under 37 CFR § 1.78(a)(2)(ii),(5)(ii) and the present was unintentional. At the time of filing, Applicants intended to claim priority in accordance with the foregoing priority claim, as evidenced by the cross-reference contained in the first sentence of the specification as filed. Applicants now recognize that the original cross-reference did not indicate the relationships between the present application, United States Patent Application No. 10/747,485, and United States Patent Application No. 09/735,191, as required by 37

App. No.11/739,180 Atty Docket No. C062-02/03 US

CFR § 1.78(a)(2)(i). Accordingly, Applicants now provide the foregoing cross-reference, which specifies that the present application is a continuation of United States Patent Application No. 10/747,485, which is a divisional of United States Patent Application No. 09/735,191.

Applicants request that the surcharge set forth in 37 CFR § 1.17(t) be charged to the Deposit Account No. 23-1925.

Applicants respectfully request that this petition be granted and that the foregoing priority claim be accepted and recognized in this application.

Respectfully submitted,

Date: <u>May 27, 2011</u> Cubist Pharmaceuticals, Inc. 65 Hayden Avenue Lexington, Massachusetts 02421 Tel.: (781) 860-8660 Fax: (781) 860-1407 /Nicholas M. Boivin/ Nicholas M. Boivin, Reg. No. 45,650 Attorney for Applicant

# UNITED STATES PATENT & TRADEMARK OFFICE Washington, D.C. 20231

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FORM PTO 1577 (01/90) Office of Finance Refund Branch Crystal Park One, Room 802B

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# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Thomas Kelleher et al.

Appln. No.: 11/739,180

Filed: April 24, 2007

For: HIGH PURITY LIPOPEPTIDES

Attorney Docket No: C062-02/03 US

Examiner: Chih Min Kam Art Unit: 1656 Conf. No.: 8837

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Dear Sir:

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In the Reply submitted herewith, Applicants amend the specification to contain the foregoing priority claim in the first sentence following the title.

The entire delay between the date the foregoing priority claim was due under 37 CFR § 1.78(a)(2)(ii),(5)(ii) and the present was unintentional. At the time of filing, Applicants intended to claim priority in accordance with the foregoing priority claim, as evidenced by the cross-reference contained in the first sentence of the specification as filed. Applicants now recognize that the original cross-reference did not indicate the relationships between the present application, United States Patent Application No. 10/747,485, and United States Patent Application No. 09/735,191, as required by 37

App. No.11/739,180 Atty Docket No. C062-02/03 US

CFR § 1.78(a)(2)(i). Accordingly, Applicants now provide the foregoing cross-reference, which specifies that the present application is a continuation of United States Patent Application No. 10/747,485, which is a divisional of United States Patent Application No. 09/735,191.

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Respectfully submitted,

Date: <u>May 27, 2011</u> Cubist Pharmaceuticals, Inc. 65 Hayden Avenue Lexington, Massachusetts 02421 Tel.: (781) 860-8660 Fax: (781) 860-1407 <u>/Nicholas M. Boivin/</u> Nicholas M. Boivin, Reg. No. 45,650 Attorney for Applicant

Electronic Patent Application Fee Transmittal						
Application Number:	11739180					
Filing Date:	24	-Apr-2007				
Title of Invention:	High Purity Lipopeptides					
First Named Inventor/Applicant Name:	Th	omas Kelleher				
Filer:	Nic	holas M.C. Boivin				
Attorney Docket Number:	C0	62-02/03 US				
Filed as Large Entity						
Utility under 35 USC 111(a) Filing Fees						
Description	Description Fee Code Quantity Amount USD(\$)					
Basic Filing:						
Pages:						
Claims:						
Claims in excess of 20 1202 40 52 2080				2080		
Independent claims in excess of 3 1201 3 220 66			660			
Miscellaneous-Filing:						
Petition:						
Priority accept. unintent. delayed claim		1454	1	1410	1410	
Patent-Appeals-and-Interference:						

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)	
Post-Allowance-and-Post-Issuance:					
Extension-of-Time:					
Extension - 3 months with \$0 paid	1253	1	1110	1110	
Miscellaneous:					
	Total in USD (\$)			5260	

Electronic A	Electronic Acknowledgement Receipt				
EFS ID:	10183660				
Application Number:	11739180				
International Application Number:					
Confirmation Number:	8837				
Title of Invention:	High Purity Lipopeptides				
First Named Inventor/Applicant Name:	Thomas Kelleher				
Customer Number:	34103				
Filer:	Nicholas M.C. Boivin				
Filer Authorized By:					
Attorney Docket Number:	C062-02/03 US				
Receipt Date:	27-MAY-2011				
Filing Date:	24-APR-2007				
Time Stamp:	15:09:48				
Application Type:	Utility under 35 USC 111(a)				

# Payment information:

Document Number	<b>Document Description</b>	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)	
File Listing:						
Authorized Us	er					
Deposit Accou	unt	501986				
RAM confirmation Number		1701				
Payment was successfully received in RAM		\$5260	\$5260			
Payment Type		Deposit Account	Deposit Account			
Submitted with Payment		yes	yes			

1	Extension of Time	C062-02-03_US_20110527_Peti	331527	no	2	
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3	Petition for review by the Office of Petitions.	C062-02-03_US_20110527_Peti tion_To_Accept_Unintentional	18265	no	2	
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4	Fee Worksheet (PTO-875)	fee-info.pdf	36977	no	2	
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characterize Post Card, as <u>New Applica</u> If a new app 1.53(b)-(d) a Acknowledg <u>National Sta</u> If a timely su	vledgement Receipt evidences receip ed by the applicant, and including pages described in MPEP 503. Itions Under 35 U.S.C. 111 lication is being filed and the applica nd MPEP 506), a Filing Receipt (37 CF gement Receipt will establish the filin uge of an International Application un ubmission to enter the national stage	ge counts, where applicable. tion includes the necessary o R 1.54) will be issued in due g date of the application. Ider 35 U.S.C. 371 of an international applicati	It serves as evidence components for a filir course and the date s	e of receipt s ng date (see shown on th the conditio	similar to a 37 CFR iis ons of 35	
U.S.C. 371 ar	nd other applicable requirements a F ge submission under 35 U.S.C. 371 wi	orm PCT/DO/EO/903 indicati	ing acceptance of the	application		
lf a new inte	tional Application Filed with the USP rnational application is being filed ar				onents foi	
and of the In	onal filing date (see PCT Article 11 an Iternational Filing Date (Form PCT/RC urity, and the date shown on this Ack ion.	D/105) will be issued in due c	ourse, subject to pre	scriptions c	Number oncerning	

PTO/SB/22 (07-09) Approved for use through 07/31/2012. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARMENT OF COMMERCE a collection of information unless it displays a valid OMB control number. Index the paperwork Reduction Act of 1995, no persons are required to respond

PETITION	FOR EXTENSION OF TIME UNDER	37 CFR 1,136(a)	Docket Number (Option	nal)	
	FY 2009		C062-02/03 US		
	pursuant to the Consolidated Appropriations Act	, 2005 (H.R. 4818).)		7	
	Number 11/739,180		Filed April 24, 200	/	
For HIGH	H PURITY LIPOPEPTIDES				
Art Unit 16	56		Examiner Chih-Min	Kam	
This is a req application.	juest under the provisions of 37 CFR 1.13	36(a) to extend the p	eriod for filing a reply in th	ne above identified	
The request	ed extension and fee are as follows (che	ck time period desire		te fee below):	
		<u>Fee</u>	Small Entity Fee	•	
	One month (37 CFR 1.17(a)(1))	\$130	\$65	\$	
	Two months (37 CFR 1.17(a)(2))	\$490	\$245	\$	
<b>~</b>	Three months (37 CFR 1.17(a)(3))	\$1110	\$555	\$ <u>555.00</u>	
	Four months (37 CFR 1.17(a)(4))	\$1730	\$865	\$	
	Five months (37 CFR 1.17(a)(5))	\$2350	\$1175	\$	
Applica	nt claims small entity status. See 37 CFR	1.27.			
A chec	k in the amount of the fee is enclosed	d.			
Payme	ent by credit card. Form PTO-2038 is	attached.			
🖌 The Di	rector has already been authorized to	o charge fees in thi	s application to a Depo	sit Account.	
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	NG: Information on this form may become p credit card information and authorization c		ormation should not be inc	luded on this form.	
I am the	applicant/inventor.				
	assignee of record of the enti Statement under 37 CFR 3				
	attorney or agent of record. R	egistration Numbe	r		
	Attorney or agent under 37 CFR 1.34. Registration number if acting under 37 CFR 1.34 45,650				
/Nichol	as M. Boivin/		May 27, 2011		
	Signature			Date	
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	res of all the inventors or assignees of record of the e uired, see below.	entire interest or their repre	sentative(s) are required. Submi	t multiple forms if more than one	
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USPTO to proces	information is required by 37 CFR 1.136(a). The info ss) an application. Confidentiality is governed by 35 l ng gathering, preparing, and submitting the complete	J.S.C. 122 and 37 CFR 1.	11 and 1.14. This collection is es	stimated to take 6 minutes to	

comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

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# **Privacy Act Statement**

**The Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 11/739,180

Confirmation No. 8837

Applicant:Thomas KelleherFiled:April 24, 2007TC/A.U.:1656Examiner:Chih-Min KamDocket No.:C062-02/03 US

Customer No. : 34103

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### **RESPONSE AND AMENDMENT**

This Amendment is responsive to the Office Action mailed November 30, 2010 (hereafter "the Office Action") in the above-identified application. A Petition for a Three (3) Month Extension of Time is enclosed. In the absence of such a petition, Applicant requests that this paper be considered as a Petition for a Three (3) Month Extension of Time. Please deduct the petition fee and apply any other charges or credits required for entry of this paper to Deposit Account No. 50-1986, referencing attorney docket number C062-02/03 US.

Kindly amend the application as follows:

Page 1 of 35

# AMENDMENT TO THE SPECIFICATION

Please replace the paragraph captioned "<u>CROSS-REFERENCE TO RELATED</u> <u>APPLICATIONS</u>" at page 1, lines 5-9 in its entirety with the following amended paragraph:

-- The present application is a continuation of United States Patent Application No. 10/747,485, filed December 29, 2003 and now abandoned, which is a divisional of United Stated Patent Application No. 09/735,191, filed November 28, 2000 (now U.S. Patent No. 6,696,412), which claims the benefit of United States Provisional application No. 60/177,170, filed January 20, 2000, all of which are incorporated by reference herein in their entireties. --

Differences between the original text at page 1, lines 5-9 differs and the amended paragraph above in the manner indicated below.

The present application <u>is a continuation of claims priority to</u> United States Patent Application No. 10/747,485, filed December 29, 2003 and now abandoned, which <u>is a</u> <u>divisional of claims priority to</u> United States Patent No. 09/735,191 filed <u>November 28,</u> <u>2000 (now U.S. Patent No. 6,696,412)</u>January 20, 2001, which claims the benefit of United States Provisional application No. 60/177,170, filed January 20, 2000, all of which are incorporated by reference herein in their entireties.

Page 2 of 35

# **AMENDMENT TO THE CLAIMS**

Please amend the claims as indicated below. This listing of the claims will replace all previous claim listings.

1. (Cancelled).

2. (Currently Amended) A composition comprising essentially pure daptomycin <u>purified by a process comprising the steps of</u>

(a) subjecting daptomycin to conditions forming a daptomycin aggregate and
 (b) obtaining at least a portion of the essentially pure daptomycin from the
 daptomycin aggregate.

3. (Currently Amended) A composition comprising daptomycin that is substantially free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin, <u>the daptomycin being purified by a process comprising the steps of</u>

(a) subjecting daptomycin to conditions forming a daptomycin aggregate and

(b) obtaining at least a portion of the daptomycin that is substantially free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin from the daptomycin aggregate.

4. (Currently Amended) The composition according to claim 3 that is essentially free of anhydro-daptomycin, wherein the step of obtaining the daptomycin that is essentially free of anhydro-daptomycin from the daptomycin aggregate further comprises the steps of:

(c) subjecting the daptomycin aggregate to conditions to form monomeric daptomycin and

(d) obtaining at least a portion of the daptomycin that is essentially free of anhydro-daptomycin from the monomeric daptomycin.

5. (Original) The composition according to claim 3 that is free of anhydro-daptomycin.

6. (Currently Amended) A composition comprising <u>purified</u> daptomycin that is substantially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12, the purified daptomycin being obtained by a process comprising the steps of

(a) subjecting daptomycin to conditions forming a daptomycin aggregate;
(b) subjecting the daptomycin aggregate to conditions forming monomeric daptomycin; and
(c) obtaining at least a portion of the daptomycin from the monomeric

daptomycin, the daptomycin aggregate or a combination thereof.

7. (Currently Amended) The composition according to claim 6, wherein the purified daptomycin that is essentially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12.

8. (Previously Presented) The composition of claim 62, wherein daptomycin purity is measured by HPLC.

9. (Previously Presented) The composition of claim 62 further comprising a pharmaceutically acceptable carrier or excipient.

10. (Currently Amended) <u>The</u>[[A]] pharmaceutical composition according to claim 9, further comprising one or more antibiotics, one or more antifungal agents, or both an antibiotic and an antifungal agent.

11. (Previously Presented) The composition according to claim 62 or 115 wherein the daptomycin is purified by a process comprising the steps of:

- a) supplying a fermentation broth;
- b) fermenting *Streptomyces roseosporus* with a feed of n-decanoic acid

to produce daptomycin in the fermentation broth;

c) clarifying the fermentation broth to obtain a clarified solution;

d) subjecting the clarified solution to anion exchange chromatography to obtain an enriched daptomycin preparation;

e) subjecting the enriched daptomycin preparation to hydrophobic interaction chromatography to obtain a semi-purified daptomycin preparation; and

f) subjecting the semi-purified daptomycin preparation to anion exchange chromatography to obtain the composition.

12. (Original) The composition according to claim 11, wherein the feed of n-decanoic acid is regulated to achieve a residual concentration of n-decanoic acid of no more than 50 parts per million (ppm) during fermentation.

13. (Original) The composition according to claim 11, wherein said clarifying comprises filtration or centrifugation and depth filtration.

14. (Original) The composition according to claim 11, wherein the anion exchange chromatography in d) is performed using a resin comprising a copolymer of 2-methacrylic acid and ethyleneglycol dimethacrylate (EDGM).

15. (Original) The composition according to claim 11, wherein the hydrophobic interaction chromatography is performed using a resin comprising a co-polymer of cross-linked divinylbenzene/stryene.

16. (Original) The composition according to claim 15, wherein the hydrophobic interaction chromatography is performed at neutral pH and a solvent concentration that is reduced compared to the solvent concentration used when performing the hydrophobic interaction chromatography at acidic pH.

17. (Original) The composition according to claim 16, wherein the resin is recycled by loading the column at an acidic pH and eluting the column at a neutral pH.

18. (Original) The composition according to claim 11, wherein the anion exchange chromatography in f) is performed using a resin comprising a copolymer of 2-methacrylic acid and ethyleneglycol dimethacrylate (EDGM).

19. (Original) The composition according to claim 11, wherein the anion exchange chromatography is used to reduce the level of solvent in the clarified solution.

20. (Original) The composition according to claim 11, wherein the anion exchange chromatography is performed via continuous flow chromatography.

21. (Original) The composition according to claim 11, wherein the process further comprises the step of filtering daptomycin.

22. (Original) The composition according to claim 11, wherein the process further comprises the step of depyrogenating daptomycin using ultrafiltration.

23. (Currently Amended) The composition according to claim 22, wherein said depyrogenating comprises the steps of:

i) providing a daptomycin solution under conditions in which the daptomycin is in a monomeric and nonmicellar state;

ii) filtering the daptomycin solution under conditions in which the daptomycin passes through the filter but pyrogens do not pass through the filter;

iii) subjecting the daptomycin solution to conditions forming a daptomycin aggregate;

iv) filtering the daptomycin aggregate under conditions in which the daptomycin aggregate is retained on the filter; and

v) collecting the daptomycin aggregate.

24. (Original) The composition according to claim 22, wherein the process further comprises the step of lyophilizing daptomycin.

25. (Original) The composition according to claim 22, wherein the anion exchange chromatography is performed via radial flow chromatography.

26. (Original) The composition according claim 11, wherein said clarifying comprises microfiltration or centrifugation.

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27. (Original) The composition according to claim 11, wherein the process further comprises the steps of filtering and concentrating daptomycin.

28. (Original) The composition according to claim 11, wherein the process further comprises the step of separating the enriched daptomycin from low molecular weight material by ultrafiltration.

29. (Original) The composition according to claim 28, wherein the process further comprises the step of depyrogenating the daptomycin.

30. (Canceled).

31. (Original) The pharmaceutical composition of claim 9 comprising essentially pure daptomycin.

32. (Original) The pharmaceutical composition of claim 9 comprising daptomycin that is substantially free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin.

33. (Original) The pharmaceutical composition of claim 9 comprising daptomycin that is essentially free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin.

34. (Original) The pharmaceutical composition of claim 9 comprising daptomycin that is free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin.

35. (Original) The pharmaceutical composition of claim 9 comprising daptomycin that is substantially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12.

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36. (Original) The pharmaceutical composition of claim 9 comprising daptomycin that is essentially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12.

37. (Canceled).

38. (Previously Presented) A method for preparing a pharmaceutical composition comprising combining the composition of claim 62 with a pharmaceutically acceptable carrier or excipient.

39. (Original) The method of claim 38 wherein the composition is essentially pure daptomycin.

40. (Original) The method of claim 38 wherein the composition is daptomycin that is substantially free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin.

41. (Original) The method of claim 38 wherein the composition is daptomycin that is essentially free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin.

42. (Original) The method of claim 38 wherein the composition is daptomycin that is free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin.

43. (Original) The method of claim 38 wherein the composition is daptomycin that is substantially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12.

44. (Original) The method of claim 38 wherein the composition is daptomycin that is essentially free of each of impurities 1 to 14 defined by peaks 1-14

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shown in FIG. 12.

45. (Canceled).46. (Canceled).

47. (Previously Presented) The pharmaceutical composition of claim 9 wherein the composition is essentially pure daptomycin.

48. (Previously Presented) The pharmaceutical composition of claim 9 wherein the composition is daptomycin that is substantially free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin.

49. (Previously Presented) The pharmaceutical composition of claim 9 wherein the composition is daptomycin that is essentially free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin.

50. (Previously Presented) The pharmaceutical composition of claim 9 wherein the composition is daptomycin that is free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin.

51. (Previously Presented) The pharmaceutical composition of claim 9 wherein the composition is daptomycin that is substantially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12.

52. (Previously Presented) The pharmaceutical composition of claim 9 wherein the composition is daptomycin that is essentially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12.

53. (Canceled).

54. (Currently Amended) A purified daptomycin The composition of claim

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1-comprising substantially essentially pure daptomycin purified by a process comprising the steps of

(a) forming micelles comprising daptomycin;

(b) converting the micelles to a non-micellar daptomycin composition comprising daptomycin in a non-micellar state; and

(c) obtaining at least a portion of the purified daptomycin from the micelles, the non-micellar daptomycin composition, or a combination thereof.

55. (Currently Amended) The pharmaceutical composition of claim [[9]]54 comprising substantially pure daptomycin of at least about 98% purity measured relative to daptomycin impurities 1-14 defined by peaks 1-14 shown in FIG. 12.

56. (Currently Amended) The method of claim [[38]]54 wherein the composition is substantially pure daptomycin of at least about 99% purity.

57. (Canceled).

58. (Currently Amended) A new composition comprising daptomycin of greater than about 93% purity, wherein the purity of the daptomycin is relative to daptomycin impurities that arise in fermentation or purification of daptomycin, and wherein the daptomycin impurities comprise impurities 1-14 defined by peaks 1-14 shown in FIG. 12, and the daptomycin is obtained by a process comprising the step of forming a micelle comprising daptomycin.

59. (Previously Presented) The composition of claim 58, wherein the daptomycin impurities arise in fermentation.

60. (Previously presented) The composition of claim 58, wherein the purity is at least 95%.

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61. (Previously Presented) The composition of claim 60, wherein the daptomycin impurities arise in fermentation.

62. (Currently Amended) <u>A purified daptomycin composition comprising</u> <u>daptomycin Daptomycin</u> of greater than about 93% purity relative to impurities 1-14 <u>defined by peaks 1-14 shown in FIG. 12, the daptomycin being obtained by a process</u> <u>comprising the step of forming an aggregate comprising daptomycin</u>.

63. (Previously presented) The daptomycin of claim 62, wherein the purity is at least 95%.

64. (Previously Presented) The composition of claim 58 wherein impurity1 is present in an amount no more than about 1%.

65. (Previously Presented) The composition of claim 58 wherein impurity 2 is present in an amount no more than about 0.5%.

66. (Previously Presented) The composition of claim 58 wherein impurity3 is present in an amount no more than about 1%.

67. (Previously Presented) The composition of claim 58 wherein impurity 4 is present in an amount no more than about 0.5%.

68. (Previously Presented) The composition of claim 58 wherein impurity5 is present in an amount no more than about 0.5%.

69. (Previously Presented) The composition of claim 58 wherein impurity6 is present in an amount no more than about 1%.

70. (Previously Presented) The composition of claim 58 wherein impurity

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7 is present in an amount no more than about 1%.

71. (Previously Presented) The composition of claim 58 wherein impurity9 is present in an amount no more than about 0.5%.

72. (Previously Presented) The composition of claim 58 wherein impurity 10 is present in an amount no more than about 0.5%.

73. (Previously Presented) The composition of claim 58 wherein impurity 11 is present in an amount no more than about 0.5%.

74. (Previously Presented) The composition of claim 58 wherein impurity 12 is present in an amount no more than about 0.5%.

75. (Previously Presented) The composition of claim 58 wherein impurity 14 is present in an amount no more than about 0.1%.

76. (Currently Amended) The composition of claim [[58]]62, wherein the daptomycin is obtained by a process comprising

a) subjecting a daptomycin solution to conditions forming a daptomycin aggregate;

b) separating the daptomycin aggregate from low molecular weight contaminants;

c) subjecting the daptomycin aggregate to conditions in which the daptomycin aggregate dissociate into daptomycin monomers.

77. (Previously Presented) The composition of claim 76, wherein the daptomycin aggregate of step b) is separated from the low molecular weight contaminants by a size selection technique.

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78. (Previously Presented) The composition of claim 77, wherein the size selection technique is ultrafiltration or size exclusion chromatography.

79. (Previously Presented) The composition of claim 78 further comprising separating the daptomycin monomers obtained from step c) from high molecular weight contaminants.

80. (Previously Presented) The composition of claim 79, wherein the daptomycin monomers of are separated from the high molecular weight contaminants by a size selection technique.

81. (Previously Presented) The composition of claim 80, wherein the size selection technique is ultrafiltration or size exclusion chromatography.

82. (Currently Amended) The composition of claim 58, wherein the daptomycin is obtained by a process comprising

a) separating daptomycin from high molecular weight contaminants;

b) subjecting the daptomycin of step a) to conditions forming [[a]]the micelle comprising daptomycin aggregate; and

c) separating the <u>micelle comprising</u> daptomycin <del>aggregate</del> from low molecular weight contaminants.

83. (Currently Amended) The composition of claim 82, wherein the <u>micelle comprising</u> daptomycin <del>aggregate</del> of step c) is separated from the low molecular weight contaminants by a size selection technique.

84. (Previously Presented) The composition of claim 83, wherein the size selection technique is ultrafiltration or size exclusion chromatography.

85. (Currently Amended) The composition of claim 84, further comprising

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subjecting the <u>micelle comprising</u> daptomycin <del>aggregate</del> of step c) to conditions in which the <u>micelle comprising</u> daptomycin <del>aggregate</del> dissociates into daptomycin monomers.

86. (Previously Presented)The composition of claim 76, wherein the aggregate is a micelle.

87. (Currently Amended)The composition of claim 82, wherein the aggregate is a <u>daptomycin</u> micelle.

88. (Currently Amended) The composition of claim 58, wherein the daptomycin is obtained by a process comprising

a) subjecting a daptomycin solution to conditions forming a daptomycin <u>micelleaggregate</u>;

b) filtering the daptomycin <u>micelleaggregate</u> under conditions in which the daptomycin <u>micelleaggregate</u> is retained on the filter; and

c) collecting the daptomycin <u>micelleaggregate</u>.

89. (Currently Amended) The composition of claim 82, wherein the aggregate is a micelle consisting of daptomycin.

90. (Currently Amended) The composition of claim 58, wherein the daptomycin is obtained by subjecting a daptomycin solution to conditions effective to forming form daptomycin micelles.

91. (Currently Amended) The composition of claim 58, wherein the <u>micelle comprising</u> daptomycin is obtained by adjusting any one or more of temperature, salt concentration, daptomycin concentration, and pH of the solution to cause a concentration of the daptomycin in the solution to be above a critical micelle concentration.

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92. (Currently Amended) The composition of claim 58, wherein the <u>micelle comprising</u> daptomycin is obtained by subjecting a daptomycin solution to a pH of 2.5 to 5.0.

93. (Previously Presented) The composition of claim 58, wherein the daptomycin is obtained by a process comprising:

a) adjusting the pH of a daptomycin preparation to a pH of 2.5 to 5.0;

b) filtering the daptomycin preparation of step a) on an ultrafiltration

membrane;

c) adjusting the pH of the daptomycin preparation of step b) to a pH of 6.5.

94. (Currently Amended) The composition of claim 93, wherein the <u>micelle comprising</u> daptomycin preparation of step a) is at a pH of 2.5 to 4.7, and the preparation further comprises 300 to 500 mM NaCl and is at a temperature of 2-15 degrees C.

95. (Currently Amended) <u>The[[A]]</u> composition of claim 62, wherein the daptomycin is obtained by a process <u>further</u> comprising

a) subjecting a daptomycin solution to conditions forming [[a]]the daptomycin aggregate;

b) separating the daptomycin aggregate from low molecular weight contaminants;

c) subjecting the daptomycin aggregate to conditions in which the daptomycin aggregate dissociate into daptomycin monomers.

96. (Previously Presented) The composition of claim 95, wherein the daptomycin aggregate of step b) is separated from the low molecular weight contaminants by a size selection technique.

97. (Previously Presented) The composition of claim 96, wherein the size

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selection technique is ultrafiltration or size exclusion chromatography.

98. (Previously Presented) The composition of claim 97 further comprising separating the daptomycin monomers obtained from step c) from high molecular weight contaminants.

99. (Previously Presented) The composition of claim 98, wherein the daptomycin monomers are separated from the high molecular weight contaminants by a size selection technique.

100. (Previously Presented) The composition of claim 99, wherein the size selection technique is ultrafiltration or size exclusion chromatography.

101. (Previously Presented) The composition of claim 62, wherein the daptomycin is obtained by a process comprising

a) separating daptomycin from high molecular weight contaminants.

b) subjecting the daptomycin of step a) to conditions forming a daptomycin aggregate; and

c) separating the daptomycin aggregate from low molecular weight contaminants.

102. (Previously Presented) The composition of claim 101, wherein the daptomycin aggregate of step c) is separated from the low molecular weight contaminants by a size selection technique.

103. (Previously Presented) The composition of claim 102, wherein the size selection technique is ultrafiltration or size exclusion chromatography.

104. (Previously Presented) The composition of claim 103, further comprising subjecting the daptomycin aggregate of step c) to conditions in which the

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daptomycin aggregate dissociates into daptomycin monomers.

105. (Previously Presented) The composition of claim 95, wherein the aggregate is a micelle.

106. (Previously Presented) The composition of claim 101, wherein the aggregate is a micelle.

107. (Previously Presented) The composition of claim 62, wherein the daptomycin is obtained by a process comprising

a) subjecting a daptomycin solution to conditions forming a daptomycin aggregate;

b) filtering the daptomycin aggregate under conditions in which the daptomycin aggregate is retained on the filter; and

c) collecting the daptomycin aggregate.

108. (Currently Amended) The composition of claim [[101]]107, wherein the <u>daptomycin</u> aggregate is a micelle <u>comprising daptomycin</u>.

109. (Currently Amended) The composition of claim 62, wherein the daptomycin is obtained by subjecting a daptomycin solution to conditions effective to <u>formforming the aggregate comprising daptomycin micelles</u>.

110. (Previously Presented) The composition of claim 62, wherein the daptomycin is obtained by adjusting any one or more of temperature, salt concentration, daptomycin concentration, and pH of the solution to cause a concentration of the daptomycin in the solution to be above a critical micelle concentration.

111. (Previously Presented) The composition of claim 62, wherein the daptomycin is obtained by subjecting a daptomycin solution to a pH of 2.5 to 5.0.

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112. (Previously Presented) The composition of claim 62, wherein the daptomycin is obtained by a process comprising:

a) adjusting the pH of a daptomycin preparation to a pH of 2.5 to 5.0;

b) filtering the daptomycin preparation of step a) on an ultrafiltration membrane;

c) adjusting the pH of the daptomycin preparation of step b) to a pH of 6.5.

113. (Previously Presented) The composition of claim 112, wherein the daptomycin preparation of step a) is at a pH of 2.5 to 4.7, and the preparation further comprises 300 to 500 mM NaCl and is at a temperature of 2-15 degrees C.

114. (Previously Presented) The composition of claim 58 wherein the daptomycin is obtained by a process comprising:

a) subjecting a solution of daptomycin to anion exchange chromatography to obtain an enriched daptomycin preparation;

b) subjecting the enriched daptomycin preparation to hydrophobic interaction chromatography to obtain a semi-purified daptomycin preparation; and

c) subjecting the semi-purified daptomycin preparation to anion exchange chromatography.

115. (Currently Amended) A composition comprising <u>purified</u> <u>daptomycin obtained from a daptomycin aggregate, the purified daptomycin selected</u> <u>from the group consisting of:</u>

(a) essentially pure daptomycin,

(b) daptomycin that is substantially free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin,

(c) daptomycin that is essentially free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin,

(d) daptomycin that is free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin,

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(e) daptomycin that is substantially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12, [[or]]and

(f) daptomycin that is essentially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12.

116. (Previously Presented) The composition of claim 62 wherein impurity1 is present in an amount no more than about 1%.

117. (Previously Presented) The composition of claim 62 wherein impurity2 is present in an amount no more than about 0.5%.

118. (Previously Presented) The composition of claim 62 wherein impurity3 is present in an amount no more than about 1%.

119. (Previously Presented) The composition of claim 62 wherein impurity4 is present in an amount no more than about 0.5%.

120. (Previously Presented) The composition of claim 62 wherein impurity 5 is present in an amount no more than about 0.5%.

121. (Previously Presented) The composition of claim 62 wherein impurity6 is present in an amount no more than about 1%.

122. (Previously Presented) The composition of claim 62 wherein impurity7 is present in an amount no more than about 1%.

123. (Previously Presented) The composition of claim 62 wherein impurity9 is present in an amount no more than about 0.5%.

124. (Previously Presented) The composition of claim 62 wherein impurity10 is present in an amount no more than about 0.5%.

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125. (Previously Presented) The composition of claim 62 wherein impurity 11 is present in an amount no more than about 0.5%.

126. (Previously Presented) The composition of claim 62 wherein impurity 12 is present in an amount no more than about 0.5%.

127. (Previously Presented) The composition of claim 62 wherein impurity 14 is present in an amount no more than about 0.1%.

128. (Previously Presented) The composition of claim 62 wherein the daptomycin is obtained by a process comprising:

a) subjecting a solution of daptomycin to anion exchange chromatography to obtain an enriched daptomycin preparation;

b) subjecting the enriched daptomycin preparation to hydrophobic interaction chromatography to obtain a semi-purified daptomycin preparation; and

c) subjecting the semi-purified daptomycin preparation to anion exchange chromatography.

129. (Previously Presented) The composition of claim 63, wherein the daptomycin is obtained by a process comprising

a) subjecting a daptomycin solution to conditions forming a daptomycin aggregate;

b)separating the daptomycin aggregate from low molecular weight contaminants;

c) subjecting the daptomycin aggregate to conditions in which the daptomycin aggregate dissociate into daptomycin monomers.

130. (Previously Presented) The composition of claim 129, wherein the daptomycin aggregate of step b) is separated from the low molecular weight contaminants by a size selection technique.

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131. (Previously Presented) The composition of claim 130, wherein the size selection technique is ultrafiltration or size exclusion chromatography.

132. (Previously Presented) The composition of claim 131 further comprising separating the daptomycin monomers obtained from step c) from high molecular weight contaminants.

133. (Previously Presented) The composition of claim 132, wherein the daptomycin monomers of are separated from the high molecular weight contaminants by a size selection technique.

134. (Previously Presented) The composition of claim 133, wherein the size selection technique is ultrafiltration or size exclusion chromatography.

135. (Previously Presented) The composition of claim 63, wherein the daptomycin is obtained by a process comprising

a) separating daptomycin from high molecular weight contaminants.

b) subjecting the daptomycin of step a) to conditions forming a daptomycin aggregate; and

c)separating the daptomycin aggregate from low molecular weight contaminants.

136. (Previously Presented) The composition of claim 135, wherein the daptomycin aggregate of step c) is separated from the low molecular weight contaminants by a size selection technique.

137. (Previously Presented) The composition of claim 136, wherein the size selection technique is ultrafiltration or size exclusion chromatography.

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138. (Previously Presented) The composition of claim 137, further comprising subjecting the daptomycin aggregate of step c) to conditions in which the daptomycin aggregate dissociates into daptomycin monomers.

139. (Previously Presented) The composition of claim 129, wherein the aggregate is a micelle.

140. (Previously Presented) The composition of claim 135, wherein the aggregate is a micelle.

141. (Previously Presented) The composition of claim 63, wherein the daptomycin is obtained by a process comprising

a) subjecting a daptomycin solution to conditions forming a daptomycin aggregate;

b) filtering the daptomycin aggregate under conditions in which the daptomycin aggregate is retained on the filter; and

c) collecting the daptomycin aggregate.

142. (Previously Presented) The composition of claim 135, wherein the aggregate is a micelle.

143 (Previously Presented) The composition of claim 63, wherein the daptomycin is obtained by subjecting a daptomycin solution to conditions effective to forming micelles.

144. (Previously Presented) The composition of claim 63, wherein the daptomycin is obtained by adjusting any one or more of temperature, salt concentration, daptomycin concentration, and pH of the solution to cause a concentration of the daptomycin in the solution to be above a critical micelle concentration.

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145. (Previously Presented) The composition of claim 63, wherein the daptomycin is obtained by subjecting a daptomycin solution to a pH of 2.5 to 5.0.

146. (Previously Presented) The composition of claim 63, wherein the daptomycin is obtained by a process comprising:

a) adjusting the pH of a daptomycin preparation to a pH of 2.5 to 5.0;

b) filtering the daptomycin preparation of step a) on an ultrafiltration

membrane;

c) adjusting the pH of the daptomycin preparation of step b) to a pH of 6.5.

147. (Previously Presented) The composition of claim 146, wherein the daptomycin preparation of step a) is at a pH of 2.5 to 4.7, and the preparation further comprises 300 to 500 mM NaCl and is at a temperature of 2-15 degrees C.

148. (Previously Presented) The composition of claim 63 wherein the daptomycin is obtained by a process comprising:

a) subjecting a solution of daptomycin to anion exchange chromatography to obtain an enriched daptomycin preparation;

b) subjecting the enriched daptomycin preparation to hydrophobic interaction chromatography to obtain a semi-purified daptomycin preparation; and

c) subjecting the semi-purified daptomycin preparation to anion exchange chromatography.

149. (Previously Presented) The composition of claim 63 wherein impurity1 is present in an amount no more than about 1%.

150. (Previously Presented) The composition of claim 63 wherein impurity 2 is present in an amount no more than about 0.5%.

151. (Previously Presented) The composition of claim 63 wherein impurity3 is present in an amount no more than about 1%.

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152. (Previously Presented) The composition of claim 63 wherein impurity 4 is present in an amount no more than about 0.5%.

153. (Previously Presented) The composition of claim 63 wherein impurity 5 is present in an amount no more than about 0.5%.

154. (Previously Presented) The composition of claim 63 wherein impurity 6 is present in an amount no more than about 1%.

155. (Previously Presented) The composition of claim 63 wherein impurity7 is present in an amount no more than about 1%.

156. (Previously Presented) The composition of claim 63 wherein impurity9 is present in an amount no more than about 0.5%.

157. (Previously Presented) The composition of claim 63 wherein impurity 10 is present in an amount no more than about 0.5%.

158. (Previously Presented) The composition of claim 63 wherein impurity 11 is present in an amount no more than about 0.5%.

159. (Previously Presented) The composition of claim 63 wherein impurity 12 is present in an amount no more than about 0.5%.

160. (Previously Presented) The composition of claim 63 wherein impurity 14 is present in an amount no more than about 0.1%.

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Please enter the following new claims:

161. (New) The composition of claim 115, wherein the daptomycin aggregate comprises a daptomycin micelle.

162. (New) The composition of claim 161, wherein the is purified by a process comprising the steps of:

(a) subjecting a daptomycin solution to conditions forming the daptomycin aggregate;

(b) separating the daptomycin aggregate from low molecular weight contaminants;

c) subjecting the daptomycin aggregate to conditions in which the daptomycin micelle dissociates into daptomycin monomers.

163. (New) The composition of claim 162, wherein the daptomycin aggregate consists of daptomycin micelles.

164. (New) The composition of claim 162, wherein the step of subjecting the daptomycin solution to conditions forming a daptomycin aggregate includes adjusting one or more of temperature, salt concentration, daptomycin concentration, and pH of the daptomycin solution to form the daptomycin aggregate.

165. (New) The composition of claim 164, wherein the daptomycin aggregate comprises daptomycin micelles.

166. (New) The composition of claim 162, wherein the step of subjecting the daptomycin solution to conditions forming the daptomycin aggregate results in a concentration of the daptomycin in the daptomycin solution at or above the critical micelle concentration.

167. (New) The composition of claim 163, wherein the step of

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subjecting a daptomycin solution to conditions forming daptomycin micelles includes subjecting the daptomycin solution to a pH of about 3.0 to 4.8 and a temperature of about 2-15 degrees C.

168. (New) The composition of claim 162, wherein the step of subjecting a daptomycin solution to conditions forming a daptomycin aggregate includes one or more of the following:

(a) the daptomycin solution having a pH of about 2.5 to 5.0,

(b) the daptomycin solution having a temperature of about 2-15 degrees C, and

(c) the daptomycin solution having a daptomycin concentration at or above the critical micelle concentration.

169. (New) The composition of claim 162, wherein the step of subjecting the daptomycin aggregate to conditions in which the daptomycin aggregate dissociate into daptomycin monomers includes one or more of the following:

(a) raising the pH of the daptomycin aggregate to about 6.0 or higher;

(b) adjusting the daptomycin concentration to below the critical micelle concentration;

(c) contacting the daptomycin aggregate with an organic solvent; and

(d) raising the temperature of the daptomycin aggregate above about 15

degrees C.

170. (New) The composition of claim 169, wherein the organic solvent is selected from the group consisting of: n-butanol, isopropyl alcohol, acetonitrile, and a combination thereof.

171. (New) A purified daptomycin composition of greater than about 93% purity relative to impurities 1-14 defined by peaks 1-14 shown in FIG. 12, the purified daptomycin composition obtained by a process comprising the steps of

(a) subjecting daptomycin to conditions forming daptomycin micelles and

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(b) obtaining at least a portion of the purified daptomycin from the daptomycin micelles.

172. (New) The purified daptomycin composition of claim 171, wherein the step of obtaining the purified daptomycin from the daptomycin micelles further comprises the steps of:

(c) subjecting the daptomycin micelles to conditions forming monomeric daptomycin from the daptomycin micelles; and

(d) obtaining at least a portion of the purified daptomycin from the monomeric daptomycin.

173. (New) The purified daptomycin composition of claim 172, wherein the step of subjecting the daptomycin micelles to conditions to form monomeric daptomycin from the daptomycin micelles includes one or more of the following:

(a) raising the pH of the daptomycin aggregate to about 6.0 or higher;

(b) adjusting the daptomycin concentration to below the critical micelle concentration;

(c) contacting the daptomycin aggregate with an organic solvent; and

(d) raising the temperature of the daptomycin aggregate above about 15

degrees C.

174. (New) The purified daptomycin composition of claim 171, wherein the step of obtaining the daptomycin from the daptomycin micelles further comprises the steps of:

(c) filtering the daptomycin micelles under conditions in which the daptomycin micelles are retained on the filter;

(d) collecting the daptomycin aggregate;

(e) subjecting the daptomycin micelles to conditions to form monomeric daptomycin from the daptomycin micelles; and

(f) obtaining at least a portion of the purified daptomycin from the monomeric daptomycin.

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175. (New) A purified daptomycin composition of greater than about 93% purity relative to impurities 1-14 defined by peaks 1-14 shown in FIG. 12, the purified daptomycin composition obtained by a process comprising the steps of

(a) subjecting an aqueous solution comprising daptomycin at or above the critical daptomycin micelle concentration to a pH of 3.0 to 4.8 at a temperature of about 2-15 degrees C to form a daptomycin preparation; and

(b) obtaining the purified daptomycin from the daptomycin preparation obtained in step (a).

176. (New) The composition of claim 175, wherein the daptomycin preparation comprises daptomycin aggregates, and wherein:

(a) the process further comprises filtering the daptomycin preparation is filtered to obtain a filtered daptomycin material comprising the daptomycin aggregates; and

(b) the purified daptomycin is obtained from the filtered daptomycin material by a process comprising the step of contacting the filtered daptomycin material with an organic solvent or a solvent having a pH of at least about 6.0.

177. (New) The composition of claim 176, wherein the purified daptomycin is obtained by contacting the filtered daptomycin material with a HIC resin and eluted with an organic solvent at a pH of about 6.0-7.5

178. (New) A purified daptomycin composition of greater than about 93% purity relative to impurities 1-14 defined by peaks 1-14 shown in FIG. 12, wherein the % purity is measured by HPLC analysis according to the resolution method in Table 2, and the purified daptomycin composition is obtained from a lipopeptide aggregate comprising daptomycin.

179. (New) A purified daptomycin composition of greater than about 93% purity relative to impurities 1-14 defined by peaks 1-14 shown in FIG. 12, wherein the %

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purity is measured by HPLC analysis according to the resolution method in Table 2, and the purified daptomycin composition is obtained by a process comprising the steps of

(a) fermenting a culture of *S. roseosporus* to produce daptomycin;

(b) contacting the daptomycin from step (a) with an anion exchange resin;

(c) eluting the daptomycin from the anion exchange resin in step (b) with a solvent having a pH of about 6.0-6.5 to obtain a daptomycin solution;

(d) adjusting the pH of the daptomycin solution from step (c) to about 3.0 to 4.8 and a temperature of the solution from step (c) to about 2-15 degrees C to obtain a daptomycin aggregate solution comprising a daptomycin aggregates; and

(e) filtering the daptomycin aggregate solution to separate daptomycin aggregates from the daptomycin aggregate solution; and

(f) obtaining the purified daptomycin from the daptomycin aggregates.

180. (New) The composition of claim 179, wherein the daptomycin aggregates comprise daptomycin micelles.

181. (New) The composition of claim 54, wherein the purified daptomycin is obtained from the non-micellar daptomycin.

182. (New) The composition of claim 54, wherein the daptomycin micelles are converted to the non-micellar state by altering one or more of: temperature, pH, electrolyte concentration and daptomycin concentration.

183. (New) The composition of claim 54, wherein the daptomycin is purified by a process further comprising the steps of:

i) filtering the daptomycin micelles under conditions in which the daptomycin micelles are retained on the filter; and

ii) collecting the daptomycin micelles.

184. (New) The composition of claim 183, wherein the step of

#### Page 29 of 35

converting the daptomycin micelles collected in step (ii) are converted to the non-micellar daptomycin in step (b).

185. (New) The composition of claim 184, wherein the purified daptomycin is obtained from the non-micellar daptomycin in step (c).

186. (New) The composition of claim 185, wherein the purified daptomycin is obtained by a process further comprising the step of lyophilizing the purified daptomycin.

187. (New) The composition of claim 186, wherein the purified daptomycin is substantially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12.

188. (New) The composition of claim 178, wherein the daptomycin is obtained by adjusting any one or more of temperature, salt concentration, daptomycin concentration, and pH of a daptomycin solution to cause a concentration of the daptomycin in the solution to be above a daptomycin critical micelle concentration.

189. (New) The composition of claim 185, wherein the aggregate comprises daptomycin micelles formed by a process comprising one or more steps selected from the group consisting of: adjusting the pH of a daptomycin preparation to a pH of about 2.5 to 5.0, combining daptomycin with 300 to 500 mM NaCl in an aqueous solution; and providing a daptomycin preparation at a temperature of 2-15 degrees C.

190. (New) The composition of claim 178, wherein the aggregate comprises daptomycin micelles formed by a process further comprising subjecting daptomycin micelles, daptomycin in a non-micellar state, or a combination thereof to anion exchange chromatography.

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191. (New) The composition of claim 178, wherein the daptomycin composition is at least about 95% pure.

192. (New) The composition of claim 178, wherein the daptomycin composition is at least about 97% pure.

193. (New) The composition of claim 178, wherein the daptomycin composition is at least about 98% pure.

194. (New) The composition of claim 178, wherein the daptomycin composition is at least about 99% pure.

195. (New) The composition of claim 178, wherein the daptomycin composition is essentially free of anhydro daptomycin.

196. (New) The composition of claim 2, wherein the daptomycin has greater than about 93% purity measured by HPLC analysis according to the resolution method in Table 2, and the purified daptomycin composition is obtained from a lipopeptide aggregate comprising daptomycin.

197. (New) The composition of claim 3, wherein the daptomycin has greater than about 93% purity measured by HPLC analysis according to the resolution method in Table 2, and the purified daptomycin composition is obtained from a lipopeptide aggregate comprising daptomycin.

198. (New) The composition of claim 4, wherein the daptomycin has greater than about 93% purity measured by HPLC analysis according to the resolution method in Table 2, and the purified daptomycin composition is obtained from a lipopeptide aggregate comprising daptomycin.

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199. (New) The composition of claim 6, wherein the daptomycin has greater than about 93% purity measured by HPLC analysis according to the resolution method in Table 2, and the purified daptomycin composition is obtained from a lipopeptide aggregate comprising daptomycin.

200. (New) The composition of claim 115, wherein the daptomycin has greater than about 93% purity measured by HPLC analysis according to the resolution method in Table 2, and the purified daptomycin composition is obtained from a lipopeptide aggregate comprising daptomycin.

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#### **REMARKS**

#### **Amendments to the Specification**

The specification has been amended to contain the references required by 37 CFR § 1.78(a)(2),(5) to establish a claim of priority under 35 USC §§ 119(e) and 120. More specifically, the CROSS-REFERENCE TO RELATED APPLICATIONS has been amended to recite the relationships between the present application, United States Patent Application No. 10/747,485, and United States Patent Application No. 09/735,191. Applicants submit, along with this Response and Amendment, a Petition under 37 CFR 1.78(a)(3),(6) to Accept an Unintentionally Delayed Priority Claim. Applicants have also submitted a similar Petition in United States Patent Application No. 10/747,485, the parent of the present application, in order to comply with the requirement of MPEP 201.11(III)(C) that appropriate reference be made in each intermediate application in the chain of prior applications. Each Petition includes (1) the reference required by 37 CFR 1.78(a)(2),(5); (2) the surcharge set forth in 37 CFR § 1.17(t); and (3) a statement that the entire delay between the date that the priority claim was due under 37 CFR § 1.78(a)(2)(ii),(5)(ii) and the present was unintentional. In view of the foregoing amendments and Petitions, Applicants respectfully request recognition of the recited priority claim

#### Amendments to the Claims

Claims 1-29, 31-36, 38-44, 46-52, 54-56, and 58-160 were pending in the present application. Applicant has canceled claim 1, and amended claims 2-4, 6-7, 10, 54- 56, 58, 62, 76, 82-83, 85, 87-95, 108-109 and 115 and added new claims 161-200. Support for the claim amendments and new claims 161-200 can be found throughout the specification as filed. No new matter is added. Accordingly, upon entry of the instant amendments, claims 2-29, 31-36, 38-44, 46-52, 54-56, and 58-200 are pending in this application.

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#### **Allowed Claims**

Claims 2-7 and 115 have been allowed (Office Action at page 1).

#### Claim Rejections under 35 USC §102

The Office Action rejects claims 1 and 54 under 35 USC § 102(e) as being anticipated by RE39,071 ("Baker") (Office Action at pages 4-6).

Applicants respectfully disagree. However, without acquiescing to the Examiner's rejection, Applicants submit that with the cancellation of claim 1 this rejection is now rendered moot regarding claim 1. With respect to claim 54, this rejection has been obviated by amending the claim to recite "essentially pure" daptomycin (as set forth in allowed claim 2). Applicants reserve the right to pursue embodiments described in canceled subject matter in one or more subsequent continuation patent applications.

Notwithstanding, Applicant respectfully disagrees and traverses this rejection based on arguments presented below and previously made of record. Applicant's previous remarks in earlier Office Action Responses are incorporated herein by reference.

#### Claim Rejections under Obviousness-Type Double Patenting

The Office Action rejects claims 1 and 54 over claims 18-20, 26, 28 and 29 of <u>Baker</u> based on the judicially-created doctrine of non-statutory obviousness-type double patenting. The Office Action states that claims 1 and 54 disclose a composition comprising substantially pure daptomycin, and maintains that "[t]his is an obvious variation in view of claims 18-20, 26 and 28 of [<u>Baker</u>]..." (Office Action at page 7). Applicant has elected to cancel claim 1 and amend claim 54 to cover certain preferred embodiments of the invention. Applicant respectfully submits that the claim amendments herein obviate the basis for this rejection. Applicant reserves the right to pursue embodiments described in canceled subject matter in one or more subsequent continuation patent applications. Applicant respectfully requests reconsideration and withdrawal of this rejection.

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# Claim Rejections Under 35 USC § 112, ¶2

The Office Action rejects claims 8-29, 31-36, 38-44, 47-52, 55-56, 58-114 and 116-160 under 35 USC §112, second paragraph as being indefinite (Office Action at page 3). Specifically, the Office Action maintains that the recitation of "impurities 1-14" renders the rejected claims indefinite because "it is not clear what these impurities are, and how they are defined" (Office Action at page 3). Applicant has elected to amend independent claims 58, 62, and 115 from which the rejected claims depend to focus on certain preferred embodiments of the invention. Applicant respectfully submits that the claim amendments herein obviate the basis for this rejection. Applicant reserves the right to pursue embodiments described in canceled subject matter in one or more subsequent continuation patent applications. Applicant respectfully requests reconsideration and withdrawal of this rejection.

# CONCLUSION

For the reasons presented above, Applicant respectfully requests reconsideration and prompt allowance of all pending claims.

Respectfully submitted,

Date: <u>May 27, 2011</u> Cubist Pharmaceuticals, Inc. 65 Hayden Avenue Lexington, Massachusetts 02421 Tel.: (781) 860-8660 Fax: (781) 860-1407

/Nicholas M. Boivin/ Nicholas M. Boivin, Reg. No. 45,650 Attorney for Applicant

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PAGE 01/02

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> Re: U.S. Patent Application Serial No. 11/739,180 Our Ref.: C062-02/03 US

Dear Sir:

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Attached is a Credit Card Payment Form for any additional fees that may become due for the filing of the following documents in the Response/Petition for extension of time/ Petition under 37 C.F.R. § 1.78(a)(3),(6) to accept an unintentionally delayed priority claim (11/739,180)/Petition under 37 C.F.R. § 1.78(a)(3);(6) to accept an unintentionally delayed priority claim (10/747,485). Please credit Deposit Account 50-1986 for the payment amount indicated in the enclosed Credit Card Payment Form (PTO-2038).

Very truly yours. nichofer

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PAGE 1/2 \* RCVD AT 5/27/2011 3:33:16 PM [Eastern Daylight Time] \* SVR:W-PTOFAX-002/2 \* DNIS:2738300 \* CSID:7812740788 \* DURATION (mm-ss):01-04

PTO/SB/06 (07-06)

Approved for use through 1/31/2007. OMB 0651-002 

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This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

	ied States Patent a	AND TRADEMARK OFFICE	UNITED STATES DEPAR United States Patent and Address: COMMISSIONER F P.O. Box 1450 Alexandria, Virginia 223 www.uspto.gov	FOR PATENTS
APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
11/739,180	04/24/2007	Thomas Kelleher	C062-02/03 US	8837
<sup>34103</sup> Intellectual Pro Cubist Pharma	7590 11/30/2010 operty Department ceuticals, Inc.	EXAM KAM, CI		
65 Hayden Ave Lexington, MA			ART UNIT	PAPER NUMBER
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			11/30/2010	PAPER

# Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

		Application No.	Applicant(s)
	Office Action Comments	11/739,180	KELLEHER ET AL.
	Office Action Summary	Examiner	Art Unit
		CHIH-MIN KAM	1656
Period fo	The MAILING DATE of this communication ap or Reply	pears on the cover sheet with the c	orrespondence address
WHIC - Exte after - If NC - Failu Any	ORTENED STATUTORY PERIOD FOR REPL CHEVER IS LONGER, FROM THE MAILING D nsions of time may be available under the provisions of 37 CFR 1.1 SIX (6) MONTHS from the mailing date of this communication. 9 period for reply is specified above, the maximum statutory period re to reply within the set or extended period for reply will, by statute reply received by the Office later than three months after the mailin ed patent term adjustment. See 37 CFR 1.704(b).	ATE OF THIS COMMUNICATION 36(a). In no event, however, may a reply be tin will apply and will expire SIX (6) MONTHS from e, cause the application to become ABANDONE	N. nely filed the mailing date of this communication. D (35 U.S.C. § 133).
Status			
1)🖂	Responsive to communication(s) filed on 22 S	eptember 2010.	
1 1		action is non-final.	
3)	Since this application is in condition for allowa		osecution as to the merits is
	closed in accordance with the practice under l	Ex parte Quayle, 1935 C.D. 11, 45	53 O.G. 213.
Disposit	ion of Claims		
4)🖂	Claim(s) <u>1-29,31-36,38-44,47-52,54-56 and 56</u>	8-160 is/are pending in the application	ation.
	4a) Of the above claim(s) is/are withdra		
5)🖂	Claim(s) <u>2-7 and 115</u> is/are allowed.		
· · _	Claim(s) <u>1,8-29,31-36,38-44,47-52,54-56,58-1</u>	14 and 116-160 is/are rejected.	
- · ·	Claim(s) is/are objected to.		
· · _	Claim(s) are subject to restriction and/o	or election requirement.	
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	The oath or declaration is objected to by the E		
Priority ι	ınder 35 U.S.C. § 119		
· ·	Acknowledgment is made of a claim for foreigr ☐ All  b)	n priority under 35 U.S.C. § 119(a)	)-(d) or (f).
a)	1. Certified copies of the priority document	is have been received	
	2. Certified copies of the priority document		on No
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#### **DETAILED ACTION**

#### Status of the Claims

1. Claims 1-29, 31-36, 38-44, 47-52, 54-56 and 58-160 are pending.

Applicants' amendment filed September 22, 2010 is acknowledged. Claims 2, 3, 6, 8, 9, 11, 38, 47-52, 58, 59 and 61 have been amended, claims 46 and 57 have been cancelled, and new claims 64-160 have been added. Therefore, claims 1-29, 31-36, 38-44, 47-52, 54-56 and 58-160 are examined.

#### Withdrawn Claim Objections

2. The previous objection to claims 2-7, 31-36, 39-44, 47-52, 59 and 61-63 is withdrawn in view of applicants' amendment to the claims in the amendment filed September 22, 2010.

#### Withdrawn Claim Rejections - 35 USC § 102

3. The previous rejection of claims 8-29, 38, 46, 55-56, 58 and 60 under 35 U.S.C. 102(e) as being as anticipated by Baker *et al.* (US RE39,071 E) is withdrawn in view of applicants' amendment to the claims, applicants' cancellation of the claims, and applicants' response at pages 23-24 in the amendment filed September 22, 2010.

#### Withdrawn Claim Rejections - Obviousness Type Double Patenting

4. The previous rejection of claims 8-9, 46, 55, 57, 58 and 60 under the judicially created doctrine of obviousness-type double patenting as being unpatentable over claims 18-20, 26, 28 and 29 of U.S. Patent RE39,071 E is withdrawn in view of applicants' amendment to the claims, and applicants' cancellation of the claims in the amendment filed September 22, 2010.

#### *New Claim Rejections - 35 USC § 112*

The following is a quotation of the second paragraph of 35 U.S.C. 112:

Page 3

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

5. Claims 8-29, 31-36, 38-44, 47-52, 55-56, 58-114 and 116-160 are rejected under 35

U.S.C. 112, second paragraph, as being indefinite for failing to particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

6. Claims 8-29, 31-36, 38-44, 47-52, 55-56, 58-114 and 116-160 are indefinite because of

the use of the term "impurities 1-14". The term cited renders the claim indefinite, it is not clear

what these impurities are, and how they are defined. Claims 8-29, 31-36, 38-44, 47-52, 55-56,

59-61, 63-114 and 116-160 are included in this rejection for being dependent on a rejected claim

and not correcting the deficiency of the claims from which they depend.

7. Claims 8-29, 31-36, 38-44, 47-52, 55-56, 95-113 and 116-160 are indefinite because of

the use of the term "The composition" or "the composition", while the independent claim (i.e.,

claim 62) recites the term "Daptomycin", not "A composition".

# Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in a patent granted on an application for patent by another filed in the United States before the invention thereof by the applicant for patent, or on an international application by another who has fulfilled the requirements of paragraphs (1), (2), and (4) of section 371(c) of this title before the invention thereof by the applicant for patent.

The changes made to 35 U.S.C. 102(e) by the American Inventors Protection Act of 1999 (AIPA) and the Intellectual Property and High Technology Technical Amendments Act of 2002 do not apply when the reference is a U.S. patent resulting directly or indirectly from an international application filed before November 29, 2000. Therefore, the prior art date of the

reference is determined under 35 U.S.C. 102(e) prior to the amendment by the AIPA (pre-AIPA 35 U.S.C. 102(e)).

8. Claims 1 and 54 are rejected under 35 U.S.C. 102(e) as anticipated by Baker *et al.* (US RE39,071 E, reissue of U.S. Patent 5,912,226, filed December 16, 1991).

Baker *et al.* teach an antibacterial composition comprising daptomycin (LY146032) obtained in substantially pure form, which refers to daptomycin that contains less than 2.5% of a combined total of anhydro-daptomycin and beta-isomer of daptomycin (column 8, lines 50-60; Examples 4 and 5; claim 1(g), 54), where daptomycin is purified by a procedure using Diaion HP-20 resin column, followed by HPLC and another HP-20 resin column (Examples 1-5). Baker *et al.* also teach the preparation of a pharmaceutical formulation comprising the purified daptomycin (LY146032) with pharmaceutical carriers or excipients (column 9, lines 47-59), and an antibiotic composition comprised of a combination of a compound of formula 1 (i.e., anhydro-A21978C; column 1, lines 14-21), a compound of formula 2 (isomer of A21978C) and a compound of formula 3 (the parent cyclic peptide of A21978C; LY146032) or pharmaceutically acceptable salts (Reissue: claim 18).

#### Response to Arguments

Applicants indicate that the purity of daptomycin in Baker can only be interpreted as defined by Baker, thus Baker can be interpreted to read that there is 97.5% of daptomycin over a daptomycin plus anhydro-daptomycin ("A") plus beta isomer daptomycin ("B") composition. The present application describes daptomycin purity relative to daptomycin plus anhydrodaptomycin (impurity No. 13) plus beta isomer daptomycin (impurity No. 8) plus 12 other impurities (impurities 1-7, 9-12 and 14) as described in Table 3 of the specification. Thus, Baker

uses a different purity and does not teach purity over the 14 daptomycin impurities. Applicants also indicate that Baker methods yield at best about 93% pure daptomycin measured under the current application while it yields 97.5% purity under its own teachings. Applicants further assert that evidence of inherency and/or notice of facts to support the inherency of the present claims have not been provided. Regarding product-by process claims, claims 11-29 have been amended to depend on claim 62 or claim 115, thus the basis for rejection is overcome. Therefore, Baker does not anticipate claims 1 and 54, the rejection under 35 U.S.C. 102 (e) should be withdrawn (pages 21-24 of the response).

Applicants' response has been fully considered. Regarding claim 1(g) and claim 54, the arguments are not found persuasive because of the following reasons. Baker *et al.* teach an antibacterial composition comprising daptomycin (LY146032) obtained in substantially pure form, which refers to daptomycin that contains less than 2.5% of a combined total of anhydro-daptomycin and beta-isomer of daptomycin (column 8, lines 50-60; Examples 4 and 5). Since Baker *et al.* do not indicate other impurities besides anhydro-daptomycin and beta-isomer of daptomycin (LY146032) in substantially pure form, it reads that the daptomycin has more than 97.5% purity. While Baker implies that other degradants are present, but are not predominant in the pH range that optimizes the transpeptidation reactions, the reference does not indicate other degradants are present <u>after</u> the purification procedure (column 8, lines 45-49). While Baker's later work (U.S. Patent 4,874,843) shows undetermined impurities at least as great as 7%, and daptomycin has at best 93% purity, the '843 patent only use a single HP-20 resin column to purify daptomycin with a yield of 50-60% (Example 1-2), which is different from the purification procedure (i.e., Diaion HP-20 resin column, followed by

Page 6

HPLC and another HP-20 resin column) used by Baker et al. in the US RE39,071 E (e.g., with a very low yield in Example 3). Thus, even Baker (U.S. Patent 4,874,843) shows undetermined impurities at least as great as 7%, and daptomycin has at best 93% purity, it does not mean that the daptomycin purified by Baker et al. in the US RE39,071 E has at best 93% purity since the purification procedures used by two patents are different. As shown in Example 2 of the present application, the purity level of the daptomycin was 91% using the purification method from the '843 patent, and the daptomycin sample was further confirmed to contain fourteen impurities (Example 10), which does not mean the daptomycin purified by Baker *et al.* in the US RE39,071 E would have at best 93% purity when a different purification procedure is used. The daptomycin purified by Baker et al. in the US RE39,071 E is obtained in substantially pure form that contains less than 2.5% of a combined total of anhydro-daptomycin and beta-isomer of daptomycin as taught by Baker *et al.* is not different from the claimed composition as indicated in claims 1(g) and 54 because the claimed substantially pure daptomycin has also >97% purity without indicating the existence of other 14 impuritites. Therefore, the rejection of claim 1(g)and claim 54 are maintained.

#### Claim Rejections-Obviousness Type Double Patenting

The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. See *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970);and, *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent is shown to be commonly owned with this application. See 37 CFR 1.130(b).

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

9. Claims 1 and 54 are rejected under the judicially created doctrine of obviousness-type double patenting as being unpatentable over claims 18-20, 26 and 28 of U.S. Patent RE39,071 E. Although the conflicting claims are not identical, they are not patentably distinct from each other because claims 1 and 54 in the instant application disclose a composition comprising substantially pure daptomycin (i.e., >97% purity daptomycin). This is obvious variation in view of claims 18-20, 26 and 28 of the patent which disclose an antibiotic composition comprised of a combination of a compound of formula 1 (i.e., anhydro-daptomycin), a compound of formula 2 (i.e., beta-isomer of daptomycin) and a compound of formula 3 (i.e., daptomycin, A21978C), or pharmaceutically acceptable salts thereof, wherein the total amount of the compound of formula 1 and the compound of formula 2 or salts thereof, in the combination is less than 6 weight percent; or a pharmaceutical formulation comprising a combination of a compound of formula 1 (i.e., anhydro-daptomycin), a compound of formula 2 (i.e., beta-isomer of daptomycin) and a compound of formula 3 (i.e., daptomycin, A21978C), or pharmaceutically acceptable salts thereof, wherein the total amount of the compound of formula 1 and the compound of formula 2 or salts thereof, in the combination is less than 6 weight percent. Both claims of instant application and the patent are directed to a composition comprising substantially pure daptomycin (i.e., >97% purity daptomycin); or a pharmaceutical composition comprising the composition and a pharmaceutically acceptable carrier or excipient. Thus, claims 1 and 54 in present application and claims 18-20, 26 and 28 of the patent are obvious variations of a composition comprising substantially pure daptomycin (i.e., >97% purity daptomycin).

#### Response to Arguments

Applicants indicate that they reserve their right to file a terminal disclaimer upon an indication of allowance of these claims over Baker under 35 U.S.C. § 102(e) as requested above or to cancel such claims in a further amendment (page 24 of the response).

Applicants' response has been considered and the rejection is maintained.

# Conclusion

10. Claims 1, 8-29, 31-36, 38-44, 47-52, 54-56, 58-114 and 116-160 are rejected; and claims 2-7 and 115 are free of art.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Chih-Min Kam whose telephone number is (571) 272-0948. The examiner can normally be reached on 8.00-4:30, Mon-Fri.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Manjunath Rao can be reached at 571-272-0939. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

/Chih-Min Kam/ Primary Examiner, Art Unit 1656

CMK November 27, 2010



Application No.

11/739,180 Examiner Applicant(s)

KELLEHER ET AL.

CHIH-MIN KAM

Art Unit

1656

SEARCHED						
Subclass	Date	Examiner				
9, 11, 2, 14						
317, 322						
344						
886						
	Subclass 9, 11, 2, 14 317, 322 344	Subclass         Date           9, 11, 2, 14				

INTERFERENCE SEARCHED							
Class	Subclass	Date	Examiner				

SEARCH NOTES (INCLUDING SEARCH STRATEGY)				
	DATE	EXMR		
EAST Search on USPAT, USPGPUB, DERWENT, EPO, JPO; STN search on MEDLINE, BIOSIS, EMBASE, SCISEARCH, AGRICOLA.	2/13/2008	СМК		
Search strategy enclosed, Inventor name search, Parent applications 60/177,170 and 09/735,191, 10/747,48 have been reviewed.	2/13/2008	СМК		
Update the search	10/28/2008	СМК		
Update the search	8/5/2009	СМК		
Update the search	2/3/2010	СМК		
Update the search	11/11/2010	СМК		

U.S. Patent and Trademark Office

# **EAST Search History**

# EAST Search History (Prior Art)

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L1	979	daptomycin	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2010/11/11 14:39
12	57288	substantially adj pure	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2010/11/11 14:39
L3	14746	essentially adj pure	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2010/11/11 14:39
L4	9	L1 same (L2 or L3)	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2010/11/11 14:39
L5	11	impurities same L1	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2010/11/11 14:39
L6	11	anhydro-daptomycin or beta-daptomycin	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2010/11/11 14:39
L7	53568	anion adj exchange	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2010/11/11 14:42
L8	13724	hydrophobic adj interaction adj chromatography	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2010/11/11 14:42
L9	7	L1 same L7 same L8	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2010/11/11 14:42
L10	107	(Ly adj "146032") or A- 21978C or A54145 or A- 21978	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2010/11/11 14:42
L11	2	L10 same (L2 or L3)	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2010/11/11 14:42
L12	20	kelleher adj thomas.in.	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2010/11/11 14:43
L13	10	lai adj jan-ji.in.	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2010/11/11 14:43

L14	3	decourcey adj joseph. in.	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2010/11/11 14:43
L15	28	lynch adj paul.in.	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2010/11/11 14:43
L16	70	zenoni adj maurizio.in.	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2010/11/11 14:43
L17	6	tagliani adj auro.in.	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2010/11/11 14:43
L18	120	L12 or L13 or L14 or L15 or L16 or L17	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2010/11/11 14:43
L19	8	L18 and L1	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2010/11/11 14:43

11/11/2010 2:48:20 PM

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PTO/SB/08b (07-09) Approved for use through 07/31/2012. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO	Complete if Known		
	Application Number	11739180	
INFORMATION DISCLOSURE	Filing Date	2007-04-24	
STATEMENT BY APPLICANT	First Named Inventor	Kelleher, Thomas J.	
(Use as many sheets as necessary)	Art Unit	1656	
	Examiner Name	Chih-Min Kam	
Sheet 1 of 1	Attorney Docket Number	C062-02/03 US	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
/CMK./		Molloy, M. et al., Abstract, "Structure & Anhydro-Daptomycin and Iso-Daptomycin," ACS 200th Meeting, 1990.	
/CMK./		Molloy, M. et al., Poster, "Structure & Anhydro-Daptomycin and Iso-Daptomycin," ACS 200th Meeting, 1990.	

Examiner	/Chih Min Kam/	Date	11/27/2010
Signature		Considered	11/2//2010

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

# **Privacy Act Statement**

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

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- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (*i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

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# (FILE 'HOME' ENTERED AT 14:50:16 ON 11 NOV 2010)

# FILE 'MEDLINE, CAPLUS, BIOSIS, EMBASE, SCISEARCH, AGRICOLA' ENTERED AT

14:50:54 ON 11 NOV 2010

- L1 7611 S DAPTOMYCIN
- L2 2905 S SUBSTANTIALLY PURE
- L3 2355 S ESSENTIALLY PURE
- L4 0 S L1 (P) (L2 OR L3)
- L5 0 S L1 AND (L2 OR L3)
- L6 2 S L1 (P) IMPURITIES
- L7 4 S ANHYDRO-DAPTOMYCIN OR BETA-DAPTOMYCIN
- L8 6 S L6 OR L7
- L9 6 DUPLICATE REMOVE L8 (0 DUPLICATES REMOVED)
- L10 115464 S ANION EXCHANGE
- L11 11064 S HYDROPHOBIC INTERACTION CHROMATOGRAPHY
- L12 2 S L1 (P) L10 (P) L11
- L13 1 S L12 NOT (L9)
- L14 554 S (LY146032) OR A-21978C OR A54145 OR A-21978
- L15 1 S L14 (P) (L2 OR L3)
- L16 1 S L15 NOT (L9 OR L13)
- L17 207 S KELLEHER T?/AU
- L18 12081 S LAI J?/AU
- L19 13 S DECOURCEY J?/AU
- L20 3918 S LYNCH P?/AU
- L21 83 S ZENONI M?/AU
- L22 144 S TAGLIANI A?/AU
- L23 16433 S L17 OR L18 OR L19 OR L20 OR L21 OR L22
- L24 20 S L23 AND L1
- L25 8 DUPLICATE REMOVE L24 (12 DUPLICATES REMOVED)
- L26 0 S L25 AND (L2 OR L3)
- L27 0 S L25 AND L7
- L28 7 S L25 NOT (L9 OR L16)

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# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 11/739,180

Confirmation No. 8837

Applicant : Thomas Kelleher

Filed : April 24, 2007

TC/A.U. : 1656

Examiner : Chih-Min Kam

Docket No. : C062-02/03 US

Customer No. : 34103

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# **RESPONSE AND AMENDMENT**

This Amendment is responsive to the Office Action mailed March 22, 2010

(hereafter "the Office Action") in the above-identified application.

Kindly amend the application as follows:

Certificate of Transmission/Mailing

I hereby certify that this correspondence (Preliminary Amendment) is being deposited with the United States Postal Service with sufficient postage as First Class Mail and is addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 or is being facsimile transmitted to the USPTO on the date shown below.

Date

Page 1 of 25

# AMENDMENT TO THE CLAIMS

1. (Previously presented) A composition comprising

(a) essentially pure daptomycin,

(b) daptomycin that is substantially free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin,

(c) daptomycin that is essentially free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin,

(d) daptomycin that is free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin,

(e) daptomycin that is substantially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12, or

(f) daptomycin that is essentially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12 or

(g) substantially pure daptomycin.

2. (Currently amended) The composition of claim 1 <u>A composition</u> comprising essentially pure daptomycin.

3. (Currently amended) The composition of claim 1 <u>A composition</u> compromising daptomycin that is substantially free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin.

4. (Original) The composition according to claim 3 that is essentially free of anhydro-daptomycin.

5. (Original) The composition according to claim 3 that is free of anhydro-daptomycin.

(Currently amended) The composition of claim 1 <u>A composition</u>
 <u>comprising daptomycin</u> that is substantially free of each of impurities 1 to 14 defined by
 peaks 1-14 shown in FIG. 12.

7. (Original) The composition according to claim 6 that is essentially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12.

8. (Currently amended) The composition of claim 4 <u>62</u>, wherein daptomycin purity is measured by HPLC.

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9. (Currently amended) The composition of claim 4 62 further

comprising a pharmaceutically acceptable carrier or excipient.

10. (Original) A pharmaceutical composition according to claim 9, further comprising one or more antibiotics, one or more antifungal agents, or both an antibiotic and an antifungal agent.

11. (Currently amended) The composition according to claim  $\pm 62$  or 115 wherein the daptomycin is purified by a process comprising the steps of:

a) supplying a fermentation broth;

b) fermenting *Streptomyces roseosporus* with a feed of n-decanoic acid to produce daptomycin in the fermentation broth;

c) clarifying the fermentation broth to obtain a clarified solution;

d) subjecting the clarified solution to anion exchange chromatography to obtain an enriched daptomycin preparation;

e) subjecting the enriched daptomycin preparation to hydrophobic interaction chromatography to obtain a semi-purified daptomycin preparation; and

f) subjecting the semi-purified daptomycin preparation to anion exchange chromatography to obtain the composition of claim 1.

12. (Original) The composition according to claim 11, wherein the feed of n-decanoic acid is regulated to achieve a residual concentration of n-decanoic acid of no more than 50 parts per million (ppm) during fermentation.

13. (Original) The composition according to claim 11, wherein said clarifying comprises filtration or centrifugation and depth filtration.

14. (Original) The composition according to claim 11, wherein the anion exchange chromatography in d) is performed using a resin comprising a copolymer of 2-methacrylic acid and ethyleneglycol dimethacrylate (EDGM).

15. (Original) The composition according to claim 11, wherein the hydrophobic interaction chromatography is performed using a resin comprising a co-polymer of cross-linked divinylbenzene/stryene.

16. (Original) The composition according to claim 15, wherein the hydrophobic interaction chromatography is performed at neutral pH and a solvent

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concentration that is reduced compared to the solvent concentration used when performing the hydrophobic interaction chromatography at acidic pH.

17. (Original) The composition according to claim 16, wherein the resin is recycled by loading the column at an acidic pH and eluting the column at a neutral pH.

18. (Original) The composition according to claim 11, wherein the anion exchange chromatography in f) is performed using a resin comprising a copolymer of 2-methacrylic acid and ethyleneglycol dimethacrylate (EDGM).

19. (Original) The composition according to claim 11, wherein the anion exchange chromatography is used to reduce the level of solvent in the clarified solution.

20. (Original) The composition according to claim 11, wherein the anion exchange chromatography is performed via continuous flow chromatography.

21. (Original) The composition according to claim 11, wherein the process further comprises the step of filtering daptomycin.

22. (Original) The composition according to claim 11, wherein the process further comprises the step of depyrogenating daptomycin using ultrafiltration.

23. (Original) The composition according to claim 22 wherein said depyrogenating comprises the steps of:

i) providing a daptomycin solution under conditions in which the daptomycin is in a monomeric and nonmicellar state;

ii) filtering the daptomycin solution under conditions in which the daptomycin passes through the filter but pyrogens do not pass through the filter;

iii) subjecting the daptomycin solution to conditions forming a daptomycin aggregate;

iv) filtering the daptomycin aggregate under conditions in which the daptomycin aggregate is retained on the filter; and

v) collecting the daptomycin aggregate.

24. (Original) The composition according to claim 22, wherein the process further comprises the step of lyophilizing daptomycin.

25. (Original) The composition according to claim 22, wherein the anion exchange chromatography is performed via radial flow chromatography.

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26. (Original) The composition according claim 11, wherein said clarifying comprises microfiltration or centrifugation.

27. (Original) The composition according to claim 11, wherein the process further comprises the steps of filtering and concentrating daptomycin.

28. (Original) The composition according to claim 11, wherein the process further comprises the step of separating the enriched daptomycin from low molecular weight material by ultrafiltration.

29. (Original) The composition according to claim 28, wherein the process further comprises the step of depyrogenating the daptomycin.

30. Canceled

31. (Original) The pharmaceutical composition of claim 9 comprising essentially pure daptomycin.

32. (Original) The pharmaceutical composition of claim 9 comprising daptomycin that is substantially free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin.

33. (Original) The pharmaceutical composition of claim 9 comprising daptomycin that is essentially free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin.

34. (Original) The pharmaceutical composition of claim 9 comprising daptomycin that is free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin.

35. (Original) The pharmaceutical composition of claim 9 comprising daptomycin that is substantially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12.

36. (Original) The pharmaceutical composition of claim 9 comprising daptomycin that is essentially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12.

37. Canceled

38. (Currently amended) A method for preparing a pharmaceutical composition comprising combining the composition of claim  $4 \frac{62}{2}$  with a

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pharmaceutically acceptable carrier or excipient.

39. (Original) The method of claim 38 wherein the composition is essentially pure daptomycin.

40. (Original) The method of claim 38 wherein the composition is daptomycin that is substantially free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin.

41. (Original) The method of claim 38 wherein the composition is daptomycin that is essentially free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin.

42. (Original) The method of claim 38 wherein the composition is daptomycin that is free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin.

43. (Original) The method of claim 38 wherein the composition is daptomycin that is substantially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12.

44. (Original) The method of claim 38 wherein the composition is daptomycin that is essentially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12.

45. Canceled

46. Canceled

47. (Currently amended) The pharmaceutical composition of claim  $46 \ 9$  wherein the composition is essentially pure daptomycin.

48. (Currently amended) The pharmaceutical composition of claim 46 <u>9</u> wherein the composition is daptomycin that is substantially free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin.

49. (Currently amended) The pharmaceutical composition of claim 46  $\underline{9}$  wherein the composition is daptomycin that is essentially free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin.

50. (Currently amended) The pharmaceutical composition of claim 469 wherein the composition is daptomycin that is free of anhydro-daptomycin and

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substantially free of  $\beta$ -isomer of daptomycin.

51. (Currently amended) The pharmaceutical composition of claim  $46 \ 9$  wherein the composition is daptomycin that is substantially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12.

52. (Currently amended) The pharmaceutical composition of claim  $46 \ 9$  wherein the composition is daptomycin that is essentially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12.

53. Canceled

54. (Previously presented) The composition of claim 1 comprising substantially pure daptomycin.

55. (Previously presented) The pharmaceutical composition of claim 9 comprising substantially pure daptomycin.

56. (Previously presented) The method of claim 38 wherein the composition is substantially pure daptomycin.

57. Canceled

58. (Currently amended) A new composition comprising daptomycin of greater than about 93% purity, wherein the purity of the daptomycin is relative to daptomycin impurities that arise in fermentation or purification of daptomycin <u>and</u> wherein the daptomycin impurities comprise impurities <u>1-14</u>.

59. (Currently amended) The composition of claim 58, wherein the daptomycin impurities comprise impurities 1–14 arise in fermentation.

60. (Previously presented) The composition of claim 58, wherein the purity is at least 95%.

61. (Currently amended) The composition of claim 60, wherein the daptomycin impurities comprise impurities 1–14 arise in fermentation.

62. (Previously presented) Daptomycin of greater than about 93% purity relative to impurities 1-14.

63. (Previously presented) The daptomycin of claim 62, wherein the purity is at least 95%.

Please enter the following new claims.

64. (New) The composition of claim 58 wherein impurity 1 is present in an amount no more than about 1%.

65. (New) The composition of claim 58 wherein impurity 2 is present in an amount no more than about 0.5%.

66. (New) The composition of claim 58 wherein impurity 3 is present in an amount no more than about 1%.

67. (New) The composition of claim 58 wherein impurity 4 is present in an amount no more than about 0.5%.

68. (New) The composition of claim 58 wherein impurity 5 is present in an amount no more than about 0.5%.

69. (New) The composition of claim 58 wherein impurity 6 is present in an amount no more than about 1%.

70. (New) The composition of claim 58 wherein impurity 7 is present in an amount no more than about 1%.

71. (New) The composition of claim 58 wherein impurity 9 is present in an amount no more than about 0.5%.

72. (New) The composition of claim 58 wherein impurity 10 is present in an amount no more than about 0.5%.

73. (New) The composition of claim 58 wherein impurity 11 is present in an amount no more than about 0.5%.

74. (New) The composition of claim 58 wherein impurity 12 is present in an amount no more than about 0.5%.

75. (New) The composition of claim 58 wherein impurity 14 is present in an amount no more than about 0.1%.

76. (New) The composition of claim 58, wherein the daptomycin is obtained by a process comprising

a) subjecting a daptomycin solution to conditions forming a daptomycin aggregate;

b)separating the daptomycin aggregate from low molecular weight

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contaminants;

c) subjecting the daptomycin aggregate to conditions in which the daptomycin aggregate dissociate into daptomycin monomers.

77. (New) The composition of claim 76, wherein the daptomycin aggregate of step b) is separated from the low molecular weight contaminants by a size selection technique.

78. (New) The composition of claim 77, wherein the size selection technique is ultrafiltration or size exclusion chromatography.

79. (New) The composition of claim 78 further comprising separating the daptomycin monomers obtained from step c) from high molecular weight contaminants.

80. (New) The composition of claim 79, wherein the daptomycin monomers of are separated from the high molecular weight contaminants by a size selection technique.

81. (New) The composition of claim 80, wherein the size selection technique is ultrafiltration or size exclusion chromatography.

82 (New) The composition of claim 58, wherein the daptomycin is obtained by a process comprising

a) separating daptomycin from high molecular weight contaminants;

b) subjecting the daptomycin of step a) to conditions forming a daptomycin aggregate; and

c) separating the daptomycin aggregate from low molecular weight contaminants.

83. (New) The composition of claim 82, wherein the daptomycin aggregate of step c) is separated from the low molecular weight contaminants by a size selection technique.

84. (New) The composition of claim 83, wherein the size selection technique is ultrafiltration or size exclusion chromatography.

85 (New) The composition of claim 84, further comprising subjecting the daptomycin aggregate of step c) to conditions in which the daptomycin aggregate dissociates into daptomycin monomers.

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86. (New)The composition of claim 76, wherein the aggregate is a micelle.

87. (New)The composition of claim 82, wherein the aggregate is a micelle.

88. (New) The composition of claim 58, wherein the daptomycin is

obtained by a process comprising

a) subjecting a daptomycin solution to conditions forming a daptomycin aggregate;

b) filtering the daptomycin aggregate under conditions in which the daptomycin aggregate is retained on the filter; and

c) collecting the daptomycin aggregate.

89. (New) The composition of claim 82, wherein the aggregate is a micelle.

90 (New) The composition of claim 58, wherein the daptomycin is obtained by subjecting a daptomycin solution to conditions effective to forming micelles.

91. (New) The composition of claim 58, wherein the daptomycin is obtained by adjusting any one or more of temperature, salt concentration, daptomycin concentration, and pH of the solution to cause a concentration of the daptomycin in the solution to be above a critical micelle concentration.

92. (New) The composition of claim 58, wherein the daptomycin is obtained by subjecting a daptomycin solution to a pH of 2.5 to 5.0.

93. (New) The composition of claim 58, wherein the daptomycin is obtained by a process comprising:

a) adjusting the pH of a daptomycin preparation to a pH of 2.5 to 5.0;

b) filtering the daptomycin preparation of step a) on an ultrafiltration membrane;

c) adjusting the pH of the daptomycin preparation of step b) to a pH of 6.5.

94.(New) The composition of claim 93, wherein the daptomycin preparation of step a) is at a pH of 2.5 to 4.7, and the preparation further comprises 300 to 500 mM NaCl and is at a temperature of 2-15 degrees C.

95 (New) A composition of claim 62, wherein the daptomycin is obtained by a process comprising

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a) subjecting a daptomycin solution to conditions forming a daptomycin aggregate;

b) separating the daptomycin aggregate from low molecular weight contaminants;

c) subjecting the daptomycin aggregate to conditions in which the daptomycin aggregate dissociate into daptomycin monomers.

96. (New) The composition of claim 95, wherein the daptomycin aggregate of step b) is separated from the low molecular weight contaminants by a size selection technique.

97. (New) The composition of claim 96, wherein the size selection technique is ultrafiltration or size exclusion chromatography.

98. (New) The composition of claim 97 further comprising separating the daptomycin monomers obtained from step c) from high molecular weight contaminants.

99. (New) The composition of claim 98, wherein the daptomycin monomers are separated from the high molecular weight contaminants by a size selection technique.

100. (New) The composition of claim 99, wherein the size selection technique is ultrafiltration or size exclusion chromatography.

101 (New) The composition of claim 62, wherein the daptomycin is obtained by a process comprising

a) separating daptomycin from high molecular weight contaminants.

b) subjecting the daptomycin of step a) to conditions forming a daptomycin aggregate; and

c) separating the daptomycin aggregate from low molecular weight contaminants.

102. (New) The composition of claim 101, wherein the daptomycin aggregate of step c) is separated from the low molecular weight contaminants by a size selection technique.

103. (New) The composition of claim 102, wherein the size selection technique is ultrafiltration or size exclusion chromatography.

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104. (New) The composition of claim 103, further comprising subjecting the daptomycin aggregate of step c) to conditions in which the daptomycin aggregate dissociates into daptomycin monomers.

105. (New) The composition of claim 95, wherein the aggregate is a micelle.

106. (New) The composition of claim 101, wherein the aggregate is a micelle.

107. (New) The composition of claim 62, wherein the daptomycin is obtained by a process comprising

a) subjecting a daptomycin solution to conditions forming a daptomycin aggregate;

b) filtering the daptomycin aggregate under conditions in which the daptomycin aggregate is retained on the filter; and

c) collecting the daptomycin aggregate.

108. (New) The composition of claim 101, wherein the aggregate is a micelle.

109. (New) The composition of claim 62, wherein the daptomycin is obtained by subjecting a daptomycin solution to conditions effective to forming micelles.

110. (New) The composition of claim 62, wherein the daptomycin is obtained by adjusting any one or more of temperature, salt concentration, daptomycin concentration, and pH of the solution to cause a concentration of the daptomycin in the solution to be above a critical micelle concentration.

111. (New) The composition of claim 62, wherein the daptomycin is obtained by subjecting a daptomycin solution to a pH of 2.5 to 5.0.

112. (New) The composition of claim 62, wherein the daptomycin is obtained by a process comprising:

a) adjusting the pH of a daptomycin preparation to a pH of 2.5 to 5.0;

b) filtering the daptomycin preparation of step a) on an ultrafiltration

membrane;

c) adjusting the pH of the daptomycin preparation of step b) to a pH of 6.5.

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113. (New) The composition of claim 112, wherein the daptomycin preparation of step a) is at a pH of 2.5 to 4.7, and the preparation further comprises 300 to 500 mM NaCl and is at a temperature of 2-15 degrees C.

114. (New) The composition of claim 58 wherein the daptomycin is obtained by a process comprising:

a) subjecting a solution of daptomycin to anion exchange chromatography to obtain an enriched daptomycin preparation;

b) subjecting the enriched daptomycin preparation to hydrophobic interaction chromatography to obtain a semi-purified daptomycin preparation; and

c) subjecting the semi-purified daptomycin preparation to anion exchange chromatography.

115. (New) A composition comprising

(a) essentially pure daptomycin,

(b) daptomycin that is substantially free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin,

(c) daptomycin that is essentially free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin,

(d) daptomycin that is free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin,

(e) daptomycin that is substantially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12, or

(f) daptomycin that is essentially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12.

116. (New) The composition of claim 62 wherein impurity 1 is present in an amount no more than about 1%.

117. (New) The composition of claim 62 wherein impurity 2 is present in an amount no more than about 0.5%.

118. (New) The composition of claim 62 wherein impurity 3 is present in an amount no more than about 1%.

119. (New) The composition of claim 62 wherein impurity 4 is present in

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an amount no more than about 0.5%.

120. (New) The composition of claim 62 wherein impurity 5 is present in an amount no more than about 0.5%.

121. (New) The composition of claim 62 wherein impurity 6 is present in an amount no more than about 1%.

122. (New) The composition of claim 62 wherein impurity 7 is present in an amount no more than about 1%.

123. (New) The composition of claim 62 wherein impurity 9 is present in an amount no more than about 0.5%.

124. (New) The composition of claim 62 wherein impurity 10 is present in an amount no more than about 0.5%.

125. (New) The composition of claim 62 wherein impurity 11 is present in an amount no more than about 0.5%.

126. (New) The composition of claim 62 wherein impurity 12 is present in an amount no more than about 0.5%.

127. (New) The composition of claim 62 wherein impurity 14 is present in an amount no more than about 0.1%.

128. (New) The composition of claim 62 wherein the daptomycin is obtained by a process comprising:

a) subjecting a solution of daptomycin to anion exchange chromatography to obtain an enriched daptomycin preparation;

b) subjecting the enriched daptomycin preparation to hydrophobic interaction chromatography to obtain a semi-purified daptomycin preparation; and

c) subjecting the semi-purified daptomycin preparation to anion exchange chromatography.

129. (New) The composition of claim 63, wherein the daptomycin is obtained by a process comprising

a) subjecting a daptomycin solution to conditions forming a daptomycin aggregate;

b)separating the daptomycin aggregate from low molecular weight

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contaminants;

c) subjecting the daptomycin aggregate to conditions in which the daptomycin aggregate dissociate into daptomycin monomers.

130. (New) The composition of claim 129, wherein the daptomycin aggregate of step b) is separated from the low molecular weight contaminants by a size selection technique.

131. (New) The composition of claim 130, wherein the size selection technique is ultrafiltration or size exclusion chromatography.

132. (New) The composition of claim 131 further comprising separating the daptomycin monomers obtained from step c) from high molecular weight contaminants.

133. (New) The composition of claim 132, wherein the daptomycin monomers of are separated from the high molecular weight contaminants by a size selection technique.

134. (New) The composition of claim 133, wherein the size selection technique is ultrafiltration or size exclusion chromatography.

135. (New) The composition of claim 63, wherein the daptomycin is obtained by a process comprising

a) separating daptomycin from high molecular weight contaminants.

b) subjecting the daptomycin of step a) to conditions forming a daptomycin aggregate; and

c)separating the daptomycin aggregate from low molecular weight contaminants.

136. (New) The composition of claim 135, wherein the daptomycin aggregate of step c) is separated from the low molecular weight contaminants by a size selection technique.

137. (New) The composition of claim 136, wherein the size selection technique is ultrafiltration or size exclusion chromatography.

138 (New) The composition of claim 137, further comprising subjecting the daptomycin aggregate of step c) to conditions in which the daptomycin aggregate

dissociates into daptomycin monomers.

139. (New) The composition of claim 129, wherein the aggregate is a micelle.

140. (New) The composition of claim 135, wherein the aggregate is a micelle.

141. (New) The composition of claim 63, wherein the daptomycin is obtained by a process comprising

a) subjecting a daptomycin solution to conditions forming a daptomycin aggregate;

b) filtering the daptomycin aggregate under conditions in which the daptomycin aggregate is retained on the filter; and

c) collecting the daptomycin aggregate.

142. (New) The composition of claim 135, wherein the aggregate is a micelle.

143 (New) The composition of claim 63, wherein the daptomycin is obtained by subjecting a daptomycin solution to conditions effective to forming micelles.

144. (New) The composition of claim 63, wherein the daptomycin is obtained by adjusting any one or more of temperature, salt concentration, daptomycin concentration, and pH of the solution to cause a concentration of the daptomycin in the solution to be above a critical micelle concentration.

145. (New) The composition of claim 63, wherein the daptomycin is obtained by subjecting a daptomycin solution to a pH of 2.5 to 5.0.

146. (New) The composition of claim 63, wherein the daptomycin is obtained by a process comprising:

a) adjusting the pH of a daptomycin preparation to a pH of 2.5 to 5.0;

b) filtering the daptomycin preparation of step a) on an ultrafiltration membrane;

c) adjusting the pH of the daptomycin preparation of step b) to a pH of 6.5.

147. (New) The composition of claim 146, wherein the daptomycin preparation of step a) is at a pH of 2.5 to 4.7, and the preparation further comprises 300 to

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500 mM NaCl and is at a temperature of 2-15 degrees C.

148. (New) The composition of claim 63 wherein the daptomycin is obtained by a process comprising:

a) subjecting a solution of daptomycin to anion exchange chromatography to obtain an enriched daptomycin preparation;

b) subjecting the enriched daptomycin preparation to hydrophobic interaction chromatography to obtain a semi-purified daptomycin preparation; and

c) subjecting the semi-purified daptomycin preparation to anion exchange chromatography.

149. (New) The composition of claim 63 wherein impurity 1 is present in an amount no more than about 1%.

150. (New) The composition of claim 63 wherein impurity 2 is present in an amount no more than about 0.5%.

151. (New) The composition of claim 63 wherein impurity 3 is present in an amount no more than about 1%.

152. (New) The composition of claim 63 wherein impurity 4 is present in an amount no more than about 0.5%.

153. (New) The composition of claim 63 wherein impurity 5 is present in an amount no more than about 0.5%.

154. (New) The composition of claim 63 wherein impurity 6 is present in an amount no more than about 1%.

155. (New) The composition of claim 63 wherein impurity 7 is present in an amount no more than about 1%.

156. (New) The composition of claim 63 wherein impurity 9 is present in an amount no more than about 0.5%.

157. (New) The composition of claim 63 wherein impurity 10 is present in an amount no more than about 0.5%.

158. (New) The composition of claim 63 wherein impurity 11 is present in an amount no more than about 0.5%.

159. (New) The composition of claim 63 wherein impurity 12 is present in

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an amount no more than about 0.5%.

160. (New) The composition of claim 63 wherein impurity 14 is present in an amount no more than about 0.1%.

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#### **REMARKS**

#### Amendments to the Claims

Claims 1-29, 31-36, 38-44, 46-52, 54-57, and 58-63 were pending in the present application. Applicants have added new claims 64-160. Support for claims 64-160 can be found throughout the specification. For example, support for claims 64-75, 116-127, and 149-160 can be found in Table 3, paragraph 179 and support for claims 76-113 and 129-147 can be found in paragraphs 99-128.

Applicants have amended claims 2, 3, 6, 8, 9, 11, 47-52, 58, 59, and 61. Support for these amended claims can be found throughout the specification.

Applicants have canceled claims 46 and 57 without prejudice or disclaimer to Applicants' rights to pursue the same or similar subject matter in the future.

No new matter is introduced by the claim amendments.

Accordingly, upon entry of the instant amendments, claims 1-29, 31-36, 38-44, 47-52, 54-56, 58-160 will be pending in this application.

### The Pending Claims are Allowable

Applicants have elected to amend the claims to cover subject matter the Office Action indicates to be allowable. In particular, the Office Action at page 8 reads:

Claims 2-7, 31-36, 39-44, 47-52, 59, and 61-63 are objected to because the claims are dependent from a rejected claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

In response, claims 2, 3, and 6 have been rewritten in independent form to place them in condition for allowance. Therefore, claims 2, 3, 6 - along with the dependent claims 4, 5 and 7 – are in condition for allowance.

Claim 62 is written in independent form, and is not rejected in the Office Action. Dependent claims 9 and 38 are amended to depend from independent claim 62. As amended, dependent claims 31-36, 39-44, and 47-52 now depend from either amended claim 9 or amended claim 38, Applicants respectfully request allowance of claims 62, 9, 38, 31-36, 39-44, and 47-52.

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Claim 58 is amended to cover subject matter indicated as allowable in the Office Aciton. Claims 60-61 depend on amended claim 58. Accordingly, Applicants request allowance of claims 58-61.

Notwithstanding, Applicants traverse rejections of the claims. Claims 1, 8-29, 38, 46, 54-58 and 60 stand rejected under 35 U.S.C. § 102(e) as anticipated by Baker *et al.* (US RE39,071 E, hereafter <u>Baker</u>), and claims 1, 8-9, 46, 54-55, 57, 58 and 60 stand rejected under the doctrine of obviousness-type double patenting over claims 18-20, 26, 28 and 29 of <u>Baker</u>. Claims 2-7, 31-36, 39-44, 47-52, 59 and 61-63 are objected to. Notwithstanding the amendments herein, Applicants respectfully overcome and traverse the rejections for the reasons set forth below.

### I. Acknowledgement of Withdrawal of Rejection under 35 U.S.C.§ 103(a)

Applicants acknowledge with thanks the withdrawal of the rejection of the claims under 35 U.S.C. § 103(a).

### II. <u>Baker Does Not Anticipate Under 35 U.S.C § 102(e)</u>

The Office Action reads: "the rejection of claim 1(g) and its dependent claims are maintained" and cites Example 3 of <u>Baker</u> as the grounds for rejection.

"In order to render a determination of anticipation under 35 U.S.C. 102, the reference must teach every aspect of the claimed invention either explicitly or impliedly. Any feature not directly taught must be inherently present." MPEP 706.02 V. "To serve as an anticipation when the reference is silent about the asserted inherent characteristic [i.e. one not directly taught], such gap in the reference may be filled with recourse to extrinsic evidence. Such evidence must make clear that the missing descriptive matter is necessarily present in the thing described in the reference, and that it would be so recognized by persons of ordinary skill." *Continental Can Co. USA v. Monsanto Co.*, 948 F.2d 1264, 1268, 20 USPQ2d 1746, 1749 (Fed. Cir. 1991).

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# A. <u>Baker Does Not Expressly Or Inherently Include All of the Limitations of</u> <u>the Present Claims</u>

# 1. <u>Baker Uses a Different Daptomycin Purity Than the Present</u> <u>Application</u>

Although the Office Action posits that the substantially pure daptomycin in <u>Baker</u> "reads that the daptomycin has more than 97.5% purity," the purity of daptomycin in <u>Baker</u> can only be interpreted as defined by <u>Baker</u>. In <u>Baker</u>, "substantially pure form means that LY146032 contains less than 2.5 percent of a combined total of anhydro-LY146032 and isomer-LY146032." Col. 8, ll. 55-57. <u>Baker</u> can be interpreted to read that there is 97.5% of daptomycin over a daptomycin plus anhydro-daptomycin ("A") plus beta isomer daptomycin ("B") composition.

The present application describes daptomycin purity relative to daptomycin plus anhydro daptomycin (impurity No. 13) plus beta-isomer (impurity No. 8) **plus 12 other impurities** (impurities 1-7, 9-12 and 14) (the fourteen daptomycin impurities) as described in Table 3 of the specification. Therefore, it is consistent to say that, as described in detail below in II.A.2, the <u>Baker</u> methods yield at best about 93% pure daptomycin measured under the current application while it yields 97.5% purity under its own teachings.

Accordingly, <u>Baker</u> uses a different purity and does not teach purity over the fourteen daptomycin impurities.

# 2. <u>Evidence of Inherency and/or Official Notice of Facts To Support</u> <u>The Inherency of the Present Claims Have Not Been Provided</u>

"In relying upon the theory of inherency, the examiner must provide a basis in fact and/or technical reasoning to reasonably support the determination that the allegedly inherent characteristic necessarily flows from the teachings of the applied prior art." Ex parte Levy, 17 USPQ2d 1461, 1464 (Bd. Pat. App. & Inter. 1990) (emphasis in original). Any suggestion that the daptomycin purity of <u>Baker</u> inherently anticipates the purity presently claimed requires a citation to some extrinsic evidence from which the suggestion necessarily follows. No citation has been made to any extrinsic support for

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any purity different from that explained above.

If the Examiner were to rely upon facts relating to the purity of the daptomycin compositions taught in <u>Baker</u> without documentary evidence, such official notice is not appropriate as a basis for asserting that Baker inherently discloses the claimed daptomycin compositions:

[o]fficial notice without documentary evidence to support an examiner's conclusion is permissible only in some circumstances....Official notice unsupported by documentary evidence should only be taken by the examiner where the facts asserted to be well-known, or to be common knowledge in the art are capable of instant and unquestionable demonstration as being well-known (MPEP 2144.03).

Applicants submit that the inherent characteristics of the teachings of <u>Baker</u>, particularly vis-à-vis the twelve other daptomycin impurities, are not well known or common knowledge capable for instant and unquestionable demonstration.

# A. <u>The Present Claims, When Properly Interpreted, Are Not Anticipated by</u> <u>Baker</u>

The Office Action reads:

Baker et al discloses a composition or pharmaceutical composition comprising substantially pure daptomycin, which meets the criteria of claim 1(a)-1(d) and 1(g), and its dependent claims because the term "comprising" indicates the composition can contain something else besides substantially or essentially pure daptomycin (Office Action at pages 3-4, emphasis in original).

While "comprising" is open-ended, it is not so open as to vitiate the claim limitation of the <u>daptomycin</u>. In particular, the "something else" that the Office Action asserts is within claimed inventions using "comprising" cannot alter the claimed <u>daptomycin</u> to not have the daptomycin purity of the claim. A proper use of comprising would maintain the existing purity limitations to the extent claimed and not attempt to read the limitation out of the claim. By adding "something else," the claims were interpreted in a manner inconsistent with both the specification and their plain meaning. This interpretation is not permitted:

Indeed, the rules of the PTO require that application claims must "conform to the invention as set forth in the remainder of the specification and the terms

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and phrases used in the claims must find clear support or antecedent basis in the description so that the meaning of the terms in the claims may be ascertainable by reference to the description." 37 CFR 1.75(d)(1)... The words of a claim must be given their "plain meaning"... (MPEP 2111, 2111.01).

Based on the plain meaning of the claims, the <u>daptomycin within the composition</u> -- not necessarily the entire composition itself -- must be interpreted to retain the claimed characteristics. Therefore, Baker does not meet the criteria of claim 1(a)-1(d) and 1(g), and its dependent claims because the term "comprising" cannot eliminate the claimed purity.

# B. <u>Request for Reconsideration and Withdrawal Of Rejection Under 35</u> <u>U.S.C. § 102(e)</u>

Baker does not anticipate claims 1 and 54 under 35 U.S.C § 102(e) when the claims are properly interpreted primarily because: (1) <u>Baker</u> teaches a different measurement of purity which does not consider the fourteen daptomycin impurities and (2) <u>Baker</u> had at best about 93% pure daptomycin when including the twelve additional daptomycin impurities. Therefore the rejection is traversed. Accordingly, Applicant respectfully requests withdrawal of the rejection under 35 U.S.C. § 102(e) and reconsideration and allowance of all pending claims.

# C. <u>New Claims 64-160 Depend from Objected to Claims</u>

New claims 64-160 depend from claims directed to subject matter merely objected to solely for depending from an otherwise rejected claim and thus should be allowed.

# III. <u>Product-by-Process Claim Interpretation</u>

The Office Action reads that claims 11-29 are not patentable because the productby-process claims are limited by and defined by the process, determination of patentability is based on the product itself, and the patentability of a product does not depend on its method of production. As this basis for rejection is overcome in the present claims, Applicants reserve the right to later challenge the different interpretations applied

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to product-by-process claims.

# IV. Obviousness-Type Double Patenting

The Office Action reads that claims 1, 8-9, 46, 54-55, 57, 58 and 60 are rejected over claims 18-20, 26, 28 and 29 of <u>Baker</u>. Applicants reserve their right to file a terminal disclaimer upon an indication of allowance of these claims over <u>Baker</u> under 35 U.S.C. § 102(e) as requested above or to cancel such claims in a further amendment.

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# CONCLUSION

For the reasons presented above, Applicant respectfully requests reconsideration and prompt allowance of all pending claims. A Petition for Extension of Time is enclosed. In the absence of such a petition, Applicants request that this paper be considered to include such a Petition for a Three (3) Month Extension of Time. Please deduct the petition fee and apply any other charges or credits required for entry of this amendment to Deposit Account No.50-1986, referencing attorney docket number C062-02/03 US. No authorization is given to deduct the issue fee at this time.

Respectfully submitted,

Date:<u>September 22, 2010</u> Cubist Pharmaceuticals, Inc. 65 Hayden Avenue Lexington, Massachusetts 02421 Tel.: (781) 860-8660 Fax: (781) 860-1407 /William D. DeVaul/ William D. DeVaul, Reg. No. 42,483 Attorney for Applicants

C062-02-03 US 20100922 Resp to 20100322 OA.doc

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 11/739,180

Confirmation No. 8837

Applicant : Thomas J. Kelleher

Filed : April 24, 2007

TC/A.U. : 1656

Examiner : Chih-Min Kam

Docket No. : C062-02/03 US

Customer No. : 34103

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313

#### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. §§ 1.56, 1.97(c) and 1.98, applicants make of record the following documents which are listed on the enclosed Form PTO/SB/08a. Copies of the following document(s) are enclosed herewith:

Molloy, M. et al., Abstract, "Structure & Anhydro-Daptomycin and Iso-Daptomycin," ACS 200<sup>th</sup> Meeting, 1990.

Molloy, M. et al., Poster, "Structure & Anhydro-Daptomycin and Iso-Daptomycin," ACS 200<sup>th</sup> Meeting, 1990.

#### <u>REMARKS</u>

Applicants have submitted an abstract and a poster.

Applicants request that the cited documents be fully considered by the

Examiner during the course of examination of this application and that a copy of Form PTO/SB/08a, as considered, initialed, and signed by the Examiner, be returned with the next communication.

Page 1 of 2

No fee is believed to be due in connection with this filing, however, please apply any other charges or credits to Deposit Account No. 50-1986, referencing attorney docket number C062-02/03 US.

Respectfully submitted,

Dated: <u>September 22, 2010</u> Customer No.: 34103 Cubist Pharmaceuticals, Inc. 65 Hayden Avenue Lexington, Massachusetts 02421 Tel.: (781) 860-8660 Fax: (781) 860-1407 C062-02-03 US 20100115 Suppl IDS letter

/William D. DeVaul/ William D. DeVaul, Reg. No. 42,483 Attorney for Applicants

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Substitute for form 1449/PTO		Complete if Known				
	Application Number	11739180				
INFORMATION DISCLOSURE	Filing Date	2007-04-24				
STATEMENT BY APPLICANT	First Named Inventor	Kelleher, Thomas J.				
(Use as many sheets as necessary)	Art Unit	1656				
	Examiner Name	Chih-Min Kam				
Sheet 1 of 1	Attorney Docket Number	C062-02/03 US				

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		Molloy, M. et al., Abstract, "Structure & Anhydro-Daptomycin and Iso-Daptomycin," ACS 200th Meeting, 1990.	
		Molloy, M. et al., Poster, "Structure & Anhydro-Daptomycin and Iso-Daptomycin," ACS 200th Meeting, 1990.	

Examiner	Date	
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- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (*i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

# Doc Code: TRAN.LET Document Description: Transmittal Letter

/ooum			Letter			PTO/SB/21 (07-09) Approved for use through 07/31/2012. OMB 0651-0031
$\sim$	Jnder the Pa	perwork Reduction Act of 1995	. no person			Trademark Office; U.S. DEPARTMENT OF COMMERCE <u>nformation unless it displays a valid OMB control number</u> .
	TR	RANSMITTAL		Filing Date	2007-04-	-24
		FORM		First Named Inventor	Kelleher,	, Thomas J.
				Art Unit	1656	
(tc	be used for	all correspondence after initial	filing)	Examiner Name	Chih-Min	n Kam
Tot	al Number of	f Pages in This Submission	36	Attorney Docket Numbe	r C062-02/	1/03 US
			ENC	LOSURES (Check	all that appl	ly)
	Amendma Amendma Ari Extension Express A Information Certified ( Document Reply to I Incomple	fter Final ffidavits/declaration(s) n of Time Request Abandonment Request on Disclosure Statement Copy of Priority		Drawing(s) Licensing-related Papers Petition Petition to Convert to a Provisional Application Power of Attorney, Revoca Change of Correspondenc Terminal Disclaimer Request for Refund CD, Number of CD(s) Landscape Table on rks	e Address	After Allowance Communication to TC Appeal Communication to Board of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please Identify below):
		SIGNA	TURE C	OF APPLICANT, ATT	ORNEY,	OR AGENT
Firm N	lame	Cubist Pharmaceuticals, I	nc.			
Signat	ture	/William D. DeVaul/				
Printe	d name	William D. DeVaul				
Date		September 22, 2010			Reg. No.	42,483

	CERTIFICATE OF TRANSMISSION/MAILING					
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:						
Signature						
Typed or printed name		Date				

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

# **Privacy Act Statement**

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The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
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- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Electronic Patent Application Fee Transmittal						
Application Number:	117	739180				
Filing Date:	24-	Apr-2007				
Title of Invention:	Hiç	Jh Purity Lipopeptic	les			
First Named Inventor/Applicant Name:	The	omas Kelleher				
Filer:	William D. DeVaul					
Attorney Docket Number: C062-02/03 US						
Filed as Small Entity						
Utility under 35 USC 111(a) Filing Fees						
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)	
Basic Filing:						
Pages:						
Claims:						
Claims in excess of 20		2202	135	26	3510	
Independent claims in excess of 3		2201	4	110	440	
Multiple dependent claims		2203	1	195	195	
Miscellaneous-Filing:						
Petition:						
Patent-Appeals-and-Interference:						

Description	Fee Code Quantity		Amount	Sub-Total in USD(\$)	
Post-Allowance-and-Post-Issuance:					
Extension-of-Time:					
Extension - 3 months with \$0 paid	2253	1	555	555	
Miscellaneous:					
	Tot	al in USD	(\$)	4700	

Electronic Ac	knowledgement Receipt
EFS ID:	8478370
Application Number:	11739180
International Application Number:	
Confirmation Number:	8837
Title of Invention:	High Purity Lipopeptides
First Named Inventor/Applicant Name:	Thomas Kelleher
Customer Number:	34103
Filer:	William D. DeVaul
Filer Authorized By:	
Attorney Docket Number:	C062-02/03 US
Receipt Date:	22-SEP-2010
Filing Date:	24-APR-2007
Time Stamp:	21:35:01
Application Type:	Utility under 35 USC 111(a)

# Payment information:

Submitted with Payment	yes				
Payment Type	Deposit Account				
Payment was successfully received in RAM	\$4700				
RAM confirmation Number 7645					
Deposit Account	501986				
Authorized User					
The Director of the USPTO is hereby authorized to c	harge indicated fees and credit any overpayment as follows:				
Charge any Additional Fees required under 37 C.F	R. Section 1.17 (Patent application and reexamination processing fees)				
Charge any Additional Fees required under 37 C.F	F.R. Section 1.21 (Miscellaneous fees and charges)				

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Extension of Time	C062-02-03_US_20100922_Peti	331536	no	2
·		tion_Ext.pdf	d406943cde79d4a9a50de895a52e5dc59be 047d3	110	
Warnings:					
Information:					
2	Amendment/Req. Reconsideration-After Non-Final Reject	C062-02-03_US_20100922_Res ponse.pdf	94774	no	25
	Non-Final Reject	ponse.pdi	1c8320110c98fe2e0a4cb5e0b805df08c05b 9bc2		
Warnings:					
Information:					
3	Transmittal Letter	C062-02-03_US_2010922_Supp	16160	no	2
		l_IDS_Ltr.pdf	ee73ac7fe4fed3a95da6886092dc6c6d0742 8741		_
Warnings:					
Information:					
4	Information Disclosure Statement (IDS)	C062-02-03_US_20100922_IDS.	338885	no	2
	Filed (SB/08) pdf 25e2d77db292296553c3d29b23 b062		25e2d77cb29229fe553c3d29b23fe9ffec37 b062		
Warnings:					
Information:					
This is not an U	SPTO supplied IDS fillable form				
5	NPI Documents	Molloy Abstract pdf	227387	no	2
5	Ni E Documents	Monoy_Abstract.pdf	7ceb2c2b4777618649e781f7efad4fb629f5 4651	110	2
Warnings:	`				
Information:					
u a		Debana Dector 2 ndf	270775	no	3
0	NPL Documents	Debono Poster 2.001			
0	NPL Documents	Debono_Poster_2.pdi	fa251b7ca61f3fc4a755dc18f439f3b5f19a7c d4	110	
Warnings:	NPL Documents	Debono_Poster_2.pdi			
	4       Information Disclosure Statement (IDS) Filed (SB/08)       C062-02-03_US_20100922_IDS. pdf				
Warnings: Information:		C062-02-03_US_20100922_Tra	d4		
Warnings:		C062-02-03_US_20100922_Tra	d4 68443 311303503d6aa9a0f6e6061d5a69c330102	no	2
Warnings: Information:		C062-02-03_US_20100922_Tra	d4 68443 311303503d6aa9a0f6e6061d5a69c330102		2
Warnings: Information: 7	Miscellaneous Incoming Letter	C062-02-03_US_20100922_Tra	d4 68443 311303503d6aa9a0f6e6061d5a69c330102		2
Warnings: Information: 7 Warnings: Information:	Miscellaneous Incoming Letter	C062-02-03_US_20100922_Tra nsm.pdf	d4 68443 311303503d6aa9a0f6e6061d5a69c330102	no	
Warnings: Information: 7 Warnings:	Miscellaneous Incoming Letter	C062-02-03_US_20100922_Tra	d4 68443 311303503d6aa9a0f6e6061d5a69c330102 14de8		2

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

PTO/SB/22 (07-09) Approved for use through 07/31/2012. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARMENT OF COMMERCE Under the paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PETITION	FOR EXTENSION OF TIME UNDER	37 CFR 1 136(a)	Docket Number (Option	al)
	FY 2009		C062-02/03 US	
	pursuant to the Consolidated Appropriations Act	, 2005 (H.R. 4818).)		7
	Number 11/739,180		Filed April 24, 2007	,
For HIGH	H PURITY LIPOPEPTIDES			
Art Unit 16	56		Examiner Chih-Min	Kam
This is a req application.	uest under the provisions of 37 CFR 1.13	36(a) to extend the pe	riod for filing a reply in th	e above identified
The request	ed extension and fee are as follows (che			e fee below):
		<u>Fee</u>	Small Entity Fee	<b>A</b>
	One month (37 CFR 1.17(a)(1))	\$130	\$65	\$
	Two months (37 CFR 1.17(a)(2))	\$490	\$245	\$
~	Three months (37 CFR 1.17(a)(3))	\$1110	\$555	\$ <u>555.00</u>
	Four months (37 CFR 1.17(a)(4))	\$1730	\$865	\$
	Five months (37 CFR 1.17(a)(5))	\$2350	\$1175	\$
Applica	nt claims small entity status. See 37 CFR	1.27.		
A chec	k in the amount of the fee is enclosed	d.		
🗌 Payme	ent by credit card. Form PTO-2038 is	attached.		
🖌 The Di	rector has already been authorized to	charge fees in this	application to a Depos	sit Account.
	rector is hereby authorized to charge it Account Number	any fees which ma	y be required, or credit	t any overpayment, to
WARNIN Provide	IG: Information on this form may become p credit card information and authorization c	oublic. Credit card infor on PTO-2038.	mation should not be incl	uded on this form.
I am the	applicant/inventor.			
	assignee of record of the enti Statement under 37 CFR 3			
	attorney or agent of record. R	egistration Number		
	Attorney or agent under 37 Cl Registration number if acting und	FR 1.34. ler 37 CFR 1.34 _42,48	3	
/Williar	n D. DeVaul/		September 22	2, 2010
	Signature			Date
William	n D. DeVaul		781-860-8559	
	Typed or printed name		Teleph	one Number
	res of all the inventors or assignees of record of the e uired, see below.	entire interest or their repres	entative(s) are required. Submit	multiple forms if more than one
Total		are submitted.		
USPTO to proces	information is required by 37 CFR 1.136(a). The info ss) an application. Confidentiality is governed by 35 l ng gathering, preparing, and submitting the complete	J.S.C. 122 and 37 CFR 1.1	and 1.14. This collection is es	timated to take 6 minutes to

competer, including gathering, preparing, and submitting the complete displication for the use of 16. The win value depending upon the individual action. All comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

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- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
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- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
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PTO/SB/06 (07-06)

Approved for use through 1/31/2007. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

P/	Under the Par		E DETI	ERMINATION	e required to respon		pplication or I	of information unle Docket Number 9,180	Fil	plays a valid 0 ing Date 24/2007	DMB control numb
	AF	PPLICATION	AS FILE (Column 1		Column 2)		SMALL	entity 🕅	OR		IER THAN LL ENTITY
	FOR	1		, ,	VBER EXTRA		RATE (\$)	FEE (\$)		RATE (\$)	FEE (\$)
	BASIC FEE (37 CFR 1.16(a), (b), (	or (c))	N/A		N/A		N/A	,		N/A	,
	SEARCH FEE (37 CFR 1.16(k), (i), c		N/A		N/A		N/A			N/A	
	EXAMINATION FE (37 CFR 1.16(o), (p), (	E	N/A		N/A		N/A			N/A	
(37	FAL CLAIMS CFR 1.16(i))		mir	nus 20 = *			X \$ =		OR	X \$ =	
INDEPENDENT CLAIMS (37 CFR 1.16(h)) minus 3 = *				X \$ =			X \$ =				
	APPLICATION SIZE 37 CFR 1.16(s)) MULTIPLE DEPEN	FEE shee is \$2 add 35 U	ets of pape 250 (\$125 itional 50 s J.S.C. 41(	ation and drawing er, the applicatio for small entity) sheets or fraction a)(1)(G) and 37	n size fee due for each n thereof. See						
	he difference in colu						TOTAL			TOTAL	
			,	)ED – PART II						L	
	7.1.1										R THAN
_		(Column 1)	-	(Column 2)	(Column 3)		SMAL	L ENTITY	OR	SMA	LL ENTITY
	09/22/2010	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA		RATE (\$)	ADDITIONAL FEE (\$)		RATE (\$)	ADDITIONAL FEE (\$)
	Total (37 CFR 1.16(i))	* 194	Minus	** 59	= 135		X \$26 =	3510	OR	X \$ =	
	Independent (37 CFR 1.16(h))	* 7	Minus	***3	= 4		X \$110 =	440	OR	X \$ =	
	Application Si	ze Fee (37 CFR	1.16(s))								
•		ITATION OF MULT	IPLE DEPEN	DENT CLAIM (37 CFI	R 1.16(j))			195	OR		
							TOTAL ADD'L FEE	4145	OR	TOTAL ADD'L FEE	
		(Column 1)	-	(Column 2)	(Column 3)						
		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA		RATE (\$)	additional Fee (\$)		RATE (\$)	ADDITIONAL FEE (\$)
	Total (37 CFR 1.16(i))	*	Minus	**	=		X \$ =		OR	X \$ =	
	Independent (37 CFR 1.16(h))	*	Minus	***	=		X \$ =		OR	X \$ =	
	Application Si	ze Fee (37 CFR	1.16(s))								
		ITATION OF MULT	IPLE DEPEN	DENT CLAIM (37 CFI	R 1.16(j))				OR		
							TOTAL ADD'L FEE		OR	TOTAL ADD'L FEE	
"* If "** I The	the entry in column the "Highest Numbe f the "Highest Numb "Highest Number P collection of informal	er Previously Pai er Previously Pa reviously Paid Fo	d For" IN TH id For" IN T pr" (Total or	HS SPACE is less HIS SPACE is less Independent) is th	than 20, enter "20" s than 3, enter "3".	ound	Legal Ir /DORIS d in the appro	•	mn 1.	er:	/ the USPTO to

process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.16. The information is required to obtain of retain a benefit by the public which is to the quite by the quite by the public which is to the quite by the quite by the public which is to the quite by the quit

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

	ted States Patent a	UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov		
APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
11/739,180	04/24/2007	Thomas Kelleher	C062-02/03 US	8837
Cubist Pharma		EXAMINER KAM, CHIH MIN		
65 Hayden Avenue Lexington, MA 02421			ART UNIT	PAPER NUMBER
2000,000,000			1656	
			MAIL DATE	DELIVERY MODE
			03/22/2010	PAPER

# Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

		Application No.	Applicant(s)				
		11/739,180	KELLEHER ET AL.				
	Office Action Summary	Examiner	Art Unit				
		CHIH-MIN KAM	1656				
	The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply						
<ul> <li>A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE <u>3</u> MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.</li> <li>Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.</li> <li>If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.</li> <li>Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).</li> </ul>							
Status							
1)🖂	Responsive to communication(s) filed on	13 November 2009.					
		This action is non-final.					
3)	, ——						
	closed in accordance with the practice un	der <i>Ex parte Quayle</i> , 1935 C.D. 11, 4	153 O.G. 213.				
Dispositi	ion of Claims						
4)🛛	Claim(s) <u>1-29,31-36,38-44,46-52 and 54-</u>	63 is/are pending in the application					
	4a) Of the above claim(s) is/are wit						
	Claim(s) is/are allowed.						
	6)⊠ Claim(s) <u>1.8-29,38,46,54-58 and 60</u> is/are rejected.						
	Claim(s) 2-7,31-36,39-44,47-52,59 and 6	-					
8)	Claim(s) are subject to restriction a	and/or election requirement.					
Applicati	Application Papers						
,	9) The specification is objected to by the Examiner. 10) The drawing(s) filed on 24 April 2007 is are: $2$ accorded or b) the Examiner						
	10)⊠ The drawing(s) filed on <u>24 April 2007</u> is/are: a)⊠ accepted or b)⊡ objected to by the Examiner. Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).						
	Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d). 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.						
Priority ι	ınder 35 U.S.C. § 119						
12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).							
a) All b) Some * c) None of:							
1. Certified copies of the priority documents have been received.							
	2. Certified copies of the priority documents have been received in Application No						
	3. Copies of the certified copies of the priority documents have been received in this National Stage						
application from the International Bureau (PCT Rule 17.2(a)).							
* See the attached detailed Office action for a list of the certified copies not received.							
Attachmen	*/c)						
Attachmen	e of References Cited (PTO-892)	4) 🔀 Interview Summar	v (PTO-413)				
2) 🗌 Notic	2) Notice of Draftsperson's Patent Drawing Review (PTO-948) Paper No(s)/Mail Date. <u>20100222</u> .						
	nation Disclosure Statement(s) (PTO/SB/08)	5) 🔛 Notice of Informal 6) 🛄 Other:	Patent Application				
U.S. Patent and T	r No(s)/Mail Date <u>11/13/09, 1/15/10</u> . rademark Office						
PTOL-326 (R		ice Action Summary F	Part of Paper No./Mail Date 20100222				

	Application No.	Applicant(s)				
	11/739,180	KELLEHER ET AL.				
Interview Summary	Examiner	Art Unit				
	CHIH-MIN KAM	1656				
All participants (applicant, applicant's representative, PTO personnel):						
(1) <u>CHIH-MIN KAM</u> .	(3) <u>William D DeVaul</u> .					
(2) <u>Jill M. Mandelblatt</u> .	(4)					
Date of Interview: <u>07 January 2010</u> .						
Type: a)⊠ Telephonic b)□ Video Conference c)□ Personal [copy given to: 1)□ applicant 2)□ applicant's representative]						
Exhibit shown or demonstration conducted: d) Yes e) No. If Yes, brief description:						
Claim(s) discussed: <u>pending claims</u> .						
Identification of prior art discussed: <u>US RE39,071 E</u> .						
Agreement with respect to the claims f) was reached. g) was not reached. h) $\square$ N/A.						
Substance of Interview including description of the general nature of what was agreed to if an agreement was reached, or any other comments: <u>Discussing the rejection under 35 USC 102(e)/103(a)</u> , the statement that was added to the specification regarding a joint research agreement and amendment to the claims applicants will file part of joint research agreement and assignment. (A fuller description, if necessary, and a copy of the amendments which the examiner agreed would render the claims allowable, if available, must be attached. Also, where no copy of the amendments that would render the claims allowable is available, a summary thereof must be attached.) THE FORMAL WRITTEN REPLY TO THE LAST OFFICE ACTION MUST INCLUDE THE SUBSTANCE OF THE INTERVIEW. (See MPEP Section 713.04). If a reply to the last Office action has already been filed, APPLICANT IS GIVEN A NON-EXTENDABLE PERIOD OF THE LONGER OF ONE MONTH OR THIRTY DAYS FROM THIS INTERVIEW DATE, OR THE MAILING DATE OF THIS INTERVIEW SUMMARY FORM, WHICHEVER IS LATER, TO FILE A STATEMENT OF THE SUBSTANCE OF THE INTERVIEW. See Summary of Record of Interview requirements on reverse side or on attached sheet.						
U.S. Patent and Trademark Office						
	Summary	Paper No. 20100222				

#### Summary of Record of Interview Requirements

#### Manual of Patent Examining Procedure (MPEP), Section 713.04, Substance of Interview Must be Made of Record

A complete written statement as to the substance of any face-to-face, video conference, or telephone interview with regard to an application must be made of record in the application whether or not an agreement with the examiner was reached at the interview.

#### Title 37 Code of Federal Regulations (CFR) § 1.133 Interviews Paragraph (b)

In every instance where reconsideration is requested in view of an interview with an examiner, a complete written statement of the reasons presented at the interview as warranting favorable action must be filed by the applicant. An interview does not remove the necessity for reply to Office action as specified in §§ 1.111, 1.135. (35 U.S.C. 132)

#### 37 CFR §1.2 Business to be transacted in writing.

All business with the Patent or Trademark Office should be transacted in writing. The personal attendance of applicants or their attorneys or agents at the Patent and Trademark Office is unnecessary. The action of the Patent and Trademark Office will be based exclusively on the written record in the Office. No attention will be paid to any alleged oral promise, stipulation, or understanding in relation to which there is disagreement or doubt.

The action of the Patent and Trademark Office cannot be based exclusively on the written record in the Office if that record is itself incomplete through the failure to record the substance of interviews.

It is the responsibility of the applicant or the attorney or agent to make the substance of an interview of record in the application file, unless the examiner indicates he or she will do so. It is the examiner's responsibility to see that such a record is made and to correct material inaccuracies which bear directly on the question of patentability.

Examiners must complete an Interview Summary Form for each interview held where a matter of substance has been discussed during the interview by checking the appropriate boxes and filling in the blanks. Discussions regarding only procedural matters, directed solely to restriction requirements for which interview recordation is otherwise provided for in Section 812.01 of the Manual of Patent Examining Procedure, or pointing out typographical errors or unreadable script in Office actions or the like, are excluded from the interview recordation procedures below. Where the substance of an interview is completely recorded in an Examiners Amendment, no separate Interview Summary Record is required.

The Interview Summary Form shall be given an appropriate Paper No., placed in the right hand portion of the file, and listed on the "Contents" section of the file wrapper. In a personal interview, a duplicate of the Form is given to the applicant (or attorney or agent) at the conclusion of the interview. In the case of a telephone or video-conference interview, the copy is mailed to the applicant's correspondence address either with or prior to the next official communication. If additional correspondence from the examiner is not likely before an allowance or if other circumstances dictate, the Form should be mailed promptly after the interview rather than with the next official communication.

The Form provides for recordation of the following information:

- Application Number (Series Code and Serial Number)
- Name of applicant
- Name of examiner
- Date of interview
- Type of interview (telephonic, video-conference, or personal)
- Name of participant(s) (applicant, attorney or agent, examiner, other PTO personnel, etc.)
- An indication whether or not an exhibit was shown or a demonstration conducted
- An identification of the specific prior art discussed
- An indication whether an agreement was reached and if so, a description of the general nature of the agreement (may be by attachment of a copy of amendments or claims agreed as being allowable). Note: Agreement as to allowability is tentative and does not restrict further action by the examiner to the contrary.
- The signature of the examiner who conducted the interview (if Form is not an attachment to a signed Office action)

It is desirable that the examiner orally remind the applicant of his or her obligation to record the substance of the interview of each case. It should be noted, however, that the Interview Summary Form will not normally be considered a complete and proper recordation of the interview unless it includes, or is supplemented by the applicant or the examiner to include, all of the applicable items required below concerning the substance of the interview.

- A complete and proper recordation of the substance of any interview should include at least the following applicable items:
- 1) A brief description of the nature of any exhibit shown or any demonstration conducted,
- 2) an identification of the claims discussed,
- 3) an identification of the specific prior art discussed,
- 4) an identification of the principal proposed amendments of a substantive nature discussed, unless these are already described on the Interview Summary Form completed by the Examiner,
- 5) a brief identification of the general thrust of the principal arguments presented to the examiner,
  - (The identification of arguments need not be lengthy or elaborate. A verbatim or highly detailed description of the arguments is not required. The identification of the arguments is sufficient if the general nature or thrust of the principal arguments made to the examiner can be understood in the context of the application file. Of course, the applicant may desire to emphasize and fully describe those arguments which he or she feels were or might be persuasive to the examiner.)
- 6) a general indication of any other pertinent matters discussed, and

7) if appropriate, the general results or outcome of the interview unless already described in the Interview Summary Form completed by the examiner.

Examiners are expected to carefully review the applicant's record of the substance of an interview. If the record is not complete and accurate, the examiner will give the applicant an extendable one month time period to correct the record.

#### **Examiner to Check for Accuracy**

If the claims are allowable for other reasons of record, the examiner should send a letter setting forth the examiner's version of the statement attributed to him or her. If the record is complete and accurate, the examiner should place the indication, "Interview Record OK" on the paper recording the substance of the interview along with the date and the examiner's initials.

Application/Control Number: 11/739,180 Art Unit: 1656

#### **DETAILED ACTION**

1. The Request for Continued Examination (RCE) filed on November 13, 2009 under 37 CFR 1.114 is acknowledged. An action on the RCE follows.

### Status of the Claims

2. Claims 1-29, 31-36, 38-44, 46-52 and 54-63 are pending.

Applicants' amendment filed November 13, 2009 is acknowledged. New claims 58-63

have been added. Therefore, claims 1-29, 31-36, 38-44, 46-52 and 54-63 are examined.

### Withdrawn Claim Rejections - 35 USC § 103

3. The previous rejection of claims 2-5, 31-34, 39-42 and 47-50 under 35 U.S.C. 103(a) as

being unpatentable over Baker et al. (US RE39,071 E) is withdrawn in view of applicants'

statement added to the specification regarding a joint research agreement, and applicant's

response at pages 9-10 in the amendment filed November 13, 2009.

### Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the

basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(e) the invention was described in a patent granted on an application for patent by another filed in the United States before the invention thereof by the applicant for patent, or on an international application by another who has fulfilled the requirements of paragraphs (1), (2), and (4) of section 371(c) of this title before the invention thereof by the applicant for patent.

The changes made to 35 U.S.C. 102(e) by the American Inventors Protection Act of 1999 (AIPA) and the Intellectual Property and High Technology Technical Amendments Act of 2002 do not apply when the reference is a U.S. patent resulting directly or indirectly from an international application filed before November 29, 2000. Therefore, the prior art date of the reference is determined under 35 U.S.C. 102(e) prior to the amendment by the AIPA (pre-AIPA 35 U.S.C. 102(e)).

# Application/Control Number: 11/739,180 Art Unit: 1656

4. Claims 1, 8-29, 38, 46, 54-58 and 60 are rejected under 35 U.S.C. 102(e) as anticipated by Baker *et al.* (US RE39,071 E, reissue of U.S. Patent 5,912,226, filed December 16, 1991).

Baker et al. teach an antibacterial composition comprising daptomycin (LY146032) obtained in substantially pure form, which refers to daptomycin that contains less than 2.5% of a combined total of anhydro-daptomycin and beta-isomer of daptomycin (column 8, lines 50-60; Examples 4 and 5; claim 1(g), 54, 58 and 60), where daptomycin is purified by a procedure using Diaion HP-20 resin column, followed by HPLC and another HP-20 resin column (Examples 1-5, claim 8). Baker et al. also teach the preparation of a pharmaceutical formulation comprising the purified daptomycin (LY146032) with pharmaceutical carriers or excipients (column 9, lines 47-59; claims 9, 38, 46 and 55-57). Baker et al. indicate the daptomycin (LY146032) is in substantially pure form and contains less than 2.5% of a combined total of anhydro-daptomycin and beta-isomer of daptomycin, thus claims 11-29 are not patentable because the product by process claims are limited by and defined by the process, determination of patentability is based on the product itself, and the patentability of a product does not depend on its method of production (see MPEP 2113). In the instant case, the composition comprising daptomycin (LY146032) that is in substantially pure form and contains less than 2.5% of a combined total of anhydro-daptomycin and beta-isomer of daptomycin as indicated in the patent is not different from the claimed composition comprising substantially pure daptomycin (>97% daptomycin), even though the daptomycin of reference is purified by a different process. Baker et al. also disclose an antibiotic composition comprised of a combination of a compound of formula 1 (i.e., anhydro-A21978C; column 1, lines 14-21), a compound of formula 2 (isomer of A21978C) and a

compound of formula 3 (the parent cyclic peptide of A21978C; LY146032) or pharmaceutically acceptable salts (Reissue: claim 18; claim 10 of instant application).

### Response to Arguments

Applicants indicate that the purity of daptomycin in Baker can only be interpreted as defined by Baker, thus Baker can be interpreted to read that there is 97.5% of daptomycin over a daptomycin plus anhydro-daptomycin ("A") plus beta isomer daptomycin ("B") composition. The present application describes daptomycin purity relative to daptomycin plus anhydro-daptomycin (impurity No. 13) plus beta isomer daptomycin (impurity No. 8) plus 12 other impurities (impurities 1-7, 9-12 and 14) as described in Table 3 of the specification. Thus, Baker uses a different purity and does not teach purity over the 14 daptomycin impurities. Applicants also indicate that Baker had at best about 93% purity against the 14 daptomycin impurities, while comparing Baker's later work in US 4,874,843 with Baker's RE39,071, which use similar purification procedure. Applicants further assert that evidence of inherency and/or notice of facts to support the inherency of the present claims have not been provided. Furthermore, Baker does not specifically describe the following limitations:

1. essentially pure daptomycin (i.e. at least 98% daptomycin in the present application),

2. daptomycin substantially free of anhydro-daptomycin and substantially free of  $\beta$ isomer of daptomycin (each no more than 1%),

3. daptomycin essentially free of anhydro-daptomycin (no more than 0.5%) and substantially free of  $\beta$ -isomer of daptomycin (no more than 1%),

4. daptomycin free of anhydro-daptomycin (no more than 0.1%) and substantially free of  $\beta$ -isomer of daptomycin (no more than 1%), and

5. daptomycin that substantially or essentially free of each of impurities 1 to 14 defined by peaks 1-14 of FIG. 12,

6. at least 95% pure daptomycin, and

7. greater than about 93% pure daptomycin.

Therefore, Baker did not anticipate claims 1-5, 8-29, 31-34, 38-42, 46-50 and 54-57, the rejection under 35 U.S.C. 102 (e) should be withdrawn (pages 10-17 of the response).

Applicants' response has been fully considered. Regarding claims 1(a)-1(f), 2-5, 31-34, 39-42 and 47-50, the arguments are found persuasive and the rejection is withdrawn. However, regarding claim 1(g) and its dependent claims, the arguments are not found persuasive because of the following reasons. Baker *et al.* teach an antibacterial composition comprising daptomycin (LY146032) obtained in substantially pure form, which refers to daptomycin that contains less than 2.5% of a combined total of anhydro-daptomycin and beta-isomer of daptomycin (column 8, lines 50-60; Examples 4 and 5). Since Baker *et al.* do not indicate other impurities besides anhydro-daptomycin and beta-isomer of daptomycin (LY146032) in substantially pure form, it reads that the daptomycin has more than 97.5% purity. While Baker implies that other degradants are present, but are not predominant in the pH range that optimizes the transpeptidation reactions, the reference does not indicate other degradants are present <u>after</u> the purification procedure (column 8, lines 45-49). While Baker's later work (U.S. Patent 4,874,843) shows undetermined impurities at least as great as 7%, and daptomycin has at

best 93% purity, the '843 patent only use a single HP-20 resin column to purify daptomycin with a yield of 50-60% (Example 1-2), which is different from the purification procedure (i.e., Diaion HP-20 resin column, followed by HPLC and another HP-20 resin column) used by Baker et al. in the US RE39,071 E (e.g., with a very low yield in Example 3). Thus, even Baker (U.S. Patent 4,874,843) shows undetermined impurities at least as great as 7%, and daptomycin has at best 93% purity, it does not mean that the daptomycin purified by Baker et al. in the US RE39,071 E has at best 93% purity since the purification procedures used by two patents are different. As shown in Example 2 of the present application, the purity level of the daptomycin was 91% using the purification method from the '843 patent, and the daptomycin sample was further confirmed to contain fourteen impurities (Example 10), which does not mean the daptomycin purified by Baker et al. in the US RE39,071 E would have at best 93% purity when a different purification procedure is used. The daptomycin purified by Baker et al. in the US RE39,071 E is obtained in substantially pure form that contains less than 2.5% of a combined total of anhydro-daptomycin and beta-isomer of daptomycin as taught by Baker et al. is not different from the claimed composition as indicated in claim 1(g) because the claimed substantially pure daptomycin has also >97% purity without indicating the existence of other 14 impuritites. Therefore, the rejection of claim 1(g) and its dependent claims are maintained.

### Claim Rejections-Obviousness Type Double Patenting

The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. See *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970);and, *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent is shown to be commonly owned with this application. See 37 CFR 1.130(b).

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

5. Claims 1, 8-9, 46, 54-55, 57, 58 and 60 are rejected under the judicially created doctrine of obviousness-type double patenting as being unpatentable over claims 18-20, 26, 28 and 29 of U.S. Patent RE39,071 E. Although the conflicting claims are not identical, they are not patentably distinct from each other because claims 1, 8-9, 46, 54-55, 57, 58 and 60 in the instant application disclose a composition comprising substantially pure daptomycin (i.e., >97% purity daptomycin); or a pharmaceutical composition comprising the composition and a pharmaceutically acceptable carrier or excipient. This is obvious variation in view of claims 18-20, 26, 28 and 29 of the patent which disclose an antibiotic composition comprised of a combination of a compound of formula 1 (i.e., anhydro-daptomycin), a compound of formula 2 (i.e., beta-isomer of daptomycin) and a compound of formula 3 (i.e., daptomycin, A21978C), or pharmaceutically acceptable salts thereof, wherein the total amount of the compound of formula 1 and the compound of formula 2 or salts thereof, in the combination is less than 6 weight percent; or a pharmaceutical formulation comprising a combination of a compound of formula 1 (i.e., anhydro-daptomycin), a compound of formula 2 (i.e., beta-isomer of daptomycin) and a compound of formula 3 (i.e., daptomycin, A21978C), or pharmaceutically acceptable salts thereof, wherein the total amount of the compound of formula 1 and the compound of formula 2 or salts thereof, in the combination is less than 6 weight percent and the pharmaceutical formulation further comprises from about 0.1 to about 90 weight percent of the A21978C. Both

claims of instant application and the patent are directed to a composition comprising substantially pure daptomycin (i.e., >97% purity daptomycin); or a pharmaceutical composition comprising the composition and a pharmaceutically acceptable carrier or excipient. Thus, claims 1, 8-9, 46, 54-55, 57, 58 and 60 in present application and claims 18-20, 26, 28 and 29 of the patent are obvious variations of a composition comprising substantially pure daptomycin (i.e., >97% purity daptomycin); or a pharmaceutical composition comprising the composition and a pharmaceutically acceptable carrier or excipient.

### **Claim Objections**

6. Claims 2-7, 31-36, 39-44, 47-52, 59 and 61-63 are objected to because the claims are dependent from a rejected claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

### Conclusion

7. Claims 1, 8-29, 38, 46, 54-58 and 60 are rejected; and claims 2-7, 31-36, 39-44, 47-52, 59 and 61-63 are objected to.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Chih-Min Kam whose telephone number is (571) 272-0948. The examiner can normally be reached on 8.00-4:30, Mon-Fri.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Manjunath Rao can be reached at 571-272-0939. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

/Chih-Min Kam/ Primary Examiner, Art Unit 1656

CMK February 22, 2010



Application No.

11/739,180 Examiner Applicant(s)

KELLEHER ET AL.

CHIH-MIN KAM

Art Unit

1656

SEARCHED									
Class	Subclass	Date	Examiner						
514	9, 11, 2, 14								
530	317, 322								
530	344								
435	886								

INTERFERENCE SEARCHED										
Class	Subclass	Date	Examiner							

SEARCH NOTES (INCLUDING SEARCH STRATEGY)								
	DATE	EXMR						
EAST Search on USPAT, USPGPUB, DERWENT, EPO, JPO; STN search on MEDLINE, BIOSIS, EMBASE, SCISEARCH, AGRICOLA.	2/13/2008	СМК						
Search strategy enclosed, Inventor name search, Parent applications 60/177,170 and 09/735,191, 10/747,48 have been reviewed.	2/13/2008	СМК						
Update the search	10/28/2008	СМК						
Update the search	8/5/2009	СМК						
Update the search	2/3/2010	СМК						

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## FILE 'MEDLINE, CAPLUS, BIOSIS, EMBASE, SCISEARCH, AGRICOLA' ENTERED AT

17:16:13 ON 03 FEB 2010

- L1 6384 S DAPTOMYCIN
- L2 2833 S SUBSTANTIALLY PURE
- L3 2231 S ESSENTIALLY PURE
- L4 0 S L1 (P) (L2 OR L3)
- L5 2 S L1 (P) IMPURITY
- L6 2 DUPLICATE REMOVE L5 (0 DUPLICATES REMOVED)
- L7 4 S ANHYDRO-DAPTOMYCIN OR BETA-DAPTOMYCIN
- L8 4 S L7 NOT L6
- L9 108122 S ANION EXCHANGE
- L10 10235 S HYDROPHOBIC INTERACTION CHROMATOGRAPHY
- L11 2 S L1 (P) L9 (P) L10
- L12 1 S L11 NOT (L6 OR L8)
- L13 385 S (LY 146032) OR A-21978C OR A54145 OR A-21978
- L14 1 S L13 (P) (L2 OR L3)
- L15 1 S L14 NOT (L12 OR L8 OR L6)
- L16 195 S KELLEHER T?/AU
- L17 10832 S LAI J?/AU
- L18 12 S DECOURCEY J?/AU
- L19 3483 S LYNCH P?/AU
- L20 74 S ZENONI M?/AU
- L21 130 S TAGLIANI A?/AU
- L22 14713 S L16 OR L17 OR L18 OR L19 OR L20 OR L21
- L23 20 S L22 AND L1
- L24 0 S L23 AND (L2 OR L3)

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PTO/SB/08a (07-09) Approved for use through 07/31/2012. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

	Application Number		11739180	
	Filing Date	2007-04-24		
INFORMATION DISCLOSURE	First Named Inventor	Thon	nas Kelleher	
STATEMENT BY APPLICANT	Art Unit		1656	
(Not for submission under 37 CFR 1.99)	Examiner Name	Chih	-Min Kam	
	Attorney Docket Numb	ber	C062-02/03 US	

					U.S.F	PATENTS				
Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Da	ate	Name of Pate of cited Docu	entee or Applicant ment	Relev	es,Columns,Lines where vant Passages or Relev es Appear	
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If you wisl	h to ac	dd additional U.S. Pater	nt citatio	n informa	ation pl	ease click the	Add button.			
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Examiner Initial*	Cite No	Foreign Document Number <sup>3</sup>	Countr Code <sup>2</sup>		Kind Code⁴	Publication Date	Name of Patente Applicant of cited Document		Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	Т5
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	Application Number		11739180	
	Filing Date		2007-04-24	
INFORMATION DISCLOSURE	First Named Inventor	Thon	has Kelleher	
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit	1	1656	
	Examiner Name	Chih	Min Kam	
	Attorney Docket Numb	er	C062-02/03 US	

/CMK./	/CMK./ 1 United States Application No. 07/060,148, filed June 10, 1987, Baker et al.						
If you wis	h to a	dd ado	litional non-patent literature document citatio	n information please click the Add br	utton		
			EXAMINER S	IGNATURE			
Examiner	Signa	ature	/Chih Min Kam/	Date Considered	03/16/2010		
			reference considered, whether or not citatior mance and not considered. Include copy of				
Standard S <sup>-</sup> <sup>4</sup> Kind of do	T.3). <sup>3</sup> I cument	For Japa by the a	O Patent Documents at <u>www.USPTO.GOV</u> or MPEP 90 anese patent documents, the indication of the year of the appropriate symbols as indicated on the document unde n is attached.	e reign of the Emperor must precede the seria	al number of the patent doo	cument.	

### **EAST Search History**

### EAST Search History (Prior Art)

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp	
L1	795	5 daptomycin US-PGPUB; USPAT; EPO; JPO; DERWENT		OR	ON	2010/02/03 17:09	
L2	53524	substantially adj pure	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2010/02/03 17:09	
L3	13946	essentially adj pure	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2010/02/03 17:09	
L4	8	L1 same (L2 or L3)	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2010/02/03 17:09	
L5	9	impurities same L1	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2010/02/03 17:10	
L6	9	anhydro-daptomycin or beta-daptomycin	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2010/02/03 17:10	
L7	50318	anion adj exchange	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2010/02/03 17:11	
L8	12738	hydrophobic adj interaction adj chromatography	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2010/02/03 17:11	
L9	6	L1 same L7 same L8	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2010/02/03 17:11	
L10	104	(Ly adj "146032") or A- 21978C or A54145 or A- 21978	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2010/02/03 17:11	
L11	2	L10 same (L2 or L3)	US-PGPUB; USPAT; EPO; JPO; DERWENT	3; OR 20;		2010/02/03 17:11	
L12	20	kelleher adj thomas.in.	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2010/02/03 17:12	
L13	9	lai adj jan-ji.in.	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2010/02/03 17:12	

L14	3	decourcey adj joseph. in.	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2010/02/03 17:12
L15	28	lynch adj paul.in.	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2010/02/03 17:12
L16	66	zenoni adj maurizio.in.	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2010/02/03 17:12
L17	6	tagliani adj auro.in.	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2010/02/03 17:12
L18	115	L12 or L13 or L14 or L15 or L16 or L17	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2010/02/03 17:12
L19	7	L18 and L1	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2010/02/03 17:12

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### **INFORMATION DISCLOSURE** STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

Application Number	11739180
Filing Date	2007-04-24
First Named Inventor	Thomas J. Kelleher
Art Unit	1656
Examiner Name	Chih-Min Kam
Attorney Docket Numb	er C062-02/03 US

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# INFORMATION DISCLOSURE Application Number 11739180 Filing Date 2007-04-24 First Named Inventor Thomas J. Kelleher Art Unit 1656 Examiner Name Chih-Min Kam Attorney Docket Number C062-02/03 US

/CMK./	1	Agreement between Cubist Pharmaceuticals, Inc. and Eli Lilly and Company dated November 7, 1997. (Redacted form from SEC Edgar).						
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/CMK.	/CMK./ <sup>4</sup> MAIO, ET AL., "Daptomycin biosynthesis in Streptomyces roseosporus: cloning and analysis of the gene cluster and revision of peptide sterochemistry," Microbiology, (Vol 151), (P. 1507-1523), (2005).							
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### **INFORMATION DISCLOSURE** STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

Application Number	11739180			
Filing Date	2007-04-24			
First Named Inventor	Thomas J. Kelleher			
Art Unit	1656			
Examiner Name	Chih-Min Kam			
Attorney Docket Numb	er C062-02/03 US			

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# INFORMATION DISCLOSURE Application Number 11739180 Filing Date 2007-04-24 First Named Inventor Thomas J. Kelleher Art Unit 1656 Examiner Name Chih-Min Kam Attorney Docket Number C062-02/03 US

	1	greement between Cubist Pharmaceuticals, Inc. and Eli Lilly and Company dated November 7, 1997. (Redacted orm from SEC Edgar).								
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	3	Assignment of US RE 39,071 from Eli Lilly and Company to Cubist Pharmaceuticals, Inc. recorded on April 23, 2007. Reel/Frame: 019181/0916.								
	4	MAIO, ET AL., "Daptomycin biosynthesis in Streptomyces roseosporus: cloning and analysis of the gene cluster and revision of peptide sterochemistry," Microbiology, (Vol 151), (P. 1507-1523), (2005).								
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	Application Number		11739180	
	Filing Date		2007-04-24	
INFORMATION DISCLOSURE	First Named Inventor Thon		nas J. Kelleher	
(Not for submission under 37 CFR 1.99)	Art Unit		1656	
	Examiner Name Chih		Chih-Min Kam	
	Attorney Docket Numb	er	C062-02/03 US	

		CER	TIFICATION STATEMENT					
Plea	Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):							
	That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).							
OR	2							
	That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).							
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			SIGNATURE					
	A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.							
Sigr	ignature /Jill M. N. Mandleblatt/ Date (YYY-MM-DD) 2010-01-15							
Nar	ne/Print	Jill M. N. Mandelblatt	Registration Number	37,878				
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450**.

Electronic A	Electronic Acknowledgement Receipt					
EFS ID:	6822511					
Application Number:	11739180					
International Application Number:						
Confirmation Number:	8837					
Title of Invention:	High Purity Lipopeptides					
First Named Inventor/Applicant Name:	Thomas Kelleher					
Customer Number:	34103					
Filer:	Jill Michel-Netka Mandelblatt/Jodi Doherty					
Filer Authorized By:	Jill Michel-Netka Mandelblatt					
Attorney Docket Number:	C062-02/03 US					
Receipt Date:	15-JAN-2010					
Filing Date:	24-APR-2007					
Time Stamp:	16:15:54					
Application Type:	Utility under 35 USC 111(a)					

## Payment information:

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1	Miscellaneous Incoming Letter		062-02_03_US_Transmittal.	57875		1		
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### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

### Doc Code: TRAN.LET Document Description: Transmittal Letter

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	FORM		First Named Inventor	-	Thomas Kelleher		
			Art Unit	165	56		
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	Firm Name Cubist Pharmaceuticals, Inc						
Signature	/Jill M.N. Mandlebla	.tt/					
Printed name	Jill M. N. Mandelbla	tt					
Date	January 15, 2010			Reg. N	No.	37,87	8

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### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 11/739,180

Confirmation No. 8837

Applicant : Thomas J. Kelleher

Filed : April 24, 2007

TC/A.U. : 1656

Examiner : Chih-Min Kam

Docket No. : C062-02/03 US

Customer No. : 34103

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313

### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. §§ 1.56, 1.97(c) and 1.98, applicants make of record

the following documents which are listed on the enclosed Form PTO/SB/08a. Copies of

the following documents are enclosed herewith:

Agreement between Cubist Pharmaceuticals, Inc. and Eli Lilly and Company dated November 7, 1997 (redacted form from SEC Edgar);

Agreement between Cubist Pharmaceuticals Inc. and Eli Lilly and Company dated October 6, 2000 (redacted form from SEC Edgar);

Assignment of US RE 39, 071 from Eli Lilly and Company to Cubist Pharmaceuticals, Inc. recorded on April 23, 2007. Reel/Frame: 019181/0916; and

MAIO, et al, "Daptomycin biosynthesis in Streptomyces roseosporus: cloning and analysis of the gene cluster and revision of peptide sterochemistry," Micobiology, 151, 1507-1523 (2005).

Page 1 of 2

### **REMARKS**

Applicants have submitted the two Agreements and the Assignment document upon request of the Examiner.

Applicants request that the cited documents be fully considered by the Examiner during the course of examination of this application and that a copy of Form PTO/SB/08a, as considered, initialed, and signed by the Examiner, be returned with the next communication.

No fee is believed to be due in connection with this filing, however, please apply any other charges or credits to Deposit Account No. 50-1986, referencing attorney docket number C062-02/03 US.

Respectfully submitted,

Dated: January 15, 2010 Customer No.: 34103 Cubist Pharmaceuticals, Inc. 65 Hayden Avenue Lexington, Massachusetts 02421 Tel.: (781) 860-8660 Fax: (781) 860-1407 C062-02-03 US 20100115 IDS letter /Jill M.N. Mandelblatt/ Timothy J. Douros, Reg. No. 41,716 William D. DeVaul, Reg. No. 42,483 Nicholas M. Boivin, Reg. No. 42,650 Attorneys for Applicants Jill M.N. Mandelblatt, Reg. No. 37,878 Patent Agent for Applicants

Page 2 of 2

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Examiner : Chih-Min Kam

Docket No. : C062-02/03 US

Customer No. : 34103

Mail Stop **RCE** Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313

### REQUEST FOR CONTINUED EXAMINATION (RCE) AND RESPONSE AND AMENDMENT

Applicants submit the following amendments in connection with a Request for Continued Examination filed herewith pursuant to 37 CFR §1.114. This Preliminary Amendment is responsive to the Final Office Action mailed August 11, 2009 (hereafter "the Office Action") in the above-identified application.

Kindly amend the application as follows:

Certificate of Transmission/Mailing

I hereby certify that this correspondence (Preliminary Amendment) is being deposited with the United States Postal Service with sufficient postage as First Class Mail and is addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 or is being facsimile transmitted to the USPTO on the date shown below.

Date

Page 1 of 18

### **AMENDMENT TO THE SPECIFICATION**

Replace the first paragraph of the specification at page 1, lines 5-9 with the following paragraph

The present application claims priority to United States Patent Application No. 10/747,485, filed December 29, 2003, which claims priority to United States Patent Application No. 09/735,191 filed January 20, 2001 November 28, 2000, now US Patent No. 6,696,412, which claims the benefit of United States Provisional application Application No. 60/177,170, filed January 20, 2000, all of which are incorporated by reference herein in their entireties.

On page 1, line 10, please insert the following Paragraph:

The present invention was the subject of a joint research agreement within the meaning of 35 U.S.C § 103(c)(3), between Cubist Pharmaceuticals, Inc. and Eli Lilly and Company, and said agreement was in effect on or before the date the claimed invention was made.

Page 2 of 18

### AMENDMENT TO THE CLAIMS

1. (Previously presented) A composition comprising

(a) essentially pure daptomycin,

(b) daptomycin that is substantially free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin,

(c) daptomycin that is essentially free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin,

(d) daptomycin that is free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin,

(e) daptomycin that is substantially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12, or

(f) daptomycin that is essentially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12 or

(g) substantially pure daptomycin.

2. (Original) The composition of claim 1 comprising essentially pure daptomycin.

3. (Original) The composition of claim 1 compromising daptomycin that is substantially free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin.

4. (Original) The composition according to claim 3 that is essentially free of anhydro-daptomycin.

5. (Original) The composition according to claim 3 that is free of anhydro-daptomycin.

6. (Original) The composition of claim 1 that is substantially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12.

7. (Original) The composition according to claim 6 that is essentially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12.

8. (Original) The composition of claim 1, wherein daptomycin purity is measured by HPLC.

Page 3 of 18

9. (Original) The composition of claim 1 further comprising a pharmaceutically acceptable carrier or excipient.

10. (Original) A pharmaceutical composition according to claim 9, further comprising one or more antibiotics, one or more antifungal agents, or both an antibiotic and an antifungal agent.

11. (Original) The composition according to claim 1 wherein the daptomycin is purified by a process comprising the steps of:

a) supplying a fermentation broth;

b) fermenting *Streptomyces roseosporus* with a feed of n-decanoic acid to produce daptomycin in the fermentation broth;

c) clarifying the fermentation broth to obtain a clarified solution;

d) subjecting the clarified solution to anion exchange chromatography to obtain an enriched daptomycin preparation;

e) subjecting the enriched daptomycin preparation to hydrophobic interaction chromatography to obtain a semi-purified daptomycin preparation; and

f) subjecting the semi-purified daptomycin preparation to anion exchange chromatography to obtain the composition of claim 1.

12. (Original) The composition according to claim 11, wherein the feed of n-decanoic acid is regulated to achieve a residual concentration of n-decanoic acid of no more than 50 parts per million (ppm) during fermentation.

13. (Original) The composition according to claim 11, wherein said clarifying comprises filtration or centrifugation and depth filtration.

14. (Original) The composition according to claim 11, wherein the anion exchange chromatography in d) is performed using a resin comprising a copolymer of 2-methacrylic acid and ethyleneglycol dimethacrylate (EDGM).

15. (Original) The composition according to claim 11, wherein the hydrophobic interaction chromatography is performed using a resin comprising a co-polymer of cross-linked divinylbenzene/stryene.

16. (Original) The composition according to claim 15, wherein the hydrophobic interaction chromatography is performed at neutral pH and a solvent

Page 4 of 18

concentration that is reduced compared to the solvent concentration used when performing the hydrophobic interaction chromatography at acidic pH.

17. (Original) The composition according to claim 16, wherein the resin is recycled by loading the column at an acidic pH and eluting the column at a neutral pH.

18. (Original) The composition according to claim 11, wherein the anion exchange chromatography in f) is performed using a resin comprising a copolymer of 2-methacrylic acid and ethyleneglycol dimethacrylate (EDGM).

19. (Original) The composition according to claim 11, wherein the anion exchange chromatography is used to reduce the level of solvent in the clarified solution.

20. (Original) The composition according to claim 11, wherein the anion exchange chromatography is performed via continuous flow chromatography.

21. (Original) The composition according to claim 11, wherein the process further comprises the step of filtering daptomycin.

22. (Original) The composition according to claim 11, wherein the process further comprises the step of depyrogenating daptomycin using ultrafiltration.

23. (Original) The composition according to claim 22 wherein said depyrogenating comprises the steps of:

i) providing a daptomycin solution under conditions in which the daptomycin is in a monomeric and nonmicellar state;

ii) filtering the daptomycin solution under conditions in which the daptomycin passes through the filter but pyrogens do not pass through the filter;

iii) subjecting the daptomycin solution to conditions forming a daptomycin aggregate;

iv) filtering the daptomycin aggregate under conditions in which the daptomycin aggregate is retained on the filter; and

v) collecting the daptomycin aggregate.

24. (Original) The composition according to claim 22, wherein the process further comprises the step of lyophilizing daptomycin.

25. (Original) The composition according to claim 22, wherein the anion exchange chromatography is performed via radial flow chromatography.

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26. (Original) The composition according claim 11, wherein said clarifying comprises microfiltration or centrifugation.

27. (Original) The composition according to claim 11, wherein the process further comprises the steps of filtering and concentrating daptomycin.

28. (Original) The composition according to claim 11, wherein the process further comprises the step of separating the enriched daptomycin from low molecular weight material by ultrafiltration.

29. (Original) The composition according to claim 28, wherein the process further comprises the step of depyrogenating the daptomycin.

30. Canceled

31. (Original) The pharmaceutical composition of claim 9 comprising essentially pure daptomycin.

32. (Original) The pharmaceutical composition of claim 9 comprising daptomycin that is substantially free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin.

33. (Original) The pharmaceutical composition of claim 9 comprising daptomycin that is essentially free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin.

34. (Original) The pharmaceutical composition of claim 9 comprising daptomycin that is free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin.

35. (Original) The pharmaceutical composition of claim 9 comprising daptomycin that is substantially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12.

36. (Original) The pharmaceutical composition of claim 9 comprising daptomycin that is essentially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12.

37. Canceled

38. (Original) A method for preparing a pharmaceutical composition comprising combining the composition of claim 1 with a pharmaceutically acceptable

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carrier or excipient.

39. (Original) The method of claim 38 wherein the composition is essentially pure daptomycin.

40. (Original) The method of claim 38 wherein the composition is daptomycin that is substantially free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin.

41. (Original) The method of claim 38 wherein the composition is daptomycin that is essentially free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin.

42. (Original) The method of claim 38 wherein the composition is daptomycin that is free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin.

43. (Original) The method of claim 38 wherein the composition is daptomycin that is substantially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12.

44. (Original) The method of claim 38 wherein the composition is daptomycin that is essentially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12.

45. Canceled

46. (Original) A pharmaceutical composition prepared by the method of claim 38.

47. (Original) The pharmaceutical composition of claim 46 wherein the composition is essentially pure daptomycin.

48. (Original) The pharmaceutical composition of claim 46 wherein the composition is daptomycin that is substantially free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin.

49. (Original) The pharmaceutical composition of claim 46 wherein the composition is daptomycin that is essentially free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin.

50. (Original) The pharmaceutical composition of claim 46 wherein the

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composition is daptomycin that is free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin.

51. (Original) The pharmaceutical composition of claim 46 wherein the composition is daptomycin that is substantially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12.

52. (Original) The pharmaceutical composition of claim 46 wherein the composition is daptomycin that is essentially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12.

53. Canceled

54. (Previously presented) The composition of claim 1 comprising substantially pure daptomycin.

55. (Previously presented) The pharmaceutical composition of claim 9 comprising substantially pure daptomycin.

56. (Previously presented) The method of claim 38 wherein the composition is substantially pure daptomycin.

57. (Previously presented) The pharmaceutical composition of claim 46 wherein the composition is substantially pure daptomycin.

58. (New) A composition comprising daptomycin of greater than about 93% purity, wherein the purity of the daptomycin is relative to daptomycin impurities that arise in fermentation or purification of daptomycin.

59. (New) The composition of claim 58, wherein the daptomycin impurities comprise impurities 1-14.

60. (New) The composition of claim 58, wherein the purity is at least 95%.

61. (New) The composition of claim 60, wherein the daptomycin impurities comprise impurities 1-14.

62. (New) Daptomycin of greater than about 93% purity relative to impurities 1-14.

63. (New) The daptomycin of claim 62, wherein the purity is at least 95%.

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### **REMARKS**

### Amendments to the Specification

Applicants have amended the first paragraph of the specification to provide the filing date of the 10/747,485 application and the patent number for the patent that issued from United States Patent Application No. 09/735,191. In addition, Applicants have corrected the filing date of United States Patent Application No. 09/735,191.

Applicants have amended the specification to comply with 35 U.S.C. 103(c)(2)(C)) and 37 C.F.R. 1.71 (g)(1). No new matter is introduced by these amendments to the specification.

### Amendments to the Claims

Claims 1-29, 31-36, 38-44, 46-52 and 54-57 were pending in the present application. Applicants have added new claims 58-63. Support for claims 58-63 can be found throughout the specification, for example, on page 5, lines 12-19; page 7, lines 23-25; page 9, lines 16-19; page 11, lines 19-20; page 12, lines 12-16; and Example 10. No new matter is introduced by these amendments to the claims.

Accordingly, upon entry of the instant amendments, claims 1-29, 31-36, 38-44, 46-52 and 54-63 will be pending in this application.

### The Claim Rejections Over Baker Are Overcome and Traversed

Claims 1-5, 8-29, 31-34, 38-42, 46-50 and 54-57 stand rejected under 35 U.S.C.§ 102(e) as anticipated by or, in the alternative under U.S.C. § 103(a) as unpatentable over Baker *et al.* (US RE39,071 E, hereafter <u>Baker</u>). Claims 6-7, 35-36, 43-44 and 51-52 are objected to. Applicants respectfully overcome and traverse the rejection for the reasons set forth below.

### I. The Subject Matter of Baker Cannot Preclude Patentability Under 35 U.S.C § 103

The present invention was made by or on the behalf of parties to a joint research agreement, within the meaning of 35 U.S.C 103(c)(3) and 37 C.F.R 1.104 (c)(4)(ii), that was in effect on or before the date the claimed invention was made and the claimed

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invention was made as a result of activities undertaken within the scope of the joint research agreement. The present application claims the benefit of a January 20, 2000 filing date, and, at the time the claimed invention was made, the subject matter of <u>Baker</u> was "owned by the same person or subject to an obligation of assignment to the same person" within the meaning further described by 35 U.S.C. § 103(c)(2)-(3) as amended by the Cooperative Research and Technology Enhancement Act of 2004 (CREATE Act).

By the statement made above and the corresponding amendment to the Specification, Applicants have satisfied 35 U.S.C § 103(c). Accordingly, under 35 U.S.C § 103(c), the subject matter of <u>Baker</u> cited under § 102(e) shall not preclude patentability under 35 U.S.C. § 103. Applicants request that the rejection of the claims under 35 U.S.C. § 103(a) be withdrawn.

### II. <u>Baker Does Not Anticipate Claims 1-5, 8-29, 31-34, 38-42, 46-50 and 54-57</u> Under 35 U.S.C § 102(e)

The Office Action reads: "Baker discloses a composition or pharmaceutical composition <u>comprising</u> substantially pure daptomycin which meets the criteria of claim 1(a)-1(d) and 1(g), and its dependent claims because the term 'comprising' indicates the composition can contain something else besides substantially pure daptomycin or essentially pure daptomycin." According to the Office Action, it is obvious that a composition as taught by <u>Baker</u>, encompasses the embodiments of claim 1(a)-1(d) and 1(g) of the present invention because claim 1(a)-1(d) and 1(g) "merely recites substantially or essentially pure daptomycin."

Applicants overcome and traverse the rejection. To the extent the rejection is based on obviousness under 35 U.S.C. § 103(a), the rejection was overcome based on the amendment to the specification. To the extent the rejection is maintained under 35 U.S.C. § 102(e), Applicants traverse because, even with the open-ended "comprising" claim language, the claimed limitations have not been met expressly or inherently for reasons set forth below.

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"In order to render a determination of anticipation under 35 U.S.C. 102, the reference **<u>must teach every aspect of the claimed invention either explicitly or impliedly</u>. Any feature not directly taught must be inherently present." MPEP 706.02 V. "To serve as an anticipation when the reference is silent about the asserted inherent characteristic [i.e. one not directly taught], such gap in the reference may be filled with recourse to extrinsic evidence. <u>Such evidence must make clear that the missing</u> <u>descriptive matter is necessarily present in the thing described in the reference</u>, and that it would be so recognized by persons of ordinary skill."** *Continental Can Co. USA v.**Monsanto Co.***, 948 F.2d 1264, 1268, 20 USPQ2d 1746, 1749 (Fed. Cir. 1991).** 

The following limitations were acknowledged in the August 11, 2009 Office Action (page 3) as not specifically described in <u>Baker</u>:

- 1. essentially pure daptomycin (i.e. at least 98% daptomycin in the present application),
- daptomycin substantially free of anhydro-daptomycin and substantially free of β-isomer of daptomycin (each no more than 1%),
- 3. daptomycin essentially free of anhydro-daptomycin (no more than 0.5%) and substantially free of  $\beta$ -isomer of daptomycin (no more than 1%),
- 4. daptomycin free of anhydro-daptomycin (no more than 0.1%) and substantially free of  $\beta$ -isomer of daptomycin (no more than 1%), and
- 5. daptomycin that substantially or essentially free of each of impurities 1 to 14 defined by peaks 1-14 of FIG. 12 (acknowledged by mere objection to certain claims).

In addition, the following limitations as presented in the new claims added above are not described by Baker:

- 6. at least 95% pure daptomycin, and
- 7. greater than about 93% pure daptomycin.

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### A. <u>Baker Does Not Expressly Or Inherently Include All of the Limitations of</u> the Present Claims

### 1. <u>Baker Uses a Different Daptomycin Purity Than the Present</u> <u>Application</u>

Although the Office Action posits that the substantially pure daptomycin in <u>Baker</u> "reads that the daptomycin has more than 97.5% purity," the purity of daptomycin in <u>Baker</u> can only be interpreted as defined by <u>Baker</u>. In <u>Baker</u>, "substantially pure form means that LY146032 contains less than 2.5 percent of a combined total of anhydro-LY146032 and isomer-LY146032." Col. 8, ll. 55-57. <u>Baker</u> can be interpreted to read that there is 97.5% of daptomycin over a daptomycin plus anhydro-daptomycin ("A") plus beta isomer daptomycin ("B") composition.

The present application describes daptomycin purity relative to daptomycin plus anhydro daptomycin (impurity No. 13) plus beta-isomer (impurity No. 8) **plus 12 other impurities** (impurities 1-7, 9-12 and 14) (the fourteen daptomycin impurities) as described in Table 3 of the specification. Therefore, it is consistent to say that, as described in detail below in II.A.2, the <u>Baker</u> methods yield at best about 93% pure daptomycin measured under the current application while it yields 97.5% purity under its own teachings.

Accordingly, <u>Baker</u> uses a different purity and does not teach purity over the fourteen daptomycin impurities.

### 2. <u>Baker Had At Best About 93% Purity Against The Fourteen</u> <u>Daptomycin Impurities</u>

Although the Examiner has written that <u>Baker</u> (previously described as denoting US Patent RE39,071) could have better purity than the Baker's later work in the US Patent 4,874,843 (the '843 patent), i.e. "when a different purification procedure is used," the '843 patent and <u>Baker</u> use the same procedure. Those procedures whether followed under the '843 patent or <u>Baker</u> yield 93% pure daptomycin against the fourteen daptomycin impurities.

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Baker claims priority to U.S. Serial No. 07/060,148 ("the '148 application," now abandoned) and the experimental details of <u>Baker</u> are identical to the '148 application. The '843 patent reads: "The novel process of this invention was previously disclosed, but not claimed in U.S. patent application No. 07/060,148, filed June 10, 1987, for use in purifying the  $\beta$ -isomer of LY146032." See the '843 patent, col. 1, ll. 41-44. Thus, the '843 patent (by reference back) and <u>Baker</u> (by identical disclosure) use the same purification procedures as those in the '148 patent application. A copy of the '148 application is being furnished to the Examiner under an Information Disclosure Statement filed with this Preliminary Amendment.

In addition, the '843 patent's and <u>Baker's</u> use of similar methods is further confirmed by direct comparison of the two references in the following table:

Method of Producing Daptomycin in the '843 Patent	Method of Producing Daptomycin in Baker Example 3 (comprehensive process description) Intermediate quality LY146032 solution is
Semipure LY146032 is dissolved in an acetonitrile-methanol-sodium acetate buffer solvent and passes through a column containing HP20ss resin. The column is developed with the same solvent (Col. 1, line 67 to Col 2, line 3)	applied to a HP20ss column that had been equilibrated with the developing solvent acetonitrile-methanol sodium acetate buffer (Col. 11, line 65 to Col. 12, line 9)
Purified fractions containing LY146032 are combined, diluted with water and loaded on a column containing HP20 resin. The column is washed with water to remove salt, eluted with acetonitrile-water (60:40) and the LY146032 (Col. 2, line 4-7)	Fractions containing isomer LY146032 were pooled and desalted using Dianion HP20 resin as washed with deionized water then isomer LY146032 was eluted with 60:40 acetonitrile-water to give an enriched desalted preparation of isomer-LY146032 (Col. 12, ll. 14-27)
final resolution and separation from structurally similar compounds is impeded by the presence of impurities which were not identifiable by uv of the fermentation broth. attempts to remove these impurities by various chromatographic methods, including reverse-phase chromatography over silica gel/C18, normal phase chromatography over silica gel and ion- exchange chromatography failed to significantly improve the purity of ly146032 over the HP20 as described above. All of these methods were plagued by low capacity, poor resolution and low recovery of LY146032. (Col. 3, ll. 11-14	Preparation was further purified using reverse phase C18 column followed by a Dianion HP 20 resin column in reverse mode (Col. 12, ll. 28-30)

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#### and ll. 22-30)

As pointed out in earlier communications, the instant application provides comparative testing of the compositions disclosed in <u>Baker</u> that definitively establishes that <u>Baker</u> does not inherently disclose the presently claimed compositions. <u>Baker</u> provides daptomycin no more pure than the '843 patent since the purification process for the <u>Baker</u> and '843 patent were the same, and, as noted in the '843 patent, final resolution and separation of daptomycin (LY146032) from structurally similar compounds was impeded by the presence of impurities that were not identifiable by ultraviolet analysis of the fermentation broth. The '843 patent describes that despite a variety of additional purification attempts, <u>the highest yields obtained were about 93%</u>, i.e. 93% daptomycin versus the fourteen daptomycin impurities, not just anhydro daptomycin and beta isomer. See page 3, line 12 through page 4, line 2 of the present specification. Thus, the reasonable implication for <u>Baker</u> upon a close read of the '843 patent is that the material in <u>Baker</u> was at best only about 93% pure daptomycin under the present application.

## 3. <u>Evidence of Inherency and/or Official Notice of Facts To Support</u> The Inherency of the Present Claims Have Not Been Provided

"In relying upon the theory of inherency, the examiner must provide a basis in fact and/or technical reasoning to reasonably support the determination that the allegedly inherent characteristic necessarily flows from the teachings of the applied prior art." Ex parte Levy, 17 USPQ2d 1461, 1464 (Bd. Pat. App. & Inter. 1990) (emphasis in original). Any suggestion that the daptomycin purity of <u>Baker</u> inherently anticipates the purity presently claimed requires a citation to some extrinsic evidence from which the suggestion necessarily follows. No citation has been made to any extrinsic support for any purity different from that explained above.

If the Examiner were to rely upon facts relating to the purity of the daptomycin compositions taught in <u>Baker</u> without documentary evidence, such official notice is not appropriate as a basis for asserting that Baker inherently discloses the claimed daptomycin compositions:

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[o]fficial notice without documentary evidence to support an examiner's conclusion is permissible only in some circumstances....Official notice unsupported by documentary evidence should only be taken by the examiner where the facts asserted to be well-known, or to be common knowledge in the art are capable of instant and unquestionable demonstration as being well-known (MPEP 2144.03).

Applicants submit that the inherent characteristics of the teachings of <u>Baker</u>, particularly vis-à-vis the twelve other daptomycin impurities, are not well known or common knowledge capable for instant and unquestionable demonstration.

## B. <u>The Present Claims, When Properly Interpreted, Are Not Anticipated by</u> <u>Baker</u>

The Office Action reads:

Baker et al discloses a composition or pharmaceutical composition comprising substantially pure daptomycin, which meets the criteria of claim 1(a)-1(d) and 1(g), and its dependent claims because the term "comprising" indicates the composition can contain something else besides substantially or essentially pure daptomycin (Office Action at pages 3-4, emphasis in original).

While "comprising" is open-ended, it is not so open as to vitiate the claim limitation of the <u>daptomycin</u>. In particular, the "something else" that the Office Action asserts is within claimed inventions using "comprising" cannot alter the claimed <u>daptomycin</u> to not have the daptomycin purity of the claim. A proper use of comprising would maintain the existing purity limitations to the extent claimed and not attempt to read the limitation out of the claim. By adding "something else," the claims were interpreted in a manner inconsistent with both the specification and their plain meaning. This interpretation is not permitted:

Indeed, the rules of the PTO require that application claims must "conform to the invention as set forth in the remainder of the specification and the terms and phrases used in the claims must find clear support or antecedent basis in the description so that the meaning of the terms in the claims may be ascertainable by reference to the description." 37 CFR 1.75(d)(1)... The words of a claim must be given their "plain meaning"... (MPEP 2111, 2111.01).

Based on the plain meaning of the claims, the <u>daptomycin within the composition</u> -- not necessarily the entire composition itself -- must be interpreted to retain the claimed

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characteristics. Therefore, Baker does not meet the criteria of claim 1(a)-1(d) and 1(g), and its dependent claims because the term "comprising" cannot eliminate the claimed purity.

## C. <u>Request for Reconsideration and Withdrawal Of Rejection Under 35</u> U.S.C. § 102(e)

As stated previously (*vide supra*), Baker does not specifically described nor can it be interpreted to describe:

- 1. essentially pure daptomycin (i.e. at least 98% daptomycin in the present application),
- daptomycin substantially free of anhydro-daptomycin and substantially free of β-isomer of daptomycin (each no more than 1%),
- 3. daptomycin essentially free of anhydro-daptomycin (no more than 0.5%) and substantially free of  $\beta$ -isomer of daptomycin (no more than 1%),
- 4. daptomycin free of anhydro-daptomycin (no more than 0.1%) and substantially free of  $\beta$ -isomer of daptomycin (no more than 1%), and
- 5. daptomycin that substantially or essentially free of each of impurities 1 to 14 defined by peaks 1-14 of FIG. 12 (acknowledged by mere objection to certain claims),
- 6. at least 95% pure daptomycin, and
- 7. greater than about 93% pure daptomycin.

Baker does not anticipate claims 1-5, 8-29, 31-34, 38-42, 46-50 and 54-57 under 35 U.S.C § 102(e) when the claims are properly interpreted primarily because: (1) <u>Baker</u> teaches a different measurement of purity which does not consider the fourteen daptomycin impurities and (2) <u>Baker</u> had at best about 93% pure daptomycin when including the twelve additional daptomycin impurities. Therefore the rejection is traversed. Accordingly, Applicant respectfully requests withdrawal of the rejection under 35 U.S.C. § 102(e) and reconsideration and allowance of all pending claims.

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### III. Product-by-Process Claim Interpretation

The Office Action reads that claim 11-29 are not patentable because the productby-process claims are limited by and defined by the process, determination of patentability is based on the product itself, and the patentability of a product does not depend on its method of production. Although the above arguments under 35 U.S.C. §§ 102(e) and 103 render the statement of product-by-process patentability moot, the claims should be interpreted as broadly for infringement as they were to determine patentability.

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## CONCLUSION

For the reasons presented above, Applicant respectfully requests reconsideration and prompt allowance of all pending claims. A Petition for Extension of Time is enclosed. Please deduct the petition fee and apply any other charges or credits to Deposit Account No.50-1986, referencing attorney docket number C062-02/03 US.

Respectfully submitted,

Date:<u>November 13, 2009</u> Cubist Pharmaceuticals, Inc. 65 Hayden Avenue Lexington, Massachusetts 02421 Tel.: (781) 860-8660 Fax: (781) 860-1407

C062-02-03 US 20091113 resp to 20090811 OA

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	Application Number		11739180		
	Filing Date		2007-04-24		
INFORMATION DISCLOSURE	First Named Inventor Thom		omas Kelleher		
STATEMENT BY APPLICANT	Art Unit		1656		
(Not for submission under 37 CFR 1.99)	Examiner Name Chih-M		n-Min Kam		
	Attorney Docket Numl	ber	C062-02/03 US		

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INFORMATION DISCLOSURE	First Named Inventor Thom		omas Kelleher	
STATEMENT BY APPLICANT	Art Unit		1656	
(Not for submission under 37 CFR 1.99)	Examiner Name		Chih-Min Kam	
	Attorney Docket Numb	er	C062-02/03 US	

	1	Unite	d States Application No. 07/060,148, filed June 10, 1987, Baker et al.				
If you wis	h to a	dd ado	litional non-patent literature document citation information please click the Add but	tton	L		
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	Filing Date		2007-04-24		
INFORMATION DISCLOSURE	First Named Inventor	mas Kelleher			
(Not for submission under 37 CFR 1.99)	Art Unit		1656		
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	Attorney Docket Num	ber	C062-02/03 US	- Tredo	

	CERTIFICATION STATEMENT									
Ple	ase see 37 CFR 1	.97 and 1.98 to make the appropriate select	ion(s):							
	That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).									
OF	OR									
	That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).									
	See attached ce	rtification statement.								
	Fee set forth in 3	37 CFR 1.17 (p) has been submitted herewit	h.							
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	ignature of the ap n of the signature.	plicant or representative is required in accor	dance with CFR 1.33, 10.1	8. Please see CFR 1.4(d) for the						
Sig	nature	/Jill M. N. Mandelblatt/	Date (YYYY-MM-DD)	2009-11-13						
Nar	ne/Print	Jill M. N. Mandelblatt	Registration Number	37,878						
pub 1.14 app	This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for requires the build be sent to the CSPTO.									

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		EXTENSION OF TIME UND	ER 37 CFR 1.136(a)	Docket Number (Opti	onal)
(F	- ees pursuar	FY 2009 Int to the Consolidated Appropriations	Act, 2005 (H.R. 4818).)	C06	2-02/03 US
Applicati	ion Numbe	r 11/739,180		Filed April 24, 20	07
For Hig	gh Purity	Lipopeptides			
Art Unit	1656			Examiner Chih-M	in Kam
This is a application		nder the provisions of 37 CFR 1	.136(a) to extend the peri	od for filing a reply in	the above identified
The requ	uested exte	ension and fee are as follows (c	heck time period desired a	and enter the appropri	iate fee below):
			Fee	Small Entity Fee	
[	X One	month (37 CFR 1.17(a)(1))	\$130	\$65	\$65.00_
[	Two I	months (37 CFR 1.17(a)(2))	\$490	\$245	\$
[	Three	e months (37 CFR 1.17(a)(3))	\$1110	\$555	\$
[	Four	months (37 CFR 1.17(a)(4))	\$1730	\$865	\$
[	Five I	months (37 CFR 1.17(a)(5))	\$2350	\$1175	\$
X Appl	licant clain	ns small entity status. See 37 C	FR 1.27.		
Act	heck in th	e amount of the fee is enclos	sed.		
 Pay	ment by o	credit card. Form PTO-2038	is attached.		
 The	Director	has already been authorized	I to charge fees in this a	application to a Dep	osit Account.
		is hereby authorized to char ount Number 50-19		be required, or crea	dit any overpayment, to
		ormation on this form may becom		nation should not be in	cluded on this form.
		card information and authorizatio	on on PTO-2038.		
	e 🗌	card information and authorizatio applicant/inventor.	n on PTO-2038.		
	e		ntire interest. See 37 Cl		
	e	applicant/inventor. assignee of record of the e	ntire interest. See 37 Cl R 3.73(b) is enclosed (F	form PTO/SB/96).	
	e 🗌	applicant/inventor. assignee of record of the e Statement under 37 CFI	ntire interest. See 37 Cl R 3.73(b) is enclosed (F . Registration Number _ CFR 1.34.	form PTO/SB/96).	
		applicant/inventor. assignee of record of the en Statement under 37 CFI attorney or agent of record. attorney or agent under 37	ntire interest. See 37 Cl R 3.73(b) is enclosed (F . Registration Number_ CFR 1.34. under 37 CFR 1.34	Form PTO/SB/96).	nber 13, 2009
		applicant/inventor. assignee of record of the en Statement under 37 CFI attorney or agent of record. attorney or agent under 37 Registration number if acting of	ntire interest. See 37 Cl R 3.73(b) is enclosed (F . Registration Number_ CFR 1.34. under 37 CFR 1.34	Form PTO/SB/96).	nber 13, 2009 Date
		applicant/inventor. assignee of record of the e Statement under 37 CFI attorney or agent of record. attorney or agent under 37 Registration number if acting of /Jill M. N. Mandelbla	ntire interest. See 37 Cl R 3.73(b) is enclosed (F . Registration Number_ CFR 1.34. under 37 CFR 1.34	Form PTO/SB/96). 37,878 Noven	
		applicant/inventor. assignee of record of the en Statement under 37 CFI attorney or agent of record. attorney or agent under 37 Registration number if acting of /Jill M. N. Mandelbla Signature	ntire interest. See 37 Cl R 3.73(b) is enclosed (F . Registration Number_ CFR 1.34. under 37 CFR 1.34	orm PTO/SB/96). 37,878 Noven (781	Date
I am the		applicant/inventor. assignee of record of the en Statement under 37 CFI attorney or agent of record. attorney or agent under 37 Registration number if acting of /Jill M. N. Mandelbla Signature Jill M. N. Mandelbla Typed or printed name	ntire interest. See 37 Cl R 3.73(b) is enclosed (F . Registration Number _ CFR 1.34. under 37 CFR 1.34 att/	Form PTO/SB/96). 37,878 Noven (781 	Date ) 860-8660 whone Number

complete, including gathering, preparing, and submitting the completed application form to the USP10. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

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PTO/SB/17 (10-08)

	Approved f	or use thre	ough 06/3	30/2010.	OMB 0651	-0032
ent and	Trademark O	ffice: U.S	DEPAR	TMENT	OF COMM	ERCE

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U.S. Patent and Trademark Office; U.S. DEPARTMENT (	OF COMMERC

	tive on 12/08/2		L		e if Know	n	
Fees pursuant to the Consoli			Application Number	11/739,1	80		
	<b>KANS</b>	<b>MITTAL</b>	Filing Date	April 24,	2007		
Fa	r FY 2	009	First Named Invento	or Thomas	Thomas Kelleher		
			Examiner Name	Chih-Mir	n Kam		
Applicant claims sma	II entity status	5. See 37 CFR 1.27	Art Unit	1656			
TOTAL AMOUNT OF PA	MENT (\$)	548.00	Attorney Docket No	C062-02	/03 US		
	IT (check al	that apply)					
Check Credit		Money Order	one Other (please	a idantifi)'			
		•			ist Dharm		
<b>—</b> ·		it Number: <u>50-1986</u> account, the Director is h					
لسميدها	-						
la second	s) indicated b			e(s) indicated	below, exc	ept for the filing fee	
	additional fee R 1.16 and 1	(s) or underpayments of .17	fee(s) 🖌 Credit any	voverpayment	ts		
ARNING: Information on the	is form may be	ecome public. Credit card i	nformation should not be	included on t	his form. Pro	ovide credit card	
FEE CALCULATION		•	· · · · · · · · · · · · · · · · · · ·				
. BASIC FILING, SEA		EXAMINATION FEES					
	FILING	FEES SEA	RCH FEES EX	KAMINATIO			
Application Type	<u>Fee (\$)</u>	Small Entity Fee (\$) Fee	<u>Small Entity</u> (\$) Fee (\$)		l <u>Entity</u> e (\$)	Fees Paid (\$)	
Utility	330	165 540			10		
Design	220	110 100			70		
Plant	220	110 330	20		35		
Reissue	330	165 540		• • •	25		
Provisional	220	110 C		0	0		
2. EXCESS CLAIM FE	ES	-	-			Small Entity	
Fee Description	(including T			1	Fee (\$) 52	Fee (\$) 26	
Each claim over 20 Each independent cl					220	110	
Multiple dependent					390	195	
Total Claims	Extra Clai	ms <u>Fee (\$)</u> F	ee Paid (\$)	N	lultiple De	pendent Claims	
<u>59</u> - 20 or HP =			78.00		Fee (\$)	Fee Paid (\$)	
HP = highest number of tot Indep. Claims	al claims paid fo Extra Clair		ee Paid (\$)				
<u>3</u> - 3 or HP =	0	×110.00 =					
HP = highest number of ind		s paid for, if greater than 3.					
APPLICATION SIZE If the specification an	d drawings (	exceed 100 sheets of r	aper (excluding elect	tronically fil	ed sequen	ce or computer	
		), the application size					
sheets or fraction	hereof. See	35 U.S.C. 41(a)(1)(G	and 37 CFR 1.16(s)	).			
<u>Total Sheets</u> - 100 =	Extra She	ets <u>Number of ea</u> / 50 =	ách additional 50 or frá (round up to a whol	e number) x	<u>f Fee (</u>	<u>\$)                                    </u>	
. OTHER FEE(S)						Ecce Daid /	
Non-English Specif	ication, \$1	130 fee (no small entit	y discount)			<u>Fees Paid (</u>	
Other (e.g., late filin	ng surcharge	e):					
BMITTED BY							
	. Mandelblatt/		Registration No. (Attorney/Agent) 37,87	78	Telephon	<sup>e</sup> 617-860-8660	
ame (Print/Type) Jill M. N.			(Attorney/Agent) 37,87	•			
						ember 13, 2009	

USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Electronic Patent Application Fee Transmittal											
Application Number:	11	739180									
Filing Date:	24	24-Apr-2007									
Title of Invention:	High Purity Lipopeptides										
First Named Inventor/Applicant Name:	Thomas Kelleher										
Filer:	Jill Michel-Netka Mandelblatt/Jodi Doherty										
Attorney Docket Number:	C062-02/03 US										
Filed as Small Entity											
Utility under 35 USC 111(a) Filing Fees											
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)						
Basic Filing:											
Pages:											
Claims:											
Claims in excess of 20		2202	3	26	78						
Miscellaneous-Filing:											
Petition:											
Patent-Appeals-and-Interference:											
Post-Allowance-and-Post-Issuance:											
Extension-of-Time:											

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Extension - 1 month with \$0 paid	2251	1	65	65
Miscellaneous:				
Request for continued examination	2801	1	405	405
	Tot	al in USD	(\$)	548

Electronic A	Electronic Acknowledgement Receipt				
EFS ID:	6454526				
Application Number:	11739180				
International Application Number:					
Confirmation Number:	8837				
Title of Invention:	High Purity Lipopeptides				
First Named Inventor/Applicant Name:	Thomas Kelleher				
Customer Number:	34103				
Filer:	Jill Michel-Netka Mandelblatt/Jodi Doherty				
Filer Authorized By:	Jill Michel-Netka Mandelblatt				
Attorney Docket Number:	C062-02/03 US				
Receipt Date:	13-NOV-2009				
Filing Date:	24-APR-2007				
Time Stamp:	19:05:56				
Application Type:	Utility under 35 USC 111(a)				

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Document Number	<b>Document Description</b>	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)			
File Listing	g:	-						
Authorized Us	ser							
Deposit Acco	unt	501986	501986					
RAM confirma	tion Number	7698	7698					
Payment was	successfully received in RAM	\$548	\$548					
Payment Type	2	Deposit Account	Deposit Account					
Submitted wi	th Payment	yes	yes					

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	Multip	oart Description/PDF files in .	zip description		
	Document De	scription	Start	E	nd
	Miscellaneous Inco	oming Letter	1		1
	Amendment Submitted/Entere	2		19	
	Information Disclosure Stater	20		22	
	NPL Docum	ients	23		54
F	Extension of Time				55
	Miscellaneous Incoming Letter		56	56	
Warnings:					
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Warnings:					
Information:					
		Total Files Size (in bytes):	22	46753	
characterized Post Card, as <u>New Applicat</u> If a new appli 1.53(b)-(d) an Acknowledge <u>National Stag</u> If a timely sub U.S.C. 371 and national stage <u>New Internat</u>	ledgement Receipt evidences receip d by the applicant, and including pa- described in MPEP 503. tions Under 35 U.S.C. 111 ication is being filed and the applican of MPEP 506), a Filing Receipt (37 CF ement Receipt will establish the filin ge of an International Application un bmission to enter the national stage d other applicable requirements a F le submission under 35 U.S.C. 371 w	ge counts, where applicable. Ition includes the necessary c R 1.54) will be issued in due o g date of the application. Inder 35 U.S.C. 371 of an international application form PCT/DO/EO/903 indication ill be issued in addition to the PTO as a Receiving Office	It serves as evidence omponents for a filin course and the date s on is compliant with ng acceptance of the e Filing Receipt, in du	of receipt : ng date (see hown on th the condition application e course.	similar to a 37 CFR nis ons of 35 n as a
an internation and of the Int	national application is being filed a nal filing date (see PCT Article 11 an ternational Filing Date (Form PCT/R urity, and the date shown on this Acl on.	d MPEP 1810), a Notification O/105) will be issued in due co	of the International <i>I</i> ourse, subject to pres	Application scriptions c	Number oncerning

PTO/SB/30 (07-09) Approved for use through 07/31/2012. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Linder the Depenvork Reduction Act of 1995

Under the Paperwork Reduction Act of 1995, no persons are redui	red to respond to a collection of infor	mation unless it contains a valid OMB control number.
Request	Application Number	11/739,180
for Continued Examination (BCE)	Filing Date	April 24, 2007
Continued Examination (RCE) Transmittal	First Named Inventor	Thomas Kelleher
Address to:	Art Unit	1656
Mail Stop RCE Commissioner for Patents	Examiner Name	Chih-Min Kam
P.O. Box 1450 Alexandria, VA 22313-1450	Attorney Docket Number	- C062-02/03 US
This is a Request for Continued Examination (RCE) of Request for Continued Examination (RCE) practice under 37 Cf 1995, or to any design application. See Instruction Sheet for RC	under 37 CFR 1.114 of the a FR 1.114 does not apply to any t	bove-identified application. tility or plant application filed prior to June 8,
<ol> <li>Submission required under 37 CFR 1.114 Not amendments enclosed with the RCE will be entered in the applicant does not wish to have any previously filed unen amendment(s).</li> <li>Previously submitted. If a final Office action is considered as a submission even if this box is</li> </ol>	te: If the RCE is proper, any prevented order in which they were filed to the tered amendment(s) entered, ap outstanding, any amendments find the checked.	riously filed unentered amendments and unless applicant instructs otherwise. If plicant must request non-entry of such ed after the final Office action may be
<ul> <li>L. Consider the arguments in the Appeal Bi</li> <li>Ii. Dther</li> <li>b. X Enclosed</li> </ul>		l on
I. X Amendment/Reply	iii. X Informati	on Disclosure Statement (IDS)
ii. Affidavit(s)/ Declaration(s)		et. for Ext. of Time/Fee Transmittal
2. Miscellaneous		eference Cited
a. Suspension of action on the above-identified a period of months. (Period of suspens Other	ion shall not exceed 3 months; Fee u	nder 37 CFR 1.17(i) required)
a. X Deposit Account No. 50-1986	•	
i. X RCE fee required under 37 CFR 1.17(e)		
ii. X Extension of time fee (37 CFR 1.136 and 1	.17)	
iii. Other		······································
b Check in the amount of \$	enclosed	
c. Payment by credit card (Form PTO-2038 enclose WARNING: Information on this form may become public. Cr	,	not be included on this form. Provide credit
card information and authorization on PTO-2038.		
Signature /Jill M. N. Mandelblatt/	INT, ATTORNEY, OR AGENT R	
Name (Print/Type) Jill M. N. Mandelblatt	Re	gistration No. 37,878
	F MAILING OR TRANSMISSION	
I hereby certify that this correspondence is being deposited with the Unite addressed to: Mail Stop RCE, Commissioner for Patents, P. O. Box 1450 Office on the date shown below.	ed States Postal Service with sufficie	nt postage as first class mail in an envelope
Signature Name (Print/Type)	Date	
This collection of information is required by 37 CFR 1.114. The informati	ion is required to obtain or retain a be	nefit by the public which is to file (and by the USPTO
to process) an application. Confidentiality is governed by 35 U.S.C. 122 including gathering, preparing, and submitting the completed application		

the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SE ND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PTO/SB/06 (07-06)

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P/	Under the Par		E DET	ERMINATION	required to respon		pplication or	of information unle Docket Number 9,180	Fil	splays a valid ( ing Date 24/2007	DMB control numb
	AF	PPLICATION	AS FILE (Column 1		Column 2)		SMALL	entity 🕅	OR		IER THAN LL ENTITY
	FOR	N	UMBER FIL	, 	MBER EXTRA		RATE (\$)	FEE (\$)		RATE (\$)	FEE (\$)
	BASIC FEE (37 CFR 1.16(a), (b), (		N/A		N/A		N/A	(+)		N/A	(+)
	SEARCH FEE (37 CFR 1.16(k), (i), c		N/A		N/A		N/A			N/A	
	EXAMINATION FE (37 CFR 1.16(o), (p), o	E	N/A		N/A		N/A		1	N/A	
	AL CLAIMS CFR 1.16(i))		mir	nus 20 = *			X \$ =		OR	X \$ =	
	EPENDENT CLAIM CFR 1.16(h))	S	m	inus 3 = *			X \$ =			X \$ =	
	APPLICATION SIZE 37 CFR 1.16(s)) MULTIPLE DEPEN	FEE shee is \$2 addit 35 U	ts of pap 50 (\$125 ional 50 s .S.C. 41(	ation and drawing er, the applicatio for small entity) sheets or fractior a)(1)(G) and 37 7 CER 1 16(ii))	n size fee due for each n thereof. See						
لطر ۲ If t	he difference in colu						TOTAL			TOTAL	
		(Column 1) CLAIMS	-	(Column 2) HIGHEST	(Column 3)		SMAL	L ENTITY	OR		R THAN LL ENTITY
- N	11/13/2009	REMAINING AFTER AMENDMENT		NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA		RATE (\$)	ADDITIONAL FEE (\$)		RATE (\$)	ADDITIONAL FEE (\$)
AMENUMENI	Total (37 CFR 1.16(i))	* 59	Minus	** 53	= 6		X \$26 =	156	OR	X \$ =	
	Independent (37 CFR 1.16(h))	* 3	Minus	***3	= 0		X \$110 =	0	OR	X \$ =	
	Application Si	ze Fee (37 CFR 1	.16(s))								
`	FIRST PRESEN	ITATION OF MULTI	PLE DEPEN	DENT CLAIM (37 CFI	R 1.16(j))				OR		
							TOTAL ADD'L FEE	156	OR	TOTAL ADD'L FEE	
		(Column 1)	-	(Column 2)	(Column 3)		-		_		
_		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA		RATE (\$)	ADDITIONAL FEE (\$)		RATE (\$)	ADDITIONAL FEE (\$)
	Total (37 CFR 1.16(i))	*	Minus	**	=		X \$ =		OR	X \$ =	
ž	Independent (37 CFR 1.16(h))	*	Minus	***	=		X \$ =		OR	X\$ =	
i	Application Si	ze Fee (37 CFR 1	.16(s))								
Ì			PLE DEPEN	DENT CLAIM (37 CFI	R 1.16(j))				OR		
						- '	TOTAL ADD'L FEE		OR	TOTAL ADD'L FEE	
* If **   <sup>-</sup> he	he entry in column the "Highest Numbe f the "Highest Numb "Highest Number P	er Previously Paid er Previously Paid reviously Paid Fo	For" IN TH d For" IN T r" (Total or	HS SPACE is less HIS SPACE is less Independent) is th	than 20, enter "20" s than 3, enter "3".	oun	/Trina S d in the appro	priate box in colu	mn 1.		, the LISPTO to

process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.16. The information is required to obtain of retain a benefit by the public which is to the quite by the quite by the public which is to the quite by the q

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Document code: WFEE

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	ted States Patent A	and Trademark Office	UNITED STATES DEPAR United States Patent and Address: COMMISSIONER F P.O. Box (1450 Alexandria, Virginia 22: www.uspto.gov	Trademark Office FOR PATENTS
APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
11/739,180	04/24/2007	Thomas Kelleher	C062-02/03 US	8837
Intellectual Pro Cubist Pharma	34103 7590 08/11/2009 Intellectual Property Department Cubist Pharmaceuticals, Inc.		EXAM KAM, CI	
65 Hayden Ave Lexington, MA			ART UNIT	PAPER NUMBER
Denington, Im.			1656	
			MAIL DATE	DELIVERY MODE
			08/11/2009	PAPER

## Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

		Application	No.	Applicant(s)	
		11/739,180		KELLEHER ET AL.	
	Office Action Summary	Examiner		Art Unit	
		CHIH-MIN K	ΆM	1656	
Period fo	The MAILING DATE of this communication ap or Reply			orrespondence address	
WHIC - Exte after - If NC - Failu Any	ORTENED STATUTORY PERIOD FOR REP CHEVER IS LONGER, FROM THE MAILING I nsions of time may be available under the provisions of 37 CFR 1 SIX (6) MONTHS from the mailing date of this communication. Deperiod for reply is specified above, the maximum statutory perior ire to reply within the set or extended period for reply will, by statu reply received by the Office later than three months after the mailing ed patent term adjustment. See 37 CFR 1.704(b).	DATE OF THIS .136(a). In no event d will apply and will e ite, cause the applica	S COMMUNICATION , however, may a reply be tin expire SIX (6) MONTHS from ation to become ABANDONE	N. nely filed the mailing date of this communication. D (35 U.S.C. § 133).	
Status					
1)🖂	Responsive to communication(s) filed on <u>15</u>	Mav 2009.			
, –		is action is nor	n-final.		
3)	Since this application is in condition for allow	ance except fo	or formal matters, pro	secution as to the merits is	
	closed in accordance with the practice under	Ex parte Quag	/le, 1935 C.D. 11, 4	53 O.G. 213.	
Disposit	ion of Claims				
4)🖂	Claim(s) <u>1-29,31-36,38-44,46-52 and 54-57</u> i	s/are pending	in the application.		
	4a) Of the above claim(s) is/are withdra				
5)	Claim(s) is/are allowed.				
6)🛛	6)⊠ Claim(s) <u>1-5,8-29,31-34,38-42,46-50 and 54-57</u> is/are rejected.				
7)🛛	Claim(s) <u>6,7,35,36,43,44,51 and 52</u> is/are ob	jected to.			
8)	Claim(s) are subject to restriction and/	or election req	uirement.		
Applicat	ion Papers				
9)	The specification is objected to by the Examin	ner.			
10)🖂	The drawing(s) filed on <u>24 April 2007</u> is/are: a	a)🛛 accepted	or b) objected to	by the Examiner.	
	Applicant may not request that any objection to the	e drawing(s) be	held in abeyance. See	e 37 CFR 1.85(a).	
	Replacement drawing sheet(s) including the corre	ction is required	if the drawing(s) is ob	jected to. See 37 CFR 1.121(d).	
11)	The oath or declaration is objected to by the E	Examiner. Note	e the attached Office	Action or form PTO-152.	
Priority (	under 35 U.S.C. § 119				
12)	Acknowledgment is made of a claim for foreig	ın priority unde	er 35 U.S.C. § 119(a)	)-(d) or (f).	
-	☐ All b)  Some * c)  None of:				
	1. Certified copies of the priority documer	nts have been	received.		
	2. Certified copies of the priority documer	nts have been	received in Applicati	on No	
	3. Copies of the certified copies of the pri	ority documen	ts have been receive	ed in this National Stage	
	application from the International Bure	au (PCT Rule	17.2(a)).		
* 9	See the attached detailed Office action for a lis	st of the certifie	ed copies not receive	ed.	
Attachmen					
	e of References Cited (PTO-892) e of Draftsperson's Patent Drawing Review (PTO-948)	4	) 🔀 Interview Summary Paper No(s)/Mail Da		
3) 🔲 Infor	mation Disclosure Statement(s) (PTO/SB/08)		) 🔲 Notice of Informal F		
	er No(s)/Mail Date	6	i) Other:		
U.S. Patent and T PTOL-326 (F		Action Summary	Pa	rt of Paper No./Mail Date 20090808	

	Application No.	Applicant(s)
International Comments	11/739,180	KELLEHER ET AL.
Interview Summary	Examiner	Art Unit
	CHIH-MIN KAM	1656
All participants (applicant, applicant's representative, PT	D personnel):	
(1) <u>CHIH-MIN KAM</u> .	(3) <u>William DeVaul</u> .	
(2) <u>Jill Mandelblatt</u> .	(4)	
Date of Interview: <u>14 May 2009</u> .		
Type: a)⊠ Telephonic b)⊡ Video Conference c)⊡ Personal [copy given to: 1)⊡ applicant	2) applicant's representativ	e]
Exhibit shown or demonstration conducted: d) Yes If Yes, brief description:	e)∏ No.	
Claim(s) discussed: <u>pending claims</u> .		
Identification of prior art discussed: <u>Baker et al. (US RE3</u>	<u>9.071E)</u> .	
Agreement with respect to the claims f) was reached.	g)⊠ was not reached. h)∏ I	N/A.
Substance of Interview including description of the gener reached, or any other comments: <u>Discussing the Baker re</u> <u>applicants would present the arguments and evidence in 93% in the coming amendment.</u>	eference regarding the purity of	<u>f daptomycin (LY 146032),</u>
(A fuller description, if necessary, and a copy of the ame allowable, if available, must be attached. Also, where no allowable is available, a summary thereof must be attach	copy of the amendments that	
THE FORMAL WRITTEN REPLY TO THE LAST OFFICE INTERVIEW. (See MPEP Section 713.04). If a reply to the GIVEN A NON-EXTENDABLE PERIOD OF THE LONGE INTERVIEW DATE, OR THE MAILING DATE OF THIS IN FILE A STATEMENT OF THE SUBSTANCE OF THE INT requirements on reverse side or on attached sheet.	ne last Office action has already R OF ONE MONTH OR THIRT ITERVIEW SUMMARY FORM,	y been filed, APPLICANT IS Y DAYS FROM THIS WHICHEVER IS LATER, TO
		]
U.S. Patent and Trademark Office		
	ew Summary	Paper No. 20090808

#### **DETAILED ACTION**

#### Status of the Claims

1. Claims 1-29, 31-36, 38-44, 46-52 and 54-57 are pending.

Applicants' amendment filed May 15, 2009 is acknowledged. Claim 1 has been

amended, and new claims 54-57 have been cancelled. Therefore, claims 1-29, 31-36, 38-44, 46-

52 and 54-57 are examined.

#### Claim Rejections - 35 USC § 102/103

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the

basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in a patent granted on an application for patent by another filed in the United States before the invention thereof by the applicant for patent, or on an international application by another who has fulfilled the requirements of paragraphs (1), (2), and (4) of section 371(c) of this title before the invention thereof by the applicant for patent.

The changes made to 35 U.S.C. 102(e) by the American Inventors Protection Act of 1999

(AIPA) and the Intellectual Property and High Technology Technical Amendments Act of 2002

do not apply when the reference is a U.S. patent resulting directly or indirectly from an

international application filed before November 29, 2000. Therefore, the prior art date of the

reference is determined under 35 U.S.C. 102(e) prior to the amendment by the AIPA (pre-AIPA

35 U.S.C. 102(e)).

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all

obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

2. Claims 1-5, 8-29, 31-34, 38-42, 46-50 and 54-57 are rejected under 35 U.S.C. 102(e) as anticipated by or, in the alternative, under 35 U.S.C. 103(a) as unpatentable over Baker *et al.* (US RE39,071 E, reissue of U.S. Patent 5,912,226, filed December 16, 1991).

Baker *et al.* teach an antibacterial composition comprising daptomycin (LY146032) obtained in substantially pure form, which refers to daptomycin that contains less than 2.5% of a combined total of anhydro-daptomycin and beta-isomer of daptomycin (column 8, lines 50-60; Examples 4 and 5; claim 1(g), 54), where daptomycin is purified by a procedure using Diaion HP-20 resin column, followed by HPLC and another HP-20 resin column (Examples 1-5, claim 8). Baker *et al.* also teach the preparation of a pharmaceutical formulation comprising the purified daptomycin (LY146032) with pharmaceutical carriers or excipients (column 9, lines 47-59; claims 9, 38, 46 and 55-57). Although Baker et al. do not specifically disclose the daptomycin (LY146032) that is essentially pure (i.e., at least 98% of a sample being daptomycin as defined at page 11, lines 23-26 of the instant specification); that is substantially free of anhydro-daptomycin (no more than 1%; page 11, lines 27-29) and substantially free of  $\beta$ -isomer of daptomycin (no more than 1%); that is essentially free of anhydro-daptomycin (no more than 0.5%; page 12, lines 1-3) and substantially free of  $\beta$ -isomer of daptomycin (no more than 1%); that is free of anhydro-daptomycin (no more than 0.1%; page 12, lines 4-6) and substantially free of  $\beta$ -isomer of daptomycin (no more than 1%), the reference does indicate the daptomycin (LY146032) is in substantially pure form and contains less than 2.5% of a combined total of anhydro-daptomycin and beta-isomer of daptomycin. Furthermore, Baker et al. discloses a composition or pharmaceutical composition comprising substantially pure daptomycin, which meets the criteria of claim 1(a)-1(d) and 1(g), and its dependent claims because the term

"comprising" indicates the composition can contain something else besides substantially or essentially pure daptomycin. Since claim 1(a)-1(d) and 1(g) merely recites substantially or essentially pure daptomycin that may contain slight amount of anhydro-daptomycin and betaisomer of daptomycin, it is obvious that a composition comprising LY146032 that is substantially pure taught by Baker et al., which encompass the embodiments of essentially pure daptomycin at least 98% pure (claims 1(a), 2, 31, 39, 47), the embodiments of substantially free of anhydro-daptomycin (no more than 1%) and substantially free of  $\beta$ -isomer of daptomycin (no more than 1%; claims 1(b), 3, 32, 40, 48), the embodiments of essentially free of anhydrodaptomycin (no more than 0.5%) and substantially free of  $\beta$ -isomer of daptomycin (no more than 1%; claims 1(c), 4, 33, 41, 49), and the embodiments of free of anhydro-daptomycin (no more than 0.1%) and substantially free of  $\beta$ -isomer of daptomycin (no more than 1%; claims 1(d), 5, 34, 42, 50). It is also obvious that claims 11-29 are not patentable because the product by process claims are limited by and defined by the process, determination of patentability is based on the product itself, and the patentability of a product does not depend on its method of production (see MPEP 2113). In the instant case, the composition comprising daptomycin that is substantially free of anhydro-daptomycin and beta-isomer of daptomycin (less than 2.5% impurity) as indicated in the patent is not different from the claimed composition comprising

essentially or substantially pure daptomycin (>98% daptomycin), even though the daptomycin of reference is purified by a different process. Baker *et al.* also disclose an antibiotic composition comprised of a combination of a compound of formula 1 (i.e., anhydro-A21978C; column 1, lines 14-21), a compound of formula 2 (isomer of A21978C) and a compound of formula 3 (the

parent cyclic peptide of A21978C; LY146032) or pharmaceutically acceptable salts (Reissue: claim 18; claim 10 of instant application).

#### Response to Arguments

Applicants indicate that Baker did not provide LY146032 that is at least 97.5% pure because (A) other impurities are implied in Baker, (B) a dozen other impurities later discovered by Applicants were unappreciated in Baker and were at least 7% in Baker's later work, and (C) Baker's later work teaches at best 93% purity. Regarding item A, applicants argue that Baker did not discuss overall purity of daptomycin in the composition, and Baker does not disclose the purity level of daptomycin in the sample but discloses the level of anhydro-daptomycin and betaisomer of daptomycin in relation to daptomycin. Baker implies that other degradants are present, but are not predominant in the pH range that optimizes the transpeptidation reactions. Regarding item B, applicants argue that Baker likely had less than 93% LY146032 because it did not recognize existence of other impurities and Baker's later work (U.S. Patent 4,874,843) shows undetermined impurities at least as great as 7%. Regarding item C, applicants argue that Baker's later work (U.S. Patent 4,874,843) describes at best 93% purity. Furthermore, the Applicants described the use of the purification method from the '843 patent in Example 2 of the present application (See page 52, lines 1-5), and the purity level of the composition was 91%. Moreover, Applicants' use of the HPLC method described in the present invention revealed that the daptomycin purified by the '843 patent's method in Example 2 of the present application contained fourteen impurities (anhydro daptomycin, beta-isomer of daptomycin and 12 additional impurities; see Example 10, page 57, line 10- page 60, line 8), It was Applicants' present discovery of the impurities and the resulting method to produce more pure forms of

daptomyein that are non-obvious over Baker. In view of the foregoing, the rejection should be withdrawn (pages 8-12 of the response).

Applicants' response has been fully considered. However, the arguments are not found persuasive because of the following reasons. Regarding item A, Baker et al. teach an antibacterial composition comprising daptomycin (LY146032) obtained in substantially pure form, which refers to daptomycin that contains less than 2.5% of a combined total of anhydrodaptomycin and beta-isomer of daptomycin (column 8, lines 50-60; Examples 4 and 5). Since Baker et al. do not indicate other impurities besides anhydro-daptomycin and beta-isomer of daptomycin are contained in the daptomycin (LY146032) in substantially pure form, it reads that the daptomycin has more than 97.5% purity. While Baker implies that other degradants are present, but are not predominant in the pH range that optimizes the transpeptidation reactions, the reference does not indicate other degradants are present after the purification procedure (column 8, lines 45-49). Regarding items B and C, while Baker's later work (U.S. Patent 4,874,843) shows undetermined impurities at least as great as 7%, and daptomycin has at best 93% purity, the '843 patent only use a single HP-20 resin column to purify daptomycin, which is different from the purification procedure (i.e., Diaion HP-20 resin column, followed by HPLC and another HP-20 resin column) used by Baker et al. in the US RE39,071 E. Thus, even Baker (U.S. Patent 4,874,843) shows undetermined impurities at least as great as 7%, and daptomycin has at best 93% purity, it does not mean that the daptomycin purified by Baker *et al.* in the US RE39,071 E has at best 93% purity since the purification procedures used by two patents are different. As shown in Example 2 of the present application, the purity level of the daptomycin was 91% using the purification method from the '843 patent, and the daptomycin sample was

further confirmed to contain fourteen impurities (Example 10), which does not mean the daptomycin purified by Baker *et al.* in the US RE39,071 E would have at best 93% purity when a different purification procedure is used. Even if the daptomycin purified by Baker *et al.* in the US RE39,071 E does not have 97.5% purity, the composition comprising daptomycin (LY146032) obtained in substantially pure form that contains less than 2.5% of a combined total of anhydro-daptomycin and beta-isomer of daptomycin as taught by Baker *et al.* is not different from the claimed composition as indicated in claim 1(a)-1(d) and 1(g) because the term "comprising" indicates the composition can contain something else besides substantially or essentially pure daptomycin in a composition <u>comprising</u> substantially or essentially pure daptomycin. Therefore, the rejection of claim 1(a)-1(d) and its dependent claims are maintained.

## **Claim Objections**

3. Claims 6-7, 35-36, 43-44 and 51-52 are objected to because the claims are dependent from a rejected claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

#### Conclusion

4. Claims 1-5, 8-29, 31-34, 38-42, 46-50 and 54-57 are rejected; and claims 6-7, 35-36, 43-44 and 51-52 are objected to.

Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Chih-Min Kam whose telephone number is (571) 272-0948. The examiner can normally be reached on 8.00-4:30, Mon-Fri.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Jon Weber can be reached at 571-272-0925. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

/Chih-Min Kam/ Primary Examiner, Art Unit 1656

CMK August 8, 2009



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Application No.

11/739,180 Examiner Applicant(s)

KELLEHER ET AL.

CHIH-MIN KAM

Art Unit

1656

SEARCHED					
Class	Subclass	Date	Examiner		
514	9, 11, 2, 14				
530	317, 322				
530	344				
435	886				

INTERFERENCE SEARCHED						
Class	Subclass	Date	Examiner			

SEARCH NOTES (INCLUDING SEARCH STRATEGY)				
	DATE	EXMR		
EAST Search on USPAT, USPGPUB, DERWENT, EPO, JPO; STN search on MEDLINE, BIOSIS, EMBASE, SCISEARCH, AGRICOLA.	2/13/2008	СМК		
Search strategy enclosed, Inventor name search, Parent applications 60/177,170 and 09/735,191, 10/747,48 have been reviewed.	2/13/2008	СМК		
Update the search	10/28/2008	СМК		
Update the search	8/5/2009	СМК		

U.S. Patent and Trademark Office

Part of Paper No. 20090808

## **EAST Search History**

## EAST Search History (Prior Art)

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L1	721	daptomycin	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2009/08/05 08:52
L2	51311	substantially adj pure	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2009/08/05 08:52
L3	13508	essentially adj pure	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2009/08/05 08:52
L4	7	L1 same (L2 or L3)	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2009/08/05 08:52
L5	8	impurities same L1	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2009/08/05 08:53
L6	8	anhydro-daptomycin or beta-daptomycin	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2009/08/05 08:53
L7	48441	anion adj exchange	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2009/08/05 08:54
L8	12152	hydrophobic adj interaction adj chromatography	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2009/08/05 08:54
L9	5	L1 same L7 same L8	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2009/08/05 08:54
L10	102	(Ly adj "146032") or A- 21978C or A54145 or A- 21978	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2009/08/05 08:54
L11	2	L10 same (L2 or L3)	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2009/08/05 08:54
L12	20	kelleher adj thomas.in.	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2009/08/05 08:54
L13	8	lai adj jan-ji.in.	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2009/08/05 08:54

L14	3	decourcey adj joseph. in.	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2009/08/05 08:54
L15	27	lynch adj paul.in.	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2009/08/05 08:54
L16	64	zenoni adj maurizio.in.	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2009/08/05 08:54
L17	6	tagliani adj auro.in.	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2009/08/05 08:54
L18	111	L12 or L13 or L14 or L15 or L16 or L17	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2009/08/05 08:54
L19	6	L18 and L1	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2009/08/05 08:54

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(FILE 'HOME' ENTERED AT 08:56:32 ON 05 AUG 2009)

FILE 'MEDLINE, CAPLUS, BIOSIS, EMBASE, SCISEARCH, AGRICOLA' ENTERED AT

08:56:56 ON 05 AUG 2009

- L1 5773 S DAPTOMYCIN
- L2 2767 S SUBSTANTIALLY PURE
- L3 2193 S ESSENTIALLY PURE
- L4 0 S L1 (P) (L2 OR L3)
- L5 2 S L1 (P) IMPURITIES
- L6 2 DUPLICATE REMOVE L5 (0 DUPLICATES REMOVED)
- L7 4 S ANHYDRO-DAPTOMYCIN OR BETA-DAPTOMYCIN
- L8 4 DUPLICATE REMOVE L7 (0 DUPLICATES REMOVED)
- L9 4 S L8 NOT L5
- L10 105954 S ANION EXCHANGE
- L11 9991 S HYDROPHOBIC INTERACTION CHROMATOGRAPHY
- L12 2 S L1 (P) (L10) (P) L11
- L13 2 DUPLICATE REMOVE L12 (0 DUPLICATES REMOVED)
- L14 1 S L13 NOT (L5 OR L7)
- L15 384 S (LY 146032) OR A-21978C OR A54145 OR A-21978
- L16 1 S L15 (P) (L2 OR L3)
- L17 1 S L16 NOT (L5 OR L7 OR L14)
- L18 189 S KELLEHER T?/AU
- L19 10273 S LAI J?/AU
- L20 12 S DECOURCEY J?/AU
- L21 3444 S LYNCH P?/AU
- L22 73 S ZENONI M?/AU
- L23 125 S TAGLIANI A?/AU
- L24 14103 S L18 OR L19 OR L20 OR L21 OR L22 OR L23
- L25 20 S L24 AND L1
- L26 0 S L25 AND (L2 OR L3)
- L27 1 S L25 AND IMPURITIES
- L28 0 S L27 NOT (L5 OR L7 OR L14)

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Confirmation No.

8837

Appl. No. : 11/739,180

Applicant : Thomas Kelleher et al. Filed : April 24, 2007

Filed : April 24, 2007

TC/A.U. : 1656

05/15/2009 17:18

Examiner : Chih Min Kam

Docket No. : C062-02/03 US

15, 2009

Customer No. : 34103

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313

### AMENDMENT

This Amendment is filed in response to the Office Action mailed November 17, 2008 (hereafter "the Office Action") in the above-identified application.

#### Certificate of Transmission/Mailing

I hereby certify that this correspondence (Amendment) is being deposited with the United States Postal Service with sufficient postage as First Class Mail and is addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 or is being facsimile transmitted to the USPTO on the date shown helow.

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PAGE 3/16 \* RCVD AT 5/15/2009 5:37:44 PM [Eastern Daylight Time] \* SVR:USPTO-EFXRF-5/30 \* DNIS:2738300 \* CSID:7812740788 \* DURATION (mm-ss):04-08

US Serial No. 11/739,180

Attorney Docket No. C062-02/03 US

#### AMENDMENTS TO THE CLAIMS



1. (Currently amended) A composition comprising

(a) essentially pure daptomycin,

(b) daptomycin that is substantially free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin,

(c) daptomycin that is essentially free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin,

(d) daptomycin that is free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin,

(e) daptomycin that is substantially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12, or

(f) daptomycin that is essentially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12 or

(g) substantially pure daptomycin.

2. (Original) The composition of claim 1 comprising essentially pure daptomycin.

3. (Original) The composition of claim 1 compromising daptomycin that is substantially free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin.

4. (Original) The composition according to claim 3 that is essentially free of anhydro-daptomycin.

5. (Original) The composition according to claim 3 that is free of anhydro-daptomycin.

6. (Original) The composition of claim 1 that is substantially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12.

7. (Original) The composition according to claim 6 that is essentially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12.

8. (Original) The composition of claim 1, wherein daptomycin purity is measured by HPLC.

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US Serial No. 11/739,180

Attorney Docket No. C062-02/03 US

9. (Original) The composition of claim 1 further comprising a pharmaceutically acceptable carrier or excipient.

10. (Original) A pharmaceutical composition according to claim 9, further comprising one or more antibiotics, one or more antifungal agents, or both an antibiotic and an antifungal agent.

11. (Original) The composition according to claim 1 wherein the daptomycin is purified by a process comprising the steps of:

a) supplying a fermentation broth;

b) fernenting Streptomyces roseosporus with a feed of n-decanoic acid to produce daptomycin in the fermentation broth;

c) clarifying the fermentation broth to obtain a clarified solution;

d) subjecting the clarified solution to anion exchange chromatography to obtain an enriched daptomycin preparation;

e) subjecting the enriched daptomycin preparation to hydrophobic interaction chromatography to obtain a semi-purified daptomycin preparation; and

f) subjecting the semi-purified daptomycin preparation to anion exchange chromatography to obtain the composition of claim 1.

12. (Original) The composition according to claim 11, wherein the feed of n-decanoic acid is regulated to achieve a residual concentration of n-decanoic acid of no more than 50 parts per million (ppm) during fermentation.

13. (Original) The composition according to claim 11, wherein said clarifying comprises filtration or centrifugation and depth filtration.

14. (Original) The composition according to claim 11, wherein the anion exchange chromatography in d) is performed using a resin comprising a copolymer of 2methacrylic acid and ethyleneglycol dimethacrylate (EDGM).

15. (Original) The composition according to claim 11, wherein the hydrophobic interaction chromatography is performed using a resin comprising a co-polymer of cross-linked divinylbenzene/stryene.

16. (Original) The composition according to claim 15, wherein the hydrophobic interaction chromatography is performed at neutral pH and a solvent

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US Serial No. 11/739.180

Attomey Docket No. C062-02/03 US

concentration that is reduced compared to the solvent concentration used when performing the hydrophobic interaction chromatography at acidic pH.

17. (Original) The composition according to claim 16, wherein the resin is recycled by loading the column at an acidic pH and eluting the column at a neutral pH.

18. (Original) The composition according to claim 11, wherein the anion exchange chromatography in f) is performed using a resin comprising a copolymer of 2-methacrylic acid and ethyleneglycol dimethacrylate (EDGM).

19. (Original) The composition according to claim 11, wherein the anion exchange chromatography is used to reduce the level of solvent in the clarified solution.

20. (Original) The composition according to claim 11, wherein the anion exchange chromatography is performed via continuous flow chromatography.

21. (Original) The composition according to claim 11, wherein the process further comprises the step of filtering daptomycin.

22. (Original) The composition according to claim 11, wherein the process further comprises the step of depyrogenating daptomycin using ultrafiltration.

23. (Original) The composition according to claim 22 wherein said depyrogenating comprises the steps of:

i) providing a daptomycin solution under conditions in which the daptomycin is in a monomeric and nonmicellar state;

ii) filtering the daptomycin solution under conditions in which the daptomycin passes through the filter but pyrogens do not pass through the filter;

iii) subjecting the daptomycin solution to conditions forming a daptomycin aggregate;

iv) filtering the daptomycin aggregate under conditions in which the daptomycin aggregate is retained on the filter; and

v) collecting the daptomycin aggregate.

24. (Original) The composition according to claim 22, wherein the process further comprises the step of lyophilizing daptomycin.

25. (Original) The composition according to claim 22, wherein the anion exchange chromatography is performed via radial flow chromatography.

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Attorney Docket No. C062-02/03 US

26. (Original) The composition according claim 11, wherein said clarifying comprises microfiltration or centrifugation.

27. (Original) The composition according to claim 11, wherein the process further comprises the steps of filtering and concentrating daptomycin.

28. (Original) The composition according to claim 11, wherein the process further comprises the step of separating the enriched daptomycin from low molecular weight material by ultrafiltration.

29. (Original) The composition according to claim 28, wherein the process further comprises the step of depyrogenating the daptomycin.

30. Canceled

31. (Original) The pharmaceutical composition of claim 9 comprising essentially pure daptomycin.

32. (Original) The pharmaceutical composition of claim 9 comprising daptomycin that is substantially free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin.

33. (Original) The pharmaceutical composition of claim 9 comprising daptomycin that is essentially free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin.

34. (Original) The pharmaceutical composition of claim 9 comptising daptomycin that is free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin.

35. (Original) The pharmaceutical composition of claim 9 comprising daptomycin that is substantially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12.

36. (Original) The pharmaceutical composition of claim 9 comprising daptomycin that is essentially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12.

37. Canceled

38. (Original) A method for preparing a pharmaceutical composition comprising combining the composition of claim 1 with a pharmaceutically acceptable

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Attorney Docket No. C062-02/03 US

carrier or excipient.

39. (Original) The method of claim 38 wherein the composition is essentially pure daptomycin.

40. (Original) The method of claim 38 wherein the composition is daptomycin that is substantially free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin.

41. (Original) The method of claim 38 wherein the composition is daptomycin that is essentially free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin.

42. (Original) The method of claim 38 wherein the composition is daptomycin that is free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin.

43. (Original) The method of claim 38 wherein the composition is daptomycin that is substantially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12.

44. (Original) The method of claim 38 wherein the composition is daptomycin that is essentially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12.

45. Canceled

46. (Original) A pharmaceutical composition prepared by the method of claim 38.

47. (Original) The pharmaceutical composition of claim 46 wherein the composition is essentially pure daptomycin.

48. (Original) The pharmaceutical composition of claim 46 wherein the composition is daptomycin that is substantially free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin.

49. (Original) The pharmaceutical composition of claim 46 wherein the composition is daptomycin that is essentially free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin.

50. (Original) The pharmaceutical composition of claim 46 wherein the

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composition is daptomycin that is free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin.

51. (Original) The pharmaceutical composition of claim 46 wherein the composition is daptomycin that is substantially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12.

52. (Original) The pharmaceutical composition of claim 46 wherein the composition is daptomycin that is essentially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12.

53. Canceled

54. (New) The composition of claim 1 comprising substantially pure daptomycin.

55. (New)The pharmaceutical composition of claim 9 comprising substantially pure daptomycin.

56. (New)The method of claim 38 wherein the composition is substantially pure daptomycin.

57. (New)The pharmaceutical composition of claim 46 wherein the composition is substantially pure daptomycin.

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#### **REMARKS**

Applicants undersigned agent thanks the Examiner for the telephone interview conducted on May 14, 2009. Although no further agreement was reached on the allowability of the claims, Applicants believe the interview provided a helpful explanation of the invention and the basis for the amendment to claim 1 herein and the introduction of claims 54-57. Applicants also acknowledge with thanks the Examiner's indication in the Office Action Summary that certain claims would be allowable if they were not dependent on unallowable claims.

#### The Claim Amendments

Claims 1-29, 31-36, 38-44 and 46-52 were pending in the present application. Applicants have amended claim 1. Applicants have added claims 54-57. Support for amended claim 1 can be found in claim 1 as originally filed. Support for added claim 54 can be found in originally filed claims 1 and 30. Support for new claim 55 can be found in original claim 37. Support for new claim 56 can be found in original claim 45. Support for claim 57 can be found in original claim 53. Accordingly, upon entry of the instant amendments, claims 1-29, 31-36, 38-44, 46-52 and 54-57 will be pending in this application.

### Rejection under 35 U.S.C. §103(a)

Claims 1-5, 8-29, 31-34, 38-42 and 46-50 are rejected under 35 U.S.C. \$103(a) as being unpatentable over Baker et al. (US RE39071 E, hereafter <u>Baker</u>). The Office Action states that <u>Baker</u> teaches antibacterial compositions comprising daptomycin in substantially pure form, which refers to daptomycin that contains less than 2.5% of a combined total of anhydro daptomycin and beta-isomer daptomycin where daptomycin is purified using Dianion HP-20 resin column and HPLC. The Office Action reads that <u>Baker</u> does not specifically disclose the daptomycin compositions of the present invention, but "the reference does indicate that daptomycin (LY146032) contains less than 2.5% of a combined total of anhydro-daptomycin and  $\beta$ -isomer of daptomycin, thus it is obvious that LY146032 is at least 97.5% pure, which encompasses embodiments of at least 98% pure(claims 1(a), 2, 31, 39, 47), the embodiments of substantially free of anhydro-daptomycin (no more than 1%) and substantially free  $\beta$ -isomer of daptomycin

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(no more than 1%; claims 3, 32, 40, 48), the embodiments of essentially free anhydrodaptomycin (no more than 0.5%) and substantially free of  $\beta$ -isomer of daptomycin (no more than 1%; claims 1(c) 4, 33, 41, 49), and the embodiments of free of anhydrodaptomycin (no more than 0.1%) and substantially free of  $\beta$ -isomer of daptomycin (no more than 1%; claims 1(d), 5, 32, 42, 50)"[emphasis added].

Applicants respectfully traverse because <u>Baker</u> did not provide LY146032 that is at least 97.5% pure because (A) other impurities are implied in <u>Baker</u>, (B) a dozen other impurities later discovered by Applicants were unappreciated in <u>Baker</u> and were at least 7% in Baker's later work, and (C) Baker's later work teaches at best 93% purity. As explained in detail below, it would not have been obvious how to make the claimed invention based on <u>Baker</u>.

(A) The statement in the Office action that "it is obvious that LY146032 [in <u>Baker</u>] is at least 97.5% pure..." is an unreasonable assumption. While <u>Baker</u> teaches compositions of daptomycin that contain less than 2.5% of a combined total of anhydro daptomycin and beta-isomer daptomycin, <u>Baker</u> does not discuss overall purity of daptomycin in the composition. <u>Baker</u> does not disclose the purity level of daptomycin in the sample but discloses the level of anhydro-daptomycin and beta isomer of daptomycin in the sample but discloses the level of anhydro-daptomycin and beta isomer of daptomycin in the sample but discloses the level of anhydro-daptomycin and beta isomer of daptomycin in relation to daptomycin. In fact, <u>Baker</u> implies that other degradants are present, but they are not predominant in the pH range that optimizes the transpeptidation reactions. See column 8, lines 47-48 ("In the preparation of formulation 1 and 2 compounds [anhydro form and beta-isomer], a pH range of 4-6 is optimum for the transpeptidation reactions. At pH levels below 4 and above 6, other degradation processes predominate.").

(B) <u>Baker</u> likely had less than 93% LY146032 because it did not recognize existence of other impurities and Baker's later work shows undetermined impurities at least as great as 7%. <u>Baker</u> does not indicate the levels of any other impurities present in the compositions prepared by <u>Baker</u> or suggest that the other twelve impurities described in the specification of the present application were appreciated. Applicants submit that although <u>Baker</u> did not disclose other impurities, other impurities were likely present in the <u>Baker</u> preparations as evidenced by Baker's later work disclosed in his '843 application and Applicants' own work in the present application. <u>Baker</u> likely contained

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but did not disclose the 12 impurities of the present application and that <u>Baker</u> did not have even 93% purity as a "substantially pure form" as the term was used in <u>Baker</u> thus that phrase must have been used differently in <u>Baker</u> than in the present application.

Baker's later filed work describes at best 93% purity. For example, (C) Baker's later filed '843 patent, which is dealt with extensively in the present application, and the specification of the present application teaches, that prior to the Applicant's invention the highest LY146032 purity levels observed were 90-93%. See for example, page 4, line 29, through page 5, line 2 and the '843 Patent col. 2, 11. 40-44. United States Patent 4,874,843, (based on an application by filed by Baker December 3, 1987, i.e. after the June 10, 1987 priority of Baker's '226 patent) describes several daptomycin purification methods. The '843 patent states that final resolution and separation of daptomycin from structurally similar compounds was impeded by the presence of impurities that were not identifiable by ultraviolet analysis of the fermentation broth. In addition, the '843 patent also states that despite a variety of additional purification attempts, the highest yields obtained were about 93%. See page 3, line 12 through page 4, line 2 of the present specification. In addition, the Applicants described the use of the purification method from the '843 patent in Example 2 of the present application. See page 52, lines 1-5. After purification by the '843 patent's method as described in Example 2 of the present specification, Applicants noted that the purity level of the composition was 91%. It is therefore unreasonable to assume that Baker's preparation was 97.5% daptomycin because of the absence of the other later identified impurities and Baker's work described in the later filed application describing the best purity levels seen.

Applicants' use of the HPLC method described in the present invention revealed that the daptomycin purified by the '843 patent's method in Example 2 of the present application contained fourteen impurities (anhydro daptomycin, beta-isomer of daptomycin and 12 additional impurities). See Example 10, page 57, line 10, through page 60, line 8. It was Applicants' present discovery of the impurities and the resulting method to produce more pure forms of daptomycin that are non-obvious over <u>Baker</u>. The disclosure of '843 and Applicants work (example 2) teach that the highest purity of daptomycin prior to the Applicants invention is 91-93%. Therefore, one of skill in the

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art, based on the disclosure of '843 would acknowledge that there is at best a total of 7% of impurities that exist in the composition. <u>Baker</u> accounts for at most only 2.5% of these impurities and is silent on the other 4.5% of impurities that are inherent in the composition. In any event, Baker could not have had a daptomycin purity of greater than 93%. Based on this reasoning and <u>Baker's</u> acknowledgement that there are other degradants (*vide supra*), it is incorrect to extrapolate that the LY146032 of <u>Baker</u> is 97.5% pure.

In In Re Rijckaert, 9 F.3d 1531, 1534 (Fed. Cir. 1993), the court acknowledged that "That which may be inherent is not necessarily known. Obviousness cannot be predicated on what is unknown." Based on the Applicant's arguments (vide supra), it is clear that other impurities were present in the Baker composition but unappreciated at the time. Applicants submit that these impurities were not known based on the disclosure by Baker nor by the disclosure of '843. The '843 patent does not identify the impurities present in the composition, nor does the '843 patent contemplate how to remove these impurities. Based on the disclosure of the '843 patent, more than routine experimentation would be required by one of ordinary skill in the art to achieve increased purity of daptomycin given the multitude of attempts made to increase the purity and the recognition that, at best, only 93% daptomycin was achievable. See column 1 line 55 through column 2, line 44 of the '843 patent. The '843 patent does not describe the isolation of any impurities. The '843 patent does not provide a means for separating and isolating the impurities, characterizing the impurities nor does the '843 patent suggest a method to remove the impurities. Prior to Applicant's invention one of skill in the art would not have believed higher purity of daptomycin was achievable because, without knowledge of what impurities were present in '843, no means existed for a method to remove said impurities. Even if one's goal was to achieve higher purity of daptomycin, '843 does not suggest how to accomplish this. The '843 patent does not teach what the impurities are, therefore there is no starting point for one of skill in the art to remove these impurities. In Abbott Laboratories v. Sandoz Inc., 544 F.3d 1341, 1352 (Fed. Cir. 2008), the court ruled that "knowledge of the goal does not render its achievement obvious." Thus, the desire for more purity would not render obvious how to achieve it.

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In addition, <u>Baker</u> is silent on how to obtain anhydro-daptomycin and beta-isomer of daptomycin at the lower percentages described in the present invention. <u>Baker</u> is also silent with respect to the <u>individual</u> amounts of anhydro-daptomycin and beta-isomer of daptomycin disclosed in the present invention.

The Office Action states that claim 11-29 are not patentable because the product by process claims are limited by and defined by the process, determination of patentability is based on the product itself, and the patentability of the product does not depend on its method of production. Applicants have established (*vide supra*) that the composition is not obvious in light of <u>Baker</u>, therefore the rejection is overcome. For the reasons set forth above, Applicants respectfully request withdrawal of the rejection under 35 U.S.C. § 103(a).

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### **CONCLUSION**

For the reasons presented above, Applicants respectfully request reconsideration and prompt allowance of all pending claims. A Petition for Extension of Time is enclosed. Please deduct the petition fee and apply any other charges or credits to Deposit Account No. 50-1986, referencing attorney docket number C062-02/03.

Respectfully submitted,

Date: May 15, 2009 Cubist Pharmaceuticals, Inc. 65 Hayden Avenue Lexington, Massachusetts 02421 Tel.: (781) 860-8660 Fax: (781) 860-1407

/Jill M.N. Mandelblatt/ Timothy J. Douros, Rcg. No. 41,716 William D. DeVaul, Reg. No. 42,483 Attorneys for Assignce Jill M.N. Mandelblatt, Reg. No. 37,878 Patent Agent for Assignee

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	EXAMINATION FE (37 CFR 1.16(o), (p), (	Ε	N/A		N/A		N/A			N/A	
37	TAL CLAIMS CFR 1.16(i))		mir	us 20 = *			X \$ =		OR	X\$ =	
	EPENDENT CLAIM CFR 1.16(h))	s	m	inus 3 = *			X \$ =			X \$ =	
	APPLICATION SIZE 37 CFR 1.16(s)) MULTIPLE DEPEN	FEE she is \$ add 35 t	ets of pap 250 (\$125 itional 50 s J.S.C. 41(	ation and drawing er, the applicatio for small entity) sheets or fraction a)(1)(G) and 37	n size fee due for each n thereof. See						
	he difference in colu						TOTAL			TOTAL	
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		(Column 1)		(Column 2)	(Column 3)		SMAL	L ENTITY	OR		R THAN LL ENTITY
	05/15/2009	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA		RATE (\$)	ADDITIONAL FEE (\$)		RATE (\$)	ADDITIONAL FEE (\$)
	Total (37 CFR 1.16(i))	* 53	Minus	** 53	= 0		X \$26 =	0	OR	X \$ =	
	Independent (37 CFR 1.16(h))	* 2	Minus	***3	= 0		X \$110 =	0	OR	X \$ =	
	Application Si	ze Fee (37 CFR	1.16(s))								
	FIRST PRESEN	ITATION OF MULT	IPLE DEPEN	DENT CLAIM (37 CFI	R 1.16(j))				OR		
							TOTAL ADD'L FEE	0	OR	TOTAL ADD'L FEE	
		(Column 1)		(Column 2)	(Column 3)						
		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA		RATE (\$)	ADDITIONAL FEE (\$)		RATE (\$)	ADDITIONAL FEE (\$)
	Total (37 CFR 1.16(i))	*	Minus	**	=		X \$ =		OR	X \$ =	
	Independent (37 CFR 1.16(h))	*	Minus	***	=		X \$ =		OR	X \$ =	
i	Application Si	ze Fee (37 CFR	1.16(s))								
È		ITATION OF MULT	IPLE DEPEN	DENT CLAIM (37 CFI	R 1.16(j))				OR		
							TOTAL ADD'L FEE		OR	TOTAL ADD'L FEE	
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process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.16. The information is required to obtain of retain a benefit by the public which is to the quite by the quite by the public which is to the quite by the quite by the public which is to the quite by the quit

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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FILE 'MEDLINE, CAPLUS, BIOSIS, EMBASE, SCISEARCH, AGRICOLA' ENTERED AT

15:57:37 ON 28 OCT 2008

- L1 4657 S DAPTOMYCIN
- L2 2695 S SUBSTANTIALLY PURE
- L3 2157 S ESSENTIALLY PURE
- L4 0 S L1 (P) (L2 OR L3)
- L5 2 S L1 (P) IMPURITIES
- L6 2 DUPLICATE REMOVE L5 (0 DUPLICATES REMOVED)
- L7 4 S ANHYDRO-DAPTOMYCIN OR BETA-DAPTOMYCIN
- L8 4 DUPLICATE REMOVE L7 (0 DUPLICATES REMOVED)
- L9 4 S L8 NOT L5
- L10 102337 S ANION EXCHANGE
- L11 9600 S HYDROPHOBIC INTERACTION CHROMATOGRAPHY
- L12 2 S L1 (P) L10 (P) L11
- L13 2 DUPLICATE REMOVE L12 (0 DUPLICATES REMOVED)
- L14 1 S L13 NOT (L5 OR L9)
- L15 373 S (LY 146032) OR A-21978C OR A54145 OR A-21978
- L16 1 S L15 (P) (L2 OR L3)
- L17 1 S L16 NOT (L5 OR L9 OR L14)
- L18 189 S KELLEHER T?/AU
- L19 9485 S LAI J?/AU
- L20 9 S DECOURCEY J?/AU
- L21 3383 S LYNCH P?/AU
- L22 73 S ZENONI M?/AU
- L23 116 S TAGLIANI A?/AU
- L24 13242 S L18 OR L19 OR L20 OR L21 OR L22 OR L23
- L25 20 S L24 AND L1
- L26 8 DUPLICATE REMOVE L25 (12 DUPLICATES REMOVED)
- L27 6 S L26 NOT (L5 OR L9 OR L14 OR L17)

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# EAST Search History

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L1	599	daptomycin	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2008/10/28 14:36
L2	48078	substantially adj pure	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2008/10/28 14:36
L3	12793	essentially adj pure	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2008/10/28 14:36
L4	7	L1 same (L2 or L3)	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2008/10/28 14:36
L5	8	impurities same L1	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2008/10/28 15:51
L6	8	anhydro-daptomycin or beta-daptomycin	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2008/10/28 15:51
L7	45693	anion adj exchange	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2008/10/28 15:52
L8	11134	hydrophobic adj interaction adj chromatography	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2008/10/28 15:52
L9	5	L1 same L7 same L8	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2008/10/28 15:52
L10	97	(Ly adj "146032") or A- 21978C or A54145 or A- 21978	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2008/10/28 15:53
L11	2	10 same (2 or 3)	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2008/10/28 15:53
L12	20	kelleher adj thomas.in.	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2008/10/28 15:54
L13	7	lai adj jan-ji.in.	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2008/10/28 15:54
L14	3	decourcey adj joseph. in.	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2008/10/28 15:54

L15	27	lynch adj paul.in.	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2008/10/28 15:54
L16	62	zenoni adj maurizio.in.	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2008/10/28 15:54
L17	5	tagliani adj auro.in.	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2008/10/28 15:54
L18	107	L12 or L13 or L14 or L15 or L16 or L17	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2008/10/28 15:54
L19	5	L18 and L1	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2008/10/28 15:54

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	ted States Patent a	and Trademark Office	UNITED STATES DEPAR United States Patent and Address: COMMISSIONER F P.O. Box 1450 Alexandria, Virginia 22: www.uspto.gov	FOR PATENTS
APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
11/739,180	04/24/2007	Thomas Kelleher	C062-02/03 US	8837
	34103 7590 11/17/2008 Intellectual Property Department Cubist Pharmaceuticals, Inc.		EXAM KAM, CI	
65 Hayden Ave Lexington, MA			ART UNIT	PAPER NUMBER
Bennigton, im			1656	
			MAIL DATE	DELIVERY MODE
			11/17/2008	PAPER

# Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

		Application No.	Applicant(s)
	••••	11/739,180	KELLEHER ET AL.
	Office Action Summary	Examiner	Art Unit
		CHIH-MIN KAM	1656
Period fo	The MAILING DATE of this communication a or Reply	ppears on the cover shee	et with the correspondence address
WHIC - Exte after - If NC - Failu Any	ORTENED STATUTORY PERIOD FOR REP CHEVER IS LONGER, FROM THE MAILING nsions of time may be available under the provisions of 37 CFR SIX (6) MONTHS from the mailing date of this communication. ) period for reply is specified above, the maximum statutory perio re to reply within the set or extended period for reply will, by statu reply received by the Office later than three months after the mai ed patent term adjustment. See 37 CFR 1.704(b).	DATE OF THIS COMMU I.136(a). In no event, however, ma d will apply and will expire SIX (6) ute, cause the application to becom	JNICATION. ay a reply be timely filed MONTHS from the mailing date of this communication. ne ABANDONED (35 U.S.C. § 133).
Status			
1)🖂	Responsive to communication(s) filed on <u>18</u>	August 2008.	
-	· · · · · ·	is action is non-final.	
3)	/		natters, prosecution as to the merits is
/	closed in accordance with the practice under	-	-
Disposit	ion of Claims		
4)X	Claim(s) <u>1-29,31-36,38-44 and 46-52</u> is/are	pending in the applicatio	n
	4a) Of the above claim(s) is/are withdr		
	Claim(s) is/are allowed.		
· · · · ·	Claim(s) <u>1-5,8-29,31-34,38-42 and 46-50</u> is/a	are reiected.	
	Claim(s) <u>6,7,35,36,43,44,51 and 52</u> is/are ob		
	Claim(s) are subject to restriction and		
	ion Papers		
	The specification is objected to by the Examined to be the Examined to be the Examined to be the transmission of transmission of the transmission of transmission of the transmission of transmission		
10)🖂	The drawing(s) filed on <u>24 April 2007</u> is/are:		
	Applicant may not request that any objection to the	e drawing(s) be held in ab	eyance. See 37 CFR 1.85(a).
	Replacement drawing sheet(s) including the corre		
11)	The oath or declaration is objected to by the I	Examiner. Note the attac	ched Office Action or form PTO-152.
Priority (	under 35 U.S.C. § 119		
•	Acknowledgment is made of a claim for foreig	n priority under 35 U.S.	C. § 119(a)-(d) or (f).
a)	☐ All b)  Some * c)  None of:		
	1. Certified copies of the priority docume		
	2. Certified copies of the priority docume	nts have been received	in Application No
	3. Copies of the certified copies of the pr	iority documents have b	een received in this National Stage
	application from the International Bure		
* (	See the attached detailed Office action for a lis	st of the certified copies	not received.
Attachmen	t(s)		
_	e of References Cited (PTO-892)	4) 🗌 Intervi	ew Summary (PTO-413)
2) 🔲 Notic	e of Draftsperson's Patent Drawing Review (PTO-948)	Paper	No(s)/Mail Date
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	r No(s)/Mail Date	6) 🛄 Other:	<u> </u>
J.S. Patent and T PTOL-326 (F	rademark Office Rev. 08-06) Office	Action Summary	Part of Paper No./Mail Date 20081029

# **DETAILED ACTION**

### Status of the Claims

1. Claims 1-29, 31-36, 38-44 and 46-52 are pending.

Applicants' amendment filed August 18, 2008 is acknowledged. Claim 1 has been amended, and claims 30, 37, 45 and 53 have been cancelled. Therefore, claims 1-29, 31-36, 38-44 and 46-52 are examined.

# Withdrawn Claim Objections

2. The previous objection to claims 2-7, 10, 31-34, 39-42 and 47-50 is withdrawn in view of a new ground of rejection made on these claims.

# Withdrawn Claim Rejections - 35 USC § 102

3. The previous rejection of claims 1, 8, 9, 11-30, 37, 38, 45-46 and 53 under 35

U.S.C. 102(e) as being anticipated by Baker et al. (US RE39,071 E, reissue of U.S. Patent

5,912,226), is withdrawn in view of applicants' amendment to the claim, and applicant's

response at pages 8-9 in the amendment filed August 18, 2008.

# New Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all

obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

# Application/Control Number: 11/739,180 Art Unit: 1656

4. Claims 1-5, 8-29, 31-34, 38-42 and 46-50 are rejected under 35 U.S.C. 103(a) as being unpatentable over Baker *et al.* (US RE39,071 E, reissue of U.S. Patent 5,912,226, filed December 16, 1991).

Baker et al. teach an antibacterial composition comprising daptomycin (LY146032) in substantially pure form, which refers to daptomycin that contains less than 2.5% of a combined total of anhydro-daptomycin and beta-isomer of daptomycin (column 8, lines 50-60; Example 4), where daptomycin is purified by a procedure using Diaion HP-20 resin column and HPLC (Examples 1-3, claim 8). Baker *et al.* also teach a pharmaceutical formulation comprising the purified daptomycin (LY146032) with pharmaceutical carriers or excipients can also be prepared (column 9, lines 47-59; claims 9, 38, 46). Although Baker et al. do not specifically disclose the daptomycin (LY146032) that is essentially pure (i.e., at least 98% of a sample being daptomycin as defined at page 11, lines 23-26 of the instant specification); that is substantially free of anhydro-daptomycin (no more than 1%; page 11, lines 27-29) and substantially free of  $\beta$ -isomer of daptomycin (no more than 1%); that is essentially free of anhydro-daptomycin (no more than 0.5%; page 12, lines 1-3) and substantially free of  $\beta$ -isomer of daptomycin (no more than 1%); that is free of anhydro-daptomycin (no more than 0.1%; page 12, lines 4-6) and substantially free of  $\beta$ -isomer of daptomycin (no more than 1%), the reference does indicate the daptomycin (LY146032) contains less than 2.5% of a combined total of anhydro-daptomycin and beta-isomer of daptomycin, thus it is obvious that LY146032 is at least 97.5% pure, which encompass the embodiments at least 98% pure (claims 1(a), 2, 31, 39, 47), the embodiments of substantially free of anhydro-daptomycin (no more than 1%) and substantially free of  $\beta$ -isomer of daptomycin (no more than 1%; claims 1(b), 3, 32, 40, 48), the embodiments of essentially free of anhydroApplication/Control Number: 11/739,180 Art Unit: 1656

daptomycin (no more than 0.5%) and substantially free of  $\beta$ -isomer of daptomycin (no more than 1%; claims 1(c), 4, 33, 41, 49), and the embodiments of free of anhydro-daptomycin (no more than 0.1%) and substantially free of  $\beta$ -isomer of daptomycin (no more than 1%; claims 1(d), 5, 34, 42, 50). It is also obvious that claims 11-29 are not patentable because the product by process claims are limited by and defined by the process, determination of patentability is based on the product itself, and the patentability of a product does not depend on its method of production (see MPEP 2113). In the instant case, the composition comprising daptomycin that is substantially free of anhydro-daptomycin and beta-isomer of daptomycin (less than 2.5% impurity, or at least 97.5% pure) as indicated in the patent is the similar to the claimed composition comprising essentially pure daptomycin (>98% daptomycin), even though the daptomycin of reference is purified by a different process. Baker et al. also disclose an antibiotic composition comprised of a combination of a compound of formula 1 (i.e., anhydro-A21978C; column 1, lines 14-21), a compound of formula 2 (isomer of A21978C) and a compound of formula 3 (the parent cyclic peptide of A21978C; LY146032) or pharmaceutically acceptable salts (Reissue:claim 18; claim 10 of instant application).

# **Claim Objections**

5. Claims 6-7, 35-36, 43-44 and 51-52 are objected to because the claims are dependent from a rejected claim.

# Conclusion

6. Claims 1-5, 8-29, 31-34, 38-42 and 46-50 are rejected; and claims 6-7, 35-36, 43-44 and 51-52 are objected to.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Chih-Min Kam whose telephone number is (571) 272-0948. The examiner can normally be reached on 8.00-4:30, Mon-Fri.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Jon Weber can be reached at 571-272-0925. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

/Chih-Min Kam/ Primary Examiner, Art Unit 1656

CMK November 12, 2008



Application No.

11/739,180 Examiner

Applicant(s)

KELLEHER ET AL.

Art Unit

CHIH-MIN KAM

1656

SEARCHED							
Class	Subclass	Date	Examiner				
514	9, 11, 2, 14						
530	317, 322						
530	344						
435	886						

INT	INTERFERENCE SEARCHED							
Class	Subclass	Date	Examiner					

SEARCH NOT (INCLUDING SEARCH S		)
	DATE	EXMR
EAST Search on USPAT, USPGPUB, DERWENT, EPO, JPO; STN search on MEDLINE, BIOSIS, EMBASE, SCISEARCH, AGRICOLA.	2/13/2008	СМК
Search strategy enclosed, Inventor name search, Parent applications 60/177,170 and 09/735,191, 10/747,48 have been reviewed.	2/13/2008	СМК
Update the search	10/28/2008	СМК

U.S. Patent and Trademark Office

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

11/739,180 Confirmation No. 8837 Appl. No. : Applicant Thomas Kelleher et al. : Filed April 24, 2007 : TC/A.U. 1656 : Examiner Chih Min Kam : Docket No. C062-02/03 US : Customer No. : 34103 Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313

# AMENDMENT

This Amendment is filed in response to the Office Action mailed February 19, 2008, (hereafter "the Office Action") in the above-identified application.

Certificate of Transmission/Mailing

I hereby certify that this correspondence (.) is being deposited with the United States Postal Service with sufficient postage as First Class Mail and is addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 or is being facsimile transmitted to the USPTO on the date shown below.

Date

Page 1 of 10

# AMENDMENTS TO THE CLAIMS

1. (Currently amended) A composition comprising

(a) essentially pure daptomycin,

(b) daptomycin that is substantially free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin,

(c) daptomycin that is essentially free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin,

(d) daptomycin that is free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin,

(e) daptomycin that is substantially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12, or

(f) daptomycin that is essentially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12<del>, or</del>

- (g) substantially pure daptomycin.

2. (Original) The composition of claim 1 comprising essentially pure daptomycin.

3. (Original) The composition of claim 1 compromising daptomycin that is substantially free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin.

4. (Original) The composition according to claim 3 that is essentially free of anhydro-daptomycin.

5. (Original) The composition according to claim 3 that is free of anhydro-daptomycin.

6. (Original) The composition of claim 1 that is substantially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12.

7. (Original) The composition according to claim 6 that is essentially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12.

8. (Original) The composition of claim 1, wherein daptomycin purity is measured by HPLC.

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9. (Original) The composition of claim 1 further comprising a pharmaceutically acceptable carrier or excipient.

10. (Original) A pharmaceutical composition according to claim 9, further comprising one or more antibiotics, one or more antifungal agents, or both an antibiotic and an antifungal agent.

11. (Original) The composition according to claim 1 wherein the daptomycin is purified by a process comprising the steps of:

a) supplying a fermentation broth;

b) fermenting *Streptomyces roseosporus* with a feed of n-decanoic acid to produce daptomycin in the fermentation broth;

c) clarifying the fermentation broth to obtain a clarified solution;

d) subjecting the clarified solution to anion exchange chromatography to obtain an enriched daptomycin preparation;

e) subjecting the enriched daptomycin preparation to hydrophobic interaction chromatography to obtain a semi-purified daptomycin preparation; and

f) subjecting the semi-purified daptomycin preparation to anion exchange chromatography to obtain the composition of claim 1.

12. (Original) The composition according to claim 11, wherein the feed of n-decanoic acid is regulated to achieve a residual concentration of n-decanoic acid of no more than 50 parts per million (ppm) during fermentation.

13. (Original) The composition according to claim 11, wherein said clarifying comprises filtration or centrifugation and depth filtration.

14. (Original) The composition according to claim 11, wherein the anion exchange chromatography in d) is performed using a resin comprising a copolymer of 2-methacrylic acid and ethyleneglycol dimethacrylate (EDGM).

15. (Original) The composition according to claim 11, wherein the hydrophobic interaction chromatography is performed using a resin comprising a co-polymer of cross-linked divinylbenzene/stryene.

16. (Original) The composition according to claim 15, wherein the hydrophobic interaction chromatography is performed at neutral pH and a solvent

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concentration that is reduced compared to the solvent concentration used when performing the hydrophobic interaction chromatography at acidic pH.

17. (Original) The composition according to claim 16, wherein the resin is recycled by loading the column at an acidic pH and eluting the column at a neutral pH.

18. (Original) The composition according to claim 11, wherein the anion exchange chromatography in f) is performed using a resin comprising a copolymer of 2-methacrylic acid and ethyleneglycol dimethacrylate (EDGM).

19. (Original) The composition according to claim 11, wherein the anion exchange chromatography is used to reduce the level of solvent in the clarified solution.

20. (Original) The composition according to claim 11, wherein the anion exchange chromatography is performed via continuous flow chromatography.

21. (Original) The composition according to claim 11, wherein the process further comprises the step of filtering daptomycin.

22. (Original) The composition according to claim 11, wherein the process further comprises the step of depyrogenating daptomycin using ultrafiltration.

23. (Original) The composition according to claim 22 wherein said depyrogenating comprises the steps of:

i) providing a daptomycin solution under conditions in which the daptomycin is in a monomeric and nonmicellar state;

ii) filtering the daptomycin solution under conditions in which the daptomycin passes through the filter but pyrogens do not pass through the filter;

iii) subjecting the daptomycin solution to conditions forming a daptomycin aggregate;

iv) filtering the daptomycin aggregate under conditions in which the daptomycin aggregate is retained on the filter; and

v) collecting the daptomycin aggregate.

24. (Original) The composition according to claim 22, wherein the process further comprises the step of lyophilizing daptomycin.

25. (Original) The composition according to claim 22, wherein the anion exchange chromatography is performed via radial flow chromatography.

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26. (Original) The composition according claim 11, wherein said clarifying comprises microfiltration or centrifugation.

27. (Original) The composition according to claim 11, wherein the process further comprises the steps of filtering and concentrating daptomycin.

28. (Original) The composition according to claim 11, wherein the process further comprises the step of separating the enriched daptomycin from low molecular weight material by ultrafiltration.

29. (Original) The composition according to claim 28, wherein the process further comprises the step of depyrogenating the daptomycin.

30. Canceled

31. (Original) The pharmaceutical composition of claim 9 comprising essentially pure daptomycin.

32. (Original) The pharmaceutical composition of claim 9 comprising daptomycin that is substantially free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin.

33. (Original) The pharmaceutical composition of claim 9 comprising daptomycin that is essentially free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin.

34. (Original) The pharmaceutical composition of claim 9 comprising daptomycin that is free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin.

35. (Original) The pharmaceutical composition of claim 9 comprising daptomycin that is substantially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12.

36. (Original) The pharmaceutical composition of claim 9 comprising daptomycin that is essentially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12.

37. Canceled

38. (Original) A method for preparing a pharmaceutical composition comprising combining the composition of claim 1 with a pharmaceutically acceptable

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carrier or excipient.

39. (Original) The method of claim 38 wherein the composition is essentially pure daptomycin.

40. (Original) The method of claim 38 wherein the composition is daptomycin that is substantially free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin.

41. (Original) The method of claim 38 wherein the composition is daptomycin that is essentially free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin.

42. (Original) The method of claim 38 wherein the composition is daptomycin that is free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin.

43. (Original) The method of claim 38 wherein the composition is daptomycin that is substantially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12.

44. (Original) The method of claim 38 wherein the composition is daptomycin that is essentially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12.

45. Canceled

46. (Original) A pharmaceutical composition prepared by the method of claim 38.

47. (Original) The pharmaceutical composition of claim 46 wherein the composition is essentially pure daptomycin.

48. (Original) The pharmaceutical composition of claim 46 wherein the composition is daptomycin that is substantially free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin.

49. (Original) The pharmaceutical composition of claim 46 wherein the composition is daptomycin that is essentially free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin.

50. (Original) The pharmaceutical composition of claim 46 wherein the

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composition is daptomycin that is free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin.

51. (Original) The pharmaceutical composition of claim 46 wherein the composition is daptomycin that is substantially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12.

52. (Original) The pharmaceutical composition of claim 46 wherein the composition is daptomycin that is essentially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12.

53. Canceled

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### **REMARKS**

### The Claim Amendments

Applicants have amended claim 1 to further limit the claim. Support for amended claim 1 can be found in original claim 1. Claims 30, 37, 45 and 53 have been canceled.

Claims 1-29, 31-36, 38-44, and 46-52 are pending in this application.

No new matter has been added.

### Rejections under 35 U.S.C. § 102(e)

Claims 1, 8, 9, 11-30, 37, 38, 45-46 and 53 stand rejected under 35 U.S.C. § 102(e) as anticipated by Baker *et al.* (US Patent 5,912,226, filed December 16, 1991, now RE 39,071). The Office Action states that the prior art date of the reference is determined under 35 U.S.C. § 102(e) prior to the amendment by the AIPA (pre-AIPA 35 U.S.C. § 102(e)). Applicants note however, that the Revised 35 U.S.C. § 102(e) as amended by the AIPA and as further amended by the Intellectual Property and High Technology Technical Amendment Act of 2002 should apply in this case.

According to the Manual of Patent Examining Procedures (MPEP) 706.02(a)IIB, the revised statutory provisions under 35 U.S.C. § 102(e) as amended by the AIPA and as further amended by the Intellectual Property and High Technology Technical Amendment Act of 2002 "...supersede all previous versions of 35 U.S.C. § 102(e) and 374, with only one exception, which is when the potential reference is based on an international application filed prior to November 29, 2000." Since '226 is not based on an international patent application filed before November 29, 2000, the revised 35 U.S.C. § 102(e) applies.

The Office Action reasons that claims 1(g), 8 and 30 are anticipated by '226. because, '226 teaches an antibacterial composition comprising daptomycin in substantially pure form, which refers to daptomycin that contains less than 2.5% of a combined total of anhydro-daptomycin and beta-isomer daptomycin. In addition, the Office Action states that claims 9, 37, 38 45-46 and 53 are also anticipated by '226 because '226 discloses that pharmaceutical compositions comprising purified daptomycin with pharmaceutical carriers or excipients can be prepared. The Office Action states that claims 11-29 are anticipated by '226 because "even though the product by process claims

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are limited by and defined by the process, determination of patentability is based on the product itself, and the patentability of a product does not depend on its method of production (see MPEP 2113)."

In order to expedite prosecution, Applicants have amended claim 1 to remove "(g) substantially pure daptomycin" from the claim and canceled claims 30, 37, 45 and 53. Applicants make these amendments without prejudice and may pursue the deleted subject matter in a Continuation Application.

For the reasons stated above, '226 does not anticipate amended claim 1.

The arguments set forth above are applicable to the rejections of claims, 8-9, 11-29, 38, and 46, since all of these claims ultimately depends from amended Claim 1. Claims 30, 37, 45 and 53 have been canceled (*vida supra*)

The Office Action states that claims 9, 37, 38, 45-46 and 53 are anticipated by '226 because '226 discloses that purified daptomycin with pharmaceutical carriers and excipients can be prepared. The '226 patent does not disclose the level of purity of daptomycin that are in these preparations. As stated above, '226 does not anticipate claims 9, 8 and 46 because '226 does not satisfy all of the limitations of the present invention.

The Office Action states that claim 11-29 are anticipated by '226 because the product by process claims patentability is determined by the product itself and that the composition comprising daptomycin that is substantially free of anhydro-daptomycin and beta isomer of daptomycin is the same as the claimed composition even though the daptomycin of reference is purified by a different process. Applicants have now amended claim 1 from which claims 11-29 depend, and have removed the reference to substantially pure daptomycin. Thus, Applicants have established (*vide supra*) that the composition is not anticipated by '226, therefore the rejection is overcome. Additionally, although the above arguments render the Patent Office's statement of product by process patentability moot, Applicants do not acquiesce to the law as stated by the Examiner because claims should be interpreted the same way for patentability as for infringement.

For the reasons set forth above, Applicants respectfully request withdrawal of the rejection under 35 U.S.C. § 102(e).

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# **CONCLUSION**

For the reasons presented above, Applicants respectfully request reconsideration and prompt allowance of all pending claims. A Petition for Extension of Time is enclosed. Please deduct the petition fee and apply any other charges or credits to Deposit Account No. 50-1986, referencing attorney docket number C062-02/03 US.

Respectfully submitted,

Date: <u>August 18, 2008</u> Cubist Pharmaceuticals, Inc. 65 Hayden Avenue Lexington, Massachusetts 02421 Tel.: (781) 860-8660 Fax: (781) 860-1407

/Jill M. N. Mandelblatt/ Timothy J. Douros, Reg. No. 41,716 William D. DeVaul, Reg. No. 42,483 Attorneys for Assignee Jill M.N. Mandelblatt, Reg. No. 37,878 Patent Agent for Assignee

C062-02-03 US 20080818 Resp to 20080219 OA.doc

Page 10 of 10

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DETITION	FOR EXTENSION OF TIM	E UNDER 37 CER 1 13	S(a) Doci	ket Number (Optic	onal)				
	FOR EXTENSION OF THE FY 2008		C062-02/03 US						
(Fees	pursuant to the Consolidated Appro								
Application I	Number 11/739,180	File	Filed April 24, 2007						
For High F	Purity Lipopeptides								
Art Unit 16	56	Exa	miner Chih Mi	n Kam					
This is a req application.	uest under the provisions of 3	7 CFR 1.136(a) to extend t	he period for t	filing a reply in t	he above identified				
The requested extension and fee are as follows (check time period desired and enter the appropriate fee below):									
	Fee				Small Entity Fee				
	One month (37 CFR 1.17(a)	(1)) \$120		\$60	\$				
	Two months (37 CFR 1.17(a	)(2)) \$460		\$230	\$				
X	Three months (37 CFR 1.17	(a)(3)) \$1050		\$525	\$525.00				
	Four months (37 CFR 1.17(a	a)(4)) \$1640		\$820	\$				
	Five months (37 CFR 1.17(a	)(5)) \$2230		\$1115	\$				
X Applica	nt claims small entity status. S	ee 37 CFR 1.27.							
A check in the amount of the fee is enclosed.									
Payment by credit card. Form PTO-2038 is attached.									
The Di	The Director has already been authorized to charge fees in this application to a Deposit Account.								
X The Di	rector is hereby authorized it Account Number	to charge any fees whic 50-1986 . It	h may be re have enclose	quired, or crec	lit any overpayment, to copy of this sheet.				
WARNIN	Deposit Account Number <u>50-1986</u> . I have enclosed a duplicate copy of this sheet. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.								
I am the applicant/inventor.									
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	attorney or agent of	record. Registration Nu	mber						
	X attorney or agent un Registration number	nder 37 CFR 1.34. if acting under 37 CFR 1.34	37,87	8					
	/Jill M.N. Ma	andelblatt/		Augu	ıst 18, 2008				
	Signature	)			Date				
Jill M.N. Mandelblatt				(781) 860-8660					
	Typed or printed	d name		Telep	hone Number				
· · ·	res of all the inventors or assignees of uired, see below.	record of the entire interest or thei	representative(s	) are required. Subr	nit multiple forms if more than one				
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Electronic Patent Application Fee Transmittal									
Application Number:		11739180							
Filing Date:		-Apr-2007							
Title of Invention:		High Purity Lipopeptides							
First Named Inventor/Applicant Name:	Thomas Kelleher								
Filer:		Jill Michel-Netka Mandelblatt/Viana Daly							
Attorney Docket Number:		C062-02/03 US							
Filed as Small Entity									
Utility under 35 USC 111(a) Filing Fees									
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)				
Basic Filing:									
Pages:									
Claims:									
Miscellaneous-Filing:									
Petition:									
Patent-Appeals-and-Interference:									
Post-Allowance-and-Post-Issuance:									
Extension-of-Time:									
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Miscellaneous:				
	Total in USD (\$)			525

Electronic A	Electronic Acknowledgement Receipt								
EFS ID:	3796720								
Application Number:	11739180								
International Application Number:									
Confirmation Number:	8837								
Title of Invention:	High Purity Lipopeptides								
First Named Inventor/Applicant Name:	Thomas Kelleher								
Customer Number:	34103								
Filer:	Jill Michel-Netka Mandelblatt/Viana Daly								
Filer Authorized By:	Jill Michel-Netka Mandelblatt								
Attorney Docket Number:	C062-02/03 US								
Receipt Date:	18-AUG-2008								
Filing Date:	24-APR-2007								
Time Stamp:	15:24:35								
Application Type:	Utility under 35 USC 111(a)								

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Document Number	<b>Document Description</b>	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)				
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Authorized Us	ser								
Deposit Accou	unt	501986	501986						
RAM confirma	ition Number	672							
Payment was	successfully received in RAM	\$525							
Payment Type	2	Deposit Account							
Submitted wit	th Payment	yes	yes						

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TF	RANSMITTAL		Filing Date	April 2	24, 2007
	FORM		First Named Inventor		as Kelleher
			Art Unit	1656	
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V	-ee Attached		Licensing-related Papers		Appeal Communication to Board of Appeals and Interferences
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X Amendm	nent/Reply		Petition Petition to Convert to a		(Appeal Notice, Brief, Reply Brief)
	After Final	L F	Provisional Application		Proprietary Information
Α	Affidavits/declaration(s)		Power of Attorney, Revocation Change of Correspondence		Status Letter
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Signature	/Jill M.N. Mandelbla	.tt/			
Printed name	Jill M.N. Mandelblat	t			
Date	August 18, 2008			Reg. No.	37,878
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				Application Nu	mber	11/739,180		
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	For FY	2008		First Named In	ventor	Thomas Kelleho	er	
X Applicant clair	ns small entity st	tatus See 37 CF	R 1 27	Examiner Nam	e	Chih Min Kam		
		I		Art Unit		1656		
TOTAL AMOUNT	OF PAYMENT	(\$) 525	5.00	Attorney Docke	et No.	C062-02/03 US		
METHOD OF PA	YMENT (chec	k all that apply)						
	Credit Card	 Money Orde	er 🗌 Noi	ne Other (	please ide	entify):		
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FEE CALCULAT								
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Utility	310	155	510	255	210		0.00	
Design	210	105	100	50	130	) 65		
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							August 18, 2008	

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PTO/SB/06 (07-06)

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PATENT APPLICATION FEE DETERMINATION RECORD Substitute for Form PTO-875								Docket Number 9,180	Filing Date 04/24/2007		To be Maile
	AF	PLICATION	AS FILE (Column 1		Column 2)	SMA	LL E	entity 🛛	OR		IER THAN LL ENTITY
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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO
11/739,180	04/24/2007	Thomas Kellcher	C062-02/03 US	8837
	7590 02/19/2008		EXAM	IINER
Cubist Pharmac	perty Department ceuticals, Inc.		KAM, CI	HIH MIN
65 Hayden Ave Lexington, MA			ART UNIT	PAPER NUMBER
Lexington, WA	. 02721		1656	
			MAIL DATE	DELIVERY MODE
			02/19/2008	PAPER

# Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

			Application No.	Applicant(s)	
			11/739,180	KELLEHER ET	AL.
	Office Action Summ	ary	Examiner	Art Unit	
			CHIH-MIN KAM	1656	
	The MAILING DATE of this c	ommunication app			address
Period for	Reply				
WHICH - Extension after SID - If NO per - Failure to Any rep	RTENED STATUTORY PER EVER IS LONGER, FROM ons of time may be available under the (6) MONTHS from the mailing date of priod for reply is specified above, the ma to reply within the set or extended perio ly received by the Office later than three patent term adjustment. See 37 CFR 1	THE MAILING DA provisions of 37 CFR 1.13 this communication. aximum statutory period w d for reply will, by statute, e months after the mailing	TE OF THIS COMMUN (6(a). In no event, however, may a ill apply and will expire SIX (6) MC cause the application to become A	ICATION. a reply be timely filed ONTHS from the mailing date of this ABANDONED (35 U.S.C. § 133).	
Status		.,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,			
	energius to communicatio	n(a) filed on			
	esponsive to communicatio his action is <b>FINAL</b> .		- <sup>.</sup> action is non-final.		
,	ince this application is in co	,		tters prosecution as to t	he merite is
	losed in accordance with the		•		
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Dispositio	n of Claims				
4)⊠ C	laim(s) <u>1-53</u> is/are pending	in the application.			
4a	a) Of the above claim(s)	is/are withdraw	n from consideration.		
5)🗌 C	laim(s) is/are allowe	d.			
6)🛛 C	laim(s)	<u>5,46 and 53</u> is/are	rejected.		
/—	laim(s) <u>2-7,10,31-36,39-44</u>		-		
8) 🗌 C	laim(s) are subject to	o restriction and/or	election requirement.		
Applicatio	n Papers				
9) 🗌 Tł	ne specification is objected t	to by the Examiner	· · ·		
/—	ne drawing(s) filed on <u>24 Ap</u>	•		ected to by the Examine	r.
-	pplicant may not request that a				
R	eplacement drawing sheet(s) i	ncluding the correcti	on is required if the drawin	g(s) is objected to. See 37	CFR 1.121(d).
11) 🗌 Th	ne oath or declaration is obj	ected to by the Exa	aminer. Note the attache	ed Office Action or form	PTO-152.
Priority un	der 35 U.S.C. § 119				
	cknowledgment is made of a	a claim for foreign	priority under 35 U.S.C.	§ 119(a)-(d) or (f)	
·	All b) Some * c) No	-		5 · · · · (4/ (4/ 0) (1/)	
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* Se	e the attached detailed Offic	ce action for a list o	of the certified copies no	ot received.	
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1) D Notice of	of References Cited (PTO-892)			/ Summary (PTO-413)	
	of Draftsperson's Patent Drawing F	Review (PTO-948)	Paper No	o(s)/Mail Date	
·	tion Disclosure Statement(s) (PTC			f Informal Patent Application	

#### **DETAILED ACTION**

#### Status of the Claims

1. Claims 1-53 are pending and examined.

#### Information Disclosure Statement (IDS)

2. The references on IDS filed August 14, 2007 have been considered and signed.

## Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the

basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(e) the invention was described in a patent granted on an application for patent by another filed in the United States before the invention thereof by the applicant for patent, or on an international application by another who has fulfilled the requirements of paragraphs (1), (2), and (4) of section 371(c) of this title before the invention thereof by the applicant for patent.

The changes made to 35 U.S.C. 102(e) by the American Inventors Protection Act of 1999

(AIPA) and the Intellectual Property and High Technology Technical Amendments Act of 2002 do not apply when the reference is a U.S. patent resulting directly or indirectly from an international application filed before November 29, 2000. Therefore, the prior art date of the reference is determined under 35 U.S.C. 102(e) prior to the amendment by the AIPA (pre-AIPA 35 U.S.C. 102(e)).

3. Claims 1, 8, 9, 11-30, 37, 38, 45-46 and 53 are rejected under 35 U.S.C. 102(e) as anticipated by Baker *et al.* (US RE39,071 E, reissue of U.S. Patent 5,912,226, filed December 16, 1991).

Baker *et al.* teach an antibacterial composition comprising daptomycin (LY146032) in substantially pure form, which refers to daptomycin that contains less than 2.5% of a combined total of anhydro-daptomycin and beta-isomer of daptomycin (column 8, lines 50-60; Example 4;

#### 296 of 424

Application/Control Number: 11/739,180 Art Unit: 1656

claims 1(g), 30), where daptomycin is purified by a procedure using Diaion HP-20 resin column and HPLC (Examples 1-3, claim 8). A pharmaceutical formulation comprising the purified daptomycin (LY146032) with pharmaceutical carriers or excipients can also be prepared (column 9, lines 47-59; claims 9, 37, 38, 45-46 and 53). The reference also anticipates claims 11-29 because although the product by process claims are limited by and defined by the process, determination of patentability is based on the product itself, and the patentability of a product does not depend on its method of production (see MPEP 2113). In the instant case, the composition comprising daptomycin that is substantially free of anhydro-daptomycin and betaisomer of daptomycin (< 2.5%) as indicated in the patent is the same as the claimed composition comprising substantially pure daptomycin (>95% daptomycin), even though the daptomycin of reference is purified by a different process.

## **Claim Objections**

4. Claims 2-7, 10, 31-36, 39-44 and 47-52 are objected to because the claims are dependent from a rejected claim.

### Conclusion

5. Claims 1, 8, 9, 11-30, 37, 38, 45-46 and 53 are rejected; and claims 2-7, 10, 31-36, 39-44 and 47-52 are objected to.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Chih-Min Kam whose telephone number is (571) 272-0948. The examiner can normally be reached on 8.00-4:30, Mon-Fri.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Kathleen Bragdon can be reached at 571-272-0931. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

#### 297 of 424

Application/Control Number: 11/739,180 Art Unit: 1656

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Chih-Min Kam, Ph. D. Primary Patent Examiner

chi/-

CHIH-MIN KAM PRIMARY EXAMINER

CMK February 14, 2008

PTO/SB/08a (05-07) Approved for use through 09/30/2007. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

Application Number	11739180
Filing Date	2007-04-24
First Named Inventor	Kelleher, Thomas J.
Art Unit	1656
Examiner Name	Chih Min Kam
Attorney Docket Num	er C062-02/03 US

[					U.S.	PATENTS	
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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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(Not for submission under 37 CFR 1.99)

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Attorney Docket Number		C062-02/03 US			

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(Not for submission under 37 CFR 1.99)

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Examiner Name Chit		h Min Kam		
Attorney Docket Number		C062-02/03 US		

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	Application Number		11739180
	Filing Date		2007-04-24
INFORMATION DISCLOSURE	First Named Inventor Ke		er, Thomas J.
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		1656
(Not for submission under 57 CFK 1.39)	Examiner Name Chir		Min Kam
	Attorney Docket Numb	er	C062-02/03 US

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	Examiner Name	Chih	Min Kam		
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# **BIB DATA SHEET**

#### **CONFIRMATION NO. 8837**

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11/739,180       04/24/2007       514       1656       C062-02/03 US <b>APPLICANTS</b> Thomas Kelleher, Weston, MA;         Jan-Ji Lai, Westorough, MA;       Jan-Ji Lai, Westorough, MA;         Joseph P. DeCourcey, Charlestown, MA;       Paul Lynch, Arlington, MA;         Maurizio Zenoni, Milan, ITALY;       CrfK         * CONTINUING DATA       CrfK         * This application is a CON of 10/747,485 12/29/2003 ABN, Which is a DIV % 09/735,191, //       PAT 6/69 6/412, Which claims         * IF REQUIRED, FOREIGN FILING LICENSE GRANTED *** SMALL ENTITY ** benefit of 60/171,170,05/09/2007       Drawince         * IF REQUIRED, FOREIGN FILING LICENSE GRANTED *** SMALL ENTITY ** benefit of 60/171,170,05/09/2007       Indeffer         Oxf00/2007       Image       MA       11       53       1         Oxf00/2007       Image       MA       11       53       1         Ortal Countrey Department Countrey Department Countrey Section         Cubits Pharmaceuticals, Inc.       65 Hayden Avenue       Intellectual Property Department Countrey States       1.16 Fees (Filing)       1.16 Fees (Filing)         Intellectual Property Department Countrey for for following:       Intellectual Property Department Countrey for for following:       Intellectual Property Department Countrey for for following:       Intees       Intees       In	SERIAL NUM	BER				CLASS	GR	OUP ART	UNIT	ΑΤΤΟ	
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Application No.
11/739,180
Examiner

Applicant(s)

KELLEHER ET AL.

CHIH-MIN KAM

1656

Class	Subclass	Date	Examiner
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Part of Paper No. 20080214

# **EAST Search History**

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Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L1	533	daptomycin	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2008/02/13 17:37
L2 ·	45422	substantially adj pure	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2008/02/13 17:37
L3	12220	essentially adj pure	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2008/02/13 17:37
L4	7	L1 same (L2 or L3)	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON .	2008/02/13 17:37
L5	8	impurities same L1	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2008/02/13 17:43
L6	8	anhydro-daptomycin or beta-daptomycin	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2008/02/13 17:44
L7	43363	anion adj exchange	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2008/02/13 17:45
L8	10299	hydrophobic adj interaction adj chromatography	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2008/02/13 17:45
L9	. 5	L1 same L7 same L8	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2008/02/13 17:45
L10	17	Ly adj "146032"	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2008/02/13 17:45
L11	90	(Ly adj "146032") or A-21978C or A54145 or A-21978	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2008/02/13 17:45

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L12	2	(L10 or L11) same (L2 or L3)	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2008/02/13 17:45
L13	19	kelleher adj thomas.in.	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2008/02/13 17:47
L14	7	lai adj jan-ji.in.	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2008/02/13 17:47
L15	3	decourcey adj joseph.in.	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2008/02/13 17:47
L16	27	lynch adj paul.in.	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2008/02/13 17:47
L17	61	zenoni adj maurizio.in.	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2008/02/13 17:47
L18	5	tagliani adj auro.in.	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2008/02/13 17:47
L19	105	L13 or L14 or L15 or L16 or L17 or L18	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2008/02/13 17:47
L20	· 5	L19 and L1	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	ON	2008/02/13 17:47

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FILE 'MEDLINE, CAPLUS, BIOSIS, EMBASE, SCISEARCH, AGRICOLA' ENTERED AT 17:50:50 ON 13 FEB 2008

0:50 ON 13 FEB 2008
3955 S DAPTOMYCIN
2628 S SUBSTANTIALLY PURE
2122 S ESSENTIALLY PURE
0 S L1 (P) (L2 OR L3)
2 S L1 (P) IMPURITIES
2 DUPLICATE REMOVE L5 (0 DUPLICATES REMOVED)
4 S ANHYDRO-DAPTOMYCIN OR BETA-DAPTOMYCIN
4 DUPLICATE REMOVE L7 (0 DUPLICATES REMOVED)
4 S L8 NOT L6
99387 S ANION EXCHANGE
9215 S HYDROPHOBIC INTERACTION CHROMATOGRAPHY
2 S L1 (P) L10 (P) L11
1 S L12 NOT (L5 OR L7)
264 S LY (W) 146032
8 S A-21978C IR A54145 OR A-21978
1 S (L14 OR L15) (P) (L2 OR L3)
1 S L16 NOT (L13 OR L5 OR L7)
183 S KELLEHER T?/AU
8860 S LAI J?/AU
8 S DECOURCEY J?/AU
3274 S LYNCH P?/AU
107 S TAGLIANI A?/AU
12424 S L18 OR L19 OR L20 OR L21 OR L22
20 S L23 AND L1
8 DUPLICATE REMOVE L24 (12 DUPLICATES REMOVED)
6 S L25 NOT (L13 OR L5 OR L7 OR L17)

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United States Patent and Trademark Office



APPLICATION NUMBER	FILING OR 371(c) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
11/739,180	04/24/2007	Thomas Kelleher	C062-02/03 US

**CONFIRMATION NO. 8837** 

34103 CUBIST PHARMACEUTICALS, INC. 65 HAYDEN AVENUE LEXINGTON, MA02421

Title: High Purity Lipopeptides

Publication No. US-2007-0191280-A1 Publication Date: 08/16/2007

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Electronic Ac	Electronic Acknowledgement Receipt							
EFS ID:	2081645							
Application Number:	11739180							
International Application Number:								
Confirmation Number:	8837							
Title of Invention:	High Purity Lipopeptides							
First Named Inventor/Applicant Name:	Thomas Kelleher							
Customer Number:	34103							
Filer:	Jill Michel-Netka Mandelblatt/Viana Daly							
Filer Authorized By:	Jill Michel-Netka Mandelblatt							
Attorney Docket Number:	C062-02/03 US							
Receipt Date:	14-AUG-2007							
Filing Date:	24-APR-2007							
Time Stamp:	16:18:22							
Application Type:	Utility under 35 USC 111(a)							

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1	Information Disclosure Statement	C062-02-03_US_20070814_	217387		2
	Letter	IDS_letter.pdf	49d1c70fa4918c1e5c37a0f652be1eef9 5762fod	no	۷
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2	Information Disclosure Statement	C062-02-03_US_20070814_	841265	no	8				
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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 11/739,180

Confirmation No. 8837

Applicant : Thomas J. Kelleher

Filed : April 24, 2007

TC/A.U. : 1656

Examiner : Chih Min Kam

Docket No. : C062-02/03 US

Customer No. : 34103

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313

## INFORMATION DISCLOSURE STATEMENT TRANSMITTAL OF FORM PTO-1449 UNDER 37 C.F.R. §§1.97 AND 1.98

Pursuant to 37 C.F.R. §§1.97 and 1.98, the references listed on the attached PTO Form PTO/SB/08a/b(s) are cited for consideration by the Examiner.

Check applicable box(es):

Copies of non-US patent document references cited on the attached form are:

Enclosed.

Not enclosed because the references were cited in the parent application, US Serial No. <u>09/735,191</u> filed <u>November 28, 2000</u> (now US Patent No. <u>6,696,412</u> dated <u>February 2, 2004</u>) of which the present application is a divisional and/or were cited in the first divisional application, US Serial No. 10/747,485, filed on December 29, 2003, which is the divisional of the same parent application, US Serial No. 09/735,191. Copies of any of the

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Page 1 of 2

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cited references will be gladly furnished upon request.

United States Patent 5,912,226 reissued as patent No. RE 39,071 on April 19, 2006. A change in assignment of RE 39,071 to Cubist Pharmaceuticals, Inc. was made on April 23, 2007.

No fees are believed due for this submission because:

An Office Action has not yet been received.

The application was filed less than 3 months ago.

The reference(s) was (were) cited in a foreign search report not more than three months before the filing of this statement and was (were) not previously known by Applicant(s).

If any fees are deemed necessary, the Commissioner is authorized to charge Deposit Account No. 50-1986 referencing attorney docket number C062-02/03 US.

Respectfully submitted,

Dated: <u>August 14, 2007</u> Customer No.: 34103 Cubist Pharmaceuticals, Inc. 65 Hayden Avenue Lexington, Massachusetts 02421 Tel.: (781) 860-8660 Fax: (781) 860-1407 C062-02-03 20070801 IDS letter /Jill M.N. Mandelblatt/ Timothy J. Douros, Reg. No. 41,716 William D. DeVaul, Reg. No. 42,483 Attorneys for Assignee Jill M.N. Mandelblatt, Reg. No. 37,878 Patent Agent for Assignee

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PTO/SB/08a (05-07) Approved for use through 09/30/2007. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

	Application Number		11739180	
	Filing Date		2007-04-24	
INFORMATION DISCLOSURE	First Named Inventor Kellet		elleher, Thomas J.	
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		1656	
	Examiner Name	Chih	Chih Min Kam	
	Attorney Docket Number		r C062-02/03 US	

				U.S.	PATENTS		
Examiner Cite Initial* No				Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	
	1	4482487		1984-11-13	Abbott et al.		
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	8	4885243		1989-12-05	Huber et al.		

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Application Number		11739180		
Filing Date		2007-04-24		
First Named Inventor	Keller	ner, Thomas J.		
Art Unit		1656		
Examiner Name Chih		Min Kam		
Attorney Docket Number		C062-02/03 US		

(Not for submission under 37 CFR 1.99)

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	9	5271935	1993-12-21	Franco et al.	
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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

Application Number		11739180				
Filing Date		2007-04-24				
First Named Inventor	Kelle	her, Thomas J.				
Art Unit		1656				
Examiner Name Chih		Min Kam				
Attorney Docket Numb	ber	C062-02/03 US				

	20	RE39071		2006-04	-19	Baker et al.				
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Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>			Name of Patentee or Applicant of cited Document		Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear		
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Examiner Initial*	Cite No	Foreign Document Number <sup>3</sup>	Countr Code <sup>2</sup>	y	Kind Code4	Publication	Name of Patented Applicant of cited Document		Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	TS
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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Application Number		11739180		
Filing Date		2007-04-24		
First Named Inventor Kelle		eher, Thomas J.		
Art Unit		1656		
Examiner Name	Chih	Min Kam		
Attorney Docket Nun	nber	C062-02/03 US		

(Not for submission under 37 CFR 1.99)

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	12	WO 99/27954	wo	1999-06-10	INST NAT SANTE RECH MED (FR); CENTRE NAT RECH SCIE		
	13	WO 99/27957	wo	1999-06-10	IMMUNE RESPONSE CORP INC		
	14	WO 99/43700	wo	1999-09-02	HOECHST MARION ROUSSEL DE GMBH		
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	Application Number		11739180	
	Filing Date		2007-04-24	
INFORMATION DISCLOSURE	First Named Inventor Keller		eher, Thomas J.	
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		1656	
	Examiner Name	Chih	Min Kam	
	Attorney Docket Numb	er	C062-02/03 US	

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T5
	1	DEBONO, M. et al.; "Enzymatic and Chemical Modifications of Lipopeptide Antibiotic A21978C: The Synthesis and Evaluation of Daptomycin (LY146032)," J. Antibiotics; 41; 1988; pages 1093-1105	
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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

Application Number		11739180		
Filing Date		2007-04-24		
First Named Inventor	Kelle	her, Thomas J.		
Art Unit		1656		
Examiner Name Chih		Min Kam		
Attorney Docket Number		C062-02/03 US		

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	13		RLING, John; "Membrane-Based System Combines Selective Separation with High-Volun neering News; Volume 19; Number 20; November 15, 1999; pages 1, 34	NG, John; "Membrane-Based System Combines Selective Separation with High-Volume Throughput," Genetic ring News; Volume 19; Number 20; November 15, 1999; pages 1, 34							
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If you wis	h to a	dd ado	ditional non-patent literature document citation information please click the Add b	putton							
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Examiner			Date Considered								
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	Application Number		11739180	
INFORMATION DISCLOSURE	Filing Date		2007-04-24	
	First Named Inventor Kell		Kelleher, Thomas J.	
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit	•	1656	
	Examiner Name	Chih	Min Kam	
	Attorney Docket Num	ber	C062-02/03 US	

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X	See attached ce	ertification statement.						
	Fee set forth in	37 CFR 1.17 (p) has been submitted here	with.					
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	ignature of the a n of the signature	oplicant or representative is required in ac	NATURE cordance with CFR 1.33, 10.	18. Please see CFR 1.4(d) for the				
Sigi	nature	/Jill M.N. Mandelblatt/	Date (YYYY-MM-DD)	2007-08-14				
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- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
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- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
  - 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

United States Patent and Trademark Office



T OF COMPANY				UNITED STATES DEPARTM United States Patent and Trr Address: COMMISSIONER FOR PA PO. Box 1450 Alexandria, Virginia 22313-1450 www.usplo.gov	ademark Office TENTS	E
PLICATION NUMBER	FILING or 371(c) DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	TOT CLAIMS	IND CLAIMS
11/739,180	04/24/2007	1646	1250	C062-02/03 US	53	1
CONFIRMATION NO. 8837						

#### 34103 CUBIST PHARMACEUTICALS, INC. 65 HAYDEN AVENUE LEXINGTON, MA02421

Date Mailed: 05/08/2007

**FILING RECEIPT** 

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Thomas Kelleher, Weston, MA; Jan-Ji Lai, Westborough, MA; Joseph P. DeCourcey, Charlestown, MA; Paul Lynch, Arlington, MA; Maurizio Zenoni, Milan, ITALY; Auro Tagliani, Pavia, ITALY;

Power of Attorney: None

Domestic Priority data as claimed by applicant

This application is a CON of 10/747,485 12/29/2003

**Foreign Applications** 

If Required, Foreign Filing License Granted: 05/08/2007

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US11/739,180** 

Projected Publication Date: 08/16/2007

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

Title

### Preliminary Class

514

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Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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## Title 37, Code of Federal Regulations, 5.11 & 5.15

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Under the Paperwork Reduction Act of	1995, no persons are required to resp	ond to a collection of information	n unless it displays	a valid OMB control nur	mbe

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		C062-02/03 US				
UTILITY	Attorney Docket No.					
PATENT APPLICATION	First Inventor	Thomas Kelleher				
TRANSMITTAL	Title	High Purity Lipopeptides				
(Only for new nonprovisional applications under 37 CFR 1.53(b))	Express Mail Label No.					
<b>APPLICATION ELEMENTS</b> See MPEP chapter 600 concerning utility patent application contents.	ADDRESS TO:	Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450				
1. X Fee Transmittal Form (e.g., PTO/SB/17)	ACCOMPANYING APPLICATION PARTS					
<ul> <li>(Submit an original and a duplicate for fee processing)</li> <li>2. X</li> <li>Applicant claims small entity status.</li> <li>See 37 CFR 1.27.</li> </ul>	9. Assignment Papers (cover sheet (PTO-1595) & document(s))					
3. X Specification [ <i>Total Pages</i> <u>71</u> ] Both the claims and abstract must start on a new page	Name of Assi	gnee				
(For information on the preferred arrangement, see MPEP 608.01(a)) <b>4.</b> X Drawing(s) (35 U.S.C. 113) [Total Sheets <u>11</u> ]						
5. Oath or Declaration [Total Sheets 7] a. Newly executed (original or copy)	10. 37 CFR 3.73(b) Statement Power of (when there is an assignee) Attorney					
b. X A copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed)	11. 🔄 English Transl	lation Document (if applicable)				
i. DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) name in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).	12. Information Disclosure Statement (PTO/SB/08 or PTO-1449) Copies of foreign patent documents, publications, & other information					
6. Application Data Sheet. See 37 CFR 1.76	13. Preliminary Amendment					
7. CD-ROM or CD-R in duplicate, large table or Computer Program ( <i>Appendix</i> ) Landscape Table on CD	14.  Return Receipt Postcard (MPEP 503) (Should be specifically itemized)					
<ol> <li>Nucleotide and/or Amino Acid Sequence Submission (if applicable, items a. – c. are required)</li> </ol>	15. Certified Copy of Priority Document(s) (if foreign priority is claimed)					
a. Computer Readable Form (CRF) b. Specification Sequence Listing on:	16. Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or equivalent.					
i. CD-ROM or CD-R (2 copies); or ii. Paper		· · · · · · · · · · · · · · · · · · ·				
c.  Statements verifying identity of above copies						
18. If a CONTINUING APPLICATION, check appropriate box, and suppopriate specification following the title, or in an Application Data Sheet under 37		n below and in the first sentence of the				
		ior application No.: .10/747,485				
Prior application information: Examiner Chih Min	Kam Art Unit: 1656					
19. CORRESPONDENCE ADDRESS						
X     The address associated with Customer Number:     34103     OR     Correspondence address below						
Name						
Address						
City State		Zip Code				
Country Telephone		Email				
Signature /Jill M.N. Mandelblatt/	Dat	April 24, 2007				
Name (Print/Type) Jill M. Mandelblatt		Registration No. (Attorney/Agent) 37,878				

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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Effective on 12/08/2004.			(	Complete if K	nown		
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).		Application Numb	ber				
FEE TRANSMITTAL For FY 2007		Filing Date		April 24, 20	07		
		First Named Inve	entor	Thomas Kel	leher		
		Examiner Name					
X Applicant claims small entity s	tatus. See 37 (	JFK 1.27	Art Unit				
TOTAL AMOUNT OF PAYMENT	(\$) 1,2	325.00	Attorney Docket	No.	C062-02/03	US	
METHOD OF PAYMENT (chec	k all that appl	y)					
Check Credit Card	Money Or		ne Other (ple	ease ide	ntify)		
X         Deposit Account         Deposit Account	•	50 100/				Pharmaceuticals, Inc.	
For the above-identified dep			Deposit / loc		-	,,,,,,, _	
X Charge fee(s) indicate						<ol> <li>except for the filing fee</li> </ol>	
$\mathbf{V}$ Charge any additiona	l fee(s) or unde	rpayments of fe			erpayments	, exception and himging	
under 37 CFR 1.16 a WARNING: Information on this form m	nd 1.17			-		m. Provide credit card	
information and authorization on PTO-							
FEE CALCULATION							
1. BASIC FILING, SEARCH, A							
FILI	NG FEES Small Entit		RCH FEES Small Entity	EXAN	INATION FEI <u>Small Entit</u>		
Application Type Fee (	<u>\$) Fee (\$)</u>	Fee (\$	5) <u>Fee (\$)</u>	<u>Fee</u>	(\$) Fee (\$)	Fees Paid (\$)	
Utility 300	150	500	250	200	100	500.00	
Design 200	100	100	50	130	65		
Plant 200	100	300	150	160	80		
Reissue 300	150	500	250	600	300		
Provisional 200	100	0	0	0	0		
2. EXCESS CLAIM FEES					Fee (\$	Small Entity	
Fee Description Each claim over 20 (includit	ng Reissues)				<u>1 ee (</u> 50	21 <u>Fee (\$)</u> 25	
Each independent claim over 3 (including Reissues)					200	100	
Multiple dependent claims					360	180	
			<u>e Paid (\$)</u>			e Dependent Claims	
53 - 20 or HP = $33$		$\frac{25.00}{100} = $	825.00		<u>Fee (</u>	5) Fee Paid (\$)	
HP = highest number of total claims p Indep. Claims Extra			<u>e Paid (\$)</u>				
$1 - 3 \text{ or HP} = 2 \mathbf{x} = 0.00$							
HP = highest number of independent claims paid for, if greater than 3.							
<b>3.</b> APPLICATION SIZE FEE If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer							
listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50							
sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).           Total Sheets         Extra Sheets         Number of each additional 50 or fraction thereof         Fee (\$)         Fee Paid (\$)							
$\underline{82} - 100 = \underline{-18} / 50 = $							
4. OTHER FEE(S) Non-English Specification, \$130 fee (no small entity discount)							
Other (e.g., late filing surcharge):							
SUBMITTED BY							
Signature /Jill M.N. Mar	ndelblatt/		Registration No. (Attorney/Agent)	37.	878 Tele	phone 781-860-8660	
Name (Print/Type) Jill M.N. Mandelblatt Date April 24, 2007						April 24, 2007	
This collection of information is required by	37 CFR 1.136. T	he information is	required to obtain or re	etain a b	enefit by the publi		

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	UTILITY		Attorney Docket No	~	062-02/0		
PATEN			First Inventor	T	Thomas Kelleher		
	TRANSMITTAL		Title	Н	High Purity Lipopeptides		
(Only for new nonpro	visional applications under 37 C	CFR 1.53(b))	Express Mail Label	No.	-		
	CATION ELEMENTS		ADDRESS TO:	Р	.O. Box 1	oner for Patents 450 VA 22313-1450	
1. X       Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing)         2. X       Applicant claims small entity status. See 37 CFR 1.27.         3. X       Specification       [Total Pages 71]] Both the claims and abstract must start on a new page (For information on the preferred arrangement, see MPEP 608.01(a))         4. X       Drawing(s) (35 U.S.C. 113)       [Total Sheets 11]]         5. Oath or Declaration       [Total Sheets 7]]         a.       Newly executed (original or copy)         b. X       A copy from a prior application (37 CFR 1.63(d))         (for continuation/divisional with Box 18 completed)         i.       DELETION OF INVENTOR(S)         Signed statement attached deleting inventor(s) name in the prior application, see 37 CFR 1.63(d))         6.       Application Data Sheet. See 37 CFR 1.76         7.       CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) Landscape Table on CD         8.       Nucleotide and/or Amino Acid Sequence Submission (if applicable, items a c. are required)         a.       Computer Readable Form (CRF)			ACCOMPANYING APPLICATION PARTS         9.       Assignment Papers (cover sheet (PTO-1595) & document(s)))         Name of Assignee				
	ion Sequence Listing on: ROM or CD-R (2 copies); ol er	r	Applicant must attach form PTO/SB/35 or equivalent.				
	ts verifying identity of above	e copies					
18. If a CONTINUING AF	PPLICATION, check approp	priate box, and sup		mation be	elow and ii	n the first sentence of the	
specification following the title, or in an Application Data Sheet under 3         X       Continuation         Divisional       Continuation         Prior application information:       Examiner			tion-in-part (CIP) of prior application No.: 10/747,485				
19. CORRESPONDENCE ADDRESS							
The address associated with Customer Number: 341			103 OR Correspondence address below				
Name							
Address							
City		State			o Code		
Country		Telephone			Email		
Nomo	M.N. Mandelblatt/			Date	Registrat	April 24, 2007	
(Print/Type) Jill							

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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Under the Paperwork Reduction Act of	1995 no persons are	required to r	espond to a collection of i	nformation unle	ess it displays a	valid OMB control number	
Effective on 12/08/2004.		Complete if Known					
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).		Application Number					
FEE TRANSMITTAL		Filing Date	April 2	4, 2007			
For FY 2007		First Named Invento	or Thoma	s Kelleher			
		1.07	Examiner Name				
X Applicant claims small entity s	tatus. See 37 CFR	1.27	Art Unit				
TOTAL AMOUNT OF PAYMENT	(\$) 1,325	.00	Attorney Docket No	. C062-0	02/03 US		
METHOD OF PAYMENT (chec	k all that apply)						
Check Credit Card	 Money Order		ne Other (pleas	e identify):			
X Deposit Account Deposit Ac	•	50-1986			ubist Pharm	naceuticals, Inc.	
For the above-identified dep		rector is he				,	
X Charge fee(s) indicate						ot for the filing fee	
Charge any additiona	l fee(s) or underpay	ments of fe		overpaymen	-	5	
under 37 CFR 1.16 a WARNING: Information on this form m	ay become public. C	redit card in				ide credit card	
information and authorization on PTO- FEE CALCULATION	-2038.						
1. BASIC FILING, SEARCH, A FILI	<b>ND EXAMINATIC</b> NG FEES		RCH FEES EX	KAMINATIO	N FEES		
Application Type Fee (	Small Entity		Small Entity	<u>Smal</u>	I Entity	Fees Paid (\$)	
Utility 300	<u> </u>	<u>Fee (\$</u> 500			e <u>(\$)</u>		
Design 200	100		250	1	00	500.00	
Plant 200		100	50		65		
Reissue 300		300	150		80		
Provisional 200		500			00		
200 2. EXCESS CLAIM FEES	100	0	0	0	0	mall Entity	
Fee Description					Fee (\$)	<u>Fee (\$)</u>	
Each claim over 20 (includin		• 、			50	25	
Each independent claim over 3 (including Reissues)					200	100	
Multiple dependent claims Total Claims Extra	Claims Fee (\$	5) Eo	e Paid (\$)	n	360 Jultiple Depe	180 Indent Claims	
-53 - 20 or HP = 33					Fee (\$)	Fee Paid (\$)	
HP = highest number of total claims p	aid for, if greater than	20.					
	<u>Claims</u> <u>Fee (</u>	<u>\$) Fee</u>	<u>e Paid (\$)</u> 0.00				
-1 - 3 or HP = $-2$ x = $0.00HP = highest number of independent claims paid for, if greater than 3.$							
3. APPLICATION SIZE FEE							
If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer							
listings under 37 CFR 1.52(e)), the application size fee due is $250$ ( $125$ for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).							
Total Sheets Extra Sheets Number of each additional 50 or fraction thereof Fee (\$) Fee Paid (\$)							
<u>82</u> - 100 = <u>-18</u> / 50 = (round up to a whole number) x <u>125.00</u> = <u>0.00</u> 4. OTHER FEE(S) Fees Paid (\$)							
Non-English Specification, \$130 fee (no small entity discount)							
Other (e.g., late filing surcharge):							
SUBMITTED BY							
Signature /Jill M.N. Mar	ndelblatt/		Registration No. (Attorney/Agent)	37,878	Telephone	781-860-8660	
Name (Print/Type) Jill M.N. Man	Name (Print/Type) Jill M.N. Mandelblatt Date April 24, 2007						
This collection of information is required by	37 CFR 1.136. The in	formation is	required to obtain or retain	n a benefit by th	ne public which	is to file (and by the	

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

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#### HIGH PURITY LIPOPEPTIDES

#### **CROSS-REFERENCE TO RELATED APPLICATIONS**

The present application claims priority to United States Patent Application No. 10/747,485 which claims priority to United States Patent No. 09/735,191 filed January 20, 2001, which claims the benefit of United States Provisional application No. 60/177,170, filed January 20, 2000, all of which are incorporated by reference herein in their entireties.

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#### TECHNICAL FIELD OF THE INVENTION

The present invention relates to a highly purified form of lipopeptides, including daptomycin, a lipopeptide antibiotic with potent bactericidal activity against gram-positive bacteria, including strains that are resistant to conventional antibiotics. The

- 15 present invention also relates to a process for preparing the highly purified form of the lipopeptide. The present invention further relates to micelles of lipopeptides. The present invention also relates to pharmaceutical compositions of the lipopeptide micelles and methods of using these compositions. The present invention also relates to methods of making lipopeptide micelles from non-associated monomers of the lipopeptides, and for
- 20 converting lipopeptide micelles to non-associated monomers. The present invention also relates to a process for preparing lipopeptides using micelles that is easily scaled for commercial production.

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#### **BACKGROUND OF THE INVENTION**

The rapid increase in the incidence of gram-positive infections—including those caused by antibiotic resistant bacteria—has sparked renewed interest in the development of novel classes of antibiotics. One such class is the lipopeptide antibiotics, which includes daptomycin. Daptomycin has potent bactericidal activity *in vitro* against

clinically relevant gram-positive bacteria that cause serious and life-threatening diseases.
 These bacteria include resistant pathogens, such as vancomycin-resistant enterococci

(VRE), methicillin-resistant *Staphylococcus aureus* (MRSA), glycopeptide intermediary susceptible *Staphylococcus aureus* (GISA), coagulase-negative staphylococci (CNS), and penicillin-resistant *Streptococcus pneumoniae* (PRSP), for which there are very few therapeutic alternatives. See, *e.g.*, Tally et al., 1999, <u>Exp. Opin. Invest. Drugs</u> 8:1223-

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1238, hereafter "Tally". Daptomycin's inhibitory effect is a rapid, concentrationdependent bactericidal effect *in vitro* and *in vivo*, and a relatively prolonged concentration-dependent post-antibiotic effect *in vivo*.

Daptomycin is described by Baltz in <u>Biotechnology of Antibiotics, 2nd</u> <u>Ed.</u>, ed. W.R. Strohl (New York: Marcel Dekker, Inc.), 1997, pp. 415-435, hereafter

"Baltz." Daptomycin, also known as LY 146032, is a cyclic lipopeptide antibiotic that can be derived from the fermentation of *Streptomyces roseosporus*. Daptomycin is a member of the factor A-21978C<sub>0</sub> type antibiotics of *S. roseosporus* and is comprised of a decanoyl side chain linked to the N-terminal tryptophan of a cyclic 13–amino acid peptide (Fig. 1). Daptomycin has an excellent profile of activity because it is highly effective
against most gram-positive bacteria; it is highly bactericidal and fast-acting; it has a low resistance rate and is effective against antibiotic-resistant organisms. The compound is

currently being developed in a variety of formulations to treat serious infections caused by bacteria, including, but not limited to, methicillin resistant *Staphylococcus aureus* (MRSA) and vancomycin resistant enterococci (VRE).

20 A number of United States Patents describe A-21978C antibiotics and derivatives thereof including daptomycin (LY 146032) as well as methods of producing and isolating the A-21978C antibiotics and derivatives thereof.

United States Patent Re. 32,333, Re. 32,455 and 4,800,157 describe a method of synthesizing daptomycin by cultivating *Streptomyces roseosporus* NRL15998

25 under submerged aerobic fermentation conditions. United States Patent 4,885,243 describes an improved method of synthesizing daptomycin by feeding a fermentation culture a decanoic fatty acid or ester or salt thereof.

United States Patents Re. 32,310, Re. 32,311, 4,537,717, 4,482,487 and 4,524,135 describe methods of deacylating the A-21978C antibiotic and reacylating the

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peptide nucleus and antibiotic derivatives made by this process. All of these patents describe a purified deacylated A-21978C antibiotic nucleus or a derivative thereof which was isolated from the fermentation broth by filtration and then purified by Diaion HP-20 chromatography and silica gel/C18 chromatography.

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United States Patents Re. 32,333 and Re. 32,455 disclose a purification method in which a filtrate of whole fermentation broth was purified through a number of precipitation and extraction steps to obtain a crude A-21978C complex. The crude complex was further purified by ion exchange chromatography on IRA-68 and two rounds of silica gel chromatography. Individual A-21978C factors were separated by reverse-phase silica gel or silica gel/C18. United States Patents Re. 32,333 and Re. 32,455 also disclose that A-21978C may be purified by batch chromatography using Diaion HP-20 resin followed by silica-gel column chromatography.

United States Patent 4,874,843 describes a daptomycin purification method in which the fermentation broth was filtered and passed through a column

15 containing HP-20 resin. After elution, the semipurified daptomycin was passed through a column containing HP-20ss, and then separated again on HP-20 resin. The '843 patent states that final resolution and separation of daptomycin from structurally similar compounds by this method is impeded by the presence of impurities that are not identifiable by ultraviolet analysis of the fermentation broth. The '843 patent further

- 20 states that attempts to remove these impurities by reverse phase chromatography over silica gel, normal phase chromatography over silica gel or ion exchange chromatography also failed to significantly improve the purity of daptomycin. The '843 patent also discloses a "reverse method" for purification comprising the steps of contacting an aqueous solution of the fermentation product with a non-functional resin in aqueous
- 25 phase, physically removing the water from the charged resin, rewetting the charged resin with a polar organic solvent, washing the resin with the organic solvent, eluting the fermentation product from the resin by increasing the polarity of the solvent and recovering the fermentation product. The '843 patent teaches that this method improves the final purity from about 80% to about 93% and increases the yield from about 5% to

about 35%; however, the '843 patent does not disclose the type of impurities present in the daptomycin preparation.

United States Patent 5,912,226 describes the identification and isolation of two impurities produced during the manufacture of daptomycin. Daptomycin, an  $\alpha$ aspartyl peptide, becomes transpeptidated to form a stable intermediate in which the aspartyl group becomes an anhydro-succinimido group (Fig. 3). The '226 patent teaches that the presence of this intermediate, designated anhydro-daptomycin, is more pronounced at pH 4-6. Rehydration of the anhydro-succinimido form produces a second degradation product that contains an  $\beta$ -aspartyl group and is designated the  $\beta$ -isomer form of daptomycin (Fig. 2).

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The '226 patent discloses that the t-BOC derivative of anhydrodaptomycin may be isolated by chromatography over reverse phase silica gel/C-18
column, precipitated, and repurified by reverse phase silica gel/C-18 chromatography.
The '226 patent also teaches that the β-isomer form of daptomycin may be purified by
chromatography over a Diaion HP-20ss resin, desalted by chromatography over a Diaion
HP-20 resin, and further purified using a reverse-phase C-18 column followed by a HP-20
resin column in reverse mode.

Kirsch et. al. (<u>Pharmaceutical Research</u>, 6:387-393, 1989, hereafter
"Kirsch") stated that anhydro-daptomycin and the β-isomer were produced in the
purification of daptomycin. Kirsch described methods to minimize the levels of
anhydro-daptomycin and the β-isomer through manipulation of pH conditions and
temperature conditions. However, Kirsch was unable to stabilize daptomycin and prevent
the conversion of daptomycin to anhydro-daptomycin and its subsequent isomerization to
β-isomer. Kirsch was also unable to prevent the degradation of daptomycin into other
degradation products unrelated to anhydro-daptomycin and β-isomer.

The '226 patent states that daptomycin may be prepared using these procedures so that the daptomycin contains no more than 2.5% by weight of a combined total of anhydro-daptomycin and  $\beta$ -isomer, but gives no indication of the levels of other impurities. In the method taught in United States Patent 4,874,843 and in large-scale

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preparations of daptomycin for clinical trials, the highest daptomycin purity levels observed has been about 90%-93%. There is a need for a commercially feasible method to produce more highly purified daptomycin and, if possible, to increase its yield after purification. Furthermore, it would be desirable to obtain purified daptomycin that contains little or none of anhydro-daptomycin and the  $\beta$ -isomer form of daptomycin. It would also be desirable to reduce the levels of a number of other impurities in daptomycin. However, there has been no method available in the art that has been shown to be able to further reduce the levels of anhydro-daptomycin,  $\beta$ -isomer form and other impurities in the daptomycin product.

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#### SUMMARY OF THE INVENTION

The instant invention addresses these problems by providing commercially feasible methods to produce high levels of purified lipopeptides. In a preferred embodiment, the lipopeptide is daptomycin or a daptomycin-related lipopeptide. In one

- 15 embodiment of the instant invention, commercially feasible methods are disclosed that results in daptomycin at a purity level of 95-97%. In another embodiment of the instant invention, a commercially feasible method is disclosed that almost completely eliminates the major impurities anhydro-daptomycin and β-isomer as well as other impurities in preparations of daptomycin. In another embodiment of the invention, commercially
- 20 feasible methods are disclosed for purifying lipopeptides, including daptomycin or a daptomycin-related lipopeptide, comprising separating lipopeptide micelles from low molecular weight contaminants and separating non-associated lipopeptides from high molecular weight contaminants. The invention also provides high performance liquid chromatography (HPLC) methods of analyzing the purity of daptomycin and detecting
- 25 and characterizing other impurities in daptomycin, some of which were previously unknown.

The invention also provides purified daptomycin that possesses a purity of at least 98% or that is substantially or essentially free of anhydro-daptomycin and  $\beta$ isomer. The invention provides purified daptomycin that is free or essentially free of

anhydro-daptomycin and contains a much lower level of the  $\beta$ -isomer and of other contaminants than was previously possible to obtain in the prior art. The invention also provides lipopeptide micelles. In a preferred embodiment, the micelle comprises daptomycin or a daptomycin-related lipopeptide. The invention also provides

5 pharmaceutical compositions comprising highly purified daptomycin or a daptomycinrelated lipopeptide micelles and methods of using these compositions.

### BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 shows the structure of daptomycin.

10 Fig. 2 shows the structure of impurity 8, CB-131010 (previously identified as the β-isomer, LY213846).

Fig. 3 shows the structure of impurity 13, CB-130952 (previously identified as anhydro-daptomycin, LY178480).

Fig. 4 shows the proposed structure of impurity 1, CB-131012 (previously identified as LY212218).

Fig. 5 shows the proposed structure of impurity 2, CB-131011.

Fig. 6 shows the proposed structure of impurity 3, CB-131008 (previously identified as LY213928).

Fig. 7 shows the proposed structure of impurity 4, CB-131006.

20 Fig. 8 shows the proposed structure of impurity 6, CB-130989 (previously identified as LY213827).

Fig. 9 shows the proposed structure of impurity 7, CB-131005.

Fig. 10 shows the proposed structure of impurity 12, CB-131009.

Fig. 11 shows the proposed structure of impurity 14, CB-131078

25 (previously identified as LY109208).

Fig. 12 shows an HPLC chromatogram for a bulk preparation of daptomycin, including impurities 1 to 14.

Fig. 13 shows an HPLC chromatogram for a preparation of daptomycin after purification on a Poros P150 resin.

Figs. 14A-14C show micellar structures. Fig. 14A shows a spherical micelle, in which the hydrophobic tails of amphipathic molecules are oriented toward the center of the sphere while the hydrophilic heads of the amphipathic molecules are oriented towards the outside of the sphere, in contact with the aqueous environment. Fig.

- 5 14A shows an example in which the hydrophilic heads are negatively charged. Fig. 14B shows a lipid bilayer structure in which two layers of amphipathic molecules assemble such that the hydrophobic tails of each layer are oriented towards each other while the hydrophilic heads on either side of the bilayer are in contact with the aqueous environment. Lipid bilayers may be either spherical or planar. Fig. 14C shows a
- 10 liposome, in which a lipid bilayer, such as that shown in Fig. 14B, forms a spherical structure enclosing an aqueous interior. The hydrophilic heads of the liposome face the aqueous interior and the external aqueous environment.

Fig. 15 shows the results of an experiment to determine the critical micellar concentration (cmc) of daptomycin at pH 4.0.

15 Fig. 16 shows the size distribution of daptomycin micelles by light scatter.The daptomycin micelles have an average size of 5.4 nm (54 A).

### DETAILED DESCRIPTION OF THE INVENTION

### **Objects of the Invention**

20 One object of the present invention is to provide a method for purifying lipopeptides that is easily scaled for commercial production comprising a unique combination of anion exchange chromatography and hydrophobic interaction chromatography. In a preferred embodiment, the method is used to manufacture purified daptomycin that is greater than 95% pure and exhibits reduced levels of impurities

25 compared to daptomycin prepared by prior art methods. In another preferred embodiment, the method is used to manufacture daptomycin using reduced levels of solvents compared to those used in prior art methods. In another preferred embodiment, the method is used to manufacture purified daptomycin-related lipopeptides that are greater than 95% pure.

Another object of the present invention is to provide a method for increasing the levels of a lipopeptide produced by a microorganism by feeding the fermentation culture a reduced level of a fatty acid. Using lower levels of decanoic acid than those proposed for daptomycin fermentation in United States Patent 4,885,243

- 5 results in improved economics in addition to producing a highly pure form of daptomycin or a daptomycin-related lipopeptide. In a preferred embodiment, the method is used to increase the concentration and amount of daptomycin produced by *Streptomyces roseosporus* while minimizing the production of related contaminants. Lower levels of contaminants in the fermentation broth results in a more efficient recovery and
- 10 purification of daptomycin, which provides for a manufacturing process with a higher yield.

Another object of the present invention is to provide a method for purifying daptomycin or daptomycin related lipopeptides comprising the use of modified buffer enhanced anion exchange chromatography. In a preferred embodiment, the method is used to produce daptomycin that is at least 98% pure or that is substantially or

essentially free of anhydro-daptomycin or  $\beta$ -isomer. In another preferred embodiment, the method is used to purify daptomycin-related lipopeptides to at least 98% purity.

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Another object of the present invention is to provide a process chromatography method to purify a lipopeptide comprising a novel combination of anion exchange chromatography, hydrophobic interaction chromatography and modified buffer enhanced anion exchange chromatography. In a preferred embodiment, the process chromatography method is used to purify daptomycin or a daptomycin-related lipopeptide. The modified buffer unexpectedly permits a separation of anhydrodaptomycin from daptomycin not previously possible in prior chromatography methods.

25 Another object of the invention is to provide a method for purifying lipopeptides that is easily scaled for commercial production using lipopeptide micelles. In one embodiment, the method comprises converting a lipopeptide solution from a monomeric, nonmicellar state to a micellar state and back again during purification procedures. In a preferred embodiment, the method comprises subjecting the lipopeptides

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to conditions in which micelles are formed, separating the lipopeptide micelles from low molecular weight contaminants by, e.g., a size separation technique. In another preferred embodiment, the method comprises subjecting the lipopeptides to conditions in which the lipopeptides are in monomeric form and separating the monomeric lipopeptide molecules

5 from high molecular weight molecules or aggregates by, e.g., a size separation technique. In a more preferred embodiment, the method comprises both steps: subjecting the lipopeptides to conditions in which micelles are formed and separating the lipopeptide micelles from low molecular weight contaminants, and then subjecting the lipopeptide micelles to conditions in which the lipopeptides are in monomeric form and separating 10 the lipopeptide monomers from high molecular weight molecules or aggregates. These

two steps may be performed in either order. In an even more preferred embodiment, the size separation technique is ultrafiltration or size exclusion chromatography.

A further object of the present invention is to provide improved methods for measuring the purity of lipopeptides, including daptomycin, by high pressure liquid chromatography (HPLC).

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Another object of the present invention is to provide purified lipopeptides, such as daptomycin or a daptomycin-related lipopeptide, and pharmaceutically acceptable salts or formulations thereof. In a preferred embodiment, the present invention provides daptomycin or a daptomycin-related lipopeptide purified by one of the methods described in the specification. The present invention also provides pharmaceutical compositions of

a purified lipopeptide or its salts and methods of administering these compositions. In a preferred embodiment, the pharmaceutical composition comprises purified daptomycin.

Another object of the present invention is to provide lipopeptide micelles and pharmaceutically acceptable formulations thereof. In a preferred embodiment, the

25 present invention provides daptomycin micelles or a daptomycin-related lipopeptide micelle and pharmaceutically acceptable formulations thereof. In another embodiment, the invention also provides methods of administering the lipopeptide micelles or pharmaceutical formulations thereof to patients in need thereof. In a preferred

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embodiment, the lipopeptide micelles are administered intravenously, parenterally, intramuscularly or topically.

#### **Definitions**

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Unless otherwise defined, all technical and scientific terms used herein have the meaning as commonly understood by one of ordinary skill in the art to which this invention belongs. The practice of the present invention employs, unless otherwise indicated, conventional techniques of chemistry, biochemistry and microbiology and basic terminology used therein.

The term "isolated" refers to a compound or product that is refers to a compound which represents at least 10%, preferably at least 20% or 30%, more preferably at least 50%, 60% or 70%, and most preferably at least 80% or 90% of the compound present in the mixture.

The term "lipopeptide" refers to a molecule that comprises a lipid-like 15 moiety covalently linked to a peptide moiety, as well as salts, esters, amides and ethers thereof. The term "lipopeptide" also encompasses protected forms of lipopeptides in which one or more amino, carboxylate or hydroxyl groups are protected. See, e.g., "Protective Groups in Organic Synthesis" by Theodora W. Greene, John Wiley and Sons, New York, 1981 for examples of protecting groups. In a preferred embodiment, the

- lipopeptide is an antibiotic. In another preferred embodiment, the lipopeptide is LY
   303366, echinocandins, pneumocandins, aculeacins, surfactin, plipastatin B1,
   amphomycin or the lipopeptide derivative disclosed in United States Patent 5,629,288.
   These lipopeptides are known in the art. See, e.g., United States Patent 5,202,309 and
   International PCT Application WO 00/08197. In another preferred embodiment, the
- lipopeptide is a daptomycin-related molecule, including, *inter alia*, daptomycin, A54145, a daptomycin-related lipopeptide disclosed in United States Patent 4,537,717, 4,482,487, Re. 32,311, Re. 32,310, 5,912,226, currently in reissue as United States Serial No. 09/547,357, United States Provisional Applications Nos. 60/170,943, 60/170,946 or 60/170,945, filed December 15, 1999, United States Provisional Application No.

60/208,222, filed May 30, 2000, all of which are specifically incorporated herein by reference, or an A-21978 antibiotic in which the n-decanoyl fatty acid side chain of daptomycin is replaced by an n-octanoyl, n-nonanoyl, n-undecanoyl, n-dodecanoyl, n-tridecanoyl or n-tetradecanoyl fatty acid side chain. The daptomycin-related lipopeptides disclosed in 60/170,943, 60/170,946, 60/170,945, and 60/208,222 relate to synthetic and semisynthetic lipopeptides in which the ornithine or kynurine residues or the fatty acid

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side chain of daptomycin are modified. In a more preferred embodiment, the lipopeptide is daptomycin. The term daptomycin-related lipopeptide refers to compounds described above, and salts thereof.

The term "daptomycin" refers to the n-decanoyl derivative of the factor A- $21978C_0$  type antibiotic, or a pharmaceutical acceptable salt thereof. "Daptomycin" is synonymous with LY146032. See Fig. 1.

The term "anhydro-daptomycin" refers to the daptomycin derivative in which the  $\alpha$ -aspartyl group of daptomycin is transpeptidated to an anhydro-succinimido group. See Fig. 3.

The term " $\beta$ -isomer" or " $\beta$ -isomer of daptomycin" refers to the daptomycin derivative that contains a  $\beta$ -aspartyl group instead of an  $\alpha$ -aspartyl group. See Fig. 2.

Daptomycin or a daptomycin-related lipopeptide is "substantially pure" 20 when at least 95% of a sample is daptomycin or daptomycin-related lipopeptide. Preferably, daptomycin or daptomycin-related lipopeptide is "substantially pure" when at least 97% of a sample is daptomycin or daptomycin-related lipopeptide.

Daptomycin or daptomycin-related lipopeptide is "essentially pure" when at least 98% of a sample is daptomycin or daptomycin-related lipopeptide. Preferably,

25 daptomycin or daptomycin-related lipopeptide is "essentially pure" when at least 99% of a sample is daptomycin or daptomycin-related lipopeptide.

Daptomycin or daptomycin-related lipopeptide is "substantially free" of another compound when the other compound is present in an amount that is no more than 1% of the amount of the daptomycin or daptomycin-related lipopeptide preparation.

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Daptomycin or daptomycin-related lipopeptide is "essentially free" of another compound when the other compound is present in an amount that is no more than 0.5% of the amount of the daptomycin or daptomycin-related lipopeptide preparation.

- Daptomycin or daptomycin-related lipopeptide is "free" of another compound when the other compound is present in an amount that is no more than 0.1% of the amount of the daptomycin or daptomycin-related lipopeptide preparation. Alternatively, daptomycin or daptomycin-related lipopeptide is "free" of another compound when the compound cannot be detected by HPLC under conditions of maximum sensitivity in which a limit of detection is approximately 0.05% or less of the
- amount of the daptomycin or daptomycin-related lipopeptide preparation. Exemplary
   HPLC methods are described herein (Tables 1 and 2).

"Purified" daptomycin or daptomycin-related lipopeptide refers to substantially pure daptomycin or daptomycin-related lipopeptide, essentially pure daptomycin or daptomycin-related lipopeptide, or a salt thereof, or to daptomycin, daptomycin-related lipopeptide, or a salt thereof which is substantially free, essentially

daptomycin, daptomycin-related lipopeptide, or a salt thereof that is less than 90% pure.

free, or free of another compound. "Partially purified" daptomycin or daptomycin-related lipopeptide refers to

The purity of daptomycin, daptomycin-related lipopeptide or of another 20 lipopeptide refers to the lipopeptide prior to its formulation in a pharmaceutical composition. The purity may be measured by any means including nuclear magnetic resonance (NMR), gas chromatography/mass spectroscopy (GC/MS), liquid chromatography/mass spectroscopy (LC/MS) or microbiological assays. A preferred means for measuring the purity of daptomycin is by analytical high pressure liquid

chromatography (HPLC).

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The term "micelle" refers to aggregates of amphipathic molecules. In an aqueous media, the lipophilic domains of the molecules of the aggregate are oriented toward the interior of the micelle and the hydrophilic domains are in contact with the

medium. Micelle structures include, but are not limited to, spherical, laminar, cylindrical, ellipsoidal, vesicular (liposomal), lamellar and liquid crystal. See Fig. 14.

The term "mixed micelle" refers to a particular type of micelle in which the micelle contains more than a single type of amphipathic molecule. In the context of this invention, mixed micelles contain a lipopeptide and at least one other amphipathic molecule which may be another lipopeptide. Mixed micelles contain at least 10% of the lipopeptide by weight. In other embodiments, a mixed micelle contains at least 20%, 30%, 40%, 50%, 60%, 70%, 80% or 90% of the lipopeptide.

The term "micellar solution" refers to a solution in which more than 50% of the lipopeptide molecules in the solution are present in micelles, as measured by weight. Preferably, at least 60%, 70%, 80%, 90% or 95% of the molecules are present in micelles. A micellar solution is retained on a ultrafiltration membrane that has a 10,000 dalton nominal molecular weight (NMW) cutoff.

The term "critical micelle concentration" (cmc) refers to the particular concentration of molecules, which is dependent upon temperature, salt concentration and the nature and type of amphipathic molecule. Above the cmc, the unassociated monomers and micelles exist in equilibrium.

The term "monomer" refers to an amphipathic molecule that is not part of an aggregate but that exists as a single molecule. In the context of this invention, the term monomer refers to a non-associated lipopeptide.

The term "monomeric solution" refers to a solution in which more than 50% of the lipopeptide molecules are present as monomers as measured by weight. Preferably at least 60%, 70%, 80%, 90% or 95% are present as monomers. A monomeric solution is not retained on a ultrafiltration membrane that has a 10,000 dalton NMW

25 cutoff but rather passes through the membrane.

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The term "low ionic strength buffer" refers to a solution that has a salt concentration below 50mM; the term "medium ionic strength buffer" refers to a solution that has a salt concentration between 50-250mM; the term "high ionic strength buffer" refers to a solution that has a salt concentration greater than 250mM.

#### Methods for Manufacturing Purified Lipopeptides

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One embodiment of the present invention is drawn to a process chromatography method that produces a purified lipopeptide in a commercially feasible manner. In a preferred embodiment, the lipopeptide is daptomycin or a daptomycin-

- 5 related lipopeptide. The process chromatography method comprises sequentially using anion exchange chromatography, hydrophobic interaction chromatography (HIC) and anion exchange chromatography to purify a preparation containing a lipopeptide, such as daptomycin or a daptomycin-related lipopeptide.
- In a preferred embodiment of the instant invention, the purification method further comprises altering the fermentation conditions in which the A21978C-containing crude product is produced by *Streptomyces roseosporus* in order to increase daptomycin production and decrease impurities and related contaminants produced by the *S. roseosporus* fermentation culture.

A preferred embodiment of the process chromatography method is described below:

*Streptomyces roseosporus* is fermented with a feed of n-decanoic acid, as disclosed in United States Patent 4,885,243, with the modification that the decanoic acid feed is kept at the lowest levels possible without diminishing the overall yield of the fermentation. In a preferred embodiment, the residual decanoic acid is maintained at less

- 20 than 50 parts per million (ppm) during aerobic fermentation. In a more preferred embodiment, the residual decanoic acid is maintained between one and 20 ppm during aerobic fermentation. In an even more preferred embodiment, the residual decanoic acid is maintained at approximately ten ppm during aerobic fermentation. In a preferred embodiment, the concentration of residual decanoic acid is measured throughout
- 25 fermentation and the feed level of decanoic acid is adjusted to continuously keep the residual decanoic acid levels within the preferred parameters. The prior art does not describe the *in situ* specific and low residual constant decanoic acid concentrations required to achieve optimal expression of daptomycin containing lower levels of impurities.

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After fermentation, the extracellular solution is clarified by removing the mycelia from the fermentation broth. Removing the mycelia from the fermentation is performed by any standard separation technique, such as centrifugation or microfiltration. In a preferred embodiment, the fermentation broth is clarified by

5 microfiltration, such as by using a Pall Sep<sup>™</sup> membrane system. In a more preferred embodiment, the fermentation broth is clarified using an industrial centrifuge, such as a Westfalia<sup>™</sup> centrifuge, followed by a finishing depth filter. Other devices, such as filter presses, rotary drum filters or disposable depth filters, may be used to remove mycelia from fermentation broth to produce a clarified broth suitable for large-scale column

10 chromatography.

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In another embodiment, daptomycin may be extracted from mycelial fermentation directly by using an organic solvent such as butanol prior to clarification on a solvent separating centrifuge or filter. Any alcohol with four carbons or more may be used in the extraction according to this embodiment. A preferred solvent is n-butanol.

Using an organic solvent results in an initial additional purification of daptomycin compared to a purely aqueous separation of daptomycin. For example, daptomycin partitions into n-butanol when n-butanol is used in a concentration greater than 10% and when the process is conducted under conditions in which the n-butanol forms a separate phase, *e.g.*, at a pH value of 4-5, which is near the isoelectric point of daptomycin (see
Example 4).

In another embodiment, daptomycin is produced in an immobilized reactor that uses preactivated mycelia for the non-fermentation production of daptomycin using an energy source, preferably a sugar, elemental components, such as amino acids and ammonia, and decanoic acid. Production of daptomycin in an immobilized enzyme reactor is then processed by methods described herein.

After clarification of the fermentation broth, the levels of daptomycin are enriched, (*i.e.* concentrated) in the clarified solution by anion exchange chromatography. The clarified solution is first contacted with an anion exchange resin under conditions in which most or all of daptomycin binds to the anion exchange resin. After binding, the

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resin is washed with an appropriate ionic aqueous buffer to remove unbound material and some of the daptomycin impurities. Finally, the purified daptomycin bound to the resin is eluted under conditions in which daptomycin will dissociate from the resin.

- The binding, washing and elution steps may be performed according to 5 this invention using buffers and methods known in the art. For instance, elution may be performed by using a buffer containing an elevated salt concentration compared to the wash buffer, a buffer that has a lower pH compared to the wash buffer, or a buffer that has both a higher salt concentration and a lower pH than the wash buffer. In a preferred embodiment, daptomycin is bound to the anion exchange resin that has been equilibrated
- 10 in a buffer containing no added salt or a low salt concentration at a pH that is neutral to basic. The loaded resin is washed with three column bed volumes of water and then three to six bed volumes of an intermediate salt buffer containing 30 to 60 mM NaCl. Daptomycin is eluted from the column with one to three column volumes of an elevated salt and/or lower pH buffer containing 300 to 500 mM NaCl. Higher concentrations of
- 15 sodium chloride and alternative salts such as potassium chloride will also elute daptomycin from the resin. In a preferred embodiment, a high flow rate anionic exchange resin is used. In a more preferred embodiment, FP-DA 13 resin (Mitsubishi) is used.

The anion exchange chromatography may be performed by column chromatography or may be accomplished in batch mode. For commercial production, it

- 20 may be preferred to use batch mode. The anion exchange resin may be washed and eluted with stepwise salt gradients or with a continuous salt gradient. A suitable stepwise or continuous salt gradient is any one that permits the separation of daptomycin from contaminants. In a preferred embodiment, a continuous salt gradient is one which ranges from 0 to 1000 mM NaCl. In a more preferred embodiment, a continuous salt gradient is
- one which ranges from 100 to 500 mM NaCl or from 0 to 400 mM NaCl. Radial flow chromatography may also be used, as described in United States Patents 5,756,680, 4,865,729, 4,840,730 or 4,708,782.

After anion exchange chromatography, the daptomycin preparation is further purified by hydrophobic interaction chromatography (HIC). One embodiment of

this step is described in United States Patent 4,874,843, herein incorporated by reference.

The eluted aqueous daptomycin preparation is contacted with a HIC resin under conditions in which most or all of daptomycin will bind to the resin. The water content of the daptomycin-loaded resin is reduced by contacting the resin with an increased

5 concentration of a non-polar solvent. The resin is washed with an appropriate polar organic solvent under conditions in which impurities dissociate from the resin while daptomycin remains bound. Finally, the daptomycin preparation is eluted under conditions in which daptomycin dissociates from the resin. In general, daptomycin is eluted using a solvent-containing buffer with a lower polarity (higher polar solvent level)
10 and/or higher pH than the wash buffer.

In a preferred embodiment, the non-functional resin for HIC is small particle HP-20ss (Mitsubishi). The bound daptomycin is specifically removed from the HP-20ss resin with an organic phase solvent, such as one containing isopropyl alcohol, acetonitrile, butanol or other suitable solvent. In a more preferred embodiment,

- 15 daptomycin is bound to HP-20ss resin that has been equilibrated in an acetate buffer containing 10% acetonitrile or equivalent polar solvent, such as isopropyl alcohol. The daptomycin-loaded resin is washed with at least three column bed volumes of equilibration buffer. The daptomycin-loaded resin is further freed of additional impurities by washing with three to six bed volumes of an acetate wash buffer containing a non-
- 20 eluting concentration of the polar solvent. In a preferred embodiment, the daptomycinloaded resin is washed with 30% acetonitrile or 45% isopropyl alcohol. The daptomycinloaded resin is eluted with one to three bed volumes of acetate buffer containing 35% or more acetonitrile or greater than 50% isopropyl alcohol. In a preferred embodiment, daptomycin is eluted with 35% acetonitrile at pH 4.0-5.0 or 55-60% isopropyl alcohol. In
- 25 another embodiment, the daptomycin-loaded resin is eluted with one to three bed volumes of buffer at an increased pH. In this embodiment, the pH of the buffer is gradually increased to elute different compounds from the column at different rates due to charge differences. At elevated pH, *e.g.*, pH 6.0-7.0, the elution concentration of acetonitrile is reduced to 10-20%. Similarly, at elevated pH, *e.g.*, pH 6.0-7.0 the elution concentration

of isopropyl alcohol is reduced to 20-25%. Control of the temperature under which chromatography is performed also influences solvent concentration. Elution at lower temperatures, i.e., under refrigerated conditions, requires increased levels of solvent at all pH conditions.

After HIC, the organic solvent in the daptomycin preparation is reduced by anion exchange chromatography. In a preferred embodiment, FP-DA 13 is used as discussed *supra*.

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After the second anion exchange chromatography, the purified daptomycin is depyrogenated, filtered and concentrated under refrigerated conditions. Filtering daptomycin may be performed by any method known in the art. In one embodiment, filtering and depyrogenating may be performed by:

i) providing a daptomycin solution under conditions in which the daptomycin is in a monomeric and nonmicellar state;

ii) filtering the daptomycin solution under conditions in which the
 15 daptomycin will pass through the filter but pyrogens will not pass through the filter, e.g.,
 having the daptomycin solution at pH 6.0-8.0 and filtering the solution with an ultrafilter
 that is rated between 3,000 NMW and 30,000 NMW;

iii) altering the daptomycin solution that has passed through the filter such that the daptomycin aggregates, e.g., by changing the pH of the daptomycin solution to2.5-4.5 such that daptomycin forms micelles;

iv) filtering the daptomycin solution under conditions in which the daptomycin will be retained on the filter, e.g., concentrating the daptomycin on an ultrafilter of 30,000 NMW or less, such as a reverse osmosis membrane; and

v) collecting the depyrogenated daptomycin.

In a preferred embodiment, daptomycin of step (ii) is filtered under pressure on a 10,000 dalton molecular weight cutoff (MWCO) ultra-filter at a pH of approximately 7-8. In a more preferred embodiment, daptomycin is at an initial concentration of less than 40 mg/ml, more preferably, at a concentration of approximately 31.25 mg/mL. Under these conditions, daptomycin passes through the filter but pyrogens such as lipopolysaccharides (LPS) do not. After the initial ultra-filtration, the pH of the filtrate is lowered to pH 2.5 to 4.5 and the filtrate is concentrated on a 10,000 MWCO ultra-filter to approximately 120 mg/mL. Under these conditions, daptomycin is retained on the filter. In a preferred embodiment, the pH of the filtrate is pH 3.5. Subsequent to concentration, the concentration of daptomycin is adjusted to 105 mg/mL, checked for

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endotoxin levels, and used to fill vials under aseptic conditions.

In another embodiment, reverse osmosis nanofiltration is performed at pH 1.5-3.0. The low pH and refrigerated conditions are used to retard degradation of purified daptomycin. Daptomycin may be further filtered through a  $0.2 \mu m$  filter to reduce bioburden and then lyophilized either in bulk or in vials.

As an alternative to the above ultra-filtration and concentration step, the eluted fractions containing daptomycin are mixed with butanol (either n-, iso- or t-butanol) at a pH of approximately 4.5, in a ratio of greater than one part butanol to nine parts daptomycin solution. In a preferred embodiment, one part butanol is mixed with

- 15 four parts daptomycin solution to yield a 20% butanol solution. The butanol-daptomycin solution is allowed to separate into organic and aqueous phases. Daptomycin partitions into the organic phase, which is collected. The dehydration of daptomycin in the organic solvent may stabilize daptomycin and prevent the degradation of the purified daptomycin to anhydro-daptomycin and subsequent formation of β-isomer. Finally, daptomycin can
- 20 be returned to the aqueous phase by adding buffer at pH 6.5-7.5 to the organic phase.After concentration or collection of daptomycin, daptomycin is lyophilized.

In another embodiment of the instant invention, the process chromatography method is used to purify lipopeptides other than daptomycin, such as A54145, LY303366, echinocandins, pneumocandins, aculeacin, surfactin, plipastatin B1,

amphomycin or the lipopeptide derivative disclosed in United States Patent 5,629,288. In another embodiment, the process chromatography method is used to purify daptomycin-related lipopeptides, including A54145, or a lipopeptide disclosed in United States Patent 4,537,717, 4,482,487, Re. 32,311, Re. 32,310, 5,912,226, currently in reissue as United States Serial No. 09/547,357, United States Provisional Applications Nos. 60/170,943,

60/170,946 or 60/170,945, filed December 15, 1999, United States Provisional Application No. 60/208,222, filed May 30, 2000, or an A-21978 antibiotic in which the n-decanoyl fatty acid side chain of daptomycin is replaced by an n-octanoyl, n-nonanoyl, n-undecanoyl, –dodecanoyl, n-tridecanoyl or n-tetradecanoyl fatty acid side chain.

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In another embodiment of the instant invention, a "Salt Cloud Method" [Genetic Engineering News, Vol. 19, No. 20, pages 1, 34 and 43, (November 15, 1999)] is used in the purification of daptomycin or other lipopeptides. The Salt Cloud Method is a membrane-based system that combines selective separations with high-volume throughput. The Salt Cloud Method can be used in conjunction with those process steps disclosed herein or separately to purify daptomycin or other lipopeptides.

Another embodiment of the instant invention is drawn to a chromatography method that produces a highly purified lipopeptide not achievable by prior art chromatography methods. The chromatography method comprises the use of modified buffer enhanced anion exchange chromatography to purify a preparation
15 containing a lipopeptide. In a preferred embodiment, the method is used to produce highly purified daptomycin or a daptomycin-related lipopeptide. This method, when used with partially purified daptomycin, produces daptomycin that is at least 98% pure. The method also produces daptomycin that is free or essentially free of anhydro-daptomycin. The method comprises the following steps:

20 Partially purified daptomycin is prepared by any method known in the art or as described herein. The daptomycin preparation is then further purified by modified buffer enhanced anion exchange chromatography. Daptomycin is bound to anion exchange resin in the presence of an appropriate ionic modified buffer under conditions in which daptomycin binds to the resin ion in a monomeric and non-micellar state. The

25 modified buffer comprises a buffering agent, such as, without limitation, acetate, phosphate, citrate and Tris-HCl, or any other buffering agent that buffers well at neutral pH. The modified buffer further comprises one or more chaotropic agents, including, without limitation, guanidine, ammonia, urea, a strong reducing agent, benzoate, ascorbate or another ionic enhancer capable of modifying the buffer so that daptomycin is

easily separated from impurities. The daptomycin-loaded resin is washed with an appropriate ionic modified buffer to elute impurities, including anhydro-daptomycin. Daptomycin is then eluted under conditions that permit the separation of daptomycin from impurities that remain bound to the resin, including the  $\beta$ -isomer.

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In a preferred embodiment, the modified buffer is at a neutral pH (a pH of 6 to 8) and contains 2 to 6 M urea. In a further preferred embodiment, the anion exchange resin is Porous Resin P150 or Porous D50 (PE Biosystems). In a more preferred embodiment, the anion exchange resin is Porous P150. In a preferred embodiment, daptomycin is bound to the resin in a low ionic strength buffer, washed with

- a low to medium ionic strength buffer and eluted with a high ionic strength buffer. In one preferred embodiment, daptomycin is bound to the Porous P150 resin in a Tris buffer pH
   7.0 containing 6 M urea. The daptomycin-loaded Porous P150 resin is washed with three bed volumes of Tris buffer or other suitable buffer containing a salt level that removes contaminants and anhydro-daptomycin without eluting daptomycin. Daptomycin is
- 15 eluted from the Porous P150 resin with Tris buffer or other suitable buffer under elevated salt conditions that will leave additional impurities, including a significant portion of  $\beta$ isomer, bound to the column. In another preferred embodiment, Poros P150 is used and daptomycin is bound to the resin in an acetate buffer pH 6.0 containing 2 M urea. The daptomycin-loaded Poros P150 resin is washed and eluted similar to the method above
- 20 except that an acetate buffer pH 6.0 containing 2 M urea is used. Product fractionation may be measured by HPLC or by UV monitoring.

The modified buffer enhanced anion exchange chromatography may be performed by column chromatography or may be accomplished in batch mode. Radial flow chromatography may also be used, as described in United States Patents 5,756,680,

4,865,729, 4,840,730 or 4,708,782. The modified buffer enhanced anion exchange resin may be washed and eluted with stepwise salt gradients or with a continuous salt gradient. A suitable stepwise or continuous salt gradient is any one that permits the separation of daptomycin from impurities including, but not limited to, anhydro-daptomycin and β-isomer. In a preferred embodiment, a continuous salt gradient is 0 to 1000 mM NaCl. In

a more preferred embodiment, the salt gradient is 100 to 500 mM NaCl or 0 to 400 mM NaCl.

In another embodiment of the instant invention, modified buffer enhanced anion exchange chromatography is used to purify lipopeptide compounds other than daptomycin. These lipopeptide compounds include, without limitation, A54145, LY303366, echinocandins, pneumocandins, aculeacin, surfactin and plipastatin B1 (Tsuge et al., 1996, Arch. Microbiol. 165:243-51) and lipopeptide derivatives as shown in United States Patent 5,629,288. In another embodiment, modified buffer enhanced anion exchange chromatography is used to purify a daptomycin-related lipopeptide such as

- A54145, or a lipopeptide disclosed in United States Patent 4,537,717, 4,482,487, Re.
  32,311, Re. 32,310, 5,912,226, currently in reissue as United States Serial No.
  09/547,357, United States Provisional Applications Nos. 60/170,943, 60/170,946 or
  60/170,945, filed December 15, 1999, United States Provisional Application No.
  60/208,222, filed May 30, 2000, or an A-21978 antibiotic in which the n-decanoyl fatty
- 15 acid side chain of daptomycin is replaced by an n-octanoyl, n-nonanoyl, n-undecanoyl, dodecanoyl, n-tridecanoyl or n-tetradecanoyl fatty acid side chain.

In another embodiment of the instant invention, a novel combination of process chromatography steps is used to purify daptomycin or a daptomycin-related lipopeptide. The method comprises anion exchange chromatography, small particle

- 20 reverse phase chromatography and modified buffer enhanced anion exchange chromatography. The purification method may further comprise altering the fermentation conditions in which the A21978C-containing crude product is produced by *Streptomyces roseosporus*. These methods produce daptomycin or a daptomycin-related lipopeptide that is at least 98% pure. In a preferred embodiment, the methods produce daptomycin or
- a daptomycin-related lipopeptide that is more than 99% pure.

A preferred embodiment of the process chromatography method is described below:

*Streptomyces roseosporus* is fermented with a feed of n-decanoic acid, as disclosed in United States Patent 4,885,243, with the modification that the decanoic acid

feed is kept at the lowest levels possible without diminishing the overall yield of the fermentation as described *supra*. In an alternative embodiment, a different feedstock may be used so long as it ultimately provides an n-decanoyl group for addition to the daptomycin nucleus. Examples of these feedstocks are, without limitation, decanoic

- 5 amide, decanoic esters including butyl esters, crude sources of coconut or palm oil, animal source decanoic acid, various salts of decanoic acid, and petrochemical sources of decanoic acid. After fermentation, the extracellular solution is clarified as described *supra*. In an alternative embodiment, daptomycin may be extracted from mycelia using an organic solvent such as n-butanol prior to clarification on a solvent separating
- 10 centrifuge or filter as described *supra*. After clarification of the fermentation broth, the level of daptomycin is enriched in the clarified solution first by anion exchange chromatography and then by HIC as described *supra*.

After completion of HIC, the organic solvent in the daptomycin preparation is reduced by any method known in the art. In a preferred embodiment, the organic solvent is reduced by anion exchange chromatography, as described *supra*. Daptomycin should be eluted from the column in a buffer compatible with the buffer required for the modified buffer enhanced chromatography. Alternatively, the elution buffer may be exchanged for the modified buffer by reverse osmosis or filtration on a 10,000 MWCO filter. In another preferred embodiment, the organic solvent is reduced by

- 20 evaporation or dilution in buffer. In a third preferred embodiment, the reverse phase chromatography solvent and residual salt is removed using reverse osmosis at pH 1.5-4.0 or ultrafiltration at pH 2.5-4.5. The resultant product may be frozen for bulk storage or dried by lyophilization and then rehydrated in water or in the buffer used for the modified buffer enhanced anion exchange chromatography.
  - Daptomycin is further purified by modified buffer enhanced anion exchange chromatography as described *supra*.

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After modified buffer enhanced anion exchange chromatography, the purified daptomycin is filtered and concentrated under refrigerated conditions. Filtering daptomycin may be performed by any method known in the art. In a preferred

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embodiment, daptomycin is depyrogenated and concentrated as described *supra*. Alternatively, daptomycin may be concentrated by reverse osmosis under refrigerated conditions at a pH of 1.5 to 4. The low pH and refrigerated conditions are used to retard the degradation of purified daptomycin.

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As an alternative or in addition to the above filtration and concentration step, the eluted fractions containing daptomycin from the modified buffer enhanced anion exchange chromatography may be mixed with butanol (either n-, iso- or *t*-butanol) at a pH of approximately 4.5, in a ratio of greater than one part butanol to nine parts daptomycin solution. In a preferred embodiment, one part butanol is mixed with four parts

10 daptomycin solution to yield a 20% butanol solution. The butanol-daptomycin solution is allowed to separate into organic and aqueous phases. Daptomycin partitions into the organic phase, which is collected. The dehydration of daptomycin in the organic solvent may stabilize daptomycin and prevent the degradation of the purified daptomycin to anhydro-daptomycin and subsequent formation of  $\beta$ -isomer.

After concentration or collection of daptomycin, daptomycin is lyophilized.

In another embodiment of the instant invention, the process chromatography is used to purify lipopeptides other than daptomycin, such as those described *supra*.

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### Formation of Lipopeptide Micelles and Methods of Use Thereof

Another embodiment of the invention provides lipopeptide micelles, methods for forming lipopeptide micelles and methods of using the lipopeptide micelles for lipopeptide purification and pharmaceutical compositions. In a preferred

25 embodiment, the lipopeptide is a daptomycin-related molecule, including, *inter alia*, daptomycin, A54145, a daptomycin-related lipopeptide disclosed in United States Patent 4,537,717, 4,482,487, Re. 32,311, Re. 32,310, 5,912,226, currently in reissue as United States Serial No. 09/547,357, United States Provisional Applications Nos. 60/170,943, 60/170,946 or 60/170,945, filed December 15, 1999, United States Provisional

Application No. 60/208,222, filed May 30, 2000, or an A-21978 antibiotic in which the ndecanoyl side chain of daptomycin is replaced by an n-octanoyl, n-nonanoyl, nundecanoyl, n-dodecanoyl, –tridecanoyl or n-tetradecanoyl side chain. In a more preferred embodiment, the lipopeptide is daptomycin.

Micelles are aggregates of amphipathic molecules. In aqueous media, the lipophilic parts of the molecules are oriented toward the interior of the micelle and the hydrophilic parts of the molecules are in contact with the aqueous media. Micelles form spontaneously in a solution containing amphipathic molecules if the concentration of the molecules is high enough.

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10 Micelle formation causes changes in several bulk physical properties of a solution including changes in osmotic pressure, turbidity, electrical conductance, surface tension, co-ion and counterion activities (in the case of ionic amphipathic molecules), refractive index, UV and NMR spectra, partial molar volume, viscosity, diffusion coefficient and dye solubilization. The cmc can be determined by measuring one or more of these micelle-dependent physical properties as a function of concentration of the amphipathic molecule. The size and shape of micelles can be determined by dynamic laser light scattering, ultracentrifugation, viscosity and/or low-angle X-ray scattering experiments. Micelles can also exist in liquid crystal phases.

Lipopeptides may be aggregated into micelles by providing a 20 concentration of lipopeptide that is greater than the cmc of the lipopeptide. The cmc is dependent upon the nature of the lipopeptide and the temperature, salt concentration and pH of the aqueous solution comprising the lipopeptide. With respect to the nature of the lipopeptide, the cmc of a lipopeptide is reduced by the addition of CH<sub>2</sub> groups to the lipophilic carbon chains. Thus, given the cmc for daptomycin at a particular salt

25 concentration, temperature and pH, then an A-21978 type antibiotic in which the ndecanoyl fatty acid side chain is replaced by n-octanoyl, or –nonanoyl fatty acid side chain will have a higher cmc, while an A-21978 antibiotic in which the n-decanoyl fatty acid side chain of daptomycin is replaced by an n-undecanoyl, n-dodecanoyl, –tridecanoyl or n-tetradecanoyl fatty acid side chain will have a lower cmc relative to daptomycin. In one embodiment of the invention, the cmc of a lipopeptide may be manipulated by adding or subtracting a  $CH_2$  group to the lipopeptide. In a preferred embodiment, the lipopeptide is A-21978, in which the n-decanoyl fatty acid side chain of daptomycin is replaced by an n-octanoyl, n-nonanoyl, n-undecanoyl, -dodecanoyl, n-

- 5 tridecanoyl or n-tetradecanoyl fatty acid side chain. In another embodiment, one can calculate the approximate cmc of a lipopeptide following the teachings of the specification. Given the cmc for a lipopeptide such as daptomycin, one may calculate the approximate cmc of a related lipopeptide in which the n-decanoyl fatty acid side chain is replaced by an n-octanoyl, n-nonanoyl, n-undecanoyl, n-dodecanoyl, n-tridecanoyl or n-10 tetradecanoyl fatty acid side chain. The above may be carried out by methods known by
- one skilled in the art.

In another preferred embodiment, given the cmc for one lipopeptide, one can calculate the approximate cmc for a lipopeptide that contains a related peptide moiety. In a preferred embodiment, given the cmc for daptomycin and the teachings of the prior art, one may readily determine the cmc for a related lipopeptide such as A54145, a daptomycin-related lipopeptide disclosed in United States Patent 4,537,717, 4,482,487, Re. 32,311, Re. 32,310, 5,912,226, currently in reissue as United States Serial No. 09/547,357, United States Provisional Applications Nos. 60/170,943, 60/170,946 or 60/170,945, filed December 15, 1999, United States Provisional Application No.

20 60/208,222, filed May 30, 2000.

In another embodiment of the invention, the cmc of a lipopeptide is manipulated by changing the temperature of the solution comprising the lipopeptide. The cmc for a lipopeptide usually increases with increasing temperature of the solution. Thus, micelle formation is promoted by decreasing the temperature and is hindered by

25 increasing the temperature. For instance, a solution comprising a lipopeptide may form micelles at 4°C because at that temperature the cmc is lowered and the lipopeptide concentration is above the cmc; however, the same lipopeptide solution may be monomeric at 20°C because the cmc has increased with the temperature and the lipopeptide concentration is now below the cmc. Thus, in a preferred embodiment, the

concentration of a lipopeptide is higher than the cmc at one temperature and is lower than the cmc at another, higher temperature. In a more preferred embodiment, the lipopeptide is daptomycin or a daptomycin-related molecule, such as those described *supra*. In an even more preferred embodiment, the lipopeptide is daptomycin.

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In another preferred embodiment, the ability to manipulate the formation of micelles of a lipopeptide by using different temperatures to affect the cmc is used in the purification of the lipopeptide. In a more preferred embodiment, the lipopeptide is daptomycin or a related molecule, such as those described *supra*. In an even more preferred embodiment, the lipopeptide is daptomycin. In another preferred embodiment, the ability to manipulate lipopeptide micelle formation by altering the temperature is used to make pharmaceutical compositions that are micellar under certain temperature conditions and monomeric under other temperature conditions. In a preferred embodiment, the pharmaceutical compositions comprise daptomycin or a daptomycinrelated lipopeptide, as described *supra*. In another preferred embodiment, the

15 pharmaceutical compositions comprise daptomycin.

In a further embodiment of the invention, the addition of an electrolyte is used to decrease the cmc of an ionic lipopeptide. In a preferred embodiment, a salt, such as NaCl, is added to a solution comprising lipopeptide to reduce the repulsion between charged groups in a lipopeptide micelle. In a preferred embodiment, the lipopeptide is

- 20 daptomycin or a daptomycin-related molecule, such as that described *supra*. For instance, the peptide moiety of daptomycin contains three aspartic acid residues and an L-threo-3-methylglutamic acid residues (3-MG), all of which would be charged at neutral pH. Thus, addition of an electrolyte, such as NaCl or an equivalent salt, will decrease the cmc of daptomycin. In a preferred embodiment, the salt concentration is at least 100 mM. In
- a more preferred embodiment, the salt concentration is 150 mM to 300 mM salt. In an even more preferred embodiment, the salt is NaCl.

A decrease in the cmc is also observed with addition of an electrolyte for other lipopeptides, such as molecules related to daptomycin that contain aspartic acid residues, 3-MG residues or other charged residues. Therefore, in a preferred

embodiment, a salt is added to a solution to decrease the cmc of a daptomycin-related lipopeptide, such as A54145, a daptomycin-related lipopeptide disclosed in United States Patent 4,537,717, 4,482,487, Re. 32,311, Re. 32,310, 5,912,226, currently in reissue as United States Serial No. 09/547,357, United States Provisional Applications Nos.

60/170,943, 60/170,946 or 60/170,945, filed December 15, 1999, United States
Provisional Application No. 60/208,222, filed May 30, 2000, or an A-21978 antibiotic in which the n-decanoyl fatty acid side chain of daptomycin is replaced by an n-octanoyl, n-nonanoyl, n-undecanoyl, -dodecanoyl, n-tridecanoyl or n-tetradecanoyl fatty acid side chain. In another embodiment, the salt concentration is decreased in order to increase the
cmc of an ionic lipopeptide. In a preferred embodiment, the ionic lipopeptide is daptomycin or a daptomycin-related lipopeptide, as described *supra*.

In another preferred embodiment, the ability to manipulate the formation of micelles of a lipopeptide by altering electrolyte concentration to affect the cmc is used in the purification of the lipopeptide. In a more preferred embodiment, the lipopeptide is

15 daptomycin or a daptomycin-related molecule, such as those described *supra*. In an even more preferred embodiment, the lipopeptide is daptomycin. In another preferred embodiment, the ability to manipulate lipopeptide micelle formation by electrolyte concentration is used to make pharmaceutical compositions that are micellar at certain electrolyte concentrations and monomeric under other electrolyte concentrations. In a

20 preferred embodiment, the pharmaceutical compositions comprise daptomycin or a daptomycin-related lipopeptide, as described *supra*. In another preferred embodiment, the pharmaceutical compositions comprise daptomycin.

In another embodiment of the invention, the pH of a solution comprising a lipopeptide is manipulated to influence the cmc of the lipopeptide. In a preferred embodiment, the lipopeptide is daptomycin or a daptomycin-related molecule, such as those described *supra*. In an even more preferred embodiment, the lipopeptide is daptomycin. In one embodiment, the pH is manipulated so that the concentration of a lipopeptide is higher than the cmc at one pH and is lower than the cmc at another pH. For instance, for daptomycin, the cmc at pH 4.0 in water at a temperature of 20-25°C was

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much lower than at pH 6.0 or 7.5. At pH 4.0, the cmc is approximately 400  $\mu$ g/mL under these conditions. See Fig. 15. Further, daptomycin is monomeric even at 150 mg/mL daptomycin at pH 6.5 (wherein the salt concentration is 150 mM to 300 mM NaCl and the temperature is 4°C). Thus, for daptomycin, the cmc at pH 4.0 is lower than in solutions of either higher pH or lower pH. The change in cmc at different pH levels may also be

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of either higher pH or lower pH. The change in cmc at different pH levels may also be used for other charged lipopeptides, including lipopeptides that are related to daptomycin, as described *supra*.

In another preferred embodiment, the ability to manipulate the formation of micelles of a lipopeptide by altering the pH to affect the cmc is used in the purification of the lipopeptide. In a more preferred embodiment, the lipopeptide is daptomycin or a

- daptomycin-related molecule, such as those described *supra*. In an even more preferred embodiment, the lipopeptide is daptomycin. In another preferred embodiment, the ability to manipulate lipopeptide micelle formation by pH is used to make pharmaceutical compositions that are micellar at a particular pH and monomeric under another pH. In a
  preferred embodiment, the pharmaceutical compositions comprise daptomycin or a
- daptomycin-related lipopeptide, as described *supra*. In another preferred embodiment, the pharmaceutical compositions comprise daptomycin.

In another aspect of the invention, the lipopeptide may be part of a mixed micelle. A mixed micelle is one in which the lipopeptide forms a micelle with one or 20 more other types of amphipathic molecules. Examples of such amphipathic molecules include, without limitation, medium and long chain fatty acids, phosphoglycerides (phospholipids), sphingomyelin, glycolipids and cholesterol. In one embodiment, medium chain-length alcohols can be incorporated into the micelle, where they reduce electrostatic repulsion and steric hindrance, thus lowering the cmc of the lipopeptide. In

25 another embodiment, the addition of one or more types of amphipathic molecules can be used to alter the structure of the micelle from a spherical micelle (See Fig. 14, part a) to a lipid bilayer structure (See Fig. 14, part b) or to a liposome structure (See Fig. 14 part c). In general, mixed micelles comprising phospholipids and/or glycolipids will cause a

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spherical micelle to convert to a lipid bilayer structure, which serve as permeability barriers to ions and most polar molecules.

In another embodiment, the mixed micelle can be formed from two or more different lipopeptides. For instance, the mixed micelle can be formed from daptomycin and another lipopeptide, such as A54145 or a daptomycin-related lipopeptide, as discussed *supra*. In another embodiment, the mixed micelle may comprise a lipopeptide along with one or more therapeutically useful amphipathic molecules, such as an antibiotic, an anti-inflammatory or an anti-fungal agent, which are known to those having ordinary skill in the art. In a preferred embodiment, the lipopeptide is daptomycin

10 or a daptomycin-related lipopeptide such as A54145, the daptomycin-related lipopeptides disclosed *supra*, or an A-21978 antibiotic in which the n-decanoyl fatty acid side chain of daptomycin is replaced by an n-octanoyl, n-nonanoyl, n-undecanoyl, n-dodecanoyl, n-tridecanoyl or n-tetradecanoyl fatty acid side chain. In a more preferred embodiment, the lipopeptide is daptomycin.

In another embodiment of the invention, the micelle, whether mixed or comprising a single type of lipopeptide molecule, comprises a lipopeptide that is therapeutically useful. In a preferred embodiment, the lipopeptide is an antibiotic. In an even more preferred embodiment, the lipopeptide is daptomycin. Daptomycin forms micelles of approximately 5.4 nm (54 A) at a concentration of 1 mg/mL at pH of

20 approximately 4.0 in water. See Fig. 16.

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In another preferred embodiment, the micelles comprise one or more different types of therapeutic substances. In one embodiment, a therapeutic substance can be mixed with the lipopeptide in solution such that a micelle is formed from the lipopeptide and the therapeutic substance is trapped in the hydrophobic interior. In

25 another embodiment, a therapeutic substance is mixed with a lipopeptide and one or more other amphipathic molecules such that a mixed micelle is formed from the lipopeptide and other amphipathic molecules and the therapeutic substance is found in the hydrophobic interior. In a preferred embodiment, the therapeutic substance is an antibiotic, an anti-inflammatory or an anti-fungal agent. In a more preferred embodiment,

the therapeutic substance is an antibiotic or antifungal agent disclosed *infra*. In another preferred embodiment, the therapeutic substance is soluble in a hydrophobic environment but is not soluble in an aqueous solution.

- In another embodiment of the invention, the lipopeptides may be formed 5 into liposomes, which are vesicular micelles in which a spherical lipid bilayer surrounds an aqueous interior. See Fig. 14, part c. Liposomes are advantageous for therapeutic uses because they easily fuse with a plasma membrane and can also be used to trap substances in their inner aqueous compartment. The substance can be one that is only soluble in aqueous solutions. In one embodiment, a solution comprising a lipopeptide and another
- 10 amphipathic molecule can be sonicated to produce liposomes. In another embodiment, the lipopeptide alone can be sonicated to produce liposomes. In a preferred embodiment, the liposome comprises daptomycin or a daptomycin-related lipopeptide such as A54145, a lipopeptide disclosed in United States Patent 4,537,717, 4,482,487, Re. 32,311, Re. 32,310, 5,912,226, currently in reissue as United States Serial No. 09/547,357, United
- 15 States Provisional Applications Nos. 60/170,943, 60/170,946 or 60/170,945, filed December 15, 1999, United States Provisional Application No. 60/208,222, filed May 30, 2000, or A-21978 antibiotic in which the n-decanoyl fatty acid side chain of daptomycin is replaced by an n-octanoyl, n-nonanoyl, n-undecanoyl, –dodecanoyl, n-tridecanoyl or ntetradecanoyl fatty acid side chain. In a more preferred embodiment, the lipopeptide is
- 20 daptomycin.

In another preferred embodiment, the liposomes comprise one or more therapeutic substances in their inner aqueous compartments. In a preferred embodiment, the therapeutic substance is an antibiotic, an anti-inflammatory or an anti-fungal agent. In a more preferred embodiment, the therapeutic substance is an antibiotic or antifungal

25 agent disclosed *infra*. In another preferred embodiment, the therapeutic substance is soluble in aqueous solution. In another preferred embodiment, a pharmaceutical composition comprises the liposome.

In a preferred embodiment, a pharmaceutical composition comprises lipopeptide micelles or lipopeptide micelle containing a therapeutic substance. The

lipopeptide micelles may be spherical micelles, mixed micelles or liposomes. Pharmaceutical compositions comprising lipopeptide micelles may minimize local irritation upon injection or when administered intravenously. In one embodiment, the pharmaceutical composition comprises a salt, a buffer to maintain a particular pH and

- 5 micelles. In a further embodiment, the pharmaceutical composition comprises one or more agents to stabilize the micelles and/or to stabilize the lipopeptide or other therapeutic substance. In one embodiment, the pharmaceutical composition also comprises one or more therapeutic substances. In a preferred embodiment, the therapeutic substance is an antibiotic, an anti-inflammatory or an antifungal agent. In a
- 10 more preferred embodiment, the therapeutic substance is an antibiotic or antifungal agent disclosed *infra*. The therapeutic substance can be in addition to the therapeutic substance that is incorporated into the micelle, or can be the therapeutic agent that is incorporated into the micelle.
- The pharmaceutical composition can be dried or lyophilized, in which case the micelles are formed when either an aqueous solution, such as water or a buffer is added to the pharmaceutical composition. In a preferred embodiment, the pharmaceutical composition is lyophilized and contains a physiological concentration of salt when reconstituted and a buffer that maintains a pH at which micelles spontaneously form at room temperature when sterile water or other buffer is added. In an even more preferred
- 20 embodiment, the pharmaceutical composition comprises daptomycin or related lipopeptide, such as A54145, the daptomycin-related lipopeptides disclosed *supra*, or an A-21978 antibiotic in which the n-decanoyl fatty acid side chain of daptomycin is replaced by an n-octanoyl, n-nonanoyl, n-undecanoyl, n-dodecanoyl, n-tridecanoyl or ntetradecanoyl fatty acid side chain. In an even more preferred embodiment, the
- 25 lipopeptide is daptomycin. In another embodiment, the pharmaceutical composition is aqueous. This is preferred when liposomes are used. In a preferred embodiment, the pharmaceutical composition comprises a stabilizing agent for the liposomes.

In another aspect of the invention, the micellar solution is isolated and/or purified. In one embodiment, micelles are isolated from smaller substituents by

ultrafiltration. The choice of ultrafiltration membrane will be based upon the size of the micelle. In general, a 10,000 NMW or 30,000 NMW membrane will be sufficient to retain micelles while permitting smaller substituents, such as contaminants to flow through. In another embodiment, micelles can be isolated and/or purified by dialysis,

5 density gradient centrifugation or size exclusion chromatography. These methods are well-known in the art. In one embodiment, the micelles are more than 30% pure, where purity is measured as the weight of the micelles compared to the weight of monomeric forms of the lipopeptide or of other molecules. In a preferred embodiment, the micelles are more than 50%, 60%, 70%, 80%, 90% or 95% pure.

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In another aspect of the invention, the ability to form lipopeptide micelles and then to disassociate them by altering temperature, pH, electrolyte concentration and/or lipopeptide concentration provides a method for purifying lipopeptides. In one embodiment, the method comprises purifying lipopeptides from low molecular weight contaminants by subjecting lipopeptides to conditions in which the lipopeptides form micelles and then separating the micelles from the contaminants by a size selection

technique, such as ultrafiltration or size exclusion chromatography. In another
embodiment of the invention, the method comprises concentrating lipopeptides by
subjecting lipopeptides to conditions in which the lipopeptides form micelles and then
concentrating them by a size selection technique. In a more preferred embodiment, the
method comprises both purification and concentration as a single step.

In another embodiment of the invention, the method comprises purifying a lipopeptide from high molecular weight contaminants, including pyrogens (e.g., lipopolysaccharide), by subjecting the lipopeptide to conditions under which the lipopeptide is monomeric and then separating the monomeric lipopeptide solution from

25 the high molecular weight contaminants by a size separation technique. In a preferred embodiment, the size separation technique is ultrafiltration, as discussed *supra*. In another preferred embodiment, the lipopeptide is daptomycin or related lipopeptide, such as A54145, the daptomycin-related lipopeptides disclosed *supra*, or an A-21978 antibiotic in which the n-decanoyl fatty acid side chain of daptomycin is replaced by an n-octanoyl,

n-nonanoyl, n-undecanoyl, n-dodecanoyl, n-tridecanoyl or n-tetradecanoyl fatty acid side chain. In an even more preferred embodiment, the lipopeptide is daptomycin.

A preferred embodiment of the process chromatography method using micelles to purify daptomycin is described below:

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*Streptomyces roseosporus* is fermented with a feed of n-decanoic acid as described *supra*. After fermentation, the extracellular solution is clarified as described *supra*.

The clarified preparation is then applied to an anion exchange resin, such as FP-DA 13, as described *supra*. Daptomycin is eluted from the column with one to three column volumes of an elevated salt buffer containing 300 to 500 mM NaCl.

The eluted daptomycin preparation is adjusted to a pH of 2.5 to 5.0 using an acid. In a preferred embodiment, the acid is dilute phosphoric acid. At pH 2.5 to 4.7, 300 to 500 mM NaCl and a temperature of 2-15°C, the daptomycin forms a micelle.

The daptomycin preparation is filtered on a 10,000 to 30,000 NMW 15 ultrafiltration membrane. During ultrafiltration, the daptomycin preparation is washed with a buffer containing 30 mM sodium acetate pH 3.5 and at temperatures of up to 15°C. The initial salt concentration is 300 mM NaCl due to the elution conditions, but the salt concentration decreases as washing continues. Because daptomycin is in micellar form, it is retained on the filter while impurities smaller than the 10,000 to 30,000 (depending

20 upon the filter used), pass through the filter. The daptomycin preparation obtained is approximately 85-90% pure.

As an optional step, the daptomycin preparation may be diluted and its pH raised to 6.5 in order to convert the daptomycin to a monomeric state. The daptomycin preparation is then be passed through a 10,000 NMW ultrafiltration membrane. This

25 optional step decreases pyrogen content significantly.

#### Methods for Analyzing Daptomycin Purity

Another embodiment of the invention provides analytical methods for measuring the purity of daptomycin.

In the prior art, many of the contaminants that co-purified with daptomycin were unresolved or unidentified because the ability to visualize and measure impurities was limited by the analytical methods and equipment available. See, e.g., United States Patent 4,874,843 and Kirsch et al. The development of more sensitive analytical HPLC

- 5 systems and techniques permits the resolution of a number of contaminants that exist in daptomycin batches prepared by prior art methods. The higher resolution HPLC methods demonstrate that daptomycin as purified by prior art methods is contaminated with previously identified impurities, such as anhydro-daptomycin and  $\beta$ -isomer, and other, previously unknown contaminants that co-purify with daptomycin (and co-elute under the
- 10 previously established HPLC detection conditions) during the practice of prior art methods. Identification of these contaminants now permits the development of methods designed to eliminate these contaminants.

As discussed above, anhydro-daptomycin and the β-isomer were
previously described as impurities that persistently and consistently occurred during
preparation of daptomycin. Using the HPLC analyses described here, an additional
approximately twelve impurities produced during the production of daptomycin were
distinguished, some of which had previously not been identified. These impurities were
not removed after purification by the method disclosed in United States Patent 4,874,843.
At least ten of these compounds have been identified (see, e.g., Figs. 2-11). Furthermore,

- 20 at least six of these compounds are not the direct result of the reaction that produces anhydro-daptomycin and the  $\beta$ -isomer form of daptomycin, but rather are compounds produced by other, unrelated, processes that occur during the fermentation or purification of daptomycin. The method of the instant invention, described below, also significantly reduces the levels of a number of these impurities (see Examples).
- 25 Any method known in the art may be used to measure the amount of other compounds in a daptomycin preparation. Methods for identifying daptomycin contaminants include, without limitation, mass spectroscopy, infrared spectroscopy, capillary electrophoresis and nuclear magnetic resonance spectroscopy. A preferred

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method for measuring the amount of other compounds in a daptomycin preparation is HPLC.

Two methods were used to measure daptomycin impurities in the instant invention. The first method is a slightly lower resolution method than the second method.

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In both methods, a Shimadzu or HP HPLC System with PE Nelson's Turbochrom Software Version 4.1 is used. The "first" resolution method is summarized in Table 1 and the "second" resolution method is summarized in Table 2:

# TABLE 1

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5	1.	Solvent Delivery Sys Mode: Flow rate: Run time:	tem: Isocratic pumping 1.5 mL/min 30 minutes		
10	2.		cetonitrile in 0.5% $NH_4H_2PO_4$ at pH 4.5 cetonitrile in 0.5% $NH_4H_2PO_4$ at pH 4.5		
10		The target condition is to retain daptomycin at $15.0 \pm 0.5$ minutes. Solvent B may be used together with solvent A to adjust the HPLC mobile phase conditions to achieve the desired retention time.			
15	3.	Autosampler cooler:	5 (4 to 6) °C		
	4.	Injection volume:	5 $\mu$ L to 75 $\mu$ L (20 $\mu$ L normal)		
20	5.	Column:	IB-SIL (Phenomenex), C-8, $5\mu$ , 4.6 mm x 250 mm (or equivalent)		
	6.	Pre-column:	IB-SIL (Phenomenex), C-8, 5µ, 4.6 mm x 30 mm (or equivalent)		
25	7.	Detection wavelength	n: 214 nm		
	8.	Column Temperature	ambient		
30	9.	Integration:	A computer system or integrator capable of measuring peak area.		

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#### TABLE 2

5	1.	Solvent Delivery Sys Mode: Flow rate: Run time:	tem: Isocratic pumping 1.5 mL/min 75 minutes		
10	2.		acetonitrile in 0.45% NH <sub>4</sub> H <sub>2</sub> PO <sub>4</sub> at pH 3.25 acetonitrile in 0.45% NH <sub>4</sub> H <sub>2</sub> PO <sub>4</sub> at pH 3.25		
10		The target condition is approximately 35% acetonitrile in 0.45% $NH_4H_2PO_4$ at pH 3.25 (50% Solvent B) to retain daptomycin at 36.0 $\pm$ 1.5 minutes; however, the solvent ratio will be used to adjust the HPLC mobile phase composition to achieve the desired retention time.			
15					
	3.	Autosampler cooler:	5 (4 to 6) °C		
	4.	Injection volume:	5 µL to 75 µL (20 µL normal)		
20	5.	Column:	IB-SIL (Phenomenex), C-8, $5\mu$ , 4.6 mm x 250 mm (or equivalent)		
25	6.	Pre-column:	IB-SIL (Phenomenex), C-8, 5µ, 4.6 mm x 30 mm (or equivalent)		
	7.	Detection wavelength	n: 214 nm		
	8.	Column Temperature	e: 25 (22 to 28) °C		
30	9.	Integration:	A computer system or integrator capable of measuring peak area.		

#### Purified Lipopeptides, Pharmaceutical Compositions and Methods of Use Thereof

Another object of the instant invention is to provide purified lipopeptides, as well as salts, esters, amides, ethers and protected forms thereof, as well as pharmaceutical formulations comprising purified lipopeptides or its salts. In a preferred

- 5 embodiment, the lipopeptide is daptomycin or a daptomycin-related lipopeptide, as described *supra*. A further object of the instant invention is to provide pharmaceutical compositions comprising lipopeptide micelles. In a preferred embodiment, the lipopeptide micelles are micelles comprising daptomycin or one or more daptomycinrelated lipopeptides. All reference herein to lipopeptide micelles refers not only to all
- lipopeptide micelles, but specifically contemplates daptomycin, or related lipopeptide, such as A54145, the daptomycin-related lipopeptides disclosed *supra*, or an A-21978 antibiotic in which the n-decanoyl fatty acid side chain of daptomycin is replaced by an n-octanoyl, n-nonanoyl, n-undecanoyl, n-dodecanoyl, n-tridecanoyl or n-tetradecanoyl fatty acid side chain. Further, all references herein to lipopeptide micelles specifically
  contemplates spherical micelles, mixed micelles and liposomes, as discussed *supra*.

Purified lipopeptides, pharmaceutically acceptable salts thereof, or lipopeptide micelles can be formulated for oral, intravenous, intramuscular, subcutaneous, aerosol, topical or parenteral administration for the therapeutic or prophylactic treatment of diseases, particularly bacterial infections. In a preferred embodiment, the purified

- 20 lipopeptide is purified daptomycin or a daptomycin-related lipopeptide. Reference herein to "purified daptomycin," "purified daptomycin-related lipopeptide" or "purified lipopeptide" includes pharmaceutically acceptable salts thereof. Daptomycin, daptomycin-related lipopeptide or other lipopeptide micelles can be formulated using any pharmaceutically acceptable carrier or excipient that is compatible with daptomycin or
- 25 with the lipopeptide of interest. See, e.g., Handbook of Pharmaceutical Additives: An International Guide to More than 6000 Products by Trade Name, Chemical, Function, and Manufacturer, Ashgate Publishing Co., eds., M. Ash and I. Ash, 1996; The Merck Index: An Encyclopedia of Chemicals, Drugs and Biologicals, ed. S. Budavari, annual; Remington's Pharmaceutical Sciences, Mack Publishing Company, Easton, PA;

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Martindale: The Complete Drug Reference, ed. K. Parfitt, 1999; and Goodman & Gilman's The Pharmaceutical Basis of Therapeutics, Pergamon Press, New York, NY, ed. L. S. Goodman et al.; the contents of which are incorporated herein by reference, for a general description of the methods for administering various antimicrobial agents for

- 5 human therapy. Purified daptomycin, daptomycin-related lipopeptide or other lipopeptide micelles of this invention can be mixed with conventional pharmaceutical carriers and excipients and used in the form of tablets, capsules, elixirs, suspensions, syrups, wafers, creams and the like. Daptomycin, daptomycin-related lipopeptide or other lipopeptide micelles may be mixed with other therapeutic agents and antibiotics, such as discussed
- 10 herein. The compositions comprising a compound of this invention will contain from about 0.1 to about 90% by weight of the active compound, and more generally from about 10 to about 30%.

The compositions of the invention can be delivered using controlled (e.g., capsules) or sustained release delivery systems (e.g., bioerodable matrices). Exemplary delayed release delivery systems for drug delivery that are suitable for administration of the compositions of the invention are described in U.S. Patent Nos. 4,452,775 (issued to Kent), 5,239,660 (issued to Leonard), 3,854,480 (issued to Zaffaroni).

The compositions may contain common carriers and excipients, such as corn starch or gelatin, lactose, sucrose, microcrystalline cellulose, kaolin, mannitol,

20 dicalcium phosphate, sodium chloride and alginic acid. The compositions may contain croscarmellose sodium, microcrystalline cellulose, corn starch, sodium starch glycolate and alginic acid.

Tablet binders that can be included are acacia, methylcellulose, sodium carboxymethylcellulose, polyvinylpyrrolidone (Povidone), hydroxypropyl

25 methylcellulose, sucrose, starch and ethylcellulose.

Lubricants that can be used include magnesium stearate or other metallic stearates, stearic acid, silicone fluid, talc, waxes, oils and colloidal silica.

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Flavoring agents such as peppermint, oil of wintergreen, cherry flavoring or the like can also be used. It may also be desirable to add a coloring agent to make the dosage form more aesthetic in appearance or to help identify the product.

- For oral use, solid formulations such as tablets and capsules are
  particularly useful. Sustained release or enterically coated preparations may also be devised. For pediatric and geriatric applications, suspensions, syrups and chewable tablets are especially suitable. For oral administration, the pharmaceutical compositions are in the form of, for example, a tablet, capsule, suspension or liquid. The pharmaceutical composition is preferably made in the form of a dosage unit containing a
- 10 therapeutically-effective amount of the active ingredient. Examples of such dosage units are tablets and capsules. For therapeutic purposes, the tablets and capsules which can contain, in addition to the active ingredient, conventional carriers such as binding agents, for example, acacia gum, gelatin, polyvinylpyrrolidone, sorbitol, or tragacanth; fillers, for example, calcium phosphate, glycine, lactose, maize-starch, sorbitol, or sucrose;
- 15 lubricants, for example, magnesium stearate, polyethylene glycol, silica, or talc; disintegrants, for example, potato starch, flavoring or coloring agents, or acceptable wetting agents. Oral liquid preparations generally are in the form of aqueous or oily solutions, suspensions, emulsions, syrups or elixirs may contain conventional additives such as suspending agents, emulsifying agents, non-aqueous agents, preservatives,
- 20 coloring agents and flavoring agents. Oral liquid preparations may comprise lipopeptide micelles or monomeric forms of the lipopeptide. Examples of additives for liquid preparations include acacia, almond oil, ethyl alcohol, fractionated coconut oil, gelatin, glucose syrup, glycerin, hydrogenated edible fats, lecithin, methyl cellulose, methyl or propyl *para*-hydroxybenzoate, propylene glycol, sorbitol, or sorbic acid.
- 25 For intravenous (IV) use, a water soluble form of daptomycin, daptomycin-related lipopeptide or other lipopeptide can be dissolved in any of the commonly used intravenous fluids and administered by infusion. For lipopeptide micelles, the lipopeptide is dissolved in an intravenous formulation under conditions in which the lipopeptide is present at a concentration above its cmc. One having ordinary

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skill in the art may vary the pH, temperature or salt concentration following the teachings of this invention to obtain an intravenous solution comprising lipopeptide micelles. Further, one may sonicate the lipopeptide solution in order to obtain lipopeptide liposomes. Intravenous formulations may include carriers, excipients or stabilizers

5 including, without limitation, calcium, human serum albumin, citrate, acetate, calcium chloride, carbonate, and other salts. Intravenous fluids include, without limitation, physiological saline or Ringer's solution. Daptomycin or daptomycin-related lipopeptide also may be placed in injectors, cannulae, catheters and lines.

- Formulations for parenteral administration can be in the form of aqueous or non-aqueous isotonic sterile injection solutions or suspensions. These solutions or suspensions can be prepared from sterile powders or granules having one or more of the carriers mentioned for use in the formulations for oral administration. Lipopeptide micelles may be particularly desirable for parenteral administration. The compounds can be dissolved in polyethylene glycol, propylene glycol, ethanol, corn oil, benzyl alcohol,
- 15 sodium chloride, and/or various buffers. For intramuscular preparations, a sterile formulation of a lipopeptide compound or a suitable soluble salt form of the compound, for example the hydrochloride salt, can be dissolved and administered in a pharmaceutical diluent such as Water-for-Injection (WFI), physiological saline or 5% glucose.

Lipopeptide micelles may be particularly desirable for parenteral administration because they are likely to cause no local irritation at the site of injection. Without wishing to be bound by any theory, it is likely that lipopeptide micelles will cause less local irritation than monomeric lipopeptides because the lipid tails, which might cause irritation upon injection, will be sequestered in the interior of the micelle, while the peptide nucleus, which is less likely to cause local irritation than the lipid tail,

25 will be exposed to the tissue. Lipopeptide micelles may be prepared for intramuscular and parenteral preparations by following the teachings of this invention to obtain a preparation comprising lipopeptide micelles. Further, one may sonicate the lipopeptide solution in order to obtain lipopeptide liposomes. A suitable insoluble form of the compound also may be prepared and administered as a suspension in an aqueous base or a

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pharmaceutically acceptable oil base, e.g., an ester of a long chain fatty acid such as ethyl oleate.

Injectable depot forms may be made by forming microencapsulated matrices of the compound in biodegradable polymers such as polylactide-polyglycolide. Depending upon the ratio of drug to polymer and the nature of the particular polymer employed, the rate of drug release can be controlled. Examples of other biodegradable polymers include poly(orthoesters) and poly(anhydrides). Depot injectable formulations are also prepared by entrapping the drug in microemulsions that are compatible with body tissues.

10 For topical use the compounds and micelles of the present invention can also be prepared in suitable forms to be applied to the skin, or mucus membranes of the nose and throat, and can take the form of creams, ointments, liquid sprays or inhalants, lozenges, or throat paints. Such topical formulations further can include chemical compounds such as dimethylsulfoxide (DMSO) to facilitate surface penetration of the active ingredient. For topical preparations, a sterile formulation of daptomycin, daptomycin-related lipopeptide, suitable salt forms thereof, or a lipopeptide micelle may be administered in a cream, ointment, spray or other topical dressing. Topical

preparations may also be in the form of bandages that have been impregnated with purified daptomycin, daptomycin-related lipopeptide or a lipopeptide micelle

20 composition.

For application to the eyes or ears, the compounds of the present invention can be presented in liquid or semi-liquid form formulated in hydrophobic or hydrophilic bases as ointments, creams, lotions, paints or powders.

For rectal administration the compounds of the present invention can be administered in the form of suppositories admixed with conventional carriers such as cocoa butter, wax or other glyceride.

For aerosol preparations, a sterile formulation of purified daptomycin or a daptomycin-related lipopeptide or salt form of the compound may be used in inhalers, such as metered dose inhalers, and nebulizers. A sterile formulation of a lipopeptide

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micelle may also be used for aerosol preparation. Aerosolized forms may be especially useful for treating respiratory infections, such as pneumonia and sinus-based infections.

Alternatively, the compounds of the present invention can be in powder form for reconstitution in the appropriate pharmaceutically acceptable carrier at the time of delivery. If the powder form is to be reconstituted as lipopeptide micelles, the powder may comprise a buffer and/or salt such that reconstitution with a particular quantity of sterile water or saline will cause the lipopeptide to form micelles. Alternatively, the powder form may contain instructions regarding the quantity and type of pharmaceutically acceptable carrier is to be used to reconstitute the lipopeptide in order to

- 10 obtain micelles. In another embodiment, the unit dosage form of the compound can be a solution of the compound, a salt thereof, or a lipopeptide micelle in a suitable diluent in sterile, hermetically sealed ampules. The concentration of the compound in the unit dosage may vary, e.g. from about 1 percent to about 50 percent, depending on the compound used and its solubility and the dose desired by the physician. If the
- 15 compositions contain dosage units, each dosage unit preferably contains from 50-500 mg of the active material. For adult human treatment, the dosage employed preferably ranges from 100 mg to 3 g, per day, depending on the route and frequency of administration.

In a further aspect, this invention provides a method for treating an infection, especially those caused by gram-positive bacteria, in humans and other animals.

- 20 The term "treating" is used to denote both the prevention of an infection and the control of an established infection after the host animal has become infected. An established infection may be one that is acute or chronic. The method comprises administering to the human or other animal an effective dose of a compound of this invention. An effective dose is generally between about 0.1 and about 25 mg/kg purified daptomycin,
- 25 daptomycin-related lipopeptide or pharmaceutically acceptable salts thereof. The daptomycin or daptomycin-related lipopeptide may be monomeric or may be part of a lipopeptide micelle. A preferred dose is from about 1 to about 25 mg/kg of purified daptomycin or daptomycin-related lipopeptide or pharmaceutically acceptable salts

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thereof. A more preferred dose is from about 1 to 12 mg/kg purified daptomycin or a pharmaceutically acceptable salt thereof.

In one embodiment, the invention provides a method for treating an infection, especially those caused by gram-positive bacteria, in a subject with a 5 therapeutically-effective amount of daptomycin or other antibacterial lipopeptide. The daptomycin or antibacterial lipopeptide may be monomeric or in a lipopeptide micelle. Exemplary procedures for delivering an antibacterial agent are described in U.S. Patent No. 5,041,567, issued to Rogers and in PCT patent application number EP94/02552 (publication no. WO 95/05384), the entire contents of which documents are incorporated

- 10 in their entirety herein by reference. As used herein the phrase "therapeutically-effective amount" means an amount of daptomycin or antibacterial lipopeptide according to the present invention that prevents the onset, alleviates the symptoms, or stops the progression of a bacterial infection. The term "treating" is defined as administering, to a subject, a therapeutically-effective amount of a compound of the invention, both to
- 15 prevent the occurrence of an infection and to control or eliminate an infection. The term "subject", as described herein, is defined as a mammal, a plant or a cell culture. In a preferred embodiment, a subject is a human or other animal patient in need of lipopeptide compound treatment.

The lipopeptide antibiotic compound can be administered as a single daily dose or in multiple doses per day. The treatment regime may require administration over extended periods of time, e.g., for several days or for from two to four weeks. The amount per administered dose or the total amount administered will depend on such factors as the nature and severity of the infection, the age and general health of the patient, the tolerance of the patient to the antibiotic and the microorganism or

25 microorganisms involved in the infection. A method of administration is disclosed in United States Serial No. 09/406,568, filed September 24, 1999, herein incorporated by reference, which claims the benefit of U.S. Provisional Application Nos. 60/101,828, filed September 25, 1998, and 60/125,750, filed March 24, 1999.

The methods of the present invention comprise administering purified daptomycin or other lipopeptide antibiotic, or pharmaceutical compositions thereof to a patient in need thereof in an amount that is efficacious in reducing or eliminating the gram-positive bacterial infection. The daptomycin or lipopeptide antibiotic may be either

- 5 monomeric or may be present in a lipopeptide micelle. The antibiotic may be administered orally, parenterally, by inhalation, topically, rectally, nasally, buccally, vaginally, or by an implanted reservoir, external pump or catheter. The antibiotic may be prepared for opthalmic or aerosolized uses. Purified daptomycin, lipopeptide antibiotic, or pharmaceutical compositions thereof also may be directly injected or administered into
- 10 an abscess, ventricle or joint. Parenteral administration includes subcutaneous, intravenous, intramuscular, intra-articular, intra-synovial, cisternal, intrathecal, intrahepatic, intralesional and intracranial injection or infusion. In a preferred embodiment, daptomycin or other lipopeptide is administered intravenously, subcutaneously or orally.

15

The method of the instant invention may be used to treat a patient having a bacterial infection in which the infection is caused or exacerbated by any type of grampositive bacteria. In a preferred embodiment, purified daptomycin, daptomycin-related lipopeptide, other lipopeptide or pharmaceutical compositions thereof are administered to a patient according to the methods of this invention. In another preferred embodiment,

- 20 the bacterial infection may be caused or exacerbated by bacteria including, but not limited to, methicillin-susceptible and methicillin-resistant staphylococci (including *Staphylococcus aureus, Staphylococcus epidermidis, Staphylococcus haemolyticus, Staphylococcus hominis, Staphylococcus saprophyticus,* and coagulase-negative staphylococci), glycopeptide intermediary- susceptible *Staphylococcus aureus* (GISA),
- 25 penicillin-susceptible and penicillin-resistant streptococci (including Streptococcus preumoniae, Streptococcus pyogenes, Streptococcus agalactiae, Streptococcus avium, Streptococcus bovis, Streptococcus lactis, Streptococcus sangius and Streptococci Group C, Streptococci Group G and viridans streptococci), enterococci (including vancomycin-susceptible and vancomycin-resistant strains such as Enterococcus faecalis and

Enterococcus faecium), Clostridium difficile, Clostridium clostridiiforme, Clostridium innocuum, Clostridium perfringens, Clostridium ramosum, Haemophilus influenzae, Listeria monocytogenes, Corynebacterium jeikeium, Bifidobacterium spp., Eubacterium aerofaciens, Eubacterium lentum, Lactobacillus acidophilus, Lactobacillus casei,

- 5 Lactobacillus plantarum, Lactococcus spp., Leuconostoc spp., Pediococcus, Peptostreptococcus anaerobius, Peptostreptococcus asaccarolyticus, Peptostreptococcus magnus, Peptostreptococcus micros, Peptostreptococcus prevotii, Peptostreptococcus productus, Propionibacterium acnes, and Actinomyces spp.
- The antibacterial activity of daptomycin against classically "resistant" 10 strains is comparable to that against classically "susceptible" strains in *in vitro* experiments. In addition, the minimum inhibitory concentration (MIC) value for daptomycin against susceptible strains is typically 4-fold lower than that of vancomycin. Thus, in a preferred embodiment, purified daptomycin, daptomycin-related lipopeptide antibiotic, or pharmaceutical compositions thereof are administered according to the
- 15 methods of this invention to a patient who exhibits a bacterial infection that is resistant to other antibiotics, including vancomycin. In addition, unlike glycopeptide antibiotics, daptomycin exhibits rapid, concentration-dependent bactericidal activity against grampositive organisms. Thus, in a preferred embodiment, purified daptomycin, lipopeptide antibiotic, or pharmaceutical compositions thereof are administered according to the
- 20 methods of this invention to a patient in need of rapidly acting antibiotic therapy.

The method of the instant invention may be used for a gram-positive bacterial infection of any organ or tissue in the body. These organs or tissue include, without limitation, skeletal muscle, skin, bloodstream, kidneys, heart, lung and bone. The method of the invention may be used to treat, without limitation, skin and soft tissue

25 infections, bacteremia and urinary tract infections. The method of the invention may be used to treat community acquired respiratory infections, including, without limitation, otitis media, sinusitis, chronic bronchitis and pneumonia, including pneumonia caused by drug-resistant *Streptoococcus pneumoniae* or *Haemophilus influenzae*. The method of the invention also may be used to treat mixed infections that comprise different types of

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gram-positive bacteria, or which comprise both gram-positive and gram-negative bacteria, including aerobic, caprophilic or anaerobic bacteria. These types of infections include intra-abdominal infections and obstetrical/gynecological infections. The methods of the invention may be used in step-down therapy for hospital infections, including, without

- 5 limitation, pneumonia, intra-abdominal sepsis, skin and soft tissue infections and bone and joint infections. The method of the invention also may be used to treat an infection including, without limitation, endocarditis, nephritis, septic arthritis and osteomyelitis. In a preferred embodiment, any of the above-described diseases may be treated using purified daptomycin, lipopeptide antibiotic, or pharmaceutical compositions thereof.
- 10 Further, the diseases may be treated using daptomycin or lipopeptide antibiotic in either a monomeric or micellar form.

Daptomycin, daptomycin-related lipopeptide or other lipopeptide may also be administered in the diet or feed of a patient or animal. If administered as part of a total dietary intake, the amount of daptomycin or other lipopeptide can be less than 1% by weight of the diet and preferably no more than 0.5% by weight. The diet for animals can be normal foodstuffs to which daptomycin or lipopeptide can be added or it can be added to a premix.

15

The method of the instant invention may also be practiced while concurrently administering one or more antifungal agents and/or one or more antibiotics other than daptomycin or other lipopeptide antibiotic. Co-administration of an antifungal agent and an antibiotic other than daptomycin or another lipopeptide antibiotic may be useful for mixed infections such as those caused by different types of gram-positive bacteria, those caused by both gram-positive and gram-negative bacteria, or those that caused by both bacteria and fungus. Furthermore, daptomycin or other lipopeptide

25 antibiotic may improve the toxicity profile of one or more co-administered antibiotics. It has been shown that administration of daptomycin and an aminoglycoside may ameliorate renal toxicity caused by the aminoglycoside. In a preferred embodiment, an antibiotic and/or antifungal agent may be administered concurrently with purified daptomycin, other

lipopeptide antibiotic, or in pharmaceutical compositions comprising purified daptomycin or another lipopeptide antibiotic.

Co-administration of another therapeutic agent with daptomycin or another lipopeptide antibiotic may be performed using daptomycin or lipopeptide antibiotic in
either a monomeric or micellar form. As discussed *supra*, spherical lipopeptide micelles can be used to help solubilize agents that exhibit low aqueous solubility. Further, lipopeptide liposomes can be used to trap agents that are soluble in aqueous media inside the vesicle of the liposomes. By following the teachings of the specification, one having ordinary skill in the art would be able to make lipopeptide micelles comprising

10 therapeutic agents, such as anti-inflammatory agents, anti-fungal agents and other antibiotics.

Antibacterial agents and classes thereof that may be co-administered with daptomycin or other lipopeptide antibiotics include, without limitation, penicillins and related drugs, carbapenems, cephalosporins and related drugs, aminoglycosides,

- 15 bacitracin, gramicidin, mupirocin, chloramphenicol, thiamphenicol, fusidate sodium, lincomycin, clindamycin, macrolides, novobiocin, polymyxins, rifamycins, spectinomycin, tetracyclines, vancomycin, teicoplanin, streptogramins, anti-folate agents including sulfonamides, trimethoprim and its combinations and pyrimethamine, synthetic antibacterials including nitrofurans, methenamine mandelate and methenamine hippurate,
- 20 nitroimidazoles, quinolones, fluoroquinolones, isoniazid, ethambutol, pyrazinamide, paraaminosalicylic acid (PAS), cycloserine, capreomycin, ethionamide, prothionamide, thiacetazone, viomycin, eveminomycin, glycopeptide, glycylcylcline, ketolides, oxazolidinone; imipenen, amikacin, netilmicin, fosfomycin, gentamicin, ceftriaxone, Ziracin, LY 333328, CL 331002, HMR 3647, Linezolid, Synercid, Aztreonam, and
- Metronidazole, Epiroprim, OCA-983, GV-143253, Sanfetrinem sodium, CS-834,
  Biapenem, A-99058.1, A-165600, A-179796, KA 159, Dynemicin A, DX8739, DU 6681;
  Cefluprenam, ER 35786, Cefoselis, Sanfetrinem celexetil, HGP-31, Cefpirome, HMR-3647, RU-59863, Mersacidin, KP 736, Rifalazil; Kosan, AM 1732, MEN 10700,
  Lenapenem, BO 2502A, NE-1530, PR 39, K130, OPC 20000, OPC 2045, Veneprim, PD

138312, PD 140248, CP 111905, Sulopenem, ritipenam acoxyl, RO-65-5788, Cyclothialidine, Sch-40832, SEP-132613, micacocidin A, SB-275833, SR-15402, SUN A0026, TOC 39, carumonam, Cefozopran, Cefetamet pivoxil, and T 3811.

In a preferred embodiment, antibacterial agents that may be coadministered with daptomycin according to this invention include, without limitation, imipenen, amikacin, netilmicin, fosfomycin, gentamicin, ceftriaxone, teicoplanin, Ziracin, LY 333328, CL 331002, HMR 3647, Linezolid, Synercid, Aztreonam, and Metronidazole.

- Antifungal agents that may be co-administered with daptomycin or other 10 lipopeptide antibiotic include, without limitation, Caspofungen, Voriconazole, Sertaconazole, IB-367, FK-463, LY-303366, Sch-56592, Sitafloxacin, DB-289 polyenes, such as Amphotericin, Nystatin, Primaricin; azoles, such as Fluconazole, Itraconazole, and Ketoconazole; allylamines, such as Naftifine and Terbinafine; and anti-metabolites such as Flucytosine. Other antifungal agents include without limitation, those disclosed
- in Fostel et al., Drug Discovery Today 5:25-32 (2000), herein incorporated by reference.
   Fostel et al. disclose antifungal compounds including Corynecandin, Mer-WF3010,
   Fusacandins, Artrichitin/LL 15G256γ, Sordarins, Cispentacin, Azoxybacillin,
   Aureobasidin and Khafrefungin.
- Daptomycin or other lipopeptide antibiotic, including daptomycin-related 20 lipopeptides, may be administered according to this method until the bacterial infection is eradicated or reduced. In one embodiment, daptomycin or other lipopeptide is administered for a period of time from 3 days to 6 months. In a preferred embodiment, daptomycin or other lipopeptide is administered for 7 to 56 days. In a more preferred embodiment, daptomycin or other lipopeptide is administered for 7 to 28 days. In an
- 25 even more preferred embodiment, daptomycin or other lipopeptide is administered for 7 to 14 days. Daptomycin or other lipopeptide may be administered for a longer or shorter time period if it is so desired.

In order that this invention may be more fully understood, the following examples are set forth. These examples are for the purpose of illustration only and are not to be construed as limiting the scope of the invention in any way.

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#### EXAMPLE 1

A fermentation culture of *S. roseosporus* NRRL Strain 15998 is conducted in a controlled decanoic acid feed fermentation at levels that optimize the production of the antibiotic while minimizing the production of contaminants. The residual decanoic acid feed is measured by gas chromatography and the target residual level is 10 ppm decanoic acid from the start of induction (approximately at hour 30) until harvest.

- 10 decanoic acid from the start of induction (approximately at hour 30) until harvest. Centrifugation of the culture and subsequent analysis of the clarified broth are used to measure the production of daptomycin by HPLC. The harvest titer is typically between 2.1 and 2.6 grams per liter of fermentation broth.
- The fermentation is harvested either by microfiltration using a Pall-Sep or by full commercial-scale centrifugation and depth filter. The clarified broth is applied to an anion exchange resin, Mitsubishi FP-DA 13, washed with 30 mM NaCl at pH 6.5 and eluted with 300 mM NaCl at pH 6.0-6.5. Alternatively, the FP-DA 13 column is washed with 60 mM NaCl at pH 6.5 and eluted with 500 mM NaCl at pH 6.0-6.5. The eluate is applied to a HIC resin, HP-20ss, washed with 30% acetonitrile, and eluted with 35%
- 20 acetonitrile at pH 4.0-5.0. Alternatively, the HIC resin is washed with 45% isopropyl alcohol and eluted with 55-60% isopropyl alcohol. The eluate is applied to FP-DA 13 resin and washed and eluted as before. The final anion exchange step reduces solvent by one third or more. Reverse osmosis diafiltration and concentration at pH 1.5-2.5 is performed using an 0.2 µm filter and the daptomycin preparation is frozen. A final
- 25 reverse osmosis diafiltration is conducted with Water-For-Injection (WFI) to wash daptomycin and adjust its concentration prior to sterile-filling. Vials or bulk quantities of daptomycin are then lyophilized.

#### EXAMPLE 2

Daptomycin was produced in a fermentation culture of *S. roseosporus* and partially purified Daptomycin (9.9 Kg) was purified by microfiltration from 5500 liters of fermentation broth by the method described in United States Patent 4,885,243. The partially purified daptomycin was further purified by the method described in US. Pat.

- No. 4,874,843, and resulted in a bulk daptomycin preparation with a purity of 91%. The daptomycin preparation contained fourteen impurities by HPLC analysis (see Example 10). The daptomycin preparation was applied to a Poros P150 anion exchange resin (PE Biosystems) in Tris buffer pH 7.0 containing 6M urea and allowed to bind to the resin. The resin was washed with three column volumes of buffer prior to initiation of a NaCl
- gradient in the same buffer. Alternatively, the contaminants can be effectively removed from the column with a fixed salt level of 30 mM NaCl. The elution of purified daptomycin from the resin occurred at approximately 300 mM NaCl during a 0 to 1000 mM NaCl gradient. Daptomycin eluted from the column was greater than 99 % pure as measured by the "first" HPLC method. The purified daptomycin contained only one
   detectable daptomycin contaminant. Anhydro-daptomycin and β-isomer were
- undetectable (less than 0.01% contamination). The level of the unidentified contaminant was greater than 0.1% and less than 0.5%.

#### EXAMPLE 3

- A bulk daptomycin preparation with a purity of 91% was prepared as described in Example 2. The product was applied to a Poros D50 anion exchange resin (PE Biosystems) in an acetate buffer pH 7.0 containing 6M urea. The Poros D50 resin was washed and eluted in the same manner as described in Example 2. Daptomycin eluted from the column was 96.92 % pure as measured by the "second" HPLC method.
   The product of this invention contained only two of the initial fourteen impurities (less
- than 0.5% contamination). Anhydro-daptomycin could not be detected in the purified daptomycin preparation (less than 0.01% contamination and with precise quantitation at less than 0.05%).

#### EXAMPLE 4

A fermentation broth containing daptomycin was produced as described in Example 2. The fermentation broth was clarified by microfiltration. The clarified product was extracted with 20% n-butanol or iso-butanol at pH 4.5 (one part butanol to four parts clarified solution). Re-extraction of the clarified solution was performed to achieve a yield of partially purified daptomycin of greater than 90% of the total daptomycin in the clarified solution. Daptomycin was recovered from the butanol phase by the addition of a pH 6.5 aqueous buffer in a volume that is one-half or more of the volume of butanol to extract daptomycin from the butanol phase into the aqueous phase.

10 The butanol extraction step resulted in a partially purified daptomycin preparation that was purified 5-fold and concentrated 10-fold relative to the clarified solution.

The aqueous daptomycin preparation was then purified by the method disclosed in US. Pat. No. 4,874,843, resulting in daptomycin that was 91% pure. Daptomycin contained fourteen impurities. The product was applied to a Poros D50 resin

15 in a Tris buffer at pH 7.0 containing 6M urea. The resin was washed with three bed volumes of Tris buffer at pH 7.0 containing 6M urea prior to initiation of a NaCl gradient from 0 to 1000 mM in the same buffer. Elution of purified daptomycin from the resin occurred at approximately 300 mM NaCl. Daptomycin was 98% pure as measured by the "second" HPLC method.

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#### EXAMPLE 5

Daptomycin is fermented as described in Example 2. The 5500 liters fermentation broth contains 13 Kg daptomycin. The fermentation broth is directly extracted with 20% n-butanol at pH 4.5, which partitions daptomycin into the butanol.

25 Re-extractions of the fermentation broth with butanol are performed to achieve a yield of greater than 90% of the total daptomycin in the fermentation broth. The butanol phase is extracted with an aqueous acetate buffer at pH 6.5, resulting in daptomycin that is purified 5-fold (35%) and concentrated 10-fold relative to the fermentation broth. The aqueous daptomycin is microfiltered by the method described in United States Patent

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4,885,243, then purified by the method of US. Pat. No. 4,874,843. This method results in daptomycin with a purity of approximately 91%. Daptomycin contains 14 impurities by the HPLC method used at the time of the prior art. The product is applied to a Poros D50 resin column in a acetate buffer at pH 7.0 containing 6M urea. Washing and elution of the resin is performed as indicated in Example 2. The product of the chromatographic

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#### EXAMPLE 6

Daptomycin was produced in a fermentation culture of *S. roseosporus* 10 except a reduced residual decanoic acid feed was used in order to improve the quality of the fermentation to about 10% purity when clarified by microfiltration or centrifugation. The decanoic acid level was monitored and periodically adjusted to maintain the residual decanoic acid levels at less than 50 ppm and preferably between 1 and 10 ppm during fermentation. The fermentation broth was microfiltered by the method described in

step is approximately 98% to 99% pure as measured by the second HPLC method.

- 15 United States Patent 4,885,243 to produce 12.1 Kg partially purified daptomycin from 5500 liters of fermentation broth. Clarified fermentation broth was bound to the anion exchanger, FP-DA 13 (Mitsubishi) in acetate buffer at neutral pH, washed in acetate buffer containing 30 mM NaCl, and subsequently eluted with acetate buffer at 300 mM NaCl. This anion exchange step produced daptomycin with a purity of greater than 70%.
- 20 This partially purified daptomycin was further purified by the method of United States Patent 4,874,843 with the modification that HP-20ss resin was used. Specifically, the partially purified daptomycin was loaded on HP-20ss in acetate buffer containing 10% acetonitrile, washed with acetate buffer containing 30% acetonitrile and eluted with 40% acetonitrile in acetate buffer, resulting in daptomycin with a purity of about 94 to 96% as
- 25 measured by the "second" HPLC method. The product is subjected to modified buffer enhanced anion exchange chromatography using Poros D50 resin as described in Example 5. Daptomycin is greater than 99 % pure and contains only two of the fourteen impurities produced by methods described in the prior art.

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#### EXAMPLE 7

A daptomycin preparation with a purity of 93% was prepared as described in Example 2. The product was applied to a Poros P150 resin (PE Biosystems) in an acetate buffer pH 6.0 containing 2M urea. The Poros P150 resin was washed with three

- 5 column volumes of the buffer. Daptomycin was eluted from the resin using a 0 to 400 mM NaCl gradient in the acetate buffer pH 6.0 containing 2M urea. Daptomycin eluted between 150 and 300 mM NaCl. Daptomycin eluted from the column was 99.0 to 99.5 % pure as measured by the "first" HPLC method. Daptomycin contained trace amounts of four impurities that were less than 1% of the total of daptomycin. Anhydro-daptomycin
- 10 could not be detected in the purified daptomycin preparation (less than 0.02% contamination).

#### EXAMPLE 8

A daptomycin preparation with a purity of 93% was prepared as described in Example 2. The product was applied to a Poros P150 resin (PE Biosystems) in an acetate buffer pH 6.0 containing 2M urea. The column was washed with six column volumes of 60 mM NaCl in acetate buffer pH 6.0 containing 2M urea (the "wash buffer").

5 The wash buffer may vary from 50-75 mM NaCl. The wash removes virtually all anhydro-daptomycin. Daptomycin is eluted with sixteen column volumes of 250 mM NaCl in acetate buffer pH 6.0 containing 2M urea. Daptomycin is 98.5 to 99.5% pure as measured by the "first" HPLC method.

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#### EXAMPLE 9

A daptomycin preparation as described in Example 2 was prepared using a method that significantly reduced the concentration of solvent required to perform the HP-20ss chromatography. Unexpectedly, the solvent for elution of daptomycin, 40% acetonitrile or 55-60% isopropyl alcohol, was reduced to 12% and 25%, respectively, when HP-20ss chromatography was conducted at neutral pH rather than acidic pH as

15 when HP-20ss chromatography was conducted at neutral pH rather than acidic pH as described in United States Patent 4,874,843. In a preferred embodiment, pH shifts can be used to recycle the HP-20ss resin without solvent removal.

After elution from a FP-DA13 column at pH 6.5-7.0, daptomycin is loaded on an equilibrated HP-20ss column, such as one that has been equilibrated in 60 mM 20 acetate, pH 6.6. The column is washed with five to eight column bed volumes (CBV) wash buffer. An exemplary wash buffer is 5% isopropyl alcohol/60mM acetate, pH 6.6. Daptomycin is eluted from the column with elution buffer. An exemplary elution buffer is two to three CBV 25% isopropyl alcohol/60 mM acetate pH 6.6. The column is stripped with strip buffer. In one embodiment, the column is stripped with one CBV 40%

25 isopropyl alcohol/60 mM acetate pH 6.6-7.0. The daptomycin solution is adjusted to pH 3.5-4.0 and is reloaded on to the HP-20ss column in order to further enhance purity. In one embodiment, the daptomycin eluted from the HP-20ss column at pH 6.5 is adjusted to pH 3.5 using 0.25M phosphoric acid. The daptomycin solution is reloaded on the previously stripped HP-20ss column that has been equilibrated in 60 mM acetate, pH 3.5.

The column is washed with a pH adjusting buffer such that the pH is 6.5. An exemplary pH adjusting buffer is five to eight CBV 5% isopropyl alcohol/60 mM acetate, pH 6.6. The daptomycin is eluted with elution buffer and may be further purified by anion exchange or other purification methods, if desired. The HP-20ss column is stripped with strip buffer and cleaned prior to reuse. An exemplary cleaning process includes washing

5 strip buffer and cleaned prior to reuse. An exemplary cleaning process includes washing with three CBV 0.5M NaOH, washing with one CBV water, and then washing with 0.25M phosphoric acid prior to equilibration. The column may be stored in 0.5M NaOH.

#### EXAMPLE 10

Bulk daptomycin prepared as described in Example 2 was characterized via semi-preparative HPLC and characterized by liquid chromatography/mass spectroscopy (LC/MS) using both positive and negative ion modes. An impurity profile of the bulk daptomycin prior to chromatography on the Poros P150 anion exchange resin is shown in Table 3 and a chromatogram of the bulk daptomycin preparation is shown in
 Fig. 12.

Table 3	Tal	ble	3
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Impurity	Retention	Observed	Lilly ID	Cubist	% of Total Area
ID	Time	MW		ID	by HPLC
1	7.96	1638	LY212218	CB-131012	>0.5%, <1.0%
2	9.11	1638		CB-131011	<0.5%, >0.1%
3	11.54	745	LY213928	CB-131008	>0.5%, <1.0%
4	12.28	1624		CB-131006	<0.5%, >0.1%
5	13.10	1618		Unknown-1	<0.5%, >0.1%
6	14.43	587	LY213827	CB-130989	>0.5%, <1.0%
7	14.43	1606		CB-131005	>0.5%, <1.0%
8	15.10	1620	LY213846	CB-131010	>1.0%, <4.0%
Dapto- mycin	16.68	1620	LY146032	CB-109187	>90%
9	17.92	874		Unknown-2	<0.5%, >0.1%
10	19.57	1810		Unknown-3	<0.5%, >0.1%
11	19.57	1635		Unknown-4	<0.5%, >0.1%
12	20.93	859		CB-131009	<0.5%, >0.1%
13	23.11	1602	LY178480	CB-130952	>1.0, < 4.0%
14	24.53	1634	LY109208	CB-131078	<0.1

Impurity 1 (CB-131012), which elutes at approximately 7.96 minutes,

(MW: 1638) is proposed to be a lactone hydrolysis product of daptomycin (Fig. 4). The
results seem to match LY212218 as previously identified by Lilly as a decyl ring opened derivative of daptomycin.

*Impurity 2* (CB-131011), which elutes at approximately 9.11 minutes, (MW: 1638) is also proposed to be a lactone hydrolysis product of the β-isomer (Fig. 5). *Impurity 3* (CB-131008), which elutes at approximately 11.54 minutes,

10 (MW: 745) is proposed to be a linear lipopeptide consisting of a five amino acid chain

containing tryptophan, asparagine, aspartate, threonine and glycine with a decanoic acid chain (Fig. 6). This result seems to match LY213928 as previously identified by Lilly.

*Impurity 4* (CB-131006), which elutes at approximately 12.28 minutes, (MW: 1624) is proposed to be an oxidative analog of daptomycin in which the amino acid tryptophan has been oxidized to kynuric acid (Fig. 7).

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*Impurity 5*, which elutes at approximately 13.10 minutes, (MW: 1618) has not yet been assigned a structure.

*Impurity 6* (CB-130989) and *Impurity 7* (CB-131005) co-elute at approximately 14.43 minutes. CB-130989 (MW: 587) seems to match LY213827 a

10 linear lipopeptide consisting of a three amino acid chain of tryptophan, asparagine and aspartate with a decanoic acid chain (Fig. 8), as previously identified by Lilly. CB-131005 (MW:1606) corresponds to a daptomycin analog in which the decanoic acid lacks one methyl group (Fig. 9).

Impurity 8 (CB-131010), elutes at approximately 15.10 minutes, (MW:

15 1620) matches LY213846 (β-isomer) as previously identified by Lilly (Fig. 2). Levels of β-isomer are greater than 1%.

*Impurity 9*, which elutes at approximately 17.92 minutes (MW: 874), has not yet been assigned a structure.

*Impurity 10 and 11*, which co-elute at approximately 19.57 minutes, have not been assigned a structure.

*Impurity 12* (CB-131009), which elutes at 20.93 minutes (MW: 859), is proposed to be a linear lipopeptide consisting of a six amino acid chain of tryptophan, asparagine, aspartate, threonine, glycine and ornithine with a decanoic acid chain (Fig. 10).

*Impurity 13* (CB-130952), which elutes at approximately 23.11 minutes (MW: 1602), is proposed to be anhydro-daptomycin (Fig. 3), and appears to be the same as LY178480. Levels of anhydro-daptomycin are greater than 1%.

*Impurity 14* (CB-131078), which elutes at approximately 24.53 minutes (MW: 1634), appears to be the same as LY109208, previously identified by Lilly as a

daptomycin analog containing an extra methyl group in the decanoic acid chain (Fig. 11).

The bulk daptomycin may be purified on Poros P150 as described above in Examples 2 or 7-8 or may be purified on Poros D50 as described above in Examples 3-5. After purification on Poros P150 as described in Example 2, a chromatogram (Fig. 13)

5 shows that daptomycin purity is greater than 99.0%, with β-isomer and anhydrodaptomycin below the level of detection (less than 0.05% of total). There is one unidentified impurity which is present in a quantity of greater than 0.1% but less than 0.5%.

#### **EXAMPLE 11**

A fermentation culture of *S. roseosporus* NRRL Strain 15998 is conducted in a controlled decanoic acid feed fermentation at levels that optimize the production of the antibiotic while minimizing the production of contaminants. The residual decanoic acid feed is measured by gas chromatography and the target residual level is 10 ppm decanoic acid from the start of induction (approximately at hour 30) until harvest. Centrifugation of the culture and subsequent analysis of the clarified broth are used to measure the production of daptomycin by HPLC. The harvest titer is typically between 1.0 and 3.0 grams per liter of fermentation broth.

The fermentation is harvested either by microfiltration using a Pall-Sep or by full commercial-scale centrifugation and depth filter. The clarified broth is applied to an anion exchange resin, Mitsubishi FP-DA 13, washed with 30 mM NaCl at pH 6.5 and eluted with 300 mM NaCl at pH 6.0-6.5. Alternatively, the FP-DA 13 column is washed with 60 mM NaCl at pH 6.5 and eluted with 500 mM NaCl at pH 6.0-6.5. The pH is adjusted to 3.0 to 4.8 and the temperature is adjusted to 2-15°C. Under these conditions, daptomycin forms a micelle. The micellar daptomycin solution is purified by washing the micellar preparation while it is retained on a ultrafilter using a 10,000 NMW filter (AG Technology Corp. UF hollow fiber or equivalent) in any configuration. The daptomycin micelles are retained by the filter, but a large number of impurities are eliminated because they pass through the 10,000 NMW filter. Ultrafiltration of

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daptomycin micelles increases daptomycin purity from approximately 40% to 80% or greater.

The eluate is applied to a HIC resin, HP-20ss, washed with 30% acetonitrile, and eluted with 35% acetonitrile at pH 4.0-5.0. Alternatively, the HIC resin is washed with 20-30% isopropyl alcohol and eluted with 30-40% isopropyl alcohol at pH 3.5-6.5. Under these conditions of increased solvent and a higher pH of 6.0-7.5, daptomycin reverts to a single, non-micelle state. The eluate is applied to FP-DA 13 resin column and washed and eluted as before. The final anion exchange step reduces solvent by one third or more. Reverse osmosis diafiltration and concentration at pH 1.5-2.5 is

10 performed using an 0.2 µm filter and the daptomycin preparation is frozen. A final reverse osmosis diafiltration is conducted with Water-For-Injection (WFI) to wash daptomycin and adjust its concentration prior to sterile-filling. Vials or bulk quantities of daptomycin are then lyophilized.

#### EXAMPLE 12

Lyophilized daptomycin purified as described in any of the abovedescribed examples, such as that described in Example 11, is reconstituted in physiologic saline (approximately 140 mM NaCl) at a pH of 4.0-5.0. Under these conditions, daptomycin is present as a micelle, and can be used for injection or intravenous,

20 parenteral, oral or topical administration.

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#### EXAMPLE 13

Daptomycin is produced by fermentation and clarified from the broth by microfiltration as described in Example 11. The clarified broth is applied to an anion exchange resin, Mitsubishi FP-DA 13, washed with 30 mM NaCl at pH 6.5 and eluted with 300 mM NaCl at pH 6.0-6.5 to give a daptomycin preparation that is approximately 40% pure. The eluate is adjusted to pH 3.5 with dilute phosphoric acid such that virtually all of the daptomycin forms micelles. The micelle preparation is loaded on a 10,000 NMW ultrafiltration membrane. The daptomycin preparation is washed with 30 mM

sodium acetate pH 3.5 and at temperatures of up to 15°C. The reduction in volume and washing lowers the contamination level, which results in an 85% pure daptomycin preparation. The daptomycin preparation can be further purified using any of the methods described herein.

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#### EXAMPLE 14

Daptomycin is produced by fermentation, clarified from the broth by microfiltration, and fractionated on the FP-DA 13 resin as described in Example 11. The eluate is adjusted to pH 3.5 with dilute phosphoric acid such that virtually all of the

10 daptomycin forms micelles. The micelle preparation is loaded on a 10,000 NMW ultrafiltration membrane. The daptomycin preparation is washed with 30 mM sodium acetate pH 3.5 and at temperatures of up to 15°C. The reduction in volume and washing lowers the contamination level, which results in an 80-90% pure daptomycin preparation. The daptomycin preparation can be further purified using any of the methods described

15 herein.

#### EXAMPLE 15

Daptomycin is produced by fermentation and clarified from the broth using microfiltration as described in Example 11. The preparation is purified using 20 hydrophobic interaction chromatography, as described in United States Patent 4,874,843, herein incorporated by reference. In this method, repeated column chromatography on HP-20 and HP-20ss resin is used. Daptomycin purity is 93% with visible impurities on HPLC chromatographs and measurable pyrogen. The product is diluted in water and its pH was adjusted to pH 6.5 with NaOH or the equivalent. The daptomycin preparation is

25 filtered through a 10,000 NMW ultrafiltration membrane. Under these conditions, daptomycin is monomeric and passes through the ultrafiltration membrane. The resulting product remains 93% pure, but several impurities that had been present at 0.1-0.2% are removed by the ultrafiltration membrane. In addition, pyrogen content is reduced to undetectable levels.

#### EXAMPLE 16

A daptomycin preparation of approximately 93% purity is prepared as described in Example 15. The daptomycin preparation is converted to a micellar state by lowering the pH to 4.7 with HCl or equivalent and chilling the daptomycin preparation to 2-5°C. The product is concentrated from 400 liters to three liters and to a final concentration of approximately 100 mg/ml by filtration on a 10,000 NMW ultrafiltration membrane. Under these conditions, daptomycin is retained by the membrane. This results in a large increase in daptomycin concentration. The purity is approximately 93%.

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#### EXAMPLE 17

A daptomycin preparation is prepared as described in Example 16. Vials are filled with approximately 250 mg daptomycin and lyophilized. The daptomycin is reconstituted in 50 ml of sterile 150 mM saline at a pH of 4.0-5.0 for administration to a human or animal patient. The dose of daptomycin that is administered will depend upon the nature of the infection, the age and weight of the patient, and the species of animal. At a pH of 4.0-5.0 in 150 mM saline, the daptomycin will be present in a micellar state, which is soluble and suitable for intravenous, intramuscular or parenteral injection. The formulation will minimize any local irritation due to the lipopeptide nature of

20 daptomycin.

#### EXAMPLE 18

Daptomycin micelles were produced using daptomycin at a concentration of 1.0 mg/mL in water at pH 4.0 at 25°C. The size of a daptomycin micelle was 25 measured using a Zetasizer<sup>™</sup> (Malvern Instruments, Model 3000 HS). The count rate of 36.3, the cell type was a capillary cell, the detection angle (deg) was 90°, and the wavelength (nm) was 633. Results indicated that the diameter of the micelle was 54 A, which is about twice the diameter of a single monomeric daptomycin molecule. See Fig.

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All publications and patent applications cited in this specification are herein incorporated by reference as if each individual publication or patent application were specifically and individually indicated to be incorporated by reference. Although the foregoing invention has been described in some detail by way of illustration and example

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for purposes of clarity of understanding, it will be readily apparent to those of ordinary skill in the art in light of the teachings of this invention that certain changes and modifications may be made thereto without departing from the spirit or scope of the
appended claims.

We claim:

1. A composition comprising

(a) essentially pure daptomycin,

(b) daptomycin that is substantially free of anhydro-daptomycin and substantiallyfree of β-isomer of daptomycin,

(c) daptomycin that is essentially free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin,

(d) daptomycin that is free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin,

10 (e) daptomycin that is substantially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12,

(f) daptomycin that is essentially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12, or

(g) substantially pure daptomycin.

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2. The composition of claim 1 comprising essentially pure daptomycin.

3. The composition of claim 1 compromising daptomycin that is substantially free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin.

4. The composition according to claim 3 that is essentially free ofanhydro-daptomycin.

5. The composition according to claim 3 that is free of anhydro-

daptomycin.

6. The composition of claim 1 that is substantially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12.

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7. The composition according to claim 6 that is essentially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12.

8. The composition of claim 1, wherein daptomycin purity is measured by HPLC.

9. The composition of claim 1 further comprising a pharmaceutically acceptable carrier or excipient.

10. A pharmaceutical composition according to claim 9, further comprising one or more antibiotics, one or more antifungal agents, or both an antibiotic and an antifungal agent.

11. The composition according to claim 1 wherein the daptomycin is purified by a process comprising the steps of:

a) supplying a fermentation broth;

b) fermenting *Streptomyces roseosporus* with a feed of n-decanoic acid to produce daptomycin in the fermentation broth;

c) clarifying the fermentation broth to obtain a clarified solution;

d) subjecting the clarified solution to anion exchange chromatography to obtain an enriched daptomycin preparation;

e) subjecting the enriched daptomycin preparation to hydrophobic

15 interaction chromatography to obtain a semi-purified daptomycin preparation; and

f) subjecting the semi-purified daptomycin preparation to anion exchange chromatography to obtain the composition of claim 1.

12. The composition according to claim 11, wherein the feed of n-decanoic acid is regulated to achieve a residual concentration of n-decanoic acid of no more than

20 50 parts per million (ppm) during fermentation.

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13. The composition according to claim 11, wherein said clarifying comprises filtration or centrifugation and depth filtration.

14. The composition according to claim 11, wherein the anion exchange chromatography in d) is performed using a resin comprising a copolymer of 2-

25 methacrylic acid and ethyleneglycol dimethacrylate (EDGM).

15. The composition according to claim 11, wherein the hydrophobic interaction chromatography is performed using a resin comprising a co-polymer of cross-linked divinylbenzene/stryene.

16. The composition according to claim 15, wherein the hydrophobic interaction chromatography is performed at neutral pH and a solvent concentration that is reduced compared to the solvent concentration used when performing the hydrophobic interaction chromatography at acidic pH.

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17. The composition according to claim 16, wherein the resin is recycled by loading the column at an acidic pH and eluting the column at a neutral pH.

18. The composition according to claim 11, wherein the anion exchange chromatography in f) is performed using a resin comprising a copolymer of 2-methacrylic acid and ethyleneglycol dimethacrylate (EDGM).

19. The composition according to claim 11, wherein the anion exchange chromatography is used to reduce the level of solvent in the clarified solution.

20. The composition according to claim 11, wherein the anion exchange chromatography is performed via continuous flow chromatography.

21. The composition according to claim 11, wherein the process furthercomprises the step of filtering daptomycin.

22. The composition according to claim 11, wherein the process further comprises the step of depyrogenating daptomycin using ultrafiltration.

23. The composition according to claim 22 wherein said depyrogenating comprises the steps of:

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i) providing a daptomycin solution under conditions in which the daptomycin is in a monomeric and nonmicellar state;

ii) filtering the daptomycin solution under conditions in which the daptomycin passes through the filter but pyrogens do not pass through the filter;

iii) subjecting the daptomycin solution to conditions forming a daptomycin aggregate;

iv) filtering the daptomycin aggregate under conditions in which the daptomycin aggregate is retained on the filter; and

v) collecting the daptomycin aggregate.

24. The composition according to claim 22, wherein the process further comprises the step of lyophilizing daptomycin.

25. The composition according to claim 22, wherein the anion exchange chromatography is performed via radial flow chromatography.

26. The composition according claim 11, wherein said clarifying comprises microfiltration or centrifugation.

27. The composition according to claim 11, wherein the process further comprises the steps of filtering and concentrating daptomycin.

28. The composition according to claim 11, wherein the process furthercomprises the step of separating the enriched daptomycin from low molecular weight material by ultrafiltration.

29. The composition according to claim 28, wherein the process further comprises the step of depyrogenating the daptomycin.

30. The composition of claim 1 comprising substantially pure daptomycin.

31. The pharmaceutical composition of claim 9 comprising essentially pure daptomycin.

32. The pharmaceutical composition of claim 9 comprising daptomycin that is substantially free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin.

20 33. The pharmaceutical composition of claim 9 comprising daptomycin that is essentially free of anhydro-daptomycin and substantially free of β-isomer of daptomycin.

34. The pharmaceutical composition of claim 9 comprising daptomycin that is free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin.

35. The pharmaceutical composition of claim 9 comprising daptomycin that is substantially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12.

36. The pharmaceutical composition of claim 9 comprising daptomycin that is essentially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG.

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12.

37. The pharmaceutical composition of claim 9 comprising substantially pure daptomycin.

38. A method for preparing a pharmaceutical composition comprisingcombining the composition of claim 1 with a pharmaceutically acceptable carrier or excipient.

39. The method of claim 38 wherein the composition is essentially pure daptomycin.

40. The method of claim 38 wherein the composition is daptomycin that is
 substantially free of anhydro-daptomycin and substantially free of β-isomer of daptomycin.

41. The method of claim 38 wherein the composition is daptomycin that is essentially free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin.

42. The method of claim 38 wherein the composition is daptomycin that isfree of anhydro-daptomycin and substantially free of β-isomer of daptomycin.

43. The method of claim 38 wherein the composition is daptomycin that is substantially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12.

44. The method of claim 38 wherein the composition is daptomycin that is essentially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12.

20 45. The method of claim 38 wherein the composition is substantially pure daptomycin.

46. A pharmaceutical composition prepared by the method of claim 38.

47. The pharmaceutical composition of claim 46 wherein the composition is essentially pure daptomycin.

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48. The pharmaceutical composition of claim 46 wherein the composition is daptomycin that is substantially free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin.

49. The pharmaceutical composition of claim 46 wherein the composition is daptomycin that is essentially free of anhydro-daptomycin and substantially free of

 $\beta$ -isomer of daptomycin.

50. The pharmaceutical composition of claim 46 wherein the composition is daptomycin that is free of anhydro-daptomycin and substantially free of  $\beta$ -isomer of daptomycin.

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51. The pharmaceutical composition of claim 46 wherein the composition is daptomycin that is substantially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12.

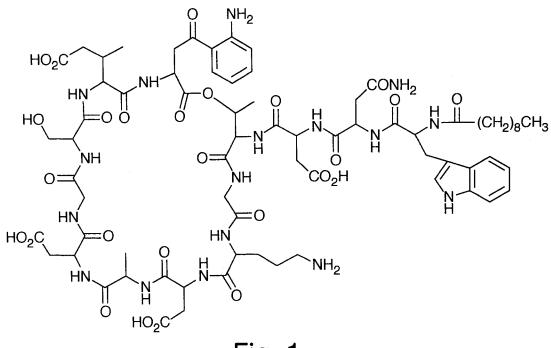
52. The pharmaceutical composition of claim 46 wherein the composition is daptomycin that is essentially free of each of impurities 1 to 14 defined by peaks 1-14 shown in FIG. 12.

53. The pharmaceutical composition of claim 46 wherein the composition is substantially pure daptomycin.

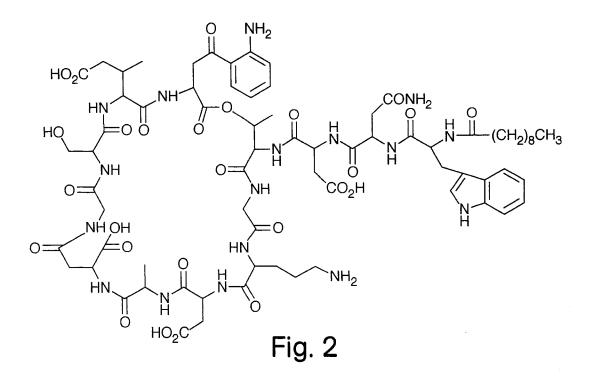
### ABSTRACT

The invention discloses highly purified daptomycin and to pharmaceutical compositions comprising this compound. The invention discloses a method of purifying daptomycin comprising the sequential steps of anion exchange chromatography,

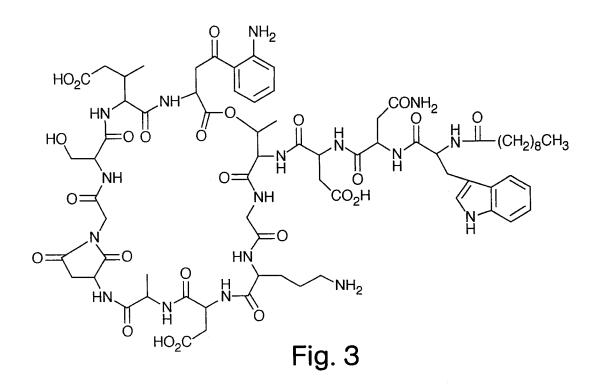
- 5 hydrophobic interaction chromatography and anion exchange chromatography. The invention also discloses a method of purifying daptomycin by modified buffer enhanced anion exchange chromatography. The invention also discloses an improved method for producing daptomycin by fermentation of *Streptomyces roseosporus*. The invention also discloses high pressure liquid chromatography methods for analysis of daptomycin purity.
- 10 The invention also discloses lipopeptide micelles and methods of making the micelles. The invention also discloses methods of using lipopeptide micelles for purifying lipopeptide antibiotics, such as daptomycin. The invention also discloses using lipopeptide micelles therapeutically.

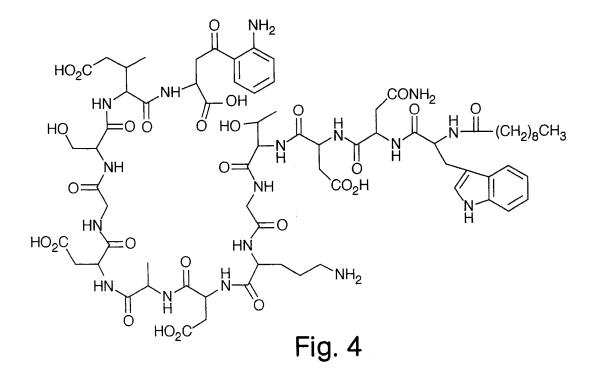






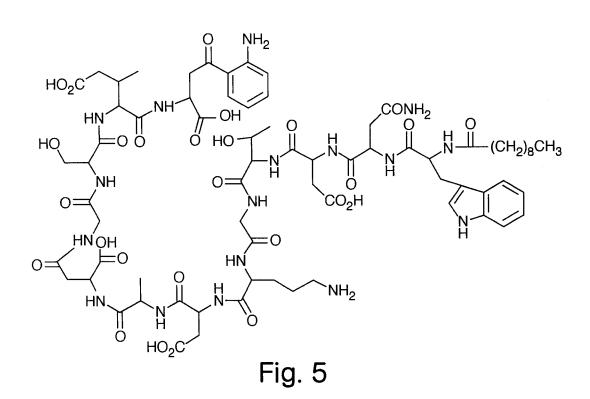
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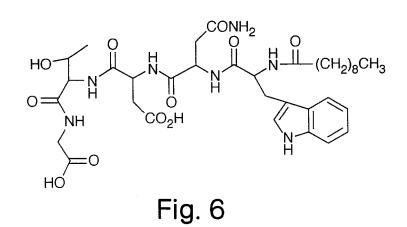




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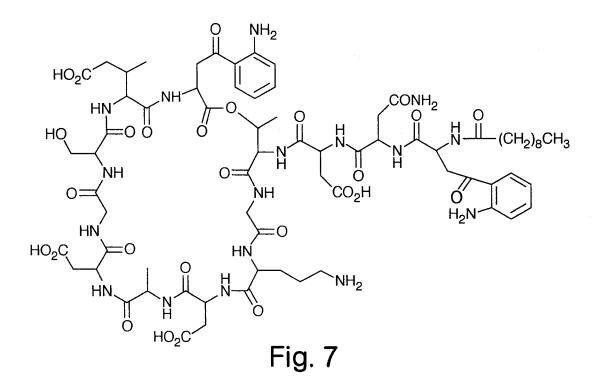
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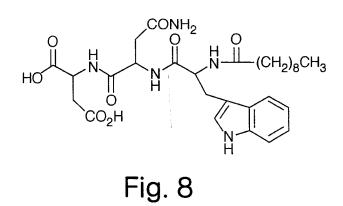




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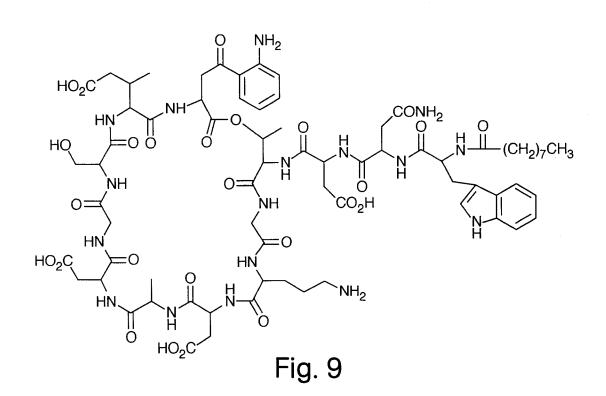
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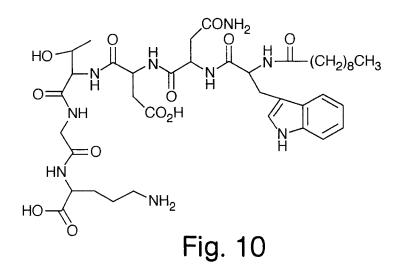




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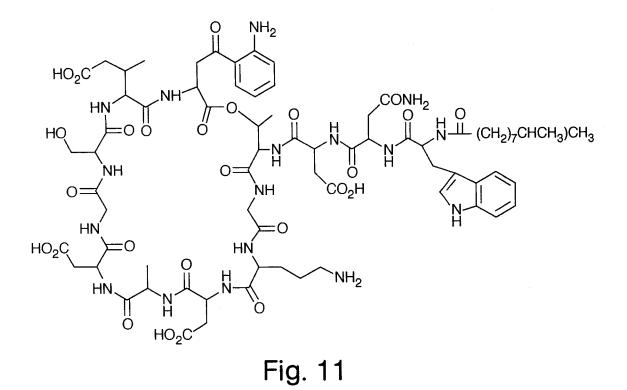
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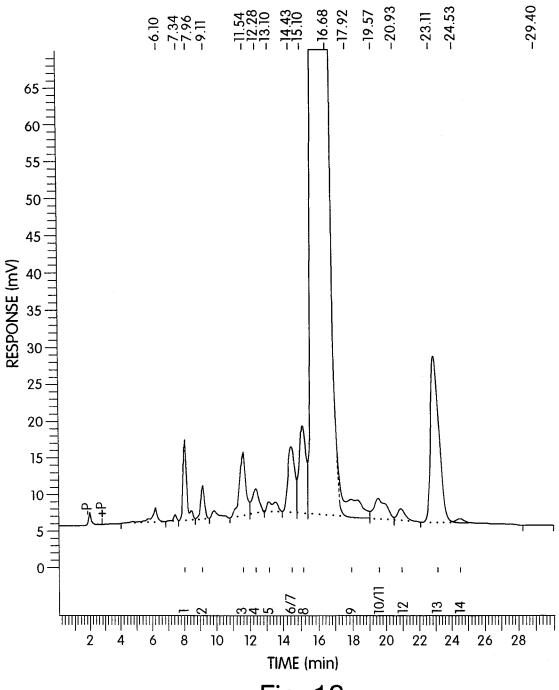


Fig. 12

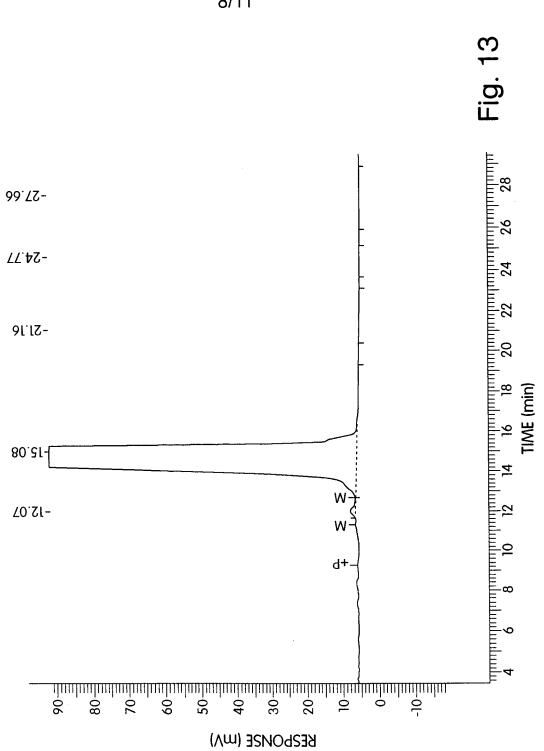
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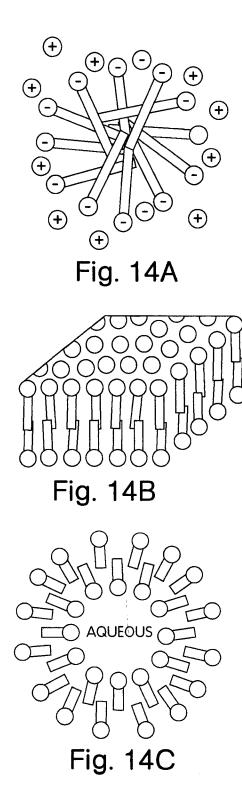
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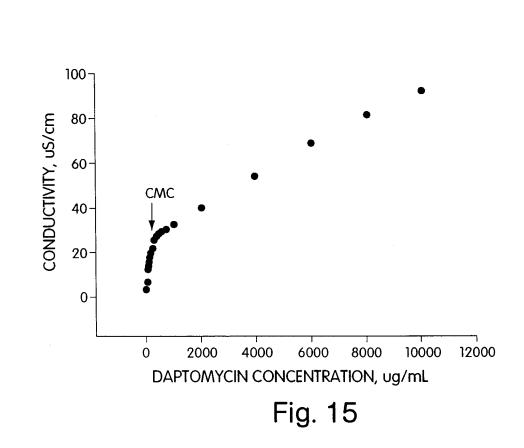
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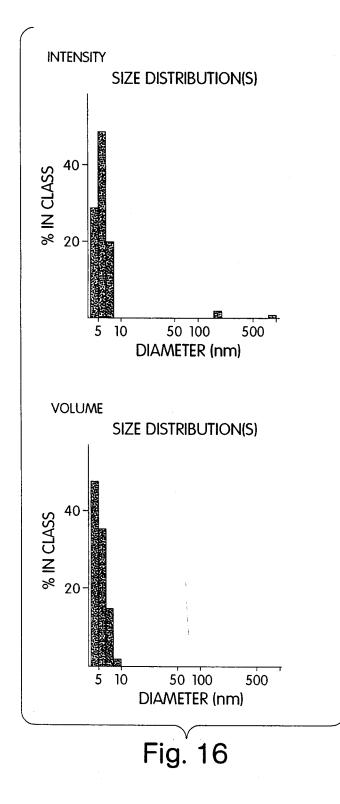
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|                  | DECLARATION                                             | FOR UTILI<br>SIGN                    | ITOR                                     | First Named                   | Inventor                       | Kelleher                   |                                       |                               |
|                  | PATENT AF                                               |                                      | N                                        |                               | COM                            | IPLETE IF K                | NOWN                                  |                               |
|                  | (37 CF                                                  | R 1.63)                              |                                          | Application                   | Number                         | 10/747,485                 | ·······                               |                               |
|                  | Declaration                                             | Declarat                             | lion                                     | Filing Date                   |                                | December 2                 | 29, 2003                              |                               |
|                  | Submitted <b>OR</b><br>With Initial                     | Submitt                              | ed after Initial                         | Art Unit                      |                                | 1653                       | · · · · · · · · · · · · · · · · · · · |                               |
|                  | Filing                                                  |                                      | R 1.16 (e))                              | Examiner N                    | ame                            | Unknown                    |                                       | /                             |
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| L                |                                                         |                                      | (Title of the                            | Invention)                    |                                |                            |                                       | I                             |
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| -                | was filed on (MM/DD/Y                                   | ΥΥΥ) De                              | ecember 29, 2003                         | as Uni                        | ted States App                 | olication Nu               | Imber or PCT Ir                       | nternational                  |
| Applic           | ation Number 10                                         | /747,485                             | and was amende                           | ed on (MM/I                   |                                |                            | (if                                   | applicable).                  |
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|                  | ded by any amendment                                    |                                      |                                          |                               |                                |                            | ,                                     |                               |
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|                  | ation for patent, invento<br>that of the application of |                                      |                                          | cate(s), or a                 | ny PCT intern                  | ialional app               | lication naving                       | a niing date                  |
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[Page 1 of 2]

Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

[Page 1 of 2] This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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| Given Name (first and middle [i                                                                                                                                                                                                                                                                                                                                                                                                                                                    | if any])                             |         |          |          | Family     | Name o   | or Surna  | me                         |
| Thomas                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |                                      |         |          |          | Kelleher   |          |           |                            |
| Inventor's<br>Signature Them                                                                                                                                                                                                                                                                                                                                                                                                                                                       | , JKelleh                            | n       |          |          |            |          |           | Date<br>8-21-04            |
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| Jan-Ji                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |                                      |         |          |          | -<br>Lai   |          |           |                            |
| Inventor's<br>Signature                                                                                                                                                                                                                                                                                                                                                                                                                                                            | ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,     |         |          |          |            |          |           | Date                       |
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| Given Name (first and middle [i                                                                                                       | if any])                                              |            |                | Family Name of                  |            |                                       |
| Thomas                                                                                                                                |                                                       |            |                | Kelleher                        |            |                                       |
| Inventor's<br>Signature                                                                                                               |                                                       |            |                | · .                             |            | Date                                  |
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| NAME OF SECOND INVENTO                                                                                                                | DR:                                                   |            |                | A petition has be               | en filed i | for this unsigned inventor            |
| Given Name (first and middle                                                                                                          | [if any])                                             |            |                | Family Name of                  | r Sumar    | me                                    |
| Jan-Ji                                                                                                                                | $\frown$                                              |            |                | Lai                             |            |                                       |
| Inventor's<br>Signature                                                                                                               | Cf. Li                                                |            |                |                                 |            | Date<br>8/24/04                       |
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| Name of Additional Joint Inventor, if any:                                 |                  | A petit                | ion has been filed for  | this unsigned in  | ventor            |  |  |
| Given Name (first and middle (if any)                                      |                  |                        | e or Surname            |                   |                   |  |  |
| $\sim$                                                                     |                  | DeCourcey              |                         |                   |                   |  |  |
| Joseph P.<br>Inventor's<br>Signature                                       |                  |                        |                         | Date 30           | 0804              |  |  |
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| Charlestown                                                                | ма               |                        | 02129                   | U.S.A.            |                   |  |  |
| City                                                                       | State            |                        | Zip                     | Country           | ·                 |  |  |
| Name of Additional Joint Inventor, if any:                                 |                  | A peti                 | tion has been filed for | this unsigned in  | iventor           |  |  |
| Given Name (first and middle (if any)                                      |                  | Family Name or Surname |                         |                   |                   |  |  |
| Paul                                                                       |                  | Lynch                  |                         |                   |                   |  |  |
| Inventor's<br>Signature                                                    |                  | Date                   |                         |                   |                   |  |  |
| Arlington<br>Residence: City                                               | Massa<br>State   | chusetts               | U.S.A.<br>Country       |                   | US<br>Citizenship |  |  |
| 29 Cyprus Road<br>Mailing Address                                          |                  |                        |                         |                   |                   |  |  |
| Mailing Address                                                            |                  |                        |                         |                   |                   |  |  |
| Arlington                                                                  | MA               |                        | 02474                   | U.S.A.            |                   |  |  |
| City                                                                       | State            |                        | Zip                     | Countr            | y                 |  |  |
| Name of Additional Joint Inventor, if any:                                 |                  | A peti                 | ition has been filed fo | r this unsigned i | nventor           |  |  |
| Given Name (first and middle (if any)                                      | 1                |                        | Family Nam              | e or Surname      |                   |  |  |
| Maurizio                                                                   |                  | Zenoni                 |                         |                   |                   |  |  |
| Inventor's<br>Signature                                                    |                  | Date                   |                         |                   |                   |  |  |
| Milan<br>Residence: City                                                   | State            | ·                      | Italy<br>Country        |                   | IT<br>Citizenship |  |  |
| Via Fleming #7<br>Mailing Address                                          | <b>I</b>         |                        |                         |                   |                   |  |  |
| Paulio<br>Mailing Address                                                  |                  |                        |                         |                   | ·                 |  |  |
| Milan                                                                      | State            |                        | Zip                     | Italy<br>Countr   |                   |  |  |
| City<br>This collection of information is required by 35 U.S.C. 115 and 37 |                  |                        |                         |                   |                   |  |  |

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| DECLARATION                                                          |                  |                        | NAL INVENT<br>tal Sheet | • •                      | ae <u>1 of _2</u>           |  |
|----------------------------------------------------------------------|------------------|------------------------|-------------------------|--------------------------|-----------------------------|--|
| Name of Additional Joint Inventor, if any:                           |                  | A peti                 | ition has been fil      | ed for this unsigned     | inventor                    |  |
| Given Name (first and middle (if any)                                |                  | Family Nam             | e or Surname            | ·····                    |                             |  |
| Joseph P.                                                            |                  | DeCourcey              |                         |                          |                             |  |
| Inventor's<br>Signature                                              |                  |                        |                         | Date                     |                             |  |
| Charlestown<br>Residence: City                                       | Massach<br>State | usetts                 | U.S.A.<br>Country       | US<br>Citizens           | hip                         |  |
| 3 Auburn Street<br>Mailing Address                                   |                  |                        |                         | ·····                    |                             |  |
| Mailing Address                                                      |                  |                        |                         |                          |                             |  |
| Charlestown                                                          | MA<br>State      |                        | 02129<br>Zip            | U.S.A.<br>Cour           | itn.                        |  |
| City<br>Name of Additional Joint Inventor, if any:                   |                  |                        |                         | led for this unsigned    |                             |  |
| Given Name (first and middle (if any)                                |                  | Family Name or Surname |                         |                          |                             |  |
| Paul                                                                 |                  | Lynch                  |                         |                          |                             |  |
| Inventor's Signature Sheel Cum                                       |                  | Date 8                 | 104                     |                          |                             |  |
| Artington<br>Residence: City                                         | Massa<br>State   | chusetts               | U.S.A<br>Country        |                          | US<br>Citizenship           |  |
| 29 Cyprus Road<br>Mailing Address                                    |                  |                        |                         |                          |                             |  |
| Mailing Address                                                      |                  |                        |                         |                          |                             |  |
| Arlington<br>City                                                    | MA               |                        | 02474<br>Zip            | U.S.A.<br>Coui           |                             |  |
| Name of Additional Joint Inventor, if any:                           |                  |                        |                         | iled for this unsigne    |                             |  |
| Given Name (first and middle (if any)                                |                  |                        | Family                  | y Name or Surname        | )                           |  |
| Maurizio                                                             |                  | Zenoni                 |                         |                          |                             |  |
| Inventor's<br>Signature                                              |                  | Date                   |                         |                          |                             |  |
| Milan<br>Residence: City                                             | State            | :                      | italy<br>Country        |                          | IT<br>Citizenship           |  |
| Via Fleming #7<br>Mailing Address                                    |                  |                        |                         |                          |                             |  |
| Paullo<br>Mailing Address                                            |                  |                        |                         |                          |                             |  |
| Milan<br>City                                                        | State            | ,                      | Zip                     | Italy<br>Cou             | ntry                        |  |
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|--------------------------------------------|------------------|---------------------------------------|----------------|-----------------------|-------------------|---------------------------|
|                                            |                  | · · · · · · · · · · · · · · · · · · · |                |                       |                   |                           |
| Name of Additional Joint Inventor, if any: |                  | A pet                                 | tition ha      | as been filed for thi | is unsigned inv   | entor                     |
| Given Name (first and middle (if any)      |                  | Family Nam                            | ne or S        | urname                |                   |                           |
| Joseph P.                                  |                  | DeCourcey                             |                |                       |                   |                           |
| Inventor's<br>Signature                    |                  |                                       |                |                       | Date              |                           |
|                                            | Massach<br>State | usetts                                | U.S.A.<br>Coun |                       | US<br>Citizenship |                           |
| 3 Auburn Street<br>Mailing Address         |                  |                                       |                |                       |                   |                           |
| Mailing Address                            |                  |                                       |                |                       |                   |                           |
| Charlestown                                | ма               |                                       |                | 02129                 | U.S.A.            |                           |
| City                                       | State            |                                       |                | Zip                   | Country           | · <u> </u>                |
| Name of Additional Joint Inventor, if any: |                  | 🗌 Аре                                 | tition h       | as been filed for th  | is unsigned in    | rentor                    |
| Given Name (first and middle (if any)      |                  |                                       |                | Family Name           | or Surname        |                           |
| Paul                                       |                  | Lynch                                 |                |                       |                   |                           |
| Inventor's<br>Signature                    |                  | Date                                  |                |                       |                   |                           |
| Arlington<br>Residence: City               | Massa<br>State   | chusetts                              | ľ              | J.S.A.<br>Country     |                   | US<br>Citizenship         |
| 29 Cyprus Road<br>Mailing Address          |                  |                                       |                |                       |                   |                           |
| Mailing Address                            |                  |                                       |                |                       |                   |                           |
| Arlington                                  | MA               |                                       |                | 02474<br>Zip          | U.S.A.<br>Country |                           |
| City                                       | State            |                                       |                | Zip                   | Country           |                           |
| Name of Additional Joint Inventor, if any: |                  |                                       | etition h      | nas been filed for th | nis unsigned in   | ventor                    |
| Given Name (first and middle (if any)      |                  |                                       |                | Family Name           | or Surname        |                           |
| Maurizio                                   |                  | Zenoni                                |                |                       |                   |                           |
| Inventor's Menutific Cer                   |                  | Date                                  |                |                       |                   | • • • • • • • •           |
| Milan<br>Residence: City                   | State            | 9                                     |                | Italy<br>Country      |                   | IT<br>Citizenship         |
| Via Fleming #7<br>Mailing Address          |                  |                                       |                |                       |                   |                           |
| Paullo<br>Mailing Address                  |                  |                                       |                |                       |                   |                           |
| Milan<br>City                              | State            |                                       |                | Zip                   | Italy<br>Country  | a public which is to file |

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| DECLARATION                                                          |          | ADDITIO<br>Supplemen |               | INVENTOR(S)<br>eet                    | Page 2            | of -2                   |
|----------------------------------------------------------------------|----------|----------------------|---------------|---------------------------------------|-------------------|-------------------------|
|                                                                      |          |                      |               |                                       |                   |                         |
| Name of Additional Joint Inventor, if any:                           |          | A peti               | ition ha      | as been filed for this                | unsigned invo     | entor                   |
| Given Name (first and middle (if any)                                |          | Family Nam           | e or S        | urname                                |                   |                         |
| Auro                                                                 |          | Tagliani             |               |                                       |                   |                         |
| Inventor's Augulafrence lagha                                        | i.       |                      |               |                                       | Date              |                         |
| Pavia / / / / / / / / / / / / / / / / / / /                          | State    |                      | Italy<br>Coun | itry                                  | IT<br>Citizenship |                         |
| Via Marangoni #1<br>Mailing Address                                  |          |                      |               |                                       |                   |                         |
| Mailing Address                                                      |          |                      |               |                                       |                   |                         |
| Pavia                                                                | State    |                      |               | 27100<br>Zip                          | Italy<br>Country  |                         |
| City<br>Name of Additional Joint Inventor, if any:                   |          | A pet                | tition h      | as been filed for this                |                   | entor                   |
| Given Name (first and middle (if any)                                |          |                      |               | Family Name o                         | r Surname         |                         |
|                                                                      |          |                      |               |                                       |                   |                         |
| Inventor's<br>Signature                                              |          | Date                 |               |                                       |                   |                         |
| Residence: City                                                      | State    | <u></u>              |               | Country                               |                   | Citizenship             |
| Mailing Address                                                      |          |                      |               |                                       |                   | <u> </u>                |
| Mailing Address                                                      |          |                      |               |                                       |                   |                         |
| City                                                                 | State    |                      |               | Zip                                   | Country           |                         |
| Name of Additional Joint Inventor, if any:                           |          | 🗆 🗛 pe               | tition h      | has been filed for thi                | s unsigned inv    | ventor                  |
| Given Name (first and middle (if any)                                |          |                      |               | Family Name of                        | Surname           |                         |
|                                                                      |          | 1                    |               |                                       |                   |                         |
| Inventor's<br>Signature                                              |          | Date                 |               |                                       |                   |                         |
| Residence: City                                                      | State    |                      |               | Country                               | · <del>.</del> .  | Citizenship             |
| Mailing Address                                                      |          |                      |               |                                       |                   |                         |
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| City                                                                 | State    | •                    |               | Zip                                   | Country           |                         |
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| Electronic Patent Application Fee Transmittal |                                          |               |          |                          |                         |  |  |  |
|-----------------------------------------------|------------------------------------------|---------------|----------|--------------------------|-------------------------|--|--|--|
| Application Number:                           |                                          |               |          |                          |                         |  |  |  |
| Filing Date:                                  |                                          |               |          |                          |                         |  |  |  |
| Title of Invention:                           |                                          |               |          | High Purity Lipopeptides |                         |  |  |  |
| First Named Inventor/Applicant Name:          | Th                                       | omas Kelleher |          |                          |                         |  |  |  |
| Filer:                                        | Jill Michel-Netka Mandelblatt/Viana Daly |               |          |                          |                         |  |  |  |
| Attorney Docket Number:                       |                                          |               |          |                          |                         |  |  |  |
| Filed as Small Entity                         |                                          |               |          |                          |                         |  |  |  |
| Utility Filing Fees                           |                                          |               |          |                          |                         |  |  |  |
| Description                                   |                                          | Fee Code      | Quantity | Amount                   | Sub-Total in<br>USD(\$) |  |  |  |
| Basic Filing:                                 |                                          |               |          |                          |                         |  |  |  |
| Utility filing Fee (Electronic filing)        |                                          | 4011          | 1        | 75                       | 75                      |  |  |  |
| Utility Search Fee                            |                                          | 2111          | 1        | 250                      | 250                     |  |  |  |
| Utility Examination Fee                       |                                          | 2311          | 1        | 100                      | 100                     |  |  |  |
| Pages:                                        |                                          |               |          |                          |                         |  |  |  |
| Claims:                                       |                                          |               |          |                          |                         |  |  |  |
| Claims in excess of 20                        |                                          | 2202          | 33       | 25                       | 825                     |  |  |  |
| Miscellaneous-Filing:                         |                                          |               |          |                          |                         |  |  |  |
| Petition:                                     |                                          |               |          |                          |                         |  |  |  |

| Description                       | Fee Code | Quantity  | Amount | Sub-Total in<br>USD(\$) |
|-----------------------------------|----------|-----------|--------|-------------------------|
| Patent-Appeals-and-Interference:  |          |           |        |                         |
| Post-Allowance-and-Post-Issuance: |          |           |        |                         |
| Extension-of-Time:                |          |           |        |                         |
| Miscellaneous:                    |          |           |        |                         |
|                                   | Tota     | al in USC | ) (\$) | 1250                    |

| Electronic Ac                        | knowledgement Receipt                    |
|--------------------------------------|------------------------------------------|
| EFS ID:                              | 1710125                                  |
| Application Number:                  | 11739180                                 |
| International Application Number:    |                                          |
| Confirmation Number:                 | 8837                                     |
| Title of Invention:                  | High Purity Lipopeptides                 |
| First Named Inventor/Applicant Name: | Thomas Kelleher                          |
| Customer Number:                     | 34103                                    |
| Filer:                               | Jill Michel-Netka Mandelblatt/Viana Daly |
| Filer Authorized By:                 | Jill Michel-Netka Mandelblatt            |
| Attorney Docket Number:              |                                          |
| Receipt Date:                        | 24-APR-2007                              |
| Filing Date:                         |                                          |
| Time Stamp:                          | 12:03:41                                 |
| Application Type:                    | Utility                                  |

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| RAM confirmation Number                              | 1983                                                        |
| Deposit Account                                      | 501986                                                      |
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### File Listing:

| Document<br>Number | Document Description          | File Name                            | File Size(Bytes) | Multi<br>Part /.zip | Pages<br>(if appl.) |
|--------------------|-------------------------------|--------------------------------------|------------------|---------------------|---------------------|
| 1                  |                               | C062-02-03_US_appIn_as_fi<br>led.pdf | 9147860          | yes                 | 91                  |
|                    | Multipa                       | rt Description/PDF files in          | .zip description |                     |                     |
|                    | Document Description Start En |                                      |                  |                     |                     |
|                    | Miscellaneous Inc             | 1                                    |                  | 1                   |                     |
|                    | Fee Worksheet                 | 2                                    |                  | 2                   |                     |
|                    | Specifica                     | 3                                    | 6                | 66                  |                     |
|                    | Claims                        | 67                                   | -                | 72                  |                     |
|                    | Abstrac                       | ct                                   | 73               | 73                  |                     |
|                    | Drawing                       | gs                                   | 74               | 84                  |                     |
|                    | Oath or Declar                | ation filed                          | 85               | 5                   | 91                  |
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If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

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|------------------------------------------------------------------------|-----------------------------------------------------------------|-------------------------------------------|----------------------|---------------------------------------------|-----------------------------------------------------------------------------------------------------|-------------------------|---------------|-----------------------------|-----------|--------------------------------|-----------------------------|
|                                                                        |                                                                 |                                           | (Column 1)           |                                             | (Column 2)                                                                                          | SMALL ENTITY            |               |                             | OR        | SMALL ENTITY                   |                             |
|                                                                        | FOR                                                             |                                           | NUN                  | IBER FILED                                  | NUMBER EXTRA                                                                                        | R                       | ATE (\$)      | FEE (\$)                    |           | RATE (\$)                      | FEE (\$)                    |
| ASIC FEE<br>7 CFR 1.16(a), (b), or (c))                                |                                                                 |                                           |                      |                                             |                                                                                                     |                         | 75            |                             |           | 300                            |                             |
| EARCH FEE                                                              |                                                                 |                                           |                      |                                             |                                                                                                     |                         |               | 250                         |           |                                | 500                         |
| 7 CFR 1.16(k), (i), or (m))<br>KAMINATION FEE                          |                                                                 |                                           |                      |                                             |                                                                                                     |                         |               | 100                         |           |                                | 200                         |
| 7 CFR 1.16(0), (p), or (q))<br>DTAL CLAIMS                             |                                                                 |                                           | 53                   |                                             | 33                                                                                                  | <u> </u>                | <b>X\$</b> 25 | 825                         |           | X\$50                          |                             |
| 37 CFR 1.16(i))<br>NDEPENDENT CLAIMS                                   |                                                                 |                                           | 1                    | minus 20 =                                  |                                                                                                     |                         | (\$100        |                             | OR        | X\$200                         |                             |
| 37 CFR 1.16(h))                                                        |                                                                 |                                           | -                    | minus 3 =                                   |                                                                                                     |                         | (\$100        |                             |           | <u></u>                        |                             |
|                                                                        | ICATION SIZE                                                    |                                           |                      |                                             |                                                                                                     |                         |               |                             |           |                                |                             |
| E<br>C                                                                 | FR 1.16(s))                                                     |                                           |                      |                                             |                                                                                                     | 1                       |               |                             |           |                                |                             |
| ULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(j))                       |                                                                 |                                           |                      |                                             | i))                                                                                                 |                         | 180           |                             |           | 360                            |                             |
| f the difference in column 1 is less than zero, enter "0" in column 2. |                                                                 |                                           |                      |                                             | blumn 2.                                                                                            | Т                       | OTAL          | 1250                        |           | TOTAL                          |                             |
|                                                                        |                                                                 |                                           | ~ ~ ~ ~ ~            |                                             |                                                                                                     |                         |               |                             | •         |                                |                             |
|                                                                        | APPL                                                            | APPLICATION AS AMENDED – PART II          |                      |                                             |                                                                                                     |                         |               | ·                           | 0.0       | OTHER THAN<br>SMALL ENTITY     |                             |
| Т                                                                      |                                                                 | (Column 1)<br>CLAIMS                      |                      | (Column 2)<br>HIGHEST                       | (Column 3)                                                                                          |                         | SMALL I       | 1                           | OR        |                                |                             |
|                                                                        |                                                                 | REMAINING<br>AFTER<br>AMENDMENT           |                      | NUMBER<br>PREVIOUSLY<br>PAID FOR            | PRESENT<br>EXTRA                                                                                    | R                       | ATE (\$)      | ADDI-<br>TIONAL<br>FEE (\$) |           | RATE (\$)                      | ADDI-<br>TIONAL<br>FEE (\$) |
| İ                                                                      | Total<br>(37 CFR 1.16(i))                                       | *                                         | Minus                | **                                          | =                                                                                                   | x                       | =             |                             | OR        | x =                            |                             |
|                                                                        | Independent<br>(37 CFR 1.16(h))                                 | *                                         | Minus                | ***                                         | =                                                                                                   | x                       | =             |                             | OR        | x =                            |                             |
| İ                                                                      | Application Size                                                | e Fee (37 CFR 1                           | .16(s))              |                                             |                                                                                                     |                         |               |                             |           |                                |                             |
|                                                                        | FIRST PRESENTATION OF MULTIPLE DE                               |                                           |                      | ENDENT CLAIM                                | (37 CFR 1.16(j))                                                                                    |                         | 180           |                             | OR        | 360                            |                             |
|                                                                        |                                                                 |                                           |                      |                                             |                                                                                                     | TOTA<br>ADD'T           |               |                             | OR        | TOTAL<br>ADD'T FEE             |                             |
|                                                                        |                                                                 |                                           |                      |                                             |                                                                                                     |                         |               |                             | •         |                                |                             |
| -                                                                      |                                                                 | (Column 1)                                |                      | (Column 2)                                  | (Column 3)                                                                                          |                         |               |                             | OR        | <b></b>                        |                             |
|                                                                        |                                                                 | CLAIMS<br>REMAINING<br>AFTER<br>AMENDMENT |                      | HIGHEST<br>NUMBER<br>PREVIOUSLY<br>PAID FOR | PRESENT<br>EXTRA                                                                                    | R                       | ATE (\$)      | ADDI-<br>TIONAL<br>FEE (\$) |           | RATE (\$)                      | ADDI-<br>TIONAL<br>FEE (\$) |
| ł                                                                      | Total<br>(37 CFR 1.16(i))                                       | *                                         | Minus                | **                                          | =                                                                                                   | x                       | =             |                             | OR        | x =                            |                             |
| ľ                                                                      | Independent<br>(37 CFR 1.16(h))                                 | *                                         | Minus                | ***                                         | =                                                                                                   | x                       | =             |                             | OR        | x =                            |                             |
| t                                                                      | Application Size Fee (37 CFR 1.16(s))                           |                                           |                      |                                             |                                                                                                     |                         |               |                             |           |                                |                             |
|                                                                        | FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j)) |                                           |                      |                                             |                                                                                                     |                         | N/A           |                             | OR        | N/A                            |                             |
| _                                                                      |                                                                 |                                           |                      |                                             |                                                                                                     | TOTA<br>ADD'1           |               |                             | OR        | TOTAL<br>ADD'T FEE             |                             |
| •                                                                      | If the "Highest I<br>If the "Highest I                          | Number Previous<br>Number Previous        | sly Paid<br>sly Paid | For" IN THIS S<br>For" IN THIS S            | 2, write "0" in column<br>PACE is less than 20,<br>PACE is less than 3, e<br>ependent) is the highe | enter "20<br>enter "3". |               | he appropriate t            | oox in co | lumn 1.                        |                             |

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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