### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent of: Haller et al.

U.S. Patent No.: 7,039,033 IPR Control No.: IPR2015-01444 Issue Date: May 2, 2006 Atty Docket No.: 00035-0004IP1

Appl. Serial No.: 09/850,399 Filing Date: May 7, 2001

Title: SYSTEM, DEVICE AND COMPUTER READABLE MEDI-

UM FOR PROVIDING A MANAGED WIRELESS NET-WORK USING SHORT-RANGE RADIO SIGNALS

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# PETITION FOR INTER PARTES REVIEW OF UNITED STATES PATENT NO. 7,039,033 PURSUANT TO 35 U.S.C. §§ 311–319, 37 C.F.R. § 42



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### **EXHIBITS**

EXHIBIT-1001	U.S. Patent No. 7,039,033 to Haller et al. ("'033 Patent")
EXHIBIT-1002	S. M. Bellovin et al., Network Firewalls, Network Firewalls, IEEE Communications Magazine, Vol. 32, Issue 9, pp. 50-57, 1999 ("Bellovin")
EXHIBIT-1003	Declaration of Dr. Sayfe Kiaei
EXHIBIT-1004	Curriculum Vitae of Dr. Sayfe Kiaei
EXHIBIT-1005	PCT. Publication No. WO 01/76154 A2 to Marchand ("Marchand PCT")
EXHIBIT-1006	U.S. Patent Application No. 09/541,529 to Marchand ("Marchand Priority")
EXHIBIT-1007	Handley et al., Request For Comments 2543 SIP: Session Initiation Protocol, The Internet Society, March, 1999 ("RFC 2543")
EXHIBIT-1008	U.S. Patent No. 6,836,474 to Larsson ("Larsson")
EXHIBIT-1009	K. Arnold et al., <i>The JINI<sup>TM</sup> Specification</i> , Addison-Wesley, June 1, 1999 ("JINI Spec.")
EXHIBIT-1010	U.S. Patent No. 6,560,642 to Nurmann ("Nurmann")
EXHIBIT-1011	U.S. Patent No. 6,771,635 to Vilander ("Vilander")
EXHIBIT-1012	Claim Chart from IXI's Infringement Contentions of U.S. Patent No. 7,039,033 in 14-cv-4428 (April 9, 2015)
EXHIBIT-1013	Claim Chart from IXI's Infringement Contentions of U.S. Patent No. 7,039,033 in 14-cv-4355 (March 27, 2015)



Attorney Docket No. 00035-0004IP1 IPR of U.S. Patent No. 7,039,033

EXHIBIT-1014 R. Droms, *Request for Comments 2131 Dynamic Host Configu*ration Protocol, The Internet Society, March, 1997 ("RFC 2131")

EXHIBIT-1015 U.S. Patent No. 6,622,017 to Hoffman ("Hoffman")



Samsung Electronics Co. Ltd., Samsung Electronics America, Inc. (collectively "Samsung"), and Apple Inc. ("Apple") (Samsung and Apple, collectively "Petitioners") petition for *Inter Partes* Review ("IPR") under 35 U.S.C. §§ 311–319 and 37 C.F.R. § 42 of claims 1, 4-7, 12, 14, 15, 22, 23, 25, 28, 34, 39, 40, 42, and 46 (the "Challenged Claims") of U.S. Patent No. 7,039,033 (the "'033 Patent"). As explained in this petition, there exists a reasonable likelihood that Petitioners will prevail with respect to at least one Challenged Claim.

# I. MANDATORY NOTICES UNDER 37 C.F.R § 42.8(a)(1)

### A. Real Party-In-Interest Under 37 C.F.R. § 42.8(b)(1)

Samsung Electronics Co. Ltd., Samsung Electronics America, Inc., and Apple Inc. are the real parties-in-interest.

## B. Related Matters Under 37 C.F.R. § 42.8(b)(2)

Petitioners are not aware of any disclaimers, reexamination certificates or petitions for *inter partes* review for the '033 Patent. The '033 Patent is the subject of Civil Action Numbers 14-cv-4355 (U.S.D.C. S.D.N.Y.), filed June 17, 2014; 14-cv-428 (U.S.D.C. S.D.N.Y.), filed June 18, 2014; and 14-cv-7954 (U.S.D.C.



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