Case IPR2015-01279 U.S. Patent No. 7,786,455

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

ASML NETHERLANDS B.V., EXCELITAS TECHNOLOGIES CORP., AND QIOPTIQ PHOTONICS GMBH & CO. KG, Petitioners

v.

ENERGETIQ TECHNOLOGY, INC., Patent Owner

> Case IPR2015-01279 U.S. Patent No. 7,786,455

PATENT OWNER'S RESPONSE UNDER 37 C.F.R. § 42.120

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		1. Gärtner does not provide one skilled in the art with sufficient direction or guidance to obtain the claimed "high brightness light" without undue experimentation (<i>Wands</i> factors 1, 2)17
		2. Gärtner's lack of guidance regarding working examples weighs heavily against a finding that it enables the claimed "high brightness light" (<i>Wands</i> factor 3)
		3. The state of the prior art (arc lamps) further supports a lack of enablement (<i>Wands</i> factors 4, 5)21
	C.	Gärtner does not anticipate claim 19 because it does not disclose the claimed "high brightness light"
VI.		OUND 2: OBVIOUSNESS UNDER § 103 BY GÄRTNER IN VIEWERSHOV

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I. INTRODUCTION

This case is about a light source that generates a "high brightness light" that is so much brighter than what preceded it, that it has essentially replaced the arc lamps previously used in semiconductor wafer inspection, lithography, and metrology tools.

Energetiq's invention solved a fundamental problem – how to generate a light *brighter* than arc lamps. Energetiq patented a novel approach that uses a laser that provides energy to a gas in a chamber to produce a "high brightness light."

Petitioners allege that the challenged claims—all of which require a "high brightness light"—are anticipated based on an incomplete system described in a 20 year old reference (Gärtner) that would be *incapable* of achieving the claimed "high brightness light." Petitioners also allege that the combination of Gärtner and Ershov renders certain challenged claims obvious. For certain of the claim terms, Petitioners cite Ershov for the concept of a reflective optical element, but fail to explain how such an addition would remedy Gärtner's failure to enable a high brightness light. Since Petitioners rely only on Gärtner (not Ershov) for the "high brightness light" limitation, and Gärtner does not disclose, let alone enable, to one of ordinary skill in the art the claimed "high brightness light"—which properly construed must be at least as bright as arc lamps—Petitioners' obviousness arguments must fail and the claims must be confirmed.¹

II. THE STATE OF THE ART AND THE CLAIMED INVENTION

A. State of the Art and Prior Arc Lamps

For at least a decade prior to the invention, the semiconductor industry used xenon or mercury arc lamps to produce a light for use in wafer inspection and metrology systems. (*See* Smith Decl. at \P 8 (Ex. 2016); '455 Patent at 1:28-44 (Ex. 1001) ("The state of the art in, for example, wafer inspection systems involves the use of xenon or mercury arc lamps to produce light.").)

Arc lamps use an anode and cathode to provide an electrical discharge to a gas within the lamp that excites the gas, causing it to emit light. (*See* '455 Patent at 1:28-44 (Ex. 1001).) However, they suffer from a number of shortcomings that constrain the accuracy and efficiency of the equipment that uses them. These problems include instability of the arc, undesirably short time to failure, and limits on how bright such sources can get (the spectral brightness of arc lamps is limited by the maximum current density—if too high, it would melt the arc lamps'

¹ This Response is supported by the declaration of Dr. Philip H. Bucksbaum, a professor in Physics, Applied Physics, and Photon Science at Stanford University.

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