#### PROVISIONAL APPLICATION COVER SHEET

his is a request for filing a PROVISIONAL APPLICATION under 37 CFR 1.53 (b)(2).												
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INVENTOR(s)/APPLICANT(s)												
LAST NAME			FIRST NA		DDLE		RESIDENCE (CITY AND EITHER STATE OR FOREIGN COUNTRY)					
Acheampong Tang-Liu Chang Power			Andrew Diane James David	N. F.			Irvine, CA Newport Beach, CA Newport Beach, CA Trabuco Canyon, CA					
	TITLE OF THE INVENTION (280 characters max)											
METHODS OF PROVIDING THERAPEUTIC EFFECTS USING CYCLOSPORIN COMPONENTS												
CORRESPONDENCE ADDRESS												
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STA	TE CA		ZIP CODE		92618			COUNTRY	USA			
	ENCLOSED APPLICATION PARTS (check all that apply)											
х	Specification		Number of Pages		33		Sm	Small Entity Statement				
	Drawing(s)  Number of   Sheets			_	Other(specify)							
METHOD OF PAYMENT (check one)												
	A check or money order is enclosed to cover the Provisional filing fee PROVISIONAL FILING FEE									00		
х	X The Commissioner is hereby authorized to charge filing fees and credit deposit Account Number:				01-0885 AMOUNT (\$)							
							_		·			

The invention was made by an agency of the United States Government or under a contract with an agency of the United States Government.

□ Yes, the name of the U.S. Government agency and the Government contract number are: \_

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith

Martin A. Voet, Reg. No. 25,208, Robert Baran, Reg. No. 25,806, Carlos A. Fisher, Reg. No. 36,510, Stephen Donovan, Reg. No. 33,433, and Frank J. Uxa, Reg. No. 25,612, Donald E. Stout, Reg. No. 34,493; Robert D. Buyan, Reg. No. 32,460; Kenton R. Mullins, Reg. No. 36,331; Jo Anne M. Ybaben, Reg. No. 42,243, Linda Allyson Fox, Reg. No. 38,883, Kyle D. Yesland, Reg. No.45,526, Greg S. Hollrigel, Reg. No. 45,374, Louse S. Heim, Reg. No. 32,337.

Respectfully submitted,

SIGNATURE

Date SEPTEMBER 15, 2003

Additional inventors are being named on separately numbered sheets attached hereto

PROVISIONAL APPLICATION FILING ONLY

SEND TO: Commissioner of Patents, PO Box 1450, Alexandria, VA 22313-1450



DOCKET NO.: D-3111P

THE ENCLOSED PROVISIONAL PATENT APPLICATION OF ACHEAMPONG ET AL IS BEING FILED IN ACCORDANCE WITH SECTION 37 CFR 1.10 BY EXPRESS MAIL AND SHOULD BE ACCORDED A FILING DATE

September 15, 2003

SEE THE EXPRESS MAIL CERTIFICATE ATTACHED TO THE APPLICATION.



D-3111P

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE PATENT

In re application of: ACHEAMPONG ET AL.	)	Group Art Unit: N/A
Serial No. N/A	) )	Examiner: N/A
Dated: Submitted herewith	)	
For: METHODS OF PROVIDING THERAPEUT EFFECTS USING CYCLOSPORIN COMPONENTS	IC) ) )	ATTN: MS PROVISIONAL PATENT APPLICATION

Express Mail Mailing Label No. EV 059889887US

Date of Deposit: SEPTEMBER 15, 2003

I hereby certify that the following documents as identified below are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and are addressed to the Commissioner for Patents, MS Provisional Patent Application, PO Box 1450, Alexandria, VA 22313-1450.

- Provisional Application Cover Sheet; 1.
- 2. Application Data Sheet
- 3. Application
- Return receipt postcard. 4.

The 4 above-identified documents are enclosed herewith.

Respectfully submitted,

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## METHODS OF PROVIDING THERAPEUTIC EFFECTS USING CYCLOSPORIN COMPONENTS

The present invention relates to methods of providing desired therapeutic effects to humans or animals using compositions including cyclosporin components. More particularly, the invention relates to methods including administering to an eye of a human or animal a therapeutically effective amount of a cyclosporin component to provide a desired therapeutic effect, preferably a desired ophthalmic or ocular therapeutic effect.

The use of cyclosporin-A and cyclosporin A derivatives to treat ophthalmic conditions has been the subject of various patents, for example Ding et al U.S. Patent 5,474,979; Garst U.S. Patent 6,254,860; and Garst U.S. 6,350,442, this disclosure of each of which is incorporated in its entirely herein by reference. In addition, cyclosporin A compositions used in treating ophthalmic conditions is the subject of a number of publications. publications include, for example, concentrations of cyclosporin a during long-term treatment with cyclosporin a ophthalmic emulsions in patients with moderate to severe dry eye disease, " Small et al, J Ocul Pharmacol Ther, 2002 Oct, 18(5):411-8; "Distribution of cyclosporin A in ocular tissues after topical administration to albino rabbits and beagle dogs," Acheampong et al, Curr Eye Res, 1999 Feb, 18(2):91-103b; "Cyclosporine distribution into the conjunctiva, cornea, lacrimal gland, and systemic blood following topical dosing of cyclosporine to rabbit, dog, and human eyes, " Acheampong et al, Adv Exp Med Biol, 1998, 438:1001-4; "Preclinical safety studies of cyclosporine ophthalmic emulsion,"



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Angelov et al, Adv Exp Med Biol, 1998, 438:991-5; "Cyclosporin & Emulsion & Eye," Stevenson et al, Ophthalmology, 2000 May, 107(5):967-74; and "Two multicenter, randomized studies of the efficacy and safety of cyclosporine ophthalmic emulsion in moderate to severe dry eye disease. CsA Phase 3 Study Group, " Sall et al, Ophthalmology, 2000 Apr, 107(4):631-9. Each of these publications is incorporated in its entirety herein by reference. In addition, cyclosporin A-containing oil-inwater emulsions have been clinically tested, under conditions of confidentiality, since the mid 1990's in order to obtain U.S. Food and Drug Administration (FDA) regulatory approval.

Examples of useful cyclosporin A-containing emulsions are set out in Ding et al U.S. Patent 5,474,979. Example 1 of this patent shows a series of emulsions in which the ratio of cyclosporin A to castor oil in each of these compositions was 0.08 or greater, except for Composition B, which included 0.2% by weight cyclosporin A and 5% by weight castor oil. The Ding et al patent placed no significance in Composition B relative to Compositions A, C and D of Example 1.

Over time, it has become apparent that cyclosporin A emulsions for ophthalmic use preferably have less than 0.2% by weight of cyclosporin A. With cyclosporin A concentrations less than 0.2%, the amount of castor oil employed has been reduced since one of the functions of the castor oil is to solubilize the cyclosporin A. Thus, if reduced amounts of cyclosporin are employed, reduced amounts of castor oil are needed to provide effective solubilization of cyclosporin A.

There continues to be a need for providing enhanced



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