Electronic Patent A	pplication Fee	Transm	ittal	
Application Number:				
Filing Date:				
	SYSTEM AND METHOD SPACE) FOR ENABLIN	IG USERS TO INTER/	ACT IN A VIRTUAL
First Named Inventor/Applicant Name:	Dave Leahy			
Filer:	Anatoly Weiser.			
Attorney Docket Number:	AP019CON3			
Filed as Small Entity				
Utility under 35 USC 111(a) Filing Fees				
Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Basic Filing:				
Utility filing Fee (Electronic filing)	4011	1	82	82
Utility Search Fee	2111	1	270	270
Utility Examination Fee	2311	1	110	110
Pages:				
Claims:				
Miscellaneous-Filing:				
Petition:				
Petition fee- 37 CFR 1.17(g) (Group II)	1463	1	200	200

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Patent-Appeals-and-Interference:				
Post-Allowance-and-Post-Issuance:				
Extension-of-Time:				
Miscellaneous:				
	Tot	al in USD	(\$)	662

Electronic Ack	knowledgement Receipt
EFS ID:	4994356
Application Number:	12406968
International Application Number:	
Confirmation Number:	6412
Title of Invention:	SYSTEM AND METHOD FOR ENABLING USERS TO INTERACT IN A VIRTUAL SPACE
First Named Inventor/Applicant Name:	Dave Leahy
Customer Number:	35070
Filer:	Anatoly Weiser.
Filer Authorized By:	
Attorney Docket Number:	AP019CON3
Receipt Date:	19-MAR-2009
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Authorized User	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

Charge any Additional Fees required under 37 C.F.R. Section 1.16 (National application filing, search, and examination fees)

File Listing	g:				
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /₊zip	Pages (if appl.)
1	Proliminary Amondment	AmendmentPreliminary-Image.	761720	no	9
'	Preliminary Amendment	pdf	0424bfc1e857672985396f31bd032713eb4 458d2	no	9
Warnings:					
Information:					
2	Petition for review by the Office of	Petition Re Absent Inventor Plus P etition From Grandparent-	2013749	no	5
	Petitions.	lmage.pdf	95f886115779dbe9f7734ebc95620008298 68add		
Narnings:					
nformation:					
3	Assignee showing of ownership per 37	Rule3dot73bStatement-Image.	356678	no	1
	CFR 3.73(b).	pdf	097c812e8abe8905655b572e74dc7654763 def48		•
Warnings:					
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4	Oath or Declaration filed	Declaration From Parent-Image.	759851	no	4
4	Oath of Declaration filed	pdf	a17c033e01002f886be1f4cf606b98a31080 5112	110	4
Warnings:					
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5		Application-Image.pdf	11306775	vas	41
3		Application-image.pdf	3740e051516c4138e8b229220b8bd7ac8fb 48427	yes	41
	Multip	art Description/PDF files in .	zip description		
	Document Des	scription	Start	Er	nd
	Specificati	ion	1	2	8
	Appendix to the Sp	oecification	29	3	3
	Claims		34	3	5
	Abstrac	t	36	3	6
	Drawings-only black and v	white line drawings	37	4	1
Warnings:			<u>. </u>		
nformation:					
			36883		
6	Fee Worksheet (PTO-06)	fee-info.pdf	e712adf8accc743af1629f31d806f1a6214c6 516	no	2

Information:	
Total Files Size (in bytes):	15235656

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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Dave Leahy et al.

Serial No.: To Be Assigned

Filed:

January 13, 2009

For:

SYSTEM AND METHOD FOR ENABLING

USERS TO INTERACT IN A VIRTUAL

SPACE

Group Art Unit:

To Be Assigned

Examiner:

To Be Assigned

Attorney File No.: AP 019CON3

Office Action Mailed On: N/A

Confirmation No.:

Mail Stop Petition Commissioner for Patents P.O. Box 1450 **Alexandria, VA 22313-1450**

PETITION BY ASSIGNEE FOR FILING PATENT APPLICATION UNDER 37 C.F.R. § 1.47(a)

The above-referenced application is filed herewith with signed § 1.63 declarations (from the original utility parent application) of three of the four joint inventors. The remaining joint inventor, S. [Mitra] Ardon, refuses to sign a § 1.63 declaration, notwithstanding the fact that he is under an obligation to do so at the request of the Assignee of the signing inventors' interests, Worlds Inc. ("Worlds"). Therefore, Worlds petitions that it be allowed to proceed with prosecution of this application without Mr. Ardon's signature, as allowed under 37 CFR §1.47. The reasons are fully set

ELECTRONIC FILING

Transmitted electronically to the Patent and Trademark Office.

Depositor's Name: Anatoly S. Weiser

Date: January 13, 2009

Signature: /Anatoly S. Weiser/

AP 019CON3

Patent

forth in the analogous petition filed in August of 2000 in the parent application serial number

08/747,420; and in the analogous petition of November 2, 2006, in the parent application serial

number 11/591,878. Both petitions in the parent applications were granted or entered, and Assignee

requests Official Notice to be taken of those petitions and the decisions thereon.

Payment of the petition fee under 37 C.F.R. 1.17(g) is made together with the filing of the

present petition, and any shortfall is also authorized to be charged to Deposit Account Number 50-

3196.

Respectfully submitted,

Dated: March 18, 2009

/Anatoly S. Weiser/

Anatoly S. Weiser, Reg. No. 43,229

3525 Del Mar Heights Road, #295

San Diego, CA 92130

(858) 720-9431

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Commissioner for Patent United States Patent and Trademark Office Washington, D.C. 2023

Paper No. 29

TOWNSEND & TOWNSEND & CREW 8TH FLOOR TWO EMBARCADERO CENTER SAN FRANCISCO, CA 94111-3834

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SEP 1 9 2000

SPECIAL PROGRAMS OFFICE DAC FOR PATENTS

In re Application of Leahy, Challinger, Adler, and Ardon Application No. 08/747,420 Filed: 12 November, 1996 Attorney Docket No. 17376-5

: DECISION ACCORDING STATUS : UNDER 37 CFR 1.47(a)

This is in response to the renewed petition under 37 CFR 1.47(a)¹ filed on 7 and supplemented by facsimile on 18 August, 2000.

The petition is **GRANTED**.

Petitioner has shown that the non-signing inventor has refused to join in the filing of the above-identified application after having been presented with the application papers. Specifically, the declaration of facts of Philip R. Albert establishes that the non-signing inventor was presented with a copy of the application papers but refused to execute the declaration.

The above-identified application and papers have been reviewed and found in compliance with 37 CFR 1.47(a). This application is hereby accorded Rule 1.47(a) status.

As provided in Rule 1.47(a), this Office will forward notice of this application's filing to the non-signing inventor at the address given in the Declaration. Notice of the filing of this application will also be published in the Official Gazette.

A grantable petition under 37 CFR 1.47(a) requires:

(1) proof that the non-signing inventor cannot be reached or refuses to sign the oath or declaration after having been presented with the application papers (specification, claims and drawings);

(2) an acceptable oath or declaration in compliance with 35 U.S.C.

^{§§ 115} and 116; (3) the petition fee; and

⁽⁴⁾ a statement of the last known address of the non-signing inventor.

After this decision is mailed, the application will be forwarded to the Office of Initial Patent Examination for correction of the bibliographic data sheet and issuance of a corrected Filing Receipt as requested in the facsimile supplement to the petition filed on 18 August, 2000. Thereafter, the file will be forwarded to Publishing Division for processing into a patent.

It is noted that petitioner has withdrawn the petition to correct the non-signing inventor's name.

Telephone inquiries related to this decision should be directed to Petitions Attorney Douglas I. Wood at 703-308-6918.

Beverly M. Flanagan

Supervisory Petitions Examiner

Office of Petitions

Office of the Deputy Commissioner

for Patent Examination Policy



United States Patent and Trademark Office

Commissioner for Patents United States Patent and Trademark Office Washington, D.C. 20231 www.uspto.gov

Paper No. 30

COPY MAILED

SEP 1 9 2000

SPECIAL PHUGHAMS UFFICE DAC FOR PATENTS

S. Mitra Ardon 1056 Noe San Fransisco, CA 94114

In re Application of Leahy et al. Application No. 08/747,420 Filed: 12 November, 1996

For: Scalable Virtual Word Chat Client-Server System

Dear Mr. Ardon:

You are named as a joint inventor in the above identified United States patent application, filed under the provisions of 35 U.S.C. 116 (United States Code), and 37 CFR 1.47(a), Rules of Practice in Patent Cases. Should a patent be granted on the application you will be designated therein as a joint inventor.

As a named inventor you are entitled to inspect any paper in the file wrapper of the application, order copies of all or any part thereof (at a prepaid cost per 37 CFR 1.19) or make your position of record in the application. Alternatively, you may arrange to do any of the preceding through a registered patent attorney or agent presenting written authorization from you. If you care to join the application, counsel of record (see below) would presumably assist you. Joining in the application would entail the filing of an appropriate oath or declaration by you pursuant to 37 CFR 1.63.

Telephone inquiries regarding this communication should be directed to Petitions Attorney Douglas I. Wood at 703/308-6918. Requests for information regarding your application should be directed to the File Information Unit at 703/308-2733. Information regarding how to pay for and order a copy of the application, or a specific paper in the application, should be directed to Certification Division at 703/308-9726 or 1-800-972-6382 (outside the Washington D.C. area).

Beverly M. Flanagan

Supervisory Petitions Examiner

Office of Petitions

Office of the Deputy Commissioner for Patent Examination Policy

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PTO/SB/86 (09-06)
Approved for use through 03/31/2007. OMB 0651-0031
U.S. Patent and Tradomark Office U.S. DEPARTMENT OF COMMERCE
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STATE	MENT UNDER 37 CFR 3.73(b)
Applicant/Patent/Owner: <u>Léahy et al</u>	
Application No./Patent No.: TBD	Filed/Issue Date: November 2, 2006
Entitled: Scalable Vinual World Char Client-Server Syst	item
(Name of Assignee)	(Type of Assignee, e.g., corporation, paraership, university, government agency, etc.)
states that it is: 1. It is the assignee of the entire right, title, and inte	
2. an essignee of less than the entire right, title (The extent (by percentage) of its ownership	and interest (a) interest (a) (b) interest (a) (b) (c) (c) (c) (c) (c) (c) (c) (c) (c) (c
in the patent application/patent identified above by	virtue of elther:
In the United States Patent and Trademark O thereof is attached. OR	of tent application/patent identified above. The assignment was recorded of the at Reel 008385 Frame 0125 or for which a copy 009385 O 1.61 O 0 45 of the current assignee as follows:
1. From:	To: nited States Patent and Trademark Office at
The document was recorded in the Ur Reel, Frame	nited States Patent and Trademark Office at
2. From:	To:
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3. From:	То:
The document was recorded in the Ur Reel Frame	nited States Patent and Trademark Office at, or for which a copy thereof is attached.
Additional documents in the chain of title a	are listed on a supplemental sheet.
As required by 37 CFR 3.73(b)(1)(i), the docur assignee was, or concurrently is being, submitted for	mentary evidence of the chain of title from the original owner to the for recordation pursuant to 37 CFR 3.11.
[NOTE: A separate copy (i.e., a true copy of the Division in accordance with 37 CFR Part 3 302.08]	se original assignment document(s)) must be submitted to Assignment 3, to record the assignment in the records of the USPTO. See MPEP
The undersigned (whose title is supplied below) is a	1/4/
Signature	November 2, 2006
Thom Kiddin	(617):725-8900
Printed or Typed Nam	
CËO	. •
Title	

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 33-U.S.C. 122 and 37 CFR 1.11 and 1.14: This collection is estimated to lake 12 minutes to complete, including gathering, preparing, and submitting the complete application form to the USPTO. Time will vary depending upon the individual case; Any comments on the amount of time you require to complete this form ender suggestions for reducing this burden, about to sent to the Chief information Officer. U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

BEST AVAILABLE COPY

DECLARATION

	An abalase	named	inventor	I declare the
_	ACSICPION	namen	mvenuu.	I UCCIAIC UI

ly residence, post office address and citizenship are as stated below next to my name; I believe I am the original, first and sole inventor fonly one name is listed below) or an original, first and joint inventor (if plural inventors are named below) of the subject matter which claimed and for which a patent is sought on the invention entitled: SCALABLE VIRTUAL WORLD CHAT CLIENT-SERVER the specification of which is attached hereto or was filed on as Application No and was amended
n (if applicable).

I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56. I claim foreign priority benefits under Title 35, United States Code, Section 119 of any foreign applications(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

Prior Foreign A	Application(s)
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Country	Application No.	Date of Filing	Priority Claimed Under 35 USC 119
			Yes No
			Yes No
1	l		

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below:

Filing Date
June 24, 1996 11/13/1995
-

I claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, section 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application No.	Date of Filing		Status	
		Patented	Pending	Abandoned
		Patented	Pending	Abandoned .

Full Name of Inventor 1	Last Name Leahy	First Name Dave	Middle Name or Initial		
Residence &	City	State/Foreign Country	Country of Citizens USA	Country of Citizenship	
Citizenship	Oakland	CA		USA	
Post Office	Post Office Address	City	State/Country	Zip Code	
Address	6056 Romany Road	Oakland	CA	94618	
Full Name	Last Name	First Name	Middle Name or Initial		
of Inventor 2	Challinger	Judith			
Residence & Citizenship	City	State/Foreign Country	Country of Citizenship		
	Santa Cruz	CA	USA		
Post Office	Post Office Address	City	State/Country CA	Zip Code	
Address	244 Northrop Place	Santa Cruz		95060	
Full Name of Inventor 3	Last Name	First Name	Middle Name or Initial		
	Adler	B.	Thomas		
Residence & Citizenship	City San Francisco	State/Foreign Country CA	Country of Citizen USA	ship	
Post Office	Post Office Address	City	State/Country CA	Zip Code	
Address	510 Third Street, Suite 530	San Francisco		94107	
Full Name	Last Name	First Name	Middle Name or Initial [Mitra]		
of Inventor 4	Ardon	S.			
Residence and Citizenship	City San Francisco	State/Foreign Country CA	Country of Citizen	ship	
Post Office Address	e Post Office Address City State/Country CA		Zip Code 94114		

I further declare that all statements made nerein of thy own knowledge are true and that all statements and the like so made are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, ooth, under Section 1001 of Title 18 of the Ur 1 States Code, and that such willful false statements may jeopardize the validity of application or any patent issuing thereon.

Signature of Inventor 1	Signature of Inventor 2	Signature of Inventor 3
	Judith Challinger	
Dave Leahy	Judith Challinger	B. Thomas Adler
Date	Date 11/8/96	Date
Signature of Inventor 4		
S. [Mitra] Ardon		
Date	Date	Date

(Page 2 of 2)

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are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, oth, under Section 1001 of Title 18 of the Un. 1 States Code, and that such willful false statements may jeopardize the validity o application or any patent issuing thereon.

Signature of Inventor 1	Signature of Inventor 2	Signature of Inventor 3
Dave Leahy	Judith Challinger	B. Thomas Adler
Date	Date	Date 29-0ct-96
Signature of Inventor 4		
·		
S. [Mitra] Ardon		
Date	Date	Date

(Page 2 of 2)

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are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, oth, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of application or any patent issuing thereon.

Signature of Inventor 1	Signature of Inventor 2	Signature of Inventor 3
Dave Leahy Devil 2st	Judith Challinger	B. Thomas Adler
Date 11/11/96	Date	Date
Signature of Inventor 4		
		•
S. [Mitra] Ardon		
Date	Date	Date

(Page 2 of 2)

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PATENT

Attorney Docket No.

AP019CON3

SCALABLE VIRTUAL WORLD CHAT CLIENT-SERVER SYSTEM

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BACKGROUND OF THE INVENTION

The present invention relates to the field of packet communications. More specifically, in one embodiment the invention provides an efficient communications network for client-server networks with large numbers of clients.

A client-server network is a network where one or more servers are coupled to one or more clients over a communications channel. Typically, each server and each client is assigned an address so that each can determine which network messages are directed to it. While such a system may have only one server, it typically has many clients. A server object is one which waits for a request from a client object and then performs some service in response to the client request. A client is an object that makes the request. The designation of a particular object (computer hardware and/or software process) as a "server" object or a "client" object is not fixed. Thus, a given object can be a server for some services and a client of other services.

A typical computer network has one or more file and print servers with a number of clients, where the clients are the desktop computers or workstations of the computer users, all coupled to a high-speed network cable. Client-server communications in such a network are easily handled for several reasons. When clients are not all communicating with the server at once the server need not be designed to handle all the clients at one time. Another reason is that the network traffic is much less than the network capacity furthermore, the clients in a typical computer network need not necessarily be communicating in real-time with the server. However, where many client machines or processes are communicating with each other in real-time through the server, several problems arise.

For example, where a client-server system is used for real-time exchange of information, such as a distributed virtual reality network where users at client machines visually and aurally interact with other users at other client machines, communication is much more difficult, especially where the information is high-bandwidth data such as audio streams, graphic images and image streams. One application of such a client-server system is for game playing, where the positions and actions of each user need to be communicated between all the players to inform each client of the state changes (position, actions, etc.) which occurred at the other clients. The server might maintain global state information and serve as a data server for the clients as they request visual, program and other data as the game progresses.

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Some game systems use a peer-to-peer architecture. In a peer-to-peer architecture, a copy of the data which is common to all clients is kept by the client and information which needs to pass between clients is broadcast over the This limits the number of clients which can be network. connected to the network, because the number of messages passing between clients is on the order of the square of the number of clients. With true broadcasting, one message is sent and all clients listen for it, but not all network topologies can handle broadcasts. Where less than all the clients are participating in a game, for example, messages cannot be broadcast because there are clients which should not be receiving the broadcast message. Instead, the broadcast between the players is handled by generating one message to each player client.

This architecture is further limited where the network is not a dedicated network, but is an open network, such as the Internet. As used herein, the term "Internet" refers to the global inter-network of networks which communicates primarily using packets sent according to TCP/IP (Transport Control Protocol/Internet Protocol) standards well known in the art of computer intercommunication. With Internet communications, true broadcasting is not even possible because the network's extent is not known or fixed.

Thus, messages to all players must be sent as separate messages. An additional problem with Internet communications is that packet delivery is not guaranteed nor is it even as reliable as a dedicated network.

Therefore, what is needed is an efficient system for communication between many client systems over dedicated or open networks to provide graphical interaction between users operating the client systems.

SUMMARY OF THE INVENTION

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The present invention provides a highly scalable architecture for a three-dimensional graphical, multi-user, interactive virtual world system. In a preferred embodiment a plurality of users interact in the three-dimensional, computer-generated graphical space where each user executes a client process to view a virtual world from the perspective of The virtual world shows avatars representing the that user. other users who are neighbors of the user viewing the virtual word. In order that the view can be updated to reflect the motion of the remote user's avatars, motion information is transmitted to a central server process which provides positions updates to client processes for neighbors of the user at that client process. The client process also uses an environment database to determine which background objects to render as well as to limit the movement of the user's avatar.

A further understanding of the nature and advantages of the inventions herein may be realized by reference to the remaining portions of the specification and the attached drawings.

BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 is a client screen view in a virtual world system according to the present invention.

FIG. 2 is a logical block diagram of the hardware elements of a virtual world system.

FIG. 3 is a block diagram of the elements of one embodiment of a virtual world system, showing two clients and one server.

FIG. 4 is a more detailed block diagram of a client system according to one embodiment of the present invention.

FIG.5 is an illustration of an avatar.

DESCRIPTION OF THE PREFERRED EMBODIMENT

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Although the preferred embodiment of the present invention can be used in a variety of applications, as will be apparent after reading the below description, the preferred embodiment is described herein using the example of a client-server architecture for use in a virtual world "chat" system. In this chat system, a user at each client system interacts with one or more other users at other client systems by inputting messages and sounds and by performing actions, where these messages and actions are seen and acted upon by other clients. FIG. 1 is an example of what such a client might display.

Each user interacts with a client system and the client system is networked to a virtual world server. The client system are desktop computers, terminals, dedicated game controllers, workstations, or similar devices which have graphical displays and user input devices. The term "client" generally refers to a client machine, system and/or process, but is also used to refer to the client and the user controlling the client.

FIG. 1 is an illustration of a client screen display 10 seen by one user in the chat system. Screen display 10 is shown with several stationary objects (wall, floor, ceiling and clickable object 13) and two "avatars" 18. Each avatar 18 is a three dimensional figure chosen by a user to represent the user in the virtual world. Each avatar 18 optionally includes a label chosen by the user. In this example, two users are shown: "Paula" and "Ken", who have chosen the "robot" avatar and the penguin avatar, respectively. Each user interacts with a client machine (not shown) which produces a display similar to screen display 10, but from the

perspective of the avatar for that client/user. Screen display 10 is the view from the perspective of a third user, D, whose avatar is not shown since D's avatar is not within D's own view. Typically, a user cannot see his or her own avatar unless the chat system allows "our of body" viewing or the avatar's image is reflected in a mirrored object in the virtual world.

Each user is free to move his or her avatar around in the virtual world. In order that each user see the correct location of each of the other avatars, each client machine sends its current location, or changes in its current location, to the server and receives updated position information of the other clients.

while FIG. 1 shows two avatars (and implies a third), typically many more avatars will be present. A typical virtual world will also be more complex than a single room. The virtual world view shown in FIG. 1 is part of a virtual world of several rooms and connecting hallways as indicated in a world map panel 19, and may include hundreds or users and their avatars. So that the virtual world is scalable to a large number of clients, the virtual world server must be much more discriminating as to what data is provided to each clients. In the example of FIG. 1, although a status panel 17 indicates that six other avatars are present, many other avatars are in the room, but are filtered out for crowd control.

FIG. 2 is a simplified block diagram of the physical architecture of the virtual world chat system. Several clients 20 are shown which correspond with the users controlling avatars 18 shown in screen display 10. These clients 20 interact with the virtual world server 22 as well as the other clients 20 over a network 24 which, in the specific embodiment discussed here, is a TCP/IP network such as the Internet. Typically, the link from the client is narrowband, such as 14.4 kbps (kilobits/second).

Typically, but not always, each client 20 is implemented as a separate computer and one or more computer systems are used to implement virtual world server 22. As

used here, the computer system could be a desktop computer as are well known in the art, which use CPU's available from Intel Corporation, Motorola, SUN Microsystems, Inc., International Business Machines (IBM), or the like and are controlled by operation systems such as the Windows® program which runs under the MS-DOS operating system available from Microsoft Corporation, the Macintosh® O/S from Apple Computer, or the Unix® operating system available from a variety of vendors. Other suitable computer systems include notebook computers, palmtop computers, hand-held programmable computing devices, special purpose graphical game machines (e.g., those sold by Sony, SEGA, Nintendo, etc.), workstations, terminals, and the like.

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The virtual world chat system is described below with reference to at least two hypothetical users, A and B. Generally, the actions of the system are described with reference to the perspective of user A. It is to be understood that, where appropriate, what is said about user A applies to user B, and vice versa, and that the description below also holds for a system with more than two users (by having multiple users A and/or B). Therefore, where an interaction between user A and user B is described, implied therein is that the interaction could take place just as well with users A and B having their roles reversed and could take place in the same manner between user A and user C, user D, The architecture is described with reference to a system where each user is associated with their own client computer system separate from the network and servers, however a person of ordinary skill in the art of network configuration would understand, after reading this description, how to vary the architecture to fit other physical arrangements, such as multiple users per computer system or a system using more complex network routing structures than those shown here. A person of ordinary skill in the art of computer programming will also understand that where a process is described with reference to a client or server, that process could be a program executed by a CPU in that client or server system and the program could be stored in a permanent memory, such as a

hard drive or read-only memory (ROM), or in temporary memory, such as random access memory (RAM). A person of ordinary skill in the art of computer programming will also understand how to store, modify and access data structures which are shown to be accessible by a client or server.

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Referring now to FIG. 3, a block diagram is shown of a world system 54 in which a user A, at a first client system 60 (client A), interacts with a user B at a second client system 60 (client B) via a server 61. Client system 60 includes several databases, some of which are fixed and some of which are modifiable. Client system 60 also includes storage for program routines. Mechanisms for storing, reading and modifying data on computers such as client system 60 are well known in the art, as are methods and means for executing programs and displaying graphical results thereof. One such program executed by client system 60 is a graphical rendering engine which generates the user's view of the virtual world.

Referring now to FIG. 4, a detailed block diagram of client 60 used by a user, A is shown. The other clients used by other users are similar to client 60.

The various components of client 60 are controlled by CPU 100. A network packet processor 102 sends and receives packets over network connection 80. Incoming packets are passed to a network message processor 104 which routes the message, as appropriate to, a chat processor 106, a custom avatar images database 108, a short object ID lookup table 110, or a remote avatar position table 112. Outgoing packets are passed to network packet processor 102 by network message processor in response to messages received from chat processor 106, short object ID lookup table 110 or a current avatar position register 114.

Chat processor 106 receives messages which contain conversation (text and/or audio) or other data received from other users and sends out conversation or other data directed to other users. The particular outgoing conversation is provided to chat processor 106 by input devices 116, which might include a keyboard, microphones, digital video cameras, and the like. The routing of the conversation message depends

on a selection by user A. User A can select to send a text message to everyone whose client is currently on line ("broadcast"), to only those users whose avatars are "in range" of A's avatar ("talk"), or to only a specific user 5 ("whispering"). The conversation received by chat processor 106 is typically received with an indication of the distribution of the conversation. For example, a text message might have a "whisper" label prepended to it. If the received conversation is audio, chat processor 106 routes it to an audio output device 118. Audio output device 118 is a speaker coupled to a sound card, or the like, as is well known in the art of personal computer audio systems. If the received conversation is textual, it is routed to a rendering engine 120 where the text is integrated into a graphical display 122. Alternatively, the text might be displayed in a region of display 122 distinct from a graphically rendered region.

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Current avatar position register 114 contains the current position and orientation of A's avatar in the virtual This position is communicated to other clients via network message processor 104. The position stored in register 114 is updated in response to input from input devices 116. For example, a mouse movement might be interpreted as a change in the current position of A's avatar. Register 114 also provides the current position to rendering. engine 120, to inform rendering engine 120 of the correct view point for rendering.

Remote avatar position table 112 contains the current positions of the "in range" avatars near A's avatar. Whether another avatar is in range is determined a "crowd control" function, which is needed in some cases to ensure that neither client 60 nor user A get overwhelmed by the crowds of avatars likely to occur in a popular virtual world.

Server 61 maintains a variable, N, which sets the maximum number of other avatars A will see. Client 60 also maintains a variable, N', which might be less than N, which indicates the maximum number of avatars client 60 wants to see and/or hear. The value of N' can be sent by client 0 to server 61. One reason for setting N' less than N is where

client 60 is executed by a computer with less computing power than an average machine and tracking N avatars would make processing and rendering of the virtual world too slow. the number of avatars to be shown is determined, server 61 5 determines which N avatars are closest to A's avatar, based on which room of the world A's avatar is in and the coordinates of the avatars. This process is explained in further detail If there are less than N avatars in a room which does not have open doors or transparent walls and client 60 has not limited the view to less than N avatars, A will see all the avatars in the room. Those avatars are thus "neighboring" which means that client 60 will display them.

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Generally, the limit set by server 61 of N avatars and the limit set by client 60 of N' avatars control how many avatars A sees. If server 61 sets a very high value for N, then the limit set by client 60 is the only controlling factor. In some cases, the definition of "neighboring" might be controlled by other factors besides proximity. example, the virtual world might have a video telephone object where A can speak with and see a remote avatar. Also, where N or more unfriendly avatars are in close proximity to A's avatar and they persist in following A's avatar, A will not be able to see or communicate with other, friendly avatars. prevent this problem, user A might have a way to filter out avatars on other variables in addition to proximity, such as user ID.

In any case, remote avatar position table 112 contains an entry for each neighboring avatar. That entry indicates where the remote avatar is (its position), its orientation, a pointer to an avatar image, and possible other data about the avatar such as its user's ID and name. position of the avatar is needed for rendering the avatar in the correct place. Where N' is less than N, the client also uses position data to select N' avatars from the N avatars provided by the server. The orientation is needed for rendering because the avatar images are three-dimensional and look different (in most cases) from different angles. pointer to an avatar image is an index into a table of

preselected avatar images, fixed avatar image database 71, or custom avatar images database 108. In a simple embodiment, each avatar image comprises M panels (where M is greater than two with eight being a suitable number) and the i-th panel is the view of the avatar at an angle of 360*i/M degrees. Custom avatar images are created by individual users and sent out over network connection 80 to other clients 60 which are neighbors of the custom avatar user.

Short object ID lookup table 110 is used to make communications over network connection 80 more efficient. Instead of fully specifying an object, such as a particular panel in a particular room of a world avatar, a message is sent from server 61 associating an object's full identification with a short code. These associations are stored in short object ID lookup table 110. In addition to specifying avatars, the short object ID's can be used to identify other objects, such as a panel in a particular room.

Short object ID lookup table 110 might also store purely local associations. Although not shown in FIG. 4, it is to be understood that connections are present between elements shown and CPU 100 as needed to perform the operations described herein. For example, an unshown connection would exist between CPU 100 and short object ID lookup table 110 to add, modify and delete local—short object ID associations. Similarly, CPU 100 has unshown connections to rendering engine 120, current avatar position register 114 and the like.

Client 60 includes a rooms database 70, which describes the rooms in the virtual world and the interconnecting passageways. A room need not be an actual room with four walls, a floor and a ceiling, but might be simply a logical open space with constraints on where a user can move his or her avatar. CPU 100, or a specific motion control process, limits the motion of an avatar, notwithstanding commands from input devices 116 to do so, to obey the constraints indicated in rooms database 70. A user may direct his or her avatar through a doorway between two rooms, and if provided in the virtual world, may teleport from one room to another.

Client 60 also includes an audio compressor/decompressor 124 and a graphics compressor/decompressor 126. These allow for efficient transport of audio and graphics data over network connection 80.

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In operation, client 60 starts a virtual world session with user A selecting an avatar from fixed avatar image database 71 or generating a custom avatar image. In practice, custom avatar image database 108 might be combined with fixed avatar image database 71 into a modifiable avatar image database. In either case, user A selects an avatar image and a pointer to the selected image is stored in current avatar position register 114. The pointer is also communicated to server 61 via network connection 80. Client 60 also sends server 61 the current position and orientation of A's avatar, which is typically fixed during the initialization of register 114 to be the same position and orientation each time.

Rooms database 70 in a fixed virtual world is provided to the user with the software required to instantiate the client. Rooms database 70 specifies a list of rooms, including walls, doors and other connecting passageways. Client 60 uses the locations of walls and other objects to determine how A's avatar's position is constrained. Rooms database 70 also contains the texture maps used to texture the walls and other objects. Avatar database 71 specifies the bitmaps used to render various predefined avatars provided with the client system. Using rooms database 70 and the locations, tags and images of all the neighboring avatars, then a view of objects and other avatars in the virtual world can be rendered using the room primitives database and the avatar primitives database.

Instead of storing all the information needed for rendering each room separately, a primitives database can be incorporated as part of rooms database 70. The entries in this primitives database describe how to render an object (e.g., wall, hill, tree, light, door, window, mirror, sign, floor, road). With the mirrored primitive, the world is not

actually mirrored, just the avatar is. This is done by mapping the avatar to another location on the other side of the mirrored surface and making the mirror transparent. This will be particularly useful where custom avatars are created, or where interaction with the environment changes the look of the avatar (shark bites off arm, etc.).

The typical object is inactive, in that its only effect is being viewed. Some objects cause an action to occur when the user clicks on the object, while some objects just take an action when their activating condition occurs. An example of the former is the clickable objects 13 shown in FIG. 1 which brings up a help screen. An example of the latter is the escalator object. When a user's avatar enters the escalator's zone of control, the avatar's location is changed by the escalator object automatically (like a real escalator).

The avatars in fixed avatar image database 71 or custom avatar images database 108 contain entries which are used to render the avatars. A typical entry in the database comprises N two-dimensional panels, where the i-th panel is the view of the avatar from an angle of 360 * i/N degrees. Each entry includes a tag used to specify the avatar.

In rendering a view, client 60 requests the locations, orientations and avatar image pointers of neighboring remote avatars from server 61 and the server's responses are stored in remote avatar position table 112. Server 61 might also respond with entries for short object ID lookup table 110. Alternatively, the updates can be done asynchronously, with server 61 sending periodic updates in response to a client request or automatically without request.

Rendering engine 120 then reads register 114, remote avatar position table 112, rooms database 70 and avatar image databases as required, and rendering engine 120 renders a view of the virtual world from the view point (position and orientation) of A's avatar. As input devices 116 indicate motion, the contents of register 114 are updated and rendering engine 120 re-renders the view. Rendering engine 120 might

periodically update the view, or it may only update the view upon movement of either A's avatar or remote avatars.

Chat processor 106 accepts chat instructions from user A via input devices 116 and sends conversation messages to server 61 for distribution to the appropriate remote clients. If chat processor 106 receives chat messages, it either routes them to audio output device 118 or to rendering engine 120 for display.

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Input devices 116 supply various inputs from the user to signal motion. To make movement easier and more natural, client 60 performs several unique operations. such operation is "squared forward movement" which makes it easier for the user to move straight. Unlike ordinary mouse movements, where one mouse tick forward results in an avatar movement forward one unit and one mouse tick to the left or right results in side movement of one unit, squared forward movement squares the forward/backward ticks or takes the square root of the sideways ticks or divides by the number of forward/backward ticks. For example, if the user moves the mouse F mouse ticks forward, the avatar moves F screen units forward, whereas if the user moves the mouse F mouse units forward and L mouse units to the left, the avatar moves F . units forward and L/F screen units to the left. For covering non-linear distances, (F,L) mouse units (i.e., F forward, L to the side) might translate to (F2,L) screen units.

As mentioned above, user input could also be used to signal a desire for interaction with the environment (e.g. clicking on a clickable object). User input could also be used to signal for a viewpoint change (e.g. head rotation without the avatar moving, chat inputs and login/logout inputs.

In summary, client 60 provides an efficient way to display a virtual, graphical, three-dimensional world in which a user interacts with other users by manipulating the positions of his or her avatar and sends chat messages to other users.

Network connection 80 will now be further described. Commonly, network connection 80 is a TCP/IP network connection

between client 60 and server 61. This connection stays open as long as client 60 is logged in. This connection might be over a dedicated line from client 60, or might be a SLIP/PPP connection as is well known in the art of network connection.

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The network messages which pass over network connection 80 between client 60 and server 61 are described immediately below briefly, with a more detailed description in Appendix A. Three main protocols exist for messaging between client 60 and server 61: 1) A control protocol, 2) a document protocol, and 3) a stream protocol. The control protocol is used to pass position updates and state changes back and forth between client 60 and server 61. The control protocol works with a very low bandwidth connection.

The document protocol is used between client 60 and server 61 to download documents (text, graphics, sound, etc.) based on Uniform Resource Locators (URLs). This protocol is a subset of the well-known HTTP (Hyper-Text Transport Protocol). This protocol is used relatively sparingly, and thus bandwidth is not as much of a concern as it is with the control protocol. In the document protocol, client 60 sends a document request specifying the document's URL and server 61 returns a copy of the specified document or returns an error (the URL was malformed, the requested URL was not found, etc.).

The stream protocol is used to transfer real-time video and audio data between client 60 and server 61.

Bandwidth is not as much a concern here as it is with the control protocol.

Each room, object, and user in a virtual world is uniquely identified by a string name and/or numerical identifier. For efficient communications, string names are not passed with each message between client 60 and server 61, but are sent once, if needed, and stored in short object ID lookup table 110. Thereafter, each message referring to an object or a user need only refer to the short object ID which, for 256 or less objects, is only an 8-bit value. Rooms are identified by a unique numerical value contained in two bytes (16 bits).

The control protocol is used by client 60 to report the location and state information, such a "on" and "off" states for a light object or other properties, for user A to server 61 and is used by server 61 to send updates to client 60 for remote avatar position table 112 and updates of characteristics of other objects in the virtual world environment. Server 61 also uses the control protocol to update client 61 on which avatars are in range of A's avatar. To allow for piecemeal upgrading of a virtual world system, client 60 will not err upon receipt of a message it does not understand, but will ignore such as message, as it is likely to be a message for a later version of client 60.

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Each message is formed into a control packet and control packets assume a very brief form so that many packets can be communicated quickly over a narrowband channel. These control packets are not to be confused with TCP/IP or UDP packets, although a control packet might be communicated in one or more TCP/IP or UDP packets or more than one control packet might be communicated in one TCP/IP packet.. The format of a control packet is shown in Table 1.

TABLE 1.

	FIELD	SIZE	DESCRIPTION
25	PktSize	UInt8	Number of bytes in the control packet (including Pktsize byte)
	ObjID	<pre>UInt8 (ShortObjID) Ostring (LongObjID)</pre>	Identifies the object to which the command is directed
	Command	UInt8 + arguments	Describes what to do with the object

"UInt8" is an 8-bit unsigned integer. "Ostring" is a byte containing zero (indicating that a long object identifier is to follow) followed by a string (which is defined to be a byte containing the size of the string followed by the characters

of the string). Each control packet contains one command or one set of combined commands. The ObjID field is one of two formats: either a ShortObjID (0 to 255) or a LongObjID (a string). The ObjID field determines which object in the client's world will handle the command. Several ShortObjID values are preassigned as shown in Table 2.

TABLE 2.

10	<u>ShortObjID</u>	<u>Object</u>
	0	A short ObjID of 0 indicates that a Long ObjID follows
	1	The Client's Avatar
	254	CO - Combine Object
	255	PO - Protocol Object

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The other ShortObjID values are assigned by server 61 to represent objects in the virtual world. These assignments are communicated to client 60 in a control packet as explained below. The assignments are stored by client 60 in short object ID lookup table 110. The ShortObjID references are shorthand for an object which can also be referenced by a LongObjID.

When commands are directed at the CO object (ShortObjID=254), those commands are interpreted as a set of more than one command. When commands are directed at the PO object, the command applies to the communications process itself. For example, the REGOBJIDCMD command, which registers an association between a ShortObjID and a LongObjID, is directed at the PO object. Upon receipt of this command, client 60 registers the association in the short object ID lookup table.

A command takes the form of a command type, which is a number between 0 and 255, followed by a string of arguments as needed by the particular command.

The CO object is the recipient of sets of commands.

One use of a set of commands is to update the positions of several avatars without requiring a separate control packet for each avatar, thus further saving network bandwidth. The form of the command is exemplified by the following command to move objects 2 and 4 (objects 2 and 4 are remote avatars):

S>C CO SHORTLOCCMD [2 -10 -20 -90] [4 0 0 90]

In the above control packet, "S>C" indicates the 10 direction of the packet (from server to client), CO is the object, SHORTLOCCMD is the command type, and the command type is followed by three abbreviated commands. The above control packet requires only fifteen bytes: one for packet size (not shown), one for the CO object ID, one for the command type and 15 twelve for the three abbreviated commands. Note that the "S>C" indicator is not part of the control packet. position of the boundaries between commands (indicated above with brackets, which are not actually communicated) is 20 inferred from the fact that the SHORTLOCCMD command type requires four byte-wide arguments. Each abbreviated command in a command set is the same size, for easy parsing of the . commands by the CO. Examples of abbreviated commands for which a CO command is useful are the Teleport, Appear, Disappear, and ShortLocation commands. These commands, and 25 other commands, are described in more detail in Appendix A. Appendix A also shows the one byte representation of SHORTLOCCMD as well as the one byte representations of other The contents of control packets described command types. herein are shown in a readable form, however when transmitted 30 over network connection 80, the control packets are compacted using the values shown in Appendix A.

The following examples show various uses of control packets. In the following sequences, a line beginning with "S>C" denotes a control packet sent from server 61 to client 60, which operates user A's avatar and interacts with user A. Similarly, a line beginning with "C>S" denotes a control

packet sent from client 60 to server 61. Note that all of the lines shown below omit the packet size, which is assumed to be present at the start of the control packet, and that all of the lines are shown in readable format, not the compact, efficient format discussed above and shown in Appendix A.

The following is a control packet for associating ShortObjIDs with Long Object names:

S>C PO REGOBJIDCMD "Maclen" 5

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Server 61 determines what short object ID (ShortObjID) to use for a given object. With four pre-allocated Short ObjID values, server 61 can set up 252 other ID values. In the above command, the object whose long name is "Maclen" is assigned the ShortObjID of 5. This association is stored by client 60 in short object ID lookup table 110. The first two fields of the above command line, "PO" and "REGOBJIDCMD" indicate that the protocol object (PO) is to handle the command and indicate the command type (REGOBJIDCMD). The actual binary for the command is, in hexadecimal (except for the string):

S>C FF OD 06 Maclen 05

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The following is a control packet containing a chat message:

C>S CLIENT TEXTCMD "" "Kyle, How is the weather?"

The ObjID field is set to CLIENT. The field following the command type (TEXCMD) is unused in a text command from client to server. Server 61 will indicate the proper ObjID of user A's avatar when sending this message back out to the remote clients who will receive this chat message. Thus, server 61 might respond to the above command by sending out the following control packet to the remote clients (assuming user A is named "Judy"):

S>C CLIENT TEXTCMD "Judy" "Kyle, How is the weather?"

Of course, the text "Judy" need not be sent. If a short object identifier has been registered with the client for Judy's avatar, only the ShortObjID for "Judy" need be sent. User A may also whisper a command to a single user who may or may not be in the same room, or even in the same virtual world. For example:

C>S CLIENT WHISPERCMD "Kyle" "Kyle, How are you?"

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in Appendix B.

Server 61 will route this message directly to the recipient user. On the recipient client, the control packet for the message will arrive with the ObjID of the sender (just like a TEXTCMD), however, that client will know that it is a private message because of the command type. The remote client receives the following control packet from server 61:

S>C CLIENT WHISPERCMD "Judy" "Kyle, How are you?"

Other examples of control packets, such as those for entering and exiting sessions and applications, are shown in Appendix B. For state and property changes, objects have two kinds of attribute variables. The first kind of attribute values are "states" which represent boolean values. The second kind of attribute values are called "properties" and may contain any kind of information. Client 60 reports local attribute changes to server 61 as needed and server 61 reports to client 60 the attribute changes which might affect client 60. A different command is used for each kind of attribute, as shown

From user A's point of view, avatars will appear and disappear from A's view in a number of circumstances. For example, avatars enter and leave rooms and move in and out of visual range (as handled by crowd control rules described below). Avatars also teleport from room to room, which is different than moving in and out of rooms. Client 60 will

send server 61 the following location and/or room change commands under the circumstances indicated:

- LOCATIONCMD: normal movement of A's avatar
- ROOMCHGCMD: changing rooms by walking
- TELEPORTCMD: changing rooms and/or location by teleporting
 - TELEPORTCMD, ExitType=0: entering the application
 - TELEPORTCMD, EntryType=0: exiting the application.

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When other, remote clients take such actions, server 61 sends control packets to client 60, such as:

- TELEPORTCMD: remote avatar teleported (EntryType or

 ExitType may be 0 if the exit or entry was not visible to user

 A)
 - DISAPPEARACTORCMD: remote avatar was previously visible (in range), but is now invisible (out of range) due to normal (non-teleport) movement including having walked out of the room
 - APPEARACTORCMD: remote avatar was not visible, and is now visible (command includes the remote avatar's Location and Room)
 - SHORTLOCCMD or LONGLOCCMD: remote avatar was visible before, and is still now, but has moved.

Two methods exist for updating the position of an actor (avatar). The LONGLOCCMD method uses full absolute

position (X, Y, and Z) and orientation. The SHORTLOCCMD only updates the X and Y coordinates and the orientation. In addition, the short method limits the change in position to plus or minus 127 in the X and/or Y coordinates and/or +/- 127 in the orientation. Client 60 sends a LONGLOCCMD to server 61 to update the client's position. Whenever possible, server 61 uses the combined SHORTLOCCMD to update all of the visible avatars at once. If an avatar has moved too great a distance,

or has moved in the Z direction, server 61 then uses a LONGLOCCMD for that avatar.

The following is an example of a control packet sent from client 60 to server 61 to update user A's location:

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C>S CLIENT LONGLOCCMD 2134 287 7199 14003

In the binary (given in hex), this is:

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C>S 01 01 0856 011F 1C1F 36B3

Note that bytes are two digits and shorts (16 bits) are four digits. They are separated by spaces here for clarity. The actual packet would contain no spaces.

The Server often uses the combined short location update command. This command concatenates several ShortLocationCommands. Rather than sending a command to each of the objects in question, a single combined command is sent to the combine object (CO). This object takes the command and applies it to a list of truncated commands. The truncated commands contain a ShortObjID reference to the object to be moved and a change in the X and Y positions and orientation. If server 61 wants to update the positions of objects 56, 42 and 193, it would send the following:

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S>C CO SHORTLOCCMD 56 -4 6 -10 42 21 3 -50 193 -3 -21 10

This command can contain a variable number of subcommands.

Each subcommand is of fixed length so that the CO can find the length of it from a table check or other quick lookup method.

The binary form of this command is:

S>C FE 04 38 FC 06 F6 2A 15 03 CD C1 FD EB 10

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When user A changes rooms by walking through a door, a RoomChangeCommand control packet is sent by client 60 to server 61 to inform server 61 that the room change occurred.

The command specifies the new room and location for user A's avatar as follows:

C>S CLIENT ROOMCHNGCMD 01 25 1200 150 180

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The first argument is the ObjID of the avatar that is leaving the room, the second argument is the command type (room change), and the third argument is the room that the avatar is entering. The next three arguments are the X, Y and Z positions at which to place the avatar in the room. The last argument is the direction the actor is facing (orientation). Note that the first argument is always the ObjID for the local avatar, CLIENT = 1.

When user A teleports from one room to another, the TeleportCommand is sent by client 60 to server 61 to inform server 61 that the teleport occurred. The method of leaving the room and entering the new one is sent to server 61. This allows server 61 to inform other clients to display explosions or clouds, smoke or other indications of the teleportation appearance/disappearance of the avatar. The teleport command is as follows:

C>S CLIENT TELEPORTCMD 01 02 02 25 1200 150 180

The first argument is the ObjID of the avatar that is teleporting, the second argument is the command type (teleport), and the third argument is the room that the avatar is entering. The next two arguments are the leaving method and the entering method respectively. The next three arguments are the X, Y and Z positions at which to place the actor in the room. The last argument is the direction the actor is facing (orientation). Note that the first argument is always the ObjID for the local avatar, CLIENT = 1.

Client 60 is responsible for implementing some sort of caching mechanism for actors. When client 60 receives a TeleportCommand or AppearCommand for an avatar that is appearing, it must first determine if it currently has information for the specified object cached. If not, client

60 can issue a request for any needed information pertaining to the object. Suppose client 60 receives the following command specifying that "Mitra" has arrived at room 15:

S>C "Mitra" TELEPORTCMD 15 3 3 0 0 0 0

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If client 60 does not have an entry cached for this object ("Mitra"), or if the entry is dated, a request may be made for pertinent information (here, the long object ID is used since client 60 does not have the short object Id association for this object):

C>S "Mitra" PROPREQCMD VAR BITMAP

Server 61 will respond with a PropertyCommand as necessary to communicate the required information. An example of pertinent information above is a request for the avatar bitmap to use to represent mitra.

Crowd control is one of the tougher problems solved by the present system. Crowd control is handled using a number of commands. In a typical situation, the number of avatars in a room is too large to be handled by client 60 and displayed on display 122. The maximum number of avatars, N, is determined by server 61, but might also be determined for each client.

Server 61 addresses this problem by maintaining, for each user, a list of the N avatars nearest to the location of that user's avatar. This list may be managed by the server in any of a number of ways. When an avatar (B, for example) is removed from another user's (C, for example) list because avatar B can no longer be seen by C (i.e., B is no longer one of the N nearest avatars), Server 61 sends a DISAPPEARACTORCMD to the object for avatar B on client C. This occurs as a consequence of client B changing rooms with a ROOMCHANGECMD or TELEPORTCMD, or due to crowd control.

Client 60 does not necessarily delete an entry from remote avatar lookup table 112 or short object ID lookup table

110 if a remote avatar disappears, but just marks it as being non-visible. In some cases, a user can see another user's avatar, but that other user cannot see the first user's avatar. In other words, visibility is not symmetric. However, chat exchange is symmetric, i.e., a user can only talk to those who can talk to the user.

When A's avatar is to be added to user B's lists when A becomes visible to B by reason of movement, room change, crowd control, or the like, server 61 (more precisely the protocol object PO on server 61) sends a REGOBJIDCMD control packet to the PO of B's client 60 and B's client 60 will add the association of A's avatar with a short object ID to short object ID lookup table 110. Server 61 also sends an APPEARACTORCMD control packet to A's client giving the room and location of B. If A's client 60 does not have the appropriate information cached for B, A's client 60 sends a PropertyRequestCommand control packet to server 61 asking for the properties of B, such as the bitmap to use to display B's avatar. Server 61 will return the requested information, which it might need to obtain from B's client 60. example, the control packet:

PROPREQCMD VAR BITMAP

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might be used. Whenever possible, location updates from server 61 will be sent as SHORTLOCCMD control packets addressed to the remote avatar using its ShortObjId and the DisappearActorCommands, AppearActorCommands, and TeleportCommands used to update client 60 on the status of visible remote avatars will be combined as described for the ShortLocationCommands.

The server 61 shown in FIG. 3 will now be described. Server 61 comprises generally a network layer 62, protocol objects 63, user objects 64, room objects 65. In an object oriented software embodiment of the invention, each of these objects and layers are implemented as objects with their specific methods, data structures and interfaces. Where server 61 is implemented on a hardware running the Unix

operating system, these objects might be objects in a single process or multiple processes. Where server 61 is implemented on hardware running the Windows(tm) operating system alone or in combination with the MS-DOS operating system or the like, the layers and objects might be implemented as OLE (Object Linking and Embedding) objects.

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One protocol object 63 and one user object 64 are instantiated for each user who logs into server 61. Network layers 62 accepts TCP/IP connections from clients 60. A socket is opened and command buffers are allocated for each client 60. Network layer 62 is responsible for instantiating a protocol object 63 for each TCP/IP socket established. This layer handles the sending and receiving of packets, such as control packets, document packets and stream packets, over the network. All sockets are examined by server 61 on a periodic basis; completed control packets received from a client 60 are processed by server 61, and outgoing control packets to a client 60 which are pending are sent.

Protocol object 63 handles translation of internal messages to and from the cryptic and compressed form of the control packets which are sent over network connection 80, as explained in Appendices A and B. Protocol object 63 handles all session initialization and authentication for its client 60, and is responsible for instantiating a user object 64 for authenticated users.

User object 64 tracks the location of its user's avatar, which includes at least the room in which the user is located, the user's coordinates in the room and the user's orientation in that room. User object 64 also maintains a list of the N nearest neighboring remote avatars (i.e., avatars other than the avatar for the user object's client/user) in the room. This list is used to notify the user object's client 60 regarding changes in the N closest remote avatars and their locations in the room. The list is also used in disseminating text typed by the user to only those users nearest him or her in the room. This process of notifying client 60 of only the N nearest neighbors is handled as part of crowd control.

One room object 65 is instantiated for each room in rooms database 70 and the instantiation is done when server 61 is initialized. Alternatively, room objects can be instantiated as they are needed. As explained above, the term "room" is not limited to a visualization of a typical room, but covers any region of the virtual world which could be grouped together, such as the underwater portion of a lake, a valley, or a collection of streets. The room object for a specific room maintains a list of the users currently located in that room. Room object 65 periodically analyzes the positions of all users in the room using a cell-based algorithm, and sends a message to each user object 64 corresponding to those users in the room, where the message notifies the user object of its user's N nearest neighbors.

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Periodically, the locations of the users in each room are examined and a square two-dimensional bounding box is placed around the users' current locations in the room. This square bounding box is then subdivided into a set of square cells. Each user is placed in exactly one square. Then, for each user, the cells are scanned in an outwardly expanding wave beginning with the cell containing the current user of interest, until at least N neighbors of that user are found. If more than N are found, the list of neighbors is sorted, and the closest N are taken.

One or more world object 66 may be instantiated at the time server 61 is started. The world object maintains a list of all the users currently in the world and communicates with their user objects 64. The world object also maintains a list of all the rooms in the world and communicates with the room objects 65 for those rooms. The world object periodically initiates the analysis of user positions in each room and subsequent updating of avatar information to clients (60). In addition, the world object periodically initiates the collection of statistics on usage (for billing, study of which rooms are most popular, security logs, etc.) which are logged to a file.

Server 61 also has a rooms/world database 92 which is similar to the rooms/world database 70 in client 60.

Server 61 does not need the primitives databases because there is no display needed at the server. Server 61 does, however, include a user state database 90, which maintains state information on each user, such as address, log-in time, accounting information, etc.

Several interconnections are shown in FIG. 3. Path 81 between a protocol object 63 and a user object 64 carries messages between a client 60 and the user object 64 representing that client (before or after having been translated by a protocol object 63). Typical messages from the client to the user object include:

- Move my avatar to (x, y, z, orientation)
- Send a text message to all neighboring remote avatars

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Typical messages from the user object to the client are:

- User X teleported into your view at (x, y, z, orient.)
- User Z has just left your view
- User W has moved to (x, y, z, orientation)
- Here is text from user Y
- Here is private text (whispered) from user A

25 The path 82 between a client 60 and a user object 64 other than its own user object 64 is used to send whispers from user to user. Path 83 is used for internal messages sent directly between user objects 64. Messages taking this path typically go from a given user to those users who are among its N nearest neighbors. Typical messages include:

- Here is text I have typed
- I have just teleported to a given room and location
- I have changed my state (logged in, logged out, etc.)
- I have changed one or more of my properties

Path 84 is used for messages between a user object 64 and a room object 65. User objects 64 communicate their

location to the room 65 they are currently in. Periodically, the room object will notify the user object of the identities and locations of the users' N nearest neighbors. Messages from the user object to the room include:

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- I have just teleported either into or out of this room
- I have just entered this room
- I have just left this room
- My new location in this room is (x, y, z, orientation)

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The only message that passes from the room object to a user object is the one that notifies the user of its N nearest neighbors. Path 85 is used for communications between protocol objects and world object 66. Protocol object 63 can query world object 66 regarding the memory address (or functional call handle) of the user object 64 representing a given user in the system. This is the method that is used to send a whisper message directly from the protocol object to the recipient user object. Path 86 is used for communications between user object 64 and world object 66 to query the world object regarding the memory address or function call handle of the room object 65 representing a given room in the world. This is required when a user is changing rooms. FIG. 5 is an illustration of the penguin avatar rotated to various angles.

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The above description is illustrative and not restrictive. Many variations of the invention will become apparent to those of skill in the art upon review of this disclosure. The scope of the invention should, therefore, be determined not with reference to the above description, but instead should be determined with reference to the appended claims along with their full scope of equivalents.

Appendix A - Client/Server Control Protocol Commands (in BNF)

Valid CommandTypes are integers between 0 and 255. Several of 5 these are shown below as part of the BNF (Backus-Nauer Format) description of the command structures. Per convention, words starting with uppercase characters are non-terminals while those in quotes or in lowercase are terminal literals. 10 Basics a | b = Either a or b. 15 "abc" - The exact string of characters a, b and c in the order shown. = One or more occurrences of a. 20 a * = Zero or more occurrences of a. = A number 10. In the ASCII protocol, this is the ASCII 10 string "10", in the binary form, it is a byte with a value of 10. 25 = A numerical range from N to M. N..M Equivalent to: N | N+1 | N+2 | ... | M-1 | M 30 Command Structures = PktSize Message Packet = UInt8 (size includes PktSize field) PktSize 35 = ObjID Command Message = LongObjID | ShortObjID ObjID = OString 40 LongObj ID = UInt8 ShortObjID = CommandType CommandData Command 45 = UInt8 CommandType [Other commands might be added to these:] LongLocationCommand 50 Command ShortLocationCommand StateCommand PropertyCommand PropertyRequestCommand CombinedCommand 55 RoomChangeCommand SessionInitCommand SessionExitCommand ApplicationInitCommand ApplicationExitCommand 60 DisappearActorCommand AppearActorCommand RegisterObjIdCommand TeleportCommand TextCommand 65 ObjectInfoCommand

LaunchAppCommand UnknownCommand

WhisperCommand StateRequestCommand

5	TeleportCommand Location	=	TELEPORTCMD NewRoom ExitType EntryType
	RoomChangeCommand	=	ROOMCHNGCMD NewRoom Location
10	LongLocationCommand	=	LONGLOCCMD Location
	DisappearActorCommand	=	DISAPPEARACTORCMD
15	AppearActorCommand	=	APPEARACTORCMD NewRoom Location
1.7	Location	200	X Y Z Direction
	X, Y, Z, Direction	=	SInt16
20	StateCommand	=	STATECMD SetFlags ClearFlags
•	SetFlags, ClearFlags	=	UInt32
25	PropertyCommand	100	PROPCMD Property+
	PropertyRequestCommand		PROPREQCMD VariableID*
	StateRequestCommand	=	STATEREQCMD
30	Property	=	VariableID VariableValue
	VariableID		ShortVariableId LongVariableId
35	ShortVariableId	=	UInt8
33	LongVariableId	=	OString
	VariableValue	=	String
40	ShortLocationCommand	•	SHORTLOCCMD DeltaX DeltaY DeltaO
	DeltaX, DeltaY	=	SByte .
45	DeltaO	=	SByte (plus 128 to -128 degrees)
50	CombinedCommand		CombinedLocationCommand CombinedAppearCommand CombinedTeleportCommand CombinedDisappearCommand UnknownCombinedCommand
	CombinedLocationCommand	=	SHORTLOCCMD AbbrevLocCommand+
c c	AbbrevLocCommand	=	ShortObjID DeltaX DeltaY DeltaO
55	CombinedAppearCommand	=	APPEARACTORCMD AbbrevAppearCommand+
	AbbrevAppearCommand	-	ShortObjID NewRoom Location
60	CombinedDisappearCommand		DISAPPEARACTORCMD AbbrevDisappearCommand+
	AbbrevDisappearCommand		ShortObjID
65	CombinedTeleportCommand	=	TELEPORTCMD AbbrevTeleportCommand+
	AbbrevTeleportCommand	-	ShortObjID NewRoom ExitType EntryType Location
	[for now:] UnknownCombinedCommand	=	03, 510, 1317, 19.:255

	NewRoom	= UInt16
	ExitType, EntryType	= UInt8
5	SessionInitCommand	= SESSIONINITCMD Property+
	SessionExitCommand	= SESSIONEXITCMD Property+
10	ApplicationInitCommand	= APPINITCMD Property+
10	ApplicationExitCommand	= APPEXITCMD Property+
	RegisterObjIdCommand	= REGOBJIDCMD String ShortObjID
15	TextCommand	- TEXTCMD ObjID String
	WhisperCommand	= WHISPERCMD ObjID String
20	LaunchAppCommand	= LAUNCHAPPCMD String
20	[for now:]	•
	UnknownCommand	= 0, 15, 20255
25	String	= StringSize Char*
	StringSize field)	= UInt8 (size of string EXCLUDING StringSize
30	Char	= C datatype char
	UInt32	= 04294967299 (32-bit unsigned)
35	SInt32	= -21474836502147483649 (32-bit signed value)
	UInt16	= 065535 (16-bit unsigned value)
40	SInt16	= -3276832767 (16-bit signed value)
	UInt8	= 0255 (8-bit unsigned value)
45	SByte	= -128127 (8-bit signed value)
50	LONGLOCCMD STATECMD PROPCMD SHORTLOCCMD ROOMCHNGCMD SESSIONINITCMD SESSIONEXITCMD	= 1 = 2 = 3 = 4 = 5 = 6 = 7
55	APPINITCMD APPEXITCMD PROPREQCMD DISAPPEARACTORCMD APPEARACTORCMD REGOBJIDCMD	= 8 = 9 = 10 = 11 = 12 = 13
60	TEXTCMD LAUNCHAPPCMD WHISPERCMD TELEPORTCMD STATEREQCMD	= 14 = 16 = 17 = 18 = 19
65	CLIENT = 1 CO = 254 PO = 255	

Appendix B - Additional Control packet Examples

B.1. State and Property Changes

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State changes change a string of boolean values. Either the Client or the Server can send these. Each object can have up to 32 different state values. These are represented as bits in a bit string. If the Client wants to set bit 3 of the state variable of an object, 137, it sends the following:

C>S 137 STATECMD 4 0

In binary (given as hexadecimal) this is:

15 C>S 89 02 00000004 00000000

Properties take more possible values than states. Similar to state variables, properties are referenced in order. Variables may be represented as a predefined ID (counting from 1) or by an arbitrary string.

Assuming that the Client has changed its local copy of a variable (with the tag 6) in object 23. It would send a command to the Server as follows:

C>S 23 PROPCMD 6 "a new value"

- The variable ID is a predefined shorthand name for a variable name. These names are predefined and hardcoded into the Client. They generally can't be changed without changing the Client executable. An old Client that sees a variable ID it does not know must ignore the command.
- Some variables will always be defined, "bitmap" for example.

 These are defined in a fixed manner at the Client level. The Client will simply send these variable IDs to the Server which will transparently pass them on to other Clients.
 - The currently defined variable IDs are:

VAR_APPNAME = 1 // Name of Application to run
VAR_USERNAME = 2 // User's id.

VAR_USERNAME = 2 // User's id.

VAR_PROTOCOL = 3 // Version of protocol used by client (int)

VAR_ERROR = 4 // Used in error returns to give error type

VAR_BITMAP = 5 // Filename of Bitmap

VAR_PASSWORD = 6 // User's password

VAR_ACTORS = 7 // Suggested # of actors to show client (N)

VAR_UPDATETIME = 8 // Suggested update interval (* 1/10 sec.)

VAR_CLIENT = 9 // Version of the client software (int)

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The client can request the values for one or more properties with the PROPREQCMD:

C>S "Fred" PROPREQCMD VAR_BITMAP
S>C "Fred" PROPCMD VAR BITMAP "skull.bmp"

A PROPREQCMD with no parameters will result in a PROPCMD being returned containing all the properties of the object the request was sent to.

- If a PROPREQCMD is made with a request for a property that doesn't exist, an empty PROPCMD will be returned.
 - A STATEREQCMD requests the Server to respond with the current state.

B.2. Beginning and Exiting Sessions

To begin a session, the Client requests a connection from the Server. After the connection has been established, the Client sends a SessionInitCommand.

The SessionInitCommand should contain the User's textual name (preferably, this textual name is unique across all applications) and the version of the protocol to be used. For example, the User named "Bo" has established a connection and would now like to initiate a session.

C>S CLIENT SESSIONINITCMD VAR_USERNAME "Bo" VAR_PROTOCOL "11"

Currently defined variables for the SessionInitCmd are:

VAR_USERNAME The account name of the user

VAR_PASSWORD User password (preferably a plain text string)

VAR_PROTOCOL The protocol version (int)

VAR_CLIENT Version of the client software being used (int)

Note that the protocol defines the value as a string, but the (int) comment is a constraint on the values that may be in the string.

The Server will send an ack/nak indicating the success of the request. An ack will take the form:

S>C CLIENT SESSIONINITCMD VAR_ERROR 0

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A nak will take the form:

S>C CLIENT SESSIONINITCMD VAR ERROR 1

30 where the value of VAR_ERROR indicates the nature of the problem.

Currently defined maks include:

	* ACK 0	It's OK
35	* NAK BAD USER 1	User name already in use
	* NAK MAX ORDINARY 2	Too many ordinary users
	* NAK MAX PRIORITY 3	Too many priority users
	* NAK BAD WORLD 4	World doesn't exist
	* NAK FATAL 5	Fatal error (e.g. can't instantiate user)
40	* NAK BAD PROTOCOL 6	Client running old or wrong protocol
	* NAK BAD CLIENTSW 7	Client running old, or wrong version
	* NAK BAD PASSWD 8	Wrong password for this user
•	* NAK CALL BILLING 9	Access denied, call billing
٠	* NAK TRY SERVER 10	Try different server

B.3. Beginning and Exiting Application

To begin an application, the Client must have already established a session via the SessionInitCommand. To begin an application, the Client sends an ApplicationInitCommand specifying the desired application:

C>S CLIENT APPINITCMD VAR APPNAME "StarBright"

The Server will respond with an ack/nak to this command using the same technique discussed under session initialization.

B.4. Launching an Outside Application

The Server may tell the Client to launch an outside application by sending the LaunchAppCommand to the Protocol Object. For example:

S>C PO LAUNCHAPPCMD "Proshare"

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WHAT IS CLAIMED IS:

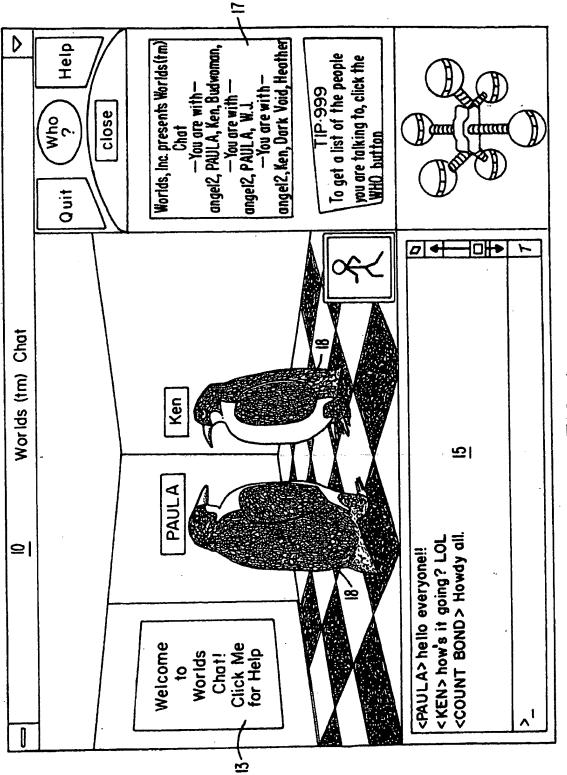
An apparatus for interaction between a 1 2 plurality of users in a three-dimensional, computer-generated graphical space, comprising: a plurality of client processes, wherein each client process is executed on a digital computer distinct from the 5 digital computers executing others of the plurality of client 6 processes; a central server process, executed by a server 8 9 computer: a network coupling the server computer to the 10 digital computers which execute the plurality of client 11 processes, thereby coupling the plurality of client processes 12 with the central server process; 13 a plurality of user objects, executed as 14 subprocesses of the central server process, wherein each of 15 the plurality of user objects is associated with a user in the 16 plurality of users; 17 an environment database, accessible by each client 18 19 process; \ means for communicating a position of a particular 20 user in the three-dimensional, computer-generated graphical 21 space from the particular user's client process to the other 22 client processes via the central server process, the means for 23 24 communicating programmed according to a protocol; 25 means, on a digital computer executing the 26 particular user's client process, for receiving positions of the users of the other client processes according to the 27 protocol via the central server process; 28 and means, on the digital computer executing the 29 particular user's client process, for rendering a 30 three-dimensional view from a viewpoint of the location of the 31 particular user, the rendered view including at least one 32 object from the environment database and, when other users are 33 at locations viewable from the rendered viewpoint, including 34 those other viewable users. 35

- 2. The apparatus of claim 1, wherein the environment database comprises a single central environment database.
- 3. The apparatus of claim 1, wherein the
 environment database comprises one copy of the environment
 data at each of the plurality of client digital computers.

ABSTRACT OF THE DISCLOSURE SCALABLE VIRTUAL WORLD CHAT CLIENT-SERVER SYSTEM

5 ·

The present invention provides a highly scalable architecture for a three-dimensional graphical, multi-user, interactive virtual world system. In a preferred embodiment a plurality of users interact in the three-dimensional, computer-generated graphical space where each user executes a client process to view a virtual world from the perspective of that user. The virtual world shows avatars representing the other users who are neighbors of the user viewing the virtual word. In order that the view can be updated to reflect the motion of the remote user's avatars, motion information is transmitted to a central server process which provides positions updates to client processes for neighbors of the user at that client process. The client process also uses an environment database to determine which background objects to render as well as to limit the movement of the user's avatar.



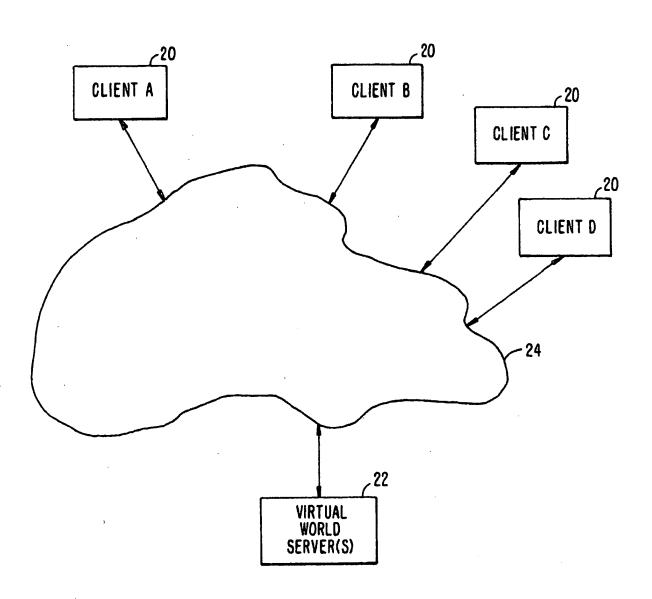


FIG. 2.

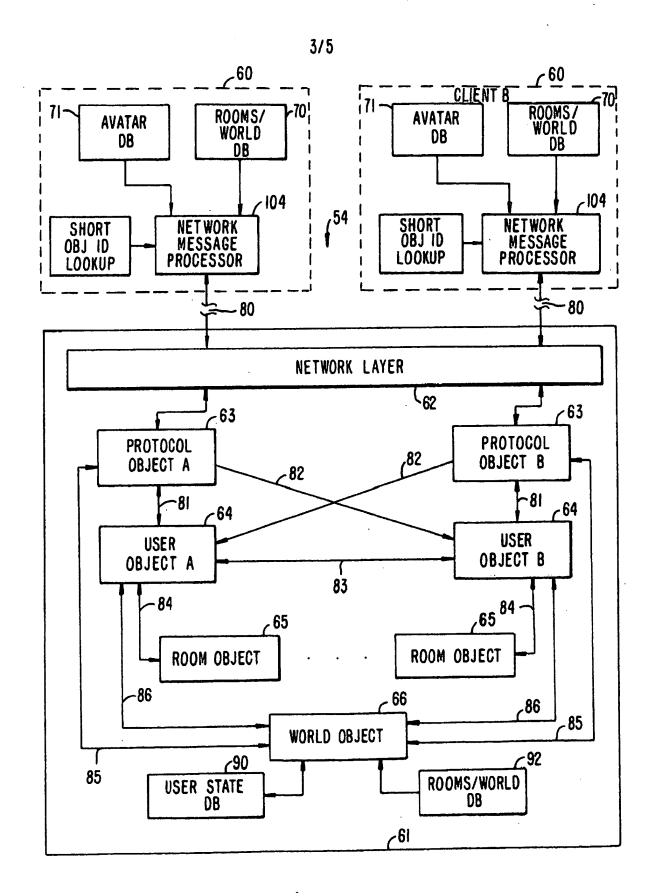


FIG. 3.

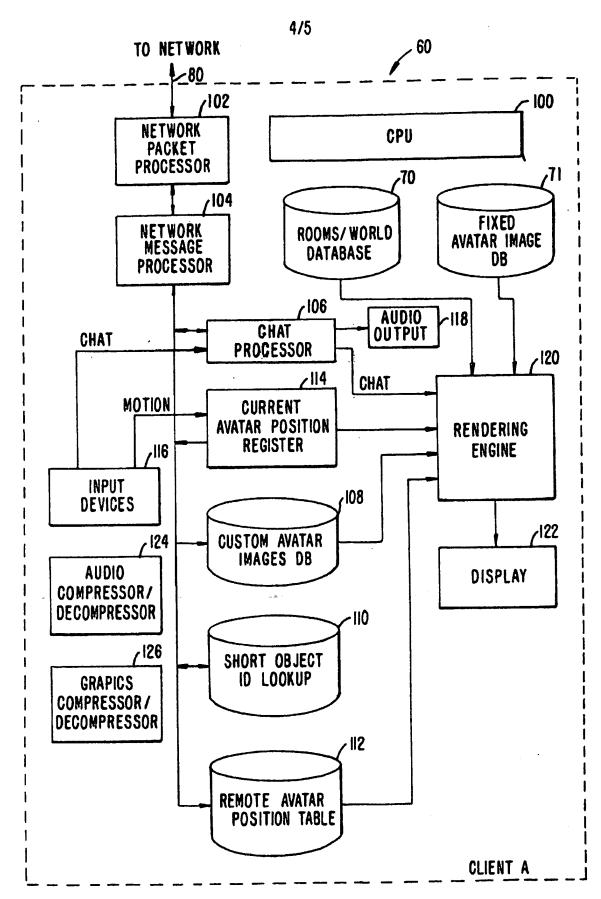
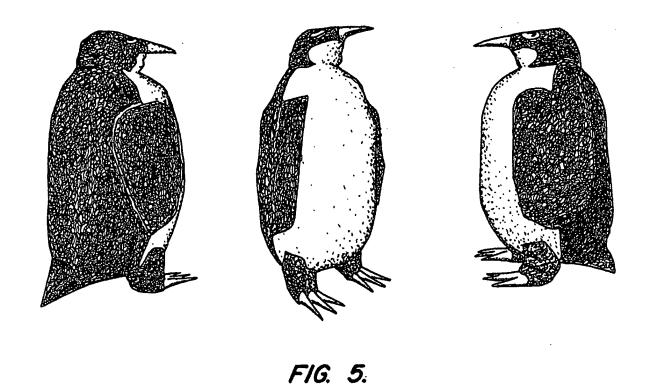


FIG. 4.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Dave Leahy et al.

Serial No.: To Be Assigned

Filed:

March 19, 2009

For:

SYSTEM AND METHOD FOR ENABLING

USERS TO INTERACT IN A VIRTUAL

SPACE

Group Art Unit: To Be Assigned

Examiner:

To Be Assigned

Attorney File No.: AP 019CON3

Office Action Mailed On: N/A

Confirmation No.:

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 **Alexandria, VA 22313-1450**

PRELIMINARY AMENDMENT

Prior to examination, please amend the above-identified application (filed herewith) as set forth herein. Authorization is granted to charge small entity additional claim fees, if any, and all other fees necessary to file this Preliminary Amendment, to the Deposit Account Number 50-3196.

Amendment to the title begins on page 3 of this paper.

Amendment to the specification begins on page 4 of this paper.

ELECTRONIC FILING

Transmitted electronically to the Patent and Trademark Office.

Depositor's Name: Anatoly S. Weiser

Date: March 18, 2009 (PST)

Signature: /Anatoly S. Weiser/

AP 019CON3

Patent

Amendments to the claims are reflected in the listing of claims that begins on page 5 of this

paper.

Remarks begin on page 6 of this paper.

AMENDMENT OF THE TITLE

Please change the title to "SYSTEM AND METHOD FOR ENABLING USERS TO INTERACT IN A VIRTUAL SPACE".

AMENDMENT OF THE SPECIFICATION

Please insert the following heading and paragraph following the title of the specification:

-- CROSS-REFERENCE TO RELATED APPLICATIONS

This application is a continuation of and claims priority from U.S. Patent Application Ser. No. 12/353,218; which is a continuation of and claims priority from U.S. Patent Application Ser. No. 11/591,878, filed November 2, 2006, now U.S. Patent No. 7,493,558; which is a continuation of and claims priority from U.S. Patent Application Ser. No. 09/632,154, filed August 3, 2000, now U.S. Patent No. 7,181,690; which is a continuation of and claims priority from U.S. Patent Application Ser. No. 08/747,420, filed November 12, 1996, now U.S. Patent No. 6,219,045; which claims priority from U.S. Provisional Patent Application Ser. No. 60/020,296, filed November 13,1995. The disclosures of all of the foregoing patent documents are incorporated herein by reference.--

CLAIM AMENDMENT

Please amend the claims in accordance with the following listing.

Listing of Claims

Claims 1-3 (Cancelled)

4. A method for enabling a first user to interact with other users in a virtual space, each user being associated with a three dimensional avatar representing the user in the virtual space, the method comprising:

customizing, using a client device processor, an avatar in response to input by the first user input;

receiving, by the client device, position information associated with fewer than all of the other user avatars from a server process; and

determining, by the client device, a displayable set of the other user avatars associated with the client device display.

5. The method according to claim 4, further comprising the steps of:

monitoring an orientation of the first user avatar, and

displaying on the client device all or less than all of the displayable set based on the monitored orientation of the first user avatar.

- 6. The method according to claim 4, wherein customizing further comprises accessing a first database containing custom avatar images.
- 7. The method according to claim 6, wherein customizing further comprises selecting information from the first database that is used to render the avatar.
- 8. The method according to claim 4, further comprising receiving orientation information associated with fewer than all of the other user avatars.
- 9. The method according to claim 4, further comprising storing an entry including a pointer to an image associated with the other user avatars for which position information has been received.
- 10. The method according to claim 4, wherein the virtual space further comprises two or more virtual rooms and the method further comprises an avatar teleporting from a first virtual room to a second virtual room.
- 11. A client device for enabling a first user to interact with other users in a virtual space, each user being associated with a three dimensional avatar representing the user in the virtual space, the device comprising:
 - a memory storing instructions; and
 - a processor programmed using the instructions to:
 - create a custom avatar in response to input by the first user;

- AP 019CON3

Patent

receive position information associated with fewer than all of the other user avatars;

and

determine a set of the other users' avatars displayable on a screen associated with the client device.

REMARKS

After entry of the above amendments, claims 4-11 will be pending in the application. This paper cancels claims 1-3 without prejudice or disclaimer; and adds new claims 4-11. This paper also changes the title of the invention, and inserts a cross-reference to related applications.

CONCLUSION

Applicants submit that all pending claims are allowable. To discuss any matter pertaining to the instant application, the Examiner is invited to call the undersigned attorney at (858) 720-9431.

Having made an effort to bring the application in condition for allowance, a notice to this effect is earnestly solicited.

Respectfully submitted,

Dated: March 18, 2009

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DocCode - SCORE

SCORE Placeholder Sheet for IFW Content

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Form Revision Date: October 12, 2006

Filing Date: 03/19/09

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Approved for use through 7/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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	(37 CFR 1.16(i)) Independent (37 CFR 1.16(h)) Application Siz	* e Fee (37 CFR ATION OF MULT	l 1.16(s))			N/			OR	N/A			

The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patern and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS

SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PATENT APPLICATION FEE DETERMINATION RECORD Substitute for Form PTO-875						A	Application or Docket Number 12/406,968			ing Date 19/2009	To be Mailed		
APPLICATION AS FILED – PART I (Column 1) (Column 2)								OTHER THA SMALL ENTITY ☑ OR SMALL ENTIT				HER THAN ALL ENTITY	
FOR NUMBER FILED NUMBER EXTRA								RATE (\$)	FEE (\$)		RATE (\$)	FEE (\$)	
⊠	BASIC FEE (37 CFR 1.16(a), (b), or (c))								82	1	N/A	. ,	
(S7 G/R 1.16(a), (b), or (c)) SEARCH FEE (37 GFR 1.16(k), (i), or (m)) N/A N/A N/A N/A N/A N/A N/A								N/A	270	1	N/A		
								N/A	110		N/A		
	ΓAL CLAIMS CFR 1.16(i))		3 mir	us 20 =	* 0			X \$26 =	0	OR	x \$ =		
IND	EPENDENT CLAIM CFR 1.16(h))	IS	1 m	inus 3 =	* 0			X \$110 =	0	1	x \$ =		
	If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).												
	MULTIPLE DEPEN	NDENT CLAIM PR	ESENT (3	7 CFR 1.16	8(j))								
* If t	he difference in colu	umn 1 is less than	r "0" in col		TOTAL	462		TOTAL					
	APPLICATION AS AMENDED - PART II (Column 1) (Column 2) (Column 3)							OTHER THAN SMALL ENTITY OR SMALL ENTITY					
AMENDMENT	03/19/2009 CLAIMS REMAINING AFTER AMENDMENT			HIGHES NUMBE PREVIO PAID FO	R DUSLY	PRESENT EXTRA		RATE (\$)	ADDITIONAL FEE (\$)		RATE (\$)	ADDITIONAL FEE (\$)	
ME	Total (37 CFR 1.16(i))	* 8	Minus	** 20	= 0			X \$26 =	0	OR	x \$ =		
	Independent (37 CFR 1.16(h))	* 2	Minus	***3		= 0		X \$110 =	0	OR	x \$ =		
ME	Application S	ize Fee (37 CFR 1	.16(s))										
1	FIRST PRESEN	NTATION OF MULTIF	PLE DEPEN	DENT CLAII	M (37 CFF	R 1.16(j))				OR			
								TOTAL ADD'L FEE	0	OR	TOTAL ADD'L FEE		
		(Column 1)		(Colun	mn 2)	(Column 3)							
T		CLAIMS REMAINING AFTER AMENDMENT		HIGH NUMI PREVIC PAID	BER DUSLY	PRESENT EXTRA		RATE (\$)	ADDITIONAL FEE (\$)		RATE (\$)	ADDITIONAL FEE (\$)	
Z Z	Total (37 CFR 1.16(i))	*	Minus	**		=		x \$ =		OR	x \$ =		
AMENDMENT	Independent (37 CFR 1.16(h))	*	Minus	***		=		x \$ =		OR	x \$ =		
	Application S	ize Fee (37 CFR 1	.16(s))										
AM	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))									OR			
							• '	TOTAL ADD'L FEE		OR	TOTAL ADD'L FEE		
** If	the "Highest Numbo f the "Highest Numb	* If the entry in column 1 is less than the entry in column 2, write "0" in column 3. ** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20". *** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3". The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.											

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS

ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 WWW.18910.gov

APPLICATION	FILING or	GRP ART				
NUMBER	371(c) DATE	UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	TOT CLAIMS	IND CLAIMS
12/406.968	03/19/2009	2173	462	AP019CON3	8	2.

CONFIRMATION NO. 6412

Date Mailed: 04/03/2009

FILING RECEIPT

0C00000035240504

OC00000035240504

35070 ANATOLY S. WEISER 3525 DEL MAR HEIGHTS ROAD, #295 SAN DIEGO, CA 92130

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

Applicant(s)

Dave Leahy, Oakland, CA; Judith Challinger, Santa Cruz, CA; B. Thomas Adler, San Francisco, CA; S. Mitra Ardon, San Francisco, CA;

Power of Attorney: None

Domestic Priority data as claimed by applicant

This application is a CON of 12/353,218 01/13/2009 which is a CON of 11/591,878 11/02/2006 PAT 7,493,558 which is a CON of 09/632,154 08/03/2000 PAT 7,181,690 which is a CON of 08/747,420 11/12/1996 PAT 6,219,045 which claims benefit of 60/020,296 11/13/1995

Foreign Applications

If Required, Foreign Filing License Granted: 03/30/2009

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 12/406.968**

Projected Publication Date: 07/16/2009

Non-Publication Request: No Early Publication Request: No

** SMALL ENTITY **

page 1 of 3

Title

SYSTEM AND METHOD FOR ENABLING USERS TO INTERACT IN A VIRTUAL SPACE

Preliminary Class

715

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and quidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

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set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign AssetsControl, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

COPY MAILED

ANATOLY S. WEISER 3525 DEL MAR HEIGHTS ROAD, #295 SAN DIEGO CA 92130

APR 1 0 2009

OFFICE OF PETITIONS

In re Application of

Leahy, Challinger, Adler, Ardon

Application No.: 12/406,968 Filed: March 19, 2009

: DECISION REFUSING **RULE 47(a) STATUS**

Attorney Docket No: AP019CON3

For: SYSTEM AND METHOD FOR ENABLING USERS TO INTERACT

IN A VIRTUAL SPACE

This is a decision on the petition under 37 CFR 1.47(a) filed March 19, 2009.

The petition under 37 CFR 1.47(a) is dismissed.

Any request for reconsideration under this decision must be submitted within TWO (2) MONTHS from the mail date of this decision. Extensions of time under 37 CFR 1.136(a) are permitted. Failure to respond will result in the abandonment of this application. Any response should be titled "Request for Reconsideration of Petition Under 37 CFR 1.47(b).

The above-identified application was filed on March 19, 2009, and was accompanied by the instant petition under 37 CFR 1.47(a). Petitioner states that status under 37 CFR 1.47(a) was previously granted in applications 08/747,420 and 11/591,878 of which the subject application is a continuation. A copy of the declaration filed in application 08/747,420 accompanied the petition papers¹.

In accordance with 37 CFR 1.63(d)(3), applicants have submitted a copy of the executed declaration submitted in Application No. 08/747,420, filed November 12, 1996, of which the present filing is a continuation application. The petition was not accompanied by a copy of the decision granting the petition under 37 CFR 1.47(a) in the prior application and the undersigned was not able to retrieve a copy of the decision from application 08/747,420. In the normal

¹ It is noted that the copy of the declaration under 37 CFR 1.63 first filed in application 08/747,420 filed states that: "I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56." All declarations under 37 CFR 1.63 filed after June 1, 2008, must include the language expressly set forth in 37 CFR 1.63(b)(3), which states that the person making the oath or declaration acknowledges the duty to disclose to the Office all information known to the person to be material to patentability as defined by §1.56. See 37 CFR 1.63(b)(3). It is further noted that for continuing application filed under 37 CFR 1.53(b), other than continuation-in-part application, the Office will accept an oath or declaration that contains outdated language if the oath or declaration otherwise complied with 37 CFR 1.63 and either: 1) was filed prior to June 1, 2008; or 2) is being filed in continuation or divisional application in which a claim for benefit under 35 USC 120 has been made to a prior-filed co-pending non-provisional application, and the oath or declaration is a copy of the previously accepted oath or declaration that was filed prior to June 1, 2008.

course, if Rule 1.47(a) status is granted in a prior application, the subject continuation application would also be accorded status under 37 CFR 1.47(a). Such status cannot be accorded at this juncture because status under 37 CFR 1.47(a) in the prior application could not be confirmed. Petitioner may file a renewed petition under 37 CFR 1.47(a) and provide a copy of the decision granting Rule 47 status in application 08/747,420.

Further correspondence with respect to this matter should be addressed as follows:

By mail:

Mail Stop Petitions

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

By FAX:

571-273-8300

Attn: Office of Petitions

Telephone inquiries concerning this decision should be directed to the undersigned at (571) 272-3222.

Kenya A. McLaughlin

Petitions Attorney

Office of Petitions

Electronic Acknowledgement Receipt				
EFS ID:	5323277			
Application Number:	12406968			
International Application Number:				
Confirmation Number:	6412			
Title of Invention:	SYSTEM AND METHOD FOR ENABLING USERS TO INTERACT IN A VIRTUAL SPACE			
First Named Inventor/Applicant Name:	Dave Leahy			
Customer Number:	35070			
Filer:	Anatoly Weiser.			
Filer Authorized By:				
Attorney Docket Number:	AP019CON3			
Receipt Date:	12-MAY-2009			
Filing Date:	19-MAR-2009			
Time Stamp:	19:18:47			
Application Type:	Utility under 35 USC 111(a)			

Payment information:

Submitted with Payment	no
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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Petition for review by the Office of	Decision On Petition From Grand	1722581	no	3
	Petitions.	parent-Image.pdf	81e2a5bc459121736eba41f476515a40355f 7da6		

Warnings:

Information:

2	Petition for review by the Office of	Petition Re Absent Inventor- Request For Reconsideration Plu		no	7
Petitions.	sPetitionOriginalWithDecisionI nGrandparent.pdf	c78cf5399d66c04e9d8d16b8ac8d4332b6d f3888			
Warnings:					
Information:					
		Total Files Size (in bytes)	40)55847	

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Dave Leahy et al.

Serial No.: 12/406,968

Filed: March 19, 2009

For: System and Method for Enabling

USERS TO INTERACT IN A VIRTUAL

SPACE

Group Art Unit: 2173

Examiner: To Be Assigned

Attorney File No.: AP 019CON3

Office Action Mailed On: N/A

Confirmation No.: 6412

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Assignee of the present application, Worlds.com, Inc., hereby notifies the Commissioner that on 12/24/2008 it filed an action for infringement of U.S. Patent Number 7,181,690, which issued on an application in the chain of priority of the present application, against NCsoft Corp., in the United States District Court for the Eastern District of Texas. A copy of the REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING PATENT OR TRADEMARK, filed with the Commissioner in U.S. Patent Number 7,181,690, is attached to this paper.

Respectfully submitted,

Dated: May 12, 2009 /Anatoly S. Weiser/

Anatoly S. Weiser, Reg. No. 43,229 3525 Del Mar Heights Road, #295

San Diego, CA 92130

(858) 720-9431

AO 120 (Rev. 3/04)

TO:

Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

Alexandria, VA 22313-1450		TRADEMARK			
filed in the U.S. D		rict of Te	cas on the foll	lowing Paten	ts or Trademarks:
DOCKET NO 6:08cv508	DATE FILED 12/24/2008	U.S. DI	STRICT COURT	Eastern District o	f Texas
PLAINTIFF WORLDS.COM INC.	•		DEFENDANT NCSOFT CC		
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDI	ER OF PATENT OR	TRADEMARK
1 US 7,181,690 B1	2/20/2007	Wor	lds.com Inc. (as	ssignee)	
2					
3					
4					
5					
DATE INCLUDED		patent(s)/ to	rademark(s) have b	een included:	Other Pleading
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDI	ER OF PATENT OR	TRADEMARK
1					
2					
3					
4					
5					
	ve—entitled case, the following	decision ha	s been rendered or	judgement issued:	
DECISION/JUDGEMENT					

Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy

UNITED STATES DISTRICT COURT EASTERN DISTRICT OF TEXAS TYLER DIVISION

WORLDS.COM INC.,		§	
		Š	Civil Action No. 6:08-cv-508
	Plaintiff,	8	
V.		Ş	Jury Demand
		§	
NCSOFT CORP.,		§	
		Ş	
	Defendant.	§	
		§	

COMPLAINT AND DEMAND FOR TRIAL BY JURY

Plaintiff Worlds.com Inc., for its complaint, hereby alleges as follows:

- 1. Worlds.com Inc. ("Worlds"), is a corporation organized and existing under the laws of the State of Massachusetts, having its principal place of business at 11 Royal Road, Brookline, Massachusetts 02445.
- Defendant NCSoft Corp. ("NCSoft"), is South Korean corporation having a place of business at 6801 N Capital of Texas Hwy. Building 1, Suite #102, Austin, Texas 78731.
- 3. This action is for patent infringement arising under the patent laws of the United States, 35 U.S.C. §§ 1 *et seq.* Subject matter jurisdiction is conferred upon this Court under 28 U.S.C. § 1338(a).
- 4. Venue is proper in this judicial district under 28 U.S.C. §§ 1391(b), 1391(c), and 1400(b).
- 5. Personal jurisdiction over the defendant comports with the United States Constitution because NCSoft is committing, inducing and/or contributing to the acts of patent infringement alleged in this Complaint in this district.

- 6. On February 20, 2007, United States Patent No. 7,181,690 ("the '690 Patent"), entitled "System and Method for Enabling Users to Interact in a Virtual Space," was duly and lawfully issued. A copy of the '690 Patent is attached as Exhibit A.
- 7. Worlds is the owner of the '690 Patent, and has the right to sue and recover damages for infringement thereof.
- 8. NCSoft is engaged in the marketing and sale of massive multiplayer online games, including, but not limited to City of Heroes, City of Villains, Dungeon Runners, Exteel, Guild Wars, Lineage, Lineage II, and Tablula Rasa, in the United States generally, and specifically in the Eastern District of Texas.
- 9. By such acts, NCSoft has directly and/or contributorily infringed, and/or induced infringement of, and is continuing to directly and/or contributorily infringe, and/or induce infringement of, the '690 Patent, by selling and offering to sell such products and by using and inducing others to use, sell, and offer to sell massive multiplayer online games within the scope of the '690 Patent.
- 10. Upon information and belief, after reasonable opportunity for further investigation and discovery, it is likely that the evidence will show that the acts of infringement of NCSoft have occurred with knowledge of the '690 Patent and are willful and deliberate. This action, therefore, is "exceptional" within the meaning of 35 U.S.C. § 285.
- 11. Worlds has been damaged by the infringement by NCSoft and is suffering, and will continue to suffer, irreparable harm and damage as a result of this infringement, unless such infringement is enjoined by this Court.
 - 12. Worlds has no adequate remedy at law.

WHEREFORE, Worlds demands judgment as follows:

- A. An order finding that the '690 Patent is valid and enforceable
- B. An order adjudging defendant NCSoft to have infringed the '690 Patent;
- C. An order finding that defendant NCSoft's acts in infringing the '690 Patent were willful and wanton;
- D. A permanent injunction enjoining NCSoft, together with its officers, agents, servants, employees, and attorneys, and all persons in active concert or participation with any of them who receive actual notice of the order by personal service or otherwise, from infringing the '690 Patent;
- E. An order directing NCSoft to file with the Court, and serve upon Worlds's counsel, within thirty (30) days after entry of the order of injunction, a report setting forth the manner and form in which it has complied with the injunction
- F. An award of damages adequate to compensate Worlds for the infringement of NCSoft, along with prejudgment and postjudgment interest, but in no event less than a reasonable royalty, such damages to be trebled pursuant to the provisions of 35 U.S.C. § 284;
- G. An award of Worlds's reasonable attorney fees and expenses, pursuant to the provisions of 35 U.S.C. § 285;
 - H. An award of World's costs; and
 - I. Such other and further relief as this Court may deem just and proper.

DEMAND FOR TRIAL BY JURY

Pursuant to Fed. R. Civ. P. 38(b), Worlds hereby demands a jury trial on all issues so triable raised in this action.

Respectfully submitted,

By: /s/Charles Ainsworth
Charles Ainsworth
State Bar No. 00783521
Robert Christopher Bunt
State Bar No. 00787165
PARKER, BUNT & AINSWORTH, P.C.
100 E. Ferguson, Suite 1114
Tyler, TX 75702
903/531-3535
903/533-9687

E-mail: charley@pbatyler.com
E-mail: rcbunt@pbatyler.com

OF COUNSEL:

Stephen F. Roth Orville R. Cockings LERNER, DAVID, LITTENBERG, KRUMHOLZ & MENTLIK, LLP 600 South Avenue West Westfield, NJ 07090-1497 Tel: (908) 654-5000 Fax: (908) 654-7866

ATTORNEYS FOR PLAINTIFF WORLDS.COM INC.

Electronic Acknowledgement Receipt				
EFS ID:	5323386			
Application Number:	12406968			
International Application Number:				
Confirmation Number:	6412			
Title of Invention:	SYSTEM AND METHOD FOR ENABLING USERS TO INTERACT IN A VIRTUAL SPACE			
First Named Inventor/Applicant Name:	Dave Leahy			
Customer Number:	35070			
Filer:	Anatoly Weiser.			
Filer Authorized By:				
Attorney Docket Number:	AP019CON3			
Receipt Date:	12-MAY-2009			
Filing Date:	19-MAR-2009			
Time Stamp:	19:38:10			
Application Type:	Utility under 35 USC 111(a)			

Payment information:

Submitted with Payment	no
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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Miscellaneous Incoming Letter	Letter Re Filing Infringement Acti on With Atatched Report Complai nt-Image.pdf		no	6
			e4af		

Warnings:

Information:

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

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National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

Electronic Acknowledgement Receipt				
EFS ID:	5323699			
Application Number:	12406968			
International Application Number:				
Confirmation Number:	6412			
Title of Invention:	SYSTEM AND METHOD FOR ENABLING USERS TO INTERACT IN A VIRTUAL SPACE			
First Named Inventor/Applicant Name:	Dave Leahy			
Customer Number:	35070			
Filer:	Anatoly Weiser.			
Filer Authorized By:				
Attorney Docket Number:	AP019CON3			
Receipt Date:	12-MAY-2009			
Filing Date:	19-MAR-2009			
Time Stamp:	21:06:49			
Application Type:	Utility under 35 USC 111(a)			

Payment information:

Submitted with Payment	no
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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Information Disclosure Statement (IDS) Filed (SB/08)	IDS-Image.pdf	295416 3e2fbe8655e807c50f38bfbf789318e4aa2fa	no	2
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Warnings:

Information:

This is not an US	SPTO supplied IDS fillable form				
	Information Disclosure Statement (IDS)		816958		
2	Filed (SB/08)	IDS1449-1through4-Image.pdf	93b61218083ba4fdb48e1c0361c6fe0b113 85207	no	4
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2	AIDL De sussesses		596809		_
3	NPL Documents	pub1.pdf	a600eee95e66ffd81d69e65ae037da1f841f 2c2c	no	2
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Information:					
4	NPL Documents	nuh2 ndf	833409	no	3
4	NEL Documents	pub2.pdf	9d7c16b2f667c74d6b0c46c8d604b35baa3 4a195	no	3
Warnings:					
Information:					
5	NPL Documents	pub3dash1.pdf	1239840	no	5
3	W E Documents	pabbadampar	99f1bbd031a1a2c7b64f5e2cad844592783 634ba	110	
Warnings:					
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6	NPL Documents	pub 3 dash 2.pdf	648433	no	3
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7	NPL Documents	pub4.pdf	1318597	no	5
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8	NPL Documents	pub5.pdf	770129	no	3
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9	NPL Documents	pub6.pdf	268038	no	1
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10	NPL Documents	pub7.pdf	1290655	no	6	
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11	NPL Documents	pub8.pdf	1392573	no no	6	
	THE Documents		8ec5f57ada3e5c48c8b681e369e3ca4ea021 ab10	110		
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12	NPL Documents	pub9.pdf	879083	no	3	
12	THE Documents	passipal	62bb06848466bd884db1aa931a7c9d9964 bac067	110		
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13	NPL Documents	pub10.pdf	1640338	no	7	
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14	NPL Documents	pub11.pdf	1697682	no	8	
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15	NPL Documents	pub13.pdf	1541426	no	6	
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16	NPL Documents	pub14.pdf	1997227	no	7	
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17	NPL Documents	pub 15 dash 1.pdf	3760042	no	17	
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Warnings:					
Information:					
	Total Files Size (in bytes)		25.	336442	

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

Commissioner for Patent United States Patent and Trademark Offic Washington, D.C. 2023 www.uspto.go

Paper No. 29

TOWNSEND & TOWNSEND & CREW 8TH FLOOR TWO EMBARCADERO CENTER SAN FRANCISCO, CA 94111-3834

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SPECIAL PROGRAMS OFFICE DAC FOR PATENTS

In re Application of Leahy, Challinger, Adler, and Ardon Application No. 08/747,420 Filed: 12 November, 1996 Attorney Docket No. 17376-5

: DECISION ACCORDING STATUS : UNDER 37 CFR 1.47(a)

This is in response to the renewed petition under 37 CFR 1.47(a)¹ filed on 7 and supplemented by facsimile on 18 August, 2000.

The petition is **GRANTED**.

Petitioner has shown that the non-signing inventor has refused to join in the filing of the above-identified application after having been presented with the application papers. Specifically, the declaration of facts of Philip R. Albert establishes that the non-signing inventor was presented with a copy of the application papers but refused to execute the declaration.

The above-identified application and papers have been reviewed and found in compliance with 37 CFR 1.47(a). This application is hereby accorded Rule 1.47(a) status.

As provided in Rule 1.47(a), this Office will forward notice of this application's filing to the non-signing inventor at the address given in the Declaration. Notice of the filing of this application will also be published in the Official Gazette.

§§ 115 and 116;

A grantable petition under 37 CFR 1.47(a) requires:

⁽¹⁾ proof that the non-signing inventor cannot be reached or refuses to sign the oath or declaration after having been presented with the application papers (specification, claims and drawings);

(2) an acceptable oath or declaration in compliance with 35 U.S.C.

⁽³⁾ the petition fee; and

⁽⁴⁾ a statement of the last known address of the non-signing inventor.

After this decision is mailed, the application will be forwarded to the Office of Initial Patent Examination for correction of the bibliographic data sheet and issuance of a corrected Filing Receipt as requested in the facsimile supplement to the petition filed on 18 August, 2000. Thereafter, the file will be forwarded to Publishing Division for processing into a patent.

It is noted that petitioner has withdrawn the petition to correct the non-signing inventor's name.

Telephone inquiries related to this decision should be directed to Petitions Attorney Douglas I. Wood at 703-308-6918.

Beverly M. Flanagan

Supervisory Petitions Examiner

Office of Petitions

Office of the Deputy Commissioner

for Patent Examination Policy



United States Patent and Trademark Office

Paper No. 30

COPY MAILED

SEP 1 9 2000

SPECIAL PHUGHAMS UFFICE DAC FOR PATENTS

S. Mitra Ardon 1056 Noe San Fransisco, CA 94114

In re Application of Leahy et al.

Application No. 08/747,420 Filed: 12 November, 1996

For: Scalable Virtual Word Chat Client-Server System

Dear Mr. Ardon:

You are named as a joint inventor in the above identified United States patent application, filed under the provisions of 35 U.S.C. 116 (United States Code), and 37 CFR 1.47(a), Rules of Practice in Patent Cases. Should a patent be granted on the application you will be designated therein as a joint inventor.

As a named inventor you are entitled to inspect any paper in the file wrapper of the application, order copies of all or any part thereof (at a prepaid cost per 37 CFR 1.19) or make your position of record in the application. Alternatively, you may arrange to do any of the preceding through a registered patent attorney or agent presenting written authorization from you. If you care to join the application, counsel of record (see below) would presumably assist you. Joining in the application would entail the filing of an appropriate oath or declaration by you pursuant to 37 CFR

Telephone inquiries regarding this communication should be directed to Petitions Attorney Douglas 1. Wood at 703/308-6918. Requests for information regarding your application should be directed to the File Information Unit at 703/308-2733. Information regarding how to pay for and order a copy of the application, or a specific paper in the application, should be directed to Certification Division at 703/308-9726 or 1-800-972-6382 (outside the Washington D.C. area).

Beverly M. Flanagan

Supervisory Petitions Examiner

Office of Petitions

Office of the Deputy Commissioner for Patent Examination Policy

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TWO EMBARCADERO CENTER SAN FRANSICO, CA 94111-3834

Approved for use through 01/31/2009. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Substitu	te for form 1449/PTO				Complete if Known
Cubsut	ite lor lo _r iii 1443/170			Application Number	12/406,968
INF	ORMATION	I DIS	CLOSURE	Filing Date	March 19, 2009
STA	NFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary) heet 1 of 4	PPLICANT	First Named Inventor	Dave Leahy	
			Art Unit	To Be Assigned	
	(Use as many sm	eets as n	lecessary)	Examiner Name	To Be Assigned
Sheet	1	of	4	Attorney Docket Number	AP 019CON3

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
	1	ANDREW REESE et al., Kesami Air Warrior, http://www.atarimagazines.com/startv3n2/kesamiwarrior.html, 1/12/09	
	2	ERIC KRANGEL,\$50,000 Reward Offered For Proofs Worlds.Com Patent Lawsuit is Bogus! www.alleyinsider.com/2009/1/50000-reward-offered-for-proof-worldscom-patent-lawsuit-is-bogus	
		1/14/2009	
	3	Worlds.com Files Suit Against NCsoft for Patent Infringement, http://www.virtualworldsnews.com/2008/12/worldscom-files-suit-against-ncsoft.html, 12/29/2008	
	4	Worlds.com v. NCsoft Update, http://www.virtualworldsnews.com/2008/12/worldscom-v-ncsoft-update.html, 12/29/2008	
	5	Worlds.com Hires IP Firm for Licensing worldscom-hires-ip-firm-for-licensing-to-begin-contacting-virtual-world-companies-shortly.html, 12/11/2008	
	6	Worlds.com patent litigation could ripple through virtual worlds, http://govemment.zdnet.com/?p=4246, 12/29/2008	
	7	Worlds.com patent litigation could ripple through virtual worlds,http://talkback.zdnet.com/5206-11155-O.html?forumID=1 &threadID=55880, 12/29/2008	
	8	Worlds.com Sues NCsoft For Patent Infringement, http://news.mmosite.com/content/2008-12-29/20081229225459551.shtml, 12/30/2008	
	9	NCsoft Sued for Patent Infringement, http://www.edge-online.com/news/ncsoft-sued-patent-infringement, 12/30/2008	

Examiner	Date	
Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449/PTO Application Number			Complete if Known		
Oubsum			Application Number	12/406,968	
INF	ORMATION	DIS	CLOSURE	Filing Date	March 19, 2009
STA	TEMENT E	BY A	PPLICANT	First Named Inventor	Dave Leahy
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	(Use as many sinc	V (3 d3 1	eccessary)	Examiner Name	To Be Assigned
Sheet	2	of	4	Attorney Docket Number	AP 019CON3

Examiner	Cite	NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of	
Examiner Initials*	No.1	the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
	10	3D Virtual Worlds Patented! Lawsuits Started, http://www.techdirt.com/articles/20081230/0128173245.shtml, 12/20/2008	
-	11	ANGELA GUNN, Virtual worlds firm files IP suit on Christmas Eve, http://www.betanews.com/article/Virtual_worlds_firm_files_/IP_suite_onChristmas_Eve//I230595222, 12/29/2008	
	12	LEIGH ALEXANDER, Report: Worlds.com Hits Ncsoft With Patent Infringement Suit, http://www.gamasutra.conl/php-bin/news_index.php?story=21681, 12/30/2008	
	13	NCSoft sued by MMO patent holders, http://www.bit-tech.net/news/2009/01/06/ncsoft-sued-by-worlds-com/1, 1/6/2009	
	14	NCSoft sued by MMO, http://www.bit-tech.net/showthread.php?t=162548, 1/6/2009	
	15	TATERU NINO, Patents threaten virtual worlds, MMOGs, http://www.massively.com/2008/12/12/patents-hreaten-virtual-worlds-mmogs, 12/12/2008	
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Examiner	Date	·
Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

^{US-} 4,949,248

Sheet 3

Application Number	12/406,968	
Filing Date	March 19, 2009	
First Named Inventor	Dave Leahy	
Art Unit	To Be Assigned	
Examiner Name	To Be Assigned	
Attorney Docket Number	AP 019CON3	

all

			U. S. PATEN	T DOCUMENTS	
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (f known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	16	^{US-} 4,414,621	11-08-1983	Bown et al.	all
	17	^{US-} 4,441,162	04-03-1984	Lillie	all
	18	^{US-} 4,493,021	01-08-1985	Agrawal, et al.	all
	19	^{US-} 4,503,499	03-05-1985	Mason, et al	all
	20	^{US-} 4,551,720	11-05-1985	Levin	all
	21	^{US-} 4,654,483	03-31-1987	lmai, et al.	all
	22	^{US-} 4,686,698	08-11-1987	Tompkins, et al.	all
	23	^{US-} 4,718,005	01-05-1988	Feigenbaum, et al.	all
	24	^{US-} 4,768,150	08-30-1988	Chang, et al.	all
	25	^{US-} 4,777,595	10-11-1988	Strecker, et al.	all
	26	^{US-} 4,780,821	10-25-1988	Crossley	all
	27	^{US-} 4,796,293	01-03-1989	Blinken, et al.	all
	28	^{US-} 4,814,984	03-21-1989	Thompson	all
	29	^{US-} 4,825,354	04-25-1989	Agrawal, et al.	all
	30	US- 4,887,204	12-12-1989	Johnson, et al.	all
	31	^{US-} 4,897,781	01-30-1990	Chang, et al.	ali
	32	^{US-} 4,937,784	06-26-1990	Masai, et al.	all
	33	^{US-} 4,939,509	07-03-1990	Bartholomew, et al.	all

		FORE	IGN PATENT DOCU	MENTS		
	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	Γ
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08-14-1990

Date Examiner Considered Signature

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				DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ^{2 (# known)}			
	35	^{US-} 4,949,254	08-14-1990	Shorter	all
	36	^{US-} 5,008,853	04-16-1991	Bly, et al.	all
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		US-			

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
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Examiner	Date	
Signature	Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered, Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁶Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Dave Leahy et al.

Serial No.: 12/406,968

Filed: March 19, 2009

For: SYSTEM AND METHOD FOR ENABLING

USERS TO INTERACT IN A VIRTUAL

SPACE

Group Art Unit: 2173

Examiner:

To Be Assigned

Attorney File No.: AP 019CON3

Office Action Mailed On: N/A

Confirmation No.: 6412

Mail Stop Petition Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

REQUEST FOR RECONSIDERATION OF PETITION UNDER 37 C.F.R. § 1.47(a)

The above-referenced application was filed with signed § 1.63 declarations (from the original utility parent application) of three of the four joint inventors. The remaining joint inventor, S. [Mitra] Ardon, refuses to sign a § 1.63 declaration, notwithstanding the fact that he is under an obligation to do so at the request of the Assignee of the signing inventors' interests, Worlds Inc. ("Worlds").

ELECTRONIC FILING

Transmitted electronically to the Patent and Trademark Office.

Depositor's Name: Anatoly S. Weiser

Date: May 12, 2009

Signature: /Anatoly S. Weiser/

AP 019CON2

Patent

Therefore, Worlds filed a petition on March 18, 2009, that it be allowed to proceed with

prosecution of this application without Mr. Ardon's signature, as allowed under 37 CFR §1.47. The

reasons are fully set forth in the analogous petition filed in August of 2000 in the parent application

serial number 08/747,420; and in the analogous petition entered in November 2, 2006, in the parent

application serial number 11/591,878.

The petition in the instant case was dismissed on April 10, 2009, for the purported reason that

the petition was not accompanied by a copy of the decision granting the petition in application serial

number 08/747,420. We respectfully note that the petition in the instant application was in fact

attached to the decision granting the petition in serial number 08/747,420. The decision was pages

3-5 of the petition in the instant application. A conformed copy of the petition in the instant

application with the attached decision is attached to this paper; it also appears on the PAIR database

on this date. In any event, the defect, such as it is, is being remedied by the attachment to this paper

and by separate filing in the instant application of the decision in serial number 08/747,420.

No payment is believed to be due for filing this Request. If the undersigned is mistaken

regarding the fee, payment of all fees necessary for filing of this Request and the Preliminary

Amendment filed together with this Request, applicable to small entities, is hereby authorized to be

charged to Deposit Account Number 50-3196.

Respectfully submitted,

Dated: May 12, 2009

/Anatoly S. Weiser/

Anatoly S. Weiser, Reg. No. 43,229

3525 Del Mar Heights Road, #295

San Diego, CA 92130

(858) 720-9431

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0096

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Dave Leahy et al.

Serial No.: To Be Assigned

Filed: January 13, 2009

For: System and Method for Enabling

USERS TO INTERACT IN A VIRTUAL

SPACE

Group Art Unit: To Be Assigned

Examiner: To Be Assigned

Attorney File No.: AP 019CON3

Office Action Mailed On: N/A

Confirmation No.:

Mail Stop Petition Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

<u>PETITION BY ASSIGNEE FOR FILING PATENT APPLICATION UNDER 37</u> <u>C.F.R. § 1.47(a)</u>

The above-referenced application is filed herewith with signed § 1.63 declarations (from the original utility parent application) of three of the four joint inventors. The remaining joint inventor, S. [Mitra] Ardon, refuses to sign a § 1.63 declaration, notwithstanding the fact that he is under an obligation to do so at the request of the Assignee of the signing inventors' interests, Worlds Inc. ("Worlds"). Therefore, Worlds petitions that it be allowed to proceed with prosecution of this application without Mr. Ardon's signature, as allowed under 37 CFR §1.47. The reasons are fully set

ELECTRONIC FILING

Transmitted electronically to the Patent and Trademark Office.

Depositor's Name: Anatoly S. Weiser

Date: January 13, 2009

Signature: /Anatoly S. Weiser/

AP 019CON3

Patent

forth in the analogous petition filed in August of 2000 in the parent application serial number

08/747,420; and in the analogous petition of November 2, 2006, in the parent application serial

number 11/591,878. Both petitions in the parent applications were granted or entered, and Assignee

requests Official Notice to be taken of those petitions and the decisions thereon.

Payment of the petition fee under 37 C.F.R. 1.17(g) is made together with the filing of the

present petition, and any shortfall is also authorized to be charged to Deposit Account Number 50-

3196.

Respectfully submitted,

Dated: March 18, 2009

/Anatoly S. Weiser/

Anatoly S. Weiser, Reg. No. 43,229

3525 Del Mar Heights Road, #295

San Diego, CA 92130

(858) 720-9431

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0098

Commissioner for Patents
United States Patent and Trademark Office
Washington, D.C. 2023
www.uspto.go

Paper No. 29

TOWNSEND & TOWNSEND & CREW 8TH FLOOR TWO EMBARCADERO CENTER SAN FRANCISCO, CA 94111-3834

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SEP 1 9 2000

SPECIAL PROGRAMS OFFICE DAC FOR PATENTS

In re Application of Leahy, Challinger, Adler, and Ardon Application No. 08/747,420 Filed: 12 November, 1996 Attorney Docket No. 17376-5

DECISION ACCORDING STATUS UNDER 37 CFR 1.47(a)

This is in response to the renewed petition under 37 CFR 1.47(a)¹ filed on 7 and supplemented by facsimile on 18 August, 2000.

The petition is **GRANTED**.

Petitioner has shown that the non-signing inventor has refused to join in the filing of the above-identified application after having been presented with the application papers. Specifically, the declaration of facts of Philip R. Albert establishes that the non-signing inventor was presented with a copy of the application papers but refused to execute the declaration.

The above-identified application and papers have been reviewed and found in compliance with 37 CFR 1.47(a). This application is hereby accorded Rule 1.47(a) status.

As provided in Rule 1.47(a), this Office will forward notice of this application's filing to the non-signing inventor at the address given in the Declaration. Notice of the filing of this application will also be published in the Official Gazette.

§§ 115 and 116;

A grantable petition under 37 CFR 1.47(a) requires:

⁽¹⁾ proof that the non-signing inventor cannot be reached or refuses to sign the oath or declaration after having been presented with the application papers (specification, claims and drawings);

(2) an acceptable oath or declaration in compliance with 35 U.S.C.

⁽³⁾ the petition fee; and

⁽⁴⁾ a statement of the last known address of the non-signing inventor.

After this decision is mailed, the application will be forwarded to the Office of Initial Patent Examination for correction of the bibliographic data sheet and issuance of a corrected Filing Receipt as requested in the facsimile supplement to the petition filed on 18 August, 2000. Thereafter, the file will be forwarded to Publishing Division for processing into a patent.

It is noted that petitioner has withdrawn the petition to correct the non-signing inventor's name.

Telephone inquiries related to this decision should be directed to Petitions Attorney Douglas I. Wood at 703-308-6918.

Beverly M. Flanagan

Supervisory Petitions Examiner

Office of Petitions

Office of the Deputy Commissioner for Patent Examination Policy



United States Patent and Trademark Office

Paper No. 30

COPY MAILED

SEP 1 9 2000

SPECIAL PHUGHAMS UFFICE DAC FOR PATENTS

S. Mitra Ardon 1056 Noe San Fransisco, CA 94114

In re Application of Leahy et al.

Application No. 08/747,420 Filed: 12 November, 1996

For: Scalable Virtual Word Chat Client-Server System

Dear Mr. Ardon:

You are named as a joint inventor in the above identified United States patent application, filed under the provisions of 35 U.S.C. 116 (United States Code), and 37 CFR 1.47(a), Rules of Practice in Patent Cases. Should a patent be granted on the application you will be designated therein as a joint inventor.

As a named inventor you are entitled to inspect any paper in the file wrapper of the application, order copies of all or any part thereof (at a prepaid cost per 37 CFR 1.19) or make your position of record in the application. Alternatively, you may arrange to do any of the preceding through a registered patent attorney or agent presenting written authorization from you. If you care to join the application, counsel of record (see below) would presumably assist you. Joining in the application would entail the filing of an appropriate oath or declaration by you pursuant to 37 CFR

Telephone inquiries regarding this communication should be directed to Petitions Attorney Douglas 1. Wood at 703/308-6918. Requests for information regarding your application should be directed to the File Information Unit at 703/308-2733. Information regarding how to pay for and order a copy of the application, or a specific paper in the application, should be directed to Certification Division at 703/308-9726 or 1-800-972-6382 (outside the Washington D.C. area).

Beverly M. Flanagan

Supervisory Petitions Examiner

Office of Petitions

Office of the Deputy Commissioner for Patent Examination Policy

TOWNSEND & TOWNSEND & CREW 8TH FLOOR TWO EMBARCADERO CENTER SAN FRANSICO, CA 94111-3834

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Dave Leahy et al.

Serial No.: 12/406,968

Filed:

March 19, 2009

For:

SYSTEM AND METHOD FOR ENABLING

USERS TO INTERACT IN A VIRTUAL

SPACE

Group Art Unit: 2173

Examiner:

To Be Assigned

Attorney File No.: AP 019CON3

Office Action Mailed On: N/A

Confirmation No.: 6412

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Attached hereto are four pages of Form PTO-1449 (or substitute therefor) listing documents believed relevant to the above-referenced Application. It is respectfully requested that these documents be considered by the Examiner and an initialed copy of each page be returned to the undersigned attorney.

This disclosure statement should not be construed as a representation that no other material information as defined in 37 C.F.R. § 1.56(a) exists.

The undersigned attorney believes that this disclosure complies with the requirements of 37 C.F.R. §§1.56, 1.97, & 1.98, and the Manual of Patent Examining Procedure §609. If the Examiner considers otherwise, the Examiner is respectfully requested to call the undersigned attorney so that any deficiencies can be remedied.

A copy of each document, other than U.S. patents and published applications, is enclosed. Some documents may have markings thereon. We do not intend any significance to attach to the markings.

AP 019 CON2 Patent

These documents are not necessarily analogous art.

No fee is believed due for this filing because the filing is made within three months of filing date of a national application and before mailing of a first Office action on the merits. 37. C.F.R. §§1.97(b)(1) & (3).

To discuss any matter pertaining to the above-referenced Application, the Examiner is invited to call the undersigned attorney at (858) 720-9431.

Respectfully submitted,

Dated: May 12, 2009

/Anatoly S. Weiser/
Anatoly S. Weiser
Intellectual Property Legal Counsel
3525 Del Mar Heights Rd, #295
San Diego, CA 92130
(858) 720-9431
Reg. No. 43,229



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

www.uspto.gov

ANATOLY S. WEISER 3525 DEL MAR HEIGHTS ROAD, #295 SAN DIEGO CA 92130 COPY MAILED
JUN 1 9 2009

OFFICE OF PETITIONS

: DECISION ON

PETITION

In re Application of

Leahy, Challinger, Adler, Ardon Application No.: 12/406,968

Filed: March 19, 2009

Attorney Docket No: AP019CON3

For: SYSTEM AND METHOD FOR ENABLING USERS TO INTERACT

IN A VIRTUAL SPACE

This is in response to the renewed petition under 37 CFR 1.47(a), filed May 12, 2009.

In accordance with 37 CFR 1.63(d)(3), applicants have submitted a copy of the executed declaration submitted in Application No. 08/747,420, filed November 12, 1996, of which the present filing is a continuation application. The petition was not accompanied by a copy of the decision granting the petition under 37 CFR 1.47(a) in the prior application and the undersigned was not able to retrieve a copy of the decision from application 08/747,420. Petitioner has provided a copy of the decision from application 08/747,420 granting Rule 1.47(a) status in the prior application.

As Rule 1.47(a) status was granted in the prior application, this application is hereby <u>accorded</u> Rule 1.47(a) status. As no petition is necessary to accord Rule 1.47(a) status in this application, the petition is <u>dismissed as moot</u>. No petition fee is necessary.

Pursuant to 37 CFR 1.47(c), the Office is dispensing with the notice provision in the present application because notice was provided after the grant of Rule 1.47(a) status in the prior application.

The matter is being referred to the Office of Patent Application Processing for further review.

Telephone inquiries regarding this decision should be directed to the undersigned at (571) 272-3222.

Kenya G. ufghly Kenya A. McLaughlin

Petitions Attorney Office of Petitions



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Sox 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NUMBER

FILING OR 371(C) DATE

ATTY. DOCKET NO./TITLE AP019CON3

12/406,968

03/19/2009

FIRST NAMED APPLICANT Dave Leahy

CONFIRMATION NO. 6412

PUBLICATION NOTICE

35070 ANATOLY S. WEISER 3525 DEL MAR HEIGHTS ROAD, #295 SAN DIEGO, CA 92130

Title:SYSTEM AND METHOD FOR ENABLING USERS TO INTERACT IN A VIRTUAL SPACE

Publication No.US-2009-0183089-A1 Publication Date: 07/16/2009

NOTICE OF PUBLICATION OF APPLICATION

The above-identified application will be electronically published as a patent application publication pursuant to 37 CFR 1.211, et seg. The patent application publication number and publication date are set forth above.

The publication may be accessed through the USPTO's publically available Searchable Databases via the Internet at www.uspto.gov. The direct link to access the publication is currently http://www.uspto.gov/patft/.

The publication process established by the Office does not provide for mailing a copy of the publication to applicant. A copy of the publication may be obtained from the Office upon payment of the appropriate fee set forth in 37 CFR 1.19(a)(1). Orders for copies of patent application publications are handled by the USPTO's Office of Public Records. The Office of Public Records can be reached by telephone at (703) 308-9726 or (800) 972-6382, by facsimile at (703) 305-8759, by mail addressed to the United States Patent and Trademark Office, Office of Public Records, Alexandria, VA 22313-1450 or via the Internet.

In addition, information on the status of the application, including the mailing date of Office actions and the dates of receipt of correspondence filed in the Office, may also be accessed via the Internet through the Patent Electronic Business Center at www.uspto.gov using the public side of the Patent Application Information and Retrieval (PAIR) system. The direct link to access this status information is currently http://pair.uspto.gov/. Prior to publication, such status information is confidential and may only be obtained by applicant using the private side of PAIR.

Further assistance in electronically accessing the publication, or about PAIR, is available by calling the Patent Electronic Business Center at 1-866-217-9197.

Office of Data Managment, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
12/406,968	03/19/2009	Dave Leahy	AP019CON3	6412
35070 ANATOLY S.	7590 02/01/201 WEISER	EXAMINER		
	R HEIGHTS ROAD, #	NGUYEN, CAO H		
SAN DIEGO, C	A 92130		ART UNIT	PAPER NUMBER
			2173	
			MAIL DATE	DELIVERY MODE
			02/01/2010	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

	Application No.	Applicant(s)			
Office Action Summary	12/406,968	LEAHY ET AL.			
Office Action Summary	Examiner	Art Unit			
	Cao (Kevin) Nguyen	2173			
The MAILING DATE of this communication app Period for Reply	ears on the cover sheet with the c	orrespondence address			
A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION. - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication. - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication. - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).					
Status					
1) Responsive to communication(s) filed on 19 Ma	<u>arch 2009</u> .				
2a) This action is FINAL . 2b) ☑ This					
3) Since this application is in condition for allowar	nce except for formal matters, pro	secution as to the merits is			
closed in accordance with the practice under <i>E</i>	x parte Quayle, 1935 C.D. 11, 45	33 O.G. 213.			
Disposition of Claims					
 4) Claim(s) 4-11 is/are pending in the application. 4a) Of the above claim(s) is/are withdrawn from consideration. 5) Claim(s) is/are allowed. 6) Claim(s) 4-11 is/are rejected. 7) Claim(s) is/are objected to. 8) Claim(s) are subject to restriction and/or election requirement. 					
Application Papers					
9) The specification is objected to by the Examiner. 10) The drawing(s) filed on is/are: a) accepted or b) objected to by the Examiner. Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a). Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d). 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.					
Priority under 35 U.S.C. § 119					
 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) All b) Some * c) None of: 1. Certified copies of the priority documents have been received. 2. Certified copies of the priority documents have been received in Application No 3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)). * See the attached detailed Office action for a list of the certified copies not received. 					
Attachment(s)	_				
 Notice of References Cited (PTO-892) Notice of Draftsperson's Patent Drawing Review (PTO-948) Information Disclosure Statement(s) (PTO/SB/08) Paper No(s)/Mail Date <u>5/09</u>. 	4) Interview Summary Paper No(s)/Mail Da 5) Notice of Informal P 6) Other:	ite			

Art Unit: 2173

DETAILED ACTION

Double Patenting

The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. A nonstatutory obviousness-type double patenting rejection is appropriate where the conflicting claims are not identical, but at least one examined application claim is not patentably distinct from the reference claim(s) because the examined application claim is either anticipated by, or would have been obvious over, the reference claim(s). See, e.g., *In re Berg*, 140 F.3d 1428, 46 USPQ2d 1226 (Fed. Cir. 1998); *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); and *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) or 1.321(d) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent either is shown to be commonly owned with this application, or claims an invention made as a result of activities undertaken within the scope of a joint research agreement.

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

Claims 4-11 are rejected on the ground of nonstatutory double patenting over claims 1-20 of U. S. Patent No. 7,181,690 B1 since the claims, if allowed, would improperly extend the "right to exclude" already granted in the patent.

The subject matter claimed in the instant application is fully disclosed in the patent and is covered by the patent since the patent and the application are claiming common subject matter, as follows:

Claim 1 (US Patent No. 7,181,690). A method	Claim 1 (Instant Application).
for operating a server to enable a plurality of	A method for enabling a first user to interact
users to interact in a virtual space, wherein	with other users in a virtual space, each user

Art Unit: 2173

each user has a computer associated therewith, wherein each computer has a client process associated therewith, wherein each client process has an avatar associated therewith, wherein the server has a process associated therewith, and wherein each client process is in communication with the server process, comprising: (a) receiving, from each client process by the server process, data indicating a position of the avatar associated with the client process; and (b) synchronously disseminating less than all of the positions of the avatars not associated with a particular client process to each of the other client processes so that the particular client process can determine from the positions a set of avatars that are to be displayed.

being associated with a three dimensional avatar representing the user in the virtual space, the method comprising:
customizing, using a client device processor, an avatar in response to input by the first user input;
receiving, by the client device, position information associated with fewer than all of the other user avatars from a server process; and determining, by the client device, a displayable set of the other user avatars associated with the client device display.

Art Unit: 2173

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Furthermore, there is no apparent reason why applicant was prevented from presenting claims corresponding to (a) receiving, from each client process by the server process, data indicating a position of the avatar associated with the client process; and (b) synchronously disseminating less than all of the positions of the avatars not associated with a particular client process to each of the other client processes so that the particular client process can determine from the positions a set of avatars that are to be displayed, but may not perform any unauthorized operations on the first computer of the instant application during prosecution of the application which matured into a patent. See also MPEP § 804.

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later

Application/Control Number: 12/406,968

Art Unit: 2173

invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103© and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

Claims 4-11 are rejected under 35 U.S.C. 103(a) as being unpatentable over Shiio (US Patent No. 5,491,743) in view of Farmer et al. (US Patent No. 6,229,533).

Regarding claim 4, Shiio discloses a method for enabling a first user to interact with other users in a virtual space, each user being associated with a three dimensional avatar representing the user in the virtual space, the method comprising: customizing, using a client device processor, an avatar in response to input by the first user input (animated characteristics representing operators in virtual conference; see col. 5, lines 16-62); receiving, by the client device, position information associated with fewer than all of the other user avatars from a server process (see col. 5, lines 42-60); however, Shiio fails to explicitly teach determining, by the client device, a displayable set of the other user avatars associated with the client device display.

Farmer discloses determining from the received positions a subset of the other users' avatars that are to be displayed to the first user (..ghost objects have anonymity, and have limited interaction choices; see col. 5, lines 1-36).

It would have been obvious to one of ordinary skill in the art, having the teachings of Shiio and Farmer before him at the time the invention was, modify the virtual conference system user of Shiio to include the ghost object for a virtual world, as taught by Farmer. One would have been motivated to make such a combination in order to in order to promote personal, one-one type relationships which encourage a sense of community, most locales have limits to the number of avatars that can be physically present at one time.

Page 5

Regarding claim 5, Shiio discloses further comprising the steps of: monitoring an orientation of the first user avatar, and displaying on the client device all or less than all of the displayable set based on the monitored orientation of the first user avatar (see figures 4-5).

Regarding claim 7, Farmer discloses wherein customizing further comprises accessing a first database containing custom avatar images (see col. 13, lines 13-51 and figures 4-5).

Regarding claim 8, Shiio discloses wherein customizing further comprises selecting information from the first database that is used to render the avatar (see col. 13, lines 14-67).

Regarding claims 9, Shiio discloses further comprising storing an entry including a pointer to an image associated with the other user avatars for which position information has been received (see col. 6, lines 49-67 and col. 7, lines 1-21).

Regarding claims 10, Shiio discloses wherein the virtual space further comprises two or more virtual rooms and the method further comprises an avatar teleporting from a first virtual room to a second virtual room (see col. 7, lines 15-62.)

Claim 11 differs from claim 4 in that "create a custom avatar in response to input by the first user; receive position information associated with fewer than all of the other user avatars; and determine a set of the other users' avatars displayable on a screen associated with the client device." which read on Shiio (see col. 14, lines 42-67).

Art Unit: 2173

Conclusion

The prior art made of record and not relied upon is considered pertinent to applicant's disclosure (see PTO-892).

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Cao (Kevin) Nguyen whose telephone number is (571)272-4053. The examiner can normally be reached on 8:30AM-5:00PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Kieu Vu can be reached on (571)272-4057. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Cao (Kevin) Nguyen/ Primary Examiner, Art Unit 2173

1/27/10

Notice of References Cited Application/Control No. 12/406,968 Examiner Cao (Kevin) Nguyen Applicant(s)/Patent Under Reexamination LEAHY ET AL. Page 1 of 1

U.S. PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
*	Α	US-7,493,558	02-2009	Leahy et al.	715/704
*	В	US-5,491,743	02-1996	Shiio et al.	709/204
	U	US-			
	ם	US-			
	ш	US-			
	F	US-			
	O	US-			
	Ι	US-			
	_	US-			
	٦	US-			
	K	US-			
	┙	US-			
	М	US-			

FOREIGN PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N					
	0					
	Р					
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	R					
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	Т					

NON-PATENT DOCUMENTS

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	C	
	V	
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*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)

Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

U.S. Patent and Trademark Office PTO-892 (Rev. 01-2001)

Approved for use through 01/31/2009. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitu	te for form 1449/PTO	*****			Complete if Known
Cubbana	10 10 10 11 14 10 10			Application Number	12/406,968
INF	ORMATION	DIS	CLOSURE	Filing Date	March 19, 2009
STA	STATEMENT BY APPLICANT			First Named Inventor	Dave Leahy
	(Use as many she	ote se n	incessani	Art Unit	To Be Assigned
	(Use as many sne	C(3 d3 //	ecessaly)	Examiner Name	To Be Assigned
Sheet 1 of 4			4	Attorney Docket Number	AP 019CON3

		NON PATENT LITERATURE DOCUMENTS		
Examiner Cite No.1		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²	
ANDREW REESE et al., Kesami Air Warrior, http://www.atarimagazines.com/startv3n2/kesamiwarrior.html, 1/12/09				
/K.N./	2	ERIC KRANGEL,\$50,000 Reward Offered For Proofs Worlds.Com Patent Lawsuit is Bogus! www.alleyinsider.com/2009/1/50000-reward-offered-for-proof-worldscom-patent-lawsuit-is-bogus		
		1/14/2009		
3		Worlds.com Files Suit Against NCsoft for Patent Infringement, http://www.virtualworldsnews.com/2008/12/worldscom-files-suit-against-ncsoft.html, 12/29/2008		
4		Worlds.com v. NCsoft Update, http://www.virtualworldsnews.com/2008/12/worldscom-v-ncsoft-update.html, 12/29/2008		
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Examiner	(One Newson)		Date	01/30/2010
Signature	/Cao Nguyen/	.	Considered	31,00,2310

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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INF	ORMATION	DIS	CLOSURE	Filing Date	March 19, 2009
STATEMENT BY APPLICANT				First Named Inventor	Dave Leahy
	(Use as many she	ote se r	annecand	Art Unit	To Be Assigned
	(USG as many sinc		iccessary)	Examiner Name	To Be Assigned
Sheet	2	of	4	Attorney Docket Number	AP 019CON3

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
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Examiner	/On a Name of	Date	24/22/22/2
Signature	/Gao Nguyen/	Considered	01/30/2010

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:

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STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet |3

Application Number	12/406,968
Filing Date	March 19, 2009
First Named Inventor	Dave Leahy
Art Unit	To Be Assigned
Examiner Name	To Be Assigned
Attorney Docket Number	AP 019CON3

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (f known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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	Cite No.1		Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages		
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STATEMENT BY APPLICANT

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Sheet 4

Application Number	12/406,968	
Filing Date	March 19, 2009	
First Named Inventor	Dave Leahy	
Art Unit	To Be Assigned	
Examiner Name	To Be Assigned	•
Attorney Docket Number	AP 019CON3	

Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (* known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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Date Considered 01/30/2010 Signature /Cao Nguyen/

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Application/Control No.	Applicant(s)/Patent under Reexamination		
12/406,968	LEAHY ET AL.		
Examiner	Art Unit		
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INTERFERENCE SEARCHED					
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Examiner

Cao (Kevin) Nguyen

Applicant(s)/Patent under Reexamination

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Mation Disclosure Statement (IDS) Filed

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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	Application Number		12406968	
INFORMATION DISCLOSURE	Filing Date		2009-03-19	
	First Named Inventor	amed Inventor Leahy, Dave		
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		2173	
(Not for Submission under or of it isso,	Examiner Name	Nguye	en, Cao H.	
	Attorney Docket Number		AP019CON3	

			U.S.PATENTS Remove						
Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear			
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Application Number		12406968		
Filing Date		2009-03-19		
First Named Inventor	Leahy	, Dave		
Art Unit		2173		
Examiner Name	Nguye	en, Cao H.		
Attorney Docket Number		AP019CON3		

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Application Number		12406968			
Filing Date		2009-03-19			
First Named Inventor	Leahy	, Dave			
Art Unit		2173			
Examiner Name	Nguyen, Cao H.				
Attorney Docket Number		AP019CON3			

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First Named Inventor Leahy		, Dave		
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Examiner Name Nguye		en, Cao H.		
Attorney Docket Number		AP019CON3		

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Application Number		12406968		
Filing Date		2009-03-19		
First Named Inventor Leahy		, Dave		
Art Unit		2173		
Examiner Name Nguye		en, Cao H.		
Attorney Docket Number		AP019CON3		

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Application Number		12406968		
	Filing Date		2009-03-19		
	First Named Inventor Leah		eahy, Dave		
	Art Unit		2173		
(Not for Submission under or of it 1.00)	Examiner Name	Nguye	en, Cao H.		
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Application Number		12406968
Filing Date		2009-03-19
First Named Inventor Leahy		, Dave
Art Unit		2173
Examiner Name Nguye		en, Cao H.
Attorney Docket Number		AP019CON3

	1	ZYD# No. 1	et al., NPSNET: Real-time Collision Detection and Response, J. Vis. Comp. Animation, 1993, pp. 13-24, Vol. 4,					
	CHEN et al., The Virtual Sailor: An Implementation of Interactive Human Body Modeling, Proc. IEEE VRAIS '93, 1993, pp. 431-435, IEEE Service Center							
	3	Excel 686	xcerpt from Harry Newton, Newton's Telecom Dictionary, 686 (18th ed. 2002) (defining the phrase "source code"). p.					
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Examiner	Signa	ture		Date Considered				
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.								
¹ See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. ² Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). ³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language translation is attached.								

(Not for submission under 37 CFR 1.99)

Application Number		12406968
Filing Date		2009-03-19
First Named Inventor	Leahy	, Dave
Art Unit		2173
Examiner Name Nguye		en, Cao H.
Attorney Docket Number		AP019CON3

Plea	Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):				
	That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).				
OR	!				
	foreign patent of after making rea any individual de	information contained in the information diffice in a counterpart foreign application, ar sonable inquiry, no item of information contesignated in 37 CFR 1.56(c) more than the 37 CFR 1.97(e)(2).	nd, to the knowledge of tha ined in the information di	ne person signing the certification sclosure statement was known to	
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Sigr	nature	/Anatoly S. Weiser/	Date (YYYY-MM-DD)	2010-03-30	
Nan	ne/Print	Anatoly S. Weiser	Registration Number	43,229	
pub 1.14	lic which is to file of the fi	rmation is required by 37 CFR 1.97 and 1.98 (and by the USPTO to process) an application is estimated to take 1 hour to complete, included USPTO. Time will vary depending upon the	on. Confidentiality is gover uding gathering, preparing	rned by 35 U.S.C. 122 and 37 CFR and submitting the completed	

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- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
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- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Electronic Patent Application Fee Transmittal					
Application Number:	12	406968			
Filing Date:	19	-Mar-2009			
Title of Invention:		STEM AND METHOD	D FOR ENABLING	USERS TO INTERA	ACT IN A VIRTUAL
First Named Inventor/Applicant Name:	Dave Leahy				
Filer:	Anatoly Weiser./Irene Betke				
Attorney Docket Number:	AP	019CON3			
Filed as Large Entity					
Utility under 35 USC 111(a) Filing Fees					
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Basic Filing:					
Pages:					
Claims:					
Miscellaneous-Filing:					
Petition:					
Patent-Appeals-and-Interference:					
Post-Allowance-and-Post-Issuance:					
Extension-of-Time:					

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
Submission- Information Disclosure Stmt	1806	1	180	180
	180			

Electronic Acknowledgement Receipt			
EFS ID:	7318773		
Application Number:	12406968		
International Application Number:			
Confirmation Number:	6412		
Title of Invention:	SYSTEM AND METHOD FOR ENABLING USERS TO INTERACT IN A VIRTUAL SPACE		
First Named Inventor/Applicant Name:	Dave Leahy		
Customer Number:	35070		
Filer:	Anatoly Weiser./Irene Betke		
Filer Authorized By:	Anatoly Weiser.		
Attorney Docket Number:	AP019CON3		
Receipt Date:	30-MAR-2010		
Filing Date:	19-MAR-2009		
Time Stamp:	17:01:38		
Application Type:	Utility under 35 USC 111(a)		

Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$180
RAM confirmation Number	3743
Deposit Account	503196
Authorized User	

File Listing:

File Listing) •				
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If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

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DTO/SD/24 /07 00)

	F10/36/21 (07-09)
	Approved for use through 07/31/2012. OMB 0651-0031
LS	Patent and Trademark Office LLS DEPARTMENT OF COMMERCE

TRAN	SMITTAL	Filing Date	2009-03-1	2009-03-19		
	ORM	First Named Inventor	Leahy, Da			
•		Art Unit	2173			
		Examiner Name	Nguyen, C	en H		
(to be used for all corre	espondence after initial filing)	Attorney Docket Number				
Total Number of Pages	in This Submission 5,801	Attorney Booket Number	AP019COI	N3		
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Extension of Tin Express Abando Information Disc Certified Copy of Document(s)	ply nal s/declaration(s) ne Request comment Request closure Statement rf Priority Re * Fo	Drawing(s) Licensing-related Papers Petition Petition to Convert to a Provisional Application Power of Attorney, Revocal Change of Correspondence Terminal Disclaimer Request for Refund CD, Number of CD(s) Landscape Table on Commerces Draw PTO/SB/08a EFS-Web Form PTO/SB/08a EFS-Web Form PTO/SB/08a EFS-Web Form	e Address CD n No. 1 (14 p	Appea of Appea (Appea (, Il Form (2 pages) n Disclosure Statement (2 pages)	
Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 * Form PTO/SB/08a EFS-Web Form No. 2 (8 pages) * Form PTO/SB/08a EFS-Web Form No. 3 (8 pages) * Form PTO/SB/08a EFS-Web Form No. 4 (8 pages) * Form PTO/SB/08a EFS-Web Form No. 5 (4 pages) * References (239 items, (5,755 pages)) * SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT						
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	ectual Property Legal Cou	nsel				
	toly S. Weiser/					
Printed name Anato	oly S. Weiser					
Date Marc	h 30, 2010		Reg. No.	43,229		
	CERT	IFICATE OF TRANSMIS	SION/MAI	LING		
sufficient postage as firs the date shown below:		facsimile transmitted to the USF e addressed to: Commissioner				
Signature	/Anatoly S. Weiser/					
Typed or printed name	Anatoly S. Weiser			Date	March 30, 2010	

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12406968

Application Number

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- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
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- A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Dave Leahy et al.

Serial No.: 12/406,968

Filed: **March 19, 2009**

For: System and Method for Enabling

USERS TO INTERACT IN A VIRTUAL

SPACE

Group Art Unit: 2173

Examiner: Cao H. Nguyen

Attorney File No.: AP014CON3

Office Action Mailed On: 2/1/2010

Confirmation No.: 6412

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT (No. 02)

Dear Examiner Nguyen:

Attached hereto are forty-two pages of Form PTO/SB08/A (or substitute therefor) listing documents believed relevent to the above-referenced Application. It is respectfully requested that these documents be considered by the Examiner and an initialed copy of each page be returned to the undersigned attorney.

This disclosure statement should not be construed as a representation that no other material information as defined in 37 C.F.R. § 1.56(a) exists.

The undersigned attorney believes that this disclosure complies with the requirements of 37 C.F.R. §§ 1.56, 1.97 & 1.98, and the Manual of Patent Examing Procedure §609. If the Examiner considers otherwise, the Examiner is respectfully requested to call the undersigned attorney so that any deficiencies can be remedied.

A copy of each document, other than U.S. patents and published applications, is enclosed. Some documents may have markings thereon. We do not intend any significance to attach to the markings.

AP 019CON3 Patent

These documents are not necessarily analogous art.

The disclosure information is being filed before the mailing date of any of a final action under § 1.113, a notice of allowance under § 1.311, or an action that otherwise closes prosecution in the present application. The information is accompanied by the fee set forth in 37 C.F.R. § 1.17(p), which is hereby authorized to be charged to Deposit Account Number 50-3196. The information should therefore be considered. MPEP § 609; 37 C.F.R. § 1.97(c)(2).

To discuss any matter pertaining to the above referenced application, the Examiner is invited to call the undersigned attorney at (858) 720-9431.

Respectfully submitted,

Date: March 30, 2010

/Anatoly S. Weiser/ Anatoly S. Weiser Intellectual Property Legal Counsel 3525 Del Mar Heights Rd, #295 San Diego, CA 92130 (858) 720-9431 Reg. No. 43,229

Electronic Ack	knowledgement Receipt
EFS ID:	7319693
Application Number:	12406968
International Application Number:	
Confirmation Number:	6412
Title of Invention:	SYSTEM AND METHOD FOR ENABLING USERS TO INTERACT IN A VIRTUAL SPACE
First Named Inventor/Applicant Name:	Dave Leahy
Customer Number:	35070
Filer:	Anatoly Weiser./Irene Betke
Filer Authorized By:	Anatoly Weiser.
Attorney Docket Number:	AP019CON3
Receipt Date:	30-MAR-2010
Filing Date:	19-MAR-2009
Time Stamp:	17:56:36
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	no
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File Listing:

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Information:			

Total Files Size (in bytes):

226033014

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

Electronic Acknowledgement Receipt				
EFS ID:	7320066			
Application Number:	12406968			
International Application Number:				
Confirmation Number:	6412			
Title of Invention:	SYSTEM AND METHOD FOR ENABLING USERS TO INTERACT IN A VIRTUAL SPACE			
First Named Inventor/Applicant Name:	Dave Leahy			
Customer Number:	35070			
Filer:	Anatoly Weiser./Irene Betke			
Filer Authorized By:	Anatoly Weiser.			
Attorney Docket Number:	AP019CON3			
Receipt Date:	30-MAR-2010			
Filing Date:	19-MAR-2009			
Time Stamp:	18:26:41			
Application Type:	Utility under 35 USC 111(a)			

Submitted with Payment	no
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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

Electronic Acknowledgement Receipt				
EFS ID:	7320810			
Application Number:	12406968			
International Application Number:				
Confirmation Number:	6412			
Title of Invention:	SYSTEM AND METHOD FOR ENABLING USERS TO INTERACT IN A VIRTUAL SPACE			
First Named Inventor/Applicant Name:	Dave Leahy			
Customer Number:	35070			
Filer:	Anatoly Weiser./Irene Betke			
Filer Authorized By:	Anatoly Weiser.			
Attorney Docket Number:	AP019CON3			
Receipt Date:	30-MAR-2010			
Filing Date:	19-MAR-2009			
Time Stamp:	19:47:17			
Application Type:	Utility under 35 USC 111(a)			

File Listing:

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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

Electronic Acknowledgement Receipt					
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Application Number:	12406968				
International Application Number:					
Confirmation Number:	6412				
Title of Invention:	SYSTEM AND METHOD FOR ENABLING USERS TO INTERACT IN A VIRTUAL SPACE				
First Named Inventor/Applicant Name:	Dave Leahy				
Customer Number:	35070				
Filer:	Anatoly Weiser./Irene Betke				
Filer Authorized By:	Anatoly Weiser.				
Attorney Docket Number:	AP019CON3				
Receipt Date:	30-MAR-2010				
Filing Date:	19-MAR-2009				
Time Stamp:	20:24:55				
Application Type:	Utility under 35 USC 111(a)				

Submitted with Payment	no
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File Listing:

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Warnings							

Warnings:

Information:

Total Files Size (in bytes):	68406664
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This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Dave Leahy et al.

Serial No.: 12/406,968

Filed: March 19, 2009

For: SYSTEM AND METHOD FOR ENABLING

USERS TO INTERACT IN A VIRTUAL

SPACE

Group Art Unit:

Examiner: Cao H. Nguyen

Attorney File No.: AP 019CON3

Office Action Mailed On: 2/1/2010

Confirmation No.: 6412

Mail Stop Amendment **Commissioner for Patents** P.O. Box 1450 Alexandria, VA 22313-1450

REPLY TO OFFICE ACTION

Sir:

This paper is responsive to the outstanding Office action mailed on the date shown above (the "Office Action").

The Office Action set a shortened statutory period of three months for reply. Applicants petition for a time extension of two months under 37 CFR § 1.136(a)(1), and authorization is hereby granted to charge the small entity time extension fee under 37 CFR § 1.17(a) to Deposit Account No. 50-3196. If the undersigned attorney is mistaken regarding the time extension calculation, Applicants petition for a necessary extension of time, and authorization is hereby granted to charge the small entity time extension fee under 37 CFR § 1.17 required for the filing of this Reply to the same Deposit Account. This Reply is therefore timely.

Authorization is also granted to charge to the same Deposit Account a small entity fee for filing the attached Terminal Disclaimer, additional claim fees (if any), and all other fees necessary to file this Reply and applicable to a small entity.

Amendments to the claims are reflected in the listing of claims that begins on page 3 of this paper.

Remarks begin on page 6 of this paper.

REMARKS

Claim Status

Claims 4-11 are pending in the application. This paper amends claims 4; does not add new claims, and does not cancel any claims. The amendments are made without acquiescence to the rejections, without prejudice, without disclaimer, and without dedication to the public. Claims 4 and 11 are the independent claims of the application.

Summary of the Office Action

In the Office Action, the all claims were rejected as follows: (1) claims 4-11 were rejected on the ground of non-statutory obviousness-type double patenting, as being unpatentable over claims 1-20 of U. S. Patent No. 7,181,690 (the "690 patent" in this paper); and (2) claims 4-11 were rejected under 35 U.S.C. § 103(a) as being unpatentable over Shiio *et al.*, U.S. Patent Number 5,491,743 ("Shiio") in view of Farmer *et al.*, U.S. Patent Number 6,229,533 ("Farmer").

Applicants respectfully respond to the Office Action.

Double Patenting Rejections

To advance prosecution of the application, a terminal disclaimer is filed herewith.

Applicants respectfully submit that the terminal disclaimer obviates the double patenting rejections.

Art Rejections

In rejecting claim 4 as being unpatentable over Shiio and Farmer, the Office Action asserted that Shiio discloses receiving, by the client device, position information associated with fewer than all of the other user avatars from a server process, citing Shiio's column 5, lines 42-60. It appears,

however, that neither in the cited portion nor elsewhere does Shiio disclose or suggest receiving position information for fewer than all of the conference participants in the virtual conference room.

Further, the Office Action acknowledged that "Shiio fails to explicitly teach determining, by the client device, a displayable set of the other user avatars associated with the client device display." To fill-in this gap in Shiio's disclosure, the Office Action then relied on Farmer's teaching to the effect that "... ghost objects have anonymity, and have limited interaction choices[,]" citing column 5, lines 1-36 of that reference. Applicants respectfully submit that in the teaching of ghosts is not tantamount to a disclosure or suggestion that the determination of the displayable avatars is made at a client device, rather than at the server.

Applicants also note that the effective date of Farmer is August 2, 1996. The priority date of the present application is the date of filing of U.S. Provisional Patent Application Ser. No. 60/020,296, that is, November 13, 1995. Therefore, Farmer is not prior art.

Applicants respectfully submit that independent claim 1 is patentable at least for the above reasons.

Independent claim 11 recites limitations identical or analogous to those of claim 1 discussed above, and stands rejected on the same ground as claim 1. Applicants respectfully submit that claim 11 is patentable at least for the reasons applicable to claim 1, as is discussed above.

Each dependent claim should be patentable at least for the reasons applicable to its base claim.

CONCLUSION

Applicants submit that all pending claims are allowable. To discuss any matter pertaining to the instant application, the Examiner is invited to call the undersigned attorney at (858) 720-9431.

Having made an effort to bring the application in condition for allowance, a notice to this effect is earnestly solicited.

Respectfully submitted,

Dated: July 1, 2010 /Anatoly S. Weiser/

Anatoly S. Weiser, Reg. No. 43,229 3525 Del Mar Heights Road, #295 San Diego, CA 92130 (858) 720-9431

Agency Under the Pagenyahi Reduction Act of 1985, no persons are regalied to preparative cultimaters.	PTO/SEVER (97-96), red for use through 07/51/2012, OME 6861-9631 res Office; U.S. DEPARTMENT OF COMMERCE
TERMINAL DISCLAIMER TO OBVIATE A DOUBLE PATENTING REJECTION OVER A "PRICR" PATENT	Docket Number (Optional) APO(8CON3
to re-Application of: Daye Leahy et al.	
Application file: 12/4/8-200	
Fibrid: 3/18/2009	
FOR SYSTEM AND METHOD FOR ENABLING USERS TO INTERACT IN A VIRTUAL SPACE	
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is reissued, or is in any manner terminated prior to the expiration of its full statutory term as presently shortent	ed by any terminel disclaimer.
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Electronic Patent Application Fee Transmittal							
Application Number:	12406968						
Filing Date:	19-	Mar-2009					
Title of Invention:	SYSTEM AND METHOD FOR ENABLING USERS TO INTERACT IN A VIRTUAL SPACE						
First Named Inventor/Applicant Name:	Dave Leahy						
Filer:	Anatoly Weiser.						
Attorney Docket Number:	AP	019CON3					
Filed as Small Entity							
Utility under 35 USC 111(a) Filing Fees							
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)		
Basic Filing:							
Pages:							
Claims:							
Miscellaneous-Filing:							
Petition:							
Patent-Appeals-and-Interference:							
Post-Allowance-and-Post-Issuance:							
Extension-of-Time:							
Extension - 2 months with \$0 paid		2252	1	245	245		

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
Statutory disclaimer	2814	1	70	70
	Tot	al in USD	(\$)	315

Electronic Acknowledgement Receipt				
EFS ID:	7942637			
Application Number:	12406968			
International Application Number:				
Confirmation Number:	6412			
Title of Invention:	SYSTEM AND METHOD FOR ENABLING USERS TO INTERACT IN A VIRTUAL SPACE			
First Named Inventor/Applicant Name:	Dave Leahy			
Customer Number:	35070			
Filer:	Anatoly Weiser.			
Filer Authorized By:				
Attorney Docket Number:	AP019CON3			
Receipt Date:	01-JUL-2010			
Filing Date:	19-MAR-2009			
Time Stamp:	19:14:13			
Application Type:	Utility under 35 USC 111(a)			

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$315
RAM confirmation Number	7269
Deposit Account	503196
Authorized User	

File Listing:

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Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

Electronic Acknowledgement Receipt				
EFS ID:	7942637			
Application Number:	12406968			
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Filer Authorized By:				
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Receipt Date:	01-JUL-2010			
Filing Date:	19-MAR-2009			
Time Stamp:	19:14:13			
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Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$315
RAM confirmation Number	7269
Deposit Account	503196
Authorized User	

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New Applications Under 35 U.S.C. 111

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New International Application Filed with the USPTO as a Receiving Office

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

PATENT APPLICATION FEE DETERMINATION RECORD Substitute for Form PTO-875						Application or Docket Number 12/406,968		Filing Date 03/19/2009		To be Mailed	
	APPLICATION AS FILED – PART I (Column 1) (Column 2)						SMALL	ENTITY 🏻	OR		HER THAN
	FOR	T	JMBER FIL		JMBER EXTRA		RATE (\$)	FEE (\$)		RATE (\$)	FEE (\$)
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	SEARCH FEE (37 CFR 1.16(k), (i),		N/A		N/A		N/A			N/A	
	EXAMINATION FE (37 CFR 1.16(o), (p),	Ε	N/A		N/A		N/A		1	N/A	
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DM	Independent (37 CFR 1.16(h))	*	Minus	***	=		X \$ =		OR	x \$ =	
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₹	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))						OR				
* lf !	the entry in column	1 is less than the e	ntry in col	umn 2, write "0" ir	n column 3.		TOTAL ADD'L FEE	netrument Ex	OR	TOTAL ADD'L FEE	
** If *** I	* If the entry in column 1 is less than the entry in column 2, write "0" in column 3. ** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20". *** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3". The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.										

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

CLAIM AMENDMENT

Please amend the claims in accordance with the following listing.

Listing of Claims

Claims 1-3 (Cancelled)

4. (Currently Amended) A method for enabling a first user to interact with other users in a virtual space, each user of the first user and the other users being associated with a three dimensional avatar representing the said each user in the virtual space, the method comprising:

customizing, using a client device processor, an avatar in response to input by the first user input;

receiving, by the client device, position information associated with fewer than all of the other user avatars from a server process; and

determining, by the client device, a displayable set of the other user avatars associated with the client device display.

5. (Previously Presented) The method according to claim 4, further comprising the steps of:
monitoring an orientation of the first user avatar, and

displaying on the client device all or less than all of the displayable set based on the monitored orientation of the first user avatar.

6. (Previously Presented) The method according to claim 4, wherein customizing further comprises accessing a first database containing custom avatar images.

- 7. (Previously Presented) The method according to claim 6, wherein customizing further comprises selecting information from the first database that is used to render the avatar.
- 8. (Previously Presented) The method according to claim 4, further comprising receiving orientation information associated with fewer than all of the other user avatars.
- 9. (Previously Presented) The method according to claim 4, further comprising storing an entry including a pointer to an image associated with the other user avatars for which position information has been received.
- 10. (Previously Presented) The method according to claim 4, wherein the virtual space further comprises two or more virtual rooms and the method further comprises an avatar teleporting from a first virtual room to a second virtual room.
- 11. (Previously Presented) A client device for enabling a first user to interact with other users in a virtual space, each user being associated with a three dimensional avatar representing the user in the virtual space, the device comprising:
 - a memory storing instructions; and
 - a processor programmed using the instructions to:

create a custom avatar in response to input by the first user;

receive position information associated with fewer than all of the other user avatars;

and

AP 008-2 UTL Patent

determine a set of the other users' avatars displayable on a screen associated with the

client device.

Application Number	Application/Control No.		Applicant(s)/Patent under Reexamination LEAHY ET AL.		
Document Code - DISQ		Internal D	ocument – DC	NOT MAIL	

TERMINAL DISCLAIMER	☐ APPROVED	☑ DISAPPROVED
Date Filed : June 1, 2010	This patent is subject to a Terminal Disclaimer	

Approved/Disapproved by:
Henry D. Jefferson
TD cannot be read please submit new TD.

U.S. Patent and Trademark Office

FTO/SS/26 (07-06)

Approved for use bringin 07/51/2012, OMS 6861-0031

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Under the Paperson's Reduction Act of 1985, no persons are register to respect to a collection of information department of seasons of chapters a weal OMS norther bundles.

TERRINAL DISCLAIMMENTO ORMATICS DOWNS TO A PROPERTY OF TRANSPORTED.

TERMINAL DISCLAIMER TO OBVIATE A DOUBLE PATENTING APO18CON3 REJECTION OVER A "PRIOR" PATENT to be Application of: Daive Leahy et al. Application No. 12/496,966 Fleed: 3/19/2009 FOR SYSTEM AND METHOD FOR ENAMING USERS TO INTERACT IN A VIRTUAL SPACE The name? <u>Works, bit</u> Uf 100 percent interest is the instant application hereby disclaims, except as provided below, the terminal part of the statutory term of any patent granted on the instant application which would, extend beyond the exposition usite of the full statutory term gricer patent Mo. 7.181.880 as the term of said prior patent is defined in 3.4 U.S.C. 154 and 173, and as the fermi of said prior patent is presently shruttened by any terminal declarine. The owner hereby agrees that any patent so cranted on the instant application shall be enforceable only for and during such period that it and the prior patent are commonly owned. This egreement runs with any patent granted on the instant application and is hinding upon the grantee. Its autheasors or assigns, is making the above disclaimer, the owner does not disclaim the terminal part of the term of any patent granted on the instant application that young system (a property of the property of the prior patent, has the term of said prior. patent to presently shortened by any terminal dactainer," in the event that said prior patent later expires for failure to pay a maintenance law. is held unenforcestile; is found invalid by a court of competent paradiction: is signainly disidented in whole or leminally disclaimed under 37 CFR 1,321; has all dains psinisted by a respensive from cartificate; कि महरक्षकार्यः का is in any manner terminated prior to the expiration of its full statutory term as presently shortened by any terminal disclaimer. Check either box 1 or 2 below, if appropriate. Z For submissions on behalf of a business/organication (e.g., cooperation, perhanning, university, government agency-etc.), the undersigned is empowered to act on behalf of the business/organization. I frameby decision that all additionants made herein of my own knowledge are true and that all statements made on in formation and helief are bette yed to be true, and further that these statements were made, with the knowledge that within take a basements and the like so made are sound hable by they or improgramment, or both, under Se clion 1001 of Title 18 of the United States Code and that such a within take. statements may jacquardize the validity of the application or any patent issued thereon. 2. The undersigned is applicationally or agent of record. Reg. No. Eignature Yhom Kideb Typed or printed name 725 8500 Y Terminal disclaimer fee under 37 CFR 1 20(6) incluted WAPNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PYO-2038. "Sustement, under 37 CFR 3.78(b) is required if terminal discisions is eigned by the assignore (named). Form PTO/SBR96 may be used for making this certification. See MFEF § 384.

This collection of information is required by 37 CFR 1321. The information is required to obtain of better a betterfollow the purple when it to file (seet by the USE*TO to processe) an application. Chefidebitefity is growinged by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. The obtaining is estimated by take 12 minutes to bronders, brighteding operations and software the information for completed upon the information the controlled access. Any approximation in the second of these point requires in approximate this form and/or suggestions for neutron, the obtained by the Chief Information Officer, U.S. Potent and Chief Information of Commerce, P.O. Esc. 1489. Alexandria, V.A. 22313-1480. Do NOT SERIC FEES OF COMPUTED FORMS TO THIS SECRESCI, 360N TO Commissions for Patients, P.O. Box 1480. Alexandria, V.A. 22313-1480.

If you need assistance in completing the form, self-1-870APTO-9199 and select option 2.

Electronic Acknowledgement Receipt				
EFS ID:	8457338			
Application Number:	12406968			
International Application Number:				
Confirmation Number:	6412			
Title of Invention:	SYSTEM AND METHOD FOR ENABLING USERS TO INTERACT IN A VIRTUAL SPACE			
First Named Inventor/Applicant Name:	Dave Leahy			
Customer Number:	35070			
Filer:	Anatoly Weiser.			
Filer Authorized By:				
Attorney Docket Number:	AP019CON3			
Receipt Date:	20-SEP-2010			
Filing Date:	19-MAR-2009			
Time Stamp:	18:37:27			
Application Type:	Utility under 35 USC 111(a)			

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1 Terminal Disclaimer Filed	Terminal Disclaimer Filed	Terminal Disclaimer 1.pdf	262183	no	1
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Warnings:

Information:

New Applications Under 35 U.S.C. 111

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New International Application Filed with the USPTO as a Receiving Office



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.		
12/406,968	03/19/2009	Dave Leahy	AP019CON3	6412		
35070 ANATOLY S.	7590 09/21/201 WEISER	EXAMINER				
	R HEIGHTS ROAD, #	295	NGUYEN, CAO H			
SAN DIEGO, C	A 92130		ART UNIT	PAPER NUMBER		
			2173			
			MAIL DATE	DELIVERY MODE		
			09/21/2010	PAPER		

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

	Application No.	Applicant(s)				
	12/406,968	LEAHY ET AL.				
Office Action Summary	Examiner	Art Unit				
	Cao (Kevin) Nguyen	2173				
The MAILING DATE of this communication app Period for Reply	ears on the cover sheet with the c	orrespondence address				
A SHORTENED STATUTORY PERIOD FOR REPLY WHICHEVER IS LONGER, FROM THE MAILING DA - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication. - If NO period for reply is specified above, the maximum statutory period w - Failure to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	ATE OF THIS COMMUNICATION 36(a). In no event, however, may a reply be tim vill apply and will expire SIX (6) MONTHS from cause the application to become ABANDONE	the mailing date of this communication. D (35 U.S.C. § 133).				
Status						
Responsive to communication(s) filed on <u>01 July 2010</u> . 2a) This action is FINAL . 2b) This action is non-final. 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.						
Disposition of Claims						
 4) ☐ Claim(s) 4-11 is/are pending in the application. 4a) Of the above claim(s) is/are withdrawn from consideration. 5) ☐ Claim(s) is/are allowed. 6) ☐ Claim(s) 4-11 is/are rejected. 7) ☐ Claim(s) is/are objected to. 8) ☐ Claim(s) are subject to restriction and/or election requirement. 						
Application Papers						
 9) The specification is objected to by the Examiner. 10) The drawing(s) filed on is/are: a) accepted or b) objected to by the Examiner. Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a). Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d). 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152. 						
Priority under 35 U.S.C. § 119						
12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) All b) Some * c) None of: 1. Certified copies of the priority documents have been received. 2. Certified copies of the priority documents have been received in Application No 3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)). * See the attached detailed Office action for a list of the certified copies not received.						
Attachment(s) 1) \(\sum \) Notice of References Cited (PTO-892) 2) \(\sum \) Notice of Draftsperson's Patent Drawing Review (PTO-948)	4)					
3) Information Disclosure Statement(s) (PTO/SB/08) Paper No(s)/Mail Date 3/10.	5) Notice of Informal P 6) Other:					

DETAILED ACTION

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103© and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

Claims 4-11 are rejected under 35 U.S.C. 103(a) as being unpatentable over Shiio (US Patent No. 5,491,743) in view of Suzuki et al. (US Patent No. 5,736,982).

Regarding claim 4, Shiio discloses a method for enabling a first user to interact with other users in a virtual space, each user of the first user and the other users being associated with a three dimensional avatar representing the user in the virtual space, the method comprising: customizing, using a client device processor, an avatar in response to input by the first user input (animated characteristics representing operators in virtual conference; see col. 5, lines 16-62); receiving, by the client device, position information associated with fewer than all of the other

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user avatars from a server process (see col. 5, lines 42-60); however, Shiio fails to explicitly teach determining, by the client device, a displayable set of the other user avatars associated with the client device display.

Suzuki discloses determining, by the client device, a displayable set of the other user avatars associated with the client device display (..the server is always supplied with the latest position information of the avatar from every terminal; see abstract and col. 1, lines 57-67 and col. 2, lines 1-13).

It would have been obvious to one of ordinary skill in the art, having the teachings of Shiio and Suzuki before him at the time the invention was, modify the virtual conference system user of Shiio to include virtual space display method, as taught by Suzuki. One would have been motivated to make such a combination in order to in order to provide each user terminal uses the relationship between position information of its avatar and that of the other avatars to determine the 3-D image quality of the latter, then requests the other terminals or a server for video images of the other avatars, each having the thus determined quality, and the other terminals or server sends the requested 3-D images of the avatars to the requesting terminal after converting them into video images of the specified quality.

Regarding claim 5, Shiio discloses further comprising the steps of: monitoring an orientation of the first user avatar, and displaying on the client device all or less than all of the displayable set based on the monitored orientation of the first user avatar (see figures 4-5).

Regarding claim 6, Suzuki discloses wherein customizing further comprises accessing a first database containing custom avatar images (see col. 5, lines 18-49).

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Regarding claim 7, Suzuki discloses wherein customizing further comprises accessing a first database containing custom avatar images (see col. 20, lines 16-54 and figure 2A).

Regarding claim 8, Shiio discloses wherein customizing further comprises selecting information from the first database that is used to render the avatar (see col. 13, lines 14-67).

Regarding claims 9, Shiio discloses further comprising storing an entry including a pointer to an image associated with the other user avatars for which position information has been received (see col. 6, lines 49-67 and col. 7, lines 1-21).

Regarding claims 10, Shiio discloses wherein the virtual space further comprises two or more virtual rooms and the method further comprises an avatar teleporting from a first virtual room to a second virtual room (see col. 7, lines 15-62.)

Claim 11 differs from claim 4 in that "create a custom avatar in response to input by the first user; receive position information associated with fewer than all of the other user avatars; and determine a set of the other users' avatars displayable on a screen associated with the client device." which read on Shiio (see col. 14, lines 42-67).

Conclusion

The prior art made of record and not relied upon is considered pertinent to applicant's disclosure (see PTO-892).

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Cao (Kevin) Nguyen whose telephone number is (571)272-4053. The examiner can normally be reached on 8:30AM-5:00PM.

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If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Kieu Vu can be reached on (571)272-4057. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Cao (Kevin) Nguyen/ Primary Examiner, Art Unit 2173

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U.S. Patent and Trademark Office PTO-892 (Rev. 01-2001)

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Doc code: IDS Doc description: Information Disclosure Statement (IDS) Filed

PTO/SB/08a (01-10)
Approved for use through 07/31/2012. OMB 0651-0031
Mation Disclosure Statement (IDS) Filed
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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	Application Number		12406968
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INFORMATION DISCLOSURE	First Named Inventor	Leahy	, Dave
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		2173
(Not lot Submission under or or it not)	Examiner Name	Nguye	en, Cao H.
	Attorney Docket Number	er	AP019CON3

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99) Application Number 12406968 Filing Date 2009-03-19 First Named Inventor Leahy, Dave Art Unit 2173 Examiner Name Nguyen, Cao H. Attorney Docket Number AP019CON3

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V	the practitioners of record as	sociated with Customer N	lumber: ,	35070		_			
NOTE: The immediately preceding box should only be marked when the practitioners were appointed using the listed Customer Number.									
The	reason(s) for this request are	those described in 37 CF	R :						
	10.40(b)(1)	10.40(b)(2)		10.40(b)(3)		10.40(b)(4)			
	10.40(c)(1)(i)	10.40(c)(1)(ii)		10.40(c)(1)(iii)		10.40(c)(1)(iv)			
	10.40(c)(1)(v)	10.40(c)(1)(vi)		10.40(c)(2)		10.40(c)(3)			
	10.40(c)(4)	10.40(c)(5)	v	10.40(c)(6) Please exp	olain below:				
emp	ent owner of the patent apployed by the current owner ication. I have not filed a p	. My client has instruct	ed me n	ot to perform any a	dditional v	work on the patent			
			ications						
Check be app	reach box below that is foreved.	actually correct. WAF	RNING: If	a box is left unched	ked, the r	equest will likely not			
1. I/We have given reasonable notice to the client, prior to the expiration of the response period, that the practitioner(s) intend to withdraw from employment.									
2. I/We have delivered to the client or a duly authorized representative of the client all papers and property (including funds) to which the client is entitled.									
3. I/We have notified the client of any responses that may be due and the time frame within which the client must respond.									
Please	e provide an explanation, if	necessary:							

[Page 1 of 2]

This collection of information is required by 37 CFR 1.36. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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REQUEST FOR WITHDRAWAL AS ATTORNEY OR AGENT AND CHANGE OF CORRESPONDENCE ADDRESS							
		ion only when the correspo as properly made itself of rec				es of add	ress will only be accepted to an
Change the	e correspondenc	ce address and direct all f	uture corres	spondence	to:		
A. The	e address of the	inventor or assignee asso	ociated with	Customer	Number:		
OR							
	entor or signee name	Worlds.com, Inc.					
Address	11 Royal Roa	d Brookline, MA 02445	5				
City Brokl	line	State MA		Zip 02445			Country USA
Telephone	617-803-0	004	Em	nail thom@worlds.com			
I am autho	orized to sign o	on behalf of myself and	all withdra	awing prac	titioners.		
Signature	/Anatoly S. V	Veiser/					
Name	Anatoly S. W	/eiser			Registration	n No. 4	3,229
Address 3525 Del Mar Heights Rd., #295							
City San	Diego	State CA		Zip 9213	30	Count	try USA
Date	1/5/2010 Telephone No. 858-720-9431						
NOTE: Withdrawal is effective when approved rather than when received.							

[Page 2 of 2]
This collection of information is required by 37 CFR 1.36. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Privacy Act Statement

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (*i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Electronic Acknowledgement Receipt					
EFS ID:	9169021				
Application Number:	12406968				
International Application Number:					
Confirmation Number:	6412				
Title of Invention:	SYSTEM AND METHOD FOR ENABLING USERS TO INTERACT IN A VIRTUAL SPACE				
First Named Inventor/Applicant Name:	Dave Leahy				
Customer Number:	35070				
Filer:	Anatoly Weiser.				
Filer Authorized By:					
Attorney Docket Number:	AP019CON3				
Receipt Date:	05-JAN-2011				
Filing Date:	19-MAR-2009				
Time Stamp:	14:46:43				
Application Type:	Utility under 35 USC 111(a)				

Payment information:

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Petition to withdraw attorney or agent	Change Of Correspondence Add	269900	no	3
(SB83)		ress.pdf	0f802dc41ad28ea9dcd0612acc077ef674f6 701f		

Warnings:

Information:

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

Approved for use through 07/31/2012. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TERMINAL DISCLAIMER TO OBVIATE A DOUBLE PATENTING REJECTION OVER A "PRIOR" PATENT

Docket Number (Optional) AP019CON3

In re-Application of: Dave Leahy et al.

Filed: 3/19/2009

For: SYSTEM AND METHOD FOR ENABLING USERS TO INTERACT IN A VIRTUAL SPACE

The owner*, _Worlds. Inc. _______, of _________ percent interest in the instant application hereby disclaims, except as provided below, the terminal part of the statutory term of any patent granted on the instant application which would extend beyond the expiration date of the full statutory term prior patent No. 7,181,690 ______ as the term of said prior patent is defined in 35 U.S.C. 154

In making the above disclaimer, the owner does not disclaim the terminal part of the term of any patent granted on the instant application that would extend to the expiration date of the full statutory term as defined in 35 U.S.C. 154 and 173 of the **prior patent**, "as the term of said **prior patent** is presently shortened by any terminal disclaimer," in the event that said **prior patent** later:

and 173, and as the term of said **prior patent** is presently shortened by any terminal disclaimer. The owner hereby agrees that any patent so granted on the instant application shall be enforceable only for and during such period that it and the **prior patent** are commonly owned. This

expires for failure to pay a maintenance fee;

is held unenforceable;

is found invalid by a court of competent jurisdiction;

is statutorily disclaimed in whole or terminally disclaimed under 37 CFR 1.321;

has all claims canceled by a reexamination certificate;

is reissued: or

is in any manner terminated prior to the expiration of its full statutory term as presently shortened by any terminal disclaimer.

Check either box 1 or 2 below, if appropriate.

1. For submissions on behalf of a business/organization (e.g., corporation, partnership, university, government agency, etc.), the undersigned is empowered to act on behalf of the business/organization.

agreement runs with any patent granted on the instant application and is binding upon the grantee, its successors or assigns.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on in formation and belief are belie ved to be true; a nd further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

2. L The und	dersigned is an attorney or agent of record. Reg. No	1/11/11
•	Signature	Date
	Thom Kidrin	
	Typed or printed name	

617 725 8900 Telephone Number

Terminal disclaimer fee under 37 CFR 1.20(d) included.

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

*Statement under 37 CFR 3.73(b) is required if terminal disclaimer is signed by the assignee (owner). Form PTO/SB/96 may be used for making this certification. See MPEP § 324.

This collection of information is required by 37 CFR 1.321. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this bu rden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Doc Code: TRAN.LET

Document Description: Transmittal Letter

PTO/SB/21 (07-09) Approved for use through 07/31/2012. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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P	Application Number	12/406,968						
TRANSMITTAL	Filing Date	3/19/2009						
form	First Named Inventor	Dave Leahy et al.						
	Art Unit	2173						
FORM (to be used for all correspondence after initial filing)	Examiner Name	Nguyen; Kevin		;·				
(to be used for all correspondence after initial filing)	Attorney Docket Number	AP019CON3		<u></u>				
Total Number of Pages in This Submission	Total Number of Pages in This Submission AP019CON3							
E	ENCLOSURES (Check all that apply)							
Fee Transmittal Form	Dṛawing(s)			Allowance Communication to TC				
Fee Attached	Licensing-related Papers		of App	I Communication to Board eals and Interferences				
Amendment/Reply	Petition Petition to Convert to a		(Appea	I Communication to TC I Notice, Brief, Reply Brief)				
After Final	Provisional Application Power of Attorney, Revocation		Proprie	etary Information				
Affidavits/declaration(s)	Change of Correspondence A		Status					
Extension of Time Request	Terminal Disclaimer		Other below)	Enclosure(s) (please Identify				
Express Abandonment Request	Request for Refund							
Information Disclosure Statement	CD, Number of CD(s)							
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Certified Copy of Priority Document(s)	marks							
Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53								
	E OF APPLICANT, ATTOR	RNEY, OR AG	ENT					
Firm Name Worlds Inc.				<u></u>				
Signature 2 1000 K	il-							
Printed name Thom Kidrin								
Date 1/11/11	R	leg. No.						
CERT	CERTIFICATE OF TRANSMISSION/MAILING							
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on								
the date shown below: Signature	un Col							
Typed or printed name Thom Kidrin			Date	1/11/11				

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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POWER OF ATTORNEY OR REVOCATION OF POWER OF ATTORNEY WITH A NEW POWER OF ATTORNEY AND CHANGE OF CORRESPONDENCE ADDRESS

Application Number	12/406,968
Filing Date	03/19/2009
First Named Inventor	Dave Leahy
Title	SYSTEM AND METHOD FOR ENABLING USERS TO INT
Art Unit	2173
Examiner Name	CAO H. NGUYEN
Attorney Docket Number	WORLDS 003

I hereby revoke al	previous powers of attorney given in th	ne above	e-identifi	ed application	on.		
A Power of Attorney is submitted herewith.							
Number as my identified above	nt Practitioner(s) associated with the following Customer four attorney(s) or agent(s) to prosecute the application a, and to transact all business in the United States Patent to Office connected therewith:		35070				
OR		, ,					
, , , , , , , , , , , , , , , , , , , ,	nt Practitioner(s) named below as my/our attorney ousiness in the United States Patent and Tradema	. ,	` '	•	plication identified above, and		
	Practitioner(s) Name			Registration	Number		
	(-)						
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The address as	ssociated with the above-mentioned Customer Nu	iiiibei.			٦		
	sociated with Customer Number:						
OR							
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City		St	tate		Zip		
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Telephone I am the:		Er	mail				
Applicant/Inven	tor						
OR							
Assignee of red Statement under	ord of the entire interest. See 37 CFR 3.71. er 37 CFR 3.73(b) (Form PTO/SB/96) submitted h	nerewith o	or filed on	03/19/200	09		
	SIGNATURE of Applicant						
Signature	/THOM KIDRIN/			Date	1/18/2010		
Name	THOM KIDRIN			Telephone	617-803-0004		
Title and Company	WORLDS, INC.						
NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.							
*Total of	forms are submitted.						

This collection of information is required by 37 CFR 1.31, 1.32 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Electronic Acknowledgement Receipt					
EFS ID:	9284951				
Application Number:	12406968				
International Application Number:					
Confirmation Number:	6412				
Title of Invention:	SYSTEM AND METHOD FOR ENABLING USERS TO INTERACT IN A VIRTUAL SPACE				
First Named Inventor/Applicant Name:	Dave Leahy				
Customer Number:	35070				
Filer:	Anatoly Weiser.				
Filer Authorized By:					
Attorney Docket Number:	AP019CON3				
Receipt Date:	21-JAN-2011				
Filing Date:	19-MAR-2009				
Time Stamp:	19:11:21				
Application Type:	Utility under 35 USC 111(a)				

Payment information:

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Power of Attorney	POA003-	1464786	no	2
1 Power of Attorney	Executed2011January21.pdf	3f874f0c53fb4e2d465947d1a6c3c39b7298 fa82		_	

Warnings:

Information:

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Dave Leahy et al.

Serial No.: 12/406,968

Filed: March 19, 2009

For: SYSTEM AND METHOD FOR ENABLING

USERS TO INTERACT IN A VIRTUAL

SPACE

Group Art Unit: 2173

Examiner: Cao H. Nguyen

Attorney File No.: Worlds 003

Office Action Mailed On: 9/21/2010

Confirmation No.: 6412

Mail Stop Amendment **Commissioner for Patents** P.O. Box 1450 Alexandria, VA 22313-1450

REPLY TO OFFICE ACTION

Sir:

This paper is Applicants' reply to the outstanding Office action mailed on the date shown above (the "Office Action").

The Office Action set a shortened statutory period of three months for reply. Applicants petition for a time extension of one month under 37 CFR § 1.136(a)(1), and authorization is hereby granted to charge the small entity time extension fee under 37 CFR § 1.17(a) to Deposit Account No. If the undersigned attorney is mistaken regarding the time extension calculation, 50-3196. Applicants petition for a necessary extension of time, and authorization is hereby granted to charge the small entity time extension fee under 37 CFR § 1.17 required for the filing of this Reply to the same Deposit Account. This Reply is therefore timely.

Authorization is also granted to charge to the same Deposit Account additional claim fees (if any), and all other fees necessary to file this Reply and applicable to a small entity.

Amendments to the claims are reflected in the listing of claims that begins on page 3 of this paper.

Remarks begin on page 7 of this paper.

REMARKS

Claim Status

Claims 4-15 will be pending in the application after entry of the above amendments. This paper amends claims 4-8 and 11; does not cancel any claims; and adds new claims 12-15. The amendments are made without acquiescence to the rejections, without prejudice, without disclaimer, and without dedication to the public. Claims 4, 11, and 15 will be the independent claims of the application.

Summary of the Office Action

In the Office Action, claims 4-11 were rejected under 35 U.S.C. § 103(a) as being unpatentable over Shiio et al., U.S. Patent Number 5,491,743 ("Shiio"), in view of Suzuki et al., U.S. Patent Number 5,736,982 ("Suzuki").

Applicants respectfully respond to the Office Action.

Terminal Disclaimers

A terminal disclaimer (disclaiming the term extending beyond that of U.S. Patent Number 7,181,690) was previously filed in this application. It appears that there is a technical difficulty that rendered the previously filed disclaimer not sufficiently legible. The undersigned attorney notes that the filed disclaimer was legible, and the copy of the disclaimer downloaded at the time of filing was also legible; but the terminal disclaimer appearing on USPTO PAIR is not as legible as the copy filed. A terminal disclaimer executed by the undersigned attorney is filed herewith, together with a Power of Attorney form executed by a representative of the assignee of the present application.

A second terminal disclaimer (disclaiming term extending beyond that of U.S. Patent Number 7,493,558) is also filed herewith.

Art Rejections

In rejecting claim 4 as being unpatentable over Shiio and Suzuki, the Office Action asserted that Shiio discloses receiving, by the client device, position information associated with fewer than all of the other user avatars from a server process, citing Shiio's column 5, lines 42-60. Shiio fails to disclose or suggest receiving position information for fewer than all of the conference participants in the virtual conference room. Note that claim 4 has been now amended to clarify that the client device does not receive position information of at least some avatars of the other user avatars in the virtual space. Therefore, receiving position information for all of the avatars does not disclose or suggest the specific limitation in issue here. Suzuki also fails to disclose or suggest this limitation.

In sum, the references taken separately or together, do not disclose or suggest the limitation wherein the client device does not receive position information of at least some avatars of the other user avatars in the virtual place. Applicants respectfully submit that independent claim 4 is patentable at least for this reason.

Independent claims 11 and 15 recite limitations identical or analogous to those of claim 4 discussed above, and should be patentable at least for the same reason as discussed above in relation to claim 4.

Dependent claim 8 recites a limitation of wherein the client device does not receive orientation information of at least some avatars of the other user avatars in the virtual space. It appears that the references fail to disclose or suggest this limitation, and therefore dependent claim 8 is separately patentable for this additional reason.

Claim 10 depends from claim 4 and recites additional limitations of wherein the virtual space further comprises two or more virtual rooms and the method further comprises an avatar teleporting from a first virtual room to a second virtual room. The Office Action cited column 7, lines 15-62 of Shiio as disclosing these limitations. It appears that the Office Action considered the reference to a participant being added to a conference by dragging (paraphrased) to be analogous to teleporting. Applicants respectfully note that teleporting implies instantaneous or nearly instantaneous movement. Dragging, in contradistinction, is a gradual movement. Therefore, the references do not disclose or suggest the "teleporting" limitations of claim 10. Claim 10 should be separately patentable at least for this additional reason.

Dependent claims 5-7 and 9 should be patentable at least for the reasons applicable to their base claim 4.

New Claims

Support for the new claims 12-14 may be found, for example, on page 9, lines 13-26 of the specification as filed.

New independent claim 15 is analogous to the existing independent claims 4 and 11. Support for the *Beauregard*-type (memory storing) limitations in claim 15 may be found, for example, on page 6, line 14, through page 7, line 5, of the specification as filed.

CONCLUSION

Applicants submit that all pending claims are allowable. To discuss any matter pertaining to the instant application, the Examiner is invited to call the undersigned attorney at (858) 720-9431.

Having made an effort to bring the application in condition for allowance, a notice to this effect is earnestly solicited.

Respectfully submitted,

Dated: January 21, 2011 /Anatoly S. Weiser/

Acuity Law Group
Anatoly S. Weiser, Reg. No. 43,229
3525 Del Mar Heights Road, #295
San Diego, CA 92130
(858) 720-9431

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Docket Number (Optional) TERMINAL DISCLAIMER TO OBVIATE A DOUBLE PATENTING Worlds 003 REJECTION OVER A "PRIOR" PATENT In re Application of: Dave Leahy et al. Application No.: 12/406,968 Filed: 3/19/2009 For: SYSTEM AND METHOD FOR ENABLING USERS TO INTERACT IN A VIRTUAL SPACE percent interest in the instant application hereby disclaims, The owner*, Worlds, Inc. , of ____ 100 except as provided below, the terminal part of the statutory term of any patent granted on the instant application which would extend beyond the expiration date of the full statutory term **prior patent** No. <u>7,181,690</u> as the term of said prior patent is defined in 35 U.S.C. 154 and 173, and as the term of said prior patent is presently shortened by any terminal disclaimer. The owner hereby agrees that any patent so granted on the instant application shall be enforceable only for and during such period that it and the prior patent are commonly owned. This agreement runs with any patent granted on the instant application and is binding upon the grantee, its successors or assigns. In making the above disclaimer, the owner does not disclaim the terminal part of the term of any patent granted on the instant application that would extend to the expiration date of the full statutory term as defined in 35 U.S.C. 154 and 173 of the prior patent, "as the term of said prior patent is presently shortened by any terminal disclaimer," in the event that said prior patent later: expires for failure to pay a maintenance fee; is held unenforceable; is found invalid by a court of competent jurisdiction; is statutorily disclaimed in whole or terminally disclaimed under 37 CFR 1.321; has all claims canceled by a reexamination certificate; is reissued; or is in any manner terminated prior to the expiration of its full statutory term as presently shortened by any terminal disclaimer. Check either box 1 or 2 below, if appropriate. For submissions on behalf of a business/organization (e.g., corporation, partnership, university, government agency, etc.), the undersigned is empowered to act on behalf of the business/organization. I hereby declare that all statements made herein of my own knowledge are true and that all statements made on in formation and belief are belie ved to be true; and further that these statements were made with the knowledge that willful falses tatements and the like so made are punis hable by fine or imprisonment, or both, under Se ction 1001 of Title 18 of the United States Code and that such statements may jeopardize the validity of the application or any patent issued thereon. 2. The undersigned is an attorney or agent of record. Reg. No. 43,229 01/21/2011 /Anatoly S. Weiser/ Signature Date Anatoly S. Weiser Typed or printed name (858) 720-9431 Telephone Number Terminal disclaimer fee under 37 CFR 1.20(d) included. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.321. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

*Statement_under 37 CFR 3.73(b) is required if terminal disclaimer is signed by the assignee (owner).

Form PTO/SB/96 may be used for making this certification. See MPEP § 324.

Privacy Act Statement

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Docket Number (Optional) TERMINAL DISCLAIMER TO OBVIATE A DOUBLE PATENTING Worlds 003 REJECTION OVER A "PRIOR" PATENT In re Application of: Dave Leahy et al. Application No.: 12/406,968 Filed: 3/19/2009 For: SYSTEM AND METHOD FOR ENABLING USERS TO INTERACT IN A VIRTUAL SPACE percent interest in the instant application hereby disclaims, The owner*, Worlds, Inc. , of ___ 100 except as provided below, the terminal part of the statutory term of any patent granted on the instant application which would extend beyond the expiration date of the full statutory term **prior patent** No. <u>7,493,558</u> as the term of said prior patent is defined in 35 U.S.C. 154 and 173, and as the term of said prior patent is presently shortened by any terminal disclaimer. The owner hereby agrees that any patent so granted on the instant application shall be enforceable only for and during such period that it and the prior patent are commonly owned. This agreement runs with any patent granted on the instant application and is binding upon the grantee, its successors or assigns. In making the above disclaimer, the owner does not disclaim the terminal part of the term of any patent granted on the instant application that would extend to the expiration date of the full statutory term as defined in 35 U.S.C. 154 and 173 of the prior patent, "as the term of said prior patent is presently shortened by any terminal disclaimer," in the event that said prior patent later: expires for failure to pay a maintenance fee; is held unenforceable; is found invalid by a court of competent jurisdiction; is statutorily disclaimed in whole or terminally disclaimed under 37 CFR 1.321; has all claims canceled by a reexamination certificate; is reissued; or is in any manner terminated prior to the expiration of its full statutory term as presently shortened by any terminal disclaimer. Check either box 1 or 2 below, if appropriate. For submissions on behalf of a business/organization (e.g., corporation, partnership, university, government agency, etc.), the undersigned is empowered to act on behalf of the business/organization. I hereby declare that all statements made herein of my own knowledge are true and that all statements made on in formation and belief are belie ved to be true; and further that these statements were made with the knowledge that willful falses tatements and the like so made are punis hable by fine or imprisonment, or both, under Se ction 1001 of Title 18 of the United States Code and that such statements may jeopardize the validity of the application or any patent issued thereon. 2. The undersigned is an attorney or agent of record. Reg. No. 43,229 01/21/2011 /Anatoly S. Weiser/ Signature Date Anatoly S. Weiser Typed or printed name (858) 720-9431 Telephone Number Terminal disclaimer fee under 37 CFR 1.20(d) included. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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Electronic Patent Application Fee Transmittal						
Application Number:	12406968					
Filing Date:	19-Mar-2009					
Title of Invention:	SYSTEM AND METHOD FOR ENABLING USERS TO INTERACT IN A VIRTUAL SPACE					
First Named Inventor/Applicant Name:	Dave Leahy					
Filer:	Anatoly Weiser.					
Attorney Docket Number:	AP	019CON3				
Filed as Small Entity						
Utility under 35 USC 111(a) Filing Fees						
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)	
Basic Filing:						
Pages:						
Claims:						
Miscellaneous-Filing:						
Petition:						
Patent-Appeals-and-Interference:						
Post-Allowance-and-Post-Issuance:						
Extension-of-Time:						
Extension - 1 month with \$0 paid		2251	1	65	65	

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
Statutory or terminal disclaimer	2814	2	70	140
	Tot	al in USD	(\$)	205

Electronic Acknowledgement Receipt					
EFS ID:	9285074				
Application Number:	12406968				
International Application Number:					
Confirmation Number:	6412				
Title of Invention:	SYSTEM AND METHOD FOR ENABLING USERS TO INTERACT IN A VIRTUAL SPACE				
First Named Inventor/Applicant Name:	Dave Leahy				
Customer Number:	35070				
Filer:	Anatoly Weiser.				
Filer Authorized By:					
Attorney Docket Number:	AP019CON3				
Receipt Date:	21-JAN-2011				
Filing Date:	19-MAR-2009				
Time Stamp:	19:27:40				
Application Type:	Utility under 35 USC 111(a)				

Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$205
RAM confirmation Number	7722
Deposit Account	503196
Authorized User	

File Listing:

The Listing	y•				
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
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1	Amendment/Req. Reconsideration-After	Amendment2-Image.pdf	1824467	no	10
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Warnings:					
Information	!				
2	Terminal Disclaimer Filed	Terminal Disclaimer By ASWO ver	210476	no	2
2	Terrimar Disclaimer Fried	Pat 7181690.pdf	32bba852b2561765d0e6b992cdb2acd3a9 c25366	110	
Warnings:					
Information	:				
3	Terminal Disclaimer Filed	Terminal Disclaimer By ASW Over	210485	no	2
J	Terrimar biselainter riiea	Pat 7493558.pdf	e0a210e4a82cdc9dc00a49cf7a625b7b778 edb91	110	
Warnings:					
Information	:				
4	Fee Worksheet (PTO-875)	fee-info.pdf	32266	no	2
	. ce wondheet (10 0/3)	rec into.pui	9b02f746c186218d83f81c53d25aa19c00d b6c0e	110	
Warnings:					
Information	:				
		Total Files Size (in bytes):	22	77694	

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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

P	ATENT APPLICATION FEE DETERMINATION RECORD Substitute for Form PTO-875						Application or Docket Number 12/406,968		Filing Date 03/19/2009		To be Mailed	
APPLICATION AS FILED – PART I (Column 1) (Column 2)							SMALL ENTITY 🛛			OTHER THAN OR SMALL ENTITY		
FOR NUMBER FILED NUMBER EXTRA						RATE (\$)	FEE (\$)		RATE (\$)	FEE (\$)		
	BASIC FEE (37 CFR 1.16(a), (b),	or (c))	N/A		N/A		N/A		1	N/A		
	SEARCH FEE (37 CFR 1.16(k), (i), (i)		N/A		N/A		N/A		1	N/A		
	EXAMINATION FE (37 CFR 1.16(o), (p),	Ε	N/A		N/A		N/A			N/A		
	ΓAL CLAIMS CFR 1.16(i))		mir	us 20 = *			X \$ =		OR	X \$ =		
IND	EPENDENT CLAIM CFR 1.16(h))	S	m	nus 3 = *			X \$ =			X \$ =		
If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).												
	MULTIPLE DEPEN	IDENT CLAIM PR	ESENT (3	7 CFR 1.16(j))								
* If 1	he difference in colu	ımn 1 is less than	zero, ente	r "0" in column 2.			TOTAL			TOTAL		
	APPI	(Column 1)	AMEND	DED — PART II (Column 2)	(Column 3)		SMALL ENTITY OR			OTHER THAN SMALL ENTITY		
AMENDMENT	01/21/2011	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA		RATE (\$)	ADDITIONAL FEE (\$)		RATE (\$)	ADDITIONAL FEE (\$)	
)ME	Total (37 CFR 1.16(i))	* 12	Minus	** 20	= 0		X \$26 =	0	OR	X \$ =		
III I	Independent (37 CFR 1.16(h))	* 3	Minus	***3	= 0		X \$110 =	0	OR	X \$ =		
4ME	Application Size Fee (37 CFR 1.16(s))											
,	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))								OR			
							TOTAL ADD'L FEE	0	OR	TOTAL ADD'L FEE		
		(Column 1)		(Column 2)	(Column 3)							
		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA		RATE (\$)	ADDITIONAL FEE (\$)		RATE (\$)	ADDITIONAL FEE (\$)	
EN.	Total (37 CFR 1.16(i))	*	Minus	**	=		X \$ =		OR	X \$ =		
ENDMENT	Independent (37 CFR 1.16(h))	*	Minus	***	=		X \$ =		OR	X \$ =		
EN	Application Size Fee (37 CFR 1.16(s))											
AMI	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))								OR			
							TOTAL ADD'L FEE		OR	TOTAL ADD'L FEE		
** If ***	* If the entry in column 1 is less than the entry in column 2, write "0" in column 3. ** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20". *** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3". The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.											

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

CLAIM AMENDMENT

Please amend the claims in accordance with the following listing.

Listing of Claims

Claims 1-3 (Cancelled)

4. (Currently Amended) A method for enabling a first user to interact with other users in a virtual space, each user of the first user and the other users being associated with a three dimensional avatar representing said each user in the virtual space, the method comprising the steps of:

customizing, using a <u>processor of a client device processor</u>, an avatar in response to input by the first user;

receiving, by the client device, position information associated with fewer than all of the other user avatars from a server process, wherein the client device does not receive position information of at least some avatars of the other user avatars in the virtual space; and

determining, by the client device, a displayable set of the other user avatars associated with the client device display; and

displaying, on the client device display, the displayable set of the other user avatars associated with the client device display.

5. (Currently Amended) The method according to claim 4, further comprising the steps step of:
monitoring an orientation of the first user avatar, and avatar;

wherein the step of determining comprises filtering the other user avatars displaying on the elient device all or less than all of the displayable set based on the monitored orientation of the first

user avatar.

6. (Currently Amended) The method according to claim 4, wherein the step of customizing

further comprises accessing a first database containing custom avatar images.

7. (Currently Amended) The method according to claim 6, wherein the step of customizing

further comprises selecting information from the first database information that is used to render the

avatars in the displayable set.

8. (Currently Amended) The method according to claim 4, further comprising receiving by the

client device orientation information associated with fewer than all of the other user avatars, wherein

the client device does not receive orientation information of at least some avatars of the other user

avatars in the virtual space.

9. (Previously Presented) The method according to claim 4, further comprising storing an entry

including a pointer to an image associated with the other user avatars for which position information

has been received.

10. (Previously Presented) The method according to claim 4, wherein the virtual space further

comprises two or more virtual rooms and the method further comprises an avatar teleporting from a

first virtual room to a second virtual room.

11. (Currently Amended) A client device for enabling a first user to interact with other users in a virtual space, each user being associated with a three dimensional avatar representing the user in the virtual space, the device comprising:

a memory storing instructions; and

a processor programmed using the instructions to:

create a custom avatar in response to input by the first user;

receive position information associated with fewer than all of the other user avatars, wherein the processor does not receive position information of at least some avatars of the other user avatars in the virtual space; and

determine a set of the other users' avatars displayable on a screen associated with the client device.

12. (New) The method according to claim 4, further comprising the step of:

monitoring an orientation of the first user avatar;

wherein the step of determining comprises filtering the other user avatars based on at least one variable other than (1) positions of the other user avatars, and (2) orientation of the first user avatar.

13. (New) The method according to claim 4, wherein the step of determining comprises filtering the other user avatars based on identifiers (IDs) of the other user avatars.

14. (New) The method according to claim 4, wherein the step of determining comprises filtering the other user avatars based on a limit of the other user avatars that may be displayed on the client device display, the limit being set at the client device.

15. (New) An article of manufacture comprising at least one memory storing computer code for enabling a first user to interact with other users in a virtual space, each user of the first user and the other users being associated with a three dimensional avatar representing said each user in the virtual space, the computer code comprising instructions for:

customizing, using a processor of a client device, an avatar in response to input by the first user;

receiving, by the client device, position information associated with fewer than all of the other user avatars from a server process, wherein the client device does not receive position information of at least some avatars of the other user avatars in the virtual space;

determining, by the client device, a displayable set of the other user avatars associated with the client device display; and

displaying, on the client device display, the displayable set of the other user avatars associated with the client device display.



35070

ANATOLY S. WEISER

SAN DIEGO, CA 92130

3525 DEL MAR HEIGHTS ROAD, #295

UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS PO. Box 1450 Alexandria, Viiginia 22313-1450 www.uspto.gov

APPLICATION NUMBER FILING OR 371(C) DATE FIRST NAMED APPLICANT ATTY. DOCKET NO./TITLE

12/406,968 03/19/2009

Dave Leahy WORLDS 003

CONFIRMATION NO. 6412 POA ACCEPTANCE LETTER



Date Mailed: 01/31/2011

NOTICE OF ACCEPTANCE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 01/21/2011.

The Power of Attorney in this application is accepted. Correspondence in this application will be mailed to the above address as provided by 37 CFR 1.33.

	/sibrahim/				
_					

Office of Data Management, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

ANATOLY S. WEISER 3525 DEL MAR HEIGHTS ROAD, #295 SAN DIEGO CA 92130

MAILED

FEB 28 2011

OFFICE OF PETITIONS

In re Application of

Leahy et al.

Application No. 12/406,968

Filed: March 19, 2009

Attorney Docket No. WORLDS 003

DECISION ON PETITION

TO WITHDRAW FROM RECORD

This is a decision on the Request to Withdraw as attorney or agent of record under 37 C.F.R. § 1.36(b), filed January 5, 2011.

The request is **APPROVED**.

A grantable request to withdraw as attorney/agent of record must be signed by every attorney/agent seeking to withdraw or contain a clear indication that one attorney is signing on behalf of another/others. The Office will require the practitioner(s) to certify that he, she or they have: (1) given reasonable notice to the client, prior to the expiration of the reply period, which the practitioner(s) intends to withdraw from employment; (2) delivered to the client or a duly authorized representative of the client all papers and property (including funds) to which the client is entitled; and (3) notified the client of any replies that may be due and the time frame within which the client must respond, pursuant to 37 CFR 10.40 (c).

The request was signed by Anatoly S. Weiser on behalf of all attorneys/agents of record who are associated with Customer Number 35070. All attorneys/agents associated with Customer Number 35070 have been withdrawn. Applicants are reminded that there is no attorney of record at this time.

All future correspondence will be directed to the assignee, Worlds.com, Inc., at the address indicated below.

Telephone inquires concerning this decision should be directed to the undersigned at (571) 272-3206. All other inquires concerning either the examination or status of the application should be directed to the Technology Center.

Liana Walsh Petitions Examiner

anall

Office of Petitions

cc: WORLDS.COM, INC. 11 ROYAL ROAD

BROOKLINE, MA 02445

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

POWER OF ATTORNEY OR REVOCATION OF POWER OF ATTORNEY WITH A NEW POWER OF ATTORNEY AND CHANGE OF CORRESPONDENCE ADDRESS

Application Number	12/406,968
Filing Date	03/19/2009
First Named Inventor	Dave Leahy
Title	SYSTEM AND METHOD FOR ENABLING USERS TO INT
Art Unit	2173
Examiner Name	CAO H. NGUYEN
Attorney Docket Number	WORLDS 003

I hereby revoke all	previous powers of attorney given in th	ne above-ident	tified applicati	on.		
A Power of Atto	rney is submitted herewith.	_				
Number as my/o identified above	point Practitioner(s) associated with the following Customer my/our attorney(s) or agent(s) to prosecute the application pove, and to transact all business in the United States Patent mark Office connected therewith:					
OR Lhereby appoin	t Practitioner(s) named below as my/our attorne	((e) or agent(e) to	nrosecute the ar	onlication identified above, and		
	usiness in the United States Patent and Tradema			oplication identified above, and		
	Practitioner(s) Name		Registration	n Number		
Please recognize o	or change the correspondence address	for the above	identified and	olication to:		
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OR				٦		
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City		State		Zip		
Country		1				
Telephone I am the:		Email				
Applicant/Invent	or.					
[□] OR						
Assignee of reco	ord of the entire interest. See 37 CFR 3.71. r 37 CFR 3.73(b) (Form PTO/SB/96) submitted h	nerewith or filed or	, 03/19/20	09		
	Assignee of record of the entire interest. See 37 CFR 3.71. Statement under 37 CFR 3.73(b) (Form PTO/SB/96) submitted herewith or filed on					
Signature	/THOM KIDRIN/		Date	1/18/2010		
Name	THOM KIDRIN		Telephone	617-803-0004		
Title and Company	WORLDS, INC.					
NOTE : Signatures of all the signature is required, see b	e inventors or assignees of record of the entire interes elow*.	t or their represental	tive(s) are required.	. Submit multiple forms if more than one		
*Total of	forms are submitted.					

This collection of information is required by 37 CFR 1.31, 1.32 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Electronic Acknowledgement Receipt				
EFS ID:	9569302			
Application Number:	12406968			
International Application Number:				
Confirmation Number:	6412			
Title of Invention:	SYSTEM AND METHOD FOR ENABLING USERS TO INTERACT IN A VIRTUAL SPACE			
First Named Inventor/Applicant Name:	Dave Leahy			
Correspondence Address:	WORLDS.COM, INC. - 11 ROYAL ROAD - BROOKLINE MA 02445 US			
Filer:	Anatoly Weiser.			
Filer Authorized By:				
Attorney Docket Number:	WORLDS 003			
Receipt Date:	02-MAR-2011			
Filing Date:	19-MAR-2009			
Time Stamp:	14:39:16			
Application Type:	Utility under 35 USC 111(a)			
Payment information:	1			

Payment information:

Submitted with Payment	no
File Listing:	

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	POA003-		1464786	no	2
.	Tower of Attorney	Executed 2011 January 21. pdf	3f874f0c53fb4e2d465947d1a6c3c39b7298 fa82		2
Warnings:					
Information:					

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

Total Files Size (in bytes):

1464786

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMI United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS PO. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov UNITED STATES DEPARTMENT OF COMMERCE

APPLICATION NUMBER

FILING OR 371(C) DATE

FIRST NAMED APPLICANT

ATTY. DOCKET NO./TITLE WORLDS 003

03/19/2009 12/406,968 Dave Leahy

CONFIRMATION NO. 6412

35070 ANATOLY S. WEISER 3525 DEL MAR HEIGHTS ROAD, #295 SAN DIEGO, CA 92130



POWER OF ATTORNEY NOTICE

Date Mailed: 03/08/2011

NOTICE REGARDING CHANGE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 01/05/2011.

• The withdrawal as attorney in this application has been accepted. Future correspondence will be mailed to the new address of record. 37 CFR 1.33.

/lswalsh/				
 	 	 	 (==1) === 1000	

Office of Data Management, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS PO. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NUMBER FILING OR 371(C) DATE FIRST NAMED APPLICANT ATTY. DOCKET NO./TITLE

Dave Leahy

12/406,968 03/19/2009

WORLDS 003
CONFIRMATION NO. 6412

35070 ANATOLY S. WEISER 3525 DEL MAR HEIGHTS ROAD, #295 SAN DIEGO, CA 92130



Date Mailed: 03/23/2011

NOTICE OF ACCEPTANCE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 03/02/2011.

The Power of Attorney in this application is accepted. Correspondence in this application will be mailed to the above address as provided by 37 CFR 1.33.

Office of Data Management, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
12/406,968	03/19/2009	Dave Leahy	WORLDS 003	6412	
35070 ANATOLY S.	7590 03/31/201 WEISER	1	EXAM	IINER	
3525 DEL MAI	R HEIGHTS ROAD, #	295	NGUYEN, CAO H		
SAN DIEGO, O	A 92130		ART UNIT	PAPER NUMBER	
			2171		
			MAIL DATE	DELIVERY MODE	
			03/31/2011	PAPER	

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

	Application No.	Applicant(s)
	12/406,968	LEAHY ET AL.
Office Action Summary	Examiner	Art Unit
	Cao (Kevin) Nguyen	2173
The MAILING DATE of this communication app Period for Reply	ears on the cover sheet with the o	correspondence address
A SHORTENED STATUTORY PERIOD FOR REPLY WHICHEVER IS LONGER, FROM THE MAILING DA - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication. - If NO period for reply is specified above, the maximum statutory period w - Failure to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	ATE OF THIS COMMUNICATION 36(a). In no event, however, may a reply be tinuity ill apply and will expire SIX (6) MONTHS from cause the application to become ABANDONE	N. nely filed the mailing date of this communication. D (35 U.S.C. § 133).
Status		
 1) Responsive to communication(s) filed on 21 Ja 2a) This action is FINAL. 2b) This 3) Since this application is in condition for allowar closed in accordance with the practice under E 	action is non-final. nce except for formal matters, pro	
Disposition of Claims		
4) ☐ Claim(s) 4-11 is/are pending in the application. 4a) Of the above claim(s) is/are withdrav 5) ☐ Claim(s) is/are allowed. 6) ☐ Claim(s) 4-11 is/are rejected. 7) ☐ Claim(s) is/are objected to. 8) ☐ Claim(s) are subject to restriction and/or	vn from consideration.	
9) The specification is objected to by the Examine	r.	
10) ☐ The drawing(s) filed on is/are: a) ☐ acce Applicant may not request that any objection to the of Replacement drawing sheet(s) including the correction in the original of the correction is objected to by the Ex	epted or b) objected to by the drawing(s) be held in abeyance. Section is required if the drawing(s) is ob	e 37 CFR 1.85(a). jected to. See 37 CFR 1.121(d).
Priority under 35 U.S.C. § 119		
12) Acknowledgment is made of a claim for foreign a) All b) Some * c) None of: 1. Certified copies of the priority documents 2. Certified copies of the priority documents 3. Copies of the certified copies of the prior application from the International Bureau * See the attached detailed Office action for a list of	s have been received. s have been received in Applicati ity documents have been receive I (PCT Rule 17.2(a)).	ion No ed in this National Stage
Attachment(s)		
1) Notice of References Cited (PTO-892) 2) Notice of Draftsperson's Patent Drawing Review (PTO-948) 3) Information Disclosure Statement(s) (PTO/SB/08) Paper No(s)/Mail Date	4) Interview Summary Paper No(s)/Mail Di 5) Notice of Informal F 6) Other:	ate

Art Unit: 2173

DETAILED ACTION

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103© and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

Claims 4-11 are rejected under 35 U.S.C. 103(a) as being unpatentable over Shiio (US Patent No. 5,491,743) and Suzuki et al. (US Patent No. 5,736,982) as applied to claims 4-11 above, and further in view of Copyright 1966 Post-Newsweek Business Information Inc. Newsbytes February 14, 1996.

Regarding claim 4, Shiio discloses a method for enabling a first user to interact with other users in a virtual space, each user of the first user and the other users being associated with a three dimensional avatar representing the user in the virtual space, the method comprising: customizing, using a client device processor, an avatar in response to input by the first user input

(animated characteristics representing operators in virtual conference; see col. 5, lines 16-62); receiving, by the client device, position information associated with fewer than all of the other user avatars from a server process (see col. 5, lines 42-60); however, Shiio fails to explicitly teach determining, by the client device, a displayable set of the other user avatars associated with the client device display.

Suzuki discloses determining, by the client device, a displayable set of the other user avatars associated with the client device display (..the server is always supplied with the latest position information of the avatar from every terminal; see abstract and col. 1, lines 57-67 and col. 2, lines 1-13).

It would have been obvious to one of ordinary skill in the art, having the teachings of Shiio and Suzuki before him at the time the invention was, modify the virtual conference system user of Shiio to include virtual space display method, as taught by Suzuki. One would have been motivated to make such a combination in order to in order to provide each user terminal uses the relationship between position information of its avatar and that of the other avatars to determine the 3-D image quality of the latter, then requests the other terminals or a server for video images of the other avatars, each having the thus determined quality, and the other terminals or server sends the requested 3-D images of the avatars to the requesting terminal after converting them into video images of the specified quality.

Suzuki and Shiio fail to explicitly teach receiving, by the client device, position information associated with fewer than all of the other user avatars from a server process, wherein the client device does not receive position information of at least some avatars of the

other user avatars in the virtual space; and displaying, on the client device display, the displayable set of the other user avatars associated with the client device display.

Newsbyte discloses receiving, by the client device, position information associated with fewer than all of the other user avatars from a server process, wherein the client device does not receive position information of at least some avatars of the other user avatars in the virtual space; and displaying, on the client device display, the displayable set of the other user avatars associated with the client device display (see Valentine's Day Wedding In A Virtual World, page 1-2).

It would have been obvious to one of ordinary skill in the art, having the teachings of Shiio, Suzuki and Newsbytes before him at the time the invention was, modify the virtual conference system user of Shiio and Suzuki's virtual space display method to include Valentine's Day Wedding In A Virtual World, as taught by Newsbytes. One would have been motivated to make such a combination in order to in order to provide each user terminal uses the relationship between position information of its avatar and that of the other avatars to determine the 3-D image quality of the latter, then requests the other terminals or a server for video images of the other avatars, each having the thus determined quality, and the other terminals or server sends the requested 3-D images of the avatars to the requesting terminal after converting them into video images of the specified quality. It also provides an efficient communications network fro client-server network with small or larger number of clients.

Regarding claim 5, Shiio discloses further comprising the steps of: monitoring an orientation of the first user avatar, and displaying on the client device all or less than all of the displayable set based on the monitored orientation of the first user avatar (see figures 4-5).

Regarding claim 6, Suzuki discloses wherein customizing further comprises accessing a first database containing custom avatar images (see col. 5, lines 18-49).

Regarding claim 7, Suzuki discloses wherein customizing further comprises accessing a first database containing custom avatar images (see col. 20, lines 16-54 and figure 2A).

Regarding claim 8, Shiio discloses wherein customizing further comprises selecting information from the first database that is used to render the avatar (see col. 13, lines 14-67).

Regarding claims 9, Shiio discloses further comprising storing an entry including a pointer to an image associated with the other user avatars for which position information has been received (see col. 6, lines 49-67 and col. 7, lines 1-21).

Regarding claims 10, Shiio discloses wherein the virtual space further comprises two or more virtual rooms and the method further comprises an avatar teleporting from a first virtual room to a second virtual room (see col. 7, lines 15-62.)

Claim 11 differs from claim 4 in that "create a custom avatar in response to input by the first user; receive position information associated with fewer than all of the other user avatars; and determine a set of the other users' avatars displayable on a screen associated with the client device." which read on Shiio (see col. 14, lines 42-67).

Response to Arguments

Applicant's arguments filed on 01/21/11 have been fully considered but they are not persuasive.

In response to applicant's argument that resizing a windows, the fact that applicant has recognized another advantage which would flow naturally from following the suggestion of the

Art Unit: 2173

prior art cannot be the basis for patentability when the differences would otherwise be obvious. See Ex parte Obiaya, 227 USPQ 58, 60 (Bd. Pat. App. & Inter. 1985).

On pages 8-9 of the Remarks; Applicant's argues that the combination of Shiio, Suzuki and Newsbyte do not teach or suggest "fewer than all". However, the examiner respectfully disagrees. Newsbyte discloses avatars and participant is limited; as recited in display (see Valentine's Day Wedding In A Virtual World, page 1-2).

In response to applicant's argument that there is no teaching, suggestion, or motivation to combine the references, the examiner recognizes that obviousness may be established by combining or modifying the teachings of the prior art to produce the claimed invention where there is some teaching, suggestion, or motivation to do so found either in the references themselves or in the knowledge generally available to one of ordinary skill in the art. See In re Fine, 837 F.2d 1071, 5 USPQ2d 1596 (Fed. Cir. 1988), In re Jones, 958 F.2d 347, 21 USPQ2d 1941 (Fed. Cir. 1992), and KSR International Co. v. Teleflex, Inc., 550 U.S. 398, 82 USPQ2d 1385 (2007). In this case, Shiio discloses the virtual conference system, to include virtual space display method of Suzuki used in combination of Newbytes Valentine's Day Wedding In A Virtual World.

One would have been motivated to make such a combination in order to in order to provide each user terminal uses the relationship between position information of its avatar and that of the other avatars to determine the 3-D image quality of the latter, then requests the other terminals or a server for video images of the other avatars, each having the thus determined quality, and the other terminals or server sends the requested 3-D images of the avatars to the requesting terminal after converting them into video images of the specified quality. It also

Art Unit: 2173

provides an efficient communications network fro client-server network with small or larger number of clients.

Applicant's arguments fail to comply with 37 CFR 1.111(b) because they amount to a general allegation that the claims define a patentable invention without specifically pointing out how the language of the claims patentably distinguishes them from the references.

Conclusion

THIS ACTION IS MADE FINAL. Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Cao (Kevin) Nguyen whose telephone number is (571)272-4053. The examiner can normally be reached on 8:30AM-5:00PM.

Application/Control Number: 12/406,968

Art Unit: 2173

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Kieu Vu can be reached on (571)272-4057. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Cao (Kevin) Nguyen/ Primary Examiner, Art Unit 2173

Page 8

03/26/11

Notice of References Cited Application/Control No. 12/406,968 Examiner Cao (Kevin) Nguyen Applicant(s)/Patent Under Reexamination LEAHY ET AL. Page 1 of 1

U.S. PATENT DOCUMENTS

		D	D-1-	C.S. TATERT BOSOMERTO	
*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	Α	US-			
	В	US-			
	С	US-			
	D	US-			
	Е	US-			
	F	US-			
	G	US-			
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FOREIGN PATENT DOCUMENTS

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NON-PATENT DOCUMENTS

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	J	Valentine wedding in a virtual world Copyright 1966 Post-Newsweek Business Information Inc. Newsbytes February 14, 1996.
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*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

Application Number	Application/Co	F	Applicant(s)/Patent (Reexamination .EAHY ET AL.	under		
Document Code - DISQ	Internal Do		cument – DO NOT MAIL			
TERMINAL DISCLAIMER	⊠ APPROVED		☐ DISAPPROVED			
Date Filed : 1/21/11	to a Te	t is subject erminal aimer				
Approved/Disapproved by: Janice Ford						

U.S. Patent and Trademark Office

Application Number	F		Applicant(s)/Patent under Reexamination .EAHY ET AL.				
Document Code - DISQ	Internal Doc		cument – DO NOT MAIL				
TERMINAL DISCLAIMER			□ DISAPPROVED				
Date Filed : 1/14/11	This patent is subject to a Terminal Disclaimer						
Approved/Disapproved by:							
Janice Ford							

U.S. Patent and Trademark Office

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Dave Leahy et al.

Serial No.: 12/406,968

Filed: March 19, 2009

For: SYSTEM AND METHOD FOR ENABLING

USERS TO INTERACT IN A VIRTUAL

SPACE

Group Art Unit: 2171

Examiner: Cao H. Nguyen

Attorney File No.: Worlds 003

Office Action Mailed On: 3/31/2011

Confirmation No.: 6412

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REPLY TO OFFICE ACTION

Sir:

This paper is Applicants' reply (the "Reply") to the outstanding final Office action mailed on the date shown above (the "Final Office Action").

The Final Office Action set a shortened statutory period of three months for reply. This Reply is being filed within the set period and therefore is timely. If the undersigned attorney is mistaken regarding timeliness of this Reply, Applicants conditionally petition for a time extension under 37 CFR § 1.136(a)(1), and authorization is hereby granted to charge the applicable small entity time extension fee under 37 CFR § 1.17 to Deposit Account No. 50-3196.