UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

ASML NETHERLANDS B.V., EXCELITAS TECHNOLOGIES CORP., AND QIOPTIQ PHOTONICS GMBH & CO. KG,
Petitioners

v.

ENERGETIQ TECHNOLOGY, INC., Patent Owner

Case IPR2015-01362 U.S. Patent No. 8,969,841

MANDATORY NOTICES OF PATENT OWNER PURSUANT TO 37 C.F.R. § 42.8(a)(2)

Pursuant to 37 C.F.R. § 42.8(a)(2), the Patent Owner of U.S. Patent No. 8,969,841 hereby files the following Mandatory Notices. These Mandatory Notices are filed within 21 days of service of the Petition for Inter Partes Review for this Case IPR2015-01362, mailed on June 12, 2015.



I. 37 C.F.R. \S 42.8(b)(1) – Real Party in interest:

The real party in interest for the Patent Owner is:

Energetiq Technology, Inc.

7 Constitution Way

Woburn, Massachusetts 01801

USA

II. 37 C.F.R. § 42.8(b)(2) – Related Matters:

U.S. Patent No. 8,969,841 is currently involved in the following litigation: *Energetiq Technology, Inc. v. ASML Netherlands B.V., Excelitas Technologies*Corp. and Qioptiq Photonics GMBH & Co. KG, 1:15-cv-10240-LTS (D. Mass.), filed January 30, 2015. The following patents and pending patent application do not claim priority to U.S. Patent No. 8,969,841 but are identified because they may be affected by a decision in this proceeding:

- (a) U.S. Patent No. 7,435,982
- (b) U.S. Patent No. 7,786,455
- (c) U.S. Patent No. 7,989,786
- (d) U.S. Patent No. 8,309,943
- (e) U.S. Patent No. 8,525,138
- (f) U.S. Patent No. 9,048,000
- (g) U.S. Patent Application No. 14/448,258



The following Inter Partes Review petitions have been filed for patents that relate to U.S. Patent No. 8,969,841:

- (a) IPR2015-01277 (U.S. Patent No. 8,309,943)
- (b) IPR2015-01279 (U.S. Patent No. 7,786,455)
- (c) IPR2015-01300 (U.S. Patent No. 7,435,982)
- (d) IPR2015-01303 (U.S. Patent No. 7,435,982)
- (e) IPR2015-01368 (U.S. Patent No. 8,525,138)
- (f) IPR2015-01375 (U.S. Patent No. 9,048,000)
- (g) IPR2015-01377 (U.S. Patent No. 7,435,982)

III. 37 C.F.R. \S 42.8(b)(3) – Lead and backup counsel:

- (a) Lead counsel: Steven M. Bauer, Registration No. 31,481
- (b) Backup counsel: Joseph A. Capraro Jr., Registration No. 36,471

IV. 37 C.F.R. $\S 42.8(b)(4)$ – Service information:

- (a) Electronic mail address: PTABMattersBoston@proskauer.com
 The Patent Owner hereby consents to electronic service to the electronic
 email address indicated above.
 - (b) Postal mailing address:

PROSKAUER ROSE LLP

One International Place

Boston, Massachusetts 02110

(c) Hand delivery address: same as the postal mailing address



Attorney Docket No. EGQ-841IPR

(d) Telephone number:

Lead counsel: (617) 526-9700

Backup counsel: (617) 526-9800

(e) Facsimile number: (617) 526-9899

Please address all correspondence to both the lead and backup counsel shown above.



Attorney Docket No. EGQ-841IPR

V. <u>Power of Attorney:</u>

A power of attorney appointing Proskauer Rose LLP (Customer No. 42,532)

was filed with the Board on June 16, 2015 (paper no. 5). Lead and backup counsel

are partners at Proskauer Rose and are associated with Customer Number 42,532.

The Patent Trial and Appeal Board is authorized to charge any fees incurred

by the Patent Owner in this Case IPR2015-01362 to Deposit Account No. 50-3081.

Respectfully submitted,

Proskauer Rose LLP

/ Joseph A. Capraro Jr. /

Joseph A. Capraro Jr., Reg. No. 36,471

Attorney for Patent Owner

Date: June 24, 2015

PROSKAUER ROSE LLP

One International Place

Boston, Massachusetts 02110



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