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UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

ASML NETHERLANDS B.V., EXCELITAS TECHNOLOGIES CORP., AND QIOPTIQ PHOTONICS GMBH & CO. KG,

Petitioners

V.

ENERGETIQ TECHNOLOGY, INC., Patent Owner.

Case IPR2015-01362

PETITIONERS' UPDATED MANDATORY NOTICES UNDER 37 C.F.R. 42.8(a)(3)



Petitioners hereby file updated mandatory notices pursuant to 37 C.F.R. § 42.8(b)(1).

A. Counsel

Lead Counsel: Donald R. Steinberg (Reg. No. 37,241)

Backup Counsel: David L. Cavanaugh (Reg. No. 36,476)

Second Backup Counsel: Michael H. Smith (Reg. No. 71,190)

Third Backup Counsel: Brian Seeve (Reg. No. 71,721)

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Fifth Backup Counsel: Arthur Shum (Limited Recog. No. L0904)

Sixth Backup Counsel: James M. Dowd (Admitted *Pro Hac Vice*)

Seventh Backup Counsel: Kevin S. Prussia (Admitted *Pro Hac Vice*)

Eighth Backup Counsel: Richard A. Goldenberg (Reg. No. 38,895)

B. Related Matters

U.S. Patent No. 8,969,841 ("the '841 patent," Ex. 1001) is one member of a patent family of continuation and continuation-in-part applications. Exhibit 1028 is an updated chart that shows the members of this patent family and the relationships among them. Petitioners are also seeking *inter partes* review of related U.S. Patent Nos. 7,435,982 ("the '982 patent"); 7,786,455 ("the '455 patent"); 8,309,943 ("the '943 patent"); 8,525,138 ("the '138 patent"); 9,048,000



("the '000 patent"); and 9,185,786 ("the '786 patent"), as well as other claims in the '841 patent, in Case Nos. IPR2015-01300, IPR2015-01303, IPR2015-01377, IPR2016-00583, IPR2016-00584, IPR2016-00585, IPR2015-01279, IPR2016-00570, IPR2016-00575, IPR2015-00576, IPR2016-00578, IPR2016-00579, IPR2015-01277, IPR2016-00554, IPR2016-00556, IPR2016-00555, IPR2015-01368, IPR2016-00565, IPR2016-00566, IPR2016-00127, IPR2016-00688, IPR2016-00689, IPR2015-01375, IPR2016-00126, IPR2016-00774, IPR2016-00775, IPR2016-00771, and IPR2016-00776. The status of the other proceedings is summarized in Exhibit 1029.

Petitioners request that the *inter partes* reviews of the '982, '455, '943,'138, '841,'000, and '786 patents be assigned to the same Panel for administrative efficiency.

The following litigation matters would affect or be affected by a decision in this proceeding: *Energetiq Tech., Inc. v. ASML Netherlands B.V.*, No. 1:15-cv-10240-LTS (D. Mass.) and *In the Matter of Certain Laser-Driven Light Sources, Subsystems Containing Laser-Driven Light Sources, and Products Containing Same*, Inv. 337-TA-983 (U.S. International Trade Commission).



Petitioner's Updated Mandatory Notices Case IPR2015-01362 U.S. Patent 8,969,841

The Patent Trial and Appeal Board is hereby authorized to charge any fees associated with this proceeding to Deposit Account 08-0219, under Order No. 0107945.00235, from which the undersigned is authorized to draw.

Respectfully Submitted,

Dated: April 5, 2016

/Donald R Steinberg/
Donald R. Steinberg
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CERTIFICATE OF SERVICE

I hereby certify that, on April 5, 2016, I caused a true and correct copy of the following materials:

- Petitioners' Updated Mandatory Notices Under 37 C.F.R. 42.8(a)(3)
- Petitioners' Updated List of Exhibits
- Exhibit 1028: Updated Chart of Patent Family Members
- Exhibit 1029: Summary of *Inter Partes* Reviews of Related Patents

to be served via electronic mail to the following address:

PTABMattersBoston@proskauer.com

Respectfully submitted,

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DOCKET

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