### UNITED STATES PATENT AND TRADEMARK OFFICE

## BEFORE THE PATENT TRIAL AND APPEAL BOARD

MYLAN PHARMACEUTICALS INC., Petitioner,

v.

ASTRAZENECA AB, Patent Owner.

Case: IPR2015-01340 U.S. Patent No. RE44,186

DECLARATION OF JEFFREY ROBL, PH.D.



I, Jeffrey Robl, declare as follows:

#### I. Introduction

- 1. All facts in this declaration are based on my personal knowledge unless otherwise stated.
  - 2. I am one of the co-inventors of U.S. Patent No. RE44,186 (Ex. 1001).
- 3. I completed and successfully defended my Ph.D. thesis in 1987, joined Squibb as a full time employee in 1987, and received a Ph.D. degree in 1988. Since 1987, I have worked at Bristol-Myers Squibb Co. ("BMS") and its predecessor.
- 4. In 1998, I was an Associate Director in the Metabolic Diseases department within BMS. In late-1998, I proposed to one of the biology leaders in the Metabolic Diseases department the idea of synthesizing dipeptidyl peptidase ("DPP-IV") inhibitors to treat diabetes, and presented the idea at the November 20, 1998 Metabolic Diseases New Target Evaluation meeting. Around that time, I prepared a document that identifies potential starting points for a medicinal chemistry program. *See* Ex. 2169.
- 5. I initially proposed a variety of unknown chemical structures with constrained rings as potential DPP-IV inhibitors. For instance, I proposed the constrained ring structures identified as "Aliphatic Bicyclics," "Benzo-Fused



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Bicyclics," "Non-Bicyclics," and other constrained "Miscellaneous" compounds.

Id.

- 6. I also identified two compounds as "Literature Standards." *Id.* I identified these compounds because they were the most prominently highlighted reversible DPP- IV inhibitors in the literature, and I wanted them to serve as benchmarks for our program. Probiodrug developed the compound on the left, and Ferring developed the compound on the right. Both "Literature Standards" included a sulfur in its pyrrolidine ring.
- 7. In January 1999, BMS's DPP-IV research group began actively working on generating constrained compounds, and the first new compounds were synthesized in February 1999.
- 8. The initial constrained ring structures that we tested were essentially inactive against DPP-IV. *See, e.g.*, Ex. 2183 at 1. Our group experimented by generating analogs with different ring sizes, but we began to understand that the constrained analogs did not exhibit promising inhibition of DPP- IV, and our group gradually shifted our focus away from those analogs.
- 9. We also began exploring one of my initial ideas of fusing a cyclopropyl group to the cyanopyrrolidine ring and first highlighted our work in the Chemistry Significant Events report around August 1999. *See* Ex. 2184 at 1-2;



see also Ex. 2169 ("Non-Bicyclics" including a cyclopropyl group fused to a pyrrolidine ring). The group knew that the pyrrolidine at the P1 position did not tolerate many modifications, but I thought that it may be worthwhile to introduce a cyclopropyl group there. To do so, we needed to identify scientific literature that provided a synthetic scheme for generating cyclopropyl pyrrolidines. Such literature did not exist. Instead, we found an article by Hanessian et al., *Probing the Importance of Spacial and Conformational Domains in Captopril Analogs for Angiotensin Converting Enzyme Activity*, 8 BIOORGANIC & MED. CHEM. LETTERS 2123 (1998) (Ex. 2028), which disclosed a scheme for cyclopropanating proline groups. We used this scheme to synthesize our first cyclopropyl compound, BMS-356379.

at the 4,5-position. Ex. 2184 at 1-2. With a K<sub>i</sub> of 28 nM, BMS-356379 represented the first breakthrough by the group. Based on this breakthrough, we conducted extensive structure-activity-relationship ("SAR") studies on the cyclopropyl series. That SAR indicated that, depending on the location and stereochemistry of the cyclopropyl group, there was a dramatic and unpredictable effect on potency. Ex. 2185. For instance, some compounds with the cyclopropyl group at the 2,3-position did not exhibit significant inhibitor activity, while some,



but not all, of the compounds with the cyclopropyl group at the 3,4-position did. See, e.g., Ex. 2186 at 2 (compare to BMS-378736 to BMS-383680).

- 11. We also discovered an unexpected increase in potency from the combination of a *cis*-4,5-cyclopropyl-pyrrolidine in the P1 position and a tert-butyl group in the P2 position. Ex. 2182. Positive data from the tert-butyl compound led us to propose and test a series of beta-branched derivatives, including adamantyl. Ex. 2187; Ex. 2182. We found that not all beta-branched groups gave potent DPP-IV inhibitors. Ex. 2175 at 2.
- 12. In the cyclopropyl series, we observed interesting properties related to one of our *cis*-4,5-cyclopropyl compounds with a cyclopentyl group in the P2 position. That compound, BMS-431285, exhibited exceptionally good *in vivo* inhibitor activity, (*see* Ex. 2188 at 1), but had an unusual disconnect between its pharmacokinetic ("PK") and pharmacodynamic ("PD") profile, showing low bioavailablity and a short half-life, yet a prolonged PD effect (*id.* at 3). When the group searched for the administered compound in plasma, it found that the compound was not present for a very long time and was not present at a high concentration. The team hypothesized that the PD effect was due to an active metabolite formed *in vivo*.



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