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## UNITED STATES PATENT AND TRADEMARK OFFICE

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## BEFORE THE PATENT TRIAL AND APPEAL BOARD

ASML NETHERLANDS B.V., EXCELITAS TECHNOLOGIES CORP., AND QIOPTIQ PHOTONICS GMBH & CO. KG,

Petitioners

V.

ENERGETIQ TECHNOLOGY, INC., Patent Owner.

Case IPR2015-01375

PETITIONERS' UPDATED MANDATORY NOTICES UNDER 37 C.F.R. 42.8(a)(3)



Petitioners hereby file updated mandatory notices pursuant to 37 C.F.R. § 42.8(b)(1).

## A. Counsel

Lead Counsel: Donald R. Steinberg (Reg. No. 37,241)

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Third Backup Counsel: Brian Seeve (Reg. No. 71,721)

Fourth Backup Counsel: Theodoros Konstantakopoulos (Reg. No. 74,155)

Fifth Backup Counsel: Arthur Shum (Limited Recog. No. L0904)

### **B.** Related Matters

U.S. Patent No. 9,048,000 ("the '000 patent," Ex. 1001) is one member of a patent family of continuation and continuation-in-part applications. This patent family includes U.S. Patent Nos. 7,435,982 ("the '982 patent"); 7,786,455 ("the '455 patent"); 8,309,943 ("the '943 patent"); 8,525,138 ("the '138 patent"); 8,969,841 ("the '841 patent"); and 9,048,000 ("the '000 patent"). Exhibit 1002 shows the members of this patent family and the relationships among them.

Petitioners are also seeking *inter partes* review of related U.S. patents within this family, as summarized below:

Patent	IPR No.	<b>Challenged Claims</b>	Status
No.			



7,435,982	IPR2015-01300	1, 3-4, 10, 16, 21, 24-	Instituted on all
	IPR2015-01303	27, 30, 31, 34, 37, 42- 43, 49, 55, 61-64, 67,	challenged claims
		68, 71, 72, 74, and 78	
7,435,982	IPR2015-01377	23 and 60	Instituted on all
			challenged claims
7,435,982	IPR2016-00583	2, 5-9, 12-15, 20, 22,	Pending
		28, 29, 35, and 36	
7,435,982	IPR2016-00584	38, 44-48, 51, 53, 54,	Pending
		59, 65, 66, and 73	
7,435,982	IPR2016-00585	52, 75-77, and 81	Pending
7,786,455	IPR2015-01279	19, 39-41	Instituted on all
			challenged claims
7,786,455	IPR2016-00570	1-9, 15, and 16	Pending
7,786,455	IPR2016-00575	12-14	Pending
7,786,455	IPR2016-00576	17-18	Pending
7,786,455	IPR2016-00578	20, 21, 24	Pending
7,786,455	IPR2016-00579	28-34	Pending
8,309,943	IPR2015-01277	1, 3, 13, and 16	Instituted on all
			challenged claims
8,309,943	IPR2016-00554	2, 5, and 10	Pending
8,309,943	IPR2016-00556	11 and 12	Pending
8,309,943	IPR2016-00555	14, 15, 17, and 18	Pending
8,525,138	IPR2015-01368	1-5	Instituted on all
			challenged claims
8,525,138	IPR2016-00565	6, 7, and 10	Pending
8,525,138	IPR2016-00566	21, 25, and 27	Pending
8,969,841	IPR2015-01362	1, 2, 3, and 7	Instituted on all
			challenged claims
8,969,841	IPR2016-00127	10, 13, 14	Pending
9,048,000	IPR2016-00126	7-10	Pending



Petitioner's Updated Mandatory Notices Case IPR2015-01375 U.S. Patent 9,048,000

Petitioners request that the *inter partes* reviews of the '982, '455, '943,'138, '841, and '000 patents be assigned to the same Panel for administrative efficiency.

The following litigation matters would affect or be affected by a decision in this proceeding: *Energetiq Tech., Inc. v. ASML Netherlands B.V.*, No. 1:15-cv-10240-LTS (D. Mass.) and *In the Matter of Certain Laser-Driven Light Sources, Subsystems Containing Laser-Driven Light Sources, and Products Containing Same*, Inv. 337-TA-983 (U.S. International Trade Commission).



Petitioner's Updated Mandatory Notices Case IPR2015-01375 U.S. Patent 9,048,000

The Patent Trial and Appeal Board is hereby authorized to charge any fees associated with this proceeding to Deposit Account 08-0219, under Order No. 0107945.00235, from which the undersigned is authorized to draw.

Respectfully Submitted,

Dated: March 1, 2016

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