#### UNITED STATES PATENT AND TRADEMARK OFFICE

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### BEFORE THE PATENT TRIAL AND APPEAL BOARD

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# REALTEK SEMICONDUCTOR CORPORATION

Petitioner

V.

# ANDREA ELECTRONICS CORPORATION

Patent Owner

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Case: IPR2015-01394

U.S. Patent No. 6,363,345

# PARTIES' JOINT MOTION TO TERMINATE PROCEEDING PURSUANT TO 35 U.S.C. § 317

Pursuant to 35 U.S.C. § 317(a), Petitioner, Realtek Semiconductor Corp. ("Realtek"), and Patent Owner, Andrea Electronics Corp. ("Andrea"), jointly request termination of this *inter partes* review of U.S. Patent No. 6,363,345, Case No. IPR2015-01394.



### I. <u>Termination of This Proceeding Is Appropriate</u>

Termination of this proceeding by the PTAB is appropriate. This case is still in the preliminary proceeding stage, the *inter partes* review trial has not yet been instituted, and no motions or petitions are outstanding in this case. Termination with respect to Petitioner is proper under 35 U.S.C. § 317(a) because the parties are jointly requesting termination and the Office has not yet "decided the merits of the proceeding before the request for termination is filed." Further, on October 15, 2015, Realtek and Andrea entered into a Settlement Agreement (a true copy of which, and its collateral License Agreement, is filed concurrently as Ex. 2001). Thus, termination of this proceeding is appropriate because (i) the case is in the preliminary proceeding stage, (ii) the parties have settled their dispute, and (iii) the parties have agreed to jointly move to terminate this *inter partes* review proceeding.

### II. Status of Related Matters

Andrea has asserted the '345 Patent against multiple companies in the Eastern District of New York and the International Trade Commission ("ITC"). The ITC Investigation, *Certain Audio Processing Hardware and Software and Products Containing Same*, Inv. No. 337-TA-949, filed on February 9, 2015, against Realtek, Acer Inc., Acer America Corp., ASUS Computer International, ASUSTeK Computer Inc., Dell Inc., Hewlett



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Packard Co, Lenovo Holding Co., Inc., Lenovo (United States) Inc., Toshiba Corp., and Toshiba America Information Systems, Inc., is currently pending.

The following cases were filed on July 25, 2014, all of which are stayed pending the resolution of the ITC Investigation.

- Andrea Electronics Corporation v. Acer Inc. et al, 2:14-cv-04488
- Andrea Electronics Corporation v. Lenovo Group Ltd. et al, 2:14-cv-04489
- Andrea Electronics Corporation v. Toshiba Corporation et al, 2:14-cv-04492

The following cases were filed on January 14, 2015.

- Andrea Electronics Corporation v. Hewlett-Packard Company, 2:15cv-00208
- Andrea Electronics Corporation v. Dell Inc., 2:15-cv-00209
- Andrea Electronics Corporation v. ASUSTeK Computer Inc. et al,
   2:15-cv-00214
- Andrea Electronics Corporation v. Realtek Semiconductor Corp., 2:15-cv-00215

In addition, Realtek filed a breach of contract lawsuit concerning a license agreement related to the '345 Patent against Andrea in the U.S. District



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Court for the Northern District of California on July 9, 2015, 5:15-cv-03184.

The initial case management conference has been scheduled for November 12,

2015.

III. Settlement Agreement

As required by 35 U.S.C. § 317(b), a true and correct copy of the

Settlement Agreement is being filed with the Patent Office. The parties

desire that the Settlement Agreement be maintained as business confidential

information under 37 C.F.R. §42.74(c) and a separate joint request to that

effect is being filed on this date. In accordance with 35 U.S.C. § 317(a), no

estoppel under 35 U.S.C. § 315(e) shall attach to Realtek because Realtek

and Andrea jointly request termination.

Therefore, the parties respectfully request termination of these

proceedings.

Respectfully submitted,



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Date: October 16, 2015 By: / John M. Caracappa

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