

DIECTROCHIMICA ACIA

PART B: ATOMIC SPECTROSCOPY

EDITOR-IN-CHIEF

Dr. P. W. J. M. Boumans, Philips Research Laboratories, 5600 JA Eindhoven, The Netherlands

EDITORS

Dr. Walter Slavin, Perkin-Elmer Corporation, Main Avenue, Norwalk, CT 06856, USA Dr. H. W. Werner, Philips Research Laboratories, 5600 JA Eindhoven, The Netherlands

ASSISTANT EDITORS

Dr. J. A. C. Broekaert, Institute of Spectrochemistry and Applied Spectroscopy (ISAS), P.O. Box 778, 4600 Dortmund, Federal Republic of Germany Dr. R. J. Decker, Rhodesia University, Chemistry Department, P.O. Box MP 169, Harare, Zimbabwe

EDITORIAL ADVISORY BOARD

Chairman: J. D. Winefordner, Gainesville, FL

C. Th. J. Alkemade, Utrecht, The Netherlands

R. M. Barnes, Amherst, MA

L. S. Birks, Washington, DC R. F. Browner, Atlanta, GA

C. L. Chakrabarti, Ottawa, Canada

K. Dittrich, Leipzig, GDR H. Falk, Berlin, GDR

V. A. Fassel, Ames, IA

K. Fuwa, Tokyo, Japan

L. de Galan, Delft, The Netherlands

Y. Gohshi, Tokyo, Japan

D. Hercules, Pittsburgh, PA

G. M. Hieftje, Bloomington, IN

G. Horlick, Alberta, Canada

A. M. Huber, Orsay, France

H. Kawaguchi, Nagoya, Japan

M. Kent Wilson Washington, DC

S. F. A. Kettle, Norwich, UK

S. R. Koirtyohann, Columbia, MO

K. Laqua, Dortmund, GFR

B. V. L'vov, Leningrad, USSR

F. J. M. J. Maessen, Amsterdam, The Netherlands

S. L. Mandelshtam, Moscow, USSR

J. M. Mermet, Vernaison, France

N. Omenetto, Ispra, Italy

J. M. Ottaway, Glasgow, UK

E. Plško, Bratislava, CSSR

J. Robin, Villeurbanne, France

F. Rüdenauer, Vienna, Austria

M. Someno, Nagoaka City, Japan

A. Strasheim, Pretoria, RSA

A. Walsh, Brighton, Australia

K. Zimmer, Budapest, Hungary

Publishing, Subscription and Advertising Offices: Pergamon Press Inc., Maxwell House, Fairview Park, Elmsford, NY 10523, U.S.A.; Pergamon Press Ltd., Headington Hill Hall, Oxford OX3 0BW, U.K. (Oxford 64881).

Payments must be made in advance. Subscription enquiries from customers in North America should be sent to: Pergamon Press Inc., Maxwell House, Fairview Park, Elmsford, NY 10523, U.S.A., and for the remainder of the world to: Pergamon Press Ltd., Headington Hill Hall, Oxford OX3 0BW, U.K.

One volume per annum. Published monthly.

Subscription rates: For libraries, university departments, government laboratories, industrial and other multi-reader institutions (1985), U.S. \$325.00; two-year rate (1985/86) U.S. \$617.50 (including postage and insurance). Specially Reduced Rates to Individuals

In the interests of maximizing the dissemination of the research results published in this important international journal we have established the following price structure. Any individual, whose institution takes out a library subscription, may purchase a second or additional subscription for personal use at a much reduced rate of U.S. \$75.00 per annum.

Microform Subscriptions and Back Issues

Back issues of all previously published volumes are available in the regular editions and on microfich and microfiche. Current subscriptions are available on microfiche simultaneously with the paper edition and on microfilm on completion of the annual index at the end of the subscription

Copyright © 1985 Pergamon Press Ltd. It is a condition of publication that manuscripts submitted to this journal have not been published and will not be simultaneously submitted or published elsewhere. By submitting a manuscript, the authors agree that the copyright for their article is transferred to the publisher if and when such assignment. The copyright covers the exclusive rights to reproduce and distribute the article, is adopting reprints, photographic reproductions, or any other reproductions of similar nature and translations. No part of this publication may be reproduced, stored in a retrieval system or transmitted in any form or by any means, electronic, electrostatic, magnetic tape, mechanical, photocopying, recording or otherwise, without permission in writing from the copyright holder.

U.S. Copyright Law Applicable to Users in the U.S.A.

Photocopying information for users in the U.S.A.: The Item-Fee Code for this publication indicates that authorization to photocopy items for internal or personal use is granted by the copyright holder for libraries and other users registered with the Copyright Clearance Center (CCC) Transactional Reporting Service provided the stated fee for copying beyond the permitted by Section 107 or 108 of the United States Copyright Law is paid. The appropriate remittance of \$3.00 per copy per article is paid directly to the Copyright Clearance Center Inc., 21 Congress Street, Salem, MA 01970. The Copyright owner's consent does not extend to copying for general distribution, for promotion, for creating new works, or for resale. Specific The Item-Fee Code for this publication is: 0584-8547/85 \$3.00 + .00.

PERGAMON PRESS

HEADINGTON HILL HALL, OXFORD OX3 0BW, ENGLAND MAXWELL HOUSE, FAIRVIEW PARK, ELMSFORD, NEW YORK 10523



Spectrochimica Acta, Vol. 40B, No. 4, pp. 665-679, 1985. Printed in Great Britain.

0584-8547/85 \$03.00 + .00 Pergamon Press Ltd.

Evaluation of the continuous optical discharge for spectrochemical analysis*

DAVID A. CREMERS, FREDRICK L. ARCHULETA and RONALD J. MARTINEZ

11 Iniversity of California, Los Alamos National Laboratory, Chemistry Division, Los Alamos, NM 87545, U.S.A.

(Received 25 June 1984; in revised form 6 September 1984)

Abstract—The continuous optical discharge (COD) has been studied as a spectrochemical excitation source for atomic emission spectroscopy. The COD was generated by focusing a 45-W cw-CO₂ laser beam in Xe gas at pressures between 1150 and 3200 torr. The high temperature (10000 K) and electron density (~ 10¹⁷ cm⁻³) of the plasma should provide good excitation for elements difficult to excite by more conventional sources. Some characteristics of the plasma were examined as a function of laser power and gas pressure. The design of a gas cell for analytical measurements which increases plasma stability is presented. Linear calibration curves for O₂ and Cl₂ introduced into the plasma were obtained and detection limits established. Detection limits were also determined for solid materials laser ablated into the COD. Because the COD operates at pressures above atmospheric, gas samples are most easily introduced for analysis. To prevent contamination of optical components by analyte dissociation products, the COD should be operated as a plasmatron.

1. Introduction

Currently many different types of gas discharges are used to excite materials for analysis via atomic emission spectroscopy. These discharges are produced by electric fields with a range of frequencies: d.c. arcs (constant fields), a.c. arcs and sparks (1 kHz or less), the inductively coupled plasma [ICP] (20–50 MHz) and microwave induced plasmas (\sim 2.5 GHz). All of these sources require some physical device to support the discharge: arcs and sparks require electrodes, the ICP uses an induction coil, and microwave plasmas employ a resonator or waveguide. Recently, however, it was hypothesized [1, 2] and then demonstrated that a free-standing continuous discharge can be produced by focusing the output of a sufficiently powerful cw-CO₂ laser in inert [3–18] and molecular [19] gases and air [20, 21] at

- *Work performed under the auspices of the U.S. Department of Energy.
- [1] Yu. P. Razier, ZhETF Pis. Red. 11, 195 (1970) [JETP Lett. 11, 120 (1970)].
- [2] Yu. P. RAZIER, Zh. Eksp. Teor. Fiz. 58, 2127 (1970) [Sov. Phys.-JETP 31, 1148 (1970)].
- [3] N. A. GENERALOV, V. P. ZIMAKOV, G. I. KOZLOV, V. A. MASYUKOV and YU. P. RAZIER, ZhETF Pis. Red. 11, 447 (1970) [JETP Lett. 11, 302 (1970)].
- [4] N. A. GENERALOV, V. P. ZIMAKOV, G. I. KOZLOV, V. A. MASYUKOV and YU. P. RAZIER, Zh. Eksp. Teor. Fiz. 61, 1434 (1971) [Sov. Phys.-JETP 34, 763 (1972)].
- [5] D. L. FRANZEN, Appl. Phys. Lett. 21, 62 (1972).
- [6] C. D. MOODY, Appl. Phys. Lett. 22, 31 (1973).
- [7] D. L. FRANZEN, J. Appl. Phys. 44, 1727 (1973).
- [8] G. I. KOZLOV, V. A. KUZNETSOV and V. A. MASYUKOV, Zh. Eksp. Teor. Fiz. 66, 954 (1974) [Sov. Phys.-JETP 39, 463 (1974)].
- [9] G. I. KOZLOV, V. A. KUZNETSOV and V. A. MASYUKOV, Opt. Spektrosk. 37 1049 (1974) [Opt. Spectrosc. 37, 601 (1974)].
- [10] C. D. MOODY, J. Appl. Phys. 46, 2475 (1975).
- [11] G. I. KOZLOV, V. A. KUZNETSOV and V. A. MASYUKOV, Fiz. Plazmy 1, 830 (1975) [Sov. J. Plasma Phys. 1, 454
- [12] A. BARANOWSKI, Z. MUCHA and Z. PERADZYNSKI, Proc. of the XIII Int. Conf. on Phenomena in Ionized Gases, 1977, Part II, p. 901, Berlin, GDR (1977).
- [13] Z. Mucha, Z. Peradzynski and A. Baranowski, Bulletin de l'Académie Polonaise des Sciences 25, 361 (1977).
- [14] D. WROBLEWSKI, A. CYBULSKI and Z. SZYMANSKI, J. de Physique 40, C7-733 (1979).
- [15] C. CARLHOFF, J. H. SCHAFER, K. SCHILDBACH and J. UHLENBUSCH, J. de Physique 40, C7-757 (1979).
- [16] Yu. P. RAZIER, Usp. Fiz. Nauk 132, 549 (1980) [Sov. Phys. Usp. 23, 789 (1980)].
- [17] C. Carlhoff, E. Krametz, J. H. Schafer, K. Schildbach, J. Uhlenbusch and D. Wroblewski, Physica 103C, 439 (1981).
- [18] Yu. P. RAZIER, Laser-Induced Discharge Phenomena. Consultants Bureau, New York (1977).



atmospheric pressures or above. The discharge resides near the focus of the laser beam independent of any physical support and does not require a gas flow to stabilize the plasma, as some other sources. Because the discharge is maintained using optical frequencies (30 THz), the plasma is called a "continuous optical discharge" (COD).

A photograph of the COD produced in this laboratory is shown in Fig. 1. The plasma was small, about 1 mm in diameter, and appeared as a very bright white light. The plasma was initiated by the spark produced by a focused Q-switched Nd: YAG laser pulse superimposed on the focal volume of the cw-beam because the cw powers used to maintain the plasma were insufficient to induce optical breakdown. Typically, the focused powers of cw-CO₂ lasers are 10^6-10^7 W/cm², several orders of magnitude smaller than the 10^8-10^9 W/cm² breakdown threshold of atmospheric pressure gases. The pulsed laser spark plasma contains a high density of electrons ($\geq 10^{16}$ cm⁻³) which act as an absorbing center for the 10.6- μ m beam. The plasma can also be initiated using a conventional electrode spark [20-21] or the plasma produced on the surface of a material which is temporarily introduced into the focal volume of the cw-beam [15]. Once started, the plasma operates continuously as long as sufficient intensity is supplied to the focal volume.

The cw laser power needed to maintain the plasma depends upon the gas pressure, type of gas, and whether the cw-beam is horizontal or vertical [4]. The maintenance threshold increases with the ionization potential $(I_{\rm p})$ of the gas [7]: at 3192 torr, powers of 59, 93, 155, and more than 480 W are needed to maintain the COD in Xe $(I_{\rm p}=12.08~{\rm eV})$, Kr (13.93 eV), Ar (15.68 eV) and He (24.46 eV), respectively. About 2 kW are required to produce the plasma in air at atmospheric pressure [20, 21]. The properties of the laser beam are also important as evidenced by the widely different values for maintenance thresholds listed in the literature for identical gases and pressures [4, 10, 17]. The temperature of the COD depends upon the gas and at 1520 torr has been measured spectroscopically to be a maximum of 14000 K in Xe,

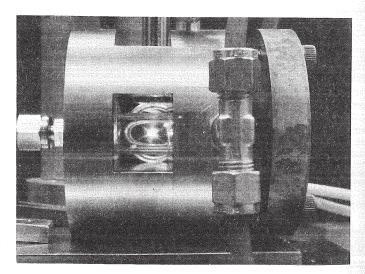


Fig. 1. Photograph of the COD produced inside a small quartz tube containing Xe at 2000 torr. The cw-CO₂ laser beam entered the cell from the right. The plasma was photographed for 1 s, at f 8.6, with a neutral density filter (ND) = 3 in front of the camera lens. Then the plasma was turned off and the cell photographed under room lights with the ND filter removed.

^[19] G.I. KOZLOV, V. A. KUZNETSOV and V. A. MASYUKOV, Zh. Tekh. Fiz. 49, 2304 (1979) [Sov. Phys. Tech. Phys. 49, 1283 (1979)].

^[20] D. C. SMITH and M. C. FOWLER, Appl. Phys. Lett. 22, 500 (1973).

^[21] D. R. KEEFER, B. B. HENRIKSEN and W. F. BRAERMAN, J. Appl. Phys. 46, 1080 (1975).

18 000 K in Ar, and 22 000 K in N_2 [16]. These are much higher than the temperatures characterizing other continuous excitation sources: arcs (4000–5000 K), ICP (6000–8000 K) and a microwave discharge (5000–7000 K). The temperature of the COD can approach that attained by sparks (20 000 K or higher) and is due to penetration of the high frequency optical radiation into the core of the plasma [16]. At laser frequencies, which are typically above the plasma frequency [22], absorption occurs mainly via free–free transitions (inverse Bremsstrahlung) associated with electron–ion collisions [23]. For comparison, at radio and microwave frequencies, which are below the plasma frequency, plasma heating occurs through direct plasma-electric field interactions characterized by larger absorption coefficients. Consequently, only the outer layers of these plasmas are heated. The higher temperature of the COD is also related to its greater input energy density (ρ) compared to more conventional discharges. For example, for a 0.1-cm dia. plasma, a laser power of 45 W, and assuming 50 % absorption by the plasma [7], $\rho = 5 \times 10^3$ W cm⁻³, vs $\rho = 188$ W cm⁻³ for an ICP of 1.5 kW and a volume of 8 cm³. The electron density of the Ar COD is about 10^{17} cm⁻³, at least an order of magnitude greater than other common continuous sources [4].

Studies of the COD to date have concentrated mainly on measurements of its physical properties in various gases under different conditions. Several theoretical models of the COD have been developed to account for these properties [24–29] and a few applications have been suggested [30–32]. An almost complete listing of the previous studies is presented in the references cited herein. To our knowledge, the COD has not previously been investigated as an excitation source for atomic emission spectroscopy. The high temperatures and electron density of this plasma should provide improved excitation for species difficult to detect with cooler conventional cw sources. In addition, the COD is a single source which combines the high temperature of a spark with the continuous operation of a dc arc, the goal behind the development of many other types of spectroscopic sources [33]. In this paper we present the results of a preliminary examination of this plasma for spectrochemical analysis. Particular emphasis is placed on characteristics of COD generation, variation of plasma properties with operating parameters, and analytical performance.

2. Experimental

2.1. Apparatus

A schematic of the apparatus used to generate the COD and record the emission spectrum is shown in Fig. 2. The experimental conditions are listed in Table 1. The gas cell was evacuated to a pressure $<50~\mu m$ before adding plasma gas. The cw-CO₂ and pulsed Nd:YAG laser radiations were focused into the same volume of the cell at right angles as shown. The focal volume of the pulsed beam was adjusted to overlap that of the cw-CO₂ beam by moving the glass imaging lens slightly using an XYZ

- [22] The plasma frequency (v_p) is given by $v_p^2 = (e^2 n_e)/(4\pi^2 \epsilon_0 m_e)$, where e, n_e , and m_e are the electronic charge, density, and mass, respectively, and ϵ_0 is the vacuum permittivity. At frequencies below v_p , EM waves interact directly with the plasma to induce heating. At frequencies above v_p , the waves only interact with individual electrons and ions to heat the plasma. For an electron density of 8×10^{16} cm⁻³, $v_p = 2.5 \times 10^{12}$ Hz, which is about an order of magnitude below the CO₂ laser frequency of 30 THz.
- [23] T. P. HUGHES, Plasmas and Laser Light. John Wiley, New York (1975).
- [24] A. A. KURBATOV, T. YA. POPOVA and N. G. PREOBRAZENSKY, Proc. of the XIII Int. Conf. on Phenomena in Ionized Gases, 1977, Part II, p. 899, Berlin, GDR (1977).
- [23] G. I. KOZLOV and I. K. SELEZNEVA, Zh. Tekh. Fiz. 48, 386 (1978) [Sov. Phys. Tech. Phys. 23, 227 (1978)].
 [26] M. V. GERASIMENKO, G. I. KOZLOV, V. A. KUZNETSOV, Pis'ma Zh. Tekh. Fiz. 6, 485 (1980) [Sov. Tech. Phys. Lett. 6, 208 (1980)].
- [27] Yu. P. Razier, Pis'ma Zh. Tekh. Fiz. 7, 938 (1981) [Sov. Tech. Phys. Lett. 7, 404 (1981)].
- [28] S. MULLER and J. UHLENBUSCH, Physica 112C, 259 (1982).
- [29] G. G. GLADUSH and A. N. YAVOKHIN, Kvantovaya Elektron. (Moscow) 10, 1399 (1983) [Sov. J. Quantum Electron. 13, 908 (1983)].
- [30] R. W. THOMPSON, E. J. MANISTA and D. L. ALGER, Appl. Phys. Lett. 32, 610 (1978).
- [31] Laser Focus, Dec. 1977. p. 20.
- [32] N. H. KEMP and R. G. ROOT, J. Spacecraft 16, 65 (1979).
- [33] P. W. J. M. BOUMANS, Analytical Emission Spectroscopy, Ed. E. L. GROVE, Part II, Chap. 6. Marcel Dekker, New York (1972).



DOCKET

Explore Litigation Insights



Docket Alarm provides insights to develop a more informed litigation strategy and the peace of mind of knowing you're on top of things.

Real-Time Litigation Alerts



Keep your litigation team up-to-date with **real-time** alerts and advanced team management tools built for the enterprise, all while greatly reducing PACER spend.

Our comprehensive service means we can handle Federal, State, and Administrative courts across the country.

Advanced Docket Research



With over 230 million records, Docket Alarm's cloud-native docket research platform finds what other services can't. Coverage includes Federal, State, plus PTAB, TTAB, ITC and NLRB decisions, all in one place.

Identify arguments that have been successful in the past with full text, pinpoint searching. Link to case law cited within any court document via Fastcase.

Analytics At Your Fingertips



Learn what happened the last time a particular judge, opposing counsel or company faced cases similar to yours.

Advanced out-of-the-box PTAB and TTAB analytics are always at your fingertips.

API

Docket Alarm offers a powerful API (application programming interface) to developers that want to integrate case filings into their apps.

LAW FIRMS

Build custom dashboards for your attorneys and clients with live data direct from the court.

Automate many repetitive legal tasks like conflict checks, document management, and marketing.

FINANCIAL INSTITUTIONS

Litigation and bankruptcy checks for companies and debtors.

E-DISCOVERY AND LEGAL VENDORS

Sync your system to PACER to automate legal marketing.

