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UNITED STATES PATENT AND TRADEMARK OFFICE

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**BEFORE THE PATENT TRIAL AND APPEAL BOARD**

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ASML NETHERLANDS B.V., EXCELITAS TECHNOLOGIES CORP., AND QIOPTIQ  
PHOTONICS GMBH & Co. KG,  
Petitioners

v.

ENERGETIQ TECHNOLOGY, INC.,  
Patent Owner.

Case IPR2015-01368

**PETITIONERS' UPDATED  
MANDATORY NOTICES UNDER 37 C.F.R. 42.8(a)(3)**

Petitioners hereby file updated mandatory notices pursuant to 37 C.F.R. § 42.8(b)(1).

**A. Counsel**

Lead Counsel: Donald R. Steinberg (Reg. No. 37,241)

Backup Counsel: David L. Cavanaugh (Reg. No. 36,476)

Second Backup Counsel: Michael H. Smith (Reg. No. 71,190)

Third Backup Counsel: James M. Dowd (Admitted *Pro Hac Vice*)

Fourth Backup Counsel: Kevin S. Prussia (Admitted *Pro Hac Vice*)

**B. Related Matters**

U.S. Patent No. 8,525,138 (“the ’138 patent,” Ex. 1001) is one member of a patent family of continuation and continuation-in-part applications. Exhibit 1028 is an updated chart that shows the members of this patent family and the relationships among them. Petitioners are also seeking *inter partes* review of related U.S. Patent Nos. 7,435,982 (“the ’982 patent”); 7,786,455 (“the ’455 patent”); 8,309,943 (“the ’943 patent”); 8,969,841 (“the ’841 patent”); 9,048,000 (“the ’000 patent”); and 9,185,786 (“the ’786 patent”), as well as other claims in the ’138 patent, in Case Nos. IPR2015-01300, IPR2015-01303, IPR2015-01377, IPR2016-00583, IPR2016-00584, IPR2016-00585, IPR2015-01279, IPR2016-00570, IPR2016-00575, IPR2015-00576, IPR2016-00578, IPR2016-00579,

IPR2015-01277, IPR2016-00554, IPR2016-00556, IPR2016-00555, IPR2016-00565, IPR2016-00566, IPR2015-01362, IPR2016-00127, IPR2016-00688, IPR2016-00689, IPR2015-01375, IPR2016-00126, IPR2016-00774, IPR2016-00775, IPR2016-00771, and IPR2016-00776. The status of the other proceedings is summarized in Exhibit 1029.

Petitioners request that the *inter partes* reviews of the '982, '455, '943, '138, '841, '000, and '786 patents be assigned to the same Panel for administrative efficiency.

The following litigation matters would affect or be affected by a decision in this proceeding: *Energetiq Tech., Inc. v. ASML Netherlands B.V.*, No. 1:15-cv-10240-LTS (D. Mass.) and *In the Matter of Certain Laser-Driven Light Sources, Subsystems Containing Laser-Driven Light Sources, and Products Containing Same*, Inv. 337-TA-983 (U.S. International Trade Commission).

Petitioner's Updated Mandatory Notices  
Case IPR2015-01368  
U.S. Patent 8,525,138

The Patent Trial and Appeal Board is hereby authorized to charge any fees associated with this proceeding to Deposit Account 08-0219, under Order No. 0107945.00235, from which the undersigned is authorized to draw.

Respectfully Submitted,

Dated: April 5, 2016

/Donald R. Steinberg/  
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**CERTIFICATE OF SERVICE**

I hereby certify that, on April 5, 2016, I caused a true and correct copy of the following materials:

- Petitioners' Updated Mandatory Notices Under 37 C.F.R. 42.8(a)(3)
- Petitioners' Updated List of Exhibits
- Exhibit 1028: Updated Chart of Patent Family Members
- Exhibit 1029: Summary of *Inter Partes* Reviews of Related Patents

to be served via electronic mail to the following address:

[PTABMattersBoston@proskauer.com](mailto:PTABMattersBoston@proskauer.com)

Respectfully submitted,

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