UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

ASML NETHERLANDS B.V., EXCELITAS TECHNOLOGIES CORP., AND QIOPTIQ PHOTONICS GMBH & CO. KG,
Petitioners

v.

ENERGETIQ TECHNOLOGY, INC.,
Patent Owner

Cases IPR2015-01300 and
IPR2015-01303
U.S. Patent No. 7,435,982

PATENT OWNER'S RESPONSE
UNDER 37 C.F.R. § 42.120

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I. INTRODUCTION

This case is about a light source that generates a "high brightness light" that is so much brighter than what preceded it, that it has essentially replaced the arc lamps previously used in semiconductor wafer inspection, lithography, and metrology tools.

Energetiq's invention solved a fundamental problem – how to generate a light *brighter* than arc lamps. Energetiq patented a novel approach that uses a laser that provides energy to a gas in a chamber to produce a "high brightness light."

Petitioners allege that the challenged claims—almost all of which require a "high brightness light"—are anticipated (and rendered obvious) based on an incomplete system described in a 20 year old reference (Gärtner) that would be *incapable* of achieving the claimed "high brightness light." But, Gärtner neither anticipates nor renders obvious the invention to a person of ordinary skill in the art at the time of the invention. Because Petitioners have not met their burden of proof, the claims must be confirmed.[1]

[1] This Response is supported by the declaration of Dr. Philip H. Bucksbaum, a professor in Physics, Applied Physics, and Photon Science at Stanford University.

II. THE STATE OF THE ART AND THE CLAIMED INVENTION

A. State of the Art and Prior Arc Lamps

For at least a decade prior to the invention, the semiconductor industry used xenon or mercury arc lamps to produce a light for use in wafer inspection and metrology systems. (*See* Smith Decl. at ¶ 8 (Ex. 2016); '982 Patent at 1:20-22 (Ex. 1101)[2] ("The state of the art in, for example, wafer inspection systems involves the use of xenon or mercury arc lamps to produce light.").)

Arc lamps use an anode and cathode to provide an electrical discharge to a gas within the lamp that excites the gas, causing it to emit light. (*See* '982 Patent at 1:20-35 (Ex. 1101).) However, they suffer from a number of shortcomings that constrain the accuracy and efficiency of the equipment that uses them. These problems include instability of the arc, undesirably short time to failure, and limits on how bright such sources can get (the spectral brightness of arc lamps is limited by the maximum current density—if too high, it would melt the arc lamps' electrodes). (*See, e.g.*, '982 Patent at 1:20-35 (Ex. 1101); Smith Decl. at ¶ 8 (Ex. 2016).)

[2] All citations are to IPR '1300, unless otherwise noted.

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