1 UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE PATENT TRIAL AND APPEAL BOARD 2 3 -----: 4 SAMSUNG ELECTRONICS CO., LTD., : 5 SAMSUNG ELECTRONICS AMERICA, : 6 INC., AND APPLE INC., : 7 Petitioner, : Case No.: 8 : IPR2015-01443 vs. 9 IXI IP, LLC, : 10 Patent Owner. : 11 -----: 12 13 Videotaped Deposition of SAYFE KIAEI, PH.D. 14 15 Washington, D.C. 16 Tuesday, March 15, 2016 17 9:13 a.m. 18 19 20 21 22 Job No. BO-078404 23 Pages 1 - 185 24 Reported by: Robert M. Jakupciak, RPR

DTI Court Reporting Solution - Boston

IXI 2302

Deposition of SAYFE KIAEI, PH.D., held at the offices of: Fish & Richardson 1425 K Street, N.W. Washington, D.C. 20005 Pursuant to Notice, before Robert Michael Jakupciak, RPR, a Notary Public in and for the District of Columbia, when were present on behalf of the respective parties:

-	
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16	
17	Also Present: Videographer, David Cooper
18	Won Yoon
19	
20	
21	
22	
23	
24	

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1	C	O N T E N T S
2	THE WITNESS: SAY	YFE KIAEI, PH.D.
3	EXAMINATION	PAGE NO.
4	By Mr. Haigh	nt 7
5		
6		
7		
8		EXHIBITS
9	EXHIBIT NO.	DESCRIPTION PAGE NO.
10	Exhibit 1443-1	Notice of Deposition 14
11	Exhibit 1443-2	Sayfe Kiaei Resume' 15
12	Exhibit 1443-3	Declaration of 21
13		Dr. Sayfe Kiaei
14	Exhibit 1443-4	U.S. Patent 7,925,532 B2 31
15	Exhibit 1443-5	U.S. Patent 6,622,017 B1 118
16	Exhibit 1443-6	IEEE Std 802.11b-1999 121
17	Exhibit 1443-7	Network Working Group 132
18		Memo dated August 1999
19	Exhibit 1443-8	Patent Application No. 146
20		WO 01/76154 A2
21	Exhibit 1443-9	Article from Computer 166
22		Communication Review
23		
24	(Exhibits attache	ed to transcript.)

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1	PROCEEDINGS	
2	Whereupon,	
3	VIDEOGRAPHER: Here begins video disk	09:11:25
4	number one in the video deposition of Sayfe Kiaei,	09:13:15
5	Ph.D., in the matter of Samsung Electronics Company,	09:13:18
6	LTD and Samsung Electronics America, Inc., and Apple	09:13:25
7	Inc. versus IXI IP, LLC, in the United States Patent	09:13:32
8	and Trademark Office, Before the Patent Trial and	09:13:38
9	Appeal Board, for Case Number IPR2015-01443.	09:13:42
10	Today is Tuesday, March 15, 2016. The	09:13:51
11	time on the video monitor is 9:13:09 a.m. We are	09:13:55
12	now on the record. My name is Dave Cooper. I'm the	09:14:01
13	certified legal video specialist with DTI Court	09:14:05
14	Reporting Services, 21 Church Street, Suite 150,	09:14:08
15	Rockville, Maryland, 20850.	09:14:15
16	This video deposition is taking place at	09:14:16
17	Fish & Richardson, P.C. in the Dupont Conference	09:14:20
18	Room, located at 1425 K Street, Northwest,	09:14:24
19	Washington, D.C., 20005. Would counsel and all	09:14:28
20	present please introduce themselves and who they	09:14:32
21	represent.	09:14:35
22	MR. MUKERJI: Good morning. Indranil	09:14:35
23	Mukerji, Kevin Greene and Won Yoon, of Fish &	09:14:37
24	Richardson, representing Samsung, Apple and the	09:14:40

	SAYFE KIAEI, PH.D 03/15/2016 Page 7	1
1	witness here today.	09:14:44
2	MR. HAIGHT: George Haight, of Pepper	09:14:46
3	Hamilton, on behalf of IXI IP. With me from Pepper	09:14:47
4	Hamilton is Griffin Mesmer, and from IXI, Steve	09:14:51
5	Pedersen.	09:14:56
6	VIDEOGRAPHER: The court reporter, Robert	09:14:58
7	Jakupciak, of DTI Court Reporting Services, will now	09:15:00
8	swear in the witness.	09:15:03
9	Whereupon,	09:15:04
10	SAYFE KIAEI, PH.D,	09:15:04
11	called as a witness, and having been first duly	09:15:04
12	sworn, was examined and testified as follows:	09:15:04 09:15:05
13	EXAMINATION BY COUNSEL FOR THE PATENT OWNER	09:15:05
14	BY MR. HAIGHT:	09:15:16
15	Q Good morning, Dr. Kiaei.	09:15:21
16	A Good morning, counsel.	09:15:22
17	Q My name is George Haight. I'm here on	09:15:24
18	behalf of IXI. Today I'll be taking your	09:15:27
19	deposition. Have you had your deposition taken	09:15:31
20	before?	09:15:33
21	A Yes, I have, counsel.	09:15:34
22	Q How many times?	09:15:35
23	A I have done it three times before.	09:15:36
24	Q And when was the last time you were	09:15:38

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		-
1	deposed?	09:15:41
2	A It was roughly five, six months ago, the	09:15:42
3	summer, last summer.	09:15:49
4	Q So I'm sure you are familiar with the	09:15:51
5	proceedings, but I would like to go over just a few	09:15:53
6	ground rules as to how today and the next few days	09:15:56
7	will go. Is that okay?	09:15:59
8	A Yes, please. Thank you.	09:16:00
9	Q So today I'll be asking you questions. I	09:16:01
10	will try my best to make sure those answers are	09:16:03
11	clear. If you don't understand any part of my	09:16:07
12	question, please ask and I will try to rephrase or	09:16:09
13	explain. If you do provide an answer, I will assume	09:16:13
14	that you understood the question. Is that fair?	09:16:16
15	A Thank you. Yes, it is.	09:16:18
16	Q From time to time your counsel may object.	09:16:20
17	Unless he specifically asks you not to answer, you	09:16:23
18	should answer the question.	09:16:27
19	We today's purpose is not to have a	09:16:34
20	marathon, so if at any time you need to take a break	09:16:36
21	or need to use the rest room, please let me know.	09:16:41
22	All I will ask is that if there is a question	09:16:44
23	pending, that you answer the question before we	09:16:48
24	break. Is that fair?	09:16:50

	SAYFE KIAEI, PH.D 03/15/2016 Page 9	1
1	A Yes, it is. Thank you.	09:16:51
2	Q Is there any reason you cannot give	09:16:53
3	truthful and honest testimony here today?	09:16:55
4	A No, there is not, counsel.	09:16:57
5	Q Thank you. You mentioned that you were	09:17:00
6	deposed five or six months ago; is that correct?	09:17:06
7	A Yes. I don't remember the exact date, but	09:17:12
8	it was a few months ago here in D.C.	09:17:15
9	Q Can you briefly describe the nature of	09:17:18
10	that deposition?	09:17:20
11	A In general, the nature of that deposition	09:17:26
12	was an IPR proceeding.	09:17:29
13	Q Yes. And is it fair to say you were the	09:17:32
14	expert witness in that proceeding?	09:17:38
15	A Yes, counsel, I was.	09:17:40
16	Q And can you briefly describe the subject	09:17:42
17	matter of that IPR, or the patents related to that	09:17:45
18	IPR?	09:17:49
19	MR. MUKERJI: Dr. Kiaei, you can answer	09:17:51
20	this question. I'll just caution you I don't know	09:17:52
21	what those depositions were or what you said. Just	09:17:55
22	respect any confidentiality obligations.	09:17:58
23	THE WITNESS: Thank you.	09:18:01
24	MR. MUKERJI: If you hit a point where you	09:18:01

	SAYFE KIAEI, PH.D 03/15/2016 Page 10	
1	feel like you are getting into something	09:18:02
2	confidential, let us know and we can talk.	09:18:04
3	A Thank you. The general subject was on	09:18:08
4	wireless communications.	09:18:11
5	Q Prior to that deposition when was the last	09:18:20
6	time you were deposed?	09:18:23
7	A It was roughly six months before that I	09:18:28
8	believe. I want to say it was around the beginning	09:18:34
9	of 2015.	09:18:38
10	Q Was that for another IPR matter?	09:18:40
11	A No, that was not. That was for a I	09:18:44
12	don't know what the exact legal terminology was. It	09:18:52
13	was an arbitration case for IP discussions,	09:18:55
14	licensing discussions.	09:19:00
15	Q And was your role in that arbitration as	09:19:03
16	an expert witness?	09:19:07
17	A Yes. I was a technical expert.	09:19:08
18	Q That technology related to wireless	09:19:12
19	communications?	09:19:15
20	A Yes, it was wireless communications as	09:19:15
21	well, yes.	09:19:18
22	Q I want to talk a little bit about your	09:19:24
23	preparation for this deposition today. I don't want	09:19:27
24	to get into the specifics of conversations you had	09:19:30

	SAYFE KIAEI, PH.D 03/15/2016 Page 11	1
1	with your counsel. Obviously, that is privileged.	09:19:32
2	But there are a few questions I want to ask just	09:19:35
3	about how you went about preparing for this	09:19:37
4	deposition.	09:19:39
5	Did you meet with counsel in preparation	09:19:41
6	for today's deposition?	09:19:47
7	A For today's deposition?	09:19:50
8	Q Yes.	09:19:51
9	A Yes. I did meet with counsel for	09:19:52
10	preparing for today's deposition.	09:19:54
11	Q When did that meeting occur?	09:19:56
12	A I have been meeting them for the past two,	09:20:01
13	three days.	09:20:04
14	Q How long would you say that well, let	09:20:13
15	me ask a different question. Who was present at	09:20:16
16	those meetings, if you can recall?	09:20:18
17	A Primarily the counsels by Fish &	09:20:23
18	Richardson who are present here.	09:20:28
19	Q And over those two or three days how much	09:20:33
20	time would you say you spent in meetings preparing	09:20:37
21	for this deposition?	09:20:40
22	MR. MUKERJI: So, can I just for	09:20:41
23	clarification sake, since you have four depositions,	09:20:43
24	you keep asking about this deposition. The prep	09:20:47
]

	SAYFE KIAEI, PH.D 03/15/2016 Page 12	1
1	time I will just tell you was for prepping for all	09:20:52
2	the depositions.	09:20:55
3	MR. HAIGHT: Sure.	09:20:56
4	MR. MUKERJI: Just to clarify the	09:20:57
5	question. So you can answer about this deposition	09:20:59
6	or you can answer in total, but just make it clear	09:21:00
7	what your answer is.	09:21:02
8	THE WITNESS: I appreciate it. Thank you.	09:21:04
9	I would say the last few days rather than just the	09:21:05
10	two or three days. For the last few days, boy, I	09:21:08
11	would say not only just for this deposition, but for	09:21:13
12	all the four depositions that I have. What do you	09:21:17
13	want? Hours?	09:21:24
14	BY MR. HAIGHT:	09:21:26
15	Q If you can.	09:21:26
16	A I can say roughly working hours, the last	09:21:27
17	three, four days it's been four and eight, six to	09:21:31
18	eight hours roughly for the last few days.	09:21:35
19	Q And specifically relating to this	09:21:39
20	deposition, the deposition related to IPR2015-01443,	09:21:44
21	did you review any documents during your	09:21:52
22	preparations?	09:21:55
23	A Yes, I did review documents in preparation	09:21:57
24	for	09:22:01
1		1

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1	Q Do you recall sorry. I didn't mean to	09:22:01
2	interrupt.	09:22:04
3	A Absolutely. No problem.	09:22:05
4	Q Do you recall what those documents were?	09:22:06
5	MR. MUKERJI: Yes or no question.	09:22:07
6	A Do I recall what those documents were?	09:22:10
7	Yes, I do. Roughly, yes, I do. Yes.	09:22:14
8	Q What were those documents?	09:22:17
9	MR. MUKERJI: So I'm going to jump in	09:22:18
10	there. I think you are starting to get close to	09:22:20
11	work product now. You can put documents in front of	09:22:22
12	him and certainly ask him if he has reviewed this	09:22:26
13	recently and he will answer that. But sort of	09:22:28
14	asking him to describe the sum total of documents	09:22:30
15	that were used in his privileged preparations I	09:22:33
16	think is getting a bit close. I'm going to instruct	09:22:36
17	him not to answer.	09:22:39
18	MR. HAIGHT: Let's mark this as I	09:23:04
19	think, counsel, because we have four different	09:23:06
20	proceedings over the next couple days, what I would	09:23:09
21	like to do with the exhibits is I'm going to mark	09:23:10
22	them with the first four letters I guess the last	09:23:12
23	four letters of the IPR, so the first exhibit will	09:23:16
24	be 1443-1.	09:23:20

	SAYFE KIAEI, PH.D 03/15/2016 Page 14	:
1	(1443 Exhibit Number 1	09:23:23
2	was marked for identification.)	09:23:23
3	MR. MUKERJI: Yes. I understand. Thank	09:23:25
4	you. Counsel, we may be missing some pages of the	09:23:26
5	exhibit. I have got the cover and certificate of	09:23:53
6	service. Maybe it's just a photocopying error.	09:23:57
7	MR. HAIGHT: Yep. Here. You can have	09:24:16
8	that. I apologize.	09:24:18
9	BY MR. HAIGHT:	09:24:19
10	Q Dr. Kiaei, can you just confirm that	09:24:20
11	no. You have a bad one, too.	09:24:23
12	MR. MUKERJI: I will give the witness the	09:24:26
13	good one, and if you don't mind, I'll just look over	09:24:28
14	his shoulder.	09:24:30
15	MR. HAIGHT: That's fine.	09:24:31
16	THE WITNESS: Do you want me to put the	09:24:32
17	Exhibit Number on this one?	09:24:34
18	MR. HAIGHT: Yes. If you wouldn't mind.	09:24:35
19	MR. MUKERJI: Let the record reflect that	09:24:42
20	Dr. Kiaei is removing the exhibit sticker from an	09:24:44
21	incorrectly photocopied exhibit and putting it on	09:24:47
22	the correct one.	09:24:51
23	THE WITNESS: That doesn't have a second	09:25:05
24	page.	09:25:06

	SAYFE KIAEI, PH.D 03/15/2016 Page 15	- -
1	MR. HAIGHT: That's fine.	09:25:06
2	BY MR. HAIGHT:	09:25:10
3	Q Just to confirm, there is text on the	09:25:11
4	second page of that?	09:25:14
5	A Yes, there is. There is text on the	09:25:15
6	second page.	09:25:18
7	Q Do you recognize what's been marked as	09:25:20
8	Exhibit 1443-1?	09:25:22
9	A I don't recall it.	09:25:29
10	Q Okay. For the record, that is the Notice	09:25:29
11	of Deposition for Case Number IPR2015-1443. I'll	09:25:32
12	just ask, doctor, is it your understanding that you	09:25:44
13	are here today specifically to discuss the subject	09:25:46
14	matter of IPR2015-1443?	09:25:49
15	A Yes. I am here to discuss the deposition	09:26:01
16	on that particular subject matter you just	09:26:03
17	mentioned.	09:26:06
18	Q Thank you. You can set that aside.	09:26:07
19	A Thank you.	09:26:10
20	MR. HAIGHT: I'm going to hand you what we	09:26:31
21	will mark as Exhibit 1443-2.	09:26:32
22	(1443 Exhibit Number 2	09:26:36
23	was marked for identification.)	09:26:36
24	THE WITNESS: Thank you.	09:26:55

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1	BY MR. HAIGHT:	09:26:56
2	Q Feel free to look through the entire	09:27:03
3	exhibit, but I will ask you if you recognize that	09:27:05
4	document when you've had a chance to review it?	09:27:08
5	A Yes, I do recognize this document.	09:27:14
6	Q What is Exhibit 1443-2?	09:27:17
7	A It is a copy of my vitae, resume'.	09:27:24
8	Q And this resume' was submitted with your	09:27:35
9	declaration in this IPR; is that correct?	09:27:38
10	A Yes, it was.	09:27:42
11	Q As far as you are aware, is this CV or	09:27:49
12	resume' still up-to-date?	09:27:52
13	A It is not up-to-date. It is at least I	09:27:58
14	would say almost a year old. There has been a	09:28:06
15	number of changes, additions to that. But as of the	09:28:09
16	date I sent to them it was up-to-date.	09:28:12
17	Q And sitting here today, do you know what	09:28:15
18	specifically you would update on this resume'?	09:28:19
19	A I will try. I will do my best. My	09:28:24
20	positions have not changed at the university, with	09:28:43
21	the exception that I am currently in the process of	09:28:49
22	being promoted to the Vice President of Research,	09:28:53
23	Associate Vice President for Research in the	09:28:56
24	university. The list of expert witness cases is	09:28:59

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-		7
1	maybe complete. It may have been missing a couple	09:29:18
2	of them. The one case I mentioned I had the	09:29:22
3	deposition last summer is not here. And also no.	09:29:25
4	That's the one that's not here.	09:29:32
5	Consultancies is accurate to the best of	09:29:38
6	my knowledge. Awards have not changed.	09:29:44
7	Professional recognitions are the same. IEEE	09:29:49
8	editorials, there has been some additions to that,	09:29:59
9	as well as invited talks.	09:30:04
10	My patents should be accurate. There may	09:30:16
11	have been a I noticed last night, I was doing	09:30:20
12	something else and I noticed that one of my GPS	09:30:26
13	patents is not here. So I have a patent on GPS	09:30:30
14	which I don't see it here.	09:30:33
15	Standards contributions are correct.	09:30:36
16	Except I see an error right now in item number 3 of	09:30:40
17	my standards contributions. It should be Universal	09:30:43
18	ADSL Working Group instead of ADAL. I'm glad we are	09:30:47
19	doing this. I'm finding typos in my resume'.	09:30:54
20	My journal publications may not be	09:30:59
21	accurate. I may have had additional ones in there.	09:31:01
22	And to be frank with you, I don't remember either	09:31:06
23	I go and look at the IEEE database to see	09:31:11
24	Q That's fine. I don't mean to interrupt.	09:31:16

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	SAYFE KIAEI, PH.D 03/15/2016 Page 18	1
1	I'm not trying to test your memory. I'm trying to	09:31:18
2	get a sense of whether this is	09:31:21
3	A Sure.	09:31:23
4	Q Going back, you said you are about to	09:31:34
5	receive a promotion to Assistant VP of Research; is	09:31:37
6	that correct?	09:31:41
7	A Associate Vice President.	09:31:42
8	Q Associate Vice President?	09:31:43
9	A Right.	09:31:44
10	Q When will that promotion take effect?	09:31:53
11	A Sometime in the next month or two if	09:31:57
12	everything is agreed upon.	09:32:02
13	Q Will you continue to be professor and	09:32:08
14	director of the NSF Wireless Research Center upon	09:32:11
15	your promotion, or will your responsibilities change	09:32:14
16	in that regard?	09:32:17
17	A That's some of the things we are	09:32:20
18	discussing. I will still be a professor. Whether I	09:32:21
19	will continue as a director of the center or not	09:32:25
20	depends on how much commitment I have in the	09:32:28
21	Associate Vice President. It's hard to say. I will	09:32:32
22	have some role in there. I'm not sure how I'm going	09:32:34
23	to handle that now. That's one of the things I'm	09:32:37
24	discussing.	09:32:40
1		1

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		1
1	Q Sure. If you could just briefly describe	09:32:40
2	your responsibilities as Director of the NSF	09:32:45
3	Wireless Communications Center?	09:32:49
4	A I am a number one, I'm a researcher in	09:32:51
5	that center, so I have a number of research projects	09:32:58
6	that I manage and work with my students that we	09:33:01
7	present and get funding from the center.	09:33:08
8	As a director of the center, I'm	09:33:16
9	responsible for interacting with industry,	09:33:18
10	developing projects with industry, and as well as	09:33:21
11	working with the National Science Foundations on	09:33:28
12	developing research projects and overall managing of	09:33:33
13	the center. I do have a number of staff that help	09:33:36
14	with the details. My focus is primarily on the	09:33:41
15	research, academic side, and overall direction and	09:33:43
16	strategy for the center.	09:33:49
17	Q And in addition to that, you are a	09:34:04
18	professor. What are there other roles and	09:34:13
19	responsibilities as a professor besides teaching	09:34:16
20	classes that you have currently?	09:34:20
21	A Yes. Teaching classes is almost like an	09:34:22
22	extra curriculum activity for us, which is meaning	09:34:26
23	that a majority of our time goes into research,	09:34:32
24	advising the students, writing research proposals,	09:34:35

[SAYFE KIAEI, PH.D 03/15/2016 Page 20)
1	other responsibilities as such.	09:34:42
2	Q Are you teaching any classes this	09:34:49
3	semester?	09:34:51
4	A Yes, I am.	09:34:51
5	Q Which class or what classes are you	09:34:53
6	teaching?	09:34:55
7	A I teach one class this semester, which is	09:34:56
8	a senior/graduate level class on analog circuits.	09:34:59
9	Q And to the extent that you know, what	09:35:11
10	roles and responsibilities will you have as	09:35:14
11	Associate Vice President of Research?	09:35:16
12	A That is not exactly clear, but primarily	09:35:23
13	overall leading the research effort in my area in	09:35:28
14	the university working with faculty and other	09:35:34
15	researchers in my own research focus areas.	09:35:38
16	Q What are your primary research focus	09:35:43
17	areas?	09:35:47
18	A Communications systems, networking	09:35:49
19	systems, and related areas to those, including both	09:35:53
20	the networking as well as the software and hardware	09:36:04
21	aspects of the communication systems. Also, I have	09:36:07
22	recently been working on energy systems and looking	09:36:13
23	at energy issues and related areas, research in the	09:36:17
24	area.	09:36:22

SAYFE KIAEI, PH.D. - 03/15/2016 Page 21 09:36:58 1 In the section of your CV that's titled 0 2 09:37:01 Consultant, I believe that's on page 4? 3 А Yes, I'm there. 09:37:08 4 0 You have five different I quess 09:37:11 5 engagements. Were any of those consultancies 09:37:15 6 litigation-related? 09:37:22 7 Α None of them were litigation-related. 09:37:25 8 These were all technical consultancies. 09:37:27 9 MR. HAIGHT: Would you mark that Exhibit 09:38:14 1443 - 3?09:38:16 10 11 (1443 Exhibit Number 3 09:38:17 12 was marked for identification.) 09:38:17 13 09:38:37 THE WITNESS: Thank you. BY MR. HAIGHT: 09:38:38 14 15 Do you recognize what's been handed to you 09:38:48 0 as Exhibit 1443-3? 09:38:50 16 17 Α Yes, I do, counsel. This is my 09:38:56 18 declaration on the '532 patent that we are 09:39:12 19 discussing today. 09:39:16 20 Just we are clear on the technology --09:39:24 0 09:39:26 21 terminology, sorry, when you say the '532 patent, 22 are you referring to U.S. Patent Number 7,295,532? 09:39:30 23 Yes, I am, counsel. 09:39:35 А 24 And throughout the day if we refer to that 09:39:37 0

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		1
1	as the '532 patent, you'll understand what we are	09:39:39
2	talking about?	09:39:42
3	A Yes, counsel.	09:39:43
4	Q Thank you.	09:39:44
5	A Thank you.	09:39:45
6	Q Sitting here today, are you aware of any	09:39:55
7	mistakes or errors in this declaration?	09:39:58
8	A To the best of my knowledge, no.	09:40:05
9	Q And if I could have you flip to the last	09:40:14
10	page, I believe that's page 36 of Exhibit 1443-3?	09:40:16
11	A Yes, counsel.	09:40:21
12	Q Is that your signature about halfway down	09:40:22
13	the page on the right?	09:40:24
14	A Yes, it is, counsel.	09:40:26
15	Q If you could turn to I believe it's the	09:40:45
16	top of page 2 of your declaration, paragraph five	09:40:48
17	that continues over from page one to two. The last	09:41:00
18	sentence of paragraph five says: "My research is	09:41:10
19	funded by various industry, federal agencies,	09:41:13
20	including NSF, DARPA, ONR, DOE, et cetera, with an	09:41:16
21	average research funding of 1 million per year." Do	09:41:21
22	you see that?	09:41:24
23	A Yes, I do, counsel.	09:41:25
24	Q Do you have a sense of how much of that	09:41:26
]

SAYFE KIAEI, PH.D. - 03/15/2016 Page 23 09:41:29 1 average 1 million per year comes from the various 09:41:36 2 industries that you mentioned? 3 А I can't recall at this point. And if it 09:41:46 4 is coming from industry, it is coming through the 09:41:53 5 center, not directly as a one-to-one project with 09:41:58 6 industry. It's coming to the NSF Center Connection 09:42:01 7 One, and in there it's not a one-to-one specific 09:42:06 8 research project that we do work on. There is some 09:42:09 9 differentiations in there. 09:42:15 09:42:28 10 0 I think you mentioned the NSF Center Connection One? 09:42:32 11 09:42:32 12 Yes, I did. А 13 What is that? 09:42:33 0 It is the center which I am the director 09:42:35 14 А 15 It's the SNF industry university cooperative 09:42:38 of. research center. 09:42:45 16 17 Q That's what Connection One --09:42:46 09:42:48 18 А Connection One is the name of it. Right. 19 0 Thank you. 09:42:51 20 А 09:42:51 Sure. 09:43:04 21 To your knowledge, has any of your 0 22 research that's been funded through the NSF Center 09:43:06 23 Connection come from Apple? 09:43:13 24 09:43:21 Α No.

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SAYFE KIAEI, PH.D. - 03/15/2016 Page 24 09:43:22 1 0 Has any come from Samsung? 2 09:43:26 Not for my project. Samsung had a project Α 3 with another faculty. 09:43:29 09:43:30 4 0 But you were not involved in that? I was not involved in that. 5 Α 09:43:32 6 0 What about Blackberry, same question? 09:43:34 7 Α No. No Blackberry. 09:43:37 8 Would you say the majority of the funding 09:43:51 0 9 that comes in to the NSF comes from industry or 09:43:54 09:44:00 10 comes from the federal agencies? 11 For the Connection One Center? 09:44:02 Α 12 09:44:05 0 Yes. 13 Connection One Center funding is by 09:44:06 Α 09:44:08 14 membership, meaning that industry pays an annual fee 15 09:44:17 and becomes a member of the center, and as a result 16 of that, is able to work with various faculty and 09:44:23 17 students in the center. It's not a -- that's what I 09:44:29 18 said. It's not a direct one-to-one project. It's 09:44:34 19 not a statement of the work, do this job for me. 09:44:37 20 They are joining a federation I should say or a 09:44:41 They cannot have exclusive one-to-one 09:44:44 21 center. 22 project with X and Y. 09:44:48 23 Do you have any research engagements 09:44:59 0 24 09:45:01 outside of that center that would correspond to a

SAYFE KIAEI, PH.D. - 03/15/2016 Page 25 09:45:05 1 one-to-one in your role as a professor? 2 А This year -- with industry you mean? 09:45:07 Yes. 3 09:45:18 0 Yes. With industry this year, no. I don't 09:45:19 4 А 5 recall at least to the best of my knowledge. There 09:45:23 6 may have been some remnants from the past still 09:45:25 7 going on, but at this point, no, nothing this year. 09:45:29 In the past three years have you had any 8 09:45:33 0 9 research outside of the center funded by Apple, 09:45:38 09:45:44 10 Samsung or Blackberry? 09:45:48 11 I personally have not had any research А 12 funded by Apple or Samsung or Blackberry directly 09:45:51 13 with me. 09:45:56 09:45:57 14 In the last three years or ever? 0 15 09:46:06 If I'm not mistaken, ever. I don't Α 09:46:09 16 remember -- Apple I know for sure never ever. Blackberry I know for sure ever. And Samsung joined 17 09:46:14 18 the center, was working with another faculty. 09:46:17 That 19 also terminated I believe two or three years ago, 09:46:22 20 their membership in the center. 09:46:27 21 0 The membership ended two or three years 09:46:29 22 09:46:31 aqo? 23 Yes, they did. 09:46:31 А 24 09:46:33 How many members does the center currently 0

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	-	_
1	have?	09:46:35
2	A Currently I don't know. I can say	09:46:36
3	because it changes based on when their contract	09:46:41
4	ends. On average I would say anywhere from, it	09:46:45
5	ranges from eight to ten to 15.	09:46:52
6	Q Are either Apple or Blackberry currently	09:46:58
7	members?	09:47:02
8	A They are not.	09:47:03
9	Q Have they ever been?	09:47:04
10	A They have never been a member. To the	09:47:06
11	best of my knowledge they have never been a member.	09:47:08
12	I need to clarify one thing here. That's	09:47:14
13	why I said to the best of my knowledge, is because	09:47:16
14	the center is not just one university. We have, we	09:47:19
15	had four other universities. But overall I don't	09:47:25
16	remember the other universities have any of the	09:47:33
17	Samsung, Apple or Blackberry in their membership	09:47:36
18	either. I know Samsung was a member two, three	09:47:40
19	years ago with another faculty.	09:47:45
20	Q You mentioned four other universities.	09:47:49
21	What were those four?	09:47:52
22	A A couple of them completed their funding	09:47:55
23	in the center. The center at its maturity had	09:48:00
24	Arizona State University, University of Arizona at	09:48:07

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1	Tuscan, Ohio State University, Rensselaer	09:48:10
2	Polytechnic Institute or RPI, and University of	09:48:15
3	Hawaii. That was for our summer meetings.	09:48:20
4	Q I can imagine.	09:48:25
5	A They have a very strong communications	09:48:31
6	system.	09:48:33
7	Q Looking at paragraph six of your	09:48:42
8	declaration, you mentioned you designed a baseband	09:48:45
9	communication system for Motorola called Talkabout	09:48:48
10	Radio; is that correct?	09:48:52
11	A Yes, I did.	09:48:53
12	Q Would you briefly describe what Talkabout	09:48:54
13	Radio is?	09:48:57
14	A The Talkabout Radio of Motorola is a	09:48:58
15	sports radio, a short wave it's sports radios	09:49:02
16	that, two-way radios that you see also being sold in	09:49:06
17	the country. It's a two-way radio mostly used for	09:49:14
18	sports and talking one-to-one like a walkie-talkie	09:49:19
19	type of a radio system.	09:49:24
20	Q And are those radio frequency or RF based?	09:49:26
21	A Yes. They are RF based radio frequencies,	09:49:32
22	yes, I believe.	09:49:37
23	Q You also mentioned that you have been a	09:49:57
24	consultant on various projects with Intel; is that	09:50:00

		1
1	correct?	09:50:03
2	A Yes. I was.	09:50:04
3	Q When were when was that?	09:50:07
4	A It was in early 2000 time period, around	09:50:13
5	2000 I don't remember the exact date, 2002 or	09:50:20
6	three. It may have been around that time frame.	09:50:23
7	Maybe one year ahead of or before. Approximately	09:50:27
8	when I joined Arizona State I engaged with them.	09:50:30
9	Q And your engagements with Texas	09:50:42
10	Instruments and Sony Wireless and Tektronics, were	09:50:46
11	those roughly the same time period or did those	09:50:53
12	span	09:50:56
13	A Different time periods. They span from	09:50:56
14	1987 to 2004, five.	09:50:59
15	Q Moving down to paragraph 13 of your	09:51:54
16	declaration, which is on page 3 and into page 4, you	09:51:57
17	see a list of documents that you reviewed in	09:52:07
18	preparation for your declaration?	09:52:11
19	A Yes. These are the documents that I	09:52:24
20	reviewed, including the claims of the patent, in	09:52:26
21	view of the specification and the file history.	09:52:34
22	Q Do you recall reviewing any other	09:52:37
23	documents that are not listed here in, as you were	09:52:39
24	preparing your declaration?	09:52:46

09:52:57 1 I may have been looking at some references А 2 to familiarize myself with some of the terminology. 09:53:02 3 What types of references would those be? 09:53:06 0 4 Α I don't remember exactly. It could have 09:53:11 been IEEE papers and so forth or books, some of my 5 09:53:13 6 textbooks in my office refreshed my memory. 09:53:17 7 0 And are you aware that there is also a 09:53:25 8 currently pending litigation in U.S. District Court 09:53:28 9 related to this patent, the '532? 09:53:32 10 09:53:38 MR. MUKERJI: Yes or no question. 11 09:53:40 Α Yes. 12 Did you review or look at any documents 09:53:41 0 13 from that litigation in preparation of your 09:53:45 declaration? 09:53:48 14 15 09:53:48 А No. Is there any specific reason -- you 09:54:42 16 0 17 mentioned you may have consulted some other IEEE 09:54:44 09:54:49 18 papers or other references to familiarize yourself 19 with the terminology. Is there any particular 09:54:52 20 reason you would not have listed them in your 09:54:55 21 declaration here? 09:54:57 2.2 А No. 09:54:58 23 Is it fair to say that because they are 09:55:05 0 24 not listed here, you didn't rely on those 09:55:10

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1	references?	09:55:13
2	A Yes. On this declaration I only relied on	09:55:20
3	these references, but my knowledge in the field, my	09:55:24
4	expertise and if I have to brush up on some areas, I	09:55:26
5	looked at them. But everything I have here in my	09:55:31
6	declaration is based on these references.	09:55:35
7	Q In paragraph 15 you talk about the level	09:55:52
8	of ordinary skill in the art. Do you see that?	09:55:56
9	A Yes. I see paragraph 15.	09:56:05
10	Q And you said that a person of ordinary	09:56:11
11	skill at the time of the critical date would have	09:56:17
12	had a master's of science in an academic area	09:56:19
13	emphasizing electrical engineering, computer	09:56:24
14	engineering or computer science or a similar	09:56:27
15	technical master's degree or higher degree with	09:56:29
16	concentration in communication and networking	09:56:33
17	systems, or alternatively, a bachelor degree or	09:56:35
18	higher degree in an academic area emphasizing	09:56:39
19	electrical engineering, computer engineering or	09:56:43
20	computer science and having two or more years of	09:56:45
21	experience in communication and networking systems.	09:56:48
22	Did I read that correctly?	09:56:50
23	A Yes, you did. That is correct. Thank	09:56:52
24	you.	09:56:53

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1	Q Was that a definition that you arrived at	09:57:01
2	on your own?	09:57:09
3	A It was my recommendation based on my	09:57:15
4	knowledge. It may have been edited a little bit	09:57:19
5	jointly, but overall yes, it is my recommendation on	09:57:22
6	what a person of ordinary skill in the art as of the	09:57:27
7	critical date would be.	09:57:32
8	Q When you say edited jointly, to whom are	09:57:34
9	you referring to?	09:57:37
10	A When I wrote the document, my declaration,	09:57:39
11	the first draft, there was discussions with my	09:57:42
12	counsel and throughout that process. But the	09:57:47
13	overall definition and the requirements of a person	09:57:52
14	of ordinary skill in the art as of the critical	09:57:55
15	date, I agree with that, what's in here.	09:57:59
16	MR. HAIGHT: Can we mark that as 1443-4?	09:59:01
17	(1443 Exhibit Number 4	09:59:04
18	was marked for identification.)	09:59:04
19	BY MR. HAIGHT:	09:59:13
20	Q Dr. Kiaei, you have been handed what's	09:59:27
21	been marked as Exhibit 1443-4. Feel free to review	09:59:29
22	that exhibit in its entirety, but I will ask you	09:59:35
23	when you are ready if you recognize that document?	09:59:39
24	A Yes, I do recognize that document.	09:59:46

	SAYFE KIAEI, PH.D 03/15/2016 Page 32	1
1	Q What is Exhibit 1443-4?	09:59:48
2	A It is U.S. Patent 7,295,532.	09:59:52
3	Q And it's your understanding this is,	10:00:01
4	certain claims of this patent are the ones being	10:00:04
5	challenged in this IPR proceeding?	10:00:06
6	A That is my understanding.	10:00:09
7	Q When was the last time you reviewed the	10:00:18
8	'532 patent?	10:00:21
9	A I looked at the '532 patent the last few	10:00:28
10	days.	10:00:31
11	Q And when was the last time you reviewed	10:00:37
12	your declaration?	10:00:39
13	A Yesterday and this morning I briefly	10:00:48
14	skimmed through it.	10:00:51
15	Q In your declaration you stated that you	10:01:48
16	considered how to interpret the term, quote,	10:01:52
17	"software component loaded from one or more	10:01:56
18	devices connected to said one or more cellular	10:02:04
19	networks." Paragraph 24 for your reference. Do you	10:02:07
20	recall that?	10:02:13
21	A Yes. It is the first two lines on	10:02:23
22	paragraph 24.	10:02:27
23	Q And you state from your review you believe	10:02:30
24	that term should be interpreted as encompassing	10:02:32
]

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1	software components that are loaded from one or more	10:02:37
2	devices that are either directly or indirectly	10:02:40
3	coupled to one or more cellular networks; is that	10:02:42
4	correct?	10:02:48
5	MR. MUKERJI: There is a phrase in the	10:02:50
6	middle of that, counsel, which I'm sure you	10:02:51
7	accidentally left out. It says, "under the broadest	10:02:55
8	reasonable interpretation," for the clarity of the	10:02:58
9	record. I'm sorry. Your answer is.	10:03:00
10	A Thank you, counsel. What I have written	10:03:03
11	there in paragraph 24 is from my review I believe	10:03:06
12	the term should be interpreted under the broadest	10:03:09
13	reasonable interpretation standard as encompassing	10:03:13
14	software component that are loaded from one or more	10:03:16
15	devices that are either directly or indirectly	10:03:20
16	coupled to the one or more cellular networks, yes.	10:03:23
17	Q Did you arrive at that interpretation on	10:03:35
18	your own?	10:03:38
19	A This is my declaration, yes. In view of	10:03:41
20	the prior art, in view of my understanding as a	10:03:51
21	skilled and expert witness in this field.	10:03:56
22	Q Did you draft paragraph 24 in your	10:04:26
23	original drafting of your declaration?	10:04:31
24	MR. MUKERJI: Counsel, we are getting into	10:04:38
]

	SAYFE KIAEI, PH.D 03/15/2016 Page 34	-
1	drafts in an expert declaration, which I think are,	10:04:40
2	you know, probably outside the scope.	10:04:43
3	MR. HAIGHT: I'll ask a different	10:04:46
4	question.	10:04:47
5	MR. MUKERJI: And these things that I say	10:04:47
6	throughout the day, I expect these to be bilateral.	10:04:48
7	So when we depose your expert, we will abide by the	10:04:52
8	same guidelines.	10:04:57
9	MR. HAIGHT: I understand.	10:04:59
10	BY MR. HAIGHT:	10:04:59
11	Q So my question was, maybe more directly,	10:04:59
12	is that broadest reasonable interpretation of	10:05:01
13	encompassing software components that are loaded	10:05:05
14	from one or more devices that are either directly or	10:05:05
15	indirectly coupled to one or more cellular networks,	10:05:05
16	did you come up with that interpretation on your	10:05:12
17	own?	10:05:15
18	A As I said already, it is based on my	10:05:20
19	knowledge of understanding the wireless field and	10:05:26
20	cellular field and also the prior art that I have	10:05:31
21	mentioned. Yes, it is my statement in there, which	10:05:36
22	is my interpretation of my statement, yes.	10:05:43
23	Q Your statement on the last line of that	10:06:16
24	paragraph that such an interpretation is also not	10:06:18

	10:06:22
2 I'm sorry. Let me start again.	
	10:06:27
3 "Such an interpretation is also not	10:06:31
4 inconsistent with an understanding a POSITA would	10:06:33
5 ascribe to the term." Do you see that?	10:06:37
6 A Yes, I see that. Yes.	10:06:40
7 Q Is there another interpretation that a	10:06:42
8 person of ordinary skill would ascribe to that term?	10:06:49
9 MR. MUKERJI: Objection to form.	10:06:58
10 A I can't speculate what a POSITA may	10:07:00
11 interpret. It's a hypothetical question.	10:07:03
12 Q I understand. I'm just trying to	10:07:07
13 understand the scope of what it means to be not	10:07:08
14 inconsistent with that understanding. Would they	10:07:11
15 understand it to mean encompassing software	10:07:13
16 components that are loaded from one or more devices	10:07:17
17 that are either directly or indirectly coupled to	10:07:19
18 one or more cellular networks? Or would they	10:07:20
19 understand it to be something consistent with that?	10:07:23
20 A I think you are referring to the sentence	10:07:27
21 above that, which is, "accordingly, in my opinion,	10:07:28
22 this term should be construed under the broadest	10:07:33
23 reasonable interpretation standard as encompassing	10:07:40
24 software components that are loaded from one or more	10:07:42

	SAYFE KIAEI, PH.D 03/15/2016 Page 36	1
1	devices that are either directly or indirectly	10:07:45
2	coupled to said one or more cellular network."	10:07:47
3	So what I was saying in there was that	10:07:51
4	this interpretation is also not consistent with an	10:07:55
5	understanding of a POSITA would ascribe to this term	10:07:58
6	above.	10:08:03
7	MR. MUKERJI: Did you say not consistent	10:08:07
8	or not inconsistent?	10:08:08
9	THE WITNESS: Also not inconsistent.	10:08:11
10	Sorry if I misinterpret. It should be is also not	10:08:14
11	inconsistent with an understanding a POSITA would	10:08:17
12	ascribe to the term.	10:08:20
13	BY MR. HAIGHT:	10:08:23
14	Q And based on your declaration, is it fair	10:08:40
15	to say that you didn't think there were any other	10:08:43
16	terms in the challenged claims of the '532 that	10:08:47
17	needed interpretation?	10:08:52
18	A Can you repeat the question, please?	10:08:56
19	Q Sure. Is it fair to say that you didn't	10:08:58
20	think there were any other terms in the challenged	10:09:01
21	claims of the '532 patent that needed	10:09:03
22	interpretation?	10:09:06
23	A To the best of my knowledge, these are the	10:09:13
24	ones that I interpreted. There may be others, but I	10:09:15
]

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r			1
	1	did not discuss them and went through them or at	10:09:23
	2	least at this point what I have here, these are the	10:09:28
	3	terms that I am discussing which relates to, to the	10:09:32
	4	paragraph on what I mentioned before. If there are	10:09:40
	5	others, I didn't consider them at this point. That	10:09:42
	б	doesn't say that there is or isn't others.	10:09:46
	7	Q Is there anything in the term "software	10:10:21
	8	component loaded from the one or more devices	10:10:29
	9	connected to said one or more cellular networks"	10:10:31
	10	that in your opinion is ambiguous or unclear?	10:10:36
	11	MR. MUKERJI: Objection to form.	10:10:51
	12	A My interpretation of them is based on the	10:10:55
	13	discussions first in paragraph 24 I discuss that	10:11:00
	14	I believe the term should be interpreted under the	10:11:12
	15	broadest reasonable interpretation standard as	10:11:15
	16	encompassing software components that are loaded	10:11:18
	17	from one or more devices that are either directly or	10:11:20
	18	indirectly coupled to one or more cellular networks.	10:11:24
	19	So on the broadest reasonable interpretation is what	10:11:30
	20	I have stated in my analysis in my declaration.	10:11:33
	21	Are there other potential broader	10:11:38
	22	interpretation of that? That's a hypothetical	10:11:41
	23	question. What I have here is what I have all I	10:11:43
	24	have interpreted reasonably in my understanding.	10:11:47

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		7
1	Q So if I understand it, that's what you are	10:12:15
2	saying the broadest reasonable interpretation is in	10:12:22
3	your opinion. My question is a little bit more	10:12:27
4	nuanced, and that's why aren't the words in the	10:12:31
5	claim sufficient enough to understand that term?	10:12:38
6	MR. MUKERJI: Object to form.	10:12:47
7	A I describe this later on as well in the	10:13:29
8	same paragraph, 24, which is line one, two, three,	10:13:32
9	four, on line seven, that the '532 patent has no	10:13:37
10	disclosure of loading software component directly	10:13:47
11	from a device on a cellular network. Instead, the	10:13:49
12	only description of loading software component in	10:13:53
13	the '532 patent is with reference to loading network	10:13:56
14	service plug-ins from a manager server 102 on the IP	10:14:00
15	back-end network.	10:14:05
16	And in particular, the '532 patent states	10:14:08
17	that the manager server 102 is coupled to a carrier	10:14:14
18	backbone 104 which is depicted in Figure 1 as a	10:14:19
19	wired network. The next line after that I discuss	10:14:23
20	that the carrier backbone 104 is then coupled to a	10:14:27
21	wide area network 104 that included a cellular	10:14:33
22	network. Which leads me to conclude the conclusion	10:14:36
23	I have after that, that the only disclosure in the	10:14:41
24	'532 patent regarding downloading software component	10:14:44
1		1

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SAYFE KIAEI, PH.D. - 03/15/2016 Page 39 1 from a device to a cellular network is with 10:14:49 2 reference to downloading plug-ins from the manager 10:14:52 3 server 102 which is indirectly coupled via carrier 10:14:56 4 backbone 104 to a cellular network. 10:15:01 5 0 And is it your opinion that the claims of 10:15:30 б the patent are limited only to the specific 10:15:32 7 embodiments described in the specification? 10:15:40 8 MR. MUKERJI: Object to form. 10:15:43 9 Sorry. Can you repeat that? The last 10:15:46 А part you went quick. I couldn't follow it. 10 10:15:48 Is it your opinion that the claims of the 10:15:52 11 0 12 patent are limited only to the specific embodiments 10:15:55 13 described in the specification? 10:15:59 10:16:01 14 Same objection. MR. MUKERJI: 15 What I have done is I'm not an attorney 10:16:06 А 16 first of all. I'm a technical expert here. So I'm 10:16:10 17 looking at the understanding of the patent and the 10:16:13 10:16:18 18 claims of the patent in view of the body of the 19 patent, and looking at what I read in the patent 10:16:23 20 claim, and also how in the body of the patent it 10:16:30 21 described the software component loaded from one or 10:16:36 22 more devices connected to said one or more cellular 10:16:44 23 network. I, based on those things, I have come up 10:16:49

24 with an interpretation that I have outlined in

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10:16:53

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SAYFE KIAEI, PH.D. - 03/15/2016 Page 40 paragraph 24, that I have stated in paragraph 24. 10:16:56 1 2 I'm not an attorney. I didn't do a total 10:17:07 3 claim analysis and so on. 10:17:10 4 What do you mean when you say you didn't 10:17:42 0 do a total claim analysis and so on? 5 10:17:45 6 А I meant total. Sorry. What I mean here 10:17:48 7 is I looked at the -- I read the patent and the body 10:17:59 8 of the patent and understood what the patent is 10:18:03 9 saying, as well as looking at the claims, and based 10:18:07 10 on those, concluded my analysis of the patent which 10:18:18 is declared in this declaration, and the sentence I 11 10:18:25 12 just mentioned is my understanding of how that 10:18:29 sentence means which we just discussed at the 13 10:18:36 14 beginning of line 24. 10:18:39 15 I was not -- that's it. That's what I 10:18:49 16 mean. 10:18:52 17 Q If I could direct your attention to column 10:18:59 18 five of the '532 patent, and starting at line about 10:19:02 19 62 where it says, "in an embodiment of present 10:19:20 20 invention." Do you see that? 10:19:25 21 Α Yes. 10:19:27 22 Could you read that first sentence for the 10:19:28 0 23 10:19:30 record? 24 "In an embodiment of the present 10:19:33 Α Sure.

[SAYFE KIAEI, PH.D 03/15/2016 Page 41	
1	invention, WAN 105, carrier backbone 104, and	10:19:39
2	manager server 102 is, singly or in combination, a	10:19:46
3	telecommunication network that is managed and	10:19:52
4	monitored by operator 115. In an embodiment of the	10:19:56
5	present invention, IP packets," do you want me to	10:20:01
6	continue on?	10:20:05
7	Q No, no. That's good.	10:20:06
8	A Stop there.	10:20:08
9	Q So it says singly or in combination;	10:20:08
10	correct?	10:20:11
11	A Yes, it does, yes.	10:20:15
12	Q Does that change in any way your	10:20:17
13	interpretation of that term we've been discussing?	10:20:21
14	A I don't believe so, no.	10:20:34
15	MR. HAIGHT: We've been going for a little	10:20:43
16	over an hour. Let's take a break.	10:20:45
17	THE WITNESS: That would be great. Thank	10:20:48
18	you.	10:20:49
19	VIDEOGRAPHER: This concludes disk number	10:20:50
20	one of the video deposition of Sayfe Kiaei, Ph.D.	10:20:51
21	The time is 10:20:08 a.m. We are now off the	10:21:00
22	record.	10:21:04
23	(Recessed at 10:20 a.m.)	10:21:05
24	(Reconvened at 10:34 a.m.)	10:21:06

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		1
1	VIDEOGRAPHER: This begins disk number two	10:25:08
2	of the video deposition of Sayfe Kiaei, Ph.D. The	10:35:16
3	time is 10:34:34 a.m. We are now on the record.	10:35:21
4	BY MR. HAIGHT:	10:35:26
5	Q Welcome back, doctor.	10:35:27
6	A Thank you, counsel.	10:35:29
7	Q During the break did you happen to discuss	10:35:31
8	any of the substance of your testimony with counsel?	10:35:33
9	A No, I did not.	10:35:36
10	Q Revisiting the claim construction issue	10:35:38
11	that we were talking about before the break, you	10:35:55
12	only provided one or explained only one construction	10:35:58
13	in your declaration; is that correct?	10:36:02
14	A I'm not sure you are talking about the	10:36:08
15	sorry. Let me you are talking about the	10:36:15
16	paragraph 24 where I discussed used in claim one	10:36:20
17	under the broadest reasonable interpretation;	10:36:25
18	correct?	10:36:27
19	Q Yes.	10:36:28
20	A That is the yeah, I believe in my	10:36:29
21	declaration this is the only place where I	10:36:44
22	specifically discuss how I interpreted that	10:36:47
23	terminology there.	10:36:52
24	Q And backing up one paragraph to paragraph	10:36:54

	SAYFE KIAEI, PH.D 03/15/2016 Page 43	1
1	23, you state that, "I have been informed that it	10:36:57
2	would be useful to provide some guidance in this	10:37:00
3	proceeding with respect to the terms below." Do you	10:37:03
4	see that?	10:37:05
5	A Yes.	10:37:06
6	Q Who informed you of that?	10:37:07
7	A The counsel I'm working with.	10:37:09
8	Q Was the term you identified in paragraph	10:37:16
9	24, was that specifically identified by counsel as a	10:37:20
10	term that would be useful to provide some guidance	10:37:25
11	for?	10:37:28
12	A The exact sentence, no, it was not. It	10:37:37
13	was my interpretation and they provided some	10:37:41
14	guidance with respect to the term below.	10:37:44
15	Q I'm sorry? You said it was your	10:37:58
16	interpretation and they provided some guidance.	10:38:00
17	What do you mean by that?	10:38:03
18	A When I was writing the report, I had a	10:38:05
19	first draft and there were some edits as we went	10:38:12
20	back and forth doing the process of finalizing my	10:38:16
21	report. So I don't recall the details of it. It	10:38:20
22	was at least nine months ago. It was around May	10:38:23
23	time frame. This was not the only patent also I was	10:38:28
24	working on. So I don't remember all the exact	10:38:32
1		

	SAYFE KIAEI, PH.D 03/15/2016 Page 44	L 7
1	details, but I can say that in general the statement	10:38:34
2	I have here has been, are my statements but there	10:38:39
3	have been some edits. In there I'm not an	10:38:43
4	attorney, so there may have been some technical	10:38:49
5	attorney language issues in general with all the	10:38:53
6	statements, but not the declaration is my	10:38:55
7	declaration.	10:38:58
8	Q And based on your declaration, there were	10:39:08
9	no other terms in the claims of the '532 that you	10:39:10
10	thought would be useful to provide some guidance on;	10:39:17
11	is that correct?	10:39:21
12	A I'm sorry. That's a very broad statement	10:39:26
13	you are making. If you could focus on more	10:39:29
14	specifics, that would be helpful. For this	10:39:32
15	particular statement I have stated statement number	10:39:34
16	24, under the broadest reasonable interpretation.	10:39:40
17	Other claims are different discussions.	10:39:45
18	Q But you didn't discuss them in your	10:39:50
19	declaration? That's the only	10:39:52
20	A Yeah. I did not discuss them in my	10:39:55
21	declaration. There may have been discussions I	10:39:57
22	have not discussed them in my declaration. I don't	10:39:59
23	recall it.	10:40:03
24	Q And is it your opinion that a person of	10:40:04

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		•
1	ordinary skill in the art would understand the	10:40:07
2	claims of the '532 strike that. Let me start	10:40:10
3	again.	10:40:14
4	Is it your opinion that a person of	10:40:15
5	ordinary skill in the art would understand the	10:40:18
6	challenged claims of the '532 patent without further	10:40:21
7	explanation?	10:40:27
8	A It is my understanding that a person of	10:40:31
9	skilled in the ordinary art by reading the body of	10:40:34
10	the patent and in view of the body of the patent and	10:40:38
11	what the body of the patent says and also looking at	10:40:43
12	the claims, they should be able to understand	10:40:46
13	details of the patent and what it's describing.	10:40:51
14	Q And when you say understand the details of	10:41:04
15	the patent and what it's describing, are you also	10:41:07
16	referring to the claims?	10:41:09
17	A Yes. Understanding the claims in view of	10:41:10
18	the body of the patent is, is	10:41:12
19	Q So the excluding the term that you	10:41:19
20	provided some guidance on in paragraph 24, a person	10:41:26
21	of ordinary skill in the art would understand what	10:41:28
22	those claims mean without further interpretation	10:41:31
23	based on the specification?	10:41:34
24	A It's a hypothetical question. It depends	10:41:40

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1	on what the claim, how the claims are written, what	10:41:42
2	the claims say, the language in there and so on. If	10:41:45
3	you want a general answer, I can give you a general	10:41:52
4	answer for a hypothetical question.	10:41:55
5	Q Okay. But you didn't think it was	10:41:58
6	necessary to explain any other terms of the	10:42:02
7	challenged claims of the '532 patent in your	10:42:06
8	declaration; correct?	10:42:09
9	A In my declaration I did not explain any	10:42:10
10	further interpretation of the other claims.	10:42:13
11	Q And is that because those terms are easily	10:42:17
12	understood by one of skill in the art?	10:42:21
13	MR. MUKERJI: Objection to form.	10:42:29
14	A Again as I said, it's a hypothetical	10:42:30
15	question. Depending on the claim language and the	10:42:31
16	body of the claim and how they are written, I can	10:42:35
17	give you a general answer. In general, again if you	10:42:45
18	want a general answer, I'll be happy to give you a	10:42:47
19	general answer.	10:42:50
20	Q I'm asking about I'm sorry.	10:42:50
21	A If you would like to talk about a specific	10:42:52
22	claim	10:42:55
23	Q I'm just referring to the section in your	10:42:56
24	declaration where you discuss terminology. And as I	10:42:58
]

	SAYFE KIAEI, PH.D 03/15/2016 Page 47	1
1	read your declaration, you've only offered one	10:43:03
2	interpretation of one phrase in all of the	10:43:07
3	challenged claims of the '532 patent; is that	10:43:11
4	correct?	10:43:14
5	A Yes.	10:43:15
6	Q So is it fair to say that you understood	10:43:16
7	those claims without prior explanation?	10:43:19
8	MR. MUKERJI: Objection to form.	10:43:27
9	A I understood the claims after I read the	10:43:30
10	body of the patent and understood what the body of	10:43:34
11	the patent was trying to say, and based on those I	10:43:37
12	understood that.	10:43:41
13	Q And you also agree that a person of	10:43:46
14	ordinary skill in the art would come to that same	10:43:48
15	conclusion reading the claims and specifications?	10:43:52
16	MR. MUKERJI: Objection to form and also	10:43:55
17	outside the scope. You can answer.	10:43:56
18	A Thank you. Again I'm going to give you a	10:44:01
19	general answer, but in my declaration also on the	10:44:07
20	same paragraph 24, at the end of it I discuss that	10:44:11
21	such an interpretation is also not consistent with	10:44:14
22	an understanding a POSITA would ascribe to the term.	10:44:16
23	So in general for specific to this claim language	10:44:20
24	24, I explain that and my explanation is also in	10:44:25

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1	terms of what the POSITA would understand is at the	10:44:34
2	end of it. Other ones I don't have it in my	10:44:36
3	declaration. I don't want to speculate. There may	10:44:41
4	or may not be. If there is any specific ones, we	10:44:46
5	can discuss.	10:44:50
6	Q In your analysis did you think it was	10:45:27
7	important to consider how a person of ordinary skill	10:45:30
8	in the art would interpret the language of the	10:45:33
9	challenged claims?	10:45:36
10	A In general, yes, when I'm doing my	10:45:48
11	analysis, I'm an expert, technical expert in this	10:45:52
12	field. And I also described in paragraph 15 what a	10:45:56
13	person of ordinary skill in the art as a critical	10:46:16
14	date background should have to understand these	10:46:20
15	things, and the various educational background or	10:46:23
16	industry background to be able to understand these	10:46:29
17	things.	10:46:35
18	So when I was reviewing this, I was	10:46:36
19	reviewing this as an expert in the field, but also	10:46:38
20	my interpretation of what I have come up with in	10:46:45
21	paragraph 24, as I specifically said, is also not	10:46:49
22	inconsistent with an understanding a POSITA would	10:46:52
23	ascribe to this term. So I yes, I do.	10:46:56
24	Q So in your consideration of how a person	10:47:01

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			1
	1	of ordinary skill in the art would interpret the	10:47:04
	2	language of those challenged claims, if there were	10:47:08
	3	something ambiguous in that claim language, would	10:47:12
	4	you have included it in your declaration?	10:47:15
	5	A In that, for that specific claim one?	10:47:18
	6	Q For any of the challenged claims in the	10:47:21
	7	'532 patent.	10:47:23
	8	A In view of a POSITA?	10:47:25
	9	Q Yes.	10:47:27
	10	A If it was any of the challenged claims and	10:47:31
	11	I believed that the terminology it's a	10:47:35
	12	hypothetical question. I can't I mean if you	10:47:37
	13	have a specific claim, I can go and look at it. You	10:47:39
	14	know, this patent had how many claims does it	10:47:43
	15	have? It's more than 31, right? 31 claims?	10:47:51
	16	Anyhow, this patent had all these claims	10:48:02
	17	in here and I was dealing also with four other	10:48:05
	18	declaration. I did my best at the time I was	10:48:10
	19	writing the report, I did my best of understanding	10:48:13
	20	these and stating how a POSITA would interpret that.	10:48:18
	21	Hypothetically is it possible that there is a claim	10:48:22
	22	language in there which I did not I can't say	10:48:26
	23	right now if there is a claim, a specific claim. I	10:48:29
	24	can look at it and give you my opinion whether a	10:48:32
1			1

	SAYFE KIAEI, PH.D 03/15/2016 Page 50)
1	POSITA would understand that or not.	10:48:35
2	Q Did you review all of the challenged	10:48:39
3	claims in your analysis of the '532 patent?	10:48:42
4	A Yes, I did.	10:48:45
5	Q And again the only term of all of those	10:49:21
6	challenged claims that you discussed in your	10:49:24
7	declaration is that phrase from claim one; is that	10:49:26
8	correct?	10:49:29
9	A The only one of the claims that I have	10:49:34
10	stated in my statement which I discuss it in terms	10:49:36
11	of broadest reasonable interpretation is specified	10:49:40
12	in paragraph 24, yes.	10:49:47
13	Q So if you could turn to Figure 1 of the	10:51:13
14	'532 patent that's Exhibit 1443-4? What is Figure 1	10:51:16
15	of the '532 patent depicting?	10:51:39
16	A Figure 1 is a high level abstract over	10:51:46
17	figure that shows an architecture of a system 100,	10:51:52
18	which consists of a wide band area network, with	10:51:58
19	cellular carrier backbone Internet servers, manager	10:52:13
20	servers and communications on the left side. On the	10:52:21
21	right side local area network and the terminals	10:52:26
22	within the short range radio signals, and a device	10:52:31
23	106 which is coupled to a LAN as well as to a	10:52:41
24	wireless area network to the left.	10:52:50

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1	Q And when you demarcate things from left	10:52:54
2	versus right, where is the breaking point that you	10:52:58
3	are referring to there in Figure 1?	10:53:00
4	A It is vague, but somewhere in the device	10:53:08
5	106 where the device 106 is acting as a gateway	10:53:11
6	between the wireless area network on the left and	10:53:17
7	the local area network on the right. Again this is	10:53:22
8	an abstract high level description of the system,	10:53:35
9	very abstract and very high level that I would show	10:53:39
10	in my junior level classes of the overall system	10:53:43
11	architecture of what a wireless network connected to	10:53:48
12	a local area network and so on would look like.	10:53:53
13	Q And would you agree that the systems, the	10:54:08
14	challenged claims in the '532 are represented by the	10:54:15
15	diagram in Figure 1?	10:54:21
16	MR. MUKERJI: Objection to form.	10:54:23
17	A I did not look at every claim and see if	10:54:30
18	that those claims are described in every one of them	10:54:33
19	described in Figure 1 or not. Again as I said, it's	10:54:37
20	an abstract high level description of it. What's	10:54:40
21	inside the server 101, how is it interfacing with	10:54:43
22	the Internet or the descriptions of the claims have	10:54:48
23	a one to one how they are shown in the Figure 1 and	10:54:54
24	so on, I did not do that.	10:54:57

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1 And I think you said that the dividing 10:55:00 0 line between the left side and the right side of 2 10:55:06 3 this figure is somewhere in device 106? 10:55:08 4 I did not say it is within the device 106, 10:55:15 Α 5 but the device 106, if you look at my paragraph 19 10:55:18 6 of my declaration, device 106 is coupled to a LAN by 10:55:23 7 short range wireless signals -- by wireless 10:55:29 8 connection 110 and is also coupled to the WAN 105 by 10:55:32 9 cellular connection 111. Exactly where we draw the 10:55:38 10 dividing line in there, the main thing is that the 10:55:43 device is coupled to both LAN and to wireless area 11 10:55:47 12 network on the right. 10:55:51 13 Again this is an abstract configuration 10:55:53 14 which only shows a picture of a cell phone without 10:55:56 15 any description, block level diagrams internally, 10:56:00 what are the various hardware, software components, 16 10:56:03 17 how they are managed, how they interface with the 10:56:07 18 two network on the short range wireless network and 10:56:12 19 the wireless wide band area network on the left and 10:56:16 20 right and so on, those details are not depicted in 10:56:20 21 that diagram in Figure 1. 10:56:24 22 It's a very abstract high level 10:56:25 23 description of it. This would be like a first slide 10:56:28 24 I would show in my junior classes, here is a 10:56:31

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		7
1	wireless system, undergraduate junior level class in	10:56:34
2	communications.	10:56:39
3	Q And at least from the high level	10:56:57
4	abstraction reflected in Figure 1, the devices that	10:56:59
5	you had identified that are on the right side of the	10:57:04
6	figure, those being the terminals 107	10:57:08
7	A Uh-huh.	10:57:15
8	Q those all would seemly communicate to	10:57:16
9	the wide area network through that cell phone, or	10:57:21
10	I'm sorry, through that device 106; is that correct?	10:57:24
11	A The device 106 is a gateway which is in	10:57:35
12	general, yes, it is coupled to both the LAN and the	10:57:50
13	wide area network, and if you look at the fourth	10:57:54
14	line in my paragraph 19 again, it describes some of	10:57:58
15	the routing of the packets where device 106 has	10:58:05
16	software for routing packets between the LAN 116 and	10:58:10
17	the WAN 105. For example, the device 106 has	10:58:12
18	installed a microrouter 404 with the software to	10:58:18
19	route communications between local devices 107 and	10:58:19
20	the LAN, on the LAN 116 and the wide band area	10:58:26
21	network 105. So in general, yes, your answer is.	10:58:31
22	Q And in the context of the '532 patent,	10:58:39
23	those terminals on the local area network, the LAN	10:58:43
24	116, those aren't able to connect to the wide area	10:58:49

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		1
1	network independent of that device 106; is that	10:58:59
2	correct?	10:59:01
3	A In the configuration that is shown in	10:59:14
4	Figure 1, those are coupled and connected to the	10:59:17
5	wide area network via the gateway 106, device 106.	10:59:21
6	Q Does the specification of the '532 patent	10:59:34
7	describe or detail any scenario in which the	10:59:53
8	terminals 107 could communicate with the wide area	10:59:57
9	network without using the device 106? Let me reask	11:00:01
10	that.	11:00:08
11	MR. MUKERJI: I apologize.	11:00:09
12	BY MR. HAIGHT:	11:00:12
13	Q Does the specification of the '532 patent	11:00:12
14	describe or detail any scenario in which the	11:00:16
15	terminals 107 communicate with the wide area network	11:00:27
16	105 without the use of device 106?	11:00:33
17	A Does the patent describe any of those, any	11:01:05
18	other configuration that would I don't believe it	11:01:09
19	does, with the different it has a variety of	11:02:25
20	different scenarios for what the device 106 could	11:02:29
21	be, which is a gateway, but I don't believe that it	11:02:33
22	has any description of if the local area network on	11:02:37
23	the right 110 sorry local area, yes, short	11:02:43
24	range radio signal without a gateway of some sort,	11:02:48

SAYFE KIAEI, PH.D. - 03/15/2016 Page 55 1 in all the different descriptions it has, in an 11:02:54 abstract level again, would be able to communicate 2 11:02:58 3 with a cellular network on the left. 11:03:01 I want to dig in a little bit on the 4 11:03:12 0 cellular network on the left. Would it be fair to 5 11:03:17 6 say that -- well, let me ask this. What is your 11:03:19 7 understanding of what WAN or wide area network 105 11:03:23 11:03:30 8 is in Figure 1? 9 Α In Figure 1, again in an abstract high 11:03:48 level description they have, it consists of all the 10 11:03:51 elements that they have shown, which is the cellular 11 11:03:55 12 signal, Internet, backbone, manager server, the 11:04:00 13 communication operator, server 101 and so on. It's 11:04:08 14 a compilation of all of those together, which they 11:04:11 15 call the wide area network. 11:04:16 16 0 And as one of ordinary skill in the art, 11:04:21 17 could you briefly describe what a wide area network 11:04:26 18 means? 11:04:29 19 A description of that is -- it really 11:04:41 Α 20 depends on the implementation, on the frequencies it 11:04:45 21 is, on what the distance could be, what the 11:04:53 geographical location is and so forth. It could 22 11:04:56 23 change by signal strengths of the, whether it's a 11:05:01 wire line signal or wireless signal and so forth 24 11:05:06

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1	what it is.	11:05:12
2	That's actually one of the ambiguities of	11:05:13
3	the, of this patent as well. But in general	11:05:17
4	wireless area network is a, is a wide area network	11:05:20
5	which is, has there is no clear definition of it	11:05:41
6	in the patent and here as well is that it's a wide	11:05:49
7	area network which is connecting these different	11:05:52
8	components, and it depends on the signal and depends	11:05:58
9	on the signal power strength and what are the	11:06:00
10	different components it's connecting.	11:06:03
11	Q I want to go back to something. You said	11:06:08
12	that's one of the ambiguities of this patent. What	11:06:10
13	were you referring to?	11:06:13
14	A Oh, I was referring I apologize. I	11:06:15
15	misspoke there. I was referring to the Figure 1	11:06:17
16	which is a very abstract high level description of	11:06:20
17	the system.	11:06:22
18	Q Let me ask you this way. How would one of	11:06:26
19	ordinary skill in the art distinguish a wide area	11:06:33
20	network from a local area network?	11:06:37
21	MR. MUKERJI: Objection to form.	11:06:42
22	A There is really no clear definition of	11:08:20
23	wide area network in the what I have described in	11:08:22
24	my declaration is on paragraph 19 again, that the	11:08:31

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		1
1	device is coupled to a LAN by a short range radio,	11:08:38
2	short range wireless connection and it's coupled to	11:08:42
3	a WAN with a cellular connection 111.	11:08:46
4	So from that perspective, we are looking	11:08:51
5	at the LAN by short range wireless connection 110,	11:08:56
6	which is connecting the terminals 107 together, and	11:08:59
7	in the patent, by the way, it describes other	11:09:04
8	configuration of that local area network. An	11:09:06
9	example of this would be a Bluetooth type of	11:09:12
10	configuration.	11:09:15
11	And on the left side is coupled to a wide	11:09:16
12	band area network, which is through that system on	11:09:20
13	the wireless area network is connected by cellular	11:09:25
14	connection to the device 116. So one of the	11:09:29
15	elements to the device sorry, 106. So one of the	11:09:32
16	elements of the WAN is the cellular network and	11:09:36
17	other configurations and other equipments and	11:09:40
18	architectures that they have in there.	11:09:46
19	Q So the cellular network would be an	11:09:49
20	example of a wide area network; is that correct?	11:09:54
21	A That's not what I said. It is an element	11:09:59
22	of the wide area network, it could be an element of	11:10:01
23	the wide area network. It's not a one-to-one	11:10:05
24	connection. The wide area network will have many,	11:10:08

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		7
1	many elements in there which could be a, the server,	11:10:12
2	the Internet, the communication operator, manager	11:10:16
3	server, a private IP network, a number of other	11:10:21
4	things which connects it together. So a cellular	11:10:25
5	connection or a cellular network is part of the	11:10:32
6	elements of that.	11:10:34
7	Now, is there a possibility that the	11:10:42
8	cellular network could not be part of it? That's	11:10:44
9	speculation, high level. I'm not going to speculate	11:10:47
10	on that if there are other systems.	11:10:49
11	Q So would you consider the AT&T wireless	11:10:52
12	cellular network to be on the same wide area network	11:11:02
13	as say a Verizon cellular network?	11:11:06
14	MR. MUKERJI: Objection to form and	11:11:10
15	outside the scope.	11:11:10
16	A Personally I'm not aware of the details of	11:11:15
17	the AT&T or Verizon network or what's inside of it.	11:11:18
18	They provide cellular connection to the customers,	11:11:25
19	but I haven't looked at all the details of how their	11:11:28
20	configuration is. So I'm not going to speculate on	11:11:31
21	that. I was not asked to look at the architecture	11:11:34
22	of AT&T or Verizon, neither do I have that	11:11:38
23	confidential information on how they do that.	11:11:42
24	Q What is your understanding of what the	11:12:09

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1	carrier backbone 104 as depicted in Figure 1 of the	11:12:13
2	'532 patent is?	11:12:19
3	A My understanding is on page 8 of my	11:13:21
4	declaration. Paragraph 24, line 3 from the bottom,	11:13:24
5	which is actually sorry. Let's go back up to	11:13:27
6	line five from the bottom. In particular, the '532	11:13:33
7	patent states that manager server 102 is coupled to	11:13:38
8	a carrier backbone 104 which is connected to Figure	11:13:41
9	1 as wired network. And the next line is carrier	11:13:45
10	backbone 104 is then coupled to a WAN, wide area	11:13:49
11	network that includes a cellular network.	11:13:54
12	So as I mentioned in here, it's a backbone	11:14:00
13	which connects a, as shown in Figure 1, connecting a	11:14:03
14	manager server to the wide area network and to the	11:14:06
15	cellular network.	11:14:14
16	Q Does it also connect the cellular network	11:14:18
17	to the Internet 103?	11:14:22
18	A Elements of wide area network includes the	11:14:28
19	Internet 103 as well.	11:14:31
20	Q That wasn't my question. My question was	11:14:33
21	whether the carrier backbone as depicted in Figure 1	11:14:37
22	connects to Internet 103?	11:14:40
23	A It shows a dotted line in there. How that	11:14:42
24	connection is made and how it does that, it doesn't	11:14:47

	SAYFE KIAEI, PH.D 03/15/2016 Page 60)
1	show the details of it. The dotted line is, there	11:14:49
2	is no clear description of how the connection there	11:14:58
3	is made.	11:15:02
4	Q Would one of ordinary skill in the art	11:15:08
5	understand how a carrier backbone connects to the	11:15:11
6	Internet?	11:15:14
7	MR. MUKERJI: Objection to form. Outside	11:15:16
8	the scope.	11:15:17
9	A I did not go into details of how one	11:15:31
10	skilled in the art would understand the carrier	11:15:43
11	backbone and its connection to Internet 103 and so	11:15:46
12	forth.	11:15:51
13	Q So sitting here today, you don't know how	11:16:24
14	that carrier backbone 104 connects to the Internet	11:16:32
15	103 in the context of the '532 patent?	11:16:36
16	MR. MUKERJI: Objection to form.	11:16:40
17	A That's not what I said. Your last	11:16:41
18	question was would a person of skill in the art know	11:16:43
19	the details of this. And my answer was I did not	11:16:51
20	analyze what a person skilled in the art would know	11:16:55
21	the details of the connection of carrier backbone to	11:16:58
22	the Internet. If you like a general answer, I can	11:17:01
23	give you a general answer. But the specifics of how	11:17:06
24	it's done, it depends on the configurations and so	11:17:09

		1
1	forth.	11:17:12
2	Q Is it your opinion that you wouldn't need	11:17:21
3	to know how a carrier backbone works in order to	11:17:23
4	understand the claims of the '532 patent?	11:17:26
5	A No. That's not what I said. What I said	11:17:34
6	was that the details of the connection on 104 to the	11:17:37
7	Internet and so forth depends on the configuration	11:17:41
8	and depends on how it's done and what the	11:17:45
9	architecture is. It depends on many aspects. It	11:17:47
10	depends on where the manager server is, the band	11:17:51
11	width of the manager server, the hardware involved	11:17:55
12	in there, the software, how it many, many factors	11:17:58
13	in there. It's not a that's not what I said.	11:18:02
14	Q Just to clarify, I'm not disputing what	11:18:09
15	you said before. I'm just trying to clarify my	11:18:12
16	question. So I'm not	11:18:14
17	A Sure. I appreciate it.	11:18:16
18	Q Based on the diagram of Figure 1 and the	11:18:21
19	description in the '532 patent, would you agree that	11:18:26
20	the device 106 connects to the carrier backbone	11:18:37
21	through the cellular network and that carrier	11:18:49
22	backbone is connected to the Internet?	11:18:53
23	A I think I already mentioned the statement	11:19:06
24	in paragraph 19, which is device 106 is coupled to a	11:19:09

		1
1	LAN by short range wireless connection 110 and is	11:19:13
2	coupled to wide area network by cellular connection	11:19:16
3	111, and what is depicted in Figure 1 includes, the	11:19:20
4	wide area network includes the Internet, manager	11:19:26
5	server, server 101, et cetera. And when I was	11:19:30
6	discussing the terminology as well on on	11:19:38
7	paragraph 24, I specifically also said that, in line	11:20:03
8	3, that from my view I believe the term should be	11:20:06
9	interpreted under the broadest reasonable	11:20:10
10	interpretation standard as encompassing software	11:20:12
11	components that are loaded from one or more devices	11:20:15
12	that are either directly or indirectly coupled to	11:20:18
13	one or more cellular network, which means that the	11:20:21
14	device 106 is directly or indirectly coupled to one	11:20:25
15	or more cellular networks through this	11:20:30
16	configuration.	11:20:33
17	Q Okay. And according to the diagram of	11:20:35
18	Figure 1 and the specification of the '532 patent,	11:20:47
19	would you agree the only way for the device 106 to	11:20:50
20	communicate with any of the identified items on the	11:20:54
21	wide area network is through the carrier backbone	11:21:01
22	104?	11:21:14
23	MR. MUKERJI: Object to form and outside	11:21:21
24	the scope.	11:21:22

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1	A It really depends on the architecture of	11:21:25
2	the system. Those elements are needed in the	11:21:28
3	system. The configuration of how the connection	11:21:37
4	could be could be different from a system to a	11:21:43
5	system in a wide area network. So whether the	11:21:45
6	connection is made through that or not, first of	11:21:51
7	all, in Figure 1 it's showing all of the connections	11:21:54
8	as dotted line, and there is no clear depiction of	11:21:58
9	what's going on in there. And also it's a very	11:22:02
10	abstract terminology in the figure which shows	11:22:05
11	overall high level of what's going on here. There	11:22:08
12	are many other configurations that this could be	11:22:12
13	done, how the device 106 could connect to the	11:22:16
14	various elements in here.	11:22:19
15	Q Okay. Figure 1 shows device 106	11:22:22
16	connecting via cellular signals 111 to the carrier	11:22:26
17	backbone 104. Do you agree?	11:22:32
18	A With the dotted line it connects that	11:22:36
19	going to this, I presume that's a base station	11:22:38
20	figure, the one with the circles on top and antenna.	11:22:42
21	To that is connecting, and what's in between that	11:22:47
22	and how it's connecting directly or indirectly to	11:22:50
23	the backbone is showing a figure, showing the lines	11:22:52
24	between them. Yeah, I agree it shows the lines	11:22:57
1		1

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		7
1	between them.	11:22:59
2	Q And you mentioned a base station. Is a	11:23:00
3	base station a part of a cellular network?	11:23:02
4	A It doesn't say any details of it, how what	11:23:05
5	it is in here. Not necessarily. I don't it	11:23:08
6	shows a all I said in here was that it shows an	11:23:13
7	antenna with a, I presume those are electromagnetic	11:23:17
8	waves going around in the circles. It's showing	11:23:20
9	some form of a, an antenna with the radio signals	11:23:26
10	going in there. Whether it's a part of a cellular	11:23:32
11	system or not, it doesn't say that. I can't	11:23:36
12	speculate on that.	11:23:38
13	Q Okay. If you could look at paragraph 20	11:23:39
14	of your declaration? And actually we will go to the	11:23:44
15	second line on page 7. It starts in turn, comma.	11:23:49
16	Do you see that?	11:23:57
17	A Uh-huh.	11:23:58
18	Q Could you read that sentence for the	11:23:59
19	record?	11:24:00
20	A Sure. It says that: "In turn, the	11:24:00
21	carrier backbone 104 is coupled to a WAN that	11:24:04
22	includes a cellular network accessible by device 106	11:24:09
23	via cellular signal 111."	11:24:14
24	Q So based on that statement, do you agree	11:24:17

	SAYFE KIAEI, PH.D 03/15/2016 Page 65) 7
1	that the device 106 is connected to the carrier	11:24:19
2	backbone via cellular signals 111?	11:24:22
3	A My statement is correct, yes, in terms of	11:24:26
4	how in Figure 1 it is depicted. But I also have a	11:24:29
5	statements before that say that what's shown in	11:24:34
6	Figure 1 is not one has to look at this	11:24:37
7	connection as direct or indirect connections. There	11:24:47
8	is some connection between the device 106 and	11:24:51
9	carrier backbone 104 as I described in my statement	11:24:53
10	line 22.	11:24:59
11	Q Okay.	11:25:00
12	A What I'm describing here is, this is a	11:25:01
13	very abstract high level description of it.	11:25:03
14	Q I understand that. Now let's talk about	11:25:05
15	the specification of the '532 patent. Is there	11:25:07
16	anywhere in the specification that describes any	11:25:11
17	other connection between device 106 and the carrier	11:25:13
18	backbone that is not through a cellular network or	11:25:16
19	through cellular signals?	11:25:21
20	A I'll have to look at the patent if you	11:25:46
21	don't mind for a few minutes.	11:25:48
22	Q Sure.	11:25:50
23	A And see if so your question again was,	11:25:51
24	can you repeat it again, if you don't mind?	11:25:55

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		7
1	Q Is there anywhere in the specification of	11:25:59
2	the '532 patent that describes any other connection	11:26:02
3	between the device 106 and the carrier backbone 104	11:26:05
4	that is not through a cellular network?	11:26:11
5	A Okay. So if you don't mind, let's look at	11:26:14
6	the patent, column 5, line 52. And I'm going to	11:29:46
7	read that as well as a few lines from column six if	11:29:56
8	you don't mind to clarify your question here.	11:29:59
9	"In an embodiment of the present	11:30:06
10	invention, WAN 105 is coupled to device 106. In an	11:30:07
11	embodiment of the present invention, WAN 105	11:30:12
12	includes a cellular network transmitting and	11:30:18
13	receiving cellular signals. In an embodiment of the	11:30:18
14	present invention, cellular signals are transmitted	11:30:22
15	using a protocol" we don't need to go through	11:30:25
16	those anymore.	11:30:29
17	Then looking at column 6, if you look at	11:30:31
18	line 11, it describes that sorry. Let's go to	11:30:36
19	line 16. I apologize. Line 16, column 6, it says	11:30:46
20	that device 106 is a cellular handset. In an	11:30:53
21	alternative embodiment of the present invention,	11:31:01
22	device 106 I apologize. Yes. In an alternative	11:31:04
23	embodiment of the present invention, device 106 is a	11:31:11
24	cellular enabled PDA, wireless modem or wireless	11:31:14

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		7
1	laptop computer. And it describes the question you	11:31:21
2	had in the next paragraph, 20, line 20; in an	11:31:25
3	embodiment of the present invention, WAN 106 is	11:31:29
4	coupled to a wireless carrier network or carrier	11:31:33
5	backbone 104. So it describes the WAN is coupled to	11:31:37
6	a carrier internal network or a carrier backbone.	11:31:44
7	In an embodiment of the present invention,	11:31:51
8	manager server 102 is coupled to a backbone. In an	11:31:53
9	alternative embodiment of the present invention,	11:31:58
10	carrier backbone 104 is coupled to an Internet 103,	11:32:00
11	which is above it on the left, and then it goes into	11:32:04
12	other things that the connections are, how the	11:32:10
13	server 101 is connected to this, is coupled to the	11:32:13
14	Internet 103.	11:32:18
15	So if your question is it doesn't	11:32:24
16	specifically say how the gateway device 106 is	11:32:30
17	directly coupled to the carrier backbone or not,	11:32:33
18	what it's describing here is WAN 106, 105 is coupled	11:32:37
19	to wireless carrier internal network or a carrier	11:32:42
20	backbone. It's talking about WAN.	11:32:45
21	And on the previous paragraph that I	11:32:48
22	talked about in column 5, line 52, it says that WAN	11:32:51
23	is coupled to device 106. In an embodiment of the	11:32:59
24	present invention, WAN includes a cellular network,	11:33:03

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1	so saying it includes a cellular network, in column	11:33:06
2	6 it discusses the details of WAN and so forth. So	11:33:10
3	I'm not sure where you are referring that they're	11:33:18
4	discussing the question you asked.	11:33:19
5	Q Does the '532 patent describe any way in	11:33:45
б	which device 106 connects to the Internet without	11:33:51
7	going through the cellular network?	11:33:54
8	A Again back to my statement, device 106 in	11:34:21
9	paragraph 19 is coupled to a WAN by a cellular	11:34:25
10	connection 111. And what it's saying is that it is	11:34:31
11	within the WAN that is connected to a cellular	11:34:37
12	connection, and later I describe that it is	11:34:41
13	connected that it's connected to the Internet.	11:34:43
14	But the exact architecture of how the connection is	11:35:11
15	made in the patent is all under the umbrella of WAN,	11:35:16
16	not a specific again this is a very abstract	11:35:20
17	terminology, not a specifics of how the connections	11:35:23
18	are made, which one is connected directly to, which	11:35:26
19	one is connected indirectly to and the procedures	11:35:29
20	for them.	11:35:33
21	Q Sure. And my question wasn't really	11:35:33
22	specifically related to the WAN, it was related to	11:35:36
23	the device 106 connecting to the Internet. Does the	11:35:39
24	'532 patent describe any other mechanism for that	11:35:44

	SAYFE KIAEI, PH.D 03/15/2016 Page 69)
1	connection other than through a cellular network?	11:35:49
2	MR. MUKERJI: Object to form.	11:36:05
3	A In general it discusses the device 106 is	11:36:11
4	connected to the Internet. The details of whether	11:36:13
5	it's going through the cellular networks or not,	11:36:18
6	it's discussing that this connection is made through	11:36:21
7	the WAN, wide area network. Whether it's done	11:36:24
8	through the cellular signals or not, it does not	11:36:28
9	describe that in details. It does not describe	11:36:30
10	that, at least from what I remember reading, my	11:36:33
11	recollection of the patent.	11:36:38
12	Q Let's go back to paragraph 20 of your	11:36:40
13	declaration then. It's that same sentence I pointed	11:36:43
14	you to earlier on the second line of page 7. It	11:36:47
15	says, in turn	11:36:49
16	A Which line was it? Paragraph 20?	11:36:56
17	Q Starting on the second line.	11:36:59
18	A Oh, okay. Second line on paragraph 20?	11:37:02
19	Q I'm sorry. I'm waiting for the sirens to	11:37:08
20	go by.	11:37:12
21	A Sorry.	11:37:14
22	MR. MUKERJI: It's from being this close	11:37:16
23	to the White House.	11:37:17
24		

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1	BY MR. HAIGHT:	11:37:18
2	Q We will strike that and I will start with	11:37:21
3	the question again. The second line on page 7 of	11:37:25
4	your declaration, which is in paragraph 20, says:	11:37:31
5	"In turn, the carrier backbone 104 is coupled to a	11:37:34
6	WAN 105 that, quote, includes a cellular network,	11:37:39
7	end quote, accessible by device 106 via cellular	11:37:42
8	signal 111." Do you see that?	11:37:47
9	A Yes, I do.	11:37:49
10	Q Does that not indicate that the device 106	11:37:52
11	is coupled to the WAN via the cellular network?	11:37:59
12	A I don't believe that's what that sentence	11:38:06
13	says. Let me read it again if you don't mind. In	11:38:07
14	turn, the carrier backbone 104 is coupled to a WAN	11:38:11
15	105 that includes a cellular network. So we have	11:38:16
16	all these elements of the WAN, one of them is a	11:38:19
17	cellular network, that the device accessible by	11:38:24
18	device 106, but it does not talk about the specific	11:38:29
19	connections of how device 106 is coupled to a	11:38:32
20	backbone 104 via specifically cellular network. It	11:38:37
21	says it includes.	11:38:41
22	Here is a WAN, the WAN has a cellular	11:38:42
23	network, and as Figure 1, it shows WAN has Internet,	11:38:45
24	server, all these other things it has. In here it	11:38:50

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1	is making a general statement that in turn, the	11:38:54
2	carrier backbone 104 is coupled to a WAN that	11:38:57
3	includes a cellular network, accessible by device	11:39:01
4	106.	11:39:05
5	Q Via cellular signal?	11:39:07
6	A Via cellular signal 111, yes. It's	11:39:11
7	coupled to a WAN via cellular signal.	11:39:15
8	THE WITNESS: If you don't mind, let me	11:39:29
9	finish this questioning and I wouldn't mind taking a	11:39:30
10	five-minute break.	11:39:35
11	MR. HAIGHT: Sure.	11:39:37
12	THE WITNESS: But I don't want to	11:39:38
13	interrupt your questioning.	11:39:40
14	BY MR. HAIGHT:	11:39:42
15	Q I think I can wrap this up in just a few	11:39:42
16	minutes.	11:39:45
17	A Absolutely.	11:39:46
18	Q Are there let me ask this. How would a	11:40:00
19	cellular device 106 communicate with the Internet	11:40:07
20	103 without going through the carrier backbone?	11:40:15
21	MR. MUKERJI: Objection to form. Outside	11:40:23
22	the scope.	11:40:24
23	A It depends on the configuration. The	11:40:31
24	device 106 is a wireless network, so it could have	11:40:34

	SAYFE KIAEI, PH.D 03/15/2016 Page 72	2
1	many different architectures and possible	11:40:38
2	connections that it could use for connecting to the	11:40:41
3	Internet.	11:40:49
4	Q Are any of those described in the '532	11:40:49
5	patent?	11:40:52
6	A I just explained it to you based on	11:40:53
7	actually the paragraph you quoted to me, which is	11:40:55
8	the carrier backbone 104 is connected to that. And	11:40:58
9	does it describe it specifically the Internet 103 is	11:41:05
10	also connected to that, I can look into there again	11:41:09
11	and answer you.	11:41:12
12	But back to your question in general, I	11:41:12
13	don't believe it describes the details of how the	11:41:18
14	device 106 is connected to Internet. It is a	11:41:21
15	wireless device, it is sending radio signals. The	11:41:25
16	details of the architecture of where those radio	11:41:31
17	signals go and what's in route between that and the	11:41:35
18	Internet and how it's done, there are many different	11:41:37
19	configurations possible there.	11:41:41
20	Q Okay. The '532 patent specifically refers	11:41:43
21	to those wireless signals that you were referring	11:41:48
22	to	11:41:50
23	A Right.	11:41:51
24	Q as cellular signals; correct?	11:41:51

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	1	A The device 106 is coupled to a WAN and	11:42:10
	2	components of the WAN by a cellular connection.	11:42:14
	3	That's what I meant to say there. So I need to	11:42:19
	4	correct myself. It is coupled to a cellular, to a	11:42:30
	5	wireless area network via, by a cellular connection,	11:42:33
	б	as I have stated in paragraph 19 of my statement.	11:42:35
	7	Q Okay. And the WAN 105 would include a	11:42:44
	8	cellular network?	11:42:50
	9	A As I have in the paragraph 20, the line we	11:42:51
	10	just read on page 7, line 2; in turn, carrier	11:42:55
	11	backbone coupled to a WAN 105 that includes a	11:43:00
	12	cellular network, yes. The wireless the WAN as	11:43:05
	13	shown in Figure 1 includes the Internet 103.	11:43:09
	14	Q Okay. And those cellular signals,	11:43:12
	15	according to the '532 patent, are either GSM or CDMA	11:43:16
	16	or TDMA or GPRS protocol signals?	11:43:24
	17	A Yes, they are.	11:43:30
	18	MR. HAIGHT: All right. Why don't we	11:43:33
	19	break there.	11:43:35
	20	VIDEOGRAPHER: This concludes disk number	11:43:40
	21	two of the videotaped deposition of Sayfe Kiaei,	11:43:42
	22	Ph.D. The time is 11:43:03 a.m. We are now off the	11:43:50
	23	record.	11:44:00
	24	(Recessed at 11:43 a.m.)	11:44:04

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	SAYFE KIAEI, PH.D 03/15/2016 Page 74	-
1	(Reconvened at 12:33 p.m.)	11:44:08
2	VIDEOGRAPHER: This begins disk number	12:32:34
3	three of the video deposition of Sayfe Kiaei, Ph.D.	12:34:34
4	The time is 12:33 p.m. We are now on the record.	12:34:39
5	BY MR. HAIGHT:	12:34:46
6	Q Dr. Kiaei, welcome back.	12:34:46
7	A Thank you, counsel.	12:34:49
8	Q During the lunch break did you happen to	12:34:50
9	discuss any of the substance of your testimony with	12:34:53
10	counsel?	12:34:56
11	A No, I did not.	12:34:56
12	Q Thank you.	12:34:58
13	A Thank you.	12:34:58
14	Q Before the break we were talking about the	12:35:04
15	'532 patent and Figure 1 and how that device 106	12:35:07
16	connects to the wide area network 105. Do you	12:35:19
17	remember that discussion?	12:35:25
18	A Yes. We discussed the device 106.	12:35:26
19	Q And the device 106 serves as a gateway	12:35:30
20	between the wide area network and the local area	12:35:47
21	network of Figure 1; is that correct?	12:35:52
22	A That is correct.	12:35:54
23	Q And that, device 106, has what's referred	12:36:15
24	to as a microrouter; is that correct?	12:36:20

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		1
1	A As I have in my yes, that is correct,	12:36:34
2	and I have that also on my declaration, paragraph	12:36:38
3	number 20, fourth line or actually the third line,	12:36:42
4	the additional software can be in the form of	12:36:49
5	software components called plug-ins that may be	12:36:50
6	added to the microrouter 404.	12:36:52
7	Q So the '532 describes software components	12:36:59
8	called plug-ins that are added to the microrouter	12:37:07
9	404; correct?	12:37:12
10	A Yes, that may be added to the microrouter	12:37:14
11	404.	12:37:17
12	Q What is your understanding of what	12:37:23
13	microrouter 404 is?	12:37:25
14	A If you look at paragraph 19 of my	12:38:22
15	declaration, the last two lines, I describe a, for	12:38:25
16	example, the device 106 has installed a microrouter	12:38:31
17	404 with software to route communications between	12:38:36
18	local devices 107 on the LAN 116 and the WAN 105.	12:38:40
19	Q And let me ask you this. Is the term	12:38:49
20	"microrouter" a term that one of ordinary skill in	12:38:52
21	the art would generally recognize outside the '532	12:38:58
22	patent?	12:39:01
23	A A within the context of this patent.	12:39:03
24	Microrouter in general could be a variety of	12:39:12
]

	SAYFE KIAEI, PH.D 03/15/2016 Page 76	
1	different communication blocks. In the context of	12:39:15
2	this patent, this microrouter is a component which	12:39:21
3	has the software to route communications between	12:39:29
4	local devices 107 on the LAN and the WAN 105.	12:39:33
5	Q Generally speaking, outside the scope of	12:39:44
6	the '532, does the term "microrouter" have a special	12:39:46
7	meaning to one of skill in the art?	12:39:51
8	MR. MUKERJI: Object to form. Outside the	12:40:01
9	scope. You can answer.	12:40:02
10	A Can you repeat the question again, please?	12:40:04
11	Sorry.	12:40:06
12	Q Sure. Generally speaking, outside the	12:40:07
13	scope of the '532, does the term "microrouter" have	12:40:11
14	a special meaning to one of skill in the art?	12:40:15
15	A It could mean many different things	12:40:23
16	depending on the application that's being used, the	12:40:25
17	system it's connected to, and so on. If I tell	12:40:31
18	somebody in general what's a microrouter, unless the	12:40:38
19	context is well-known and what it is doing in terms	12:40:41
20	of being a router, it depends on the situation, it	12:40:45
21	really depends on the specifics of the situation.	12:40:53
22	Q And within the scope of the '532, you	12:40:55
23	would agree that the microrouter, it enables the	12:40:59
24	terminals that we see in Figure 1 on the LAN to	12:41:07

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		1
1	connect to the wide area network through the device	12:41:11
2	106?	12:41:16
3	A It routes communications between the local	12:41:19
4	devices and the WAN, wireless area network.	12:41:25
5	Q And what does it mean to route	12:41:32
6	communications? Let me withdraw that. Let me ask	12:41:38
7	you in a general context.	12:41:50
8	A Sure.	12:41:52
9	Q What does it mean to route communications?	12:41:53
10	A In a general context, to route	12:41:58
11	communication, it also depends on the conditions and	12:42:02
12	the specifics of what we are dealing with. It is to	12:42:08
13	route between two elements in a communications	12:42:12
14	system, is, it depends on the configuration, depends	12:42:15
15	on what we have. I can tell you the English meaning	12:42:23
16	of it, but	12:42:26
17	Q Sure. Let's start	12:42:28
18	A In terms of that it routes, means it	12:42:30
19	sends signals or it sends components or signals or	12:42:36
20	whatever it is we are dealing with between two	12:42:45
21	points.	12:42:48
22	Q Under what circumstances would you, would	12:43:17
23	a router be needed to allow communication between	12:43:20
24	two different devices?	12:43:27
		1

SAYFE KIAEI, PH.D. - 03/15/2016 Page 78 1 MR. MUKERJI: Object to the form. 12:43:31 2 Again if there is specific items and they 12:43:34 А 3 are either in my declaration or patent, I can go 12:43:38 4 through that. But it really depends on the, it 12:43:41 5 depends on the architecture, it depends on the 12:43:45 6 system and how and what it's trying to route between 12:43:48 7 the two points. 12:43:52 8 Okav. I'm just trying to get a general 12:43:53 0 9 understanding of what function a router will serve. 12:43:56 10 So let me try a hypothetical. If say in a 12:43:59 home-based environment, if I want my laptop to talk 11 12:44:07 12 to my PDA, do I need a router? 12:44:15 13 MR. MUKERJI: Objection to form. Outside 12:44:20 12:44:21 14 the scope. 15 Again, it's a hypothetical question. 12:44:26 А Ιt 16 depends on what's between them, how they are 12:44:28 17 connected and so forth. I believe that the scope of 12:44:31 18 my work is based on what I'm discussing here. 12:44:36 But 19 if you are asking me generally, I'm going to give 12:44:40 20 you a very general answer. 12:44:43 21 I'm asking generally. 12:44:44 Q 22 In general it depends on the architecture, 12:44:45 А 23 it depends on the system, it depends on many issues, 12:44:47 24 how the connection between them is, what devices 12:44:51

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1	they have enabled in each one of the two devices,	12:44:53
2	what type of communications that can exist between	12:44:57
3	them, the bandwidth and the speed of the signaling,	12:44:59
4	many other issues. It really cases by case.	12:45:04
5	Q Okay. So let's tie it back to the '532	12:45:13
6	then. Why does the device 106 need a microrouter?	12:45:17
7	A The microrouter is to route communications	12:45:34
8	between the two devices, local device 107 and the	12:45:36
9	WAN 105 as it is in my statement of paragraph 19.	12:45:42
10	Q I understand what it's doing. I'm asking	12:45:46
11	why, why is it needed?	12:45:49
12	A Why? If you look at my statement,	12:45:51
13	declaration line 21, I describe that the device 106	12:46:35
14	adds in the plug-in 406 to the microrouter using	12:46:44
15	software components hookups sorry hooks 590,	12:46:49
16	which are application program interfaces for the	12:46:55
17	plug-ins.	12:46:59
18	Q Okay. My question wasn't about the	12:47:00
19	plug-ins. It's about the microrouter itself. Why	12:47:03
20	is that microrouter 404 needed in the device 106?	12:47:07
21	A It's to allow communications between the	12:47:17
22	local area network devices using the gateway and the	12:47:22
23	microrouter within that to the wide area network.	12:47:26
24	Q What does that microrouter do? Are let	12:47:32

	SAYFE KIAEI, PH.D 03/15/2016 Page 80	1
1	me ask a different question.	12:47:36
2	Are the signals that are in Figure 1,	12:47:44
3	are the short range radio signals between the	12:48:04
4	terminals and the device, are those somehow	12:48:08
5	incompatible with signals that would be going out on	12:48:12
6	the wide area network?	12:48:15
7	A In '532 patent it doesn't go into details	12:48:21
8	of compatibility of the signals between the two and	12:48:29
9	so forth. But in general it discusses the router is	12:48:32
10	used for communications between the two terminals	12:48:35
11	between the two sorry domains, the LAN domain	12:48:41
12	and the wide area domain. There are many reasons	12:48:46
13	for having those two connected.	12:48:49
14	Q If we remove the microrouter from device	12:48:56
15	106, would the terminals on the local area network	12:49:00
16	be able to communicate to any of the systems or	12:49:07
17	devices on the wide area network?	12:49:13
18	A It depends what architecture and what type	12:49:17
19	of a system is implemented in 106. It's a are	12:49:22
20	there other possibilities? It depends on the	12:49:32
21	architecture of 106 and what it has and how it's	12:49:35
22	implementing the communications between the wide	12:49:39
23	area network and the local area network.	12:49:42
24	Q What is your understanding of how that	12:49:55

	SAYFE KIAEI, PH.D 03/15/2016 Page 81	1
1	microrouter is implemented in the '532 patent?	12:49:57
2	A In paragraph 19 I describe that, in the	12:50:17
3	fifth line, which I say that the device 106 has	12:50:26
4	software this is a description of what's in the	12:50:29
5	patent for routing packets between the LAN 116	12:50:33
6	and WAN 105. At least that's what they describe in	12:50:37
7	the patent '532.	12:50:43
8	And later on in paragraph 20 has	12:51:02
9	additional terms, "in addition to routing software,	12:51:07
10	device 106 also has other software that can be	12:51:11
11	installed for providing various LAN network services	12:51:15
12	to local device 107 on the LAN 116. The additional	12:51:18
13	software can be in the form of software components	12:51:24
14	called plug-ins that may be added to the microrouter	12:51:27
15	404."	12:51:32
16	Q I understand. We will get to the plug-ins	12:51:33
17	later. I'm trying to understand what the core	12:51:36
18	functionality of that microrouter is.	12:51:39
19	A Thank you for that comment. It is what I	12:51:43
20	just read in terms of paragraph 19, which is device	12:51:46
21	106 has software for routing packets between the LAN	12:51:52
22	116 and the WAN 105. These packets are what's	12:51:56
23	routing between the two devices.	12:52:01
24	Q Is there a reason the device 106 has a	12:52:47
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[SAYFE KIAEI, PH.D 03/15/2016 Page 82	
1	microrouter to transfer packets?	12:52:53
2	A One reason is in paragraph 21, the third	12:53:20
3	line, which again goes back to plug-ins, but it is a	12:53:26
4	discussion here in terms of the software plug-ins	12:53:38
5	406 enable the device 106 to provide various network	12:53:42
6	services to the local device 107 on the LAN. So	12:53:46
7	that's another reason besides routing packets	12:53:49
8	between the two devices, is to provide various	12:53:52
9	network services to the local devices on the LAN	12:53:56
10	116.	12:53:59
11	Q Right. And so I understand that that's in	12:54:01
12	addition to routing the packets. I'm trying to get	12:54:04
13	at why you need a router to direct those packets.	12:54:07
14	A Why do you need a router to route those	12:54:15
15	packets?	12:54:18
16	Q Yes.	12:54:19
17	A In general, the router is used in dealing	12:54:28
18	with two different domains, depending on what you	12:54:30
19	have on each domain and how it's implemented and the	12:54:35
20	signaling and the protocols in each one.	12:54:42
21	Q What do you mean by when you say domain?	12:54:55
22	A What I meant here is the signals which are	12:55:03
23	on one set of protocols or one in this case we	12:55:07
24	are talking about terminals 107 on one side LAN and	12:55:13

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1	then on terminals 105 on the left side. So the	12:55:17
2	router is there to route and connect from the WAN to	12:55:22
3	the LAN or from the LAN to the WAN vice versa. The	12:55:30
4	domains I meant LAN 110 and the wireless area	12:55:36
5	network 105.	12:55:41
6	Q And you said earlier signals which are one	12:55:48
7	set of protocols or another. What do you mean by	12:55:53
8	protocols?	12:55:56
9	A What I meant there was that in the LAN	12:56:06
10	110, how that is configured and how the network that	12:56:09
11	operates, and then on the WAN on the left, whether	12:56:20
12	the operations of the signals in the WAN on the	12:56:25
13	left.	12:56:29
14	Q Looking back at Figure 1, we said that the	12:56:34
15	terminals 107 use the router, the microrouter on 106	12:56:45
16	to be able to communicate with the wide area	12:56:51
17	network; right?	12:56:55
18	A Yes. We said that.	12:56:56
19	Q So the connection between the terminal and	12:56:59
20	device 106, does that need a router?	12:57:07
21	A Depends again on the architecture, depends	12:57:18
22	on what system you have and how it's implemented.	12:57:20
23	Q Does the '532 say anything about that?	12:57:23
24	A Let me look at the patent one second.	13:00:49

Г		SAYFE KIAEI, PH.D 03/15/2016 Page 84	1
	1	Yes. Thank you for your in column 5, line 29, it	13:02:33
	2	discusses that, patent '532 discusses that Figure 1	13:02:49
	3	illustrates system 100 according to an embodiment of	13:02:54
	4	the present invention. And it says that in an	13:02:58
	5	embodiment of the present invention, device 106 and	13:03:12
	6	one or more terminals 107 to communicate to form a	13:03:17
	7	LAN 116. And the terminals 107 are coupled to the	13:03:20
	8	device 106 by a short range radio signals 110 to	13:03:31
	9	form LAN 116.	13:03:36
	10	And later on in line 41 it discusses more	13:03:49
	11	details of the, in an embodiment of the present	13:03:54
	12	invention, terminal 107 includes a Bluetooth 2.4	13:03:59
	13	gigahertz transceiver/receiver. Likewise, device	13:04:04
	14	106 includes a Bluetooth 2.4 gigahertz	13:04:10
	15	transceiver/receiver.	13:04:12
	16	Q So because those two are communicating via	13:04:19
	17	a Bluetooth 2.4 gigahertz transceiver, there is no	13:04:25
	18	need for a router; is that correct?	13:04:32
	19	A I would not say that as a general	13:04:34
	20	statement. I do not agree with that statement. But	13:04:36
	21	these have a they are part of the local area	13:04:38
	22	network what describes in here that they are working	13:04:42
	23	together. With an architecture it's a	13:04:46
	24	hypothetical question. With an architecture of a	13:04:50
			1

LAN, require a LAN -- sorry -- requires a router 13:04:53 between them and so on, it depends on the system and 13:04:58 its architecture. So what it describes is that 13:05:00 these devices are communicating with each other just 13:05:05 within itself, device 106 is a member of the local 13:05:11 area network. The gateway is a member of the local 13:05:16 area network. 13:05:20 13:05:21 0 Okay. And however that is implemented depends on А 13:05:21 13:05:24 the --And the terminals of that local area 13:05:25 0 network cannot communicate with the wide area 13:05:27 network without a router in the '532 patent; is that 13:05:30 correct? 13:05:33 In the '532 patent, as I just said 13:05:37 А already, the device 106 has a software to couple --13:05:39 has a software and a microrouter to route 13:05:54 communications between local devices 107 and the WAN 13:05:58 105, yes. It has that microrouter 404 which enables 13:06:02 communications between the two. 13:06:09 And because that microrouter enables the 0 13:06:17 communications between the devices on the LAN and 13:06:19 the wide area network, if that microrouter were not 13:06:22 present, those devices on the local area network 13:06:27

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SAYFE KIAEI, PH.D. - 03/15/2016 Page 86 would not be able to communicate with the wide area 13:06:29 1 2 network; is that correct? 13:06:33 3 MR. MUKERJI: Object to form. Outside the 13:06:35 4 scope. 13:06:36 5 Α It depends -- it is again a -- can you 13:06:44 6 repeat the question again, please? Sorry. 13:06:56 7 0 Sure. Because the microrouter, as you 13:06:59 8 said, enables communications between the devices on 13:07:07 9 the LAN and the wide area network, if the 13:07:10 10 microrouter were not present, those devices on the 13:07:14 local area network would not be able to then 11 13:07:18 12 communicate with the wide area network; is that 13:07:21 13 13:07:23 correct? 13:07:24 14 MR. MUKERJI: Same objection. 15 This depends on the implementation and how 13:08:11 А 13:08:14 16 it is done between the -- what I'm describing here 17 is a specific, or the patent '532 in terms of using 13:08:18 18 a microrouter that enables communications between 13:08:25 19 the local area network and wide area network. 13:08:29 20 Hypothetically, if it's not there, I -- it depends 13:08:35 21 on the architecture. I have not analyzed it, but 13:08:40 22 depending on the architecture and on what other 13:08:43 23 enablers are there to provide communications between 13:08:46 them, I would not call it in general they cannot 24 13:08:49

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		7
1	communicate. There may be other ways of doing that	13:08:53
2	between them.	13:08:56
3	Q Does the '532 patent teach any other way	13:08:57
4	to, for those terminals to communicate with the wide	13:09:04
5	area network other than going through that router?	13:09:10
6	A Based on the implementation they have	13:11:40
7	shown and discussed here, looking at column 8,	13:11:42
8	paragraph 29, which describes the microrouter, it	13:11:50
9	describes the microrouter enabling an IP based	13:12:02
10	network between the device 106 and terminals 107, it	13:12:08
11	discusses a high level block diagram and a software	13:12:23
12	in Figure 5 which shows what the microrouter is and	13:12:27
13	the implementation of the software in there.	13:12:32
14	Does it discuss other alternative	13:12:44
15	methodologies between that? I don't recall. At	13:12:47
16	least I don't remember from reading the patent '532.	13:12:50
17	That is one way of doing that based on the	13:12:54
18	microrouter they have. And they don't go into a lot	13:12:56
19	of details of how it's done and what happens when	13:13:01
20	that router is eliminated in between the local	13:13:05
21	devices and wireless devices. It describes all the	13:13:07
22	services of the microrouter in that paragraph.	13:13:13
23	Q Okay. And the '532 doesn't describe any	13:13:17
24	other method or component of enabling an IP based	13:13:20

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		1
1	network to communicate between device 106 and the	13:13:29
2	terminals I'm sorry between the terminals 107	13:13:33
3	and the wide area network; is that correct?	13:13:37
4	A As I said, it's been a I don't recall	13:13:57
5	if there was other methodologies discussed in terms	13:14:02
6	of communications or routing actually, what other	13:14:05
7	things it has in terms of a microrouter for	13:14:09
8	communicating between the two. There may be one,	13:14:13
9	but I don't remember that at this point. Yeah.	13:14:16
10	Sorry.	13:14:50
11	Q I want to direct your attention to Figure	13:14:56
12	4 of the '532 patent, and I understand the copy that	13:14:58
13	is	13:15:06
14	A Is that the one that's impossible to read?	13:15:07
15	Q Yeah. That's copies of copies of copies.	13:15:10
16	But I want to go through these components to make	13:15:13
17	sure we are talking about the same pieces.	13:15:16
18	A Yeah. I could not read this figure at	13:15:22
19	all. I tried even on the Internet, I tried to print	13:15:24
20	many other copies, and	13:15:28
21	Q I understand.	13:15:30
22	A I fail to understand the details of	13:15:31
23	that.	13:15:33
24	Q I think we did the same. Is it your	13:15:33
]

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-		-
1	understanding that the elements 406 in the upper	13:15:39
2	left, and I think maybe if we do a little	13:15:44
3	cross-referencing with the specification, probably	13:15:47
4	around column 7, is it your understanding that those	13:15:51
5	elements 406 are network service plug-ins?	13:16:00
6	Let me take that back and ask a different	13:16:04
7	question. What is your understanding of what Figure	13:16:07
8	4 is supposed to be showing?	13:16:08
9	A I could not read Figure 4 after many times	13:16:13
10	of going through that. I tried to look for a better	13:16:18
11	way of looking at that figure. I'll do my best to	13:16:25
12	answer your questions by going to the patent and	13:16:29
13	seeing what the patent describes, but looking at the	13:16:32
14	Figure 4, I can't make anything out of that figure	13:16:35
15	besides a bunch of black boxes with scribbly white	13:16:38
16	lines in them.	13:16:45
17	Q Let me point you to column 7 of the '532	13:16:53
18	patent starting at line 35.	13:16:56
19	A Okay.	13:16:58
20	Q Does that inform your opinion of what	13:17:06
21	Figure 4 is depicting?	13:17:08
22	A It talks about, illustrates a software	13:17:11
23	architecture 500 for device 106 illustrated in	13:17:14
24	Figure 3A according to the embodiment of the present	13:17:19

SAYFE KIAEI, PH.D. - 03/15/2016 Page 90 1 invention. And 3A, by the way, just for the record, 13:17:23 2 is as bad as Figure 4. 13:17:30 3 I understand that. And just for 13:17:33 0 Yeah. 4 the record, I'm not trying to pull one over on you. 13:17:35 5 I think any of the copies we pulled down from the 13:17:38 6 website were going to be, were just as bad. 13:17:41 7 А Yeah. I had the same problem, so I 13:17:45 8 appreciate that. 13:17:47 9 0 Is the -- the software architecture of 13:18:23 10 diagram -- of Figure 4, would, would that also be 13:18:38 13:18:48 11 described as a protocol stack? 12 MR. MUKERJI: Mr. Haight, so we are clear, 13:19:07 13 are you asking about Figure 4 itself or the 13:19:08 14 description you took him to regarding Figure 4? 13:19:11 15 MR. HAIGHT: The diagram Figure 4. 13:19:20 MR. MUKERJI: Objection to form. 16 13:19:24 17 Α Let me look at all of the elements of 13:19:38 Figure 4 and see what it is trying to describe in 18 13:19:40 19 each element, whether it's describing the protocol 13:19:44 20 stack or not. 13:19:48 21 Maybe I can ask a different question. 13:19:58 0 22 А Yeah. 13:20:00 23 What is your understanding of what a 13:20:01 0 24 protocol stack is? 13:20:03

	SAYFE KIAEI, PH.D 03/15/2016 Page 91	-
1	A That's easier than this figure. A	13:20:06
2	protocol stack is a networking protocol definition	13:20:17
3	and configuration of different layers in the	13:20:32
4	network.	13:20:38
5	Q And, so if I could point you to column 7,	13:20:47
6	around lines 56, 57, sorry, the paragraph that	13:20:52
7	begins "software 500"?	13:20:58
8	A Yes.	13:21:01
9	Q Could you read that first sentence,	13:21:02
10	please?	13:21:03
11	A Sure. "Software 500 includes	13:21:04
12	telecommunications software or physical layer	13:21:07
13	protocol stacks, in particular telecommunications	13:21:12
14	software 503 and a short-range radio communication	13:21:16
15	software 502."	13:21:21
16	Q And those elements 502 and 503 are in	13:21:24
17	Figure 4, we just can't read the labels? Do you	13:21:29
18	agree?	13:21:32
19	A Yes, I do agree.	13:21:33
20	Q So it's fair to say that that software	13:21:39
21	architecture 500 is a physical layer protocol stack,	13:21:43
22	based on those lines 57 through 59 of column 7?	13:21:56
23	A I can't based on this conclude that,	13:22:06
24	because it doesn't say any details about what does	13:22:08

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	1	it mean by cellular communication software 503 and	13:22:18
	2	what does it mean by short-range radio communication	13:22:23
	3	software 502 just by reading those three, four	13:22:27
	4	lines. Software corresponding to what? That's a	13:22:31
	5	pretty poor definition of a physical layer protocol	13:22:47
	б	stack in my opinion.	13:22:51
	7	Q So you referred to layers within a	13:23:37
	8	protocol stack before. Do you recall that?	13:23:39
	9	A Yes, I do.	13:23:43
	10	Q How do what's the relationship of those	13:23:45
	11	layers within the protocol stack?	13:23:51
	12	A It depends on the configuration what the	13:24:03
	13	relationships are, the specifics of them. But one	13:24:09
	14	skilled in the art would know and understand in	13:24:13
	15	general the details of each one of those layers,	13:24:15
	16	what they are and how they communicate with the	13:24:19
	17	upper stack or lower stack. It's an abstract notion	13:24:21
	18	of how the different components of a network are	13:24:26
	19	stacked on top of each other.	13:24:28
	20	Q Uh-huh. Can components on the same	13:24:31
	21	different components on the same layer of a protocol	13:24:37
	22	stack interface with each other?	13:24:40
	23	A It depends.	13:24:47
	24	Q On what?	13:24:48

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1	A It depends on what those components are	13:24:49
2	and whether, where are they in the chain of the	13:24:52
3	signaling coming in. With the GPS and Bluetooth,	13:24:56
4	both are physical layer signals, talk to each other.	13:25:05
5	It depends on how it is implemented. Is Bluetooth	13:25:10
6	trying to access location? If it is done in each	13:25:16
7	layer has a different domain and a different speed,	13:25:23
8	different typically physical layer is a real-time	13:25:27
9	signal coming in.	13:25:36
10	Q So why don't we do this. I want to try to	13:25:58
11	put labels on the elements of Figure 4, and we will	13:26:01
12	sort of go through the exercise together to make	13:26:05
13	sure we are talking about the same elements.	13:26:08
14	A Do you mind if I do the same thing on my	13:26:12
15	figure?	13:26:14
16	Q No, no. Yes. That would be fine.	13:26:14
17	A Can I borrow a pen or a pencil?	13:26:20
18	Q So starting with what's marked in Figure 4	13:26:30
19	as element 406.	13:26:35
20	A Yes.	13:26:39
21	Q If we go to column 8, beginning around	13:26:51
22	lines 29, I think we were discussing it earlier, the	13:27:02
23	description of the microrouter.	13:27:06
24	A Right.	13:27:08
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	SAYFE KIAEI, PH.D 03/15/2016 Page 94	:
1	Q Do you see element 406 in that paragraph?	13:27:09
2	A 406 or 404? 406?	13:27:12
3	Q Yes. 406.	13:27:16
4	A Yes, I do. It's line 34. Which says that	13:27:21
5	extended network services such as network service	13:27:26
6	plug-ins 406 may be added to the microrouter 404.	13:27:30
7	Q Okay. So we can fairly label element 406	13:27:34
8	as network service plug-ins; correct?	13:27:38
9	A Okay.	13:27:41
10	Q Let's move on to 404, which I think in	13:27:49
11	that same paragraph starting at line 29 referred to	13:27:54
12	as microrouter 404?	13:27:58
13	A Yes. Microrouter 404.	13:28:00
14	Q Would it be fair to label element 404 as	13:28:04
15	the microrouter?	13:28:07
16	A Sounds good. Yes. Thank you.	13:28:09
17	Q We are going to be referring back to these	13:28:14
18	in a few minutes. You asked for a pen. If you want	13:28:16
19	to write them down on the figure, you are more than	13:28:20
20	welcome to.	13:28:23
21	A Okay. I will remember them. I think that	13:28:24
22	helps for me to remember.	13:28:27
23	Q Sure. Element 407, which if you go one	13:28:28
24	paragraph above in column 8, at line 24, says:	13:28:39

	SAYFE KIAEI, PH.D 03/15/2016 Page 95) 7
1	"Furthermore, graphics user interface 407." It	13:28:45
2	would be fair to label element 407 as the graphics	13:28:51
3	user interface?	13:28:55
4	A Yes.	13:28:57
5	Q Moving on to element 403, which roughly	13:28:58
6	around line 9 and 10 of column 8, reads: "Operating	13:29:09
7	system 403 manages hardware and enables execution	13:29:17
8	space for device software components." Do you see	13:29:22
9	that?	13:29:25
10	A Yes.	13:29:26
11	Q It would be fair to label element 403 as	13:29:26
12	the operating system?	13:29:31
13	A Yes, it is.	13:29:32
14	Q Element 504, which beginning at column 8,	13:29:35
15	line 12, would it be fair to label element 504 as a	13:29:44
16	media abstraction layer?	13:29:51
17	A Yes, it is.	13:29:53
18	Q And then elements 503, 502, 501 well,	13:30:00
19	we will take them one by one. Element 503, if we go	13:30:06
20	back to column 7, at the bottom, roughly line 57,	13:30:18
21	would it be fair to label block 503 as the cellular	13:30:27
22	communications software?	13:30:33
23	A Yes.	13:30:35
24	Q And element 2 as the short-range radio	13:30:36

	SAYFE KIAEI, PH.D 03/15/2016 Page 96	1
1	communications software?	13:30:39
2	A Yes.	13:30:40
3	Q And then the last line of column 7 says:	13:30:44
4	"Other telecommunication software may be used as	13:30:48
5	illustrated by other basebands 501." So it would be	13:30:51
6	fair to label 501 as other basebands, other baseband	13:30:58
7	telecommunication software?	13:31:00
8	A Yes.	13:31:03
9	Q I think that covers all the elements of	13:31:04
10	Figure 4.	13:31:07
11	A Yes, it does. Yeah.	13:31:07
12	Q Okay.	13:31:09
13	MR. MUKERJI: This may be a good time to	13:31:11
14	take a short break. We've been going about an hour.	13:31:12
15	It seems like the figure labeling portion is	13:31:16
16	finished.	13:31:21
17	MR. HAIGHT: Yeah. We can do that.	13:31:22
18	VIDEOGRAPHER: This concludes disk number	13:31:24
19	three of the video deposition of Sayfe Kiaei, Ph.D.	13:31:25
20	The time is 1:30:44 p.m. We are now off the record.	13:31:32
21	(Recessed at 1:30 p.m.)	13:31:38
22	(Reconvened at 1:55 p.m.)	13:31:39
23	VIDEOGRAPHER: This begins disk number	13:55:56
24	four of the video deposition of Sayfe Kiaei, Ph.D.	13:55:58

		7
1	The time is 1:55:17 p.m. We are now on the record.	13:56:03
2	BY MR. HAIGHT:	13:56:09
3	Q Doctor, welcome back.	13:56:16
4	A Thank you, counsel.	13:56:18
5	Q Did you discuss any of your prior	13:56:20
6	testimony or the subject matter of your testimony	13:56:21
7	with counsel during the break?	13:56:23
8	A No, I did not.	13:56:26
9	Q Before we broke we went through the	13:56:28
10	exercise of identifying all of the sort of	13:56:30
11	blacked-out boxes of Figure 4. Do you recall that?	13:56:33
12	A Yes, I do.	13:56:37
13	Q And we had identified the three blocks at	13:56:38
14	the bottom, 501, 502, 503, as 503 being cellular	13:56:43
15	communication software. Do you recall that?	13:56:54
16	A Yes, I do.	13:56:56
17	Q And block 502 being short-range	13:56:57
18	communication software; correct?	13:57:01
19	A Yes.	13:57:01
20	Q And 501 referred to other baseband	13:57:02
21	telecommunication software; correct?	13:57:06
22	A Yes, sir.	13:57:08
23	Q What is your understanding of what the	13:57:09
24	'532 patent means by other baseband	13:57:11

[SAYFE KIAEI, PH.D 03/15/2016 Page 98	
1	telecommunication software?	13:57:15
2	A Other physical layer communication	13:57:27
3	methodologies, algorithms, software.	13:57:43
4	Q Can you give me other excuse me. Can	13:57:49
5	you give me an example of what an other baseband	13:57:52
6	telecommunications might be other than cellular or	13:57:57
7	short-range?	13:58:01
8	MR. MUKERJI: Objection to form.	13:58:04
9	A It doesn't go into detail. It has a very	13:58:10
10	generic description. Even the 502 and 503, calling	13:58:13
11	them cellular communication software and the	13:58:20
12	short-range radio software. 501, baseband, I can	13:58:23
13	speculate what they are if you would like me to.	13:58:28
14	Q I'm not asking you to speculate about the	13:58:31
15	'532 patent. I'm asking in general. As a person of	13:58:33
16	skill in the art, or even in your expert opinion,	13:58:37
17	what's an example of a baseband telecommunication?	13:58:38
18	A Baseband telecommunication is when we are	13:58:42
19	looking at a, at the signal not in the up-converted	13:58:46
20	to whether it's an RF or whatever frequency the	13:58:57
21	transmission is, but it is in a baseband in its	13:59:01
22	baseband frequency. Baseband refers to the baseband	13:59:05
23	frequency it's operating in.	13:59:09
24	There are the physical layer consists	13:59:13

	SAYFE KIAEI, PH.D 03/15/2016 Page 99	1
1	of, that's pretty much a textbook material.	13:59:15
2	Physical layer consists of a variety of different	13:59:21
3	functionalities, algorithms, modulations,	13:59:26
4	demodulations, coding, et cetera, that goes on in	13:59:30
5	the baseband functionality.	13:59:35
6	So these are, I presume they are	13:59:37
7	separating it out. What other baseband they are, I	13:59:39
8	don't know what they mean in here, but baseband in	13:59:41
9	general are signaling and communications and	13:59:44
10	algorithms that are happening at the base, baseband	13:59:46
11	frequency, that's why they call it a baseband,	13:59:51
12	versus a Bluetooth 2.4 gigahertz frequency or a WiFi	13:59:54
13	5.4 gigahertz frequency.	14:00:00
14	Q So Bluetooth and WiFi would not be	14:00:06
15	considered to be a baseband communication?	14:00:11
16	A No. That's not what I said. I said at	14:00:23
17	those frequencies.	14:00:25
18	Q Okay.	14:00:27
19	A We are talking about there is all of those	14:00:27
20	are in the all of those Bluetooth, WiFi and so	14:00:30
21	on, they all have the phy layer, the physical layer	14:00:34
22	as well. So components of them are in here as well.	14:00:37
23	What I meant was at that frequency. Baseband is the	14:00:40
24	signaling and modulations, et cetera, at the lower	14:00:42

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		7
1	baseband frequency. So I did not by no means I	14:00:45
2	meant I want to make sure I correct myself. It	14:00:55
3	is not by any means that I'm excluding Bluetooth or	14:00:59
4	WiFi. All of these and so on, they have the phy	14:01:02
5	layer in there.	14:01:06
6	Q Sure. Just for terminology, when you are	14:01:08
7	saying the phy layer, you are referring to the	14:01:15
8	physical layer?	14:01:18
9	A Yes. Physical layer. Thank you. That's	14:01:20
10	a physical layer which deals with actual signals.	14:01:21
11	Q And in the diagram of Figure 4, the	14:01:25
12	elements 501, 502, 503, they make up the physical	14:01:32
13	layer?	14:01:36
14	A Well, that's their description of it, and	14:01:38
15	they haven't done a good job of describing what that	14:01:43
16	is. Calling it a cellular communication software	14:01:47
17	503, that is a very vague definition of it. Calling	14:01:53
18	it a short-range radio communication software,	14:01:57
19	that's also very vague terminology in there. So	14:02:01
20	Q But you would agree that they are	14:02:08
21	those	14:02:10
22	A Yes.	14:02:10
23	Q Those components are within or make up the	14:02:11
24	physical layer of this software architecture of	14:02:14

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		1
1	Figure 4?	14:02:20
2	A They could be, yes, they are. If what	14:02:22
3	they mean is, is what they describe later on a few	14:02:25
4	lines later, they are the phy layer, physical layer	14:02:33
5	of a communication protocol stack.	14:02:37
6	Q And by a few lines later, you mean	14:02:41
7	communication software used to transmit and receive	14:02:44
8	cellular signals? Let me ask a different question.	14:02:53
9	That's probably very loaded.	14:02:56
10	A Thank you.	14:02:58
11	Q In column 7, starting at line 60, it says:	14:03:00
12	"In an embodiment, communication software 503," so	14:03:04
13	this would be the cellular communication software,	14:03:08
14	"is a GPRS baseband software component used with	14:03:11
15	processor 306 to transmit and receive cellular	14:03:12
16	signals."	14:03:17
17	A I don't agree with their definition of	14:03:23
18	that.	14:03:26
19	Q You don't agree that a cellular	14:03:30
20	communication software is a GPRS baseband software	14:03:32
21	component used to transmit and receive cellular	14:03:38
22	signals?	14:03:42
23	A I would call this used to send and receive	14:03:43
24	GPRS signals, or other types of signals that's in	14:03:44

		-
1	there.	14:03:48
2	Q You said send and receive?	14:03:58
3	A No, no.	14:03:59
4	Q I'm just trying to understand what your	14:03:59
5	issue with that definition is.	14:04:02
6	A My issue with that definition is that as a	14:04:05
7	GPRS baseband software component, that is a very,	14:04:08
8	very generic and very abstract notion of what that	14:04:13
9	means. So I can't looking at that, that could be	14:04:18
10	many, many different things.	14:04:25
11	And then the other thing I have a problem	14:04:27
12	with is that the transmit and receive cellular	14:04:29
13	signal, in the phy layer what comes in is a digital	14:04:33
14	signal that's coming in in whatever format it is,	14:04:43
15	and whatever the media and wireless outside of the	14:04:48
16	Internet and how it's sent back and forth, it has	14:04:52
17	nothing to do with the phy layer what it could be.	14:04:55
18	So calling it a cellular signal, I do not agree with	14:04:58
19	that. It could be in a GPRS format.	14:05:01
20	Q And GPRS is a cellular protocol; correct?	14:05:06
21	A GPRS is a protocol used in the cellular	14:05:19
22	communications. But that does not still I do not	14:05:28
23	agree with calling a GPRS baseband software in the	14:05:38
24	phy layer is a transmit and receive cellular signals	14:05:44

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1	that comes in there.	14:05:50
2	Q And is that because by the time it gets to	14:05:58
3	the physical layer, it's no longer a cellular	14:06:01
4	signal? Is that fair?	14:06:05
5	A No. That's not fair either. Because we	14:06:06
6	don't know what happens in the rest of the system.	14:06:09
7	This is only the software stack protocol in the	14:06:12
8	baseband of the device. This has nothing to do with	14:06:18
9	the air, what's going on outside of the radio or	14:06:24
10	cellular phone or handheld device or a WiFi or LAN	14:06:28
11	or whatever it is. What's out there and how they	14:06:33
12	were connected is a different issue.	14:06:36
13	Q Okay. I think you had said earlier that	14:06:38
14	CDMA would be another type of cellular protocol;	14:06:40
15	correct?	14:06:45
16	A CDMA is another types of a a protocol.	14:06:47
17	Q Sorry.	14:06:52
18	A Yes. Please, go ahead.	14:06:53
19	Q So in a baseband layer of a device like	14:06:55
20	that shown in the diagram of Figure 4, that cellular	14:07:04
21	communication software would be a different software	14:07:09
22	component for GPRS protocol versus a CDMA protocol;	14:07:12
23	correct?	14:07:23
24	A In general that's a correct statement,	14:07:23
]

		-
1	yes.	14:07:26
2	Q Above the physical layer components that	14:07:32
3	we identified we see 504, which we agreed was the	14:07:36
4	media abstraction layer; is that correct?	14:07:41
5	A Yes, it is.	14:07:43
6	Q What does a media abstraction layer do?	14:07:49
7	A I don't know what they are calling a media	14:07:57
8	abstraction layer here. It is a pretty abstract	14:08:01
9	terminology for what they call it. That's one of	14:08:05
10	the problems I have with this, their definition. I	14:08:07
11	don't know what they mean by media abstraction	14:08:14
12	layer. I can speculate or I can guess what they are	14:08:18
13	saying, but I don't think you want me to do that.	14:08:23
14	Q So if I point you to column 8, starting at	14:08:26
15	line 12, it says media abstraction layer 504, would	14:08:31
16	you agree that that abstraction layer allows the	14:08:37
17	operating system 403 to communicate with the	14:08:43
18	basebands?	14:08:47
19	A In their figure the way they have shown	14:08:48
20	it, a media abstraction layer is a box between 403	14:08:51
21	and the physical layers, and in their interpretation	14:08:55
22	of that they show that as communicating between the	14:08:59
23	upper layer, the lower layer as they described in	14:09:05
24	their definition here. What it's saying here is the	14:09:08

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1	first line, media abstraction layer 504 allows	14:09:15
2	operating system 403 to communicate with baseband	14:09:20
3	503, 502 and 501 respectfully. And I want to	14:09:23
4	emphasize again this is a very abstract way that	14:09:36
5	they have shown this and it is, terminology here is	14:09:40
6	different than what I have seen most places.	14:09:48
7	Q Are you saying you would refer to that	14:09:57
8	layer above the physical layer as something other	14:09:59
9	than a media abstraction layer?	14:10:01
10	A For me it's not clear what they call, what	14:10:06
11	is their definition of media abstraction layer.	14:10:09
12	That's really not coming to me.	14:10:13
13	Q Is there a term you would use for an	14:10:17
14	architecture level that would allow communication	14:10:26
15	between an operating system and a baseband layer?	14:10:29
16	A It depends on the architecture. It	14:10:37
17	depends on how the software stack is in the network	14:10:39
18	protocol layers. Top of my head I don't there	14:10:43
19	are many different locations used, many different	14:10:49
20	blocks that are used in there. And again the OSI	14:10:54
21	concept is a concept that the way they have shown it	14:10:58
22	is very abstract.	14:11:08
23	Q What do you mean by OSI?	14:11:10
24	A What we were just talking about. The	14:11:13

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	SAIFE RIALL, FILD 05/15/2010 Fage 100	7
1	network protocol stack. Sorry. The network	14:11:15
2	protocol stack.	14:11:19
3	Q And just so we are clear, the network	14:11:21
4	protocol stack would be the lower-most layer, the	14:11:26
5	physical layer?	14:11:30
6	A No. The protocol stack is the entire box	14:11:32
7	500.	14:11:36
8	Q Okay. Where is the the network I'm	14:11:37
9	sorry. So you are saying box 500 is the network	14:11:42
10	protocol stack?	14:11:46
11	A The whole thing is the protocol stack.	14:11:47
12	Q Okay.	14:11:49
13	A Is the protocol stack.	14:11:51
14	Q And is it your understanding that an OSI	14:11:59
15	is a model for a protocol stack?	14:12:02
16	A Not necessarily. It depends on the	14:12:11
17	implementation.	14:12:13
18	Q I'm just trying to understand the term.	14:12:16
19	Does OSI stand for something?	14:12:18
20	A I forgot what it is actually, the acronym.	14:12:20
21	So let's stick to the protocol stack in general, 500	14:12:25
22	being a protocol stack.	14:12:30
23	Q In this network protocol stack of Figure	14:13:03
24	4, the way the layers are arranged, is that to allow	14:13:06

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1	components to interface vertically with the layers	14:13:18
2	below it and above it?	14:13:23
3	A I can not refer to Figure 4 as how they	14:13:33
4	are, they are meant to implement this, because they	14:13:36
5	don't have besides very vague abstract	14:13:40
6	description of how these blocks operate with each	14:13:43
7	other, and that's actually overall in the patent,	14:13:46
8	architecture, both hardware and software and the	14:13:50
9	network infrastructure here is very abstract. They	14:13:55
10	are not talking any details of how the communication	14:13:59
11	is done. There are we just looked at that	14:14:01
12	paragraph. There are that's it.	14:14:04
13	Q Would a person of ordinary skill in the	14:14:10
14	art understand how the layers of a protocol stack	14:14:15
15	interact with each other?	14:14:19
16	A A person of ordinary skill in the art	14:14:22
17	would understand how the protocol stack in general	14:14:25
18	would work for a generic architecture of the	14:14:27
19	software and hardware network, the phy layer and so	14:14:30
20	on. Yes, they would.	14:14:36
21	Q We identified the network service plug-ins	14:15:12
22	406 at the top of that network protocol stack in	14:15:16
23	Figure 4. Do you recall that?	14:15:20
24	A Yes. I see the I see what they call it	14:15:21

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]
1	in line 34, which is extended network services such	14:15:34
2	as network service plug-ins 406 may be added to the	14:15:41
3	microrouter 404.	14:15:46
4	Q Can those individual service plug-ins	14:15:50
5	communicate with each other?	14:15:57
6	A Depends again on the implementation. I	14:16:03
7	brought you example of in the phy layer, depends on	14:16:06
8	implementation, whether there are appropriate	14:16:12
9	information to be passed, the software is capable of	14:16:16
10	doing that and so forth.	14:16:19
11	Q Let's turn to Figure 5 of the '532 patent.	14:17:02
12	Luckily, I don't think we will have to go through	14:17:08
13	the same exercise here since it will be a little	14:17:11
14	more clear. What is your understanding of what	14:17:15
15	Figure 5 is showing there?	14:17:19
16	A It is a block diagram or I would say a	14:17:29
17	high level, high level diagram of the microrouter	14:17:36
18	404 with the components as shown here.	14:17:42
19	Q Is the microrouter of Figure 5 arranged in	14:18:50
20	layers similar to a protocol stack?	14:18:59
21	A I'm not aware of a the answer is no,	14:19:06
22	it's not.	14:19:15
23	Q There is no significance to the	14:19:26
24	arrangement of these blocks?	14:19:28

[SAYFE KIAEI, PH.D 03/15/2016 Page 109	1
1	A That's not what I said. What I said was	14:19:36
2	it does not signify the network protocol stack. How	14:19:38
3	they have stacked these together and the hierarchy	14:19:46
4	of that, I don't think that's what I mentioned. I	14:19:49
5	didn't discuss that.	14:19:55
6	Q Right. I'm not trying to put words in	14:19:56
7	your mouth.	14:19:59
8	A Sure.	14:19:59
9	Q I'm just asking the question.	14:19:59
10	A No. It's not a network protocol stack,	14:20:00
11	conventional network protocol stack I would see.	14:20:03
12	Q But there are layers of this microrouter	14:20:07
13	arranged in a hierarchical format. Is that fair to	14:20:10
14	say?	14:20:14
15	A I wouldn't say that it is in a	14:20:18
16	hierarchical format, because you have the network	14:20:20
17	services and the hooks that connect to that. Would	14:20:25
18	that be above network services? Or is that in the	14:20:29
19	same level, just connecting to that? So are the	14:20:33
20	plug-ins only I don't know what this plug-in	14:20:39
21	ladder is on the left, how are they connected	14:20:46
22	together with the rest of the plug-ins and so forth?	14:20:50
23	I don't believe it's necessarily in any hierarchical	14:20:52
24	format they have shown it.	14:20:56

SAYFE KIAEI, PH.D. - 03/15/2016 Page 110 1 Do you see the lower-most block labeled as 14:21:10 0 14:21:15 2 network services 580 of Figure 5? 3 I see network services 580 at the bottom 14:21:20 Α 4 of that figure. 14:21:25 5 What is your understanding of what network 0 14:21:26 6 services 580 represents? 14:21:29 7 Α As it says also in the figure, it performs 14:21:33 8 PPP, dynamic host configuration, DHCP, routing, NAT, 14:21:37 9 network address translation, and BAP, which I forgot 14:21:51 what BAP was. After all these acronym with all 14:21:57 10 these four patents and, I don't know what BAP is. 14:22:03 11 12 That's understandable. 14:22:07 0 13 Oh, I'm sorry. It is here. It is a 14:22:18 Α 14:22:20 14 Bluetooth access profile. 15 14:22:22 There we go. And you mentioned the 0 16 DHCP/PPP block 552. What is your understanding of 14:22:27 17 what that block does? 14:22:34 18 Α In general, these are network services 14:22:42 19 that provides dynamic host allocation in the 14:22:48 20 Internet IP. 14:22:54 21 14:23:21 Would you agree that a DHCP or PPP server 0 would provide IP network information to the terminal 22 14:23:26 23 as its used in the '532 patent? 14:23:30 24 IP information. 14:23:40 Α

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		-
1	Q Like an IP address?	14:23:42
2	A Yeah. IP information, yes. Not IP	14:23:44
3	network information. I have to be careful what that	14:23:47
4	means. IP information, yes.	14:23:49
5	Q And do you see block 550 labeled Routing?	14:23:52
6	Do you have an understanding of what that block is	14:24:00
7	doing in the context of Figure 5?	14:24:03
8	A In a general fashion, because they don't	14:24:10
9	describe the details of what routing 550 is. In	14:24:16
10	general, I assume they mean routing of the IP or IP	14:24:20
11	packets. But it doesn't describe the details of	14:24:25
12	what's in 550. And again this block again is	14:24:30
13	another example of how the descriptions of the	14:24:33
14	blocks in this patent are very generic and high	14:24:36
15	level abstract.	14:24:40
16	Q Would a person of ordinary skill in the	14:24:42
17	art understand what routing block 550 is doing?	14:24:44
18	A A person of ordinary skill in the art	14:24:49
19	would know what routing means and what it is. But	14:24:52
20	routing between what elements between, whether we	14:24:55
21	are talking about routing between what and what and	14:24:59
22	how we are routing and so forth. There is a lot of	14:25:02
23	details in routing. It's important in the	14:25:05
24	architecture of the system to know and how it's	14:25:07

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1	implemented.	14:25:11
2	Q If I could direct your attention to column	14:25:32
3	9 of the '532 patent, starting roughly around line	14:25:34
4	21. Do you see the section there called Routing?	14:25:39
5	A Yes, I see that.	14:25:46
6	Q And in the second paragraph of that	14:25:48
7	section, starting at about line 27, it says:	14:25:52
8	"Routing component 550 is responsible for IP packet	14:25:55
9	queuing/dropping." Do you see that?	14:26:01
10	A Yes.	14:26:03
11	Q What is your understanding of IP packet	14:26:03
12	queuing/dropping?	14:26:06
13	A In general what they mean by here is that	14:26:19
14	the IP, the routing component 550 is responsible for	14:26:22
15	IP packet queuing, meaning that if the IP is coming	14:26:27
16	in, queue them queuing actually, later on it	14:26:32
17	describes it later on.	14:26:44
18	So if you go down by, exactly right after	14:26:45
19	that it describes what it means by that. An IP	14:26:49
20	packet dropping software component is used for	14:26:53
21	reducing congestions caused by having more than one	14:26:56
22	terminal connected simultaneously. In an embodiment	14:27:00
23	of the present invention, routing 550 includes a	14:27:05
24	queuing software component, quality of service	14:27:09

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1	software component or equivalent for queuing IP	14:27:13
2	packets.	14:27:15
3	And it continues on discussing that,	14:27:18
4	likewise, routing component 550 includes a dropping	14:27:20
5	software component that is configured by service	14:27:26
6	manager, a user or other remote entity. I think it	14:27:29
7	has a description of that in the rest of the	14:27:32
8	paragraph in there. And then it continues on again.	14:27:37
9	There is more description down at the bottom of that	14:27:41
10	paragraph.	14:27:43
11	Q Okay.	14:27:44
12	A If you like me to, I can go through that.	14:27:45
13	Q No. That's okay. Going back to Figure 5,	14:27:47
14	another block in that network services layer is the	14:28:09
15	NAT 553. Do you see that?	14:28:16
16	A Yes.	14:28:19
17	Q What does NAT stand for again? Sorry.	14:28:26
18	A Network address translation.	14:28:30
19	Q What is your understanding of what that	14:28:34
20	component is doing in the context of the microrouter	14:28:37
21	in the '532 patent?	14:28:44
22	A Actually, I believe I described that also	14:28:51
23	in my declaration. I have to find it. I have a	14:28:54
24	brief description of that on page 28, paragraph 64,	14:30:39

	SAYFE KIAEI, PH.D 03/15/2016 Page 114]
1	where it describes a prior art, which is RF 2663.	14:30:47
2	But I'm going to focus on the definition that it has	14:30:59
3	for network address translation.	14:31:02
4	"Describes an IP network address	14:31:03
5	translator, NAT, that can be implemented on a router	14:31:04
6	or a local network." And then in the end of the	14:31:08
7	second line: In particular, RF 2663 describes the	14:31:15
8	basic NAT, which translates between IP address in a	14:31:19
9	private domain and an external domain.	14:31:25
10	So with that definition, and I'm going to	14:31:29
11	assume that what they mean here in Figure 5, NAT is	14:31:40
12	the same NAT, which is a network address	14:31:45
13	translation, which is also in paragraph, in line 55,	14:31:47
14	column 9 of the patent, which describes the details	14:31:53
15	of the NAT in here. But the general description of	14:32:02
16	it is a network address translator that translates	14:32:17
17	between IP addresses in one domain which is private	14:32:22
18	domain and one domain external domain using it in	14:32:26
19	this patent.	14:32:32
20	Q What is your understanding of what the BAP	14:33:35
21	block 551 does in the context of the '532 patent?	14:33:40
22	A BAP, reading column 8 of the patent '532,	14:34:28
23	line 50 sorry line 55, is a software component	14:34:36
24	enables Bluetooth terminal to gain access to a LAN	14:34:47

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1	116, and it says to a WAN by using IP protocol,	14:34:52
2	wireless wide band area network by using IP	14:35:02
3	protocol.	14:35:07
4	And it describes this more later on in the	14:35:08
5	last paragraph, which is line 63, that says:	14:35:17
6	Bluetooth LAN Access Profile software component	14:35:22
7	allows a LAN Access client in a terminal to obtain	14:35:25
8	an IP address and use the IP address in order to	14:35:32
9	gain connectivity to other LAN terminals or to a	14:35:36
10	WAN, behaving as if they were on a LAN. I'm	14:35:40
11	finished. Thank you.	14:35:55
12	Q The layer above the network services 580	14:36:13
13	is labeled as Hooks 590. Do you see that?	14:36:15
14	A Yes, I do.	14:36:23
15	Q What is your understanding of what hooks	14:36:25
16	are as they are used in the '532 patent?	14:36:29
17	A That's also described in the patent '532.	14:37:24
18	Their definition is hooks to Extended Network	14:37:27
19	Service Plug-ins, the top line, line 1, starting in	14:37:36
20	column 10, that describes it as in an embodiment of	14:37:43
21	the present invention, microrouter 404, that is in	14:37:50
22	Figure 5, includes hooks 590 allowing for the	14:37:57
23	extension of microrouter 404 networking services,	14:38:05
24	such as plug-ins 406.	14:38:07

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1	Q Would you agree that those hooks are, they	14:38:35
2	act as an interface between the plug-ins and the	14:38:43
3	network services?	14:38:47
4	A In their particular implementation hooks	14:38:53
5	590 are, and description of what I just read in	14:38:57
6	their implementation is, they are looking at it as	14:39:00
7	connecting the plug-ins 406 with the network	14:39:06
8	services.	14:39:15
9	Q When you say connecting, is that the same	14:39:18
10	as providing an interface?	14:39:20
11	A Yes, providing interface as a hook,	14:39:28
12	meaning interfaces between the two.	14:39:32
13	Q If we could turn back to Figure 1, and	14:40:49
14	specifically to element 115 labeled communication	14:40:56
15	operator. Do you see that?	14:41:06
16	A Yes, I do.	14:41:08
17	Q Would you agree that in the context of the	14:41:15
18	'532 patent, that the communication operator	14:41:18
19	controls manager server 102?	14:41:23
20	A The representation they have and the	14:42:07
21	description they have sorry. The '532 patent	14:42:11
22	has, I apologize for calling them they. Strike	14:42:14
23	that. The description that '532 patent has in line	14:42:18
24	62, it describes that, in the embodiment of the	14:42:22
		1

	SAYFE KIAEI, PH.D 03/15/2016 Page 117	1
1	present invention, WAN 105, carrier backbone 104 and	14:42:33
2	manager server 102 is singly or in combination a	14:42:39
3	telecommunication network that is managed and	14:42:44
4	monitored by operator 115. I think yeah. That's	14:42:47
5	it.	14:43:00
6	THE WITNESS: The time is? 2:45? I don't	14:43:23
7	have a watch. That's why.	14:43:27
8	MR. MUKERJI: Do you need a break?	14:43:29
9	THE WITNESS: Not right now but maybe	14:43:31
10	after a couple questions.	14:43:33
11	MR. HAIGHT: I'm actually at a decent	14:43:35
12	breaking point.	14:43:37
13	THE WITNESS: That would be great.	14:43:40
14	VIDEOGRAPHER: The time is 2:42:52 p.m.	14:43:41
15	We are now off the record.	14:43:48
16	(Recessed at 2:42 p.m.)	14:43:49
17	(Reconvened at 3:01 p.m.)	14:43:50
18	VIDEOGRAPHER: The time is 3:01:04 p.m.	15:01:51
19	We are now on the record.	15:01:56
20	BY MR. HAIGHT:	15:01:59
21	Q Welcome back, doctor.	15:02:06
22	A Thank you, counsel.	15:02:08
23	Q During the break did you discuss the	15:02:09
24	substance of your testimony with counsel?	15:02:12

	SAYFE KIAEI, PH.D 03/15/2016 Page 118	1
1	A No, I did not.	15:02:14
2	Q Thank you.	15:02:16
3	A Thank you.	15:02:17
4	MR. HAIGHT: I'm going to hand you what we	15:02:35
5	will mark as 1443-5.	15:02:37
6	(1443 Exhibit Number 5	15:02:40
7	was marked for identification.)	15:02:40
8	THE WITNESS: Thank you.	15:02:59
9	BY MR. HAIGHT:	15:03:02
10	Q Feel free to review the entire exhibit,	15:03:13
11	but my question is do you recognize what's been	15:03:16
12	marked as Exhibit 1443-5?	15:03:19
13	A Yes, I do. It is a Patent Number 662,017;	15:03:25
14	also, I referred to it as Hoffman in my declaration.	15:03:41
15	Q Okay. Just to be clear, I think you	15:03:53
16	skipped a number. This would be U.S. Patent	15:03:55
17	6,622,017; is that correct?	15:03:58
18	A Yes. You are correct. 6,622,017.	15:04:01
19	Q Thank you.	15:04:06
20	A Thank you, sir.	15:04:07
21	Q Is this a document you reviewed in	15:04:09
22	preparation of your declaration?	15:04:11
23	A Yes, I have.	15:04:16
24	Q What is your understanding of, generally,	15:05:02
]

	SAYFE KIAEI, PH.D 03/15/2016 Page 119	1
1	of what the Hoffman patent is teaching?	15:05:05
2	A The general description of what the patent	15:05:11
3	teaches is, I have it outlined in my paragraph 43 of	15:05:15
4	my declaration, which says that Hoffman describes,	15:05:23
5	if you are ready with that?	15:05:27
6	Q Uh-huh.	15:05:29
7	A Hoffman describes a cellular mobile	15:05:30
8	station, such as a digital cellular telephone or	15:05:32
9	mobile handset, that uses over-the-air programming	15:05:37
10	to download software modules, or plug-ins, into the	15:05:41
11	handset. Such over-the-air programming over	15:05:45
12	cellular connections allows a user to download	15:05:49
13	software onto a mobile handset from any equipment	15:05:53
14	coupled to the Internet, virtually anywhere in the	15:05:56
15	world. So that's a general description of the	15:06:01
16	patent.	15:06:04
17	Q Hoffman doesn't disclose or discussed ad	15:06:17
18	hoc networks, does it?	15:06:20
19	A Excuse me. To the best of my recollection	15:06:38
20	on this patent, it does not describe any of the ad	15:07:45
21	hoc network, ad hoc network methods.	15:07:49
22	Q Hoffman also does not discuss or teach the	15:07:58
23	use of Bluetooth in any of its networks; is that	15:08:03
24	correct as well?	15:08:08

	SAYFE KIAEI, PH.D 03/15/2016 Page 120	1
1	A I don't recall Hoffman discussing	15:08:35
2	specifically Bluetooth network in the Hoffman	15:08:36
3	disclosure patent.	15:08:43
4	Q And Hoffman doesn't teach or describe the	15:08:46
5	use of JINI technology or Java technology; is that	15:08:48
6	correct?	15:08:53
7	MR. MUKERJI: Did you say JINI or Java,	15:08:58
8	counsel? I'm sorry. I missed that.	15:09:03
9	MR. HAIGHT: I said both.	15:09:04
10	A Hoffman does not explicitly discuss JINI	15:09:15
11	or Java technologies in the Hoffman patent, '017.	15:09:20
12	Q Would you agree that the plug-ins that are	15:10:12
13	described in Hoffman are meant to upgrade the	15:10:14
14	terminal devices onto which those plug-ins are	15:10:28
15	downloaded?	15:10:32
16	A I'm sorry. You said to upgrade the	15:10:41
17	terminal devices?	15:10:44
18	Q To upgrade the units. Sorry.	15:10:45
19	A Oh, okay. What Hoffman teaches is in	15:10:48
20	paragraph 44 of my declaration, which is the mobile	15:11:09
21	handset any time you are ready I can read that.	15:11:13
22	Q Sure.	15:11:16
23	A The mobile handset 5 downloads software	15:11:18
24	modules, called plug-ins, over a cellular network	15:11:23

	-	1
1	using the over-the-air programming from multiple	15:11:25
2	third-party server sorry from a remote, from a	15:11:30
3	remote third-party server 37. The remote server 37	15:11:35
4	can transmit the plug-ins to the cellular network	15:11:40
5	and thus over the air-link to the mobile handset 5.	15:11:43
6	For example, the mobile handset 5	15:11:52
7	communicates with a wireless telephone network 3 via	15:11:55
8	cellular or personal communications services,	15:12:00
9	service, PCS type services. The next line discusses	15:12:03
10	that the plug-in modules can be downloaded from	15:12:10
11	various sources on the Internet, et cetera. I'll	15:12:14
12	stop there.	15:12:19
13	MR. HAIGHT: I'm going to hand you what we	15:12:51
14	will mark as Exhibit 1443-6.	15:12:52
15	(1443 Exhibit Number 6	15:12:56
16	was marked for identification.)	15:12:56
17	BY MR. HAIGHT:	15:13:12
18	Q Do you recognize what's been handed to you	15:13:22
19	as Exhibit 1443-6?	15:13:24
20	A Yes, counsel. It is a version, IEEE	15:13:43
21	Standard 802.11b-1999 version edition of the Part	15:13:54
22	11: Wireless LAN Medium Access Control, or MAC, and	15:14:03
23	a physical layer, PHY, specification for the	15:14:10
24	higher-speed physical layer extension in the 2.4	15:14:16

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	SAYFE KIAEI, PH.D 03/15/2016 Page 122	
1	gigahertz bandwidth, which is also the I'm going	15:14:21
2	to call this the 802.11b, or wireless LAN	15:14:24
3	specification.	15:14:32
4	Q You understand this document to be a	15:14:34
5	supplement to the 802.11 standard; is that correct?	15:14:36
6	A Yes, it is. This is a 1999 version, which	15:14:42
7	may be different than what I have, which is maybe	15:14:45
8	it's the same thing which was the 2000 version,	15:14:49
9	but this one was I think it may be the same	15:14:54
10	thing, so yeah.	15:14:57
11	Q When you say 2000 version, what do you	15:14:59
12	mean?	15:15:03
13	A It was published in January 20th of 2000,	15:15:08
14	but that's the same document I believe. If you look	15:15:11
15	at the copyright on the first page, at the bottom of	15:15:18
16	the first page it says published January 20th of	15:15:22
17	2000. And that's what I have in my reference on	15:15:26
18	page 4 of my prior art, which is the same thing. So	15:15:29
19	I wanted to make sure I don't have a different	15:15:33
20	supplement to this.	15:15:36
21	Q Sure.	15:15:37
22	A It is a Part 11, and it is a yeah.	15:15:39
23	It's the correct version. Yeah. Sorry. These	15:15:43
24	change, every month they change. If you are	15:15:47
1		

SAYFE KIAEI, PH.D. - 03/15/2016 Page 123 involved in the standards, every month there is a 15:15:49 1 2 supplement and that supplement changes almost on a 15:15:52 3 quarterly basis, so I want to make sure I'm looking 15:15:55 4 at the same thing. I don't mean to be picky here. 15:15:58 5 0 No, no. That's fine. 15:16:01 6 А There could be substantial changes in 15:16:03 7 there as we go forward. 15:16:06 That leads to my next question. 8 15:16:07 0 15:16:09 9 Throughout this document there are portions that are 10 15:16:11 underlined and portions that have strike-throughs. 11 What is the significance of those markings? 15:16:16 12 I have been in the standards bodies 15:16:20 А 13 15:16:24 before, and what we do is that the editors when 15:16:27 14 they, the different representatives of the companies 15 15:16:30 meet in the standards body. We may not agree on the language or we may strike language or components of 16 15:16:37 17 the standards so we strike through that. There are 15:16:40 18 components that we may need to have different 15:16:44 19 discussions, we underline those and so on and so 15:16:47 20 forth. 15:16:49 21 There is a specific format we use to keep 15:16:51 22 the document in a format that everybody will 15:16:54 23 understand, and the last meeting, these are the 15:16:56 issues we discussed, these are the issue we strike 24 15:16:58

	SAYFE KIAEI, PH.D 03/15/2016 Page 124	1
1	over, and that will continue until the final version	15:17:01
2	is done, and even then it's not done.	15:17:06
3	Q So it's fair to say the strike-throughs	15:17:08
4	and the underlines are edits made to a previous	15:17:11
5	version? Is that fair?	15:17:15
6	A Yes. It's a continual edition of the	15:17:16
7	standards. And this was in 1999. Yeah.	15:17:20
8	Q And is there an indication on this	15:17:23
9	document that this was the version that was accepted	15:17:33
10	by the committee?	15:17:41
11	A Up to this point it was accepted as it was	15:17:51
12	by the committee when it was published. It's not	15:17:55
13	if what you mean is a different question, I can, if	15:18:00
14	you are more specific.	15:18:02
15	Q Let me ask it a different way. Is there	15:18:05
16	anything in this document to indicate that this	15:18:09
17	isn't just a marked-up draft of the standard or of	15:18:11
18	the supplement?	15:18:16
19	A No. This is not a marked-up draft. At	15:18:31
20	the time this was an agreed upon standard at the	15:18:36
21	time that it was published and agreed upon standard	15:18:39
22	by the committee at that point.	15:18:42
23	Q How do you know that generally speaking?	15:18:46
24	A By the fact that it discusses that in the	15:18:50

		7
1	document as well, you know, it discusses at the top,	15:18:55
2	this is the IEEE standards.	15:19:02
3	Q Where are you looking, sir?	15:19:04
4	A At the page 2. It describes what the	15:19:06
5	standards is, it describes the use of the IEEE	15:19:35
6	standards is discussion on the second paragraph, and	15:19:40
7	then in the fourth paragraph it discusses the	15:19:45
8	questions that may arise regarding the meaning of	15:19:48
9	this standard and if there are any	15:19:51
10	interpretations if this was a draft, there would	15:19:54
11	be a specific, either a watermark or specific things	15:19:57
12	that would say this is a draft. So I assume I	15:20:02
13	was not in that committee at that time. If this was	15:20:06
14	a draft, there would be a clear indication on that	15:20:10
15	up front or even a watermark that would say that	15:20:12
16	this is a draft document. So	15:20:15
17	Q To the best of your knowledge, the edits	15:20:22
18	in the supplement were in fact adopted as part of	15:20:25
19	the standard; is that correct?	15:20:28
20	A Yes. And also page 8 it describes that	15:20:29
21	editing done in there. If you look at page 8 of the	15:20:31
22	document, which is page 1 of the 802.11 document,	15:20:36
23	but the page 8 of your reference, the paragraph,	15:20:40
24	second paragraph on the page 1 of the standard, it	15:20:46
1		

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		1
1	says the editing instructions are shown in bold, et	15:20:54
2	cetera. So it discusses all the formatting	15:20:58
3	questions you already had in there. So to the best	15:21:00
4	of my knowledge, this was the standard that was at	15:21:04
5	that time adopted by the IEEE body that was an	15:21:06
6	802.11b committee, technical committee.	15:21:11
7	Q The 802.11 standard, is that also known as	15:21:17
8	a WiFi standard?	15:21:37
9	A Would I generalize it as a WiFi standard,	15:21:44
10	802.11? I hesitate to say that because I believe	15:21:48
11	that I'm just thinking if there were some other	15:21:53
12	standards that may have used the same first five	15:21:56
13	numbers as well. I don't know whether but for	15:22:01
14	the sake of this discussion here, this is	15:22:04
15	specifically standard for 802.11 one second. Let	15:22:09
16	me I guess my preference would be to keep as	15:22:30
17	802.11 dot B standard rather than calling it a WiFi,	15:23:06
18	because it is, it is 802.11b. So I would like to	15:23:14
19	keep it that way. I don't want to cause	15:23:20
20	interpretation of that because WiFi could be many,	15:23:25
21	many different things. Since we are talking about	15:23:30
22	the standard, let's stick to the number it has.	15:23:33
23	Q I was more asking in general. I	15:23:36
24	understand the purpose. I will be as specific as	15:23:38

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1	possible.	15:23:40
2	A I was on the standards body for a few	15:23:42
3	years and we were very sensitive about even any Bs,	15:23:45
4	Cs or C primes and so forth. In general if you like	15:23:48
5	to call it a WiFi, I prefer to call it 802.11b.	15:23:55
6	Q And is it your understanding that the	15:24:01
7	802.11b standard is implemented through a media	15:24:06
8	access protocol known as CSMA?	15:24:21
9	A Could you point exactly in the document	15:24:32
10	you are talking about where it talks about CSMA?	15:24:34
11	Q I'm asking generally.	15:24:38
12	A Again with all the acronyms and all the	15:24:39
13	names, there is CSMA, there is CDMA, there is QDSS.	15:24:43
14	So if you have a specific, please point that.	15:24:47
15	Q Yep. I will try and pin that down.	15:24:50
16	Sitting here today, do you have an understanding of	15:24:53
17	what CSMA, a CSMA media access protocol is?	15:24:56
18	A Not off top of my head to be frank, no.	15:25:12
19	So if you have a if I discuss it anywhere in my	15:25:15
20	document, please point to that.	15:25:23
21	Q On page it's lower case Roman IV of the	15:25:29
22	actual standard, it's stamped as page 4, cardinal 4,	15:25:36
23	and starting on the previous page, it says: "The	15:25:50
24	standards defining the access technologies are as	15:25:54

	SAYFE KIAEI, PH.D 03/15/2016 Page 128	
1	follows."	15:25:58
2	A No, I'm sorry.	15:25:58
3	Q On page 3.	15:25:59
4	A Here we go. Okay. So where on the page	15:26:00
5	again?	15:26:11
6	Q So maybe we start with the paragraph on	15:26:12
7	page 3 that says, about halfway through the page, it	15:26:17
8	says "this family of standards."	15:26:21
9	A Yeah. Do you want me to read that?	15:26:24
10	Q Sure.	15:26:26
11	A Okay. I read that, and there are all	15:26:43
12	these annotations for the IEEE Standard 802 and so	15:26:46
13	forth and all the columns in there.	15:26:50
14	Q Sure.	15:26:52
15	A Then the next page is the CSMA; right?	15:26:53
16	Q Right. That second bullet point on page 4	15:26:56
17	says: "CSMA/CD Access Method and Physical Layer	15:27:00
18	Specifications"?	15:27:07
19	A Uh-huh.	15:27:08
20	Q Does that refresh your recollection of	15:27:11
21	what, CSMA is a media access protocol?	15:27:14
22	A I don't know what the acronym exactly	15:27:21
23	stands for, but it is media access control. If you	15:27:25
24	don't mind, let me look at it for a second in that	15:27:29

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		1
1	section, which I don't know whether this document	15:27:34
2	describes that or not.	15:27:38
3	Q If I submit to you that it stands for	15:27:44
4	carrier sense multiple access, would you agree with	15:27:47
5	that?	15:27:49
6	A I don't remember exactly the acronym, what	15:28:00
7	it was. To be frank, it's not a memory test.	15:28:02
8	Q I understand. But, so sitting here today,	15:28:06
9	you are not aware that the 802.11 standard	15:28:09
10	implements CSMA/CD media access protocol?	15:28:13
11	MR. MUKERJI: Objection to form.	15:28:19
12	A Not remembering acronyms does not mean	15:28:21
13	that. That's not what I said. I said acronyms, I	15:28:26
14	don't remember exactly the details of acronym, what	15:28:31
15	it was for. I am familiar with the 802.11 media	15:28:32
16	access control and what it does. But that's outside	15:28:36
17	of the but anyhow, that's it.	15:28:48
18	Q Is the 802.11 media access protocol based	15:28:51
19	on a master/slave relationship, or media access	15:28:59
20	protocol?	15:29:09
21	A I don't recall that, but in general the	15:29:39
22	master/slave relationship can be implemented in any	15:29:42
23	network configurations in here. But I don't	15:29:48
24	remember the details of 802.11 had a master/slave	15:29:52

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1	configuration. It may have been contributions in	15:29:57
2	there and discussions in there which they supported	15:30:01
3	master/slave. Off the top of my head I don't	15:30:05
4	remember that. But I don't see what would preclude	15:30:12
5	that from having a master/slave relationship.	15:30:15
6	Q Is there anything in the supplement IEEE	15:30:18
7	standard that's been marked as 1443-6 that indicates	15:30:20
8	a 802.11 protocol would implement a master/slave	15:30:24
9	media access protocol?	15:30:41
10	A Again looking at this top of my head, I	15:30:47
11	don't remember seeing that in here. But in general	15:30:50
12	the master/slave relationship can be implemented	15:30:53
13	within a network of wire line or wireless devices	15:30:57
14	and each other. That's not a that's a very much	15:31:04
15	a well-known concept in the communication systems.	15:31:08
16	Q Does 802.11 encompass wire line	15:31:12
17	communications?	15:31:15
18	A I said in general. Wireless and wire.	15:31:16
19	The statement I made was a general statement.	15:31:19
20	Q Sure. I'm talking about this document	15:31:21
21	specifically.	15:31:23
22	A It is a wireless system. And I don't see	15:31:24
23	any reason why what would preclude or exclude 802.11	15:31:28
24	from having a master/slave relationship.	15:31:35

	SAYFE KIAEI, PH.D 03/15/2016 Page 131	1
1	Q Would you consider master/slave to be a	15:31:47
2	type of media access technology?	15:31:51
3	A That's a very generic and general	15:31:58
4	statement. Master/slave relationship is used even	15:32:01
5	in printers, and in it goes back to, the best I	15:32:06
6	recollect, from 1980s, even late '70s I was taking	15:32:22
7	network class. At that time master/slave	15:32:24
8	relationships was well-known fact in memory, in how	15:32:28
9	to connect daisy-chain printers together, how to	15:32:34
10	connect memory devices together. So it's not a,	15:32:39
11	necessarily a just a network media access control	15:32:44
12	configuration.	15:32:49
13	Q In the paragraph we discussed on page 3	15:33:08
14	where it says "this family of standards", do you see	15:33:10
15	that?	15:33:14
16	A Yes.	15:33:17
17	Q The second sentence of that paragraph	15:33:18
18	says: "The access standards define seven types of	15:33:20
19	medium access technologies." Do you see that?	15:33:23
20	A Yes.	15:33:27
21	Q Do you have any understanding of what	15:33:28
22	those, specifically what those seven types of media	15:33:31
23	access technologies are?	15:33:34
24	A Not off top of my head. I would be happy	15:33:39

SAYFE KIAEI, PH.D. - 03/15/2016 Page 132 to read this. And also it says at the end of the 15:33:41 1 2 line, paragraph, that other types are under 15:33:44 3 investigation. 15:33:47 4 But you don't know what those types are? 15:33:48 0 5 Α No. No, I do not. 15:33:50 6 MR. HAIGHT: We need to change the tapes 15:33:53 7 real quick. 15:33:56 8 VIDEOGRAPHER: This concludes disk number 15:33:57 9 four of the video deposition of Sayfe Kiaei, Ph.D. 15:33:58 10 The time is 3:33:17 p.m. We are now off record. 15:34:05 11 15:34:09 (Recessed at 3:33 p.m.) 12 (Reconvened at 3:37 p.m.) 15:34:10 13 VIDEOGRAPHER: This begins disk number 15:38:25 14 five of the video deposition of Sayfe Kiaei, Ph.D. 15:38:27 15 The time is 3:37:46 p.m. We are now on the record. 15:38:33 16 BY MR. HAIGHT: 15:38:38 Dr. Kiaei, I'm going to hand you what we 17 0 15:38:52 18 are marking as Exhibit 1443-7. 15:38:54 19 (1443 Exhibit Number 7 15:38:58 20 was marked for identification.) 15:38:58 21 THE WITNESS: Thank you. 15:39:16 2.2 BY MR. HAIGHT: 15:39:16 23 Do you recognize what's been marked as 15:39:22 0 Exhibit 1443-7? 24 15:39:24 SAYFE KIAEI, PH.D. - 03/15/2016 Page 133

	SAYFE KIAEI, PH.D 03/15/2016 Page 133	1
1	A Yes, I do, counsel. It is a RFC 2663	15:39:32
2	reference used as a prior art in my declaration,	15:39:47
3	which is titled IP Network Address Translator (NAT)	15:39:51
4	Terminology and Considerations.	15:39:56
5	Q Just for terminology sake, RFC, does that	15:40:05
6	stand for requests for comments?	15:40:09
7	A Yes. RFC stands for requests for comments	15:40:11
8	within the network working group.	15:40:16
9	Q Do you have any understanding of what the	15:40:23
10	network working group is?	15:40:25
11	A I have not participated in that group	15:40:38
12	before. I have a general idea of what that working	15:40:41
13	group is, but I don't have a lot of detailed	15:40:44
14	information about it.	15:40:47
15	Q Is that a working group within a larger	15:40:48
16	organization?	15:40:51
17	A I believe so, but I'm not sure which	15:40:53
18	organization they belong to, or that it was part of	15:40:55
19	an ITU FC, IEEE. It was overall a networking group	15:41:00
20	that, working group that we were aware of. I don't	15:41:06
21	know the details of how they are shared and so.	15:41:08
22	Q Do you see the reference in the upper	15:41:38
23	right-hand corner to Lucent Technologies?	15:41:40
24	A Yes, I see that.	15:41:43

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		7
1	Q And it's got a date of August 1999; is	15:41:51
2	that correct?	15:41:55
3	A Yes, it does.	15:41:55
4	Q Is it possible that the network working	15:42:04
5	group is, for lack of a better word, a working group	15:42:06
6	within the company Lucent Technologies at the time?	15:42:18
7	MR. MUKERJI: Objection to form.	15:42:21
8	A I do not know that. I cannot comment on	15:42:21
9	that. I can tell you about my experience within the	15:42:25
10	working groups if that helps your question.	15:42:27
11	Q No. I'm just trying to understand the	15:42:33
12	context of where this document came from?	15:42:36
13	A No. In general working groups are when	15:42:39
14	the Bluetooth standard started before that, Intel,	15:42:42
15	Microsoft, a number of other companies created the	15:42:46
16	Bluetooth working group and we got together. That	15:42:50
17	was for Bluetooth. I don't know what this one is.	15:42:52
18	I cannot speculate.	15:42:59
19	Q Did you identify this reference personally	15:43:11
20	as a prior art reference in the scope of your work	15:43:13
21	for this IPR?	15:43:18
22	A I don't believe I did on this one. No, I	15:43:22
23	did not.	15:43:24
24	Q Exhibit 1443, I believe you identified the	15:44:03

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]
1	title is the IP Network Address Translator (NAT)	15:44:08
2	Terminology and Considerations; is that correct?	15:44:12
3	A Yes. 1443-7; right?	15:44:16
4	Q Yes.	15:44:19
5	A Dash seven, yeah.	15:44:20
6	Q I'm sorry. Did I	15:44:20
7	A You just said 1443.	15:44:20
8	Q I apologize. Thank you.	15:44:23
9	A That's okay.	15:44:25
10	Q And I think you had alluded to it and	15:44:26
11	pointed to a paragraph in your declaration earlier	15:44:30
12	when we were generally discussing the NAT component	15:44:34
13	in the '532 patent, you referred to this document.	15:44:37
14	Would it be fair to say that network address	15:44:44
15	translation as used in this document is a method by	15:44:52
16	which IP addresses are mapped from one realm to	15:44:55
17	another in an attempt to provide transparent routing	15:44:59
18	to hosts?	15:45:04
19	MR. MUKERJI: Can I get that question back	15:45:14
20	please, starting with would it be fair?	15:45:16
21		15:45:19
22	(Whereupon the following portion of the	15:45:19
23	testimony was repeated by the Court Reporter:	15:45:19
24	QUESTION: Would it be fair to say that	15:45:19

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		1
1	network address translation as used in this document	15:45:19
2	is a method by which IP addresses are mapped from	15:45:19
3	one realm to another in an attempt to provide	15:45:19
4	transparent routing to hosts?)	15:45:19
5		15:45:20
6	A Give me one second. Here we go. I would	15:46:17
7	like to correct your statement and go back to my	15:46:42
8	declaration, paragraph 64, which is I feel more	15:46:48
9	comfortable with this definition in terms of	15:46:54
10	paragraph 64, line 3, which is also described in	15:46:57
11	2663 reference, "basic NAT, which translates between	15:47:08
12	IP addresses in a private domain and an external	15:47:15
13	domain," and then it goes on describing other NAT.	15:47:19
14	Q Let me point you to the first page of that	15:47:24
15	Exhibit 1443-7 in the first line of the abstract.	15:47:27
16	Do you disagree with that statement?	15:47:35
17	A It is really it's talking about	15:47:59
18	multiple statements in here, so I don't know which	15:48:03
19	one you are talking about. So if you are talking	15:48:05
20	about are you talking about the preface or	15:48:08
21	abstract? Sorry. Which line are we talking about	15:48:10
22	in page 1 of the RF 2663?	15:48:13
23	Q The language I read to you was the first	15:48:17
24	line of the abstract.	15:48:20

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SAYFE KIAEI, PH.D. - 03/15/2016 Page 137 15:48:21 1 Of the abstract. Sorry. Okay. T was А 2 reading the preface. What it says in here is that 15:48:24 3 network address translation is a method by which IP 15:48:48 4 addresses are mapped from one realm to another in an 15:48:52 5 attempt to provide transparent routing to host. Τn 15:48:57 6 an attempt to provide transparent routing to host. 15:49:04 7 So in general I agree with that statement. 15:49:09 8 However, it is saying in an attempt to -- it does 15:49:12 9 not talk about the details of how and exactly it's 15:49:17 15:49:22 10 implemented. And it talks about traditionally NAT devices are used to connect an isolated address 15:49:26 11 12 realm with private unregistered addresses to the 15:49:31 13 external realm with globally unique registered 15:49:35 addresses. 15:49:37 14 15 So I think it defines it a little bit more 15:49:40 clearly here. And then -- then it talks about this 16 15:49:43 17 document attempts to describe the operation of NAT 15:49:47 18 devices and the associated considerations in general 15:49:50 19 and to define terminology used to identify various 15:49:53

21 have in the abstract.

20

22QSo that abstract and the language you just15:50:4323read identifies that there is various flavors of15:50:4824NAT; is that correct?15:50:52

15:50:00

15:50:03

flavors of NAT. I agree with that statement they

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		7
1	A It describes the overall idea of what NAT	15:50:59
2	is in terms of connecting isolated address field in	15:51:02
3	the private unregistered address to an external	15:51:08
4	realm with globally registered address. And then	15:51:11
5	later on it talks about various different forms of	15:51:21
6	NAT, including the one I have in my declaration,	15:51:24
7	which is on NAPT. So that's what it's saying in	15:51:30
8	that document.	15:51:36
9	Q By NAPT, you mean network address port	15:51:37
10	translation; is that correct?	15:51:41
11	A Yes.	15:51:43
12	Q Would you agree with me that there are	15:51:52
13	other ways to translate IP addresses between a	15:51:54
14	private domain and an external domain that don't	15:52:02
15	rely on NAT?	15:52:06
16	MR. MUKERJI: Objection to form and	15:52:09
17	outside the scope.	15:52:09
18	A They my analysis was based on looking	15:52:14
19	specifically for NAT and the references I have here	15:52:19
20	and the description of what NAT, which I have in my	15:52:22
21	references. Are there other methodologies to do it	15:52:28
22	without NAT? There may be. I did not consider that	15:52:32
23	and I did not look at it.	15:52:35
24	Q Would a person of ordinary skill in the	15:52:40

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		-
1	art recognize there are multiple ways other than NAT	15:52:43
2	to translate IP addresses in a private domain to an	15:52:48
3	external domain?	15:52:57
4	A Again as I said, my focus was not to look	15:53:02
5	at different ways of doing NAT and how it's done,	15:53:05
6	but to focus on the prior art and the '532 patent	15:53:10
7	and see how NAT is described, which is in my, the	15:53:15
8	translation is done which is also in my document,	15:53:20
9	declaration multiple places I have described it.	15:53:24
10	Are there other ways of doing it? I can	15:53:27
11	speculate and give you a hypothetical answer in the	15:53:30
12	hypothetical question. Is there a possibility that	15:53:32
13	a POSITA may come up with their own different way of	15:53:38
14	doing that? I cannot speculate on that.	15:53:43
15	Q You can't say whether NAT is the only way	15:53:46
16	you can translate an IP address between a public	15:53:49
17	domain and a private domain?	15:53:51
18	MR. MUKERJI: Objection to form.	15:53:55
19	A I believe I already answered that.	15:53:55
20	Q So the answer is no?	15:54:01
21	A My answer is my focus was not to look at	15:54:04
22	different ways of doing NAT. My focus was to look	15:54:08
23	at the prior art and '532 and the references I have	15:54:12
24	here, and what I have is a network address	15:54:17

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1	translation done that's described in my report. If	15:54:24
2	there are other ways hypothetically, there may be	15:54:26
3	other ways. Top of my head right now sitting here,	15:54:30
4	I can't say that. If you want me to look into the,	15:54:33
5	read the NAT document, I can go through that	15:54:36
6	document and see if there are other ways it	15:54:40
7	describes it and look at my other references, I can	15:54:43
8	do that.	15:54:47
9	Q Is the NAT described in Exhibit 1443-7,	15:54:54
10	did that, does that live in a particular layer of a	15:55:11
11	protocol set, network protocol set?	15:55:17
12	A One place that I mention this is in my	15:59:34
13	declaration 50, in paragraph 50, page 21, where I	15:59:38
14	discuss in view of router plug-ins, how a POSITA, a	15:59:43
15	person of skill in the art, would have modified	15:59:52
16	Marchand's mobile gateway 33 to implement its	15:59:54
17	routing and address translation functionalities by	15:59:59
18	utilizing a router and routing software technology	16:00:04
19	prescribed in the router plug-ins. So one method	16:00:07
20	would be to look at this in a router plug-in.	16:00:12
21	MR. HAIGHT: I object as non-responsive.	16:00:29
22	BY MR. HAIGHT:	16:00:32
23	Q My question was whether or not the NAT	16:00:32
24	lives in a particular layer of the protocol stack.	16:00:35

	SAYFE KIAEI, PH.D 03/15/2016 Page 141	-
1	I wasn't discussing Marchand, I wasn't discussing	16:00:38
2	router plug-ins. Talking about the NAT	16:00:40
3	implementation and whether that necessarily lives in	16:00:43
4	one layer, one particular layer of a protocol stack?	16:00:47
5	A The network translation in general for	16:00:54
6	network address translation depends again on the	16:01:00
7	implementation, but generally it is in the stack	16:01:04
8	obviously above the phy layer, physical layer, and	16:01:09
9	on the implementation, it could be in the network	16:01:14
10	layer in the middle or it could be in the upper	16:01:17
11	layer where it's, as I said in here, within the	16:01:20
12	router plug-ins and upper layer of the stack. It	16:01:28
13	depends on the implementation.	16:01:31
14	Q If you could look at page 2 of Exhibit	16:01:41
15	1443-7?	16:01:46
16	A Uh-huh.	16:01:49
17	Q And the fourth paragraph. It starts by	16:01:52
18	saying, "NAT devices attempt to provide." Do you	16:01:58
19	see that?	16:02:00
20	A Yes, I do.	16:02:01
21	Q And feel free to read the whole paragraph,	16:02:07
22	but I'm going to point your attention to the third	16:02:09
23	sentence that says: "This solution only works when	16:02:12
24	the applications do not use the IP addresses as part	16:02:15

SAYFE KIAEI, PH.D. - 03/15/2016 Page 142 16:02:18 1 of the protocol itself." Do you see that? 2 16:02:22 Α Yes, I do. 3 Does that indicate to you that there are 16:02:25 0 4 other solutions that are not based on NAT that would 16:02:27 be used to translate addresses between two domains? 5 16:02:33 6 MR. MUKERJI: Objection to form. 16:02:39 7 Α That's their interpretation of it, in 16:03:00 8 terms of they are not offering any other solutions I 16:03:04 9 They are saying that if the particular 16:03:08 see here. 16:03:11 10 NAT devices attempts to provide a transparent routing solution and do not use IP address in the 16:03:14 11 part of the protocol. I already answered this 12 16:03:22 13 question in terms of are there other ways of doing 16:03:26 NAT, and the one I'm familiar with and I discussed 16:03:31 14 15 16:03:41 it in my report is outlined in my discussions in 16:03:46 16 here. In this prior art is claiming that this 17 solution would work only with the application of --16:03:52 18 sorry -- this solution only works when the 16:03:58 19 application do not use the IP address as part of the 16:04:01 20 protocol itself. 16:04:03 21 Whether I agree with it or not, I have to 16:04:17 analyze it and look at the whole discussion they 22 16:04:19 23 have and see within which context they are talk 16:04:21 24 about if NAT works or doesn't work. I'm familiar --16:04:28

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1	sorry. That's it.	16:04:35
2	THE WITNESS: Oh. Did my microphone fall?	16:04:44
3	Can you hear me? Okay. Good. Forgot to put it on.	16:04:48
4	A It's very much application dependent and	16:04:59
5	how it's implemented.	16:05:04
6	Q There could be applications that call for	16:05:11
7	routing that don't implement the NAT system?	16:05:14
8	MR. MUKERJI: Objection to form.	16:05:21
9	A What I meant in terms of an application	16:05:28
10	here was the overall architecture of the system, not	16:05:31
11	the specific applications. For a given architecture	16:05:35
12	of hardware and software, the implementation that I	16:05:37
13	have discussed is a NAT in my report. Again I don't	16:05:44
14	want to speculate are there any other ways of doing	16:05:53
15	that on the fly here and engineer other methods of	16:05:55
16	doing that. I don't have that.	16:06:00
17	Q I'm not asking you to speculate. I'm	16:06:02
18	asking you based on the structure of that paragraph	16:06:03
19	that says this solution only works under certain	16:06:05
20	conditions, doesn't that necessarily mean that there	16:06:08
21	are other solutions that would be implemented in	16:06:11
22	that situation?	16:06:13
23	A This is their claim and that's not what	16:06:16
24	they say. They don't say that that solution	16:06:17

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1	there are not other ways of implementing this. I	16:06:21
2	mean that paragraph, if I read that paragraph by	16:06:26
3	itself, I cannot conclude what exactly they mean by	16:06:29
4	that. I have to look at the entirety of the report	16:06:35
5	and see this is specific example they are	16:06:37
6	discussing, under what cases and circumstances it	16:06:41
7	would not work. So it's a hypothetical thing. At	16:06:46
8	this point I cannot point to it and analyze it.	16:06:51
9	Q If I could direct your attention to page 6	16:07:06
10	of that same exhibit, 1443-7, and the paragraph	16:07:09
11	number 3 that's headed, quote, "What is NAT?" Do	16:07:19
12	you see that paragraph?	16:07:26
13	A Oh, yes.	16:07:27
14	Q Could you read that paragraph for the	16:07:28
15	record, please?	16:07:29
16	A Sure. Network address, a network address	16:07:31
17	translation is a method by which IP addresses are	16:07:40
18	mapped from one address realm to another, providing	16:07:44
19	transparent routing to end hosts. There are many	16:07:49
20	variations of address translations that lend	16:07:52
21	themselves to different applications. However, both	16:07:55
22	flavors of NAT devices should share the following	16:07:58
23	characteristics, which are the three characteristics	16:08:02
24	that is outlined on page 7 in terms of transparent	16:08:08
		1

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		7
1	address assignment, transparent routing to address	16:08:13
2	translation, routing here refers to forwarding	16:08:16
3	packets and not exchanging routing information, and	16:08:20
4	ICMP error packet payload, and it goes on more in	16:08:25
5	here, but I'm going to stop here.	16:08:30
6	Q That's fine. Thank you.	16:08:32
7	A Yeah.	16:08:34
8	Q RFC 2663 doesn't discuss mobile phones,	16:08:38
9	does it?	16:08:51
10	A RFC 2663 is primarily discussing	16:08:57
11	networking and in general networking as also viewed	16:09:05
12	in the abstract, it discussed network address	16:09:16
13	translation is a method by which IP addresses are	16:09:23
14	mapped from one realm to another realm. So it's	16:09:26
15	looking at in general networking, a person of skill	16:09:29
16	in the art would be able to understand that this	16:09:34
17	networking could apply for wireless applications as	16:09:36
18	well.	16:09:40
19	Q Does RFC 2663 discuss JINI/Java	16:09:44
20	technology?	16:09:53
21	A To the best of my recollection, it does	16:09:55
22	not discuss JINI/Java in the RFC 2663. Thank you.	16:10:01
23	MR. HAIGHT: I'm handing you excuse me.	16:11:44
24	THE WITNESS: Maybe before we start do you	16:11:48

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SAYFE KIAEI, PH.D. - 03/15/2016 Page 146 mind if we take a five-minute break? 16:11:49 1 2 MR. HAIGHT: 16:11:53 That's fine. 3 VIDEOGRAPHER: The time is 4:11:08 p.m. 16:11:56 4 We are now off record. 16:11:59 5 (Recessed at 4:11 p.m.) 16:12:01 6 (Reconvened at 4:27 p.m.) 16:12:01 7 VIDEOGRAPHER: This begins disk number six 16:27:55 8 of the video deposition of Sayfe Kiaei, Ph.D. The 16:27:57 9 time is 4:27:16 p.m. We are now on the record. 16:28:03 10 BY MR. HAIGHT: 16:28:08 11 Dr. Kiaei, welcome back. 16:28:15 0 Thank you, counsel. 16:28:17 12 Α 16:28:19 13 Did you happen to discuss any of your 0 16:28:22 14 testimony today with your counsel during the break? 15 16:28:25 No, I did not, counsel. А 16:28:27 16 0 I'm going to hand you what we are going to 17 mark as 1443-8. 16:28:29 18 (1443 Exhibit Number 8 16:28:31 19 was marked for identification.) 16:28:31 20 THE WITNESS: Thank you, sir. 16:28:41 21 BY MR. HAIGHT: 16:28:43 2.2 Do you recognize Exhibit 1443-8? 16:28:51 0 23 Yes, I do, counsel. It is a, the 16:28:57 Α 24 reference I call Marchand, which is patent 16:29:02

		1
1	WO2001/076154.	16:29:13
2	Q This is a document you reviewed in	16:29:25
3	preparation for your declaration?	16:29:28
4	A Yes, sir, I did.	16:29:31
5	Q And Marchand teaches at a high level using	16:29:51
6	an ad hoc network to share services amongst the	16:29:56
7	devices in that ad hoc network? Would you agree	16:30:06
8	with that?	16:30:10
9	A What Marchand teaches is that paragraph 25	16:30:16
10	on my declaration, which describes a mobile phone	16:30:24
11	configured to act as a gateway between two different	16:30:28
12	networks, an external wireless IP network and a	16:30:32
13	local wireless ad hoc network as shown in Figure 3	16:30:37
14	of Marchand.	16:30:41
15	Q And what is your understanding of what an	16:31:14
16	ad hoc network is?	16:31:18
17	A I described that also further in paragraph	16:31:41
18	28 of my declaration. Local ad hoc network 30 can	16:31:43
19	be a wireless network for an office, for example.	16:31:48
20	Therefore, Marchand's local ad hoc network 30 can be	16:31:52
21	a corporate or private network that is connected via	16:31:57
22	gateway 33 to a cellular network.	16:32:01
23	And later on on line 3 I discuss that	16:32:11
24	Marchand also describes other examples of local ad	16:32:14
]

	SAYFE KIAEI, PH.D 03/15/2016 Page 148	3
1	hoc network such as networks in home, local networks	16:32:18
2	such as security surveillance system and	16:32:22
3	temperature-control thermostat, unquote.	16:32:26
4	Q Does the term "ad hoc" have a particular	16:32:30
5	meaning to one of skill in the art as you defined it	16:32:39
6	in this IPR?	16:32:45
7	A Excuse me one second. So that is	16:32:51
8	discussed in my declaration paragraph 31 where I	16:33:37
9	elaborate more on the network here. In addition to	16:33:44
10	communicating with external network via the gateway	16:33:49
11	33, Marchand describes that local devices on the	16:33:52
12	Bluetooth ad hoc piconet can communicate with each	16:33:57
13	other. For example, Marchand describes that a user	16:34:00
14	with a Bluetooth compliant mobile phone may walk	16:34:03
15	into a room that has a printer and a laptop network,	16:34:07
16	both of which are also Bluetooth compliant. So this	16:34:11
17	is the gist of the local network which is also	16:34:18
18	called ad hoc network.	16:34:27
19	Q And generally speaking, outside of what	16:34:33
20	Marchand is saying, what would one of skill in the	16:34:37
21	art understand an ad hoc network to be?	16:34:40
22	A In general, an ad hoc network, general	16:34:51
23	terminology network, is a network that is formed in	16:34:54
24	an ad hoc fashion. It's a local area network	16:35:07

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		7
1	typically, but not necessarily constrained to that,	16:35:11
2	that user can enter that network such as an example	16:35:15
3	I use here, that somebody walks with a Bluetooth	16:35:25
4	compliant mobile phone, may walk into a room as an	16:35:32
5	ad hoc; that person was not a member of that	16:35:35
6	network, they can come in and join the network and	16:35:38
7	use a printer and laptop, et cetera. That's why	16:35:42
8	they call it an ad hoc network.	16:35:45
9	Q The ability to join the network on the	16:35:48
10	fly, if you will?	16:35:51
11	A Ad hoc fashion. It's an ad hoc definition	16:35:52
12	by itself.	16:36:03
13	Q And you mentioned that, that it describes	16:36:05
14	local devices on a Bluetooth ad hoc piconet. What	16:36:13
15	is your understanding of what a piconet is?	16:36:17
16	A That doesn't have a real clear it is	16:36:45
17	relative that what's called a piconet. In general a	16:36:51
18	piconet started with a Bluetooth compliant devices	16:36:59
19	that is a smaller number of devices in a local area	16:37:06
20	network connected together. May or may not be local	16:37:10
21	area network, and I have seen other nets which are	16:37:14
22	called piconets that may be outside of the realm of	16:37:18
23	Bluetooth network.	16:37:23
24	Q Sorry. Go ahead.	16:37:25

	SAYFE KIAEI, PH.D 03/15/2016 Page 150	1
1	A That's it.	16:37:26
2	Q Bluetooth is not synonymous with piconet,	16:37:27
3	in general?	16:37:31
4	A It started that way. Are there piconets	16:37:36
5	that are outside of Bluetooth or that doesn't have	16:37:47
6	only Bluetooth network in there? I can't recall	16:37:52
7	from top of my head.	16:37:55
8	Q And when you say the piconets started out	16:37:57
9	as always being Bluetooth, was that prior to 2000?	16:38:00
10	A I believe it was around prior to 2000 or	16:38:20
11	somewhere around there the piconet, ad hoc piconet	16:38:22
12	concept was brought into the Bluetooth network	16:38:28
13	interest group.	16:38:32
14	Q That's when piconets were first discussed	16:38:34
15	with Bluetooth?	16:38:38
16	A Yeah, I can't say the top of my head and	16:38:40
17	on the fly says that piconet was a notion before	16:38:43
18	that or not. I don't know that. Right now I don't	16:38:46
19	know that. But as I said in my report, in here we	16:38:50
20	are discussing Bluetooth ad hoc piconet network that	16:38:58
21	communicate with each other. Whether that	16:39:02
22	terminology was used elsewhere before Bluetooth or	16:39:05
23	not, I don't remember. I don't recall that.	16:39:11
24	Q If I could point you to Figure 2 of	16:39:54

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1	Exhibit 14143-8, which is near the end on page 23 of	16:40:00
2	the exhibit.	16:40:12
3	A Yes. I have it.	16:40:19
4	Q What is Marchand depicting in Figure 2?	16:40:24
5	A In page 5 of the Marchand reference, which	16:41:29
6	is same as page 7 of what's at the bottom, it	16:41:43
7	describes what Figure 2 is, which is an illustrative	16:41:48
8	drawing illustrating a protocol stack for a mobile	16:41:52
9	Bluetooth piconet that has been extended into the IP	16:41:55
10	wireless LAN implementing JINI and Java technologies	16:42:01
11	in accordance with the teaching of the present	16:42:06
12	invention.	16:42:08
13	Q Just for housekeeping purposes, can we	16:42:11
14	agree to refer to the page numbers at the top of the	16:42:14
15	document?	16:42:17
16	A Okay.	16:42:18
17	Q I understand it gets confusing if we go	16:42:18
18	back and forth. I know you pointed out both, but I	16:42:22
19	will clarify if we need to. But as we go forward,	16:42:25
20	if we could stick to the page number at the top.	16:42:28
21	And in that protocol stack of Figure 2 you	16:42:35
22	have both a physical layer and a link layer; is that	16:42:43
23	correct?	16:42:47
24	A Yes.	16:42:50

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1	Q What is your understanding of what a link	16:42:55
2	layer is?	16:42:57
3	A In general, it's a layer that links or	16:45:49
4	connects, part of a Bluetooth network that connects	16:45:52
5	a physical layer and the information from the	16:45:58
6	physical layer and processes that for the network	16:46:00
7	transport layer or the IP layer above it.	16:46:05
8	And I described that a little bit further	16:46:24
9	in the paragraph 34 of my report, declaration.	16:46:27
10	Which is Marchand mobile gateway 33 utilizes	16:46:39
11	various utilizes various types of software to	16:46:43
12	implement routing and communications over the	16:46:48
13	cellular and local wireless network, such as link	16:46:52
14	layer and network layer and network transport layer,	16:46:58
15	et cetera.	16:47:03
16	Q And those layers that you just read are	16:47:40
17	shown in Figure 2. Would you agree that that	16:47:44
18	protocol stack shown there is unique to Marchand,	16:47:52
19	the Marchand system?	16:47:59
20	A The protocol stack layer in general is a	16:48:13
21	description of the various components and the layers	16:48:28
22	of a network formed in a hierarchical fashion,	16:48:32
23	bottoms up, and their description here falls within	16:48:42
24	that general network stack in terms of having the	16:48:51

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		-
1	phy layer and the link layer at the bottom and then	16:48:56
2	the application layer on top, and the services, the	16:49:01
3	operating system there. Now the exact lining, you	16:49:05
4	cannot really put that in there exactly separating	16:49:14
5	each one of them, but the idea is that you are	16:49:19
6	performing a description of the layers such that	16:49:23
7	each layer has a different functionalities in the	16:49:27
8	network of communications.	16:49:35
9	Q And what do you mean when you say in	16:49:44
10	hierarchical fashion?	16:49:47
11	A I think your question was related to is	16:49:50
12	that a general description, is that a unique	16:49:53
13	description of, that applies to Marchand in Figure	16:49:56
14	2; correct?	16:50:00
15	Q Uh-huh.	16:50:01
16	A And the answer is unique in terms of in	16:50:03
17	my opinion it is a relatively standard description	16:50:14
18	of how the different layers are there and their	16:50:18
19	functionalities. When I said hierarchical, what I	16:50:23
20	meant is application layer is on top and the	16:50:26
21	physical layer being on the bottom.	16:50:28
22	Q But you would agree that not every device	16:50:32
23	with a Bluetooth chipset uses that same protocol	16:50:34
24	<pre>stack; correct?</pre>	16:50:42

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1	MR. MUKERJI: Objection to form.	16:50:46
2	A In general the general guidelines are	16:50:50
3	similar. The general description of the network	16:50:53
4	protocol stack would be similar to what is shown in	16:50:57
5	here. But again that's hypothetical. I can't look	16:51:03
6	at every specific Bluetooth implementation and see	16:51:07
7	how they are done.	16:51:12
8	Q Does every Bluetooth implementation	16:51:14
9	include a JINI technology layer or a Java technology	16:51:16
10	layer?	16:51:21
11	A Not necessarily, but it will have the	16:51:22
12	appropriate software and technology that would do	16:51:26
13	similar functionalities. And the same way that the	16:51:30
14	physical layer is not exactly specified the details	16:51:35
15	of it here, but one would know what is in the	16:51:40
16	physical layer, what are the functionalities.	16:51:44
17	Operating system 18 is not showing exactly	16:51:47
18	what language it is and what form of operating	16:51:50
19	system it has, but in general one would know what it	16:51:52
20	is, and they, in here the JINI and Java technology	16:51:55
21	layer is also shown and the one who is familiar with	16:51:59
22	the art will know that the JINI and Java technology	16:52:03
23	in the layer relative to the others, where they are	16:52:07
24	and how they are processing information.	16:52:11

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		1
1	Q Okay. So one would understand where a	16:52:14
2	JINI technology and a Java technology layer would	16:52:16
3	fit, but that doesn't necessarily mean that those	16:52:20
4	layers are in every Bluetooth device protocol stack;	16:52:23
5	correct?	16:52:28
6	A I was not asked to look at the specific	16:52:32
7	Bluetooth technologies available in the marketplace	16:52:38
8	and what they use in those stacks. They could be	16:52:41
9	using it, they could not be using it. This is one	16:52:44
10	representation that this, this prior art shows,	16:52:48
11	which is one way of implementing it.	16:52:53
12	And I think if you look at the, my	16:53:36
13	declaration paragraph 34, line 13, it says that	16:53:42
14	actually, I take it back. It is line 10. It says	16:54:01
15	that: For example, based on Marchand's disclosure,	16:54:10
16	including, for example, that the network 30 devices	16:54:13
17	such as laptop computer, printer, personal digital	16:54:16
18	assistants, are all Bluetooth compliant and	16:54:21
19	JINI/Java capable.	16:54:25
20	Q Referring to those devices you just	16:54:35
21	listed, I understand that. I'm saying are all	16:54:37
22	Bluetooth capable devices, do all Bluetooth capable	16:54:45
23	devices necessarily have a JINI technology and Java	16:54:50
24	technology layer in their protocol stack?	16:54:55

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1	A It will have a technology similar to what	16:54:57
2	JINI and Java technologies have. It will have	16:55:02
3	technologies related to that. But would it have	16:55:05
4	with every device, Bluetooth device would have that?	16:55:08
5	Not necessarily. I haven't looked at all of the	16:55:10
б	Bluetooth radios and see what they use in there.	16:55:15
7	Q What is a technology similar to Java	16:55:24
8	technologies that all Bluetooth devices would have?	16:55:27
9	A In terms of JINI and Java technology?	16:55:33
10	Q No. You said that all Bluetooth devices	16:55:36
11	would have a technology similar to what Java	16:55:39
12	technologies have. I'm asking what those	16:55:43
13	technologies are?	16:55:45
14	A These will be software that are	16:55:58
15	interfacing with the operating system and the	16:56:04
16	application layer and services offered there. So I	16:56:09
17	describe that also in my declaration. For example,	16:56:13
18	in paragraph 33, the last two lines discusses that,	16:56:30
19	that it would have an operating system layer 18, a	16:56:37
20	Java technology layer and a JINI technology layer	16:56:41
21	and a JINI call control client and a SIP client in	16:56:45
22	there.	16:56:55
23	Q You would agree not all Bluetooth capable	16:57:03
24	devices have an SIP client; correct?	16:57:06

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		-
1	A SIP, which is session initiation protocol,	16:57:18
2	I can't speculate on whether all the Bluetooth	16:57:32
3	devices would have it or not. It is used, for	16:57:36
4	example, as an email or other types of session	16:57:39
5	initiation going on. I haven't look at all of the	16:57:43
6	Bluetooth systems out there. The one that I have	16:57:49
7	referenced in here, in Marchand, is capable of	16:57:53
8	performing SIP operations, SIP calls.	16:57:58
9	Q Isn't the main teaching of Marchand the	16:59:09
10	ability to use the SIP client of the gateway that is	16:59:12
11	not existent on any of the other devices in the	16:59:18
12	piconet?	16:59:22
13	MR. MUKERJI: Objection to form.	16:59:25
14	A I would not characterize it that way. I	16:59:40
15	have outlined what the main features of the Marchand	16:59:43
16	are in my declaration, which is the paragraph 25	16:59:49
17	throughout the declaration going to paragraph 34.	17:00:02
18	It's a number of different features that it offers	17:00:09
19	which are outlined there.	17:00:12
20	Q The Bluetooth standard is based on a	17:01:20
21	master/slave protocol; is that correct?	17:01:23
22	A I'm not sure what you mean by a	17:01:41
23	master/slave protocol. I don't remember of any	17:01:44
24	specific protocol called a master/slave protocol in	17:01:47

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1	the IEEE definitions.	17:01:52
2	Q So when I say the words master/slave	17:01:54
3	protocol, you don't know what that means?	17:01:57
4	A I know what master/slave means. But if	17:01:59
5	you are talking about master/slave protocol, is it a	17:02:01
6	specific protocol I know what master/slave means,	17:02:04
7	if that's what you are asking.	17:02:12
8	Q Is Bluetooth based on master/slave	17:02:15
9	configuration?	17:02:18
10	A Bluetooth has the capability to enable	17:02:32
11	master/slave operations in the network, yes. That's	17:02:35
12	what I would characterize it as.	17:02:38
13	Q And Marchand relies on a master/slave	17:03:06
14	configuration in defining the piconet between two	17:03:09
15	Bluetooth enabled devices; is that true?	17:03:18
16	A Marchand on paragraph sorry on my	17:03:40
17	declaration, paragraph 27, I describe, the last two	17:03:43
18	lines of that paragraph, I do describe this feature	17:03:47
19	you just mentioned, that the mobile gateway 33 acts	17:03:52
20	as a Bluetooth master unit for the locally connected	17:03:56
21	services, such as laptop, printer acting as a	17:04:00
22	Bluetooth slaves acting as Bluetooth slaves to the	17:04:08
23	mobile phone. Sorry.	17:04:13
24	Q And in a master/slave arrangement there	17:04:15

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1	can only be one master; is that true?	17:04:20
2	A Yes, it is true.	17:04:24
3	Q And in a master/slave arrangement it's the	17:04:29
4	master controls all communication between the	17:04:33
5	slaves. Is that also true?	17:04:37
6	A I would characterize it as true in	17:04:49
7	general, yes.	17:04:51
8	Q And in a master/slave arrangement in which	17:04:58
9	there are multiple slaves to that one master, those	17:05:05
10	two slaves cannot talk to each other without going	17:05:09
11	through the master; is that correct?	17:05:13
12	A In general that is true, yes. Yes, that	17:05:22
13	is true.	17:05:25
14	Q Is there a limit to the number of devices	17:05:33
15	that can be part of a Bluetooth piconet?	17:05:36
16	A Theoretically, no. But in practice, yes.	17:05:43
17	Q What is that limit?	17:05:49
18	A It depends on the number of it depends	17:05:52
19	on how many bits it uses for the IP address and how	17:05:55
20	many bits it has there and so forth.	17:05:59
21	Q There is no standard number defined in the	17:06:01
22	Bluetooth standard?	17:06:04
23	A I don't recall at this point off the top	17:06:05
24	of my head. But there is a physical limit to it.	17:06:07

	SAYFE KIAEI, PH.D 03/15/2016 Page 160	
1	Q Do you have an understanding of what a	17:06:35
2	scatternet is in the context of Marchand?	17:06:37
3	A I have to look and see if Marchand	17:06:51
4	discussed it or not.	17:06:53
5	Q Let me ask you in general. Do you have a	17:07:01
6	general understanding of what a scatternet is?	17:07:04
7	A Yes, I do.	17:07:06
8	Q What is your understanding?	17:07:08
9	A My understanding of a scatternet is a	17:07:14
10	number of local area networks that are scattered and	17:07:16
11	they are talking to each other. Or they are	17:07:25
12	scattered over a, an area and they are talking, they	17:07:30
13	are communicating with each other.	17:07:35
14	Q Is it fair to say a scatternet is multiple	17:07:42
15	independent piconets?	17:07:48
16	A I would characterize it that there are	17:08:01
17	multiple piconets or local area networks that are	17:08:03
18	communicating with each other. Independent or not,	17:08:07
19	I don't know that. I can't say off the top of my	17:08:10
20	head.	17:08:13
21	Q If I could point you to page 2 of	17:08:14
22	Marchand, and roughly around line 25, the last line	17:08:17
23	of that paragraph.	17:08:31
24	A Uh-huh.	17:08:32

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1	Q Can you read that last sentence for the	17:08:33
2	record?	17:08:35
3	A Sure. It is, "multiple independent and	17:08:36
4	non-synchronized Piconets form a scatternet."	17:08:41
5	Q Is that consistent with your understanding	17:08:45
6	of what a scatternet is?	17:08:47
7	A It is, yes. Independent and	17:08:50
8	non-synchronized, I question that, but in general	17:08:53
9	it's a multiple number of piconets that are	17:08:59
10	communicating with each other.	17:09:02
11	Q What is your understanding of what	17:09:07
12	non-synchronized means?	17:09:10
13	A From this sentence I don't have a clear	17:09:12
14	understanding what that means. It can mean	17:09:16
15	different things based on how it's interpreted. Is	17:09:23
16	it synchronous that all the clocks are the same	17:09:32
17	clocks? Does it use a global clock system, use a	17:09:36
18	local clock system? There are many different	17:09:38
19	scenarios. I don't know what they mean by	17:09:41
20	non-synchronized. Neither from that one sentence	17:09:44
21	can I say what independent means unless there is a	17:09:47
22	clear description of that.	17:09:50
23	Q And so in each of those piconets within a	17:09:54
24	scatternet the master of the given piconet, they	17:10:01

	SAYFE KIAEI, PH.D 03/15/2016 Page 162	1
1	control the clock and the synchronization; correct?	17:10:08
2	A Within them I think you are talking	17:10:13
3	about the architecture of the actual you want me	17:10:24
4	to design and engineer and discuss the architecture	17:10:27
5	of what a piconet is, I don't believe that's true.	17:10:29
6	No. That's not correct.	17:10:35
7	Q What is the role of a master in a	17:10:53
8	master/slave configured piconet?	17:10:59
9	A I can tell you in what functionalities and	17:11:34
10	how it is used in my declaration in terms of the,	17:11:37
11	the current discussions we have if you would like me	17:11:46
12	to focus on that.	17:11:49
13	Q Let me ask you a different question.	17:11:56
14	A Sure.	17:11:59
15	Q A single device could be part of multiple	17:12:05
16	piconets at the same time; is that true?	17:12:08
17	MR. MUKERJI: Objection to form.	17:12:15
18	A Theoretically speaking, if you are talking	17:12:26
19	about you are asking me to speculate in terms of	17:12:30
20	theoretically speaking, would a single device in a	17:12:33
21	piconet can be a member of another piconet?	17:12:37
22	Possibly can exist. It depends on the architecture.	17:12:40
23	I have to look at what it is.	17:12:49
24	Q Wouldn't the fact that you could have	17:12:52
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		7
1	multiple piconets within a scatternet, doesn't that	17:12:54
2	necessarily mean that some of those devices are	17:12:58
3	going to be on multiple piconets?	17:13:02
4	A Not necessarily. It's possible that the	17:13:10
5	piconets are communicating with each other or the	17:13:13
6	masters of the piconets are communicating with each	17:13:17
7	other.	17:13:20
8	Q In the piconet described in Marchand,	17:14:23
9	would you agree that it's the JINI/Java technology	17:14:29
10	that allows the devices to share the services, to	17:14:32
11	connect well, to share services?	17:14:35
12	A What I describe in my declaration, line	17:20:23
13	57, is that having the plug-in, a POSITA in	17:20:27
14	addition, a POSITA would have understood that	17:20:41
15	implementing Hoffman's cellular download plug-in	17:20:44
16	modules would have enabled various features to be	17:20:48
17	added, upgraded or replaced at any time, by	17:20:52
18	downloading new features and modules into the	17:21:00
19	memory.	17:21:05
20	And in paragraph 50 of my declaration,	17:21:19
21	line 6, is that discussing the plug-in based routing	17:21:30
22	software could have been implemented in Marchand's	17:21:45
23	mobile phone gateway to implement various types of	17:21:48
24	routing functions such as routing IP packets, IP	17:21:51

	SAYFE KIAEI, PH.D 03/15/2016 Page 164	Ŀ
1	address transmission, and would have been used in	17:21:55
2	conjunction with other technologies in Marchand's	17:21:57
3	gateway 33 such as JINI, et cetera.	17:22:00
4	So it's a combination of these together	17:22:09
5	which I'm discussing in providing a, in applying	17:22:12
6	JINI technologies.	17:22:21
7	MR. HAIGHT: I object as non-responsive.	17:22:23
8	BY MR. HAIGHT:	17:22:26
9	Q I wasn't asking you about Hoffman or	17:22:26
10	router plug-ins or anything else. I'm talking just	17:22:28
11	about Marchand.	17:22:32
12	A Okay.	17:22:33
13	Q Now, let me point you to page 2 of	17:22:33
14	Marchand, around line, the last line that starts,	17:22:40
15	"the design of the JINI architecture." Do you see	17:22:53
16	that?	17:22:56
17	A Yes.	17:22:57
18	Q Could you read that sentence, and it	17:22:57
19	continues on to page 3?	17:22:59
20	A Yes, I will. "A JINI system is a	17:23:01
21	Java-technology-centered, distributed software	17:23:07
22	system designed for simplicity, flexibility and	17:23:18
23	federation. The JINI architecture provides	17:23:23
24	mechanisms for machines or programs to enter into a	17:23:27

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1	federation where each machine or program offers	17:23:31
2	resources to the other members of the federation and	17:23:35
3	uses resources as needed. The design of JINI	17:23:38
4	architecture exploits the ability to move Java	17:23:43
5	programming language code from machine to machine,	17:23:48
6	and it unifies, under the notion of a service, the	17:23:51
7	user, the software, and the hardware components of	17:23:56
8	the machines themselves."	17:24:01
9	So what I would like to say in here is	17:24:09
10	that I do not in this declaration of '532, I am not	17:24:12
11	discussing the features of JINI as I just read here.	17:24:18
12	I think we are a bit spilling into other discussions	17:24:24
13	that we may have tomorrow on other patents.	17:24:28
14	I don't I prepared my declaration based	17:24:33
15	on the '532 patent, and in here what I'm discussing	17:24:40
16	is the features of in this case how we are using	17:24:46
17	some of the features of JINI as a, in paragraph 33,	17:24:52
18	the last line, as a JINI call control client, et	17:24:59
19	cetera. I don't believe I discuss here the features	17:25:03
20	that you are talking about right now in the patent.	17:25:09
21	At least at this point I'm not discussing it.	17:25:15
22	Q Will you turn to paragraph 33 of your	17:25:26
23	declaration?	17:25:29
24	A Uh-huh.	17:25:30

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SAYFE KIAEI, PH.D. - 03/15/2016 Page 166 1 And at the end of paragraph 33 you do 17:25:37 0 2 identify the Java technology layer, the JINI 17:25:44 3 technology layer, and the JINI call control client 17:25:48 4 in that paragraph, do you not? 17:25:54 5 А Yes. That's the one, yes, we were just 17:26:11 6 discussing, yes. 17:26:14 7 And in paragraph 34 you discuss the JINI 17:26:14 0 8 technology layer and the Java technology layer in 17:26:18 9 the very next paragraph. Is that also true? 17:26:21 10 А Yes. In line five of the Java technology 17:26:24 and JINI technology I discuss the use of JINI and 11 17:26:28 12 17:26:31 Java technology. 13 This concludes disk number 17:27:07 VIDEOGRAPHER: six of the video deposition of Sayfe Kiaei, Ph.D. 17:27:09 14 15 The time is 5:26 p.m. We are now off the record. 17:27:17 16 (Recessed at 5:26 p.m.) 17:27:23 17 (Reconvened at 5:41 p.m.) 17:27:24 18 (1443 Exhibit Number 9 17:27:24 19 was marked for identification.) 17:27:24 20 VIDEOGRAPHER: This begins disk number 17:42:24 21 seven of the video deposition of Sayfe Kiaei, Ph.D. 17:42:26 22 The time is 5:41 p.m. We are now on the record. 17:42:34 23 BY MR. HAIGHT: 17:42:39 24 Dr. Kiaei, did you discuss any of the 17:42:58 0

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1	substance	of your testimony while we were just on	17:43:00
2	break with	n counsel?	17:43:03
3	A	No, I did not, counsel.	17:43:04
4	Q	I believe you have been handed what has	17:43:07
5	been marke	ed as Exhibit 1443-9?	17:43:09
6	A	Yes, I have that in front of me.	17:43:13
7	Q	Do you recognize that document?	17:43:15
8	A	Yes.	17:43:16
9	Q	What is Exhibit 1443-9?	17:43:17
10	A	That is the here we go. Software	17:43:24
11	architect	ure for next generation routers, for router	17:43:36
12	plug-ins :	reference.	17:43:41
13	Q	That seems to be a paper that was	17:43:48
14	published	for Sigcomm '98, S-I-G-C-O-M-M?	17:43:51
15	A	Yes.	17:43:57
16	Q	Is that fair?	17:43:57
17	А	Yes.	17:43:58
18	Q	This is a document you reviewed in	17:44:07
19	preparatio	on for your declaration; true?	17:44:09
20	A	Yes, I did.	17:44:12
21	Q	If we refer to this article as simply	17:44:33
22	Router Plu	ug-ins, will you understand that?	17:44:36
23	A	Yes, sir.	17:44:39
24	Q	In the article Router Plug-ins, would you	17:44:40
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	SAYFE KIAEI, PH.D 03/15/2016 Page 168	1
1	agree that it describes particular software	17:45:05
2	architecture for routers?	17:45:09
3	A The router plug-in describes I'm	17:45:20
4	reading paragraph 35, line two, describes an	17:45:23
5	extensible and modular router software architecture	17:45:30
6	that can be dynamically upgraded that can	17:45:34
7	dynamically upgrade router software in an	17:45:38
8	incremental fashion.	17:45:42
9	Q And do you have a sense of what types of	17:45:47
10	routers this article is discussing?	17:45:53
11	A Yes. The software architecture of router	17:46:02
12	plug-ins I'm reading paragraph 36 allows code	17:46:08
13	modules called plug-ins to be dynamically added and	17:46:11
14	configured to the router. The plug-ins are the	17:46:15
15	modules that is adding, and the code modules or	17:46:20
16	plug-ins are loaded into the kernel of a router in a	17:46:29
17	simple yet flexible fashion.	17:46:33
18	Q Right. My question is a little bit	17:46:36
19	different. My question was what types of routers is	17:46:38
20	this article discussing?	17:46:42
21	A Oh, what types of routers? It was	17:46:43
22	discussing in general the general routers, however,	17:47:24
23	at the beginning of it it's discussing some examples	17:47:29
24	such as new functionality is being added in terms of	17:47:33

	SAYFE KIAEI, PH.D 03/15/2016 Page 169	
1	IP routers, et cetera.	17:47:38
2	Q What is your understanding of what a	17:47:44
3	general router is?	17:47:47
4	A I think we had that discussion earlier	17:47:49
5	this morning in terms of a router which routes	17:47:52
6	communications between two networks.	17:47:56
7	Q And in the time frame of October of 1998	17:48:13
8	did those routers let me ask a different	17:48:27
9	question. The very first line of the abstract says:	17:48:36
10	"Present day routers typically employ monolithic	17:48:40
11	operating systems which are not easily upgradable	17:48:43
12	and extensible." Do you see that?	17:48:47
13	A Yes, I do.	17:48:49
14	Q And present day at the time of this	17:48:50
15	article would have been around October of 1998; is	17:48:52
16	that fair?	17:48:55
17	A Yes, it is. Yeah.	17:48:56
18	Q Do you have an understanding of what a	17:49:02
19	person of ordinary skill in the art at the time of	17:49:05
20	this paper would understand a present day router to	17:49:08
21	be?	17:49:12
22	A In 1998? Yes. I have a pretty good	17:49:14
23	understanding of it.	17:49:18
24	Q What is your understanding?	17:49:19

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1	A A router would be what I described in	17:49:21
2	terms of routing connecting the two different	17:49:24
3	networks together and routing from one network to	17:49:31
4	another network. Communications between two	17:49:34
5	networks.	17:49:35
6	Q Would it include any particular hardware?	17:49:36
7	A The specifics of the hardware depends on	17:49:45
8	the implementation, but not necessarily.	17:49:49
9	Q Do you have an understanding what a	17:49:58
10	monolithic operating system is?	17:50:00
11	A If you are referring to abstract, the	17:50:06
12	first line you just read, I presume you are talking	17:50:11
13	about that, and my understanding of what they mean	17:50:19
14	by there is monolithic in terms of there are	17:50:22
15	different ways to interpret this. One could be in	17:50:35
16	terms of integrated operating system. I don't know	17:50:40
17	what they exactly mean in there. But if you want a	17:50:43
18	layman description of what they are talking about, I	17:50:47
19	can describe that.	17:50:49
20	Q I'm sorry. Were you done?	17:51:21
21	A Yeah. Monolithic, yeah.	17:51:23
22	Q So in your review and study of this	17:51:28
23	reference, did you arrive at any interpretation of	17:51:32
24	what a monolithic operating system was?	17:51:34

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		1
1	A The characteristics of it from the rest of	17:51:41
2	the sentence that they are discussing in here, I	17:51:44
3	assume they meant is an operating system which is	17:51:47
4	not easily upgradeable or extensible, thereby the	17:51:50
5	name monolithic.	17:51:57
6	Q Later on in that abstract, the last	17:52:17
7	sentence, do you have an understanding of what a	17:52:20
8	monolithic best-effort kernel is?	17:52:26
9	A Again I'm going to rely on my	17:52:34
10	understanding of what this was saying, which is	17:52:42
11	discussing a and it's comparing the performance	17:52:48
12	of the new proposed router plug-in in various places	17:52:54
13	in the paper. What he means is that it's an	17:53:02
14	operating system which is fixed and non-extensible	17:53:17
15	and not easily upgradeable.	17:53:21
16	Q And that's referring to the kernel?	17:53:26
17	A Referring to the kernel, yes.	17:53:31
18	Q And how does a kernel relate to an	17:53:36
19	operating system, generally speaking?	17:53:49
20	A Kernel in general means what they are	17:54:05
21	talking about here is a very generic, general	17:54:10
22	discussion of the kernel. Whether it's, it's a	17:54:14
23	kernel of operating system or a kernel of a other	17:54:20
24	functionalities in a network system, such as other	17:54:25

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		7
1	blocks used in there and the kernel software of that	17:54:31
2	with other blocks, so it's not going in absolute	17:54:33
3	form of saying a kernel being a specific part of a,	17:54:39
4	an operating system kernel.	17:54:45
5	Q In the third sentence of the abstract it	17:54:57
6	says: "We have designed and implemented a high	17:55:00
7	performance, modular, extended integrated services	17:55:02
8	router software architecture in the NetBSD operating	17:55:06
9	system kernel." Do you see that?	17:55:13
10	A Yes, I do.	17:55:14
11	Q And this new architecture that's described	17:55:16
12	here, is that is that the extended integrated	17:55:24
13	services router, the EISR that's discussed	17:55:40
14	throughout this paper?	17:55:46
15	A What is discussed in this paper is the	17:55:54
16	in this paper it's discussing that based on the	17:56:03
17	Figure 1, and yes, it is, based on Figure 1.	17:56:06
18	Q Do you have an understanding what a NetBSD	17:56:18
19	operating system is?	17:56:23
20	A I have not encountered that before, that	17:56:35
21	specific operating system they discuss here. But	17:56:42
22	when I read the paper, I recall seeing it in there	17:56:44
23	what it was, but I don't exactly remember now but I	17:56:47
24	see that. I can look and find it for you.	17:56:51

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	1	Q So it refers to this NetBSD operating	17:56:56
	2	system kernel?	17:57:00
	3	A Correct.	17:57:04
	4	Q And in Figure 1 there is a, on both sides	17:57:05
	5	it's comparing a best-effort versus an EISR?	17:57:10
	6	A Uh-huh.	17:57:14
	7	Q And there is a, it looks like to be a user	17:57:17
	8	layer and a kernel layer. Do you see that?	17:57:19
	9	A Yes, I do. Yes.	17:57:23
	10	Q Is the kernel part of the operating	17:57:29
	11	system?	17:57:32
	12	A It's not clear here that is part of the	17:57:46
	13	operating system or not. It is a part of a for	17:57:49
	14	this particular figure, excuse me, the kernel is	17:57:56
	15	part of the, this particular router's operating	17:58:06
	16	system or software, whatever the core of it is, yes.	17:58:13
	17	Q And if I could point you to page 230 of	17:58:30
	18	the Router Plug-ins article, page 6 of the exhibit.	17:58:35
	19	In the, I guess it's the second full paragraph that	17:58:42
	20	starts "we envision." Do you see that?	17:58:48
	21	A Yes, I do.	17:58:51
	22	Q The second sentence there says: "First,	17:58:55
	23	our architecture fits very well into the operating	17:58:58
	24	system of small and mid-sized routers." Do you see	17:59:01

		1
1	that?	17:59:04
2	A Yes, I do.	17:59:05
3	Q So is it fair to assume that this	17:59:05
4	architecture they are describing in this article is	17:59:08
5	part of the operating system?	17:59:10
6	A Operating system of mid, small and	17:59:13
7	mid-sized routers, right. Because in general	17:59:18
8	operating system is a very generic term that is used	17:59:23
9	for many different things.	17:59:27
10	Q Sticking with that same paragraph, in the	18:00:57
11	time frame of 1998, the time of this paper, what	18:01:00
12	would one of ordinary skill in the art understand a	18:01:07
13	small router to be?	18:01:13
14	A 1998. I don't know how they measure small	18:01:32
15	and large sizes of the router and what they mean in	18:01:38
16	here. Is it based on it could be based on many	18:01:43
17	factors.	18:01:48
18	Q And forgive me if I have forgotten your	18:01:51
19	resume', but would you consider yourself to have	18:01:55
20	been one of ordinary skill in the art at least as of	18:01:58
21	1998?	18:02:01
22	A Yes.	18:02:02
23	Q Do you have any understanding what you	18:02:03
24	would have thought a small router would have been in	18:02:05

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1	that time frame?	18:02:08
2	A 1998. It's a relative term. Even if you	18:02:10
3	were at that looking at it, if it was 1998 right now	18:02:19
4	sitting there, what was small and medium size at	18:02:23
5	that time is substantially different than what we	18:02:31
6	call small and medium if I was at that time. It	18:02:34
7	depends on the size, it depends on the company,	18:02:37
8	where I was working.	18:02:40
9	If I was at Motorola looking at mobile	18:02:43
10	phone, I would have a different definition of small	18:02:46
11	and medium size routers. If I was working on a	18:02:49
12	cable modem, I would call it differently, and if I	18:02:54
13	was working on a server, I would call it	18:02:54
14	differently. I don't think there was a standard	18:02:57
15	that says that server has the biggest one and pagers	18:02:59
16	has the smallest one. I mean how do you measure	18:03:03
17	them? By what is the criteria for measurement in	18:03:06
18	there? I would have an understanding of what it is.	18:03:11
19	But would I compare that by what? I don't know what	18:03:16
20	that is.	18:03:19
21	Q Would it be the size of a laptop?	18:03:21
22	A I I can't speculate that when they are	18:03:48
23	talking about size, is it physical size versus	18:03:51
24	actual numbers of lines in a software or how much	18:03:55

SAYFE KIAEI, PH.D. - 03/15/2016 Page 176 memory it takes or how big of a board it is, or how 18:03:58 1 18:04:03 2 many -- on the two different sides of the router how 3 many ports it has? I can give you a general idea if 18:04:11 4 vou like. 18:04:16 5 0 That's what I'm asking, based on your 18:04:17 6 experience as a person who is of ordinary skill at 18:04:19 7 least in 1998. 18:04:23 8 In 1998 it depended on the implementation. 18:04:30 А 9 Medium -- I can give you a rough idea of small and 18:04:38 10 medium size router, I -- it again depends on 18:04:47 application. Are you talking about -- can you be 11 18:04:53 12 more specific? Can we focus at least on ISDN, cable 18:04:55 13 modem, network router, internet router? Can you be 18:05:01 14 more specific? Then I can give you better answer. 18:05:04 15 What about the types of routers that are 18:05:08 0 16 discussed in the paper? 18:05:10 17 Α In the paper it's discussing general 18:05:22 18 network architecture and the router for -- I believe 18:05:26 19 it's discussed in the application of what it is. 18:05:29 Т 20 don't think they had any specific routers they were 18:06:15 focusing on. They -- it's noisy. Let's stop for a 21 18:06:25 22 second. 18:06:33 23 All right. They did not go into any 18:06:51 18:06:56 24 specific applications, but they have examples of the

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-		7
1	plug-ins and the system they implemented on page	18:06:59
2	237, Section six. And this is where they performed	18:07:02
3	a comparison of how to implement plug-ins and the	18:07:28
4	applications of the proposed methodology they talked	18:07:34
5	about.	18:07:40
6	The important thing about this reference	18:07:43
7	is that it teaches the art and the implementation of	18:07:45
8	a router plug-in. What was medium or small at that	18:07:51
9	time, which was implemented in here based on their	18:07:58
10	system at Carnegie Mellon, was to prove the concept	18:08:07
11	and applications and implementation of that, how it	18:08:13
12	works, compared to the monolithic routers, but the	18:08:16
13	art was taught there and that is the key teachings	18:08:20
14	of this prior art.	18:08:32
15	Q Is it your position that a person of	18:08:42
16	ordinary skill in the art reading this article would	18:08:44
17	not be able to say what a small or mid-sized router	18:08:48
18	is without additional information?	18:08:51
19	A No. That's not what I said. What I said	18:08:53
20	was that without focusing specifically and telling	18:08:57
21	me what exact application you are looking for, then	18:08:59
22	I won't be able to give you a size-wise. If you are	18:09:03
23	talking about the Telco and the routers in the, what	18:09:06
24	AT&T uses and what is their description of medium,	18:09:11

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		1
1	small, large, it's quite different than a router	18:09:15
2	that I have in my house, what's medium and big in my	18:09:19
3	house. If you focus on a specific example, I'll be	18:09:23
4	happy to tell you that. Absolute number? It's	18:09:27
5	really all relative.	18:09:31
6	Q I understand the relative nature of	18:09:32
7	referring to something as small or mid-size. My	18:09:34
8	question is how one of skill in the art would	18:09:38
9	understand that sentence that says our architecture	18:09:42
10	fits very well in the operating system of small and	18:09:44
11	mid-sized router in the context of this article?	18:09:48
12	A It's hard to speculate what they meant by	18:09:54
13	that, from what they said at the time that the paper	18:09:57
14	was written and what was their understanding of	18:10:01
15	what's a small and mid-size routers by, by the	18:10:04
16	authors, by the authors of this paper. For me it's	18:10:14
17	hard to say.	18:10:28
18	Q Let's read that very next sentence on page	18:10:30
19	230 where it says, "it is particularly well	18:10:32
20	suited"	18:10:35
21	A Sure.	18:10:37
22	Q "to the implementation of modern edge	18:10:37
23	routers that are responsible for doing flow	18:10:40
24	classification, and for enforcing the configured	18:10:42

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1	profiles of differential service loads."	18:10:45
2	Do you have an understanding of what a	18:10:51
3	modern edge router is as used in this reference?	18:10:54
4	A I don't know if they are specifically	18:11:02
5	discussing I don't think they are talking about	18:11:03
6	specifically modern edge router as specifically for	18:11:06
7	any specific edge application, if that's what they	18:11:17
8	mean.	18:11:21
9	Q My question is a little different. My	18:11:21
10	question was whether you understand what a modern	18:11:22
11	edge router is?	18:11:25
12	A In general they are talking about at the	18:11:26
13	time of 1998, it was what's a modern router that was	18:11:28
14	at the time being used.	18:11:33
15	Q Okay.	18:11:35
16	A What is important here is, again I want to	18:11:39
17	emphasize, the fact that it's the concept that is	18:11:42
18	introduced which shows a dynamic, distributed a	18:11:45
19	dynamic nature of these plug-ins that is introduced	18:11:53
20	to replace what they call a monolithic, not easily	18:11:59
21	upgradeable router. That concept which is the core	18:12:06
22	concept of what they are trying to discuss and what	18:12:17
23	it is teaching, what it could be implemented at that	18:12:21
24	time is quite different than from application to	18:12:25

1	application.	18:12:30
2	Q Object again as non-responsive. My	18:12:31
3	question is what an edge router is. I'm not talking	18:12:34
4	about what's important about the article. A very	18:12:38
5	specific question of what an edge router is. Do you	18:12:41
6	not know what an edge router is in the context of	18:12:43
7	this article?	18:12:47
8	A It's not clear to me reading that sentence	18:12:57
9	what they mean by a modern edge router. If they are	18:13:00
10	talking about edge protocol, if they are talking	18:13:04
11	about edge router being a specific product that was	18:13:05
12	then available, edge is also a wireless protocol. I	18:13:09
13	don't know what they mean, if that's the one they	18:13:18
14	mean, but nowhere in the paper they talk about that	18:13:20
15	in terms of edge being the wireless protocol.	18:13:24
16	Q Again, would one of skill in the art	18:13:29
17	understand what an edge router is during the 1998	18:13:31
18	time frame?	18:13:35
19	MR. MUKERJI: Objection to form.	18:13:36
20	A I have already answered your question.	18:13:37
21	Looking at that, I don't know what they mean by	18:13:40
22	modern edge router.	18:13:42
23	Q I understand you don't. My question is	18:13:43
24	would one of ordinary skill in the art at the time	18:13:45

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1	of 1998 understand what an edge router is?	18:13:48
2	A They there is no description of what	18:13:53
3	the sentence modern edge router, what those three	18:13:56
4	words mean.	18:14:00
5	Q Okay. Do you have a sense of let me	18:14:01
6	start over.	18:14:13
7	Do you have a sense of what size router	18:14:16
8	would be required to perform flow classification and	18:14:19
9	enforcing configured profiles of differential	18:14:25
10	service flows?	18:14:30
11	A Today?	18:14:32
12	Q In 1998 at the time of this article.	18:14:33
13	A We are I understand what it would take	18:14:49
14	to implement a various forms of router that they,	18:14:54
15	different profiles, different services flows. The	18:15:00
16	issue is that the application to application of this	18:15:06
17	could substantially be different.	18:15:09
18	As I brought up the example to you of the	18:15:11
19	modern router at the time in 1998, this was a time	18:15:14
20	that ISDN was being replaced by cable modem, and	18:15:20
21	wireless data was entering in 2G wireless protocols,	18:15:44
22	optical routers had, were available, but mostly in	18:16:00
23	the Telcos, large servers were quite different than	18:16:08
24	the servers these days. As I said, if you tell me	18:16:15

specific application, I'll be happy to tell you what 18:16:19 1 an approximate size or software or whatever for that 2 18:16:24 3 could be. 18:16:28 18:17:26 4 Router Plug-ins doesn't discuss or 0 5 implement Java technology does it? 18:17:30 6 Α It does not discuss Java technology, but 18:17:36 7 the overall implementation of how the plug-ins are 18:17:46 8 used can be implemented in different softwares. 18:17:51 9 In the operating systems; correct? 18:18:11 Q 18:18:14 10 Α Router operating systems. I want to be 18:18:19 11 specific, router operating systems. 18:18:23 12 Sure. 0 18:18:23 13 Α Yeah. Thank you. 14 18:18:56 MR. HAIGHT: I have no more questions at 15 this time. 18:18:58 16 THE WITNESS: Thank you, counsel. 18:19:02 17 MR. MUKERJI: I have no questions at this 18:19:03 time. This concludes the deposition. 18 18:19:04 19 THE WITNESS: Thank you, counsel. 18:19:08 20 VIDEOGRAPHER: This concludes the video deposition of Sayfe Kiaei, Ph.D., consisting of 21 2.2 seven DVD disks. The time is 6:18:33 p.m. We are 23 now off the record. 24 (Whereupon, at 6:18 p.m., the

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1	deposition of SAYFE KIAEI, PH.D.
2	was concluded.)
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1	ACKNOWLEDGMENT OF DEPONENT
2	
3	
4	I, SAYFE KIAEI, PH.D., do hereby acknowledge I
5	have read and examined the foregoing pages of
6	testimony, and the same is a true, correct and
7	complete transcription of the testimony given by
8	me, and any changes or corrections, if any, appear
9	in the attached errata sheet signed by me.
10	
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20	Date SAYFE KIAEI, PH.D.
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1 UNITED STATES OF AMERICA) 2 ss: 3 DISTRICT OF COLUMBIA) 4 I, ROBERT M. JAKUPCIAK, an RPR and Notary Public within and for the District of Columbia, do 5 6 hereby certify: 7 That prior to being examined, the witness named 8 in the foregoing deposition was duly sworn to 9 testify the truth, the whole truth, and nothing but the truth; 10 11 That said deposition was taken down by me in 12 shorthand at the time and place therein named and 13 thereafter reduced by me to typewritten form and 14 that the same is a true, correct, and complete 15 transcript of said proceedings. Before completion of the deposition, review of 16 17 the transcript [] was [] was not requested. Ιf 18 requested, any changes made by the deponent (and 19 provided to the reporter) during the period allowed 20 are appended hereto. I further certify that I am not interested in the outcome of the action. 21 22 Witness my hand this 25th day of 23 March, 2016. 24

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- 0.) 0	

1	Errata Sheet
2	
3	NAME OF CASE: SAMSUNG ELECTRONICS vs. IXI IP
4	DATE OF DEPOSITION: 03/15/2016
5	NAME OF WITNESS: Sayfe Kiaei, PH.D.
6	Reason Codes:
7	1. To clarify the record.
8	2. To conform to the facts.
9	3. To correct transcription errors.
10	Page Line Reason
11	From to
12	Page Line Reason
13	From to
14	Page Line Reason
15	From to
16	Page Line Reason
17	From to
18	Page Line Reason
19	From to
20	Page Line Reason
21	From to
22	Page Line Reason
23	From to
24	
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