# Plasma Physics and Engineering

Alexander Fridman Lawrence A. Kennedy



NEW YORK • LONDON

DOCKET

Δ

Δ



Denise T. Schanck, Vice President Robert L. Rogers, Senior Editor Summers Scholl, Editorial Assistant Savita Poornam, Marketing Manager Randy Harinandan, Marketing Assistant

Susan Fox, Project Editor Shayna Murry, Cover Designer

Published in 2004 by Taylor & Francis 29 West 35th Street New York, NY 10001-2299

Published in Great Britain by Taylor & Francis 4 Park Square Milton Park Abingdon OX14 4RN

www.taylorandfrancis.com

Copyright © 2004 by Taylor & Francis Books, Inc.

Printed in the United States of America on acid-free paper.

All rights reserved. No part of this book may be reprinted or reproduced or utilized in any form or by any electronic, mechanical, or other means, now known or hereafter invented, including photocopying and recording, or in any information storage or retrieval system, without permission in writing from the publishers.

10 9 8 7 6 5 4 3 2 1

Library of Congress Cataloging-in-Publication Data

Fridman, Alexander A., 1953– Plasma physics and engineering / by Alexander
A. Fridman and Lawrence A. Kennedy p. cm.
ISBN 1-56032-848-7 (hardcover : alk. paper)
1. Plasma (Ionized gases). 2. Plasma engineering. I. Kennedy, Lawrence A., 1937– II. Title.

QC718.F77 2004 530.4′4—dc22

DOCKE

RM

Δ

2003022820

Find authenticated court documents without watermarks at docketalarm.com.

104 Chapter 6: Electrostatics, Electrodynamics, and Fluid Mechanics of Plasma

$$\frac{c^2}{(\omega/k)^2} = 1 + \frac{\omega_p^2}{\omega_B \omega_{Bi}} = 1 + \frac{\mu_0 \rho}{B^2} \approx \frac{c^2}{v_A^2}$$
(6.214)

This introduces again the Alfven wave velocity  $v_A$  (Equation 6.139); here,  $\rho = n_e M$  is the mass density in completely ionized plasma.

Only a few principal types of electromagnetic waves in magnetized plasma have been discussed. For example, numerous possible modes are related to electromagnetic wave propagation not along the magnetic field. Some of them are very important in plasma diagnostics and in high-frequency plasma heating. More details on the subject can be found in Ginsburg<sup>215</sup> and Ginsburg and Rukhadze.<sup>216</sup>

## 6.7 EMISSION AND ABSORPTION OF RADIATION IN PLASMA: CONTINUOUS SPECTRUM

### 6.7.1 Classification of Radiation Transitions

Because of its application in different lighting devices, radiation is probably the most commonly known plasma property. Radiation also plays an important role in plasma diagnostics, including plasma spectroscopy, in the propagation of some electric discharges, and sometimes even in plasma energy balance.

From a quantum mechanical point of view, radiation occurs due to transitions between different energy levels of a quantum system: transition up corresponds to absorption of a quantum,  $E_f - E_i = \hbar \omega$ ; transition down the spectrum corresponds to emission  $E_i - E_f = \hbar \omega$  (see Section 6.7.2). From the point of classical electrodynamics, radiation is related to the nonlinear change of dipole momentum, actually with the second derivative of dipole momentum (see Section 6.7.4).

It should be mentioned that neither emission nor absorption of radiation is impossible for free electrons. As was shown in Section 6.6, electron collisions are necessary in this case. It will be shown in Section 6.7.4 that electron interaction with a heavy particle, ion, or neutral is able to provide emission or absorption, but electron–electron interaction cannot.

It is convenient to classify different types of radiation according to the different types of an electron transition from one state to another. Electron energy levels in the field of an ion as well as transitions between the energy levels are illustrated in Figure 6.32. The case when both initial and final electron states are in continuum is called the **free-free transition**. A free electron in this transition loses part of its kinetic energy in the coulomb field of a positive ion or in interaction with neutrals. The emitted energy in this case is a continuum (usually infrared) called **bremsstrahlung** (direct translation: stopping radiation). The reverse process is the bremsstrahlung absorption.

Electron transition between a free state in continuum and a bound state in atom (see Figure 6.32) is usually referred to as the **free-bound transition**. These transitions correspond to processes of the radiative electron-ion recombination (see Section 2.3.5) and the reverse one of photoionization (see Section 2.2.6). These kinds

Energetiq Ex. 2022, page 3 - IPR2015-01279

Fluid Mechanics of Plasma

$$\frac{1}{B_i} = 1 + \frac{\mu_0 \rho}{B^2} \approx \frac{c^2}{v_A^2}$$
(6.214)

'ave velocity  $v_A$  (Equation 6.139); here, y ionized plasma.

agnetic waves in magnetized plasma have possible modes are related to electromagnetic field. Some of them are very imporequency plasma heating. More details on ad Ginsburg and Rukhadze.<sup>216</sup>

### N OF RADIATION IN PLASMA:

#### ansitions

ghting devices, radiation is probably the Radiation also plays an important role in ectroscopy, in the propagation of some n plasma energy balance.

i view, radiation occurs due to transitions tum system: transition up corresponds to ransition down the spectrum corresponds 2). From the point of classical electrodyar change of dipole momentum, actually entum (see Section 6.7.4).

emission nor absorption of radiation is wn in Section 6.6, electron collisions are in Section 6.7.4 that electron interaction le to provide emission or absorption, but

pes of radiation according to the different state to another. Electron energy levels in etween the energy levels are illustrated in ind final electron states are in continuum electron in this transition loses part of its ositive ion or in interaction with neutrals. um (usually infrared) called **bremsstrahl**-). The reverse process is the bremsstrahl-

the **free-bound transition**. These transitive electron-ion recombination (see Secmization (see Section 2.2.6). These kinds

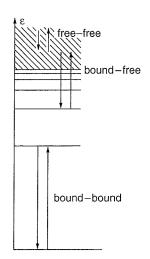


Figure 6.32 Energy levels and electron transitions induced by ion field

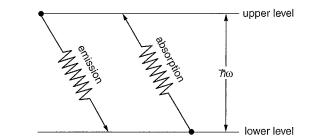


Figure 6.33 Radiative transition between two energy levels

of transitions can also take place in electron-neutral collisions. In such a case these are related to photoattachment and photodetachment processes of formation and destruction of negative ions (see Section 2.4). The free-bound transitions correspond to continuum radiation.

Finally, **the bound–bound transitions** mean transition between discrete atomic levels (see Figure 6.32) and result in emission and absorption of spectral lines. Molecular spectra are obviously much more complex than those of single atoms because of possible transitions between different vibrational and rotational levels (see Section 3.2).

### 6.7.2 Spontaneous and Stimulated Emission: Einstein Coefficients

Consider transitions between two states (upper u and ground 0) of an atom or molecule with emission and absorption of a photon  $\hbar\omega$ , which is illustrated in Figure 6.33. The probability of a photon absorption by an atom per unit time (and thus atom transition "0"  $\rightarrow$  "u") can be expressed as:

### Energetiq Ex. 2022, page 4 - IPR2015-01279



406 | Chapter 6: Electrostatics, Electrodynamics, and Fluid Mechanics of Plasma

$$P("0", n_{\omega} \to "u", n_{\omega} - 1) = An_{\omega}$$
(6.215)

Here,  $n_{\omega}$  is the number of photons and A is **the Einstein coefficient**, which depends on atomic parameters and does not depend on electromagnetic wave characteristics. Similarly, the probability of atomic transition with a photon emission is:

$$P(``u", n_{\omega} \to ``0", n_{\omega} + 1) = \frac{1}{\tau} + Bn_{\omega}$$
 (6.216)

Here,  $1/\tau$  is the frequency of **spontaneous emission** of an excited atom or molecule, which takes place without direct relation with external fields; another Einstein coefficient, *B*, characterizes emission induced by an external electromagnetic field. The factors *B* and  $\tau$  as well as *A* depend only on atomic parameters. Thus, if the first right-side term in Equation 6.216 corresponds to spontaneous emission, the second term is related to **stimulated emission**.

To find relations between the Einstein coefficients A and B and the spontaneous emission frequency  $1/\tau$ , analyze the thermodynamic equilibrium of radiation with the atomic system under consideration. In this case, the densities of atoms in lower and upper states (Figure 6.33) are related in accordance with the Boltzmann law (Equation 4.1.9) as:

$$n_u = \frac{g_u}{g_0} n_0 \exp\left(-\frac{\hbar\omega}{T}\right) \tag{6.217}$$

where  $\hbar\omega$  is energy difference between the two states and  $g_u$  and  $g_0$  are their statistical weights. According to the Planck distribution (see Section 4.1.5 and Equation 4.19), the averaged number of photons  $\bar{n}_{\omega}$  in one state can be determined as:

$$\overline{n}_{\omega} = 1 / \left( \exp \frac{\hbar \omega}{T} - 1 \right) \tag{6.218}$$

Then, taking into account the detailed balance of photon emission and absorption for the system illustrated in Figure 6.33,

$$n_0 P("0", n_{\omega} \to "u", n_{\omega} - 1) = n_u P("u", n_{\omega} \to "0", n_{\omega} + 1)$$
(6.219)

which can be rewritten based on Equation 6.215 and Equation 6.216 as:

$$n_0 A \,\overline{n}_\omega = n_u \left(\frac{1}{\tau} + B \overline{n}_\omega\right) \tag{6.220}$$

The relations between the Einstein coefficients A and B and the spontaneous emission frequency  $1/\tau$  can be expressed based on Equation 6.217, Equation 6.218, and Equation 6.220 as:

$$A = \frac{g_u}{g_0} \frac{1}{\tau}, \quad B = \frac{1}{\tau}$$
 (6.221)

Energetiq Ex. 2022, page 5 - IPR2015-01279

## DOCKET A L A R M



# Explore Litigation Insights

Docket Alarm provides insights to develop a more informed litigation strategy and the peace of mind of knowing you're on top of things.

## **Real-Time Litigation Alerts**



Keep your litigation team up-to-date with **real-time alerts** and advanced team management tools built for the enterprise, all while greatly reducing PACER spend.

Our comprehensive service means we can handle Federal, State, and Administrative courts across the country.

## **Advanced Docket Research**



With over 230 million records, Docket Alarm's cloud-native docket research platform finds what other services can't. Coverage includes Federal, State, plus PTAB, TTAB, ITC and NLRB decisions, all in one place.

Identify arguments that have been successful in the past with full text, pinpoint searching. Link to case law cited within any court document via Fastcase.

## **Analytics At Your Fingertips**



Learn what happened the last time a particular judge, opposing counsel or company faced cases similar to yours.

Advanced out-of-the-box PTAB and TTAB analytics are always at your fingertips.

## API

Docket Alarm offers a powerful API (application programming interface) to developers that want to integrate case filings into their apps.

### LAW FIRMS

Build custom dashboards for your attorneys and clients with live data direct from the court.

Automate many repetitive legal tasks like conflict checks, document management, and marketing.

### FINANCIAL INSTITUTIONS

Litigation and bankruptcy checks for companies and debtors.

## E-DISCOVERY AND LEGAL VENDORS

Sync your system to PACER to automate legal marketing.