RN 394726-95-5 HCAPLUS

CN 3-Azabicyclo[3.1.0]hexane-2-carboxamide,

3-[(2S)-2-amino-2-(4,4-difluorocyclohexyl)acetyl]-6,6-dimethyl-N-[1-[2-oxo-2-(2-propen-1-ylamino)acetyl]-4-penten-1-yl]-, (1R,2S,5S)- (CA INDEX NAME)

Absolute stereochemistry.

$$\begin{array}{c|c} & & & & \\ & & & \\ \text{Me} & & & \\ & & & \\ \text{Me} & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ &$$

RN 394727-13-0 HCAPLUS

CN 3-Azabicyclo[3.1.0]hexane-2-carboxamide,

3-[3,3-dimethyl-1-oxo-2-[(3-phenylbutyl)amino]butyl]-6,6-dimethyl-N-[1-[2-oxo-2-(2-propen-1-ylamino)acetyl]butyl]- (CA INDEX NAME)

RN 394727-14-1 HCAPLUS

CN 3-Azabicyclo[3.1.0]hexane-2-carboxamide,

3-[3,3-dimethyl-1-oxo-2-[(2-phenylethyl)amino]butyl]-6,6-dimethyl-N-[1-[2-oxo-2-(2-propen-1-ylamino)acetyl]butyl]- (CA INDEX NAME)

$$\begin{array}{c} \text{H}_2\text{C} = \text{CH} - \text{CH}_2 - \text{NH} - \text{C} - \text{C} \\ \text{N} - \text{Pr} - \text{CH} - \text{NH} - \text{C} \\ \text{Me} \\ \text{Me} \end{array} \begin{array}{c} \text{N} \text{NH} - \text{CH}_2 - \text{CH}_2 - \text{Ph} \\ \text{C} - \text{CH} - \text{Bu} - \text{t} \\ \text{Me} \end{array}$$

RN 394727-15-2 HCAPLUS

CN 3-Azabicyclo[3.1.0]hexane-2-carboxamide,

3-[2-[(3,3-dimethylbutyl)amino]-3,3-dimethyl-1-oxobutyl]-6,6-dimethyl-N-[1-[2-oxo-2-(2-propen-1-ylamino)acetyl]butyl]- (CA INDEX NAME)

$$\begin{array}{c} \text{H}_2\text{C} = \text{CH} - \text{CH}_2 - \text{NH} - \text{C} - \text{C} \\ \text{N} - \text{Pr} - \text{CH} - \text{NH} - \text{C} \\ \\ \text{Me} \\ \text{Me} \end{array} \begin{array}{c} \text{O} \\ \text{N} + \text{C} +$$

RN 394727-18-5 HCAPLUS

CN 3-Azabicyclo[3.1.0]hexane-2-carboxamide, 3-[(2S)-2-amino-3,3-dimethyl-1-oxobutyl]-N-[1-[2-(3-buten-1-ylamino)-2-oxoacetyl]-4-penten-1-yl]-6,6-dimethyl-, (1R,2S,5S)- (CA INDEX NAME)

Absolute stereochemistry.

$$\begin{array}{c|c} & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & \\ & & & \\ & &$$

RN 394727-36-7 HCAPLUS
CN 3-Azabicyclo[3.1.0]hexane-2-carboxamide,
N-[1-[2-(3-buten-1-ylamino)-2-oxoacetyl]-4-penten-1-yl]-3-[(2S)-2[[[(1R,4S)-7,7-dimethyl-2-oxobicyclo[2.2.1]hept-1yl]methyl]sulfonyl]amino]-3,3-dimethyl-1-oxobutyl]-6,6-dimethyl-,
(1R,2S,5S)- (CA INDEX NAME)

RN 394727-38-9 HCAPLUS
CN 3-Azabicyclo[3.1.0]hexane-2-carboxamide,
N-[1-[2-(3-buten-1-ylamino)-2-oxoacetyl]-4-penten-1-yl]-3-[(2S)-2[[(dimethylamino)sulfonyl]amino]-3,3-dimethyl-1-oxobutyl]-6,6-dimethyl-,
(1R,2S,5S)- (CA INDEX NAME)

RN 395649-30-6 HCAPLUS

CN Glycinamide,

(2S)-2-cyclohexyl-N-(3-methylbutyl)glycyl-(2S)-6,6-dimethyl-3-azabicyclo[3.1.0]hexane-2-carbonyl-3-amino-2-oxohexanoylglycyl-N,N-dimethyl-2-phenyl-, (2S)- (9CI) (CA INDEX NAME)

Absolute stereochemistry.

RN 395649-34-0 HCAPLUS

CN Glycinamide, 3-methyl-N-(3-phenylbutyl)valyl-(2S)-6,6-dimethyl-3-azabicyclo[3.1.0]hexane-2-carbonyl-3-amino-2-oxohexanoylglycyl-N,N-dimethyl-2-phenyl-, (2S)- (9CI) (CA INDEX NAME)

RN 395649-35-1 HCAPLUS

CN Glycinamide, 3-methyl-N-(2-phenylethyl)valyl-(2S)-6,6-dimethyl-3-azabicyclo[3.1.0]hexane-2-carbonyl-3-amino-2-oxohexanoylglycyl-N,N-dimethyl-2-phenyl-, (2S)- (9CI) (CA INDEX NAME)

Absolute stereochemistry.

RN 395649-36-2 HCAPLUS

CN Glycinamide, N-(3,3-dimethylbutyl)-3-methylvalyl-(2S)-6,6-dimethyl-3-azabicyclo[3.1.0]hexane-2-carbonyl-3-amino-2-oxohexanoylglycyl-N,N-dimethyl-2-phenyl-, (2S)- (9CI) (CA INDEX NAME)

Absolute stereochemistry.

RN 395652-00-3 HCAPLUS
CN 3-Azabicyclo[3.1.0]hexane-2-carboxamide,

3-[(2S)-2-amino-2-cyclohexylacetyl]-6,6-dimethyl-N-[1-[2-oxo-2-(2-propen-1-ylamino)acetyl]-4-penten-1-yl]-, (2S)- (CA INDEX NAME)

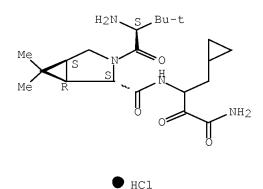
Absolute stereochemistry.

$$\begin{array}{c} \text{Me} \\ \text{Me} \\ \text{S} \\ \text{S} \\ \text{O} \\ \text{O} \\ \text{CH}_2 \\ \text{CH}_2 \\ \text{CH}_2 \\ \end{array}$$

RN 394735-49-0 HCAPLUS

CN 3-Azabicyclo[3.1.0]hexane-2-carboxamide,
N-[3-amino-1-(cyclopropylmethyl)-2,3-dioxopropyl]-3-[(2S)-2-amino-3,3-dimethyl-1-oxobutyl]-6,6-dimethyl-, hydrochloride (1:1), (1R,2S,5S)- (CA INDEX NAME)

Absolute stereochemistry.



OS.CITING REF COUNT: 35 THERE ARE 35 CAPLUS RECORDS THAT CITE THIS RECORD (40 CITINGS)

L49 ANSWER 77 OF 87 HCAPLUS COPYRIGHT 2012 ACS on STN ACCESSION NUMBER: 2000:790173 HCAPLUS  $\underline{\text{Full-text}}$ 

DOCUMENT NUMBER: 133:350506

TITLE: Preparation of 2,3-methano-amino acid derivatives as

anticoagulant agents

INVENTOR(S): De Nanteuil, Guillaume; Gloanec, Philippe; Verbeuren,

Tony; Rupin, Alain

PATENT ASSIGNEE(S): Adir et Compagnie, Fr. SOURCE: Eur. Pat. Appl., 34 pp.

CODEN: EPXXDW

DOCUMENT TYPE: Patent LANGUAGE: French

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

PATENT NO.	KIND	DATE	APPLICATION NO.	DATE
EP 1050534	A1	20001108	EP 2000-401197	20000502 <
EP 1050534	В1	20011205		
R: AT, BE, CH,	DE, DK	E, ES, FR, G	GB, GR, IT, LI, LU, NL,	SE, MC, PT,
IE, SI, LT,				
FR 2793248	Aĺ	20001110	FR 1999-5601	19990503 <
FR 2793248	В1	20010629		
PL 198571	В1	20080630	PL 2000-339967	20000428 <
CN 1277961	A	20001227	CN 2000-119227	20000430 <
CN 1130347	С	20031210		
NO 2000002314	A	20001106	NO 2000-2314	20000502 <
NZ 504298	A	20010126	NZ 2000-504298	20000502 <
НU 2000001712	A2	20010328	HU 2000-1712	20000502 <
ни 200001712	А3	20020228		
US 6288077	В1	20010911	US 2000-561618	20000502 <
AT 210131	${f T}$	20011215	AT 2000-401197	20000502 <
MX 2000004241	A	20020308	MX 2000-4241	20000502 <
PT 1050534	E	20020531	PT 2000-401197	20000502 <
ES 2169716	Т3	20020716	ES 2000-401197	20000502 <
CA 2308780	A1	20001103	CA 2000-2308780	20000503 <
CA 2308780	С	20030422		
ZA 2000002152	A	20001107	ZA 2000-2152	20000503 <
AU 2000031325	A	20001130	AU 2000-31325	20000503 <
AU 763670	В2	20030731		
BR 2000002075	A	20010102	BR 2000-2075	20000503 <
JP 2000344745	A	20001212	JP 2000-134144	20000508 <
JP 3200053	В2	20010820		
НК 1032237	A1	20040514	HK 2001-102869	
PRIORITY APPLN. INFO.:				19990503 <
ASSIGNMENT HISTORY FOR U	S PATEN	IT AVAILABLE	E IN LSUS DISPLAY FORMA'	Γ

OTHER SOURCE(S): MARPAT 133:350506

Entered STN: 10 Nov 2000 ED

GΙ

$$R^{1}$$
 $NH$ 
 $Ar-R^{2}$ 

AΒ Amino acid derivs. I [X = (CH2)n; n = 2, 3; R1 = cycloalkyl; R2 = amino, alkyl, OH, guanidinoisothiourido; Ar = aryl, heteroaryl; X1 = OH, substituted amine] were prepared as anticoagulants. Thus,

1-(N-carboxymethyl-(2R)-3-cyclohexylalanyl)-N-(4-amidinobenzyl)-(2S,3R)-

2,3-methanoprolinamide hydrochloride was prepared and tested for its anticoagulant activity (IC50 =  $5.3~\mu\text{M}$ ).

IT 304910-16-5P

RN

RN

RL: BAC (Biological activity or effector, except adverse); BSU (Biological study, unclassified); RCT (Reactant); SPN (Synthetic preparation); THU (Therapeutic use); BIOL (Biological study); PREP (Preparation); RACT (Reactant or reagent); USES (Uses)

(preparation of 2,3-methano-amino acid derivs. as anticoagulant agents) 304910-16-5 HCAPLUS

CN 2-Azabicyclo[3.1.0]hexane-1-carboxamide,

2-[(2R)-2-amino-3-cyclohexyl-1-oxopropyl]-N-[[4-(aminoiminomethyl)phenyl]methyl]-, hydrochloride (1:2), (1S,5R)- (CA INDEX NAME)

Absolute stereochemistry.

●2 HC1

RL: BAC (Biological activity or effector, except adverse); BSU (Biological study, unclassified); SPN (Synthetic preparation); THU (Therapeutic use); BIOL (Biological study); PREP (Preparation); USES (Uses)

(preparation of 2,3-methano-amino acid derivs. as anticoagulant agents) 304910-17-6 HCAPLUS

CN Glycine, N-[(1R)-2-[(1S,5R)-1-[[[4-

(aminoiminomethyl)phenyl]methyl]amino]carbonyl]-2-azabicyclo[3.1.0]hex-2yl]-1-(cyclohexylmethyl)-2-oxoethyl]-, hydrochloride (9CI) (CA INDEX NAME)

RN 304910-19-8 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-1-carboxamide,
N-[[4-(aminoiminomethyl)phenyl]methyl]-2-[(2R)-1-oxo-3-phenyl-2[[(phenylmethyl)sulfonyl]amino]propyl]-, hydrochloride (1:1), (1S,5R)(CA INDEX NAME)

Absolute stereochemistry.

RN 304910-20-1 HCAPLUS CN Glycine, N-[(1R)-2-[(1S,5R)-1-[[[[4-

(aminoiminomethyl)phenyl]methyl]amino]carbonyl]-2-azabicyclo[3.1.0]hex-2yl]-2-oxo-1-(phenylmethyl)ethyl]-, hydrochloride (9CI) (CA INDEX NAME)

Absolute stereochemistry.

RN 304910-21-2 HCAPLUS

CN 2-Azabicyclo[3.1.0]hexane-1-carboxamide, N-[[4-(aminoiminomethyl)phenyl]methyl]-2-[(2R)-2-amino-1-oxo-3,3-diphenylpropyl]-, hydrochloride (1:2), (1S,5R)- (CA INDEX NAME)

Absolute stereochemistry.

●2 HCl

RN 304910-22-3 HCAPLUS

CN 2-Azabicyclo[3.1.0]hexane-1-carboxamide,

2-[(2R)-2-amino-1-oxo-3,3-diphenylpropyl]-N-[(6-amino-3-pyridinyl)methyl]-, hydrochloride (1:2), (1S,5R)- (CA INDEX NAME)

RN 304910-23-4 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-1-carboxamide,
2-[(2R)-2-amino-3,3-dicyclohexyl-1-oxopropyl]-N-[[4(aminoiminomethyl)phenyl]methyl]-, hydrochloride (1:2), (1S,5R)- (CA
INDEX NAME)

Absolute stereochemistry.

2 HCl

(aminoiminomethyl)phenyl]methyl]amino]carbonyl]-2-azabicyclo[3.1.0]hex-2 yl]-1-(dicyclohexylmethyl)-2-oxoethyl]-, hydrochloride (9CI) (CA INDEX NAME)

●x HCl

RN 304910-26-7 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-1-carboxamide,
N-[[4-(aminoiminomethyl)phenyl]methyl]-2-[(2R)-2-cyclohexyl-2[[(phenylmethyl)sulfonyl]amino]acetyl]-, hydrochloride (1:1), (1S,5R)(CA INDEX NAME)

Absolute stereochemistry.

HC1

RN 304910-27-8 HCAPLUS CN Glycine, N-[(1R)-2-[(1S,5R)-1-[[[[4-

(aminoiminomethyl)phenyl]methyl]amino]carbonyl]-2-azabicyclo[3.1.0]hex-2yl]-1-cyclohexyl-2-oxoethyl]-, hydrochloride (9CI) (CA INDEX NAME)

(aminoiminomethyl)phenyl]methyl]amino]carbonyl]-2-azabicyclo[3.1.0]hex-2 yl]-1-cyclohexyl-2-oxoethyl]-, ethyl ester, dihydrochloride (9CI) (CA
 INDEX NAME)

Absolute stereochemistry.

(aminoiminomethyl)phenyl]methyl]amino]carbonyl]-2-azabicyclo[3.1.0]hex-2yl]carbonyl]-3-methylbutyl]-, dihydrochloride (9CI) (CA INDEX NAME)

Absolute stereochemistry.

RN 304910-71-2 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-1-carboxamide,
N-[[4-(aminoiminomethyl)phenyl]methyl]-2-[(2R)-2-amino-1-oxo-3,3-diphenylpropyl]-, (1S,5R)- (CA INDEX NAME)

Absolute stereochemistry.

RN 304910-72-3 HCAPLUS CN Glycine, N-[(1R)-2-[(1S,5R)-1-[[[[4-

(aminoiminomethyl) phenyl]methyl]amino]carbonyl]-2-azabicyclo[3.1.0]hex-2yl]-1-cyclohexyl-2-oxoethyl]- (CA INDEX NAME)

IT 304910-25-6

RL: RCT (Reactant); RACT (Reactant or reagent)

(preparation of 2,3-methano-amino acid derivs. as anticoagulant agents)

RN 304910-25-6 HCAPLUS

CN 2-Azabicyclo[3.1.0]hexane-1-carboxamide,

2-[(2R)-2-amino-2-cyclohexylacetyl]-N-[[4-(aminoiminomethyl)phenyl]methyl]-, hydrochloride (1:2), (1S,5R)- (CA INDEX NAME)

Absolute stereochemistry.

IT 304910-15-4P 304910-18-7P

RL: RCT (Reactant); SPN (Synthetic preparation); PREP (Preparation); RACT (Reactant or reagent)

(preparation of 2,3-methano-amino acid derivs. as anticoagulant agents)

RN 304910-15-4 HCAPLUS

CN 2-Azabicyclo[3.1.0]hexane-1-carboxamide,

2-[(2R)-2-amino-3-cyclohexyl-1-oxopropyl]-N-[(6-amino-2-methyl-3-pyridinyl)methyl]-, hydrochloride (1:2), (1S,5R)- (CA INDEX NAME)

RN 304910-18-7 HCAPLUS

CN 2-Azabicyclo[3.1.0]hexane-1-carboxamide, N-[[4-(aminoiminomethyl)phenyl]methyl]-2-[(2R)-2-amino-1-oxo-3-phenylpropyl]-, hydrochloride (1:2), (1S,5R)- (CA INDEX NAME)

Absolute stereochemistry.

REFERENCE COUNT: 2 THERE ARE 2 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L49 ANSWER 78 OF 87 HCAPLUS COPYRIGHT 2012 ACS on STN ACCESSION NUMBER: 1991:506007 HCAPLUS <u>Full-text</u>

DOCUMENT NUMBER: 115:106007

ORIGINAL REFERENCE NO.: 115:17985a,17988a

TITLE: Treatment of cardiac and vascular hypertrophy and

hyperplasia with angiotensin-converting enzyme

inhibitors

INVENTOR(S):
Linz, Wolfgang; Schoelkens, Bernward; Scholz,

Wolfgang; Wiemer, Gabriele; Urbach, Hans Joerg;

Henning, Rainer; Teetz, Volker

PATENT ASSIGNEE(S): Hoechst A.-G., Germany SOURCE: Ger. Offen., 12 pp.

CODEN: GWXXBX

DOCUMENT TYPE: Patent LANGUAGE: German

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

PATENT NO.	KIND	DATE	APPLICATION NO.		DATE
DE 3926606		19910214	DE 1989-3926606		19890811 <
EP 417473	A1	19910320	EP 1990-115230		19900808 <
EP 417473	В1	19930915			
R: AT, BE, CH,	DE, 1	DK, ES, FR,	GB, GR, IT, LI, LU, N	L, S	ΣE
AT 94409	T	19931015	AT 1990-115230		19900808 <
ES 2059931	Т3	19941116	ES 1990-115230		19900808 <
DD 297063	A5	19920102	DD 1990-343366		19900809 <
US 5231083	А	19930727	US 1990-564618		19900809 <
IL 95327	А	19951031	IL 1990-95327		19900809 <
CA 2023089	A1	19910212	CA 1990-2023089		19900810 <
CA 2023089	С	20030114			
NO 9003532	A	19910212	NO 1990-3532		19900810 <
NO 306979	В1	20000124			
AU 9060920	Α	19910214	AU 1990-60920		19900810 <
AU 631914	В2	19921210			
HU 54504	A2	19910328	HU 1990-4966		19900810 <
ни 205008	В	19920330			
JP 03083957	A	19910409	JP 1990-210564		19900810 <
	В2	20030929			
ZA 9006327	A	19910529			19900810 <
CS 277644	В6	19930317			19900810 <
KR 185969	В1	19990501			19900810 <
PRIORITY APPLN. INFO.:			DE 1989-3926606		19890811 <
			EP 1990-115230	А	19900808

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ASSIGNMENT HISTORY FOR US PATENT AVAILABLE IN LSUS DISPLAY FORMAT

OTHER SOURCE(S): MARPAT 115:106007

ED Entered STN: 23 Sep 1991

GI

AB The angiotensin-converging enzyme inhibitors
R(CH2)nCH(CO2R2)NHCHR1CONR5CHR4CO2R1 (R = H, aliphatic radical, aryl, etc.;
R1 = H, aliphatic radical, aryl, heterocyclyl, etc.; R2, R3 = H, aliphatic

radical, alicyclic radical, aryl, etc.; R4, R5 together with the carrier atoms are heterocyclyl; n = 1, 2) are drugs for the treatment of cardiac and vascular hypertrophy and hyperplasia, in newborns. Oral administration of tablets containing N-(1-S-carbetoxy-3-phenylpropyl)-S-alanyl-

cis-endo-2-azabicyclo[3.3.0]octane-3, S-carboxylic acid (1 or 10 μg/kg/day, for 3 wk) normalized the weight and wall thickness in the heart of rats with exptl. cardiac hypertrophy, induced by stricture of the abdominal aorta. Formulation examples are given.

IΤ 99781-97-2

RL: BIOL (Biological study)

(cardiac and vascular hypertrophy and hyperplasia treatment by)

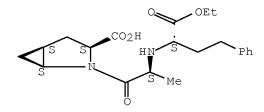
RN 99781-97-2 HCAPLUS

2-Azabicyclo[3.1.0]hexane-3-carboxylic acid, CN

2-[2-[[1-(ethoxycarbonyl)-3-phenylpropyl]amino]-1-oxopropyl]-,

 $[1S-[1\alpha,2[R^*(R^*)],3\beta,5\alpha]]-(9CI)$  (CA INDEX NAME)

Absolute stereochemistry.



THERE ARE 2 CAPLUS RECORDS THAT CITE THIS RECORD OS.CITING REF COUNT: (2 CITINGS)

L49 ANSWER 79 OF 87 HCAPLUS COPYRIGHT 2012 ACS on STN ACCESSION NUMBER: 1991:450274 HCAPLUS Full-text

DOCUMENT NUMBER: 115:50274 ORIGINAL REFERENCE NO.: 115:8757a,8760a

TITLE: Synthesis and conformational analysis of

L-aspartylproline and L-aspartyl-2,3-methanoproline

propyl esters

AUTHOR(S): Matsui, S.; Srivastava, V. P.; Holt, E. M.; Taylor, E.

W.; Stammer, C. H.

CORPORATE SOURCE: Sch. Chem. Sci., Univ. Georgia, Athens, GA, 30602, USA SOURCE: International Journal of Peptide & Protein Research

(1991), 37(4), 306-14

CODEN: IJPPC3; ISSN: 0367-8377

DOCUMENT TYPE: Journal English LANGUAGE: Entered STN: 10 Aug 1991

GΙ



AB The (+) - and (-) -diastereomers of the title compds. I (n=1,0) were prepared and their conformations were studied via crystal structure, NMR, and mol. mechanics. The (+) - and (-) -isomers of 2,3-methanoproline II (R=H) were obtained from  $(\pm)$ -II (R=CMe3) via resolution of  $(\pm)$ -II (R=H). All solid dipeptides had a bitter taste with no indication of sweetness.

IT 134666-90-3P 134732-59-5P RL: SPN (Synthetic preparation); PREP (Preparation)

(preparation, conformation, and taste of)  $\rm RN - 134666 - 90 - 3 \ HCAPLUS$ 

CN 2-Azabicyclo[3.1.0]hexane-2-butanoic acid,

 $\beta$ -amino- $\gamma$ -oxo-1-(propoxycarbonyl)-,

 $[1S-[1\alpha,2(R^*),5\alpha]]-(9CI)$  (CA INDEX NAME)

Absolute stereochemistry.

RN 134732-59-5 HCAPLUS

CN 2-Azabicyclo[3.1.0]hexane-2-butanoic acid,  $\beta$ -amino- $\gamma$ -oxo-1-(propoxycarbonyl)-, [1R-[1 $\alpha$ ,2(S\*),5 $\alpha$ ]]- (9CI) (CA INDEX NAME)

Absolute stereochemistry.

OS.CITING REF COUNT: 3 THERE ARE 3 CAPLUS RECORDS THAT CITE THIS RECORD (3 CITINGS)

L49 ANSWER 80 OF 87 HCAPLUS COPYRIGHT 2012 ACS on STN ACCESSION NUMBER: 1988:516052 HCAPLUS <u>Full-text</u>
DOCUMENT NUMBER: 109:116052

ORIGINAL REFERENCE NO.: 109:19241a,19244a

TITLE: Nootropic pharmaceutical containing

> angiotensin-converting-enzyme inhibitors (ACE inhibitors) and their use for the treatment of

cognitive dysfunction

INVENTOR(S): Hock, Franz; Scholtholt, Josef PATENT ASSIGNEE(S): Hoechst A.-G., Fed. Rep. Ger.

SOURCE: Ger. Offen., 15 pp.

CODEN: GWXXBX

DOCUMENT TYPE: Patent LANGUAGE: German

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

PATENT NO.	KIND	DATE	APPLICATION NO.	DATE
DE 3610391	A1	19871008	DE 1986-3610391	19860327 <
EP 243645		19871104		
EP 243645	A3	19900124	21 1307 100300	13070010
EP 243645	B1	19940316		
R: AT, BE, CH,	DE, E	S, FR, GB,	GR, IT, LI, LU, NL, S	E
AT 102954	T			
ES 2061447	Т3	19941216	ES 1987-103938	19870318 <
FI 8701304	A	19870928	FI 1987-1304	19870325 <
FI 91876	В	19940513		
FI 91876	С	19940825		
ни 46046	A2	19880928	HU 1987-1308	19870325 <
ни 203117	В	19910528		
DD 280765	A5	19900718	DD 1987-301118	19870325 <
HU 202118	В	19910228	ни 1989-6609	19870325 <
DK 8701535	A	19870928	DK 1987-1535	19870326 <
DK 172221	B1	19980112		
NO 8701282	А	19870928	NO 1987-1282	19870326 <
NO 178546	В	19960108		
NO 178546	С	19960417		
AU 8770649	A	19871001	AU 1987-70649	19870326 <
AU 621278	В2	19920312		
JP 62240698	A	19871021	JP 1987-70541	
ZA 8702230	A	19871028	ZA 1987-2230	19870326 <
SU 1836335	А3	19930823	SU 1987-4202302	
CA 1341064	С	20000801	CA 1987-533092	19870326 <
CN 87102304	A	19871230	CN 1987-102304	19870327 <
CN 1031267	C	19960313	1005 0105	4000000
CS 276179	B6	19920415		19870327 <
CS 276385	В6	19920513	CS 1989-6519	19870327 <

US 5231084 19930727 US 1991-711719 Α 19910607 <--PRIORITY APPLN. INFO.: DE 1986-3610391 A 19860327 <--EP 1987-103938 A 19870318 US 1987-29905 B1 19870325 <--US 1988-226521 B1 19880801 <--US 1989-362288 B3 19890606 <--

ASSIGNMENT HISTORY FOR US PATENT AVAILABLE IN LSUS DISPLAY FORMAT

OTHER SOURCE(S): MARPAT 109:116052

Entered STN: 01 Oct 1988 ED

GΙ

ACE inhibitors (I; R = H, optionally substituted C1-8 aliphatic, C3-9 AΒ alicyclic, C6-12 aromatic , C7-14 araliph., C7-14 aliphatic-alicyclic hydrocarbyl, SR6, OR6; R1 = H, optionally substituted C1-6 aliphatic, C3-9 alicyclic , C4-13 alicyclic-aliphatic , aryl, C7-16 araliph. hydrocarbyl, C5-12 heteroaryl or protected amino acid side chain; R2, R3 = C1-6 aliphatic , C3-9 alicyclic , C6-12 aromatic, C7-16 araliph. hydrocarbyl; CNR4R5 = C3-15 mono-, bi-, tricyclic heterocyclyl; R6 = C1-4 aliphatic , C5-12 aromatic hydrocarbyl , C5-12 heteroaryl; n = 1, 2) or their salts are nootropic pharmaceuticals. Gelatin capsules contained 1'-[N-(1-S-carbethoxy-3-phenylpropyl)-S-alanyl]-(3'S,5'S)spirobicyclo[2.2.2]octane-2,3'-pyrrolidin-5'-ylcarboxylic acid 10, Mg stearate 1, and lactose 214 mg. The nootropic efficacy of I was tested by the inhibitory passive avoidance test in mice using the step-through model. Scopolamine-induced amnesia was reversed with a min. ED (MED) of 1.0-30 mg/kg orally in mice, whereas for Piracetam, MED was 500-1000 mg/kg. ΙT 99781-97-2

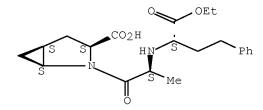
RL: BIOL (Biological study)

(nootropic drug)

RN 99781-97-2 HCAPLUS

CN 2-Azabicyclo[3.1.0]hexane-3-carboxylic acid, 2-[2-[[1-(ethoxycarbonyl)-3-phenylpropyl]amino]-1-oxopropyl]-,  $[1S-[1\alpha,2[R*(R*)],3\beta,5\alpha]]-(9CI)$  (CA INDEX NAME)

Absolute stereochemistry.



OS.CITING REF COUNT: 3 THERE ARE 3 CAPLUS RECORDS THAT CITE THIS RECORD (3 CITINGS)

L49 ANSWER 81 OF 87 HCAPLUS COPYRIGHT 2012 ACS on STN ACCESSION NUMBER: 1987:591020 HCAPLUS <u>Full-text</u>
DOCUMENT NUMBER: 107:191020

ORIGINAL REFERENCE NO.: 107:30449a,30452a

TITLE: Method and pharmaceutical composition containing an

angiotensin-converting enzyme inhibitor for treatment

of atherosclerosis, thrombosis, and peripheral

vascular disease. Schoelkens, Bernward

PATENT ASSIGNEE(S): Hoechst A.-G., Fed. Rep. Ger.

Ger. Offen., 10 pp. SOURCE:

CODEN: GWXXBX

DOCUMENT TYPE: Patent LANGUAGE: German

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

INVENTOR(S):

PATENT NO.	KIND	DATE	APPLICATION NO.		DATE
DE 3536687	A1	19870416	DE 1985-3536687		19851015 <
EP 219782	A2	19870429	EP 1986-114097		19861011 <
EP 219782	A3	19900530			
EP 219782	В1	19930929			
R: AT, BE, CH,	DE, ES	, FR, GB,	GR, IT, LI, LU, NL,	SE	
AT 95064	T	19931015	AT 1986-114097		19861011 <
ES 2059301	Т3	19941116	ES 1986-114097		19861011 <
AU 8663890	A	19870416	AU 1986-63890		19861014 <
AU 594711	В2	19900315			
DK 8604904	A	19870416	DK 1986-4904		19861014 <
JP 62087524	A	19870422	JP 1986-242206		19861014 <
ZA 8607771	A	19870527	ZA 1986-7771		19861014 <
CA 1320904	С	19930803	CA 1986-520434		19861014 <
US 5231080	A	19930727	US 1991-678187		19910329 <
PRIORITY APPLN. INFO.:			DE 1985-3536687	A	19851015 <
			US 1986-917430	В1	19861010 <
			EP 1986-114097	Α	19861011
<					
			US 1989-393058	В1	19890811 <

ASSIGNMENT HISTORY FOR US PATENT AVAILABLE IN LSUS DISPLAY FORMAT

OTHER SOURCE(S): MARPAT 107:191020

ED Entered STN: 27 Nov 1987

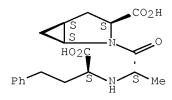
GΙ

AΒ Angiotensin-converting enzyme inhibitors R302CCHR4NR5COCHR1NHCH(CO2R2)(CH2)nR (I) [n = 1,2; R = H, (substituted) hydrocarbyl, alkoxy, alkylthio, etc.; R1 = H, (substituted) hydrocarbyl, (substituted) heteroaryl, (protected) amino acid side chain; R2, R3 = H, (substituted) hydrocarbyl; R4CHNR5 = C4-15 heterocyclic mono-, bi-, or tricyclic ring system] are inhibitors of blood platelet aggregation and are useful for treatment of atherosclerosis, thrombosis, and peripheral vascular disease. II, administered orally at 1.0-10.0 mg/kg to rabbits, inhibited platelet aggregation in vitro and potentiated the action of PGI2. Tablets were prepared by mixing II 10 and corn starch 140 with a solution of gelatin 7.5 g in water, drying, granulating, adding microcryst. cellulose 2.5 and Mg stearate 2.5 g, and pressing into tablets each containing 10 mg II. ΙT 97251-00-8 99781-97-2 RL: BIOL (Biological study) (blood platelet aggregation inhibition by)

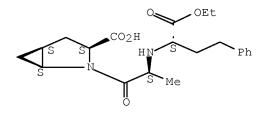
RN 97251-00-8 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carboxylic acid,
2-[2-[(1-carboxy-3-phenylpropyl)amino]-1-oxopropyl]-,

 $[1S-[1\alpha,2[R^*(R^*)],3\beta,5\alpha]]-(9CI)$  (CA INDEX NAME)

Absolute stereochemistry.



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RN 99781-97-2 HCAPLUS CN 2-Azabicyclo[3.1.0]hexane-3-carboxylic acid, 2-[2-[[1-(ethoxycarbonyl)-3-phenylpropyl]amino]-1-oxopropyl]-, \\ [1S-[1\alpha,2[R^*(R^*)],3\beta,5\alpha]]- (9CI) (CA INDEX NAME)
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OS.CITING REF COUNT: 7 THERE ARE 7 CAPLUS RECORDS THAT CITE THIS RECORD

(7 CITINGS)

L49 ANSWER 82 OF 87 HCAPLUS COPYRIGHT 2012 ACS on STN ACCESSION NUMBER: 1987:446283 HCAPLUS Full-text

DOCUMENT NUMBER: 107:46283

ORIGINAL REFERENCE NO.: 107:7613a,7616a

TITLE: Treatment of glaucoma using

angiotensin-convertings-enzyme inhibitors

INVENTOR(S): Urbach, Hansjoerg; Henning, Rainer; Geiger, Rolf;

Teetz, Volker

PATENT ASSIGNEE(S): Hoechst A.-G., Fed. Rep. Ger.

SOURCE: Ger. Offen., 31 pp.

CODEN: GWXXBX

DOCUMENT TYPE: Patent LANGUAGE: German

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

PATENT NO	).	KINI	D DATE	APPLICATION NO.		DATE
DE 341073	2	A1	19850926	DE 1984-3410732		19840323 <
EP 158157		A1	19851016	EP 1985-103022		19850315 <
R: 7	AT, BE, CH	, DE,	FR, GB, IT,	LI, LU, NL, SE		
DK 850131	.5	A	19850924	DK 1985-1315		19850322 <
AU 854028	8	A	19850926	AU 1985-40288		19850322 <
AU 57807	9	В2	19881013			
JP 602095	27	A	19851022	JP 1985-55779		19850322 <
ZA 850215	6	A	19851127	ZA 1985-2156		19850322 <
PRIORITY APPLN	I. INFO.:			DE 1984-3410732	A	19840323 <

OTHER SOURCE(S): MARPAT 107:46283

ED Entered STN: 08 Aug 1987

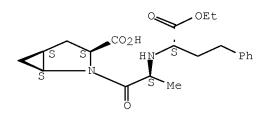
GI

AΒ The title compds. R302CCHR4NR5COCHR1NHCH(CO2R2)(CH2)nR(R = H, alkyl, aryl,R60, R6S, R6 = alkyl, aryl, etc.; R1 = H, alkyl, aryl, amino acyl, etc.; R2, R3 = H, alkyl, aryl, etc.; R4CHNR5 = heterocyclyl; n = 1, 2) are drugs for the treatment of glaucoma. Thus, tablets were made, containing N-(1-S-carbethoxy-3-phenylpropyl)-S-alanyl-1S,3S,5S-2azabicyclo[3.3.0]octane-3-carboxylic acid 10, corn starch 140, gelatin 7.5, microcrystn. cellulose 2.5, and Mg stearate 2.5 g. ΙT 99781-97-2 RL: BIOL (Biological study) (angiotensin-converting-enzyme inhibitor, as drug for treatment of glaucoma) 99781-97-2 HCAPLUS RNCN 2-Azabicyclo[3.1.0]hexane-3-carboxylic acid,

2-[2-[[1-(ethoxycarbonyl)-3-phenylpropyl]amino]-1-oxopropyl]-,

 $[1S-[1\alpha, 2[R*(R*)], 3\beta, 5\alpha]]-(9CI)$  (CA INDEX NAME)

Absolute stereochemistry.



OS.CITING REF COUNT: 2 THERE ARE 2 CAPLUS RECORDS THAT CITE THIS RECORD (2 CITINGS)

L49 ANSWER 83 OF 87 HCAPLUS COPYRIGHT 2012 ACS on STN ACCESSION NUMBER: 1986:207685 HCAPLUS Full-text

DOCUMENT NUMBER: 104:207685

ORIGINAL REFERENCE NO.: 104:32945a,32948a

TITLE: Amino acid derivatives as enzyme inhibitors

INVENTOR(S): Patchett, Arthur A.; Taub, David; Wyvratt, Matthew J.

Jr.

PATENT ASSIGNEE(S): Merck and Co., Inc., USA

SOURCE: S. African, 81 pp.

CODEN: SFXXAB

DOCUMENT TYPE: Patent LANGUAGE: English

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

PATENT NO.	KIND	DATE	APPLICATION NO.		DATE
				_	
ZA 8304454	A	19850227	ZA 1983-4454		19830617 <
PRIORITY APPLN. INFO.:			US 1982-389735	Α	19820618 <

ED Entered STN: 14 Jun 1986

GI For diagram(s), see printed CA Issue.

AB Dipeptides I (R, R3 = H, alkyl, aryl; R1 = H, (un)substituted alkyl, aryl, or heteroaryl, aralkyl, heteroarylalkyl; R2 = H, alkyl, aminoalkyl; system A is a mono- or bicyclic heterocycle), useful as angiotensin-converting enzyme inhibitors, were prepared Thus, the reductive N-alkylation of an alanylproline derivative with PhCH2CH2COCO2H and NaBH3CN gave dipeptide derivative II.

IT 102044-77-9P

RL: RCT (Reactant); SPN (Synthetic preparation); PREP (Preparation); RACT (Reactant or reagent)

(preparation and reductive alkylation of, by Et oxophenylbutyrate)

RN 102044-77-9 HCAPLUS

CN 3-Azabicyclo[3.1.0]hexane-2-carboxylic acid, 3-(2-amino-1-oxopropyl)-, [1R-[1 $\alpha$ ,2 $\beta$ ,3(S\*),5 $\alpha$ ]]-, mono(trifluoroacetate) (9CI) (CA INDEX NAME)

CM 1

CRN 101952-31-2 CMF C9 H14 N2 O3

Absolute stereochemistry.

CM 2

CRN 76-05-1 CMF C2 H F3 O2

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IT 101952-28-7P 101952-30-1P 102044-73-5P 102044-74-6P 102044-75-7P 102044-76-8P 102045-14-7P RL: SPN (Synthetic preparation); PREP (Preparation) (preparation of, as angiotensin converting enzyme inhibitor) RN 101952-28-7 HCAPLUS CN 3-Azabicyclo[3.1.0]hexane-2-carboxylic acid, <math>3-[2-[(1-carboxy-3-phenylpropyl)amino]-1-oxopropyl]-, [1R-[1<math>\alpha, 2\beta, 3[S*(S*)], 5\alpha]]- (9CI) (CA INDEX NAME)
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Absolute stereochemistry.

RN 101952-30-1 HCAPLUS 3-Azabicyclo[3.1.0]hexane-2-carboxylic acid, 3-[6-amino-2-[(1-carboxy-3-phenylpropyl)amino]-1-oxohexyl]-, [1R-[1
$$\alpha$$
,2 $\beta$ ,3[S\*(R\*)],5 $\alpha$ ]]- (9CI) (CA INDEX NAME)

Absolute stereochemistry.

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RN 102044-73-5 HCAPLUS CN 3-Azabicyclo[3.1.0]hexane-2-carboxylic acid, 3-[2-[(1-carboxy-3-phenylpropyl)amino]-1-oxopropyl]-, [1R-[1\alpha,2\beta,3[S*(R*)],5\alpha]]- (9CI) (CA INDEX NAME)
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Absolute stereochemistry.

RN 102044-74-6 HCAPLUS CN 3-Azabicyclo[3.1.0]hexane-2-carboxylic acid, 3-[2-[[1-(ethoxycarbonyl)-3-phenylpropyl]amino]-1-oxopropyl]-, [1R-[1 $\alpha$ , 2 $\beta$ , 3[S\*(S\*)], 5 $\alpha$ ]]- (9CI) (CA INDEX NAME)

Absolute stereochemistry.

RN 102044-75-7 HCAPLUS CN 3-Azabicyclo[3.1.0]hexane-2-carboxylic acid, 3-[2-[[1-(ethoxycarbonyl)-3-phenylpropyl]amino]-1-oxopropyl]-, [1R-[1 $\alpha$ ,2 $\beta$ ,3[S\*(R\*)],5 $\alpha$ ]]- (9CI) (CA INDEX NAME)

Absolute stereochemistry.

RN 102044-76-8 HCAPLUS
CN 3-Azabicyclo[3.1.0]hexane-2-carboxylic acid,
3-[2-[(1-carboxy-3-phenylpropyl)amino]-1-oxopropyl]- (CA INDEX NAME)

RN 102045-14-7 HCAPLUS CN 3-Azabicyclo[3.1.0]hexane-2-carboxylic acid, 3-[6-amino-2-[(1-carboxy-3-phenylpropyl)amino]-1-oxohexyl]-, [1R-[1 $\alpha$ ,2 $\beta$ ,3[S\*(S\*)],5 $\alpha$ ]]- (9CI) (CA INDEX NAME)

Absolute stereochemistry.

IT 101952-34-5 RL: RCT (Reactant); RACT (Reactant or reagent) (reductive alkylation of, by glyoxylic acid derivative) RN 101952-34-5 HCAPLUS CN 3-Azabicyclo[3.1.0]hexane-2-carboxylic acid, 3-[2-amino-6-(1,3-dihydro-1,3-dioxo-2H-isoindol-2-yl)-1-oxohexyl]-, [1R-[1 $\alpha$ ,2 $\beta$ ,3(S\*),5 $\alpha$ ]]-, mono(trifluoroacetate) (9CI) (CA INDEX NAME) CM 1 CRN 101952-33-4 CMF C20 H23 N3 O5

CM 2

CRN 76-05-1 CMF C2 H F3 O2

IT 101952-31-2 RL: RCT (Reactant); RACT (Reactant or reagent) (reductive amination by, of phenyloxobutyric acid) RN 101952-31-2 HCAPLUS CN 3-Azabicyclo[3.1.0]hexane-2-carboxylic acid, 3-(2-amino-1-oxopropyl)-, [1R-[1 $\alpha$ ,2 $\beta$ ,3(S\*),5 $\alpha$ ]]- (9CI) (CA INDEX NAME)

Absolute stereochemistry.

L49 ANSWER 84 OF 87 HCAPLUS COPYRIGHT 2012 ACS on STN ACCESSION NUMBER: 1986:39761 HCAPLUS <u>Full-text</u>

104:39761 DOCUMENT NUMBER:

ORIGINAL REFERENCE NO.: 104:6423a,6426a

TITLE: Treatment of coronary insufficiency

Henning, Rainer; Urbach, Hansjoerg; Teetz, Volker; INVENTOR(S):

Geiger, Rolf; Schoelkens, Bernward

Hoechst A.-G., Fed. Rep. Ger. PATENT ASSIGNEE(S):

SOURCE: Ger. Offen., 27 pp.

CODEN: GWXXBX

DOCUMENT TYPE: Patent LANGUAGE: German

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

PATENT NO.	KINI	DATE	APPLICATION NO.	
				19840412 <
				19850403 <
EP 158927	A3	19890322		
EP 158927	В1	19931208		
R: AT, BE, CH,	DE,		LI, LU, NL, SE	
EP 551927	A1	19930721	EP 1993-102949	19850403 <
EP 551927	В1	19980923		
R: AT, BE, CH,				
AT 98128	${f T}$	19931215	AT 1985-104028	19850403 <
AT 171376	${f T}$	19981015	AT 1985-104028 AT 1993-102949	19850403 <
CA 1246457	A1	19881213	CA 1985-478724	19850410 <
		19851017	AU 1985-41048	19850411 <
AU 585502		19890622		
JP 60231696	А	19851118	JP 1985-75489	19850411 <
JP 07045410	В	19950517		
ZA 8502685	Α		ZA 1985-2685	
US 5403856	Α			
US 5744496	А			
US 5684016	А	19971104	US 1995-445543	19950522 <
US 5747504				
нк 1012008	A1	20000811		
PRIORITY APPLN. INFO.:				A 19840412 <
			EP 1985-104028	A 19850403
<				
				B1 19850410 <
				B1 19890222 <
				B1 19910103 <
				B1 19920727 <
				A3 19940131 <
				A3 19941220 <
				A1 19950522 <
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ASSIGNMENT HISTORY FOR US PATENT AVAILABLE IN LSUS DISPLAY FORMAT

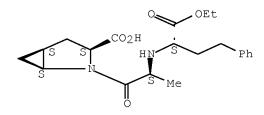
OTHER SOURCE(S): MARPAT 104:39761

Entered STN: 08 Feb 1986 ED

GΙ

AΒ The angiotensin-converting enzyme inhibitors R(CH2) nCH(CO2R2) NHCHR1CONR5CHR4CO2R3 [R = H, (un) substituted alkyl, aryl, etc.; R1 = alkyl, cycloalkyl, heterocyclic radical; R2, R3 = H, alkyl, aryl, etc.; R4CHNR2 = heterocyclic radical; n = 1, 2] are drugs for the treatment of cardiac insufficiency. Thus, tablets are formulated, containing 1-N-(1-S-carbethoxy-3-phenylpropyl)-S-alanyl-1S,3S,5S-2azabicyclo[3.3.0]octane-3-carboxylic acid. ΙT 99781-97-2 RL: BIOL (Biological study) (pharmaceutical, for treatment of cardiac insufficiency) 99781-97-2 HCAPLUS RNCN 2-Azabicyclo[3.1.0]hexane-3-carboxylic acid, 2-[2-[[1-(ethoxycarbonyl)-3-phenylpropyl]amino]-1-oxopropyl]-,  $[1S-[1\alpha, 2[R*(R*)], 3\beta, 5\alpha]]-(9CI)$  (CA INDEX NAME)

Absolute stereochemistry.



OS.CITING REF COUNT: 2 THERE ARE 2 CAPLUS RECORDS THAT CITE THIS RECORD (3 CITINGS)

L49 ANSWER 85 OF 87 HCAPLUS COPYRIGHT 2012 ACS on STN ACCESSION NUMBER: 1985:560858 HCAPLUS <u>Full-text</u>

DOCUMENT NUMBER: 103:160858

ORIGINAL REFERENCE NO.: 103:25849a,25852a

TITLE: N-Alkylated dipeptides and their esters

INVENTOR(S): Urbach, Hansjoerg; Henning, Rainer; Wissmann, Hans;

Teetz, Volker

PATENT ASSIGNEE(S): Hoechst A.-G., Fed. Rep. Ger.

SOURCE: Eur. Pat. Appl., 32 pp.

CODEN: EPXXDW

DOCUMENT TYPE: Patent LANGUAGE: German

FAMILY ACC. NUM. COUNT: 1

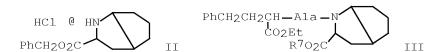
PATENT INFORMATION:

PATENT NO.	KIND	DATE	APPLICATION NO.		DATE
EP 135181	A2	19850327	EP 1984-110677		19840907 <
EP 135181	A3	19860402			
EP 135181	В1	19900131			
R: AT, BE, CH	, DE, F	R, GB, IT,	LI, LU, NL, SE		
DE 3333455	A1	19850411	DE 1983-3333455		19830916 <
AT 49979	T	19900215	AT 1984-110677		19840907 <
ни 36140	A2	19850828	HU 1984-3417		19840910 <
HU 198303	В	19890928			
FI 8403591	A	19850317	FI 1984-3591		19840913 <
FI 80275	В	19900131			
FI 80275	С	19900510			
CA 1338162	С	19960312	CA 1984-463071		19840913 <
DK 8404404	A	19850317	DK 1984-4404		19840914 <
DK 166027	В	19930301			
DK 166027	С	19930712			
NO 8403663	A	19850318	NO 1984-3663		19840914 <
NO 167808	В	19910902			
NO 167808	С	19911218			
AU 8433071	A	19850321	AU 1984-33071		19840914 <
AU 575585	В2	19880804			
JP 60089498	A	19850520	JP 1984-191869		19840914 <
JP 07098836	В	19951025			
ZA 8407259	A	19850529	ZA 1984-7259		19840914 <
ES 535918	A1	19851001	ES 1984-535918		19840914 <
IL 72946	A	19900429	IL 1984-72946		19840914 <
US 5055591	A	19911008	US 1988-173024		19880323 <
ORITY APPLN. INFO.:			DE 1983-3333455	A	19830916 <
			EP 1984-110677	A	19840907
-					
			US 1984-650714	В1	19840914 <
			US 1986-943881	В1	19861219 <
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ASSIGNMENT HISTORY FOR US PATENT AVAILABLE IN LSUS DISPLAY FORMAT

ED Entered STN: 16 Nov 1985

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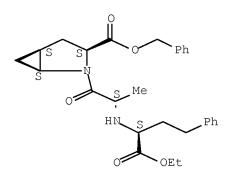
AΒ Title compds. R302CCHR4NR5COCHR1NHCH(CO2R2)(CH2)nR [I; n = 1, 2; R = H, (un) substituted C1-8 aliphatic, C3-9 alicyclic, C6-12 aromatic, C7-14 araliph., or C7-14 alicyclic aliphatic residue, OR6, SR6 [R6 = (un) substituted C1-4 aliphatic, C6-12 aromatic, or heteroarom. residue]; R1 = H, (un) substituted C3-9 alicyclic, C4-13 alicyclic aliphatic, C6-12 aromatic, C7-16 araliph., or heteroarom. residue, amino acid side chain; R2, R3 = H, (un) substituted C1-6 aliphatic, C3-9 alicyclic, C6-12 aromatic, or C7-16 araliph. residue; CHR4NR5 = C5-15 heterocyclic mono-, bi-, or tricyclic ring system] were prepared via the condensation of HO2CCHR1NHCH(CO2R2)(CH2)nR with R3O2CCHR4NHR5 in the presence of an alkanephosphoric acid anhydride. Thus, (S,S,S)-azabicyclo[3.3.0]octane II was condensed with (S)-PhCH2CH2CH(CO2Et)-(S)-Ala-OH by n-propanephosphonic acid anhydride in CH2Cl2 in the presence of N-ethylmorpholine to give peptide derivative III (R7 = CH2Ph), which was debenzylated to give III (R7 = H) (all-S isomer). I inhibit angiotensin-converting enzyme and can be used as antihypertensives (no data).

IT 97250-98-1P

RN 97250-98-1 HCAPLUS

CN 2-Azabicyclo[3.1.0]hexane-3-carboxylic acid, 2-[2-[[1-(ethoxycarbonyl)-3-phenylpropyl]amino]-1-oxopropyl]-, phenylmethyl ester, [1S-[1 $\alpha$ ,2[R\*(R\*)],3 $\beta$ ,5 $\alpha$ ]]- (9CI) (CA INDEX NAME)

Absolute stereochemistry.



OS.CITING REF COUNT: 2 THERE ARE 2 CAPLUS RECORDS THAT CITE THIS RECORD (2 CITINGS)

L49 ANSWER 86 OF 87 HCAPLUS COPYRIGHT 2012 ACS on STN ACCESSION NUMBER: 1985:454461 HCAPLUS Full-text

DOCUMENT NUMBER: 103:54461

ORIGINAL REFERENCE NO.: 103:8792h,8793a

TITLE: 2-Azabicyclo[3.1.0]hexane-3-carboxylic acid derivatives, intermediates, and their use

INVENTOR(S): Urbach, Hansjoerg; Henning, Rainer; Becker, Reinhard

PATENT ASSIGNEE(S): Hoechst A.-G., Fed. Rep. Ger.

SOURCE: Ger. Offen., 30 pp.

CODEN: GWXXBX

DOCUMENT TYPE: Patent LANGUAGE: German

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

PATENT NO.		DATE	APPLICATION NO.		DATE
DE 3324263		19850117	DE 1983-3324263		19830706 <
EP 131226	A2	19850116	EP 1984-107607		19840630 <
EP 131226	А3	19870826			
EP 131226	В1	19900530			
R: AT, BE, CH,	DE, I	FR, GB, IT,	LI, LU, NL, SE		
AT 53203	${f T}$	19900615	AT 1984-107607		19840630 <
ни 37803	A2	19860228	HU 1984-2563		19840702 <
HU 209413	В	19940530			
ни 39160	A2	19860828	HU 1985-4538		19840702 <
HU 194827	В	19880328			
US 4591598	A	19860527	US 1984-627639		19840703 <
FI 8402691	A	19850107	FI 1984-2691		19840704 <
ES 534001	A1	19850416	ES 1984-534001		19840704 <
DK 8403302	A	19850107	DK 1984-3302		19840705 <
AU 8430298	Α	19850110	AU 1984-30298		19840705 <
AU 573227	B2	19880602			
ZA 8405160	A	19850227			19840705 <
JP 60051199	Α	19850322	JP 1984-138111		19840705 <
JP 07010879	В	19950208			
CA 1263000	A1	19891114			19840705 <
ES 535452	A1	19850516			19840828 <
ES 535453	A1	19850516			19840828 <
	A2	19900417			19881104 <
PRIORITY APPLN. INFO.:			DE 1983-3324263		19830706 <
			EP 1984-107607	А	19840630
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			CA 1984-458205	A3	19840705 <

ASSIGNMENT HISTORY FOR US PATENT AVAILABLE IN LSUS DISPLAY FORMAT

OTHER SOURCE(S): MARPAT 103:54461

ED Entered STN: 24 Aug 1985

GΙ

AΒ Title derivs. I [R = H, C1-6 alkyl, C2-6 alkenyl, (C6-12 aryl)-C1-4 alkyl; R1 = H, (un)substituted C1-6 alkyl, C2-6 alkenyl, C5-9 cycloalkyl, C5-9 cycloalkenyl, etc.; R2 = H, C1-6 alkyl, C2-6 alkenyl, (C6-12 aryl)-C1-4alkyl; R3 = H, OH, R4 = H; R3R4 = O; R5 = C1-6 alkyl, C2-6 alkenyl, C2-6 alkenyl, C5-9 cycloalkyl, (un) substituted C6-12 aryl; n = 0, 1] were prepared as antihypertensives (no data) due to their ability to inhibit angiotensin-converting enzyme. Thus, cis-bicyclo[3.1.0]hexan-2-one was treated with H2NOSO3H and then subjected to the Beckman rearrangement to give cis-azabicyclo[4.1.0] heptane cis-II (R6 = R7 = H), which was chlorinated with PC15 to give cis-II (R6 = R7 = C1), which was dechlorinated by hydrogenation over Raney Ni to give cis-II (R6 = C1, R7 = H). The latter was hydrolyzed in the presence of Ba(OH)2 to give cis-azabicyclo[3.1.0]hexane-3-carboxylate cis-III, which was separated into its exo and endo isomers. The latter were esterified with PhCH2OH via SOC12 to give the corresponding benzyl esters, which were condensed with (S)-PhCH2CH2CH(CO2Et)-L-Ala-OH by DCC/1-hydroxybenzotriazole to give the exo and endo isomers of title compound cis-IV (R8 = Et, R9 = CH2Ph), which were separated into the 3S-endo, 3R-endo, 3S-exo, and 3R-exo isomers. The latter were debenzylated by hydrogenolysis over Pd/C and then treated with HC1/EtOH to give the corresponding cis-IV.HC1 (R8 = Et, R9 = H). 3S-endo-cis-IV.HCl (R8 = Et, R9 = H) was saponified to give 3S-endo-cis-IV (R8 = R9 = H); 3S-exo-cis-IV (R8 = R9 = H) was also prepared ΙT 97250-98-1P 97277-17-3P 97277-18-4P 97277-19-5P RL: RCT (Reactant); SPN (Synthetic preparation); PREP (Preparation); RACT (Reactant or reagent) (preparation and hydrogenolysis of) RN 97250-98-1 HCAPLUS 2-Azabicyclo[3.1.0]hexane-3-carboxylic acid, CN 2-[2-[[1-(ethoxycarbonyl)-3-phenylpropyl]amino]-1-oxopropyl]-, phenylmethyl ester,  $[1S-[1\alpha,2[R*(R*)],3\beta,5\alpha]]-(9CI)$ INDEX NAME)

Absolute stereochemistry.

RN 97277-17-3 HCAPLUS CN 2-Azabicyclo[3.1.0]hexane-3-carboxylic acid, 2-[2-[[1-(ethoxycarbonyl)-3-phenylpropyl]amino]-1-oxopropyl]-, phenylmethyl ester,  $[1R-[1\alpha,2[S^*(S^*)],3\beta,5\alpha]]$ - (9CI) (CA

INDEX NAME)

Absolute stereochemistry.

RN 97277-18-4 HCAPLUS CN 2-Azabicyclo[3.1.0]hexane-3-carboxylic acid, 2-[2-[[1-(ethoxycarbonyl)-3-phenylpropyl]amino]-1-oxopropyl]-, phenylmethyl ester, [1R-[1 $\alpha$ ,2[S\*(S\*)],3 $\alpha$ ,5 $\alpha$ ]]- (9CI) (CA INDEX NAME)

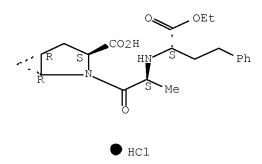
Absolute stereochemistry.

RN 97277-19-5 HCAPLUS CN 2-Azabicyclo[3.1.0]hexane-3-carboxylic acid, 2-[2-[[1-(ethoxycarbonyl)-3-phenylpropyl]amino]-1-oxopropyl]-, phenylmethyl ester, [1S-[1 $\alpha$ ,2[R\*(R\*)],3 $\alpha$ ,5 $\alpha$ ]]- (9CI) (CA INDEX NAME)

IT 97250-99-2P 97277-21-9P RL: RCT (Reactant); SPN (Synthetic preparation); PREP (Preparation); RACT (Reactant or reagent) (preparation and saponification of) RN 97250-99-2 HCAPLUS CN 2-Azabicyclo[3.1.0]hexane-3-carboxylic acid, 2-[2-[[1-(ethoxycarbonyl)-3-phenylpropyl]amino]-1-oxopropyl]-, monohydrochloride, [1S-[1 $\alpha$ ,2[R\*(R\*)],3 $\beta$ ,5 $\alpha$ ]]- (9CI) (CA INDEX NAME)

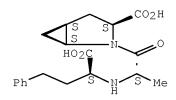
Absolute stereochemistry.

RN 97277-21-9 HCAPLUS CN 2-Azabicyclo[3.1.0]hexane-3-carboxylic acid, 2-[2-[[1-(ethoxycarbonyl)-3-phenylpropyl]amino]-1-oxopropyl]-, monohydrochloride, [1R-[1 $\alpha$ ,2[S\*(S\*)],3 $\alpha$ ,5 $\alpha$ ]]- (9CI) (CA INDEX NAME)

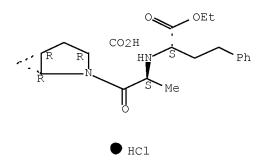


IT 97251-00-8P 97277-20-8P 97277-22-0P 97334-49-1P RL: SPN (Synthetic preparation); PREP (Preparation) (preparation of) RN 97251-00-8 HCAPLUS CN 2-Azabicyclo[3.1.0]hexane-3-carboxylic acid,  $2-[2-[(1-carboxy-3-phenylpropyl)amino]-1-oxopropyl]-, [1S-[1<math>\alpha$ ,2[R\*(R\*)],3 $\beta$ ,5 $\alpha$ ]]- (9CI) (CA INDEX NAME)

Absolute stereochemistry.



RN 97277-20-8 HCAPLUS CN 2-Azabicyclo[3.1.0]hexane-3-carboxylic acid, 2-[2-[[1-(ethoxycarbonyl)-3-phenylpropyl]amino]-1-oxopropyl]-, monohydrochloride, [1R-[1 $\alpha$ ,2[S\*(S\*)],3 $\beta$ ,5 $\alpha$ ]]- (9CI) (CA INDEX NAME)

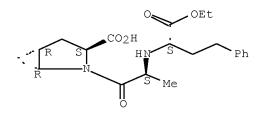


RN 97277-22-0 HCAPLUS CN 2-Azabicyclo[3.1.0]hexane-3-carboxylic acid, 2-[2-[[1-(ethoxycarbonyl)-3-phenylpropyl]amino]-1-oxopropyl]-, monohydrochloride, [1S-[1 $\alpha$ ,2[R\*(R\*)],3 $\alpha$ ,5 $\alpha$ ]]- (9CI) (CA INDEX NAME)

Absolute stereochemistry.

RN 97334-49-1 HCAPLUS CN 2-Azabicyclo[3.1.0]hexane-3-carboxylic acid, 2-[2-[[1-(ethoxycarbonyl)-3-phenylpropyl]amino]-1-oxopropyl]-, [1R-[1 $\alpha$ ,2[S\*(S\*)],3 $\alpha$ ,5 $\alpha$ ]]- (9CI) (CA INDEX NAME)

Absolute stereochemistry.



# 13/308,658

OS.CITING REF COUNT: 7 THERE ARE 7 CAPLUS RECORDS THAT CITE THIS RECORD (7 CITINGS)

L49 ANSWER 87 OF 87 HCAPLUS COPYRIGHT 2012 ACS on STN ACCESSION NUMBER: 1978:529383 HCAPLUS <u>Full-text</u>

DOCUMENT NUMBER: 89:129383

ORIGINAL REFERENCE NO.: 89:20017a,20020a
TITLE: Acylazabicyclohexanes

INVENTOR(S): Fanshawe, William Joseph; Epstein, Joseph William;

Crawley, Lantz Stephen; Hofmann, Corris Mabelle;

Safir, Sidney Robert

PATENT ASSIGNEE(S): American Cyanamid Co., USA

SOURCE: U.S., 5 pp.
CODEN: USXXAM

DOCUMENT TYPE: Patent LANGUAGE: English

FAMILY ACC. NUM. COUNT: 4

PATENT INFORMATION:

PATENT NO.	KIND	DATE	APPLICATION NO.	DATE
US 4088652	A	19780509	US 1976-749578	19761210 <
GB 1590901	A	19810610	GB 1977-33818	19770811 <
PRIORITY APPLN. INFO.:			US 1975-600559	A1 19750731 <
OTHER SOURCE(S):	CASRE	ACT 89:12938	3; MARPAT 89:129383	

ED Entered STN: 12 May 1984

GΙ

$$R^{1}$$
 $R^{1}$ 
 $R^{2}$ 
 $R^{3}$ 
 $R^{4}$ 

- AB The acylazabicyclohexanes I (R, R1 = H, C1-6 alkyl; R2 = H, C1-6 alkyl, C3-6 cycloalkyl, Ph, halophenyl, furyl, adamantyl, naphthyl, norbornyl; R3, R5 = H, halo, C1-6 alkoxy; R4 = H, halo, C1-6 alkyl, C1-6 alkoxy, F3C, NO2, NH2, AcNH, HO) were prepared Thus, 1-phenyl-1,2-cyclopropanedicarboximide was reduced with Na(MeOCH2CH2O)2AlH2 to give
  - 1-phenyl-3-azabicyclo[3.1.1]hexane, which was acylated with cyclopropanecarbonyl chloride to give I (R, R1, R3, R4, R5 = H, R2 = cyclopropyl).
- IT 67644-24-0P

RL: SPN (Synthetic preparation); PREP (Preparation)

(preparation of) RN 67644-24-0 HCAPLUS

CN 3-Azabicyclo[3.1.0]hexane-3-propanamide,  $\beta$ -oxo-N,1-diphenyl- (CA

INDEX NAME)

$$\stackrel{\text{Ph}}{\longrightarrow} \stackrel{\stackrel{\circ}{\longrightarrow}} \stackrel{\text{C- CH}_2-\stackrel{\circ}{\longleftarrow}} \stackrel{\text{NHPh}}{\longrightarrow}$$

OS.CITING REF COUNT: 4 THERE ARE 4 CAPLUS RECORDS THAT CITE THIS RECORD (5 CITINGS)

## 13/308,658

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L14
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L19
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L20
               STR
L22
          8057 SEA FILE=REGISTRY SUB=L14 SSS FUL L20
L23
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L25
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L26
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L27
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L28
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               OR L26 OR L27 OR L28 OR L29 OR L30)
L46
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L47
            15 SEA FILE=HCAPLUS SPE=ON ABB=ON PLU=ON (L45 OR L46)
=> d his 156
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(FILE 'MEDLINE, BIOSIS, EMBASE, CABA, BIOTECHNO, DRUGU, VETU, TOXCENTER, NAPRALERT' ENTERED AT 09:09:45 ON 01 MAY 2012) CHARGED TO COST=TC1600

L56 10 S L55 AND L24-L30

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=> d que nos 156
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L23
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L24
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L25
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L42
         1421 SEA FILE=REGISTRY SPE=ON ABB=ON PLU=ON L23 NOT L41
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# 13/308,658

L54	961	SEA F	ILE=	REGISTR	Y S	PE=ON	A	BB=C	N	PLU=	=ON	L42	AND	(M)	EDI	JINE	OR
		BIOSI	S OR	EMBASE	OR	CABA	. OR	BIC	TEC	CHNO	OR	DRUG	U OR	VE'	ΓU	OR	
		TOXCE	NTER	OR NAP	RAL	ERT)/	LC										
L55	859	SEA L	54														
L56	10	SEA L	55 A	ND (L24	OR	L25	OR	L26	OR	L27	OR	L28	OR I	29 (	ЭR	L30)	)

=> dup rem 147 156

FILE 'HCAPLUS' ENTERED AT 09:18:09 ON 01 MAY 2012

USE IS SUBJECT TO THE TERMS OF YOUR STN CUSTOMER AGREEMENT.

PLEASE SEE "HELP USAGETERMS" FOR DETAILS.

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FILE 'TOXCENTER' ENTERED AT 09:18:09 ON 01 MAY 2012
COPYRIGHT (C) 2012 AMERICAN CHEMICAL SOCIETY (ACS)
CHARGED TO COST=TC1600
PROCESSING COMPLETED FOR L47
PROCESSING COMPLETED FOR L56
L57

16 DUP REM L47 L56 (9 DUPLICATES REMOVED)
ANSWERS '1-15' FROM FILE HCAPLUS

=> file stnguide

FILE 'STNGUIDE' ENTERED AT 09:18:22 ON 01 MAY 2012 USE IS SUBJECT TO THE TERMS OF YOUR CUSTOMER AGREEMENT COPYRIGHT (C) 2012 AMERICAN CHEMICAL SOCIETY (ACS) CHARGED TO COST=TC1600

ANSWER '16' FROM FILE EMBASE

FILE CONTAINS CURRENT INFORMATION.
LAST RELOADED: Apr 27, 2012 (20120427/UP).

=> d ibib ed abs hitstr 1-15
YOU HAVE REQUESTED DATA FROM FILE 'HCAPLUS, EMBASE' - CONTINUE? (Y)/N:y

L57 ANSWER 1 OF 16 HCAPLUS COPYRIGHT 2012 ACS on STN DUPLICATE 1

ACCESSION NUMBER: 2009:672585 HCAPLUS <u>Full-text</u>

DOCUMENT NUMBER: 151:115551

TITLE: Pharmacokinetics of the dipeptidyl peptidase 4

inhibitor saxagliptin in rats, dogs, and monkeys and

clinical projections

AUTHOR(S): Fura, Aberra; Khanna, Ashish; Vyas, Viral; Koplowitz,

Barry; Chang, Shu-Ying; Caporuscio, Christian; Boulton, David W.; Christopher, Lisa J.; Chadwick, Kristina D.; Ramann, Lawrence G.; Humphreys, W.

Griffith; Kirby, Mark

CORPORATE SOURCE: Pharmaceutical Candidate Optimization, Research and

Development, Bristol-Myers Squibb, Princeton, NJ, USA

SOURCE: Drug Metabolism and Disposition (2009), 37(6),

1164-1171

CODEN: DMDSAI; ISSN: 0090-9556

PUBLISHER: American Society for Pharmacology and Experimental

Therapeutics

DOCUMENT TYPE: Journal LANGUAGE: English ED Entered STN: 04 Jun 2009

Saxagliptin is a potent, selective, reversible dipeptidyl peptidase 4 (DPP4) AΒ inhibitor specifically designed for extended inhibition of the DPP4 enzyme and is currently under development for the treatment of type-2 diabetes. The pharmacokinetics of saxagliptin were evaluated in rats, dogs, and monkeys and used to predict its human pharmacokinetics. Saxagliptin was rapidly absorbed and had good bioavailability (50-75%) in the species tested. The plasma clearance of saxagliptin was higher in rats (115 mL/min/kg) than in dogs (9.3 mL/min/kg) and monkeys (14.5 mL/min/kg) and was predicted to be low to moderate in humans. The plasma elimination half-life was between 2.1 and 4.4 h in rats, dogs, and monkeys, and both metabolism and renal excretion contributed to the overall elimination. The primary metabolic clearance pathway involved the formation of a significant circulating, pharmacol. active hydroxylated metabolite, M2. The volume of distribution values observed in rats, dogs, and monkeys (1.3-5.2 l/kg) and predicted for humans (2.7 l/kg) were greater than those for total body water, indicating extravascular distribution. The in vitro serum protein binding was low (≤30%) in rats, dogs, monkeys, and humans. After intra-arterial administration of saxagliptin to Sprague-Dawley and Zucker diabetic fatty rats, higher levels of saxagliptin and M2 were observed in the intestine (a proposed major site of drug action) relative to that in plasma. Saxagliptin has prolonged pharmacodynamic properties relative to its plasma pharmacokinetic profile, presumably due to addnl. contributions from M2, distribution of saxagliptin and M2 to the intestinal tissue, and prolonged dissociation of both saxagliptin and M2 from DPP4.

IT 841302-24-7

RL: PKT (Pharmacokinetics); BIOL (Biological study)

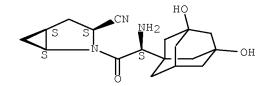
### 13/308,658

(pharmacokinetics of dipeptidyl peptidase 4 inhibitor saxagliptin in rats, dogs, and monkeys and clin. projections)

RN 841302-24-7 HCAPLUS

CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-amino-2-(3,5-dihydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.



IT 361442-04-8, Saxagliptin

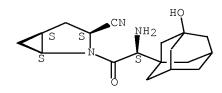
RL: PKT (Pharmacokinetics); THU (Therapeutic use); BIOL (Biological study); USES (Uses)

(pharmacokinetics of dipeptidyl peptidase 4 inhibitor saxagliptin in rats, dogs, and monkeys and clin. projections)

RN 361442-04-8 HCAPLUS

CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-amino-2-(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.



OS.CITING REF COUNT: 20 THERE ARE 20 CAPLUS RECORDS THAT CITE THIS

RECORD (20 CITINGS)

REFERENCE COUNT: 16 THERE ARE 16 CITED REFERENCES AVAILABLE FOR THIS

RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L57 ANSWER 2 OF 16 HCAPLUS COPYRIGHT 2012 ACS on STN DUPLICATE 2

ACCESSION NUMBER: 2008:187464 HCAPLUS <u>Full-text</u>

DOCUMENT NUMBER: 148:443309

TITLE: Involvement of DPP-IV catalytic residues in

enzyme-saxagliptin complex formation

AUTHOR(S): Metzler, William J.; Yanchunas, Joseph; Weigelt,

Carolyn; Kish, Kevin; Klei, Herbert E.; Xie, Dianlin;

### 13/308,658

Zhang, Yaqun; Corbett, Martin; Tamura, James K.; He,

Bin; Hamann, Lawrence G.; Kirby, Mark S.;

Marcinkeviciene, Jovita

CORPORATE SOURCE: Department of Molecular Biosciences, Bristol-Myers

Squibb Research and Development, Princeton, NJ,

08543-4000, USA

SOURCE: Protein Science (2008), 17(2), 240-250

CODEN: PRCIEI; ISSN: 0961-8368

PUBLISHER: Cold Spring Harbor Laboratory Press

DOCUMENT TYPE: Journal LANGUAGE: English ED Entered STN: 14 Feb 2008

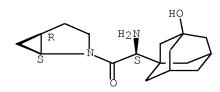
AB The inhibition of DPP-IV by saxagliptin has been proposed to occur through formation of a covalent but reversible complex. To evaluate further the mechanism of inhibition, we determined the x-ray crystal structure of the DPP-IV:saxagliptin complex. This structure reveals covalent attachment between S630 and the inhibitor nitrile carbon (C-O distance  $<1.3 \ \text{\AA}$ ). To investigate whether this serine addition is assisted by the catalytic His-Asp dyad, we generated two mutants of DPP-IV, S630A and H740Q, and assayed them for ability to bind inhibitor. DPP-IVH740Q bound saxagliptin with an .apprx.1000-fold reduction in affinity relative to DPP-IVWT, while DPP-IVS630A showed no evidence for binding inhibitor. An analog of saxagliptin lacking the nitrile group showed unchanged binding properties to the both mutant proteins, highlighting the essential role 8630 and H740play in covalent bond formation between S630 and saxagliptin. Further supporting mechanism-based inhibition by saxagliptin, NMR spectra of enzyme-saxagliptin complexes revealed the presence of three downfield resonances with low fractionation factors characteristic of short and strong hydrogen bonds (SSHB). Comparison of the NMR spectra of various wild-type and mutant DPP-IV: ligand complexes enabled assignment of a resonance at .apprx.14 ppm to H740. Two addnl. DPP-IV mutants, Y547F and Y547Q, generated to probe potential stabilization of the enzyme-inhibitor complex by this residue, did not show any differences in inhibitor binding either by ITC or NMR. Together with the previously published enzymic data, the structural and binding data presented here strongly support a histidine-assisted covalent bond formation between S630 hydroxyl oxygen and the nitrile group of saxagliptin.

IT 841302-20-3, BMS 538305

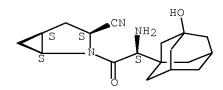
RL: BSU (Biological study, unclassified); BIOL (Biological study) (BMS 538305; involvement of dipeptidyl peptidase-IV catalytic residues in enzyme-saxagliptin complex formation)

RN 841302-20-3 HCAPLUS

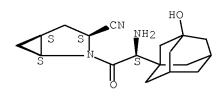
CN Ethanone, 2-amino-1-(1s,5R)-2-azabicyclo[3.1.0]hex-2-yl-2-(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)-, (2s)- (CA INDEX NAME)



Absolute stereochemistry.



Absolute stereochemistry.



OS.CITING REF COUNT: 19 THERE ARE 19 CAPLUS RECORDS THAT CITE THIS

RECORD (19 CITINGS)

REFERENCE COUNT: 29 THERE ARE 29 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L57 ANSWER 3 OF 16 HCAPLUS COPYRIGHT 2012 ACS on STN DUPLICATE 3 ACCESSION NUMBER: 2007:789960 HCAPLUS Full-text

DOCUMENT NUMBER: 147:189414

TITLE: Preparation of human glucagon-like peptide-1 receptor

modulators and their use in the treatment of diabetes

and related conditions

INVENTOR(S): Haque, Tasir Shamsul; Ewing, William R.; Mapelli,

Claudio; Lee, Ving G.; Sulsky, Richard B.;

Riexinger, Douglas James; Martinez, Rogelio L.; Zhu,

Yeheng; Ruan, Zheming

PATENT ASSIGNEE(S): Bristol-Myers Squibb Company, USA

SOURCE: PCT Int. Appl., 193pp.

CODEN: PIXXD2

DOCUMENT TYPE: Patent LANGUAGE: English

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

PATENT NO.					KIN:		DATE			APPL	ICAT	ION 1	DATE					
W(	200	 70822	32264 A2 20070719							 WO 2	007-		20070111					
M	200	70822	64		A3		20071221											
	w:	ΑE,	AG,	AL,	AM,	ΑT,	ΑU,	AΖ,	BA,	BB,	BG,	BR,	BW,	BY,	BZ,	CA,	CH,	
		CN,	CO,	CR,	CU,	CZ,	DE,	DK,	DM,	DZ,	EC,	EE,	EG,	ES,	FI,	GB,	GD,	
		GE,	GH,	GM,	GΤ,	HN,	HR,	HU,	ID,	IL,	IN,	IS,	JP,	ΚE,	KG,	KM,	KN,	
		KΡ,	KR,	KΖ,	LA,	LC,	LK,	LR,	LS,	LT,	LU,	LV,	LY,	MA,	MD,	MG,	MK,	
		MN,	MW,	MX,	MΥ,	MΖ,	NA,	NG,	NΙ,	NO,	NΖ,	OM,	PG,	PH,	PL,	PT,	RO,	
		RS,	RU,	SC,	SD,	SE,	SG,	SK,	SL,	SM,	SV,	SY,	ТJ,	TM,	TN,	TR,	TT,	
		TZ,	UA,	UG,	US,	UΖ,	VC,	VN,	ZA,	ZM,	ZW							
	RW	: AT,	BE,	BG,	CH,	CY,	CZ,	DE,	DK,	EE,	ES,	FI,	FR,	GB,	GR,	HU,	IE,	
		IS,	ΙT,	LT,	LU,	LV,	MC,	NL,	PL,	PT,	RO,	SE,	SI,	SK,	TR,	BF,	ВJ,	
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		KG,	KΖ,	MD,	RU,	ТJ,	TM,	ΑP,	EA,	EP,	ΟA							
US	5 200	70238	669		A1		2007	1011		US 2	007-	6221	42		2	0070	111	
El	2 197	6873			A2		2008	1008	EP 2007-717953					20070111				
	R:	ΑT,	BE,	BG,	CH,	CY,	CZ,	DE,	DK,	EE,	ES,	FI,	FR,	GB,	GR,	HU,	IE,	
		IS,	ΙT,	LI,	LT,	LU,	LV,	MC,	NL,	PL,	PT,	RO,	SE,	SI,	SK,	TR,	HR	
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Cl	101	40069	9		Α		2009	0401		CN 2	007-	8000	8789		2	0800	911	
RIORI	IORITY APPLN. INFO.:									US 2	006-	7580	96P	P 20060111				
										US 2	006-	7581	07P		P 2	0060	111	
										US 2	006-	7581	64P		P 2	0060	111	
										US 2	006-	7581	65P		P 2	0060	111	
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ASSIGNMENT HISTORY FOR US PATENT AVAILABLE IN LSUS DISPLAY FORMAT OTHER SOURCE(S): CASREACT 147:189414; MARPAT 147:189414

ED Entered STN: 20 Jul 2007

AB The invention provides novel human glucagon-like peptide-1 (GLP-1) receptor modulators Xaa1-Xaa2-Xaa3-Xaa4-Xaa5-Xaa6-Xaa7-Xaa8-Xaa9-Xaa10- Xaa11 [Xaa1-Xaa3, Xaa5-Xaa11 are (certain) naturally or non-naturally occurring amino acid residues; Xaa4 is glycine] that have biol. activity similar or superior to native GLP-1 peptide and thus are useful for the treatment or prevention of diseases or disorders associated with GLP activity. The

compds. include chemical-modified peptides that not only stimulate insulin secretion in type II diabetics, but also produce other beneficial insulinotropic responses. These synthetic peptide GLP-1 receptor modulators exhibit increased stability to proteolytic cleavage making them ideal therapeutic candidates for oral or parenteral administration. The disclosed and claimed peptides show desirable pharmacokinetic properties and desirable potency in efficacy models of diabetes. Thus,

MeOCOHis-(S)- $\alpha$ -MePro-EGT-L- $\alpha$ -MePhe(2-fluoro)-

TSD-Bip(2'-ethyl-4'-methoxy)-(S)-2-amino-4-(3-phenylphenoxy) butanamide (E, G, T, T, S and D are one-letter amino acid symbols, Bip = biphenylalanine residue) was prepared by the solid-phase method and shown to lower the plasma glucose in an IP glucose tolerance test after s.c. administration in ob/ob mice. NOTE:for 8016 keep the first index entry; for 7050, keep both entries; for 7054, keep first entry.

IT 361442-04-8, Saxagliptin

RL: PAC (Pharmacological activity); THU (Therapeutic use); BIOL (Biological study); USES (Uses)

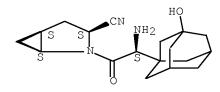
(codrug; preparation of human GLP-1 receptor modulators and their use in treatment of diabetes and related conditions)

RN 361442-04-8 HCAPLUS

CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,

2-[(2S)-2-amino-2-(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.



OS.CITING REF COUNT: 5 THERE ARE 5 CAPLUS RECORDS THAT CITE THIS RECORD (5 CITINGS)

L57 ANSWER 4 OF 16 HCAPLUS COPYRIGHT 2012 ACS on STN DUPLICATE 4

ACCESSION NUMBER: 2007:1279241 HCAPLUS Full-text

DOCUMENT NUMBER: 148:121939

TITLE: Potent non-nitrile dipeptidic dipeptidyl peptidase IV

inhibitors

AUTHOR(S): Simpkins, Ligaya M.; Bolton, Scott; Pi, Zulan; Sutton,

James C.; Kwon, Chet; Zhao, Guohua; Magnin, David R.; Augeri, David J.; Gungor, Timur; Rotella, David P.; Sun, Zhong; Liu, Yajun; Slusarchyk, William S.; Marcinkeviciene, Jovita; Robertson, James G.; Wang, Aiying; Robl, Jeffrey A.; Atwal, Karnail S.; Zahler, Robert L.; Parker, Rex A.; Kirby, Mark S.; Mamann,

Lawrence G.

CORPORATE SOURCE: Bristol-Myers Squibb Research and Development,

Princeton, NJ, 08543-5400, USA

### 13/308,658

SOURCE: Bioorganic & Medicinal Chemistry Letters (2007),

17(23), 6476-6480

CODEN: BMCLE8; ISSN: 0960-894X

PUBLISHER: Elsevier Ltd.

DOCUMENT TYPE: Journal LANGUAGE: English

OTHER SOURCE(S): CASREACT 148:121939

ED Entered STN: 09 Nov 2007

AB The synthesis and structure-activity relationships of novel dipeptidyl peptidase IV (DDP-IV) inhibitors replacing the classical cyanopyrrolidine P1 group with other small nitrogen heterocycles are described. A unique potency enhancement was achieved with  $\beta$ -branched natural and unnatural amino acids, particularly adamantylglycines, linked to a (2S,3R)-2,3-methanopyrrolidine based scaffold.

IT 361442-04-8, Saxagliptin

RL: PAC (Pharmacological activity); BIOL (Biological study)

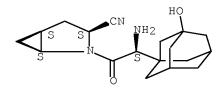
(preparation and DDP-IV-inhibiting activity of non-nitrile dipeptides as potential antidiabetes agents)

RN 361442-04-8 HCAPLUS

CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,

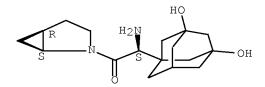
2-[(2S)-2-amino-2-(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.



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ΙT
                 841302-21-4P
                                                                      841302-27-0P 841302-28-1P
                 841302-51-0P
                                                                     1000689-35-9P
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                 1000689-37-1P
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                 1000689-40-6P 1000689-41-7P
                                                                                                                            1000689-43-9P
                 1000689-44-0P 1000689-45-1P 1000689-46-2P
                 1000689-47-3P 1000689-48-4P 1000689-49-5P
                 1000689-50-8P 1000689-52-0P 1000689-53-1P
                 1000689-54-2P 1000689-55-3P
                                                                                                                                 1000689-56-4P
                 1000689-57-5P
                                                                        1000689-59-79
                                                                                                                                1000689-60-0P
                 1000689-61-1P
                                                                        1000689-66-6P
                 RL: PAC (Pharmacological activity); SPN (Synthetic preparation); BIOL
                  (Biological study); PREP (Preparation)
                             (preparation and DDP-IV-inhibiting activity of non-nitrile dipeptides as
                            potential antidiabetes agents)
                 841302-21-4 HCAPLUS
RN
CN
                 Ethanone, 2-amino-1-[(1S,5R)-2-azabicyclo[3.1.0]hex-2-yl]-2-(3,5-azabicyclo[3.1.0]hex-2-yl]-2-(3,5-azabicyclo[3.1.0]hex-2-yl]-2-(3,5-azabicyclo[3.1.0]hex-2-yl]-2-(3,5-azabicyclo[3.1.0]hex-2-yl]-2-(3,5-azabicyclo[3.1.0]hex-2-yl]-2-(3,5-azabicyclo[3.1.0]hex-2-yl]-2-(3,5-azabicyclo[3.1.0]hex-2-yl]-2-(3,5-azabicyclo[3.1.0]hex-2-yl]-2-(3,5-azabicyclo[3.1.0]hex-2-yl]-2-(3,5-azabicyclo[3.1.0]hex-2-yl]-2-(3,5-azabicyclo[3.1.0]hex-2-yl]-2-(3,5-azabicyclo[3.1.0]hex-2-yl]-2-(3,5-azabicyclo[3.1.0]hex-2-yl]-2-(3,5-azabicyclo[3.1.0]hex-2-yl]-2-(3,5-azabicyclo[3.1.0]hex-2-yl]-2-(3,5-azabicyclo[3.1.0]hex-2-yl]-2-(3,5-azabicyclo[3.1.0]hex-2-yl]-2-(3,5-azabicyclo[3.1.0]hex-2-yl]-2-(3,5-azabicyclo[3.1.0]hex-2-yl]-2-(3,5-azabicyclo[3.1.0]hex-2-yl]-2-(3,5-azabicyclo[3.1.0]hex-2-yl]-2-(3,5-azabicyclo[3.1.0]hex-2-yl]-2-(3,5-azabicyclo[3.1.0]hex-2-yl]-2-(3,5-azabicyclo[3.1.0]hex-2-yl]-2-(3,5-azabicyclo[3.1.0]hex-2-yl]-2-(3,5-azabicyclo[3.1.0]hex-2-yl]-2-(3,5-azabicyclo[3.1.0]hex-2-yl]-2-(3,5-azabicyclo[3.1.0]hex-2-yl]-2-(3,5-azabicyclo[3.1.0]hex-2-yl]-2-(3,5-azabicyclo[3.1.0]hex-2-yl]-2-(3,5-azabicyclo[3.1.0]hex-2-yl]-2-(3,5-azabicyclo[3.1.0]hex-2-yl]-2-(3,5-azabicyclo[3.1.0]hex-2-yl]-2-(3,5-azabicyclo[3.1.0]hex-2-yl]-2-(3,5-azabicyclo[3.1.0]hex-2-yl]-2-(3,5-azabicyclo[3.1.0]hex-2-yl]-2-(3,5-azabicyclo[3.1.0]hex-2-yl]-2-(3,5-azabicyclo[3.1.0]hex-2-yl]-2-(3,5-azabicyclo[3.1.0]hex-2-yl]-2-(3,5-azabicyclo[3.1.0]hex-2-yl]-2-(3,5-azabicyclo[3.1.0]hex-2-yl]-2-(3,5-azabicyclo[3.1.0]hex-2-yl]-2-(3,5-azabicyclo[3.1.0]hex-2-(3,5-azabicyclo[3.1.0]hex-2-(3,5-azabicyclo[3.1.0]hex-2-(3,5-azabicyclo[3.1.0]hex-2-(3,5-azabicyclo[3.1.0]hex-2-(3,5-azabicyclo[3.1.0]hex-2-(3,5-azabicyclo[3.1.0]hex-2-(3,5-azabicyclo[3.1.0]hex-2-(3,5-azabicyclo[3.1.0]hex-2-(3,5-azabicyclo[3.1.0]hex-2-(3,5-azabicyclo[3.1.0]hex-2-(3,5-azabicyclo[3.1.0]hex-2-(3,5-azabicyclo[3.1.0]hex-2-(3,5-azabicyclo[3.1.0]hex-2-(3,5-azabicyclo[3.1.0]hex-2-(3,5-azabicyclo[3.1.0]hex-2-(3,5-azabicyclo[3.1.0]hex-2-(3,5-azabicyclo[3.1.0]hex-2-(3,5-azabicyclo[3.1.0]hex-2-(3,5-azabicyclo[3.1.0]he
                 dihydroxytricyclo[3.3.1.13,7]dec-1-yl)-, (2S)- (CA INDEX NAME)
```

Absolute stereochemistry.



RN 841302-27-0 HCAPLUS
CN Ethanone, 2-amino-1-(3-azabicyclo[3.1.0]hex-3-yl)-2-(3,5-dihydroxytricyclo[3.3.1.13,7]dec-1-yl)-, (2S)- (CA INDEX NAME)

Absolute stereochemistry.

Absolute stereochemistry.

RN 841302-51-0 HCAPLUS
CN Ethanone, 2-amino-1-[(1S,5R)-2-azabicyclo[3.1.0]hex-2-yl]-2-(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)-, hydrochloride (1:1), (2S)- (CA INDEX NAME)

Absolute stereochemistry.

Absolute stereochemistry.

$$\begin{array}{c|c}
 & \text{NH}_2 \\
 & \text{S} & \text{Ph}
\end{array}$$

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RN 1000689-37-1 HCAPLUS
CN Ethanone, 2-amino-1-[(1S,5R)-2-azabicyclo[3.1.0]hex-2-y1]-2-(4-chlorophenyl)-, (2S)- (CA INDEX NAME)
```

RN 1000689-38-2 HCAPLUS CN 1-Propanone, 2-amino-1-[(1S,5R)-2-azabicyclo[3.1.0]hex-2-yl]-3-(1H-imidazol-5-yl)-, (2S)- (CA INDEX NAME)

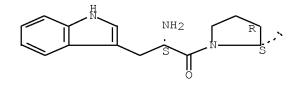
Absolute stereochemistry.

RN 1000689-39-3 HCAPLUS
CN 1-Propanone, 2-amino-1-[(1S,5R)-2-azabicyclo[3.1.0]hex-2-y1]-3-(4-hydroxyphenyl)-, (2S)- (CA INDEX NAME)

Absolute stereochemistry.

RN 1000689-40-6 HCAPLUS CN 1-Propanone, 2-amino-1-[(1S,5R)-2-azabicyclo[3.1.0]hex-2-yl]-3-(1H-indol-3-yl)-, (2S)- (CA INDEX NAME)

Absolute stereochemistry.



RN 1000689-41-7 HCAPLUS CN 2-Azabicyclo[3.1.0]hexane-2-butanamide,  $\beta$ -amino- $\gamma$ -oxo-, ( $\beta$ S,1S,5R)- (CA INDEX NAME)

Absolute stereochemistry.

RN 1000689-43-9 HCAPLUS

CN 1-Pentanone, 2,5-diamino-1-[(1S,5R)-2-azabicyclo[3.1.0]hex-2-yl]-, (2S)-(CA INDEX NAME)

Absolute stereochemistry.

RN 1000689-44-0 HCAPLUS

CN 1-Propanone, 2-amino-1-[(1S,5R)-2-azabicyclo[3.1.0]hex-2-yl]-3-hydroxy-, (2S)- (CA INDEX NAME)

Absolute stereochemistry.

RN 1000689-45-1 HCAPLUS

CN 1-Propanone, 2-amino-1-[(1S,5R)-2-azabicyclo[3.1.0]hex-2-yl]-3-methoxy-, (2S)- (CA INDEX NAME)

Absolute stereochemistry.

RN 1000689-46-2 HCAPLUS

CN 1-Propanone, 2-amino-1-[(1S,5R)-2-azabicyclo[3.1.0]hex-2-yl]-3-(1,1-dimethylethoxy)-, (2S)- (CA INDEX NAME)

Absolute stereochemistry.

RN 1000689-47-3 HCAPLUS

CN 1-Butanone, 2-amino-1-[(1S,5R)-2-azabicyclo[3.1.0]hex-2-yl]-4-hydroxy-, (2S)- (CA INDEX NAME)

Absolute stereochemistry.

RN 1000689-48-4 HCAPLUS

CN 1-Butanone, 2-amino-1-[(1S,5R)-2-azabicyclo[3.1.0]hex-2-yl]-3-hydroxy-, (2S,3R)- (CA INDEX NAME)

Absolute stereochemistry.

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Absolute stereochemistry.

Absolute stereochemistry.

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RN 1000689-53-1 HCAPLUS
CN 1-Butanone, 2-amino-1-[(1s,5R)-2-azabicyclo[3.1.0]hex-2-yl]-3-methyl-,
(2s)- (CA INDEX NAME)
```

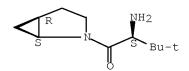
Absolute stereochemistry.

RN 1000689-54-2 HCAPLUS CN 1-Pentanone, 2-amino-1-[(1S,5R)-2-azabicyclo[3.1.0]hex-2-yl]-3-methyl-, (2S,3S)- (CA INDEX NAME)

Absolute stereochemistry.

Absolute stereochemistry.

Absolute stereochemistry.



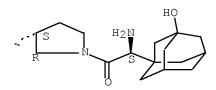
Absolute stereochemistry.

Absolute stereochemistry.

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RN 1000689-61-1 HCAPLUS
CN Ethanone, 2-amino-1-[(1R,5S)-2-azabicyclo[3.1.0]hex-2-yl]-2-(3-
```

hydroxytricyclo[3.3.1.13,7]dec-1-yl)-, (2S)- (CA INDEX NAME)

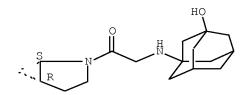
Absolute stereochemistry.



RN 1000689-66-6 HCAPLUS

CN Ethanone, 1-[(1S,5R)-2-azabicyclo[3.1.0]hex-2-yl]-2-[(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)amino]- (CA INDEX NAME)

Absolute stereochemistry.



OS.CITING REF COUNT: 8 THERE ARE 8 CAPLUS RECORDS THAT CITE THIS RECORD

(8 CITINGS)

REFERENCE COUNT: 32 THERE ARE 32 CITED REFERENCES AVAILABLE FOR THIS

RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L57 ANSWER 5 OF 16 HCAPLUS COPYRIGHT 2012 ACS on STN DUPLICATE 5

ACCESSION NUMBER: 2005:1351306 HCAPLUS Full-text

DOCUMENT NUMBER: 144:186959

TITLE: Mechanism of Gly-Pro-pNA cleavage catalyzed by

dipeptidyl peptidase-IV and its inhibition by

saxagliptin (BMS-477118)

AUTHOR(S): Kim, Young B.; Kopcho, Lisa M.; Kirby, Mark S.;

Hamann, Lawrence G.; Weigelt, Carolyn A.; Metzler,

William J.; Marcinkeviciene, Jovita

CORPORATE SOURCE: Department of Chemical Enzymology, Pharmaceutical

Research Institute, Bristol Myers-Squibb

Pharmaceutical Company, Princeton, NJ, 08543-5400, USA

SOURCE: Archives of Biochemistry and Biophysics (2006),

445(1), 9-18

CODEN: ABBIA4; ISSN: 0003-9861

PUBLISHER: Elsevier
DOCUMENT TYPE: Journal
LANGUAGE: English

ED Entered STN: 30 Dec 2005

AΒ Dipeptidyl peptidase-IV (DPP-IV) is a serine protease with a signature Asp-His-Ser motif at the active site. Our pH data suggest that Gly-Pro-pNA cleavage catalyzed by DPP-IV is facilitated by an ionization of a residue with a pK of  $7.2\pm0.1$ . By analogy to other serine proteases this pK is suggestive of His-Asp assisted Ser addition to the P1 carbonyl carbon of the substrate to form a tetrahedral intermediate. Solvent kinetic isotope effect studies yielded a D20kcat/Km =  $2.9\pm0.2$  and a D20kcat =  $1.7\pm0.2$  suggesting that kinetically significant proton transfers contribute to rate limitation during acyl intermediate formation (leaving group release) and hydrolysis. A "burst" of product release during pre steady-state Gly-Pro-pNA cleavage indicated rate limitation in the deacylation half-reaction. Nevertheless, the amplitude of the burst exceeded the enzyme concentration significantly (.apprx.15-fold), which is consistent with a branching deacylation step. All of these data allowed us to better understand DPP-IV inhibition by saxagliptin (BMS-477118). We propose a two-step inhibition mechanism wherein an initial encounter complex is followed by covalent intermediate formation. Final inhibitory complex assembly (kon) depends upon the ionization of an enzyme residue with a pK of 6.2±0.1, and we assigned it to the catalytic His-Asp pair which enhances Ser nucleophilicity for covalent addition An ionization with a pK of 7.9±0.2 likely reflects the P2 terminal amine of the inhibitor hydrogen bonding to Glu205/Glu206 in the enzyme active site. The formation of the covalent enzyme-inhibitor complex was reversible and dissociated with a koff of  $(5.5\pm0.4) \times 10^{-5}$  s-1, thus yielding a K\*i (as koff/kon) of 0.35 nM, which is in good agreement with the value of 0.6 nM obtained from steady-state inhibition studies. Proton NMR spectra of DPP-IV showed a downfield resonance at 16.1 ppm. Two addnl. peaks in the 1H NMR spectra at 17.4 and 14.1 ppm were observed upon mixing the enzyme with saxagliptin. Fractionation factors (.vphi.) of 0.6 and 0.5 for the 17.4 and 14.1 ppm peaks, resp., are suggestive of short strong hydrogen bonds in the enzyme-inhibitor complex.

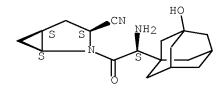
IT 361442-04-8, Saxagliptin

RL: BSU (Biological study, unclassified); BIOL (Biological study) (Glu205/Glu206 residue of dipeptidyl peptidase-IV plays important role in saxagliptin binding through short strong hydrogen bonds)

RN 361442-04-8 HCAPLUS

CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-amino-2-(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.



OS.CITING REF COUNT: 30 THERE ARE 30 CAPLUS RECORDS THAT CITE THIS

# 13/308,658

RECORD (31 CITINGS)

REFERENCE COUNT: 42 THERE ARE 42 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L57 ANSWER 6 OF 16 HCAPLUS COPYRIGHT 2012 ACS on STN DUPLICATE 6

ACCESSION NUMBER: 2005:493507 HCAPLUS Full-text

DOCUMENT NUMBER: 143:43869

TITLE: Preparation of nitrogen containing bicyclic

pyridine-based derivatives as inhibitors of HMG CoA

reductase

INVENTOR(S): O'Connor, Stephen P.; Robl, Jeffrey; Ahmad, Saleem;

Bisaha, Sharon; Murugesan, Natesan; Ngu, Khehyong; Shi, Yan; Stein, Philip D.; Soundararajan, Nachimuthu; Natalie, Kenneth J., Jr.; Kolla, Laxma R.; Sausker, Justin; Quinlan, Sandra L.; Fan, Junying; Petsch,

Dejah; Guo, Zhenrong

PATENT ASSIGNEE(S): Bristol-Myers Squibb Company, USA

SOURCE: PCT Int. Appl., 193 pp.

CODEN: PIXXD2

DOCUMENT TYPE: Patent LANGUAGE: English

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

PA	PATENT NO.					KIND DATE				APPL:									
WO	2005	0513	86										20041119						
	w:	ΑE,	AG,	AL,	AM,	ΑT,	ΑU,	ΑZ,	BA,	BB,	BG,	BR,	BW,	BY,	BZ,	CA,	CH,		
		CN,	CO,	CR,	CU,	CZ,	DE,	DK,	DM,	DZ,	EC,	EE,	EG,	ES,	FI,	GB,	GD,		
		GE,	GH,	GM,	HR,	HU,	ID,	IL,	IN,	IS,	JP,	KE,	KG,	KP,	KR,	KΖ,	LC,		
		LK,	LR,	LS,	LT,	LU,	LV,	MA,	MD,	MG,	MK,	MN,	MW,	MX,	MZ,	NA,	NI,		
		NO,	NΖ,	OM,	PG,	PH,	PL,	PT,	RO,	RU,	SC,	SD,	SE,	SG,	SK,	SL,	SY,		
		ТJ,	TM,	TN,	TR,	TT,	TZ,	UA,	UG,	US,	UZ,	VC,	VN,	YU,	ZA,	ZM,	ZW		
	RW:	BW,	GH,	GM,	ΚE,	LS,	MW,	MZ,	NA,	SD,	SL,	SZ,	TZ,	UG,	ZM,	ZW,	AM,		
		AZ,	BY,	KG,	KΖ,	MD,	RU,	ТJ,	TM,	AT,	BE,	BG,	CH,	CY,	CZ,	DE,	DK,		
		EE,	ES,	FI,	FR,	GB,	GR,	HU,	ΙE,	IS,	ΙΤ,	LU,	MC,	NL,	PL,	PT,	RO,		
		SE,	SI,	SK,	TR,	BF,	ВJ,	CF,	CG,	CI,	CM,	GΑ,	GN,	GQ,	GW,	ML,	MR,		
		NE,	SN,	TD,	TG														
US	2005	0171	140		A1		2005	0804	US 2004-989138						20041115				
US	7420	059			В2		2008	0902											
EP	1684	754			A1		2006	0802	EP 2004-811719						20041119				
	R:	AT,	BE,	CH,	DE,	DK,	ES,	FR,	GB,	GR,	ΙΤ,	LI,	LU,	NL,	SE,	MC,	PT,		
		ΙE,	SI,	LT,	LV,	FI,	RO,	MK,	CY,	AL,	TR,	BG,	CZ,	EE,	HU,	PL,	SK,		
		HR,	IS,	YU															
PRIORIT	Y APP	LN.	INFO	.:						US 20	003-	5235		P 20031120					
									US 2004-989138						A 2	0041	115		
									WO 2004-US39051						W 20041119				

ASSIGNMENT HISTORY FOR US PATENT AVAILABLE IN LSUS DISPLAY FORMAT

OTHER SOURCE(S): MARPAT 143:43869

ED Entered STN: 10 Jun 2005

GΙ

\* STRUCTURE DIAGRAM TOO LARGE FOR DISPLAY - AVAILABLE VIA OFFLINE PRINT \*

AΒ Title compds. I [Het = 5- to 8-membered ring including at least one nitrogen atom with provisions; n = 0-1; R1 and R2 independently = H, alkyl, alkenyl, etc.; R3 = H, aryl, cycloalkyl, etc.; R4 and R5 independently = H, alkyl; X = -CR6R7-CR6aR7a-, -CR6=CR7-; R6, R7, R6a and R7a independently = H, alkyl] and their pharmaceutically acceptable salts, are prepared and disclosed as inhibitors of HMG CoA reductase. Thus, e.g., II was prepared by cyclization of Et 2-amino-4-(4-fluorophenyl)-6-isopropyl-5-methoxycarbonyl-3pyridinepropanoate (preparation given) followed by a reduction/sulfonylation/reduction sequence to give [4-(4-fluorophenyl)-2-isopropyl-8-methanesulfonyl-5,6,7,8tetrahydro[1,8]naphthyridin-3-yl]-methanol (III). III was oxidized to the resp. aldehyde and coupled with 1,1-dimethylethyl(4R,6S)-2,2-dimethyl-6-(1phenyl-1H-tetrazole-5-sulfonylmethyl)-[1,3]dioxan-4-yl-acetate followed by ring opening to give II. I should display activity as inhibitors of HMG CoA reductase (no data given). I as inhibitors of HMG CoA reductase inhibitors should prove useful in the treatment of, but not limited to, hyperlipidemia, dyslipidemia, and atherosclerosis. Pharmaceutical compns. comprising I are disclosed.

IT 361442-04-8, BMS 477118

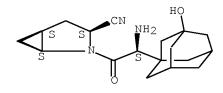
RL: THU (Therapeutic use); BIOL (Biological study); USES (Uses) (claimed co-drug; preparation of nitrogen-containing bicyclic pyridine-based

derivs. as inhibitors of HMG CoA reductase)

RN 361442-04-8 HCAPLUS

CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-amino-2-(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.



OS.CITING REF COUNT: 6 THERE ARE 6 CAPLUS RECORDS THAT CITE THIS RECORD

(7 CITINGS)

REFERENCE COUNT: 7 THERE ARE 7 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L57 ANSWER 7 OF 16 HCAPLUS COPYRIGHT 2012 ACS on STN DUPLICATE 7

ACCESSION NUMBER: 2005:543673 HCAPLUS Full-text

DOCUMENT NUMBER: 143:221803

TITLE: Discovery and Preclinical Profile of Saxagliptin

(BMS-477118): A Highly Potent, Long-Acting, Orally

```
Active Dipeptidyl Peptidase IV Inhibitor for the
                         Treatment of Type 2 Diabetes
                         Augeri, David J.; Robl, Jeffrey A.; Betebenner,
AUTHOR(S):
                         David A.; Magnin, David R.; Khanna, Ashish;
                         Robertson, James G.; Wang, Aiying; Simpkins, Ligaya
                         M.; Taunk, Prakash; Huang, Qi; Han, Song-Ping;
                         Abboa-Offei, Benoni; Cap, Michael; Xin, Li; Tao, Li;
                         Tozzo, Effie; Welzel, Gustav E.; Egan, Donald M.;
                         Marcinkeviciene, Jovita; Chang, Shu Y.; Biller, Scott
                         A.; Kirby, Mark S.; Parker, Rex A.; Hamann, Lawrence
CORPORATE SOURCE:
                         Department of Discovery Chemistry, Bristol-Myers
                         Squibb, Princeton, NJ, 08543-5400, USA
SOURCE:
                         Journal of Medicinal Chemistry (2005), 48(15),
                         5025-5037
                         CODEN: JMCMAR; ISSN: 0022-2623
PUBLISHER:
                         American Chemical Society
DOCUMENT TYPE:
                         Journal
LANGUAGE:
                         English
OTHER SOURCE(S):
                         CASREACT 143:221803
   Entered STN: 24 Jun 2005
     Efforts to further elucidate structure-activity relationships (SAR) within
     the authors previously disclosed series of \beta-quaternary amino acid linked
     L-cis-4,5-methanoprolinenitrile dipeptidyl peptidase IV (DPP-IV)
     inhibitors led to the investigation of vinyl substitution at the \beta-position
     of \alpha-cycloalkyl-substituted glycines. Despite poor systemic exposure,
     vinyl-substituted compds. showed extended duration of action in acute rat
     ex vivo plasma DPP-IV inhibition models. Oxygenated putative metabolites
     were prepared and were shown to exhibit the potency and extended duration
     of action of their precursors in efficacy models measuring glucose clearance
     in Zuckerfa/fa rats. Extension of this approach to
     adamantylglycine-derived inhibitors led to the discovery of highly potent
     inhibitors, including hydroxyadamantyl compound BMS-477118 (saxagliptin),
     a highly efficacious, stable, and long-acting DPP-IV inhibitor, which is
     currently undergoing clin. trials for treatment of type 2 diabetes.
     361441-54-59
                    361441-75-0P
                                  361441-99-8P
     361442-05-99
     RL: PAC (Pharmacological activity); PKT (Pharmacokinetics); SPN (Synthetic
     preparation); THU (Therapeutic use); BIOL (Biological study); PREP
     (Preparation); USES (Uses)
        (discovery and preclin. profile of saxagliptin (BMS-477118) as highly
        potent and long-acting and orally active dipeptidyl peptidase IV
        inhibitor for treatment of type 2 diabetes)
     361441-54-5 HCAPLUS
RN
     2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
CN
     2-[(2S)-2-amino-2-(1-ethenylcyclopentyl)acetyl]-, (1S,3S,5S)-,
     2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)
     CM
          1
     CRN 361441-53-4
     CMF C15 H21 N3 O
```

CM 2

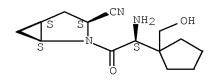
CRN 76-05-1 CMF C2 H F3 O2

RN 361441-75-0 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-2-[1-(hydroxymethyl)cyclopentyl]acetyl]-, (1S,3S,5S)-,
2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)

CM 1

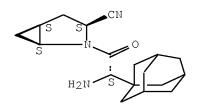
CRN 361441-74-9
CMF C14 H21 N3 02

Absolute stereochemistry.



CM 2

CRN 76-05-1 CMF C2 H F3 O2

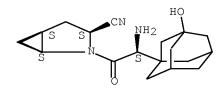


$$F- \begin{bmatrix} F \\ C-CO_2H \end{bmatrix}$$

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RN 361442-05-9 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-2-(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-,
(1S,3S,5S)-, 2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)

CM 1
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```
CRN 361442-04-8
CMF C18 H25 N3 O2
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CM 2

CRN 76-05-1 CMF C2 H F3 O2

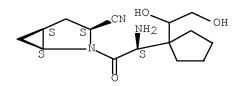
$$F - \begin{bmatrix} F \\ C - CO_2H \end{bmatrix}$$

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ΙT
    361442-09-3P 361442-44-6P
                                 841302-57-6P
    862590-85-0P 862590-86-1P 862590-87-2P
    862590-88-3P 862590-89-4P
                                 862590-90-7P
    862590-91-8P 862590-93-0P
                                  862590-94-1P
    862590-95-2P 862590-96-3P
                                  862590-97-4P
    RL: PAC (Pharmacological activity); SPN (Synthetic preparation); THU
     (Therapeutic use); BIOL (Biological study); PREP (Preparation); USES
        (discovery and preclin. profile of saxagliptin (BMS-477118) as highly
       potent and long-acting and orally active dipeptidyl peptidase IV
        inhibitor for treatment of type 2 diabetes)
RN
    361442-09-3 HCAPLUS
CN
    2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
     2-[(2S)-2-amino-2-(3-fluorotricyclo[3.3.1.13,7]dec-1-yl)acetyl]-,
     (1S, 3S, 5S) -, 2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)
    СМ
         1
    CRN 361442-08-2
    CMF C18 H24 F N3 O
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CM 2

CRN 76-05-1 CMF C2 H F3 O2

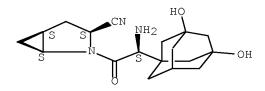
Absolute stereochemistry.



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RN 841302-57-6 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
    2-[(2S)-2-amino-2-(3,5-dihydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-,
    (1S,3S,5S)-, 2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)

CM 1

CRN 841302-24-7
CMF C18 H25 N3 O3
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CM 2

CRN 76-05-1

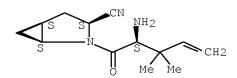
CMF C2 H F3 O2

RN 862590-85-0 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-3,3-dimethyl-1-oxo-4-penten-1-yl]-, (1S,3S,5S)-,
2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)

CM 1

CRN 361441-62-5
CMF C13 H19 N3 O

Absolute stereochemistry.



CM 2

CRN 76-05-1 CMF C2 H F3 O2

```
RN 862590-86-1 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-3,3-diethyl-4-methylene-1-oxobutyl]-, (1S,3S,5S)-,
2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)

CM 1

CRN 361441-63-6
CMF C15 H23 N3 O
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CM 2

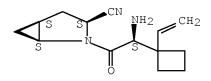
CRN 76-05-1

CMF C2 H F3 O2

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RN 862590-87-2 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-2-(1-ethenylcyclobutyl)acetyl]-, (1S,3S,5S)-,
2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)

CM 1
```

```
CRN 361441-55-6
CMF C14 H19 N3 O
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CM 2

CRN 76-05-1 CMF C2 H F3 O2

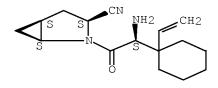
$$F - \begin{bmatrix} F \\ C - CO_2H \end{bmatrix}$$

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RN 862590-88-3 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-2-(1-ethenylcyclohexyl)acetyl]-, (1S,3S,5S)-,
2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)

CM 1

CRN 361441-56-7
CMF C16 H23 N3 O
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Absolute stereochemistry.

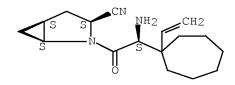


CM 2

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RN 862590-89-4 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-2-(1-ethenylcycloheptyl)acetyl]-, (1S,3S,5S)-,
2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)

CM 1

CRN 361441-57-8
CMF C17 H25 N3 O
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CM 2

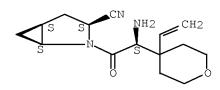
CRN 76-05-1 CMF C2 H F3 O2

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RN 862590-90-7 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-2-(4-ethenyltetrahydro-2H-pyran-4-yl)acetyl]-,
(1S,3S,5S)-, 2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)
```

CM 1

CRN 361441-60-3 CMF C15 H21 N3 O2

Absolute stereochemistry.



CM 2

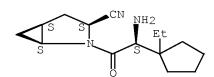
CRN 76-05-1 CMF C2 H F3 O2

RN 862590-91-8 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-2-(1-ethylcyclopentyl)acetyl]-, (1S,3S,5S)-,
2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)

CM 1

CRN 361441-85-2
CMF C15 H23 N3 O

 ${\tt Absolute \ stereochemistry.}$ 



CM 2

CRN 76-05-1

CMF C2 H F3 O2

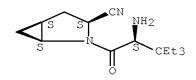
$$F - \begin{bmatrix} F \\ C - CO_2H \end{bmatrix}$$

2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)

CM 1

CRN 361441-91-0 CMF C15 H25 N3 O

Absolute stereochemistry.



CM 2

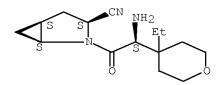
CRN 76-05-1 CMF C2 H F3 O2

RN 862590-95-2 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-2-(4-ethyltetrahydro-2H-pyran-4-yl)acetyl]-, (1S,3S,5S)-,
2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)

CM 1

CRN 361441-89-6 CMF C15 H23 N3 O2

Absolute stereochemistry.



CRN 76-05-1 CMF C2 H F3 O2

RN 862590-96-3 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-2-[1-(hydroxymethyl)cyclobutyl]acetyl]-, (1S,3S,5S)-,
2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)

CM 1

CRN 361441-77-2 CMF C13 H19 N3 O2

Absolute stereochemistry.

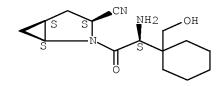
CRN 76-05-1 CMF C2 H F3 O2

RN 862590-97-4 HCAPLUS

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CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-2-[1-(hydroxymethyl)cyclohexyl]acetyl]-, (1S,3S,5S)-,
2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)

CM 1

CRN 361441-78-3
CMF C15 H23 N3 O2
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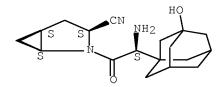


CM 2

CRN 76-05-1

CMF C2 H F3 O2

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IT 361442-04-8, Saxagliptin
RL: PAC (Pharmacological activity); THU (Therapeutic use); BIOL
   (Biological study); USES (Uses)
        (discovery and preclin. profile of saxagliptin (BMS-477118) as highly
        potent and long-acting and orally active dipeptidyl peptidase IV
        inhibitor for treatment of type 2 diabetes)
RN 361442-04-8 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
   2-[(2S)-2-amino-2-(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-,
   (1S,3S,5S)- (CA INDEX NAME)
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OS.CITING REF COUNT: 205 THERE ARE 205 CAPLUS RECORDS THAT CITE THIS

RECORD (206 CITINGS)

REFERENCE COUNT: 64 THERE ARE 64 CITED REFERENCES AVAILABLE FOR THIS

RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L57 ANSWER 8 OF 16 HCAPLUS COPYRIGHT 2012 ACS on STN DUPLICATE 8

ACCESSION NUMBER: 2001:693281 HCAPLUS <u>Full-text</u>

DOCUMENT NUMBER: 135:257147

TITLE: Preparation of fused cyclopropylpyrrolidine-based

inhibitors of dipeptidyl peptidase IV

INVENTOR(S): Robl, Jeffrey A.; Sulsky, Richard B.; Augeri,

David J.; Magnin, David R.; Hamann, Lawrence G.;

Betebenner, David A.

PATENT ASSIGNEE(S): Bristol-Myers Squibb Co., USA

SOURCE: PCT Int. Appl., 135 pp.

CODEN: PIXXD2

DOCUMENT TYPE: Patent LANGUAGE: English

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

PAT	ENT	NO.			KIND		DATE		APPLICATION NO.						DATE			
WO 2001068603 WO 2001068603									WO 2001-US7151					20010305				
	₩:	ID,	CZ, IL,	DE, IN,	DK, IS,	DM, JP,	DZ, KE,	EE, KG,	ES, KP,	FI, KR,	GB, KZ,	GD, LC,	GE, LK,	GH, LR,	GM, LS,	HR, LT,	HU, LU,	,
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	2000	DE,	DK,	ES,	FI,	FR,	GB,	GR,	IE,	IT,	LU,	MC,	NL,	PT,	SE,	•		•
	US 20020019411 US 6395767									US 2001-788173					20010216			
CA 2402894 CA 2402894					A1 20010920				CA 2001-2402894						20010305			
AU 2001045466				A 20010924			AU 2001-45466 EP 2001-918383											
	1261	586			В1		2008	0521										
	IE, SI,			LT,	LV,	FI,	RO, 2003	MK, 1021	CY,	GB, GR, IT, LI, LU, CY, AL, TR  JP 2001-567699								

## 13/308,658

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HU 2003002792 A2 20031229 HU 2003-2792
HU 2003002792 A3 20070328
BR 2001009115 A 20031230 BR 2001-9115
NZ 520821 A 20041126 NZ 2001-520821
AU 2001245466 B2 20050512 AU 2001-245466
CN 1213028 C 20050803 CN 2001-806315
EP 1559710 A2 20050803 EP 2005-5368
EP 1559710 A3 20090722
                                                                                                                                                                                           20010305
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            TE, FI, CY, TR

CN 1698601

A 20051123

CN 2005-10078518

TW 258468

B 20060721

TW 2001-104965

RU 2286986

C2 20061110

RU 2002-125491

AT 396176

T 20080615

AT 2001-918383

PT 1261586

E 20080804

PT 2001-918383

ES 2305062

T3 20081101

ES 2001-918383

SG 152030

A1 20090529

SG 2004-5783

IL 151372

A 20091224

IL 2001-151372

IL 177018

A 20100328

FL 207041

B1 20101029

FL 207041

B1 20101029

FL 2070-178907

EP 2272825

A3 20110504

R* AT BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT,
                                                                                                                                                                                          20010305
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                        R: AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LI, LU, MC,
           NL, PT, SE, TR

IN 2002MN01154 A 20050304 IN 2002-MN1154 20020823

ZA 2002006816 A 20031126 ZA 2002-6816 20020826

NO 2002004295 A 20021106 NO 2002-4295 20020909

NO 324227 B1 20070910

KR 754089 B1 20070831 KR 2002-7011806 20020909

MX 2002008837 A 20030425 MX 2002-8837 20020910

HK 1049330 A1 20081114 HK 2003-101079 20030214

KR 758407 B1 20070914 KR 2006-7004515 20060303

IN 2007MN00184 A 20080215 IN 2007-MN184 20070205

JP 2010077163 A 20100408 JP 2010-6181 20100114

PRITY APPLN. INFO.:

US 2000-188555P P 20000310

CN 2001-806315 A3 20010305

EP 2001-918383 A3 20010305

EP 2001-557669 A3 20010305

JP 2001-567699 A3 20010305

WO 2001-US7151 W 20010305
                                    NL, PT, SE, TR
PRIORITY APPLN. INFO.:
                                                                                                                          WO 2001-US7151 W 20010305
IN 2002-MN1154 A3 20020823
KR 2002-7011806 A3 20020909
ASSIGNMENT HISTORY FOR US PATENT AVAILABLE IN LSUS DISPLAY FORMAT
OTHER SOURCE(S): MARPAT 135:257147
          Entered STN: 21 Sep 2001
GΙ
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Dipeptidyl peptidase IV inhibiting compds. I (x = 0 or 1 and y = 0 or 1 provided that x = 1 when y = 0 and x = 0 when y = 1; n = 0, 1; X = H, CN; R1, R2, R3 and R4 = same or different and independently selected from H, (un) substituted chain or cyclic components) and the pharmaceutically acceptable salts or prodrugs (no data) were prepared Thus L-pyroglutamic acid Et ester was protected, cyclopropanated and reacted further with (S)-N-BOC-isoleucine providing an intermediate II which reacted further to yield the fused cyclopropylpyrrolidine III in 57% yield. A method is also provided for treating diabetes and related diseases, especially Type II diabetes, and other diseases by employing a title DP 4 inhibitor or a combination of DP 4 inhibitor and one or more of another antidiabetic agent such as metformin, glyburide, troglitazone, pioglitazone, rosiglitazone and/or insulin and/or one or more of a hypolipidemic agent and/or anti-obesity agent and/or other therapeutic agent.

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                                    1098535-02-4
     1098535-03-5
                    1098535-04-6
                                    1098535-05-7
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     RL: PRPH (Prophetic)
        (Preparation of fused cyclopropylpyrrolidine-based inhibitors of
        dipeptidyl peptidase IV)
RN
     1000689-56-4 HCAPLUS
CN
     1-Butanone,
2-amino-1-[(1s, 5R)-2-azabicyclo[3.1.0]hex-2-yl]-3, 3-dimethyl-,
     (2S) - (CA INDEX NAME)
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RN 1098535-01-3 HCAPLUS CN Ethanone, 2-amino-1-(1S,5R)-2-azabicyclo[3.1.0]hex-2-yl-2-tricyclo[3.3.1.13,7]dec-2-yl-, (2S)- (CA INDEX NAME)

Absolute stereochemistry.

RN 1098535-02-4 HCAPLUS CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-(3-amino-4,4-dimethyl-1-oxopentyl)-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.

RN 1098535-03-5 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-(3-amino-1-oxo-3-tricyclo[3.3.1.13,7]dec-1-ylpropyl)-, (1S,3S,5S)- (CA
INDEX NAME)

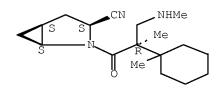
Absolute stereochemistry.

RN 1098535-04-6 HCAPLUS
CN 3-Azabicyclo[3.1.0]hexane-2-carbonitrile,
 3-[(2R)-2-(aminomethyl)-4,4-dimethyl-1-oxopentyl]-, (1R,2S,5S)- (CA
INDEX
 NAME)

Absolute stereochemistry.

RN 1098535-05-7 HCAPLUS CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2R)-2-methyl-3-(methylamino)-2-(1-methylcyclohexyl)-1-oxopropyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.



RN 1098535-06-8 HCAPLUS CN 1-Pentanone, 3-amino-1-(3-azabicyclo[3.1.0]hex-3-yl)-2,4,4-trimethyl-, (2R)- (CA INDEX NAME)

Absolute stereochemistry.

RN 1098535-07-9 HCAPLUS CN INDEX NAME NOT YET ASSIGNED

RN 1098535-08-0 HCAPLUS
CN 3-Azabicyclo[3.1.0]hexane-2-carbonitrile,
3-[3-(methylamino)-3-(1-methylcyclohexyl)-1-oxopropyl]-, (1R,2S,5S)- (CA
INDEX NAME)

Absolute stereochemistry.

RN 1098535-09-1 HCAPLUS CN INDEX NAME NOT YET ASSIGNED

Absolute stereochemistry.

RN 1098535-10-4 HCAPLUS
CN Ethanone, 1-(3-azabicyclo[3.1.0]hex-3-yl)-2-(methylamino)-2-(1-methylcyclohexyl)-, (2S)- (CA INDEX NAME)

Absolute stereochemistry.

RN 1098535-11-5 HCAPLUS
CN 1-Pentanone, 1-(1S,5R)-2-azabicyclo[3.1.0]hex-2-yl-4,4-dimethyl-3-(methylamino)- (CA INDEX NAME)

Absolute stereochemistry.

RN 1098535-12-6 HCAPLUS

CN 1-Pentanone, 2-amino-1-(3-azabicyclo[3.1.0]hex-3-yl)-4,4-dimethyl-, (2S)-(CA INDEX NAME)

Absolute stereochemistry.

RN 1098535-13-7 HCAPLUS

CN 3-Azabicyclo[3.1.0]hexane-2-carbonitrile, 3-[(2R)-3-amino-1-oxo-2-tricyclo[3.3.1.13,7]dec-2-ylbutyl]-, (1R,2S,5S)-(CA INDEX NAME)

Absolute stereochemistry.

RN 1098535-14-8 HCAPLUS

CN Ethanone, 2-amino-1-(3-azabicyclo[3.1.0]hex-3-yl)-2-[1-(hydroxymethyl)cyclopentyl]-, (2S)- (CA INDEX NAME)

RN 1098535-15-9 HCAPLUS

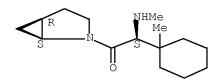
CN Ethanone, 2-amino-1-(3-azabicyclo[3.1.0]hex-3-yl)-2tricyclo[3.3.1.13,7]dec-2-yl-, (2S)- (CA INDEX NAME)

Absolute stereochemistry.

RN 1098535-16-0 HCAPLUS

CN Ethanone, 1-(1S,5R)-2-azabicyclo[3.1.0]hex-2-yl-2-(methylamino)-2-(1-methylcyclohexyl)-, (2S)- (CA INDEX NAME)

Absolute stereochemistry.



RN 1098535-17-1 HCAPLUS

CN INDEX NAME NOT YET ASSIGNED

Absolute stereochemistry.

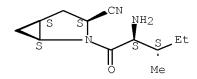
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RN 1098535-21-7 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-3-amino-3-[1-(hydroxymethyl)cyclopentyl]-2-methyl-1-oxopropyl]-,
(1S,3S,5S)- (CA INDEX NAME)
```

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RN 1098535-23-9 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-2-[1-(1-methylethyl)cyclobutyl]acetyl]-, (1S,3S,5S)- (CA
INDEX NAME)
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Absolute stereochemistry.

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ΙT
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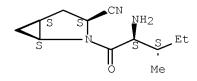
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    BIOL (Biological study); PREP (Preparation); USES (Uses)
        (preparation of fused cyclopropylpyrrolidine-based inhibitors of
dipeptidyl
       peptidase IV)
RN
    361440-65-5 HCAPLUS
CN
    2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
    2-[(2S,3S)-2-amino-3-methyl-1-oxopentyl]-, (1S,3S,5S)- (CA INDEX NAME)
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RN 361440-66-6 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S,3S)-2-amino-3-methyl-1-oxopentyl]-, (1S,3S,5S)-,
2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)

CM 1

CRN 361440-65-5
CMF C12 H19 N3 O
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RN 361440-77-9 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
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## 13/308,658

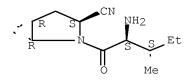
2-[(2S,3S)-2-amino-3-methyl-1-oxopentyl]-, (1R,3S,5R)-,
2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)

CM 1

CRN 361440-76-8

CMF C12 H19 N3 O

Absolute stereochemistry.

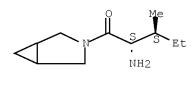


CM 2

CRN 76-05-1 CMF C2 H F3 O2

RN 361440-79-1 HCAPLUS
CN 1-Pentanone, 2-amino-1-(3-azabicyclo[3.1.0]hex-3-yl)-3-methyl-,
hydrochloride (1:1), (2S,3S)- (CA INDEX NAME)

Absolute stereochemistry.



● HCl

RN 361440-88-2 HCAPLUS
CN 3-Azabicyclo[3.1.0]hexane-2-carbonitrile,

## 13/308,658

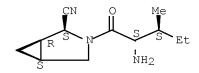
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3-[(2S,3S)-2-amino-3-methyl-1-oxopentyl]-, (1R,2S,5S)-,
2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)

CM 1

CRN 361440-87-1

CMF C12 H19 N3 O
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Absolute stereochemistry.

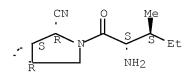


CM 2

CRN 76-05-1

CMF C2 H F3 O2

Absolute stereochemistry.



CM 2

CRN 76-05-1 CMF C2 H F3 O2

RN 361440-95-1 HCAPLUS

CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2R)-2-amino-1-oxo-3-[(phenylmethyl)thio]propyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.

RN 361440-97-3 HCAPLUS

CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-amino-3-(1H-indol-3-yl)-1-oxopropyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.

RN 361440-99-5 HCAPLUS

CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-amino-4-(methylthio)-1-oxobutyl]-, (1S,3S,5S)- (CA INDEX NAME)

RN 361441-01-2 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-4-methyl-1-oxopentyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.

RN 361441-03-4 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-amino-1-oxohexyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.

RN 361441-04-5 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-3-methyl-2-(methylamino)-1-oxobutyl]-, (1S,3S,5S)- (CA INDEX NAME)

RN 361441-05-6 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-3-methyl-1-oxobutyl]-, (1S,3S,5S)- (CA INDEX NAME)

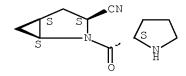
Absolute stereochemistry.

RN 361441-06-7 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-1-oxo-4-phenylbutyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.

RN 361441-07-8 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-pyrrolidinylcarbonyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.



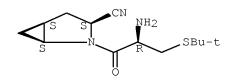
## 13/308,658

RN 361441-08-9 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-1-oxo-3-phenylpropyl]-, (1S,3S,5S)- (CA INDEX NAME)

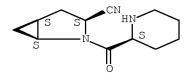
Absolute stereochemistry.

RN 361441-09-0 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2R)-2-amino-3-[(1,1-dimethylethyl)thio]-1-oxopropyl]-, (1S,3S,5S)(CA INDEX NAME)

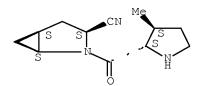
Absolute stereochemistry.



Absolute stereochemistry.



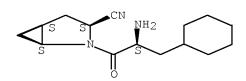
RN 361441-11-4 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[[(2S,3S)-3-methyl-2-pyrrolidinyl]carbonyl]-, (1S,3S,5S)- (CA INDEX NAME)



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RN 361441-12-5 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S,3R)-2-amino-3-methyl-1-oxopentyl]-, (1S,3S,5S)- (CA INDEX NAME)
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Absolute stereochemistry.

Absolute stereochemistry.



```
RN 361441-14-7 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-2-phenylacetyl]-, (1S,3S,5S)- (CA INDEX NAME)
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RN 361441-15-8 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-3-(3-cyanophenyl)-1-oxopropyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.

RN 361441-16-9 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-3-(4-cyanophenyl)-1-oxopropyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.

RN 361441-17-0 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-3-(4-hydroxyphenyl)-1-oxopropyl]-, (1S,3S,5S)- (CA INDEX NAME)

RN 361441-28-3 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-3-hydroxy-3-methyl-1-oxobutyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.

RN 361441-39-6 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-4-hydroxy-1-oxobutyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.

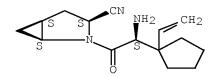
RN 361441-53-4 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-2-(1-ethenylcyclopentyl)acetyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.

```
RN 361441-54-5 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-2-(1-ethenylcyclopentyl)acetyl]-, (1S,3S,5S)-,
2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)

CM 1

CRN 361441-53-4
CMF C15 H21 N3 O
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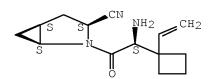
CM 2

CRN 76-05-1

CMF C2 H F3 02

RN 361441-55-6 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-2-(1-ethenylcyclobutyl)acetyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.



RN 361441-56-7 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-2-(1-ethenylcyclohexyl)acetyl]-, (1S,3S,5S)- (CA INDEX NAME)

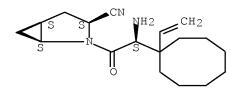
Absolute stereochemistry.

RN 361441-57-8 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-2-(1-ethenylcycloheptyl)acetyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.

RN 361441-58-9 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-2-(1-ethenylcyclooctyl)acetyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.



RN 361441-59-0 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,

2-[(2S)-2-amino-2-[(3R,4S)-1-ethenyl-3,4-dimethylcyclopentyl]acetyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.

Absolute stereochemistry.

Absolute stereochemistry.

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RN 361441-62-5 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-3,3-dimethyl-1-oxo-4-penten-1-yl]-, (1S,3S,5S)- (CA
INDEX
NAME)
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RN 361441-63-6 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-3,3-diethyl-1-oxo-4-pentenyl]-, (1S,3S,5S)- (9CI) (CA
TNDEX NAME)

Absolute stereochemistry.

RN 361441-65-8 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-2-[1-(1-fluoroethenyl)cyclopentyl]acetyl]-, (1S,3S,5S)(CA INDEX NAME)

Absolute stereochemistry.

RN 361441-67-0 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-2-[1-(1-fluoroethenyl)cyclobutyl]acetyl]-, (1S,3S,5S)(CA INDEX NAME)

RN 361441-69-2 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-2-[1-(1-methylethenyl)cyclopentyl]acetyl]-, (1S,3S,5S)(CA INDEX NAME)

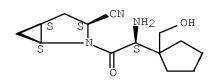
Absolute stereochemistry.

RN 361441-71-6 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-2-[1-(1-methylethenyl)cyclobutyl]acetyl]-, (1S,3S,5S)(CA INDEX NAME)

Absolute stereochemistry.

RN 361441-74-9 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-2-[1-(hydroxymethyl)cyclopentyl]acetyl]-, (1S,3S,5S)(CA
INDEX NAME)

Absolute stereochemistry.



CM 2
CRN 76-05-1

CMF C2 H F3 O2

RN 361441-77-2 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-2-[1-(hydroxymethyl)cyclobutyl]acetyl]-, (1S,3S,5S)- (CA
INDEX NAME)

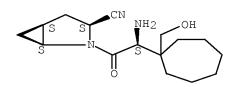
Absolute stereochemistry.

RN 361441-78-3 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-2-[1-(hydroxymethyl)cyclohexyl]acetyl]-, (1S,3S,5S)- (CA
INDEX NAME)

Absolute stereochemistry.

RN 361441-79-4 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-2-[1-(hydroxymethyl)cycloheptyl]acetyl]-, (1S,3S,5S)(CA
INDEX NAME)

Absolute stereochemistry.



RN 361441-80-7 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-2-[(3R,4S)-1-(hydroxymethyl)-3,4dimethylcyclopentyl]acetyl]-, (1S,3S,5S)- (CA INDEX NAME)

RN 361441-83-0 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-4-hydroxy-3,3-dimethyl-1-oxobutyl]-, (1S,3S,5S)- (CA
INDEX NAME)

Absolute stereochemistry.

RN 361441-85-2 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-2-(1-ethylcyclopentyl)acetyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.

RN 361441-87-4 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-2-(1-ethylcyclobutyl)acetyl]-, (1S,3S,5S)- (CA INDEX NAME)

RN 361441-88-5 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-2-(1-ethylcycloheptyl)acetyl]-, (1S,3S,5S)- (CA INDEX NAME)

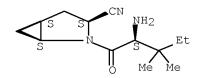
Absolute stereochemistry.

RN 361441-89-6 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-2-(4-ethyltetrahydro-2H-pyran-4-yl)acetyl]-, (1S,3S,5S)(CA INDEX NAME)

Absolute stereochemistry.

RN 361441-90-9 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-3,3-dimethyl-1-oxopentyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.



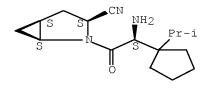
RN 361441-91-0 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-3,3-diethyl-1-oxopentyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.

RN 361441-92-1 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-3-ethyl-3-methyl-1-oxopentyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.

Absolute stereochemistry.



RN 361441-99-8 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,

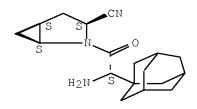
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2-[(2S)-2-amino-2-tricyclo[3.3.1.13,7]dec-1-ylacetyl]-, (1S,3S,5S)-, 2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)

CM 1

CRN 361441-98-7

CMF C18 H25 N3 O
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Absolute stereochemistry.

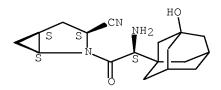


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RN 361442-05-9 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-2-(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-,
(1S,3S,5S)-, 2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)

CM 1

CRN 361442-04-8
CMF C18 H25 N3 O2
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Absolute stereochemistry.



CM 2

CRN 76-05-1

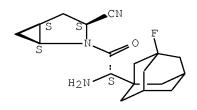
CMF C2 H F3 02

RN 361442-09-3 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-2-(3-fluorotricyclo[3.3.1.13,7]dec-1-yl)acetyl]-,
(1S,3S,5S)-, 2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)

CM 1

CRN 361442-08-2
CMF C18 H24 F N3 O

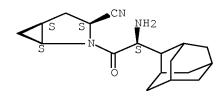
Absolute stereochemistry.



CM 2

CRN 76-05-1 CMF C2 H F3 O2

Absolute stereochemistry.

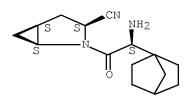


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RN 361442-15-1 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-2-bicyclo[2.2.1]hept-1-ylacetyl]-, (1S,3S,5S)-,
2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)

CM 1
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CRN 361442-14-0 CMF C15 H21 N3 O

Absolute stereochemistry.

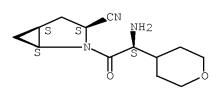


CM 2

CRN 76-05-1 CMF C2 H F3 O2

RN 361442-16-2 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-2-(tetrahydro-2H-pyran-4-yl)acetyl]-, (1S,3S,5S)- (CA
INDEX NAME)

Absolute stereochemistry.



RN 361442-18-4 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-2-(1-phenylcyclopentyl)acetyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.

RN 361442-19-5 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-3-methyl-1-oxo-3-phenylbutyl]-, (1S,3S,5S)- (CA INDEX

Absolute stereochemistry.

RN 361442-23-1 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[[(2S)-3,3-dimethyl-2-pyrrolidinyl]carbonyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.

RN 361442-25-3 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[[(2S,3S)-3-ethyl-2-pyrrolidinyl]carbonyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.

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RN 361442-30-0 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[[(2S,3R)-3-(1-methylethyl)-2-pyrrolidinyl]carbonyl]-, (1S,3S,5S)- (CA
INDEX NAME)
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Absolute stereochemistry.

Absolute stereochemistry.

Absolute stereochemistry.

RN 361442-38-8 HCAPLUS
CN 3-Azabicyclo[3.1.0]hexane-2-carbonitrile,
3-[(2S)-2-amino-2-cyclohexylacetyl]-, (1R,2S,5S)- (CA INDEX NAME)

Absolute stereochemistry.

RN 361442-39-9 HCAPLUS
CN 3-Azabicyclo[3.1.0]hexane-2-carbonitrile,
3-[(2S)-2-amino-3,3-dimethyl-1-oxobutyl]-, (1R,2S,5S)- (CA INDEX NAME)

Absolute stereochemistry.

RN 361442-40-2 HCAPLUS
CN 3-Azabicyclo[3.1.0]hexane-2-carbonitrile,
3-[(2S)-2-amino-3-methyl-1-oxobutyl]-, (1R,2S,5S)- (CA INDEX NAME)

Absolute stereochemistry.

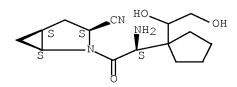
RN 361442-41-3 HCAPLUS
CN 3-Azabicyclo[3.1.0]hexane-2-carbonitrile,
3-[(2S)-2-amino-2-(1-ethylcyclopentyl)acetyl]-, (1R,2S,5S)- (CA INDEX NAME)

Absolute stereochemistry.

RN 361442-42-4 HCAPLUS
CN 3-Azabicyclo[3.1.0]hexane-2-carbonitrile,
3-[(2S)-2-amino-2-(1-ethenylcyclopentyl)acetyl]-, (1R,2S,5S)- (CA INDEX NAME)

Absolute stereochemistry.

Absolute stereochemistry.



RN 361442-48-0 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,

2-[(2S)-2-amino-2-(1-methylcyclohexyl)acetyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.

RN 361442-49-1 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-2-(1-ethylcyclohexyl)acetyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.

RN 361442-50-4 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-2-(1-methylcyclopentyl)acetyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.

RN 361442-51-5 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-2-[1-(2-propen-1-yl)cyclopentyl]acetyl]-, (1S,3S,5S)(CA
INDEX NAME)

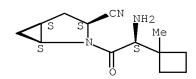
Absolute stereochemistry.

RN 361442-52-6 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-2-(1-propylcyclopentyl)acetyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.

RN 361442-53-7 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-2-(1-methylcyclobutyl)acetyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.



RN 361442-54-8 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-2-cyclopentylacetyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.

RN 361442-55-9 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-2-cyclohexylacetyl]-, (1S,3S,5S)- (CA INDEX NAME)

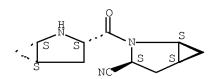
Absolute stereochemistry.

RN 361442-56-0 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-2-cyclobutylacetyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.

RN 361442-58-2 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(1S,3S,5S)-2-azabicyclo[3.1.0]hex-3-ylcarbonyl]-, (1S,3S,5S)- (CA
INDEX NAME)

Absolute stereochemistry.

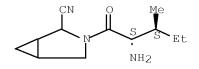


RN 361485-95-2 HCAPLUS
3-Azabicyclo[3.1.0]hexane-2-carbonitrile,
3-[(2S,3S)-2-amino-3-methyl-1-oxopentyl]-, 2,2,2-trifluoroacetate (1:1)
(CA INDEX NAME)

CM 1

CRN 361485-94-1
CMF C12 H19 N3 O

Absolute stereochemistry.



CM 2

CRN 76-05-1 CMF C2 H F3 O2

OS.CITING REF COUNT: 36 THERE ARE 36 CAPLUS RECORDS THAT CITE THIS

RECORD (61 CITINGS)

REFERENCE COUNT: 11 THERE ARE 11 CITED REFERENCES AVAILABLE FOR THIS

RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L57 ANSWER 9 OF 16 HCAPLUS COPYRIGHT 2012 ACS on STN ACCESSION NUMBER: 2010:1573900 HCAPLUS <u>Full-text</u>

DOCUMENT NUMBER: 155:171960

TITLE: The discovery of the dipeptidyl peptidase-4 (DPP4)

inhibitor onglyza: from concept to market

AUTHOR(S): Robl, Jeffrey A.; Hamann, Lawrence G.

CORPORATE SOURCE: Bristol-Myers Squibb Research & Development,

Department of Discovery Chemistry - Metabolic

Diseases, Princeton, NJ, 08543, USA

SOURCE: RSC Drug Discovery Series (2011), 4(Accounts in Drug

Discovery), 1-24

CODEN: RDDSA7; ISSN: 2041-3203
Royal Society of Chemistry
Journal; General Review

DOCUMENT TYPE: Journal LANGUAGE: English ED Entered STN: 20 Dec 2010

PUBLISHER:

AB A review. The modulation of glucagon like peptide-1 in the treatment of diabetes, role of dipeptidyl peptidase-4 (DPP4) as a target for diabetes treatment, early inhibitors of DPP4, design of Bristol-Myers Squibb's DPP4 medicinal chemical program, and design of cyclopropyl-fused nitrilo-pyrrolidines are briefly described. Structure-activity relationship optimization leading to the discovery of saxagliptin, binding of saxagliptin to human DPP4, chemical stability of saxagliptin and analogs, in vivo efficacy of saxagliptin, peptidase selectivity of saxagliptin, synthesis of saxagliptin, and saxagliptin development are also shown.

IT 361442-04-8, Onglyza

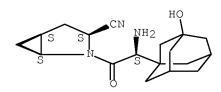
RL: PAC (Pharmacological activity); THU (Therapeutic use); BIOL (Biological study); USES (Uses)

(understanding biol. function of target, ability to design small mol. to interact with critical element of target active site may lead to discovery of Onglyza which may be effective for treatment of patient with diabetes)

RN 361442-04-8 HCAPLUS

CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-amino-2-(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.



REFERENCE COUNT: 73 THERE ARE 73 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L57 ANSWER 10 OF 16 HCAPLUS COPYRIGHT 2012 ACS on STN ACCESSION NUMBER: 2009:288826 HCAPLUS Full-text

DOCUMENT NUMBER: 150:330127

TITLE: Preparation of biphenyls and biheteroaryls end-capped

with amino acid or peptide derivatives as hepatitis c

virus inhibitors

INVENTOR(S): Bachand, Carol; Belema, Makonen; Deon, Daniel H.;

Good, Andrew C.; Goodrich, Jason; James, Clint A.; Lavoie, Rico; Lopez, Omar D.; Martel, Alain; Meanwell, Nicholas A.; Nguyen, Van N.; Romine, Jeffrey Lee; Ruediger, Edward H.; Snyder, Lawrence B.; St. Laurent, Denis R.; Yang, Fukang; Langley, David R.; Wang, Gan;

Hamann, Lawrence G.

PATENT ASSIGNEE(S): Bristol-Myers Squibb Company, USA

SOURCE: U.S. Pat. Appl. Publ., 515pp., Cont.-in-part of U.S.

Ser. No. 835,462.

CODEN: USXXCO

DOCUMENT TYPE: Patent LANGUAGE: English

FAMILY ACC. NUM. COUNT: 3

PATENT INFORMATION:

PAT	PATENT NO.				KIN	D	DATE			APP	LICAT	CION	DATE					
						-												
US	US 20090068140				A1 20090312				US	2008-	-3023		20080213					
US	US 20080050336				A1 20			20080228			2007-	20070808						
EP	EP 2385048				A1 20111109				EΡ	2011-	-1713		20070809					
	R:	ΑT,	BE,	BG,	CH,	CY,	CZ,	DE,	DK,	ΕE	, ES,	FI,	FR,	GB,	GR	, HU	, IE	,
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PRIORITY	APP	LN.	INFO	.:						US	2007-	-8354	62		A2 :	2007	8080	
										US	2006-	-8369	96P		P 2	2006	0811	
										EΡ	2007-	-8000	58		A3 :	2007	0809	

ASSIGNMENT HISTORY FOR US PATENT AVAILABLE IN LSUS DISPLAY FORMAT OTHER SOURCE(S): CASREACT 150:330127; MARPAT 150:330127

ED Entered STN: 12 Mar 2009

GΙ

- \* STRUCTURE DIAGRAM TOO LARGE FOR DISPLAY AVAILABLE VIA OFFLINE PRINT \*
- The invention is related to 4,4'-disubstituted biphenyls and biheteroaryls in which the substituents in 4 and 4' positions contain structures associated with amino acids and peptides, e.g., I, their pharmaceutical acceptable salts, pharmaceutical compns. and methods for the treatment of hepatitis C virus (HCV) infection. Thus, Pd-coupling of bromide II (preparation given) with boronate III (preparation given), hydrogenolysis, cleavage of the tert-butoxycarbonyl groups and coupling with N-(methoxycarbonyl)-L-valine gave I as an acetate salt. Compds. of the invention were active in an HCV replicon assay.
- IT 1129634-15-6P 1129634-35-0P 1129634-36-1P

  RL: DMA (Drug mechanism of action); PAC (Pharmacological activity); SPN (Synthetic preparation); THU (Therapeutic use); BIOL (Biological study); PREP (Preparation); USES (Uses)

(drug candidate; preparation of biphenyls and biheteroaryls end-capped with

amino acid or peptide derivs. as hepatitis c virus inhibitors)

RN 1129634-15-6 HCAPLUS

CN 1-Butanone, 1,1'-[[1,1'-biphenyl]-4,4'-diylbis[1H-imidazole-5,2-diyl-(1R,3S,5R)-2-azabicyclo[3.1.0]hexane-3,2-diyl]]bis[2-amino-3-methyl-,(2S,2'S)- (CA INDEX NAME)

Absolute stereochemistry.

RN 1129634-35-0 HCAPLUS

CN 1-Butanone, 1,1'-[[1,1'-biphenyl]-4,4'-diylbis[1H-imidazole-5,2-diyl(1R,3S,5R)-2-azabicyclo[3.1.0]hexane-3,2-diyl]]bis[3-methyl-2-(2-pyrimidinylamino)-, (2S,2'S)- (CA INDEX NAME)

Absolute stereochemistry.

PAGE 1-A

PAGE 1-B

RN 1129634-36-1 HCAPLUS

CN 1-Butanone, 1,1'-[[1,1'-biphenyl]-4,4'-diylbis[1H-imidazole-5,2-diyl(1R,3S,5R)-2-azabicyclo[3.1.0]hexane-3,2-diyl]]bis[3-methyl-2-(2-pyrimidinylamino)-, (2S,2'S)-, 2,2,2-trifluoroacetate (1:?) (CA INDEX NAME)

CM 1

CRN 1129634-35-0 CMF C46 H50 N12 O2

Absolute stereochemistry.

PAGE 1-A

PAGE 1-B

CM 2

CRN 76-05-1 CMF C2 H F3 O2

IT 1129634-16-7P

RL: RCT (Reactant); SPN (Synthetic preparation); PREP (Preparation); RACT (Reactant or reagent)

(intermediate; preparation of biphenyls and biheteroaryls end-capped with amino acid or peptide derivs. as hepatitis c virus inhibitors)

RN 1129634-16-7 HCAPLUS

CN 1-Butanone, 1,1'-[[1,1'-biphenyl]-4,4'-diylbis[1H-imidazole-5,2-diyl-(1R,3S,5R)-2-azabicyclo[3.1.0]hexane-3,2-diyl]]bis[2-amino-3-methyl-,(2S,2'S)-,2,2,2-trifluoroacetate(1:?) (CA INDEX NAME)

CM 1

CRN 1129634-15-6 CMF C38 H46 N8 O2

Absolute stereochemistry.

CM 2

CRN 76-05-1 CMF C2 H F3 O2

OS.CITING REF COUNT: 6 THERE ARE 6 CAPLUS RECORDS THAT CITE THIS RECORD (6 CITINGS)

L57 ANSWER 11 OF 16 HCAPLUS COPYRIGHT 2012 ACS on STN ACCESSION NUMBER: 2006:119838 HCAPLUS <u>Full-text</u>

DOCUMENT NUMBER: 144:213022

TITLE: Preparation of human glucagon-like-peptide-1

modulators and their use in the treatment of diabetes

and related conditions

INVENTOR(S): Ewing, William R.; Mapelli, Claudio; Sulsky, Richard

B.; Haque, Tasir S.; Lee, Ving G.; Riexinger, Douglas

James; Martinez, Rogelio L.; Zhu, Yeheng

PATENT ASSIGNEE(S): Bristol-Myers Squibb Company, USA

SOURCE: PCT Int. Appl., 236 pp.

CODEN: PIXXD2

DOCUMENT TYPE: Patent LANGUAGE: English

FAMILY ACC. NUM. COUNT: 3

PATENT INFORMATION:

PATENT NO. KIND DATE APPLICATION NO. DATE

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20060209 WO 2005-US23076
     WO 2006014287
                        A1
                                                                 20050630
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            GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KM, KP, KR, KZ,
            LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NA,
            NG, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK,
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            ZA, ZM, ZW
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PRIORITY APPLN. INFO.:
                                           US 2004-585358P
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                                           WO 2005-US23076
                                                               W 20050630
                        CASREACT 144:213022; MARPAT 144:213022
OTHER SOURCE(S):
ED
    Entered STN: 09 Feb 2006
     The invention provides novel human glucagon-like peptide-1 (GLP-1)-receptor
     modulators Xaa1-Xaa2-Xaa3-Xaa4-Xaa5-Xaa6-Xaa7-Xaa8-Xaa9- Xaa10-Xaa11
     [Xaa1-Xaa3, Xaa5-Xaa11 are (certain) naturally or non-naturally occurring
     amino acid residues; Xaa4 is glycine] that have biol. activity similar or
     superior to native GLP-1 peptide and thus are useful for the treatment or
     prevention of diseases or disorders associated with GLP activity. The
     novel, chemical modified peptides not only stimulate insulin secretion in
     type II diabetics, but also produce other beneficial insulinotropic
     responses. These synthetic peptide GLP-1 receptor modulators exhibit
     increased stability to proteolytic cleavage making them ideal therapeutic
     candidates for oral or parenteral administration. Peptides of the invention
     show desirable pharmacokinetic properties and desirable potency in efficacy
     models of diabetes. Thus, claimed peptide
     H-H-Aib-EGT-L-\alpha-MePhe (2-fluoro) -TSD-Bip (2'-Et-4'-OMe) -4-(2'-
     methylphenyl)-3-pyridylalanine-NH2 (H, E, G, T, S and D are one-letter amino
     acid symbols, Aib = \alpha-aminoisobutyric acid residue, Bip = biphenylalanine
```

residue) was prepared by the solid-phase method and shown to produce a time-dependent statistically significant decrease in postprandial plasma glucose following s.c. administration in ob/ob mice.

IT 361442-04-8, Saxagliptin

RL: THU (Therapeutic use); BIOL (Biological study); USES (Uses)

(preparation of human glucagon-like-peptide-1 modulators and their use

in

treatment of diabetes and related conditions)

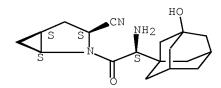
RN 361442-04-8 HCAPLUS

CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,

2-[(2S)-2-amino-2-(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-,

(1s, 3s, 5s) - (CA INDEX NAME)

Absolute stereochemistry.



OS.CITING REF COUNT: 3 THERE ARE 3 CAPLUS RECORDS THAT CITE THIS RECORD

(3 CITINGS)

REFERENCE COUNT: 4 THERE ARE 4 CITED REFERENCES AVAILABLE FOR THIS

RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L57 ANSWER 12 OF 16 HCAPLUS COPYRIGHT 2012 ACS on STN ACCESSION NUMBER: 2005:120884 HCAPLUS <u>Full-text</u>

DOCUMENT NUMBER: 142:219555

TITLE: Preparation of adamantyglycinamide inhibitors of

dipeptidyl peptidase IV

INVENTOR(S): Hamann, Lawrence G.; Khanna, Ashish; Kirby, Mark S.;

Magnin, David R.; Simpkins, Ligaya M.; Sutton, James

C.; Robl, Jeffrey

PATENT ASSIGNEE(S): Bristol-Myers Squibb Company, USA

SOURCE: PCT Int. Appl., 69 pp.

CODEN: PIXXD2

DOCUMENT TYPE: Patent LANGUAGE: English

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

PATENT NO.				KIN	D	DATE			APPLICATION NO.					DATE				
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WO 2005012249				A2		2005	0210	WO 2004-US24257						20040728				
WO 2005012249				A3		2005	0506											
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PRIORITY APPLN. INFO.:
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                         CASREACT 142:219555; MARPAT 142:219555
OTHER SOURCE(S):
    Entered STN: 11 Feb 2005
GΙ
```

AΒ Title compds. [I; m, n = 0-2; m+n  $\leq 2$ ; dashed bonds form a cyclopropyl ring when Y = CH; X = H, CN; Y = CH, CH2, CHF, CF2, O, S, SO, SO2; A = (substituted)adamantyl], were prepared Thus, (S)-(3-hydroxy-5,7-dimethyladamantan-1-yl)glycine pyrrolidinamide (preparation from 3,5-dimethyladamantane-1-carboxylic acid given) at 3 µmol/kg orally in rats gave a 39% reduction in serum glucose after 4 h. ΙT 841302-20-3P 841302-21-4P 841302-24-79 841302-27-0P 841302-26-9P 841302-28-1P 841302-29-29 841302-30-5P 841302-31-6P 841302-32-7P RL: PAC (Pharmacological activity); SPN (Synthetic preparation); THU (Therapeutic use); BIOL (Biological study); PREP (Preparation); USES

(Uses)

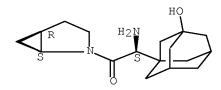
(claimed compound; preparation of adamantyglycinamide inhibitors of dipeptidyl

peptidase IV)

RN 841302-20-3 HCAPLUS

CN Ethanone, 2-amino-1-(1S,5R)-2-azabicyclo[3.1.0]hex-2-yl-2-(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)-, (2S)- (CA INDEX NAME)

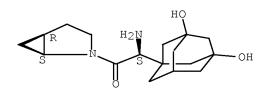
Absolute stereochemistry.



RN 841302-21-4 HCAPLUS

CN Ethanone, 2-amino-1-[(1S,5R)-2-azabicyclo[3.1.0]hex-2-yl]-2-(3,5-dihydroxytricyclo[3.3.1.13,7]dec-1-yl)-, (2S)- (CA INDEX NAME)

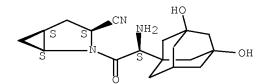
Absolute stereochemistry.



RN 841302-24-7 HCAPLUS

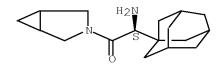
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-amino-2-(3,5-dihydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.



RN 841302-26-9 HCAPLUS CN Ethanone, 2-amino-1-(3-azabicyclo[3.1.0]hex-3-yl)-2tricyclo[3.3.1.13,7]dec-1-yl-, (2S)- (CA INDEX NAME)

Absolute stereochemistry.

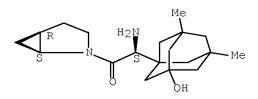


RN 841302-27-0 HCAPLUS
CN Ethanone, 2-amino-1-(3-azabicyclo[3.1.0]hex-3-yl)-2-(3,5-dihydroxytricyclo[3.3.1.13,7]dec-1-yl)-, (2S)- (CA INDEX NAME)

Absolute stereochemistry.

RN 841302-28-1 HCAPLUS
CN Ethanone,
2-amino-1-[(1S,5R)-2-azabicyclo[3.1.0]hex-2-yl]-2-(3-hydroxy-5,7-dimethyltricyclo[3.3.1.13,7]dec-1-yl)-, (2S)- (CA INDEX NAME)

Absolute stereochemistry.



RN 841302-29-2 HCAPLUS CN Acetamide, N-[3-[(1S)-1-amino-2-(1S,5R)-2-azabicyclo[3.1.0]hex-2-yl-2-oxoethyl]tricyclo[3.3.1.13,7]dec-1-yl]- (CA INDEX NAME)

Absolute stereochemistry.

RN 841302-30-5 HCAPLUS

CN Propanamide, N-[3-[(1S)-1-amino-2-(1S,5R)-2-azabicyclo[3.1.0]hex-2-yl-2-oxoethyl]tricyclo[3.3.1.13,7]dec-1-yl]-2-methyl- (CA INDEX NAME)

Absolute stereochemistry.

RN 841302-31-6 HCAPLUS

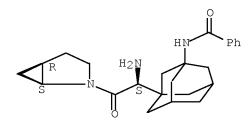
CN Benzeneacetamide,

Absolute stereochemistry.

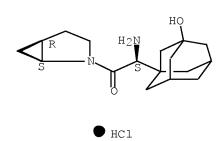
RN 841302-32-7 HCAPLUS

CN Benzamide, N-[3-[(1S)-1-amino-2-(1S,5R)-2-azabicyclo[3.1.0]hex-2-yl-2-oxoethyl]tricyclo[3.3.1.13,7]dec-1-yl]- (CA INDEX NAME)

Absolute stereochemistry.

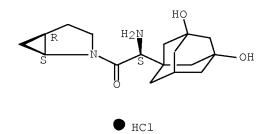


Absolute stereochemistry.



RN 841302-52-1 HCAPLUS
CN Ethanone, 2-amino-1-(1S,5R)-2-azabicyclo[3.1.0]hex-2-yl-2-(3,5-dihydroxytricyclo[3.3.1.13,7]dec-1-yl)-, hydrochloride (1:1), (2S)- (CA INDEX NAME)

Absolute stereochemistry.

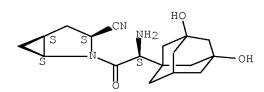


RN 841302-57-6 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
 2-[(2S)-2-amino-2-(3,5-dihydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-,
 (1S,3S,5S)-, 2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)

CM 1

CRN 841302-24-7
CMF C18 H25 N3 O3

Absolute stereochemistry.



CM 2

CRN 76-05-1

CMF C2 H F3 O2

$$\mathbf{F} - \bigcup_{F}^{F} \mathbf{CO_2H}$$

OS.CITING REF COUNT: 8 THERE ARE 8 CAPLUS RECORDS THAT CITE THIS RECORD (10 CITINGS)

REFERENCE COUNT: 6 THERE ARE 6 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L57 ANSWER 13 OF 16 HCAPLUS COPYRIGHT 2012 ACS on STN ACCESSION NUMBER: 2005:760338 HCAPLUS Full-text

DOCUMENT NUMBER: 143:367574

TITLE: Diprolyl nitriles as potent dipeptidyl peptidase IV

inhibitors

AUTHOR(S): Zhao, Guohua; Taunk, Prakash C.; Magnin, David R.;

Simpkins, Ligaya M.; Robl, Jeffrey A.; Wang, Aiying; Robertson, James G.; Marcinkeviciene, Jovita; Sitkoff, Doree F.; Parker, Rex A.; Kirby, Mark S.; Hamann,

Lawrence G.

CORPORATE SOURCE: Department of Discovery Chemistry, Pharmaceutical

Research Institute, Bristol-Myers Squibb, Princeton,

NJ, 08543-5400, USA

SOURCE: Bioorganic & Medicinal Chemistry Letters (2005),

15(18), 3992-3995

CODEN: BMCLE8; ISSN: 0960-894X

PUBLISHER: Elsevier B.V.

DOCUMENT TYPE: Journal LANGUAGE: English

OTHER SOURCE(S): CASREACT 143:367574

ED Entered STN: 15 Aug 2005

GΙ

$$NC \xrightarrow{C1} NH \xrightarrow{N} NC$$

866321-54-2P

Dipeptidyl peptidase IV (DPP4) is a multifunctional type II transmembrane serine peptidase which regulates various physiol. processes, most notably plasma glucose homeostasis by cleaving peptide hormones glucagon-like peptide-1 and glucose-dependent insulinotropic polypeptide. Inhibition of DPP4 is a potentially valuable therapy for type 2 diabetes. Synthesis and structure-activity relationships of a series of substituted diprolyl nitriles are described, leading to the identification of compound I with a measured DPP4 Ki of 3.6 nM.

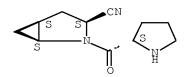
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RL: PAC (Pharmacological activity); SPN (Synthetic preparation); BIOL (Biological study); PREP (Preparation)

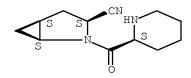
(diprolyl nitriles as potent dipeptidyl peptidase IV inhibitors)

RN 361441-07-8 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-pyrrolidinylcarbonyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.

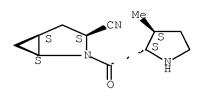


Absolute stereochemistry.



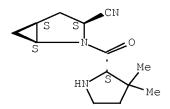
RN 361441-11-4 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[[(2S,3S)-3-methyl-2-pyrrolidinyl]carbonyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.



RN 361442-23-1 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[[(2S)-3,3-dimethyl-2-pyrrolidinyl]carbonyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.

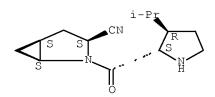


RN 361442-25-3 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[[(2S,3S)-3-ethyl-2-pyrrolidinyl]carbonyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.

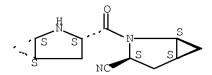
RN 361442-30-0 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[[(2S,3R)-3-(1-methylethyl)-2-pyrrolidinyl]carbonyl]-, (1S,3S,5S)- (CA
INDEX NAME)

Absolute stereochemistry.



RN 361442-58-2 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(1S,3S,5S)-2-azabicyclo[3.1.0]hex-3-ylcarbonyl]-, (1S,3S,5S)- (CA
INDEX NAME)

Absolute stereochemistry.

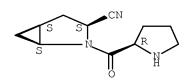


RN 866321-06-4 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[[(2S,4S)-4-[(3-chloro-4-cyanophenyl)amino]-2-pyrrolidinyl]carbonyl]-,
(1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.

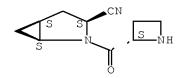
RN 866321-19-9 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2R)-2-pyrrolidinylcarbonyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.



RN 866321-23-5 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-azetidinylcarbonyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.



RN 866321-26-8 HCAPLUS

CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(1S,2S,5R)-3-azabicyclo[3.1.0]hex-2-ylcarbonyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.

RN 866321-46-2 HCAPLUS

CN Carbamic acid, [(3S,5S)-5-[[(1S,3S,5S)-3-cyano-2-azabicyclo[3.1.0]hex-2-yl]carbonyl]-3-pyrrolidinyl]-, 1,1-dimethylethyl ester (9CI) (CA INDEX NAME)

Absolute stereochemistry.

RN 866321-48-4 HCAPLUS

CN Carbamic acid, [(3R,5S)-5-[[(1S,3S,5S)-3-cyano-2-azabicyclo[3.1.0]hex-2-yl]carbonyl]-3-pyrrolidinyl]-, 1,1-dimethylethyl ester (9CI) (CA INDEX NAME)

Absolute stereochemistry.

RN 866321-50-8 HCAPLUS

CN Carbamic acid, [(3S,5S)-5-[[(1S,3S,5S)-3-cyano-2-azabicyclo[3.1.0]hex-2-

yl]carbonyl]-3-pyrrolidinyl]-, 9H-fluoren-9-ylmethyl ester (9CI) (CA INDEX NAME)

Absolute stereochemistry.

RN 866321-52-0 HCAPLUS

CN Butanamide, N-[(3s,5s)-5-[[(1s,3s,5s)-3-cyano-2-azabicyclo[3.1.0]hex-2-yl]carbonyl]-3-pyrrolidinyl]-3,3-dimethyl- (CA INDEX NAME)

Absolute stereochemistry.

RN 866321-54-2 HCAPLUS

CN Benzenesulfonamide, 4-chloro-N-[(3S,5S)-5-[[(1S,3S,5S)-3-cyano-2-azabicyclo[3.1.0]hex-2-yl]carbonyl]-3-pyrrolidinyl]- (CA INDEX NAME)

Absolute stereochemistry.

$$\begin{array}{c|c} & & & \\ & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ &$$

OS.CITING REF COUNT: 11 THERE ARE 11 CAPLUS RECORDS THAT CITE THIS

RECORD (11 CITINGS)

REFERENCE COUNT: 23 THERE ARE 23 CITED REFERENCES AVAILABLE FOR THIS

#### RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L57 ANSWER 14 OF 16 HCAPLUS COPYRIGHT 2012 ACS on STN ACCESSION NUMBER: 2004:515478 HCAPLUS Full-text

141:54618 DOCUMENT NUMBER:

Preparation of cyclopropyl-fused pyrrolidine-based TITLE:

inhibitors of dipeptidyl peptidase IV

INVENTOR(S): Vu, Truc Chi; Brzozowski, David B.; Fox, Rita; Godfrey, Jollie Duaine, Jr.; Hanson, Ronald L.;

> Kolotuchin, Sergei V.; Mazzullo, John A., Jr.; Patel, Ramesh N.; Wang, Jianji; Wong, Kwok; Yu, Jurong; Zhu,

Jason; Magnin, David R.; Augeri, David J.;

Hamann, Lawrence G.

Bristol-Myers Squibb Company, USA PATENT ASSIGNEE(S):

SOURCE: PCT Int. Appl., 101 pp.

CODEN: PIXXD2

DOCUMENT TYPE: Patent LANGUAGE: English

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

PATENT NO.					KIN					APPLICATION NO.					DATE			
				A2 20040624		WO 2003-US38558						20031204						
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		CO,	CR,	CU,	CZ,	DE,	DK,	DM,	DZ,	EC	, EE,	EG,	ES,	FΙ,	GB,	GD,	GE.	,
		GH,	GM,	HR,	HU,	ID,	IL,	IN,	IS,	JΡ	, KE,	KG,	ΚP,	KR,	KΖ,	LC,	LK.	,
		LR,	LS,	LT,	LU,	LV,	MA,	MD,	MG,	MK	, MN,	MW,	MX,	MΖ,	NΙ,	NO,	NΖ	,
		OM,	PG,	PH,	PL,	PT,	RO,	RU,	SC,	SD	, SE,	SG,	SK,	SL,	SY,	ТJ,	TM	,
		TN,	TR,	TT,	TZ,	UA,	UG,	US,	UZ,	VC	, VN,	YU,	ZA,	ZM,	ZW			
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	CA 2508619																	
	AU 2003297647 EP 1581487																	
EP																		
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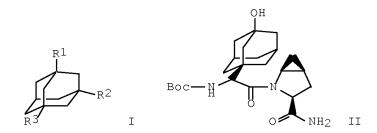
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			US	2003-716012	A3	20031118
			CN	2003-80109631	A3	20031204
			JΡ	2004-559282	A3	20031204
			WO	2003-US38558	M	20031204
			IN	2005-DN2279	A3	20050530
			US	2008-181216	A3	20080728

ASSIGNMENT HISTORY FOR US PATENT AVAILABLE IN LSUS DISPLAY FORMAT

OTHER SOURCE(S): CASREACT 141:54618; MARPAT 141:54618

ED Entered STN: 27 Jun 2004

GΙ



AΒ The invention provides methods and compds. for the production of cyclopropyl-fused pyrrolidine-based inhibitors of dipeptidyl peptidase IV. Also described are methods for the asym. reductive amination of (3-hydroxyadamantan-1-yl)oxoacetic acid. Adamantane derivs. I [R1 is H or OH; R2 is C(O)COR4, C(O)NR5R6, C(X)nCOR4 or C(NR7R8)COR4, where X is halo, n is 1-2, R4 is alkoxy, NH2 or OH, and R5-R8 are H or carbalkoxy; R3 is H, OH or NR9C(O)R10, where R9 is carboxy-substituted alkyl or aryl and R10 is 3-cyano-2-azabicyclo[3.1.0]hex-2-yl] or their pharmaceutically-acceptable salts are claimed. Thus, adamantyl-substituted glycinamide derivative II (Boc = tert-butoxycarbonyl) was prepared via amidation of Boc-protected (S)  $-\alpha$ -amino-3-hydroxy-1-adamantaneacetic acid.

IT361442-04-8P 709031-44-7P

> RL: PAC (Pharmacological activity); SPN (Synthetic preparation); THU (Therapeutic use); BIOL (Biological study); PREP (Preparation); USES

(preparation of cyclopropyl-fused pyrrolidine-based inhibitors of dipeptidyl

peptidase IV)

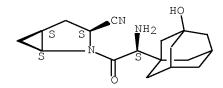
361442-04-8 HCAPLUS

CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,

2-[(2S)-2-amino-2-(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-,

(1s, 3s, 5s) - (CA INDEX NAME)

Absolute stereochemistry.

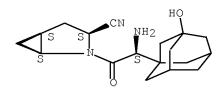


RN 709031-44-7 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-amino(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-,
(1S,3S,5S)-,
monobenzoate (salt) (9CI) (CA INDEX NAME)

CM 1

CRN 361442-04-8
CMF C18 H25 N3 O2

Absolute stereochemistry.



CM 2

CRN 65-85-0 CMF C7 H6 O2

$$\text{OH}$$

(preparation of cyclopropyl-fused pyrrolidine-based inhibitors of dipeptidyl

peptidase IV)

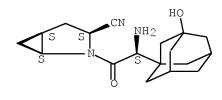
RN 709031-78-7 HCAPLUS

CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,

2-[(2S)-2-amino-2-(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-,

hydrochloride (1:1), (1S, 3S, 5S) - (CA INDEX NAME)

Absolute stereochemistry.



● HCl

OS.CITING REF COUNT: 25 THERE ARE 25 CAPLUS RECORDS THAT CITE THIS

RECORD (35 CITINGS)

REFERENCE COUNT: 1 THERE ARE 1 CITED REFERENCES AVAILABLE FOR THIS

RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L57 ANSWER 15 OF 16 HCAPLUS COPYRIGHT 2012 ACS on STN ACCESSION NUMBER: 2004:300939 HCAPLUS Full-text

DOCUMENT NUMBER: 141:23891

TITLE: Synthesis of Novel Potent Dipeptidyl Peptidase IV

Inhibitors with Enhanced Chemical Stability: Interplay between the N-Terminal Amino Acid Alkyl Side Chain and

the Cyclopropyl Group of

 $\alpha$ -Aminoacyl-L-cis-4,5-methanoprolinenitrile-

Based Inhibitors

AUTHOR(S): Magnin, David R.; Robl, Jeffrey A.; Sulsky,

Richard B.; Augeri, David J.; Huang, Yanting;

Simpkins, Ligaya M.; Taunk, Prakash C.; Setebenner, David A.; Robertson, James G.; Abboa-Offei, Benoni E.; Wang, Aiying; Cap, Michael; Xin, Li; Tao, Li; Sitkoff, Doree F.; Malley, Mary F.; Gougoutas, Jack Z.; Khanna, Ashish; Huang, Qi; Han, Song-Ping; Parker,

Rex A.; Hamann, Lawrence G.

CORPORATE SOURCE: Departments of Discovery Chemistry, Metabolic

Research, Exploratory Pharmaceutics, Computer-Assisted Drug Design, Solid State Chemistry and Pharmaceutical

Candidate Optimization, Bristol-Myers Squibb Pharmaceutical Research Institute, Princeton, NJ,

08543-5400, USA

SOURCE: Journal of Medicinal Chemistry (2004), 47(10),

2587-2598

CODEN: JMCMAR; ISSN: 0022-2623

PUBLISHER: American Chemical Society

DOCUMENT TYPE: Journal LANGUAGE: English

OTHER SOURCE(S): CASREACT 141:23891

ED Entered STN: 14 Apr 2004

AB A series of methanoprolinenitrile-containing dipeptide mimetics were synthesized and evaluated as inhibitors of the N-terminal sequence-specific serine protease dipeptidyl peptidase IV (DPP-IV). The catalytic action of DPP-IV is the principle means of degradation of glucagon-like peptide-1 (a key mediator of glucose-stimulated insulin secretion) and DPP-IV inhibition shows clin. benefit as a novel mechanism for treatment of type 2 diabetes. However, many of the reversible inhibitors to date suffer from chemical instability stemming from an amine to nitrile intramol. cyclization. Installation of a cyclopropyl moiety at either the 3,4- or 4,5-position of traditional 2-cyanopyrrolidide proline mimetics led to compds. with potent inhibitory activity against the enzyme. Addnl.,

cis-4,5-methanoprolinenitriles with  $\beta$ -branching in the N-terminal amino acid provided enhanced chemical stability and high inhibitory potency. This class of inhibitors also exhibited the ability to suppress prandial glucose elevations after an oral glucose challenge in male Zucker rats.

IT 700376-83-6

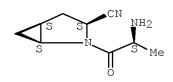
RL: PRP (Properties)

(calcns. of energy barrier toward adopting the conformation required for intramol. cyclization by dipeptidyl prolinenitrile and methanoprolinenitrile)

RN 700376-83-6 HCAPLUS

CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-amino-1-oxopropyl]-, (1S,3S,5S)- (CA INDEX NAME)

Absolute stereochemistry.



IT 361440-73-5P

RL: PAC (Pharmacological activity); SPN (Synthetic preparation); BIOL (Biological study); PREP (Preparation)

(crystal structure; preparation and biol. activity of methanoprolinenitrile-containing dipeptide mimetics as DPP-IV inhibitors and as antidiabetic agents)

RN 361440-73-5 HCAPLUS

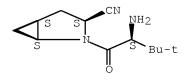
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-amino-3,3-dimethyl-1-oxobutyl]-, (1S,3S,5S)-, 2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)

CM 1

CRN 361440-72-4

CMF C12 H19 N3 O

Absolute stereochemistry.



CM 2

CRN 76-05-1 CMF C2 H F3 O2

```
ΙT
    361440-66-6P
                   361440-77-9P
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    700376-66-5P
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    700376-73-4P 700376-74-5P
                                  700376-75-6P
    700376-76-79 700376-77-89
                                  700376-78-9P
                  700376-80-3P
    700376-79-0P
                                  700376-81-4P
    700376-82-5P
    RL: PAC (Pharmacological activity); SPN (Synthetic preparation); BIOL
     (Biological study); PREP (Preparation)
        (preparation and biol. activity of methanoprolinenitrile-containing
dipeptide
       mimetics as DPP-IV inhibitors and as antidiabetic agents)
RN
     361440-66-6 HCAPLUS
    2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
CN
     2-[(2S,3S)-2-amino-3-methyl-1-oxopentyl]-, (1S,3S,5S)-,
     2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)
    CM
         1
    CRN 361440-65-5
    CMF C12 H19 N3 O
```

Absolute stereochemistry.

CM 2

CRN 76-05-1 CMF C2 H F3 O2

RN 361440-77-9 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S,3S)-2-amino-3-methyl-1-oxopentyl]-, (1R,3S,5R)-,
2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)

CM 1

CRN 361440-76-8

CMF C12 H19 N3 O

Absolute stereochemistry.

CM 2

CRN 76-05-1 CMF C2 H F3 O2

CM 2

CRN 76-05-1

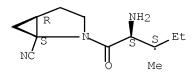
CMF C2 H F3 02

$$F - \begin{bmatrix} F \\ C - CO_2H \end{bmatrix}$$

RN 700376-66-5 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-1-carbonitrile,
 2-[(2S,3S)-2-amino-3-methyl-1-oxopentyl]-, (1S,5R)-,
 2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)

CM 1

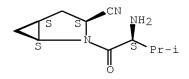
CRN 700376-65-4
CMF C12 H19 N3 O



CM 2

CRN 76-05-1 CMF C2 H F3 O2

Absolute stereochemistry.



CM 2

CRN 76-05-1

CMF C2 H F3 O2

Absolute stereochemistry.

CM 2

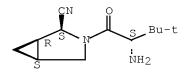
CRN 76-05-1 CMF C2 H F3 O2

RN 700376-70-1 HCAPLUS
CN 3-Azabicyclo[3.1.0]hexane-2-carbonitrile,
3-[(2S)-2-amino-3,3-dimethyl-1-oxobutyl]-, (1R,2S,5S)-,
2,2-trifluoroacetate (1:1) (CA INDEX NAME)

CM 1

CRN 361442-39-9 CMF C12 H19 N3 O

Absolute stereochemistry.



CM 2

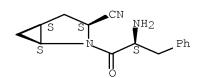
CRN 76-05-1 CMF C2 H F3 O2

RN 700376-71-2 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-1-oxo-3-phenylpropyl]-, (1S,3S,5S)-,
2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)

CM 1

CMF C15 H17 N3 O Absolute stereochemistry.

CRN 361441-08-9



```
RN 700376-72-3 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
    2-[(2S)-2-amino-3-(1H-indol-3-yl)-1-oxopropyl]-, (1S,3S,5S)-,
    2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)

CM 1

CRN 361440-97-3
CMF C17 H18 N4 O
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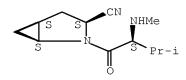
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CRN 76-05-1

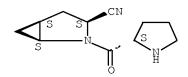
CMF C2 H F3 O2

$$F-\begin{bmatrix}F\\C-CO_2H\end{bmatrix}$$

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RN 700376-73-4 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-3-methyl-2-(methylamino)-1-oxobutyl]-, (1S,3S,5S)-,
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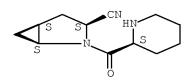


Absolute stereochemistry.



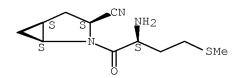
CRN 76-05-1 CMF C2 H F3 O2

Absolute stereochemistry.



CRN 76-05-1 CMF C2 H F3 O2

RN 700376-76-7 HCAPLUS



CM 2

CRN 76-05-1

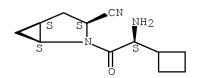
CMF C2 H F3 O2

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RN 700376-77-8 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-2-cyclobutylacetyl]-, (1S,3S,5S)-, 2,2,2-trifluoroacetate
(1:1) (CA INDEX NAME)

CM 1

CRN 361442-56-0
CMF C12 H17 N3 0
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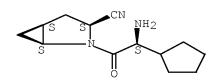
Absolute stereochemistry.



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RN 700376-78-9 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-2-cyclopentylacetyl]-, (1S,3S,5S)-,
2,2,2-trifluoroacetate
(1:1) (CA INDEX NAME)

CM 1

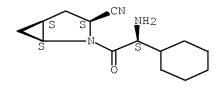
CRN 361442-54-8
CMF C13 H19 N3 O
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RN 700376-79-0 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-2-cyclohexylacetyl]-, (1S,3S,5S)-, 2,2,2-trifluoroacetate
(1:1) (CA INDEX NAME)

CM 1

CRN 361442-55-9
CMF C14 H21 N3 0
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CM 2

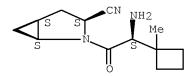
CRN 76-05-1 CMF C2 H F3 O2

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RN 700376-80-3 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-2-(1-methylcyclobutyl)acetyl]-, (1S,3S,5S)-,
2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)

CM 1

CRN 361442-53-7
CMF C13 H19 N3 O
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Absolute stereochemistry.



CM 2

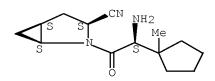
CRN 76-05-1 CMF C2 H F3 O2

RN 700376-81-4 HCAPLUS
CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-2-(1-methylcyclopentyl)acetyl]-, (1S,3S,5S)-,
2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)

CM 1

CRN 361442-50-4
CMF C14 H21 N3 0

Absolute stereochemistry.



CM 2

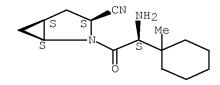
CRN 76-05-1 CMF C2 H F3 O2

RN 700376-82-5 HCAPLUS
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2-[(2S)-2-amino-2-(1-methylcyclohexyl)acetyl]-, (1S,3S,5S)-,
2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)

CM 1

CRN 361442-48-0
CMF C15 H23 N3 O

Absolute stereochemistry.



CM 2

CRN 76-05-1 CMF C2 H F3 O2

OS.CITING REF COUNT: 57 THERE ARE 57 CAPLUS RECORDS THAT CITE THIS

RECORD (57 CITINGS)

REFERENCE COUNT: 90 THERE ARE 90 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

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L57 ANSWER 16 OF 16 EMBASE COPYRIGHT (c) 2012 Elsevier B.V. All rights

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ACCESSION NUMBER: 2010425818 EMBASE Full-text

TITLE: Synthesis and SAR of azolopyrimidines as potent and

selective dipeptidyl peptidase-4 (DPP4) inhibitors for type

2 diabetes.

AUTHOR: Brigance, Robert P.; Meng, Wei; Zahler, Robert; Mamann,

Lawrence G.

CORPORATE SOURCE: Department of Discovery Chemistry, Bristol-Myers Squibb,

Research and Development, PO Box 5400, Princeton, NJ 08543-5400, United States. robert.brigance@bms.com;

wei.meng@bms.com

AUTHOR: Fura, Aberra

CORPORATE SOURCE: Department of Pharmaceutical Candidate Optimization,

Bristol-Myers Squibb, Research and Development, PO Box

5400, Princeton, NJ 08543-5400, United States.

AUTHOR: Harrity, Thomas; Wang, Aiying; Kirby, Mark S.

CORPORATE SOURCE: Department of Metabolic Diseases, Bristol-Myers Squibb,

Research and Development, PO Box 5400, Princeton, NJ

08543-5400, United States.

AUTHOR: Brigance, R. P. (correspondence)

CORPORATE SOURCE: Department of Discovery Chemistry, Bristol-Myers Squibb,

Research and Development, PO Box 5400, Princeton, NJ 08543-5400, United States. robert.brigance@bms.com

SOURCE: Bioorganic and Medicinal Chemistry Letters, (1 Aug 2010)

Vol. 20, No. 15, pp. 4395-4398.

Refs: 23

ISSN: 0960-894X CODEN: BMCLE8

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United Kingdom.

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037 Drug Literature Index

LANGUAGE: English SUMMARY LANGUAGE: English

ENTRY DATE: Entered STN: 24 Aug 2010

Last Updated on STN: 24 Jan 2011

ED Entered STN: 24 Aug 2010

Last Updated on STN: 24 Jan 2011

AB Several pyrazolo-, triazolo-, and imidazolopyrimidines were synthesized and evaluated as inhibitors of DPP4. Of these three classes of compounds, the imidazolopyrimidines displayed the greatest potency and demonstrated excellent selectivity over the other dipeptidyl peptidases. SAR evaluation for these scaffolds was described as they may represent potential treatments

for type 2 diabetes. .COPYRGT. 2010 Elsevier Ltd. All rights reserved.

CT Medical Descriptors:

animal experiment

animal model

article

```
drug potency
     drug selectivity
     drug synthesis
     mouse
     *non insulin dependent diabetes mellitus
     nonhuman
     *structure activity relation
    Drug Descriptors:
     alogliptin: AN, drug analysis
     alogliptin: PD, pharmacology
     *azolopyrimidine: AN, drug analysis
     *azolopyrimidine: PD, pharmacology
     *dipeptidyl peptidase IV inhibitor: AN, drug analysis
     *dipeptidyl peptidase IV inhibitor: PD, pharmacology
     linagliptin: AN, drug analysis
     linagliptin: PD, pharmacology
     saxagliptin: AN, drug analysis
     saxagliptin: PD, pharmacology
     sitagliptin: AN, drug analysis
     sitagliptin: PD, pharmacology
     unclassified drug
     vildagliptin: AN, drug analysis
     vildagliptin: PD, pharmacology
ST
    Azolopyrimidines; DPP4; GLP-1; SAR
RN
    (alogliptin) 850649-61-5; (linagliptin) 668270-12-0; (saxagliptin)
     361442~04~8, 945667~22~1; (sitagliptin) 486460-32-6, 654671-78-0;
     (vildagliptin) 274901-16-5
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FILE CONTAINS CURRENT INFORMATION.
LAST RELOADED: Apr 27, 2012 (20120427/UP).
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=> d his ful

L3

(FILE 'HOME' ENTERED AT 08:07:49 ON 01 MAY 2012) CHARGED TO COST=TC1600

FILE 'ZCAPLUS' ENTERED AT 08:08:08 ON 01 MAY 2012 CHARGED TO COST=TC1600

E US2001-788173/APPS

FILE 'HCAPLUS' ENTERED AT 08:08:26 ON 01 MAY 2012

CHARGED TO COST=TC1600

L1 1 SEA SPE=ON ABB=ON PLU=ON US2001-788173/APPS

D SCAN SEL L1 1- RN

FILE 'REGISTRY' ENTERED AT 08:08:42 ON 01 MAY 2012 CHARGED TO COST=TC1600

242 SEA SPE=ON ABB=ON PLU=ON (1000689-56-4/BI OR 102502-64-7/BI T.2 OR 102507-13-1/BI OR 104757-47-3/BI OR 105-53-3/BI OR 1068-90-2 /BI OR 108-94-1/BI OR 1098535-00-2/BI OR 1098535-01-3/BI OR 1098535-02-4/BI OR 1098535-03-5/BI OR 1098535-04-6/BI OR 1098535-05-7/BI OR 1098535-06-8/BI OR 1098535-07-9/BI OR 1098535-08-0/BI OR 1098535-09-1/BI OR 1098535-10-4/BI OR 1098535-11-5/BI OR 1098535-12-6/BI OR 1098535-13-7/BI OR 1098535-14-8/BI OR 1098535-15-9/BI OR 1098535-16-0/BI OR 1098535-17-1/BI OR 1098535-18-2/BI OR 1098535-19-3/BI OR 1098535-20-6/BI OR 1098535-21-7/BI OR 1098535-22-8/BI OR 1098535-23-9/BI OR 1098535-24-0/BI OR 1191-95-3/BI OR 120-92-3/ BI OR 13031-04-4/BI OR 13139-16-7/BI OR 13433-00-6/BI OR 13837-45-1/BI OR 14328-51-9/BI OR 143979-40-2/BI OR 144643-84-5 /BI OR 144978-12-1/BI OR 15023-45-7/BI OR 1576-87-0/BI OR 173724-30-6/BI OR 173724-34-0/BI OR 178172-26-4/BI OR 179015-57 -7/BI OR 179015-58-8/BI OR 18928-91-1/BI OR 1903-22-6/BI OR 191655-45-5/BI OR 19550-72-2/BI OR 197142-36-2/BI OR 200424-69-7/BI OR 20859-02-3/BI OR 2094-74-8/BI OR 2130-96-3/BI OR 214193-10-9/BI OR 214193-11-0/BI OR 214193-13-2/BI OR 21573-69-3/BI OR 2356-16-3/BI OR 2534-90-9/BI OR 3005-66-1/BI OR 35264-06-3/BI OR 361440-58-6/BI OR 361440-61-1/BI OR 361440-62-2/BI OR 361440-63-3/BI OR 361440-64-4/BI OR 361440-65-5/BI OR 361440-66-6/BI OR 361440-67-7/BI OR 361440-69-9/BI OR 361440-70 -2/BI OR 361440-71-3/BI OR 361440-73-5/BI OR 361440-77-9/BI OR 361440-78-0/BI OR 361440-79-1/BI OR 361440-88-2/BI OR 361440-91 -7/BI OR 361440-95-1/BI OR 361440-97-3/BI OR 361440-99-5/BI OR 361441-01-2/BI OR 361441-03-4/BI OR 361441-04-5/BI OR 361441-05 -6/BI OR 361441-06-7/BI OR 361441-07-8/BI OR 361441-08-9/BI OR 361441-09-0/BI OR 361441-10-3/BI OR 361441-11-4/BI OR 361441-12

150 SEA SPE=ON ABB=ON PLU=ON L2 AND C3-NC4/ES

-5/BI OR 361441-13-6/BI OR 361441-14-7/BI OR 361441-15-8/BI

FILE 'LREGISTRY' ENTERED AT 08:10:11 ON 01 MAY 2012 CHARGED TO COST=TC1600 L4 STR

FILE 'REGISTRY' ENTERED AT 08:15:03 ON 01 MAY 2012 CHARGED TO COST=TC1600

L5 50 SEA SSS SAM L4

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FILE 'REGISTRY' ENTERED AT 08:16:15 ON 01 MAY 2012 CHARGED TO COST=TC1600

L7 50 SEA SSS SAM L6

FILE 'STNGUIDE' ENTERED AT 08:16:57 ON 01 MAY 2012 CHARGED TO COST=TC1600

FILE 'LREGISTRY' ENTERED AT 08:17:39 ON 01 MAY 2012 CHARGED TO COST=TC1600 L8 STR L6

FILE 'REGISTRY' ENTERED AT 08:17:51 ON 01 MAY 2012 CHARGED TO COST=TC1600 L9 50 SEA SSS SAM L8

FILE 'STNGUIDE' ENTERED AT 08:18:53 ON 01 MAY 2012 CHARGED TO COST=TC1600

FILE 'REGISTRY' ENTERED AT 08:19:58 ON 01 MAY 2012 CHARGED TO COST=TC1600

FILE 'LREGISTRY' ENTERED AT 08:20:31 ON 01 MAY 2012 CHARGED TO COST=TC1600 L10 STR L8

FILE 'REGISTRY' ENTERED AT 08:21:03 ON 01 MAY 2012 CHARGED TO COST=TC1600 L11 50 SEA SSS SAM L10

FILE 'STNGUIDE' ENTERED AT 08:21:56 ON 01 MAY 2012 CHARGED TO COST=TC1600

D QUE STAT

FILE 'LREGISTRY' ENTERED AT 08:28:12 ON 01 MAY 2012 CHARGED TO COST=TC1600 L12 STR L10

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FILE 'STNGUIDE' ENTERED AT 08:30:11 ON 01 MAY 2012 CHARGED TO COST=TC1600

D QUE STAT

FILE 'REGISTRY' ENTERED AT 08:32:40 ON 01 MAY 2012

CHARGED TO COST=TC1600 8057 SEA SSS FUL L12 SAVE TEMP L14 POL658PSET1/A L15 15 SEA SPE=ON ABB=ON PLU=ON L3 NOT L14 D SCAN FILE 'STNGUIDE' ENTERED AT 08:33:40 ON 01 MAY 2012 CHARGED TO COST=TC1600 FILE 'REGISTRY' ENTERED AT 08:35:08 ON 01 MAY 2012 CHARGED TO COST=TC1600 L16 135 SEA SPE=ON ABB=ON PLU=ON L2 AND L14 FILE 'STNGUIDE' ENTERED AT 08:35:23 ON 01 MAY 2012 CHARGED TO COST=TC1600 FILE 'LREGISTRY' ENTERED AT 08:38:02 ON 01 MAY 2012 CHARGED TO COST=TC1600 L17 STR FILE 'REGISTRY' ENTERED AT 08:41:44 ON 01 MAY 2012 CHARGED TO COST=TC1600 O SEA SUB=L14 SSS SAM L17 D QUE STAT L19 4 SEA SUB=L14 SSS FUL L17 SAVE TEMP L19 POL658NSET1/A D SCAN FILE 'LREGISTRY' ENTERED AT 08:44:15 ON 01 MAY 2012 CHARGED TO COST=TC1600 L20 STR L12 FILE 'REGISTRY' ENTERED AT 08:46:21 ON 01 MAY 2012 CHARGED TO COST=TC1600 50 SEA SUB=L14 SSS SAM L20 D QUE STAT 8057 SEA SUB=L14 SSS FUL L20 L22 SAVE TEMP L22 POL658RSET1/A L23 8053 SEA SPE=ON ABB=ON PLU=ON L22 NOT L19 SAVE TEMP L23 POL658CROSS/A D SCAN L19 FILE 'STNGUIDE' ENTERED AT 08:48:55 ON 01 MAY 2012 CHARGED TO COST=TC1600 D SAVED FILE 'ZCAPLUS' ENTERED AT 08:49:36 ON 01 MAY 2012 CHARGED TO COST=TC1600 QUE SPE=ON ABB=ON PLU=ON ROBL, J?/AU, AUTH, IN QUE SPE=ON ABB=ON PLU=ON SULSKY, R?/AU, AUTH, IN L25

QUE SPE=ON ABB=ON PLU=ON SULSKY, D?/AU, AUTH, IN

QUE SPE=ON ABB=ON PLU=ON AUGERI, D?/AU, AUTH, IN

QUE SPE=ON ABB=ON PLU=ON MAGNIN, D?/AU, AUTH, IN

QUE SPE=ON ABB=ON PLU=ON HAMANN, L?/AU, AUTH, IN

L26

L27

L28

L29

L30 QUE SPE=ON ABB=ON PLU=ON BETEBENNER, D?/AU, AUTH, IN FILE 'HCAPLUS' ENTERED AT 08:50:44 ON 01 MAY 2012 CHARGED TO COST=TC1600 1 SEA SPE=ON ABB=ON PLU=ON L1 AND (L24 OR L25 OR L26 OR L27 L31 OR L28 OR L29 OR L30) D BIB FILE 'ZCAPLUS' ENTERED AT 08:51:00 ON 01 MAY 2012 CHARGED TO COST=TC1600 L32 OUE SPE=ON ABB=ON PLU=ON AY<2001 OR PY<2001 OR PRY<2001 OR MY<2001 OR REVIEW/DT FILE 'HCAPLUS' ENTERED AT 08:51:58 ON 01 MAY 2012 CHARGED TO COST=TC1600 L33 725 SEA SPE=ON ABB=ON PLU=ON L23 L34 26 SEA SPE=ON ABB=ON PLU=ON L33 AND (L24 OR L25 OR L26 OR L27 OR L28 OR L29 OR L30) L35 O SEA SPE=ON ABB=ON PLU=ON L1 NOT L34 L36 26 SEA SPE=ON ABB=ON PLU=ON (L34 OR L35) L37 699 SEA SPE=ON ABB=ON PLU=ON L33 NOT L36 142 SEA SPE=ON ABB=ON PLU=ON L37 AND L32 L38 FILE 'STNGUIDE' ENTERED AT 08:53:26 ON 01 MAY 2012 CHARGED TO COST=TC1600 FILE 'LREGISTRY' ENTERED AT 08:55:08 ON 01 MAY 2012 CHARGED TO COST=TC1600 STR L12 FILE 'REGISTRY' ENTERED AT 08:55:41 ON 01 MAY 2012 CHARGED TO COST=TC1600 L40 50 SEA SUB=L14 SSS SAM L39 FILE 'STNGUIDE' ENTERED AT 08:56:21 ON 01 MAY 2012 CHARGED TO COST=TC1600 D QUE STAT FILE 'REGISTRY' ENTERED AT 08:59:05 ON 01 MAY 2012 CHARGED TO COST=TC1600 6632 SEA SUB=L14 SSS FUL L39 SAVE TEMP L41 POL658NSET2/A 1421 SEA SPE=ON ABB=ON PLU=ON L23 NOT L41 SAVE TEMP L42 POL658CROSS2/A FILE 'STNGUIDE' ENTERED AT 09:00:19 ON 01 MAY 2012 CHARGED TO COST=TC1600 D SAVED FILE 'HCAPLUS' ENTERED AT 09:00:54 ON 01 MAY 2012 CHARGED TO COST=TC1600

FILE 'REGISTRY' ENTERED AT 09:01:03 ON 01 MAY 2012

CHARGED TO COST=TC1600

L43 27 SEA SPE=ON ABB=ON PLU=ON L16 NOT L42 FILE 'HCAPLUS' ENTERED AT 09:02:03 ON 01 MAY 2012 CHARGED TO COST=TC1600 427 SEA SPE=ON ABB=ON PLU=ON L42 15 SEA SPE=ON ABB=ON PLU=ON L44 AND (L24 OR L25 OR L26 OR L27 L45 OR L28 OR L29 OR L30) O SEA SPE=ON ABB=ON PLU=ON L1 NOT L45 15 SEA SPE=ON ABB=ON PLU=ON (L45 OR L46) L47 412 SEA SPE=ON ABB=ON PLU=ON L44 NOT L47 L48 87 SEA SPE=ON ABB=ON PLU=ON L48 AND L32 L49 FILE 'REGISTRY' ENTERED AT 09:03:44 ON 01 MAY 2012 CHARGED TO COST=TC1600 FILE 'HCAPLUS' ENTERED AT 09:03:55 ON 01 MAY 2012 CHARGED TO COST=TC1600 L50 TRA PLU=ON L49 1- RN HIT: 74 TERMS FILE 'REGISTRY' ENTERED AT 09:04:00 ON 01 MAY 2012 CHARGED TO COST=TC1600 74 SEA SPE=ON ABB=ON PLU=ON L50 L52 74 SEA SPE=ON ABB=ON PLU=ON L51 NOT L2 E SAXAGLIPTIN/CN L53 1 SEA SPE=ON ABB=ON PLU=ON SAXAGLIPTIN/CN D SCAN FILE 'STNGUIDE' ENTERED AT 09:08:27 ON 01 MAY 2012 CHARGED TO COST=TC1600 FILE 'REGISTRY' ENTERED AT 09:08:58 ON 01 MAY 2012 CHARGED TO COST=TC1600 L54 961 SEA SPE=ON ABB=ON PLU=ON L42 AND (MEDLINE OR BIOSIS OR EMBASE OR CABA OR BIOTECHNO OR DRUGU OR VETU OR TOXCENTER OR NAPRALERT)/LC FILE 'MEDLINE, BIOSIS, EMBASE, CABA, BIOTECHNO, DRUGU, VETU, TOXCENTER, NAPRALERT' ENTERED AT 09:09:45 ON 01 MAY 2012 CHARGED TO COST=TC1600 L55 859 SEA SPE=ON ABB=ON PLU=ON L54 L56 10 SEA SPE=ON ABB=ON PLU=ON L55 AND (L24 OR L25 OR L26 OR L27 OR L28 OR L29 OR L30) FILE 'STNGUIDE' ENTERED AT 09:10:15 ON 01 MAY 2012 CHARGED TO COST=TC1600 D QUE STAT L14 D QUE STAT L19 D QUE STAT L22 D QUE STAT L23 D QUE STAT L41 D QUE STAT L42 D QUE NOS L49

FILE 'HCAPLUS' ENTERED AT 09:12:53 ON 01 MAY 2012

CHARGED TO COST=TC1600

SAVE TEMP L49 POL658MAINB/A

FILE 'STNGUIDE' ENTERED AT 09:13:17 ON 01 MAY 2012 CHARGED TO COST=TC1600

FILE 'EMBASE, TOXCENTER' ENTERED AT 09:14:16 ON 01 MAY 2012 CHARGED TO COST=TC1600

FILE 'STNGUIDE' ENTERED AT 09:14:23 ON 01 MAY 2012 CHARGED TO COST=TC1600

FILE 'HCAPLUS' ENTERED AT 09:14:48 ON 01 MAY 2012 CHARGED TO COST=TC1600

D L49 IBIB ED ABS HITSTR 1-30

FILE 'STNGUIDE' ENTERED AT 09:14:51 ON 01 MAY 2012 CHARGED TO COST=TC1600

FILE 'HCAPLUS' ENTERED AT 09:15:22 ON 01 MAY 2012 CHARGED TO COST=TC1600

D L49 IBIB ED ABS HITSTR 31-60

FILE 'STNGUIDE' ENTERED AT 09:15:25 ON 01 MAY 2012 CHARGED TO COST=TC1600

FILE 'HCAPLUS' ENTERED AT 09:15:39 ON 01 MAY 2012 CHARGED TO COST=TC1600

D L49 IBIB ED ABS HITSTR 61-87

FILE 'STNGUIDE' ENTERED AT 09:15:51 ON 01 MAY 2012 CHARGED TO COST=TC1600

D QUE NOS L47 D QUE NOS L56

FILE 'HCAPLUS, EMBASE, TOXCENTER' ENTERED AT 09:18:09 ON 01 MAY 2012 CHARGED TO COST=TC1600

L57

16 DUP REM L47 L56 (9 DUPLICATES REMOVED)

ANSWERS '1-15' FROM FILE HCAPLUS

ANSWER '16' FROM FILE EMBASE

SAVE TEMP L57 POL658INV/A

FILE 'STNGUIDE' ENTERED AT 09:18:22 ON 01 MAY 2012 CHARGED TO COST=TC1600

FILE 'HCAPLUS, EMBASE' ENTERED AT 09:18:58 ON 01 MAY 2012 CHARGED TO COST=TC1600

D IBIB ED ABS HITSTR 1-15

FILE 'STNGUIDE' ENTERED AT 09:19:17 ON 01 MAY 2012 CHARGED TO COST=TC1600

FILE 'HCAPLUS, EMBASE' ENTERED AT 09:19:32 ON 01 MAY 2012 CHARGED TO COST=TC1600

#### D IBIB ED ABS IND 16

FILE 'STNGUIDE' ENTERED AT 09:19:33 ON 01 MAY 2012 CHARGED TO COST=TC1600

FILE 'STNGUIDE' ENTERED AT 09:19:55 ON 01 MAY 2012 CHARGED TO COST=TC1600

FILE HOME

FILE ZCAPLUS

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FILE LAST UPDATED: 30 Apr 2012 (20120430/ED)

REVISED CLASS FIELDS (/NCL) LAST RELOADED: Dec 2011

USPTO MANUAL OF CLASSIFICATIONS THESAURUS ISSUE DATE: Dec 2011

ZCAplus now includes complete International Patent Classification (IPC) reclassification data for the fourth quarter of 2011.

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STRUCTURE FILE UPDATES: 30 APR 2012 HIGHEST RN 1371687-07-8 DICTIONARY FILE UPDATES: 30 APR 2012 HIGHEST RN 1371687-07-8

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#### FILE STNGUIDE

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LAST RELOADED: Apr 27, 2012 (20120427/UP).

## FILE MEDLINE

FILE LAST UPDATED: 28 Apr 2012 (20120428/UP). FILE COVERS 1946 TO DATE.

 ${\tt MEDLINE}\,({\tt R})$  is a registered trademark of the U.S. National Library of Medicine (NLM).

MEDLINE and LMEDLINE have been updated with the 2012 Medical Subject Headings (MeSH) vocabulary and tree numbers from the U.S. National Libra of Medicine (NLM). Additional information is available at:

# http://www.nlm.nih.gov/pubs/techbull/nd11/nd11 medline data changes 2012.

The 2012 Medline reload was completed on January 29, 2012. See HELP RLOAD for details.

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See HELP RANGE before carrying out any RANGE search.

FILE BIOSIS

FILE COVERS 1926 TO DATE.

CAS REGISTRY NUMBERS AND CHEMICAL NAMES (CNs) PRESENT FROM JANUARY 1926 TO DATE.

RECORDS LAST ADDED: 26 April 2012 (20120426/ED)

BIOSIS has been augmented with 1.8 million archival records from 1926 through 1968. These records have been re-indexed to match current BIOSIS indexing.

FILE EMBASE

FILE COVERAGE: EMBASE-originated material 1947 to 30 Apr 2012 (20120430/E Unique MEDLINE content 1948 to present

 ${\tt EMBASE}$  is now updated daily. SDI frequency remains weekly (default) and biweekly.

This file contains CAS Registry Numbers for easy and accurate substance identification.

For further assistance, please contact your local helpdesk.

FILE CABA

FILE LAST UPDATED: 25 APR 2012 <20120425/UP>

FILE COVERS 1973 TO DATE

<<< SIMULTANEOUS LEFT AND RIGHT TRUNCATION IS AVAILABLE IN
THE BASIC INDEX (/BI), ABSTRACT (/AB), AND TITLE (/TI) FIELDS >>>

FILE BIOTECHNO

FILE LAST UPDATED: 7 JAN 2004 <20040107/UP>

FILE COVERS 1980 TO 2003.

THIS FILE IS A STATIC FILE WITH NO UPDATES

>>> SIMULTANEOUS LEFT AND RIGHT TRUNCATION AVAILABLE IN /CT AND BASIC INDEX <<<

FILE DRUGU

FILE LAST UPDATED: 30 APR 2012 <20120430/UP>
>>> DERWENT DRUG FILE (SUBSCRIBER) <<<

>>> FILE COVERS 1983 TO DATE <<<

>>> SIMULTANEOUS LEFT AND RIGHT TRUNCATION HAS BEEN ADDED TO THE BASIC INDEX (/BI) FIELD <><

FILE VETU

FILE LAST UPDATED: 2 JAN 2002 <20020102/UP>

FILE COVERS 1983-2001

FILE TOXCENTER

FILE COVERS 1907 TO 1 May 2012 (20120501/ED)

MEDLINE was last reloaded on January 29, 2011.

See HELP RLOAD for details.

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FILE NAPRALERT

On March 30, the NAPRALERT database was updated with additional content indexed between 2006 and 2011.

FILE COVERS 1650 TO 2011

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- NEWS 27 FEB 28 REACH List of Registered Substances Now in CHEMLIST on STN
- NEWS 28 MAR 12 RTECS Database on STN Enhanced with Aquatic and In Vitro Exposure Toxicity Data
- NEWS 29 MAR 12 MARPAT Database Enhanced with Additional Markush Backfile Content for STN
- NEWS 30 MAR 19 The 1MOBILITY and 2MOBILITY databases were reloaded on March 18, 2012
- NEWS 31 MAR 19 STN Adds Chinese Patent Full Text Database CNFULL
- NEWS 32 MAR 19 Get the Content You Need Sooner with ePub Ahead of Print Records Available in MEDLINE on STN!
- NEWS 33 MAR 30 NAPRALERT Updated with More Natural Products Information
- NEWS 34 APR 9 CAS Expands Global Patent Coverage The Eurasian Patent Organization Becomes 63rd Authority on CA/CAplus
- NEWS 35 APR 16 DWPI Database (WPINDEX, WPIDS, WPIX) Enhanced with Numerical Property Search Feature
- NEWS 36 APR 23 RSS Delivery for STN Alerts (SDIs) is Now Available on STN

NEWS EXPRESS 18 AUGUST 2011 CURRENT WINDOWS VERSION IS V8.5, AND CURRENT DISCOVER FILE IS DATED 11 AUGUST 2011.

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=> fil reg

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STRUCTURE FILE UPDATES: 29 APR 2012 HIGHEST RN 1371145-50-4
DICTIONARY FILE UPDATES: 29 APR 2012 HIGHEST RN 1371145-50-4

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*** YOU HAVE NEW MAIL ***
=> s saxagliptin/cn
            1 SAXAGLIPTIN/CN
=> d 11
    ANSWER 1 OF 1 REGISTRY COPYRIGHT 2012 ACS on STN
L1
     361442-04-8 REGISTRY
RN
    Entered STN: 11 Oct 2001
ED
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     (1s, 3s, 5s) - (CA INDEX NAME)
OTHER CA INDEX NAMES:
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     2-[(2S)-amino(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-, (1S,3S,5S)-
     (9CI)
OTHER NAMES:
    BMS 477118
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CN
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FS
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CT
    COM
SR
    CA
LC
     STN Files:
                  ADISINSIGHT, ANABSTR, CA, CAPLUS, CASREACT, CBNB, CHEMCATS,
       CHEMLIST, DDFU, DRUGU, EMBASE, IMSPATENTS, IMSRESEARCH, IPA, MRCK*,
       PATDPASPC, PS, RTECS*, TOXCENTER, USAN, USPAT2, USPATFULL
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```

Absolute stereochemistry.

\*\*PROPERTY DATA AVAILABLE IN THE 'PROP' FORMAT\*\*

313 REFERENCES IN FILE CA (1907 TO DATE)
4 REFERENCES TO NON-SPECIFIC DERIVATIVES IN FILE CA
330 REFERENCES IN FILE CAPLUS (1907 TO DATE)

=> s saxagliptin

L2 5 SAXAGLIPTIN

=> d 12 1-

YOU HAVE REQUESTED DATA FROM 5 ANSWERS - CONTINUE? Y/(N):y

L2 ANSWER 1 OF 5 REGISTRY COPYRIGHT 2012 ACS on STN

RN 945667-22-1 REGISTRY

ED Entered STN: 28 Aug 2007

CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-amino-2-(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-, hydrate (1:1), (1S,3S,5S)- (CA INDEX NAME)

OTHER NAMES:

CN Saxagliptin hydrate

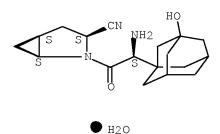
FS STEREOSEARCH

MF C18 H25 N3 O2 . H2 O

SR CAS Client Services

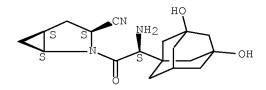
LC STN Files: ADISINSIGHT, CA, CAPLUS, CASREACT, CHEMCATS, EMBASE,
IMSPATENTS, IMSRESEARCH, IPA, MRCK\*, TOXCENTER, USAN, USPATZ, USPATFULL
(\*File contains numerically searchable property data)
CRN (361442-04-8)

Absolute stereochemistry.



\*\*PROPERTY DATA AVAILABLE IN THE 'PROP' FORMAT\*\*

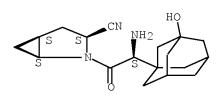
```
3 REFERENCES IN FILE CA (1907 TO DATE)
               3 REFERENCES IN FILE CAPLUS (1907 TO DATE)
    ANSWER 2 OF 5 REGISTRY COPYRIGHT 2012 ACS on STN
L2
    841302-24-7 REGISTRY
RN
    Entered STN: 03 Mar 2005
ED
     2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
CN
     2-[(2S)-2-amino-2-(3,5-dihydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-,
     (1s, 3s, 5s) - (CA INDEX NAME)
OTHER CA INDEX NAMES:
     2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
     2-[(2S)-amino(3,5-dihydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-,
     (1s, 3s, 5s) - (9CI)
OTHER NAMES:
     5-Hydroxy saxagliptin
CN
CN
     BMS 510849
CN
     M2 saxagliptin hydroxylated metabolite
FS
     STEREOSEARCH
MF
     C18 H25 N3 O3
CI
     COM
SR
     CA
                 CA, CAPLUS, CASREACT, CHEMCATS, TOXCENTER, USPAT2, USPATFULL
LC
     STN Files:
```



```
**PROPERTY DATA AVAILABLE IN THE 'PROP' FORMAT**
               6 REFERENCES IN FILE CA (1907 TO DATE)
               7 REFERENCES IN FILE CAPLUS (1907 TO DATE)
L2
    ANSWER 3 OF 5 REGISTRY COPYRIGHT 2012 ACS on STN
    709031-78-7 REGISTRY
RN
     Entered STN: 13 Jul 2004
ED
     2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
     2-[(2S)-2-amino-2-(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-,
     hydrochloride (1:1), (1S,3S,5S)- (CA INDEX NAME)
OTHER CA INDEX NAMES:
     2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
     2-[(2S)-amino(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-,
     monohydrochloride, (1S, 3S, 5S) - (9CI)
OTHER NAMES:
CN
    Saxagliptín hydrochloride
FS
     STEREOSEARCH
MF
    C18 H25 N3 O2 . Cl H
SR
    CA
```

LC STN Files: CA, CAPLUS, CASREACT, CHEMCATS, PATDPASPC, TOXCENTER, USPAT2, USPATFULL
CRN (361442-04-8)

Absolute stereochemistry.



● HCl

12 REFERENCES IN FILE CA (1907 TO DATE)

12 REFERENCES IN FILE CAPLUS (1907 TO DATE)

L2 ANSWER 4 OF 5 REGISTRY COPYRIGHT 2012 ACS on STN

RN 709031-43-6 REGISTRY

ED Entered STN: 13 Jul 2004

CN Carbamic acid, N-[(1S)-2-[(1S,3S,5S)-3-cyano-2-azabicyclo[3.1.0]hex-2-yl]-1-(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)-2-oxoethyl]-, 1,1-dimethylethylester (CA INDEX NAME)

OTHER CA INDEX NAMES:

CN Carbamic acid, [(1S)-2-[(1S,3S,5S)-3-cyano-2-azabicyclo[3.1.0]hex-2-yl]-1-(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)-2-oxoethyl]-, 1,1-dimethylethyl ester (9CI)

OTHER NAMES:

CN Boc-saxagliptin

FS STEREOSEARCH

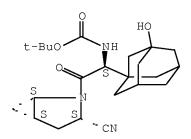
MF C23 H33 N3 O4

CI COM

SR CA

LC STN Files: CA, CAPLUS, CASREACT, CHEMCATS, CHEMLIST, TOXCENTER, USPAT2, USPATFULL

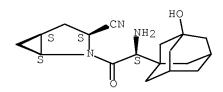
Absolute stereochemistry.



```
**PROPERTY DATA AVAILABLE IN THE 'PROP' FORMAT**
              14 REFERENCES IN FILE CA (1907 TO DATE)
              14 REFERENCES IN FILE CAPLUS (1907 TO DATE)
T.2
    ANSWER 5 OF 5 REGISTRY COPYRIGHT 2012 ACS on STN
     361442-04-8 REGISTRY
RN
ED
    Entered STN: 11 Oct 2001
     2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
CN
     2-[(2S)-2-amino-2-(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-
     (1s, 3s, 5s) - (CA INDEX NAME)
OTHER CA INDEX NAMES:
     2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
     2-[(2S)-amino(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-, (1S,3S,5S)-
OTHER NAMES:
    BMS 477118
CN
CN
    BMS 477118-11
CN
    Onglyza
CN
    Saxagliptin
FS
     STEREOSEARCH
     1339955-48-4
DR
MF
    C18 H25 N3 O2
CI
    COM
SR
    CA
LC
     STN Files:
                 ADISINSIGHT, ANABSTR, CA, CAPLUS, CASREACT, CBNB, CHEMCATS,
       CHEMLIST, DDFU, DRUGU, EMBASE, IMSPATENTS, IMSRESEARCH, IPA, MRCK*,
       PATDPASPC, PS, RTECS*, TOXCENTER, USAN, USPAT2, USPATFULL
```

(\*File contains numerically searchable property data)

Absolute stereochemistry.



\*\*PROPERTY DATA AVAILABLE IN THE 'PROP' FORMAT\*\*

313 REFERENCES IN FILE CA (1907 TO DATE)
4 REFERENCES TO NON-SPECIFIC DERIVATIVES IN FILE CA
330 REFERENCES IN FILE CAPLUS (1907 TO DATE)

=> display set notice

SET PARAMETER CURRENT PERMANENT LOGIN DEFAULT

\_\_\_\_\_

NOTICE (USD)

DISPLAY 'OFF' 'OFF' '100'
SEARCH '1000' '1000' '1000'

=> FILE REG

FILE 'REGISTRY' ENTERED AT 15:46:17 ON 30 APR 2012 USE IS SUBJECT TO THE TERMS OF YOUR STN CUSTOMER AGREEMENT. PLEASE SEE "HELP USAGETERMS" FOR DETAILS. COPYRIGHT (C) 2012 American Chemical Society (ACS)

Property values tagged with IC are from the ZIC/VINITI data file provided by InfoChem.

STRUCTURE FILE UPDATES: 29 APR 2012 HIGHEST RN 1371145-50-4 DICTIONARY FILE UPDATES: 29 APR 2012 HIGHEST RN 1371145-50-4

CAS Information Use Policies apply and are available at:

http://www.cas.org/legal/infopolicy.html

TSCA INFORMATION NOW CURRENT THROUGH DECEMBER 23, 2011

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REGISTRY includes numerically searchable data for experimental and predicted properties as well as tags indicating availability of experimental property data in the original document. For information on property searching in REGISTRY, refer to:

http://www.cas.org/support/stngen/stndoc/properties.html

=> STR 361442-04-8

:END

T.3 STRUCTURE CREATED

=> S L3 FAM SAM

SAMPLE SEARCH INITIATED 15:46:20 FILE 'REGISTRY' SAMPLE SCREEN SEARCH COMPLETED - 12 TO ITERATE

100.0% PROCESSED 12 ITERATIONS 2 ANSWERS

SEARCH TIME: 00.00.01

FULL FILE PROJECTIONS: ONLINE \*\*COMPLETE\*\* BATCH \*\*COMPLETE\*\* PROJECTED ITERATIONS: 33 TO 447 PROJECTED ANSWERS: 2 TO 124

L4 2 SEA FAM SAM L3

=>

=> D SCAN

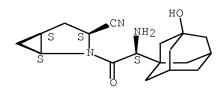
L4 2 ANSWERS REGISTRY COPYRIGHT 2012 ACS on STN

IN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,
2-[(2S)-2-amino-2-(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-,
(1S,3S,5S)-, (2Z)-2-butenedioate (1:1)

MF C18 H25 N3 O2 . C4 H4 O4

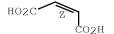
CM 1

Absolute stereochemistry.



CM 2

Double bond geometry as shown.



HOW MANY MORE ANSWERS DO YOU WISH TO SCAN? (1):1

L4 2 ANSWERS REGISTRY COPYRIGHT 2012 ACS on STN
IN 2-Azabicyclo[3.1.0]hexane-1,5-d2-3-carbonitrile,
2-[(2S)-2-amino-2-(7-hydroxytricyclo[3.3.1.13,7]dec-1-yl2,2,3,4,4,5,6,6,8,8,9,9,10,10-d14)acetyl]-, (1S,3S,5S)MF C18 H9 D16 N3 O2

Absolute stereochemistry.

#### ALL ANSWERS HAVE BEEN SCANNED

#### => fil REGISTRY

FILE 'REGISTRY' ENTERED AT 15:46:33 ON 30 APR 2012 USE IS SUBJECT TO THE TERMS OF YOUR STN CUSTOMER AGREEMENT. PLEASE SEE "HELP USAGETERMS" FOR DETAILS. COPYRIGHT (C) 2012 American Chemical Society (ACS)

Property values tagged with IC are from the ZIC/VINITI data file provided by InfoChem.

STRUCTURE FILE UPDATES: 29 APR 2012 HIGHEST RN 1371145-50-4 DICTIONARY FILE UPDATES: 29 APR 2012 HIGHEST RN 1371145-50-4

CAS Information Use Policies apply and are available at:

## http://www.cas.org/legal/infopolicy.html

TSCA INFORMATION NOW CURRENT THROUGH DECEMBER 23, 2011

Please note that search-term pricing does apply when conducting SmartSELECT searches.

REGISTRY includes numerically searchable data for experimental and predicted properties as well as tags indicating availability of experimental property data in the original document. For information on property searching in REGISTRY, refer to:

## http://www.cas.org/support/stngen/stndoc/properties.html

\*\*\* YOU HAVE NEW MAIL \*\*\*

## => d 14 2

- L4 ANSWER 2 OF 2 REGISTRY COPYRIGHT 2012 ACS on STN
- RN 1227074-04-5 REGISTRY
- ED Entered STN: 07 Jun 2010
- CN 2-Azabicyclo[3.1.0]hexane-1,5-d2-3-carbonitrile, 2-[(2S)-2-amino-2-(7-hydroxytricyclo[3.3.1.13,7]dec-1-yl-2,2,3,4,4,5,6,6,8,8,9,9,10,10-d14)acetyl]-, (1S,3S,5S)- (CA INDEX NAME)
- FS STEREOSEARCH
- MF C18 H9 D16 N3 O2

SR CA

LC STN Files: CA, CAPLUS, TOXCENTER, USPATFULL

Absolute stereochemistry.

1 REFERENCES IN FILE CA (1907 TO DATE)
1 REFERENCES IN FILE CAPLUS (1907 TO DATE)

=> d hist

(FILE 'HOME' ENTERED AT 15:43:05 ON 30 APR 2012)

FILE 'REGISTRY' ENTERED AT 15:43:22 ON 30 APR 2012

L1 1 S SAXAGLIPTIN/CN

L2 5 S SAXAGLIPTIN

FILE 'REGISTRY' ENTERED AT 15:46:17 ON 30 APR 2012

L3 STR 361442-04-8

L4 2 S L3 FAM SAM

FILE 'REGISTRY' ENTERED AT 15:46:33 ON 30 APR 2012

=> s 361442-04-8/crn

L5 48 361442-04-8/CRN

=> d scan

L5 48 ANSWERS REGISTRY COPYRIGHT 2012 ACS on STN

IN Imidodicarbonimidic diamide, N,N-dimethyl-, mixt. with (1s,3s,5s)-2-[(2s)-2-amino-2-(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-2-azabicyclo[3.1.0]hexane-3-carbonitrile

MF C18 H25 N3 O2 . C4 H11 N5

CI MXS

CM 1

Absolute stereochemistry.

CM 2

HOW MANY MORE ANSWERS DO YOU WISH TO SCAN? (1):1

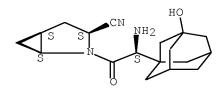
L5 48 ANSWERS REGISTRY COPYRIGHT 2012 ACS on STN

IN Sulfuric acid diammonium salt, compd. with (1s,3s,5s)-2-[(2s)-2-amino-2-(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-2-azabicyclo[3.1.0]hexane-3-carbonitrile (1:1)

MF  $\,$  C18 H25 N3 O2 . 2 H3 N . H2 O4 S  $\,$ 

CM 1

Absolute stereochemistry.



CM 2

HOW MANY MORE ANSWERS DO YOU WISH TO SCAN? (1):1

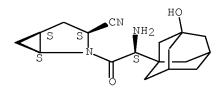
L5 48 ANSWERS REGISTRY COPYRIGHT 2012 ACS on STN

IN Butanedioic acid, compd. with (1s,3s,5s)-2-[(2s)-2-amino-2-(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-2-azabicyclo[3.1.0]hexane-3-carbonitrile (1:1)

MF C18 H25 N3 O2 . C4 H6 O4

CM 1

Absolute stereochemistry.



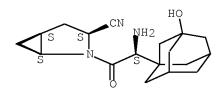
CM 2

 $HO_2C-CH_2-CH_2-CO_2H$ 

HOW MANY MORE ANSWERS DO YOU WISH TO SCAN? (1):1

L5 48 ANSWERS REGISTRY COPYRIGHT 2012 ACS on STN

Absolute stereochemistry.



●1/2 H2O

HOW MANY MORE ANSWERS DO YOU WISH TO SCAN? (1):0

=> d hist

(FILE 'HOME' ENTERED AT 15:43:05 ON 30 APR 2012)

FILE 'REGISTRY' ENTERED AT 15:43:22 ON 30 APR 2012

L1 1 S SAXAGLIPTIN/CN

L2 5 S SAXAGLIPTIN

FILE 'REGISTRY' ENTERED AT 15:46:17 ON 30 APR 2012

L3 STR 361442-04-8 L4 2 S L3 FAM SAM

FILE 'REGISTRY' ENTERED AT 15:46:33 ON 30 APR 2012 L5 48 S 361442-04-8/CRN

=> fil caplus uspatful

FILE 'CAPLUS' ENTERED AT 15:50:20 ON 30 APR 2012
USE IS SUBJECT TO THE TERMS OF YOUR STN CUSTOMER AGREEMENT.
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FILE 'USPATFULL' ENTERED AT 15:50:20 ON 30 APR 2012 CA INDEXING COPYRIGHT (C) 2012 AMERICAN CHEMICAL SOCIETY (ACS)

=> s 11

L6 480 L1

=> s 11<chem>

### SmartSELECT INITIATED

New TRANSFER and ANALYZE Commands Now Available See HELP TRANSFER and HELP ANALYZE for Details

FILE 'REGISTRY' ENTERED AT 15:50:53 ON 30 APR 2012 USE IS SUBJECT TO THE TERMS OF YOUR STN CUSTOMER AGREEMENT. PLEASE SEE "HELP USAGETERMS" FOR DETAILS. COPYRIGHT (C) 2012 American Chemical Society (ACS)

\*\*\* YOU HAVE NEW MAIL \*\*\*

SET SMARTSELECT ON SET COMMAND COMPLETED

SEL L1 1- CHEM

L7 SEL L1 1- CHEM: 6 TERMS

SET SMARTSELECT OFF
SET COMMAND COMPLETED

FILE 'CAPLUS' ENTERED AT 15:50:53 ON 30 APR 2012
USE IS SUBJECT TO THE TERMS OF YOUR STN CUSTOMER AGREEMENT.
PLEASE SEE "HELP USAGETERMS" FOR DETAILS.
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FILE 'USPATFULL' ENTERED AT 15:50:53 ON 30 APR 2012 CA INDEXING COPYRIGHT (C) 2012 AMERICAN CHEMICAL SOCIETY (ACS)

s L7

L8 1242 L7

=> dup remove 16

PROCESSING COMPLETED FOR L6

L9 454 DUP REMOVE L6 (26 DUPLICATES REMOVED)

=> dup remove 18

PROCESSING COMPLETED FOR L8

L10 1218 DUP REMOVE L8 (24 DUPLICATES REMOVED)

=> s 19 or 110

L11 1228 L9 OR L10

=> s 111 and PD<20000309

L12 0 L11 AND PD<20000309

=> s 111 and AD<20000309

L13 0 L11 AND AD<20000309

=> s 111 and AD<20000312

L14 0 L11 AND AD<20000312

=> s 111 and AD<20010312

L15 0 L11 AND AD<20010312

=> s 111 and AD<20020312

L16 0 L11 AND AD<20020312

 $\Rightarrow$  s 111 and AY<2002

L17 0 L11 AND AY<2002

=> s 111 and AY>2002

L18 1071 L11 AND AY>2002

=> s 111 and AY>2000

L19 1071 L11 AND AY>2000

=> s 111 and PRD<20020312

L20 1 L11 AND PRD<20020312

=> D IBIB ABS L20

L20 ANSWER 1 OF 1 USPATFULL on STN

ACCESSION NUMBER: 2009:320331 USPATFULL Full-text

TITLE: Amide Compounds

INVENTOR(S): Kitamura, Shuji, Osaka, JAPAN

Aicher, Thomas Daniel, Superior, CO, UNITED STATES

Gonzales, Steve, Media, PA, UNITED STATES
Le Huerou, Yvan, Boulder, CO, UNITED STATES
Pratt, Scott Alan, Longmont, CO, UNITED STATES

Turner, Tim, Longmont, CO, UNITED STATES

Nakada, Yoshihisa, Osaka, JAPAN

PATENT ASSIGNEE(S): TAKEDA PHARMACEUTICAL COMPANY LIMITED, OSAKA, JAPAN

(non-U.S. corporation)

DATE NUMBER KIND \_\_\_\_\_ US 20090286791 A1 20091119 PATENT INFORMATION: APPLICATION INFO.: US 2007-309493 A1 20070720 (12)

WO 2007-US16425 20070720

20090414 PCT 371 date

NUMBER DATE NOMBEK

EP 2001-127442 20011127 US 2006-832115P 20060721 (60) PRIORITY INFORMATION: EP 2001-127442 <--

DOCUMENT TYPE: Utility
FILE SEGMENT: APPLICATION
LEGAL REPRESENTATIVE: WENDEROTH, LIND & PONACK, L.L.P., 1030 15th Street,

N.W.,, Suite 400 East, Washington, DC, 20005-1503, US

29 NUMBER OF CLAIMS: EXEMPLARY CLAIM: 1 7740 LINE COUNT:

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

The present invention provides compounds represented by the formula (Ia):

##STR1##

the formula (Ib):

##STR2##

the formula (Ic):

##STR3##

and the formula (Id):

##STR4##

wherein each symbol is as defined in the specification.

According to the present invention, these compounds have a DGAT inhibitory activity and are useful for the prophylaxis, treatment or improvement of diseases or pathologies caused by high expression or high activation of DGAT.

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

=> s 111 and ROBL/IN

L21 0 L11 AND ROBL/IN

=> s 111 and (ROBL JEFFREY A/IN)

L22 3 L11 AND (ROBL JEFFREY A/IN)

=> D TI L22 1-

YOU HAVE REQUESTED DATA FROM 3 ANSWERS - CONTINUE? Y/(N):Y

L22 ANSWER 1 OF 3 USPATFULL on STN

TI HYDROXY SUBSTITUTED THIENO PYRIMIDINONES AS MELANIN CONCENTRATING HORMONE RECEPTOR-1 ANTAGONISTS

L22 ANSWER 2 OF 3 USPATFULL on STN

TI HMG-CoA reductase inhibitors

L22 ANSWER 3 OF 3 USPATFULL on STN

TI HMG-CoA reductase inhibitors and method

=> D IBIB L22 1-

YOU HAVE REQUESTED DATA FROM 3 ANSWERS - CONTINUE? Y/(N):Y

L22 ANSWER 1 OF 3 USPATFULL on STN

ACCESSION NUMBER: 2009:333876 USPATFULL Full-text

TITLE: HYDROXY SUBSTITUTED THIENO PYRIMIDINONES AS MELANIN

CONCENTRATING HORMONE RECEPTOR-1 ANTAGONISTS

INVENTOR(S): Washburn, William N., Titusville, NJ, UNITED STATES

Ahmad, Saleem, Wall, NJ, UNITED STATES

Devasthale, Pratik, Plainsboro, NJ, UNITED STATES Robl, Jeffrey A., Newtown, PA, UNITED STATES Goswami, Animesh, Plainsboro, NJ, UNITED STATES Guo, Zhiwei, Franklin Park, NJ, UNITED STATES

Patel, Ramesh N., Bridgewater, NJ, UNITED STATES

PATENT ASSIGNEE(S): Bristol-Myers Squibb Company (U.S. corporation)

NUMBER DATE

PRIORITY INFORMATION: US 2008-56949P 20080529 (61)

DOCUMENT TYPE: Utility
FILE SEGMENT: APPLICATION

LEGAL REPRESENTATIVE: LOUIS J. WILLE, BRISTOL-MYERS SQUIBB COMPANY, PATENT

DEPARTMENT, P O BOX 4000, PRINCETON, NJ, 08543-4000, US

NUMBER OF CLAIMS: 23 EXEMPLARY CLAIM: 1 LINE COUNT: 2167

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L22 ANSWER 2 OF 3 USPATFULL on STN

ACCESSION NUMBER: 2007:285027 USPATFULL Full-text

TITLE: HMG-CoA reductase inhibitors

Stein, Philip D., Pennington, NJ, UNITED STATES INVENTOR(S): Seitz, Steven P., Swarthmore, PA, UNITED STATES Carini, David J., Wallingford, CT, UNITED STATES

Shi, Yan, Flourtown, PA, UNITED STATES

Robl, Jeffrey A., Newtown, PA, UNITED STATES

Markwalder, Jay A., New London, PA, UNITED STATES

He, Chunhong, Boothwyn, PA, UNITED STATES

PATENT ASSIGNEE(S): Bristol-Myers Squibb Company (U.S. corporation)

NUMBER KIND DATE \_\_\_\_\_ US 20070249583 A1 20071025 PATENT INFORMATION: US 7659281 B2 20100209 US 2007-789335 A1 20070424 (11) APPLICATION INFO.:

> NUMBER DATE -----\_\_\_\_\_

PRIORITY INFORMATION: US 2006-794733P 20060425 (60)

DOCUMENT TYPE: Utility FILE SEGMENT: APPLICATION

LEGAL REPRESENTATIVE: LOUIS J. WILLE, BRISTOL-MYERS SQUIBB COMPANY, PATENT DEPARTMENT, P O BOX 4000, PRINCETON, NJ, 08543-4000, US NUMBER OF CLAIMS: 24

EXEMPLARY CLAIM: 1 LINE COUNT: 8226

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L22 ANSWER 3 OF 3 USPATFULL on STN

ACCESSION NUMBER: 2005:99578 USPATFULL Full-text

HMG-CoA reductase inhibitors and method TITLE: Ahmad, Saleem, Wall, NJ, UNITED STATES INVENTOR(S):

Robl, Jeffrey A., Newtown, PA, UNITED STATES Ngu, Khehyong, Pennington, NJ, UNITED STATES

DATE NUMBER KIND \_\_\_\_\_\_ PATENT INFORMATION: US 20050085497 A1 20050421 US 7371759 B2 20080513 APPLICATION INFO.: US 2004-946055 A1 20040921 (10)

> NUMBER \_\_\_\_\_ \_\_\_\_\_

PRIORITY INFORMATION: US 2003-505893P 20030925 (60)

DOCUMENT TYPE: Utility FILE SEGMENT: APPLICATION

LEGAL REPRESENTATIVE: STEPHEN B. DAVIS, BRISTOL-MYERS SQUIBB COMPANY, PATENT

DEPARTMENT, P O BOX 4000, PRINCETON, NJ, 08543-4000, US

25 NUMBER OF CLAIMS: EXEMPLARY CLAIM: 1 LINE COUNT: 2114

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

=> s 111 and PRD<20030101

=> D IBIB L23 1-

YOU HAVE REQUESTED DATA FROM 5 ANSWERS - CONTINUE? Y/(N):Y

L23 ANSWER 1 OF 5 CAPLUS COPYRIGHT 2012 ACS on STN ACCESSION NUMBER: 2004:515478 CAPLUS Full-text

DOCUMENT NUMBER: 141:54618

TITLE: Preparation of cyclopropyl-fused pyrrolidine-based

inhibitors of dipeptidyl peptidase IV

INVENTOR(S): Vu, Truc Chi; Brzozowski, David B.; Fox, Rita;

Godfrey, Jollie Duaine, Jr.; Hanson, Ronald L.;

Kolotuchin, Sergei V.; Mazzullo, John A., Jr.; Patel, Ramesh N.; Wang, Jianji; Wong, Kwok; Yu, Jurong; Zhu, Jason; Magnin, David R.; Augeri, David J.; Hamann,

Lawrence G.

PATENT ASSIGNEE(S): Bristol-Myers Squibb Company, USA

SOURCE: PCT Int. Appl., 101 pp.

CODEN: PIXXD2

DOCUMENT TYPE: Patent LANGUAGE: English

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

PATENT NO.					KIN	ND DATE			APPLICATION NO.						DATE			
WO 2004052850					A2	A2 20040624		WO 2003-US38558									<	
MO	2004																	
	W:			•			AU,	•		•	•	•						
		•	•	•	•	,	DK,	•	•	,	•	•	•	•	•	•	•	
		•		•			IL,			•	•	•		•				
		•	•	•	•	•	MA,	•	•	•	•	•	•	•	•	•		
							RO,									ТJ,	TM,	
							UG,											
	RW:						MW,											
							ТJ,											
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US 20100274025 A1 20101028 US 2010-712958 20100225 <-JP 2011006440 A 20110113 JP 2010-181557 20100816 <-JP 2011006441 A 20110113 JP 2010-181559 20100816 <-RITY APPLN. INFO.:

US 2002-431814P P 20021209 <-US 2003-716012 A3 20031118
CN 2003-80109631 A3 20031204
JP 2004-559282 A3 20031204 PRIORITY APPLN. INFO.: WO 2003-US38558 W 20031204 A3 20050530 IN 2005-DN2279 US 2008-181216 A3 20080728

ASSIGNMENT HISTORY FOR US PATENT AVAILABLE IN LSUS DISPLAY FORMAT

OTHER SOURCE(S): CASREACT 141:54618; MARPAT 141:54618

OS.CITING REF COUNT: THERE ARE 25 CAPLUS RECORDS THAT CITE THIS

RECORD (35 CITINGS)

THERE ARE 1 CITED REFERENCES AVAILABLE FOR THIS REFERENCE COUNT: 1 RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L23 ANSWER 2 OF 5 USPATFULL on STN

2010:307761 USPATFULL Full-text ACCESSION NUMBER:

METHODS AND COMPOUNDS FOR PRODUCING DIPEPTIDYL TITLE:

PEPTIDASE IV INHIBITORS AND INTERMEDIATES THEREOF

Vu, Truc Chi, Watchung, NJ, UNITED STATES INVENTOR(S):

Brzozowski, David B., Pattersonville, NY, UNITED STATES

Fox, Rita, Princeton, NJ, UNITED STATES

Godfrey, JR., Jollie Duaine, Ewing, NJ, UNITED STATES Hanson, Ronald L., Morris Plains, NJ, UNITED STATES Kolotuchin, Sergei V., Roselle Park, NJ, UNITED STATES

Mazzullo, John A., Florence, SC, UNITED STATES Patel, Ramesh N., Bridgewater, NJ, UNITED STATES

Wang, Jianji, Dayton, NJ, UNITED STATES Wong, Kwok, Lawrenceville, NJ, UNITED STATES

Yu, Jurong, Dayton, NJ, UNITED STATES

Zhu, Jason J., East Brunswick, NJ, UNITED STATES Magnin, David R., Sumter, SC, UNITED STATES Augeri, David J., Princeton, NJ, UNITED STATES

Hamann, Lawrence G., North Grafton, MA, UNITED STATES

BRISTOL-MYERS SQUIBB COMPANY, Princeton, NJ, UNITED PATENT ASSIGNEE(S):

STATES (U.S. corporation)

NUMBER KIND DATE \_\_\_\_\_\_ PATENT INFORMATION: US 20100274025 A1 20101028

US 2010-712958 A1 APPLICATION INFO.: 20100225 (12)

RELATED APPLN. INFO.: Division of Ser. No. US 2008-181216, filed on 28 Jul 2008, Pat. No. US 7705033 Division of Ser. No. US 2003-716012, filed on 18 Nov 2003, Pat. No. US 7420079

> NUMBER DATE

US 2002-431814P PRIORITY INFORMATION: 20021209 (60)

Utility DOCUMENT TYPE: FILE SEGMENT: APPLICATION

LEGAL REPRESENTATIVE: McDonnell Boehnen Hulbert & Berghoff LLP, Bristol-Myers

Squibb, 300 South Wacker Drive, Chicago, IL, 60606, US

NUMBER OF CLAIMS: 22 EXEMPLARY CLAIM:

LINE COUNT: 2619

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L23 ANSWER 3 OF 5 USPATFULL on STN

ACCESSION NUMBER: 2009:320331 USPATFULL Full-text

TITLE: Amide Compounds

INVENTOR(S): Kitamura, Shuji, Osaka, JAPAN

Aicher, Thomas Daniel, Superior, CO, UNITED STATES

Gonzales, Steve, Media, PA, UNITED STATES Le Huerou, Yvan, Boulder, CO, UNITED STATES Pratt, Scott Alan, Longmont, CO, UNITED STATES

Turner, Tim, Longmont, CO, UNITED STATES

Nakada, Yoshihisa, Osaka, JAPAN

PATENT ASSIGNEE(S): TAKEDA PHARMACEUTICAL COMPANY LIMITED, OSAKA, JAPAN

(non-U.S. corporation)

NUMBER KIND DATE \_\_\_\_\_ \_\_\_ US 20090286791 A1 20091119 PATENT INFORMATION: APPLICATION INFO.: US 2007-309493 A1 20070720 (12) WO 2007-US16425 20070720 20090414 PCT 371 date

> DATE NUMBER

PRIORITY INFORMATION: <--

 EP 2001-127442
 20011127

 US 2006-832115P
 20060721 (60)

DOCUMENT TYPE: Utility APPLICATION FILE SEGMENT:

LEGAL REPRESENTATIVE: WENDEROTH, LIND & PONACK, L.L.P., 1030 15th Street,

N.W.,, Suite 400 East, Washington, DC, 20005-1503, US

29 NUMBER OF CLAIMS: EXEMPLARY CLAIM: 1 7740 LINE COUNT:

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L23 ANSWER 4 OF 5 USPATFULL on STN

ACCESSION NUMBER: 2009:19680 USPATFULL Full-text

METHODS AND COMPOUNDS FOR PRODUCING DIPEPTIDYL TITLE: PEPTIDASE IV INHIBITORS AND INTERMEDIATES THEREOF

INVENTOR(S): Vu, Truc Chi, Watchung, NJ, UNITED STATES

Brzozowski, David B., Pattersonville, NY, UNITED STATES

Fox, Rita, Princeton, NJ, UNITED STATES

Godfrey, JR., Jollie Duaine, Ewing, NJ, UNITED STATES Hanson, Ronald L., Morris Plains, NJ, UNITED STATES Kolotuchin, Sergei V., Roselle Park, NJ, UNITED STATES

Mazzullo, John A., Florence, SC, UNITED STATES Patel, Ramesh N., Bridgewater, NJ, UNITED STATES

Wang, Jianji, Dayton, NJ, UNITED STATES Wong, Kwok, Lawrenceville, NJ, UNITED STATES

Yu, Jurong, Dayton, NJ, UNITED STATES

Zhu, Jason J., East Brunswick, NJ, UNITED STATES Magnin, David R., Sumter, SC, UNITED STATES Augeri, David J., Princeton, NJ, UNITED STATES

Hamann, Lawrence G., North Grafton, MA, UNITED STATES

BRISTOL-MYERS SQUIBB COMPANY, Princeton, NJ, UNITED PATENT ASSIGNEE(S):

#### STATES (U.S. corporation)

	NUMBER	KIND	DATE	
PATENT INFORMATION:	US 20090018311	A1	20090115	
	US 7705033	B2	20100427	
APPLICATION INFO.:	US 2008-181216	A1	20080728	(12)
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RELATED APPLN. INFO.: Division of Ser. No. US 2003-716012, filed on 18 Nov

2003, Pat. No. US 7420079

NUMBER DATE

PRIORITY INFORMATION: US 2002-431814P 20021209 (60) <--

DOCUMENT TYPE: Utility FILE SEGMENT: APPLICATION

LEGAL REPRESENTATIVE: McDonnell Boehnen Hulbert & Berghoff LLP, Bristol-Myers

Squibb, 300 South Wacker Drive, Chicago, IL, 60606, US

NUMBER OF CLAIMS: 25 EXEMPLARY CLAIM: 1 LINE COUNT: 2646

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

L23 ANSWER 5 OF 5 USPATFULL on STN

ACCESSION NUMBER: 2005:105601 USPATFULL Full-text

TITLE: Methods and compounds for producing dipeptidyl

peptidase IV inhibitors and intermediates thereof

INVENTOR(S): Vu, Truc Chi, Watchung, NJ, UNITED STATES

Brzozowski, David B., Island Lake, IL, UNITED STATES

Fox, Rita, Princeton, NJ, UNITED STATES

Godfrey, Jollie Duaine JR., Ewing, NJ, UNITED STATES Hanson, Ronald L., Morris Plains, NJ, UNITED STATES Kolotuchin, Sergei V., Roselle Park, NJ, UNITED STATES

Mazzullo, John A., Florence, SC, UNITED STATES Patel, Ramesh N., Bridgewater, NJ, UNITED STATES

Wang, Jianji, Dayton, NJ, UNITED STATES Wong, Kwok, Lawrenceville, NJ, UNITED STATES

Yu, Jurong, Dayton, NJ, UNITED STATES

Zhu, Jason J., East Brunswick, NJ, UNITED STATES Magnin, David R., Hamilton, NJ, UNITED STATES Augeri, David J., Princeton, NJ, UNITED STATES

Hamann, Lawrence G., Cherry Hill, NJ, UNITED STATES

	NUMB	ER KIND	DATE	
PATENT INFORMATION:	US 200500 US 742007		20050428 20080902	
APPLICATION INFO.:	US 2003-7	16012 A1	20031118	(10)
	NU	MBER	DATE	

PRIORITY INFORMATION: US 2002-431814P 20021209 (60)

DOCUMENT TYPE: Utility FILE SEGMENT: APPLICATION

LEGAL REPRESENTATIVE: STEPHEN B. DAVIS, BRISTOL-MYERS SQUIBB COMPANY, PATENT

DEPARTMENT, P O BOX 4000, PRINCETON, NJ, 08543-4000, US

NUMBER OF CLAIMS: 31

EXEMPLARY CLAIM: 1
LINE COUNT: 2603

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

=> D US6395767/PN

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=> S US6395767/PN

L24 2 US6395767/PN

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PROCESSING COMPLETED FOR L24

L25 2 DUP REMOV L24 (0 DUPLICATES REMOVED)

=> D IBIB L24 1-

YOU HAVE REQUESTED DATA FROM 2 ANSWERS - CONTINUE? Y/(N):Y

L24 ANSWER 1 OF 2 CAPLUS COPYRIGHT 2012 ACS on STN ACCESSION NUMBER: 2001:693281 CAPLUS Full-text

DOCUMENT NUMBER: 135:257147

TITLE: Preparation of fused cyclopropylpyrrolidine-based

inhibitors of dipeptidyl peptidase IV

INVENTOR(S): Robl, Jeffrey A.; Sulsky, Richard B.; Augeri, David

J.; Magnin, David R.; Hamann, Lawrence G.; Betebenner,

David A.

PATENT ASSIGNEE(S): Bristol-Myers Squibb Co., USA

SOURCE: PCT Int. Appl., 135 pp.

CODEN: PIXXD2

DOCUMENT TYPE: Patent LANGUAGE: English

FAMILY ACC. NUM. COUNT: 1

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US 20020019411	A1	20020214	US 2001-788173	20010216			
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CA 2402894 A1 20010920 CA 2001-2402894 20010305
CA 2402894 C 20120417
AU 2001045466 A 20010924 AU 2001-45466 20010305
EP 1261586 B1 20080521
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      HU 2003002792
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      BR 2001009115
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RU 2286986 C2 20061110 RU 2002-125491 20010305
AT 396176 T 20080615 AT 2001-918383 20010305
PT 1261586 E 20080804 PT 2001-918383 20010305
ES 2305062 T3 20081101 ES 2001-918383 20010305
SG 152030 A1 20090529 SG 2004-5783 20010305
IL 151372 A 20091224 IL 2001-151372 20010305
IL 177018 A 20100328 IL 2001-177018 20010305
PL 207041 B1 20101029 PL 2001-365520 20010305
EP 2272825 A2 20110112 EP 2010-178907 20010305
EP 2272825 A3 20110504

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NO 2002004295 A 20021106 NO 2002-4295 20020909
NO 324227 B1 20070910
KR 754089 B1 20070831 KR 2002-7011806 20020909
MX 2002008837 A 20030425 MX 2002-8837 20020910
HK 1049330 A1 20081114 HK 2003-101079 20030214
KR 758407 B1 20070914 KR 2006-7004515 20060303
IN 2007MN00184 A 20080215 IN 2007-MN184 20070205
JP 2010077163 A 20100408 JP 2010-6181 20100114
PRIORITY APPLN. INFO::

VS 2000-188555P P 20000310
CN 2001-806315 A3 20010305
EP 2001-918383 A3 20010305
EP 2001-918383 A3 20010305
IL 2001-151372 A3 20010305
EP 2005-5368 A3 20010305
IL 2001-151372 A3 20010305
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 ASSIGNMENT HISTORY FOR US PATENT AVAILABLE IN LSUS DISPLAY FORMAT
 OTHER SOURCE(S): MARPAT 135:257147
  OS.CITING REF COUNT: 35 THERE ARE 35 CAPLUS RECORDS THAT CITE THIS
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RECORD (60 CITINGS)

REFERENCE COUNT: 11 THERE ARE 11 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L24 ANSWER 2 OF 2 USPATFULL on STN

ACCESSION NUMBER: 2002:32589 USPATFULL Full-text

TITLE: Cyclopropyl-fused pyrrolidine-based inhibitors of

dipeptidyl peptidase IV and method

INVENTOR(S): Robl, Jeffrey A., Newtown, PA, UNITED STATES

Sulsky, Richard B., West Trenton, NJ, UNITED STATES

Augeri, David J., Princeton, NJ, UNITED STATES Magnin, David R., Hamilton, NJ, UNITED STATES

Hamann, Lawrence G., Cherry Hill, NJ, UNITED STATES Betebenner, David A., Lawrenceville, NJ, UNITED STATES

NUMBER DATE

PRIORITY INFORMATION: US 2000-188555P 20000310 (60)

DOCUMENT TYPE: Utility FILE SEGMENT: APPLICATION

LEGAL REPRESENTATIVE: MARLA J MATHIAS, BRISTOL-MYERS SQUIBB COMPANY, PATENT

DEPARTMENT, P O BOX 4000, PRINCETON, NJ, 08543-4000

NUMBER OF CLAIMS: 24
EXEMPLARY CLAIM: 1
LINE COUNT: 2767

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

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L1 1 S SAXAGLIPTIN/CN L2 5 S SAXAGLIPTIN

FILE 'REGISTRY' ENTERED AT 15:46:17 ON 30 APR 2012

L3 STR 361442-04-8 L4 2 S L3 FAM SAM

FILE 'REGISTRY' ENTERED AT 15:46:33 ON 30 APR 2012

L5 48 S 361442-04-8/CRN

FILE 'CAPLUS, USPATFULL' ENTERED AT 15:50:20 ON 30 APR 2012

L6 480 S L1

FILE 'REGISTRY' ENTERED AT 15:50:53 ON 30 APR 2012

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YOU HAVE REQUESTED DATA FROM 2 ANSWERS - CONTINUE? Y/(N):Y

L37 ANSWER 1 OF 2 CAPLUS COPYRIGHT 2012 ACS on STN ACCESSION NUMBER: 2001:693281 CAPLUS Full-text

DOCUMENT NUMBER: 135:257147

TITLE: Preparation of fused cyclopropylpyrrolidine-based

inhibitors of dipeptidyl peptidase IV

INVENTOR(S): Robl, Jeffrey A.; Sulsky, Richard B.; Augeri, David

J.; Magnin, David R.; Hamann, Lawrence G.; Betebenner,

David A.

PATENT ASSIGNEE(S): Bristol-Myers Squibb Co., USA

SOURCE: PCT Int. Appl., 135 pp.

CODEN: PIXXD2

DOCUMENT TYPE: Patent LANGUAGE: English

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

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PRIORITY APPLN. INFO.:
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                                             CN 2001-806315
                                                                  A3 20010305
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                                                                  A3 20020823
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                                                                  A3 20020909
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ASSIGNMENT HISTORY FOR US PATENT AVAILABLE IN LSUS DISPLAY FORMAT OTHER SOURCE(S): MARPAT 135:257147
GI

Dipeptidyl peptidase IV inhibiting compds. I (x = 0 or 1 and y = 0 or 1 provided that x = 1 when y = 0 and x = 0 when y = 1; n = 0, 1; X = H, CN; R1, R2, R3 and R4 = same or different and independently selected from H, (un) substituted chain or cyclic components) and the pharmaceutically acceptable salts or prodrugs (no data) were prepared. Thus L-pyroglutamic acid Et ester was protected, cyclopropanated and reacted further with (S)-N-BOC-isoleucine providing an

intermediate II which reacted further to yield the fused cyclopropylpyrrolidine III in 57% yield. A method is also provided for treating diabetes and related diseases, especially Type II diabetes, and other diseases by employing a title DP 4 inhibitor or a combination of DP 4 inhibitor and one or more of another antidiabetic agent such as metformin, glyburide, troglitazone, pioglitazone, rosiglitazone and/or insulin and/or one or more of a hypolipidemic agent and/or anti-obesity agent and/or other therapeutic agent.

OS.CITING REF COUNT: THERE ARE 35 CAPLUS RECORDS THAT CITE THIS 35

RECORD (60 CITINGS)

REFERENCE COUNT: 11 THERE ARE 11 CITED REFERENCES AVAILABLE FOR THIS

RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L37 ANSWER 2 OF 2 USPATFULL on STN

2002:32589 USPATFULL Full-text ACCESSION NUMBER:

Cyclopropyl-fused pyrrolidine-based inhibitors of TITLE:

dipeptidyl peptidase IV and method

INVENTOR(S): Robl, Jeffrey A., Newtown, PA, UNITED STATES

Sulsky, Richard B., West Trenton, NJ, UNITED STATES Augeri, David J., Princeton, NJ, UNITED STATES

Magnin, David R., Hamilton, NJ, UNITED STATES

Hamann, Lawrence G., Cherry Hill, NJ, UNITED STATES Betebenner, David A., Lawrenceville, NJ, UNITED STATES

NUMBER KIND DATE \_\_\_\_\_\_ US 20020019411 A1 20020214 US 6395767 B2 20020528 US 2001-788173 A1 20010216 (9) PATENT INFORMATION: APPLICATION INFO.:

> NUMBER \_\_\_\_\_ \_\_\_\_\_

PRIORITY INFORMATION: US 2000-188555P 20000310 (60) <--

DOCUMENT TYPE: Utility FILE SEGMENT: APPLICATION

LEGAL REPRESENTATIVE: MARLA J MATHIAS, BRISTOL-MYERS SQUIBB COMPANY, PATENT

DEPARTMENT, P O BOX 4000, PRINCETON, NJ, 08543-4000

NUMBER OF CLAIMS: 24 EXEMPLARY CLAIM: 1

LINE COUNT: 2767

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

Dipeptidyl peptidase IV (DP 4) inhibiting compounds are provided having the

formula ##STR1##

where x is 0 or 1 and y is 0 or 1 (provided that x=1 when y=0 and x=0 when y=1);

n is 0 or 1; X is H or CN;

and wherein R.sup.1, R.sup.2, R.sup.3 and R.sup.4 are as described herein.

A method is also provided for treating diabetes and related diseases, especially Type II diabetes, and other diseases as set out herein, employing such DP 4 inhibitor or a combination of such DP 4 inhibitor and one or more of another

antidiabetic agent such as metformin, glyburide, troglitazone, pioglitazone, rosiglitazone and/or insulin and/or one or more of a hypolipidemic agent and/or anti-obesity agent and/or other therapeutic agent.

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

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PROCESSING COMPLETED FOR L40

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L41 ANSWER 1 OF 2 USPATFULL on STN

ACCESSION NUMBER: 2002:32589 USPATFULL Full-text

TITLE: Cyclopropyl-fused pyrrolidine-based inhibitors of

dipeptidyl peptidase IV and method

INVENTOR(S): Robl, Jeffrey A., Newtown, PA, UNITED STATES

Sulsky, Richard B., West Trenton, NJ, UNITED STATES

Augeri, David J., Princeton, NJ, UNITED STATES Magnin, David R., Hamilton, NJ, UNITED STATES

Hamann, Lawrence G., Cherry Hill, NJ, UNITED STATES Betebenner, David A., Lawrenceville, NJ, UNITED STATES

NUMBER DATE

PRIORITY INFORMATION: US 2000-188555P 20000310 (60) <--

DOCUMENT TYPE: Utility FILE SEGMENT: APPLICATION

LEGAL REPRESENTATIVE: MARLA J MATHIAS, BRISTOL-MYERS SQUIBB COMPANY, PATENT

DEPARTMENT, P O BOX 4000, PRINCETON, NJ, 08543-4000

NUMBER OF CLAIMS: 24
EXEMPLARY CLAIM: 1
LINE COUNT: 2767

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

AB Dipeptidyl peptidase IV (DP 4) inhibiting compounds are provided having the

formula ##STR1##

where x is 0 or 1 and y is 0 or 1 (provided that x=1 when y=0 and x=0 when y=1);

n is 0 or 1; X is H or CN;

and wherein R.sup.1, R.sup.2, R.sup.3 and R.sup.4 are as described herein.

A method is also provided for treating diabetes and related diseases, especially Type II diabetes, and other diseases as set out herein, employing such DP 4 inhibitor or a combination of such DP 4 inhibitor and one or more of another antidiabetic agent such as metformin, glyburide, troglitazone, pioglitazone, rosiglitazone and/or insulin and/or one or more of a hypolipidemic agent and/or anti-obesity agent and/or other therapeutic agent.

CAS INDEXING IS AVAILABLE FOR THIS PATENT.

IT 361442-05-9P

(preparation of fused cyclopropylpyrrolidine-based inhibitors of dipeptidyl peptidase IV)

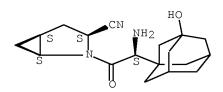
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CM 1

CRN 361442-04-8 CMF C18 H25 N3 O2

Absolute stereochemistry.



CM 2

CRN 76-05-1 CMF C2 H F3 O2

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STRUCTURE FILE UPDATES: 29 APR 2012 HIGHEST RN 1371145-50-4 DICTIONARY FILE UPDATES: 29 APR 2012 HIGHEST RN 1371145-50-4

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# http://www.cas.org/legal/infopolicy.html

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## http://www.cas.org/support/stngen/stndoc/properties.html

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CN
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OTHER CA INDEX NAMES:
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OTHER NAMES:

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CN BMS 477118-11

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CN Saxagliptin

FS STEREOSEARCH

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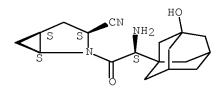
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Absolute stereochemistry.



\*\*PROPERTY DATA AVAILABLE IN THE 'PROP' FORMAT\*\*

313 REFERENCES IN FILE CA (1907 TO DATE)

4 REFERENCES TO NON-SPECIFIC DERIVATIVES IN FILE CA

330 REFERENCES IN FILE CAPLUS (1907 TO DATE)

=> FIL CAPLUS USPATFUL

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FILE 'USPATFULL' ENTERED AT 16:40:05 ON 30 APR 2012
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L48 ANSWER 1 OF 31 CAPLUS COPYRIGHT 2012 ACS on STN ACCESSION NUMBER: 2008:764140 CAPLUS Full-text

DOCUMENT NUMBER: 149:96035

TITLE: Method for treating diabetes employing an aP2

inhibitor and combination

INVENTOR(S): Robl, Jeffrey A.; Parker, Rex A.; Biller, Scott A.;

Jamil, Haris; Jacobson, Bruce L.; Kodukula, Krishna

PATENT ASSIGNEE(S): Bristol-Myers Squibb Company, USA; Pesident and

Fellows of Harvard College

SOURCE: U.S., 19pp.

CODEN: USXXAM

DOCUMENT TYPE: Patent LANGUAGE: English

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

PATENT NO. KIND DATE APPLICATION NO. DATE \_\_\_\_\_ \_\_\_\_\_ \_\_\_\_\_ \_\_\_\_ US 1999-391053 US 7390824 В1 20080624 19990907 <--19990907 <--PRIORITY APPLN. INFO.: US 1999-391053

ASSIGNMENT HISTORY FOR US PATENT AVAILABLE IN LSUS DISPLAY FORMAT

OTHER SOURCE(S): MARPAT 149:96035

AB A method is provided for treating diabetes and related diseases, esp. Type II diabetes, employing an aP2 inhibitor or a combination of an aP2 inhibitor and another antidiabetic agent such as metformin, glyburide, troglitazone and/or insulin.

REFERENCE COUNT: 27 THERE ARE 27 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

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FILE 'REGISTRY' ENTERED AT 15:46:17 ON 30 APR 2012

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FILE 'REGISTRY' ENTERED AT 15:46:33 ON 30 APR 2012

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FILE 'CAPLUS, USPATFULL' ENTERED AT 15:50:20 ON 30 APR 2012

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FILE 'REGISTRY' ENTERED AT 15:50:53 ON 30 APR 2012

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STRUCTURE FILE UPDATES: 29 APR 2012 HIGHEST RN 1371145-50-4 DICTIONARY FILE UPDATES: 29 APR 2012 HIGHEST RN 1371145-50-4

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TSCA INFORMATION NOW CURRENT THROUGH DECEMBER 23, 2011

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\*\*\* YOU HAVE NEW MAIL \*\*\*

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L56 1 361442-05-9/RN

=> FIL CAPLUS

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FILE COVERS 1907 - 30 Apr 2012 VOL 156 ISS 19

FILE LAST UPDATED: 29 Apr 2012 (20120429/ED)

REVISED CLASS FIELDS (/NCL) LAST RELOADED: Dec 2011

USPTO MANUAL OF CLASSIFICATIONS THESAURUS ISSUE DATE: Dec 2011

CAplus now includes complete International Patent Classification (IPC) reclassification data for the fourth quarter of 2011.

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This file contains CAS Registry Numbers for easy and accurate substance identification.

=> S L56

L57 4 L56

=> D IBIB ABS HITSTR 1-

YOU HAVE REQUESTED DATA FROM 4 ANSWERS - CONTINUE? Y/(N):Y

L57 ANSWER 1 OF 4 CAPLUS COPYRIGHT 2012 ACS on STN ACCESSION NUMBER: 2012:439526 CAPLUS <u>Full-text</u>

DOCUMENT NUMBER: 156:432112

TITLE: Oral preparation of saxagliptin

INVENTOR(S):
Lin, Fei

PATENT ASSIGNEE(S): Peop. Rep. China

SOURCE: Faming Zhuanli Shenging, 18pp.

CODEN: CNXXEV

DOCUMENT TYPE: Patent LANGUAGE: Chinese

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

PATE	INT NO.	KIND	DATE	APPLICATION NO.	DATE
-	.02379869	A	20120321	CN 2010-10267175	20100831
PRIORITI	APPLN. INFO.:			CN 2010-10267175	20100831

AB Application (for treating diabetes or the related diseases) of the invention should be covered in the abstract The title preparation contains saxagliptin 1-40 mg and the carrier. The title preparation contains saxagliptin hydrochloride 0.1-50% and the carrier 50-99.9%. The preparation method of dispersible tablet consists of pulverizing the saxagliptin hydrochloride, pulverizing the carrier, adding the loading agent, disintegrant, surfactant, flavoring, aromatic substance and colorant, mixing, adding the powder of saxagliptin hydrochloride, mixing, preparing the 2-15% bond solution with bond and water or ethanol-water, adding the bond to make the damp mass, pelletizing, parching, adding the glidant, lubricant and disintegrant, mixing, tabletting.

IT 361442-05-9

RL: PKT (Pharmacokinetics); THU (Therapeutic use); BIOL (Biological study); USES (Uses)

(oral preparation of saxagliptin)

RN 361442-05-9 CAPLUS

CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,

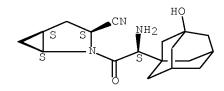
2-[(2S)-2-amino-2-(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-,

(1S, 3S, 5S) -, 2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)

CM 1

CRN 361442-04-8 CMF C18 H25 N3 O2

Absolute stereochemistry.



CM 2

CRN 76-05-1 CMF C2 H F3 O2

L57 ANSWER 2 OF 4 CAPLUS COPYRIGHT 2012 ACS on STN ACCESSION NUMBER: 2008:1300536 CAPLUS Full-text

DOCUMENT NUMBER: 149:519052

TITLE: Preparation of crystal forms of saxagliptin

INVENTOR(S): Gougoutas, Jack Z.; Malley, Mary F.; DiMarco, John D.; Yin, Xiaotian S.; Wei, Chenkou; Yu, Jurong; Vu, Truc

Chi; Jones, Gregory Scott; Savage, Scott A.

PATENT ASSIGNEE(S): Bristol-Myers Squibb Company, USA

SOURCE: PCT Int. Appl., 134pp.

CODEN: PIXXD2

DOCUMENT TYPE: Patent LANGUAGE: English

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

PR

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B7.		
H 7.		
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ASSIGNMENT HISTORY FOR US PATENT AVAILABLE IN LSUS DISPLAY FORMAT

AB Phys. crystal structures of saxagliptin are provided including the free base monohydrate thereof (form H-1) and the hydrochloride thereof, including

hydrochlorde containing 0.75 equiv of H2O (form H0.75-3) and hydrochloride containing 2 equivs of H2O (form H2-1), and hydrochlorde Pattern P-5, preferably in substantially pure form, and other forms as described herein, pharmaceutical compns. containing these compds. processes for preparing the same, and methods of treating diseases such as diabetes.

IT361442-05-9

> RL: PRP (Properties); RCT (Reactant); RACT (Reactant or reagent) (preparation of crystal forms of saxagliptin)

361442-05-9 CAPLUS RN

CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile,

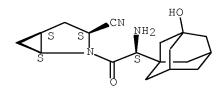
2-[(2S)-2-amino-2-(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-,

(1S, 3S, 5S) -, 2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)

CM

CRN 361442-04-8 CMF C18 H25 N3 O2

Absolute stereochemistry.



CM

CRN 76-05-1 CMF C2 H F3 O2

OS.CITING REF COUNT: THERE ARE 4 CAPLUS RECORDS THAT CITE THIS RECORD (4 CITINGS)

L57 ANSWER 3 OF 4 CAPLUS COPYRIGHT 2012 ACS on STN ACCESSION NUMBER: 2005:543673 CAPLUS Full-text

DOCUMENT NUMBER: 143:221803

TITLE: Discovery and Preclinical Profile of Saxagliptin (BMS-477118): A Highly Potent, Long-Acting, Orally

Active Dipeptidyl Peptidase IV Inhibitor for the

Treatment of Type 2 Diabetes

Augeri, David J.; Robl, Jeffrey A.; Betebenner, David AUTHOR(S):

> A.; Magnin, David R.; Khanna, Ashish; Robertson, James G.; Wang, Aiying; Simpkins, Ligaya M.; Taunk, Prakash;

Huang, Qi; Han, Song-Ping; Abboa-Offei, Benoni; Cap,
Michael; Xin, Li; Tao, Li; Tozzo, Effie; Welzel,
Gustav E.; Egan, Donald M.; Marcinkeviciene, Jovita;
Chang, Shu Y.; Biller, Scott A.; Kirby, Mark S.;

Parker, Rex A.; Hamann, Lawrence G.

CORPORATE SOURCE: Department of Discovery Chemistry, Bristol-Myers

Squibb, Princeton, NJ, 08543-5400, USA

SOURCE: Journal of Medicinal Chemistry (2005), 48(15),

5025-5037

CODEN: JMCMAR; ISSN: 0022-2623

PUBLISHER: American Chemical Society

DOCUMENT TYPE: Journal LANGUAGE: English

OTHER SOURCE(S): CASREACT 143:221803

AB Efforts to further elucidate structure-activity relationships (SAR) within the authors previously disclosed series of  $\beta$ -quaternary amino acid linked L-cis-4,5-methanoprolinenitrile dipeptidyl peptidase IV (DPP-IV) inhibitors led to the investigation of vinyl substitution at the  $\beta$ -position of  $\alpha$ -cycloalkyl-substituted glycines. Despite poor systemic exposure, vinyl-substituted compds. showed extended duration of action in acute rat ex vivo plasma DPP-IV inhibition models. Oxygenated putative metabolites were prepared and were shown to exhibit the potency and extended duration of action of their precursors in efficacy models measuring glucose clearance in Zuckerfa/fa rats. Extension of this approach to adamantylglycine-derived inhibitors led to the discovery of highly potent inhibitors, including hydroxyadamantyl compound BMS-477118 (saxagliptin), a highly efficacious, stable, and long-acting DPP-IV inhibitor, which is currently undergoing clin. trials for treatment of type 2 diabetes.

IT 361442-05-9P

RL: PAC (Pharmacological activity); PKT (Pharmacokinetics); SPN (Synthetic preparation); THU (Therapeutic use); BIOL (Biological study); PREP (Preparation); USES (Uses)

(discovery and preclin. profile of saxagliptin (BMS-477118) as highly potent and long-acting and orally active dipeptidyl peptidase IV inhibitor for treatment of type 2 diabetes)

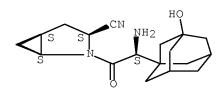
RN 361442-05-9 CAPLUS

CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-amino-2-(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-, (1S,3S,5S)-, 2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)

CM 1

CRN 361442-04-8 CMF C18 H25 N3 O2

Absolute stereochemistry.



CM 2

CRN 76-05-1 CMF C2 H F3 O2

OS.CITING REF COUNT: 205 THERE ARE 205 CAPLUS RECORDS THAT CITE THIS

RECORD (206 CITINGS)

REFERENCE COUNT: 64 THERE ARE 64 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L57 ANSWER 4 OF 4 CAPLUS COPYRIGHT 2012 ACS on STN ACCESSION NUMBER: 2001:693281 CAPLUS Full-text

DOCUMENT NUMBER: 135:257147

TITLE: Preparation of fused cyclopropylpyrrolidine-based

inhibitors of dipeptidyl peptidase IV

INVENTOR(S): Robl, Jeffrey A.; Sulsky, Richard B.; Augeri, David

J.; Magnin, David R.; Hamann, Lawrence G.; Betebenner,

David A.

PATENT ASSIGNEE(S): Bristol-Myers Squibb Co., USA

SOURCE: PCT Int. Appl., 135 pp.

CODEN: PIXXD2

DOCUMENT TYPE: Patent LANGUAGE: English

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

PATENT NO.				KIND DATE			-	APPLICATION NO.					DATE					
WO 2001068603 WO 2001068603						1	WO 2001-US7151					20010305						
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          HU 2003002792 A2 20031229 HU 2003-2792
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BR 2001009115 A 20031230 BR 2001-9115
NZ 520821 A 20041126 NZ 2001-520821
AU 2001245466 B2 20050512 AU 2001-245466
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ES 2305062 T3 20081101 ES 2001-918383
SG 152030 A1 20090529 SG 2004-5783
IL 151372 A 20091224 IL 2001-151372
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EP 2272825 A3 20110504
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NO 2002004295 A 20021106 NO 2002-4295
NO 324227 B1 20070910
KR 754089 B1 20070831 KR 2002-7011806
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IN 2007MN00184 A 20080215 IN 2007-MN184
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      EP 2001-918383
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      EP 2005-5368
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      WO 2001-US7151
      W 20010305

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      A3 20020823

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PRIORITY APPLN. INFO.:
                                                                                                        IN 2002-MN1154 A3 20020823
KR 2002-7011806 A3 20020909
ASSIGNMENT HISTORY FOR US PATENT AVAILABLE IN LSUS DISPLAY FORMAT
OTHER SOURCE(S): MARPAT 135:257147
GΙ
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Dipeptidyl peptidase IV inhibiting compds. I (x = 0 or 1 and y = 0 or 1 provided that x = 1 when y = 0 and x = 0 when y = 1; n = 0, 1; X = H, CN; R1, R2, R3 and R4 = same or different and independently selected from H, (un) substituted chain or cyclic components) and the pharmaceutically acceptable salts or prodrugs (no data) were prepared. Thus L-pyroglutamic acid Et ester was protected, cyclopropanated and reacted further with (S)-N-BOC-isoleucine providing an intermediate II which reacted further to yield the fused cyclopropylpyrrolidine III in 57% yield. A method is also provided for treating diabetes and related diseases, especially Type II diabetes, and other diseases by employing a title DP 4 inhibitor or a combination of DP 4 inhibitor and one or more of another antidiabetic agent such as metformin, glyburide, troglitazone, pioglitazone, rosiglitazone and/or insulin and/or one or more of a hypolipidemic agent and/or anti-obesity agent and/or other therapeutic agent.

III

IT 361442-05-9P

RL: BAC (Biological activity or effector, except adverse); BSU (Biological study, unclassified); SPN (Synthetic preparation); THU (Therapeutic use); BIOL (Biological study); PREP (Preparation); USES (Uses)

(preparation of fused cyclopropylpyrrolidine-based inhibitors of dipeptidyl peptidase IV)

RN 361442-05-9 CAPLUS

CN 2-Azabicyclo[3.1.0]hexane-3-carbonitrile, 2-[(2S)-2-amino-2-(3-hydroxytricyclo[3.3.1.13,7]dec-1-yl)acetyl]-, (1S,3S,5S)-, 2,2,2-trifluoroacetate (1:1) (CA INDEX NAME)

CM 1

CRN 361442-04-8 CMF C18 H25 N3 O2

Absolute stereochemistry.

CM 2

CRN 76-05-1 CMF C2 H F3 O2

OS.CITING REF COUNT: 35 THERE ARE 35 CAPLUS RECORDS THAT CITE THIS

RECORD (60 CITINGS)

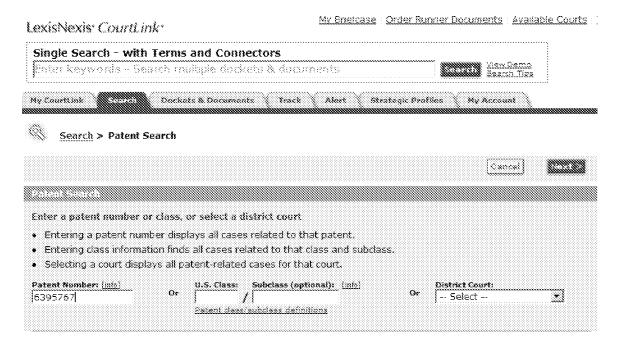
REFERENCE COUNT: 11 THERE ARE 11 CITED REFERENCES AVAILABLE FOR THIS

RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

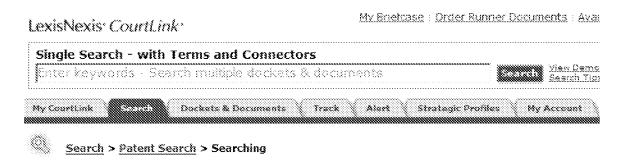
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SESSION WILL BE HELD FOR 120 MINUTES
STN INTERNATIONAL SESSION SUSPENDED AT 16:48:32 ON 30 APR 2012

CourtLink, Lexis/Nexis and Dialog Litigation search for USP 6,395,767. Case 13/308,658.



CourtLink search for USP 6,395,767.

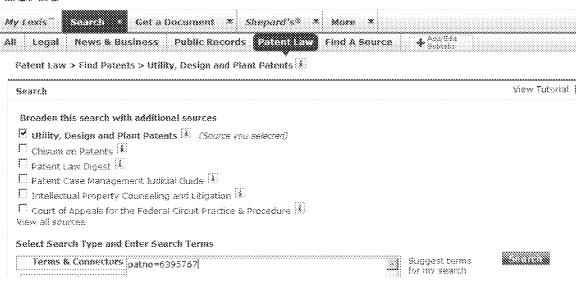


Patent Search 6395767 4/16/2012

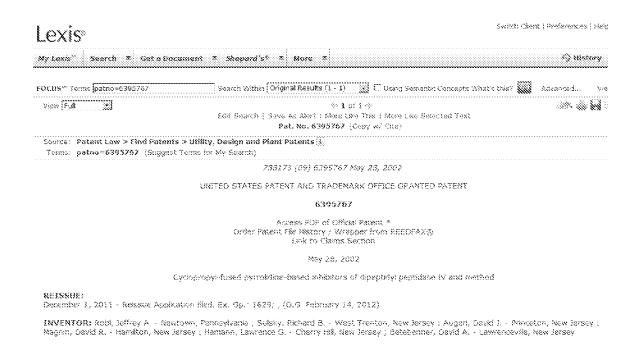
No cases found.

No Cases found in CourtLink Search.

## Lexis<sup>®</sup>

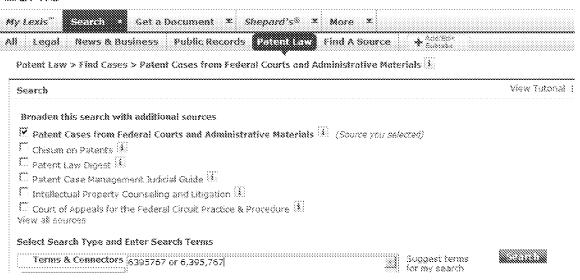


Searched the Utility, Design and Plant Patents database.



Lexis lists litigation at the top of its patents: No litigation listed.

# Lexis<sup>®</sup>



Searched the Patent Cases from Federal Courts and Administrative Materials Database.

#### No Documents Found

No documents were found for your search terms "6395767 or 6,395,767"

Click "Save this search as an Alert" to schedule your search to run in the future.

- 08 -

Click "Search Using Natural Language" to run your search as Natural Language search.

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Click "Edit Search" to return to the search form and modify your search.

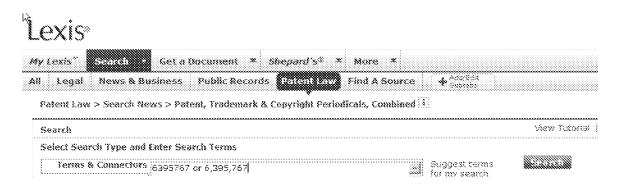
#### Suggestions:

- Check for spelling errors.
- · Remove some search terms.
- Use more common search terms, such as those listed in "Suggested Words and Concepts."
- Use a less restrictive date range.
- Use "OR" in between terms to search for one term or the other.

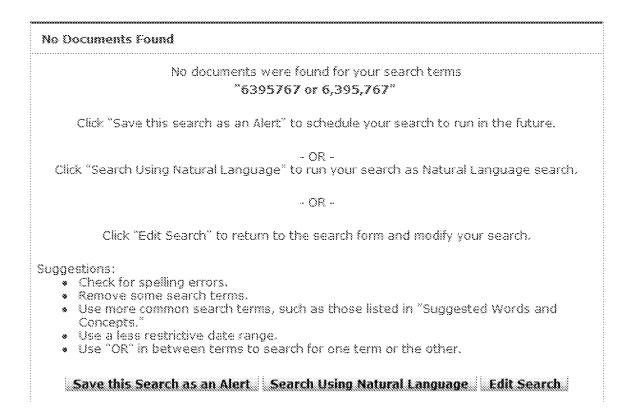
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No documents found.

Litigation search for USP 6,395,767. Case 13/308,658.

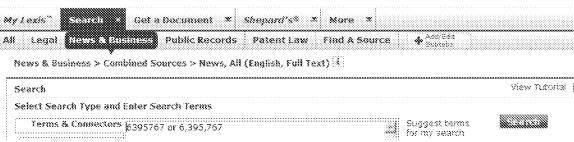


Searched the Patent, Trademark & Copyright Periodicals, Combined database.

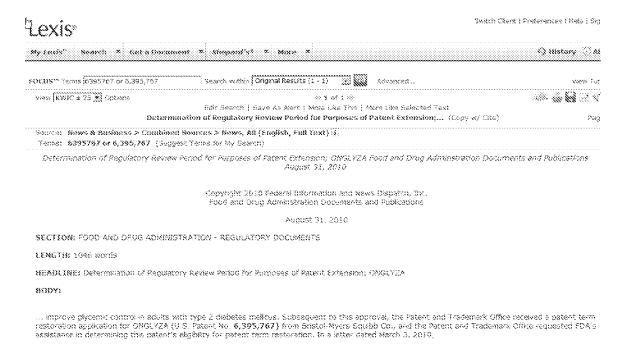


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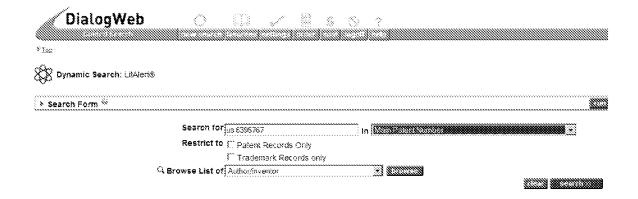




Searched Lexis/Nexis News, All (English, Full Text) database.



One article found. No litigation is mentioned.



Searched Dialog for Litigation information.



No Records Found : LitAlert®

There were no records matching your search. Please modify your search and try again.

### Tips:

- Don't over-specify: use only the search options you really need.
- Exclude "implied concepts": leave out words like research or effects.
- Check the format of your entry: some search options require specific spacing or punctuation.
- . Use the Browse feature when available to find variations for your terms.
- Use more wildcards to search different word endings.
- Check that you are using parentheses correctly when you combine words with AND, OR, NOT.
- Check for misspelled words.

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
13/308,658	12/01/2011	Jeffrey A. Robl	BMS-2856	7781
	7590 05/30/201 <b>WASHBURN</b> LLP	2	EXAMINER	
	E, 12TH FLOOR		POLANSKY, GREGG	
2929 ARCH STREET PHILADELPHIA, PA 19104-2891			ART UNIT	PAPER NUMBER
			1629	
			NOTIFICATION DATE	DELIVERY MODE
			05/30/2012	ELECTRONIC

## Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

eofficemonitor@woodcock.com

Applicant Initiated Interview Summery	13/308,658	ROBL ET AL.				
Applicant-Initiated Interview Summary	Examiner	Art Unit				
	Gregg Polansky	1629				
All participants (applicant, applicant's representative, PTO personnel):						
(1) <u>Gregg Polansky</u> .	(3) Maurice Valla.					
(2) <u>James Anderson</u> .	(4)					
Date of Interview: 22 May 2012.						
Type: X Telephonic Video Conference Personal [copy given to: Applicant	applicant's representative]					
Exhibit shown or demonstration conducted:  Yes If Yes, brief description:	⊠ No.					
Issues Discussed 101 112 102 103 Others (For each of the checked box(es) above, please describe below the issue and detailed description of the discussion)						
Claim(s) discussed: pending claims.						
Identification of prior art discussed: none.						
Substance of Interview (For each issue discussed, provide a detailed description and indicate if agreement was reached. Some topics may include: identification or clarification of a reference or a portion thereof, claim interpretation, proposed amendments, arguments of any applied references etc)						
Discussed the objections and rejections set forth in the Office action mailed on 5/08/2012. Attorney Valla spoke to his understanding of the issues presented in the Office action and the means to overcome them. The Examiner's provided clarification with regard to problems with Applicants' Oath and the incorporation of corrections provided by the Certificate of Correction in the original patent.						
Applicant recordation instructions: The formal written reply to the last Office action must include the substance of the interview. (See MPEP section 713.04). If a reply to the last Office action has already been filed, applicant is given a non-extendable period of the longer of one month or thirty days from this interview date, or the mailing date of this interview summary form, whichever is later, to file a statement of the substance of the interview						
<b>Examiner recordation instructions</b> : Examiners must summarize the substance of any interview of record. A complete and proper recordation of the substance of an interview should include the items listed in MPEP 713.04 for complete and proper recordation including the identification of the general thrust of each argument or issue discussed, a general indication of any other pertinent matters discussed regarding patentability and the general results or outcome of the interview, to include an indication as to whether or not agreement was reached on the issues raised.						
Attachment						
/JAMES D ANDERSON/ Primary Examiner, Art Unit 1629	/Gregg Polansky/ Examiner, Art Unit 1629					

Application No.

Applicant(s)

U.S. Patent and Trademark Office
PTOL-413 (Rev. 8/11/2010) Interview Summary Paper No. 20120522

#### **Summary of Record of Interview Requirements**

#### Manual of Patent Examining Procedure (MPEP), Section 713.04, Substance of Interview Must be Made of Record

A complete written statement as to the substance of any face-to-face, video conference, or telephone interview with regard to an application must be made of record in the application whether or not an agreement with the examiner was reached at the interview.

#### Title 37 Code of Federal Regulations (CFR) § 1.133 Interviews

Paragraph (b)

In every instance where reconsideration is requested in view of an interview with an examiner, a complete written statement of the reasons presented at the interview as warranting favorable action must be filed by the applicant. An interview does not remove the necessity for reply to Office action as specified in §§ 1.111, 1.135. (35 U.S.C. 132)

37 CFR §1.2 Business to be transacted in writing.

All business with the Patent or Trademark Office should be transacted in writing. The personal attendance of applicants or their attorneys or agents at the Patent and Trademark Office is unnecessary. The action of the Patent and Trademark Office will be based exclusively on the written record in the Office. No attention will be paid to any alleged oral promise, stipulation, or understanding in relation to which there is disagreement or doubt.

The action of the Patent and Trademark Office cannot be based exclusively on the written record in the Office if that record is itself incomplete through the failure to record the substance of interviews.

It is the responsibility of the applicant or the attorney or agent to make the substance of an interview of record in the application file, unless the examiner indicates he or she will do so. It is the examiner's responsibility to see that such a record is made and to correct material inaccuracies which bear directly on the question of patentability.

Examiners must complete an Interview Summary Form for each interview held where a matter of substance has been discussed during the interview by checking the appropriate boxes and filling in the blanks. Discussions regarding only procedural matters, directed solely to restriction requirements for which interview recordation is otherwise provided for in Section 812.01 of the Manual of Patent Examining Procedure, or pointing out typographical errors or unreadable script in Office actions or the like, are excluded from the interview recordation procedures below. Where the substance of an interview is completely recorded in an Examiners Amendment, no separate Interview Summary Record is required.

The Interview Summary Form shall be given an appropriate Paper No., placed in the right hand portion of the file, and listed on the "Contents" section of the file wrapper. In a personal interview, a duplicate of the Form is given to the applicant (or attorney or agent) at the conclusion of the interview. In the case of a telephone or video-conference interview, the copy is mailed to the applicant's correspondence address either with or prior to the next official communication. If additional correspondence from the examiner is not likely before an allowance or if other circumstances dictate, the Form should be mailed promptly after the interview rather than with the next official communication.

The Form provides for recordation of the following information:

- Application Number (Series Code and Serial Number)
- Name of applicant
- Name of examiner
- Date of interview
- Type of interview (telephonic, video-conference, or personal)
- Name of participant(s) (applicant, attorney or agent, examiner, other PTO personnel, etc.)
- An indication whether or not an exhibit was shown or a demonstration conducted
- An identification of the specific prior art discussed
- An indication whether an agreement was reached and if so, a description of the general nature of the agreement (may be by attachment of a copy of amendments or claims agreed as being allowable). Note: Agreement as to allowability is tentative and does not restrict further action by the examiner to the contrary.
- The signature of the examiner who conducted the interview (if Form is not an attachment to a signed Office action)

It is desirable that the examiner orally remind the applicant of his or her obligation to record the substance of the interview of each case. It should be noted, however, that the Interview Summary Form will not normally be considered a complete and proper recordation of the interview unless it includes, or is supplemented by the applicant or the examiner to include, all of the applicable items required below concerning the substance of the interview.

A complete and proper recordation of the substance of any interview should include at least the following applicable items:

- 1) A brief description of the nature of any exhibit shown or any demonstration conducted,
- 2) an identification of the claims discussed,
- 3) an identification of the specific prior art discussed,
- 4) an identification of the principal proposed amendments of a substantive nature discussed, unless these are already described on the Interview Summary Form completed by the Examiner.
- 5) a brief identification of the general thrust of the principal arguments presented to the examiner,
  - (The identification of arguments need not be lengthy or elaborate. A verbatim or highly detailed description of the arguments is not required. The identification of the arguments is sufficient if the general nature or thrust of the principal arguments made to the examiner can be understood in the context of the application file. Of course, the applicant may desire to emphasize and fully describe those arguments which he or she feels were or might be persuasive to the examiner.)
- 6) a general indication of any other pertinent matters discussed, and
- 7) if appropriate, the general results or outcome of the interview unless already described in the Interview Summary Form completed by the examiner.

Examiners are expected to carefully review the applicant's record of the substance of an interview. If the record is not complete and accurate, the examiner will give the applicant an extendable one month time period to correct the record.

#### **Examiner to Check for Accuracy**

If the claims are allowable for other reasons of record, the examiner should send a letter setting forth the examiner's version of the statement attributed to him or her. If the record is complete and accurate, the examiner should place the indication, "Interview Record OK" on the paper recording the substance of the interview along with the date and the examiner's initials.

**Application No.:** 13/308,658 **Office Action Dated:** May 8, 2012

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Jeffrey A. Robl

Confirmation No.: 7781

Application No.: 13/308,658 Group Art Unit: 1629

Filing Date: December 1, 2011 Examiner: Gregg Polansky

For: Cyclopropyl-Fused Pyrrolidine-Based Inhibitors of Dipeptidyl Peptidase IV and

Method

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Commissioner:

### REPLY PURSUANT TO 37 CFR § 1.111

In response to the Official Action dated **May 8, 2012**, reconsideration is respectfully requested in view of the amendments and/or remarks as indicated below:

- A Listing of Prior Changes to U.S. 6,395,767 ("the 767 patent") Made By Certificate of Correction begin on page 2 of this paper.
- Amendments to the Claims of the 767 Patent begin on page 16 of this paper.
- A Complete Listing of the Claims as Amended, with status identifiers, begins on page 22 of this paper.
- Remarks begin on page 33 of this paper.
- The Commissioner is hereby authorized to charge any fee deficiency, charge any additional fees, or credit any overpayment of fees, associated with this application in connection with this filing, or any future filing, submitted to the U.S. Patent and Trademark Office during the pendency of this application, to Deposit Account No. 23-3050.

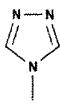
Application No.: 13/308,658
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## **Changes to 767 Patent Previously Entered by Certificate of Correction**

1. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 7, line 4-col. 8, line 7 of the 767 patent:

Alternately, the carboxamide group in 8 may be converted to the nitrile as described above to give compound 9. Deprotection of PG<sub>1</sub> affords 10 which may be subject to standard peptide coupling conditions to afford 7, an intermediate in the synthesis of Ib. Compound 10 may also be generated by oxidation of the amine 2 (e.g. NCS) followed by hydrolysis and subsequent cyanide treatment. Compound 10 may be obtained as a mixture of stereoisomers or a single isomer/diastereomer which may be epimerized (employing conventional procedures) to afford a mixture of stereoisomers.

2. As indicated by the Certificate of Correction, please insert the following structure at col. 14, line 50 of the 767 patent:



3. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 14, lines 55-58 of the 767 patent:

The term "cycloheteroalkylalkyl" as used herein alone or as part of another group refers tocycloheteroalkyl groups as defined above linked through a C atom or heteroatom to a  $(CH_2)_r$  chain.

4. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 15, lines 49-56 of the 767 patent:

The other antidiabetic agent may also preferably be a sulfonyl urea such as glyburide (also known as glibenclamide), glimepiride (disclosed in U.S. Pat. No. 4,379,785), glipizide, gliclazide or chlorpropamide, other known sulfonylureas or other

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antihyperglycemic agents which act on the ATP-dependent channel of the  $\beta$ -cells, with glyburide and glipizide being preferred, which may be administered in the same or in separate oral dosage forms.

5. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 20, lines 57-62 of the 767 patent:

The other type of therapeutic agent which may be optionally employed with the DP4 inhibitor of formula I may be 1, 2, 3 or more of an anti-obesity agent including a beta 3 adrenergic agonist, a lipase inhibitor, a serotonin (and dopamine) reuptake inhibitor, a thyroid receptor beta drug, an anorectic agent and/or a fatty acid oxidation upregulator.

6. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 29, lines 15-29 of the 767 patent:

To a stirred solution of (S)-N-tert-butoxycarbonyl-isoleucine (231 mg, 1 mmol) and benzotriazol-1-yloxytripyrrolidinophosphonium hexafluorophosphate (780 mg, 1.5 mmol) in CH<sub>2</sub>Cl<sub>2</sub> (6 mL) under nitrogen at rt was added 4-methylmorpholine (0.33 mL, 3 mmol). After 5 min, Step 1 compound (120 mg, 1 mmol) was added in one portion. The reaction mixture was stirred under nitrogen at rt overnight and then diluted with CH<sub>2</sub>Cl<sub>2</sub> (30 mL), washed with 4.1% KHSO<sub>4</sub> (10 mL)), aqueous NaHCO<sub>3</sub> (10 mL), brine (10 mL), dried (Na<sub>2</sub>SO<sub>4</sub>) and evaporated. Purification by flash chromatography on silica gel (2.4x20 cm column, 1:3 EtOAc/hexane) gave the title compound as a colorless oil, 290 mg, 90% yield. LC/MS gave the correct molecular ion [(M+H)<sup>+</sup> =297] for the desired compound.

7. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 29, line 40-col. 30, line 3 of the 767 patent:

The reaction mixture of Step 2 compound (220 mg, 0.74 mmol) and 4 M HCl in dioxane (1.5 mL, 6 mmol) was stirred at rt for 2 h and evaporated under reduced pressure. Et<sub>2</sub>O was added to the residue and a precipitate was formed. Et<sub>2</sub>O was decanted and this was

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done three times. The precipitate was dried *in vacuo* to give the title compound as a white powder, 130 mg (76% yield), mp 205-206°C. LC/MS gave the correct molecular ion  $[(M+H)^+ = 197]$  for the desired compound.

8. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 32, lines 54-63 of the 767 patent:

To a stirred solution of Step 2 compounds (104 mg, 0.32 mmol) in  $CH_2Cl_2$  (1 mL) at rt was added TFA (1 mL). The reaction mixture was stirred at rt for 2 h. The reaction mixture was added slowly to a precooled slurry of NaHCO<sub>3</sub> (2 g) in H<sub>2</sub>O (2 mL). The mixture was extracted with  $CH_2Cl_2$  (4 mL x 4), and combined  $CH_2Cl_2$  layers were evaporated and purified by preparative HPLC to give the title compound Example 5 (36 mg) and Example 5A (36 mg). LC/MS gave the correct molecular ion [(M+H)<sup>+</sup> = 222] for the desired compounds..

- 9. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 32, line 66-col. 33, line 12 of the 767 patent:
- General Method A: Parallel array synthesis methods for preparation of inhibitors from commercially available amino acids. As shown in Scheme 3, the ester 11, described in Example 1 Step 1, was saponified to the acid with LiOH in THF/H<sub>2</sub>O and converted to the amide 12 by treatment with isobutyl chloroformate/NMM followed by ammonia in dioxane. The Boc protecting group was removed under acidic conditions using TFA in methylene chloride to give 13. The TFA salt was coupled to Boc-*t*-butylglycine using either EDAC/HOBT/DMF or EDAC/DMAP/CH<sub>2</sub>Cl<sub>2</sub> to give 14. The amide was dehydrated to the nitrile 15 using POCl<sub>3</sub>/imidazole in pyridine at –20°C and finally deprotected with TFA in CH<sub>2</sub>Cl<sub>2</sub> at ambient temperature to afford the target 16. SCHEME 3, GENERAL METHOD A (EXAMPLES 6-27)
- 10. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 34, line 59-col. 35, line 13 of the 767 patent:

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An oven-dried 15-mL test tube was charged with Step 3 compound (56 mg, 0.22 mmol), N-*tert*-butoxycarbonyl-(L)-*tert*-leucine (53 mg, 0.23 mmol), dimethylaminopyridine (0.11 g, 0.88 mmol), and CH<sub>2</sub>Cl<sub>2</sub> (4 mL). The tube was sealed under nitrogen atmosphere and treated with 1-[(3-(dimethyl)amino)propyl]-3-ethylcarbodiimide (84 mg, 0.44 mmol). The mixture was placed in a shaker and vortexed overnight. The product was purified by solid phase extraction using a United Technology SCX column (2 g of sorbent in a 6 mL column) by loading the material on a SCX ion exchange column and successively washing with CH<sub>2</sub>Cl<sub>2</sub> (5 mL), 30% methanol in CH<sub>2</sub>Cl<sub>2</sub> (5 mL), 50% methanol in CH<sub>2</sub>Cl<sub>2</sub> (5 mL) and methanol (10 mL). The product containing fractions were concentrated under reduced pressure to give the desired amide. Further purification by reverse phase preparative column chromatography on a YMC S5 ODS 20 x 250 mm column gave the title compound, 50 mg (68% yield). Purification conditions: Gradient elution from 30% methanol/water/0.1 TFA to 90% methanol/water/0.1 TFA over 15 min. 5 min. hold at 90% methanol/water/0.1 TFA. Flow rate: 20 mL/min. Detection wavelength: 220. Retention Time: 14 min.

11. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 41, lines 36-46 of the 767 patent:

An oven-dried 10-mL round bottomed flask was charged with Step 2 compound (350 mg, 0.79 mmol), imidazole (108 mg, 1.58 mmol), pyridine (3 mL). The flask under argon was cooled to  $-30^{\circ}$ C. Slow addition of POCl<sub>3</sub> (0.30 mL, 3.16 mmol) gave after mixing a thick slurry. The slurry was mixed at  $-30^{\circ}$ C for 3 h and the volatiles evaporated. Dichloromethane (5 mL) was then added and the insoluble solid was removed by filtration. The organic layer was washed with H<sub>2</sub>O, 10% citric acid, brine and dried over Na<sub>2</sub>SO<sub>4</sub>. Removal of solvent gave crude desired nitrile (330 mg) (LC/Mass, + ion): 424 (M+H).

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12. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 43, lines 20-29 of the 767 patent:

To a flame-dried 500-mL round-bottomed flask containing cyclopentylideneacetic acid ethyl ester (17.5 g, 113 mmol) in 100 mL anhydrous toluene at -78°C under argon was added DIBAL-H (189 mL of a 1.5 M solution in toluene, 284 mmol, 2.50 equiv) dropwise over a 30 min period through an addition funnel, and the mixture was then allowed to warm to rt, stirring for 18 h. The reaction mixture was then recooled to -78°C, and quenched by the careful addition of 30 mL anhydrous MeOH. Upon warming to rt, 1 N Rochelle's salt (100 mL) was added, and the mixture was stirred 90 min. The biphasic reaction mixture was then diluted with Et<sub>2</sub>O (200 mL) in a separatory funnel, and the layers were separated. The organic layer was then washed with brine (100 mL), dried (Na<sub>2</sub>SO<sub>4</sub>), and concentrated under reduced pressure. Purification by flash column chromatography (silica gel, CH<sub>2</sub>Cl<sub>2</sub> / EtOAc, 10:1) gave 11.6 g (92%) of the desired allylic alcohol as a colorless oil.

13. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 43, lines 53-67 of the 767 patent:

To flame-dried 500-mL round-bottomed flask containing N-(tertbutyloxycarbonyl)glycine (13.45 g, 76.75 mmol) in 100 mL CH<sub>2</sub>Cl<sub>2</sub> at rt was added Step 2 compound (8.61 g, 76.75 mmol, 1.00 equiv) in 20 mL CH<sub>2</sub>Cl<sub>2</sub>, followed by dicyclohexylcarbodiimide (16.63 g, mmol, 1.05 equiv) in 80 mL CH<sub>2</sub>Cl<sub>2</sub>. To this reaction mixture was then added 4-dimethylaminopyridine (0.94 mg, mmol, 0.10 equiv), and the mixture was allowed to stir overnight. The reaction mixture was then filtered through a medium sintered-glass funnel, rinsing with 100 mL CH<sub>2</sub>Cl<sub>2</sub>, and concentrated under reduced pressure. The crude product was then purified by flash chromatography (silica gel, hexanes/EtOAc, 20:1 to 1:1 gradient) to give 19.43 g (94%) of the desired glycinyl ester as a colorless oil.

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14. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 44, lines 19-45 of the 767 patent:

A flame-dried 500-mL round-bottomed flask under argon was charged with ZnCl<sub>2</sub> (11.8 g, mmol, 1.20 equiv) and 20 mL toluene. The mixture was heated under vacuum with vigorous stirring to azeotrope off any traces of moisture with the distilling toluene, repeating this process (2 x). The flask was then cooled to rt under argon, (2cyclopentylideneethyl) N-(tert-butyloxycarbonyl)glycinate (19.36 g, 71.88 mmol) was added via cannula as a solution in 180 mL THF, and the mixture was then cooled to -78°C. In a separate flame-dried 200-mL round-bottomed flask containing diisopropylamine (26.3 mL, mmol, 2.60 equiv) in 90 mL THF at -78°C was added nbutyllithium (71.89 mL of a 2.5 M solution in hexanes, mmol, 2.5 equiv), and the mixture was allowed to warm to 0°C for 30 min before recooling to -78°C. The lithium diisopropylamine thus generated was then added via cannula to the ZnCl<sub>2</sub> ester mixture dropwise at a steady rate over 40 min, and the resultant reaction mixture was allowed to slowly warm to rt and stir overnight. The yellow reaction mixture was then poured into a separatory funnel, diluted with 300 mL Et<sub>2</sub>O, and the resultant organic solution was washed successively with 300 mL 1N HCl and 300 mL brine, dried (Na<sub>2</sub>SO<sub>4</sub>), and concentrated under reduced pressure. Purification by flash chromatography (silica gel, 3% MeOH in CH<sub>2</sub>Cl<sub>2</sub> with 0.5% HOAc) gave 17.8 g (92%) of the desired amino acid product as a white solid. (FAB MH+ 270).

15. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col 46, lines 48-59 of the 767 patent:

Step 2 compound (32 mg, 0.09 mmol) was dissolved in 1 mL of CH<sub>2</sub>Cl<sub>2</sub> and 1 mL of TFA was added and the reaction stirred for 30 min at rt and was evaporated to dryness. The product was purified by reverse phase preparative column chromatography on a YMC S5 ODS 20 X 250 mm column to give 12 mg of the TFA salt (lyophilized from water or isolated after evaporation of eluent and trituration with ether) the title compound. Purification conditions: gradient elution from 10% methanol/water/0.1 TFA

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to 90% methanol/water/0.1 TFA over 18 min; 5 min. hold at 90% methanol/water/0.1 trifluoroacetic acid. Flow rate: 20 mL/min. Detection wavelength: 220.

16. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 46, lines 61-67 of the 767 patent:

Examples 30–39 were prepared by the methods outlined in General Method B and General Method C starting from cyclopentanone, cyclobutanone, cyclobutanone, cyclohexanone, cyclopentanone, cyclopentanone, and 4-pyranone, cyclopropaneethylhemiacetal, acetone, and 3-pentanone respectively.

- 17. As indicated by the Certificate of Correction, at col. 52, line 64 of the 767 patent, change "25" to ---28--.
- 18. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 53, lines 28-45 of the 767 patent:

N-Boc protected cyclobutylvinyl compound (Example 31, prepared by general method C) (0.16 g, 0.46 mmol) was dissolved in 10 mL of a 1:1 mixture of THF:water and treated with OsO<sub>4</sub> (12 mg, catalyst) and NaIO<sub>4</sub> (0.59 g, 2.76 mmol, 6 equiv). After 2 h, the reaction mixture was diluted with 50 mL of ether and 10 mL of water. The layers were equilibrated and the organic fraction was washed one time with NaHCO<sub>3</sub> solution, dried over MgSO<sub>4</sub> and concentrated to give a dark oil. The oil was diluted with 10 mL of methanol and treated with NaBH<sub>4</sub> (0.08 g, 2.0 mmol). The mixture turned very dark and after 30 min was diluted with ether and the reaction was quenched with aqueous NaHCO<sub>3</sub> solution. The mixture was equilibrated and layers separated. The organic fraction was washed with solutions of NaHCO<sub>3</sub> and 0.1 M HCl. The organics were dried (MgSO<sub>4</sub>) and concentrated to give 90 mg (56%) of the Step 1 compound as a dark oil.

19. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 53, lines 59-67 of the 767 patent:

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Step 1 compound (90 mg, 0.26 mmol) was dissolved in 3 mL of  $CH_2Cl_2$ , cooled to 0°C and treated with 3 mL of freshly distilled TFA. The reaction was complete in 80 min and evaporated to dryness and purified by preparative HPLC (YMC S5 ODS 30 x 100 mm, 10 minute gradient 100%A to 100%B, Solvent A = 10% MeOH-90%H2O-0.1% TFA, Solvent B = 90% MeOH-10%  $H_2O$  -0.1% TFA, to give, after removal of water, 50 mg (60%) of title compound. (MH+250).

20. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 62, lines 56-67 of the 767 patent:

The Step 2 compound (95 mg, 0.22 mmol, 1 equiv) was dissolved in anhydrous  $CH_2Cl_2$  (2.5 mL) under argon and cooled to  $-78^{\circ}C$ . The mixture was treated with diisopropylethylamine (65  $\mu$ L, 0.37 mmol, 1.7 equiv), and triethylsilyl triflate (75  $\mu$ L, 0.33 mmol, 1.5 equiv), and stirred at 0°C for 1.5 h. The reaction was mixed with MeOH (0.5 mL), silica gel (200 mg) and  $H_2O$  (2 drops) and stirred at rt for 18 h. The solvent was removed by rotary evaporation and the residue purified flash column chromatography on silica gel(2.5x10 cm) with 4% MeOH/CH<sub>2</sub>Cl<sub>2</sub> to afford the product (92 mg, 0.17 mmol, 77%): MS m/e 540 (m+H)<sup>+</sup>.

21. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 66, lines 11-27 of the 767 patent:

An oven-dried flask equipped with a condenser and drying tube was charged with norbornane-2-carboxylic acid (4.92 g, 35 mmol, 1 equiv) and treated with bromine (2.1 mL, 41 mmol, 1.15 equiv) and phosphorous trichloride (0.153 mL, 1.8 mmol, 0.05 equiv). The mixture was heated at 85°C for 7 h protected from light. Additional bromine (0.4 mL, 7.8 mmol, 0.22 equiv) was added with continued heating for 1 h. The mixture was cooled to rt, and Et<sub>2</sub>O (100 mL) was added. The mixture was washed with 10% aq NaHSO<sub>3</sub> (50 mL), H<sub>2</sub>O (2x50 mL), and brine (25 mL). The ether fraction was dried (Na<sub>2</sub>SO<sub>4</sub>), filtered and concentrated by rotary evaporation. The product was purified by flash column chromatography on silica gel (5x15 cm) with 2% to 4% MeOH/CH<sub>2</sub>Cl<sub>2</sub> +

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0.5% HOAc. The product was chased with hexanes to remove residual HOAc. The isolated material consists of two inseparable materials (4.7 g), which was used without further purification in the next step.

22. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 69, lines 20-27 of the 767 patent:

To a 50-mL round-bottomed flask containing Step 2 compound (0.72 g, 4.20 mmol) in 8 mL of water at rt was added NaCN (0.20 g, 4.20 mmol) followed by NH<sub>4</sub>Cl (0.20 g, 5.00 mmol). To this reaction mixture was then added methanol (8 mL) and the mixture was allowed to stir overnight. The reaction mixture was then extracted with ether (2x15 mL), dried (MgSO<sub>4</sub>) and concentrated under reduced pressure to give the crude Strecker product.

23. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 69, lines 28-44 of the 767 patent:

To a 100-mL round-bottomed flask containing the crude Strecker product was added 10 mL of HOAc and 10 mL of conc. HCl. The mixture was refluxed overnight. The mixture was concentrated under reduced pressure to give a yellow solid. The solid was triturated with 5 mL of 1:1 mixture of ether and hexanes. The white solid was treated with triethylamine (1.4 mL, 9.99 mmol) and di-*tert*-butyldicarbonate (1.00 g, 4.60 mmol) in 50 mL DMF. After 4 h the pH of the mixture was adjusted to 9 with saturated Na<sub>2</sub>CO<sub>3</sub> soln. After an additional 3 h of stirring the mixture was extracted with 1:1 ether and hexanes and the aqueous fraction acidified to pH 2 with 5% KHSO<sub>4</sub> solution. The aqueous phase was washed with ether (2 X 40 mL), the organics dried (MgSO<sub>4</sub>), and evaporated to an oil that was purified by silica gel flash chromatography with 8:92 methanol:CH<sub>2</sub>Cl<sub>2</sub> to give 0.3 g (23%) of the Boc-protected amino acid as a light oil (M-H, 318).

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24. As indicated by the Certificate of Correction, please move "Step 1" at col. 70, line 56 of the 767 patent to col. 70, line 65.

25. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 72, lines 30-49 of the 767 patent:

Sodium ethoxide (940 mg of 21 wt% solution in ethanol, 2.9 mmol) in ethanol (2 mL) was added to a stirred solution of diethyl acetamidomalonate (4.31g, 19.8 mmol) in EtOH (23 mL) at rt under argon. The reaction mixture was cooled to 0°C; and trans-2-pentenal (1.51 g, 18.0 mmol) was added dropwise maintaining the reaction temperature at < 5°C. After the addition, the reaction was allowed to warm to rt, stirred for 4 h, then quenched with acetic acid (460 µl). The solution was concentrated *in vacuo*, and the residue dissolved in EtOAc (25 mL), washed with 10% NaHCO<sub>3</sub> solution (2x5 mL), brine and dried (MgSO<sub>4</sub>). The solution was filtered and concentrated to a 10 mL volume, then heated to reflux and diluted with hexane (20 mL). Upon cooling to rt, the title compound precipitated and was collected to give 3.0 g (50%) of the Step 1 compound (mp 106-109°C; LC/Mass: + ions, 324 M+Na).

26. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 72, line 64-col. 73, line 8 of the 767 patent:

To a solution of Step 1 compound (2.87 g, 9.5 mmol) and triethylsilane (2.28 mL, 14.3 mmol) in CH<sub>2</sub>Cl<sub>2</sub> (30 mL) under argon was added TFA (7.35 mL, 95.3 mmol) dropwise with stirring while maintaining the internal temperature at 25°C by means of an ice bath. After stirring for 4 h at rt, the solution was concentrated. The residue was diluted with CH<sub>2</sub>Cl<sub>2</sub> (100 mL), then treated with H<sub>2</sub>O (50 mL) and solid Na<sub>2</sub>CO<sub>3</sub> with vigorous stirring until the mixture was basic. The organic layer was separated, dried (Na<sub>2</sub>SO<sub>4</sub>), filtered, then concentrated to give the Step 2 compound as a yellow oil which was used without further purification (LC/Mass: + ions, 308 M+Na).

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27. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 73, lines 22-27 of the 767 patent:

Step 2 compound (3.73 g, 9.5 mmol) was suspended in 6 N HCl (20 mL) and HOAc (5 mL) and heated at reflux for 20 h. The reaction mixture was then cooled, washed with EtOAc (20 mL), then concentrated to give an oil which crystallized upon trituration with ether to give the title compound (1.2 g, 70.6%) (LC/Mass, + ion): 144 (M+H).

28. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 74, lines 26-41 of the 767 patent:

Sodium ethoxide (940 mg, 2.9 mmol; 21% w/w solution in ethanol) in ethanol (2 mL) was added to a stirred solution of diethyl acetamidomalonate (4.31 g, 19.8 mmol) in EtOH (23 mL) at rt under argon. The reaction mixture was cooled to 0°C; and 4-methyl-2-pentenal (1.77 g, 18.0 mmol) was added dropwise maintaining the reaction temperature at < 5°C. After the addition, the reaction was allowed to warm to rt, stirred for 4 h, then quenched with acetic acid (460 μl). The solution was concentrated and the remainder dissolved in EtOAc (25 mL). The organics were washed with 10% NaHCO<sub>3</sub> solution (2x5 mL), brine and dried (MgSO<sub>4</sub>). The solution was filtered and concentrated to 10 mL volume, then heated to reflux and treated with hexane (20 mL). On cooling, the Step 1 compound precipitated and was collected (3.3 g) (LC/Mass, + ion): 338 (M+Na).

29. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 79, lines 54-67 of the 767 patent:

N-[((S)-cyclopentylvinyl)-N-tert-butoxycarbonylglycinyl]-(2S,4S,5S)-2-cyano-4,5-methano-L-prolylamide (70 mg, 0.19 mmol) described in General Method C, Step 2 was dissolved in a mixture of 2 mL *t*-BuOH / 3 mL THF and N-methylmorpholine-N-oxide (33mg, 0.28 mmol) was added followed by osmium tetroxide (0.1 mmol, 50 mol%). The reaction was quenched with 1 mL of 10% aqueous Na<sub>2</sub>SO<sub>3</sub> and was taken up in EtOAc and washed with H<sub>2</sub>O 5 mL, dried (Na<sub>2</sub>SO<sub>4</sub>), filtered, evaporated and purified by silica gel flash chromatography (5% MeOH/CH<sub>2</sub>Cl<sub>2</sub>) to give 41 mg (55%) of the protected diol

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as an oil. The title compound was obtained by deprotection of the amine functionality with TFA according to General Method C (FAB MH+ 294).

30. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 82, lines 52-67 of the 767 patent:

According to literature (J. Org. Chem 1994, 59, 8215), a solution of Step 3 compound (0.875 g, 3.83 mmol) in dry benzene (4.0 mL) was treated with triethylamine (0.52 mL, 3.83 mmol) and diphenylphosphoryl azide (0.85 mL, 3.83 mmol), refluxed under nitrogen for 1 h and cooled to rt. The solution was treated with benzyl alcohol (0.60 mL, 5.75 mmol or 1.5 equiv), refluxed for 17 h, cooled then diluted with ether (40 mL). The solution was washed with 10% aqueous citric acid (2x3 mL),back-extracting the citric acid wash with ether (40 mL). The combined organic extracts were washed with 5% sodium bicarbonate (2x3 mL), dried (MgSO<sub>4</sub>), filtered, and concentrated. Flash chromatography on silica gel of the crude product with 10% EtOAc in hexane (1.0 L) gave step 4 compound as a clear thick syrup. Yield: 1.15 g (90%). MS(M+H) 334.

- 31. As indicated by the Certificate of Correction, at col. 84, line 34 of the 767 patent, please replace "NS" with --MS--.
- 32. As indicated by the Certificate of Correction, please replace claim 8 at col. 91, lines 9-49 with the following corrected claim:
  - 8. A compound having the structure:

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or a pharmaceutically acceptable salt thereof.

- 33. As indicated by the Certificate of Correction, please replace claim 10 at col. 91, line 54-col. 92, line 18 with the following corrected claim:
  - 10. A compound which is

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(1S, 2(2S), 3S, 5S)

wherein R<sup>1</sup> is alkyl, cycloalkyl, bicycloalkyl, tricycloalkyl, alkylcycloalkyl, hydroxyalkyl, hydroxycycloalkyl, hydroxyalkylcycloalkyl, hydroxybicycloalkyl, or hydroxytricycloalkyl,

or

wherein R<sup>1</sup> is alkyl, cycloalkyl, bicycloalkyl, tricycloalkyl, alkylcycloalkyl, hydroxyalkyl, hydroxycycloalkyl, hydroxyalkylcycloalkyl, hydroxybicycloalkyl, or hydroxytricycloalkyl.

- 34. As indicated by the Certificate of Correction, please replace claim 15 at col. 92, lines 36 to 44 of the 767 patent with the following corrected claim:
- 15. The combination as defined in Claim 14 wherein the antidiabetic agent is 1, 2, 3 or more of metformin, glyburide, glimepiride, glipyride, glipizide, chlorpropamide, gliclazide, acarbose, miglitol, pioglitazone, troglitazone, rosiglitazone, insulin, Gl-262570, isaglitazone, JTT-501, NN-2344, L895645, YM-440, R-119702, AJ9677, repaglinide, nateglinide, KAD1129, AR-HO39242, GW-409544, KRP297, AC2993, Exendin-4, LY307161, NN2211, and/or LY315902.

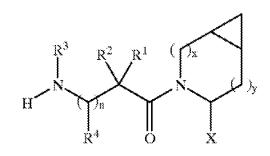
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## **Amendments to the Claims of the 767 Patent:**

Please further amend the claims of the 767 patent as shown below (deletions and additions are shown relative to the claims as issued in the 767 patent):

Amend claim 1 as follows:

1. A compound having the structure



wherein x is 0 or 1 and y is 0 or 1, provided that

x=1 when y=0 and

x=0 when y=1; and wherein

n is 0 or 1;

X is H or CN;

R<sup>1</sup>, R<sup>2</sup>, R<sup>3</sup> and R<sup>4</sup> are the same or different and are independently selected from hydrogen, alkyl, alkenyl, alkynyl, cycloalkyl, cycloalkylalkyl, bicycloalkyl, tricycloalkyl, alkylcycloalkyl, hydroxyalkylcycloalkyl, hydroxycycloalkyl, hydroxycycloalkyl, hydroxybicycloalkyl, hydroxytricycloalkyl, bicycloalkylalkyl, alkylthioalkyl, arylalkylthioalkyl, cycloalkenyl, aryl, aralkyl, heteroaryl, heteroarylalkyl,

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cycloheteroalkyl or cycloheteroalkylalkyl; all optionally substituted through available carbon atoms with 1, 2, 3, 4 or 5 groups selected from hydrogen, halo, alkyl, polyhaloalkyl, alkoxy, haloalkoxy, polyhaloalkoxy, alkoxycarbonyl, alkenyl, alkynyl, cycloalkyl, cycloalkylalkyl, polycycloalkyl, heteroarylamino, arylamino, cycloheteroalkyl, cycloheteroalkylalkyl, hydroxy, hydroxyalkyl, nitro, cyano, amino, substituted amino, alkylamino, dialkylamino, thiol, alkylthio, alkylcarbonyl, acyl, alkoxycarbonyl, aminocarbonyl, alkynylaminocarbonyl, alkylaminocarbonyl, alkylaminocarbonyl, alkylcarbonyloxy, alkylcarbonylamino, arylcarbonylamino, alkylsulfonylamino, alkylsulfonyl, aminosulfinyl, aminosulfonyl, alkylsulfinyl, sulfonamido or sulfonyl;

and R<sup>1</sup> and R<sup>3</sup> may optionally be taken together to form (CR<sup>5</sup>R<sup>6</sup>)<sub>m</sub> where m is 2 to 6, and R<sup>5</sup> and R<sup>6</sup> are the same or different and are independently selected from hydroxy, alkoxy, H, alkyl, alkenyl, alkynyl, cycloalkyl, halo, amino, substituted amino, cycloalkylalkyl, cycloalkenyl, aryl, arylalkyl, heteroaryl, heteroarylalkyl, cycloheteroalkylalkyl, alkylcarbonylamino, arylcarbonylamino, alkoxycarbonylamino, aryloxycarbonylamino, alkoxycarbonyl, aryloxycarbonyl, or alkylaminocarbonylamino, or R<sup>1</sup> and R<sup>4</sup> may optionally be taken together to form (CR<sup>7</sup>R<sup>8</sup>)<sub>p</sub> wherein p is 2 to 6, and R<sup>7</sup> and R<sup>8</sup> are the same or different and are independently selected from hydroxy, alkoxy, cyano, H, alkyl, alkenyl, alkynyl, cycloalkyl, cycloalkylalkyl, cycloalkenyl, halo, amino, substituted amino, aryl, arylalkyl, heteroaryl, heteroarylalkyl, cycloheteroalkyl, cycloheteroalkylalkyl, alkylcarbonylamino, arylcarbonylamino, alkoxycarbonyl, or alkylaminocarbonylamino, or optionally R<sup>1</sup> and R<sup>3</sup> together with

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form a 5 to 7 membered ring containing a total of 2 to 4 heteroatoms selected from N, O, S, SO, or SO<sub>2</sub>;

or optionally R<sup>1</sup> and R<sup>3</sup> together with

$$\left(\begin{array}{c} \\ \\ \\ \\ \\ \\ \\ \\ \\ \end{array}\right)$$

form a 4 to 8 membered cycloheteroalkyl ring wherein the cycloheteroalkyl ring has an optional aryl ring fused thereto or an optional 3 to 7 membered cycloalkyl ring fused thereto;

with the proviso that where x is 1 and y is 0, X is H, n is o, and one of  $R^1$  and  $R^2$  is H and the other is alkyl, then  $R^3$  is other than pyridyl or substituted pyridyl;

including all stereoisomers thereof;

<u>or [and]</u> a pharmaceutically acceptable salt thereof[, or a prodrug ester thereof], and all stereoisomers thereof.

Amend claim 12 as follows:

12. A pharmaceutical combination comprising a [DP4 inhibitor] compound as defined in claim 1 and an antidiabetic agent other than a DP4 inhibitor for treating diabetes and related diseases, an anti-obesity agent and/or a lipid-modulating agent.

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Amend claim 13 as follows:

13. The pharmaceutical combination as defined in claim 12 comprising said [DP4 inhibitor] compound <u>as defined in claim 1 and [an] the antidiabetic agent other than a DP4 inhibitor.</u>

Amend claim 16 as follows:

16. The combination as defined in claim 13 wherein the compound <u>as defined in claim 1</u> is present in a weight ratio to the antidiabetic agent within the range from about 0.01 to about 100:1.

Amend claim 17 as follows:

17. The combination as defined in claim 12 wherein the anti-obesity agent is a beta 3 adrenergic agonist, a lipase inhibitor, [a serotonin (and dopamine) reuptake inhibitor,] a thyroid receptor beta compound, an anorectic agent, and/or a fatty acid oxidation upregulator.

Amend claim 21 as follows:

21. The combination as defined in claim 19 wherein the <u>compound as defined in claim 1 [DP4 inhibitor]</u> is present in a weight ratio to the lipid-modulating agent within the range from about 0.01 to about 100:1.

Amend claim 22 as follows:

22. A pharmaceutical combination comprising a [DP4 inhibitor] compound as defined in claim 1 and an agent for treating infertility, an agent for treating polycystic ovary syndrome, an agent for treating a growth disorder and/or frailty, an anti-arthritis agent, an agent for preventing <u>or</u> inhibiting allograft rejection in transplantation, an agent for treating autoimmune disease, an anti-AIDS agent, an agent for treating inflammatory bowel disease/syndrome, an agent for treating anorexia nervosa, an anti-osteoporosis agent and/or an anti-obesity agent.

Amend added claim 29 to read as follows:

29. The composition of claim 27 or 28 further comprising an antidiabetic agent other than a DP4 inhibitor.

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Amend added claim 30 to read as follows:

30. The composition of claim 29 wherein the antidiabetic agent is metformin.

Amend added claim 31 to read as follows:

31. The composition of claim 29 wherein the antidiabetic agent is a SGLT2 inhibitor.

Cancel added claims 36 and 37.

Amend added claim 38 to read as follows:

38. The method of any one of claims 32, 33, 34, or 35, wherein the pharmaceutical composition further comprises an antidiabetic agent other than a DP4 inhibitor.

Amend added claim 39 to read as follows:

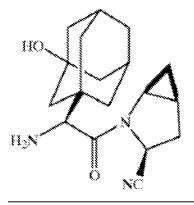
39. The method of claim 38 wherein the antidiabetic agent is metformin.

Amend added claim 40 to read as follows:\

40. The method of claim 38 wherein the antidiabetic agent is a SGLT2 inhibitor.

Add new claims 41 to 45 to read as follows:

41. A method for treating type II diabetes in a mammal comprising administering to the mammal a pharmaceutical composition comprising a compound that is



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or a pharmaceutically acceptable salt thereof, and a pharmaceutically acceptable carrier therefor.

42. The method of claim 41, wherein the pharmaceutically acceptable salt is the hydrochloride salt.

- 43. The method of any one of claims 41 or 42, wherein the pharmaceutical composition further comprises an antidiabetic agent other than a DP4 inhibitor.
  - 44. The method of claim 43, wherein the antidiabetic agent is metformin.
  - 45. The method of claim 43, wherein the antidiabetic agent is a SGLT2 inhibitor.

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## Complete Listing of Claims As Amended (including status identifiers):

## 1. (Amended) A compound having the structure

$$H \xrightarrow{\mathbb{R}^3} \mathbb{R}^2 \xrightarrow{\mathbb{R}^1} (\mathbb{R}^3)_{\mathbb{R}^3}$$

wherein x is 0 or 1 and y is 0 or 1, provided that

x=1 when y=0 and

x=0 when y=1; and wherein

n is 0 or 1;

X is H or CN;

R<sup>1</sup>, R<sup>2</sup>, R<sup>3</sup> and R<sup>4</sup> are the same or different and are independently selected from hydrogen, alkyl, alkenyl, alkynyl, cycloalkyl, cycloalkylalkyl, bicycloalkyl, tricycloalkyl, alkylcycloalkyl, hydroxyalkylcycloalkyl, hydroxycycloalkyl, hydroxybicycloalkyl, hydroxytricycloalkyl, bicycloalkylalkyl, alkylthioalkyl, arylalkylthioalkyl, cycloalkenyl, aryl, aralkyl, heteroaryl, heteroarylalkyl, cycloheteroalkyl or cycloheteroalkylalkyl; all optionally substituted through available carbon atoms with 1, 2, 3, 4 or 5 groups selected from hydrogen, halo, alkyl, polyhaloalkyl, alkoxy, haloalkoxy, polyhaloalkoxy, alkoxycarbonyl, alkenyl, alkynyl, cycloalkyl, cycloalkylalkyl, polycycloalkyl, heteroarylamino, arylamino, cycloheteroalkyl, cycloheteroalkylalkyl, hydroxy, hydroxyalkyl, nitro,

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cyano, amino, substituted amino, alkylamino, dialkylamino, thiol, alkylthio, alkylcarbonyl, acyl, alkoxycarbonyl, aminocarbonyl, alkynylaminocarbonyl, alkylaminocarbonyl, alkylcarbonyloxy, alkylcarbonylamino, arylcarbonylamino, alkylsulfonylamino, alkylsulfonyl, aminosulfinyl, aminosulfonyl, alkylsulfinyl, sulfonamido or sulfonyl;

and R<sup>1</sup> and R<sup>3</sup> may optionally be taken together to form (CR<sup>5</sup>R<sup>6</sup>)<sub>m</sub> where m is 2 to 6, and R<sup>5</sup> and R<sup>6</sup> are the same or different and are independently selected from hydroxy, alkoxy, H, alkyl, alkenyl, alkynyl, cycloalkyl, halo, amino, substituted amino, cycloalkylalkyl, cycloalkenyl, aryl, arylalkyl, heteroaryl, heteroarylalkyl, cycloheteroalkylalkyl, alkylcarbonylamino, arylcarbonylamino, alkoxycarbonylamino, aryloxycarbonyl, or alkylaminocarbonylamino, or R<sup>1</sup> and R<sup>4</sup> may optionally be taken together to form (CR<sup>7</sup>R<sup>8</sup>)<sub>p</sub> wherein p is 2 to 6, and R<sup>7</sup> and R<sup>8</sup> are the same or different and are independently selected from hydroxy, alkoxy, cyano, H, alkyl, alkenyl, alkynyl, cycloalkyl, cycloalkylalkyl, cycloalkenyl, halo, amino, substituted amino, aryl, arylalkyl, heteroaryl, heteroarylalkyl, cycloheteroalkyl, cycloheteroalkylalkyl, alkylcarbonylamino, arylcarbonylamino, alkoxycarbonyl, or alkylaminocarbonylamino, or optionally R<sup>1</sup> and R<sup>3</sup> together with

form a 5 to 7 membered ring containing a total of 2 to 4 heteroatoms selected from N, O, S, SO, or SO<sub>2</sub>;

or optionally R<sup>1</sup> and R<sup>3</sup> together with

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form a 4 to 8 membered cycloheteroalkyl ring wherein the cycloheteroalkyl ring has an optional aryl ring fused thereto or an optional 3 to 7 membered cycloalkyl ring fused thereto;

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with the proviso that where x is 1 and y is 0, X is H, n is o, and one of  $R^1$  and  $R^2$  is H and the other is alkyl, then  $R^3$  is other than pyridyl or substituted pyridyl;

including all stereoisomers thereof;

<u>or [and]</u> a pharmaceutically acceptable salt thereof[, or a prodrug ester thereof], and all stereoisomers thereof.

2. (Original) The compound as defined in claim 1 having the structure:

$$\begin{array}{c|c}
R^3 & R^2 & R^1 \\
N & N & N \\
R^4 & O & X
\end{array}$$

3. (Original) The compound as defined in claim 1 having the structure:

$$H \xrightarrow{R^3} R^2 \xrightarrow{R^1} N \xrightarrow{N} X$$

4. (Original) The compound as defined in claim 1 having the structure:

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$$H \xrightarrow{R^3} R^1$$

$$R^4 \xrightarrow{N} N$$

5. (Original) The compound as defined in claim 1 having the structure:

6. (Original) The compound as defined in claim 1 wherein:

R³ is H, R¹ is H, alkyl, cycloalkyl, bicycloalkyl, tricycloalkyl, alkylcycloalkyl, hydroxyalkyl, hydroxyalkylcycloalkyl, hydroxycycloalkyl hydroxybicycloalkyl, or hydroxytricycloalkyl,

R<sup>2</sup> is H or alkyl, n is 0,

X is CN.

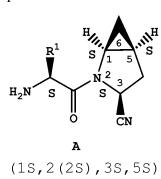
7. (Original) The compound as defined in claim 1 wherein the cyclopropyl fused to the pyrrolidine has the configuration:

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8. (Original) A compound having the structure:

or a pharmaceutically acceptable salt thereof.

- 9. (Original) The compound as defined in claim 8 wherein the pharmaceutically acceptable salt is the hydrochloride salt or the trifluoroacetic acid salt.
  - 10. (Original) A compound which is



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wherein R<sup>1</sup> is alkyl, cycloalkyl, bicycloalkyl, tricycloalkyl, alkylcycloalkyl, hydroxyalkyl, hydroxycycloalkyl, hydroxyalkylcycloalkyl, hydroxybicycloalkyl, or hydroxytricycloalkyl,

or

wherein R<sup>1</sup> is alkyl, cycloalkyl, bicycloalkyl, tricycloalkyl, alkylcycloalkyl, hydroxyalkyl, hydroxycycloalkyl, hydroxyalkylcycloalkyl, hydroxybicycloalkyl, or hydroxytricycloalkyl.

- 11. (Original) A pharmaceutical composition comprising a compound as defined in claim 1 and a pharmaceutically acceptable carrier therefor.
- 12. (Amended) A pharmaceutical combination comprising a [DP4 inhibitor] compound as defined in claim 1 and an antidiabetic agent other than a DP4 inhibitor for treating diabetes and related diseases, an anti-obesity agent and/or a lipid-modulating agent.
- 13. (Twice Amended) The pharmaceutical combination as defined in claim 12 comprising said [DP4 inhibitor] compound <u>as defined in claim 1</u> and [an] <u>the antidiabetic agent other than a DP4 inhibitor</u>.
- 14. (Original) The combination as defined in claim 13 wherein the antidiabetic agent is 1, 2, 3 or more of a biguanide, a sulfonyl urea, a glucosidase inhibitor, a PPAR agonist, a PPAR / dual agonist, an SGLT2 inhibitor, an aP2 inhibitor, a glycogen phosphorylase inhibitor, an AGE inhibitor, an insulin sensitizer, a glucagon-like peptide-1 (GLP-1) or mimetic thereof, insulin and/or a meglitinide.

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15. (Original) The combination as defined in Claim 14 wherein the antidiabetic agent is 1, 2, 3 or more of metformin, glyburide, glimepiride, glipyride, glipizide, chlorpropamide, gliclazide, acarbose, miglitol, pioglitazone, troglitazone, rosiglitazone, insulin, Gl-262570, isaglitazone, JTT-501, NN-2344, L895645, YM-440, R-119702, AJ9677, repaglinide, nateglinide, KAD1129, AR-HO39242, GW-409544, KRP297, AC2993, Exendin-4, LY307161, NN2211, and/or LY315902.

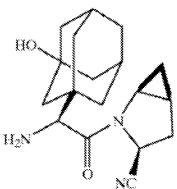
- 16. (Amended) The combination as defined in claim 13 wherein the compound <u>as</u> <u>defined in claim 1</u> is present in a weight ratio to the antidiabetic agent within the range from about 0.01 to about 100:1.
- 17. (Amended) The combination as defined in claim 12 wherein the anti-obesity agent is a beta 3 adrenergic agonist, a lipase inhibitor, [a serotonin (and dopamine) reuptake inhibitor,] a thyroid receptor beta compound, an anorectic agent, and/or a fatty acid oxidation upregulator.
- 18. (Original) The combination as defined in claim 17 wherein the anti-obesity agent is orlistat, ATL-962, AJ9677, L750355, CP331648, sibutramine, topiramate, axokine, dexamphetamine, phentermine, phenylpropanolamine, famoxin, and/or mazindol.
- 19. (Original) The combination as defined in claim 12 wherein the lipid modulating agent is an MTP inhibitor, an HMG CoA reductase inhibitor, a squalene synthetase inhibitor, a fibric acid derivative, an upregulator of LDL receptor activity, a lipoxygenase inhibitor, an ACAT inhibitor, a cholesteryl ester transfer protein inhibitor, or an ATP citrate lyase inhibitor.
- 20. (Original) The combination as defined in claim 19 wherein the lipid modulating agent is pravastatin, lovastatin, simvastatin, atorvastatin, cerivastatin, fluvastatin, nisvastatin, visastatin, fenofibrate, gemfibrozil, clofibrate, implitapide, CP-529,414, avasimibe, TS-962, MD-700, and/or LY295427.

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21. (Amended) The combination as defined in claim 19 wherein the <u>compound as</u> <u>defined in claim 1 [DP4 inhibitor]</u> is present in a weight ratio to the lipid-modulating agent within the range from about 0.01 to about 100:1.

- 22. (Amended) A pharmaceutical combination comprising a [DP4 inhibitor] compound as defined in claim 1 and an agent for treating infertility, an agent for treating polycystic ovary syndrome, an agent for treating a growth disorder and/or frailty, an anti-arthritis agent, an agent for preventing <u>or</u> inhibiting allograft rejection in transplantation, an agent for treating autoimmune disease, an anti-AIDS agent, an agent for treating inflammatory bowel disease/syndrome, an agent for treating anorexia nervosa, an anti-osteoporosis agent and/or an anti-obesity agent.
  - 23. (Canceled)
  - 24. (Canceled)
  - 25. (New) A compound that is



; or a pharmaceutically acceptable salt thereof.

- 26. (New) The compound as defined in claim 25, wherein the pharmaceutically acceptable salt is the hydrochloride salt.
- 27. (New) A pharmaceutical composition comprising the compound of claim 25 and a pharmaceutically acceptable carrier therefor.

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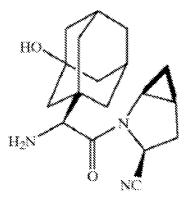
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(New) A pharmaceutical composition comprising the compound of claim 26 and a pharmaceutically acceptable carrier therefor.

- 29. The composition of claim 27 or 28 further comprising an (New/Amended) antidiabetic agent other than a DP4 inhibitor.
- (New/Amended) 30. The composition of claim 29 wherein the antidiabetic agent is metformin.
- The composition of claim 29, wherein the antidiabetic (New/Amended) agent is a SGLT2 inhibitor.
- (New) A method for treating diabetes, insulin resistance, hyperglycemia, hyperinsulinemia, impaired glucose homeostasis, or impaired glucose tolerance in a mammal comprising administering to the mammal a pharmaceutical composition comprising a compound that is



or a pharmaceutically acceptable salt thereof, and a pharmaceutically acceptable carrier therefor.

- (New) The method of claim 32, wherein the pharmaceutically acceptable salt is 33. the hydrochloride salt.
  - (New) The method of claim 32, for treating diabetes. 34.

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35. (New) The method of claim 33, for treating diabetes.

36. (Canceled)

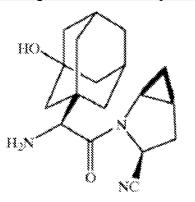
37. (Canceled)

38. (New/Amended) The method of any one of claims 32, 33, 34, or 35 wherein the pharmaceutical composition further comprises an antidiabetic agent other than a DP4 inhibitor.

39. (New/Amended) The method of claim 38, wherein the antidiabetic agent is metformin.

40. (New/Amended) The method of claim 38, wherein the antidiabetic agent is a SGLT2 inhibitor.

41. (New) A method for treating type II diabetes in a mammal comprising administering to the mammal a pharmaceutical composition comprising a compound that is



or a pharmaceutically acceptable salt thereof, and a pharmaceutically acceptable carrier therefor.

42. (New) The method of claim 41, wherein the pharmaceutically acceptable salt is the hydrochloride salt.

43. (New) The method of any one of claims 41 or 42, wherein the pharmaceutical composition further comprises an antidiabetic agent other than a DP4 inhibitor.

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44. (New) The method of claim 43, wherein the antidiabetic agent is metformin.

45. (New) The method of claim 43, wherein the antidiabetic agent is a SGLT2 inhibitor.

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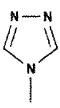
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## **Changes to 767 Patent Previously Entered by Certificate of Correction**

1. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 7, line 4-col. 8, line 7 of the 767 patent:

Alternately, the carboxamide group in 8 may be converted to the nitrile as described above to give compound 9. Deprotection of PG<sub>1</sub> affords 10 which may be subject to standard peptide coupling conditions to afford 7, an intermediate in the synthesis of Ib. Compound 10 may also be generated by oxidation of the amine 2 (e.g. NCS) followed by hydrolysis and subsequent cyanide treatment. Compound 10 may be obtained as a mixture of stereoisomers or a single isomer/diastereomer which may be epimerized (employing conventional procedures) to afford a mixture of stereoisomers.

2. As indicated by the Certificate of Correction, please insert the following structure at col. 14, line 50 of the 767 patent:



3. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 14, lines 55-58 of the 767 patent:

The term "cycloheteroalkylalkyl" as used herein alone or as part of another group refers tocycloheteroalkyl groups as defined above linked through a C atom or heteroatom to a  $(CH_2)_r$  chain.

4. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 15, lines 49-56 of the 767 patent:

The other antidiabetic agent may also preferably be a sulfonyl urea such as glyburide (also known as glibenclamide), glimepiride (disclosed in U.S. Pat. No. 4,379,785), glipizide, gliclazide or chlorpropamide, other known sulfonylureas or other

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antihyperglycemic agents which act on the ATP-dependent channel of the  $\beta$ -cells, with glyburide and glipizide being preferred, which may be administered in the same or in separate oral dosage forms.

5. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 20, lines 57-62 of the 767 patent:

The other type of therapeutic agent which may be optionally employed with the DP4 inhibitor of formula I may be 1, 2, 3 or more of an anti-obesity agent including a beta 3 adrenergic agonist, a lipase inhibitor, a serotonin (and dopamine) reuptake inhibitor, a thyroid receptor beta drug, an anorectic agent and/or a fatty acid oxidation upregulator.

6. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 29, lines 15-29 of the 767 patent:

To a stirred solution of (S)-N-tert-butoxycarbonyl-isoleucine (231 mg, 1 mmol) and benzotriazol-1-yloxytripyrrolidinophosphonium hexafluorophosphate (780 mg, 1.5 mmol) in CH<sub>2</sub>Cl<sub>2</sub> (6 mL) under nitrogen at rt was added 4-methylmorpholine (0.33 mL, 3 mmol). After 5 min, Step 1 compound (120 mg, 1 mmol) was added in one portion. The reaction mixture was stirred under nitrogen at rt overnight and then diluted with CH<sub>2</sub>Cl<sub>2</sub> (30 mL), washed with 4.1% KHSO<sub>4</sub> (10 mL)), aqueous NaHCO<sub>3</sub> (10 mL), brine (10 mL), dried (Na<sub>2</sub>SO<sub>4</sub>) and evaporated. Purification by flash chromatography on silica gel (2.4x20 cm column, 1:3 EtOAc/hexane) gave the title compound as a colorless oil, 290 mg, 90% yield. LC/MS gave the correct molecular ion [(M+H)<sup>+</sup> =297] for the desired compound.

7. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 29, line 40-col. 30, line 3 of the 767 patent:

The reaction mixture of Step 2 compound (220 mg, 0.74 mmol) and 4 M HCl in dioxane (1.5 mL, 6 mmol) was stirred at rt for 2 h and evaporated under reduced pressure. Et<sub>2</sub>O was added to the residue and a precipitate was formed. Et<sub>2</sub>O was decanted and this was

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done three times. The precipitate was dried *in vacuo* to give the title compound as a white powder, 130 mg (76% yield), mp 205-206°C. LC/MS gave the correct molecular ion  $[(M+H)^+ = 197]$  for the desired compound.

8. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 32, lines 54-63 of the 767 patent:

To a stirred solution of Step 2 compounds (104 mg, 0.32 mmol) in  $CH_2Cl_2$  (1 mL) at rt was added TFA (1 mL). The reaction mixture was stirred at rt for 2 h. The reaction mixture was added slowly to a precooled slurry of NaHCO<sub>3</sub> (2 g) in H<sub>2</sub>O (2 mL). The mixture was extracted with  $CH_2Cl_2$  (4 mL x 4), and combined  $CH_2Cl_2$  layers were evaporated and purified by preparative HPLC to give the title compound Example 5 (36 mg) and Example 5A (36 mg). LC/MS gave the correct molecular ion [(M+H)<sup>+</sup> = 222] for the desired compounds..

- 9. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 32, line 66-col. 33, line 12 of the 767 patent:
- General Method A: Parallel array synthesis methods for preparation of inhibitors from commercially available amino acids. As shown in Scheme 3, the ester 11, described in Example 1 Step 1, was saponified to the acid with LiOH in THF/H<sub>2</sub>O and converted to the amide 12 by treatment with isobutyl chloroformate/NMM followed by ammonia in dioxane. The Boc protecting group was removed under acidic conditions using TFA in methylene chloride to give 13. The TFA salt was coupled to Boc-*t*-butylglycine using either EDAC/HOBT/DMF or EDAC/DMAP/CH<sub>2</sub>Cl<sub>2</sub> to give 14. The amide was dehydrated to the nitrile 15 using POCl<sub>3</sub>/imidazole in pyridine at –20°C and finally deprotected with TFA in CH<sub>2</sub>Cl<sub>2</sub> at ambient temperature to afford the target 16. SCHEME 3, GENERAL METHOD A (EXAMPLES 6-27)
- 10. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 34, line 59-col. 35, line 13 of the 767 patent:

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An oven-dried 15-mL test tube was charged with Step 3 compound (56 mg, 0.22 mmol), N-*tert*-butoxycarbonyl-(L)-*tert*-leucine (53 mg, 0.23 mmol), dimethylaminopyridine (0.11 g, 0.88 mmol), and CH<sub>2</sub>Cl<sub>2</sub> (4 mL). The tube was sealed under nitrogen atmosphere and treated with 1-[(3-(dimethyl)amino)propyl]-3-ethylcarbodiimide (84 mg, 0.44 mmol). The mixture was placed in a shaker and vortexed overnight. The product was purified by solid phase extraction using a United Technology SCX column (2 g of sorbent in a 6 mL column) by loading the material on a SCX ion exchange column and successively washing with CH<sub>2</sub>Cl<sub>2</sub> (5 mL), 30% methanol in CH<sub>2</sub>Cl<sub>2</sub> (5 mL), 50% methanol in CH<sub>2</sub>Cl<sub>2</sub> (5 mL) and methanol (10 mL). The product containing fractions were concentrated under reduced pressure to give the desired amide. Further purification by reverse phase preparative column chromatography on a YMC S5 ODS 20 x 250 mm column gave the title compound, 50 mg (68% yield). Purification conditions: Gradient elution from 30% methanol/water/0.1 TFA to 90% methanol/water/0.1 TFA over 15 min. 5 min. hold at 90% methanol/water/0.1 TFA. Flow rate: 20 mL/min. Detection wavelength: 220. Retention Time: 14 min.

11. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 41, lines 36-46 of the 767 patent:

An oven-dried 10-mL round bottomed flask was charged with Step 2 compound (350 mg, 0.79 mmol), imidazole (108 mg, 1.58 mmol), pyridine (3 mL). The flask under argon was cooled to  $-30^{\circ}$ C. Slow addition of POCl<sub>3</sub> (0.30 mL, 3.16 mmol) gave after mixing a thick slurry. The slurry was mixed at  $-30^{\circ}$ C for 3 h and the volatiles evaporated. Dichloromethane (5 mL) was then added and the insoluble solid was removed by filtration. The organic layer was washed with H<sub>2</sub>O, 10% citric acid, brine and dried over Na<sub>2</sub>SO<sub>4</sub>. Removal of solvent gave crude desired nitrile (330 mg) (LC/Mass, + ion): 424 (M+H).

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12. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 43, lines 20-29 of the 767 patent:

To a flame-dried 500-mL round-bottomed flask containing cyclopentylideneacetic acid ethyl ester (17.5 g, 113 mmol) in 100 mL anhydrous toluene at -78°C under argon was added DIBAL-H (189 mL of a 1.5 M solution in toluene, 284 mmol, 2.50 equiv) dropwise over a 30 min period through an addition funnel, and the mixture was then allowed to warm to rt, stirring for 18 h. The reaction mixture was then recooled to -78°C, and quenched by the careful addition of 30 mL anhydrous MeOH. Upon warming to rt, 1 N Rochelle's salt (100 mL) was added, and the mixture was stirred 90 min. The biphasic reaction mixture was then diluted with Et<sub>2</sub>O (200 mL) in a separatory funnel, and the layers were separated. The organic layer was then washed with brine (100 mL), dried (Na<sub>2</sub>SO<sub>4</sub>), and concentrated under reduced pressure. Purification by flash column chromatography (silica gel, CH<sub>2</sub>Cl<sub>2</sub> / EtOAc, 10:1) gave 11.6 g (92%) of the desired allylic alcohol as a colorless oil.

13. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 43, lines 53-67 of the 767 patent:

To flame-dried 500-mL round-bottomed flask containing N-(tertbutyloxycarbonyl)glycine (13.45 g, 76.75 mmol) in 100 mL CH<sub>2</sub>Cl<sub>2</sub> at rt was added Step 2 compound (8.61 g, 76.75 mmol, 1.00 equiv) in 20 mL CH<sub>2</sub>Cl<sub>2</sub>, followed by dicyclohexylcarbodiimide (16.63 g, mmol, 1.05 equiv) in 80 mL CH<sub>2</sub>Cl<sub>2</sub>. To this reaction mixture was then added 4-dimethylaminopyridine (0.94 mg, mmol, 0.10 equiv), and the mixture was allowed to stir overnight. The reaction mixture was then filtered through a medium sintered-glass funnel, rinsing with 100 mL CH<sub>2</sub>Cl<sub>2</sub>, and concentrated under reduced pressure. The crude product was then purified by flash chromatography (silica gel, hexanes/EtOAc, 20:1 to 1:1 gradient) to give 19.43 g (94%) of the desired glycinyl ester as a colorless oil.

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14. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 44, lines 19-45 of the 767 patent:

A flame-dried 500-mL round-bottomed flask under argon was charged with ZnCl<sub>2</sub> (11.8) g, mmol, 1.20 equiv) and 20 mL toluene. The mixture was heated under vacuum with vigorous stirring to azeotrope off any traces of moisture with the distilling toluene, repeating this process (2 x). The flask was then cooled to rt under argon, (2cyclopentylideneethyl) N-(tert-butyloxycarbonyl)glycinate (19.36 g, 71.88 mmol) was added via cannula as a solution in 180 mL THF, and the mixture was then cooled to -78°C. In a separate flame-dried 200-mL round-bottomed flask containing diisopropylamine (26.3 mL, mmol, 2.60 equiv) in 90 mL THF at -78°C was added nbutyllithium (71.89 mL of a 2.5 M solution in hexanes, mmol, 2.5 equiv), and the mixture was allowed to warm to 0°C for 30 min before recooling to -78°C. The lithium diisopropylamine thus generated was then added via cannula to the ZnCl<sub>2</sub> ester mixture dropwise at a steady rate over 40 min, and the resultant reaction mixture was allowed to slowly warm to rt and stir overnight. The yellow reaction mixture was then poured into a separatory funnel, diluted with 300 mL Et<sub>2</sub>O, and the resultant organic solution was washed successively with 300 mL 1N HCl and 300 mL brine, dried (Na<sub>2</sub>SO<sub>4</sub>), and concentrated under reduced pressure. Purification by flash chromatography (silica gel, 3% MeOH in CH<sub>2</sub>Cl<sub>2</sub> with 0.5% HOAc) gave 17.8 g (92%) of the desired amino acid product as a white solid. (FAB MH+ 270).

15. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col 46, lines 48-59 of the 767 patent:

Step 2 compound (32 mg, 0.09 mmol) was dissolved in 1 mL of CH<sub>2</sub>Cl<sub>2</sub> and 1 mL of TFA was added and the reaction stirred for 30 min at rt and was evaporated to dryness. The product was purified by reverse phase preparative column chromatography on a YMC S5 ODS 20 X 250 mm column to give 12 mg of the TFA salt (lyophilized from water or isolated after evaporation of eluent and trituration with ether) the title compound. Purification conditions: gradient elution from 10% methanol/water/0.1 TFA

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to 90% methanol/water/0.1 TFA over 18 min; 5 min. hold at 90% methanol/water/0.1 trifluoroacetic acid. Flow rate: 20 mL/min. Detection wavelength: 220.

16. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 46, lines 61-67 of the 767 patent:

Examples 30–39 were prepared by the methods outlined in General Method B and General Method C starting from cyclopentanone, cyclobutanone, cyclobutanone, cyclohexanone, cyclopentanone, cyclopentanone, and 4-pyranone, cyclopropaneethylhemiacetal, acetone, and 3-pentanone respectively.

- 17. As indicated by the Certificate of Correction, at col. 52, line 64 of the 767 patent, change "25" to ---28--.
- 18. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 53, lines 28-45 of the 767 patent:

N-Boc protected cyclobutylvinyl compound (Example 31, prepared by general method C) (0.16 g, 0.46 mmol) was dissolved in 10 mL of a 1:1 mixture of THF:water and treated with OsO<sub>4</sub> (12 mg, catalyst) and NaIO<sub>4</sub> (0.59 g, 2.76 mmol, 6 equiv). After 2 h, the reaction mixture was diluted with 50 mL of ether and 10 mL of water. The layers were equilibrated and the organic fraction was washed one time with NaHCO<sub>3</sub> solution, dried over MgSO<sub>4</sub> and concentrated to give a dark oil. The oil was diluted with 10 mL of methanol and treated with NaBH<sub>4</sub> (0.08 g, 2.0 mmol). The mixture turned very dark and after 30 min was diluted with ether and the reaction was quenched with aqueous NaHCO<sub>3</sub> solution. The mixture was equilibrated and layers separated. The organic fraction was washed with solutions of NaHCO<sub>3</sub> and 0.1 M HCl. The organics were dried (MgSO<sub>4</sub>) and concentrated to give 90 mg (56%) of the Step 1 compound as a dark oil.

19. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 53, lines 59-67 of the 767 patent:

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Step 1 compound (90 mg, 0.26 mmol) was dissolved in 3 mL of  $CH_2Cl_2$ , cooled to 0°C and treated with 3 mL of freshly distilled TFA. The reaction was complete in 80 min and evaporated to dryness and purified by preparative HPLC (YMC S5 ODS 30 x 100 mm, 10 minute gradient 100%A to 100%B, Solvent A = 10% MeOH-90%H2O-0.1% TFA, Solvent B = 90% MeOH-10%  $H_2O$  -0.1% TFA, to give, after removal of water, 50 mg (60%) of title compound. (MH+250).

20. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 62, lines 56-67 of the 767 patent:

The Step 2 compound (95 mg, 0.22 mmol, 1 equiv) was dissolved in anhydrous CH<sub>2</sub>Cl<sub>2</sub> (2.5 mL) under argon and cooled to -78°C. The mixture was treated with diisopropylethylamine (65 μL, 0.37 mmol, 1.7 equiv), and triethylsilyl triflate (75 μL, 0.33 mmol, 1.5 equiv), and stirred at 0°C for 1.5 h. The reaction was mixed with MeOH (0.5 mL), silica gel (200 mg) and H<sub>2</sub>O (2 drops) and stirred at rt for 18 h. The solvent was removed by rotary evaporation and the residue purified flash column chromatography on silica gel(2.5x10 cm) with 4% MeOH/CH<sub>2</sub>Cl<sub>2</sub> to afford the product (92 mg, 0.17 mmol, 77%): MS m/e 540 (m+H)<sup>+</sup>.

21. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 66, lines 11-27 of the 767 patent:

An oven-dried flask equipped with a condenser and drying tube was charged with norbornane-2-carboxylic acid (4.92 g, 35 mmol, 1 equiv) and treated with bromine (2.1 mL, 41 mmol, 1.15 equiv) and phosphorous trichloride (0.153 mL, 1.8 mmol, 0.05 equiv). The mixture was heated at 85°C for 7 h protected from light. Additional bromine (0.4 mL, 7.8 mmol, 0.22 equiv) was added with continued heating for 1 h. The mixture was cooled to rt, and Et<sub>2</sub>O (100 mL) was added. The mixture was washed with 10% aq NaHSO<sub>3</sub> (50 mL), H<sub>2</sub>O (2x50 mL), and brine (25 mL). The ether fraction was dried (Na<sub>2</sub>SO<sub>4</sub>), filtered and concentrated by rotary evaporation. The product was purified by flash column chromatography on silica gel (5x15 cm) with 2% to 4% MeOH/CH<sub>2</sub>Cl<sub>2</sub> +

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0.5% HOAc. The product was chased with hexanes to remove residual HOAc. The isolated material consists of two inseparable materials (4.7 g), which was used without further purification in the next step.

22. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 69, lines 20-27 of the 767 patent:

To a 50-mL round-bottomed flask containing Step 2 compound (0.72 g, 4.20 mmol) in 8 mL of water at rt was added NaCN (0.20 g, 4.20 mmol) followed by NH<sub>4</sub>Cl (0.20 g, 5.00 mmol). To this reaction mixture was then added methanol (8 mL) and the mixture was allowed to stir overnight. The reaction mixture was then extracted with ether (2x15 mL), dried (MgSO<sub>4</sub>) and concentrated under reduced pressure to give the crude Strecker product.

23. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 69, lines 28-44 of the 767 patent:

To a 100-mL round-bottomed flask containing the crude Strecker product was added 10 mL of HOAc and 10 mL of conc. HCl. The mixture was refluxed overnight. The mixture was concentrated under reduced pressure to give a yellow solid. The solid was triturated with 5 mL of 1:1 mixture of ether and hexanes. The white solid was treated with triethylamine (1.4 mL, 9.99 mmol) and di-*tert*-butyldicarbonate (1.00 g, 4.60 mmol) in 50 mL DMF. After 4 h the pH of the mixture was adjusted to 9 with saturated Na<sub>2</sub>CO<sub>3</sub> soln. After an additional 3 h of stirring the mixture was extracted with 1:1 ether and hexanes and the aqueous fraction acidified to pH 2 with 5% KHSO<sub>4</sub> solution. The aqueous phase was washed with ether (2 X 40 mL), the organics dried (MgSO<sub>4</sub>), and evaporated to an oil that was purified by silica gel flash chromatography with 8:92 methanol:CH<sub>2</sub>Cl<sub>2</sub> to give 0.3 g (23%) of the Boc-protected amino acid as a light oil (M-H, 318).

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24. As indicated by the Certificate of Correction, please move "Step 1" at col. 70, line 56 of the 767 patent to col. 70, line 65.

25. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 72, lines 30-49 of the 767 patent:

Sodium ethoxide (940 mg of 21 wt% solution in ethanol, 2.9 mmol) in ethanol (2 mL) was added to a stirred solution of diethyl acetamidomalonate (4.31g, 19.8 mmol) in EtOH (23 mL) at rt under argon. The reaction mixture was cooled to 0°C; and trans-2-pentenal (1.51 g, 18.0 mmol) was added dropwise maintaining the reaction temperature at < 5°C. After the addition, the reaction was allowed to warm to rt, stirred for 4 h, then quenched with acetic acid (460 µl). The solution was concentrated *in vacuo*, and the residue dissolved in EtOAc (25 mL), washed with 10% NaHCO<sub>3</sub> solution (2x5 mL), brine and dried (MgSO<sub>4</sub>). The solution was filtered and concentrated to a 10 mL volume, then heated to reflux and diluted with hexane (20 mL). Upon cooling to rt, the title compound precipitated and was collected to give 3.0 g (50%) of the Step 1 compound (mp 106-109°C; LC/Mass: + ions, 324 M+Na).

26. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 72, line 64-col. 73, line 8 of the 767 patent:

To a solution of Step 1 compound (2.87 g, 9.5 mmol) and triethylsilane (2.28 mL, 14.3 mmol) in CH<sub>2</sub>Cl<sub>2</sub> (30 mL) under argon was added TFA (7.35 mL, 95.3 mmol) dropwise with stirring while maintaining the internal temperature at 25°C by means of an ice bath. After stirring for 4 h at rt, the solution was concentrated. The residue was diluted with CH<sub>2</sub>Cl<sub>2</sub> (100 mL), then treated with H<sub>2</sub>O (50 mL) and solid Na<sub>2</sub>CO<sub>3</sub> with vigorous stirring until the mixture was basic. The organic layer was separated, dried (Na<sub>2</sub>SO<sub>4</sub>), filtered, then concentrated to give the Step 2 compound as a yellow oil which was used without further purification (LC/Mass: + ions, 308 M+Na).

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27. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 73, lines 22-27 of the 767 patent:

Step 2 compound (3.73 g, 9.5 mmol) was suspended in 6 N HCl (20 mL) and HOAc (5 mL) and heated at reflux for 20 h. The reaction mixture was then cooled, washed with EtOAc (20 mL), then concentrated to give an oil which crystallized upon trituration with ether to give the title compound (1.2 g, 70.6%) (LC/Mass, + ion): 144 (M+H).

28. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 74, lines 26-41 of the 767 patent:

Sodium ethoxide (940 mg, 2.9 mmol; 21% w/w solution in ethanol) in ethanol (2 mL) was added to a stirred solution of diethyl acetamidomalonate (4.31 g, 19.8 mmol) in EtOH (23 mL) at rt under argon. The reaction mixture was cooled to 0°C; and 4-methyl-2-pentenal (1.77 g, 18.0 mmol) was added dropwise maintaining the reaction temperature at < 5°C. After the addition, the reaction was allowed to warm to rt, stirred for 4 h, then quenched with acetic acid (460 μl). The solution was concentrated and the remainder dissolved in EtOAc (25 mL). The organics were washed with 10% NaHCO<sub>3</sub> solution (2x5 mL), brine and dried (MgSO<sub>4</sub>). The solution was filtered and concentrated to 10 mL volume, then heated to reflux and treated with hexane (20 mL). On cooling, the Step 1 compound precipitated and was collected (3.3 g) (LC/Mass, + ion): 338 (M+Na).

29. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 79, lines 54-67 of the 767 patent:

N-[((S)-cyclopentylvinyl)-N-tert-butoxycarbonylglycinyl]-(2S,4S,5S)-2-cyano-4,5-methano-L-prolylamide (70 mg, 0.19 mmol) described in General Method C, Step 2 was dissolved in a mixture of 2 mL *t*-BuOH / 3 mL THF and N-methylmorpholine-N-oxide (33mg, 0.28 mmol) was added followed by osmium tetroxide (0.1 mmol, 50 mol%). The reaction was quenched with 1 mL of 10% aqueous Na<sub>2</sub>SO<sub>3</sub> and was taken up in EtOAc and washed with H<sub>2</sub>O 5 mL, dried (Na<sub>2</sub>SO<sub>4</sub>), filtered, evaporated and purified by silica gel flash chromatography (5% MeOH/CH<sub>2</sub>Cl<sub>2</sub>) to give 41 mg (55%) of the protected diol

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as an oil. The title compound was obtained by deprotection of the amine functionality with TFA according to General Method C (FAB MH+ 294).

30. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 82, lines 52-67 of the 767 patent:

According to literature (J. Org. Chem 1994, 59, 8215), a solution of Step 3 compound (0.875 g, 3.83 mmol) in dry benzene (4.0 mL) was treated with triethylamine (0.52 mL, 3.83 mmol) and diphenylphosphoryl azide (0.85 mL, 3.83 mmol), refluxed under nitrogen for 1 h and cooled to rt. The solution was treated with benzyl alcohol (0.60 mL, 5.75 mmol or 1.5 equiv), refluxed for 17 h, cooled then diluted with ether (40 mL). The solution was washed with 10% aqueous citric acid (2x3 mL),back-extracting the citric acid wash with ether (40 mL). The combined organic extracts were washed with 5% sodium bicarbonate (2x3 mL), dried (MgSO<sub>4</sub>), filtered, and concentrated. Flash chromatography on silica gel of the crude product with 10% EtOAc in hexane (1.0 L) gave step 4 compound as a clear thick syrup. Yield: 1.15 g (90%). MS(M+H) 334.

- 31. As indicated by the Certificate of Correction, at col. 84, line 34 of the 767 patent, please replace "NS" with --MS--.
- 32. As indicated by the Certificate of Correction, please replace claim 8 at col. 91, lines 9-49 with the following corrected claim:
  - 8. A compound having the structure:

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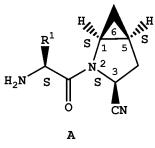
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or a pharmaceutically acceptable salt thereof.

- 33. As indicated by the Certificate of Correction, please replace claim 10 at col. 91, line 54-col. 92, line 18 with the following corrected claim:
  - 10. A compound which is

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(1S, 2(2S), 3S, 5S)

wherein R<sup>1</sup> is alkyl, cycloalkyl, bicycloalkyl, tricycloalkyl, alkylcycloalkyl, hydroxyalkyl, hydroxycycloalkyl, hydroxyalkylcycloalkyl, hydroxybicycloalkyl, or hydroxytricycloalkyl,

or

wherein R<sup>1</sup> is alkyl, cycloalkyl, bicycloalkyl, tricycloalkyl, alkylcycloalkyl, hydroxyalkyl, hydroxycycloalkyl, hydroxyalkylcycloalkyl, hydroxybicycloalkyl, or hydroxytricycloalkyl.

- 34. As indicated by the Certificate of Correction, please replace claim 15 at col. 92, lines 36 to 44 of the 767 patent with the following corrected claim:
- 15. The combination as defined in Claim 14 wherein the antidiabetic agent is 1, 2, 3 or more of metformin, glyburide, glimepiride, glipyride, glipizide, chlorpropamide, gliclazide, acarbose, miglitol, pioglitazone, troglitazone, rosiglitazone, insulin, Gl-262570, isaglitazone, JTT-501, NN-2344, L895645, YM-440, R-119702, AJ9677, repaglinide, nateglinide, KAD1129, AR-HO39242, GW-409544, KRP297, AC2993, Exendin-4, LY307161, NN2211, and/or LY315902.

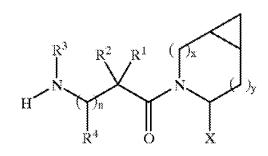
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## Amendments to the Claims of the 767 Patent:

Please further amend the claims of the 767 patent as shown below (deletions and additions are shown relative to the claims as issued in the 767 patent):

Amend claim 1 as follows:

1. A compound having the structure



wherein x is 0 or 1 and y is 0 or 1, provided that

x=1 when y=0 and

x=0 when y=1; and wherein

n is 0 or 1;

X is H or CN;

R<sup>1</sup>, R<sup>2</sup>, R<sup>3</sup> and R<sup>4</sup> are the same or different and are independently selected from hydrogen, alkyl, alkenyl, alkynyl, cycloalkyl, cycloalkylalkyl, bicycloalkyl, tricycloalkyl, alkylcycloalkyl, hydroxyalkylcycloalkyl, hydroxycycloalkyl, hydroxycycloalkyl, hydroxybicycloalkyl, hydroxytricycloalkyl, bicycloalkylalkyl, alkylthioalkyl, arylalkylthioalkyl, cycloalkenyl, aryl, aralkyl, heteroaryl, heteroarylalkyl,

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cycloheteroalkyl or cycloheteroalkylalkyl; all optionally substituted through available carbon atoms with 1, 2, 3, 4 or 5 groups selected from hydrogen, halo, alkyl, polyhaloalkyl, alkoxy, haloalkoxy, polyhaloalkoxy, alkoxycarbonyl, alkenyl, alkynyl, cycloalkyl, cycloalkylalkyl, polycycloalkyl, heteroarylamino, arylamino, cycloheteroalkyl, cycloheteroalkylalkyl, hydroxy, hydroxyalkyl, nitro, cyano, amino, substituted amino, alkylamino, dialkylamino, thiol, alkylthio, alkylcarbonyl, acyl, alkoxycarbonyl, aminocarbonyl, alkynylaminocarbonyl, alkylaminocarbonyl, alkylaminocarbonyl, alkylcarbonyloxy, alkylcarbonylamino, arylcarbonylamino, alkylsulfonylamino, alkylsulfonyl, aminosulfinyl, aminosulfonyl, alkylsulfinyl, sulfonamido or sulfonyl;

and R<sup>1</sup> and R<sup>3</sup> may optionally be taken together to form (CR<sup>5</sup>R<sup>6</sup>)<sub>m</sub> where m is 2 to 6, and R<sup>5</sup> and R<sup>6</sup> are the same or different and are independently selected from hydroxy, alkoxy, H, alkyl, alkenyl, alkynyl, cycloalkyl, halo, amino, substituted amino, cycloalkylalkyl, cycloalkenyl, aryl, arylalkyl, heteroaryl, heteroarylalkyl, cycloheteroalkylalkyl, alkylcarbonylamino, arylcarbonylamino, alkoxycarbonylamino, aryloxycarbonylamino, alkoxycarbonyl, aryloxycarbonyl, or alkylaminocarbonylamino, or R<sup>1</sup> and R<sup>4</sup> may optionally be taken together to form (CR<sup>7</sup>R<sup>8</sup>)<sub>p</sub> wherein p is 2 to 6, and R<sup>7</sup> and R<sup>8</sup> are the same or different and are independently selected from hydroxy, alkoxy, cyano, H, alkyl, alkenyl, alkynyl, cycloalkyl, cycloalkylalkyl, cycloalkenyl, halo, amino, substituted amino, aryl, arylalkyl, heteroaryl, heteroarylalkyl, cycloheteroalkyl, cycloheteroalkylalkyl, alkylcarbonylamino, arylcarbonylamino, alkoxycarbonyl, or alkylaminocarbonylamino, or optionally R<sup>1</sup> and R<sup>3</sup> together with

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form a 5 to 7 membered ring containing a total of 2 to 4 heteroatoms selected from N, O, S, SO, or SO<sub>2</sub>;

or optionally R<sup>1</sup> and R<sup>3</sup> together with

$$\left(\begin{array}{c} \\ \\ \\ \\ \\ \\ \\ \\ \\ \end{array}\right)$$

form a 4 to 8 membered cycloheteroalkyl ring wherein the cycloheteroalkyl ring has an optional aryl ring fused thereto or an optional 3 to 7 membered cycloalkyl ring fused thereto;

with the proviso that where x is 1 and y is 0, X is H, n is o, and one of  $R^1$  and  $R^2$  is H and the other is alkyl, then  $R^3$  is other than pyridyl or substituted pyridyl;

including all stereoisomers thereof;

<u>or [and]</u> a pharmaceutically acceptable salt thereof[, or a prodrug ester thereof], and all stereoisomers thereof.

Amend claim 12 as follows:

12. A pharmaceutical combination comprising a [DP4 inhibitor] compound as defined in claim 1 and an antidiabetic agent other than a DP4 inhibitor for treating diabetes and related diseases, an anti-obesity agent and/or a lipid-modulating agent.

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Amend claim 13 as follows:

13. The pharmaceutical combination as defined in claim 12 comprising said [DP4 inhibitor] compound <u>as defined in claim 1 and [an] the antidiabetic agent other than a DP4 inhibitor.</u>

Amend claim 16 as follows:

16. The combination as defined in claim 13 wherein the compound <u>as defined in claim 1</u> is present in a weight ratio to the antidiabetic agent within the range from about 0.01 to about 100:1.

Amend claim 17 as follows:

17. The combination as defined in claim 12 wherein the anti-obesity agent is a beta 3 adrenergic agonist, a lipase inhibitor, [a serotonin (and dopamine) reuptake inhibitor,] a thyroid receptor beta compound, an anorectic agent, and/or a fatty acid oxidation upregulator.

Amend claim 21 as follows:

21. The combination as defined in claim 19 wherein the <u>compound as defined in claim 1 [DP4 inhibitor]</u> is present in a weight ratio to the lipid-modulating agent within the range from about 0.01 to about 100:1.

Amend claim 22 as follows:

22. A pharmaceutical combination comprising a [DP4 inhibitor] compound as defined in claim 1 and an agent for treating infertility, an agent for treating polycystic ovary syndrome, an agent for treating a growth disorder and/or frailty, an anti-arthritis agent, an agent for preventing <u>or</u> inhibiting allograft rejection in transplantation, an agent for treating autoimmune disease, an anti-AIDS agent, an agent for treating inflammatory bowel disease/syndrome, an agent for treating anorexia nervosa, an anti-osteoporosis agent and/or an anti-obesity agent.

Amend added claim 29 to read as follows:

29. The composition of claim 27 or 28 further comprising an antidiabetic agent other than a DP4 inhibitor.

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Amend added claim 30 to read as follows:

30. The composition of claim 29 wherein the antidiabetic agent is metformin.

Amend added claim 31 to read as follows:

31. The composition of claim 29 wherein the antidiabetic agent is a SGLT2 inhibitor.

Cancel added claims 36 and 37.

Amend added claim 38 to read as follows:

38. The method of any one of claims 32, 33, 34, or 35, wherein the pharmaceutical composition further comprises an antidiabetic agent other than a DP4 inhibitor.

Amend added claim 39 to read as follows:

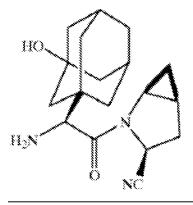
39. The method of claim 38 wherein the antidiabetic agent is metformin.

Amend added claim 40 to read as follows:\

40. The method of claim 38 wherein the antidiabetic agent is a SGLT2 inhibitor.

Add new claims 41 to 45 to read as follows:

41. A method for treating type II diabetes in a mammal comprising administering to the mammal a pharmaceutical composition comprising a compound that is



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or a pharmaceutically acceptable salt thereof, and a pharmaceutically acceptable carrier therefor.

42. The method of claim 41, wherein the pharmaceutically acceptable salt is the hydrochloride salt.

- 43. The method of any one of claims 41 or 42, wherein the pharmaceutical composition further comprises an antidiabetic agent other than a DP4 inhibitor.
  - 44. The method of claim 43, wherein the antidiabetic agent is metformin.
  - 45. The method of claim 43, wherein the antidiabetic agent is a SGLT2 inhibitor.

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## Complete Listing of Claims As Amended (including status identifiers):

## 1. (Amended) A compound having the structure

$$H \xrightarrow{\mathbb{R}^3} \mathbb{R}^2 \xrightarrow{\mathbb{R}^1} (\mathbb{R}^3)_{\mathbb{R}^3}$$

wherein x is 0 or 1 and y is 0 or 1, provided that

x=1 when y=0 and

x=0 when y=1; and wherein

n is 0 or 1;

X is H or CN;

R<sup>1</sup>, R<sup>2</sup>, R<sup>3</sup> and R<sup>4</sup> are the same or different and are independently selected from hydrogen, alkyl, alkenyl, alkynyl, cycloalkyl, cycloalkylalkyl, bicycloalkyl, tricycloalkyl, alkylcycloalkyl, hydroxyalkylcycloalkyl, hydroxycycloalkyl, hydroxybicycloalkyl, hydroxytricycloalkyl, bicycloalkylalkyl, alkylthioalkyl, arylalkylthioalkyl, cycloalkenyl, aryl, aralkyl, heteroaryl, heteroarylalkyl, cycloheteroalkyl or cycloheteroalkylalkyl; all optionally substituted through available carbon atoms with 1, 2, 3, 4 or 5 groups selected from hydrogen, halo, alkyl, polyhaloalkyl, alkoxy, haloalkoxy, polyhaloalkoxy, alkoxycarbonyl, alkenyl, alkynyl, cycloalkyl, cycloalkylalkyl, polycycloalkyl, heteroarylamino, arylamino, cycloheteroalkyl, cycloheteroalkylalkyl, hydroxy, hydroxyalkyl, nitro,

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cyano, amino, substituted amino, alkylamino, dialkylamino, thiol, alkylthio, alkylcarbonyl, acyl, alkoxycarbonyl, aminocarbonyl, alkynylaminocarbonyl, alkylaminocarbonyl, alkylcarbonyloxy, alkylcarbonylamino, arylcarbonylamino, alkylsulfonylamino, alkylsulfonyl, aminosulfinyl, aminosulfonyl, alkylsulfinyl, sulfonamido or sulfonyl;

and R<sup>1</sup> and R<sup>3</sup> may optionally be taken together to form (CR<sup>5</sup>R<sup>6</sup>)<sub>m</sub> where m is 2 to 6, and R<sup>5</sup> and R<sup>6</sup> are the same or different and are independently selected from hydroxy, alkoxy, H, alkyl, alkenyl, alkynyl, cycloalkyl, halo, amino, substituted amino, cycloalkylalkyl, cycloalkenyl, aryl, arylalkyl, heteroaryl, heteroarylalkyl, cycloheteroalkylalkyl, alkylcarbonylamino, arylcarbonylamino, alkoxycarbonylamino, aryloxycarbonyl, or alkylaminocarbonylamino, or R<sup>1</sup> and R<sup>4</sup> may optionally be taken together to form (CR<sup>7</sup>R<sup>8</sup>)<sub>p</sub> wherein p is 2 to 6, and R<sup>7</sup> and R<sup>8</sup> are the same or different and are independently selected from hydroxy, alkoxy, cyano, H, alkyl, alkenyl, alkynyl, cycloalkyl, cycloalkylalkyl, cycloalkenyl, halo, amino, substituted amino, aryl, arylalkyl, heteroaryl, heteroarylalkyl, cycloheteroalkyl, cycloheteroalkylalkyl, alkylcarbonylamino, arylcarbonylamino, alkoxycarbonyl, or alkylaminocarbonylamino, or optionally R<sup>1</sup> and R<sup>3</sup> together with

form a 5 to 7 membered ring containing a total of 2 to 4 heteroatoms selected from N, O, S, SO, or SO<sub>2</sub>;

or optionally R<sup>1</sup> and R<sup>3</sup> together with

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$$\left(H-N\right)$$

form a 4 to 8 membered cycloheteroalkyl ring wherein the cycloheteroalkyl ring has an optional aryl ring fused thereto or an optional 3 to 7 membered cycloalkyl ring fused thereto;

with the proviso that where x is 1 and y is 0, X is H, n is o, and one of R<sup>1</sup> and R<sup>2</sup> is H and the other is alkyl, then R<sup>3</sup> is other than pyridyl or substituted pyridyl;

including all stereoisomers thereof;

or [and] a pharmaceutically acceptable salt thereof], or a prodrug ester thereof], and all stereoisomers thereof.

2. (Original) The compound as defined in claim 1 having the structure:

$$\begin{array}{c|c}
R^3 & R^2 & R^1 \\
N & N & N \\
R^4 & O & X
\end{array}$$

3. (Original) The compound as defined in claim 1 having the structure:

$$H \xrightarrow{R^3} R^2 \xrightarrow{R^1} N \xrightarrow{N} X$$

4. (Original) The compound as defined in claim 1 having the structure: DOCKET NO.: BMS-2856
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$$H \xrightarrow{R^3} R^1$$

$$N$$

$$R^4$$

$$N$$

$$N$$

5. (Original) The compound as defined in claim 1 having the structure:

6. (Original) The compound as defined in claim 1 wherein:

R³ is H, R¹ is H, alkyl, cycloalkyl, bicycloalkyl, tricycloalkyl, alkylcycloalkyl, hydroxyalkyl, hydroxyalkylcycloalkyl, hydroxycycloalkyl hydroxybicycloalkyl, or hydroxytricycloalkyl,

R<sup>2</sup> is H or alkyl, n is 0,

X is CN.

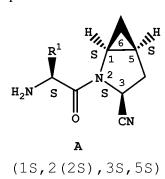
7. (Original) The compound as defined in claim 1 wherein the cyclopropyl fused to the pyrrolidine has the configuration:

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8. (Original) A compound having the structure:

or a pharmaceutically acceptable salt thereof.

- 9. (Original) The compound as defined in claim 8 wherein the pharmaceutically acceptable salt is the hydrochloride salt or the trifluoroacetic acid salt.
  - 10. (Original) A compound which is



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wherein R<sup>1</sup> is alkyl, cycloalkyl, bicycloalkyl, tricycloalkyl, alkylcycloalkyl, hydroxyalkyl, hydroxycycloalkyl, hydroxyalkylcycloalkyl, hydroxybicycloalkyl, or hydroxytricycloalkyl,

or

wherein R<sup>1</sup> is alkyl, cycloalkyl, bicycloalkyl, tricycloalkyl, alkylcycloalkyl, hydroxyalkyl, hydroxycycloalkyl, hydroxyalkylcycloalkyl, hydroxybicycloalkyl, or hydroxytricycloalkyl.

- 11. (Original) A pharmaceutical composition comprising a compound as defined in claim 1 and a pharmaceutically acceptable carrier therefor.
- 12. (Amended) A pharmaceutical combination comprising a [DP4 inhibitor] compound as defined in claim 1 and an antidiabetic agent other than a DP4 inhibitor for treating diabetes and related diseases, an anti-obesity agent and/or a lipid-modulating agent.
- 13. (Twice Amended) The pharmaceutical combination as defined in claim 12 comprising said [DP4 inhibitor] compound <u>as defined in claim 1</u> and [an] <u>the antidiabetic agent other than a DP4 inhibitor</u>.
- 14. (Original) The combination as defined in claim 13 wherein the antidiabetic agent is 1, 2, 3 or more of a biguanide, a sulfonyl urea, a glucosidase inhibitor, a PPAR agonist, a PPAR / dual agonist, an SGLT2 inhibitor, an aP2 inhibitor, a glycogen phosphorylase inhibitor, an AGE inhibitor, an insulin sensitizer, a glucagon-like peptide-1 (GLP-1) or mimetic thereof, insulin and/or a meglitinide.

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15. (Original) The combination as defined in Claim 14 wherein the antidiabetic agent is 1, 2, 3 or more of metformin, glyburide, glimepiride, glipyride, glipizide, chlorpropamide, gliclazide, acarbose, miglitol, pioglitazone, troglitazone, rosiglitazone, insulin, Gl-262570, isaglitazone, JTT-501, NN-2344, L895645, YM-440, R-119702, AJ9677, repaglinide, nateglinide, KAD1129, AR-HO39242, GW-409544, KRP297, AC2993, Exendin-4, LY307161, NN2211, and/or LY315902.

- 16. (Amended) The combination as defined in claim 13 wherein the compound <u>as</u> <u>defined in claim 1</u> is present in a weight ratio to the antidiabetic agent within the range from about 0.01 to about 100:1.
- 17. (Amended) The combination as defined in claim 12 wherein the anti-obesity agent is a beta 3 adrenergic agonist, a lipase inhibitor, [a serotonin (and dopamine) reuptake inhibitor,] a thyroid receptor beta compound, an anorectic agent, and/or a fatty acid oxidation upregulator.
- 18. (Original) The combination as defined in claim 17 wherein the anti-obesity agent is orlistat, ATL-962, AJ9677, L750355, CP331648, sibutramine, topiramate, axokine, dexamphetamine, phentermine, phenylpropanolamine, famoxin, and/or mazindol.
- 19. (Original) The combination as defined in claim 12 wherein the lipid modulating agent is an MTP inhibitor, an HMG CoA reductase inhibitor, a squalene synthetase inhibitor, a fibric acid derivative, an upregulator of LDL receptor activity, a lipoxygenase inhibitor, an ACAT inhibitor, a cholesteryl ester transfer protein inhibitor, or an ATP citrate lyase inhibitor.
- 20. (Original) The combination as defined in claim 19 wherein the lipid modulating agent is pravastatin, lovastatin, simvastatin, atorvastatin, cerivastatin, fluvastatin, nisvastatin, visastatin, fenofibrate, gemfibrozil, clofibrate, implitapide, CP-529,414, avasimibe, TS-962, MD-700, and/or LY295427.

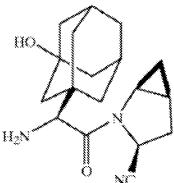
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21. (Amended) The combination as defined in claim 19 wherein the <u>compound as</u> <u>defined in claim 1 [DP4 inhibitor]</u> is present in a weight ratio to the lipid-modulating agent within the range from about 0.01 to about 100:1.

- 22. (Amended) A pharmaceutical combination comprising a [DP4 inhibitor] compound as defined in claim 1 and an agent for treating infertility, an agent for treating polycystic ovary syndrome, an agent for treating a growth disorder and/or frailty, an anti-arthritis agent, an agent for preventing <u>or</u> inhibiting allograft rejection in transplantation, an agent for treating autoimmune disease, an anti-AIDS agent, an agent for treating inflammatory bowel disease/syndrome, an agent for treating anorexia nervosa, an anti-osteoporosis agent and/or an anti-obesity agent.
  - 23. (Canceled)
  - 24. (Canceled)
  - 25. (New) A compound that is



; or a pharmaceutically acceptable salt thereof.

- 26. (New) The compound as defined in claim 25, wherein the pharmaceutically acceptable salt is the hydrochloride salt.
- 27. (New) A pharmaceutical composition comprising the compound of claim 25 and a pharmaceutically acceptable carrier therefor.

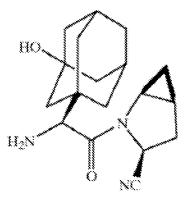
DOCKET NO.: BMS-2856 Application No.: 13/308,658

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28. (New) A pharmaceutical composition comprising the compound of claim 26 and a pharmaceutically acceptable carrier therefor.

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- 29. (New/Amended) The composition of claim 27 or 28 further comprising an antidiabetic agent other than a DP4 inhibitor.
- 30. (New/Amended) The composition of claim 29 wherein the antidiabetic agent is metformin.
- 31. (New/Amended) The composition of claim 29, wherein the antidiabetic agent is a SGLT2 inhibitor.
- 32. (New) A method for treating diabetes, insulin resistance, hyperglycemia, hyperinsulinemia, impaired glucose homeostasis, or impaired glucose tolerance in a mammal comprising administering to the mammal a pharmaceutical composition comprising a compound that is



or a pharmaceutically acceptable salt thereof, and a pharmaceutically acceptable carrier therefor.

- 33. (New) The method of claim 32, wherein the pharmaceutically acceptable salt is the hydrochloride salt.
  - 34. (New) The method of claim 32, for treating diabetes.

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35. (New) The method of claim 33, for treating diabetes.

36. (Canceled)

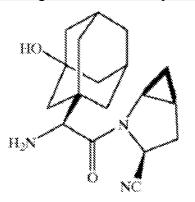
37. (Canceled)

38. (New/Amended) The method of any one of claims 32, 33, 34, or 35 wherein the pharmaceutical composition further comprises an antidiabetic agent other than a DP4 inhibitor.

39. (New/Amended) The method of claim 38, wherein the antidiabetic agent is metformin.

40. (New/Amended) The method of claim 38, wherein the antidiabetic agent is a SGLT2 inhibitor.

41. (New) A method for treating type II diabetes in a mammal comprising administering to the mammal a pharmaceutical composition comprising a compound that is



or a pharmaceutically acceptable salt thereof, and a pharmaceutically acceptable carrier therefor.

42. (New) The method of claim 41, wherein the pharmaceutically acceptable salt is the hydrochloride salt.

43. (New) The method of any one of claims 41 or 42, wherein the pharmaceutical composition further comprises an antidiabetic agent other than a DP4 inhibitor.

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44. (New) The method of claim 43, wherein the antidiabetic agent is metformin.

45. (New) The method of claim 43, wherein the antidiabetic agent is a SGLT2 inhibitor.

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#### **REMARKS**

Claims 1, 12, 13, 16, 17, 21, 22, 29, 30, 31, 38, 39, and 40 are amended herein. Claims 36 and 37 are canceled and new claims 41 to 45 are added herein. Support for each of the new claims and/or amendments is implicit in the prior versions of the claims, or is set forth in the chart that was submitted with the preliminary amendment filed December 1, 2011. No new matter is added.

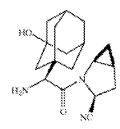
After entry of the present amendments, claims 1-22, 25-35, and 38-45 will remain pending.

#### **Summary of the Interview**

The undersigned thanks Examiners Polansky and Anderson for the courtesy of the telephonic interview conducted on May 22, 2012. The pending claims were discussed, as well as the objections and alleged rejections set forth in the May 8, 2012 Office Action. In particular, the undersigned thanks the Examiners for clarifying the objections to the Applicants' reissue declaration, the incorporation of corrections provided in the Certificates of Correction for the original patent, and the procedures to be followed to remedy any perceived errors.

#### Reissue Oath/Declaration

The Office alleges that the reissue declaration is defective because it fails to identify at least one specific error which is relied upon to support the reissue application. Without conceding the propriety of this assertion and in the interest of advancing prosecution of the application, a supplemental declaration is filed herewith, which states that the specific error relied upon is that, while the patent included claims encompassing the compound below, the patentee failed to include claims that are specifically directed to the compound:



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or a pharmaceutical salt thereof, as set forth in added claims 25 to 35 and 38 to 45.

The supplemental declaration also sets forth the mailing addresses and residences of the inventors. Patent Owner asserts that the supplemental reissue declaration complies with 37 C.F.R. 1.175.

#### **Certificates of Correction**

The Office has noted that changes to the specification and claims made via the Certificates of Correction for the original patent should be incorporated into the reissue patent. Said changes have been effected by the Patent Owner according to the procedure described in the Office Action. *See* MPEP 1453.VI.(C).

#### **Claim Objections**

The Office objects to added claim 38 for reciting, "The method of any one of claims 32, 33, 34, 25, 26, or 37..." Added claim 38 has been amended to recite "The method of any one of claims 32, 33, 34, or 35 ..." Withdrawal of the objection is requested.

The Office objects to claim 38 for reciting "an agent for preventing inhibiting allograft rejection in transplantation..." As discussed in the telephonic interview, claim 22, not claim 38, recites the identified language. Claim 22 has accordingly been amended to recite, "an agent for preventing **or** inhibiting allograft rejection in transplantation." Withdrawal of the objection is requested.

#### Rejections under 35 U.S.C. § 112, Second Paragraph

Claims 1-7, 11-22, 29-31, and 38-40 stand rejected under 35 U.S.C. § 112, second paragraph, as allegedly indefinite. In light of the present claim amendments, withdrawal of the rejections is requested.

Claim 1 has been amended to more clearly identify pharmaceutically acceptable salts as an alternative, *i.e.*, "or a pharmaceutically acceptable salt thereof." The rejection of claim 1 therefore is considered moot.

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Claim 12 has been amended to recite "a [DP4 inhibitor] compound as defined in claim 1." Claim 13 has been similarly amended. The rejection of claims 12 and 13 is considered moot.

Claim 22 has been amended to recite "A pharmaceutical combination comprising a [DP4 inhibitor] compound as defined in claim 1." The rejection of claim 22 is considered moot. Patent Owner notes that claim 21 has been amended to recite, "The combination as defined in claim 19 wherein the compound as defined in claim 1 [DP4 inhibitor] is present in a weight ratio to the lipid-modulating agent within the range from about 0.01 to about 100:1." Claim 16 has been amended similarly to claim 21.

Claim 17 has been amended to delete the limitation, "a serotonin (and dopamine) reuptake inhibitor." The rejection of claim 17 is considered moot.

Claim 29 has been amended to recite, "The composition of claim 27 or 28 further comprising **an** antidiabetic agent other than a DP4 inhibitor." The rejection of claim 29 is considered moot. Dependent claims 30 and 31 have been amended to recite, "wherein the antidiabetic agent is . . ."

Claim 38 has been amended to recite, "The method of any one of claims 32, 33, 34, or 35." The rejection is considered moot. Claim 38 has also been amended to recite, "wherein the pharmaceutical composition further comprises an antidiabetic agent other than a DP4 inhibitor." Dependent claims 39 and 40 have been amended to recite "wherein the antidiabetic agent is..." Dependent claim 40 has also been amended to recite "The method of claim 38..."

#### Rejections under 35 U.S.C. § 112, First Paragraph

Claims 1-7 and 11-22 stand rejected under 35 U.S.C. §112, first paragraph, as allegedly not complying with the written description requirement for reciting the "prodrug esters" of the compounds of claim 1. While not conceding the propriety of the rejection, the term "prodrug ester thereof" has been deleted from claim 1 to advance prosecution. Withdrawal of the rejection is requested.

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#### **CONCLUSION**

Patent Owner believes that the foregoing addresses all issues raised in the Office Action dated May 8, 2012, and that the application is now in condition for allowance. If any further issues remain, the Examiner is invited to contact Patent Owner's undersigned representative at the contact number listed below.

Date: August 8, 2012 /S. Maurice Valla/ S. Maurice Valla

Registration No. 43,966

Woodcock Washburn LLP Cira Centre 2929 Arch Street, 12th Floor Philadelphia, PA 19104-2891 Telephone: (215) 568-3100

Facsimile: (215) 568-3439

Electronic Pate	ent App	olication Fee	e Transmi	ttal	
Application Number:	133	13308658			
Filing Date:	01-	01-Dec-2011			
Title of Invention:		Cyclopropyl-Fused Pyrrolidine-Based Inhibitors Of Dipeptidyl Peptidase IV And Method			
First Named Inventor/Applicant Name:	Jeffrey A. Robl				
Filer:	SAMUEL VALLA/D. McCarty				
Attorney Docket Number:	BMS-2856				
Filed as Large Entity	·				
Utility under 35 USC 111(a) Filing Fees					
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Basic Filing:					
Pages:					
Claims:					
Claims in excess of 20		1202	3	60	180
Miscellaneous-Filing:					
Petition:					
Patent-Appeals-and-Interference:					
Post-Allowance-and-Post-Issuance:					
Extension-of-Time:					

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
	Tot	al in USD	(\$)	180

Electronic Acknowledgement Receipt				
EFS ID:	13444151			
Application Number:	13308658			
International Application Number:				
Confirmation Number:	7781			
Title of Invention:	Cyclopropyl-Fused Pyrrolidine-Based Inhibitors Of Dipeptidyl Peptidase IV And Method			
First Named Inventor/Applicant Name:	Jeffrey A. Robl			
Customer Number:	23377			
Filer:	SAMUEL VALLA/D. McCarty			
Filer Authorized By:	SAMUEL VALLA			
Attorney Docket Number:	BMS-2856			
Receipt Date:	08-AUG-2012			
Filing Date:	01-DEC-2011			
Time Stamp:	11:20:56			
Application Type:	Utility under 35 USC 111(a)			

### **Payment information:**

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$180
RAM confirmation Number	8914
Deposit Account	233050
Authorized User	

 $The \ Director \ of the \ USPTO \ is \ hereby \ authorized \ to \ charge \ indicated \ fees \ and \ credit \ any \ overpayment \ as \ follows:$ 

Charge any Additional Fees required under 37 C.F.R. Section 1.16 (National application filing, search, and examination fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.17 (Patent application and reexamination processing fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.19 (Document supply fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.20 (Post Issuance fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.21 (Miscellaneous fees and charges)

### File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Transmittal Letter	BMS-2856-Transmittal-reply-	262560	no	2
	Transmittal Ectter	to-05-08-12.PDF	f5c75d475478a99889c129e8444656fa3c18 9c57	110	
Warnings:					
Information:					
2		BMS-2856-reply-to-05-08-12.		yes	36
2		PDF	85b8b25bcf56ed3f67b7e901298ecc99f2ee cdee	yes	30
	Multi	part Description/PDF files in	zip description		
	Document De	escription	Start	E	nd
	Amendment/Req. Reconsidera	tion-After Non-Final Reject	1 1		1
	Claim	S	2 32		32
	Applicant Arguments/Remarks Made in an Amendment		33 36		36
Warnings:					
Information:					
3	Oath or Declaration filed	BMS-2856-Supplemental-	86103	no	4
		Declaration.PDF	d141ce311b111da19ff431467fc6fd89f40c7		7
Warnings:					
Information:					
4	Fee Worksheet (SB06)	fee-info.pdf	30247	no	2
	. 22 22		a92269519b1df66b248102c534e402d4b53 0cadc		
Warnings:					
Information:					
		Total Files Size (in bytes)	73	38091	

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

Doc Code: TRAN.LET

Document Description: Transmittal Letter

PTO/SB/21 (07-09)

	1 10/30/21 (01-03
Approved for use through 0	07/31/2012. OMB 0651-003
U.S. Patent and Trademark Office: U.S. DEPA	ARTMENT OF COMMERCE

			Application Number	13/308,65	58		
TRA	NSMITTAL		Filing Date	Decembe	r 1, 2011		
	FORM		First Named Inventor	Jeffrey A.	Robl		
			Art Unit	1629			
(to be used for all	correspondence after initial	filina)	Examiner Name	Gregg Po	lansky		
,	ages in This Submission	,,,,,,	Attorney Docket Number	BMS-2856	6		
Total Number of F	ages in This Submission						
		ENCL	OSURES (Check a	II that apply			
Fee Transm	nittal Form	╵╗	Drawing(s)				Illowance Communication to TC
Fee	Attached	. ا	icensing-related Papers				l Communication to Board eals and Interferences
Amendment	t/Reply		Petition				I Communication to TC I Notice, Brief, Reply Brief)
Afte	r Final		Petition to Convert to a Provisional Application			Proprie	etary Information
Affid	davits/declaration(s)		Power of Attorney, Revocat Change of Correspondence			Status	Letter
	f Time Request		erminal Disclaimer	, , , , , , , , , , , , , , , , , , , ,		Other l below)	Enclosure(s) (please Identify :
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	SIGNA	TURE O	F APPLICANT, ATT	ORNEY, (	OR AGE	NT	
Firm Name V	Voodcock Washburn, LL	P					
Signature /S	S. Maurice Valla/						
Printed name	S. Maurice Valla						
Date	August 8, 2012			Reg. No.	43,966		
	C	ERTIFIC	ATE OF TRANSMIS	SION/MA	ILING		•
	s first class mail in an en						ited States Postal Service with Alexandria, VA 22313-1450 on
Signature							
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Typed or printed na	me					Date	

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#### Privacy Act Statement

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
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- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Approved for use through 08/31/2013. OMB 0651-0033
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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		Docket Number (optional)			
REISSUE APPLICATION DECLARATION BY THE ASS	JE APPLICATION DECLARATION BY THE ASSIGNEE				
I hereby declare that:					
The residence, mailing address and citizenship of the inventors	are stated b	elow.			
I am authorized to act on behalf of the following assignee:	stol-Myers S	Squibb Company			
and the title of my position with said assignee is:	eneral Cour	nsel			
The entire title to the patent identified below is vested in said as	ssignee.				
Inventor Jeffrey A. Robl	C	itizenship United States			
Residence/Mailing Address 7 Tulip Drive, Newtown, PA 18940					
Inventor Richard B. Sulsky	C	itizenship United States			
Residence/Mailing Address 311 Pennington-Rocky Hill Road, Pennington, NJ 08534					
Additional Inventors are named on separately numbers	ed sheets att	ached hereto.			
Patent Number 6,395,767	Date of Pa	tent Issued May 28, 2002			
Cyclopropyl-Fused Pyrrolidine-Based Inhibitors of Dipep	otidyl Peptid	lase IV and Method			
the specification of which		-			
is attached hereto.					
was filed on December 1, 2011	11 as reissue application number 13 / 308,658				
and was amended on		<u> </u>			
(If applicable)					
I have reviewed and understand the contents of the above identegrated amendment referred to above.	tifled specific	cation, Including the claims, as amended by any			
I acknowledge the duty to disclose information which is material	I to patentab	ility as defined in 37 CFR 1.56.			
I hereby claim foreign priority benefits under 35 U.S.C. 11 (or equivalent) listing the foreign applications.	9(a)-(d) or (f	), or 365(b). Attached is form PTO/SB/02B			
I verily believe the original patent to be wholly or partly inoperati below. (Check all boxes that apply.)	ive or Invalid	, for the reasons described			
by reason of a defective specification or drawing.					
by reason of the patentee claiming more or less than he had the right to claim in the patent.					
by reason of other errors.					

[Page 1 of 2]
This collection of information is required by 37 CFR 1.175. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PTO/SB/52 (05-08)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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REISSUE	E APPLICATION DECLARATION BY THE ASSIGNEE Docket Number (Optional) BMS-2856		er (Optional) BMS-2856	
At least one error upon which reissue is based is described as follows:				
See attached sheet				
All errors corre	[Attach add] ected in this reissue application arose	itional sheets, if needed.		nort of the applicant
I hereby appoin		without any deceptive	intention on the	part of the applicant.
✓ Practition	ers associated with Customer Number:	23377	7	
OR Practition	er(s) named below:			<b>-</b> .
	Name		Registration I	Number
	4			
	ney(s) or agent(s) to prosecute the application		nd to transact all	business in the United
States Patent a	nd Trademark Office connected therewith	1.		<del></del>
Correspondence	e Address: Direct all communications abo	out the application to:		
		23377		
	ess associated with Customer Number:	23377		
OR Firm or				
Individual Name	,			
Address				
City		State	·	Zip
Country				
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WARNING: Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may				
contribute to id	lentity theft. Personal information such	as social security num	bers, bank acco	ount numbers, or credit card
	than a check or credit card authorization support a petition or an application. If the			
the USPTO, per	titioners/applicants should consider redac	cting such personal infor	mation from the	documents before submitting
	SPTO. Petitioner/applicant is advised the application (unless a non-publication re			
publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the				
	referenced in a published application our frms PTO-2038 submitted for payment p			
publicly availabl		diposos are not retained	а пт шо аррпоан	
i horahy dociora	a that all statements made herein of my o	umi knowledge ere true s	nd that all staten	ments made on information
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false				
statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and that such willful				
false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this declaration is directed.				
Signature	Monun Olaku			Pho 19 2017
Full name of pe	rson signing (given name, family name)	Warren K. Volles		0
Address of Assignee Bristol-Myers Squibb Co.; Patent Department; P.O. Box 4000; Princeton, NJ 08543-4000				
511000 1111000011 110 00040-4000				

#### Supplemental Declaration Additional Sheet

At least one error upon which reissue is based is described as follows:

While the patent included claims encompassing the compound below, the patent failed to include

claims that are specifically directed to the compound thereof, as set forth in added claims 25 to 35 and 38 to 45.

or a pharmaceutical salt

## ADDITIONAL INVENTORS Page 1 of 1

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2012-08-13

WOODCOCK WASHBURN LLP CIRA CENTRE, 12TH FLOOR 2929 ARCH STREET PHILADELPHIA, PA 19104-2891

Paper No.

Application No.:	13/308,658	Date Mailed:	2012-08-13
First Named Inventor:	Robl, Jeffrey, A.	Examiner:	POLANSKY, GREGG
Attorney Docket No.:	BMS-2856	Art Unit:	1629
Confirmation No.:	7781	Filing Date:	2011-12-01

Please find attached an Office communication concerning this application or proceeding.

**Commissioner for Patents** 

PTO-90c (Rev.08-06)

# Notice of Non-Compliant Amendment (37 CFR 1.121)

Application No. 13/308,658	Applicant(s) ROBL ET AL.
	Art Unit 1700

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

The amendment document filed on <u>08 August</u>, <u>2012</u> is considered non-compliant because it has failed to meet the requirements of 37 CFR 1.121 or 1.4. In order for the amendment document to be compliant, correction of the following item(s) is required.

n(s) is required.
E FOLLOWING MARKED (X) ITEM(S) CAUSE THE AMENDMENT DOCUMENT TO BE NON-COMPLIANT:  1. Amendments to the specification:  A. Amended paragraph(s) do not include markings.  B. New paragraph(s) should not be underlined.  C. Other
<ul> <li>2. Abstract:</li> <li>A. Not presented on a separate sheet. 37 CFR 1.72.</li> <li>B. Other</li> </ul>
<ul> <li>3. Amendments to the drawings:</li> <li>A. The drawings are not properly identified in the top margin as "Replacement Sheet," "New Sheet," or "Annotated Sheet" as required by 37 CFR 1.121(d).</li> <li>B. The practice of submitting proposed drawing correction has been eliminated. Replacement drawings showing amended figures, without markings, in compliance with 37 CFR 1.84 are required.</li> <li>C. Other</li> </ul>
<ul> <li>4. Amendments to the claims: <ul> <li>A. A complete listing of all of the claims is not present.</li> <li>B. The listing of claims does not include the text of all pending claims (including withdrawn claims)</li> <li>C. Each claim has not been provided with the proper status identifier, and as such, the individual status of each claim cannot be identified. Note: the status of every claim must be indicated after its claim number by using one of the following status identifiers: (Original), (Currently amended), (Previously presented), (New), (Not entered), (Withdrawn) and (Withdrawn-currently amended).</li> <li>D. The claims of this amendment paper have not been presented in ascending numerical order.</li> <li>E. Other:</li> </ul> </li> </ul>
5. Other (e.g., the amendment is unsigned or not signed in accordance with 37 CFR 1.4): For further explanation of the amendment format required by 37 CFR 1.121, see MPEP § 714.

#### TIME PERIODS FOR FILING A REPLY TO THIS NOTICE:

- 1. Applicant is given **no new time period if the non-compliant amendment is an** after-final amendment or an amendment filed after allowance, or a drawing submission (only) If applicant wishes to resubmit the non-compliant after-final amendment with corrections, the **entire corrected amendment** must be resubmitted.
- 2. Applicant is given **one month**, or thirty (30) days, whichever is longer, from the mail date of this notice to supply the correction, if the non-compliant amendment is one of the following: a preliminary amendment, a non-final amendment (including a submission for a request for continued examination (RCE) under 37 CFR 1.114), a supplemental amendment filed within a suspension period under 37 CFR 1.103(a) or (c), and an amendment filed in response to a Quayle action. If any of above boxes 1 to 4 are checked, the correction required is only the corrected section of the non-compliant amendment in compliance with 37 CFR 1.121.

**Extensions of time** are available under 37 CFR 1.136(a) only if the non-compliant amendment is a non-final amendment or an amendment filed in response to a *Quayle* action.

Failure to timely respond to this notice will result in:

**Abandonment** of the application if the non-compliant amendment is a non-final amendment or an amendment filed in response to a *Quayle* action; or

**Non-entry** of the amendment if the non-compliant amendment is a preliminary amendment or supplemental amendment.

Legal Instruments Examiner (LIE), if applicable /BRUCE HARRISON/

Part of Paper No. 20120809-1

Telephone No: (571)272-1016

TH



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2012-08-21

WOODCOCK WASHBURN LLP CIRA CENTRE, 12TH FLOOR 2929 ARCH STREET PHILADELPHIA, PA 19104-2891 Paper No.

Application No.:	13/308,658	Date Mailed:	2012-08-21
First Named Inventor:	Robl, Jeffrey, A.	Examiner:	POLANSKY, GREGG
Attorney Docket No.:	BMS-2856	Art Unit:	1629
Confirmation No.:	7781	Filing Date:	2011-12-01

Please find attached an Office communication concerning this application or proceeding.

**Commissioner for Patents** 

PTO-90c (Rev.08-06)

Letter Withdrawing a Notice of	<b>Application No.:</b> 13/308,658	Applicant(s): ROBL ET AL.
Non-Compliant Amendment		Art Unit: 1700
The Netice of New Counties to Assess described	1 12 A	
The Notice of Non-Compliant Amendment mailed withdrawn. The application is being forwarded to		
apply to any Notice of Non-Compliant Amendment	nt where the amendment	was a reply to a final Office action.)
Legal Instruments Examiner (LIE):	Telephone N	umber:
/BRUCE HARRISON/	(571)272-10	16

**Application No.:** 13/308,658 Office Action Dated: May 8, 2012

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Confirmation No.: 7781 Jeffrey A. Robl

Application No.: 13/308,658 Group Art Unit: 1629

Filing Date: December 1, 2011 Examiner: Gregg Polansky

Cyclopropyl-Fused Pyrrolidine-Based Inhibitors of Dipeptidyl Peptidase IV and For:

Method

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Commissioner:

#### SUPPLEMENTAL REPLY PURSUANT TO 37 CFR § 1.111

In further response to the Official Action dated May 8, 2012, reconsideration is respectfully requested in view of the amendments and/or remarks as indicated below:

$\boxtimes$	A Listing of Prior Changes to U.S. 6,395,767 ("the 767 patent") Made By Certificate of Correction begin on page 2 of this paper.
	<b>Amendments to the Claims</b> are reflected in the listing of the claims which begins on page of this paper.
	Amendments to the Drawings begin on page of this paper and include an attached replacement sheet.
$\boxtimes$	Remarks begin on page 6 of this paper.
	The Commissioner is hereby authorized to charge any fee deficiency, charge any additional fees, or credit any overpayment of fees, associated with this application in connection with this filing, or any future filing, submitted to the U.S. Patent and Trademark Office during the pendency of this application, to Deposit Account No. 23-3050.

**Application No.:** 13/308,658 **Office Action Dated:** May 8, 2012

#### **Changes to 767 Patent Previously Entered by Certificate of Correction**

1. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 14, lines 13-54 of the 767 patent:

Unless otherwise indicated, the term "heteroaryl" as used herein alone or as part of another group refers to a 5- or 6- membered aromatic ring which includes 1, 2, 3 or 4 hetero atoms such as nitrogen, oxygen or sulfur, and such rings fused to an aryl, cycloalkyl, heteroaryl or cycloheteroalkyl ring (e.g. benzothiophenyl, indolyl), and includes possible N-oxides. The heteroaryl group may optionally include 1 to 4 substituents such as any of the substituents set out above for alkyl. Examples of heteroaryl groups include the following:

and the like.

**Application No.:** 13/308,658 **Office Action Dated:** May 8, 2012

2. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 14, lines 55-58 of the 767 patent:

The term "cycloheteroalkylalkyl" as used herein alone or as part of another group refers to cycloheteroalkyl groups as defined above linked through a C atom or heteroatom to a  $(CH_2)_r$  chain.

3. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 43, lines 20-38 of the 767 patent:

To a flame-dried 500-mL round-bottomed flask containing cyclopentylideneacetic acid ethyl ester (17.5 g, 113 mmol) in 100 mL anhydrous toluene at -78°C under argon was added DIBAL-H (189 mL of a 1.5 M solution in toluene, 284 mmol, 2.50 equiv) dropwise over a 30 min period through an addition funnel, and the mixture was then allowed to warm to rt, stirring for 18 h. The reaction mixture was then recooled to -78°C, and quenched by the careful addition of 30 mL anhydrous MeOH. Upon warming to rt, 1 N Rochelle's salt (100 mL) was added, and the mixture was stirred 90 min. The biphasic reaction mixture was then diluted with Et<sub>2</sub>O (200 mL) in a separatory funnel, and the layers were separated. The organic layer was then washed with brine (100 mL), dried (Na<sub>2</sub>SO<sub>4</sub>), and concentrated under reduced pressure. Purification by flash column chromatography (silica gel, CH<sub>2</sub>Cl<sub>2</sub> / EtOAc, 10:1) gave 11.6 g (92%) of the desired allylic alcohol as a colorless oil.

4. As indicated by the Certificate of Correction, please substitute the following Scheme 7 for the Scheme 7 at col. 52, line 37- col. 53, line 25 of the 767 patent:

**Application No.:** 13/308,658 **Office Action Dated:** May 8, 2012

# Scheme 7 General Method E, Examples 45 47

BochN 
$$\begin{array}{c} & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & \\ & & & \\ & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ &$$

a.OsO $_4$ , THF:H $_2$ O, 1:1; NalO $_4$ ; workup,then NaBH $_4$ , MeOH, RT. 56% b. TFA:CH $_2$ Cl $_2$ , 1:2, 0 degrees C to RT.

$$H_2N$$
  $O$   $CN$ 

Step 1

Page 4 of 6

**Application No.:** 13/308,658 **Office Action Dated:** May 8, 2012

5. As indicated by the Certificate of Correction, please substitute the following paragraph for the paragraph at col. 70, lines 55-65 of the 767 patent:

**EXAMPLE 67** 

Step 1

6. As indicated by the Certificate of Correction, please substitute the following Table 5 for the Table 5 at col. 84, lines 23-42 of the 767 patent:

TABLE 5

$$H_2N$$
 $R$ 
 $N$ 
 $CN$ 

Example #	Cycloalkane	R	MS Data M+H
79	cyclohexane	Methyl	262
80	cyclohexane	Ethyl	276
81	cyclopentane	Methyl	248
82	cyclopentane	Allyl	274
83	cyclopentane	Propyl	276
84	cyclobutane	Methyl	234

**Application No.:** 13/308,658 **Office Action Dated:** May 8, 2012

#### **REMARKS**

The Patent Owner thanks the examiner for the courtesy of the telephonic interview conducted on January 10, 2013 with Stephanie A. Barbosa, attorney for Patent Owner. Examiner Polansky requested that the Patent Owner file a supplemental response that addresses certain changes to U.S. 6,395,767 that were previously entered by certificate of correction. In particular, Examiner Polansky identified that all changes must be set forth *via* entire paragraph, scheme, and table replacements rather than single line replacements. This supplemental response also includes the changes from the Certificate of Correction for col. 14, lines 55-58 and col. 43, lines 20-38 to correct typographical errors from the previous reply. This supplemental paper is filed in response to the Examiner's request.

Date: January 18, 2013 /S. Maurice Valla/ S. Maurice Valla

Registration No. 43,966

Woodcock Washburn LLP Cira Centre 2929 Arch Street, 12th Floor Philadelphia, PA 19104-2891 Telephone: (215) 568-3100 Facsimile: (215) 568-3439

· · ·

Electronic Ack	knowledgement Receipt
EFS ID:	14735292
Application Number:	13308658
International Application Number:	
Confirmation Number:	7781
Title of Invention:	Cyclopropyl-Fused Pyrrolidine-Based Inhibitors Of Dipeptidyl Peptidase IV And Method
First Named Inventor/Applicant Name:	Jeffrey A. Robl
Customer Number:	23377
Filer:	SAMUEL VALLA/Joanne Gallagher
Filer Authorized By:	SAMUEL VALLA
Attorney Docket Number:	BMS-2856
Receipt Date:	18-JAN-2013
Filing Date:	01-DEC-2011
Time Stamp:	11:44:20
Application Type:	Utility under 35 USC 111(a)

### **Payment information:**

### File Listing:

1 Transmittal Letter BMS-2856_transmittal.PDF	Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
8e5106d1ec81a16c38e02e973075a62abfc	1	Transmittal Letter	RMS-2856 transmittal PDF	262602	no	2
	'	Hansiintal Letter	SMS 2030_transfinitian.i Di		110	2

#### Warnings:

Information:

2		136534 1c40d87f232354c96586a5ba11ee6350365 d5654	yes	6	
	Multip	art Description/PDF files in .	zip description		
	Document Des	cription	Start	E	nd
	Supplemental Response or Sup	plemental Amendment	1		1
	Claims			2 5	
	Applicant Arguments/Remarks	Made in an Amendment	6		6
Warnings:					
Information:					
		Total Files Size (in bytes):	39	9136	

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

Doc Code: TRAN.LET

Document Description: Transmittal Letter

PTO/SB/21 (07-09)

	1 10/30/21 (01-03)
Approved for use through 07	7/31/2012. OMB 0651-0031
J.S. Patent and Trademark Office: U.S. DEPAL	RTMENT OF COMMERCE

		,	Application Number	13/308,	658		
TRANSMITTAL			Filing Date	Decemb	December 1, 2011		
FORM			First Named Inventor	Jeffrey	Jeffrey A. Robol		
			Art Unit	1629			
(to be used for all	correspondence after initial		Examiner Name	Gregg F	Polansky		
	ages in This Submission		Attorney Docket Number	BMS-28	356		
Total Hallison of the							
		ENCLO	OSURES (Check a	ill that ap	oly)	\ fto=	Allowance Communication to TC
Fee Transm	nittal Form	Dra	awing(s)				
Fee	Attached	Lic	censing-related Papers				al Communication to Board peals and Interferences
Amendmen	t/Reply	l —	etition			Appea ( <b>Appe</b> a	al Communication to TC al Notice, Brief, Reply Brief)
Afte	r Final	Pro	etition to Convert to a ovisional Application		$  \sqcup$	Propri	etary Information
Affic	davits/declaration(s)	Po Ch	ower of Attorney, Revocat nange of Correspondence	ion Address			Letter
Extension o	f Time Request	Те	erminal Disclaimer			Other below	Enclosure(s) (please Identify ):
Express Ab	andonment Request	Re	equest for Refund				
Information Disclosure Statement			CD, Number of CD(s)				
			Landscape Table on (	CD			
Certified Copy of Priority Document(s)  Remarks  Supplemental Reply							
Reply to Mis	ssing Parts/	Suppleme	птат перту				
Incomplete Rep	ly to Missing Parts						
L und	er 37 CFR 1.52 or 1.53						
	Olonia Olonia	TUDE OF	ADDI IOANT ATT	ODNEY	00.46	FNT	
Firm Name	SIGNA	TURE OF	APPLICANT, ATT	ORNEY,	OR AG	ENI	
	Voodcock Washburn LL	Ρ					
Signature /	S. Maurice Valla/						
Printed name	S. Maurice Valla						
Date	anuary 18, 2013			Reg. No.	43,96	6	
	C	ERTIFICA	ATE OF TRANSMIS	SION/M	AILING		
I hereby certify that sufficient postage a the date shown belo	s first class mail in an er	peing facsimi nvelope addre	ile transmitted to the USF essed to: Commissioner	TO or dep for Patents	osited wit s, P.O. Bo	th the Ur x 1450,	ited States Postal Service with Alexandria, VA 22313-1450 on
Signature							
						Doto	
Typed or printed na	me					Date	

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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

#### Privacy Act Statement

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

THE RESERVE OF COMMENTS

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspblo.gov

#### NOTICE OF ALLOWANCE AND FEE(S) DUE

WOODCOCK WASHBURN LLP CIRA CENTRE, 12TH FLOOR 2929 ARCH STREET PHILADELPHIA, PA 19104-2891 EXAMINER
POLANSKY, GREGG

ART UNIT PAPER NUMBER
1629

DATE MAILED: 02/13/2013

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
13/308,658	12/01/2011	Jeffrey A. Robl	BMS-2856	7781

TITLE OF INVENTION: Cyclopropyl-Fused Pyrrolidine-Based Inhibitors Of Dipeptidyl Peptidase IV And Method

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1770	\$0	\$0	\$1770	05/13/2013

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

#### PART B - FEE(S) TRANSMITTAL

#### Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or Fax (571)-273-2885

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ppropriate. All further adicated unless corrected aintenance fee notifical	correspondence includir ed below or directed oth	ng the Patent, advance on herwise in Block 1, by (a	rders and notification a) specifying a new c	of m	aintenance fees woondence address;	ill be and/or	mailed to the current (b) indicating a separ	correspondence address as rate "FEE ADDRESS" for	
CURRENT CORRESPONDI	Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.								
WOODCOCK CIRA CENTRE 2929 ARCH STI PHILADELPHI	Certificate of Mailing or Transmission  I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.								
								(Depositor's name)	
								(Signature)	
								(Date)	
APPLICATION NO.	FILING DATE		FIRST NAMED INVEN	TOR		ATTO	RNEY DOCKET NO.	CONFIRMATION NO.	
13/308,658	12/01/2011		Jeffrey A. Robl			BMS-2856		7781	
	, , , ,	rrolidine-Based Inhibitors							
APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE D	UE	PREV. PAID ISSUE	E FEE	TOTAL FEE(S) DUE	DATE DUE	
nonprovisional	NO	\$1770	\$0		\$0		\$1770	05/13/2013	
EXAM	IINER	ART UNIT	CLASS-SUBCLASS	3					
POLANSK	Y, GREGG	1629	514-252190						
Change of corresponde FR 1.363).  Change of corresp Address form PTO/SE  "Fee Address" ind PTO/SB/47; Rev 03-0 Number is required.	(1) the names of u or agents OR, alter (2) the name of a s	a single firm (having as a member a ey or agent) and the names of up to nt attorneys or agents. If no name is							
PLEASE NOTE: Unl recordation as set fort (A) NAME OF ASSIG	less an assignee is ident h in 37 CFR 3.11. Comp GNEE	oletion of this form is NO	data will appear on t T a substitute for filin (B) RESIDENCE: (C	he pa g an a	tent. If an assignossignment. and STATE OR C	COUNT	TRY)	cument has been filed for	
lease check the appropri	rate assignee category or	categories (will not be pr	inted on the patent):		Individual 🖵 Co	orporati	on or other private gro	up entity Government	
a. The following fee(s) a  Issue Fee  Publication Fee (N  Advance Order - #	4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above)  ☐ A check is enclosed. ☐ Payment by credit card. Form PTO-2038 is attached. ☐ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number (enclose an extra copy of this form).								
_ ~ .	<b>tus</b> (from status indicated as SMALL ENTITY statu	· · · · · · · · · · · · · · · · · · ·	☐ b. Applicant is no	o long	er claiming SMAI	LL ENT	ПТҮ status. See 37 СF	FR 1.27(g)(2).	
OTE: The Issue Fee and terest as shown by the I	d Publication Fee (if requeecords of the United Sta	uired) will not be accepte tes Patent and Trademark	d from anyone other th					e assignee or other party in	
Authorized Signature					Date				
Typed or printed name									
his collection of inform n application. Confident ibmitting the completed is form and/or suggesti ox 1450, Alexandria, V	nation is required by 37 C tiality is governed by 35 d application form to the tions for reducing this but iriginia 22313-1450. DO	CFR 1.311. The informatic U.S.C. 122 and 37 CFR USPTO. Time will vary rden, should be sent to the NOT SEND FEES OR (	on is required to obtain 1.14. This collection is depending upon the e Chief Information C COMPLETED FORM	or re is esti indivi Officer S TO	etain a benefit by the mated to take 12 r dual case. Any co r, U.S. Patent and THIS ADDRESS	he publ ninutes mment Traden . SENI	ic which is to file (and to complete, including s on the amount of tin nark Office, U.S. Depa D TO: Commissioner f	by the USPTO to process) g gathering, preparing, and he you require to complete rtment of Commerce, P.O. or Patents, P.O. Box 1450,	

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#### UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS

P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

DATE MAILED: 02/13/2013

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
13/308,658 12/01/2011		Jeffrey A. Robl	BMS-2856	7781	
23377 75	90 02/13/2013	EXAMINER			
WOODCOCK W		POLANSKY, GREGG			
CIRA CENTRE, 1: 2929 ARCH STRE		ART UNIT	PAPER NUMBER		
PHILADELPHIA,			1629		

#### Determination of Patent Term Extension or Adjustment under 35 U.S.C. 154 (b)

A reissue patent is for "the unexpired part of the term of the original patent." See 35 U.S.C. 251. Accordingly, the above-identified reissue application is not eligible for Patent Term Extension or Adjustment under 35 U.S.C. 154(b).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

#### **Privacy Act Statement**

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

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- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
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- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

	Application No.	Applicant(s)	
Notice of Allowability	13/308,658	ROBL ET AL.	
Notice of Allowability	Examiner	Art Unit	
	Gregg Polansky	1629	
The MAILING DATE of this communication apperall claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RI of the Office or upon petition by the applicant. See 37 CFR 1.313	(OR REMAINS) CLOSED in this app or other appropriate communication GHTS. This application is subject to	olication. If not include will be mailed in due	ed course. <b>THIS</b>
1. $\boxtimes$ This communication is responsive to <u>papers filed 8/08/2012</u>	<u>&amp; 1/18/2013</u> .		
2. An election was made by the applicant in response to a rest requirement and election have been incorporated into this ac		ne interview on	; the restriction
<ol> <li>The allowed claim(s) is/are 1-22,25-35 and 38-45. As a resurrous Prosecution Highway program at a participating intellectual please see <a href="http://www.uspto.gov/patents/init_events/pph/ind">http://www.uspto.gov/patents/init_events/pph/ind</a></li> </ol>	I property office for the correspondin	g application. For mo	
<ul> <li>4. ☐ Acknowledgment is made of a claim for foreign priority unde</li> <li>a) ☐ All b) ☐ Some* c) ☐ None of the:</li> </ul>			
1. Certified copies of the priority documents have			
<ul><li>2.  Certified copies of the priority documents have</li><li>3.  Copies of the certified copies of the priority doc</li></ul>	• • • • • • • • • • • • • • • • • • • •		tion from the
International Bureau (PCT Rule 17.2(a)).	cuments have been received in this r	iational stage applica	tion from the
* Certified copies not received:			
Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONM THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.		complying with the red	quirements
5. CORRECTED DRAWINGS ( as "replacement sheets") must	be submitted		
including changes required by the attached Examiner's Paper No./Mail Date		ffice action of	
Identifying indicia such as the application number (see 37 CFR 1. each sheet. Replacement sheet(s) should be labeled as such in the			back) of
<ol> <li>DEPOSIT OF and/or INFORMATION about the deposit of B attached Examiner's comment regarding REQUIREMENT FC</li> </ol>			
Attachment(s) 1. ☐ Notice of References Cited (PTO-892)	5. ☐ Examiner's Amendn	nent/Comment	
2. Information Disclosure Statements (PTO/SB/08),	6. 🗌 Examiner's Stateme	nt of Reasons for Allo	wance
Paper No./Mail Date 3.	7.		
/SAVITHA RAO/ Primary Examiner, Art Unit 1629	/Gregg Polansky/ Examiner, Art Unit 1629	)	

U.S. Patent and Trademark Office PTOL-37 (Rev. 09-12)

Notice of Allowability

Part of Paper No./Mail Date 20130124

#### **EAST Search History**

#### **EAST Search History (Prior Art)**

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L2	2	("6395767").PN.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	OR	OFF	2013/01/24 17:16
L3	10	onglyza	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	<b>AN</b> D	ON	2013/01/24 17:16
L4	1478	saxagliptin	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	<b>AN</b> D	ON	2013/01/24 17:16
L5	1480	L3 or L4	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	<b>AN</b> D	ON	2013/01/24 17:16
L6	375	BMS-477118	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	<b>AN</b> D	ON	2013/01/24 17:16
L7	476	BMS adj "477118"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	<b>AN</b> D	ON	2013/01/24 17:16
L8	476	BMS adj2 "477118"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	AND	ON	2013/01/24 17:16
L9	476	L6 or L7	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	AND	ON	2013/01/24 17:16
L10	0	"361442-05-9"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	AND	ON	2013/01/24 17:16
L11	808	548/452.cds.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	AND	ON	2013/01/24 17:20
L12	1048	514/412.cds.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT	AND	ON	2013/01/24 17:20

#### **EAST Search History (Interference)**

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L13	464	514/412.ccls.	USPAT; UPAD	<b>AN</b> D	ON	2013/01/24 17:20
L14	506	548/452.ccls.	USPAT; UPAD	AND	ON	2013/01/24 17:21

#### 1/24/2013 5:21:49 PM

C:\ Users\ gpolansky\ Documents\ EAST\ Workspaces\ 13308658 Reissue of US 6395767.wsp

	Application No.	Applicant(s)	
Application Number	13308658	Robl et al.	
	Notice of Reissue Published	d in OG on 02/14/2012	
Original Patent Number of Patent To Be Reissued is 6395767		The Maintenance fee status is:  ☑ up to date. ☐ not required.	
This reissue patent is subject to A Terminal Disclaimer that:  was filed during the prosecution of the reissue application.  was of record prior to the filing of the reissue application.			
Physical surrender of the letters patent			
<ul> <li>□ was made.</li> <li>□ was not made, but a statement of loss/inaccessibility was provided.</li> <li>☑ is not required</li> </ul>			
	Final SPRE Review		

BC (INITIALS)

2/7/2013

(DATE)

U.S. Patent and Trademark Office

#### Search Notes



Application/Control No.	Applicant(s)/Patent Under Reexamination
13308658	ROBL ET AL.
Examiner	Art Unit
GREGG POLANSKY	1629

CPC- SEARCHED		
Symbol	Date	Examiner

CPC COMBINATION SETS - SEARC	CHED	
Symbol	Date	Examiner

US CLASSIFICATION SEARCHED			
Class	Subclass	Date	Examiner
514	412	1/24/2013	GP
548	452	1/24/2013	GP

SEARCH NOTES		
Search Notes	Date	Examiner
EAST Search: see EAST Search Histroy	5/2/2012	GP
STN Search: see STN Search History	5/2/2012	GP
Litigation Search: see Litigation Search History	5/2/2012	GP
PALM Inventor Search	5/2/2012	GP
EAST Search: see EAST Search Histroy	1/24/2013	GP
Reviewed previous STN Search History	1/24/2013	GP
PALM Inventor Search	1/24/2013	GP

INTERFERENCE SEARCH			
US Class/ CPC Symbol	US Subclass / CPC Group	Date	Examiner
514	412	1/24/2013	GP
548	452	1/24/2013	GP

/GREGG POLANSKY/	/SAVITHA RAO/
Examiner.Art Unit 1629	Primary Examiner, Art Unit 1629

# Issue Classification

Application/Control No.	Applicant(s)/Patent Under Reexamination
13308658	ROBL ET AL.
Examiner	Art Unit
GREGG POLANSKY	1629

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CPC Combination Sets	CPC Combination Sets									
Symbol			Туре	Set	Ranking	Version				

US ORIGINAL CLASSIFICATION							INTERNATIONAL CLASSIFICATION								
	CLASS	3	SUBCLASS						С	LAIMED	NON-CLAIMED				
514			412		С	0	7	D	209 / 02 (2006.01.01)						
CROSS REFERENCE(S)  CLASS SUBCLASS (ONE SUBCLASS PER BLOCK)					Α	6	1	К	31 / 403 (2006.01.01)						
				CK)											
548	452														

/GREGG POLANSKY/ Examiner.Art Unit 1629	1/24/2013	Total Claims Allowed:				
(Assistant Examiner)	(Date)					
		O.G. Print Claim(s)	O.G. Print Figure			
(Primary Examiner)	(Date)	1	NONE			

U.S. Patent and Trademark Office Part of Paper No. 20130124

# Issue Classification | Application/Control No. | Application/Control

**GREGG POLANSKY** 

Applicant(s)/Patent Under Reexamination
ROBL ET AL.
Art Unit
1629

					·			

/GREGG POLANSKY/ Examiner.Art Unit 1629	1/24/2013	Total Claims Allowed:			
(Assistant Examiner)	(Date)	41			
		O.G. Print Claim(s)	O.G. Print Figure		
(Primary Examiner)	(Date)	1	NONE		

U.S. Patent and Trademark Office Part of Paper No. 20130124

## Issue Classification



Application/Control No.	Applicant(s)/Patent Under Reexamination
13308658	ROBL ET AL.
Examiner	Art Unit
GREGG POLANSKY	1629

	☐ Claims renumbered in the same order as presented by applicant ☐ CPA ☐ T.D. ☐ R.1.47													47	
Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original
1	1	17	17	33	33										
2	2	18	18	34	34										
3	3	19	19	35	35										
4	4	20	20		36										
5	5	21	21		37										
6	6	22	22	36	38										
7	7	23	23	37	39										
8	8	24	24	38	40										
9	9	25	25	39	41										
10	10	26	26	40	42										
11	11	27	27	41	43										
12	12	28	28	42	44										
13	13	29	29	43	45										
14	14	30	30												
15	15	31	31												
16	16	32	32												

/GREGG POLANSKY/ Examiner.Art Unit 1629	1/24/2013	Total Claims Allowed:				
(Assistant Examiner)	(Date)					
		O.G. Print Claim(s)	O.G. Print Figure			
(Primary Examiner)	(Date)	1	NONE			

U.S. Patent and Trademark Office Part of Paper No. 20130124



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

#### **BIB DATA SHEET**

#### **CONFIRMATION NO. 7781**

SERIAL NUMBER	FILING or 371	(c)	CLASS	GROU	JP ART	UNIT	ATTO	RNEY DOCKET				
13/308,658	12/01/2011		514		1629			BMS-2856				
	RULE											
Richard B. Sul David J. Auger David R. Magr Lawrence G. H David A. Betek	Jeffrey A. Robl, Newtown, PA; Richard B. Sulsky, West Trenton, NJ; David J. Augeri, Princeton, NJ; David R. Magnin, Hamilton, NJ; Lawrence G. Hamann, Cherry Hill, NJ; David A. Betebenner, Lawrenceville, NJ; *** CONTINUING DATA **********************************											
This application is a REI of 09/788,173 02/16/2001 PAT 6,395,767 which claims benefit of 60/188,555 03/10/2000  ** FOREIGN APPLICATIONS ************************************												
** FOREIGN APPLICATIONS ************************************												
Foreign Priority claimed Yes No  35 USC 119(a-d) conditions met Yes No  Verified and /GREGG POLANSKY/ Acknowledged Examiner's Signature		Met after Allowance	STATE OR COUNTRY PA	SHE DRAW		TOTA CLAII 40	MS	INDEPENDENT CLAIMS				
ADDRESS												
CIRA CENTRE 2929 ARCH S	A, PA 19104-2891											
TITLE												
Cyclopropyl-Fu	ised Pyrrolidine-Bas	ed Inhibitor	s Of Dipeptidyl P	eptidas	e IV An	d Metho	d					
FILING FEE RECEIVED 3130  FEES: Authority has been given in Paper No to charge/credit DEPOSIT ACCOUNT No for following:    All Fees   1.16 Fees (Filing)   1.17 Fees (Processing In 1.18 Fees (Issue)   1.18 Fees (Iss												
					<b>J</b> Credit	[						

# Index of Claims 13308658 Examiner GREGG POLANSKY Applicant(s)/Patent Under Reexamination ROBL ET AL. Art Unit 1629

✓	Rejected		•	Cancelled
=	Allowed		÷	Restricted

N	Non-Elected
ı	Interference

Α	Appeal
0	Objected

☐ Claims	renumbered	in the same	order as pre	esented by a	applicant		□ СРА	□ т.	D. 🗆	R.1.47
CLA	MIA		DATE							
Final	Original	05/01/2012	02/06/2013							
1	1	✓	=							
2	2	✓	=							
3	3	✓	=							
4	4	✓	=							
5	5	✓	=							
6	6	✓	=							
7	7	✓	=							
8	8	✓	=							
9	9	✓	=							
10	10	✓	=							
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30	30	✓	=							
31	31	✓	=							
32	32	✓	=							
33	33	✓	=							
34	34	✓	=							
35	35	✓	=							
	36	✓	-							

U.S. Patent and Trademark Office

Part of Paper No.: 20130124

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Index of Claims	13308658	ROBL ET AL.
	Examiner	Art Unit
	GREGG POLANSKY	1629

<b>✓</b>	Rejected	-	Cancelled	N	Non-Elected	A	Appeal
=	Allowed	÷	Restricted	I	Interference	0	Objected
	☐ Claims renumbered in the same order as presented by applicant ☐ CPA ☐ T.D. ☐ R.1.47						

☐ Claims	☐ Claims renumbered in the same order as presented by applicant						□ СРА	□ т.с	).	R.1.47
CL	AIM	DATE								
Final	Original	05/01/2012	02/06/2013							
	37	✓	-							
36	38	✓	=							
37	39	✓	=							
38	40	✓	=							
39	41		=							
40	42		=							
41	43		=							
42	44		=							
43	45		=							

#### PART B - FEE(S) TRANSMITTAL

#### Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

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opropriate. All further	correspondence including ed below or directed oth	g the Patent, advance of	orders and notification of	maintenance fees w	vill be mailed to the currer	should be completed where nt correspondence address as parate "FEE ADDRESS" for			
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CIRA CENTRE 2929 ARCH ST			I he Sta adc trai	Cer ereby certify that th tes Postal Service w dressed to the Mail asmitted to the USP	tificate of Mailing or Tran is Fee(s) Transmittal is bei vith sufficient postage for fi Stop ISSUE FEE addres TO (571) 273-2885, on the	nsmission ng deposited with the United rst class mail in an envelope s above, or being facsimile date indicated below.			
	,					(Depositor's name)			
						(Signature)			
						(Date)			
APPLICATION NO.	FILING DATE		FIRST NAMED INVENTOR	₹	ATTORNEY DOCKET NO.	CONFIRMATION NO.			
13/308,658	12/01/2011	•	Jeffrey A. Robl		BMS-2856	7781			
	, , ,,		s Of Dipeptidyl Peptidase	,					
APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUI					
nonprovisional	NO	\$1770	\$0	\$0 <b>-</b>	\$1770	05/13/2013			
EXAM	IINER	ART UNIT	CLASS-SUBCLASS	_					
POLANSK	Y, GREGG	1629	514-252190						
FR 1.363).  Change of corresp Address form PTO/Sl  "Fee Address" ind	ence address or indication condence address (or Char B/122) attached. lication (or "Fee Address" J2 or more recent) attache	nge of Correspondence	(1) the names of up to or agents OR, alternatic (2) the name of a sing registered attorney or 2 registered patent attorney or the control of	2. For printing on the patent front page, list  (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,  (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.					
PLEASE NOTE: Un recordation as set fort (A) NAME OF ASSIG	less an assignee is identi th in 37 CFR 3.11. Comp	fied below, no assignee letion of this form is NC	THE PATENT (print or ty data will appear on the p or a substitute for filing an (B) RESIDENCE: (CIT' Princeton, N.	patent. If an assign assignment.  Y and STATE OR C		document has been filed for			
lease check the appropr	riate assignee category or	categories (will not be p	printed on the patent): $\Box$	Individual XXXC	orporation or other private g	roup entity Government			
n. The following fee(s)  XXX ssue Fee  Publication Fee (N  Advance Order - #	No small entity discount p	ermitted)	A check is enclosed.  Payment by credit ca	rd. Form PTO-2038	ge the required fee(s), any	·			
	itus (from status indicated as SMALL ENTITY statu	l above)			LL ENTITY status. See 37				
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his collection of inform application. Confiden abmitting the complete	nation is required by 37 Cl tiality is governed by 35 d application form to the	FR 1.311. The informati U.S.C. 122 and 37 CFR USPTO. Time will vary	on is required to obtain or 1.14. This collection is es	retain a benefit by t timated to take 12 i vidual case. Any co	he public which is to file (a minutes to complete, includ mments on the amount of	nd by the USPTO to process) ing gathering, preparing, and time you require to complete			

submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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Electronic Patent Application Fee Transmittal						
Application Number:	13308658					
Filing Date:	01-Dec-2011					
Title of Invention:	Cyclopropyl-Fused Pyrrolidine-Based Inhibitors Of Dipeptidyl Peptidase IV And Method				otidyl Peptidase IV	
First Named Inventor/Applicant Name:	Jeffrey A. Robl					
Filer:	SAMUEL VALLA/Ann Trevisani					
Attorney Docket Number:	BM	S-2856				
Filed as Large Entity						
Utility under 35 USC 111(a) Filing Fees						
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)	
Basic Filing:						
Pages:						
Claims:						
Miscellaneous-Filing:						
Petition:						
Patent-Appeals-and-Interference:						
Post-Allowance-and-Post-Issuance:						
Utility Appl issue fee		1501	1	1770	1770	
Extension-of-Time:						

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
	Tot	al in USD	(\$)	1770

Electronic Acknowledgement Receipt					
EFS ID:	14971738				
Application Number:	13308658				
International Application Number:					
Confirmation Number:	7781				
Title of Invention:	Cyclopropyl-Fused Pyrrolidine-Based Inhibitors Of Dipeptidyl Peptidase IV And Method				
First Named Inventor/Applicant Name:	Jeffrey A. Robl				
Customer Number:	23377				
Filer:	SAMUEL VALLA/Ann Trevisani				
Filer Authorized By:	SAMUEL VALLA				
Attorney Docket Number:	BMS-2856				
Receipt Date:	15-FEB-2013				
Filing Date:	01-DEC-2011				
Time Stamp:	14:29:16				
Application Type:	Utility under 35 USC 111(a)				

#### **Payment information:**

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$1770
RAM confirmation Number	897
Deposit Account	233050
Authorized User	

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Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Issue Fee Payment (PTO-85B)	Issue_Fee_Transmittal.PDF	1027096	no	1
·	issue ree rayment (r 10 oss)	issue_i ee_italisiiikkaiii bi	48570b69ef33e9f3be16d22f5b113851e671 90a1		·
Warnings:					
Information:					
2	Fee Worksheet (SB06)	fee-info.pdf	30083	no	2
	ree worksheet (3500)	rec iiio.par	39951163a67f4d4a649a4c2438c239a98d3 eca4d	110	2
Warnings:					
Information:					
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#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.



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Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	ISSUE DATE	PATENT NO.	ATTORNEY DOCKET NO.	CONFIRMATION NO.
13/308,658	04/30/2013	RE44186	BMS-2856	7781

13/308,658 04/30/2013 RE44186

23377

7590

04/10/2013

WOODCOCK WASHBURN LLP CIRA CENTRE, 12TH FLOOR 2929 ARCH STREET PHILADELPHIA, PA 19104-2891

#### ISSUE NOTIFICATION

The projected patent number and issue date are specified above.

#### Determination of Patent Term Extension or Adjustment under 35 U.S.C. 154 (b)

A reissue patent is for "the unexpired part of the term of the original patent." See 35 U.S.C. 251. Accordingly, the above-identified reissue application is not eligible for Patent Term Extension or Adjustment under 35 U.S.C. 154(b).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Application Assistance Unit (AAU) of the Office of Data Management (ODM) at (571)-272-4200.

APPLICANT(s) (Please see PAIR WEB site http://pair.uspto.gov for additional applicants):

Jeffrey A. Robl, Newtown, PA; Richard B. Sulsky, West Trenton, NJ; David J. Augeri, Princeton, NJ; David R. Magnin, Hamilton, NJ; Lawrence G. Hamann, Cherry Hill, NJ; David A. Betebenner, Lawrenceville, NJ;

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DOCKET NO.: BMS-2856 PATENT

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Jeffrey A. Robl; Richard B. Sulsky; David

J. Augeri; David R. Magnin; Lawrence G.

Hamann; David A. Betebenner Confirmation No.: 7781

Application No.: 13/308,658 Filing Date: December 1, 2011

For: CYCLOPROPYL-FUSED PYRROLIDINE-BASED INHIBITORS OF

DIPEPTIDYL PEPTIDASE IV AND METHOD

Commissioner for Patents Attn: Certificate of Correction Branch P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

# REQUEST FOR CERTIFICATE OF CORRECTION OF PATENT FOR PTO MISTAKE PURSUANT TO 37 CFR § 1.322(a)

It is respectfully requested that a Certificate of Correction be issued for the above-identified patent. In accordance with 37 CFR § 1.322(a), the patent has errors in it that occurred through the fault of the Patent and Trademark Office as clearly disclosed by the records and files of the office.

Enclosed herewith please find a completed Certificate of Correction form.

Since the errors are not due to applicants' mistake, no correction fee is due. Please charge any fees for copies and any additional fees to our Deposit Account No. 23-3050.

#### DOCKET NO.: BMS-2856 PATENT

Date: July 3, 2013 /Stephanie A. Lodise/

Stephanie A. Lodise Registration No. 51,430

Woodcock Washburn LLP Cira Centre 2929 Arch Street, 12th Floor Philadelphia, PA 19104-2891 Telephone: (215) 568-3100 Facsimile: (215) 568-3439

CERTIFICATE OF CORRECTION

PATENT NO : RE44,186 E APPLICATION NO. : 13/308,658 ISSUE DATE : April 30, 2013

INVENTOR(S) : Jeffrey A. Robl; Richard B. Sulsky; David J. Augeri; David R. Magnin;

Lawrence G. Hamann; David A. Betebenner

It is certified that errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

#### Column 4,

Line 56, delete "alkylcyclo alkyl," and insert -- alkylcycloalkyl, --. Line 56, delete "hydroxytricyclo alkyl," and insert -- hydroxytricycloalkyl, --.

#### Column 17,

Line 48, delete "a-phosphono-sulfonates" and insert --  $\alpha$ -phosphono-sulfonates --.

#### Column 19,

Line 51, delete "lipoxygevase" and insert -- lipoxygenase --.

#### Column 28,

Lines 16-17, delete "butoxycarbonylisoleucine" and insert -- butoxycarbonyl-isoleucine --.

#### Column 33,

Lines 38-39, delete "1-[(3-dimethypamino)propyl]" and insert -- 1-[(3-dimethyl)amino)propyl] --.

CERTIFICATE OF CORRECTION

PATENT NO : RE44,186 E APPLICATION NO. : 13/308,658 ISSUE DATE : April 30, 2013

INVENTOR(S) : Jeffrey A. Robl; Richard B. Sulsky; David J. Augeri; David R. Magnin;

Lawrence G. Hamann; David A. Betebenner

#### Column 51,

Lines 1-30, delete "

Scheme 7 General Method E, Examples 45 47

$$\bigcup_{\text{BocHN}} \bigvee_{\text{O}} \bigvee_{\text{CN}} \xrightarrow{\text{a}}$$

$$\begin{array}{c|c} & & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & \\ & & & \\$$

a. OsO4, THF:H2O, 1:1; NaIO4; workup, then NaBH4, MeOH, RT. 56% b. TFA:CH2Cl2, 1:2, 0 degrees C. to RT.

,,

CERTIFICATE OF CORRECTION

PATENT NO : RE44,186 E APPLICATION NO. : 13/308,658 ISSUE DATE : April 30, 2013

INVENTOR(S) : Jeffrey A. Robl; Richard B. Sulsky; David J. Augeri; David R. Magnin;

Lawrence G. Hamann; David A. Betebenner

#### and insert --

#### Scheme 7

#### General Method E, Examples 45 47

a.OsO<sub>4</sub>, THF:H<sub>2</sub>O, 1:1; NaIO<sub>4</sub>; workup,then NaBH<sub>4</sub>, MeOH, RT. 56% b. TFA:CH<sub>2</sub>Cl<sub>2</sub>, 1:2, 0 degrees C to RT.

#### Column 51,

Line 54, delete "OsO4" and insert -- OsO4 --.

CERTIFICATE OF CORRECTION

PATENT NO : RE44,186 E APPLICATION NO. : 13/308,658 ISSUE DATE : April 30, 2013

INVENTOR(S) : Jeffrey A. Robl; Richard B. Sulsky; David J. Augeri; David R. Magnin;

Lawrence G. Hamann; David A. Betebenner

#### Column 55,

Lines 19-31, EXAMPLE 57, delete "

$$_{\mathrm{HO}}$$
  $_{\mathrm{H}_{2}\mathrm{N}}$   $_{\mathrm{O}}$   $_{\mathrm{CN}}$ 

Step 3

,,

and insert --

CERTIFICATE OF CORRECTION

PATENT NO : RE44,186 E APPLICATION NO. : 13/308,658 ISSUE DATE : April 30, 2013

INVENTOR(S) : Jeffrey A. Robl; Richard B. Sulsky; David J. Augeri; David R. Magnin;

Lawrence G. Hamann; David A. Betebenner

#### Column 63,

Lines 25-46, EXAMPLE 62, delete "

Step 1

..

CERTIFICATE OF CORRECTION

PATENT NO : RE44,186 E APPLICATION NO. : 13/308,658 ISSUE DATE : April 30, 2013

INVENTOR(S) : Jeffrey A. Robl; Richard B. Sulsky; David J. Augeri; David R. Magnin;

Lawrence G. Hamann; David A. Betebenner

#### and insert --

#### Column 64,

Line 31, delete "NaHSO<sub>3</sub>" and insert -- NaHSO<sub>3</sub> --.

CERTIFICATE OF CORRECTION

PATENT NO : RE44,186 E APPLICATION NO. : 13/308,658 ISSUE DATE : April 30, 2013

INVENTOR(S) : Jeffrey A. Robl; Richard B. Sulsky; David J. Augeri; David R. Magnin;

Lawrence G. Hamann; David A. Betebenner

Column 69,

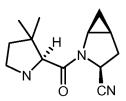
Lines 20-32, delete "

**EXAMPLE 67** 

Step 1

and insert --

**EXAMPLE 67** 



Step 1--.

Column 70,

Line 59, delete "19,8 mmol" and insert -- 19.8 mmol --.

Column 82,

Line 27, after "30 min" insert -- . --.

CERTIFICATE OF CORRECTION

PATENT NO : RE44,186 E APPLICATION NO. : 13/308,658 ISSUE DATE : April 30, 2013

INVENTOR(S) : Jeffrey A. Robl; Richard B. Sulsky; David J. Augeri; David R. Magnin;

Lawrence G. Hamann; David A. Betebenner

Column 87,

Line 7, Claim 1, delete "R4" and insert -- R<sup>4</sup> --.

Column 92,

Line 21, Claim 36, delete "any one of claim" and insert -- any one of claims --.

MAILING ADDRESS OF SENDER (Please do not use customer number below):

Woodcock Washburn LLP Cira Centre 2929 Arch Street, 12th Floor Philadelphia, PA 19104-2891

Electronic Acknowledgement Receipt				
EFS ID:	16226296			
Application Number:	13308658			
International Application Number:				
Confirmation Number:	7781			
Title of Invention:	Cyclopropyl-Fused Pyrrolidine-Based Inhibitors Of Dipeptidyl Peptidase IV And Method			
First Named Inventor/Applicant Name:	Jeffrey A. Robl			
Customer Number:	23377			
Filer:	Stephanie A. Barbosa/Laura Taylor			
Filer Authorized By:	Stephanie A. Barbosa			
Attorney Docket Number:	BMS-2856			
Receipt Date:	03-JUL-2013			
Filing Date:	01-DEC-2011			
Time Stamp:	10:47:07			
Application Type:	Utility under 35 USC 111(a)			

# **Payment information:**

Submitted with Payment	no
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### File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Miscellaneous Incoming Letter	BMS-2856Transmittal.PDF	262282	no	2
'	Miscellaneous meonling Eetter	BNS 2030 Hallstillean Bl	737646480d28b903831e03b6cac6fb353f3 ca960		2

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Information:

2	Request for Certificate of Correction	BMS-2856RegCertCorr.PDF	79227	no	2
2	Request for Certificate of Correction	'	213fd4d2d20f04bd0a7d68fb5ba2c2aa8db 7a560		2
Warnings:					
Information:					
3	Request for Certificate of Correction	BMS-2856CertCorr.PDF	137994	no	8
_	,		97e3cffefa5eb7df3ce58ae7eaee4e54e2adb 3ae		
Warnings:					
Information:					
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#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

Doc Code: TRAN.LET

Document Description: Transmittal Letter

PTO/SB/21 (07-09) Approved for use through 07/31/2012. OMB 0651-0031

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Under the Paperwork Reduction Act of 1995, no persons	are required to respond to a colle	ection of information unless it displays a valid OMB control number.
	Application Number	13/308,658
TRANSMITTAL	Filing Date	December 1, 2011
FORM	First Named Inventor	Jeffrey A. Robl

_		Art Unit	1629			
(to be used for all correspo	andence affer initial filing)	Examiner Name	Gregg Pol	ansky		
	10	Attorney Docket Number	BMS-2856			
Total Number of Pages in T	nis Submission					
ENCLOSURES (Check all that apply)						
Fee Transmittal For Fee Attache Amendment/Reply After Final Affidavits/de Extension of Time F Express Abandonm Information Disclose Certified Copy of Pr Document(s) Reply to Missing Pa Incomplete Applicat Reply to Mis	eclaration(s) Request ent Request ure Statement iority Rema	Drawing(s)  Licensing-related Papers  Petition  Petition to Convert to a  Provisional Application  Power of Attorney, Revocati  Change of Correspondence  Terminal Disclaimer  Request for Refund  CD, Number of CD(s)  Landscape Table on Corks	Address	Appear of Appear (Appear (Appe	Allowance Communication to TC al Communication to Board peals and Interferences al Communication to TC al Notice, Brief, Reply Brief) etary Information s Letter Enclosure(s) (please Identify ): Certificate of Correction (2 f Correction (8 pages)	
	SIGNATURE (	OF APPLICANT, ATTO	ORNEY, C	OR AGENT		
Firm Name Woodcoo	ck Washburn LLP					
Signature /Stephan	ie A. Lodise/					
Printed name Stephani	e A. Lodise					
Date July 3, 20	013		Reg. No.	Reg. No. 51430		
CERTIFICATE OF TRANSMISSION/MAILING						
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:  Signature						
Typed or printed name				Date		

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

#### Privacy Act Statement

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
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- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

	SPE RESPONSE F	Paper No.:
DATE	:July 18. 2013	
TO SPE OF	: ART UNIT1629	
SUBJECT	: Request for Certificate of Corre	ection for Appl. No.: <u>13308658</u> Patent No.: <u>RE44186</u>
		COCIN mailroom date: _July 3, 2013
Please resp	- ond to this request for a ce	ertificate of correction within 7 days.
FOR IFW FI	·	
the IFW app	•	corrections as shown in the <b>COCIN</b> document(s) in atter should be introduced nor should the scope or
	plete the response (see beneficed by the code <b>COCX</b> .	elow) and forward the completed response to scanning
FOR PAPER	THEC.	
FUR PAPE	R FILES:	
Please revie correction.   Certi Ranc	ew the requested changes/	corrections as shown in the attached certificate of (see below) and forward it with the file to:  Inch (CofC)
Please revie correction.   Certi Ranc	ew the requested changes/ Please complete this form ficates of Correction Bra dolph Square – 9D10-A Location 7580	(see below) and forward it with the file to:  Inch (CofC)  Valerie Jackson
Please revie correction.   Certi Ranc Palm	ew the requested changes/ Please complete this form ficates of Correction Bra dolph Square – 9D10-A Location 7580	(see below) and forward it with the file to:  Inch (CofC)  Valerie Jackson  Certificates of Correction Branch
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Please revies correction.  Certing Rance Palm  In Particular  Thank You For The reques Note your decision	ew the requested changes/Please complete this form ficates of Correction Bra flolph Square – 9D10-A Location 7580  note:  or Your Assistance  t for issuing the above-ic	(see below) and forward it with the file to:  Inch (CofC)  Valerie Jackson  Certificates of Correction Branch 703-756-1814
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PTOL-306 (REV. 7/03)

U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office

	SPE RESPONSE F		
DATE	:July 18. 2013	Paper No.:	
TO SPE OF	: ART UNIT1629	,	
SUBJECT	: Request for Certificate of Corre	ection for Appl. No.:	13308658 Patent No.: RE44186
			COCIN mailroom date: _July 3, 2013
Please resp	<ul> <li>oond to this request for a ce</li> </ul>	ertificate of correction	on within 7 days.
FOR IFW F	ILES:		
the IFW app	ew the requested changes/ plication image. No new m the claims be changed.	corrections as show atter should be intro	vn in the COCIN document(s) in oduced nor should the scope or
	iplete the response (see be ment code <b>COCX</b> .	elow) and forward th	ne completed response to scannin
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/Jeffrey S. Lundgren/ 1629
U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office

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#### **CERTIFICATE OF CORRECTION**

PATENT NO. : RE44,186 E Page 1 of 4

APPLICATION NO. : 13/308658

DATED : April 30, 2013

INVENTOR(S) : Jeffrey A. Robl et al.

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

#### In the Specifications:

#### Column 4,

Line 56, delete "alkylcyclo alkyl," and insert -- alkylcycloalkyl, --.

Line 56, delete "hydroxytricyclo alkyl," and insert

-- hydroxytricycloalkyl, --.

#### Column 17,

Line 48, delete "a-phosphono-sulfonates" and insert --  $\alpha$ -phosphono-sulfonates --.

#### Column 19,

Line 51, delete "lipoxygevase" and insert -- lipoxygenase --.

#### Column 28,

Lines 16-17, delete "butoxycarbonylisoleucine" and insert

-- butoxycarbonyl-isoleucine --.

#### Column 33,

Lines 38-39, delete "1-[(3-dimethypamino)propyl]" and insert

-- 1-[(3-dimethyl)amino)propyl] --.

Signed and Sealed this Eighth Day of October, 2013

Teresa Stanek Rea

Deputy Director of the United States Patent and Trademark Office

# **CERTIFICATE OF CORRECTION (continued)** U.S. Pat. No. RE44,186 E

In the Specifications:

#### Column 51,

Scheme 7 General Method E, Examples 45 47

a. OsO4, THF:H2O, 1:1; NaIO4; workup, then NaBH4, MeOH, RT. 56% b. TFA:CH2Cl2, 1:2, 0 degrees C. to RT.

Lines 1-30, delete "

and insert

#### --Scheme 7

#### General Method E, Examples 45 47

a.OsO4, THF:H2O, 1:1; NalO4; workup,then NaBH4, MeOH, RT. 56% b. TFA:CH2Cl2, 1:2, 0 degrees C to RT.

#### Column 51,

Line 54, delete "OsO4" and insert -- OsO<sub>4</sub> --.

In the Specifications:

### Column 55,

Lines 19-31, EXAMPLE 57, delete "

$$NH_2$$
  $NH_2$   $NH_2$ 

insert --

insert --

### Column 63,

Lines 25-46, EXAMPLE 62, delete "

### CERTIFICATE OF CORRECTION (continued) U.S. Pat. No. RE44,186 E

In the Specifications:

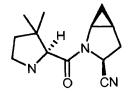
### Column 64,

Line 31, delete "NaHSO3" and insert -- NaHSO3 ---.

### Column 69,

#### **EXAMPLE 67**

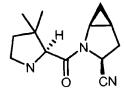
Step 1



Lines 20-32, delete "

" and

### **EXAMPLE 67**



Step 1

insert --

### Column 70,

Line 59, delete "19,8 mmol" and insert -- 19.8 mmol --.

### Column 82,

Line 27, after "30 min" insert -- . --.

In the Claims:

### Column 87,

Line 7, Claim 1, delete "R4" and insert -- R<sup>4</sup> --.

### Column 92,

Line 21, Claim 36, delete "any one of claim" and insert -- any one of claims --.

AO 120 (Rev. 08/10) Mail Stop 8

# REPORT ON THE

Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450		FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK		
filed in the U.S. Distr	we with 35 U.S.C. § 290 and rict Court United States § Patents. (  the patent	District Court f		
DOCKET NO.	DATE FILED		STRICT COURT United States District Court for the District of Delaware	
			United States District Court for the District of Delaware  DEFENDANT	
PLAINTIFF  ASTRAZENECA AB			WOCKHARDT BIO AG and WOCKHARDT USA LLC	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK	
1 RE44,186	April 30, 2013		AstraZeneca AB	
2 7,951,400	May 31, 2011		AstraZeneca AB	
3				
4				
5				
DATE INCLUDED	INCLUDED BY	Amendment	patent(s)/ trademark(s) have been included:  Answer Cross Bill Other Pleading	
PATENT OR TRADEMARK NO.	OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK	
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In the abov	ve—entitled case, the follow	ring decision h	as been rendered or judgement issued:	
CLERK		(BY) DEPUTY	CLERK DATE	

AQ 120 (	Rev. 08/10)		*****		-	
TO:	Mail Stop 8 Director of the U.S. Patent and Tradema Office P.O. Box 1450 Alexandria, VA 22313–1450			REPORT ON TH FILING OR DETERMINAT ACTION REGARDING A F TRADEMARK	TION OF AN PATENT OR	
În	Compliance wi fil	ed in the U.S. District Co	ourt for the	§ 1116 you are hereby advised that a courte <b>District of New Jersey</b> on the following the patent action involves 35 U.S.C. § 292	r;	
DOCKET		DATE FILED		U.S. DISTRICT COURT		
3:14-cv-03552-MLC-DEA 6/3/2014 PLAINTIFF ASTRAZENECA AB			TRENTON, NJ  DEFENDANT SUN PHARMA GLOBAL FZE			
	ΓENT OR EMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRA	DEMARK	
1 US RE44,186 E April 30, 2013			Bristol-Myers Squibb Company			
2 US 7,951,400 B2 May 31, 2011			Bristol-Myers Squibb Company			
3 US 8,628,799 B2 January 14, 2014			Bristol-Myers Squibb Company			
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DATE IN	In the	e above—entitled case, th		g patent(s)/ trademark(s) have been include		
PAT	ΓENT OR	DATE OF PATENT		nent Answer Cross Bill HOLDER OF PATENT OR TRA		
TRADE	EMARK NO.	OR TRADEMARK		HOLDER OF PATENT OR TRA	DEMARK	
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DECISIO	In the a		ollowing d	ecision has been rendered or judgement is	sued:	
CLERK Willi	iam T. Walsh	, , , , , , , , , , , , , , , , , , , ,		PUTY CLERK Irlene Kalbach	DATE 6/3/2014	

Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy

TO:

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### REPORT ON THE FILING OR DETERMINATION OF AN

P.O. Box 1450 Alexandria, VA 22313-1450			ACTION REGARDING TRADEMA		
filed in the U.S. Dis		Dis	1116 you are hereby advised that a court actrict of Delaware s 35 U.S.C. § 292.):	on the following	
DOCKET NO.	DATE FILED 6/2/2014	U.S. DI	STRICT COURT  District of Delaware	2	
PLAINTIFF			DEFENDANT		
ASTRAZENECA AB			SUN PHARMA GLOBAL FZE, SU INDUSTRIES LTD. and CARACO LABORATORIES LTD.		
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRA	ADEMARK	
I RE44,186	4/30/2013	Astra	aZeneca AB		
2 7,951,400	5/31/2011	Astra	aZeneca AB		
3 8,628,799	1/14/2014	Astra	AstraZeneca AB		
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	In the above—entitled case	e the following	patent(s)/ trademark(s) have been included:		
DATE INCLUDED	INCLUDED BY	Amendment	☐ Answer ☐ Cross Bill	☐ Other Pleading	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TR	ADEMARK	
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In the abo	ve—entitled case, the follow	wing decision ha	as been rendered or judgement issued:		
DECISION/JUDGEMENT			3 0		
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CLERK		(BY) DEPUTY	CLERK	DATE	

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## Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

# REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

P.O. Box 1450 Alexandria, VA 22313-1450			TRADEMARK		
filed in the U.S. Dist	trict Court	Dis	trict of Delaware	d that a court action has been on the following	
☐ Trademarks or 🕟	Patents. (  the patent	t action involve	s 35 U.S.C. § 292.):		
DOCKET NO.	DATE FILED 6/2/2014	U.S. DI	STRICT COURT Distric	t of Delaware	
PLAINTIFF			DEFENDANT		
ASTRAZENECA AB			AMNEAL PHARMAC	EUTICALS LLC	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	1	HOLDER OF PA	ATENT OR TRADEMARK	
1 RE44,186	4/30/2013	Astr	aZeneca AB		
2 7,951,400	5/31/2011	Astr	aZeneca AB		
3 8,628,799	1/14/2014	Astra	aZeneca AB		
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	In the above—entitled case	e, the following	patent(s)/ trademark(s) have	been included:	
DATE INCLUDED	INCLUDED BY				
PATENT OR	DATE OF PATENT	Amendment		ross Bill Other Pleading	$\dashv$
TRADEMARK NO.	OR TRADEMARK		HOLDER OF PA	ATENT OR TRADEMARK	
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In the above	ve—entitled case, the follow	ving decision h	s been rendered or judgemer	nt issued:	
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### REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

Alexandria, VA 22313-1450			ACTI	TRADEMARK	
filed in the U.S. Dis		Dis	trict of Delaware		s been the following
DOCKET NO.	DATE FILED 6/2/2014		STRICT COURT	District of Delaware	
PLAINTIFF	0/2/2014		DEFENDANT	District of Delaware	
ASTRAZENECA AB			MYLAN PHAF	RMACEUTICALS, INC.	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDE	R OF PATENT OR TRADEM	ARK
1 RE44,186	4/30/2013	Astr	aZeneca AB		
2 7,951,400	5/31/2011	Astr	aZeneca AB		
3 8,628,799	1/14/2014	Astr	aZeneca AB		
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	In the above—entitled case,	the following	; patent(s)/ trademar	k(s) have been included:	
DATE INCLUDED	INCLUDED BY	mendment	☐ Answer	☐ Cross Bill ☐ Oti	her Pleading
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDE	ER OF PATENT OR TRADEM	
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filed in the U.S. District Court Norther				following		
	Patents. ( the patent acti			FILE		
OOCKET NO.	DATE FILED 6/3/2014	U.S. D	ISTRICT COURT  Northern District of West Virginia	FILE		
LAINTIFF ASTRAZENECA AB			DEFENDANT MYLAN PHARMACEUTICALS, INC.	LS. DISTRICT COU WHEELING, WV		
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMAR	K		
RE44,186	4/30/2013	Astra	aZeneca AB			
7,951,400	5/31/2011	AstraZeneca AB				
8,628,799	1/14/2014	AstraZeneca AB				
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DATE INCLUDED PATENT OR	In the above—entitled case, the INCLUDED BY  Ame DATE OF PATENT		g patent(s)/ trademark(s) have been included:  Answer Cross Bill Other  HOLDER OF PATENT OR TRADEMAR	Pleading		
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# Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

# REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

filed in the U.S. Di	strict Court for the D	15 U.S.C. § 1116 you are hereby advised that a court action has been District of Delaware on the following
☐ Trademarks or	🛚 Patents. ( 🗌 the patent act	
OOCKET NO.	DATE FILED 8/15/2014	U.S. DISTRICT COURT for the District of Delaware
PLAINTIFF		DEFENDANT
ASTRAZENECA AB		WATSON LABORATORIES, INC., ACTAVIS, INC. and ACTAVIS LLC
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
1 RE44,186	4/30/2013	AstraZeneca AB
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DATE INCLUDED	INCLUDED BY	he following patent(s)/ trademark(s) have been included:  mendment
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
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DECISION/JUDGEMENT		
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то:	Mail Stop 8 Director of the U.S. Patent and Tradem Office P.O. Box 1450 Alexandria, VA 22313–1450			REPORT ON FILING OR DETERMI ACTION REGARDING TRADEMA	NATION OF AN A PATENT OR
In (	THE	ed in the U.S. District Co	ourt for the	§ 1116 you are hereby advised that a District of New Jersey on the followhe patent action involves 35 U.S.C. §	wing.
DOCKET 3:14-cv-		DATE FILED DEA 6/3/2014		U.S. DISTRICT COURT TRENTON, NJ	
3:14-cv-03552-MLC-DEA  6/3/2014 PLAINTIFF ASTRAZENECA AB			DEFENDANT SUN PHARMA GLOBAL FZE		
	TENT OR EMARK NO.	DATE OF PATENT OR TRADEMARK	•	HOLDER OF PATENT OR T	FRADEMARK
1 US RE4	4,186 E	April 30, 2013		Bristol-Myers Squibb (	Company
2 US 7,95	1,400 B2	May 31, 2011		Bristol-Myers Squibb Company	
3 US 8,62	8,799 B2	January 14, 2014		Bristol-Myers Squibb Company	
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DATE IN		above—entitled case, the		patent(s)/ trademark(s) have been in	
PAT	ENT OR	DATE OF PATENT		ent Answer Cross Bill	
	MARK NO.	OR TRADEMARK		HOLDER OF PATENT OR T	RADEMARK
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DECISION	N/JUDGEMEN		mg wing us	otoron has been rendered or judgeme.	iit issued.
CLERK Willia	am T. Walsh			JTY CLERK lene Kalbach	DATE 6/3/2014

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Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450			FILING OR DETERM ACTION REGARDIN TRADEM	IINATION OF AN G A PATENT OR
filed in the U.S. Dist	the with 35 U.S.C. § 290 are crict Court ( the pate	Distr	1116 you are hereby advised that a cour rict of New Jersey s 35 U.S.C. § 292.):	t action has been on the following
DOCKET NO.	DATE FILED	U.S. DI	STRICT COURT	
14-cv-5513 (KSH) PLAINTIFF	9/3/2014		District of New Je	rsey
LifePort Sciences LLC			C.R. Bard Inc. Bard Peripheral Vascular Inc.	
PATENT OR TRADEMARK NO.	DATE OF PATEN OR TRADEMARI		HOLDER OF PATENT OR T	RADEMARK
1 6,673,103	1/6/2004	LifeP	ort Sciences LLC	
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DATE INCLUDED	INCLUDED BY		patent(s)/ trademark(s) have been include	
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In the above- DECISION/JUDGEMENT	entitled case, the follow	ring decision has	been rendered or judgement issued:	
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CLERK WILLIAM T. WALSH		BY) DEPUTY ( LEROY DI		DATE 9/3/2014

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TO: Proceedings of the U.S. Potential

# Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

### REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

P.O. Box 1450 Alexandria, VA 22313-1450			ACTION REGARDING A PATENT OR TRADEMARK			
filed in the U.S. Distr		strict of De		ction has been on the following		
DOCKET NO.	DATE FILED 10/31/2014		STRICT COURT for the District of Delay	Vara.		
	10/31/2014		DEFENDANT	vaic		
PLAINTIFF  ASTRAZENECA AB			ACTAVIS LABORATORIES FL, INC. f/k/a V INC., WATSON LABORATORIES, INC., AC	VATSON LABORATORIES FL, TAVIS, INC., and ACTAVIS LLC,		
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TR	RADEMARK		
1 RE44,186	4/30/2013		AstraZeneca AB			
2 8,628,799	1/14/2014		AstraZeneca AB			
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#### Mail Stop 8 TO: Director of the U.S. Patent and Trademark Office

P.O. Box 1450

### REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR

Alexandria, VA 22313-1450			TRADEMARK		
-				ed that a court ac	on the following
DOCKET NO.	DATE FILED 12/9/2014	U.S. DI	STRICT COURT for the District o	of Delaware	
PLAINTIFF ASTRAZENECA AB			DEFENDANT AUROBINDO PHAR AUROBINDO PHAR	RMA LTD., and	C
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF P	ATENT OR TRA	ADEMARK
1 RE 44,186	4/30/2013		AstraZeneca AB		
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